به نام خدا

# Explainable Security for Relational Databases

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#### Reference

Bender, Gabriel, Lucja Kot, and Johannes Gehrke. "Explainable security for relational databases." *Proceedings of the 2014 ACM SIGMOD international conference on Management of data*. ACM, 2014.

#### **Outline**

- Introduction
- Goal
- Database and Security Views
- Disclosure Control System
- Policy Function
- Disclosure Orders
- Disclosure Lattice
- Policy Formulas
- Explanations
- Disclosure Control In Practice

### Goal

- A novel security model
- Formal Explanation for security decisions
- Query is accepted or denied? Why?

## **Database & Security Views**

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UID	Name	Hobby
1	Babbage, Charles	$\operatorname{math}$
2	Church, Alonzo	$\operatorname{math}$
3	Lovelace, Ada	$\operatorname{music}$
4	Turing, Alan	chess
5	von Neumann, John	history

Friend

UID1	UID2
1	3
3	1
2	4
4	2
4	5
5	4

```
CREATE VIEW V1 AS

(SELECT name, hobby FROM User WHERE uid = 1);

CREATE VIEW V2 AS

(SELECT name FROM User WHERE uid = 1);

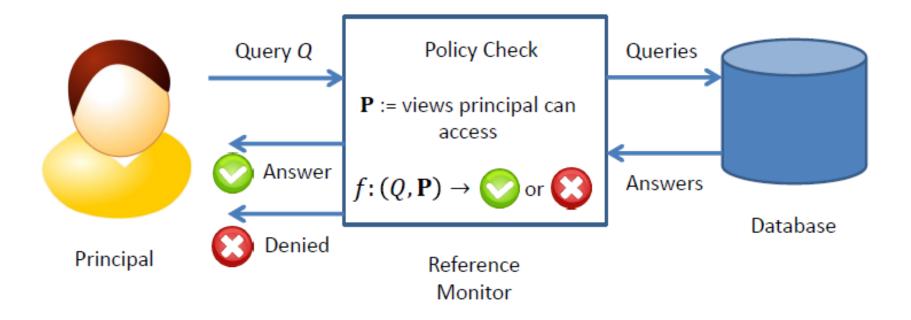
CREATE VIEW V3 AS

(SELECT U.uid, U.name FROM User U, Friend F

WHERE U.uid = F.uid2 AND F.uid1 = 1);
```

Written in Facebook Query Language

## Disclosure Control System



## **Policy Function**

Variety of Policy Function:

- Database-independent
- Database-dependent

- Not-data-derived
- Data-derived

## Example of not-data-derived

App has been granted to access V2 but not V1:

SELECT name FROM V1;

SELECT name FROM V2;

#### **Two Different Semantics**

In both relations and query answers:

Set Semantics : No duplicates

• Bag Semantics: Can contain duplicate

### Query Language: Conjunctive Query under bag Semantics

▶ H :- B

- H (Head of query) is a relational atom.
- ▶ B (Body of query) is conjunction of relational atoms.

Atom is constant or variable.

## Example

```
CREATE VIEW V1 AS
  (SELECT name, hobby FROM User WHERE uid = 1);
CREATE VIEW V2 AS
  (SELECT name FROM User WHERE uid = 1);
CREATE VIEW V3 AS
  (SELECT U.uid, U.name FROM User U, Friend F
   WHERE U.uid = F.uid2 AND F.uid1 = 1);
V_1(n,h) := U(1,n,h)
   V_2(n) := U(1, n, h)
V_3(u,n) := U(u,n,h), F(1,u)
```

#### **Disclosure Orders**

 Some set of views (V') reveal more information about data set than others (V).

