

Effectiveness of Coupled Mode Theory: Variants, Accuracy, & Examples

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YC '21

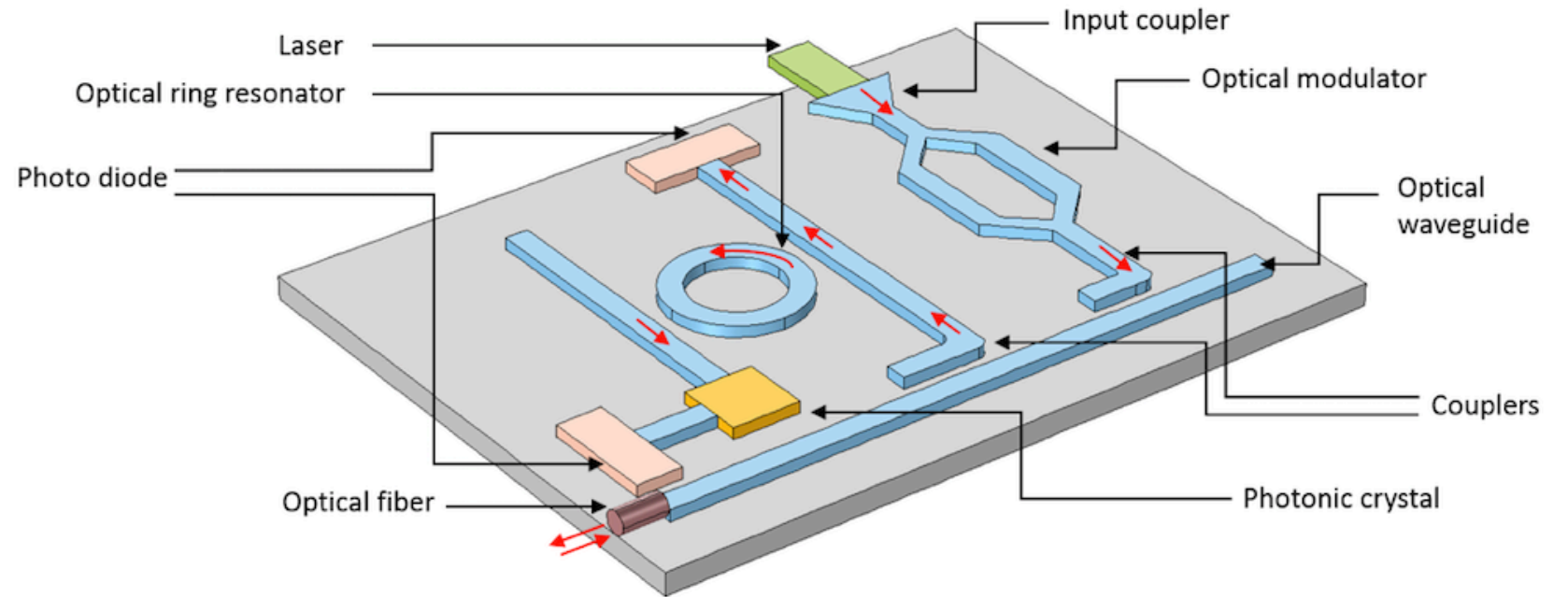
APHY 607

Overview

1. Background & History
2. Conventional CMT
3. CMT in Scattering Problems
4. QCMT: examples & accuracy

Motivation

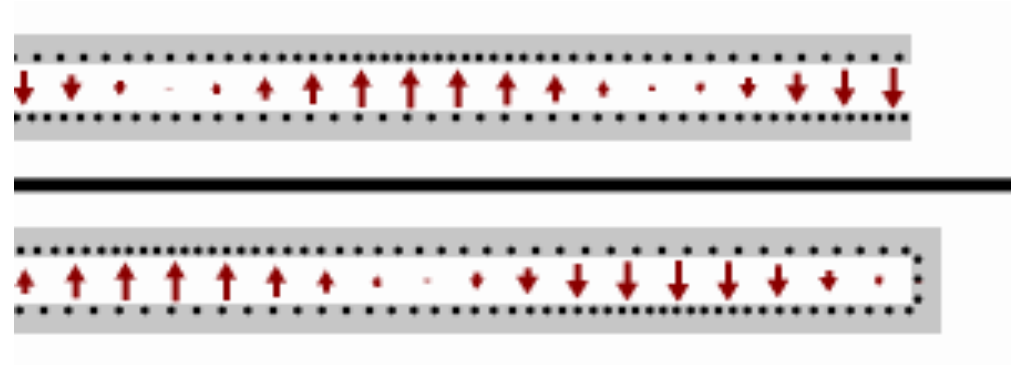
Integrated Photonic
Devices: Confine Light in
Small Dimensions



