

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named (b) (6), (b) (7)(C)

where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS****CASE NUMBER:**
(b) (6), (b) (7)(C)**VIOLATOR:**
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(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was found sore
and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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(b) (6), (b) (7)(C)**VIOLATOR:**
(b) (6), (b) (7)(C)**ADDRESS** (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C)

the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/27/2016	TELEPHONE NUMBER: (301) 851-2948

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VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
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(b) (6), (b) (7)(C)ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a (b) (6), (b) (7)(C)

where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Date of Violation: September 25, 2015

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9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a (b) (6), (b) (7)(C)

where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 20, 2016 and March 26, 2016

§ 2.40(a)(1) - Attending veterinarian and adequate veterinary care (dealers and exhibitors).

Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section. Each dealer and exhibitor shall employ an attending veterinarian under formal arrangements. In the case of a part-time attending veterinarian or consultant arrangements, the formal arrangements shall include a written program of veterinary care and regularly scheduled visits to the premises of the dealer or exhibitor.

Date(s): July 20, 2016

§ 2.40(b)(3) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date(s): July 20, 2016 and March 26, 2016

§ 3.50(a) - Facilities, general.

Structural strength. Indoor and outdoor housing facilities for rabbits shall be structurally sound and shall be maintained in good repair, to protect the animals from injury, to contain the animals, and to restrict the entrance of other animals.

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.131(c) - Sanitation.

Housekeeping. Premises (buildings and grounds) shall be kept clean and in good repair in order to protect the animals from injury and to facilitate the prescribed husbandry practices set forth in this subpart. Accumulations of trash shall be placed in designated areas and cleared as necessary to protect the health of the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

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APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: September 06, 2016	TELEPHONE NO: (919) 855-7116

CERTIFIED MAIL RECD BY NO.
(b) (6), (b) (7)(C)

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) was the scheduled rider who entered for the purpose of showing, (b) (7)(C) while sore and in violation of the scar rule (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)

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Steven M. Bennett

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APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

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(b) (6), (b) (7)(C)

while sore and in violation of
the scar rule at the **(b) (6), (b) (7)(C)**

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

to be entered for the purpose of showing, while sore and in violation of the scar rule a(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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(b) (6), (b) (7)(C)

) while in
violation of the scar rule (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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(b) (6), (b) (7)(C)

file in violation of the scar rule at
the(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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VIOULATOR:
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ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

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The following conduct is prohibited:

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(b) (6), (b) (7)(C)

) to be entered for the purpose of showing, while in violation of the scar rule and bearing a foreign substance (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

while in violation of the scar rule and bearing a foreign substance **(b) (6), (b) (7)(C)**

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VIOLATOR:
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(b) (6), (b) (7)(C)

while in violation of the scar rule and bearing a foreign substance at the (b) (6), (b) (7)(C)

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(b) (6), (b) (7)(C) who entered for the purpose of showing, (b) (6), (b) (7)(C) while in violation of the scar rule and bearing a foreign substance (b) (6), (b) (7)(C)

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ADDRESS (Street, City, State, ZIP Code):
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(b) (6), (b) (7)(C)

while in violation of the scar rule
at the (b) (6), (b) (7)(C)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



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VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
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Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

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(b) (6), (b) (7)(C) to be entered for the purpose of showing, while in violation of the scar rule at the (b) (6), (b) (7)(C)

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VIOULATOR:
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ADDRESS (Street, City, State, ZIP Code):
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while in violation of the scar rule at ^{(b) (6), (b) (7)(C)}

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(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)
rule at the (b) (6), (b) (7)(C)

for the purpose of showing,
while in violation of the scar

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(b) (6), (b) (7)(C)

while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at (b) (6), (b) (7)(C)



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APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

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(b) (6), (b) (7)(C)

while sore, in violation of the scar rule, and
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(b) (6), (b) (7)(C)**ADDRESS (Street, City, State, ZIP Code):**
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C)
which was found to be
wearing a foreign substance.



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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) , for the purpose of showing or exhibiting, the horse, “(b) (6), (b) (7)(C) which was found to be wearing a foreign substance.



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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
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Date of Violation: May 19, 2013

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(b) (6), (b) (7)(C) horse at the show, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C)
which was found to be wearing a foreign substance.



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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

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“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.



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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

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Date of Violation: May 19, 2013

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(b) (6), (b) (7)(C) for the purpose of showing or exhibiting, (b) (6), (b) (7)(C)
which
was found to be wearing a foreign substance.



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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS****CASE NUMBER:**
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(b) (6), (b) (7)(C)**ADDRESS (Street, City, State, ZIP Code):**
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C)
, which was found to be wearing a foreign substance.



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ADDRESS (Street, City, State, ZIP Code):
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Date of Violation: May 19, 2013

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(b) (6), (b) (7)(C)ntered, for the purpose of showing or exhibiting, the horse, “(b) (6), (b) (7)(C) , which was found to be wearing a foreign substance.



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 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (Street, City, State, ZIP Code): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse (b) (6), (b) (7)(C)
while the
horse was sore and in violation of the scar rule.



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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) allowed the entry of his horse at the show, for the purpose of showing or exhibiting the horse. (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)

, which was found to be wearing a foreign substance.



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(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C)

which was found to be
wearing a foreign substance.



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VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

to be entered for the purpose of showing while in violation of scar rule at the (b) (6), (b) (7)(C)



United States Department of Agriculture

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VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):

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Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)
showing (b) (6), (b) (7)(C)

who entered for the purpose of

where the
horse was in violation of scar rule during a pre-show inspection.

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OFFICE ADDRESS:
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Riverdale, MD 20737

APHIS OFFICIAL (Title):
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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS****CASE NUMBER:**

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)

while in violation of the scar rule at the (b) (6), (b) (7)(C)



United States Department of Agriculture

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse..

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)

, where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016 TELEPHONE NUMBER: (301) 851-2948



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)

entered for the purpose of showing, ^{(b) (6), (b) (7)(C)}

, where the
horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Steven M. Bennett

OFFICE ADDRESS:4700 River Road, Unit 85
Riverdale, MD 20737**APHIS OFFICIAL (Title):**

Director, Investigative and Enforcement Services

DATE ISSUED:

9/20/2016

**TELEPHONE
NUMBER:**

(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP

Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824 Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

to be entered for the purpose of showing at the^{(b) (6), (b) (7)(C)}

where the horse was found in violation of the scar rule.



