UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

Customer# (b) (7)(C), (b) (6)
License# (b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 20, 2016 and February 11, 2016

§ 3.75(c)(1) - Housing facilities, general.

Surfaces. General requirements. The surfaces of housing facilities – including perches, shelves, swings, boxes, houses, dens, and other furniture-type fixtures or objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Furniture-type fixtures or objects must be sturdily constructed and must be strong enough to provide for the safe activity and welfare of nonhuman primates. Floors may be made of dirt, absorbent bedding, sand, gravel, grass, or other similar material that can be readily cleaned, or can be removed or replaced whenever cleaning does not eliminate odors, diseases, pests, insects, or vermin. Any surfaces that come in contact with nonhuman primates.

Date(s): April 20, 2016, February 11, 2016, November 12, 2015, August 18, 2015, March 25, 2015 and November 12, 2014

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

Date(s): April 20, 2016, February 11, 2016 and November 12, 2015

§ 3.125(d) - Facilities, general.

Waste disposal. Provision shall be made for the removal and disposal of animal and food wastes, bedding, dead animals, trash and debris. Disposal facilities shall be so provided and operated as to minimize vermin infestation, odors, and disease hazards. The disposal facilities and any disposal of animal and food wastes, bedding, dead animals, trash, and debris shall comply with applicable Federal, State, and local laws and regulations relating to pollution control or the protection of the environment.

Date(s): November 12, 2015, August 18, 2015, March 25, 2015 and November 12, 2014

§ 3.75(c)(3) - Housing facilities, general.

Surfaces. Cleaning. Hard surfaces with which nonhuman primates come in contact must be spot-cleaned daily and sanitized in accordance with § 3.84 of this subpart to prevent accumulation of excreta or disease hazards. If the species scent mark, the surfaces must be sanitized or replaced at regular intervals as determined by the attending veterinarian in accordance with generally accepted professional and husbandry practices. Floors made of dirt, absorbent bedding, sand, gravel, grass, or other similar material, and planted enclosures must be raked or spot-cleaned with sufficient frequency to ensure all animals the freedom to avoid contact with excreta. Contaminated material must be removed or replaced whenever raking and spot cleaning does not eliminate odors, diseases, insects, pests, or vermin infestation. All other surfaces of housing facilities must be cleaned and sanitized when necessary to satisfy generally accepted husbandry standards and practices. Sanitization may be done by any of the methods provided in § 3.84(b)(3) of this subpart for primary enclosures.

Date(s): March 25, 2015

§ 2.40(b)(3) – Attending veterinarian and adequate veterinary care (dealers and exhibitors).

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: Daily observation of all animals to assess their health and well-being; Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	August 10, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
(b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **VIOLATION OF FEDERAL REGULATIONS**	**CASE NUMBER:** **CA160228** **VIOLATOR:** Syntron Bioresearch Inc. Customer# 25560 License# 93-R-0498 **ADDRESS** *(Street, City, State, ZIP Code)*: 2774 Loker Avenue West Carlsbad, CA 92010

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 14, 2016 and September 15, 2015

§ 2.33(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each research facility shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	**OFFICE ADDRESS:**	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	**DATE ISSUED:** 08/10/2016	**TELEPHONE NO:** (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 9423		

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 5, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS *(Street, City, State, ZIP Code)*: (b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): February 11, 2016 (Direct) and December 9, 2015

§ 3.4(b)(4) Outdoor Housing Facilities.

Shelter from the elements. Outdoor facilities for dogs or cats must include one or more shelter structures that are accessible to each animal in each outdoor facility, and that are large enough to allow each animal in the shelter structure to sit, stand, and lie in a normal manner, and to turn about freely. In addition to the shelter structures, one or more separate outside areas of shade must be provided, large enough to contain all the animals at one time and protect them from the direct rays of the sun. Shelters in outdoor facilities for dogs or cats must contain a roof, four sides, and a floor, and must provide the dogs and cats with protection from the direct rays of the sun and the direct effect of wind, rain, or snow.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 3.1(c)(1)(ii) – Housing Facilities, General.

Surfaces. General requirements. The surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs and cats must be free of jagged edges or sharp points that might injure the animals.

§ 3.1(c)(2) – Housing Facilities, General.

Surfaces. Maintenance and replacement of surfaces. All surfaces must be maintained on a regular basis. Surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – that cannot be readily cleaned and sanitized, must be replaced when worn or soiled.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*: Gerald Rushin	**OFFICE ADDRESS:** 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	**DATE ISSUED:** 08/02/2016	**TELEPHONE NO:** (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
ID160010

VIOLATOR:
City of Pocatello
Customer# 3486
License# 82-C-0003

ADDRESS (*Street, City, State, ZIP Code*):
3101 Ave. of the Chiefs
Pocatello, ID 83204

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 25, 2016

§ 3.125(a) Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	8/09/16	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 9386

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
IL160045

VIOLATOR:
Settlers Pond Animal Shelter & Sanctuary

Customer# 38808
License# Unlicensed

ADDRESS *(Street, City, State, ZIP Code)*:
1301 E Offner Road
Beecher, IL 60401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 27, 2016 and July 09, 2015

§ 2.1(a)(1) - Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	**DATE ISSUED:** August 05, 2016	TELEPHONE NO: (919) 855-7116

CERTIFIED MAIL RECEIPT NO:
7015 1520 0001 1010 4824

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2015**

§ 3.1(c)(2) – Housing Facilities, General

Maintenance and replacement of surfaces. All surfaces must be maintained on a regular basis. Surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – that cannot be readily cleaned and sanitized, must be replaced when worn or soiled.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.53 - Primary enclosures. (DIRECT)

All primary enclosures for rabbits shall conform to the following requirements: primary enclosures shall be structurally sound and maintained in good repair to protect the rabbits from injury, to contain them, and to keep predators out.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	August 02, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
(b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
MN160028

VIOLATOR:
Cock a Doodle Zoo

Customer# 27809
License# 41-B-0238

ADDRESS (*Street, City, State, ZIP Code*):
15801 Pilar Road North
Scandia, MN 55073

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 24, 2016 and March 28, 2016

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

Date(s): March 28, 2016 and December 07, 2015

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	Aug 01, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
7015 1520 0001 1010 4671

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 (DIRECT) and** (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	02/08/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

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The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C), **2015**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	8/10/16	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: **MT160007** VIOLATOR: Montana State University Customer# 1069 License# 81-R-0002 ADDRESS (*Street, City, State, ZIP Code*):

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 9, 2016

§ 2.32(c)(1)(iv) Personnel Qualifications.

Training and instruction of personnel must include guidance in at least the following area, humane methods of animal maintenance and experimentation, including aseptic surgical methods and procedures,

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: *https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx*.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/0202016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 1402

An Equal Opportunity Provider and Employer

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: Between January 2014-December 2014

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You operated as a dealer without first obtaining a valid license. You sold three juvenile lemurs for $500.

Date of Violation: On or about October 2014

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

USDA

You operated as a dealer without first obtaining a valid license. You sold two coatimundi at an auction.

Date of Violation: On or about July 15, 2015

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You operated as a dealer without first obtaining a valid license. You sold a juvenile lemur for $1,000.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/8/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
NJ160091

VIOLATOR:
Township of Mt. Laurel

Customer# 34249
License# 22-C-0128

ADDRESS *(Street, City, State, ZIP Code)*:
1105 Hainesport Mt. Laurel Road
Mt. Laurel, NJ 08054

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 21, 2016

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	**OFFICE ADDRESS:**	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	August 10, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
7015 1520 0001 1010 4855

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
OH160017

VIOLATOR:
Noahs Lost Ark Inc.

Customer# 328710
License# 31-C-0234

ADDRESS *(Street, City, State, ZIP Code)*:
8424 Bedell Road
Berlin Center, OH 44401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): Mar 17, 2016

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.127(c) - Facilities, outdoor. DIRECT

Drainage. A suitable method shall be provided to rapidly eliminate excess water. The method of drainage shall comply with applicable Federal, State, and local laws and regulations relating to pollution control or the protection of the environment.

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https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

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APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	August 09, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
7015 1520 0001 1869 6505

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 2.1 - Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	August 02, 2016	(919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/10/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

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The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	August 02, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO.
(b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016 **and** (b) (6), (b) (7)(C) 2016

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 3.31(a)(1) - Sanitation.

Cleaning and sanitation of primary enclosures. Primary enclosures shall be cleaned and sanitized often enough to prevent an accumulation of excreta or debris: *Provided, however,* That such enclosures shall be sanitized at least once every 2 weeks in the manner provided in paragraph (a)(4) of this section.