History & Background

- Early work: microwave transmission lines
- Linear Superposition of uncoupled system

$$P^{\omega}(z) = \sum_m^n P_m^{\omega}(z) \sim \sum_m^n |a_m^{\omega}(z)|^2$$

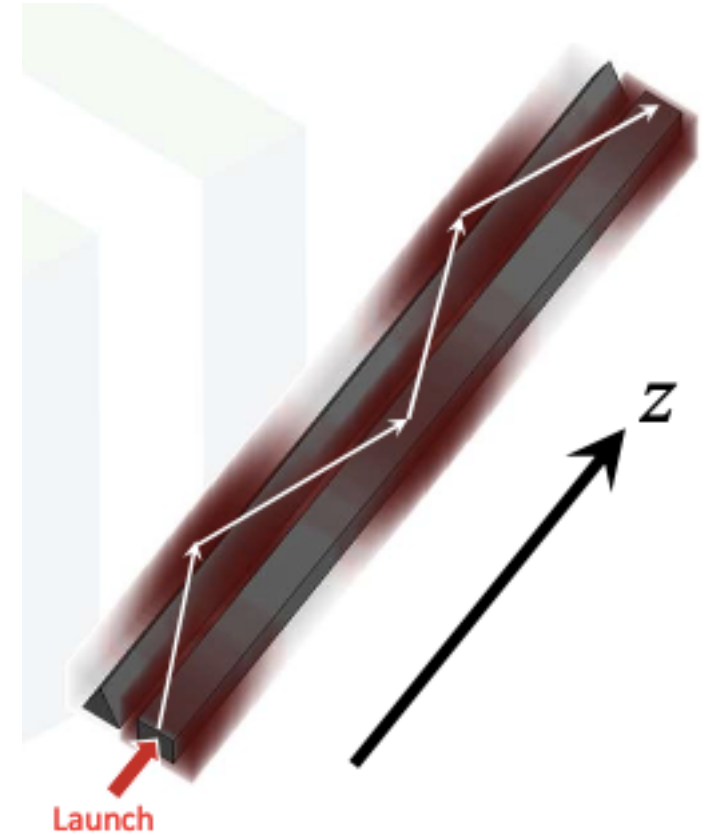


Modes and Coupling

- Example: two waveguides in close proximity \rightarrow periodic exchange of power

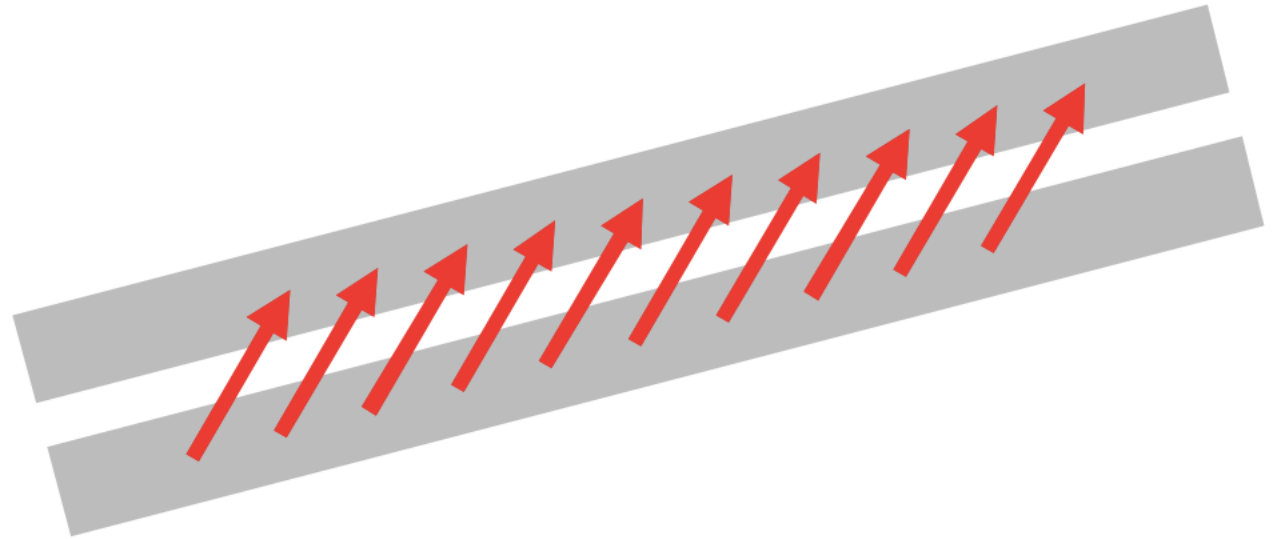
$$\mathbf{E} = A(z)\mathbf{E}_1 + B(z)\mathbf{E}_2$$