United States Department of Agriculture

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)
showing, (b) (6), (b) (7)(C)
while in violation of the scar rule at the (b) (6), (b) (7)(C)

entered for the purpose of

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/20/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015

15 U.S.C. § 1824(2) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors - Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

9 C.F.R. § 11(3)(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C)

where the horse was found in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

9 C.F.R. § 11(3)(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)

while in violation of the scar rule (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED:
9/19/2016 **TELEPHONE NUMBER:**
(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2016

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse..

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)

where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse (b) (6), (b) (7)(C)

while the horse

was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (6), (b) (7)(C)VIOLATOR:
(b) (6), (b) (7)(C)ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "(b) (6), (b) (7)(C)"

while the

horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street City State ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about July 17, 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:

-A Yorkshire Terrier (b) (6), (b) (7)(C) eyes were abnormal. The left eye had an area on the cornea that was cloudy and milky, and there was a mucopurulent discharge from the eye. The right eye was enlarged and misshapen, protruding and there appeared to be a slight stricture on the globe. There was also a mucopurulent discharge from the eye.

-A German Shepherd (b) (6), (b) (7)(C) was non-weight bearing lame on the left rear leg, the knee was swollen approximately twice the normal size.

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If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

SIGNATURE:

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)



VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about **August 27, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

3.53(a) Primary Enclosures....primary enclosures shall be structurally sound and maintained in good repair to protect the animals from injury, to contain them and to keep predators out (DIRECT)

You failed to maintain structurally sound primary enclosures. Rabbit enclosures are not structurally sound, wire floors have holes up to 4 inches wide, wire mesh on wall and tops have broken ends extending into enclosures. Solid walls have holes, are rusted and have peeling paint.

3.55 Watering....sufficient potable water daily except as might otherwise be required to provide adequate veterinary care. All watering receptacles shall be sanitized when dirty (repeat)

You failed to sanitize rabbit water bottles hanging on cages have a heavy buildup of algae.

3.56(a)(1) Sanitation....primary enclosures for animals reasonably free of excreta, hair cobwebs and other debris by periodic cleaning (repeat)

You failed to clean primary enclosures. Rabbit enclosures have a buildup of excreta, especially in corners and along edges.

3.127(a) Facilities, Outdoorwhen sunlight is likely to cause overheating or discomfort of the animals kept outdoors by providing sufficient shade by natural or artificial means. (DIRECT)

You failed to provide sufficient shade. All animals in the lower level goat / sheep pen, housing mothers and kids, could not fit in the shade area. The animals were crowded in the shade area reducing its effectiveness.

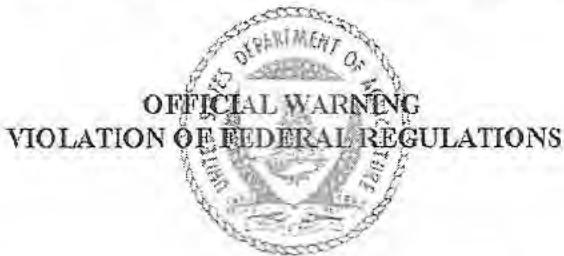
3.131(a) Sanitation.... excreta shall be removed from primary enclosures as often as necessary to contamination of the animals contained therein and to minimize disease hazards and to reduce odors (repeat)

You failed to remove excreta as necessary. The llama / alpaca pen and the pygmy goat pen both had heavy buildup of excreta.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title): Chester A. Gipson Deputy Administrator Animal Care	Not a FOIA Deletion	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737
SIGNATURE:		DATE ISSUED: 11/5/14
TELEPHONE NO: (970)494-7478		
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOLATOR:

University of Iowa
Registration #: 42-R-0004
Customer #: 1576

ADDRESS (Street, City, State, ZIP Code):
400 Medical Laboratories
Iowa City, IA 52242

The U.S. Department of Agriculture has evidence that on or about **August 26, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.33(b)(3) Attending Veterinarian and Adequate Vet Care.... each research facility shall establish and maintain programs of adequate veterinary care that include daily observation of animals to assess their health and well-being, and have a mechanism of direct and frequent communication so that timely and accurate information on problems of health, behavior, and well-being is conveyed to the attending veterinarian

You failed to provide veterinarian care for the following animal:

Four rabbits died between Saturday June 14 and Monday June 16 2014 as a result of unexpected study complications and the veterinarian was not notified until the morning of June 16, 2014.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions.

If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

SIGNATURE:

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

DATE ISSUED:
11/5/14

TELEPHONE NO:
(970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3925

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:



VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about August 19, 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care...establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:

A male bichon-shih tzu mixed puppy approximately 5 weeks old was observed with an open wound around the muzzle area. The dam's identity is (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions.

If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

SIGNATURE:

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO:(b) (6), (b) (7)(C)

OFFICIAL WARNING



CASE NO.
(b) (6), (b) (7)(C)

| VIOLATOR

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, Zip Code)

VIOLATION OF FEDERAL REGULATIONS (b) (6), (b) (7)(C)

The Department of Agriculture has evidence that on or about June 3, 2013 and October 8, 2014 you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION

Failure to

2.40(b)(2)

- **Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.**

Several guinea pigs were observed with eye abnormalities and skin lesions. The veterinarian had not been contacted prior to inspection.

**Grey guinea pig in pen 45 had greyish mucus discharge around outside of left eye.

**Black and white guinea pig in pen 32 had left eye completely closed with crusty discharge and swollen.

**Black and white guinea pig in pen 8 had partially closed right eye and white mucus discharge from lower lid.

**Black and white guinea pig in pen 29 had skin lesions around right eye and on top of the nose. Dark red to black crusty areas consistent with scabs/dried blood and small amounts of fresh blood were present.

**Tri-color guinea pig in pen 4 had skin lesions on the left hip. Small red to black color scabs and moderate hair loss in the area.

