

11/3/23

UNIT-I

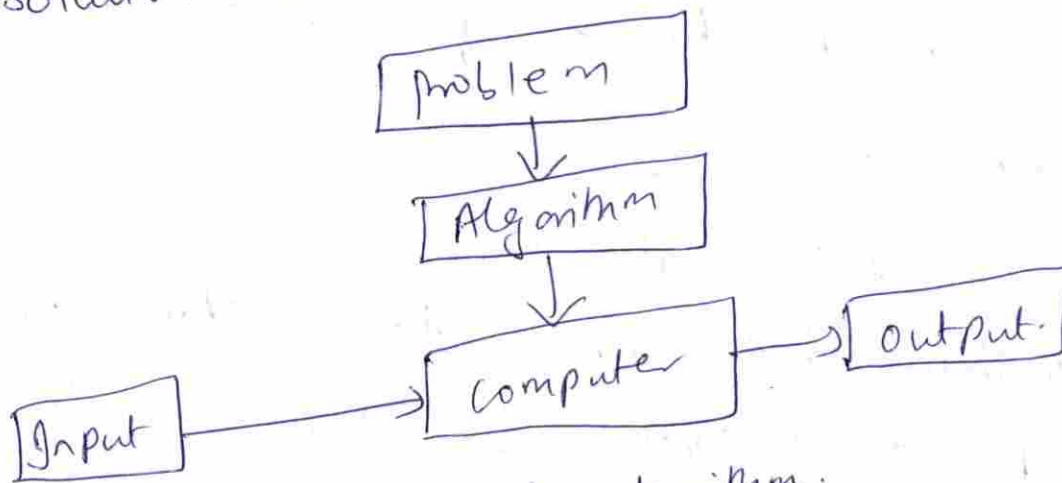
①

- An algorithm is a sequence of steps to solve a problem.
- Design and analysis of algorithm is very important for designing algorithm to solve different types of problems in the branch of computer science and information technology.
- What is design algorithm?
 - Algorithm design refers to a method or a mathematical process for problem-solving and engineering algorithms.
- The design of algorithms is part of many solutions theories, such as Divide & Conquer or dynamic programming within operation research.
- What is design analysis?

Design analysis is essentially a decision-making process in which analytical tools derived from basic sciences, mathematics, statistics and Engineering fundamentals are utilized to develop a product model that can be converted into an actual product.

Algorithm:- An algorithm is typically refers to. (a)
a set of instructions that can be executed by a
Computer to produce the desired result.

→ An algorithm is not a solution to a problem,
it ~~is~~ defines the procedure for getting
solution to a problem.



notation of algorithm.

Properties of algorithm

5 properties of an algorithm

① Input:- An algorithm has zero or more "input"
quantities that are given to it initially before
the algorithm begins or dynamically as the
algorithm runs.

Input refers to data (facts, figures, numbers)
provided to the algorithm on which the
computation is performed.

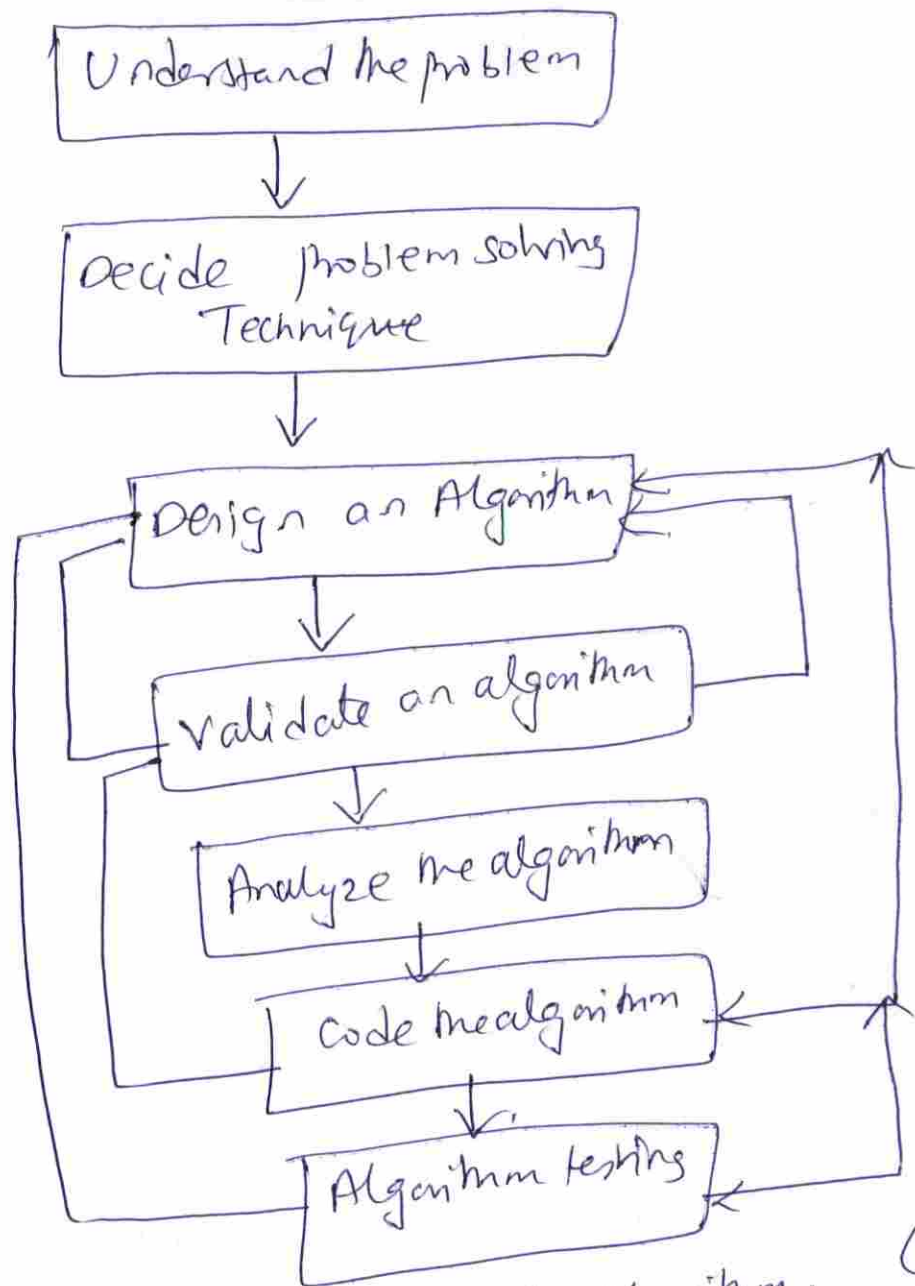
Output - An algorithm has one or more outputs that (3) have a specified relation to the inputs.