$$\mathbf{H} = A(z)\mathbf{H}_1 + B(z)\mathbf{H}_2$$



Coupling of Modes in Space

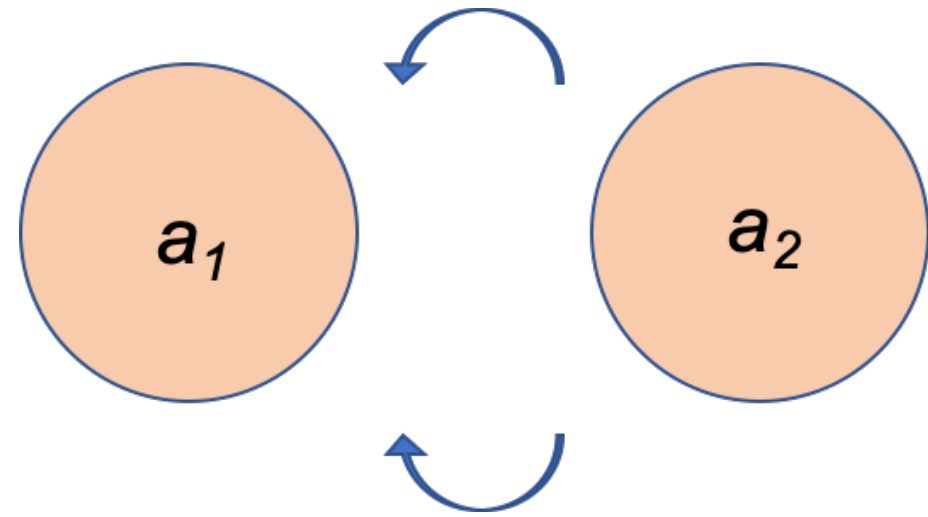
$$\kappa_{pq} = \frac{\omega \epsilon_0 \int_{-inf}^{inf} \int_{-inf}^{inf} (\epsilon_r - \epsilon_r, q) \mathbf{E}_p^* \mathbf{E}_q dx dy}{\int_{-inf}^{inf} \int_{-inf}^{inf} \hat{z} (\mathbf{E}_p^* \times \mathbf{H}_p + \mathbf{E}_p \times \mathbf{H}_p^*) dx dy}$$



Coupling of Modes in Time

$$\frac{da_1}{dt} = j\omega_1 a_1 + j\kappa_1 2a_2$$

$$\frac{da_2}{dt} = j\omega_1 a_2 + j\kappa_1 2a_1$$



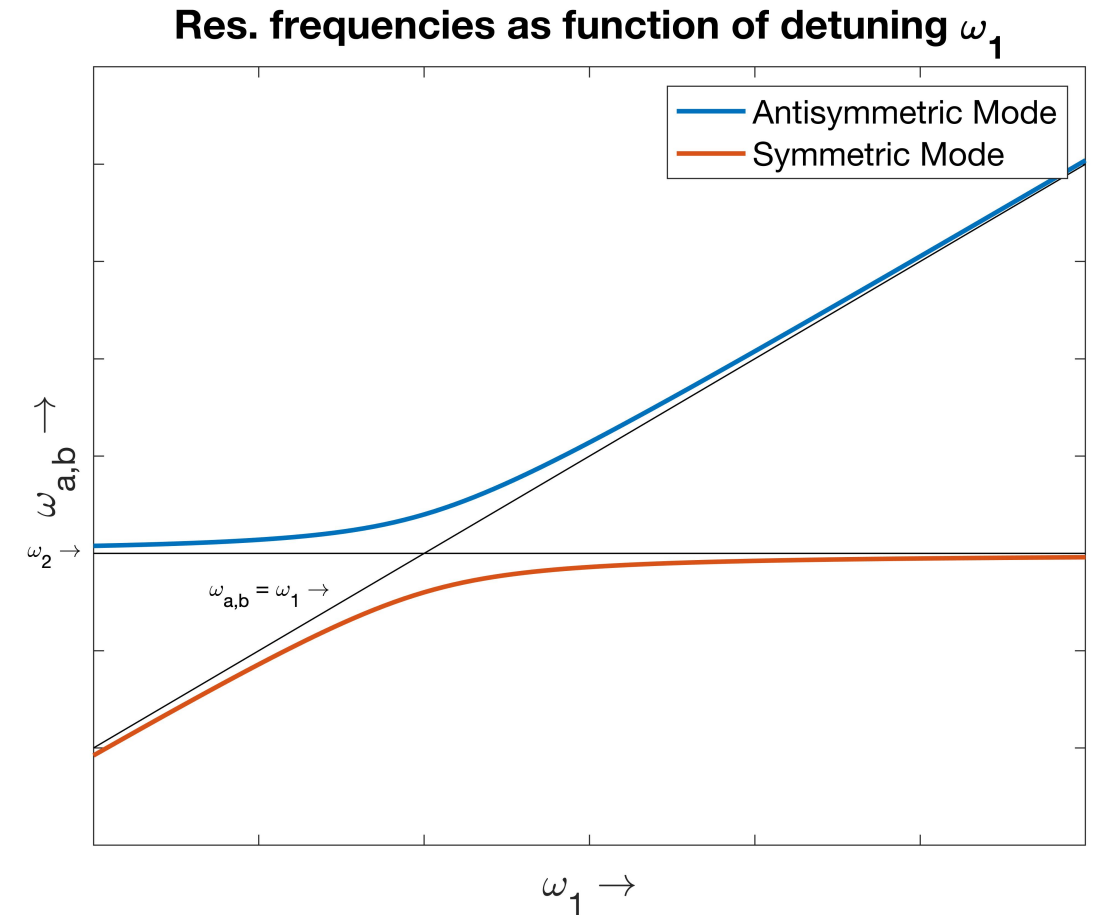
Lossless Energy Orthogonal Modes

Normalize power:

$$|a_1|^2 + |a_2|^2 = E_{tot}$$

Frequency Splitting:

$$\omega = \frac{\omega_1 + \omega_2}{2} \pm \sqrt{\left(\frac{\omega_1 - \omega_2}{2}\right)^2 + |\kappa|^2}$$