**White guinea pig in pen 45 had skin lesions around the right eye with dry flaky skin in the lower corner of the eye. Hair loss and a few small red scab-like lesions were in the area.

**Tri-color guinea pig in pen 48 had skin lesions on top of the nose, cluster of small red color scabs with moderate hair loss and dry flaky skin.

**White guinea pig in pen 50 had skin lesions around the left eye with dry flaky skin and hair loss in lower corner of the eye.

**Left eye of a black and white guinea pig in pen 4 had cloudy appearance. Opaque white flakes were seen in center of cornea.

**Brown and white guinea pig in pen 29 had skin lesions around right eye and on top of the nose. Skin under lower left eye lid was dry and flaky with hair loss.

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL (<i>Name and Title</i>) Chester A. Gipson	Deputy Administrator [REDACTED]	OFFICE ADDRESS: 920 Main Campus Dr., Suite 200 Raleigh, NC 27606 TELEPHONE NO. AC (919) 855-7100
DATE ISSUED November 10, 2014		DATE RECEIVED:
FOR PERSONAL SERVICE - RECEIVED BY: (<i>Name and signature</i>) Not a FOIA Deletion		

FOR CERTIFIED MAIL - RECEIPT NO:

(b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used
(JUN 91) PART 1 - VIOLATOR

OFFICIAL WARNING



VIOLATION OF FEDERAL REGULATIONS

CASE NO.

(b) (6), (b) (7)(C)

| VIOLATOR

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, Zip Code)

(b) (6), (b) (7)(C)

The Department of Agriculture has evidence that on or about **October 7, 2014** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION

Failure to

2.40(b)(2)

- Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend and holiday care.

(**A chocolate labrador retriever (b) (6), (b) (7)(C) was shaking his head repeatedly. Left ear had copious wet, watery brown discharge, and appeared inflamed and pink.)

(**A yellow labrador retriever (b) (6), (b) (7)(C) has a 3/4 inch long scrape on left side of face oozing blood. Top molars have greyish-brown material covering majority of the surface. Upper molars and cheek teeth are reddened and swollen. Body condition is slightly thin, ribs easily seen. Right ear inside near canal has thickened skin that is hairless, pink, raw and moist looking.)

(**A brindle and white boxer (b) (6), (b) (7)(C) observed repeatedly licking a thickened, pink, moist looking lesion on the upper lip. Right ear has a notch taken out of the tip about 1/4 inch in diameter.)

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL (Name and Title) Cheri L. St. [REDACTED] SIC [REDACTED]	Deputy Administrator DATE ISSUED November 10, 2014	OFFICE ADDRESS: 920 Main Campus Dr., Suite 200 Raleigh, NC 27606 TELEPHONE NO. AC (919) 855-7100
FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature) Not a FOIA Deletion		DATE RECEIVED: [REDACTED]

FOR CERTIFIED MAIL - RECEIPT NO:
(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:



VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about **July 30, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:

The left rear leg of the male Dromedary camel named Bulla, had multiple cuts above the foot on the outside surface of the leg. Some of the cuts were black and others were pink to red in coloration.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (N) **Not a FOIA Deletion**

Chester A. Gipson
Deputy Administrator
Animal Care

SIGNATURE:

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3895

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about September 17, 2014 and/or July 7, 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:

- a Papillion^{(b) (6), (b) (7)(C)} had protruding right eye with a pink center, the area surround the eye was wet and tissue on the bottom of his eye lid was swollen with an abnormal section of cream tissue.
- a Yorkshire Terrie^{(b) (6), (b) (7)(C)} had complete hair loss in sections across her neck, shoulders and back. Her skin was dry and flaky.
- a Yorkshire Terrie^{(b) (6), (b) (7)(C)} had eye issues and his right ear was filled with black wax.
- a Yourshire Terrie^{(b) (6), (b) (7)(C)} had dental issues
- a Papillion^{(b) (6), (b) (7)(C)} had hair stuck between her lower front teeth, dental issues, and an opening the size of a pencil eraser on the top of her left front foot.

3.1(c)(3) Housing Facilities, General....hard surfaces with which the animals come into contact must be spot-clean daily and sanitize once every two weeks

You failed to spot clean daily and sanitize the indoor portion of the shelter housing Bulldogs and multiple dog doors.

3.11(a) Cleaning of Primary Enclosures....excreta and food waste must be removed from primary enclosures daily, and from under primary enclosures as often as necessary to prevent an excessive accumulation of feces and food waste

You failed to remove an accumulation of feces from the outdoor portion of the sheltered building housing adult dogs.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

SIGNATURE:

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO.(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NE130012-AC

VIOLATOR:
Pet Air Carrier, L.L.C.

ADDRESS (Street, City, State, ZIP Code):
Roswell, GA 30075

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: February 4, 2013

9 C.F.R. § 3.140(a) Care in Transit. During surface transportation, it shall be the responsibility of the driver or other employee to visually observe the live animals as frequently as circumstances may dictate, but not less than once every 4 hours, to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any of the live animals are in obvious physical distress and to provide any needed veterinary care as soon as possible. When transported by air, live animals shall be visually observed by the carrier as frequently as circumstances may dictate, but not less than once every 4 hours, if the animal cargo space is accessible during flight. If the animal cargo space is not accessible during flight, the carrier shall visually observe the live animals whenever loaded and unloaded and whenever the animal cargo space is otherwise accessible to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any such live animals are in obvious physical distress. The carrier shall provide any needed veterinary care as soon as possible. No animal in obvious physical distress shall be transported in commerce.