Definition 1. A disclosure order is a binary relation on subsets of  $\mathcal{U}$  such that

- (i) If  $\mathbf{V} \subseteq \mathbf{V}'$  then  $\mathbf{V} \preceq \mathbf{V}'$ .
- (ii) If  $\mathbf{V} \leq \mathbf{V}'$  and  $\mathbf{V}' \leq \mathbf{V}''$  then  $\mathbf{V} \leq \mathbf{V}''$ .
- (iii) If  $\mathbf{V} \leq \mathbf{V}''$  and  $\mathbf{V}' \leq \mathbf{V}''$  then  $\mathbf{V} \cup \mathbf{V}' \leq \mathbf{V}'$ .

## Example

- (i) **Set containment**:  $\mathbf{V} \leq \mathbf{V}'$  if and only if  $\mathbf{V} \subseteq \mathbf{V}'$ .
- (ii) View determinacy:  $\mathbf{V} \leq \mathbf{V}'$  if and only if the answers to the views in  $\mathbf{V}'$  uniquely determine the answers to the views in  $\mathbf{V}$  on every possible dataset.
- (iii) View rewriting:  $\mathbf{V} \leq \mathbf{V}'$  if and only if every view in  $\mathbf{V}$  has a rewriting using  $\mathbf{V}'$ .

## Disclosure Orders to Disclosure Lattice Extension

We would like sets of views to form a lattice where the greatest lower bound (GLB) of  $\mathbf{V}$  and  $\mathbf{V}'$  (denoted  $\mathbf{V} \sqcap \mathbf{V}'$ ) represents the information that is common to the two sets and the least upper bound (LUB) of  $\mathbf{V}$  and  $\mathbf{V}'$  (denoted  $\mathbf{V} \sqcup \mathbf{V}'$ ) represents the combined information from the two sets.

## **Lattice Property**

- Reflexivity
- Transitivity
- Not Antisymmetric!!!

$$(\Downarrow \mathbf{V}) = \{V \in \mathcal{U} : \{V\} \leq \mathbf{V}\}$$

#### **Theorem**

THEOREM 1. Let  $\mathcal{U}$  be a set of views, and let  $\leq$  be a disclosure order for  $\mathcal{U}$ . Define  $\mathcal{I} = \{ \psi \ \mathbf{V} : \mathbf{V} \subseteq \mathcal{U} \}$ . Then  $(\mathcal{I}, \leq)$  is a lattice; details are as follows:

- $LUB: (\Downarrow \mathbf{V}) \sqcup (\Downarrow \mathbf{V}') = \Downarrow (\mathbf{V} \cup \mathbf{V}').$
- $GLB: (\Downarrow \mathbf{V}) \sqcap (\Downarrow \mathbf{V}') = (\Downarrow \mathbf{V}) \cap (\Downarrow \mathbf{V}').$
- Top element  $T = (\Downarrow \mathcal{U}) = \mathcal{U}$ , bottom element  $\bot = (\Downarrow \emptyset)$ .

## **Policy Function**

Data-derived policy functions can be expressed in terms of disclosure lattices.

$$f(\mathbf{Q}; \mathbf{P}) = 1$$
 if and only if  $\{\mathbf{Q}\} \leq \mathbf{P}$ 

Where **P** is subset of security views.

$$\Downarrow \{Q\} \ \preceq \ \Downarrow \mathbf{P}$$

## **Policy Formulas**

Each view in V roughly corresponds to a single permission that a principal may or may not be granted.

$$\tau ::= 0 \mid 1 \mid V \mid (\tau \vee \tau) \mid (\tau \wedge \tau)$$

▶  $\mathbf{P} \vdash \varphi$  if and only if  $f(\mathbf{Q}; \mathbf{P}) = 1$ 

Means that  $\varphi$  is provable from **P** 

## **Examples**

$$\phi = V1$$

$$\phi = V1 \vee V2$$

$$\phi = V1 \wedge V3$$

$$V2 \vdash V1 \lor V2$$

## What φ tells?

• φ tells us precisely which combinations of the security views contain enough information to uniquely determine the answer to Q.