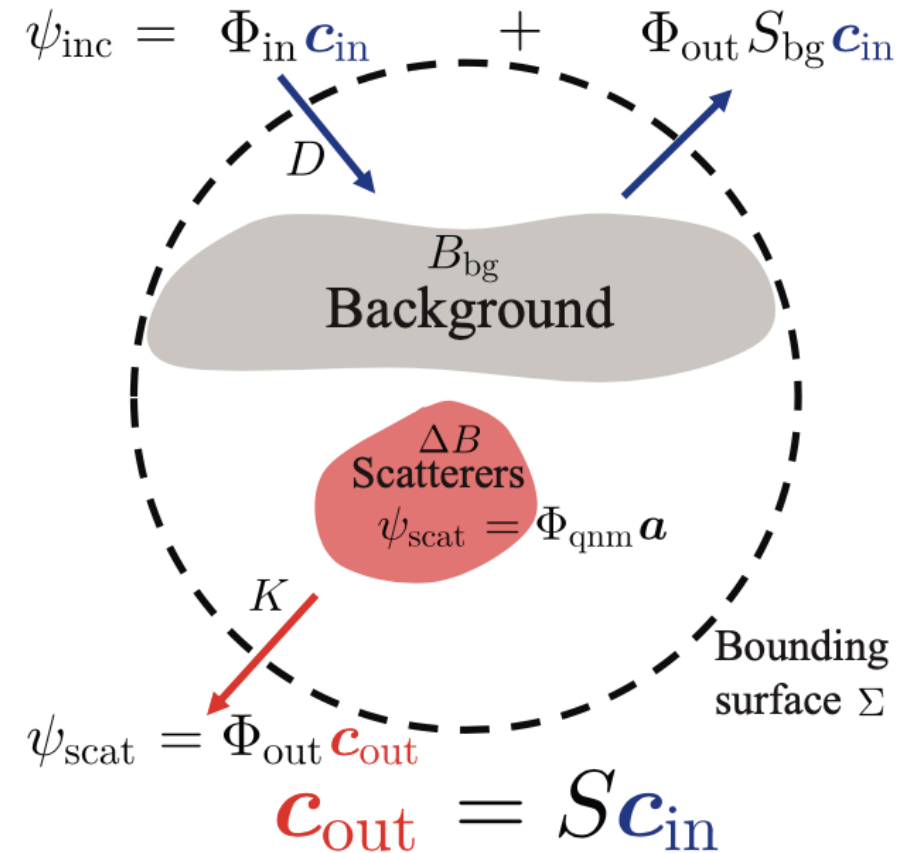
CMT Applied to Scattering Problem

$$i(\Omega - \omega)\mathbf{a} = D^T \mathbf{c}_{in}$$

$$\mathbf{c}_{out} = S_{bg} \mathbf{c}_{in} + K \mathbf{a}$$

Scattering Response:

