

# **Introduction to Deep Learning**

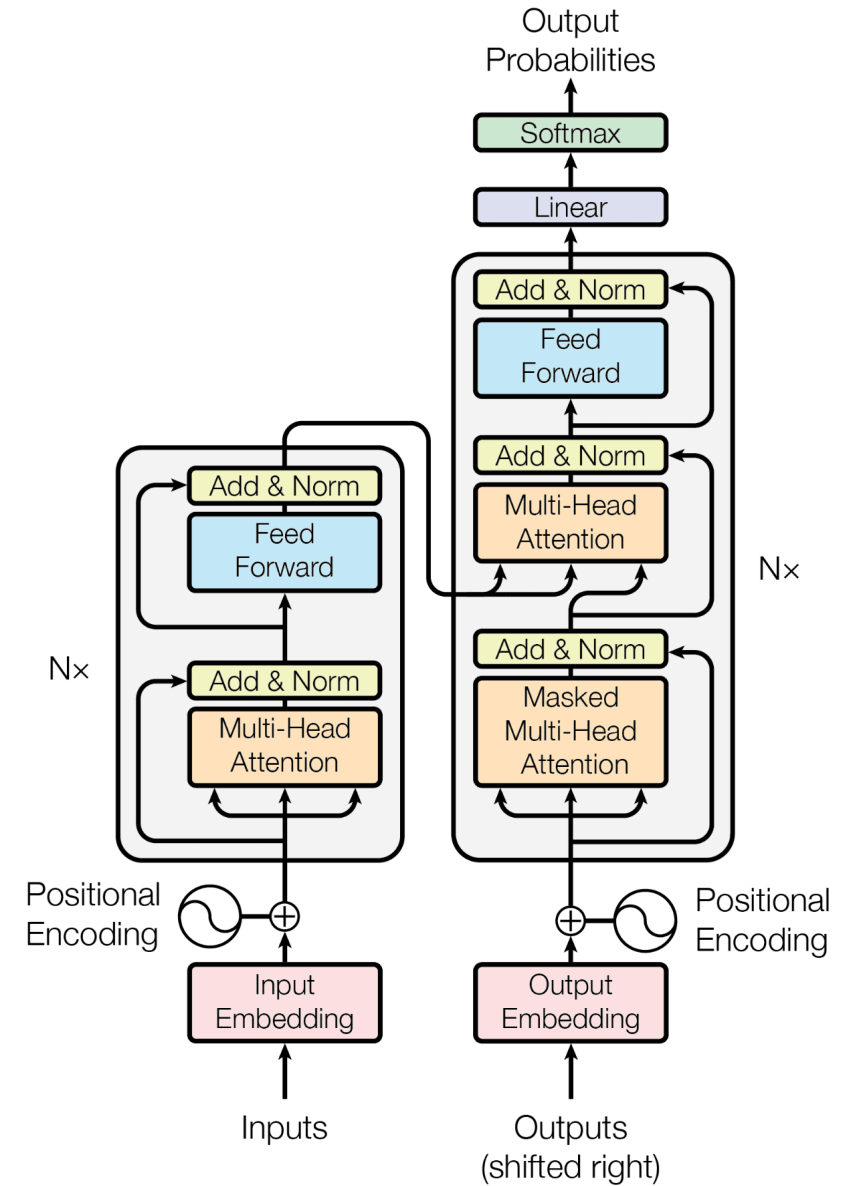
## **Transformers**

**Shikhar Agnihotri**

**Liangze Li**

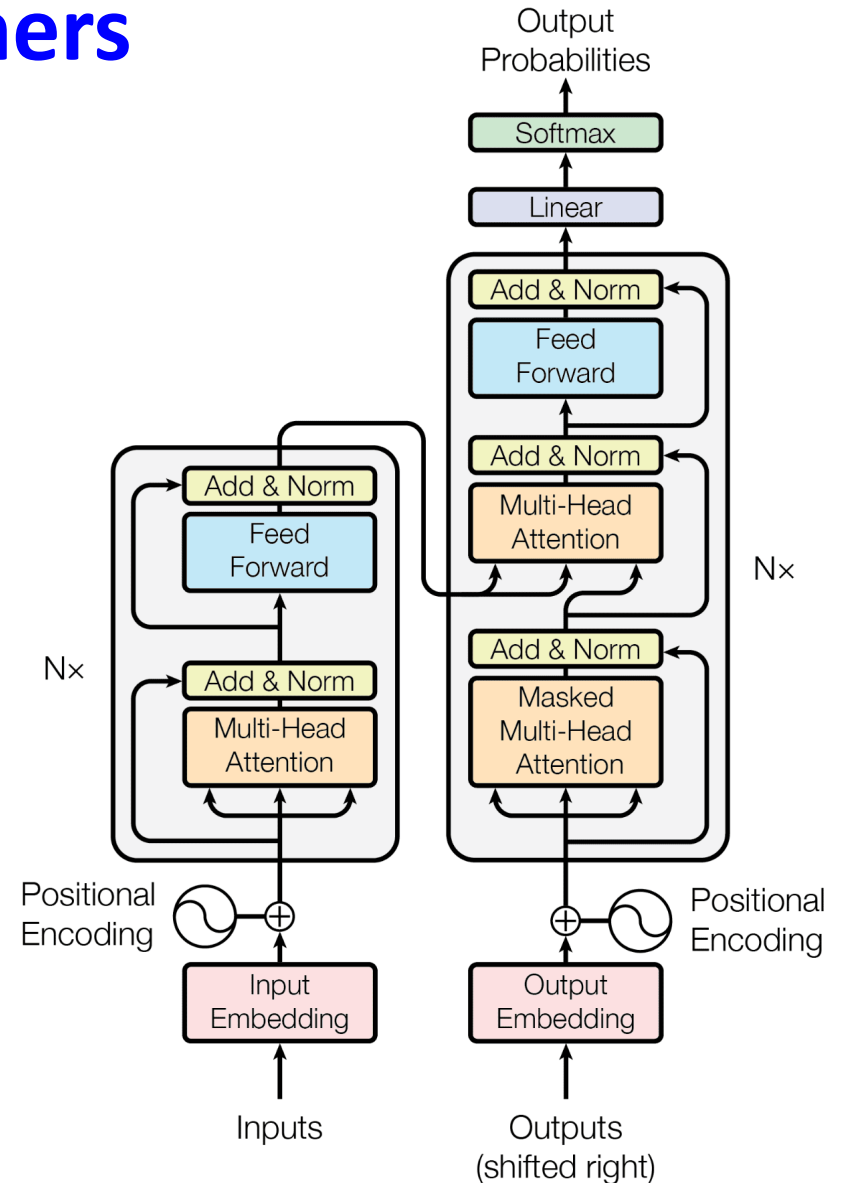
**11-785, Fall 2023**

# Transformers



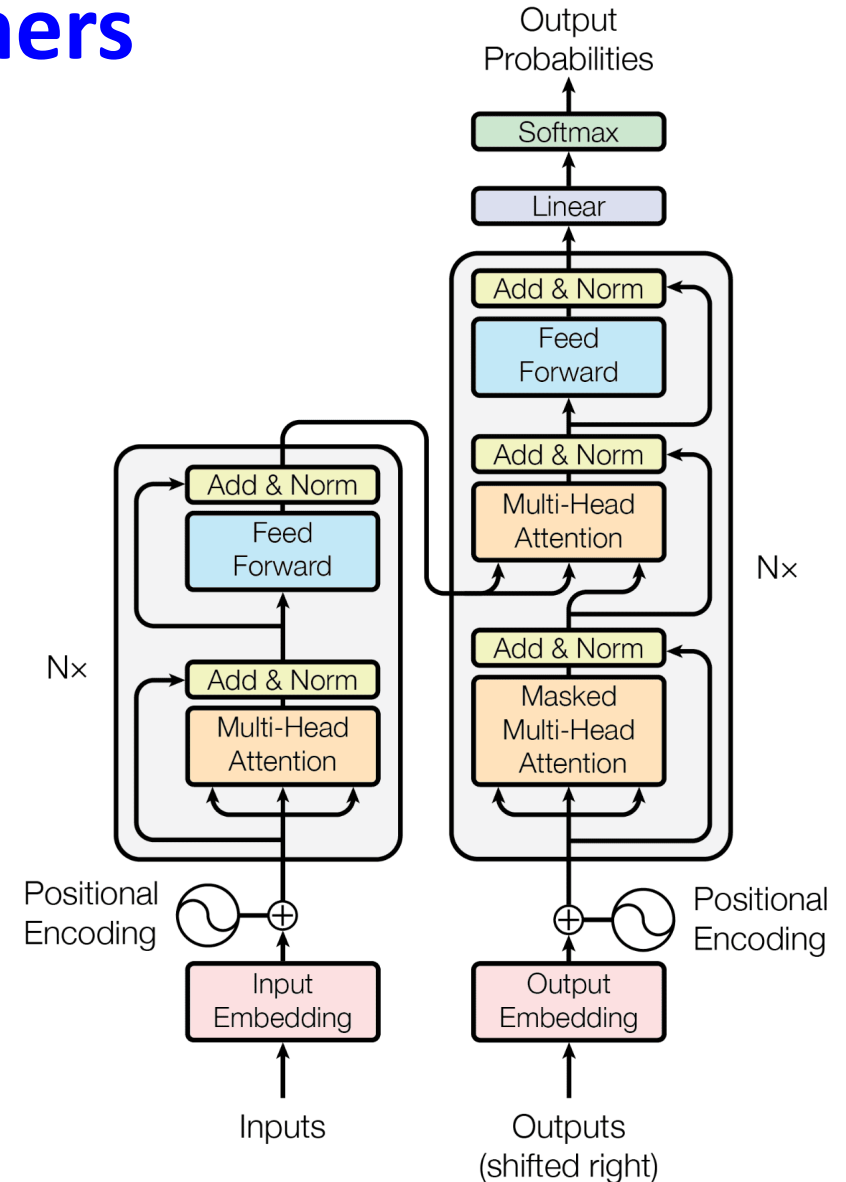
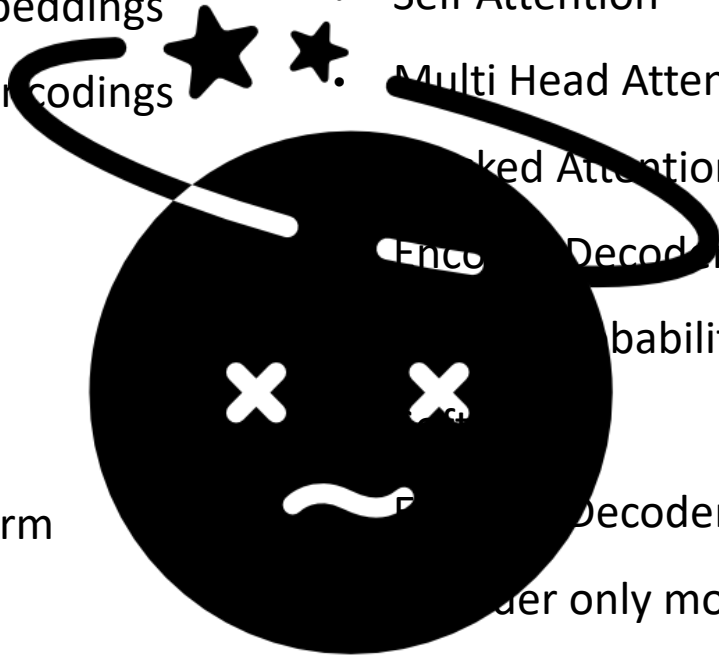
# Transformers

- Tokenization
- Input Embeddings
- Position Encodings
- Residuals
- Query
- Key
- Value
- Add & Norm
- Encoder
- Decoder
- Attention
- Self Attention
- Multi Head Attention
- Masked Attention
- Encoder Decoder Attention
- Output Probabilities / Logits
- Softmax
- Encoder-Decoder models
- Decoder only models



# Transformers

- Tokenization
- Input Embeddings
- Position Encodings
- Residuals
- Query
- Key
- Value
- Add & Norm
- Encoder
- Decoder
- Attention
- Self Attention
- Multi Head Attention
- Encoder Attention
- Decoder Attention
- Probabilities / Logits
- Encoder only models
- Decoder models

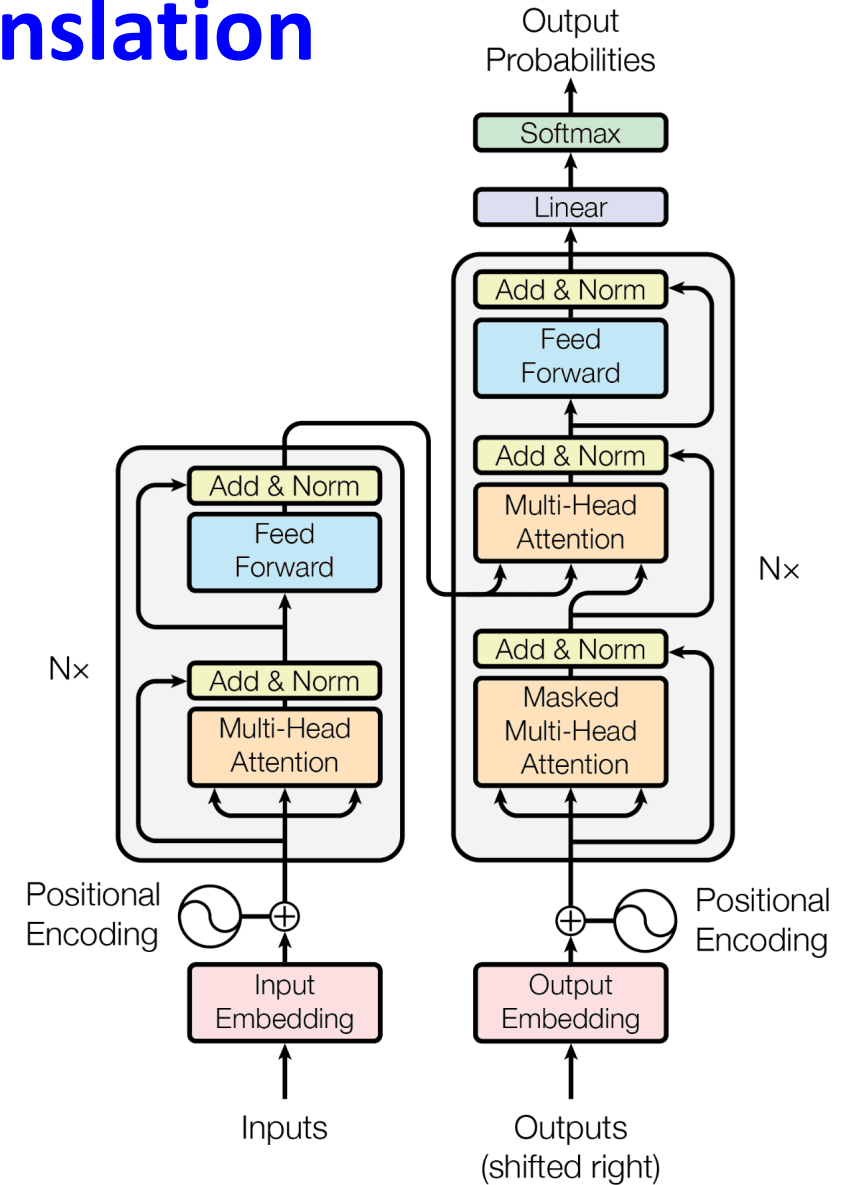


# Machine Translation

**Targets**  
Ich have einen apfel gegessen

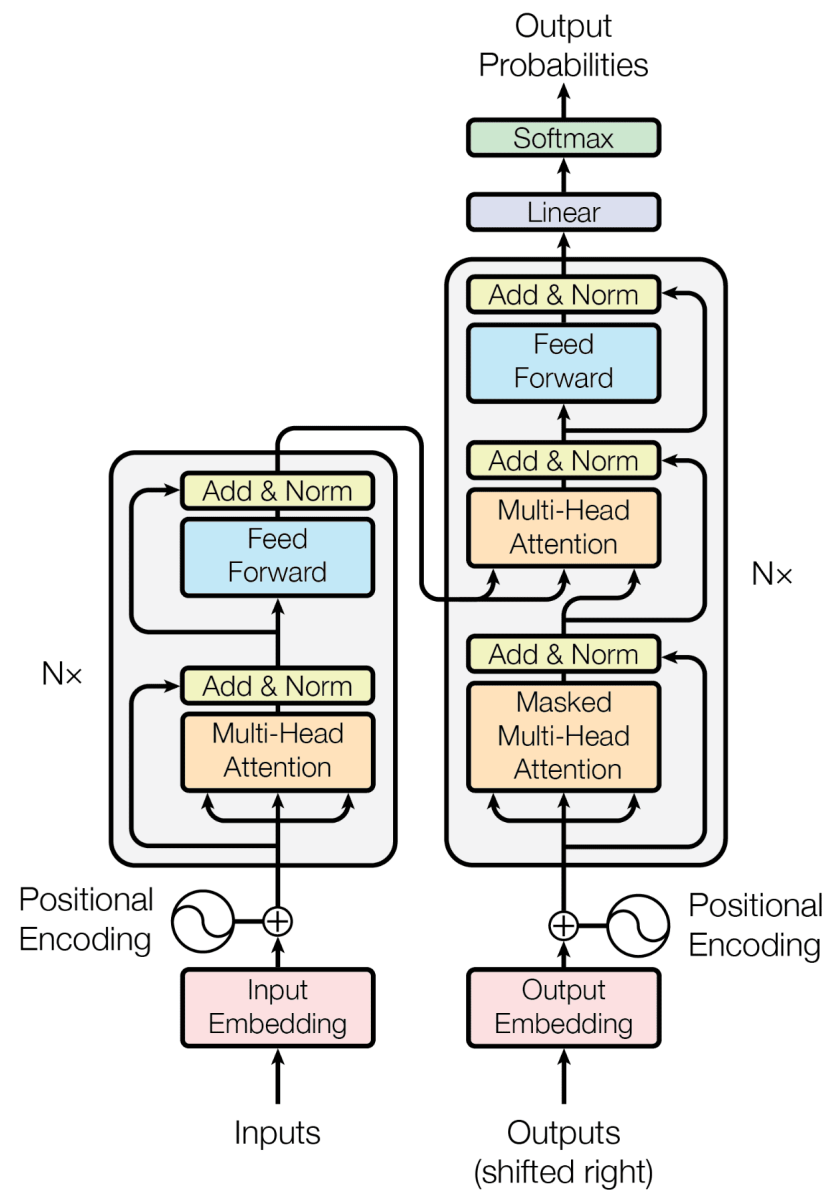
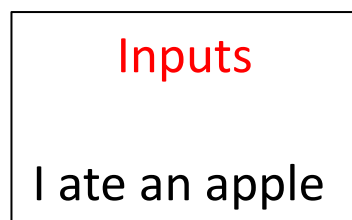


**Inputs**  
I ate an apple

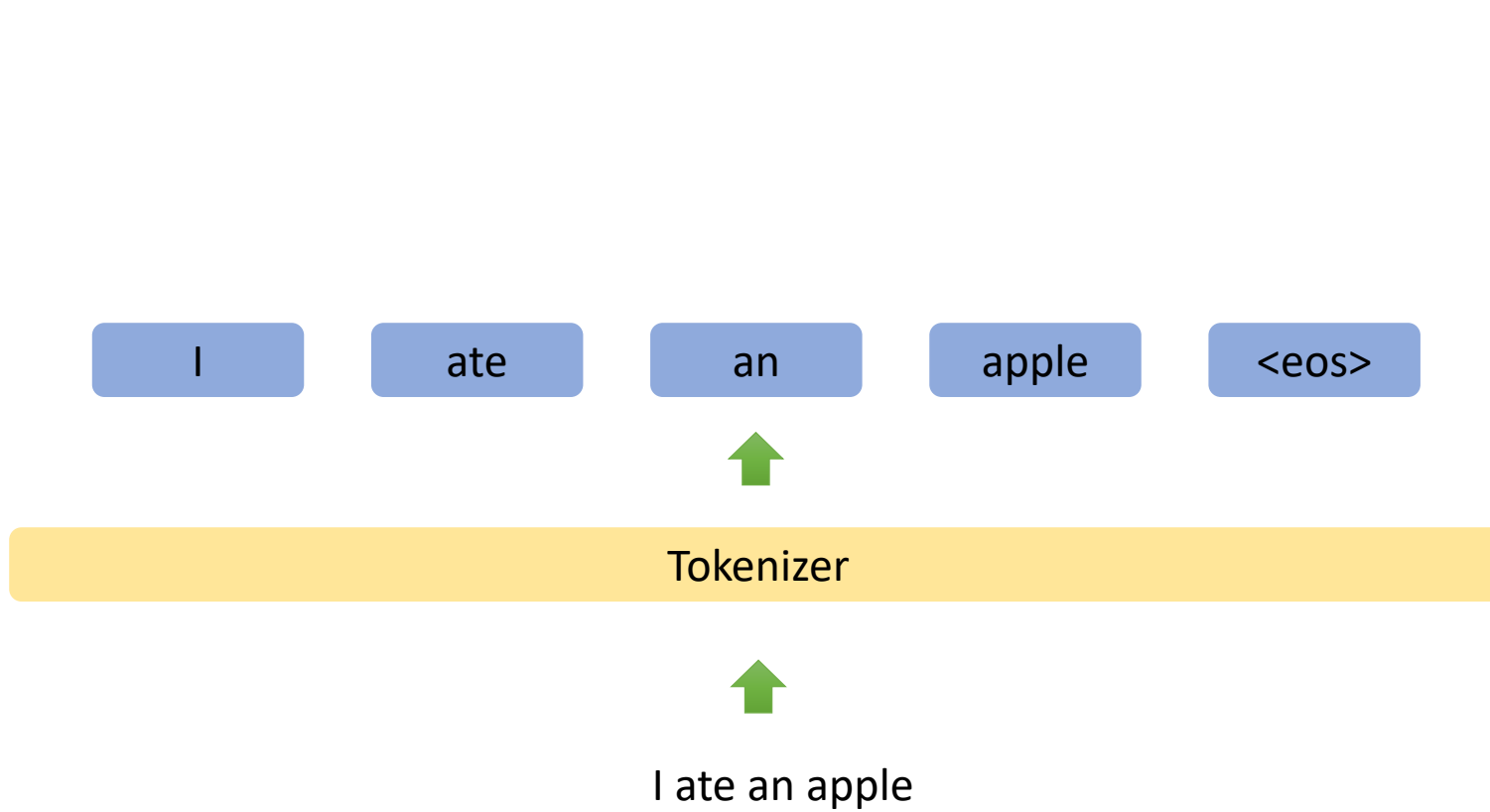


# Inputs

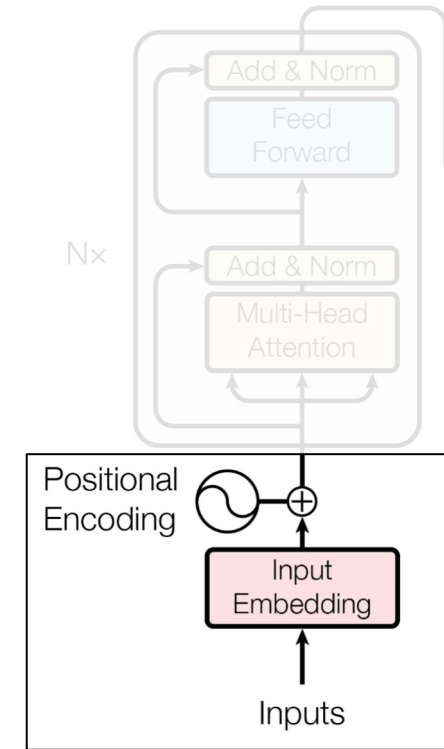
## Processing Inputs



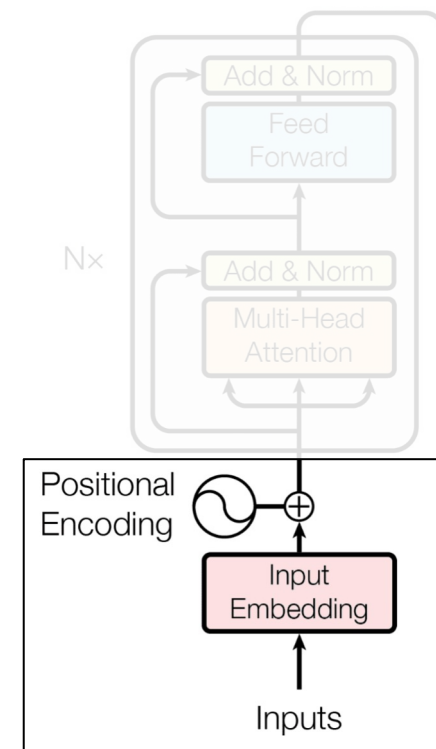
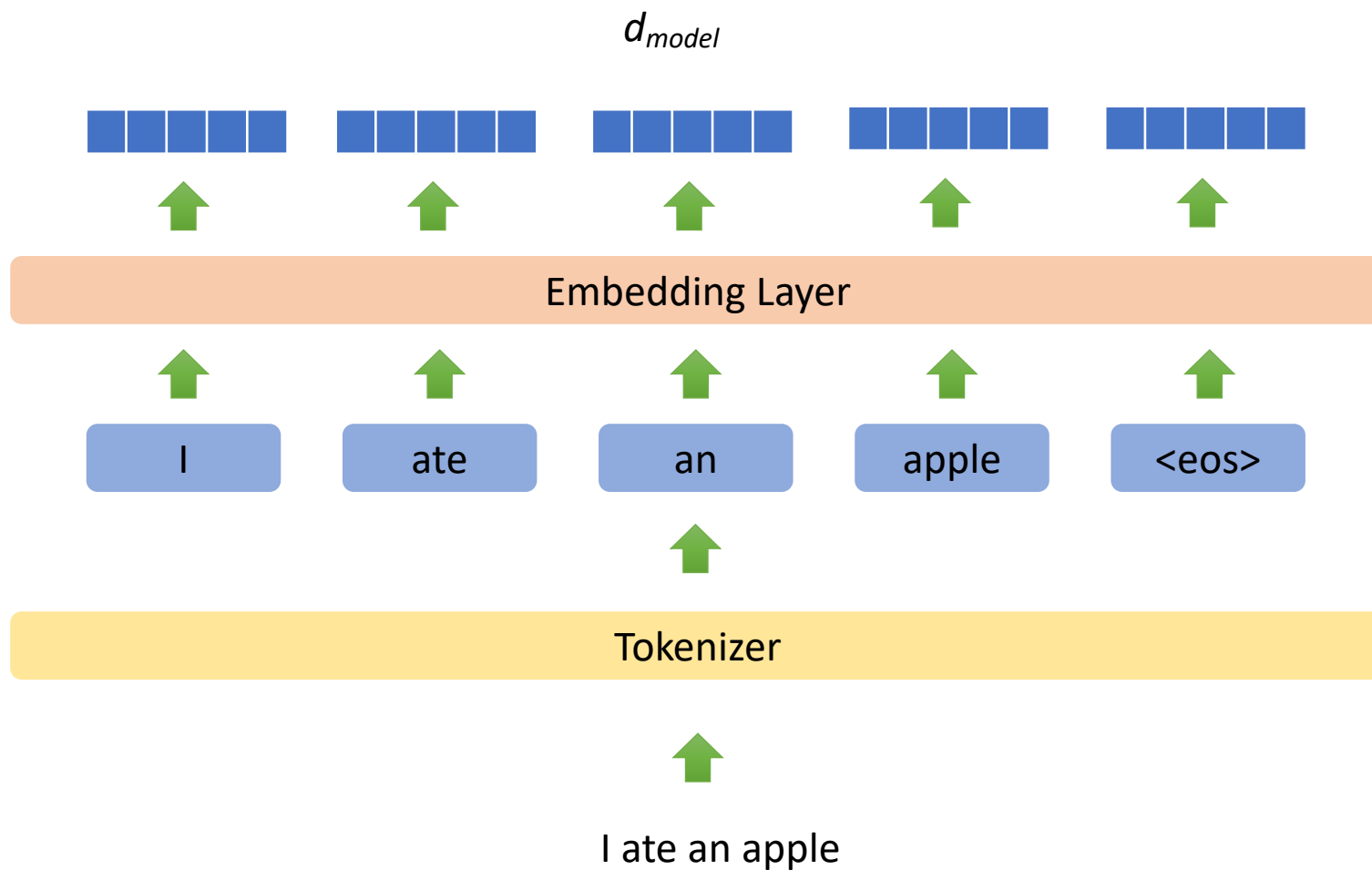
# Inputs



Generate Input Embeddings



# Inputs

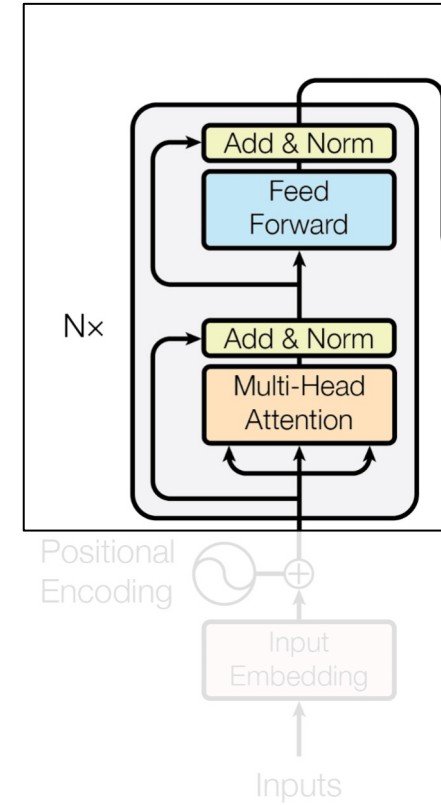
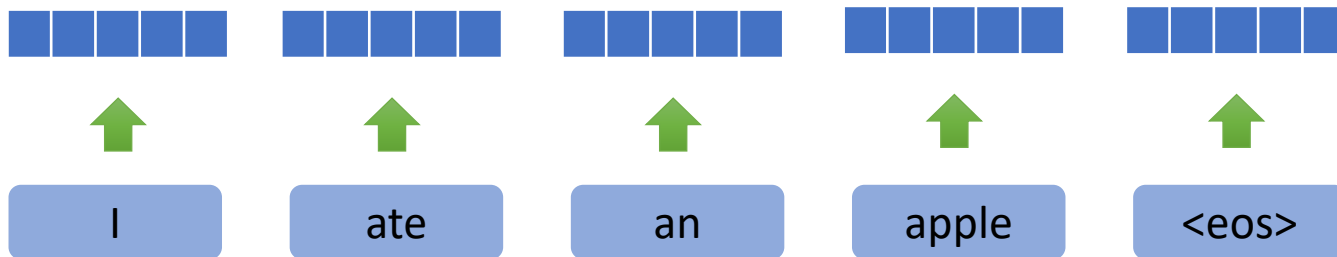


Generate Input Emebeddings

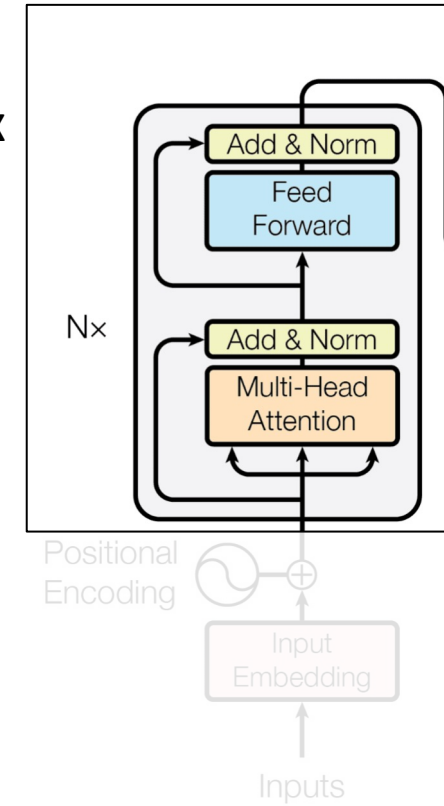
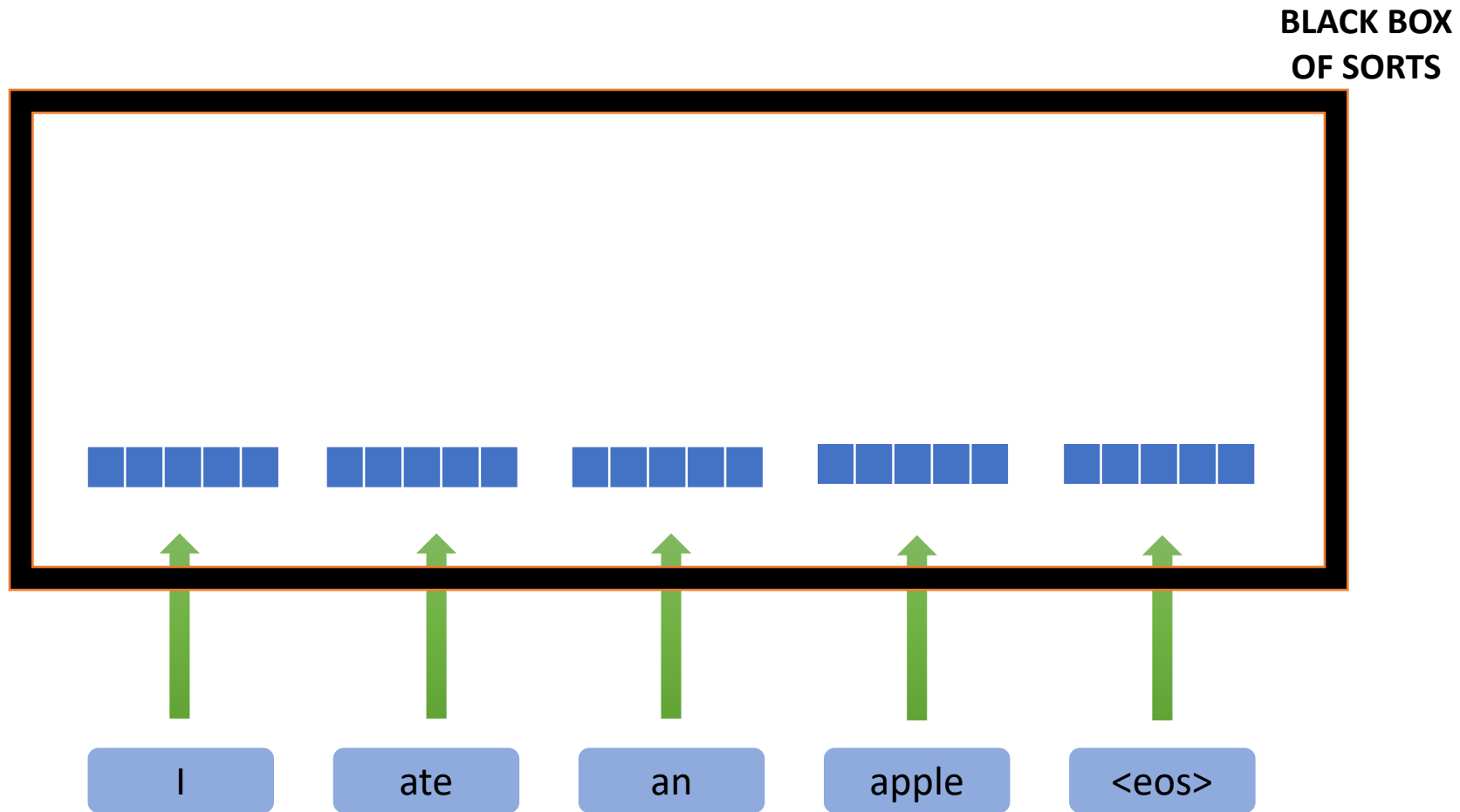


# Encoder

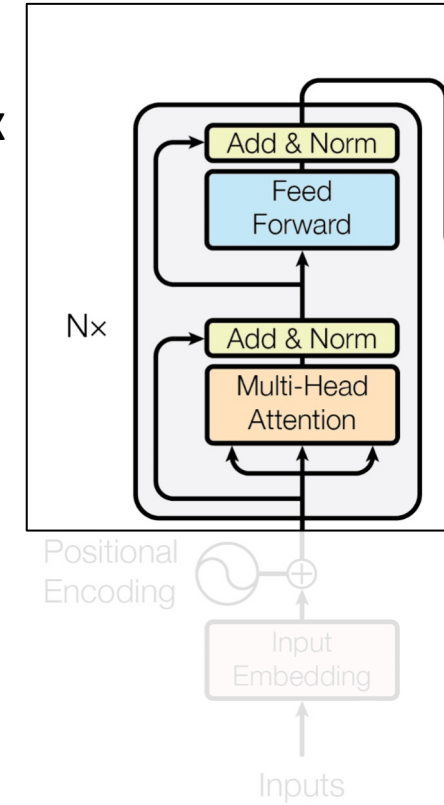
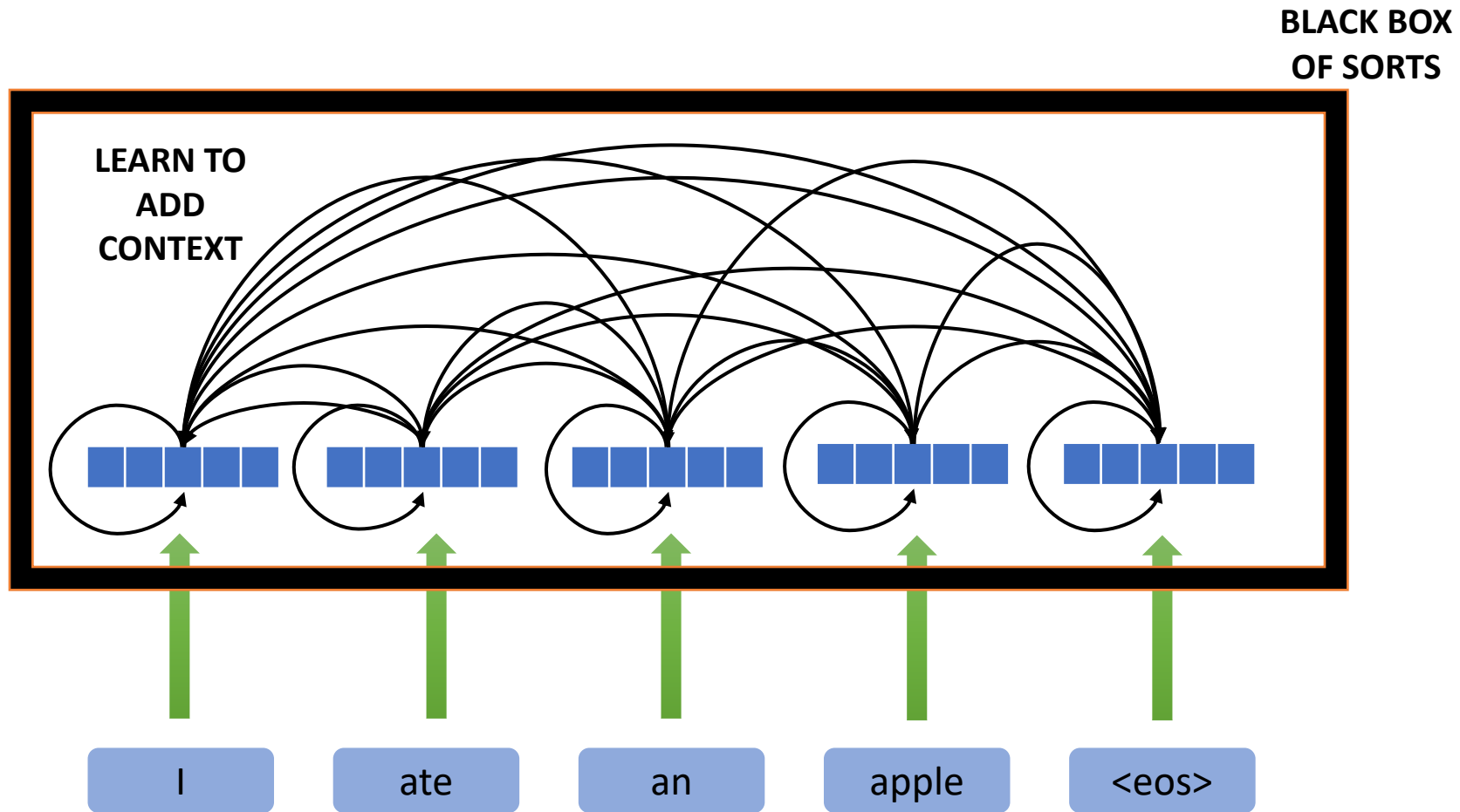
WHERE IS THE  
CONTEXT ?



