Interaction Trees

A denotational semantics and its equational theorems

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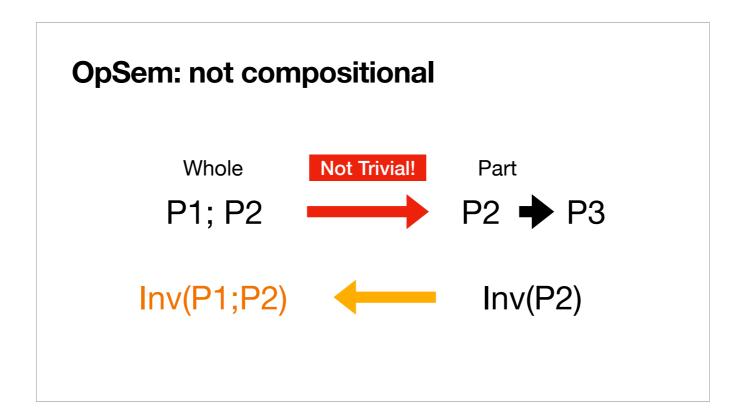
Formal Semantics

Operational semantics

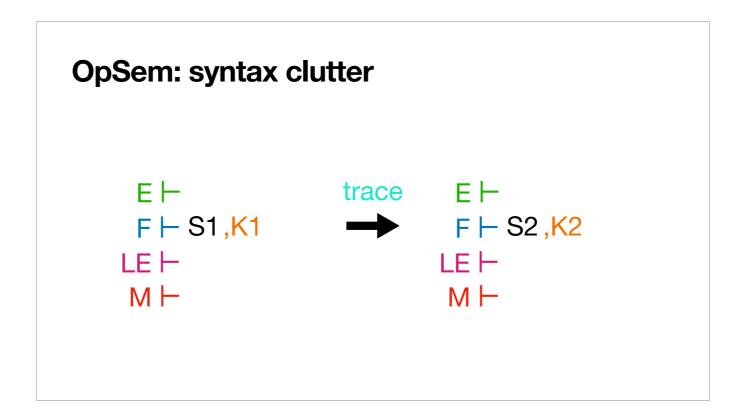
e.g. big step/small step

- Semantics: execution (transition system + trace)
- S1 -event-> S2
- Intuitive & Expressive
- Inductive reasoning

1. opsem: e.g. ssos/bsos, semantics is its execution (often modelled by TRS), expressive (nearly any feature can be modeled by transition systems & traces), reason by inductive principles supported by most provers,



BUT not compositional (relate the meaning of the whole program to the meaning of its parts)



syntax clutter (PC, subst, eval ctx) making proofs hard to write

Axiom Semantics

e.g. Hoare logic

- Program: logic formulas that describe it
- Semantics: what can be proven about it
- Higher abstraction
- (Mostly) compositional
- Can be automated (SMT solvers)
- Details are lost

axiom sem: e.g. hoare logic, a program is logic formulas that describe it, and its semantics is what can be proven about it. higher abstraction, more aligned with goals (assertions), often compositional, can be automated (SMT solvers), but many details are lost

Denotational semantics

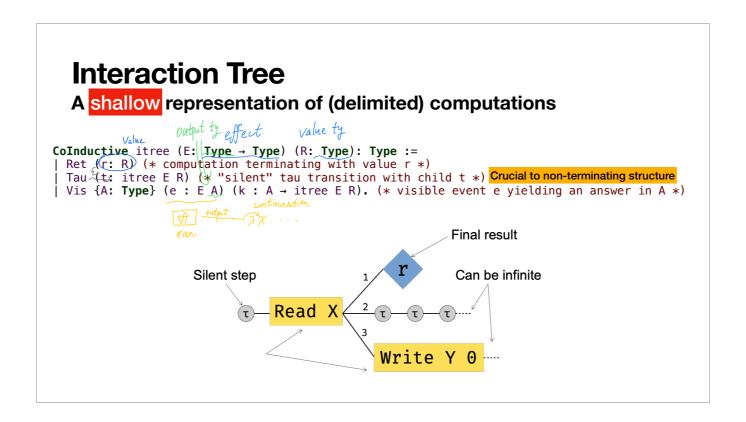
- Semantics: what a program denotes trivially
- e.g. Lang := $_+_|_-_| \mathbb{N} \Rightarrow \mathbb{N}$
- Math: domain theory; PL: host language
- Reuse host language features -> no more syntax clutters!
- Can be executed/extracted
- Practical languages -?-> Proof assistant languages
 Effects, non-terminating
 Pure, total