$$S = S_{bg} - iK(\Omega - \omega)^{-1} D^T$$

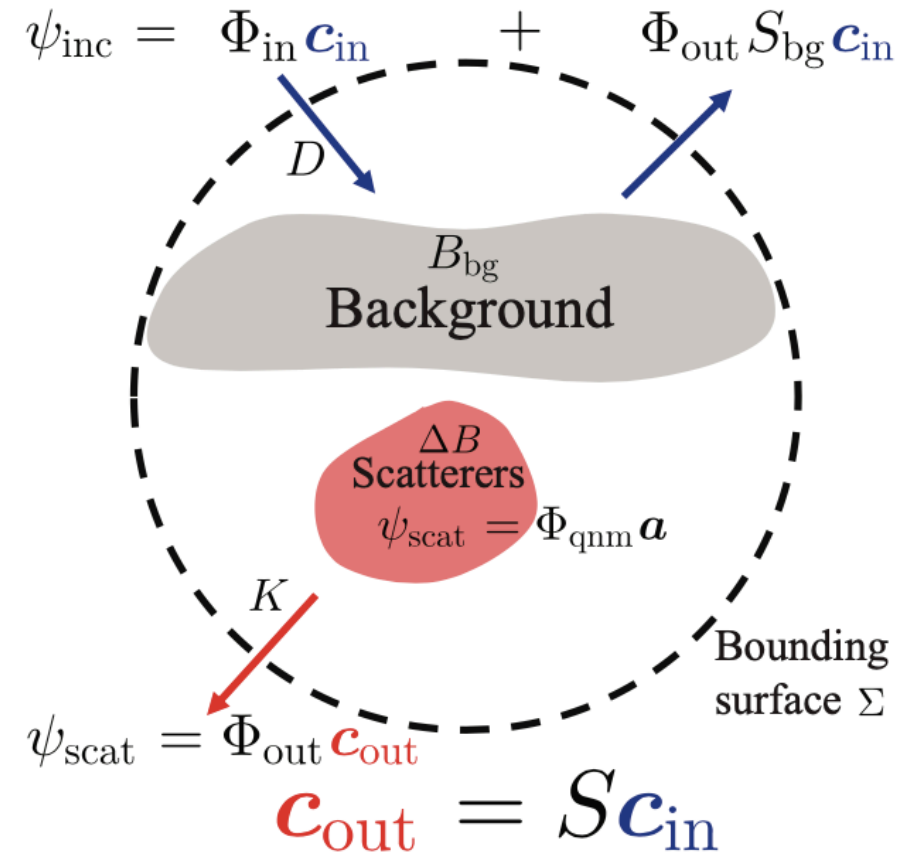


CMT Applied to Scattering Problem

~~$$i(\Omega - \omega)\mathbf{a} = D^T \mathbf{c}_{in}$$

$$\mathbf{c}_{out} = S_{bg} \mathbf{c}_{in} + K \mathbf{a}$$~~

Fails at complex
resonant response or
high-symmetry
scatterer



CMT Applied to Scattering Problem

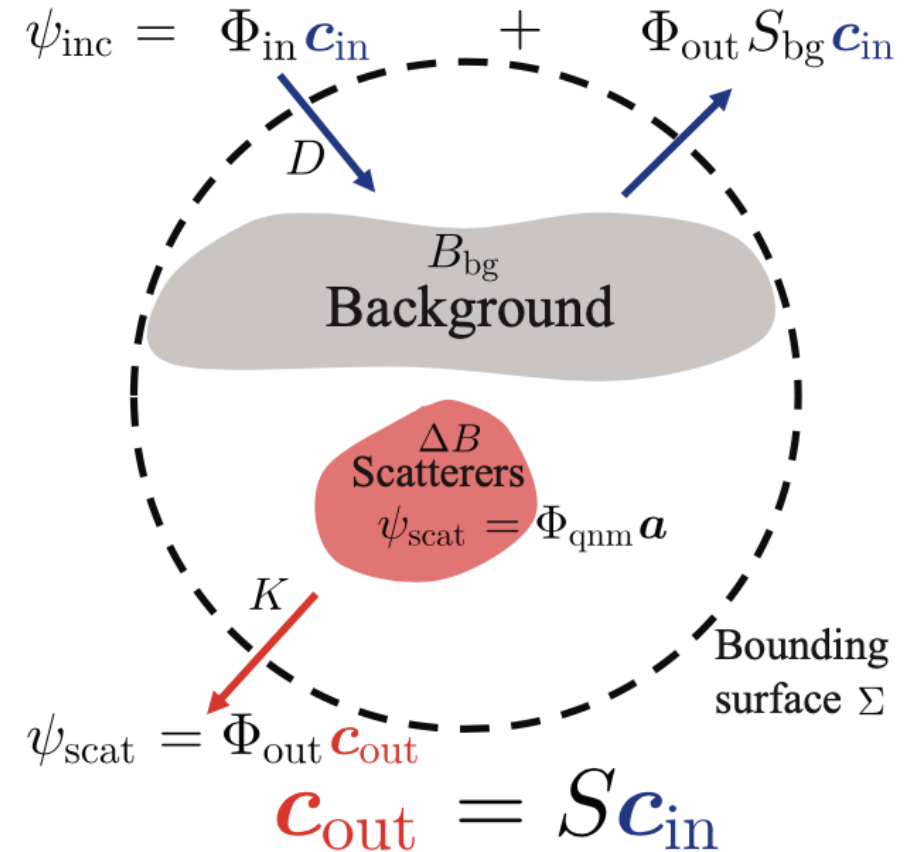
Separate into incident and scattered fields:

$$\psi = \psi_{inc} + \psi_{scat}$$

Solve for Quasinormal Modes (QNMs)