(3) Definiteness - Each step of algorithm must be precisely defined. Each action to be carried out must be rigorously and unambiguously specified for each case.

(4) Finiteness - An algorithm must always terminate after a finite no. of steps, each of which may require one or more operations.

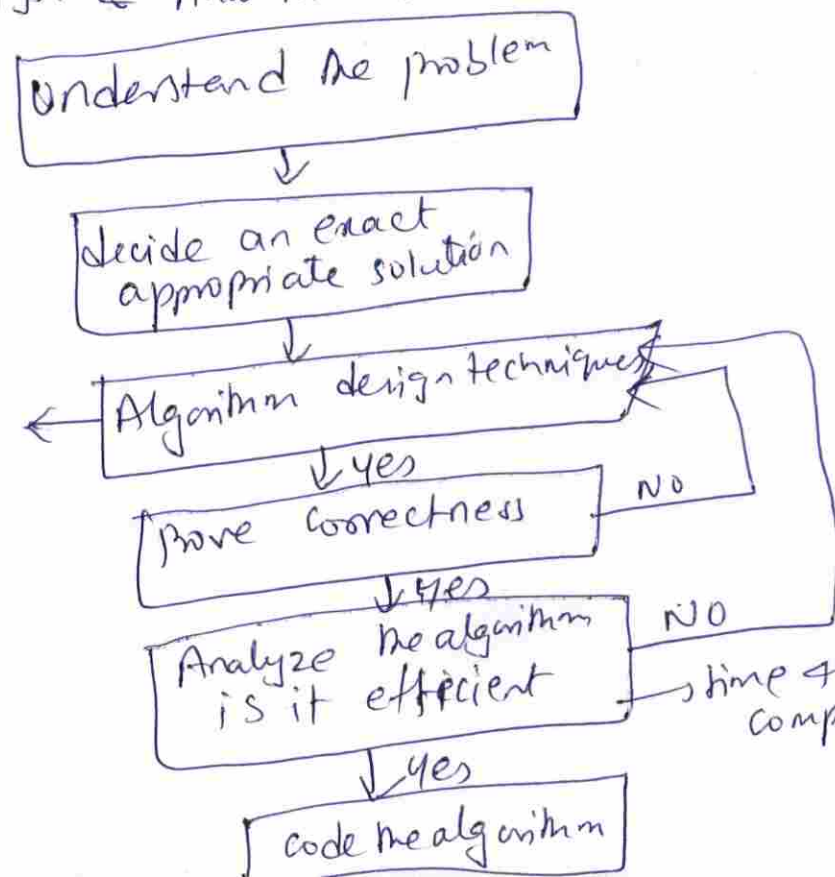
(5) Effectiveness - An algorithm is also generally expected to be effective, in the sense that its operations must all be sufficiently basic that they can in principle be done exactly, and in a finite length of time by someone using pencil and paper.

→ i.e. output must be feasible and logical according to the provided input and resources.



(or)

process of Design & Analysis of Algorithm.



Divide & conquer
dynamic programming
Greedy method
Backtracking
Branch & Bound.

The 4 distinct areas of studying algorithm (5)
are

(1) How to devise algorithm - creating an algorithm.

i.e. It is an art which is never fully automated.

→ A major goal is to study various design techniques that have proven to be useful.

→ By mastering these design techniques/strategies it will become easier for you to devise

new and useful algorithms.

→ Some of techniques may already be familiar, and some have been found to be useful.

→ Dynamic programming is a technique which is useful in the fields other than computer science.

(2) How to validate algorithm - Definiteness.

→ once the algorithm is devised, it is necessary to show that it computes the correct answer for all possible legal inputs.

→ i.e. Algorithm need not as validation. The algorithm yet be expressed as a program.

→ The purpose of validation is to ensure us that this algorithm will work correctly independently.

→ It is referred to as program verification.

③ How to analyze algorithm - As an algorithm is executed, it uses the computer's central processing unit (CPU) to perform operations and its memory to hold the program and data.

→ Analysis of algorithms or performance analysis refers to the task of determining how much computing time and storage replace.

→ Analyze the algorithm based on time and space complexity.

→ The amount of time needed to run the algorithm is called time complexity.

→ The amount of memory needed to run the algorithm is called space complexity.

(4) How to test program -

→ Testing a program consists of two phases

(1) Debugging (2) profiling.

Debugging - It is the process of executing program on sample data sets to determine whether faulty results occurs, if so correct them. ⑦

→ Debugging can only point to the presence of errors but not the absence."

Profiling - Profiling or performance measurement is the process of executing a correct program on data sets and measuring the time and space it takes to compute the results.

The process of finding bugs or errors in a software product is termed testing, which is done manually by a tester or can be automated. Debugging is the process of resolving the bugs found in the testing phase. Developers and programmers are in charge of debugging and it can't be automated.

Algorithm specifications

⑧

Algorithm can be described in 3 ways.

① Natural language like English:-

When this way is choosed care should be taken, we should ensure that each & every statement is definite.

② Graphic representation called flowcharts

This method will work well when the algorithm is small & simple.