#### Rules of Reference Monitor

 $\mathbf{P} \vdash 1 \text{ and } \mathbf{P} \not\vdash 0$   $\mathbf{P} \vdash V \text{ if and only if } V \in \mathbf{P}$   $\mathbf{P} \vdash (\varphi \lor \psi) \text{ if and only if } \mathbf{P} \vdash \varphi \text{ or } \mathbf{P} \vdash \psi$  $\mathbf{P} \vdash (\varphi \land \psi) \text{ if and only if } \mathbf{P} \vdash \varphi \text{ and } \mathbf{P} \vdash \psi$ 

### Generating Data-Derived Formulas

```
1: procedure POLICY(\mathbf{Q}, \mathbf{V})
     \varphi \leftarrow 1
 3: for Q \in \mathbf{Q} do
              \psi \leftarrow 0
              for V \in \mathbf{V} do
 5:
                   if \{Q\} \leq \{V\} then
 6:
                       \psi \leftarrow (\psi \lor V)
 7:
                   end if
 8:
 9:
               end for
               \varphi \leftarrow (\varphi \wedge \psi)
10:
11:
          end for
12:
          return \varphi
13: end procedure
```

### Generating Data-Derived Formulas

$$\operatorname{policy}(\mathbf{Q}) = \bigwedge_{Q \in \mathbf{Q}} \left( \bigvee_{V \in \mathbf{V}: \{Q\} \leq \{V\}} V \right)$$

## Example

For example, let  $\mathbf{Q}$  contain the queries

$$Q_{16}(1,n) := U(1,n,h)$$
  
 $Q_{17}(h) := U(u,n,h)$ 

and let V consist of the views from Figure 3. Then

$$policy({Q_{16}}) = V_9 \lor V_{10} \lor V_{11}$$
$$policy({Q_{17}}) = V_9 \lor V_{12}$$

and therefore

$$policy(\{Q_{16}, Q_{17}\}) = (V_9 \vee V_{10} \vee V_{11}) \wedge (V_9 \vee V_{12})$$

## **Explanations**

Why-so: 0 for every view in policy formula that principal has not been granted access to.

Why-not: 1 for every view in policy formula that principal has been granted access to.

## Example

$$policy({Q_{16}, Q_{17}}) = (V_9 \lor V_{10} \lor V_{11}) \land (V_9 \lor V_{12})$$

Why-so:

$$(V_9 \lor V_{10} \lor 0) \land (V_9 \lor 0) = (V_9 \lor V_{10}) \land (V_9) = V_9$$

Why-not:

$$(V_9 \lor 1 \lor 1) \land (V_9 \lor V_{12}) = 1 \land (V_9 \lor V_{12}) = (V_9 \lor V_{12})$$

#### Disclosure Control In Practice

- Reflective Policies
  - policies governing tuples in one part of database can depend on a different part of the same database
  - Frequently in Practice
    - e.g. Facebook's security policies

## Running Example

SELECT U1.uid, U1.name FROM User U1 WHERE U1.uid IN

(SELECT F1.uid2 FROM Friend F1 WHERE F1.uid1 = 1)

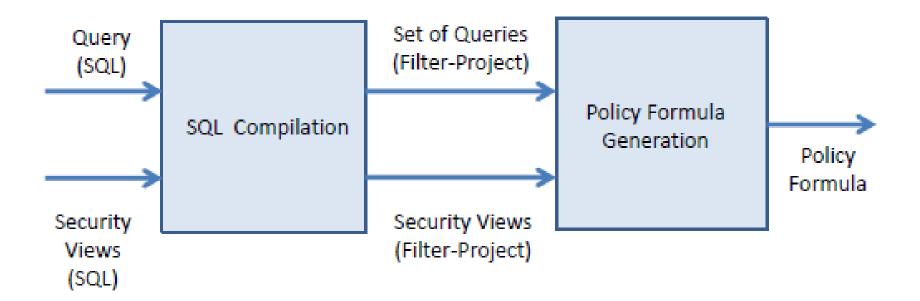
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UID	Name	Hobby
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3	Lovelace, Ada	music
4	Turing, Alan	chess
5	von Neumann, John	history