# Encoder

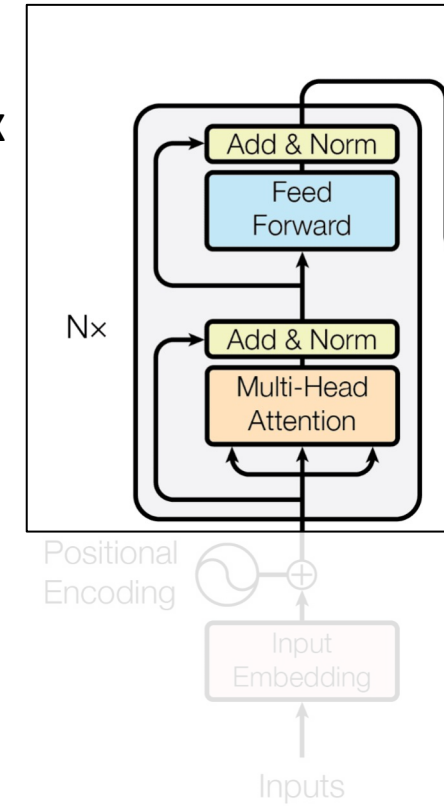
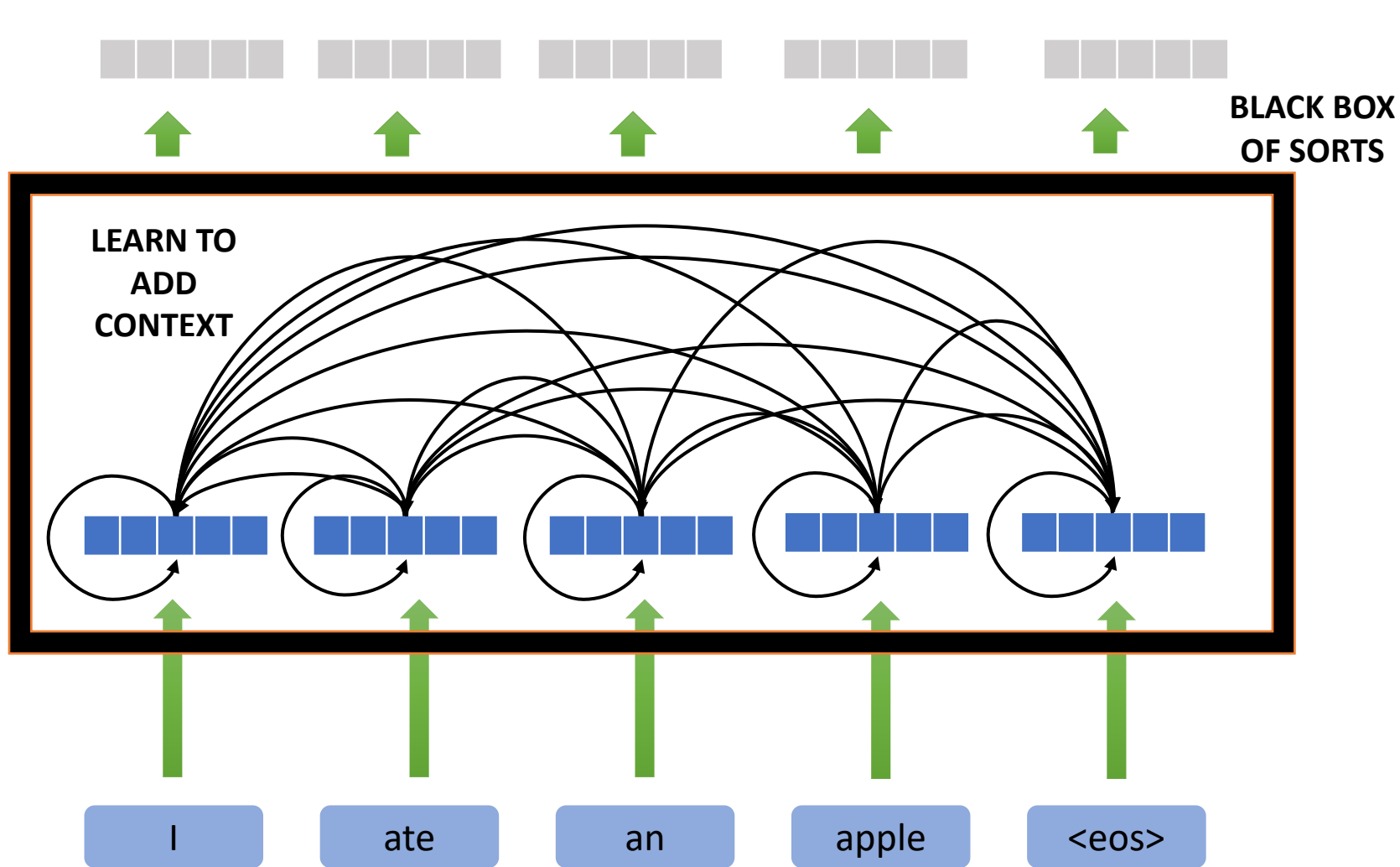


# Encoder



# Encoder

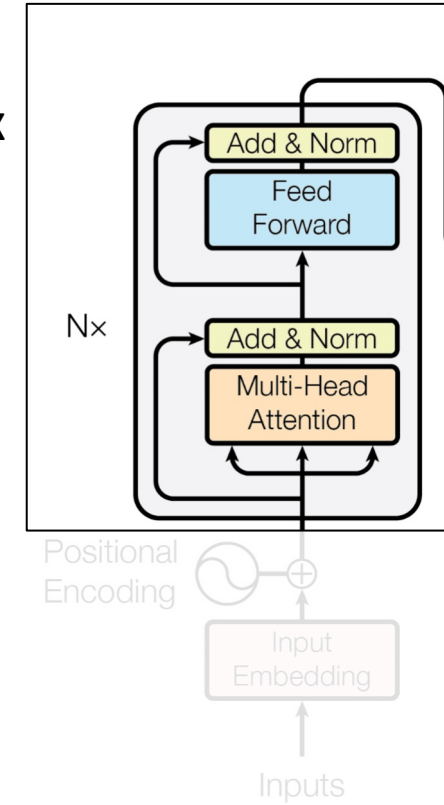
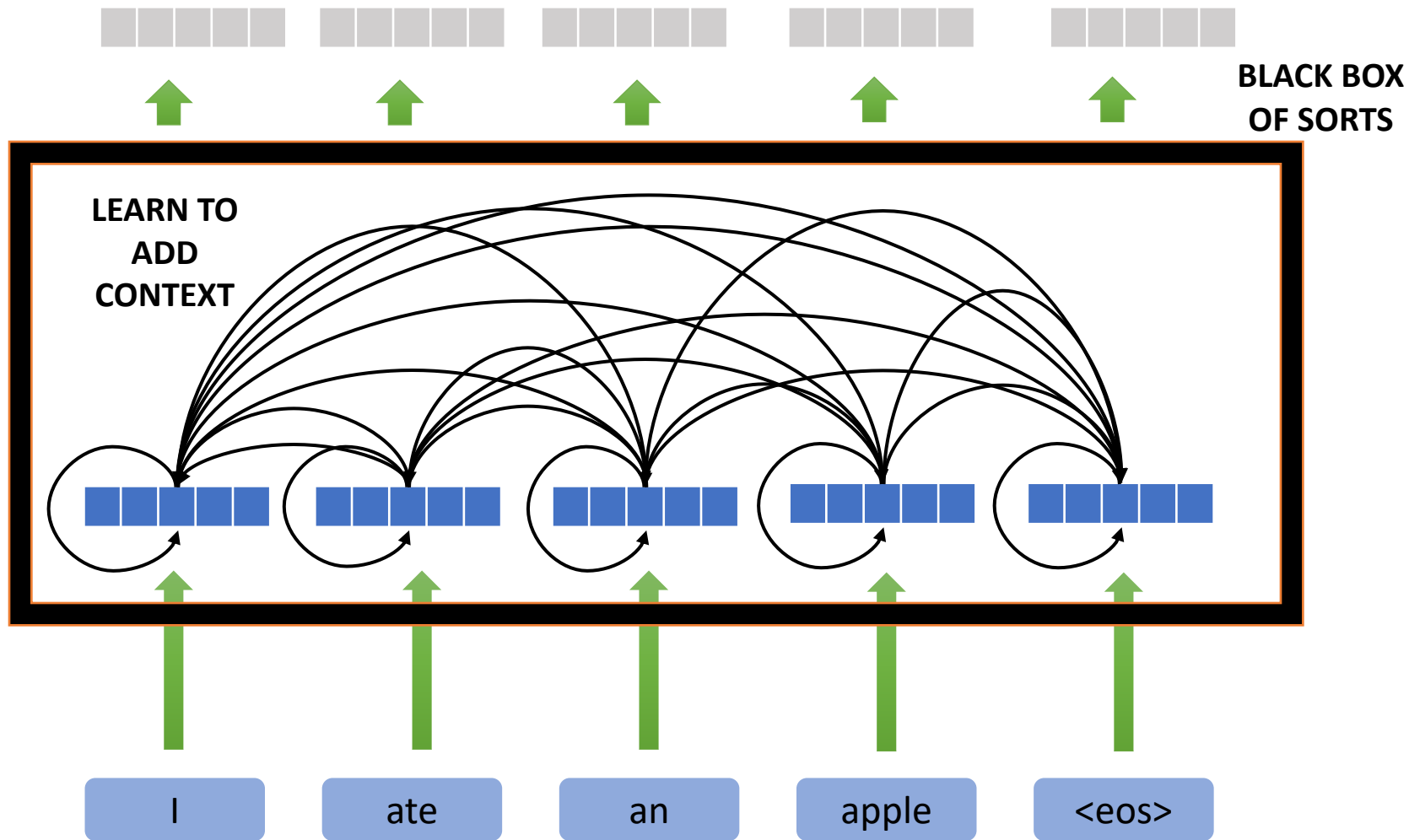
CONTEXTUALLY RICH EMBEDDINGS



# Encoder

$\alpha_{[ij]}$  ?

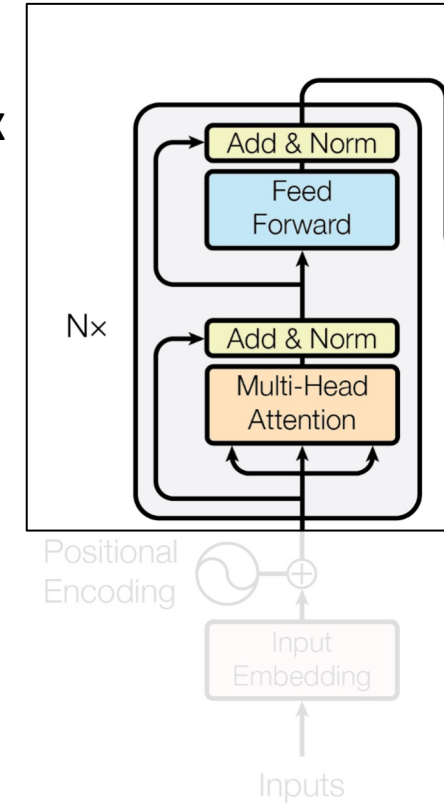
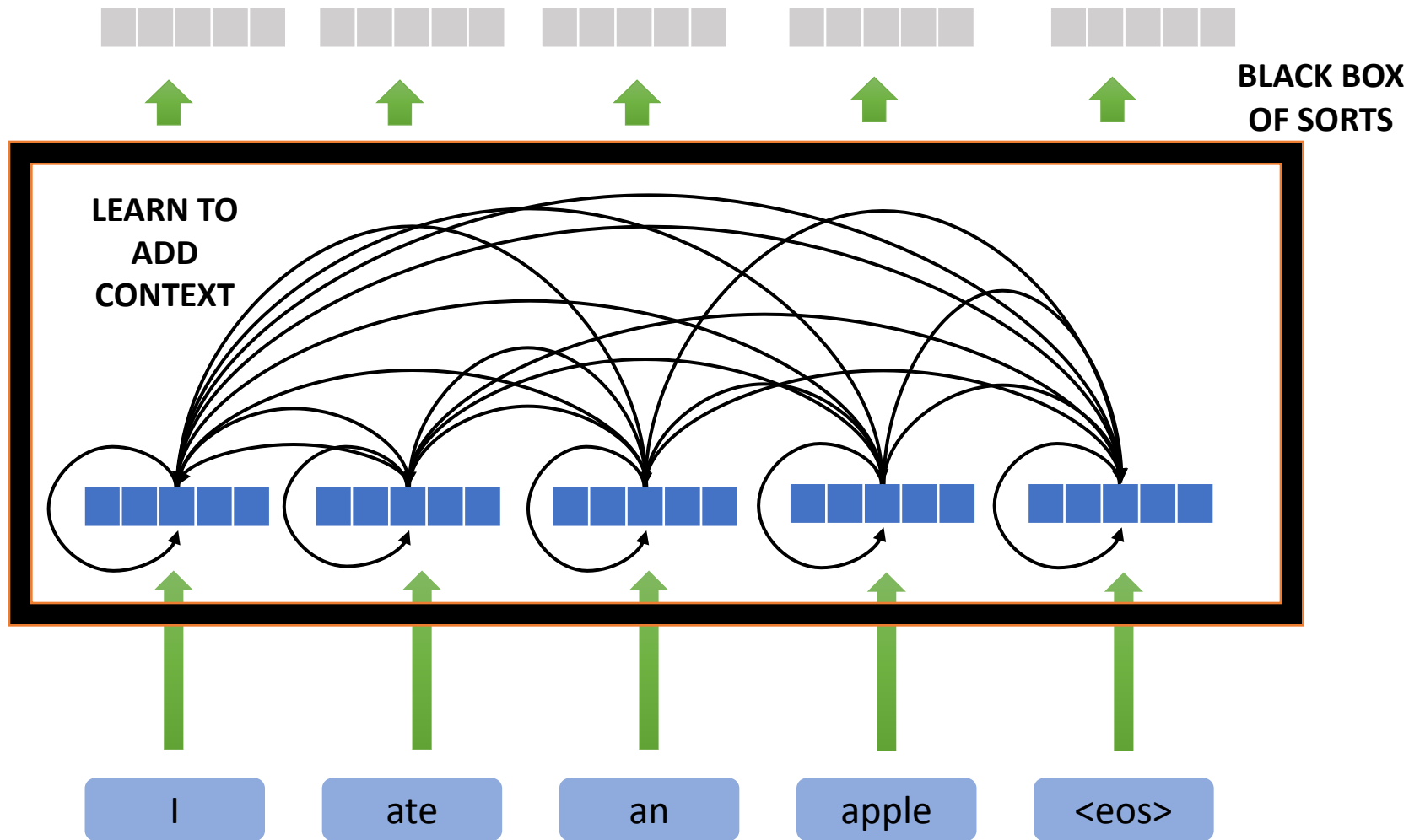
CONTEXTUALLY RICH EMBEDDINGS



# Encoder

$$\alpha_{[ij]} \quad ? \quad \Sigma \quad \Pi \quad ?$$

CONTEXTUALLY RICH EMBEDDINGS

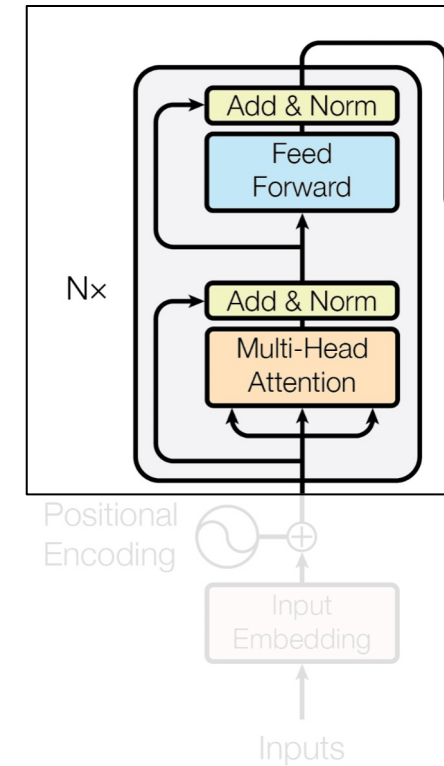


# Attention

$$\alpha_{[ij]} \quad ?$$

From lecture 18:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



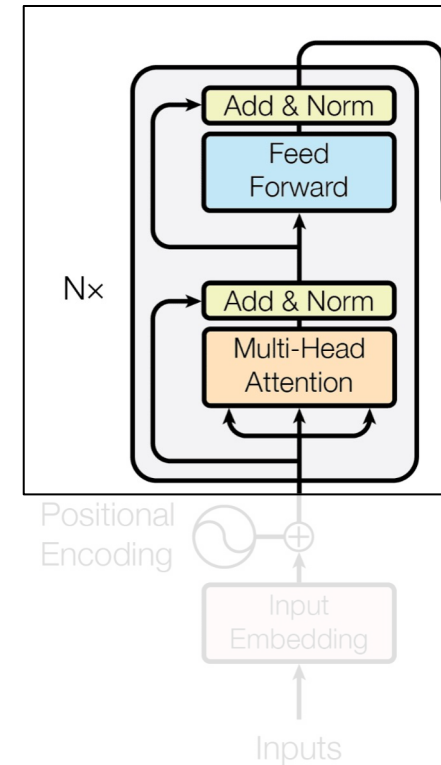
# Attention

$$\alpha_{[ij]} \quad ?$$

From lecture 18:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

- Query
- Key
- Value





# Query, Key & Value

Database

{Key, Value store}

```
{ "order_100": { "items": "a1", "delivery_date": "a2", ... },  
  "order_101": { "items": "b1", "delivery_date": "b2", ... },  
  "order_102": { "items": "c1", "delivery_date": "c2", ... },  
  "order_103": { "items": "d1", "delivery_date": "d2", ... },  
  "order_104": { "items": "e1", "delivery_date": "e2", ... },  
  "order_105": { "items": "f1", "delivery_date": "f2", ... },  
  "order_106": { "items": "g1", "delivery_date": "g2", ... },  
  "order_107": { "items": "h1", "delivery_date": "h2", ... },  
  "order_108": { "items": "i1", "delivery_date": "i2", ... },  
  "order_109": { "items": "j1", "delivery_date": "j2", ... },  
  "order_110": { "items": "k1", "delivery_date": "k2", ... }
```

# Query, Key & Value

Database

{Key, Value store}

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

```
{
  "order_100": {
    "items": "a1",
    "delivery_date": "a2",
    ...
  },
  "order_101": {
    "items": "b1",
    "delivery_date": "b2",
    ...
  },
  "order_102": {
    "items": "c1",
    "delivery_date": "c2",
    ...
  },
  "order_103": {
    "items": "d1",
    "delivery_date": "d2",
    ...
  },
  "order_104": {
    "items": "e1",
    "delivery_date": "e2",
    ...
  },
  "order_105": {
    "items": "f1",
    "delivery_date": "f2",
    ...
  },
  "order_106": {
    "items": "g1",
    "delivery_date": "g2",
    ...
  },
  "order_107": {
    "items": "h1",
    "delivery_date": "h2",
    ...
  },
  "order_108": {
    "items": "i1",
    "delivery_date": "i2",
    ...
  },
  "order_109": {
    "items": "j1",
    "delivery_date": "j2",
    ...
  },
  "order_110": {
    "items": "k1",
    "delivery_date": "k2",
    ...
  }
}
```

# Query, Key & Value

{Key, Value store}

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

```
{ "order_100": { "items": "a1", "delivery_date": "a2", ... },  
  "order_101": { "items": "b1", "delivery_date": "b2", ... },  
  "order_102": { "items": "c1", "delivery_date": "c2", ... },  
  "order_103": { "items": "d1", "delivery_date": "d2", ... },  
  "order_104": { "items": "e1", "delivery_date": "e2", ... },  
  "order_105": { "items": "f1", "delivery_date": "f2", ... },  
  "order_106": { "items": "g1", "delivery_date": "g2", ... },  
  "order_107": { "items": "h1", "delivery_date": "h2", ... },  
  "order_108": { "items": "i1", "delivery_date": "i2", ... },  
  "order_109": { "items": "j1", "delivery_date": "j2", ... },  
  "order_110": { "items": "k1", "delivery_date": "k2", ... }
```

# Query, Key & Value

{Key, Value store}

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

```
{ "order_100": { "items": "a1", "delivery_date": "a2", ... },  
  "order_101": { "items": "b1", "delivery_date": "b2", ... },  
  "order_102": { "items": "c1", "delivery_date": "c2", ... },  
  "order_103": { "items": "d1", "delivery_date": "d2", ... },  
  "order_104": { "items": "e1", "delivery_date": "e2", ... },  
  "order_105": { "items": "f1", "delivery_date": "f2", ... },  
  "order_106": { "items": "g1", "delivery_date": "g2", ... },  
  "order_107": { "items": "h1", "delivery_date": "h2", ... },  
  "order_108": { "items": "i1", "delivery_date": "i2", ... },  
  "order_109": { "items": "j1", "delivery_date": "j2", ... },  
  "order_110": { "items": "k1", "delivery_date": "k2", ... }
```

# Query, Key & Value

{Key, Value store}

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

```
{
  "order_100": {
    "items": "a1",
    "delivery_date": "a2",
    ...
  },
  "order_101": {
    "items": "b1",
    "delivery_date": "b2",
    ...
  },
  "order_102": {
    "items": "c1",
    "delivery_date": "c2",
    ...
  },
  "order_103": {
    "items": "d1",
    "delivery_date": "d2",
    ...
  },
  "order_104": {
    "items": "e1",
    "delivery_date": "e2",
    ...
  },
  "order_105": {
    "items": "f1",
    "delivery_date": "f2",
    ...
  },
  "order_106": {
    "items": "g1",
    "delivery_date": "g2",
    ...
  },
  "order_107": {
    "items": "h1",
    "delivery_date": "h2",
    ...
  },
  "order_108": {
    "items": "i1",
    "delivery_date": "i2",
    ...
  },
  "order_109": {
    "items": "j1",
    "delivery_date": "j2",
    ...
  },
  "order_110": {
    "items": "k1",
    "delivery_date": "k2",
    ...
  }
}
```

# Query, Key & Value

**Done at the same time !!**

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

{Key, Value store}

```
{ "order_100": { "items": "a1", "delivery_date": "a2", ... },  
  "order_101": { "items": "b1", "delivery_date": "b2", ... },  
  "order_102": { "items": "c1", "delivery_date": "c2", ... },  
  "order_103": { "items": "d1", "delivery_date": "d2", ... },  
  "order_104": { "items": "e1", "delivery_date": "e2", ... },  
  "order_105": { "items": "f1", "delivery_date": "f2", ... },  
  "order_106": { "items": "g1", "delivery_date": "g2", ... },  
  "order_107": { "items": "h1", "delivery_date": "h2", ... },  
  "order_108": { "items": "i1", "delivery_date": "i2", ... },  
  "order_109": { "items": "j1", "delivery_date": "j2", ... },  
  "order_110": { "items": "k1", "delivery_date": "k2", ... }
```

# Query, Key & Value

{Query: "Order details of order\_104"}

OR

{Query: "Order details of order\_106"}

```
{
  "order_100": {
    "items": "a1",
    "delivery_date": "a2",
    ...
  },
  "order_101": {
    "items": "b1",
    "delivery_date": "b2",
    ...
  },
  "order_102": {
    "items": "c1",
    "delivery_date": "c2",
    ...
  },
  "order_103": {
    "items": "d1",
    "delivery_date": "d2",
    ...
  },
  "order_104": {
    "items": "e1",
    "delivery_date": "e2",
    ...
  },
  "order_105": {
    "items": "f1",
    "delivery_date": "f2",
    ...
  },
  "order_106": {
    "items": "g1",
    "delivery_date": "g2",
    ...
  },
  "order_107": {
    "items": "h1",
    "delivery_date": "h2",
    ...
  },
  "order_108": {
    "items": "i1",
    "delivery_date": "i2",
    ...
  },
  "order_109": {
    "items": "j1",
    "delivery_date": "j2",
    ...
  },
  "order_110": {
    "items": "k1",
    "delivery_date": "k2",
    ...
  }
}
```

## Query

1. Search for info

## Key

1. Interacts directly with Queries
2. Distinguishes one object from another
3. Identify which object is the most relevant and by how much

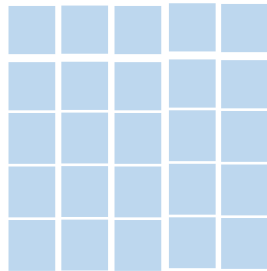
## Value

1. Actual details of the object
2. More fine grained

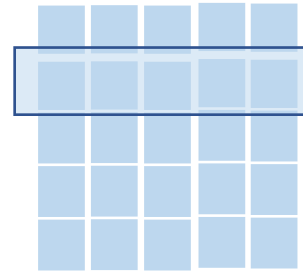
# Attention



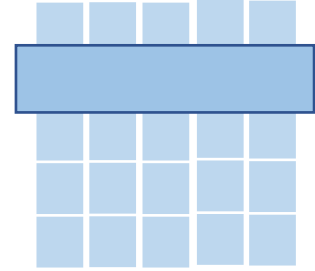
Query



Key Value Store



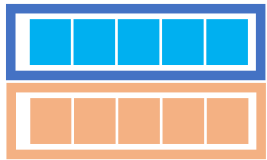
Key



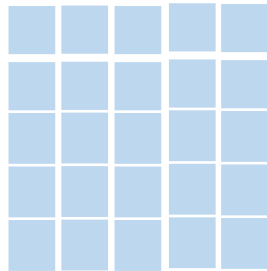
Value



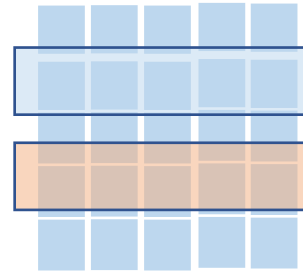
# Attention



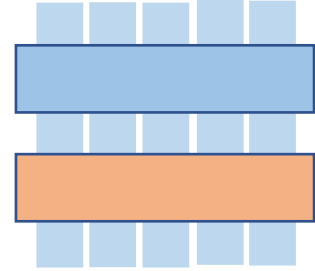
Query



Key Value Store



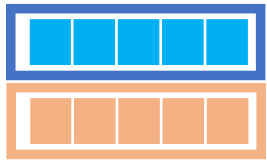
Key



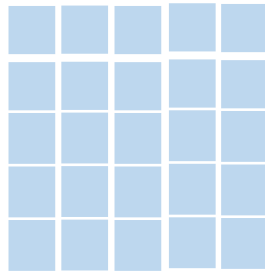
Value

# Attention

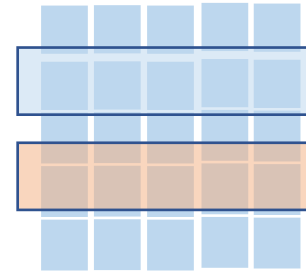
**Done at the same time !!**



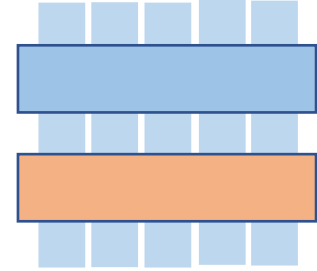
Query



Key Value Store



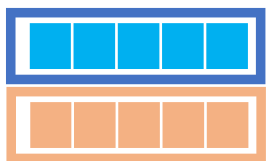
Key



Value

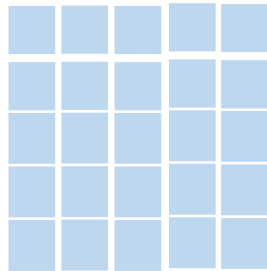
# Attention

Parallelizable !!!



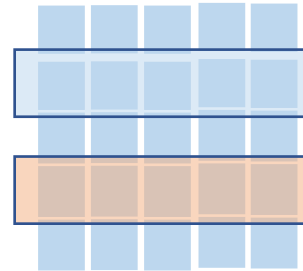
Query

$Q$



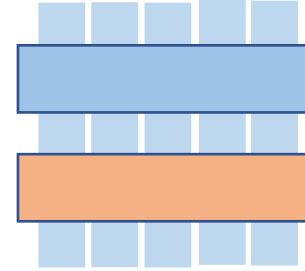
Key Value Store

$QK^T$



Key

$\text{softmax}(\frac{QK^T}{\sqrt{d}})$



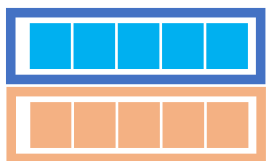
Value

$\text{softmax}(\frac{QK^T}{\sqrt{d}})V$

# Attention

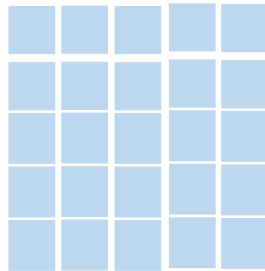
**Parallelizable !!!**

*Attention Filter*



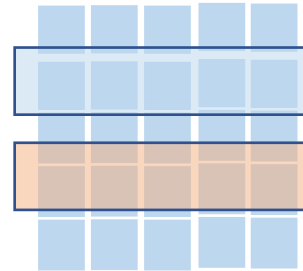
Query

$Q$



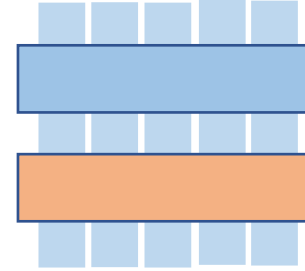
Key Value Store

$QK^T$



Key

$\text{softmax}(\frac{QK^T}{\sqrt{d}})$



Value

$\text{softmax}(\frac{QK^T}{\sqrt{d}})V$

# Attention



$l_1$

I



$l_2$

ate



$l_3$

an



$l_4$

apple

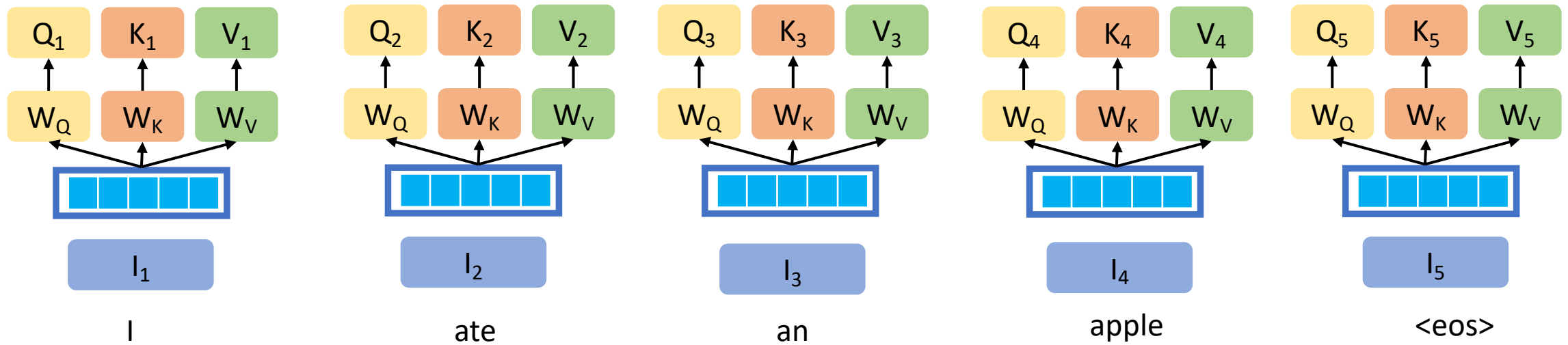


$l_5$

<eos>

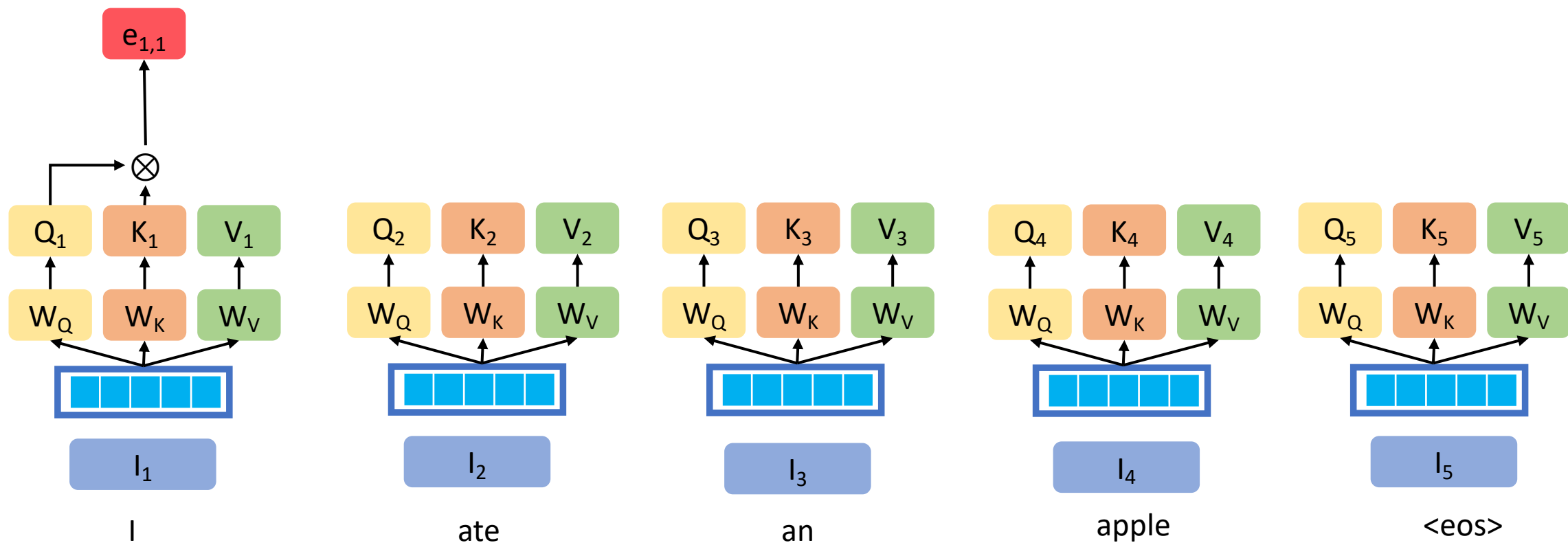
Dimensions across QKV have been dropped for brevity