③ pseudo-code method:-

This method describe algorithm as program, which resembles language like pascal & algol.

Algorithm specifications

Pseudo-code conventions for expressing algorithms:-

- ① Comments begin with // and continue until the end of line.
- ② Blocks are indicated with matching braces { and }. A compound statements i.e. collection of simple statements can be represented as a block.

Statements are delimited by ;

9

(3) An identifier begins with a letter. The datatype of variables are not explicitly declared.

(4) Compound data types can be formed with records.

node · record

{

datatype → data-1;

!

datatype-n data-n;

node *link;

}

→ link is a pointer to the record type node.
→ Individual data items of a record can be accessed with → and period (.)

(5) Assignment of values to variables is done using the assignment statement.

<variable> := <expression>;

(6) There are two boolean values TRUE and FALSE

→ logical operators AND, OR, NOT

→ Relational operators <, <=, >, >=, =, !=

7) The following looping statements are employed. (10)

For while and repeat-until
while loop.

```
while <condition> do
  ↓
  <statement 1>
  ⋮
  <statement-n>
}
```

For loop:

for variable := value-1 to value-2 step step do
 ↓
 <statement-1>
 ⋮
 <statement-n>
}

Repeat until:

```
repeat
  <statement-1>
  ⋮
  <statement-n>
until <condition>
```

⑧ A conditional statement has the following form.

→ if <condition> then <statement>
→ if <condition> then <statement-1>
Else <statement-1>

case statement :-

case

{
: <condition-1> : <statement-1>
:
: <condition-n> : <statement-n>
: else : <statement-n+1>

}

⑨ Input and output are done using the instructions read & write.

(10) There is only one type of procedure:

Algorithm Name (Parameter list)

Eg:- Algorithm to find max of two numbers
algorithm max(A, n)
// A is an array of size n.

{
Result := A[1];
for I := 2 to n do
if A[I] > Result then
Result := A[I];

return Result;
}

Algorithm for selection sort

(12)

Algorithm Selection sort (a, n)

Sort array $a[1:n]$ into non-decreasing order.

```
{
  for  $i := 1$  to  $n$  do
     $j := i$ ;
    for  $k := i+1$  to  $n$  do
      if  $(a[k] < a[j])$  then
         $j := k$ ;
     $t := a[i]$ ;
     $a[i] := a[j]$ ;
     $a[j] := t$ ;
}
```

Performance Analysis

① space complexity - It is the total amount of memory space used by an algorithm/program including the space of input values for execution. It is used to calculate the space occupied by the variables used in an algorithm/program.

The program source code has many types of variables (13) and their memory requirements are different.

Fixed variables -

The fixed part of the program are the instructions, simple variables, constants that does not need much memory and they do not change during execution.

Dynamic variables / Variable part

The variable depends on input size, pointers that refers to other variables dynamically, stack space for recursion.

It is denoted as

$$S(p) = C + S_p(I) \rightarrow \text{instance characteristics}$$

\uparrow \uparrow \uparrow

Problem static fixed variable dynamic variable.

Note - we concentrate only on measuring the space required for dynamic part.

space complexity $S(p) = C + S_p(I)$

Where C - fixed space requirements (constants)

$S_p(I)$ = variable space requirements.

Space complexity refers to the worst case ⁽¹⁾ and ⁽¹⁴⁾ denoted as an asymptotic expression in size of input.

$O(1)$:- space algorithm requires a constant amount of memory for input.

$O(1)$:- space algorithm requires a constant amount of space independent of size of input.

Algorithm Sum(a, n)

{

$S = 0.0;$

for $i = 1$ to n do

$S = S + a[i];$

return $S;$

}

For a program

#include <stdio.h>

int main()

{
int ~~a~~ $a=5, b=5, c;$

$c = a + b;$

printf("%d", c);

}
variables are a, b, c

int will occupy 4 bytes

so $4 \times 3 = 12$ bytes.

variables are $S, i, n, a[]$.

each variable will occupy one space of memory.

$S = 1, i = 1, n = 1$

$a[]$ is an ~~array~~ array variable it requires n words of space that holds 'a' must hold for n elements to be summed.

The total space occupied is $n+3$

Performance Analysis:

(15)

The evaluation can be done in two ways

① priori Estimation / performance Analysis

② Posteriori Testing / performance Measurement

Priori	Posteriori
<p>(1) The time taken for executing the algorithm is analyzed prior to the execution of algorithm. i.e. before running on the system checking the space and time complexity</p> <p>→ It is also called as performance analysis that evaluates whether the code is readable or it performs the desired functions</p> <p>(3) It focuses on determining the order of execution of statement.</p> <p>(4) It provides approximate values</p> <p>(5) It is very expensive i.e. depends upon the system which has been used for execution</p> <p>(6) Use the Asymptotic notations</p>	<p>(1) The execution time taken by an algorithm is evaluated while the algorithm is being executed. i.e. after running on the system analysis is made. i.e. time & space complexity.</p> <p>e) It is also called as performance measurement that measures the accuracy of algorithm. (exact) time & space</p> <p>(3) It focuses on determining the time & space complexity of particular algorithm</p> <p>(4) It provides accurate values</p> <p>(5) It is very less expensive manually calculated.</p> <p>(6) Directly depends on system and changes from system to system.</p>

The performance ^{analysis} of any algorithm is calculated using two types of complexities

- (1) Space complexity
- (2) Time complexity.

Time complexity - The time complexity is the amount of the compute time it needs to run for completion, i.e. sum of compile time and run time (execution)

(or)

The time complexity is the number of operations an algorithm performs to complete its task (considering that each operation takes the same amount of time).

→ Algorithm that performs the task in the smallest no. of operations is considered the most efficient one in terms of the time complexity.

The time $T(P)$ taken by a program P is the sum of the compile time and the run time (execution).