You transported two crates of piglets from a consignor in Sioux Center, Iowa to Atlanta, Georgia. Each crate was covered with a commercially available nylon cover which specifically fit the crate used and was designed to protect the animals from extreme weather. The cover was insulated, and enclosed the entire crate, including the ventilation holes. When you picked up the animals at the Atlanta airport to deliver them to their final destination, you failed to visually observe the animals in both crates. At the time of delivery, all 10 piglets in one of the crates were deceased.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Bernadette Juarez	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 11/18/2014 TELEPHONE NUMBER: (301) 851-2948

OFFICIAL WARNING



VIOLATION OF FEDERAL REGULATIONS

CASE NO.
(b) (6), (b) (7)(C)

VIOULATOR

(b) (6), (b) (7)(C)

Attn: (b) (6), (b) (7)(C)

ADDRESS (Street, City, State, Zip Code)

(b) (6), (b) (7)(C)

The Department of Agriculture has evidence that on or about **February 2 - 9, 2014** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION

Failure to

2.38(f)(1)

- Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

(On February 2, 2014 a groundhog on exhibition as part of groundhog day celebration managed to escape from the hands of an untrained person and fell to the ground. The same groundhog escaped and fell from its handler during use as an educational animal in a school about week later. On February 9, 2014 the groundhog was found deceased.)

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL (Name and Title)

C [REDACTED]
SI [REDACTED]

Deputy Administrator

DATE ISSUED
November 10, 2014

OFFICE ADDRESS:

920 Main Campus Dr., Suite 200
Raleigh, NC 27606
TELEPHONE NO. AC (919) 855-7100

FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)

DATE RECEIVED:

Not a FOIA Deletion

FOR CERTIFIED MAIL - RECEIPT NO:

(b) (6), (b) (7)(C)

APHIS FORM 7060
(JUN 91)

Previous editions may be used

PART 1 - VIOLATOR

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING



VIOLATION OF FEDERAL REGULATIONS

CASE NO.

OH150002

VIOULATOR

Customer# 228

Certificate# 31-R-0021

Battelle Memorial Institute

Attn:(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, Zip Code)

505 King Avenue
Columbus, OH 43201

The Department of Agriculture has evidence that on or about August 29, 2012, June 17, 2014 and July 18, 2014 you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION

Failure to

2.38(f)(1)

- Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

(**On August 29, 2012 the facility failed to ensure transfer of all guinea pigs to a new cage rack resulting in the death of one animal. The valve of the watering system was not fully closed allowing water to leak into and flood the cage.

**On June 17, 2014 three guinea pigs were found deceased in their cage after a leak in the watering system flooded the cage overnight.

**On July 18, 2014 a guinea pig cage flooded overnight resulting in the death of one guinea pig.)

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL (Name and Title)		OFFICE ADDRESS:
Deputy Administrator		920 Main Campus Dr., Suite 200
S	DATE ISSUED	Raleigh, NC 27606
November 10, 2014		TELEPHONE NO. AC (919) 855-7100
FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)		DATE RECEIVED:
Not a FOIA Deletion		

FOR CERTIFIED MAIL - RECEIPT NO:
7010 2780 0002 5200 0963

APHIS FORM 7060 Previous editions may be used
(JUN 91) PART 1 - VIOLATOR

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (STREET, CITY, STATE, ZIP CODE):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about **September 4, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.33(b)(2) Attending Veterinarian and Adequate Vet Care.... each research facility shall establish and maintain programs of adequate veterinary care that use the appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRCET)

You failed to provide veterinarian care for the following animals:

- A male beagle (b) (6), (b) (7)(C) was extremely thin and not receiving treatment
- A female beagle (b) (6), (b) (7)(C) had a large, red swelling located in the inner corner of the right eye, on the inner surface of the lower eyelid
- A female beagle (b) (6), (b) (7)(C) was extremely wobbly and ataxic, appeared disoriented and had difficulty walking

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

SIGNATURE:

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 20737

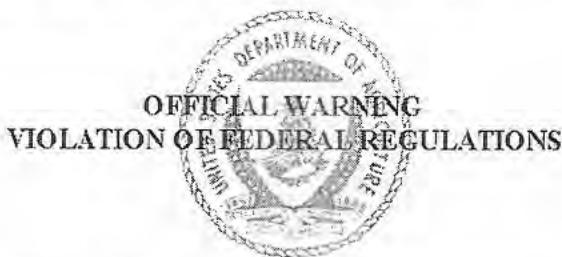
DATE ISSUED:
11/5/14

TELEPHONE NO:
(970)494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:



VIOULATOR:

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about September 17, 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:

- English Bulldogs identification number:(b) (6), (b) (7)(C) had swollen lesions between digits on their paws.
- A Pekingese (b) (6), (b) (7)(C) had issues with her right eye.
- Poodles identification numbers(b) (6), (b) (7)(C) had dental issues.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

SIGNATURE:

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOLATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street City State ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about **March 26, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:

A Dachshund (b) (6), (b) (7)(C) was non-weight bearing on her right front limb and had dental issues.

A Yorkshire Terrier (b) (6), (b) (7)(C) had dental issues.

A Pomeranian (b) (6), (b) (7)(C) had a head tilt and dental issues.

A Pomeranian (b) (6), (b) (7)(C) was intermittently non-weight bearing on his left rear limb.

A Poodle (b) (6), (b) (7)(C) had dental issues.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson Not a FOIA Deletion
Deputy Administrator
Animal Care

SIGNATURE:

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOULATOR:

Bioo Scientific Corporation
74-R-0190 / 41027

ADDRESS (Street, City, State, ZIP Code):
7050 Burleson Road
Austin, TX 78744

The U.S. Department of Agriculture has evidence that on or about **August 20, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:

A rabbit with gray markings on the legs was observed to be holding its right rear leg in an abnormal position. The leg was extended out from the body in a splayed manner. The caretaker was not aware that the animal had a problem.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title)

Chester A. Gipson
Deputy Administrato...
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

SIGNATURE:



DATE ISSUED:
11/5/14

TELEPHONE NO:
(970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3888

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:



VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about June 30, 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:

A Waterbuck appeared extremely thin and did not move for a period of approximately 30 minutes.
A female dromedary camel with a large open wound on her upper left fore leg.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Not A FOIA Deletion
Deputy Administrator
Animal Care

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

SIGNATURE:

DATE ISSUED: 11/5/14 TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VIOULATOR:

Seattle Children's Research Institute

Registration #: 91-R-0059

Customer #: 1268

ADDRESS (Street, City, State, ZIP Code):

1900 – 9th Avenue

Seattle, WA 98101

The U.S. Department of Agriculture has evidence that on or about **July 23, 2014** and **November 26, 2013**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.31(d)(1) Institutional Animal Care and Use Committee (IACUC)....repeated failure to follow the approved procedures involving more than momentary pain and distress and follow the IACUC approved protocol and animals to receive appropriate anesthesia or analgesia

On 3/12/14 in Cage ID "Acomys 2", two males had several fight wounds, including "right axillary (very deep, able to visualize muscle)". The Attending Veterinarian recommended carprofen gel, which is specified in the protocol as appropriate analgesia. The research group requested no treatment. Five days later there is a notation that the investigator requested no carprofen gel due to the mouse having a cranial cap. This is despite the fact that the protocol lists carprofen as a specified appropriate analgesic for this procedure.