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.28(c)(1)(iii) - Primary enclosures. (DIRECT)

Space requirements for primary enclosures acquired on or after August 15, 1990. Guinea pigs. Each guinea pig shall be provided a minimum amount of floor space in any primary enclosure.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	August 09, 2016	(919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: **TN160258**
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Lincoln Memorial University Customer# 847 License# 63-R-0019
	ADDRESS (*Street, City, State, ZIP Code*): Cumberland Gap Pkwy Harrogate, TN 37752

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): January 07, 2016 and April 30, 2015

§ 2.31(c)(6) - Institutional Animal Care and Use Committee (IACUC).

IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of those components of proposed activities related to the care and use of animals.

§ 2.31(c)(7) - Institutional Animal Care and Use Committee (IACUC).

IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activities.

§ 3.11(c) - Cleaning, sanitization, housekeeping, and pest control.

Housekeeping for premises. Premises where housing facilities are located, including buildings and surrounding grounds, must be kept clean and in good repair to protect the animals from injury, to facilitate the husbandry practices required in this subpart, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of accumulations of trash, junk, waste products, and discarded matter. Weeds, grasses, and bushes must be controlled so as to facilitate cleaning of the premises and pest control, and to protect the health and well-being of the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	August 09, 2016	(919) 855-7116
CERTIFIED MAIL RECEIPT NO:		
7015 1520 1520 0001 1869 6499		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
UT160002

VIOLATOR:
University of Utah
Customer# 12
License# 87-R-0001

ADDRESS (*Street, City, State, ZIP Code*):
75 South 2000 East, Suite 311
Salt Lake City, UT 84112

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 26, 2016

§ 2.32(a) – Personnel Qualifications.

It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel.

§ 2.33(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each research facility shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
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Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0001 9309 1184

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.133 - Separation. (DIRECT)

Animals housed in the same primary enclosure must be compatible. Animals shall not be housed near animals that interfere with their health or cause them discomfort.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
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Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	August 05, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
(b) (7)(C), (b) (6)

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

15 U.S.C. § 1824(2)(d) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

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APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/24/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (7)(C), (b) (6) VIOLATOR: (b) (7)(C), (b) (6) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/24/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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OFFICIAL WARNING
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CASE NUMBER:
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Director, Investigative and Enforcement Services	8/24/2016	(301) 851-2948

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/24/2016	**TELEPHONE NUMBER:** (301) 851-2948

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Director, Investigative and Enforcement Services	8/24/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

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(b) (7)(C), (b) (6)

Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	8/26/2016	(301) 851-2948

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(b) (7)(C), (b) (6)

Issuance Date: August 26, 2016
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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*) (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/30/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/31/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

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(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	8/31/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/3/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

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Date of Violation: May 18, 2013

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> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/3/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*)
(b) (6), (b) (7)(C)

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Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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Acting Director, Investigative and Enforcement Services	8/3/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

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Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C)

USDA

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APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/3/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
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Date of Violation: May 18, 2013

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> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

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Acting Director, Investigative and Enforcement Services	8/3/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
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Date of Violation: May 18, 2013

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> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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Acting Director, Investigative and Enforcement Services	8/3/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
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Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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Acting Director, Investigative and Enforcement Services	8/5/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (7)(C), (b) (6)
(b) (7)(C), (b) (6)

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Date of Violation: May 18, 2013

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/5/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 18, 2013

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OFFICIAL WARNING
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(b) (7)(C), (b) (6)

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Date of Violation: May 19, 2013

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	8/26/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
CA160227

VIOLATOR:
Pierce College
Customer# 1172
License# 93-R-0053

ADDRESS (*Street, City, State, ZIP Code*):
6201 Winnetka Avenue
Woodland Hills, CA 91371

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

(b) (6), (b) (7)(C)

Date(s): **2016**

§ 3.125(a) Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	08/09/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 1488

An Equal Opportunity Provider and Employer

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

(b) (6), (b) (7)(C)

Date of Violation **2016**

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (7)(C), (b) (6) VIOLATOR: (b) (7)(C), (b) (6) ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

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(b) (7)(C), (b) (6)

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (7)(C), (b) (6) VIOLATOR: (b) (7)(C), (b) (6) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 11, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 16, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6)
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Date of Violation: May 16, 2015

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The following conduct is prohibited:

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(b) (7)(C), (b) (6)

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

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Date of Violation: July 11, 2015

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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Date of Violation: July 11, 2015

9 C.F.R. § 11.3 Scar Rule

> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/10/2016	**TELEPHONE NUMBER:** (301) 851-2948

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: July 11, 2015

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> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

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APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (6), (b) (7)(C)

[redacted]

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 18, 2015

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: July 18, 2015

9 C.F.R. § 11.3 Scar Rule

> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 18, 2015

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: July 18, 2015

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(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 10, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/10/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
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APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/31/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

(b) (7)(C), (b) (6)

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

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OFFICIAL WARNING
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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

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Date of Violation: July 5, 2014

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: July 5, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors - Substance

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

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Issuance Date: August 10, 2016
Version: Final

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APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 24, 2013

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 4, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/4/2016	(301) 851-2948

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Issuance Date: August 4, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/4/2016	**TELEPHONE NUMBER:** (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts – Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Acting Director, Investigative and Enforcement Services	4/12/2016	(301) 851-2948

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	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 2, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

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OFFICIAL WARNING
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ADDRESS (*Street, City, State, ZIP Code*):
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Date of Violation: September 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

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VIOLATION OF FEDERAL REGULATIONS

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*)
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Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

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Director, Investigative and Enforcement Services	8/26/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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Acting Director, Investigative and Enforcement Services	8/4/2016	(301) 851-2948

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(b) (7)(C), (b) (6)

Issuance Date: August 4, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Acting Director, Investigative and Enforcement Services	8/9/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

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(b) (7)(C), (b) (6)

Issuance Date: August 9, 2016
Version: Final

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Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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Date of Violation: April 24, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/9/2016	**TELEPHONE NUMBER:** (301) 851-2948

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15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

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(b) (7)(C), (b) (6)

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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Date of Violation: June 13, 2015

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: June 13, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 11, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

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APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

An Equal Opportunity Provider and Employer

(b) (7)(C), (b) (6)

Issuance Date: August 11, 2016
Version: Final

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP*
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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

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Reference Number: (b) (7)(C), (b) (6)
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 30, 2015

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C)

Date of Violation: August 30, 2015

9 C.F.R. § 11.3 Scar Rule

> The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C)

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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VIOLATOR:
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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C)

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP*
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/27/2016	**TELEPHONE NUMBER:** (301) 851-2948

(b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

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Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

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APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

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15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

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CASE NUMBER:
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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (7)(C), (b) (6)

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Director, Investigative and Enforcement Services	8/27/2016	(301) 851-2948

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Date of Violation: August 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts

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Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/11/2016	(301) 851-2948

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING **VIOLATION OF FEDERAL REGULATIONS**	VIOLATOR: (b) (7)(C), (b) (6)
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(b) (6), (b) (7)(C)
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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: August 31, 2015

9 C.F.R. § 11.3 Scar Rule

> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/19/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
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(b) (7)(C), (b) (6)

Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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An Equal Opportunity Provider and Employer

(b) (7)(C), (b) (6)

Issuance Date: August 23, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
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Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/23/2016	**TELEPHONE NUMBER:** (301) 851-2948

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OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

Date of Violation: September 1, 2015

15 U.S.C. § 1824(7) Prohibited substances

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

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(b) (6), (b) (7)(C)

Date of Violation: September 1, 2015

15 U.S.C. § 1824(7) Prohibited substances

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

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Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/23/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

(b) (7)(C), (b) (6)

Issuance Date: August 23, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Gary Edwards was the custodian who entered for the purpose of showing "Honors" (class number 40, entry number 877) while sore at the Christmas in July horse show in Shelbyville, Tennessee.