→ runtime is denoted by t_p (instance characteristics) (17)

$$t_p(n) = C_a \text{ADD}(n) + C_s \text{SUB}(n) + C_m \text{MUL}(n) + C_d \text{DIV}(n) + \dots$$

The time complexity can be expressed in 3 different ways or types of time complexity ~~are~~

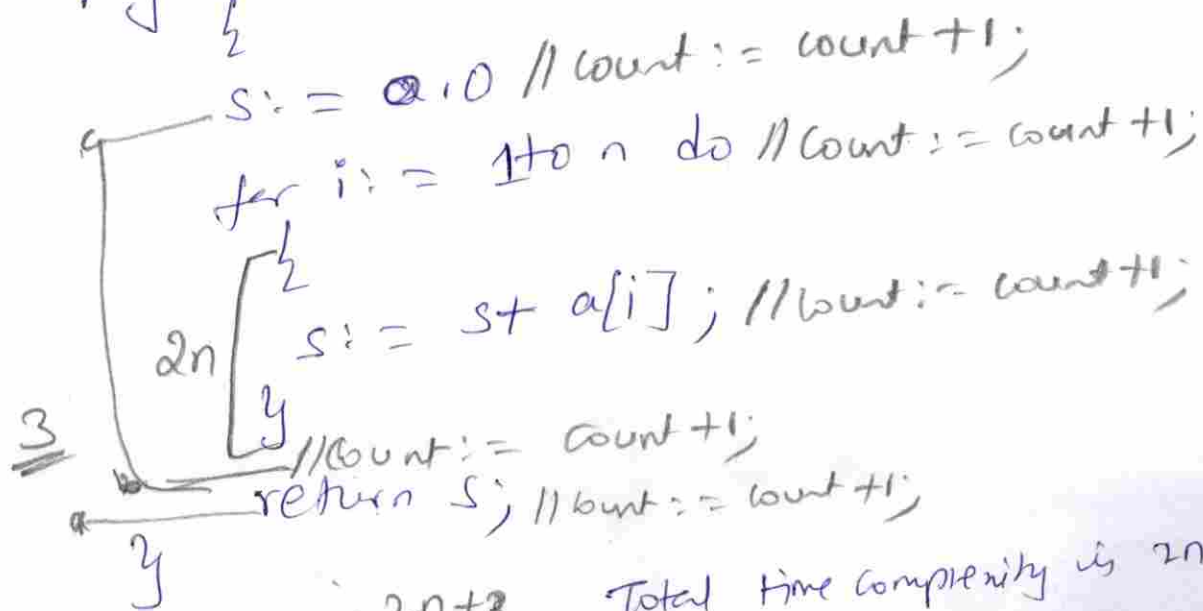
- ① Count method
- ② Frequency method
- ③ Asymptotic notation method.

Count Method:-

→ We introduce a new variable count into the program, it is a global variable with initial value 0.

→ Each time a statement in the original program is executed count is incremented by the step count of that statement.