# Attention



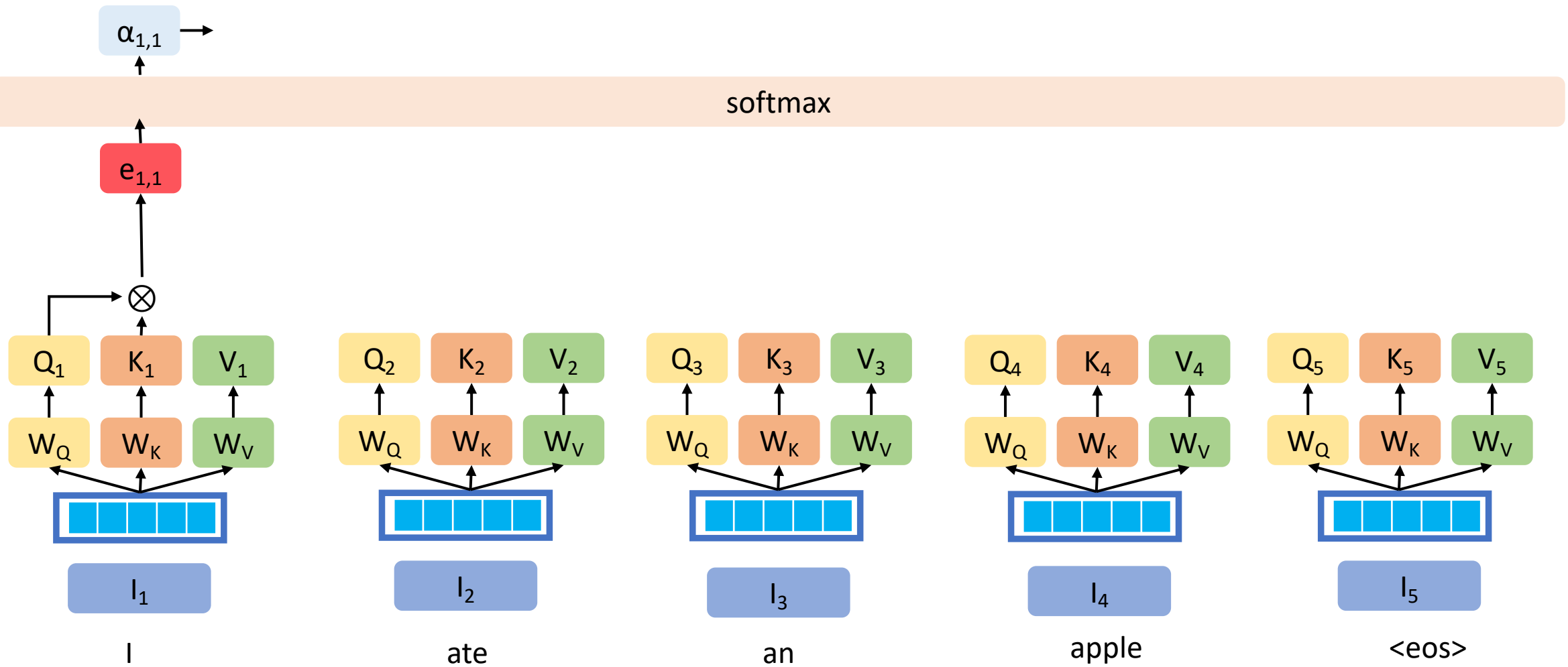
Dimensions across QKV have been dropped for brevity

# Attention



Dimensions across QKV have been dropped for brevity

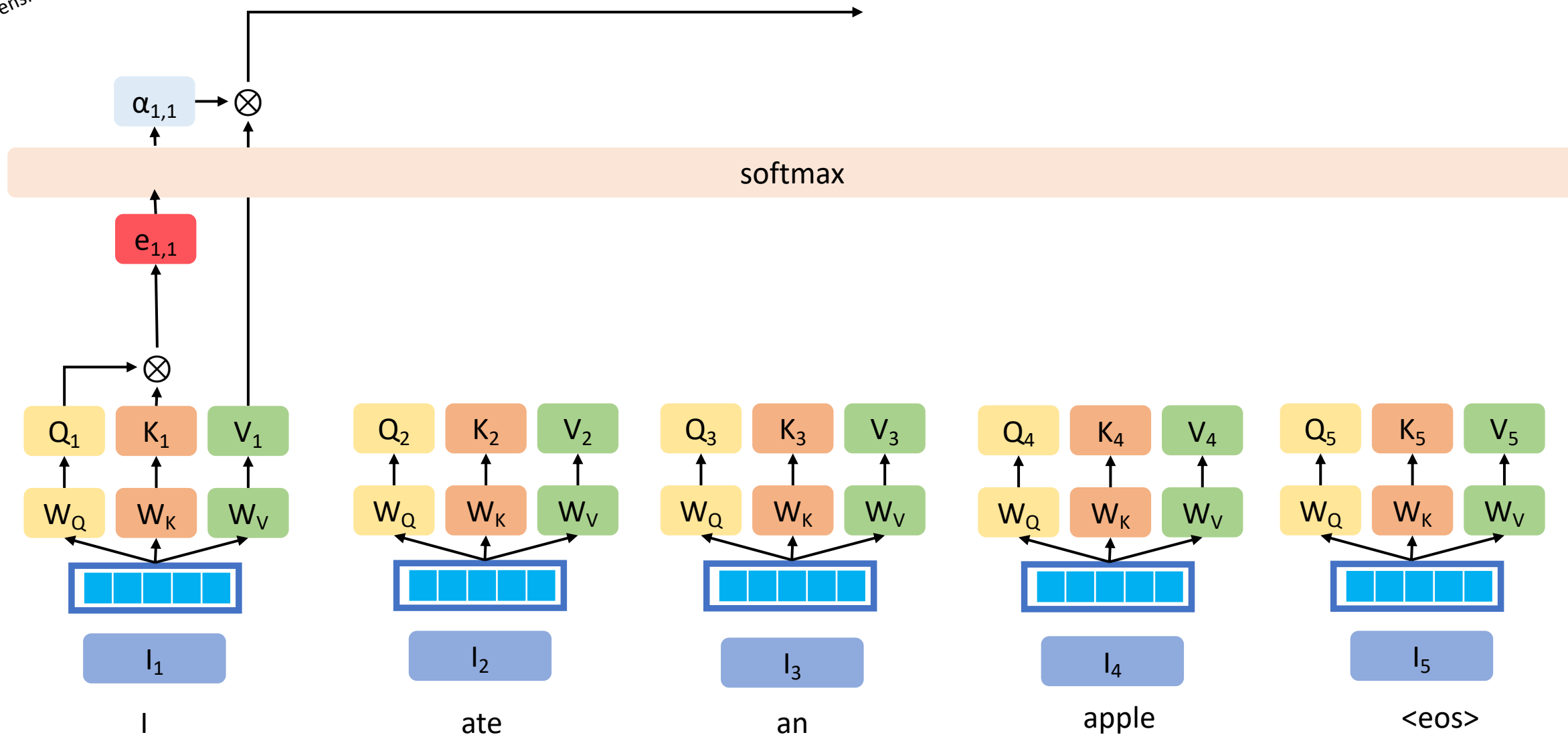
# Attention





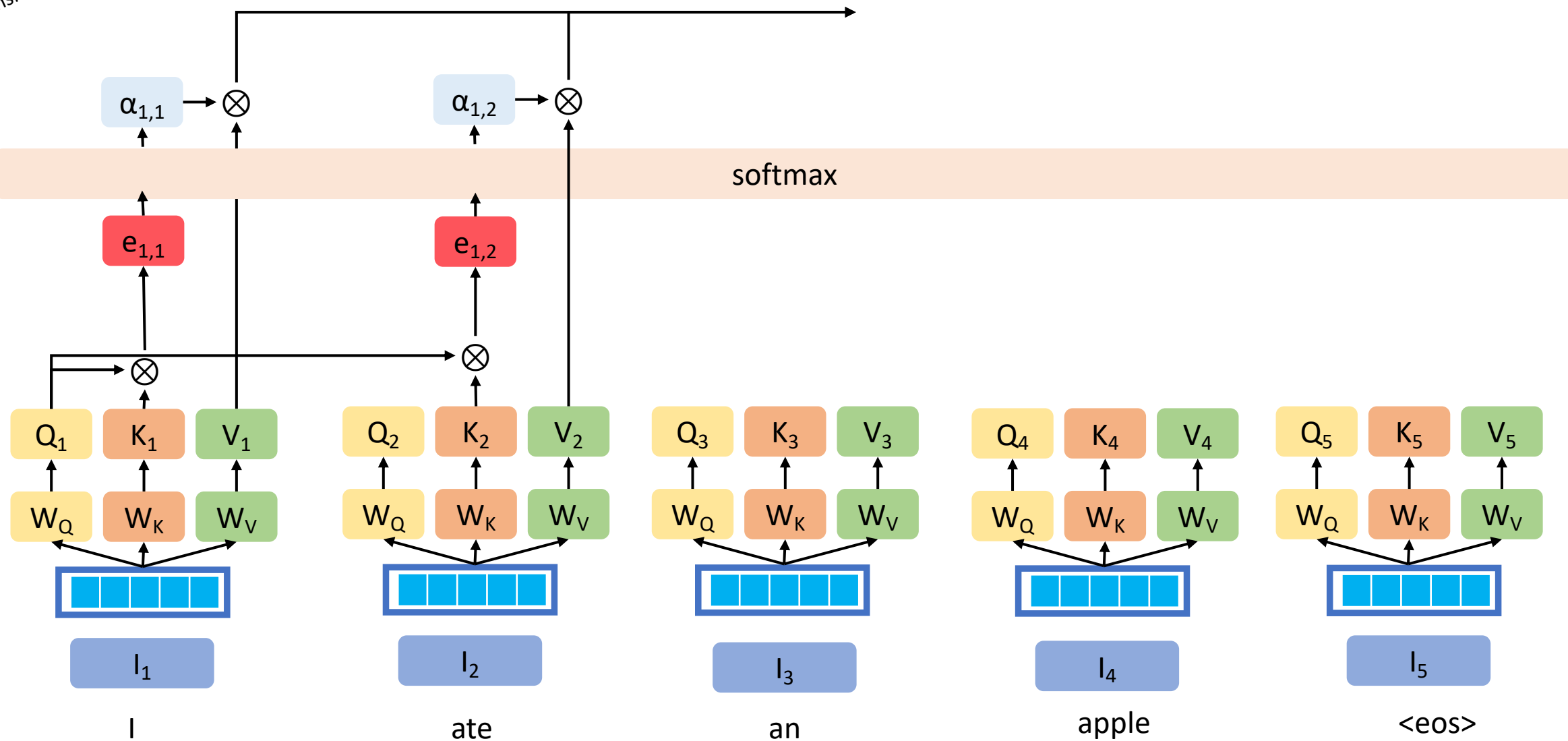
Dimensions across QKV have been dropped for brevity

# Attention



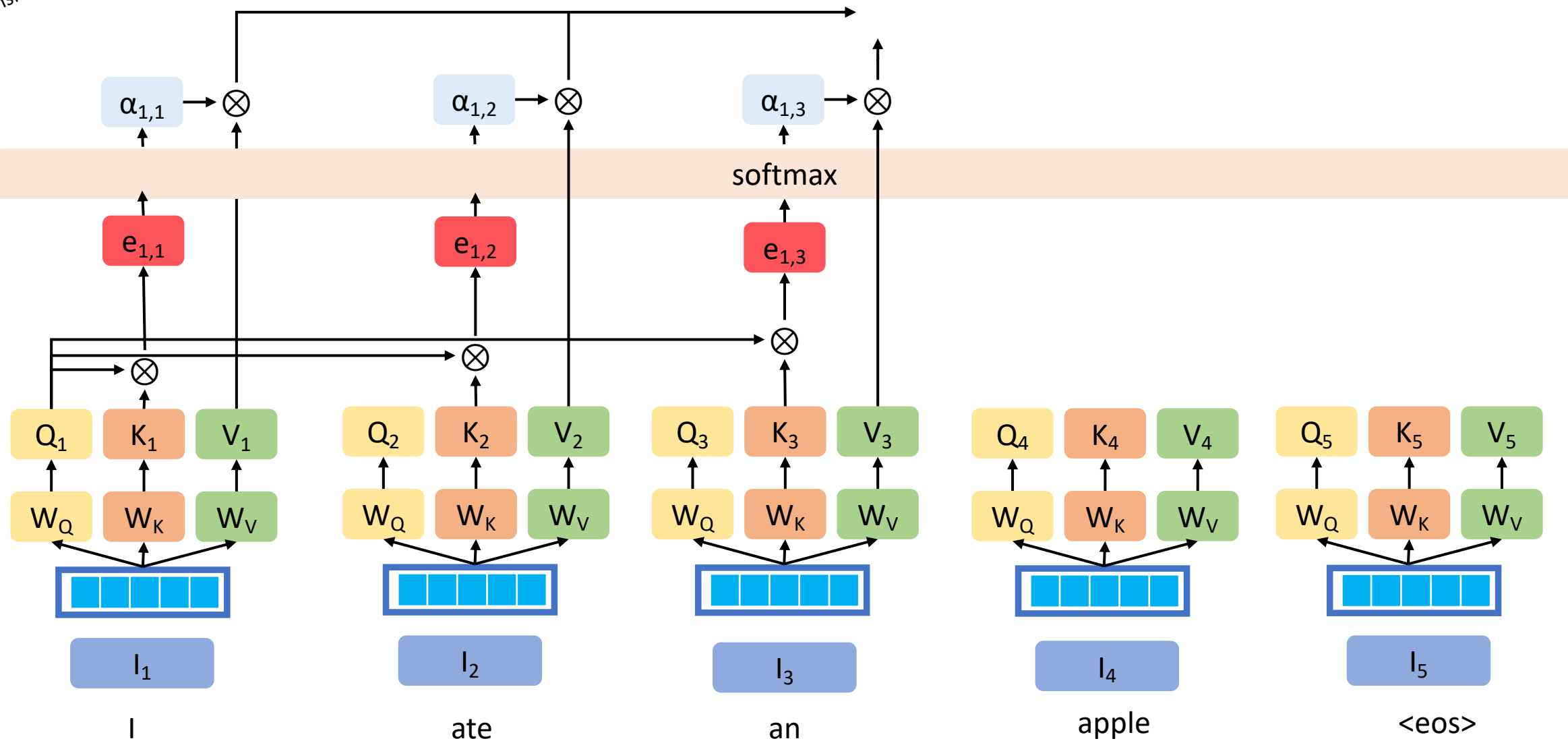
Dimensions across QKV have been dropped for brevity

# Attention



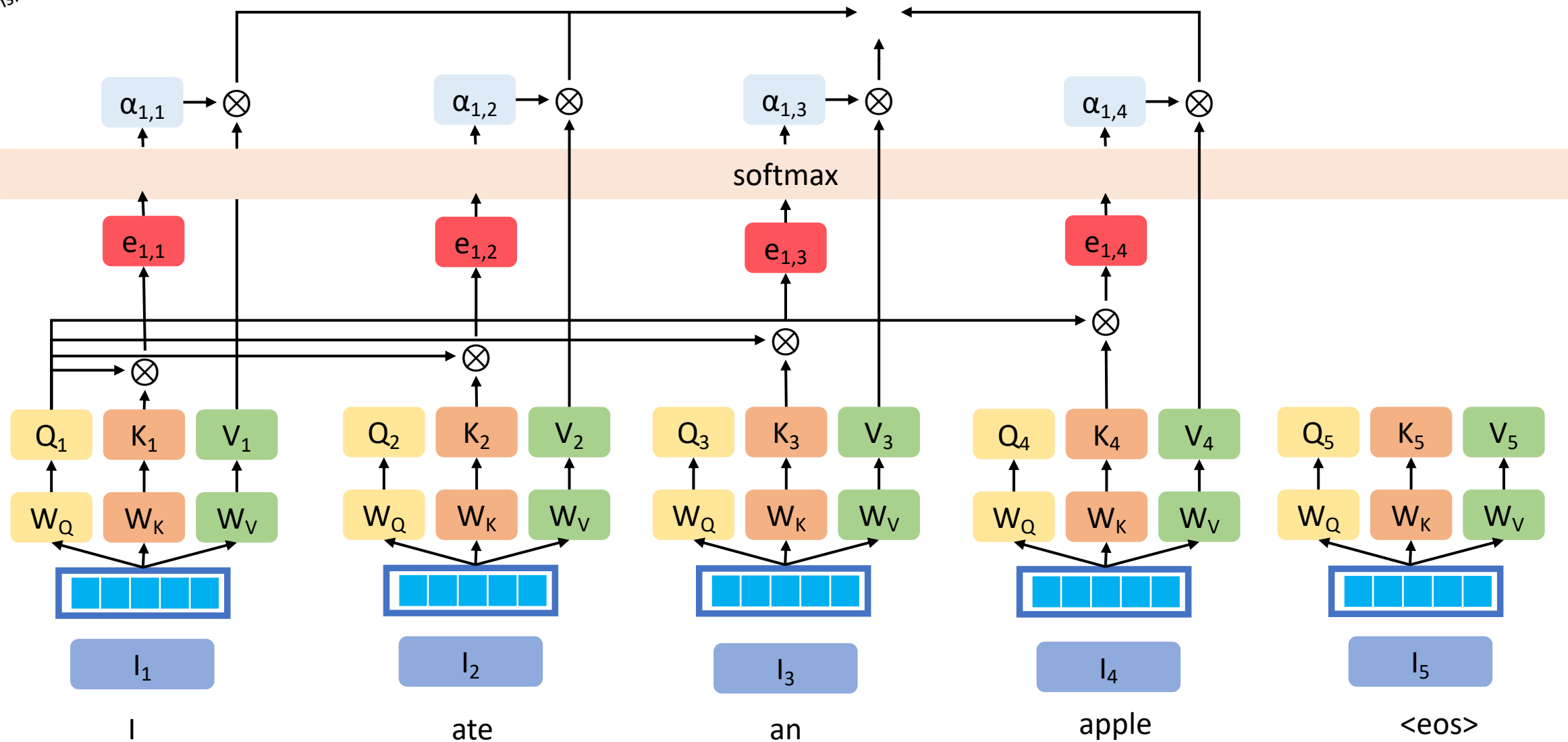
Dimensions across QKV have been dropped for brevity

# Attention



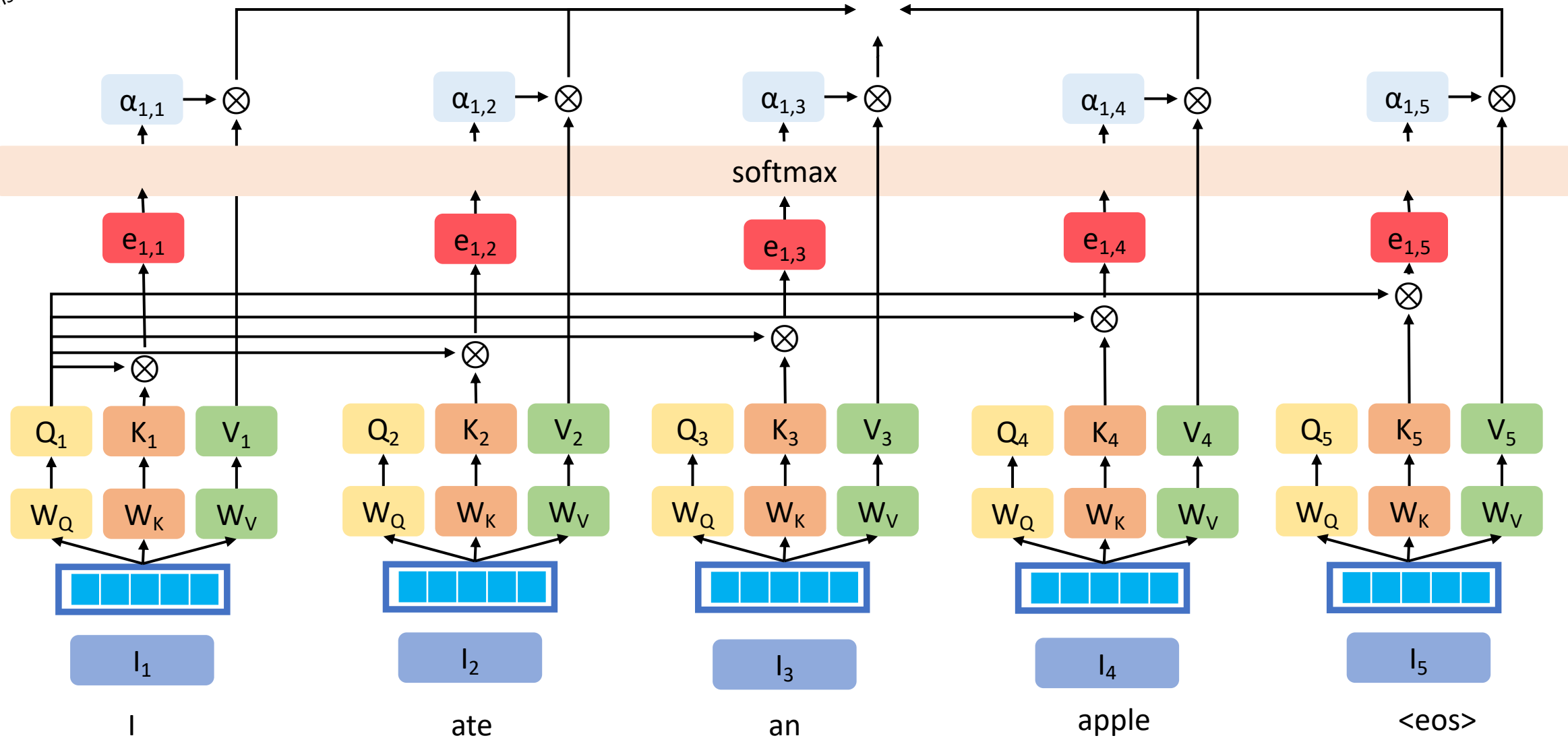
Dimensions across QKV have been dropped for brevity

# Attention



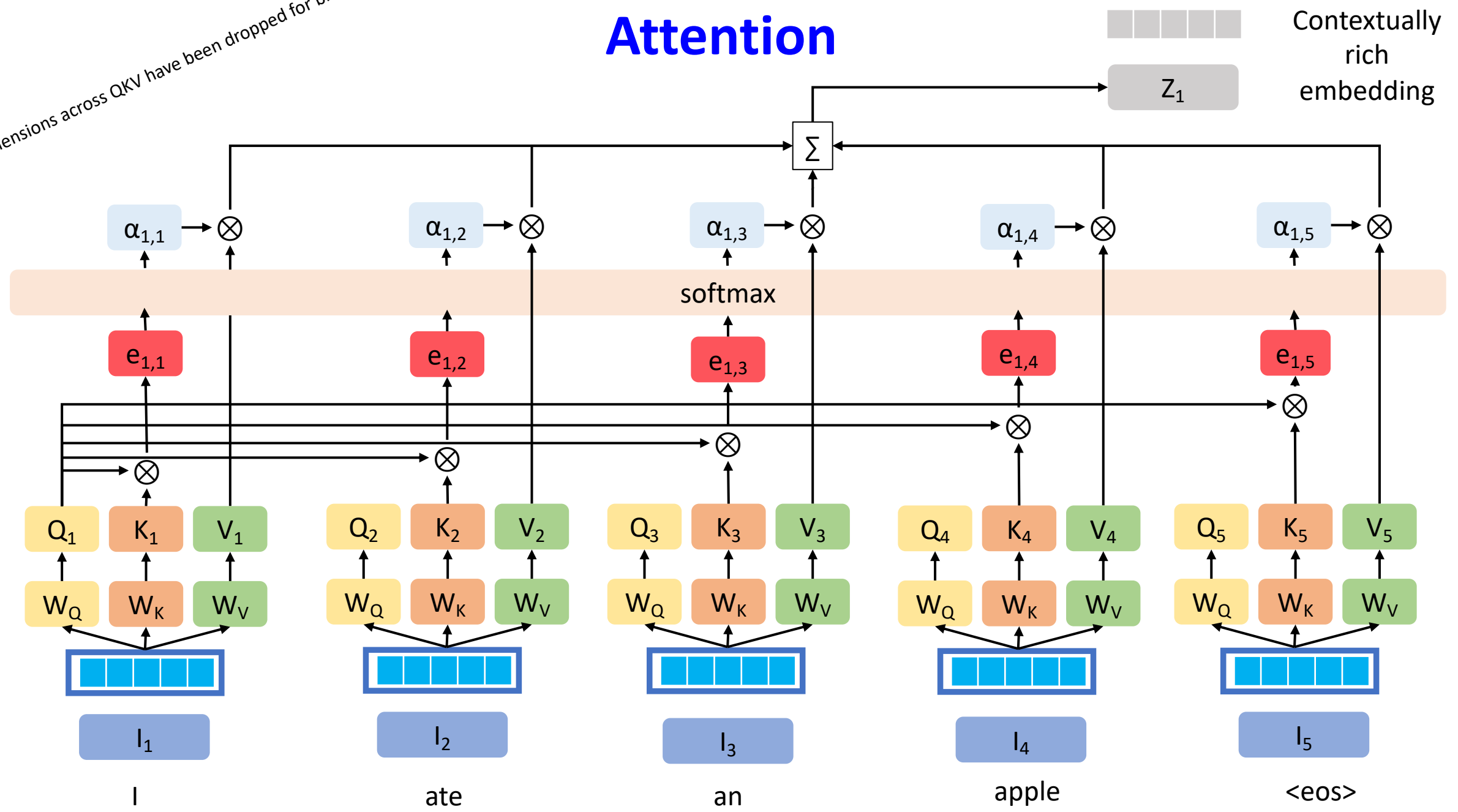
Dimensions across QKV have been dropped for brevity

# Attention



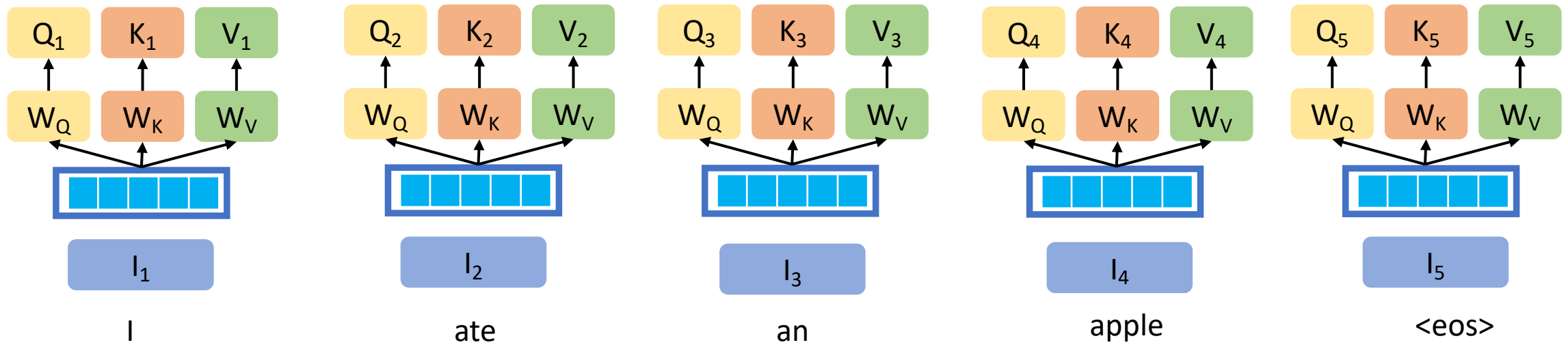
Dimensions across QKV have been dropped for brevity

# Attention



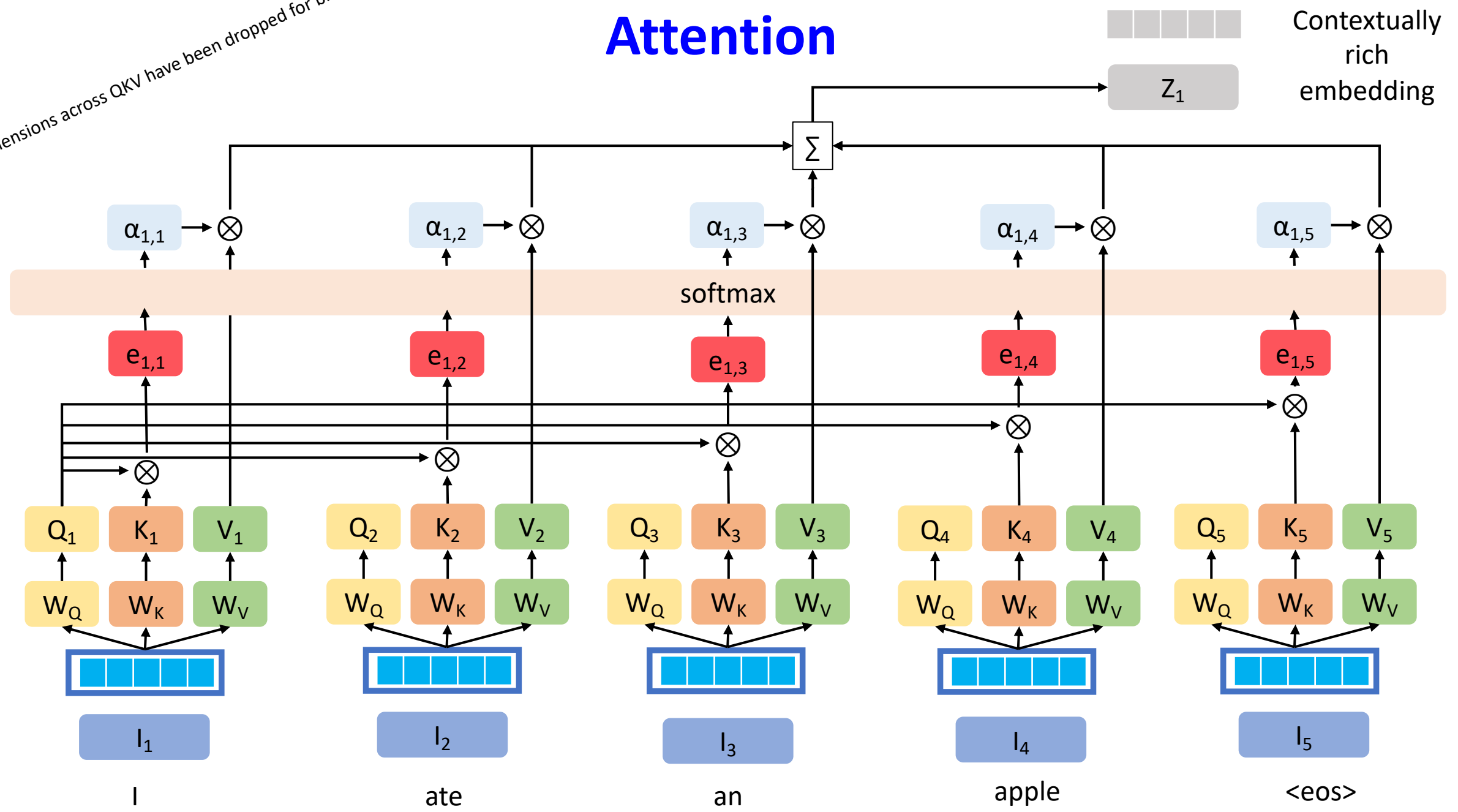
Dimensions across QKV have been dropped for brevity

# Attention



Dimensions across QKV have been dropped for brevity

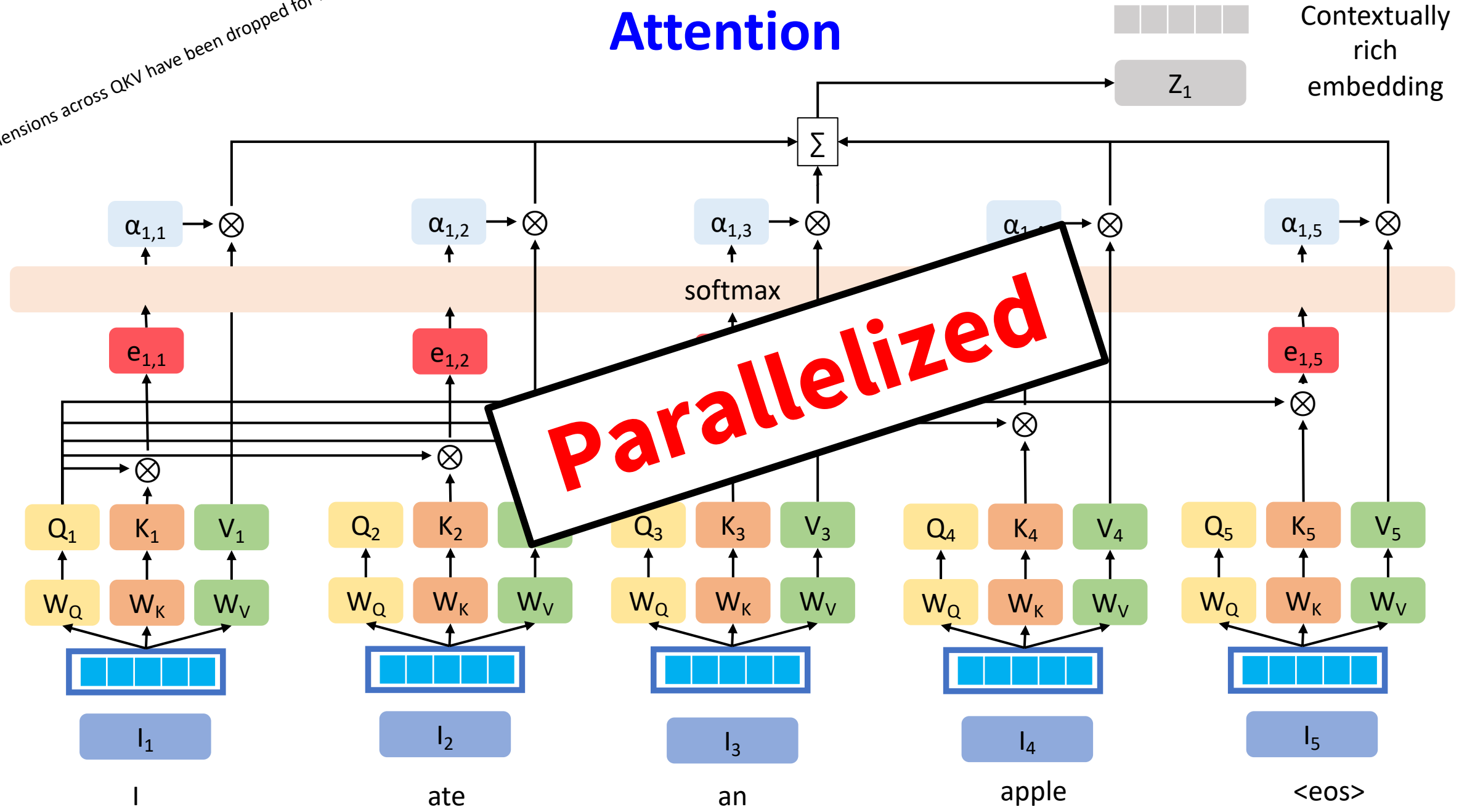
# Attention





Dimensions across QKV have been dropped for brevity

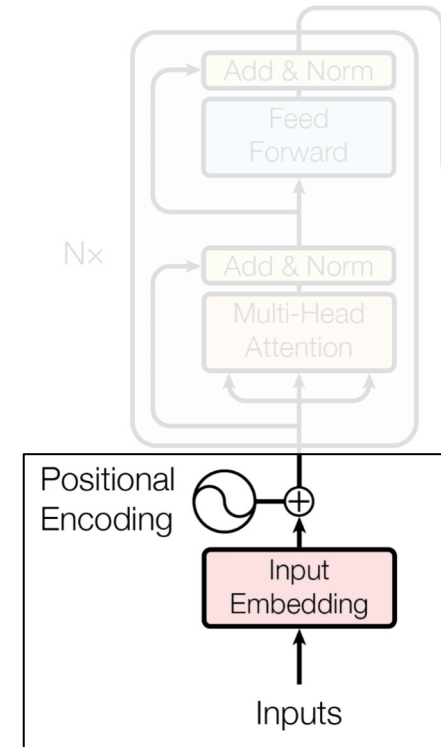
# Attention



# Poll 1 @1296

Which of the following are true about attention? (Select all that apply)

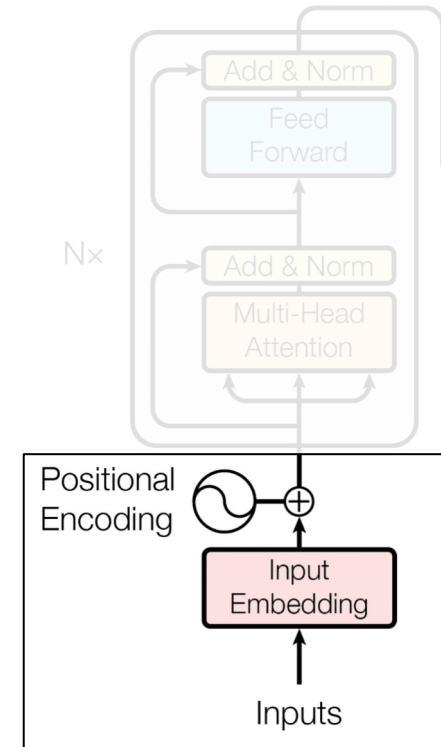
- a. To calculate attention weights for input  $\mathbf{l}_2$ , you would use key  $\mathbf{k}_2$ , and all queries
- b. To calculate attention weights for input  $\mathbf{l}_2$ , you would use query  $\mathbf{q}_2$ , and all keys
- c. We scale the  $\mathbf{QK}^T$  product to bring attention weights in the range of  $[0,1]$
- d. We scale the  $\mathbf{QK}^T$  product to allow for numerical stability



# Poll 1 @1296

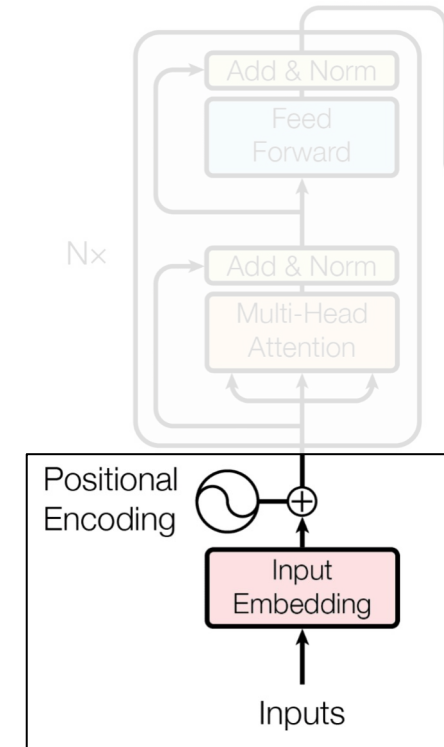
Which of the following are true about attention? (Select all that apply)

- a. To calculate attention weights for input  $I_2$ , you would use key  $k_2$ , and all queries
- b. To calculate attention weights for input  $I_2$ , you would use query  $q_2$ , and all keys**
- c. We scale the  $QK^T$  product to bring attention weights in the range of  $[0,1]$
- d. We scale the  $QK^T$  product to allow for numerical stability**