(b) (7)(C), (b) (6)

Issuance Date: August 4, 2016
Version: Final

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APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/4/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 4, 2016
Version: Final

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/4/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 11, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP*
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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

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(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

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APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/10/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts – Showing

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(b) (6), (b) (7)(C)

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(b) (7)(C), (b) (6)

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
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(b) (6), (b) (7)(C)

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	(b) (7)(C), (b) (6)
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	8/9/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (7)(C), (b) (6)

VIOLATOR: (b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

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(b) (6), (b) (7)(C)

Date of Violation: July 31, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*)
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Date of Violation: July 31, 2015

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
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	ADDRESS (*Street, City, State, ZIP Code*): (b) (7)(C), (b) (6)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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(b) (7)(C), (b) (6)

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15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 10, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/10/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

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Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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(b) (7)(C), (b) (6)

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(b) (7)(C), (b) (6)

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Issuance Date: August 10, 2016
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VIOLATION OF FEDERAL REGULATIONS

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UNITED STATES DEPARTMENT OF AGRICULTURE
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(b) (7)(C), (b) (6)

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VIOLATOR:
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Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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VIOLATION OF FEDERAL REGULATIONS

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Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

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OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

Sec. 1824. Unlawful acts

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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Director, Investigative and Enforcement Services	8/11/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (7)(C), (b) (6)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (7)(C), (b) (6)

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Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

Sec. 1824. Unlawful acts

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Director, Investigative and Enforcement Services	8/11/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (7)(C), (b) (6)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (7)(C), (b) (6)
	ADDRESS (*Street, City, State, ZIP* (b) (6), (b) (7)(C)

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Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

Sec. 1824. Unlawful acts

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 8/11/2016	**TELEPHONE NUMBER:** (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: **WA160047**
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: R & R Research LLC Customer# 45412 License# 91-A-0113
	ADDRESS (*Street, City, State, ZIP Code*): 20004 Happy Valley Road Stanwood, WA 98292

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 5, 2015

§ 2.40(b)(3) Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date(s): August 5, 2015 and December 3, 2015

§ 3.53(a)(1) Primary Enclosures.

Primary enclosures shall be structurally sound and maintained in good repair to protect the rabbits from injury, to contain them, and to keep predators out.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	08/02/2016	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0001 9309 1191

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named (b) (6), (b) (7)(C) where the horse was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/19/2016	**TELEPHONE NUMBER:** (301) 851-2948

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Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

9 C.F.R. § 11(3)(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C)

the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/27/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a (b) (6), (b) (7)(C)

where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	9/27/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: September 27, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Violation: September 25, 2015

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15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a (b) (6), (b) (7)(C)

where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Director, Investigative and Enforcement Services	9/27/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 2.40(a)(1) - Attending veterinarian and adequate veterinary care (dealers and exhibitors).

Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section. Each dealer and exhibitor shall employ an attending veterinarian under formal arrangements. In the case of a part-time attending veterinarian or consultant arrangements, the formal arrangements shall include a written program of veterinary care and regularly scheduled visits to the premises of the dealer or exhibitor.

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(3) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: daily observation of all animals to assess their health and well-being; *Provided, however*, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further*, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 3.50(a) - Facilities, general.

Structural strength. Indoor and outdoor housing facilities for rabbits shall be structurally sound and shall be maintained in good repair, to protect the animals from injury, to contain the animals, and to restrict the entrance of other animals.

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.131(c) - Sanitation.

Housekeeping. Premises (buildings and grounds) shall be kept clean and in good repair in order to protect the animals from injury and to facilitate the prescribed husbandry practices set forth in this subpart. Accumulations of trash shall be placed in designated areas and cleared as necessary to protect the health of the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	September 06, 2016	(919) 855-7116

CERTIFIED MAIL RECEIPT NO:
(b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Bryan Barnhill was the scheduled rider who entered for the purpose of showing, "A Strong Dollar Bill" (class # 155, entry # 329) while sore and in violation of the scar rule at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/19/2016	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Violation: September 26, 2015

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(b) (6), (b) (7)(C) while sore and in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) to be entered for the purpose of showing, while sore and in violation of the scar rule a(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

) while in violation of the scar rule (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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An Equal Opportunity Provider and Employer

Reference Number (b) (6), (b) (7)(C)
Issuance Date: September 13, 2016
Version: Final

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Violation: September 26, 2015

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(b) (6), (b) (7)(C) hile in violation of the scar rule at the(b) (6), (b) (7)(C)

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Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

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(b) (6), (b) (7)(C)

) to be entered for the purpose of showing, while in violation of the scar rule and bearing a foreign substance (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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(b) (6), (b) (7)(C) while in violation of the scar rule and bearing a foreign substance (b) (6), (b) (7)(C)

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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(b) (6), (b) (7)(C) while in violation of the scar rule and bearing a foreign substance at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) who entered for the purpose of showing, (b) (6), (b) (7)(C)) while in violation of the scar rule and bearing a foreign substance (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: September 13, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) while in violation of the scar rule

at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) to be entered for the purpose of showing, while in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) while in violation of the scar rule at (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

while in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) for the purpose of showing,
(b) (6), (b) (7)(C) while in violation of the scar
rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

to be entered for the purpose of showing, while sore and in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: September 21, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) while sore and in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful act - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful act - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) to be entered for the purpose of showing while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful act - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/26/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	9/15/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) , for the purpose of showing or exhibiting, the horse, "(b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/15/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) horse at the show, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steve Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Acting Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/15/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 7-26-13

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C)z entered, for the purpose of showing or exhibiting, the (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) for the purpose of showing or exhibiting, (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steve Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Acting Director, Investigative and Enforcement Services	9/15/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) , which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C)ntered, for the purpose of showing or exhibiting, the horse, "(b) (6), (b) (7)(C) , which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse (b) (6), (b) (7)(C) while the horse was sore and in violation of the scar rule.

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: September 20, 2016
Version: Final

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: October 24, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) ," at the South Carolina Walking Horse Association (b) (6), (b) (7)(C) , which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	9/19/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: October 24, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	9/19/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)	
VIOLATOR: (b) (6), (b) (7)(C)	
ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) to be entered for the purpose of showing while in violation of scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)	
VIOLATOR: (b) (6), (b) (7)(C)	
ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) who entered for the purpose of showing (b) (6), (b) (7)(C) where the horse was in violation of scar rule during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: September 20, 2016
Version: Final

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

	CASE NUMBER: (b) (6), (b) (7)(C)
	VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

while in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	9/20/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: September 20, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)	
VIOLATOR: (b) (6), (b) (7)(C)	
ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse..

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

, where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)

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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)	
VIOLATOR: (b) (6), (b) (7)(C)	
ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) entered for the purpose of showing, "(b) (6), (b) (7)(C) , where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: September 20, 2016
Version: Final

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824 Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)

to be entered for the purpose of showing at the(b) (6), (b) (7)(

where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)	
VIOLATOR: (b) (6), (b) (7)(C)	
ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) entered for the purpose of showing, (b) (6), (b) (7)(C) while in violation of the scar rule at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)

ssuance Date: September 20, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015

15 U.S.C. § 1824(2) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors - Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof…."

9 C.F.R. § 11(3)(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C)

where the horse was found in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	9/20/2016	(301) 851-2948

An Equal Opportunity Provider and Employer

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)

VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

9 C.F.R. § 11(3)(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) while in violation of the scar rule (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/19/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: September 19, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2016

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse..

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)
where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: September 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse (b) (6), (b) (7)(C)

hile the horse

was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/6/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "(b) (6), (b) (7)(C) while the horse was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 9/6/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:
-A Yorkshire Terrier (b) (6), (b) (7)(C) eyes were abnormal. The left eye had an area on the cornea that was cloudy and milky, and there was a mucupurulent discharge from the eye. The right eye was enlarged and misshapen, protruding and there appeared to be a slight stricture on the globe. There was also a mucupurulent discharge from the eye.

-A German Shepherd (b) (6), (b) (7)(C) was non-weight bearing lame on the left rear leg, the knee was swollen approximately twice the normal size.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

3.53(a) Primary Enclosures.....primary enclosures shall be structurally sound and maintained in good repair to protect the animals from injury, to contain them and to keep predators out (DIRECT)

You failed to maintain structurally sound primary enclosures. Rabbit enclosures are not structurally sound, wire floors have holes up to 4 inches wide, wire mesh on wall and tops have broken ends extending into enclosures. Solid walls have holes, are rusted and have peeling paint.

3.55 Watering....sufficient potable water daily except as might otherwise be required to provide adequate veterinary care. All watering receptacles shall be sanitized when dirty (repeat)

You failed to sanitize rabbit water bottles hanging on cages have a heavy buildup of algae.

3.56(a)(1)Sanitation....primary enclosures for animals reasonably free of excreta, hair cobwebs and other debris by periodic cleaning (repeat)

You failed to clean primary enclosures. Rabbit enclosures have a buildup of excreta, especially in corners and along edges.

3.127(a) Facilities, Outdoor ....when sunlight is likely to cause overheating or discomfort of the animals kept outdoors by providing sufficient shade by natural or artificial means. (DIRECT)

You failed to provide sufficient shade. All animals in the lower level goat / sheep pen, housing mothers and kids, could not fit in the shade area. The animals were crowed in the shade area reducing its effectiveness.