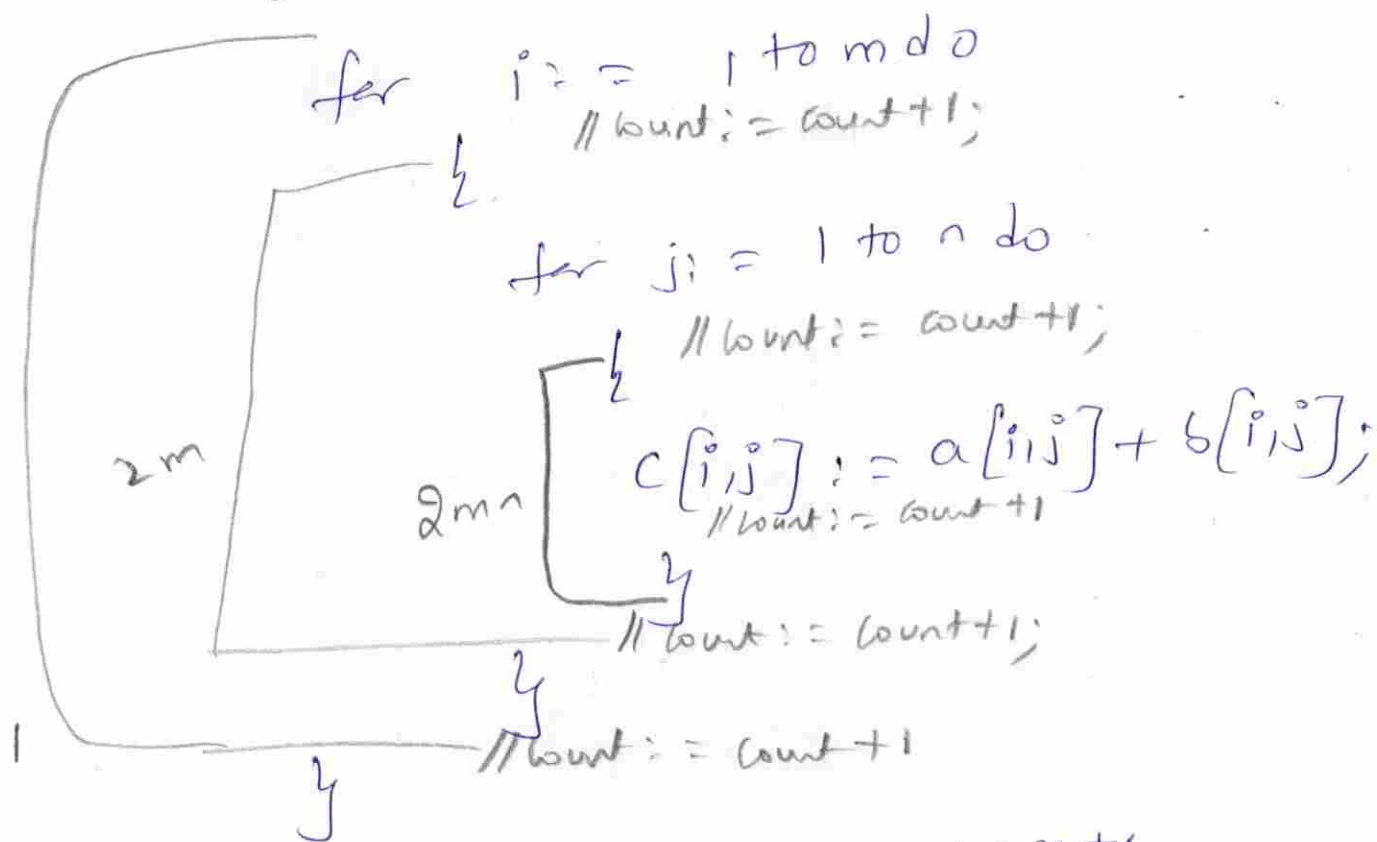
Algorithm $\text{sum}(a, n)$



∴ 2n + 3 Total time complexity is $2n + 3$

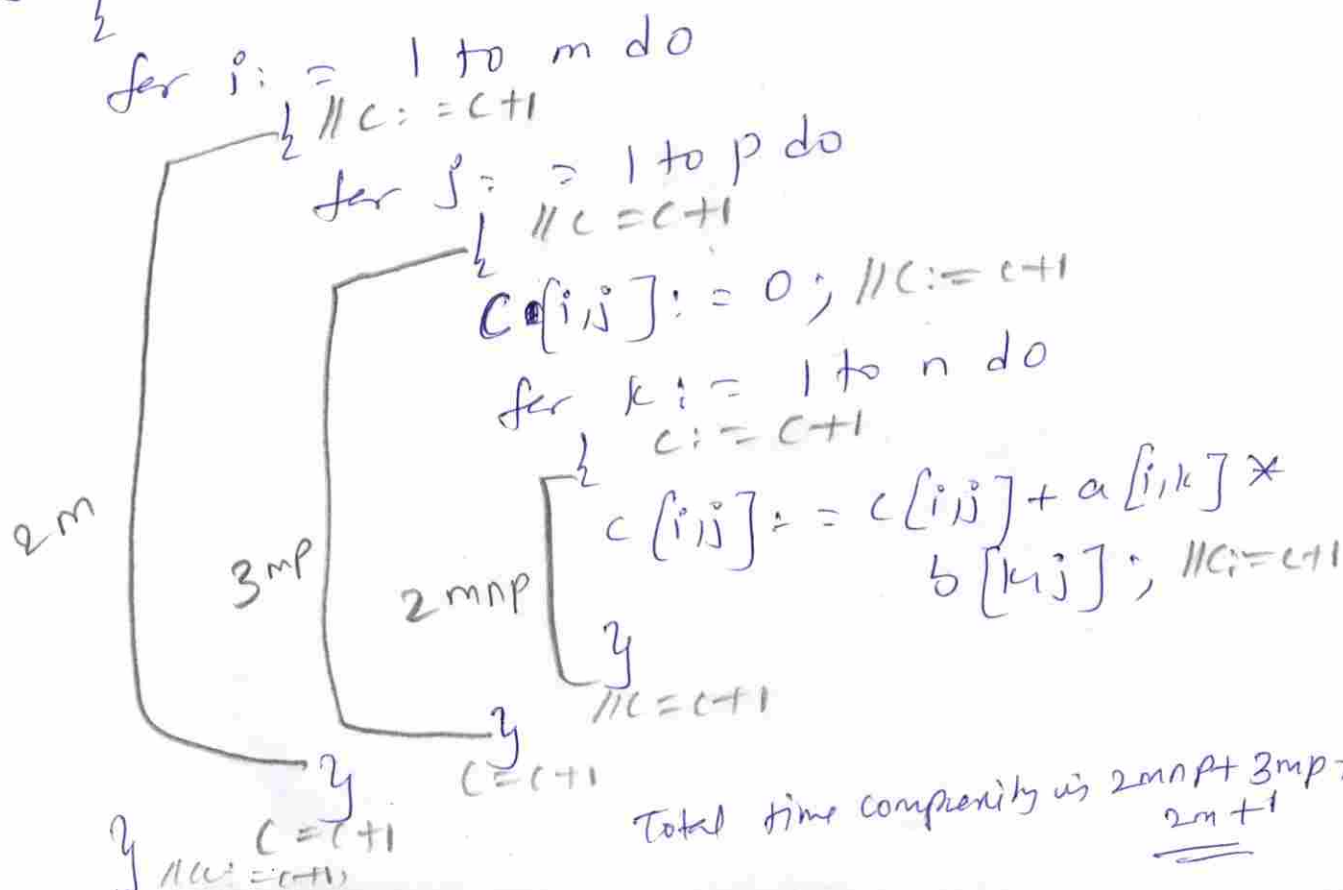
Algorithm Add (a, b, c, m, n)

(15)



Total time complexity is $2m + 2mn + 2mn$

Algorithm MUL (a, b, c, m, p, n)



Total time complexity is $2m + 3mp + 2mnp + 2mnp$

Frequency Method - Which is to be determine the step count of an algorithm is to build a table in which we list the total no. of steps contributed by each statement.

1st column \rightarrow statement in which create the algorithm of a given problem.

2nd column \rightarrow sle, which indicate steps for execution of the statement.

3rd column \rightarrow is frequency which indicates the total no. of times (frequency) each statement is executed.

4th column \rightarrow total steps that is $sle \times \text{frequency}$

Statement	sle	frequency	Total steps = sle \times frequency
Algorithm Sum(arr)	0	0	0
{	0	0	0
$S := 0.0;$	1	1	1
for $i = 1$ to n do	1	$n+1$	$n+1$
$S := S + arr[i];$	1	n	n
return S;	1	1	1
}	0	0	0

$$1 + n + 1 + n + 1 = \underline{2n + 3}$$

Total Time Complexity

is $2n + 3$

Statement	S/e	frequency	total steps
Algorithm add(a,b,c,m,n)	0	0	0
↓	0	0	0
for i := 1 to m do	1	m+1	m+1
↓	0	0	0
for j := 1 to n do	1	m(n+1)	mn+m
↓	0	0	0
c[i,j] := a[i,j] + b[i,j];	1	mn	mn
↓	0	0	0
y	0	0	0
↓	0	0	0
y			

Time Complexity is $m+1 + mn + m + mn$
 $= \underline{\underline{2m + 2mn + 1}}$

The order of seven computing times are
 $O(1)$, $O(\log n)$, $O(n)$, $O(n \log n)$, $O(n^2)$, $O(n^3)$,

$O(2^n)$.