meaning of a program is what it denotes trivially. e.g. Lang denotes to nat.

In CS: denote to host language.

shallow representation: abstract away syntax clutters and reuse host language features can be executed/extracted.

Problem: practical languages with effects and non-termination -?-> pure & terminating proof languages? Introduce to ITrees!

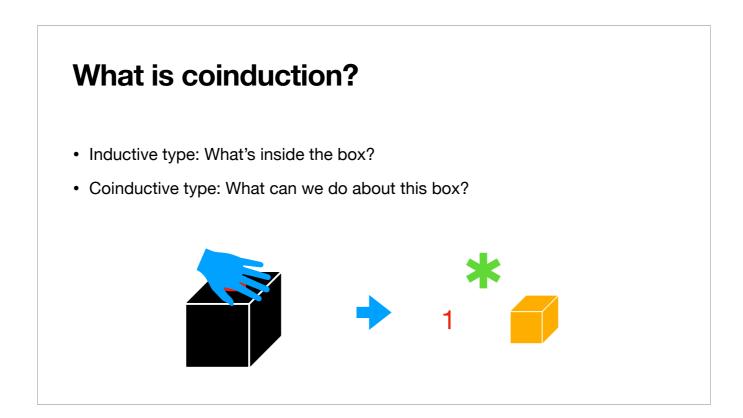


- 1. show the definition, explain what E and R represents
- 2. explain what does each variant do
 - 1. Ret: bare value
 - 2. Tau: do nothing, silent, crucial to non-terminating structure
 - 3. Vis: visible effects, kont (coq function, shallow)
- 3. delimited shallow computation split by Tau and Vis, can represent non-terminating computation & effect

Interaction Tree

A shallow representation of (delimited) computations

A taste of effects, will come back to it later. Let's first talk about coinductive types



- 1. induction type: construct by saying what's inside it, i.e. defined by introduction rule.
- 2. coinductive type: construct by what can be done about it, i.e. defined by elimination rule.
- 3. coinductive type is like a black box with a button on it. defined by saying what will pop out after you push the button.

```
Variant CoListF {this : Type} :=
    | CoCons (hd: nat) (tl: this)
    | CoNil.

CoInductive CoList : Type :=
    | Press { emit : @CoListF CoList }.

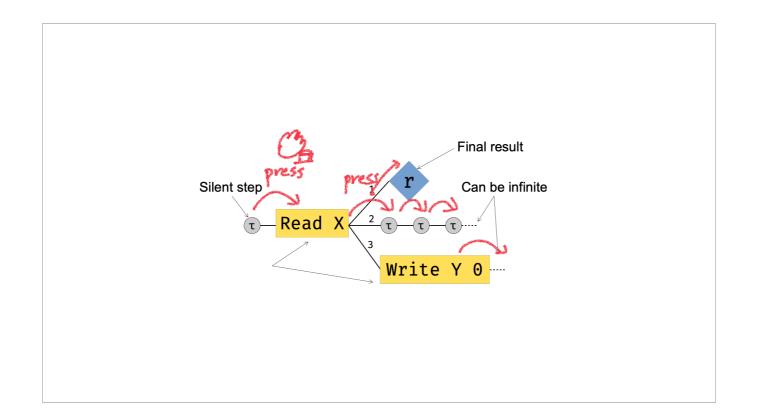
Notation cocons x y := (Press (CoCons x y)).
Notation conil := (Press CoNil).

Definition l: list nat :=
    cons 1 (cons 2 (cons 3 nil)).

Definition cl: CoList :=
    cocons 1 (cocons 2 (cocons 3 conil)).
```

Example: list <-> colist. list: 1; 2 <-> colist: 1; 2. list: there's 1, 2 inside the box. colist: when press the button, it emits 1, another box, then press the button on the new box, it outputs 2 and nothing.

flipflop: (show code), press once it outputs 1 and another box, press the button on the new box it outputs 0, and the first box. output seq: 1;0;1;0;... Well typed, pure, total, but infinite, because it doesn't generate value unless you press the button.