3.131(a) Sanitation.... excreta shall be removed from primary enclosures as often as necessary to contamination of the animals contained therein and to minimize disease hazards and to reduce odors (repeat)

You failed to remove excreta as necessary. The llama / alpaca pen and the pygmy goat pen both had heavy buildup of excreta.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):	OFFICE ADDRESS:	
Chester A. Gipson Deputy Administrator Animal Care	4700 River Road Riverdale, MD 30737	
SIGNATURE: [redacted]	DATE ISSUED: 11/514	TELEPHONE NO: (970)494-7478

Not a FOIA Deletion

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR:
University of Iowa
Registration #: 42-R-0004
Customer #: 1576

ADDRESS (*Street, City, State, ZIP Code*):
400 Medical Laboratories
Iowa City, IA 52242

The U.S. Department of Agriculture has evidence that on or about **August 26, 2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.33(b)(3) Attending Veterinarian and Adequate Vet Care.... each research facility shall establish and maintain programs of adequate veterinary care that include daily observation of animals to assess their health and well-being, and have a mechanism of direct and frequent communication so that timely and accurate information on problems of health, behavior, and well-being is conveyed to the attending veterinarian

You failed to provide veterinarian care for the following animal:
Four rabbits died between Saturday June 14 and Monday June 16 2014 as a result of unexpected study complications and the veterinarian was not notified until the morning of June 16, 2014.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: (970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3925

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:
A male bichon-shih tzu mixed puppy approximately 5 weeks old was observed with an open wound around the nuzzle area. The dam's identity is (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrator
Animal Care

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE:
[redacted]

DATE ISSUED:
11/5/14

TELEPHONE NO:
970-494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NO.
(b) (6), (b) (7)(C)

OFFICIAL WARNING

VIOLATOR
(b) (6), (b) (7)(C)

ADDRESS *(Street, City, State, Zip Code)*
(b) (6), (b) (7)(C)

VIOLATION OF FEDERAL REGULATIONS

The Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) **013 and** (b) (6), (b) (7)(C) **2014** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION	Failure to
2.40(b)(2)	• **Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.** **Several guinea pigs were observed with eye abnormalities and skin lesions. The veterinarian had not been contacted prior to inspection.** ****Grey guinea pig in pen 45 had greyish mucus discharge around outside of left eye.** ****Black and white guinea pig in pen 32 had left eye completely closed with crusty discharge and swollen.** ****Black and white guinea pig in pen 8 had partially closed right eye and white mucus discharge from lower lid.** ****Black and white guinea pig in pen 29 had skin lesions around right eye and on top of the nose. Dark red to black crusty areas consistent with scabs/dried blood and small amounts of fresh blood were present.** ****Tri-color guinea pig in pen 4 had skin lesions on the left hip. Small red to black color scabs and moderate hair loss in the area.** ****White guinea pig in pen 45 had skin lesions around the right eye with dry flaky skin in the lower corner of the eye. Hair loss and a few small red scab-like lesions were in the area.** ****Tri-color guinea pig in pen 48 had skin lesions on top of the nose, cluster of small red color scabs with moderate hair loss and dry flaky skin.** ****White guinea pig in pen 50 had skin lesions around the left eye with dry flaky skin and hair loss in lower corner of the eye.** ****Left eye of a black and white guinea pig in pen 4 had cloudy appearance. Opaque white flakes were seen in center of cornea.** ****Brown and white guinea pig in pen 29 had skin lesions around right eye and on top of the nose. Skin under lower left eye lid was dry and flaky with hair loss.**

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL *(Name and Title)*		OFFICE ADDRESS:
Chester A. Gipson	**Deputy Administrator**	**920 Main Campus Dr., Suite 200**
[redacted]	DATE ISSUED November 10, 2014	**Raleigh, NC 27606**
		TELEPHONE NO. AC (919) 855-7100
FOR PERSONAL SERVICE - RECEIVED BY: *(Name and signature)*		DATE RECEIVED:

Not a FOIA Deletion

FOR CERTIFIED MAIL - RECEIPT NO:
(b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used
(JUN 91) **PART 1 - VIOLATOR**

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NO.
(b) (6), (b) (7)(C)

OFFICIAL WARNING

VIOLATOR
(b) (6), (b) (7)(C)

VIOLATION OF FEDERAL REGULATIONS

ADDRESS *(Street, City, State, Zip Code)*
(b) (6), (b) (7)(C)

The Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 014 you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION	Failure to
2.40(b)(2)	• **Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend and holiday care.**

(A chocolate labrador retriever (b) (6), (b) (7)(C) was shaking his head repeatedly. Left ear had copious wet, watery brown discharge, and appeared inflamed and pink.**
****A yellow labrador retriever (b) (6), (b) (7)(C) has a 3/4 inch long scrape on left side of face oozing blood. Top molars have greyish-brown material covering majority of the surface. Upper molars and cheek teeth are reddened and swollen. Body condition is slightly thin, ribs easily seen. Right ear inside near canal has thickened skin that is hairless, pink, raw and moist looking.**
****A brindle and white boxer (b) (6), (b) (7)(C) observed repeatedly licking a thickened, pink, moist looking lesion on the upper lip. Right ear has a notch taken out of the tip about 1/4 inch in diameter.)**

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL *(Name and Title)*		OFFICE ADDRESS:
Ch[redacted]	**Deputy Administrator**	**920 Main Campus Dr., Suite 200**
SI[redacted]	DATE ISSUED November 10, 2014	**Raleigh, NC 27606**
		TELEPHONE NO. AC (919) 855-7100
FOR PERSONAL SERVICE - RECEIVED BY: *(Name and signature)*		DATE RECEIVED:

Not a FOIA Deletion

FOR CERTIFIED MAIL - RECEIPT NO:
(b) (6), (b) (7)(C)

APHIS FORM 7060 (JUN 91) Previous editions may be used
PART 1 - VIOLATOR

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:
The left rear leg of the male Dromedary camel named Bulla, had multiple cuts above the foot on the outside surface of the leg. Some of the cuts were black and others were pink to red in coloration.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (N	OFFICE ADDRESS:
Not a FOIA Deletion Chester A. Gipson Deputy Administrator Animal Care	4700 River Road Riverdale, MD 30737
SIGNATURE: [redacted]	DATE ISSUED: 11/5/14 / TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3895

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) **2014 and/or** (b) (6), (b) (7)(C) **2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:
- a Papillion (b) (6), (b) (7)(C) had protruding right eye with a pink center, the area surround the eye was wet and tissue on the bottom of his eye lid was swollen with an abnormal section of cream tissue.
- a Yorkshire Terrier (#35) had complete hair loss in sections across her neck, shoulders and back. Her skin was dry and flaky.
- a Yorkshire Terrie (b) (6), (b) (7)(C) had eye issues and his right ear was filled with black wax.
- a Yourshire Terrie had dental issues
- a Papillion (b) (6), (b) (7)(C) had hair stuck between her lower front teeth, dental issues, and an opening the size of a pencil eraser on the top of her left front foot.

3.1(c)(3) Housing Facilities, General....hard surfaces with which the animals come into contact must be spot-clean daily and sanitize once every two weeks

You failed to spot clean daily and sanitize the indoor portion of the shelter housing Bulldogs and multiple dog doors.

3.11(a) Cleaning of Primary Enclosures....excreta and food waste must be removed from primary enclosures daily, and from under primary enclosures as often as necessary to prevent an excessive accumulation of feces and food waste

You failed to remove an accumulation of feces from the outdoor portion of the sheltered building housing adult dogs.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*)	OFFICE ADDRESS:	
Chester A. Gipson Deputy Administrator Animal Care	4700 River Road Riverdale, MD 30737	
SIGNATURE: [redacted]	DATE ISSUED: 11/5/14	TELEPHONE NO: 970-494-7478

Not a FOIA Deletion

CERTIFIED MAIL RECEIPT NO. (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: NE130012-AC VIOLATOR: Pet Air Carrier, L.L.C. ADDRESS (*Street, City, State, ZIP Code*): Roswell, GA 30075

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: February 4, 2013

9 C.F.R. § 3.140(a) Care in Transit. During surface transportation, it shall be the responsibility of the driver or other employee to visually observe the live animals as frequently as circumstances may dictate, but not less than once every 4 hours, to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any of the live animals are in obvious physical distress and to provide any needed veterinary care as soon as possible. When transported by air, live animals shall be visually observed by the carrier as frequently as circumstances may dictate, but not less than once every 4 hours, if the animal cargo space is accessible during flight. If the animal cargo space is not accessible during flight, the carrier shall visually observe the live animals whenever loaded and unloaded and whenever the animal cargo space is otherwise accessible to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any such live animals are in obvious physical distress. The carrier shall provide any needed veterinary care as soon as possible. No animal in obvious physical distress shall be transported in commerce.