→ $O(1)$ = constant

→ $O(n)$ = linear

→ $O(n^2)$ = quadratic

→ $O(n^3)$ = cubic

→ $O(2^n)$ = Exponential.

Asymptotic notations

The efficiency of an algorithm depends on the amount of time, storage and other resources required to execute the algorithm.

→ The efficiency is measured with the help of asymptotic notations.

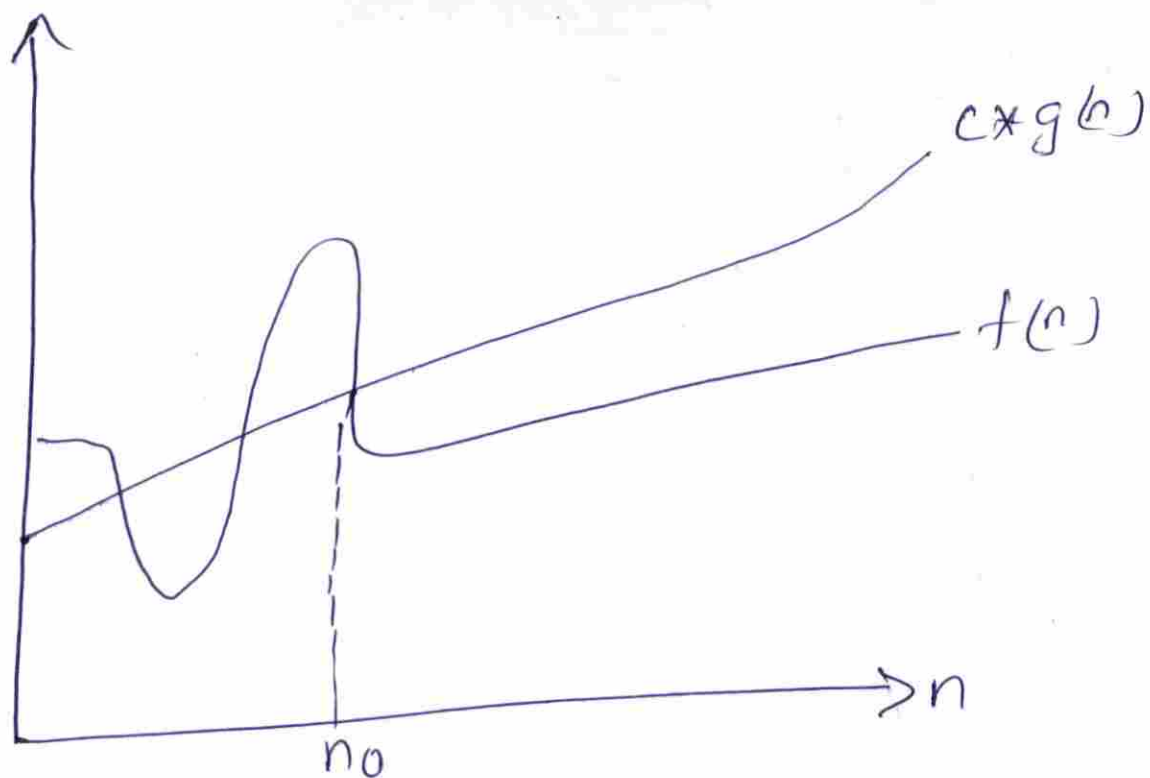
→ The study of change in performance of the algorithm with the change in the order of the input size is defined as asymptotic analysis.

→ Asymptotic notations are the mathematical notations used to describe the running time of an algorithm when the input tends towards a particular value or a limiting value.

There are 3 types of asymptotic notations

- ① Big - O notation
- ② Omega notation (Ω)
- ③ Theta notation (Θ)

Big-O notation - It represents the upper bound of the running time of an algorithm, i.e. Worst-case complexity of an algorithm.



$$f(n) = O(g(n))$$

The function $f(n) = O(g(n))$ "read as f of n is big oh of g of n" iff there exist positive constants c and n_0 such that

$$f(n) \leq c * g(n) \text{ for all } n, n \geq n_0.$$

Following the steps to calculate 'O' for a program.

- (1) Break the program into smaller segments.
- (2) Find the no. of operations performed for each segment (in terms of the input size) assuming the given input is such that the program takes the maximum time i.e. the

Worst-case scenario

- (3) Add up all the operations and simplify it let's say it is $f(n)$.

(4) Remove all the constants and choose the terms having the highest order because as n tends to infinity the constants and the lower order terms in $f(n)$ will be insignificant, let say the function is $g(n)$ then big-O notation is $O(g(n))$