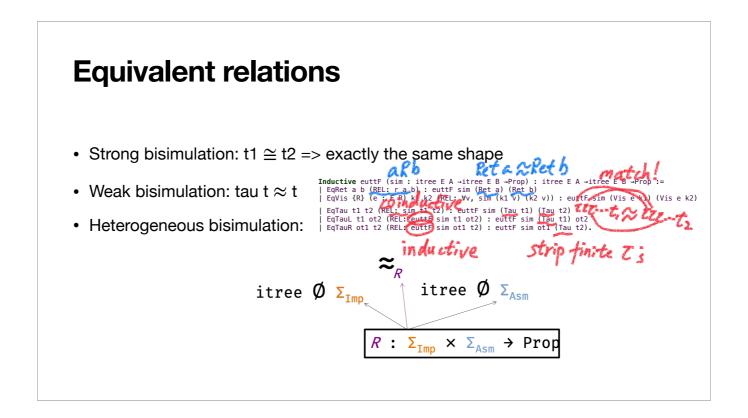


5. Tau: by expanding all taus, you got infinite computation trace. but if you don't press it, it does nothing, i.e. terminating. Coq won't complain about this!

Examples of Trees

CoFixpoint kill9 : itree IO unit := Vis Input (fun $x \Rightarrow if x =? 9$ then Ret tt else kill9).





- 1. strong bisim: t1 ~== t2 when t1 and t2 have exactly the same shape
- 2. weak bisim: observe: tau t evaluates to the same value as t, so we want Equivalence Up To Tau. (give def on slides) define weak bisim t1 ~~ t2 with tau t = t, ONLY when removing finite number of taus (EqTauL & EqTauR are inductively defined, so they can only apply finite times). When it comes to inf taus, both ends should have inf taus. => weak bisim is termination sensitive.
- 3. heterogeneous bisim: compiler compiles a language of return type A to a language of return type B. How to reason about them? Given a relation to match A and B, define eutt r (equivalence up to tau modulo r), in which Ret a ~~R Ret b iff a R b. Theorem: If R is equiv rel, then ~~R is equiv rel. eutt is a special case of eutt mod r with R := leibniz equality.

ITrees are compositional

```
(* Apply the continuation k to the Ret nodes of the itree t *)
Definition bind {E R S} (t : itree E R) (k : R → itree E S) : itree E S :=
(cofix bind_ u := match u with

| Ret r ⇒ k r
| Tau t ⇒ Tau (bind_ t)
| Vis e k ⇒ Vis e (fun x ⇒ bind_ (k x)) end) t.
Notation "x t1 ;; t2" := (bind t1 (fun x ⇒ t2)).

(* Composition of KTrees *)
Definition cat {E} {A B C : Type}
: ktree E A B → ktree E B C → ktree E A C :=
fun h k ⇒ (fun a ⇒ bind (h a) k).
Infix ">>>>" := cat
```

ITrees are compositional

ITrees are compositional

Proof of correctness needs coinductive reasoning, but done by ITree authors. Users just rewrite use thms.