You transported two crates of piglets from a consignor in Sioux Center, Iowa to Atlanta, Georgia. Each crate was covered with a commercially available nylon cover which specifically fit the crate used and was designed to protect the animals from extreme weather. The cover was insulated, and enclosed the entire crate, including the ventilation holes. When you picked up the animals at the Atlanta airport to deliver them to their final destination, you failed to visually observe the animals in both crates. At the time of delivery, all 10 piglets in one of the crates were deceased.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*)**:**	**OFFICE ADDRESS:**	
Bernadette Juarez	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*)**:**	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/18/2014	(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NO.
(b) (6), (b) (7)(C)

OFFICIAL WARNING

VIOLATION OF FEDERAL REGULATIONS

VIOLATOR
(b) (6), (b) (7)(C)

Attn: (b) (6), (b) (7)(C)

ADDRESS *(Street, City, State, Zip Code)*
(b) (6), (b) (7)(C)

The Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C), **2014** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION **Failure to**

2.38(f)(1)

- **Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.**

(On February 2, 2014 a groundhog on exhibition as part of groundhog day celebration managed to escape from the hands of an untrained person and fell to the ground. The same groundhog escaped and fell from its handler during use as an educational animal in a school a about week later. On February 9, 2014 the groundhog was found deceased.)

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL *(Name and Title)*		OFFICE ADDRESS:
C[redacted] **Deputy Administrator**		**920 Main Campus Dr., Suite 200**
S[redacted]	DATE ISSUED November 10, 2014	**Raleigh, NC 27606**
		TELEPHONE NO. AC (919) 855-7100
FOR PERSONAL SERVICE - RECEIVED BY: *(Name and signature)*		DATE RECEIVED:

Not a FOIA Deletion

FOR CERTIFIED MAIL - RECEIPT NO:
(b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used
(JUN 91) **PART 1 - VIOLATOR**

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING

VIOLATION OF FEDERAL REGULATIONS

CASE NO.

OH150002

VIOLATOR

Customer# 228
Certificate# 31-R-0021
Battelle Memorial Institute
Attn:(b) (6), (b) (7)(C)

ADDRESS *(Street, City, State, Zip Code)*

505 King Avenue
Columbus, OH 43201

The Department of Agriculture has evidence that on or about **August 29, 2012, June 17, 2014 and July 18, 2014** you or your organization committed the following violation of Federal Regulations:

9 CFR, SECTION	Failure to
2.38(f)(1)	• **Handling of all animals shall be done as expeditiously and carefully as possible in a mannger that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.** **(**On August 29, 2012 the facility failed to ensure transfer of all guinea pigs to a new cage rack resulting in the death of one animal. The valve of the watering system was not fully closed allowing water to leak into and flood the cage.** ****On June 17, 2014 three guinea pigs were found deceased in their cage after a leak in the watering system flooded the cage overnight.** ****On July 18, 2014 a guinea pig cage flooded overnight resulting in the death of one guinea pig.)**

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS Official.

APHIS OFFICIAL *(Name and Title)*
C[redacted] **Deputy Administrator**
S[redacted]

DATE ISSUED
November 10, 2014

OFFICE ADDRESS:
920 Main Campus Dr., Suite 200
Raleigh, NC 27606
TELEPHONE NO. AC (919) 855-7100

FOR PERSONAL SERVICE - RECEIVED BY: *(Name and signature)*
Not a FOIA Deletion

DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO:
7010 2780 0002 5200 0963

APHIS FORM 7060 (JUN 91) Previous editions may be used
PART 1 - VIOLATOR

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.33(b)(2) Attending Veterinarian and Adequate Vet Care.... each research facility shall establish and maintain programs of adequate veterinary care that use the appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRCET)

You failed to provide veterinarian care for the following animals:
-A male beagle (Microchip #2576) was extremely thin and not receiving treatment
-A female beagle (Microchip #0A02413257) had a large, red swelling located in the inner corner of the right eye, on the inner surface of the lower eyelid
-A female beagle (Microchip #0A02412566) was extremely wobbly and ataxic, appeared disoriented and had difficulty walking

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: (970)494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:
-English Bulldogs identification number: (b) (6), (b) (7)(C) had swollen lesions between digits on their paws.
-A Pekingese (b) (6), (b) (7)(C) had issues with her right eye.
-Poodles identification numbers (b) (6), (b) (7)(C) had dental issues.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):	OFFICE ADDRESS:	
Chester A. Gipson Deputy Administrator Animal Care Not a FOIA Deletion	4700 River Road Riverdale, MD 30737	
SIGNATURE: [redacted]	DATE ISSUED: 11/5/14	TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3987

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) 2014, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animals:
A Dachshund (b) (6), (b) (7)(C) was non-weight bearing on her right front limb and had dental issues.
A Yorkshire Terrier (b) (6), (b) (7)(C) had dental issues.
A Pomeranian (b) (6), (b) (7)(C) had a head tilt and dental issues.
A Pomeranian (b) (6), (b) (7)(C) was intermittently non-weight bearing on his left rear limb.
A Poodl (b) (6), (b) (7)(C) had dental issues.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrator
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR:
Bioo Scientific Corporation
74-R-0190 / 41027

ADDRESS (*Street, City, State, ZIP Code*):
7050 Burleson Road
Austin, TX 78744

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) **2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:
A rabbit with gray markings on the legs was observed to be holding its right rear leg in an abnormal position. The leg was extended out from the body in a splayed manner. The caretaker was not aware that the animal had a problem.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrat...
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: (970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3888

APHIS FORM 7060 Previous editions may be used Rev. September 2011

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about (b) (6), (b) (7)(C) **2014**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.40(b)(2) Attending Veterinarian and Adequate Veterinary Care....each dealer shall establish and maintain programs of veterinarian care that use appropriate methods to prevent, control, diagnose, and treat diseases and injuries (DIRECT)

You failed to provide veterinarian care for the following animal:
A Waterbuck appeared extremely thin and did not move for a period of approximately 30 minutes.
A female dromedary camel with a large open wound on her upper left fore leg.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):	OFFICE ADDRESS:	
Chester A. Gipson Not A FOIA Deletion Deputy Administrator Animal Care	4700 River Road Riverdale, MD 30737	
SIGNATURE: [redacted]	DATE ISSUED: 11/5/14	TELEPHONE NO: 970-494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR:
Seattle Children's Research Institute
Registration #: 91-R-0059
Customer #: 1268

ADDRESS (*Street, City, State, ZIP Code*):
1900 – 9th Avenue
Seattle, WA 98101

The U.S. Department of Agriculture has evidence that on or about **July 23, 2014 and November 26, 2013**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.31(d)(1) Institutional Animal Care and Use Committee (IACUC)....repeated failure to follow the approved procedures involving more than momentary pain and distress and follow the IACUC approved protocol and animals to receive appropriate anesthesia or analgesia

On 3/12/14 in Cage ID "Acomys 2", two males had several fight wounds, including "right axillary (very deep, able to visualize muscle)". The Attending Veterinarian recommended carprofen gel, which is specified in the protocol as appropriate analgesia. The research group requested no treatment. Five days later there is a notation that the investigator requested no carprofen gel due to the mouse having a cranial cap. This is despite the fact that the protocol lists carprofen as a specified appropriate analgesic for this procedure.
On 3/12/14 in Cage ID "Acomys 10" there is a notation that two males have several fight wounds, and one has a leg wound with intermittent lameness. The Attending Veterinarian recommends carprofen gel for 5 to 7 days for the lame mouse. There is a request for the research team to approve this treatment within 20 hours. Two days later one mouse was euthanized, and the other "recovered". No analgesics appear to have been administered.
On 4/16/14 in Cage ID "Acomys 42B" there were two pups noted with "multiple large surface area lesions (superficial to moderate)". The Attending Veterinarian recommends treatment with Carprofen gel for two to three days. The next day the research team replied that they "declined carprofen gel at this time".
In the 5/13/14 IACUC meeting minutes these same researches request a modification to the protocol to "remove anti-inflammatory agents" for part of the study, in order to "elucidate the differences in inflammatory responses".
On 11/26/13 is observed that at least 6 pigs used in an IACUC protocol experienced unnecessary pain and distress which may have contributed to their deaths
These examples demonstrate that the research team was not following the IACUC-approved protocol