eg:- ① $3n+3 = O(n)$

$$f(n) \leq c * g(n)$$

$$f(n) = 3n+3$$

$$g(n) = O(n)$$

$$3n+3 \leq c * n$$

$$3n+3 \leq 4n$$

now find the 'n' values

$$n=1$$

$$3+3 \leq 4$$

$$5 \leq 4 \times$$

$$n=2$$

$$3(2)+3 \leq 4(2)$$

$$6+3 \leq 8$$

$$9 \leq 8 \times$$

$$n=3$$

$$3(3)+3 \leq 4(3)$$

$$9+3 \leq 12$$

$$12 \leq 12 \checkmark$$

$$\underline{\underline{n \geq 3}}$$

$$n \geq \underline{\underline{3}}$$

② $10n^2+4n+2 = O(n^2)$

$$f(n) = 10n^2+4n+2$$

$$g(n) = n^2$$

$$f(n) \leq c * g(n)$$

$$10n^2 + 4n + 2 \leq c * n^2$$

$$10n^2 + 4n + 2 \leq 11n^2$$

$$n=1$$

$$16 \leq 11 \times$$

$$n=2$$

$$50 \leq 44 \times$$

$$n=3$$

$$104 \leq 99 \times$$

$$n=4$$

$$178 \leq 176 \times$$

$$n=5$$

$$275 \leq 275 \checkmark$$

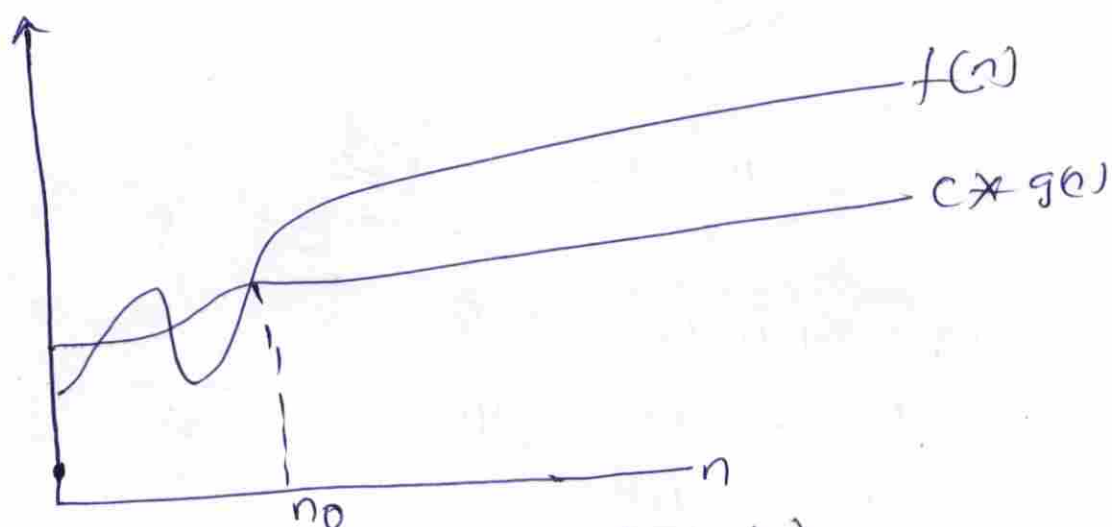
$$\underline{\underline{n \geq 5}}$$

$$(3) \quad 6 * 2^n + n^2 = 2^n$$

$$(5) \quad 3n + 2 = O(n)$$

$$(4) \quad 100n + 6 = O(n)$$

Omega notation (Ω):- It represents the lower bound of the running time of an algorithm.
 → It provides the best case complexity of an algorithm.



$$f(n) = \Omega(g(n))$$

The function $f(n) = \Omega(g(n))$ read as f of n is Omega of g of n if and only if there exists positive constants c and n_0 such that

$$\boxed{f(n) \geq c * g(n)} \text{ for all } n, n \geq n_0.$$

To calculate Ω for a program

- ① Break the program into smaller segments.
- ② Find the number of operations performed for each segment in terms of the input size assuming the given input is such that the program takes the least amount of time.
- ③ Add up all the operations and simplify it, let's say it is $f(n)$.
- ④ Remove all the constants and choose the term having the least order or any other function which is always less than $f(n)$ when n tends to infinity, let say it is $g(n)$ Omega (Ω), $f(n)$ is $\Omega(g(n))$

Note: Omega notation does not really help to analyze an algorithm because it does not consider the best cases of inputs.

eg:-

① $3n+2 = \Omega(n)$

$f(n) = 3n+2$

$g(n) = n$

$f(n) \geq c * g(n)$

$3n+2 \geq c * n$

$3n+2 \geq 3n$

$n=1$

$5 \geq 3 \checkmark$

$n \geq 1$

② $3n+3 = \Omega(n)$

$3n+3 \geq c * n$

$3n+3 \geq 3n$

$3 \geq 1$

$6 \geq 3 \checkmark$

$6 \geq 3 \checkmark$

$n \geq 1$

According to definition of Ω , the no value should be greater than zero always.

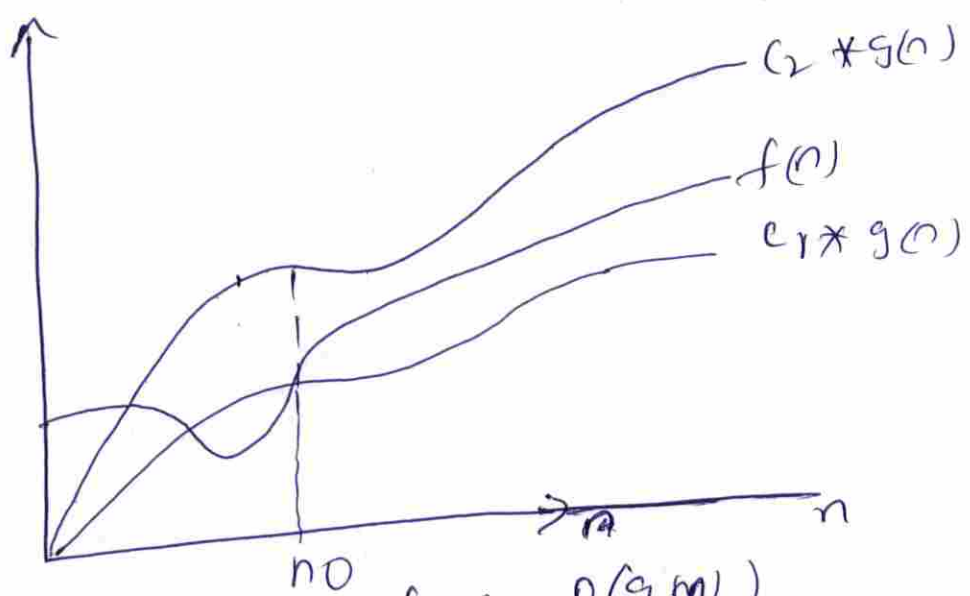
Theta notation - Θ :- Big theta notation specifies a bound for a function $f(n)$.

function $f(n) = \Theta(g(n))$ read as f of n is theta of g of n if and only if

there exists positive constant c_1 and c_2 &

no such ~~test~~ that $c_1 * g(n) \leq f(n) \leq c_2 * g(n)$

for all n, $n \geq n_0$.