On 3/12/14 in Cage ID "Acomys 10" there is a notation that two males have several fight wounds, and one has a leg wound with intermittent lameness. The Attending Veterinarian recommends carprofen gel for 5 to 7 days for the lame mouse. There is a request for the research team to approve this treatment within 20 hours. Two days later one mouse was euthanized, and the other "recovered". No analgesics appear to have been administered.

On 4/16/14 in Cage ID "Acomys 42B" there were two pups noted with "multiple large surface area lesions (superficial to moderate)". The Attending Veterinarian recommends treatment with Carprofen gel for two to three days. The next day the research team replied that they "declined carprofen gel at this time".

In the 5/13/14 IACUC meeting minutes these same researchers request a modification to the protocol to "remove anti-inflammatory agents" for part of the study, in order to "elucidate the differences in inflammatory responses".

On 11/26/13 is observed that at least 6 pigs used in an IACUC protocol experienced unnecessary pain and distress which may have contributed to their deaths

These examples demonstrate that the research team was not following the IACUC-approved protocol

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Chester A. Gipson
Deputy Administrator
Animal Care

SIGNATURE:

Not a FOIA Deletion

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

DATE ISSUED:
11/5/14

TELEPHONE NO:
(970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3932

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2010

15 U.S.C. § 1824(2) Unlawful acts

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)

entered for the purpose of
here the horse was found sore.

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APHIS OFFICIAL (Name):
Bernadette Juarez

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 11/17/2014 **TELEPHONE NUMBER:** (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
IL150028-AC

ALLEGED VIOLATOR:
SPOT Travel, LLC
C/O SPOT Group
Holdings, LLC

ADDRESS (City, State, ZIP Code):
New York, NY 10011

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: June 18-19, 2015

9 C.F.R. § 2.131(b)(1) Handling of Animals.

You failed to handle animals as carefully as possible in a manner that did not cause trauma, overheating, behavioral stress, physical harm, or unnecessary discomfort. A shipment of four adult sugar gliders were scheduled for transport by a carrier, in commerce, from Charlotte-Douglas International Airport (CLT), routed through Chicago, Illinois (ORD), with a final destination of Charleston, West Virginia (CRW). The carrier did not load the animals onto their originally-scheduled flight from CLT to ORD, and subsequently transported them on a later flight to ORD. Due to the missed flight and weather-related delays, the sugar gliders did not make their connection to CRW, and the carrier delivered them to you for holding overnight at ORD. You did not visually observe the sugar gliders or provide them with food or water. The sugar gliders resumed transit on a flight from ORD to CRW the following day, and the consignee discovered that they were dead when she picked them up at CRW. The necropsy performed on the sugar gliders indicated that they died from probable heat stroke.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/14/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act."

You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

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USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
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ADDRESS (City, State, ZIP Code):
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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 4, 2013

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C)
while the horse was sore.

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APHIS OFFICIAL (Name):
Bernadette Juarez

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/3/2014 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

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ADDRESS (Street, City, State, ZIP Code):

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Gwenn Howard showed the horse, (b) (6), (b) (7)(C)
while the horse was sore.

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OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:

12/3/2014

TELEPHONE NUMBER:

(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
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ADDRESS (Street, City, State, ZIP Code):
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Russell Hatchett showed the horse, (b) (6), (b) (7)(C)
while the horse was sore.

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Bernadette Juarez

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4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/3/2014 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
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ADDRESS (City, State, ZIP Code):
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9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "(b) (6), (b) (7)(C)

while the horse
was sore.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
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You showed a horse named (b) (6), (b) (7)(C)

while the
horse was sore.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

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USDA
OFFICIAL WARNING
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ALLEGED VIOLATOR:
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ADDRESS (City, State, ZIP Code):
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Date of Alleged Violation: October 8, 2015

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You showed a horse named (b) (6), (b) (7)(C)

while the horse was sore.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore.



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ALLEGED VIOLATOR:
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Date of Alleged Violation: October 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C)

while the horse was sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

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9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered your horse (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: October 8, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You entered your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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You entered a horse named (b) (6), (b) (7)(C)

while the horse was sore.

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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore.



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You entered a horse name (b) (6), (b) (7)(C)

while the horse was sore.



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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: October 8, 2015

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You allowed your horse named (b) (6), (b) (7)(C)

here the horse was wearing a black foreign substance.



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You entered a horse named "(b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: October 8, 2015

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You allowed your horse (b) (6), (b) (7)(C)

where the horse was
found sore.



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You entered a horse named (b) (6), (b) (7)(C)

where the horse was found sore.



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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named (b) (6), (b) (7)(C)

where the horse was found sore.



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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/10/2016	TELEPHONE NUMBER: (301) 851-2948

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USDA
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NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

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You entered a horse named (b) (6), (b) (7)(C)

where the horse was found sore.