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name and Title*):
Chester A. Gipson
Deputy Administrato
Animal Care

Not a FOIA Deletion

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

SIGNATURE: [redacted]

DATE ISSUED: 11/5/14

TELEPHONE NO: (970)494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 3932

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: (b) (6), (b) (7)(C) **2010**

15 U.S.C. § 1824(2) Unlawful acts

The following conduct is prohibited:
(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) entered for the purpose of
(b) (6), (b) (7)(C)
here the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Bernadette Juarez	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 11/17/2014	**TELEPHONE NUMBER:** (301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** IL150028-AC **ALLEGED VIOLATOR:** SPOT Travel, LLC C/O SPOT Group Holdings, LLC **ADDRESS** (*City, State, ZIP Code*): New York, NY 10011

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: June 18-19, 2015

9 C.F.R. § 2.131(b)(1) Handling of Animals.

You failed to handle animals as carefully as possible in a manner that did not cause trauma, overheating, behavioral stress, physical harm, or unnecessary discomfort. A shipment of four adult sugar gliders were scheduled for transport by a carrier, in commerce, from Charlotte-Douglas International Airport (CLT), routed through Chicago, Illinois (ORD), with a final destination of Charleston, West Virginia (CRW). The carrier did not load the animals onto their originally-scheduled flight from CLT to ORD, and subsequently transported them on a later flight to ORD. Due to the missed flight and weather-related delays, the sugar gliders did not make their connection to CRW, and the carrier delivered them to you for holding overnight at ORD. You did not visually observe the sugar gliders or provide them with food or water. The sugar gliders resumed transit on a flight from ORD to CRW the following day, and the consignee discovered that they were dead when she picked them up at CRW. The necropsy performed on the sugar gliders indicated that they died from probable heat stroke.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/14/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act."

You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/3/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 19, 2015

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act."

You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You allowed your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/3/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **VIOLATION OF FEDERAL REGULATIONS**	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*)**:** (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 4, 2013

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C) while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*)**:** Bernadette Juarez	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*)**:** Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/3/2014	**TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*)**:** Greensburg, KY 42743

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 4, 2013

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Gwenn Howard showed the horse, (b) (6), (b) (7)(C) while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*)**:** Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/3/2014	**TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **VIOLATION OF FEDERAL REGULATIONS**	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*)**:** (b) (6), (b) (7)(C)

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Russell Hatchett showed the horse, (b) (6), (b) (7)(C) while the horse was sore.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "(b) (6), (b) (7)(C) while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

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You showed a horse named (b) (6), (b) (7)(C) while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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Director, Investigative and Enforcement Services	11/3/2016	(301) 851-2948

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Date of Alleged Violation: October 8, 2015

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9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C)

while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date of Alleged Violation: October 8, 2015

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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 8, 2015

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9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C)

hile the horse was sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/3/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered your horse (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: October 8, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You entered your horse (b) (6), (b) (7)(C)

where the horse was wearing a black foreign substance.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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You entered a horse named (b) (6), (b) (7)(C)

while the horse was sore.

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where the horse was wearing a black foreign substance.

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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore.

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You entered a horse name (b) (6), (b) (7)(C)

while the horse was sore.

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Date of Violation: October 8, 2015

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You allowed your horse named (b) (6), (b) (7)(C)

here the horse was wearing a black foreign substance.

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You entered a horse named "(b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: October 8, 2015

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You allowed your horse (b) (6), (b) (7)(C) where the horse was found sore.

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named (b) (6), (b) (7)(C)

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You entered a horse named (b) (6), (b) (7)(C)

where the horse was found sore.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/10/2016	(301) 851-2948

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You allowed your horse (b) (6), (b) (7)(C) where the horse was found sore.

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You entered a horse named "(b) (6), (b) (7)(C)

where the horse was found sore.

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You allowed your horse (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

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while the horse was sore and in violation of the scar rule.

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 10, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse (b) (6), (b) (7)(C)

hile the horse was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/18/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 10, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "(b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/18/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 10, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named (b) (6), (b) (7)(C)

while the horse was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/18/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 17, 2016

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named (b) (6), (b) (7)(C) which was found sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/23/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** *(City, State, ZIP Code)*: (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3 Scar rule. The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,5 other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You allowed a horse you own named "(b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/10/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)
which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3 Scar rule. The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,5 other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You showed a horse named "(b) (6), (b) (7)(C)
which was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/10/2016	(301) 851-2948

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USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: Bradley Bottoms ADDRESS (*Street, City, State, ZIP Code*): Crossville, TN 38571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You showed a horse you own named (b) (6), (b) (7)(C) which was found to be unilaterally sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/8/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C) which was found to be unilaterally sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/8/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C) which was found to be unilaterally sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/8/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2) Unlawful acts. The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C) where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Bernadette Juarez	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/4/2014	**TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)

where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Bernadette Juarez	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/19/2014	**TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 14, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named (b) (6), (b) (7)(C)

here the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Bernadette Juarez	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/19/2014	**TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*)**:** (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) allowed the entry for the purpose of showing the horse, (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) allowed the entry of, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) , which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*)**:**	**OFFICE ADDRESS:**	
Bernadette Juarez	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*)**:**	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/1/2014	(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) entered for the purpose of showing the horse, (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) n entered, for the purpose of showing or exhibiting, the horse, (b) (6), (b) (7)(C) which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*)**:**	**OFFICE ADDRESS:**	
Bernadette Juarez	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*)**:**	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/18/2014	(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	**CASE NUMBER:** (b) (6), (b) (7)(C) **VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*Street, City, State, ZIP Code*)**:** (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 22, 2013

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) entered for the purpose of showing the horse, (b) (6), (b) (7)(C)

while the horse was sore.

Date of Violation: August 22, 2013

15 U.S.C. § 1824(7) Unlawful Acts

> "The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: August 22, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

> "All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

(b) (6), (b) (7)(C) , for the purpose of showing or exhibiting, the horse,
(b) (6), (b) (7)(C)
, which was found to be wearing a foreign substance.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*)**:**	**OFFICE ADDRESS:**	
Bernadette Juarez	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*)**:**	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/1/2014	(301) 851-2948

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C) , where the horse was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 11/8/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: November 8, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C) for the purpose of showing or exhibiting while sore and in violation of the scar rule, at the (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/8/2016	(301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: November 8, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C) to be entered for the purpose of showing or exhibiting while in violation of the scar rule, at th (b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	11/8/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C) in the (b) (6), (b) (7)(C) where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 11/8/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: November 8, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) was the owner who allowed (b) (6), (b) (7)(C) to be shown at the (b) (6), (b) (7)(C), where the horse was found sore during a post-show inspection

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 11/8/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: November 8, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)

VIOLATOR: (b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS *(Street, City, State, ZIP Code)*: (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	6 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.52(a) Facilities, outdoor. (Critical)

Shelter from sunlight. When sunlight is likely to cause overheating or discomfort, sufficient shade shall be provided to allow all rabbits kept outdoors to protect themselves from the direct rays of the sun. When the atmospheric temperature exceeds 90 °F. artificial cooling shall be provided by a sprinkler system or other means.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: **CA170108** VIOLATOR: Kern County Superintendent of Schools California Living Museum Customer# 3610 License# 93-C-0275 ADDRESS (*Street, City, State, ZIP Code*): 10500 Alfred Harrell Highway Bakersfield, CA 93306

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(3) – Attending Veterinarian and Adequate Veterinary Care.

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

§ 3.125(a) – Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.133 – Separation.

Animals housed in the same primary enclosure must be compatible. Animals shall not be housed near animals that interfere with their health or cause them discomfort.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	**OFFICE ADDRESS:**	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	**DATE ISSUED:** 28 February 2017	**TELEPHONE NO:** (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0207		

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): (b) (6), (b) (7)(C) **2017,** (b) (6), (b) (7)(C) **2016,** (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§2.126(b) – Access and inspection of records and property.

The use of a room, table, or other facilities necessary for the proper examination of the records and inspection of the property or animals must be extended to APHIS officials by the dealer, exhibitor, intermediate handler or carrier, and a responsible adult shall be made available to accompany APHIS officials during the inspection process.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40 - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (Direct)

Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals.