Theta notation $f(n) = \theta(g(n))$ endorses the function from above and below. Since it represents the upper and the lower bound of the running time of an algorithm, it is used for analyzing the average-case complexity of an algorithm.

$$\begin{array}{ccccccc}
 \cancel{c_1 \times g(n)} & & c_1 \times g(n) & \leq & f(n) & \leq & c_2 \times g(n) \\
 \uparrow & & \uparrow & & & & \uparrow \\
 \text{(lower bound value)} & & \Omega & & & & \text{Big-O} \\
 & & \text{omega} & & & & \text{Upper bound value.}
 \end{array}$$

for all $n, n \geq n_0$.

— b]

steps to calculate θ for a program (28)

- ① Break the program into smaller segments.
- ② Find all types of inputs and calculate the no. of operations they take to be executed. Make sure that the input cases are equally distributed.
- ③ Find the sum of all the calculated values and divide the sum by the total no. of inputs.

let say the function of n obtained is $g(n)$ after removing all the constants, then in θ notation it is represented as $\theta(g(n))$

Eg:- $10n^2 + 4n + 2 = \theta(n^2)$

$$f(n) = 10n^2 + 4n + 2$$

$$g(n) = n^2$$

$$C_1 \times n^2 \leq 10n^2 + 4n + 2 \leq C_2 \times n^2$$

$$10n^2 \leq 10n^2 + 4n + 2 \leq 11n^2$$

$$n=1 \quad 10 \leq 16 \leq 11 \times$$

$$n=2 \quad 40 \leq 50 \leq 44 \times$$

$$n=3 \quad 90 \leq 104 \leq 99 \times$$

$$n=4 \quad 160 \leq 178 \leq 176 \times$$

$$n=5 \quad 250 \leq 272 \leq 275 \quad \checkmark$$

$$\underline{\underline{n \geq 5}}$$

Little "oh" notation:- The function $f(n) = o(g(n))$ (29)

iff $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

Little omega notation (ω) :- The function $f(n) = \omega(g(n))$

iff $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$

Asymptotic notation used to find the time complexity

Statement	sle	frequency	Total steps = sle * frequency
Algorithm sum(arr)	0	0	$\theta(0)$
{	0	0	$\theta(0)$
$s := 0; 0;$	1	1	$\theta(1)$
for $i := 1$ to n do	1	$n+1$	$\theta(n+1)$
$s := s + a[i];$	1	n	$\theta(n)$
returns;	1	1	$\theta(1)$
}	0	0	$\theta(0)$

As per Asymptotic notation constant values are neglected. $\therefore \theta(0), \theta(1)$ are neglected

$$= \theta(n) + \theta(n+1) + \theta(1) + \theta(1)$$

(30)

$$= \theta(n) + \theta(n) + \theta(1) \quad [\because \theta(1) \text{ are neglected}]$$

$$= \theta(n) + \theta(n) \quad [\because \theta(1) \text{ are neglected}]$$

$$= 2\theta(n) \quad [\because 2 \text{ is constant so neglected}]$$

$$= \underline{\underline{\theta(n)}}$$

Statement	Sl no	Frequency	Total steps
Algorithm Add (a, b, c, m, n)	0	$\theta(1)$	$\theta(1)$
{	0	$\theta(1)$	$\theta(1)$
for i := 1 to m do	1	m+1	$\theta(m+1)$
for j := 1 to n do	1	m(n+1)	$\theta(mn+m)$
c[i,j] := a[i,j] + b[i,j];	1	mn	$\theta(mn)$
}	0	$\theta(1)$	$\theta(1)$
y			

$$= \theta(m+1) + \theta(mn+m) + \theta(mn)$$

$$= \theta(m) + \theta(1) + \theta(mn) + \theta(m) + \theta(mn)$$

$$= 2\theta(m) + 2\theta(mn)$$

$[\because \theta(1) \text{ is neglected}]$

$$= \theta(m) + \theta(mn)$$

$[\because 2 \text{ is constant neglected}]$

$$\boxed{= \theta(mn)}$$

$[\because mn \gg m]$

Statement	sle	Frequency	Total steps (31)
Algorithm mul(a, b, c, m, n)	0	0	$O(0)$
{	0	0	$O(0)$
for i := 1 to m do	1	$m+1$	$O(m+1)$
for j := 1 to p do	1	$m(p+1)$	$O(mp+m)$
c[i, j] := 0;	1	mp	$O(mp)$
for k := 1 to n do	1	$mp(n+1)$	$O(mpn+mp)$
c[i, j] := c[i, j] + a[i, k] * b[k, j];	1	mpn	$O(mpn)$
}	0	0	

$$= O(m+1) + O(mp+m) + O(mp) + O(mpn+mp)$$

$$= O(m) + O(1) + O(mp) + O(m) + O(mp) + O(mpn) + O(mp) + O(mpn)$$

$$= 2O(m) + 3O(mp) + 2O(mpn) \left[\because O(1) \text{ is neglected} \right]$$

$$= O(m) + O(mp) + O(mpn) \left[\because 2 \text{ is neglected constant} \right]$$

$$= \underline{\underline{O(mpn)}}$$

$$\left[\because mpn > mp > m \right]$$

$$\left[\because mpn \text{ is dominating} \right]$$