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Date of Alleged Violation: October 10, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C)

while

the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/18/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 10, 2015

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse (b) (6), (b) (7)(C)

While the horse was sore and in violation of the
scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/18/2016	TELEPHONE NUMBER: (301) 851-2948

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USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 10, 2015

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "(b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/18/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

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Date of Alleged Violation: October 10, 2015

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/18/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 17, 2016

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named (b) (6), (b) (7)(C)
which was found sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/23/2016 TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3 Scar rule. The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,⁵ other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You allowed a horse you own named "(b) (6), (b) (7)(C)"
which was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/10/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3 Scar rule. The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,⁵ other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You showed a horse named "(b) (6), (b) (7)(C)"
which was sore and in violation of the scar rule.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/10/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
 <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	VIOLATOR: Bradley Bottoms
	ADDRESS (Street, City, State, ZIP Code): Crossville, TN 38571
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 17, 2015</p> <p>15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.</p> <p>You showed a horse you own named (b) (6), (b) (7)(C) which was found to be unilaterally sore.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C)
which was found to be unilaterally sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
 <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (Street, City, State, ZIP Code): (b) (6), (b) (7)(C)
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 17, 2015</p> <p>15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.</p> <p>You showed a horse named (b) (6), (b) (7)(C) which was found to be unilaterally sore.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2) Unlawful acts. The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)

where the
horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Bernadette Juarez

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/4/2014 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)

where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Bernadette Juarez

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/19/2014 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)

here the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Bernadette Juarez

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/19/2014 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C) allowed the entry for the purpose of showing the horse, (b) (6), (b) (7)(C)
while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) allowed the entry of, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C)
, which was found to be
wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Bernadette Juarez	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 12/1/2014 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C) entered for the purpose of showing the horse, (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C)n entered, for the purpose of showing or exhibiting, the horse,
(b) (6), (b) (7)(C)

which was found to be wearing
a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Bernadette Juarez	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 12/18/2014 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C) entered for the purpose of showing the horse, (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C) , for the purpose of showing or exhibiting, the horse,
(b) (6), (b) (7)(C) , which was found to be wearing
a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Bernadette Juarez	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 12/1/2014 TELEPHONE NUMBER: (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse^{(b) (6), (b) (7)(C)}

, where the horse was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 11/8/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C)
for the purpose of showing or exhibiting while
sore and in violation of the scar rule, at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016	TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C)
to be entered for the purpose of showing or exhibiting while in
violation of the scar rule, at th (b) (6), (b) (7)(C)



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

USDA OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C)
in the (b) (6), (b) (7)(C)
where the horse was found in violation of the
scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C)
to be shown at the (b) (6), (b) (7)(C)
, where the horse was found sore
during a post-show inspection

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016 TELEPHONE NUMBER: (301) 851-2948



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 9, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

6 February 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 15, 2016

§ 3.52(a) Facilities, outdoor. (Critical)

Shelter from sunlight. When sunlight is likely to cause overheating or discomfort, sufficient shade shall be provided to allow all rabbits kept outdoors to protect themselves from the direct rays of the sun. When the atmospheric temperature exceeds 90 °F, artificial cooling shall be provided by a sprinkler system or other means.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA170108

VIOLATOR:
Kern County Superintendent of Schools
California Living Museum
Customer# 3610
License# 93-C-0275

ADDRESS (Street, City, State, ZIP Code):
10500 Alfred Harrell Highway
Bakersfield, CA 93306

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 13, 2016

§ 2.40(b)(3) – Attending Veterinarian and Adequate Veterinary Care.

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

§ 3.125(a) – Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.133 – Separation.

Animals housed in the same primary enclosure must be compatible. Animals shall not be housed near animals that interfere with their health or cause them discomfort.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 28 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0207		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011



| VIOLATOR:
(b) (6), (b) (7)(C)

| ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): November 3, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): February 1, 2017, November 8, 2016, June 30, 2016 and April 25, 2016

§2.126(b) – Access and inspection of records and property.

The use of a room, table, or other facilities necessary for the proper examination of the records and inspection of the property or animals must be extended to APHIS officials by the dealer, exhibitor, intermediate handler or carrier, and a responsible adult shall be made available to accompany APHIS officials during the inspection process.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 15, 2016

§ 2.40 - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (Direct)

Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals.

§ 3.6(a)(2)(ii) – Primary Enclosures. (Critical)

Primary enclosures must be constructed and maintained so that they protect the dogs and cats from injury.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C) [CERTIFIED #]		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
HII170002

VIOLATOR:
University of Hawaii at Manoa
Customer# 19
Registrant # 95-R-0002

ADDRESS (Street, City, State, ZIP Code):
Office of the Vice President for Research
and Innovation
2444 Dole Street, Bachman Hall
Honolulu, HI 96822

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 16, 2015

§ 2.33 (b)(3) – Attending Veterinarian and Adequate Veterinary Care.

Daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 8 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3802		



UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
USDA OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION	ALLEGED VIOLATOR: (b) (6), (b) (7)(C)
ADDRESS (City, State, ZIP Code): (b) (6), (b) (7)(C)	
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:</p> <p>Date of Alleged Violation: Between April 14, 2012 and September 25, 2015</p> <p>9 C.F.R. § 2.1(a)(1) Requirements and application.</p> <p>(a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.</p> <p>You failed to obtain a USDA license prior to operating as a dealer. Many of the eland you sold at auction were purchased for exhibition.</p>	



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 2/16/2017	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

IA170017

VIOULATOR:

(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS



ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 8, 2016

§ 2.131(c)(1) Handling of Animals.

During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

3 February 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (Street, City, State, ZIP Code):
201 Lincoln St.
Inwood, IA 51240

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 12, 2016, December 1, 2016, November 29, 2016 and November 22, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 3 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 18, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



VIOLEATOR:
(b) (6), (b) (7)(C)

License# N/A

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 13, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

§ 2.131(c)(1) Handling of animals. (Critical)

During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

§ 2.131(d)(2) Handling of animals. (Critical)

A responsible, knowledgeable, and readily identifiable employee or attendant must be present at all times during periods of public contact.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

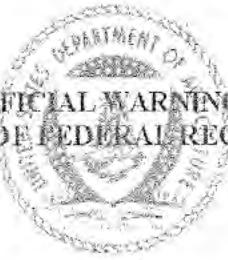
The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 2, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (Direct)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

| ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 13, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)		



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 20, 2016 (REPEAT)

§ 3.1(c)(1)(i) Housing facilities, general.

General requirements. The surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs or cats must be free of excessive rust that prevents the required cleaning and sanitization, or that affects the structural strength of the surface.

Date(s): October 20, 2016 (REPEAT)

§ 3.9(b) Feeding.