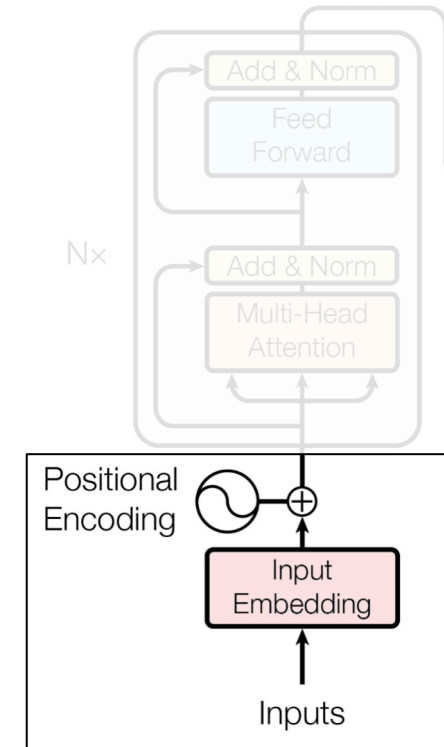
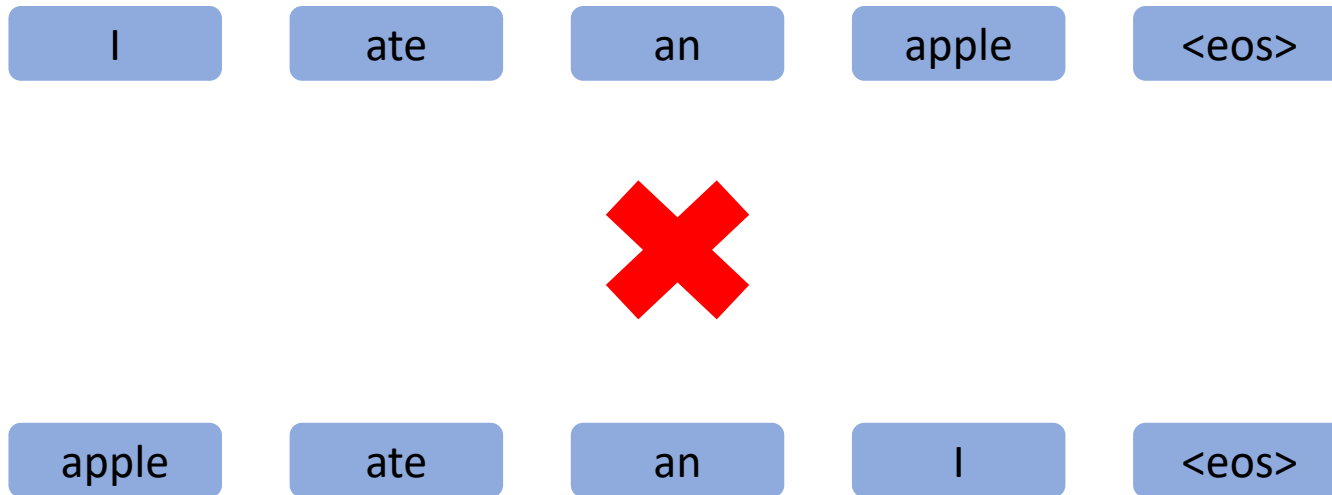


# Positional Encoding

I ate an apple <eos>



# Positional Encoding

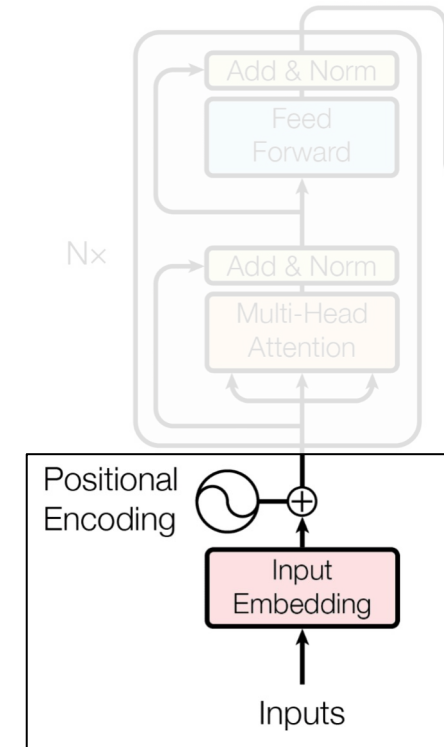


Positional Encoding

# Positional Encoding

## Requirements for Positional Encodings

- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic



Positional Encoding

# Positional Encoding

## Requirements for Positional Encodings

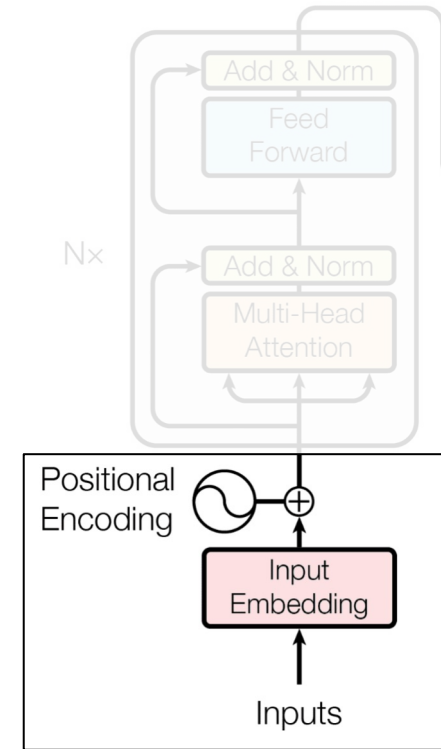
- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic

Possible Candidates :

$$P_{t+1} = P_t + \Delta c$$

$$P_{t+1} = e^{P_t \Delta c}$$

$$P_{t+1} = P_t^{t \Delta c}$$



Positional Encoding

# Positional Encoding

## Requirements for Positional Encodings

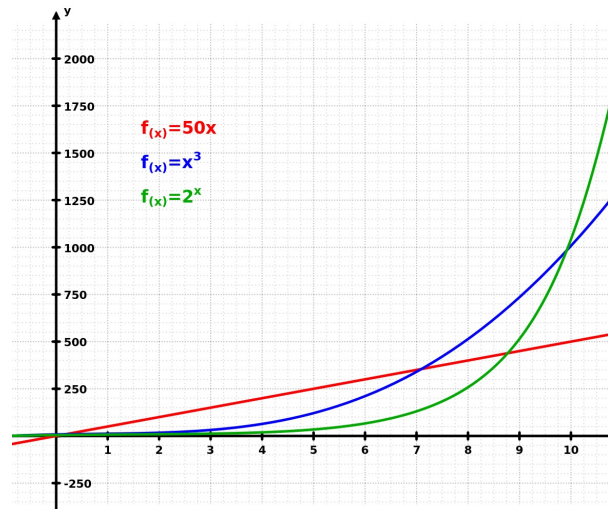
- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic

Possible Candidates :

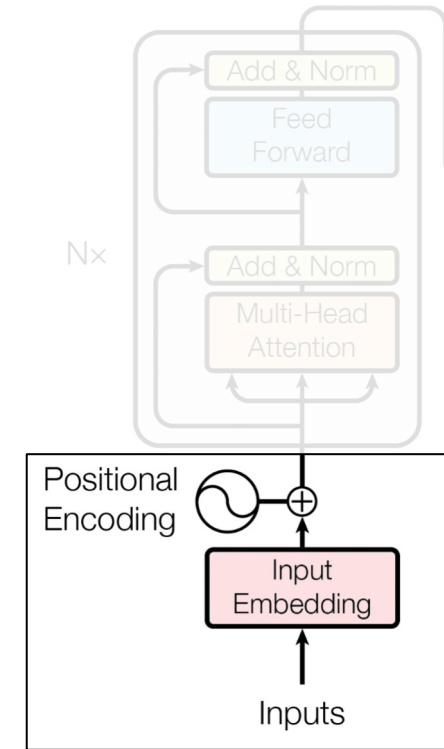
$$P_{t+1} = P_t + \Delta c$$

$$P_{t+1} = e^{P_t \Delta c}$$

$$P_{t+1} = P_t^{t \Delta c}$$



Positional Encoding





# Positional Encoding

## Requirements for Positional Encodings

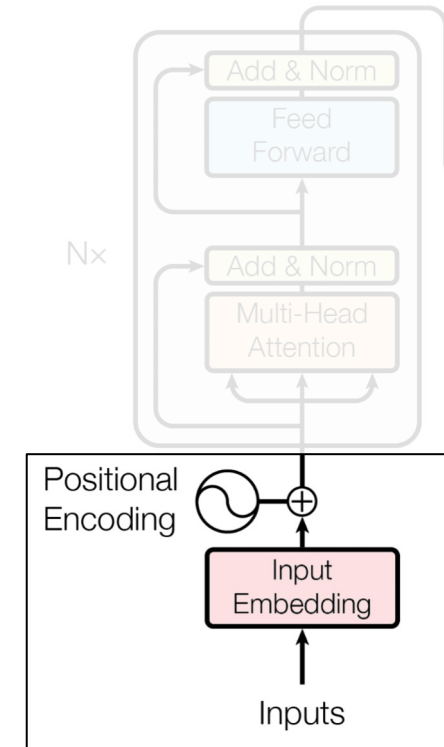
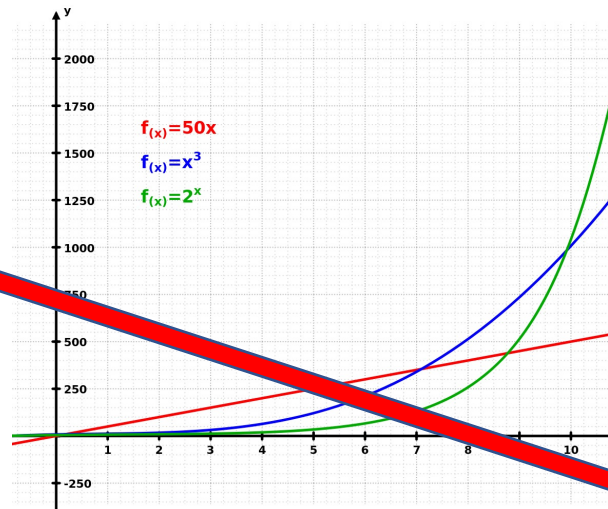
- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic
- **Bounded**

Possible Candidates :

$$P_{t+1} = P_t + \Delta c$$

$$P_{t+1} = e^{P_t \Delta c}$$

$$P_{t+1} = P_t^{t \Delta c}$$



Positional Encoding

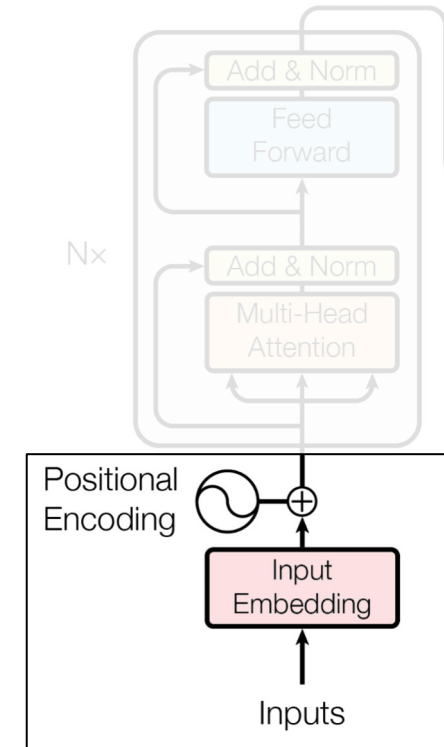
# Positional Encoding

## Requirements for Positional Encodings

- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic
- **Bounded**

Possible Candidates :

$$P(t + t') = M^{t'} \times P(t)$$



Positional Encoding

# Positional Encoding

## Requirements for Positional Encodings

- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic
- **Bounded**

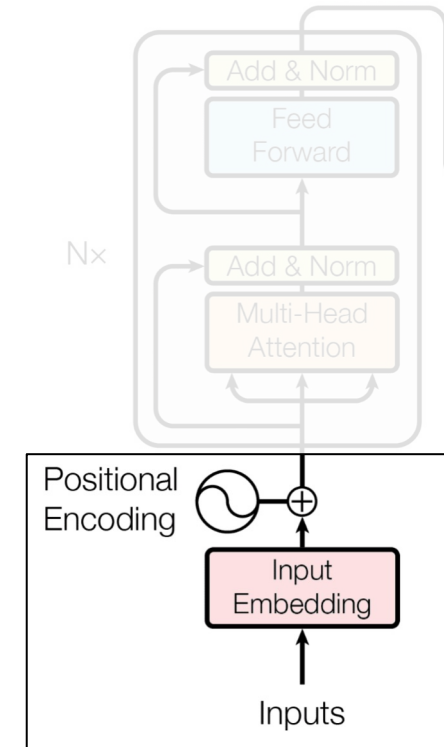
Possible Candidates :

$$P(t + t') = M^{t'} \times P(t)$$

**M ?**

1. Should be a unitary matrix
2. Magnitudes of eigen value should be 1 -> norm preserving

Positional Encoding



# Positional Encoding

## Requirements for Positional Encodings

- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – not cyclic
- **Bounded**

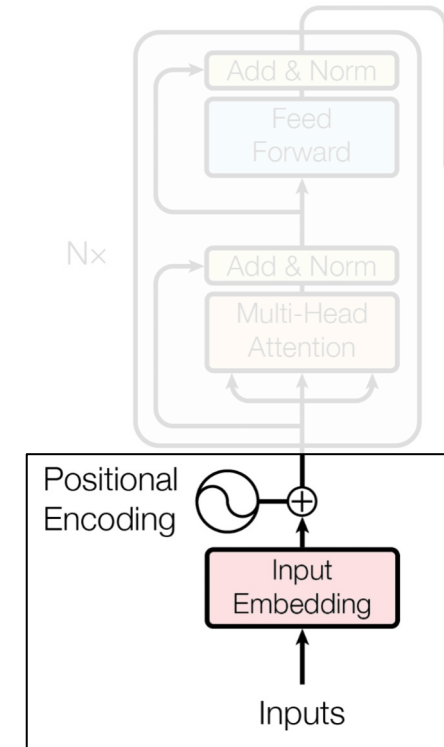
Possible Candidates :

$$P(t + t') = M^{t'} \times P(t)$$

**M**

1. The matrix can be learnt
2. Produces unique rotated embeddings each time

Positional Encoding



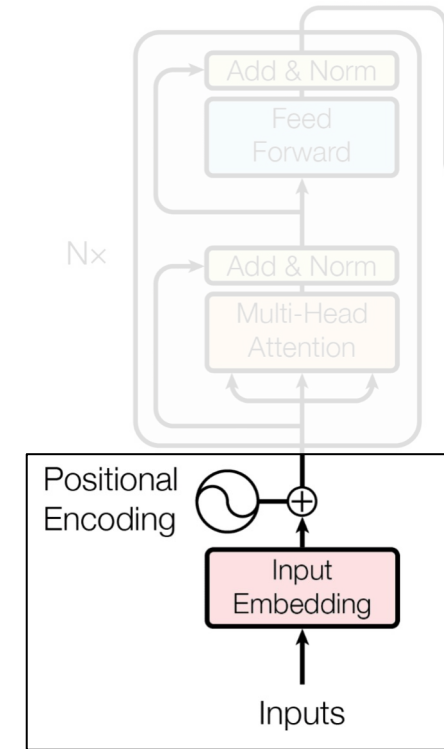
# Rotary Positional Embedding

## RoFORMER: ENHANCED TRANSFORMER WITH ROTARY POSITION EMBEDDING

$$f_{\{q,k\}}(\mathbf{x}_m, m) = \begin{pmatrix} \cos m\theta & -\sin m\theta \\ \sin m\theta & \cos m\theta \end{pmatrix} \begin{pmatrix} W_{\{q,k\}}^{(11)} & W_{\{q,k\}}^{(12)} \\ W_{\{q,k\}}^{(21)} & W_{\{q,k\}}^{(22)} \end{pmatrix} \begin{pmatrix} x_m^{(1)} \\ x_m^{(2)} \end{pmatrix}$$

Table 2: Comparing RoFormer and BERT by fine tuning on downstream GLEU tasks.

Model	MRPC	SST-2	QNLI	STS-B	QQP	MNLI(m/mm)
BERTDevlin et al. [2019]	88.9	93.5	90.5	85.8	71.2	84.6/83.4
RoFormer	<b>89.5</b>	90.7	88.0	<b>87.0</b>	<b>86.4</b>	80.2/79.8



[REF: Rotary Positional Embeddings](#) 

# Positional Encoding

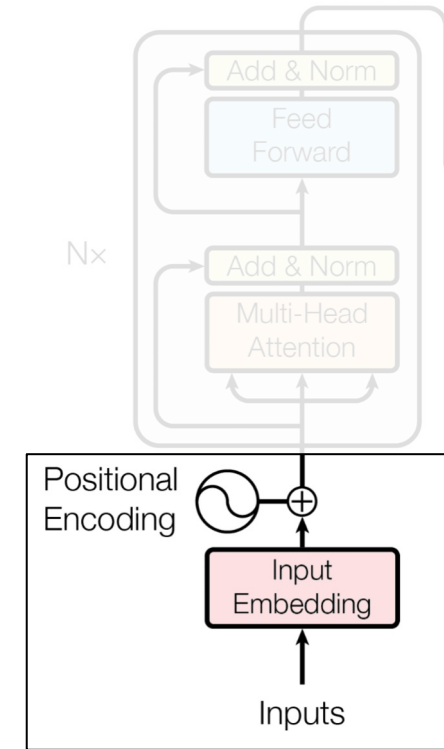
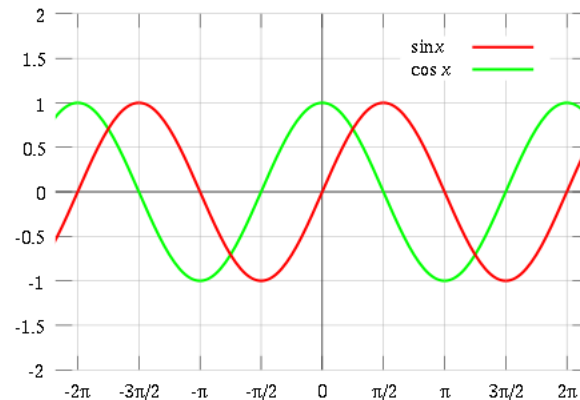
## Requirements for Positional Encodings

- Some representation of time ? (like **seq2seq** ?)
- Should be unique for each position – **not cyclic**
- Bounded

Actual Candidates :

$\text{sine}(g(t))$

$\text{cosine}(g(t))$



Positional Encoding

# Positional Encoding

*Requirements for  $g(t)$*

- *Must have same dimensions as input embeddings*
- *Must produce overall unique encodings*

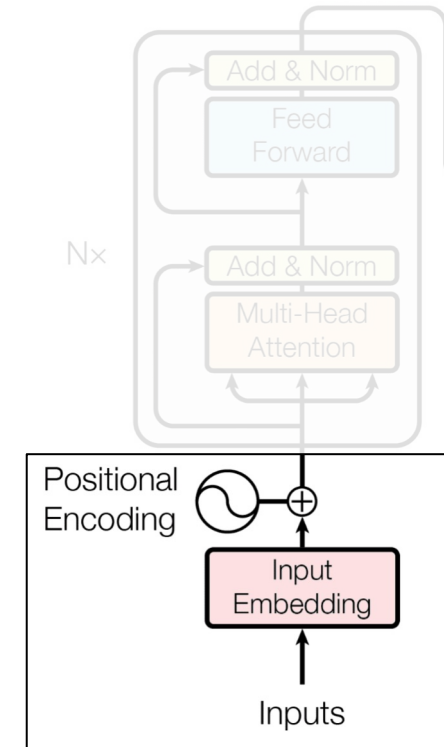
pos -> idx of the token in input sentence

i ->  $i^{\text{th}}$  dimension out of d

$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

Positional Encoding



# Positional Encoding

$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

Requirements for  $g(t)$

- Must have same dimensions as input embeddings
- Must produce overall unique encodings

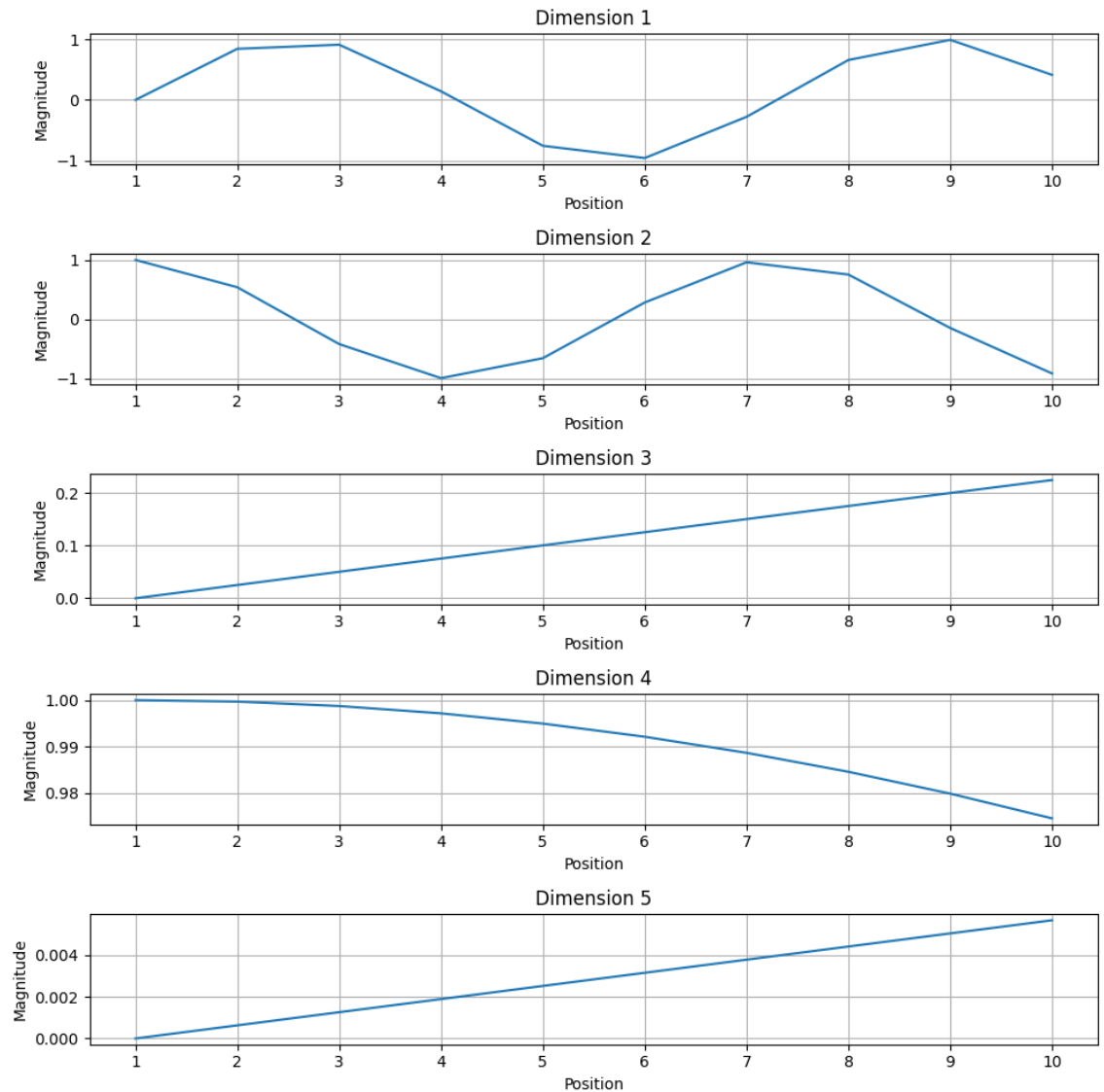
pos -> idx of the token in input sentence

i ->  $i^{\text{th}}$  dimension out of d



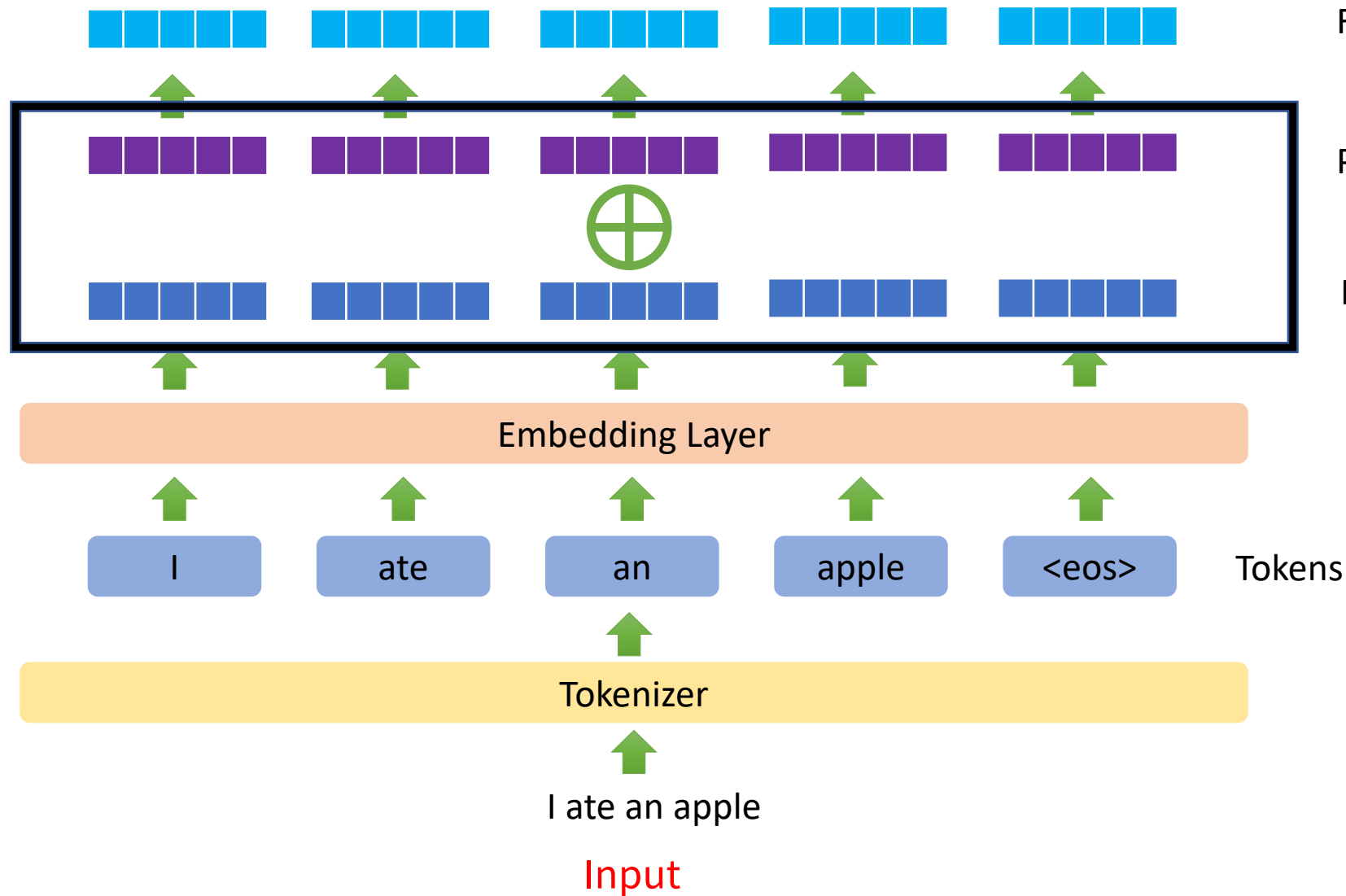
Positional Encoding:

	0	1	2	3	4
Dim 1	0.000	0.841	0.909	0.141	-0.757
Dim 2	1.000	0.540	-0.416	-0.990	-0.654
Dim 3	0.000	0.025	0.050	0.075	0.100
Dim 4	1.000	1.000	0.999	0.997	0.995
Dim 5	0.000	0.001	0.001	0.002	0.003





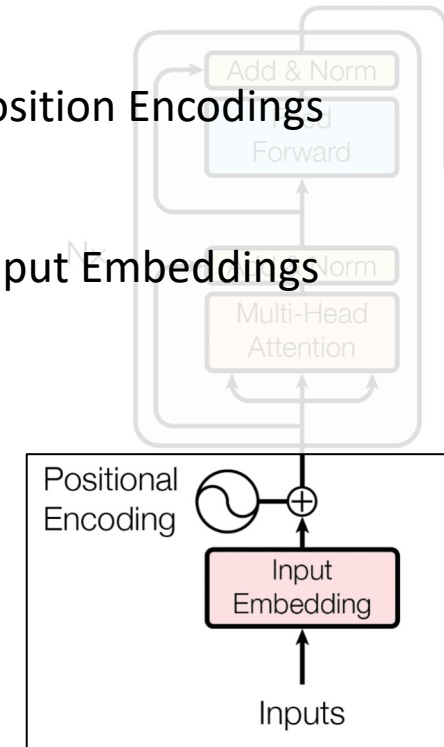
# Positional Encoding

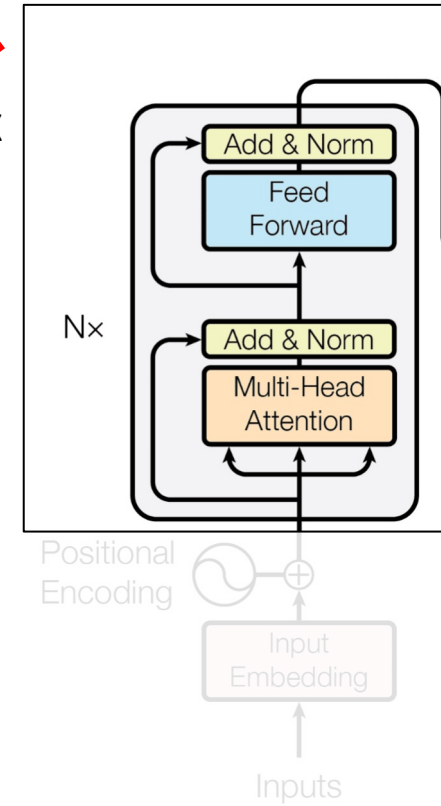


Final Input Embeddings

Position Encodings

Input Embeddings

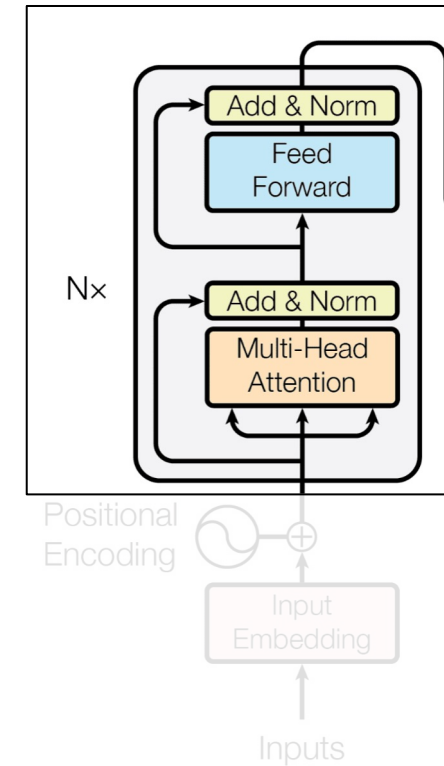


$$\alpha_{[ij]} \quad \Sigma$$


# Self Attention

From lecture 18:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



# Self Attention

The

animal

didn't

cross

the

street

because

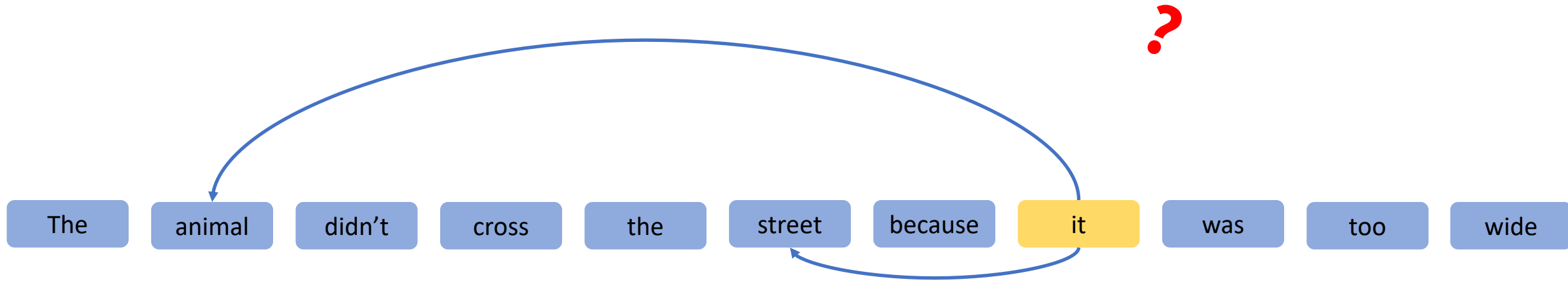
it

was

too

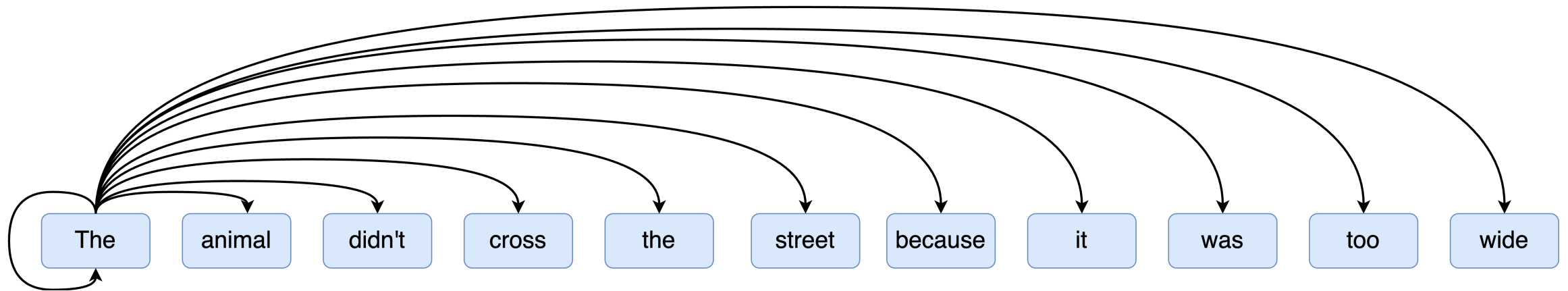
wide

# Self Attention

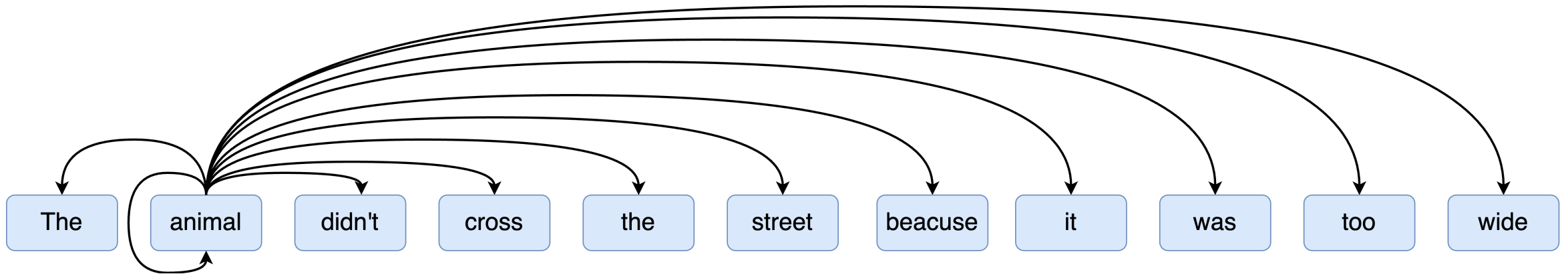


coreference resolution ?