Friend

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## System Architecture



## System Architecture (cont.)

- ▶ Each Security View: Filter-Project Query
  - SELECT-FROM-WHERE query with semi-joins but not inner or outer joins

#### **Employee**

Name	Empld	DeptName
Harry	3415	Finance
Sally	2241	Sales
George	3401	Finance
Harriet	2202	Production

#### Dept

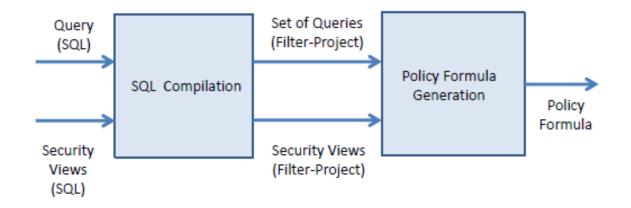
DeptName	Manager
Sales	Bob
Sales	Thomas
Production	Katie
Production	Mark

Employee ⋉ Dept

Name	Empld	DeptName
Sally	2241	Sales
Harriet	2202	Production

## System Architecture (cont.)

- Compilation is conservative
  - Policy Formula → Upper bound on the information needed to answer a query
- Database-independent
  - Policy formula and Explanations



## Filter-Project Queries

- ▶ Tables vs. Table Instances
  - Query-independent vs. FROM clauses

SELECT U1.uid, U1.name FROM User U1, Friend F1 WHERE F1.uid1 = 1 AND F1.uid2 = U1.uid

Which *columns* and *rows* in each table instance can affect the query's output?

## Filter-Project Queries (cont.)

$$H := B \ltimes F_1 \wedge F_2 \wedge \dots$$

- A head atom H
- A main body atom B
- $\triangleright$  zero or more filter atoms  $F_1, F_2, \dots$

## Filter-Project Queries (cont.)

Running Example:

SELECT U WHERE F1 
$$Q_{18}(u, n) := U(u, n, h) \ltimes F(1, u)$$

SELECT U1.uid, U1.name FROM User U1 WHERE U1.uid IN (SELECT uid2 FROM Friend WHERE uid1 = 1)

$$Q_{19}(u) := \mathtt{F}(1,u) \ltimes \mathtt{U}(u,n,h) \overset{\text{---}}{h}) \ltimes \mathtt{F}(1,u)$$

SELECT F1.uid2 FROM Friend F1 WHERE F1.uid1 = 1

AND F1.uid2 IN (SELECT uid FROM User)

## Filter-Project Queries (cont.)

Filter atoms in the query language correspond to semi-joins in standard SQL

- Order filter-project queries according to the amount of information they disclose about their main body atoms
  - RM will preform exactly one policy check for each table instance in the original SQL query
  - The universe of filter-project queries is *decomposable*

## **Query Compilation Pipeline**

Column Resolution

Aggregate Type Checking

Ordinary Type Checking

Term Initialization

Filter Query Generation

Condition Graph Construction

#### Preprocessing and Column Resolution

- Lexing and Parsing using JSqlParser
- Execution a series of passes on the *Abstract*Syntax Tree (ATS)
- Identify table instances
- Preform column resolution

#### Type Checking

Aggressive type-checking on the AST

Aggregate type-checking
 SELECT SUM(SUM(U1.uid)) FROM User U1

Ordinary type-checking
 SELECT \* FROM User U1 WHERE U1.uid IN (SELECT F1.uid1, F1.uid2 FROM Friend F1)