Food receptacles must be used for dogs and cats, must be readily accessible to all dogs and cats, and must be located so as to minimize contamination by excreta and pests, and be protected from rain and snow. Feeding pans must either be made of a durable material that can be easily cleaned and sanitized or be disposable. If the food receptacles are not disposable, they must be kept clean and must be sanitized in accordance with § 3.11(b) of this subpart. Sanitization is achieved by using one of the methods described in § 3.11(b)(3) of this subpart. If the food receptacles are disposable, they must be discarded after one use. Self-feeders may be used for the feeding of dry food. If self-feeders are used, they must be kept clean and must be sanitized in accordance with § 3.11(b) of this subpart. Measures must be taken to ensure that there is no molding, deterioration, and caking of feed.

Date(s): October 20, 2016 (REPEAT)

§ 3.11(a) Cleaning, sanitation, housekeeping, and pest control.

Cleaning of primary enclosures. Excreta and food waste must be removed from primary enclosures daily, and from under primary enclosures as often as necessary to prevent an excessive accumulation of feces and food waste, to prevent soiling of the dogs or cats contained in the primary enclosures, and to reduce disease hazards, insects, pests and odors. When steam or water is used to clean the primary enclosure, whether by hosing, flushing, or other methods, dogs and cats must be removed, unless the enclosure is large enough to ensure the animals would not be harmed, wetted, or distressed in the process. Standing water must be removed from the primary enclosure and animals in other primary enclosures must be protected from

being contaminated with water and other wastes during the cleaning. The pans under primary enclosures with grill-type floors and the ground areas under raised runs with mesh or slatted floors must be cleaned as often as necessary to prevent accumulation of feces and food waste and to reduce disease hazards, pests, insects and odors.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
MN150006

VIOULATOR:
In-Sync Exotics Inc.
Customer# 7901
License# 74-C-0431

ADDRESS (Street, City, State, ZIP Code):
P.O. Box 968
Wylie, TX 75098

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date: September 13, 2014

§ 3.140(a) – Care in transit.

During surface transportation, it shall be the responsibility of the driver or other employee to visually observe the live animals as frequently as circumstances may dictate, but not less than once every 4 hours, to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any of the live animals are in obvious physical distress and to provide any needed veterinary care as soon as possible.

You failed to seek veterinary care as soon as possible for a caracal named Ivan that was in obvious physical distress during transit. An employee noticed vomit on the floor of the caracal's enclosure during transit and observed that Ivan did not appear to be acting normally and was resting his head on the floor. Approximately three hours prior to arrival, Ivan was observed to have trouble walking. You did not seek veterinary care as soon as possible for Ivan during transit and Ivan was found unresponsive upon arrival to the destination.

Animal Care believes signs of obvious physical stress were readily observable but a veterinarian was not contacted to provide direction on adequate and needed care as soon as possible. It is your responsibility to ensure all applicable standards are being complied with including, but not limited to, determining whether any live animals are in obvious physical stress and providing any needed veterinary care as soon as possible.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED:	TELEPHONE NO:
17 February 2017 (970) 494-7478		

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3758

CASE NUMBER:
(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 17, 2016, March 11, 2016, March 9, 2016, July 16, 2015, July 3, 2015 and May 6, 2015

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 6 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 22, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (Critical)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Gerald Rushin

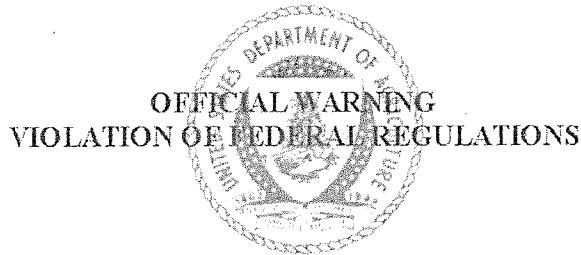
OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 15 February 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO: **(b) (6), (b) (7)(C)**

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
MO170014

VIOULATOR:
University of Missouri-Columbia
Customer# 1461
License# 43-R-0048

ADDRESS (Street, City, State, ZIP Code):
310 Jesse Hall
Columbia, MO 65211

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 6, 2016

§ 3.1(a) Housing facilities, general. (Critical)

Structure; construction. Housing facilities for dogs and cats must be designed and constructed so that they are structurally sound. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

Date(s): June 6, 2016

§ 3.125(a) Facilities, general. (Critical)

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3857



ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 22, 2016

§ 3.4(a)(1)(iii) – Outdoor Housing Facilities. (DIRECT)

The following categories of dogs or cats must not be kept in outdoor facilities, unless that practice is specifically approved by the attending veterinarian sick, infirm, aged or young dogs or cats.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

License# N/A

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 15, 2016 through October 25, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

23 February 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: **(b) (6), (b) (7)(C)**



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

License# N/A

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 8, 2015 through November 28, 2015

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

23 February 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: **(b) (6), (b) (7)(C)**



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street City State ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 13, 2016 and September 15, 2016

§ 3.11(b)(2) - Cleaning, sanitization, housekeeping, and pest control. (Critical)

Sanitization of primary enclosures and food and water receptacles. Used primary enclosures and food and water receptacles for dogs and cats must be sanitized at least once every 2 weeks using one of the methods prescribed in paragraph (b)(3) of this section, and more often if necessary to prevent an accumulation of dirt, debris, food waste, excreta, and other disease hazards.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO: **(b) (6), (b) (7)(C)**
[CERTIFIED #]



| CASE NUMBER:
(b) (6), (b) (7)(C)

| VIOLATOR:
(b) (6), (b) (7)(C)

| ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 10, 2016

§ 2.40(b)(3) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): August 10, 2016 and July 28, 2016

§ 3.31(a)(2) - Sanitation. (DIRECT REPEAT)

Cleaning and sanitation of primary enclosures. In the event a primary enclosure becomes soiled or wet to a degree that might be harmful or uncomfortable to the animals therein due to leakage of the watering system, discharges from dead or dying animals, spoiled perishable foods, or moisture condensation, the guinea pigs or hamsters shall be transferred to clean primary enclosures.