$$\Theta \psi_{R,m} = j\tilde{\omega}_m B(\tilde{\omega}_m) \psi_{R,m}$$

$$\Theta \psi_{L,n} = j\tilde{\omega}_n B^T(\tilde{\omega}_n) \psi_{L,n}$$



CMT Applied to Scattering Problem

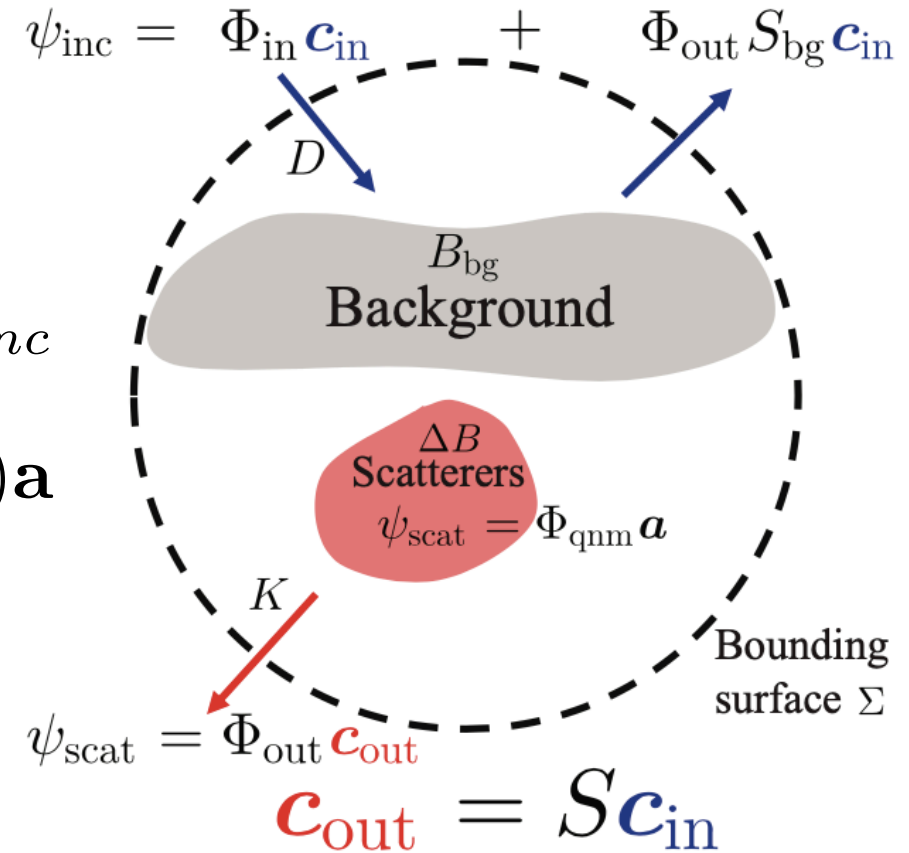
QCMT Equations:

$$i(\Omega - \omega)\mathbf{a} = D^T(\omega)\mathbf{c}_{in}$$

$$\mathbf{c}_{out} = [S_{bg} + \frac{1}{4\alpha\beta^*}i\omega(\Phi_{inc}^{TR}, \Delta B\Phi_{inc})]\mathbf{c}_{inc} + K(\omega)\mathbf{a}$$