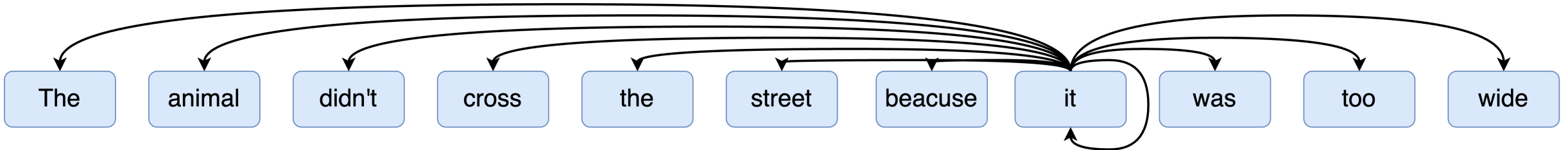
# Self Attention



# Self Attention

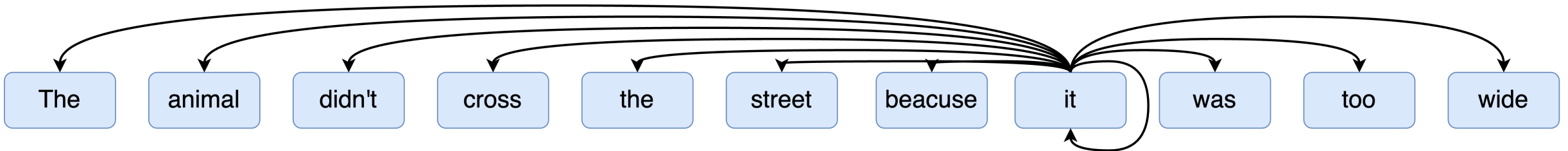


# Self Attention





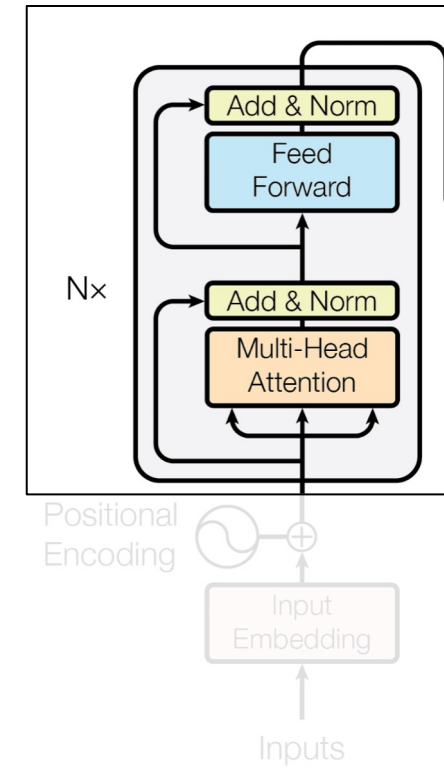
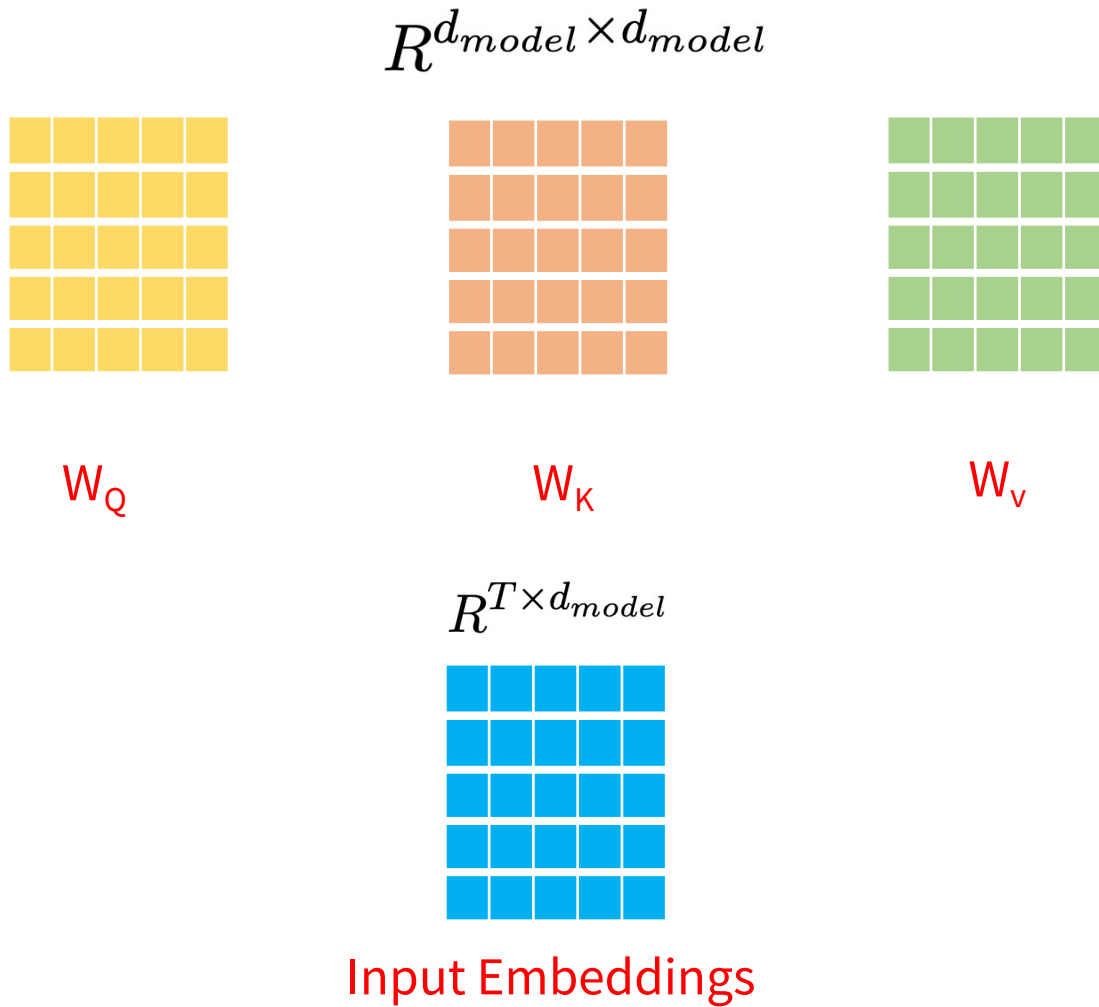
# Self Attention



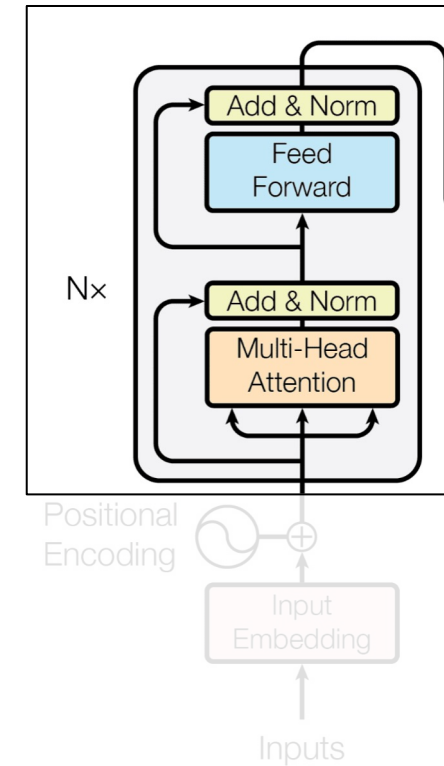
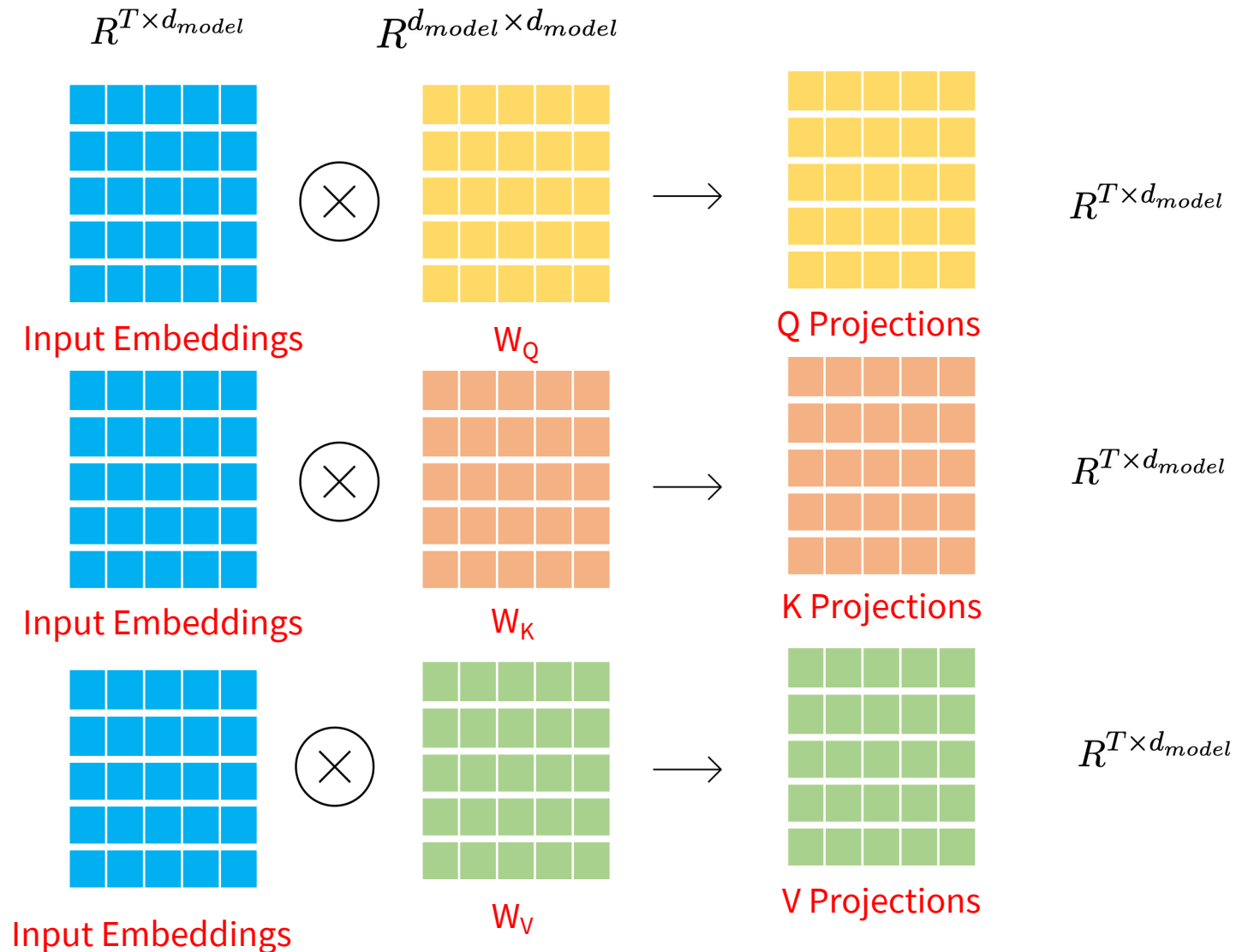
**SELF**

Query Inputs = Key Inputs = Value Inputs

# Self Attention

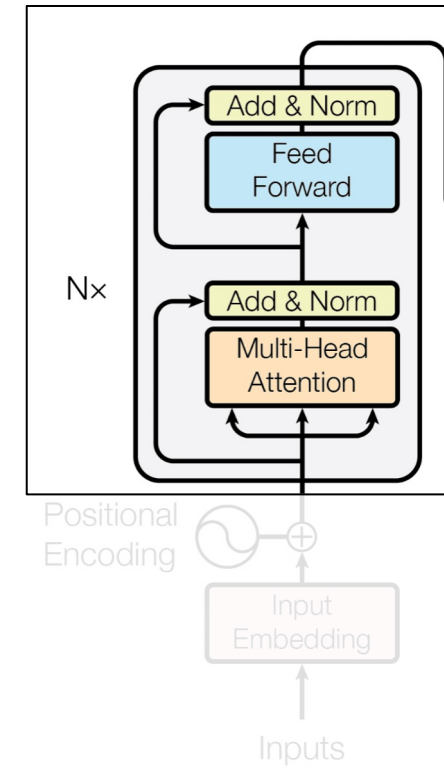
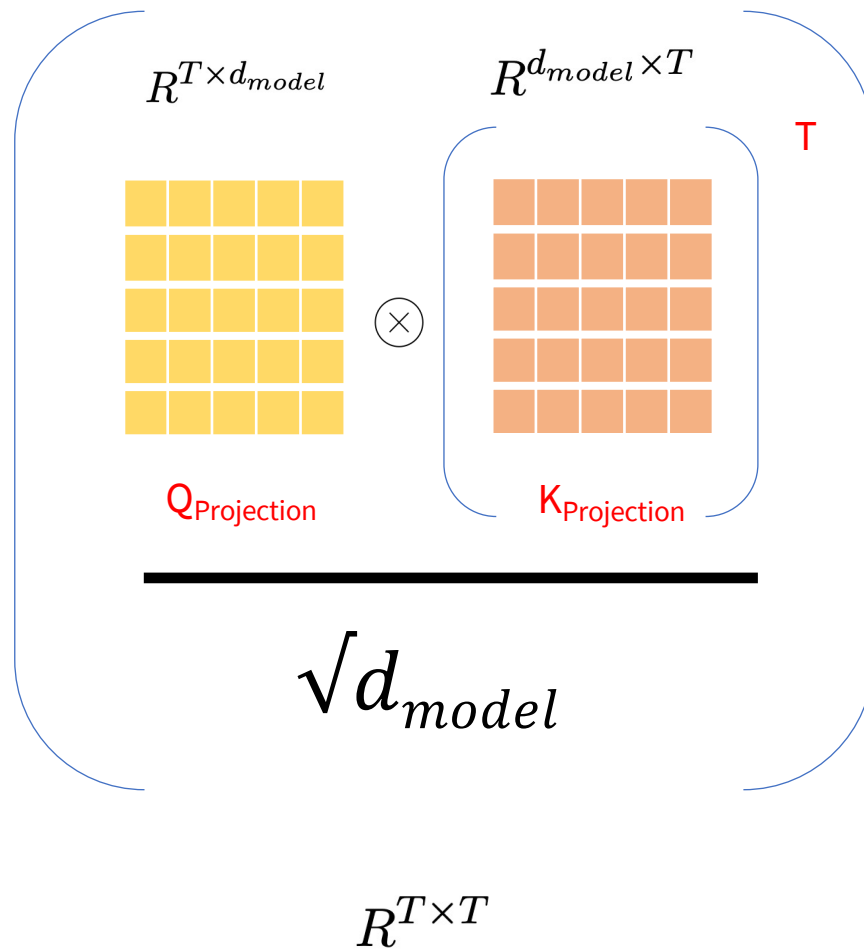


# Self Attention

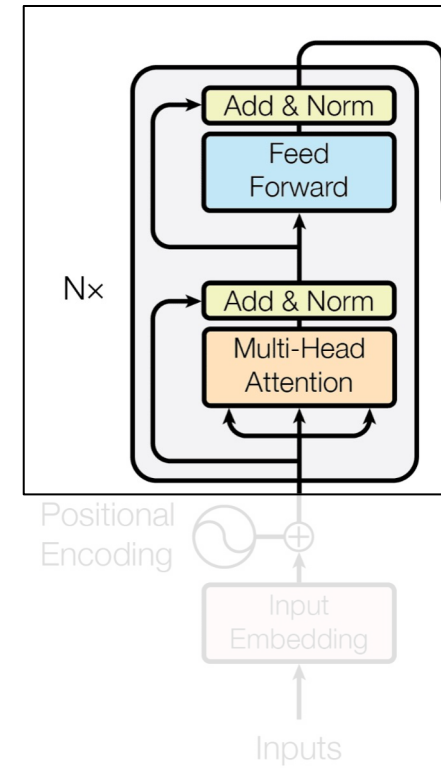
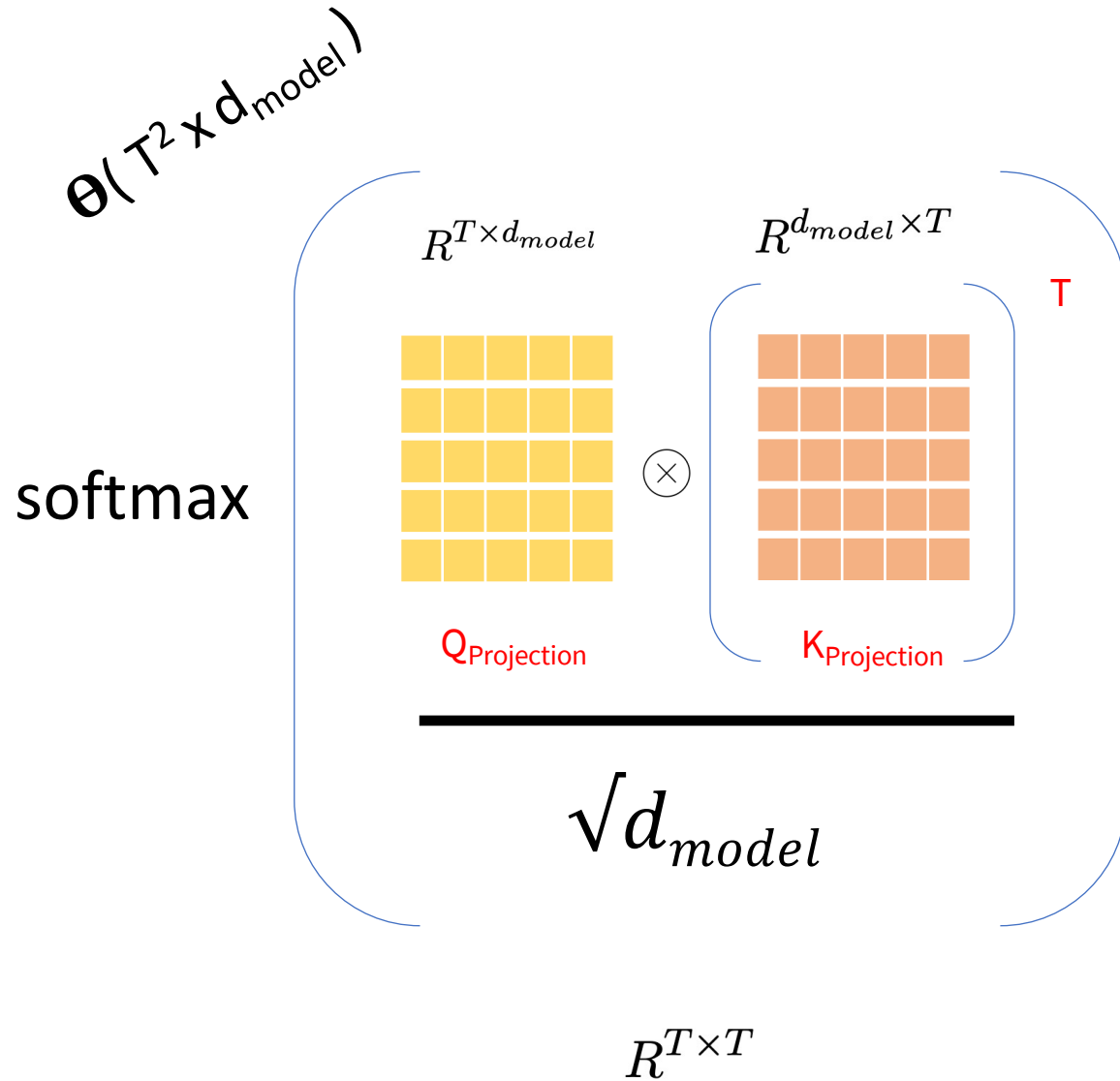


# Self Attention

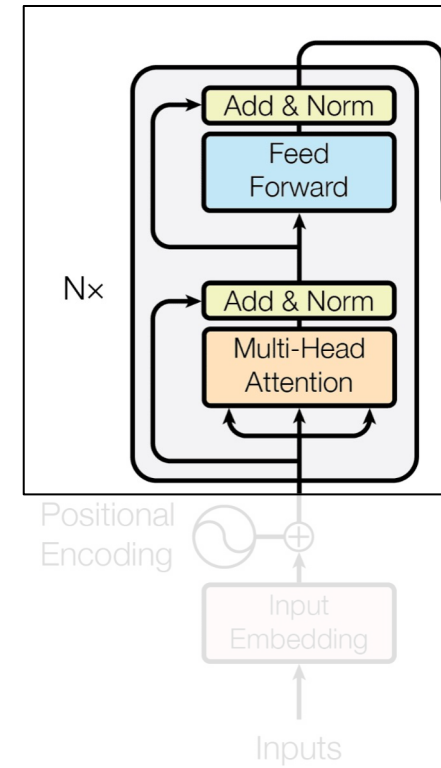
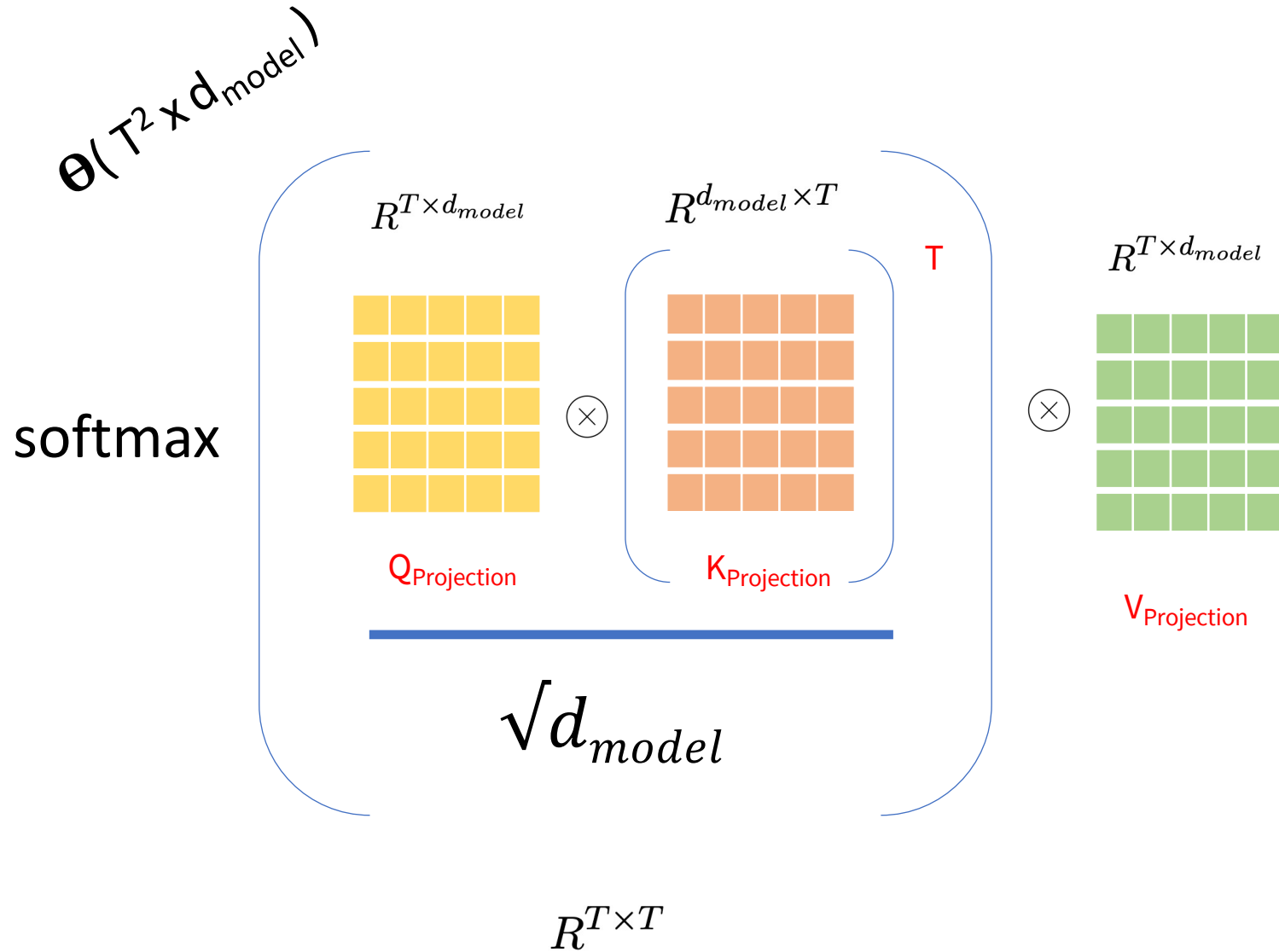
softmax



# Self Attention

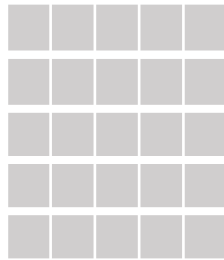


# Self Attention

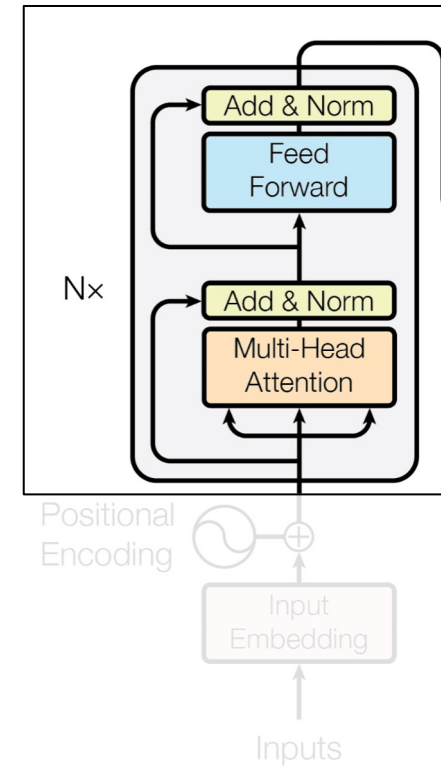


# Self Attention

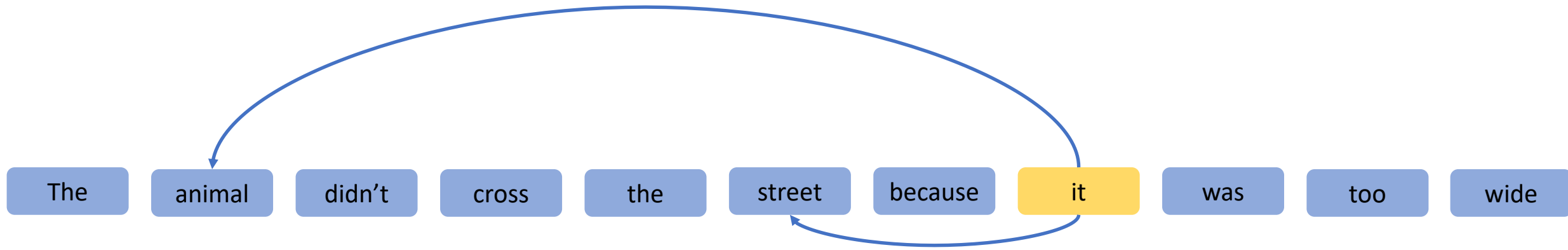
$$R^{T \times d_{model}}$$



Attention: Z



# Self Attention

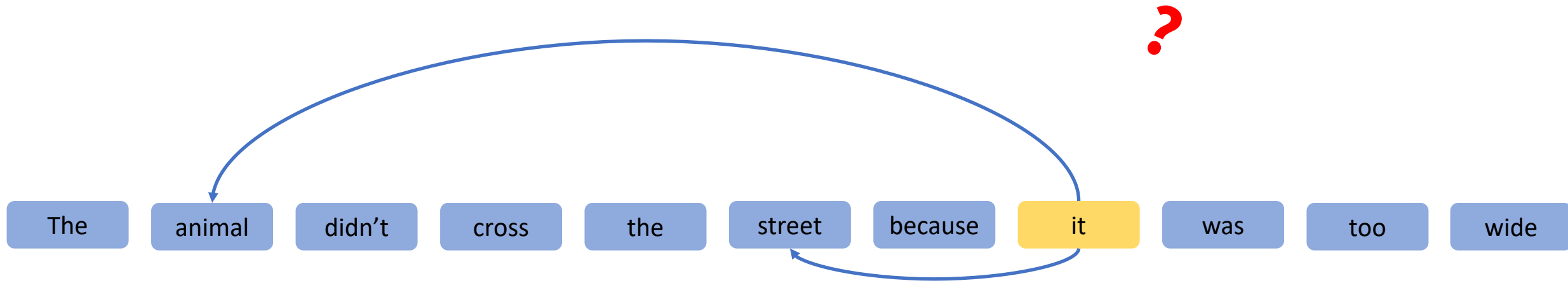


coreference resolution





# Self Attention



Sentence boundaries ?

coreference resolution



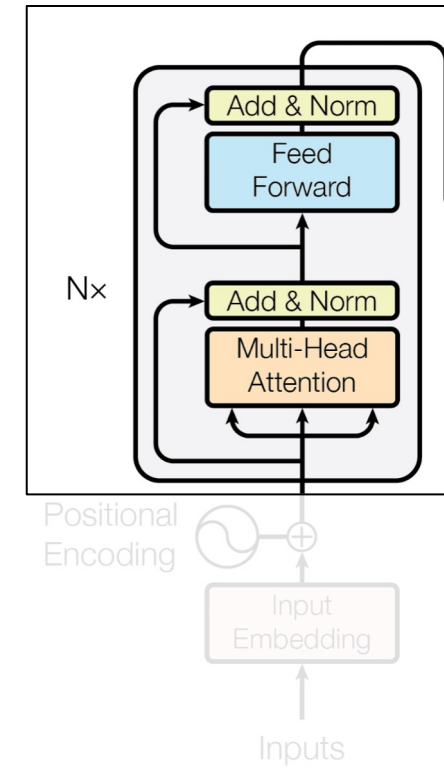
Context ?

Semantic relationships ?

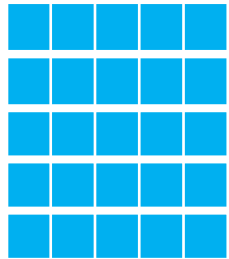
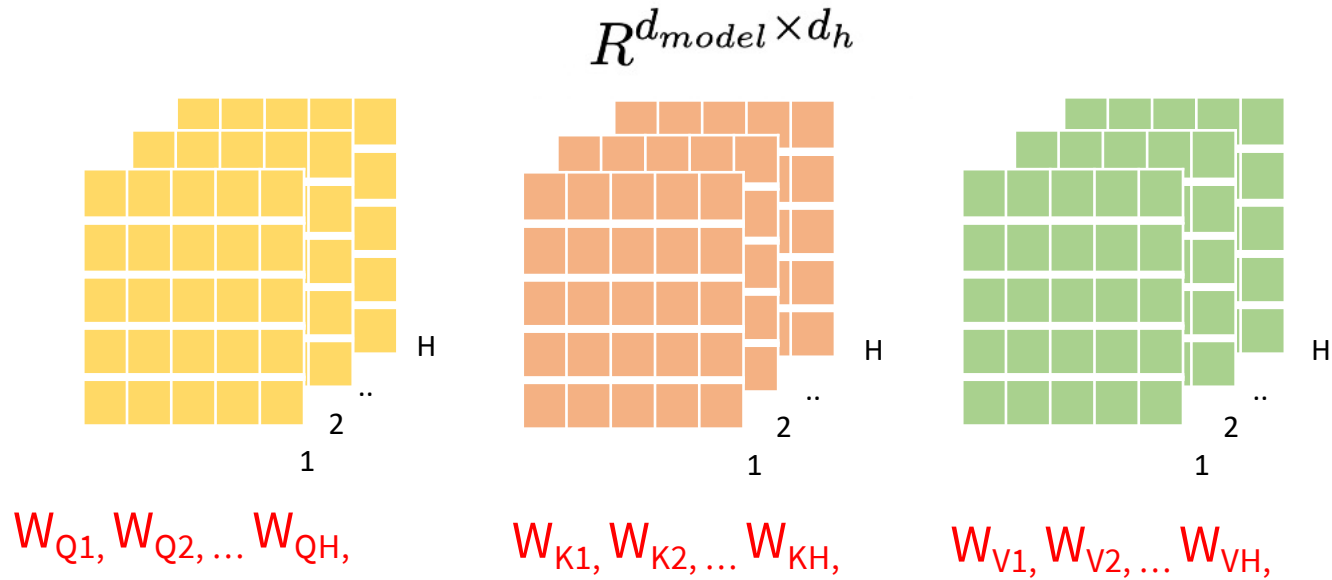
Part of Speech ?

Comparisons ?

# Self Attention



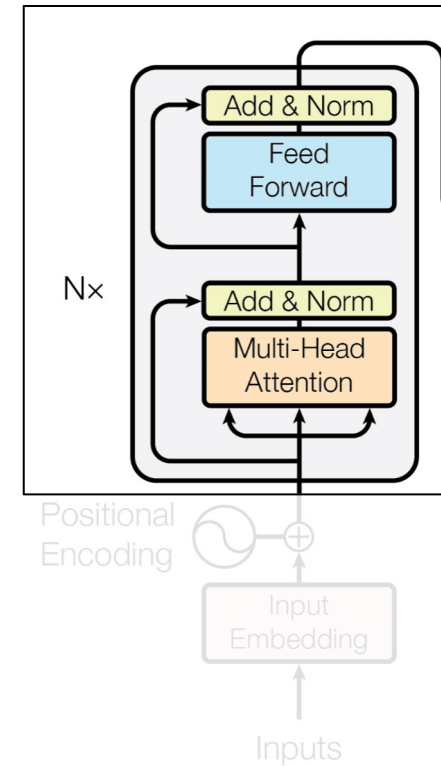
# Multi-Head Attention



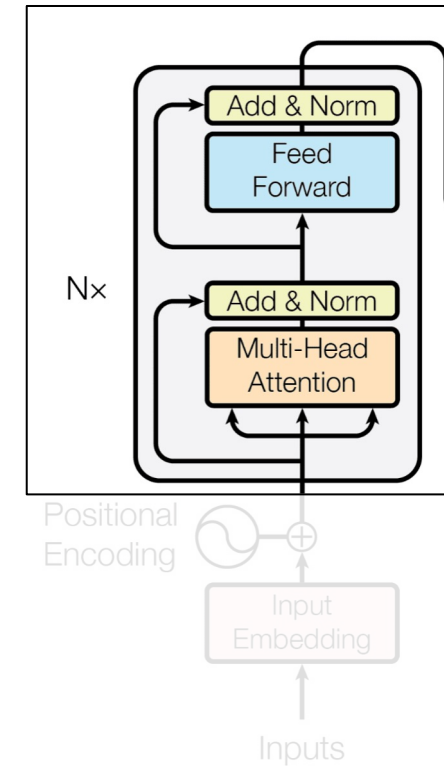
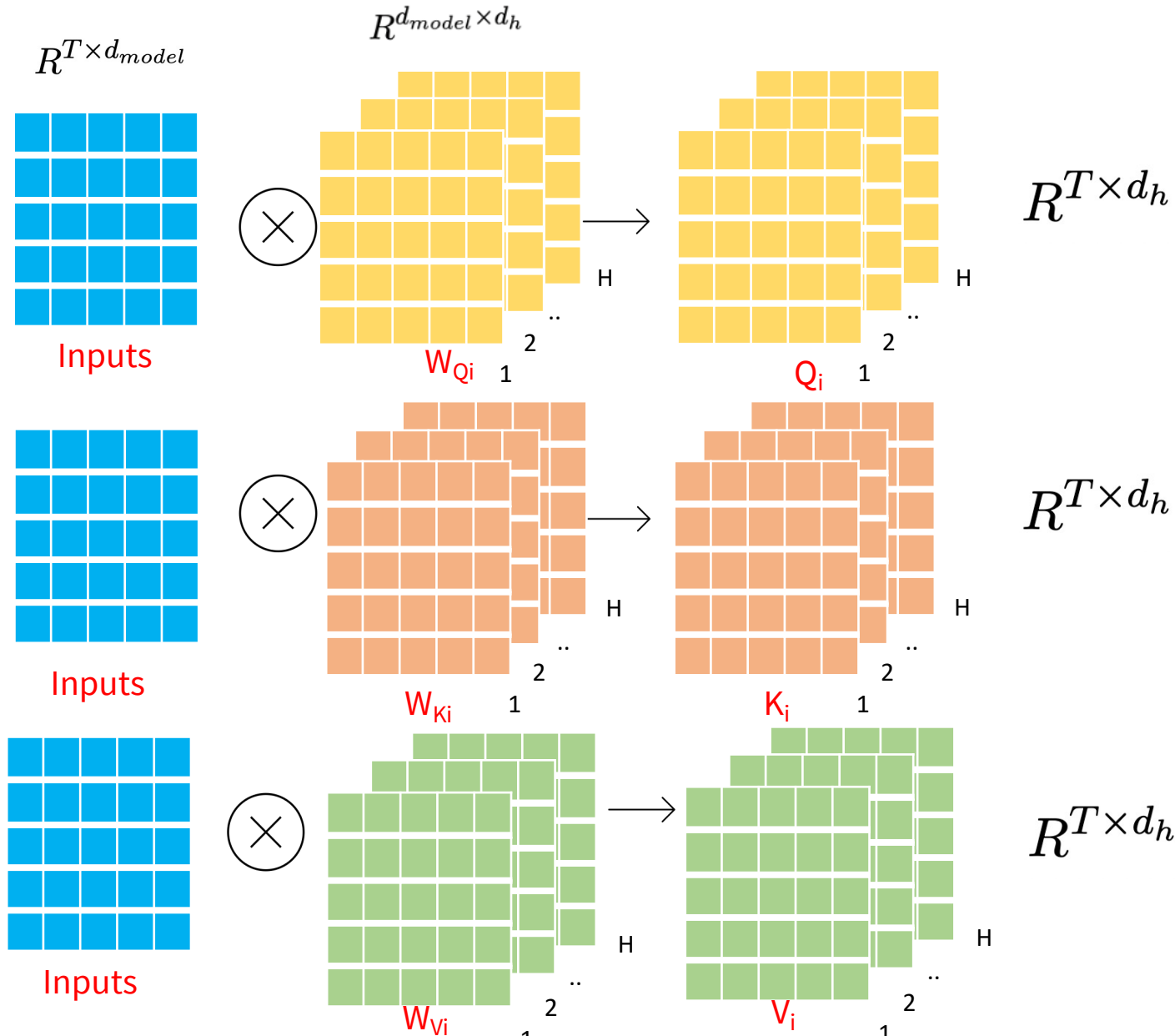
Input Embeddings

$$R^{T \times d_{model}}$$

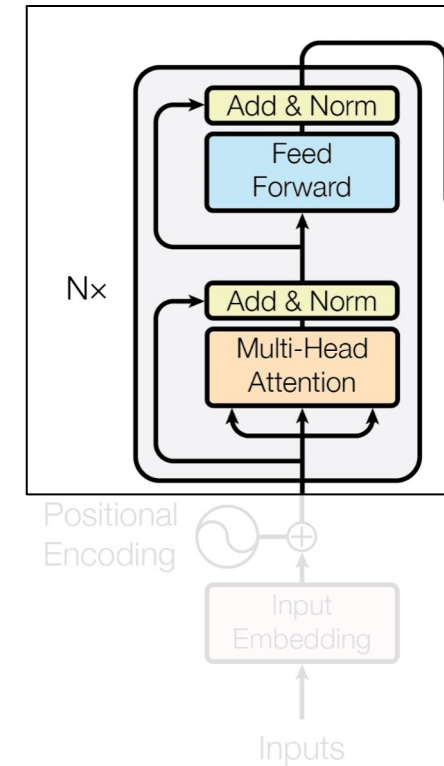
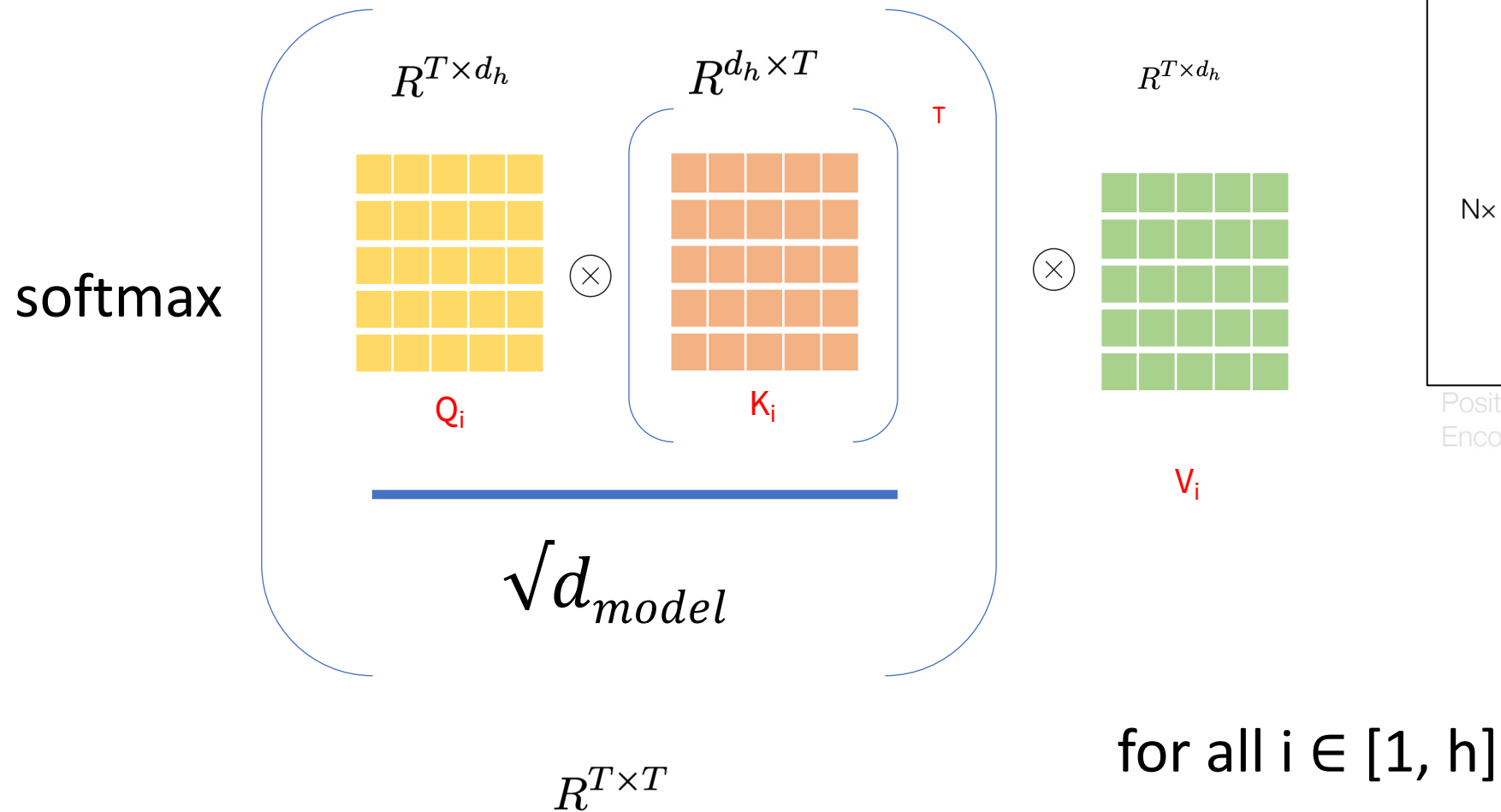
$$d_h = \frac{d_{model}}{h}$$