§ 3.6(a)(2)(ii) – Primary Enclosures. (Critical)

Primary enclosures must be constructed and maintained so that they protect the dogs and cats from injury.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)
[CERTIFIED #]

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: **HI170002** VIOLATOR: University of Hawaii at Manoa Customer# 19 Registrant # 95-R-0002 ADDRESS (*Street, City, State, ZIP Code*): Office of the Vice President for Research and Innovation 2444 Dole Street, Bachman Hall Honolulu, HI 96822

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 16, 2015

§ 2.33 (b)(3) – Attending Veterinarian and Adequate Veterinary Care.

Daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	8 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3802

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) s **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: Between (b) (6), (b) (7)(C) **2012 and** (b) (6), (b) (7)(C) **2015**

9 C.F.R. § 2.1(a)(1) Requirements and application.
(a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You failed to obtain a USDA license prior to operating as a dealer. Many of the eland you sold at auction were purchased for exhibition.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	2/16/2017	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: IA170017

VIOLATOR: (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS *(Street, City, State, ZIP Code)*: (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

(b) (6), (b) (7)(C)

Date(s): 2016

§ 2.131(c)(1) Handling of Animals.

During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	3 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (*Street, City, State, ZIP Code*):
201 Lincoln St.
Inwood, IA 51240

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016,** (b) (6), (b) (7)(C) **2016,** (b) (6), (b) (7)(C) **, 2016 and** (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	3 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: IA170020

VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
IA170021

VIOLATOR:
(b) (6), (b) (7)(C)

License# N/A

ADDRESS (*Street, City, State, ZIP Code*):
16519 185 St.
Wellsburg, IA 50680

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

§ 2.131(c)(1) Handling of animals. (Critical)

During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

§ 2.131(d)(2) Handling of animals. (Critical)

A responsible, knowledgeable, and readily identifiable employee or attendant must be present at all times during periods of public contact.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	**OFFICE ADDRESS:**	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*:	**DATE ISSUED:**	**TELEPHONE NO:**
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

(b) (6), (b) (7)(C)
Date(s): **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (Direct)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)
(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 (REPEAT)**

§ 3.1(c)(1)(i) Housing facilities, general.

General requirements. The surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs or cats must be free of excessive rust that prevents the required cleaning and sanitization, or that affects the structural strength of the surface.

Date(s): (b) (6), (b) (7)(C) **2016 (REPEAT)**

§ 3.9(b) Feeding.

Food receptacles must be used for dogs and cats, must be readily accessible to all dogs and cats, and must be located so as to minimize contamination by excreta and pests, and be protected from rain and snow. Feeding pans must either be made of a durable material that can be easily cleaned and sanitized or be disposable. If the food receptacles are not disposable, they must be kept clean and must be sanitized in accordance with § 3.11(b) of this subpart. Sanitization is achieved by using one of the methods described in § 3.11(b)(3) of this subpart. If the food receptacles are disposable, they must be discarded after one use. Self-feeders may be used for the feeding of dry food. If self-feeders are used, they must be kept clean and must be sanitized in accordance with § 3.11(b) of this subpart. Measures must be taken to ensure that there is no molding, deterioration, and caking of feed.

Date(s): (b) (6), (b) (7)(C) **2016 (REPEAT)**

§ 3.11(a) Cleaning, sanitation, housekeeping, and pest control.

Cleaning of primary enclosures. Excreta and food waste must be removed from primary enclosures daily, and from under primary enclosures as often as necessary to prevent an excessive accumulation of feces and food waste, to prevent soiling of the dogs or cats contained in the primary enclosures, and to reduce disease hazards, insects, pests and odors. When steam or water is used to clean the primary enclosure, whether by hosing, flushing, or other methods, dogs and cats must be removed, unless the enclosure is large enough to ensure the animals would not be harmed, wetted, or distressed in the process. Standing water must be removed from the primary enclosure and animals in other primary enclosures must be protected from

being contaminated with water and other wastes during the cleaning. The pans under primary enclosures with grill-type floors and the ground areas under raised runs with mesh or slatted floors must be cleaned as often as necessary to prevent accumulation of feces and food waste and to reduce disease hazards pests, insects and odors.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*:	**OFFICE ADDRESS:**	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	**DATE ISSUED:** 23 February 2017	**TELEPHONE NO:** (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
MN150006

VIOLATOR:
In-Sync Exotics Inc.
Customer# 7901
License# 74-C-0431

ADDRESS (*Street, City, State, ZIP Code*):
P.O. Box 968
Wylie, TX 75098

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date: September 13, 2014

§ 3.140(a) – Care in transit.

During surface transportation, it shall be the responsibility of the driver or other employee to visually observe the live animals as frequently as circumstances may dictate, but not less than once every 4 hours, to assure that they are receiving sufficient air for normal breathing, their ambient temperatures are within the prescribed limits, all other applicable standards are being complied with and to determine whether any of the live animals are in obvious physical distress and to provide any needed veterinary care as soon as possible.

You failed to seek veterinary care as soon as possible for a caracal named Ivan that was in obvious physical distress during transit. An employee noticed vomit on the floor of the caracal's enclosure during transit and observed that Ivan did not appear to be acting normally and was resting his head on the floor. Approximately three hours prior to arrival, Ivan was observed to have trouble walking. You did not seek veterinary care as soon as possible for Ivan during transit and Ivan was found unresponsive upon arrival to the destination.

Animal Care believes signs of obvious physical stress were readily observable but a veterinarian was not contacted to provide direction on adequate and needed care as soon as possible. It is your responsibility to ensure all applicable standards are being complied with including, but not limited to, determining whether any live animals are in obvious physical stress and providing any needed veterinary care as soon as possible.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	17 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3758

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (6), (b) (7)(C)

VIOLATOR: (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

License# Not Applicable

ADDRESS (*Street, City, State, ZIP Code*):
8431 State Rt. A D
West Plains, MO 65775

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016, (b) (6), (b) (7)(C) 2016, (b) (6), (b) (7)(C) 2016, (b) (6), (b) (7)(C) 2015, (b) (6), (b) (7)(C) 2015 and (b) (6), (b) (7)(C) 2015

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	6 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (Critical)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: **MO170014** VIOLATOR: University of Missouri-Columbia Customer# 1461 License# 43-R-0048 ADDRESS (*Street, City, State, ZIP Code*): 310 Jesse Hall Columbia, MO 65211

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 6, 2016

§ 3.1(a) Housing facilities, general. (Critical)

Structure; construction. Housing facilities for dogs and cats must be designed and constructed so that they are structurally sound. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

Date(s): June 6, 2016

§ 3.125(a) Facilities, general. (Critical)

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 3415 3857

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.4(a)(1)(iii) – Outdoor Housing Facilities. (DIRECT)

The following categories of dogs or cats must not be kept in outdoor facilities, unless that practice is specifically approved by the attending veterinarian sick, infirm, aged or young dogs or cats.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

(b) (6), (b) (7)(C)

License# N/A

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 through** (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

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UNITED STATES DEPARTMENT OF AGRICULTURE
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CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

License# N/A

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2015 through** (b) (6), (b) (7)(C) **2015**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 3.11(b)(2) - Cleaning, sanitization, housekeeping, and pest control. (Critical)

Sanitization of primary enclosures and food and water receptacles. Used primary enclosures and food and water receptacles for dogs and cats must be sanitized at least once every 2 weeks using one of the methods prescribed in paragraph (b)(3) of this section, and more often if necessary to prevent an accumulation of dirt, debris, food waste, excreta, and other disease hazards.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)
[CERTIFIED #]

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016**

§ 2.40(b)(3) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 3.31(a)(2) - Sanitation. (DIRECT REPEAT)

Cleaning and sanitation of primary enclosures. In the event a primary enclosure becomes soiled or wet to a degree that might be harmful or uncomfortable to the animals therein due to leakage of the watering system, discharges from dead or dying animals, spoiled perishable foods, or moisture condensation, the guinea pigs or hamsters shall be transferred to clean primary enclosures.

Date(s): (b) (6), (b) (7)(C) **2016, and** (b) (6), (b) (7)(C) **2015**

§ 3.31(b) - Sanitation. (REPEAT)

Housekeeping. Premises (buildings and grounds) shall be kept clean and in good repair in order to protect the animals from injury and to facilitate the prescribed husbandry practices set forth in this subpart. Premises shall remain free of accumulations of trash.

Date(s): (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016 (Only use the dates that the actually repeat was cited)**

§ 2.75(b)(1) - Records: Dealers and exhibitors. (REPEAT)

Every dealer other than operators of auction sales and brokers to whom animals are consigned, and exhibitor shall make, keep, and maintain records or forms which fully and correctly disclose the following information concerning animals other than dogs and cats, purchased or otherwise acquired, owned, held, leased, or otherwise in his or her possession or under his or her control, or which is transported, sold, euthanized, or otherwise disposed of by that dealer or exhibitor. The records shall include any offspring born of any animal while in his or her possession or under his or her control.