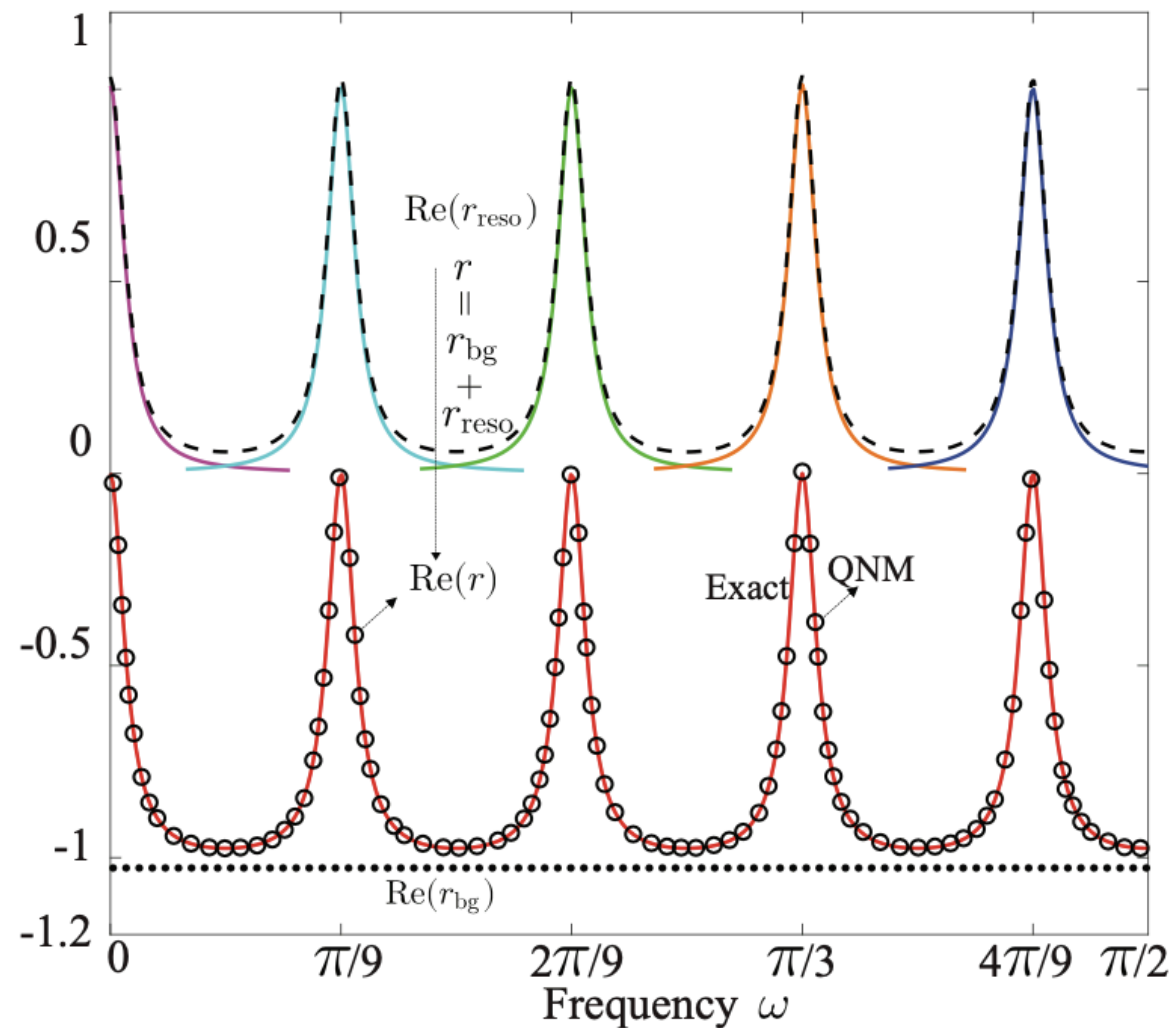
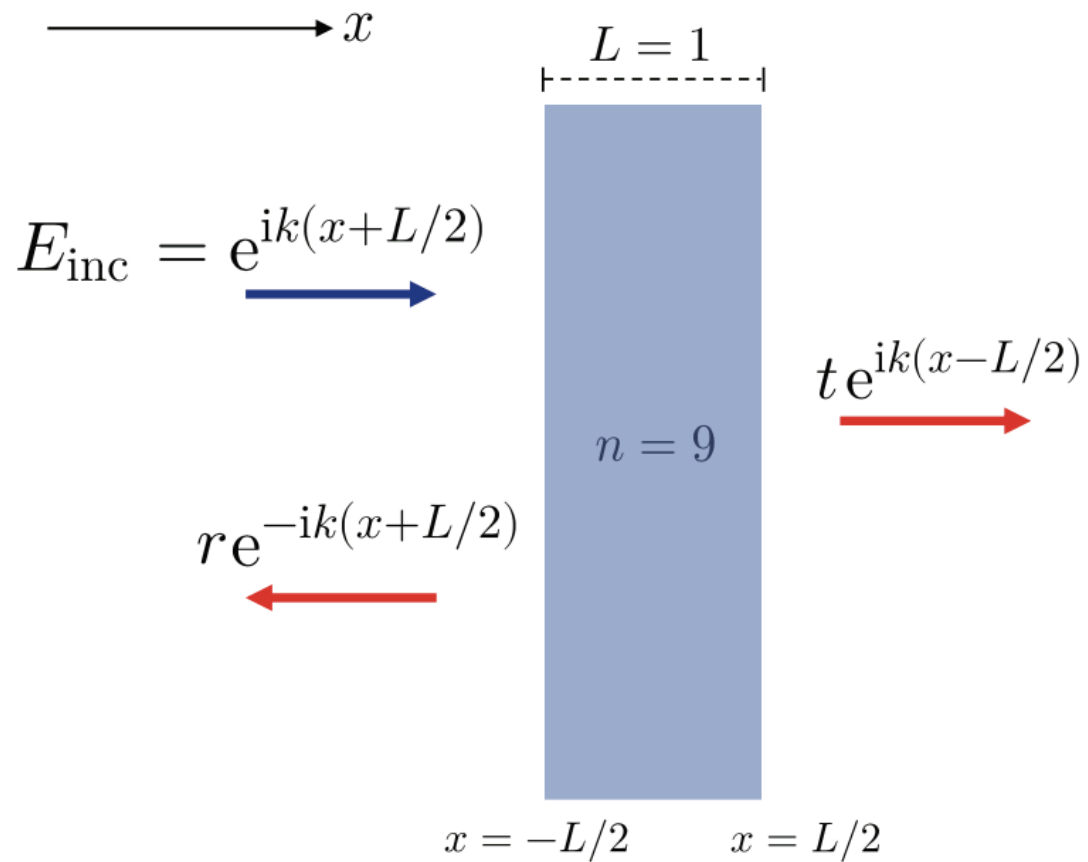
Scattering Response:

$$S = \overbrace{S_{bg} + H(\omega)}^{\text{background}} + \underbrace{i\tilde{K}\Omega^{-1}\tilde{D}^T - i\tilde{K}(\Omega - \omega)^{-1}\tilde{D}^T}_{\text{resonance}}$$