Recap: State

(m, v)

x <- 1; set x;

(_, 1) (1, ())

x <- get;

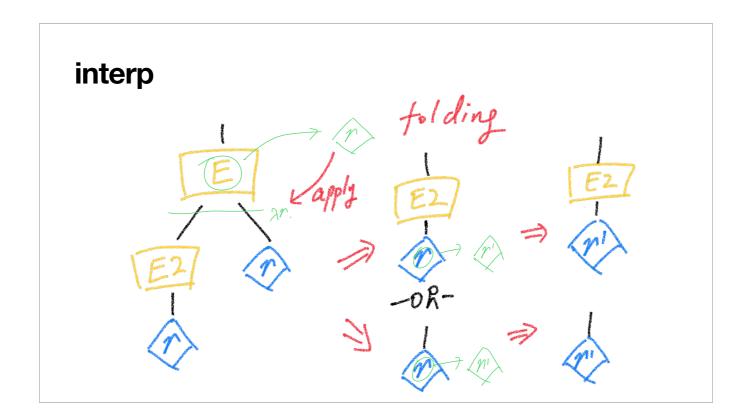
(1, 1)

x + 1

State effect handler

```
(* The type of
                                (* State monad transformer *)
     state events *)
                                Definition stateT (S:Type) (M:Type \rightarrow Type) (R:Type) : Type :=
Variant stateE (S : Type)
                               S \rightarrow M (S * R).
 : Type → Type :=
                                Definition getT (S:Type) : stateT S M S := fun s \Rightarrow ret (s, s).
| Get : stateE S S
                                Definition putT (S:Type) : S \rightarrow stateT S M unit :=
| Put : S \rightarrow stateE S unit. fun s' s \Rightarrow ret (s', tt).
(* Handler for state events *)
                                                       (* Interpreter for state events *)
Definition h_state (S:Type) {E}
                                                       Definition interp_state {E S}
 : (stateE S) → stateT S (itree E) :=
                                                        : itree (stateE S) → stateT S (itree E) :=
  fun _{-} e \Rightarrow match e with
                                                         interp h_state.
            | Get ⇒ getT S
             | Put s \Rightarrow putT S s
```

effect handler: convert a itree with effects into one with no effect and modified value (state, value) (slide: show tree example)



3. interp function: take eff, take ITree E A, output ITree TT A'. (slide: graph repr of what the function does) interp is folding the tree, transforming all nodes into new ret type, and replace Vis with handler call & bind.

How to "fold" an ITree?

- Define iter := $(A \rightarrow M (A + B)) \rightarrow A \rightarrow M B$
- A: continue loop | B: break

```
Definition interp {E M : Type \rightarrow Type} `{MonadIter M} {R : Type} (handler : E \rightarrow M) : itree E R \rightarrow M R := iter (fun t : itree E R \Rightarrow match t with | Ret r | \Rightarrow ret (inr r) | Tau t | \Rightarrow ret (inl t) T : t still need to be transformed, fontinue | Vis e k \Rightarrow bind (handler \_ e) (fun a \Rightarrow ret (inl (k a))) end).
```

How to represent the "fold" concept? introduce iter, show its signature. return to this later.

Effect combinators & properties

4. there are many interp combinators, and they still have good properties. (slide: show combinators & props) You can reason about non-trivial things with them like a poor version of useless load elimination

Next: non-terminating structure

Iteration

reminder: coinductive dt, no press, no expand, so terminating

Iteration

```
CoFixpoint iter (body : A → itree E (A + B))
    : A → itree E B :=
    fun a ⇒ ab ← body a ;;
        match ab with
        | inl a ⇒ Tau (iter body a)
        | inr b ⇒ Ret b
        end.
```

- Does not rely on shape of the body
- No guardedness check

does not rely on body shape, no guard check

Properties of Iteration

```
iter f \stackrel{\hat{\approx}}{\approx} f \gg \text{case} (iter f) \text{id} (fixed point) iter f \gg g \stackrel{\hat{\approx}}{\approx} \text{ iter } (f \gg \text{bimap id}_g) (parameter) iter (f \gg \text{case}_g \text{ inr}) \stackrel{\hat{\approx}}{\approx} f \gg \text{case} (\text{iter } (g \gg \text{case}_f \text{ inr}_g)) \text{id} (composition) iter (\text{iter } f) \stackrel{\hat{\approx}}{\approx} \text{ iter } (f \gg \text{case}_g \text{ inl}_g \text{ id}_g) (codiagonal) iter f \not = f \not= f
```