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.29(d) - Feeding. (REPEAT)

Food receptacles, if used, shall be accessible to all guinea pigs or hamsters in a primary enclosure and shall be located so as to minimize contamination by excreta. All food receptacles shall be kept clean and shall be sanitized at least once every 2 weeks. If self-feeders are used for the feeding of pelleted feed, measures must be taken to prevent molding, deterioration or caking of the feed. Hamsters may be fed pelleted feed on the floor of a primary enclosure.

Date(s): (b) (6), (b) (7)(C) **2016**

§ 3.30 - Watering. (REPEAT)

Unless food supplements consumed by guinea pigs or hamsters supply them with their normal water requirements, potable water shall be provided daily except as might otherwise be required to provide adequate veterinary care. Open containers used for dispensing water to guinea pigs or hamsters shall be so placed in or attached to the primary enclosure as to minimize contamination from excreta. All watering receptacles shall be sanitized when dirty: *Provided, however,* That such receptacles shall be sanitized at least once every 2 weeks.

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APHIS OFFICIAL *(Name)*:	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL *(TITLE)*: Assistant Deputy Administrator Animal Care	DATE ISSUED: 23 February 2017	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

APHIS FORM 7060 Previous editions may be used Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (6), (b) (7)(C)

VIOLATOR:
(b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

License# Not Applicable

ADDRESS (*Street, City, State, ZIP Code*):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016

§ 2.1(a)(1) Requirements and application.

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Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	6 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

VIOLATOR:
(b) (6), (b) (7)(C)

License# Not Applicable

ADDRESS (*Street, City, State, ZIP Code*):
17999 State Highway 148 South
Henrietta, TX 76365

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016 and (b) (6), (b) (7)(C) 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	6 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (6), (b) (7)(C)

VIOLATOR: (b) (6), (b) (7)(C)

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

ADDRESS (Street, City, State, ZIP Code):
P.O. Box 421
Talco, TX 75487

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) **2016,** (b) (6), (b) (7)(C) **2016 and** (b) (6), (b) (7)(C) **2016**

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	15 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER: (b) (6), (b) (7)(C)

VIOLATOR: (b) (6), (b) (7)(C)

License# N/A

ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

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Date(s): (b) (6), (b) (7)(C) **2016 through** (b) (6), (b) (7)(C) **2016 (dates listed on the advertisement)**

§ 2.1(a)(1) Requirements and application.

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APHIS OFFICIAL (*Name*):	OFFICE ADDRESS:	
Gerald Rushin	4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (*TITLE*):	DATE ISSUED:	TELEPHONE NO:
Assistant Deputy Administrator Animal Care	23 February 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C)

where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** *(City, State, ZIP Code)*: (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) allowed his horse, (b) (6), (b) (7)(C)

where the horse was found sore during a post-show inspection

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL *(Name)*: Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL *(Title)*: Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) showed the horse, (b) (6), (b) (7)(C)

where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

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Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
OFFICIAL WARNING **NOTICE OF ALLEGED VIOLATION**	**ALLEGED VIOLATOR:** (b) (6), (b) (7)(C)
	ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C) to be entered for the purpose of showing or exhibiting, the horse in the (b) (6), (b) (7)(C) where the horse was found sore and in violation of the scar rule during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/16/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

(b) (6), (b) (7)(C) entered for the purpose of showing or exhibiting, the horse (b) (6), (b) (7)(C)

where the horse was found sore and in violation of the scar rule during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named (b) (6), (b) (7)(C) for the purpose of showing or exhibiting the horse which was found unilaterally sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/16/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you named(b) (6), (b) (7)(C) " to be entered in the (b) (6), (b) (7)(C) for the purpose of showing or exhibiting, which was found unilaterally sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/16/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: (b) (6), (b) (7)(C) VIOLATOR: (b) (6), (b) (7)(C) ADDRESS (*Street, City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

9 C.F.R. § 1842(2)(A) The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

You showed a horse named (b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

Date of Violation: October 16, 2015

9 C.F.R. § 11.3(b) Scar rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named (b) (6), (b) (7)(C) which was sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/14/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 8-8-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE USDA **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 25, 2014

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: October 25, 2014

9 C.F.R. § 11.3 Scar Rule

> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C) entered for the purpose of showing the horse (b) (6), (b) (7)(C)

which was found sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/7/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: October 25, 2014

15 U.S.C. § 1824(2) Unlawful Acts

> "The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

Date of Violation: October 25, 2014

9 C.F.R. § 11.3 Scar Rule

> "The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the…scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of…the Act."

(b) (6), (b) (7)(C) allowed the entry of his horse at the show, for the purpose of showing or exhibiting the horse, (b) (6), (b) (7)(C)

which was found sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/7/2016	(301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2014

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered a horse named "The White Mask" into the 76th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN where it was found sore and in violation of the scar rule.

Date of Violation: August 28, 2014

9 C.F.R. § 11.3 Scar rule. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,5 other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a horse named (b) (6), (b) (7)(C) where it was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*):	**OFFICE ADDRESS:**	
Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*):	**DATE ISSUED:**	**TELEPHONE NUMBER:**
Director, Investigative and Enforcement Services	12/7/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 28, 2014

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed the entry of a horse you owned named (b) (6), (b) (7)(C)" to be entered into the (b) (6), (b) (7)(C)
where it was found sore and in violation of the scar rule.

Date of Violation: August 28, 2014

9 C.F.R. § 11.3 Scar rule. The scar rule criteria are as follows: (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas,5 other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair. (b) The posterior surfaces of the pasterns (flexor surface), including the sulcus or "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You allowed the entry of a horse you owned named (b) (6), (b) (7)(C)

where it was found sore and in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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Steven M. Bennett	4700 River Road, Unit 85 Riverdale, MD 20737	
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Director, Investigative and Enforcement Services	12/7/2016	(301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore."

(b) (6), (b) (7)(C) was the trainer that showed the horse (b) (6), (b) (7)(C) , where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in Clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) was the owner who allowed the horse, (b) (6), (b) (7)(C), where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) entered, for the purpose of showing or exhibiting, the hors (b) (6), (b) (7)(C

, where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/2/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 2, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824)2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C)

where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/2/2016	**TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060 Previous editions may be used Rev. 9-13-16

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) was the rider who entered for the purpose of showing, (b) (6), (b) (7)(C)

, where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 20, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: "(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C) allowed the horse (b) (6), (b) (7)(C) to be entered for the purpose of showing or exhibiting, the horse at the (b) (6), (b) (7)(C), where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 20, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C) was the custodian who entered for the purpose of showing, (b) (6), (b) (7)(C) (b) (6), (b) (7)(C), where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number (b) (6), (b) (7)(C)
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C)s was the trainer who entered for the purpose of showing, (b) (6), (b) (7)

where the horse was found sore during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/20/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 20, 2016
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UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE **OFFICIAL WARNING** **NOTICE OF ALLEGED VIOLATION**	**CASE NUMBER:** (b) (6), (b) (7)(C) **ALLEGED VIOLATOR:** (b) (6), (b) (7)(C) **ADDRESS** (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 30, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) was the trainer that showed the horse, (b) (6), (b) (7)(C) where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 12/16/2016	**TELEPHONE NUMBER:** (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: (b) (6), (b) (7)(C)
OFFICIAL WARNING **NOTICE OF ALLEGED VIOLATION**	ALLEGED VIOLATOR: (b) (6), (b) (7)(C)
	ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 15, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C) allowed his horse (b) (6), (b) (7)(C) where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	DATE ISSUED: 12/16/2016	TELEPHONE NUMBER: (301) 851-2948

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: December 16, 2016
Version: Final

USDA

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER: (b) (6), (b) (7)(C)

ALLEGED VIOLATOR: (b) (6), (b) (7)(C)

ADDRESS (*City, State, ZIP Code*): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: July 24, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

(b) (6), (b) (7)(C) was the trainer that showed the horse, (b) (6), (b) (7)(C) (b) (6), (b) (7)(C

, where the horse was found sore during a post-show inspection.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (*Name*): Steven M. Bennett	**OFFICE ADDRESS:** 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (*Title*): Director, Investigative and Enforcement Services	**DATE ISSUED:** 11/8/2016	**TELEPHONE NUMBER:** (301) 851-2948

(b

An Equal Opportunity Provider and Employer

Reference Number: (b) (6), (b) (7)(C)
Issuance Date: November 8, 2016
Version: Final