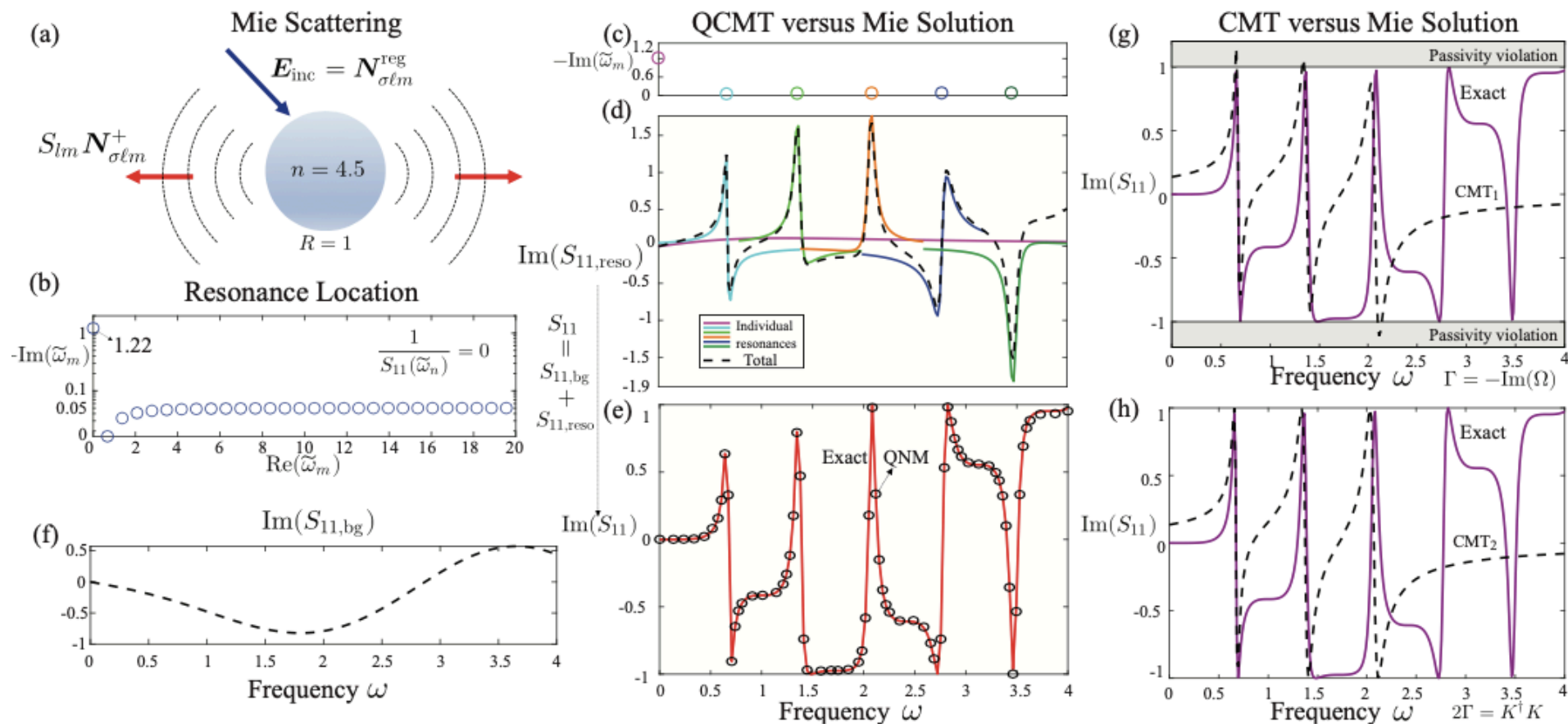
Zhang and Miller (2020)

Example: FP Scattering



Zhang and Miller (2020)

Example: Mie Scattering



Conventional CMT vs QCMT

- QCMT \rightarrow CMT when:
 - Small background term
 - Coupling is nearly frequency-independent

Conventional CMT vs QCMT

$$\frac{d}{dt}\mathbf{a}(t) = -i\Omega\mathbf{a}(t) + D^T\mathbf{c}_{in}(t)$$

$$\mathbf{c}_{out}(t) = S_{bg}\mathbf{c}_{in}(t) + K\mathbf{a}(t)$$

← Conventional, closed system

Quasinormal,
open system



$$\begin{aligned}\frac{d}{dt}\mathbf{a}(t) &= -i\Omega\mathbf{a}(t) + \int D^T(t-t')\mathbf{c}_{in}(t-t')dt' \\ \mathbf{c}_{out}(t) &= \int [S_{bg}(t-t') + E(t-t')]\mathbf{c}_{in}(t')dt' \\ &\quad + \int K(t-t')\mathbf{a}(t')dt'\end{aligned}$$

References

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- [3] Philip Trost Kristensen et al. “On the Theory of Coupled Modes in Optical Cavity-Waveguide Structures”. In: Journal of Lightwave Technology 35.19 (Oct. 1, 2017). Number: 19, pp. 4247– 4259. issn: 0733-8724, 1558-2213. doi: 10.1109/JLT.2017.2714263. url: <http://ieeexplore.ieee.org/document/7945525/> (visited on 04/17/2021).
- [4] Hanwen Zhang and Owen D. Miller. “Quasinormal Coupled Mode Theory”. In: arXiv:2010.08650 [physics] (Oct. 20, 2020). arXiv: 2010.08650. url: <http://arxiv.org/abs/2010.08650> (visited on 05/10/2021).
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