#### Term Initialization

- Convert the AST for a SQL query *Q* into a collection of projection queries
  - Together reveal enough information to uniquely determine the answer to Q on any possible dataset
- 1. Generate one query for each table instance in Q
- 2. Determine the *existential* and *distinguished* variables in query

$$Q_{\mathtt{U1}}(u,n) := \mathtt{U}(u,n,h)$$

$$Q_{\text{F1}}(u_1, u_2) := F(u_1, u_2)$$

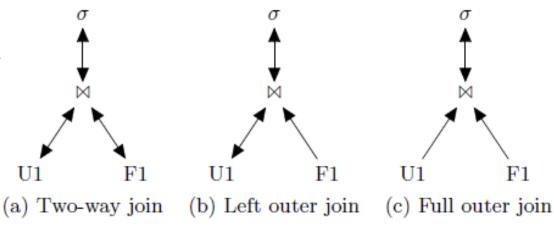
#### Generating Filter-Project Queries

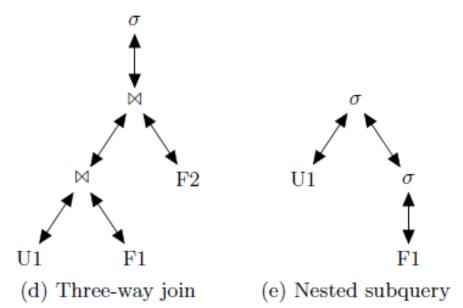
#### 3. Condition Graph

- Determine equality constraints and filter atoms to be added to the queries of the previous step
  - Reachability in the condition graph
- Similar to expression trees in the Relational Algebra

# Generating Filter-Project Queries (cont.)

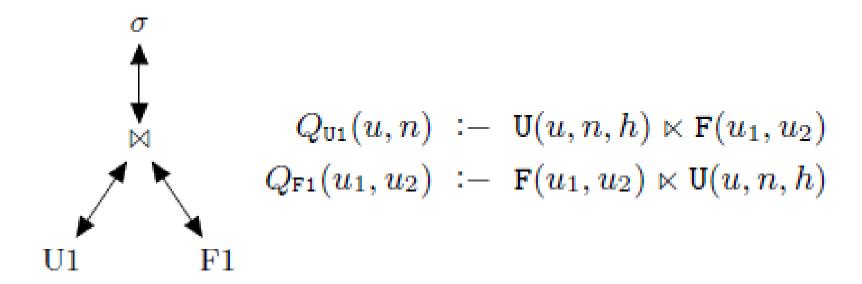
Condition Graph





#### Example

SELECT U1.uid, U1.name FROM User U1 WHERE U1.uid IN (SELECT F1.uid2 FROM Friend F1 WHERE F1.uid1 = 1)



# Generating Filter-Project Queries (cont.)

- 4. Find equality constraints to be added to the previous queries
- Finding all SELECCT nodes that are reachable from the condition graph node associated with the table instances
  - Then check WHERE and HAVING clauses

#### Example

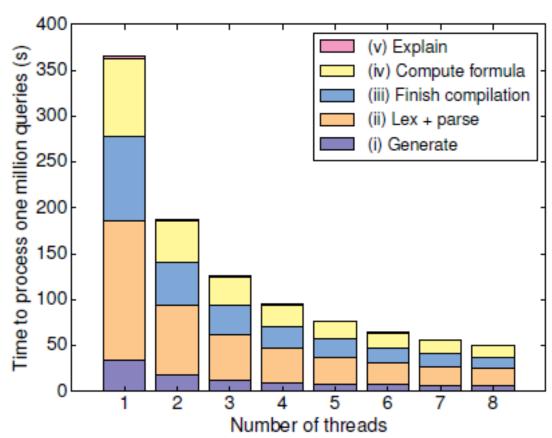
$$Q_{U1}(u, n) := U(u, n, h) \ltimes F(u_1, u_2)$$
  
 $Q_{F1}(u_1, u_2) := F(u_1, u_2) \ltimes U(u, n, h)$ 

Constraints: (F1.uid1 = 1) and (F1.uid2 = U1.uid)

$$Q_{U1}(u, n) := U(u, n, h) \ltimes F(1, u)$$
  
 $Q_{F1}(1, u_2) := F(1, u_2) \ltimes U(u_2, n, h)$ 

#### **Experimental Evaluation**

- Performance for ordinary queries
- Nearly linearly with the number of cores



### **Any Questions?**