many good properties

represented by eff

rec effect vs normal eff: rec effect D -> itree (D + 'E), it returns an ITree with itself present so can make recursive calls, while normal eff looks like D -> itree 'E, no recursive calls

mrec

• mrec is to recursive effects what interp is to normal effects

mrec as to recursion effect is interp as to normal effs

mrec vs interp

```
(* Interpret an itree in the context of a mutually recursive definition (rh) *)

Definition mrec {D E} (rh : D → itree (D +' E)) : D → itree E :=

fun R d ⇒ iter (fun t : itree (D +' E) R ⇒

match t with

| Ret r ⇒ Ret (inr r)
| Tau t ⇒ Ret (inl t)
| Vis (inl1 d) k ⇒ Ret (inl (bind (rh _ d) k))
| Vis (inr1 e) k ⇒ bind (trigger e) (fun x ⇒ Ret (inl (k x)))

end) (rh _ d).

Definition interp {E M : Type → Type} '{MonadIter M} {R : Type} (handler : E → M)

: itree E R → M R := iter (fun t : itree E R ⇒

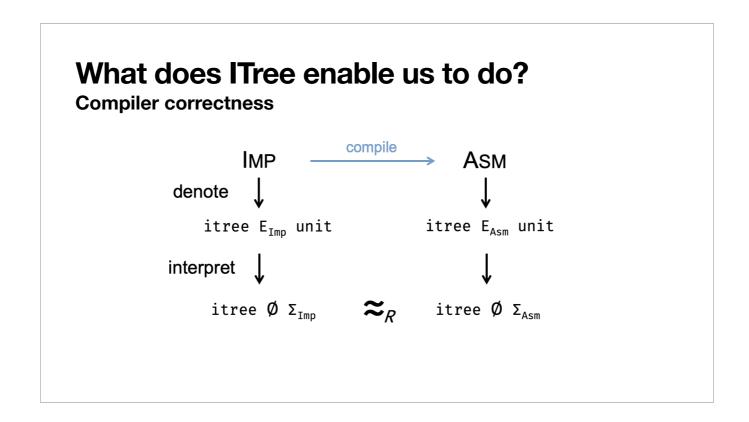
match t with
| Ret r ⇒ ret (inr r)
| Tau t ⇒ ret (inl t)
| Vis e k ⇒ bind (handler _ e) (fun a ⇒ ret (inl (k a)))
end).
```

mrec is a fixpoint

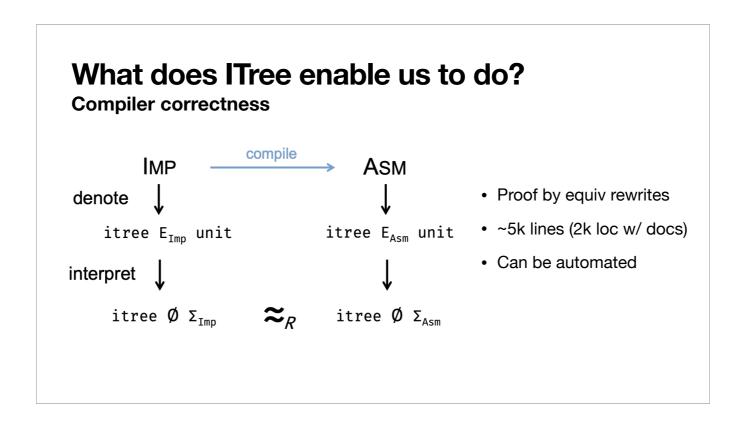
... by an unfolding equation

mrec rh ≈ interp (mrec rh) rh

mrec is a fixpoint by an unfolding equation



- 1. pre: define two languages, define compiler function
- 2. define their semantics by (syntax-directed) denote: denote imp -> ITree ImpMemE (), asm -> ITree (AsmRegE + AsmMemE) ()
- 3. given eh of ImpMemE, AsmRegE, AsmMemE, we can define interp_imp, interp_asm by using interp combinators
- 5. define match relation between imp state * value and asm state * value, now we have weak bisim. compiler correctness thm defined



proof by equiv rewrites. might be automated by equality saturation, just like peephole optim. hand-written version 5k lines, (including def & semantics def, should be 2k lines without comments)