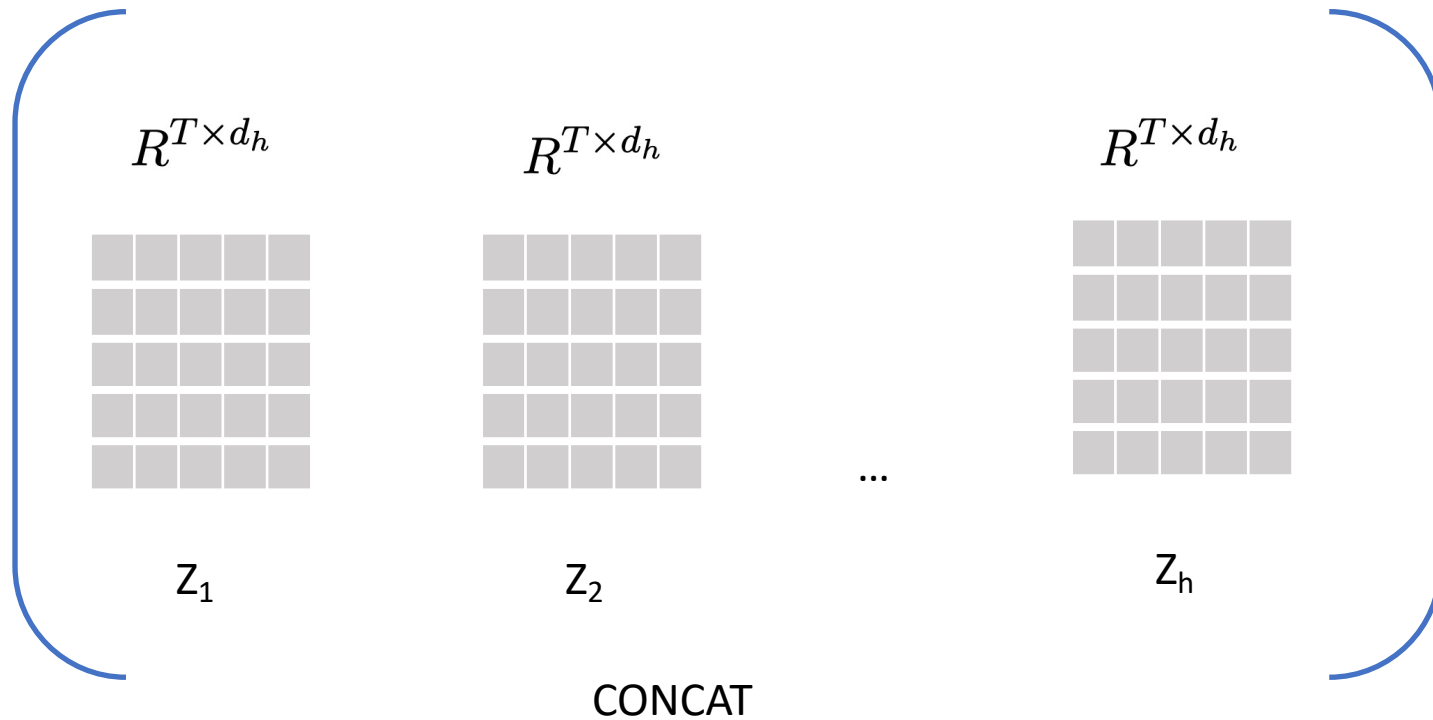
# Multi-Head Attention



# Multi-Head Attention



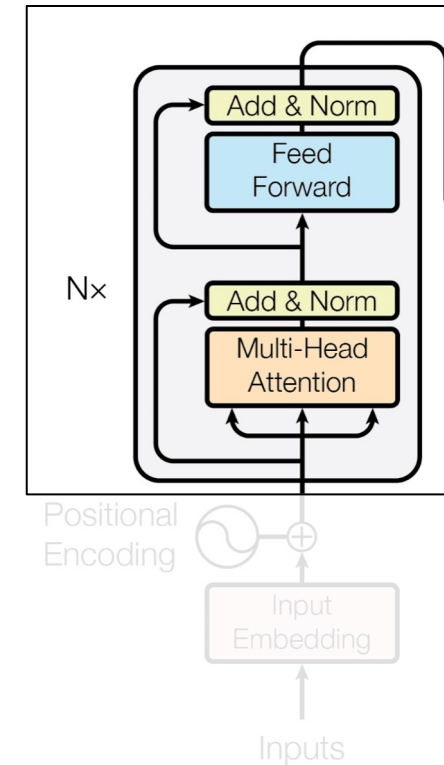
# Multi-Head Attention



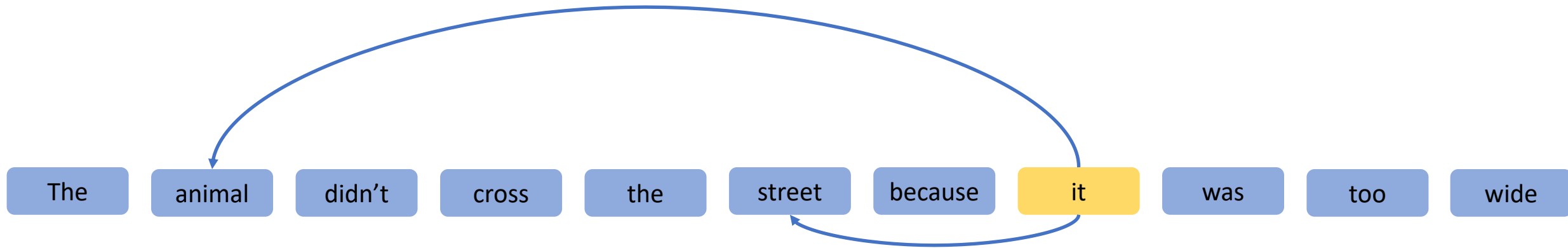
Multi Head Attention : Z

$$R^{T \times d_{model}}$$

$$d_h = \frac{d_{model}}{h}$$



# Multi-Head Attention



Sentence boundaries ?



coreference resolution



Context ?



Semantic relationships ?



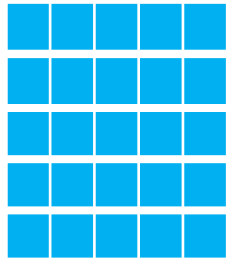
Part of Speech ?



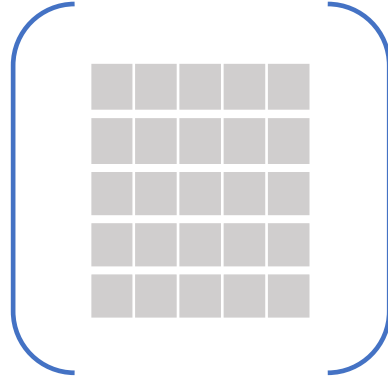
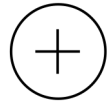
Comparisons ?



# Add & Norm



Input



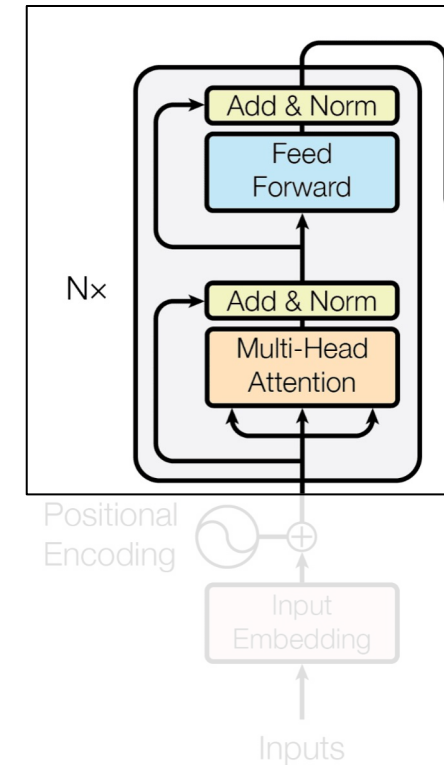
Norm(Z)

## Normalization(Z)

- Mean 0, Std dev 1
- Stabilizes training
- Regularization effect

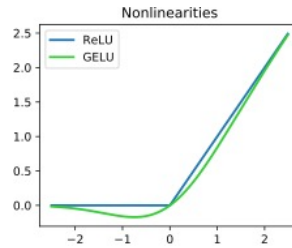
## Add -> Residuals

- Avoid vanishing gradients
- Train deeper networks



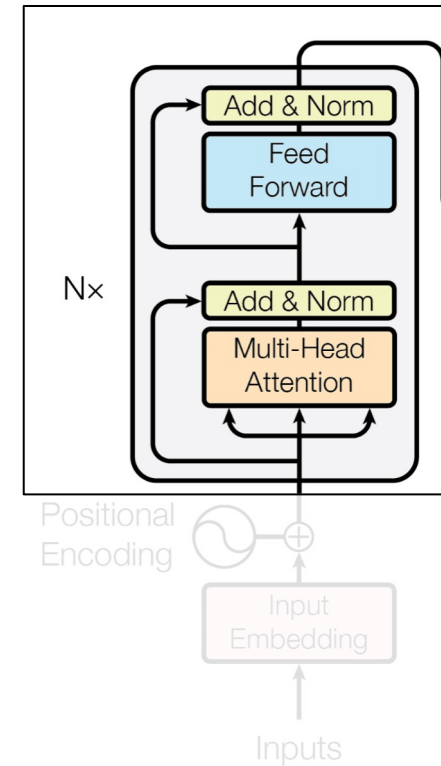


# Feed Forward

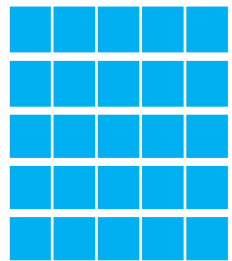


## Feed Forward

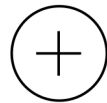
- Non Linearity
- Complex Relationships
- Learn from each other



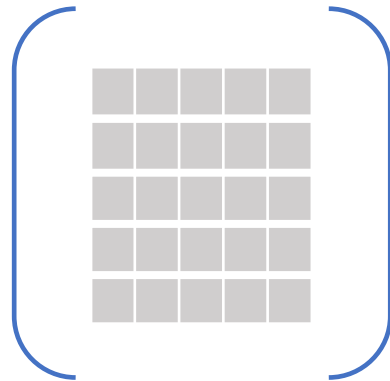
Feed Forward



Input



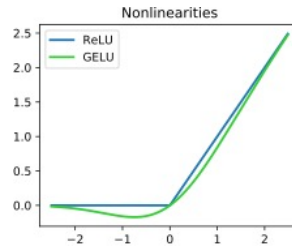
Residuals



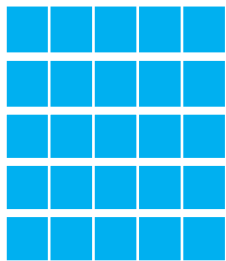
Norm(Z)

# Add & Norm

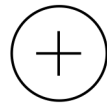
## Add & Norm



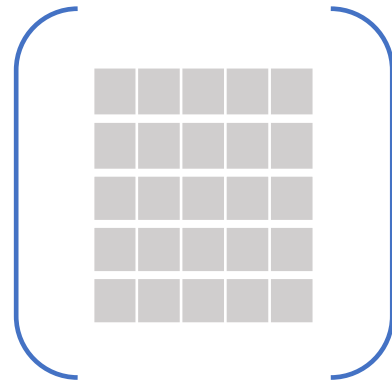
## Feed Forward



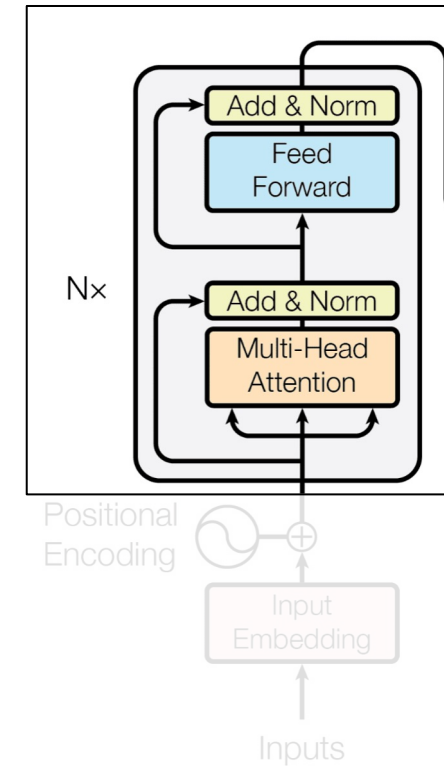
Input



Residuals



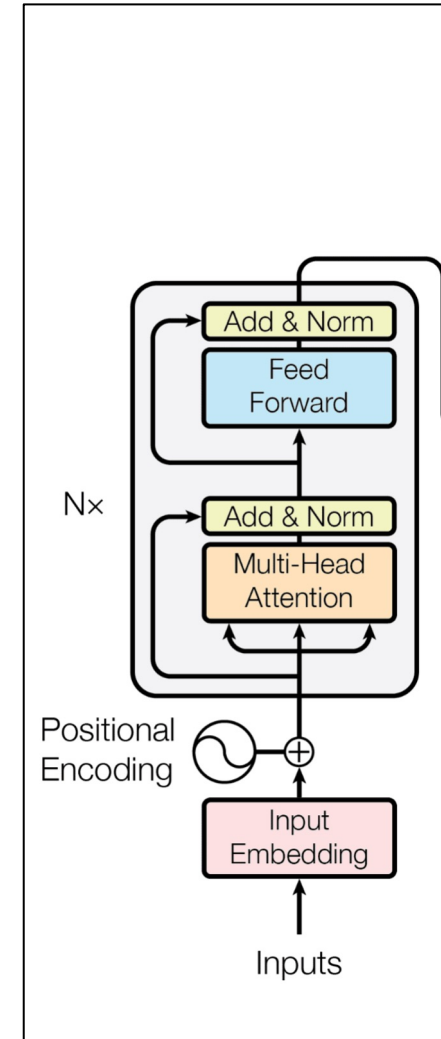
Norm(Z)



# Encoders

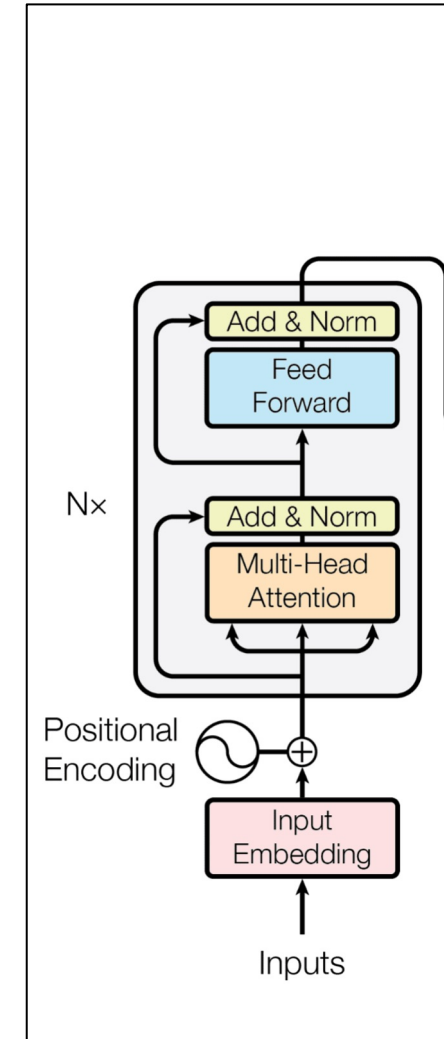
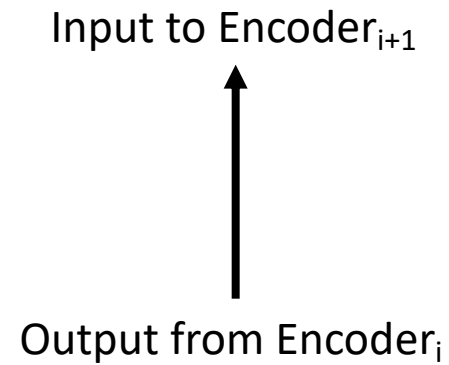
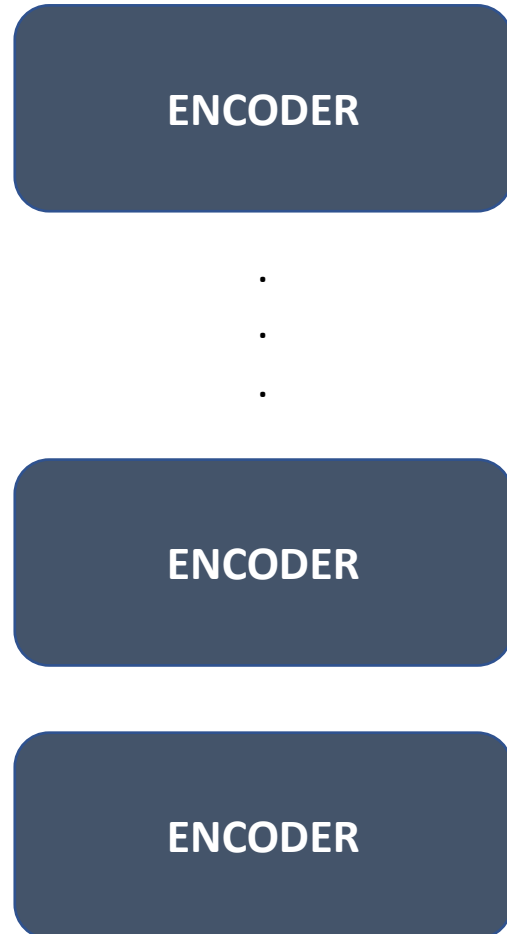
## Encoder

ENCODER



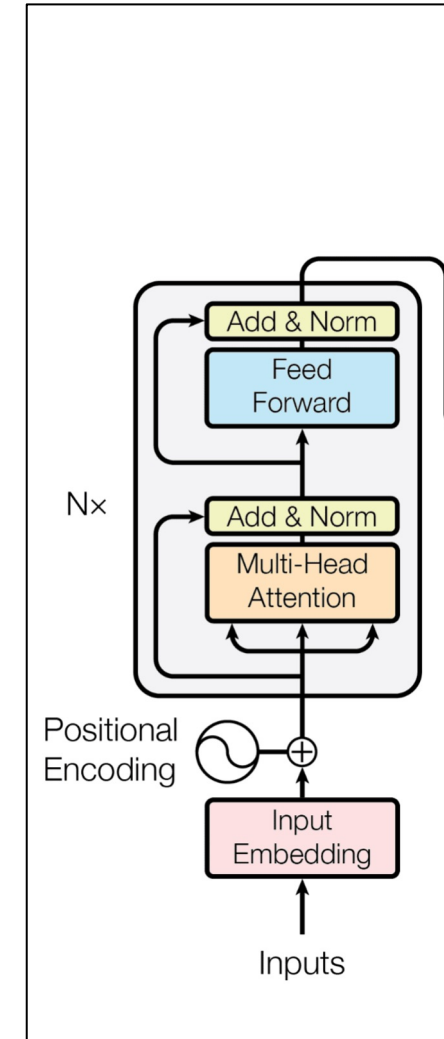
# Encoders

## Encoder



# Transformers

- ✓ Tokenization
- ✓ Input Embeddings
- ✓ Position Encodings
- ✓ Residuals
- ✓ Query
- ✓ Key
- ✓ Value
- ✓ Add & Norm
- ✓ Encoder
- Decoder
- ✓ Attention
- ✓ Self Attention
- ✓ Multi Head Attention
- Masked Attention
- Encoder Decoder Attention
- Output Probabilities / Logits
- Softmax
- Encoder-Decoder models
- Decoder only models

