



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

CASE NUMBER:
(b) (6), (b) (7)(C)

ALLEGED VIOLATOR:
(b) (6), (b) (7)(C)

ADDRESS (City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: (b) (6), (b) (7)(C), 2015

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated without an AWA license when you exhibited animals, including a kangaroo and a rabbit, at the (b) (6), (b) (7)(C)

Date of Alleged Violation: (b) (6), (b) (7)(C) 2015

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated without an AWA license when you exhibited animals, including a kangaroo and a rabbit, at (b) (6), (b) (7)(C)

Date of Alleged Violation: (b) (6), (b) (7)(C) 2015

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated without an AWA license when you exhibited animals, including a kangaroo and a rabbit, (b) (6), (b) (7)(C)

Date of Alleged Violation: (b) (6), (b) (7)(C), 2015

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: (b) (6), (b) (7)(C) 2018

Version: Final



9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals for a (b) (6), (b) (7)(C) the kangaroo and (b) (6), (b) (7)(C). The newscaster talked about (b) (6), (b) (7)(C) animals at schools and nursing homes, and advertised your upcoming appearances at the (b) (6), (b) (7)(C)

Date of Alleged Violation: (b) (6), (b) (7)(C) **2015**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, prairie dog, and chinchilla, for residents at the (b) (6), (b) (7)(C) compensated you \$200 for your exhibition.

Date of Alleged Violation: (b) (6), (b) (7)(C) **2015** – (b) (6), (b) (7)(C) **2015**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo and a rabbit, (b) (6), (b) (7)(C)

Date of Alleged Violation: (b) (6), (b) (7)(C) **2015**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, rabbit, and chinchilla, at the (b) (6), (b) (7)(C) compensated you \$200 for your exhibition services.



Date of Alleged Violation: (b) (6), (b) (7)(C) **2015**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and intended to operate as an exhibitor by seeking approval for a one-time AWA licensing exemption. You provided incomplete and inaccurate information to APHIS, Animal Care (AC) officials by misrepresenting that you were a small hobby farm planning an exhibit of rabbits, chinchillas, and a miniature pig for a one-time charity event at a local movie theater on (b) (6), (b) (7)(C) 2015. You failed to disclose your recent license denial, the number of exhibitions planned, and the number and type of species being exhibited.

Date of Alleged Violation: (b) (6), (b) (7)(C) , **2015 – (b) (6), (b) (7)(C) 2015**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, a rabbit, chinchillas, hedgehogs, and a pig, (b) (6), (b) (7)(C)

paid money to take photos with your animals.

Date of Alleged Violation: (b) (6), (b) (7)(C) **2016 – (b) (6), (b) (7)(C) 2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, chinchillas, hedgehogs, and a pig, (b) (6), (b) (7)(C)

paid money to take photos with your animals.

Date of Alleged Violation: (b) (6), (b) (7)(C) **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.



You circumvented a license denial and intended to operate as an exhibitor by seeking approval for a one-time AWA licensing exemption. You provided incomplete and inaccurate information to APHIS, Animal Care (AC) officials by misrepresenting that you were a small hobby farm planning an exhibit of rabbits, chinchillas, and a miniature pig for one-time charity event ^{(b) (6), (b) (7)(C)}

2016. You failed to disclose your prior license denial, the number of planned exhibitions, and the number and type of species being exhibited.

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a coatimundi, **(b) (6), (b) (7)(C)**

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **2016 – ^{(b) (6), (b) (7)(C)} 7, 2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, chinchillas, hedgehogs, and a pig, **(b) (6), (b) (7)(C)**
paid money to take photos with your animals.

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **On or about 2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals for an interview with the ^{(b) (6), (b) (7)(C)}

maintained approximately eighty animals, including an arctic fox, a prairie dog, and a kangaroo, and exhibited at nursing homes, schools, festivals, and birthday parties.

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **2016**



9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited ^{(b) (6), (b) (7)(C)} the kangaroo **(b) (6), (b) (7)(C)**

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **2016** – ^{(b) (6), (b) (7)(C)} **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including chinchillas, hedgehogs, an anteater, and a pig, **(b) (6), (b) (7)(C)**
paid money to take photos with your animals.

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **, 2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, chinchillas, hedgehogs, an anteater, and a pig, for **(b) (6), (b) (7)(C)**

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, for a customer appreciation event at a **(b) (6), (b) (7)(C)**

Date of Alleged Violation: ^{(b) (6), (b) (7)(C)} **, 2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.



You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo (b) (6), (b) (7)(C)

compensated you \$300 for your exhibition.

Date of Alleged Violation: (b) (6), (b) (7)(C) **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, at (b) (6), (b) (7)(C)

each exhibition. ompensated you \$300 for

Date of Alleged Violation: (b) (6), (b) (7)(C) **2016**

9 C.F.R. § 2.1(a)(1) Requirements and applications.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You circumvented a license denial and operated without an AWA license when you exhibited animals, including a kangaroo, (b) (6), (b) (7)(C)

each exhibition. ompensated you \$300 for



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett		OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services		DATE ISSUED: (b) (6), (b) (7)(C) /2018	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16