Extract to OCaml

10. Example: extract itree to ocaml, get reference intepreter for free

Extract to OCaml

- Reference interpreter for free
- Support side effects not implementable in Coq (network IO, etc)
- Fuzzing

- 1. implement eh to do side effects not possible in coq (network IO)
- 2. fuzzer, fuzz your semantics before proof (next slide: avoid retakes)

Extract to OCaml

- Add new feature to semantics
- Try to prove (took months)
- Oops! Feature unsound!!
- Rework the semantics...
- Retake the proof (took months)
- Oops! Still unsound!!
- Months wasted…

- Add new feature to semantics
- Extract & fuzz the interpreter (in one day)
- Oops! Unexpected output!
- · Rework the semantics...
- Extract & fuzz the interpreter (in one day)
- Oops! Unexpected output!
- •

VS

- · We believe this semantics should be right!
- Try to prove (took months)
- Done! 🎉

Trace semantics

Trace: a sequence of events emitted by the execution of a program

```
Inductive trace (E: Type \rightarrow Type) (R: Type): Type := | TEnd: trace E R | TRet: R \rightarrow trace E R | TRet: R \rightarrow trace E R | TEventEnd: \forallX}, E X \rightarrow trace E R | TEventResponse: \forallX}, E X \rightarrow trace E R \rightarrow trace E R.

Inductive is_trace_of {E: Type \rightarrow Type} {R: Type}: | tree E R \rightarrow trace E R \rightarrow Prop: = | TraceEmpty: \forallt, is_trace_of t TEnd | TraceResponse: \forallt, is_trace_of (Ret r) (TRet r) | TraceVisEnd: \forallt, is_trace_of (Vise k) (TEventEnd e) | TraceVisContinue: \forallX}, (e: E X) (x: X) k tr, is_trace_of (Vise k) (TEventResponse e x tr).
```

Definition 1 (Trace Refinement). $t \sqsubseteq u \text{ iff } \forall \text{ tr, is_trace_of } t \text{ tr} \rightarrow \text{is_trace_of } u \text{ tr.}$

Definition 2 (Trace Equivalence). $t \equiv u$ iff $t \sqsubseteq u$ and $u \sqsubseteq t$.

Using these definitions, we can show that trace equivalence coincides with weak bisimulation, i.e., that $t1 \approx t2 \iff t1 \equiv t2$.

- 11. relation with good old trace semantics
 - 1. compcert verify programs by step: st -> ev -> st -> Prop, execute program got a trace
 - 2. can also extract trace from itree, good property: weak sim <-> trace reequiv
 - 3. able to reason nondeterministic behavior (next slide)

Trace semantics

Trace: a sequence of events emitted by the execution of a program

```
Definition 1 (Trace Refinement). t \sqsubseteq u iff \forall tr, is_trace_of t tr \rightarrow is_trace_of u tr.

Definition 2 (Trace Equivalence). t \equiv u iff t \sqsubseteq u and u \sqsubseteq t.

Using these definitions, we can show that trace equivalence coincides with weak bisimulation, i.e., that t1 \approx t2 \iff t1 \equiv t2.
```

• Reason about non-deterministic side effects

Conclusion

- ITree: a foundation for program semantics and an equational theory
- A shallow representation of non-terminating effectful languages, leveraging the nature of coinductive types
- Leverage existing power of meta language (proof assistants), simplifying proof engineering
- Proof by eq rewrite, room for automatic reasoning
- Extract to executable programs, enable "swift" development of formal semantics

Future work

- Non-determinism & concurrency (multiple followups)
- Relate its theorem with domain theories, operational semantics, and game semantics
- Does not work when the state match relations is not one-to-one (impossible to formalize most practical languages, e.g. Clight)

Interaction Trees

A denotational semantics and its equational theorems