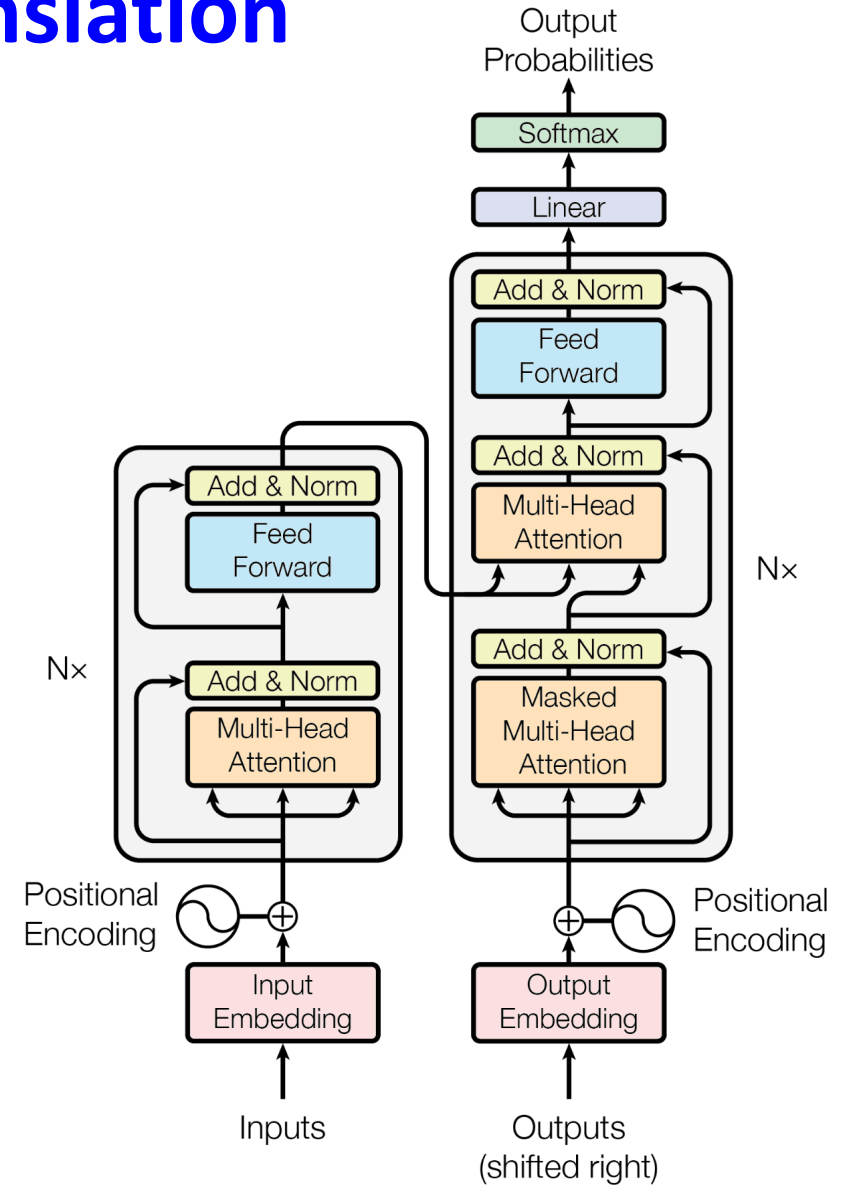


# Machine Translation

**Targets**  
Ich have einen apfel gegessen



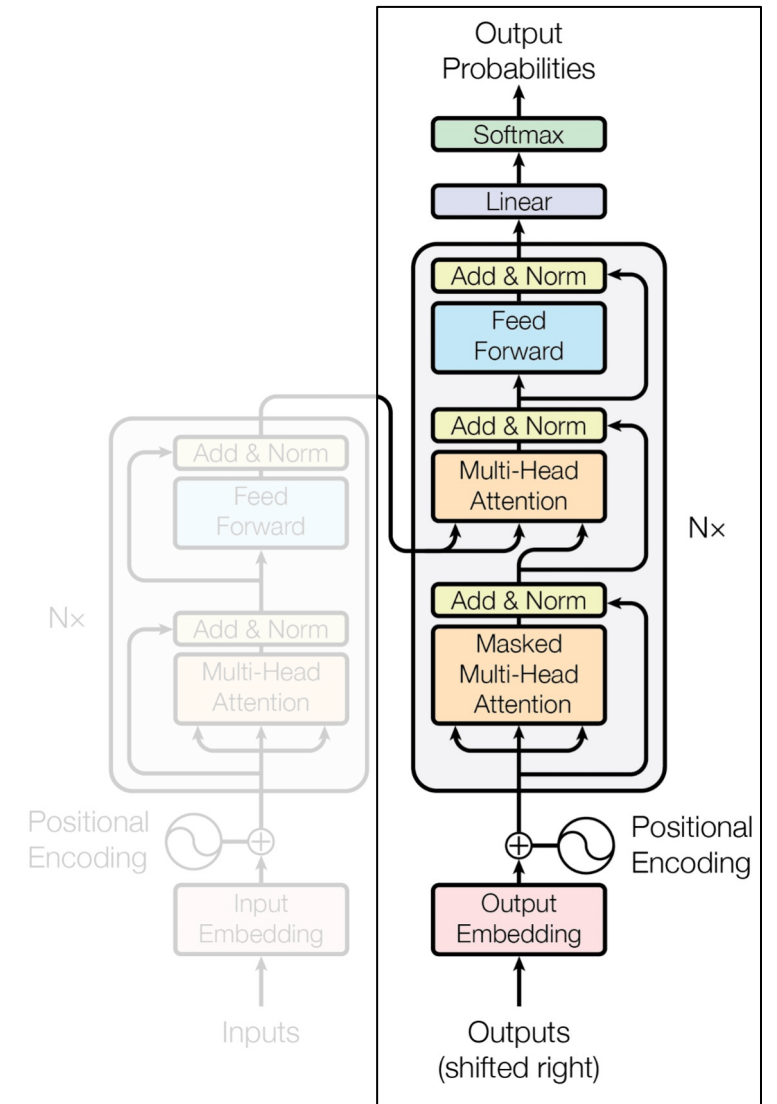
**Inputs**  
I ate an apple



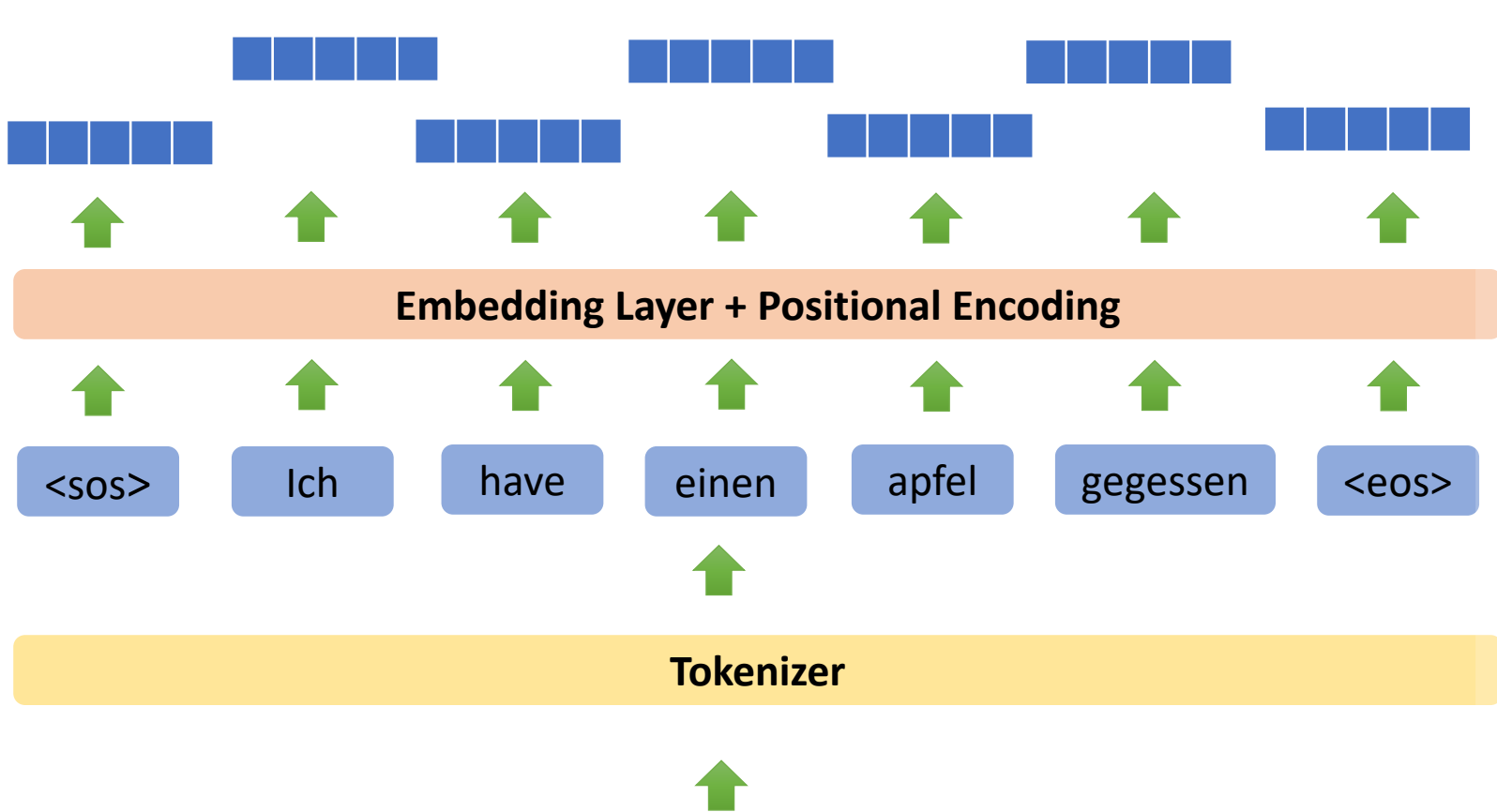
# Targets

Targets

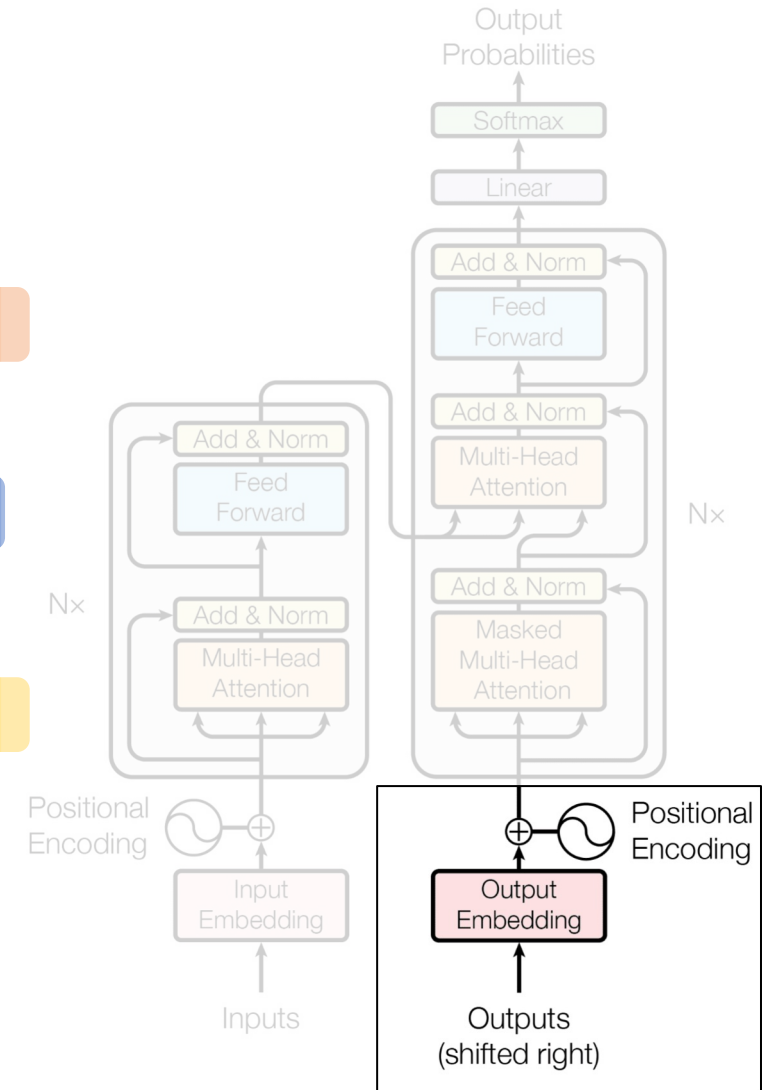
Ich have einen apfel gegessen



# Targets

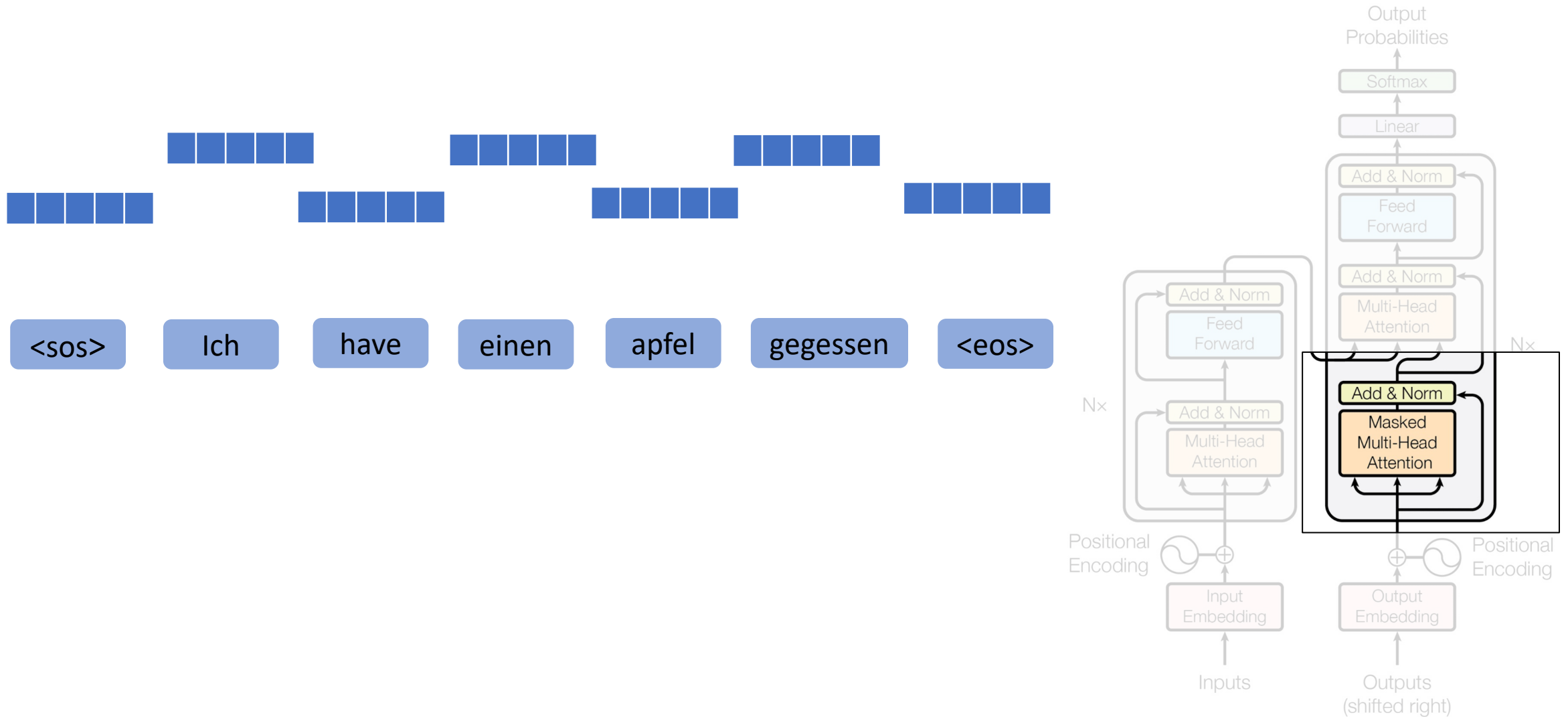


Generate Target Emebeddings



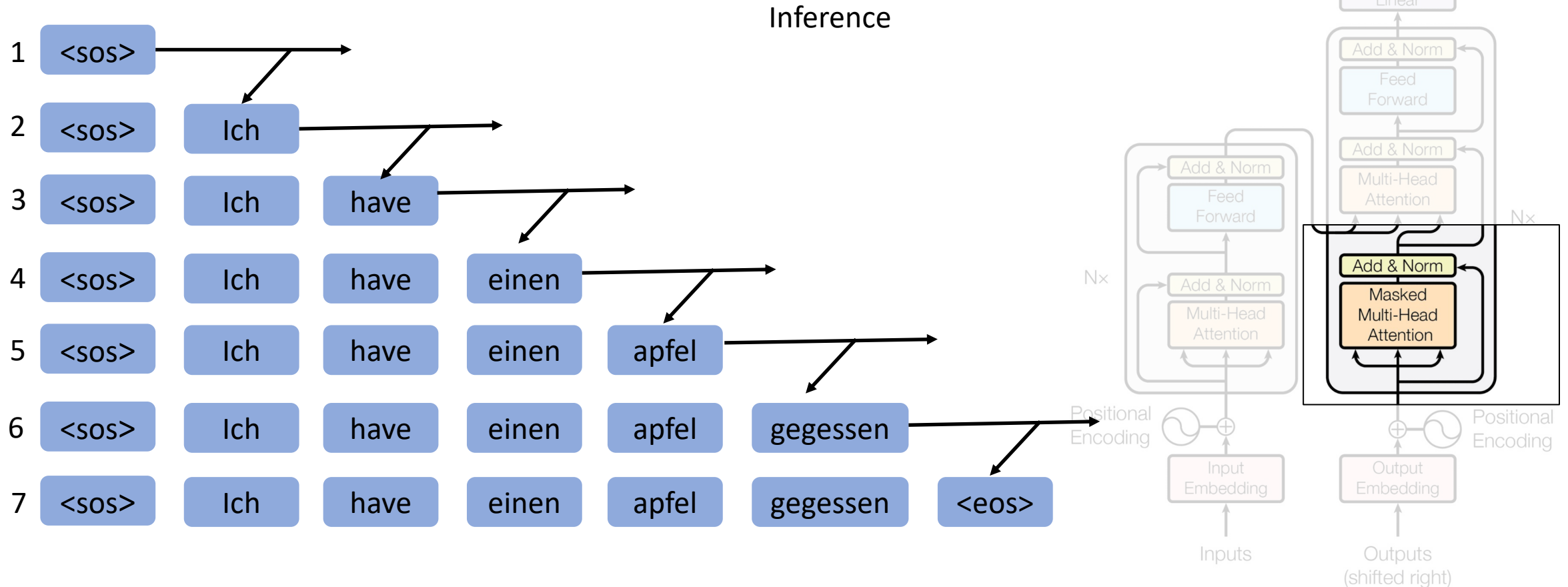


# Masked Multi Head Attention



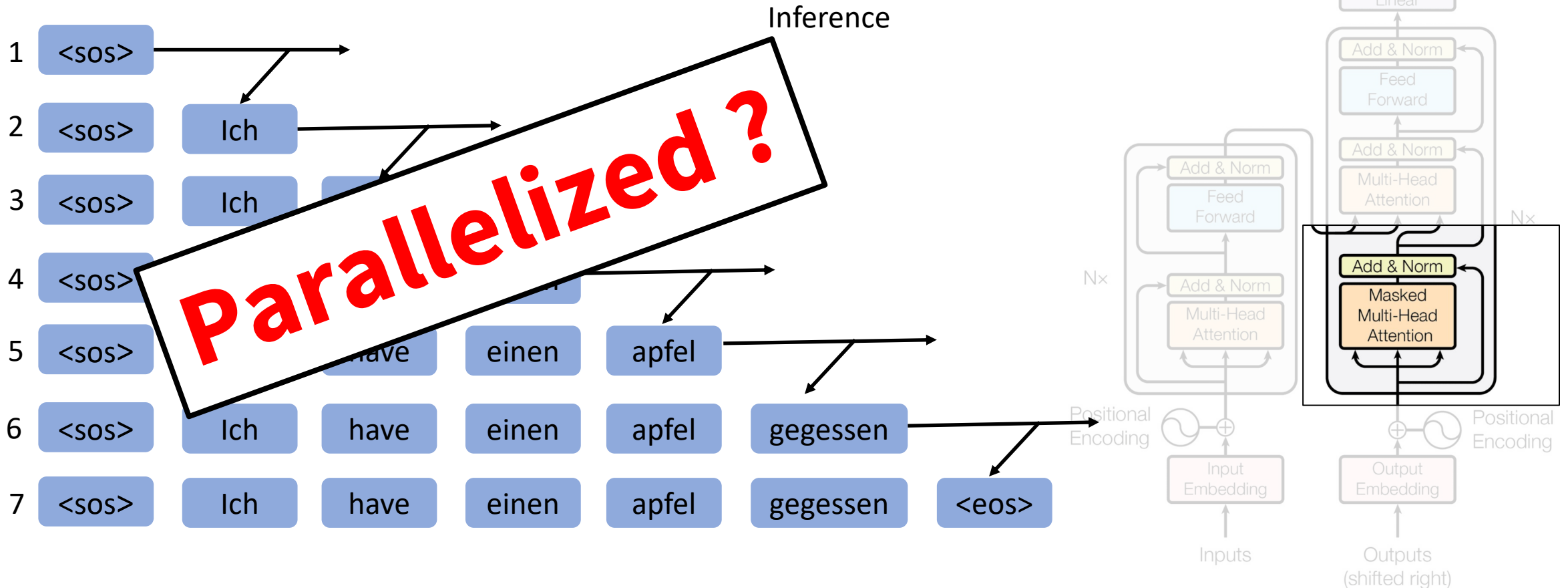
# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)



# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

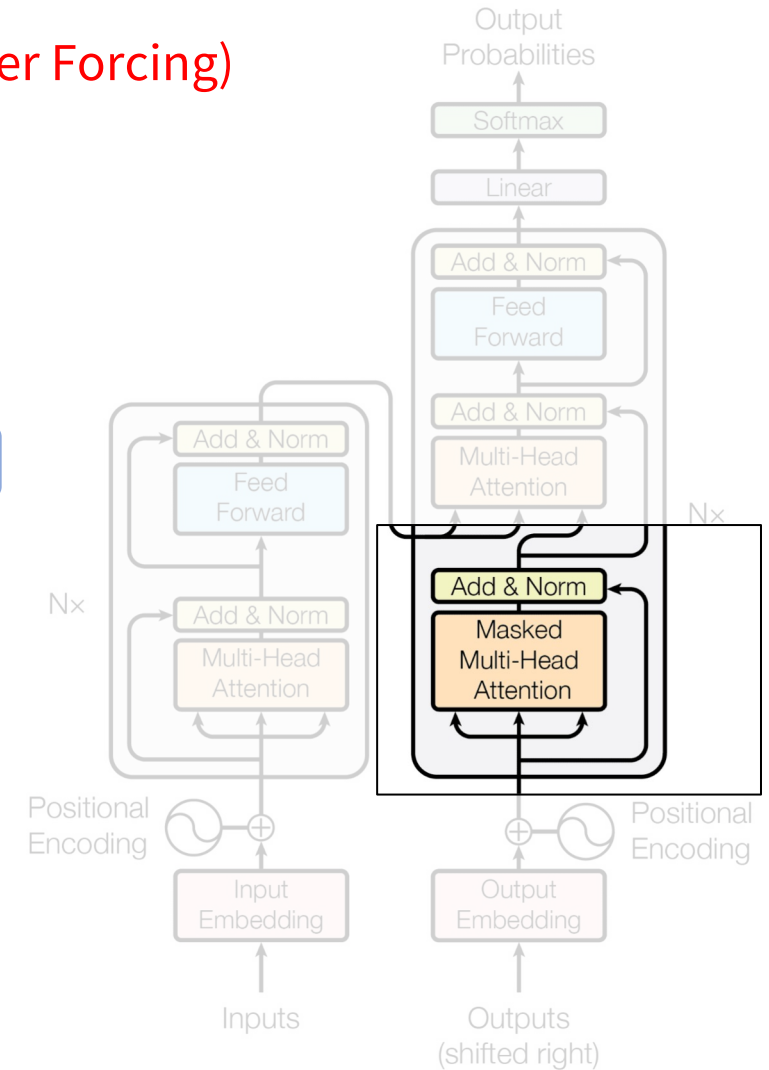


# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

Training

< sos >   Ich   have   einen   apfel   gegessen   < eos >



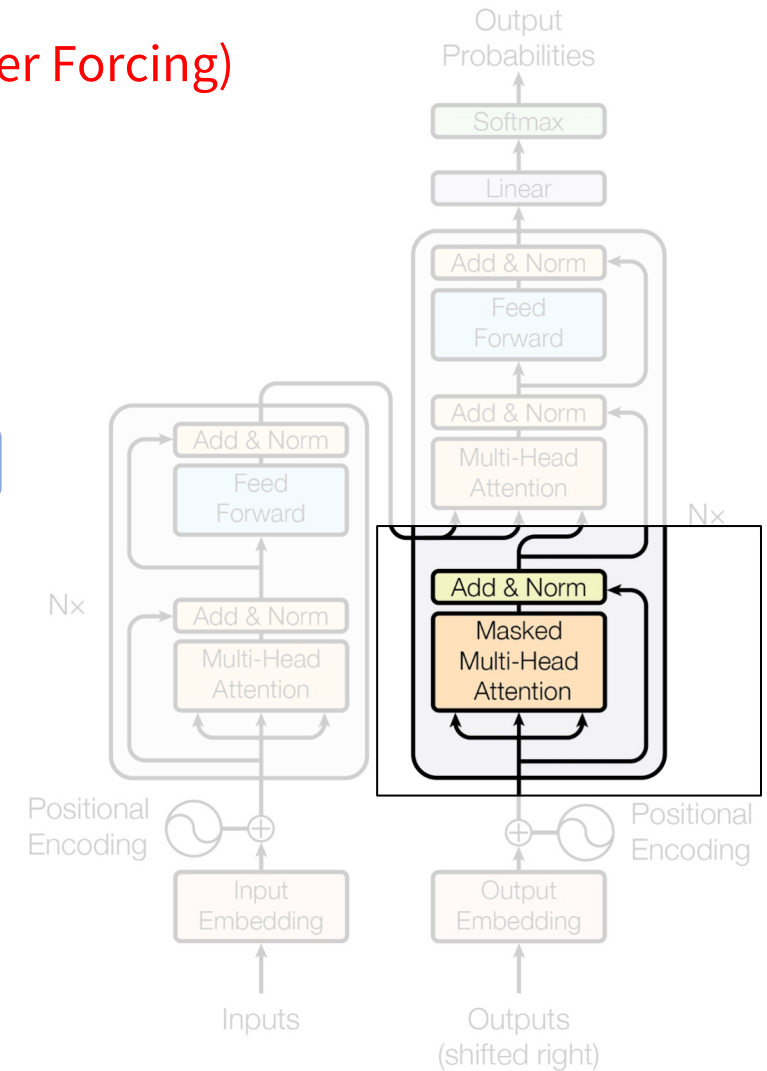
# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

Training

< sos >   Ich   have   einen   apfel   gegessen   < eos >

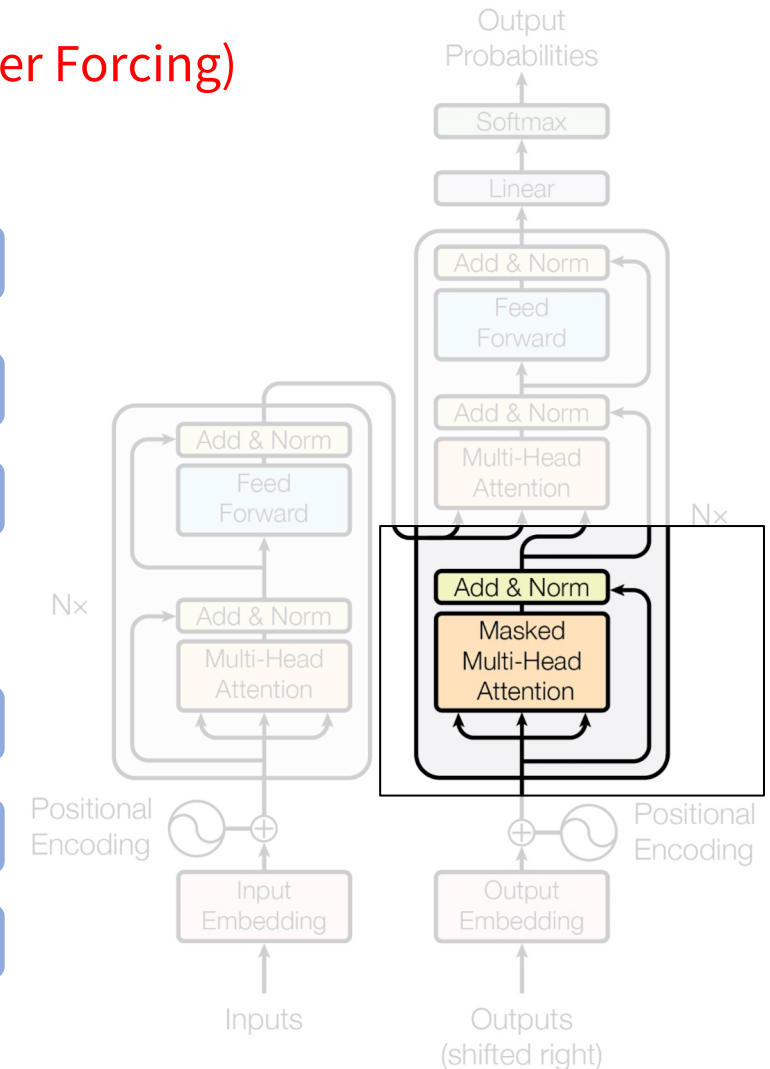
*Outputs at time  $T$  should only pay attention to outputs until time  $T-1$*



# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

1	<sos>	Ich	have	einen	apfel	gegessen	<eos>
2	<sos>	Ich	have	einen	apfel	gegessen	<eos>
3	<sos>	Ich	have	einen	apfel	gegessen	<eos>
4	<sos>	Ich	have	einen	apfel	gegessen	<eos>
5	<sos>	Ich	have	einen	apfel	gegessen	<eos>
6	<sos>	Ich	have	einen	apfel	gegessen	<eos>
7	<sos>	Ich	have	einen	apfel	gegessen	<eos>

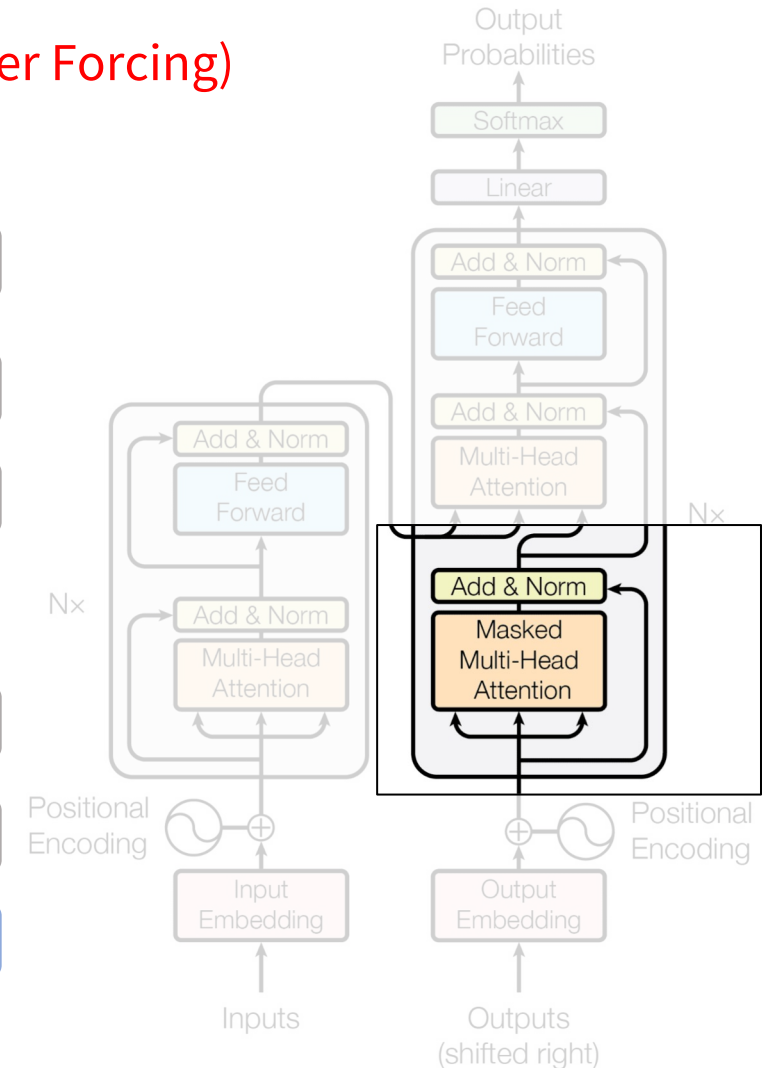


# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

1	<sos>	Ich	have	einen	apfel	gegessen	<eos>
2	<sos>	Ich	have	einen	apfel	gegessen	<eos>
3	<sos>	Ich	have	einen	apfel	gegessen	<eos>
4	<sos>	Ich	have	einen	apfel	gegessen	<eos>
5	<sos>	Ich	have	einen	apfel	gegessen	<eos>
6	<sos>	Ich	have	einen	apfel	gegessen	<eos>
7	<sos>	Ich	have	einen	apfel	gegessen	<eos>

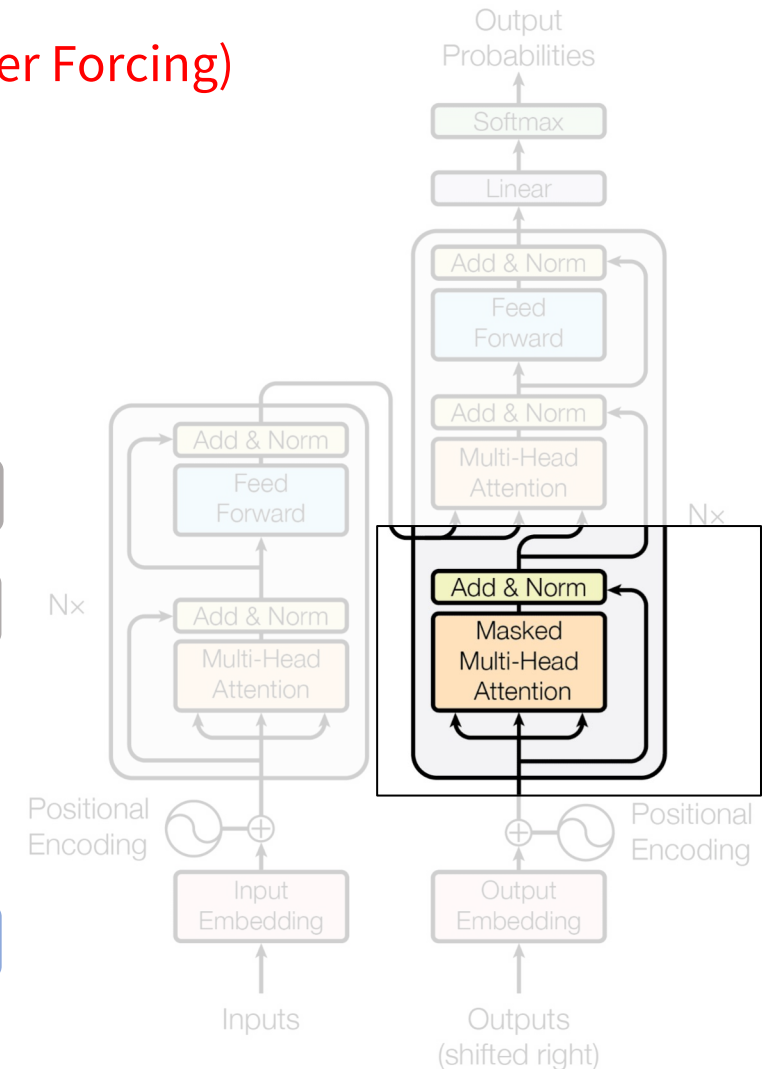
Mask the available attention values ?



# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

1	<sos>	- ∞	- ∞	- ∞	- ∞	- ∞
2	<sos>	Ich	- ∞	- ∞	- ∞	- ∞
3	<sos>	Ich	have	- ∞	- ∞	- ∞
4	<sos>	Ich	have	einen	- ∞	- ∞
5	<sos>	Ich	have	einen	apfel	- ∞
6	<sos>	Ich	have	einen	apfel	gegessen
7	<sos>	Ich	have	einen	apfel	gegessen



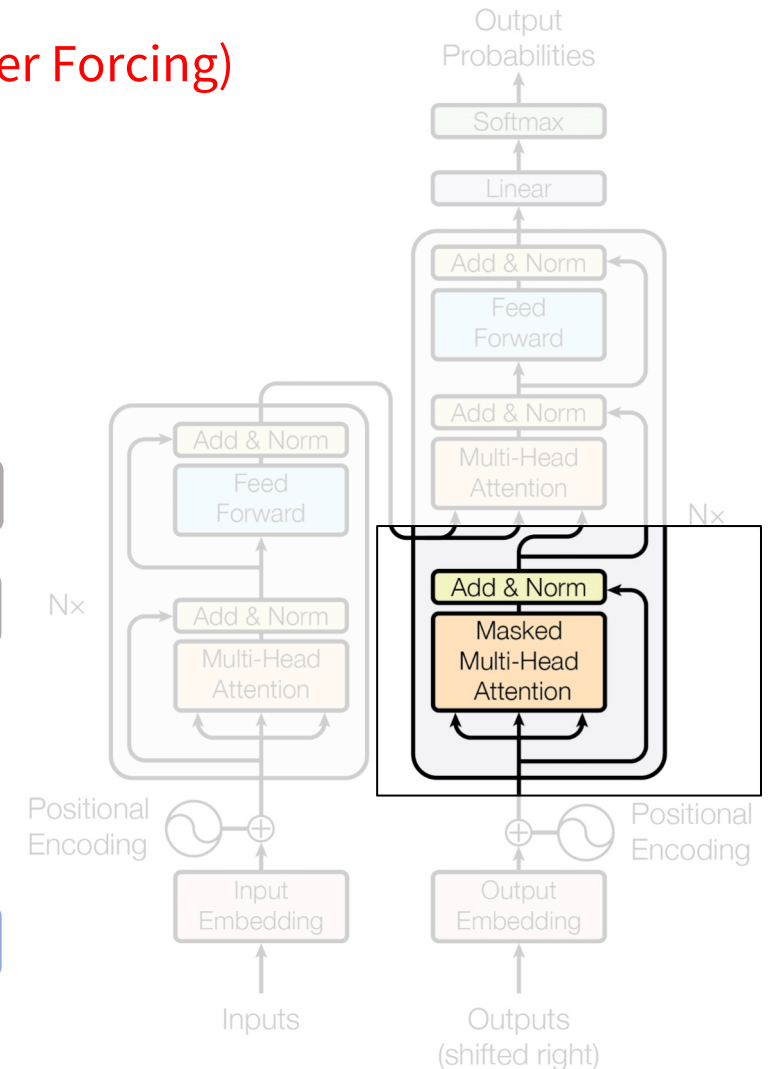


# Masked Multi Head Attention

Decoding step by step (using Teacher Forcing)

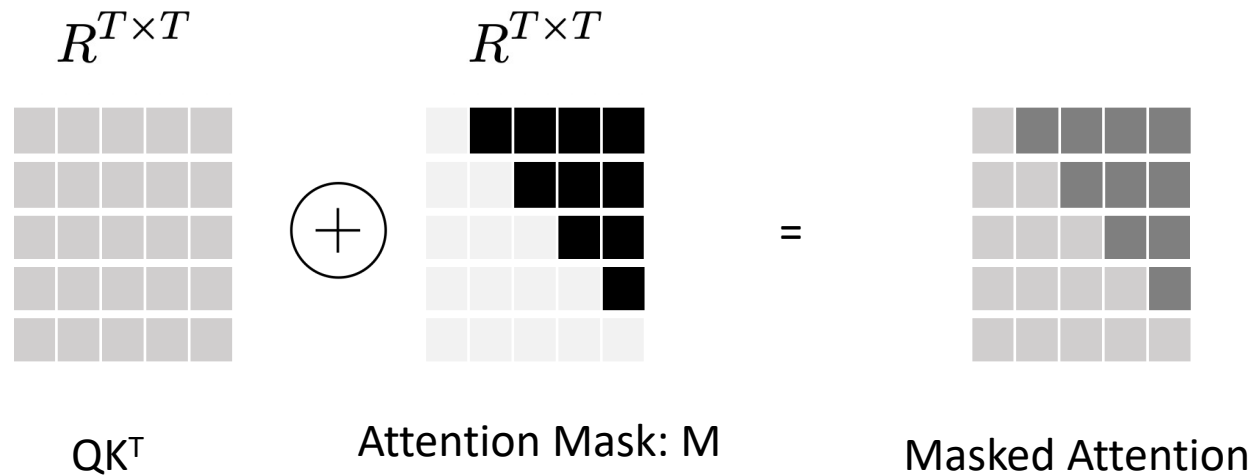
1	<sos>	- ∞	- ∞	- ∞	- ∞	- ∞	- ∞
2	<sos>	Ich	- ∞	- ∞	- ∞	- ∞	- ∞
3	<sos>	Ich	have	- ∞	- ∞	- ∞	- ∞
4	<sos>	Ich	have	einen	- ∞	- ∞	- ∞
5	<sos>	Ich	have	einen	apfel	- ∞	- ∞
6	<sos>	Ich	have	einen	apfel	gegessen	- ∞
7	<sos>	Ich	have	einen	apfel	gegessen	<eos>

Softmax -> - ∞ -> 0

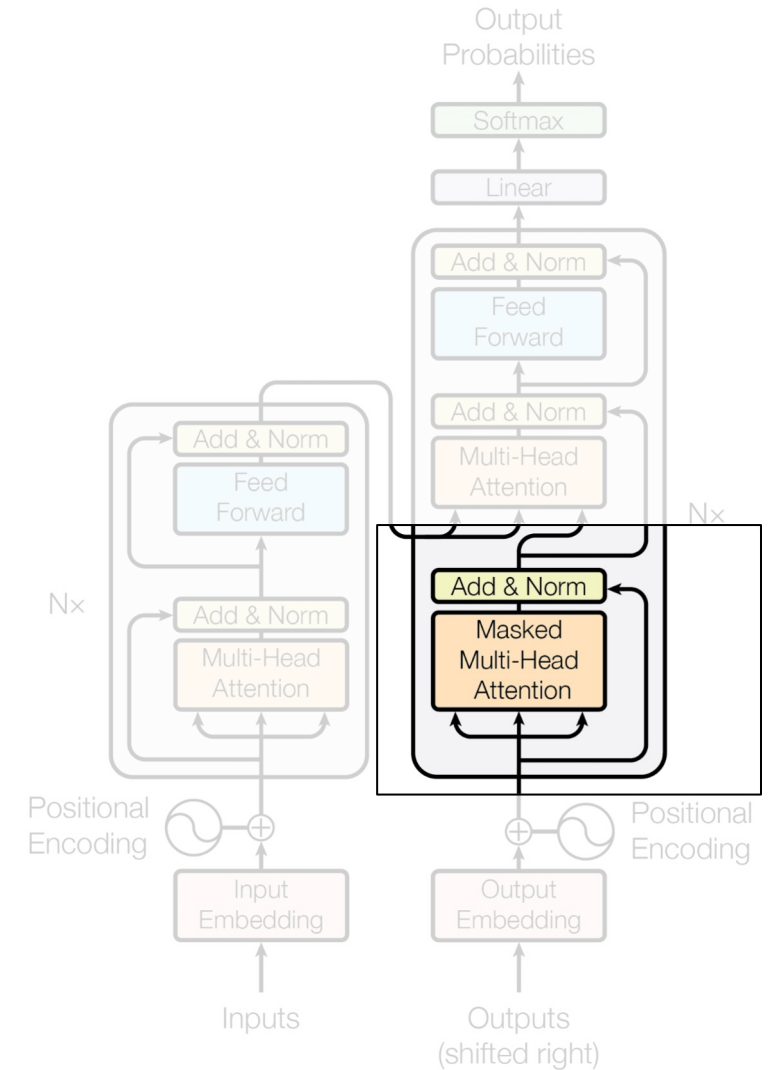


# Masked Multi Head Attention

## Masked Multi Head Attention

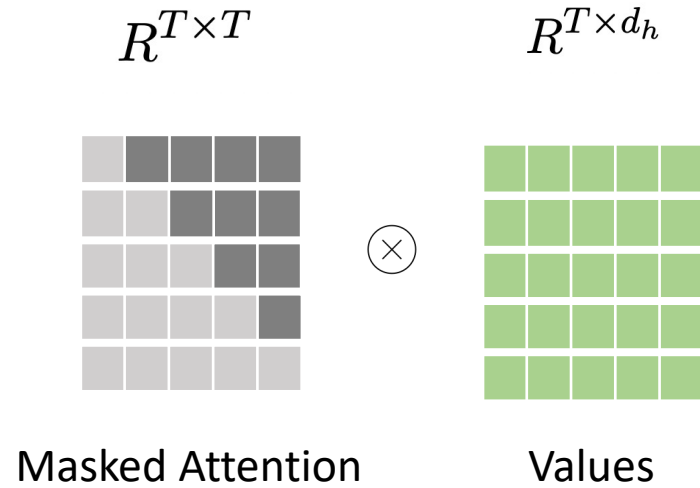


Masked Multi Head Attention : Z'

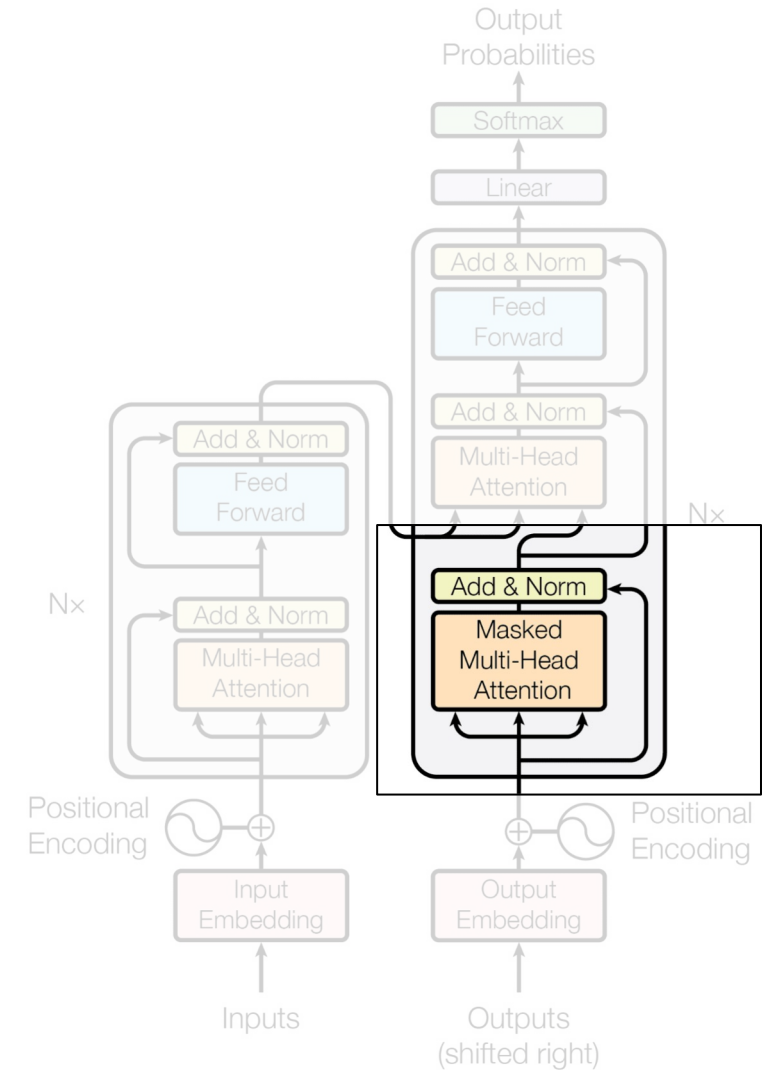


# Masked Multi Head Attention

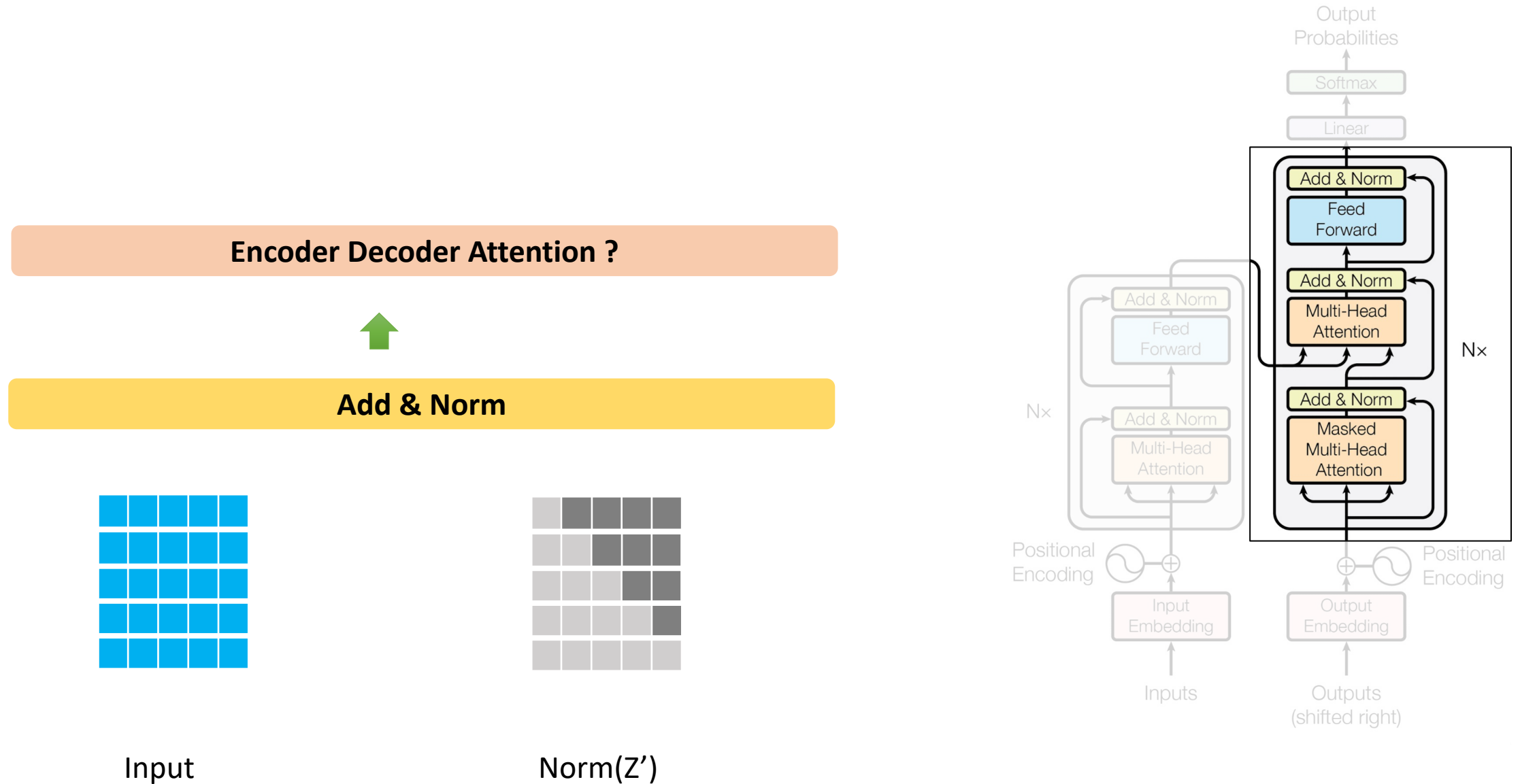
## Masked Multi Head Attention



Masked Multi Head Attention :  $Z'$

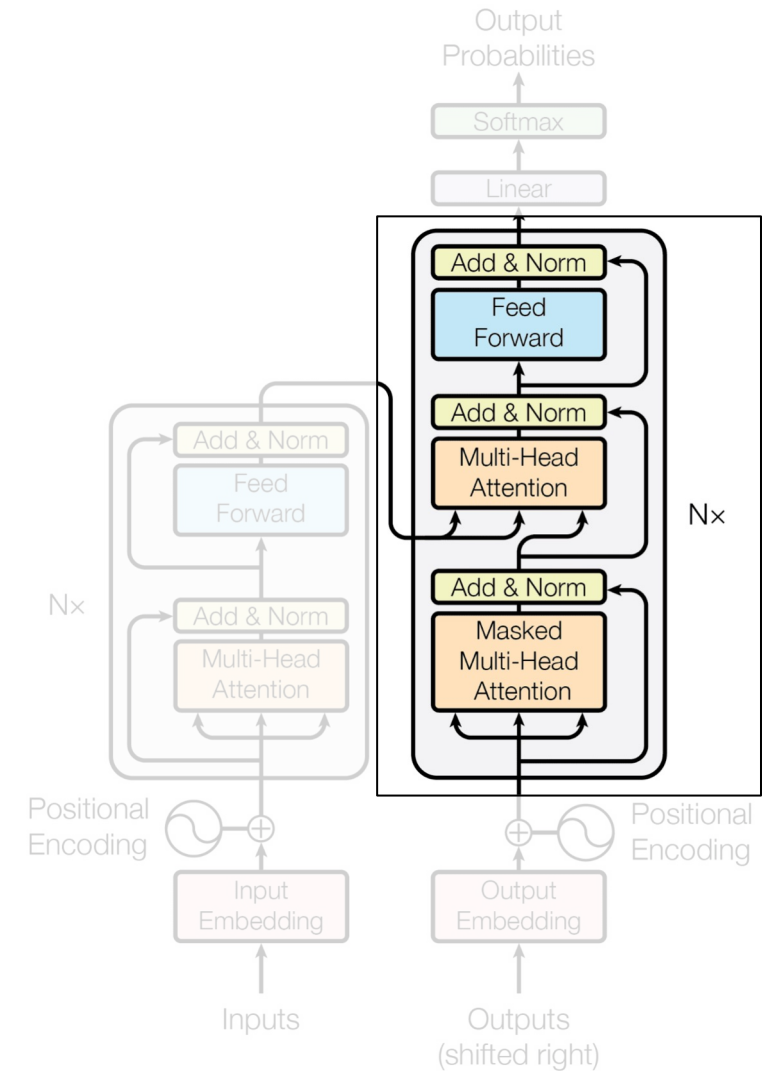


# Encoder Decoder Attention



# Encoder Decoder Attention

Encoder Decoder Attention ?