Date(s): August 10, 2016, and September 11, 2015

§ 3.31(b) - Sanitation. (REPEAT)

Housekeeping. Premises (buildings and grounds) shall be kept clean and in good repair in order to protect the animals from injury and to facilitate the prescribed husbandry practices set forth in this subpart. Premises shall remain free of accumulations of trash.

Date(s): July 28, 2016 and March 11, 2016 (Only use the dates that the actually repeat was cited)

§ 2.75(b)(1) - Records: Dealers and exhibitors. (REPEAT)

Every dealer other than operators of auction sales and brokers to whom animals are consigned, and exhibitor shall make, keep, and maintain records or forms which fully and correctly disclose the following information concerning animals other than dogs and cats, purchased or otherwise acquired, owned, held, leased, or otherwise in his or her possession or under his or her control, or which is transported, sold, euthanized, or otherwise disposed of by that dealer or exhibitor. The records shall include any offspring born of any animal while in his or her possession or under his or her control.

Date(s): May 26, 2016

§ 3.29(d) - Feeding. (REPEAT)

Food receptacles, if used, shall be accessible to all guinea pigs or hamsters in a primary enclosure and shall be located so as to minimize contamination by excreta. All food receptacles shall be kept clean and shall be sanitized at least once every 2 weeks. If self-feeders are used for the feeding of pelleted feed, measures must be taken to prevent molding, deterioration or caking of the feed. Hamsters may be fed pelleted feed on the floor of a primary enclosure.

Date(s): May 26, 2016

§ 3.30 - Watering. (REPEAT)

Unless food supplements consumed by guinea pigs or hamsters supply them with their normal water requirements, potable water shall be provided daily except as might otherwise be required to provide adequate veterinary care. Open containers used for dispensing water to guinea pigs or hamsters shall be so placed in or attached to the primary enclosure as to minimize contamination from excreta. All watering receptacles shall be sanitized when dirty: *Provided, however,* That such receptacles shall be sanitized at least once every 2 weeks.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:
(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 10, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 6 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (Street, City, State, ZIP Code):

17999 State Highway 148 South
Henrietta, TX 76365

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 18, 2016 and October 15, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 6 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

P.O. Box 421
Talco, TX 75487

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 24, 2016, June 28, 2016 and April 13, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 15 February 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

License# N/A

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): November 26, 2016 through December 21, 2016 (dates listed on the advertisement)

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

23 February 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C)

where the horse was found sore
during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Steven M. Bennett

OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:
12/16/2016

TELEPHONE NUMBER:
(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) allowed his horse, (b) (6), (b) (7)(C)

where the horse
was found sore during a post-show inspection

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C)

where the horse was found sore
during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Steven M. Bennett

OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:
12/16/2016

TELEPHONE NUMBER:
(301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C)
to be entered for the purpose of showing or exhibiting, the horse in the
(b) (6), (b) (7)(C)
where the horse was found sore and in violation of the
scar rule during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse
(b) (6), (b) (7)(C)

where the horse was found sore and in violation of
the scar rule during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 12/16/2016 **TELEPHONE NUMBER:** (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named (b) (6), (b) (7)(C)
for the purpose of showing or exhibiting the horse
which was found unilaterally sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you named (b) (6), (b) (7)(C) " to be entered in the (b) (6), (b) (7)(C) for the purpose of showing or exhibiting, which was found unilaterally sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016 TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

9 C.F.R. § 1842(2)(A) The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C)
which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3(b) Scar rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C)
which was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/14/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 25, 2014

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.3 Scar Rule

“The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C) entered for the purpose of showing the horse (b) (6), (b) (7)(C)

which was found sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/7/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 25, 2014

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.3 Scar Rule

“The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C) allowed the entry of his horse at the show, for the purpose of showing or exhibiting the horse, (b) (6), (b) (7)(C)

which was found sore.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/7/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2014

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C) where it
was found sore and in violation of the scar rule.

Date of Violation: August 28, 2014

9 C.F.R. § 11.3 Scar rule. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,5 other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a horse named (b) (6), (b) (7)(C) where it
was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/7/2016	TELEPHONE NUMBER: (301) 851-2948

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USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed the entry of a horse you owned named (b) (6), (b) (7)(C)" to be entered into the (b) (6), (b) (7)(C)
where it was found sore and in violation of the scar rule.

Date of Violation: August 28, 2014

9 C.F.R. § 11.3 Scar rule. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,⁵ other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You allowed the entry of a horse you owned named (b) (6), (b) (7)(C)
where it was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/7/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore."

(b) (6), (b) (7)(C) was the trainer that showed the horse (b) (6), (b) (7)(C)

, where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016 TELEPHONE NUMBER: (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in Clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) was the owner who allowed the horse, (b) (6), (b) (7)(C)

, where the horse was found
sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the hors (b) (6), (b) (7)(C)

, where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Steven M. Bennett

OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:

12/2/2016

TELEPHONE NUMBER:

(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C)

where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/2/2016 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA

OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) was the rider who entered for the purpose of showing,
(b) (6), (b) (7)(C)
, where the horse was found sore
during a pre-show inspection.

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APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
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DATE ISSUED: 12/20/2016 **TELEPHONE NUMBER:** (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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ALLEGED VIOLATOR:
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ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C)
to be entered for the purpose of showing or exhibiting, the
horse at the (b) (6), (b) (7)(C) , where
the horse was found sore during a pre-show inspection.

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/20/2016 TELEPHONE NUMBER: (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
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OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) was the custodian who entered for the purpose of showing,
(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C) , where the horse was found sore
during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C)s was the trainer who entered for the purpose of showing,^{(b) (6), (b) (7)}

where the horse was found sore
during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
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APHIS OFFICIAL (Title):
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NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) was the trainer that showed the horse, (b) (6), (b) (7)(C)

where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016 TELEPHONE NUMBER: (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
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CASE NUMBER:
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(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
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**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 15, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) allowed his horse (b) (6), (b) (7)(C)

where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title):
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DATE ISSUED: 12/16/2016 **TELEPHONE NUMBER:** (301) 851-2948



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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OFFICIAL WARNING
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CASE NUMBER:
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ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) was the trainer that showed the horse, (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

, where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/8/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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