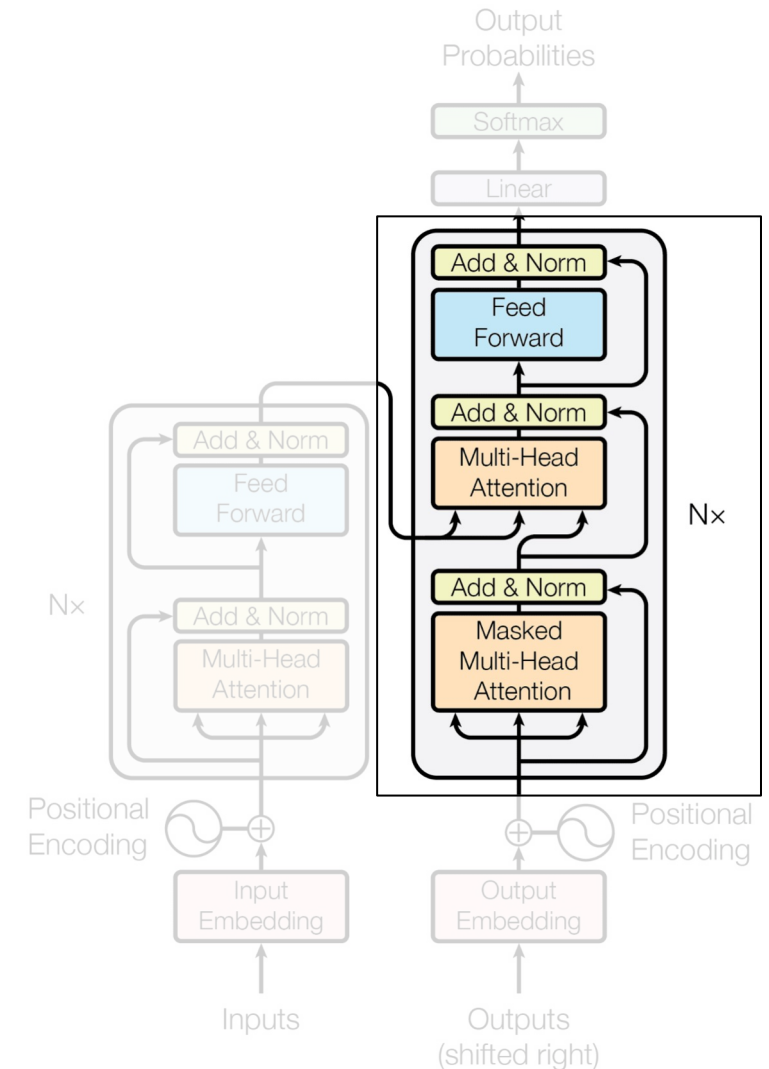
# Encoder Decoder Attention

## Encoder **Self** Attention

1. Queries from Encoder Inputs
2. Keys from Encoder Inputs
3. Values from Encoder Inputs

## Decoder **Masked Self** Attention

1. Queries from Decoder Inputs
2. Keys from Decoder Inputs
3. Values from Decoder Inputs



# Attention

{Key, Value store}

{Query: "Order details of order\_104"}

{Query: "Order details of order\_106"}

```
{ "order_100": { "items": "a1", "delivery_date": "a2", ... },  
  "order_101": { "items": "b1", "delivery_date": "b2", ... },  
  "order_102": { "items": "c1", "delivery_date": "c2", ... },  
  "order_103": { "items": "d1", "delivery_date": "d2", ... },  
  "order_104": { "items": "e1", "delivery_date": "e2", ... },  
  "order_105": { "items": "f1", "delivery_date": "f2", ... },  
  "order_106": { "items": "g1", "delivery_date": "g2", ... },  
  "order_107": { "items": "h1", "delivery_date": "h2", ... },  
  "order_108": { "items": "i1", "delivery_date": "i2", ... },  
  "order_109": { "items": "j1", "delivery_date": "j2", ... },  
  "order_110": { "items": "k1", "delivery_date": "k2", ... }
```

# Encoder Decoder Attention

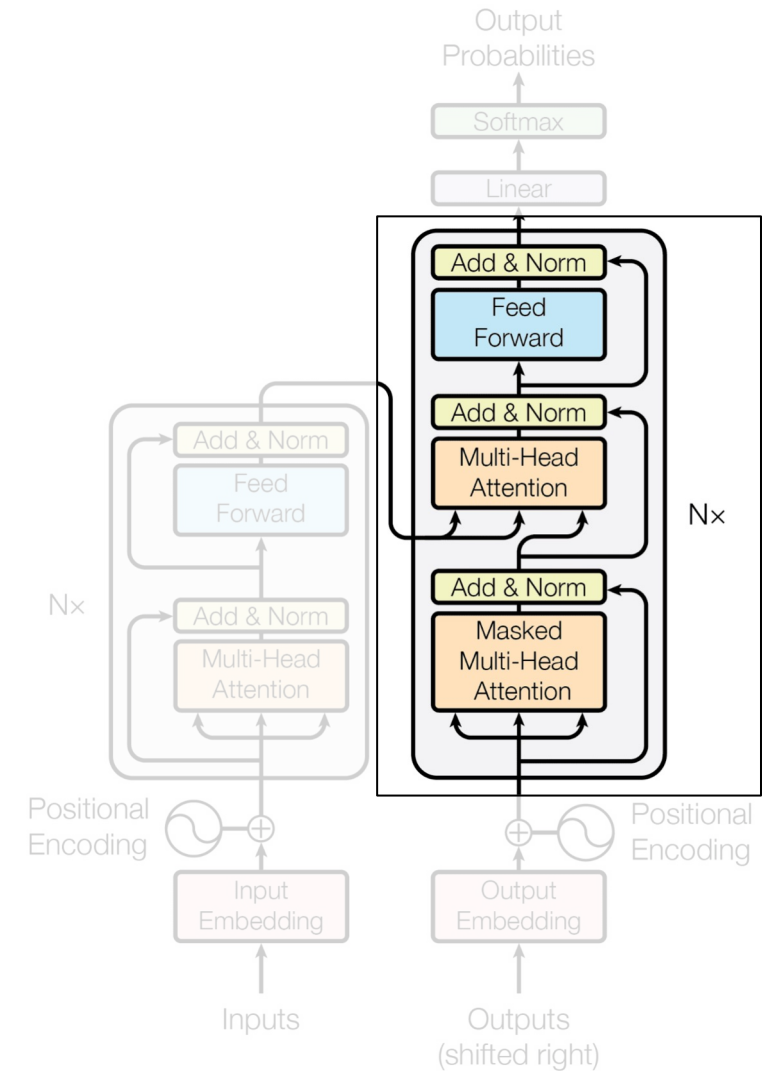
## Encoder

Keys from **Encoder Outputs**  
Values from **Encoder Outputs**

## Decoder

Queries from **Decoder Inputs**

NOTE: Every decoder block receives the same FINAL encoder output





# Encoder Decoder Attention



$$R^{T_d \times d_{model}}$$

$Z''$

$$R^{T_d \times T_e}$$

$$\text{softmax}\left(\frac{Q_d K_e^T}{\sqrt{d_{model}}}\right).$$

$$V_e R^{T_e \times d_{model}}$$

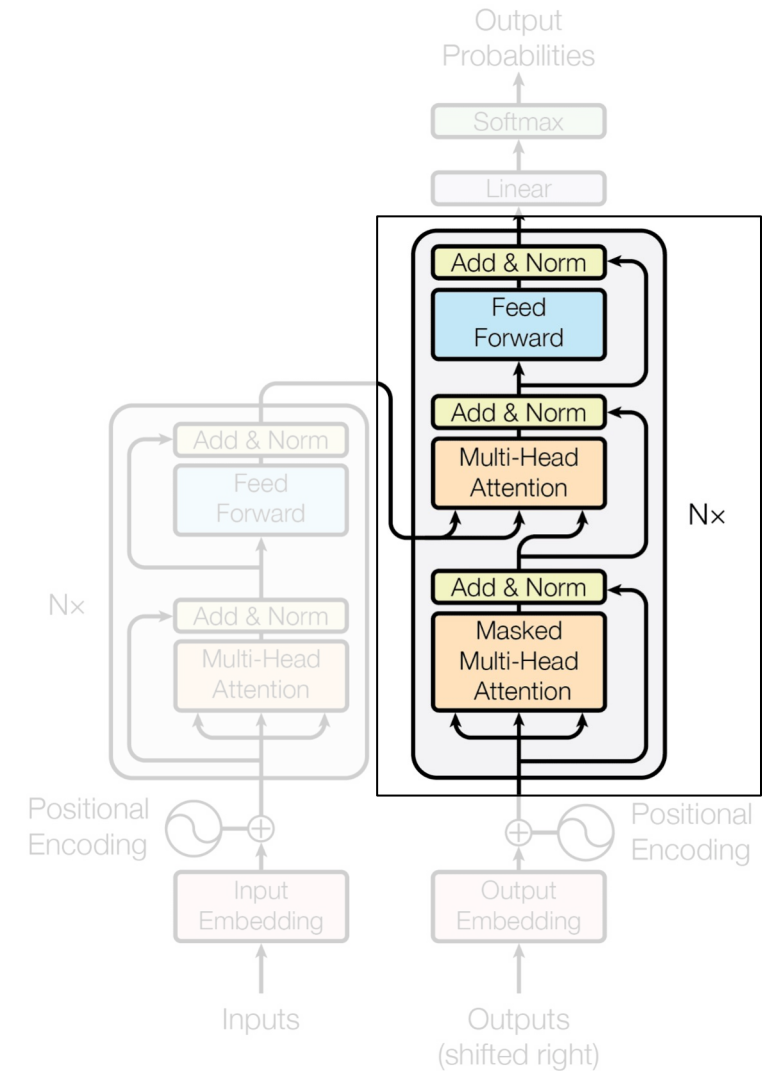
$$R^{T_d \times T_e}$$

$$\text{softmax}\left(\frac{Q_d K_e^T}{\sqrt{d_{model}}}\right)$$

$$R^{T_d \times d_{model}}$$

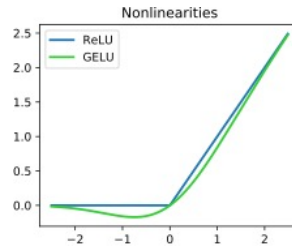
$Q_d \quad K_e$

$$R^{T_e \times d_{model}}$$

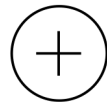
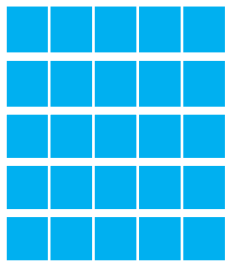


# Encoder Decoder Attention

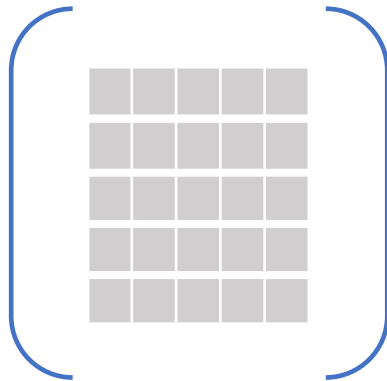
- Non Linearity
- Complex Relationships
- Learn from each other



Feed Forward

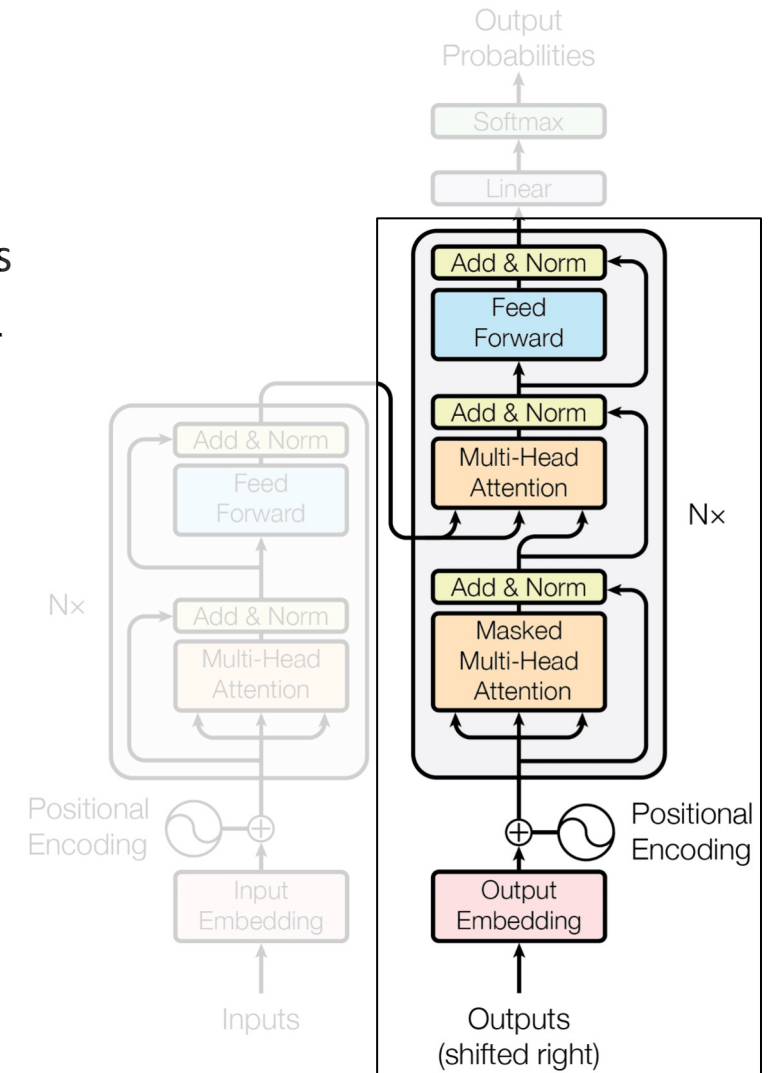


Residuals



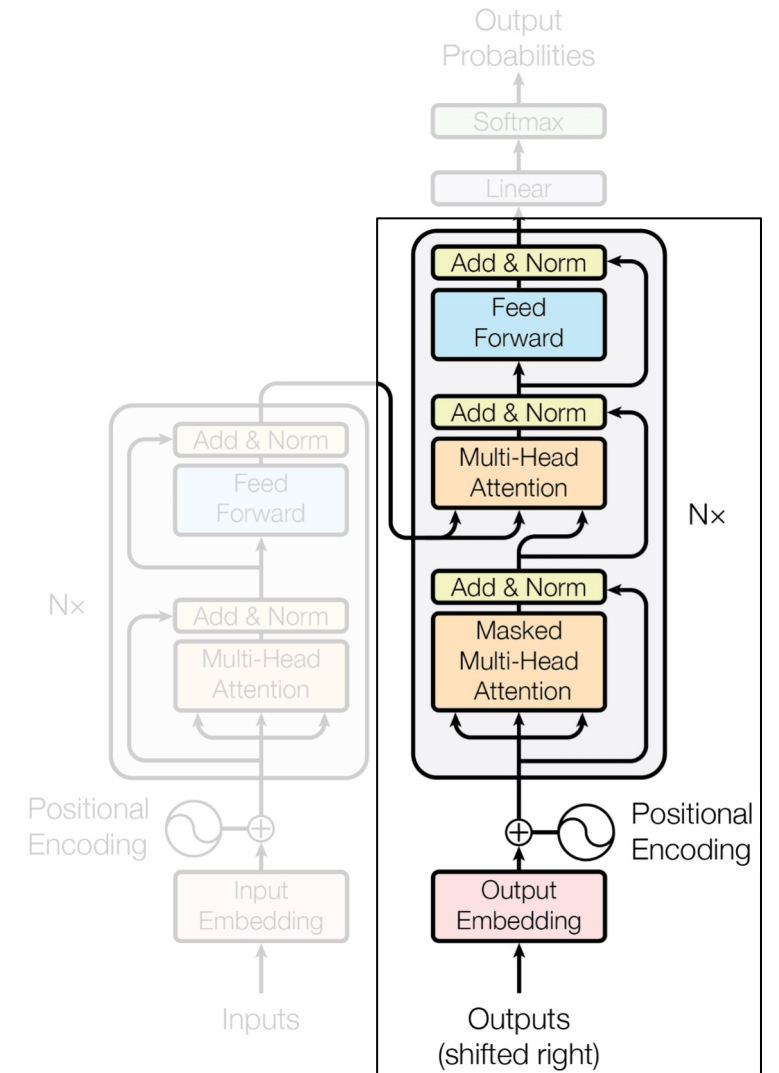
Add n Norm Decoder Self Attn

Norm( $Z''$ )

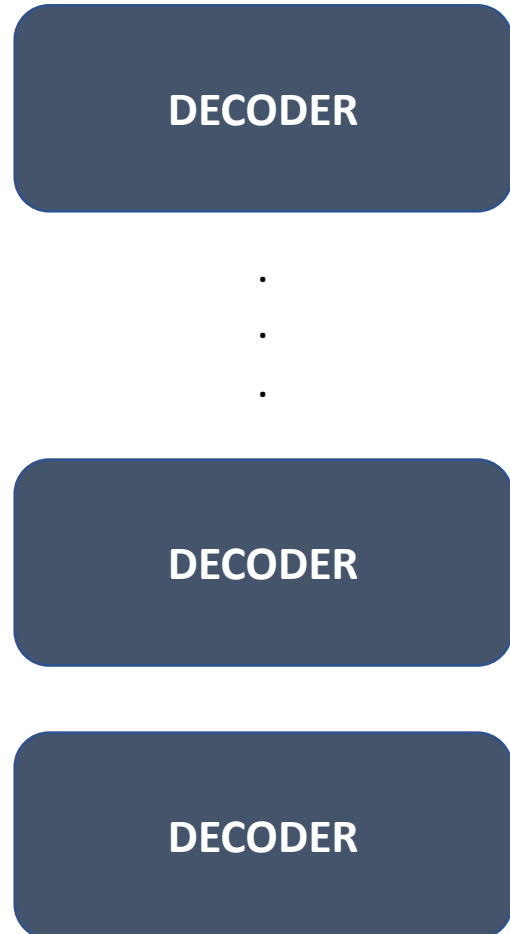


# Decoder

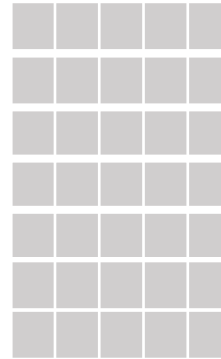
DECODER



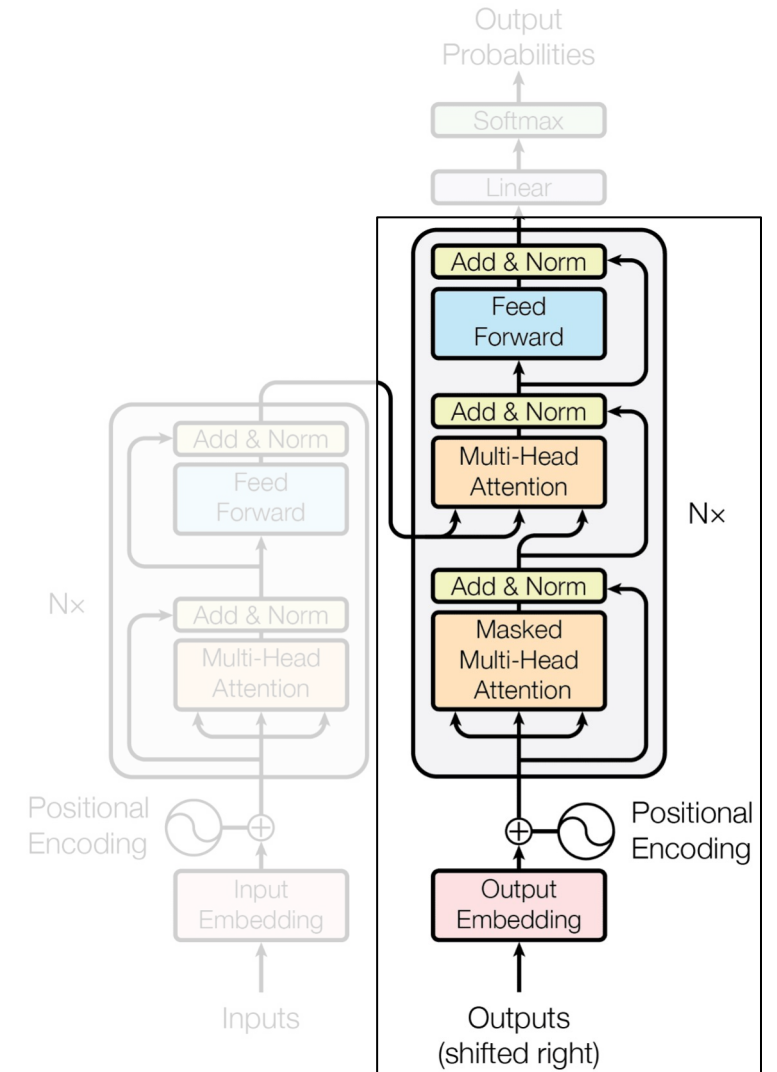
# Decoder



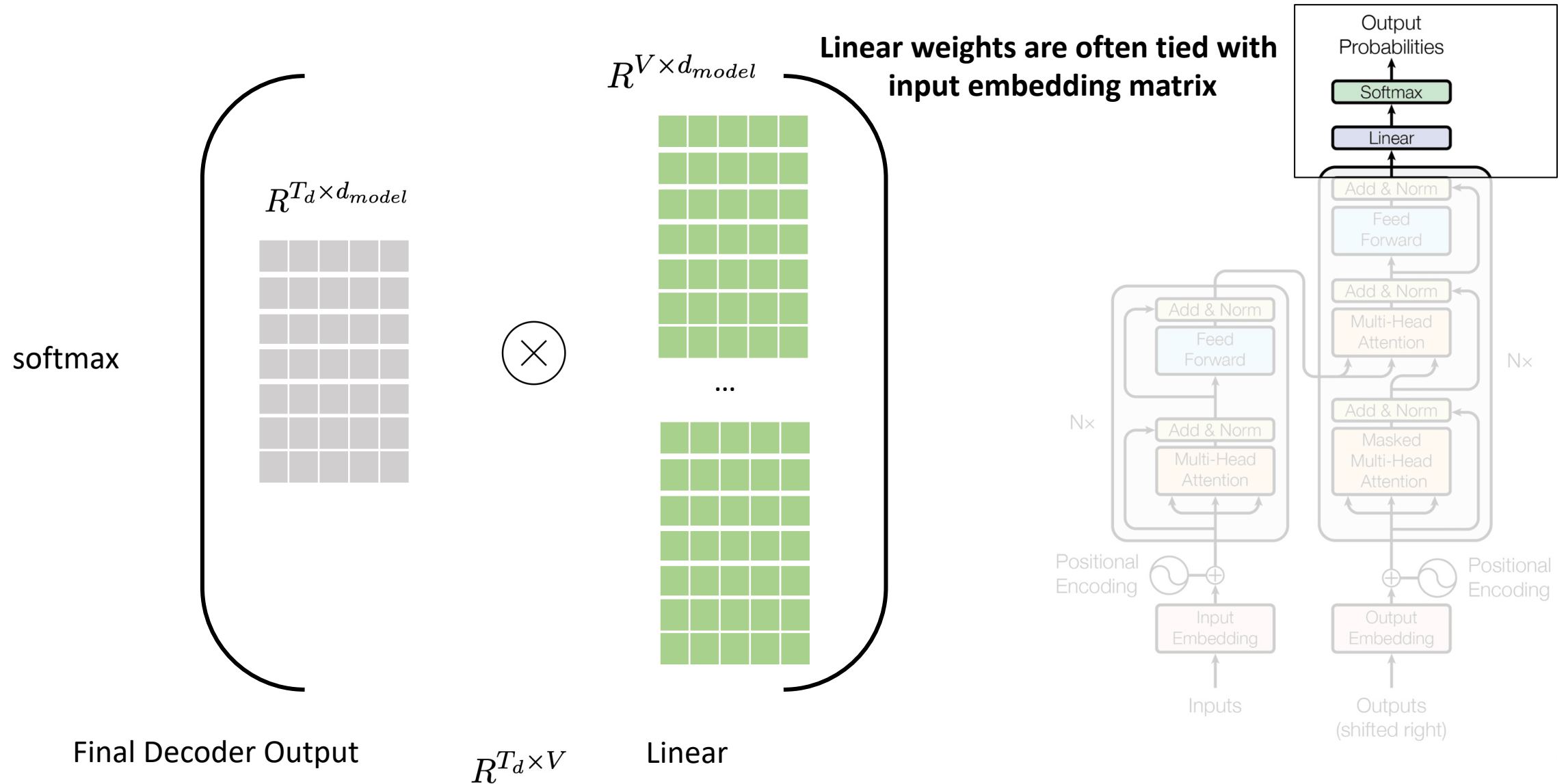
$$R^{T_d \times d_{model}}$$



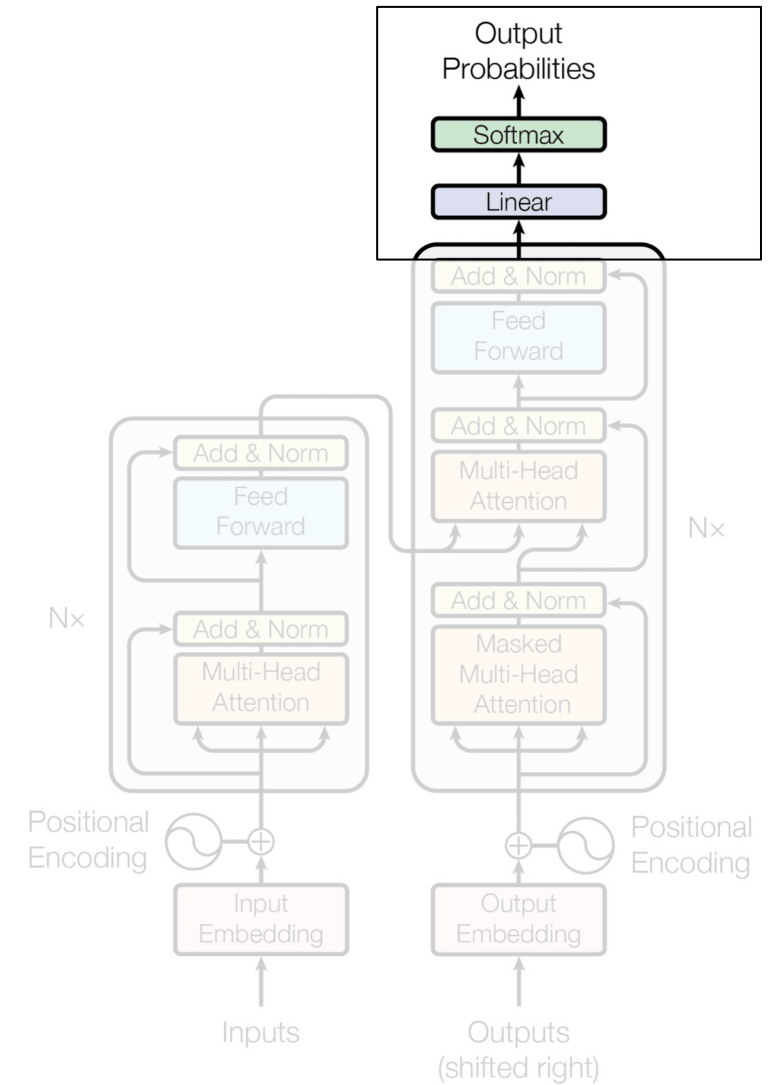
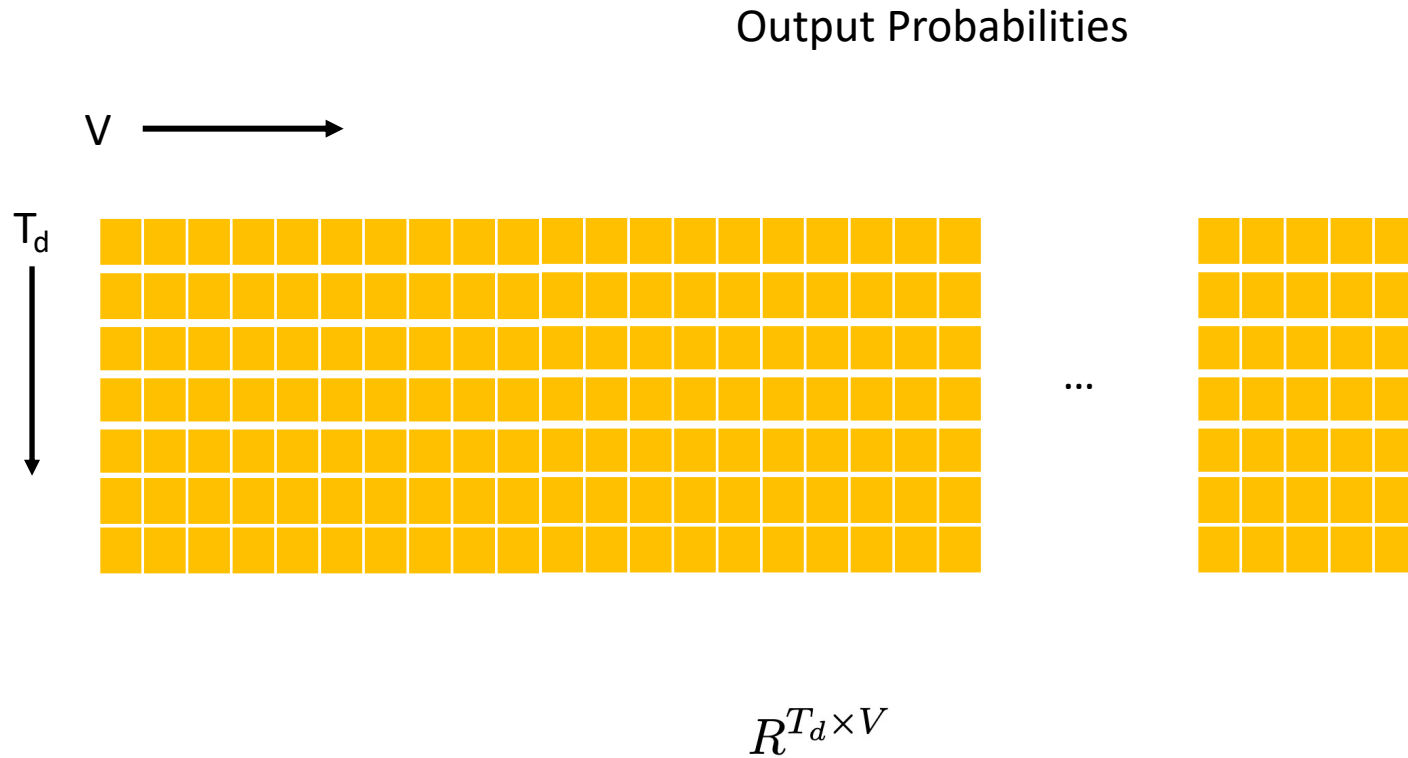
Decoder output



# Linear



# Softmax



## Poll 2 (@1297)

**Which of the following are true about transformers?**

- a. Transformers can always be run in parallel
- b. Transformer decoders can only be parallelized during training
- c. Positional encodings help parallelize the transformer encoder
- d. Queries, keys, and values are obtained by splitting the input into 3 equal segments
- e. Multiheaded attention helps transformers find different kinds of relations between the tokens
- f. During decoding, decoder outputs function as queries and keys while the values come from the encoder

## Poll 2 (@1126)

Which of the following are true about transformers?

- a. Transformers can always be run in parallel
- b. **Transformer decoders can only be parallelized during training**
- c. Positional encodings help parallelize the transformer encoder
- d. Queries, keys, and values are obtained by splitting the input into 3 equal segments
- e. **Multiheaded attention helps transformers find different kinds of relations between the tokens**
- f. During decoding, decoder outputs function as queries and keys while the values come from the encoder



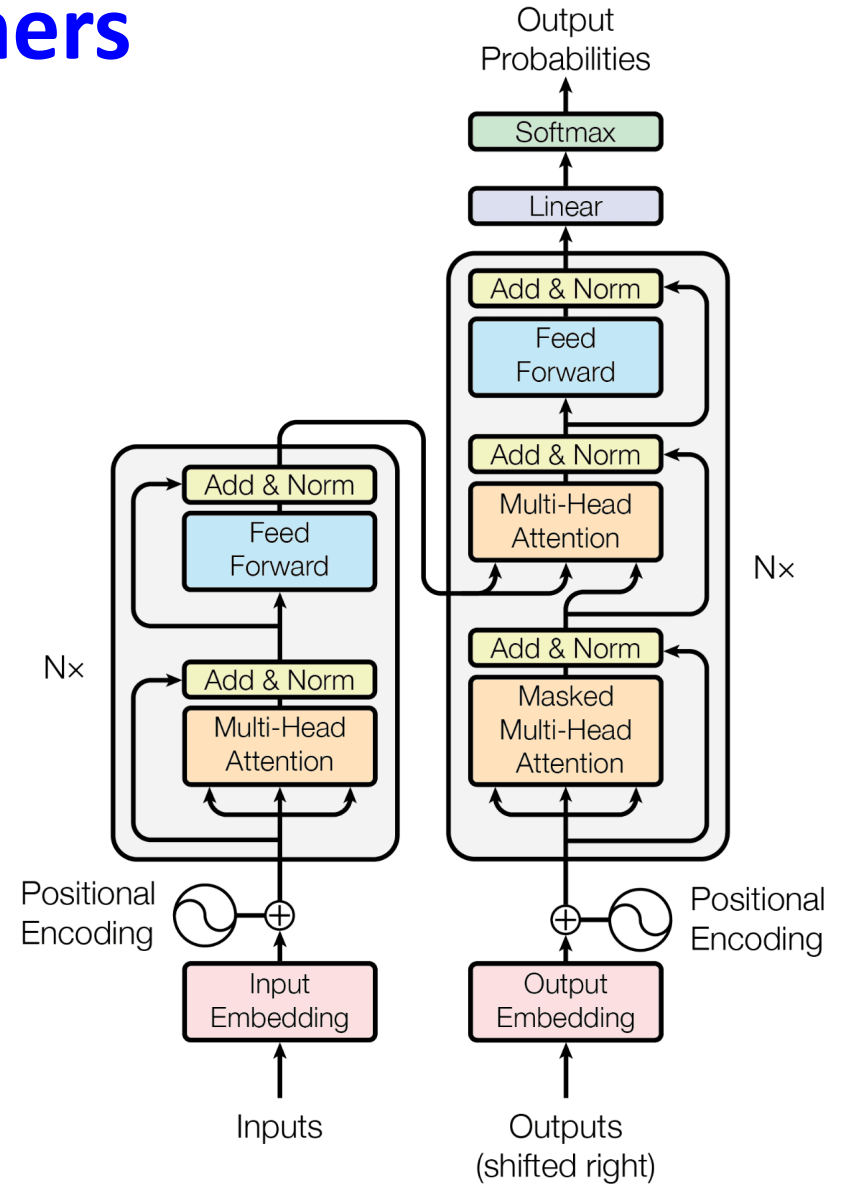
# Transformers

**Targets**  
Ich have einen apfel gegessen



**Inputs**  
I ate an apple

**Machine Translation**



# Transformers

- ✓ Tokenization
- ✓ Input Embeddings
- ✓ Position Encodings
- ✓ Residuals
- ✓ Query
- ✓ Key
- ✓ Value
- ✓ Add & Norm
- ✓ Encoder
- ✓ Decoder
- ✓ Attention
- ✓ Self Attention
- ✓ Multi Head Attention
- ✓ Masked Attention
- ✓ Encoder Decoder Attention
- ✓ Output Probabilities / Logits
- ✓ Softmax
- Encoder-Decoder models
- Decoder only models

