



United States
Department of
Agriculture

Office of
Administrative
Law Judges

1400 Independence
Avenue SW

Washington, DC
20250-9200

CERTIFIED RECEIPT REQUESTED

September 8, 2016

Jennifer Reba Edwards, Esq.
The Animal Law Center, LLC
6870 W. 52nd Ave., Ste. 203
Arvada, CO 80002

Subject: William Brackston Lee, III d/b/a Laughing Valley Ranch, Respondent
Docket: 14-0021
Authority: Animal Welfare Act

Dear Ms. Edwards:

Enclosed is a copy of the Decision and Order issued in this proceeding by Administrative Law Judge, *Chief Judge McCartney* on *September 8, 2016*. Please note that each party has **thirty (30) days** after being served with a copy of this Decision and Order to file an appeal to the Department's Judicial Officer.

If no appeal is filed, the Decision and Order shall become final and effective as to each party **thirty-five (35) days** after the date of service. However, if an appeal is filed the Decision and Order is not final for purposes of judicial review. Upon completion of judicial review, an Order will be issued by the Secretary of Agriculture or the Judicial Officer.

If you elect to file an appeal, please note that an original and **three (3) copies** of the appeal are required. You may also elect to file by electronic service at OALJHearingClerks@ocio.usda.gov. Please refer to the Uniform Rules of Practice (7 C.F.R. § 1.145) to obtain information regarding the procedure for filing an appeal. Lastly, you received a copy of the Uniform Rules of Practice when you were served with the Complaint.

Sincerely,

Renee Leach-Carlos
Hearing Clerk

cc:
Colleen A. Carroll, OGC

CH: 9/8/16



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

August 10, 2016

Wildlife World Zoo, Inc.
16501 W. Northern Avenue
Litchfield Park, AZ 85340

Louis M. Ollson, Sr.
16501 W. Northern Avenue
Litchfield Park, AZ 85340

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$2,350, by **September 12, 2016**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **September 12, 2016**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **September 12, 2016**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



What are my other options?

If you do not agree with the terms of this Settlement Agreement, submit a written request for a hearing, with your Reference Number, to our office by **September 12, 2016**, at 4700 River Rd., Unit 85, Riverdale, MD, 20737.

What happens if I fail to respond?

If we do not receive a payment or hearing request from you by **September 12, 2016**, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Kelly Ferrell at (301) 851-2755, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

A handwritten signature in black ink, appearing to read "S. M. Bennett".

Steven M. Bennett, Acting Director
Investigative and Enforcement Services



Wildlife World Zoo, Inc.
16501 W. Northern Avenue
Litchfield Park, AZ 85340

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: May 4, 2015

9 C.F.R. § 2.126(a)(4) Access and inspection of records and property; submission of itineraries. Each dealer, exhibitor, intermediate handler, or carrier, shall, during business hours, allow APHIS officials to inspect and photograph the facilities, property and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations and the standards in this subchapter.

You failed to inform APHIS officials of all of the areas containing regulated animals so that APHIS could inspect them. APHIS asked about specific areas, and your representative told APHIS that the areas only contained the garbage dump, birds, and/or reptiles, and did not disclose that they contained regulated animals until APHIS asked to see those areas.

Date of Violation: May 4, 2015

9 C.F.R. § 3.80(a)(2)(ix) Primary enclosures. Primary enclosures for nonhuman primates must meet the following minimum requirements: General requirements. Primary enclosures must be constructed and maintained so that they enable all surfaces in contact with nonhuman primates to be readily cleaned and sanitized, or replaced when worn or soiled.

You failed to construct and maintain enclosures so that surfaces could be readily cleaned or replaced when worn or soiled. The enclosure housing two tamarins in the Tropics building had an area approximately 5 inches long of exposed wood near the exhibit window which could not be adequately cleaned or sanitized.

Date of Violation: May 4, 2015

9 C.F.R. § 3.129(b) Feeding. Food and receptacles, if used, shall be sufficient in quantity and located so as to be accessible to all animals in the enclosure and shall be placed so as to minimize contamination. Food receptacles shall be kept clean and sanitary at all times. If self-feeders are used, adequate measures shall



be taken to prevent molding, contamination, and deterioration or caking of food.

You failed to feed animals in a way that would minimize contamination. You were feeding the breeding goats on the ground, and the feed had an accumulation of fecal matter in it.

Date of Violation: May 4, 2015

9 C.F.R. § 3.131(a) Sanitation. Cleaning of enclosures. Excreta shall be removed from primary enclosures as often as necessary to prevent contamination of the animals contained therein and to minimize disease hazards and to reduce odors. When enclosures are cleaned by hosing or flushing, adequate measures shall be taken to protect the animals confined in such enclosures from being directly sprayed with the stream of water or wetted involuntarily.

You failed to remove excreta as often as necessary to prevent contamination of the animals and minimize disease hazards. The enclosure housing several goats had an excessive accumulation of feces on the ground, such that the animals could not lie in their enclosure without becoming contaminated by the waste material.

The penalty for the alleged violation(s) described above is \$2,350.



How To Pay The Penalty

You may pay your penalty immediately through secure online transactions or phone using American Express, Discover, Diners Club, Master Card, and Visa, or by U.S. Mail using a check, cashier's check, or money order.

Online. Pay.gov allows you to make deferred and recurring credit card payments through secure online payments. To submit your credit card payment online:

1. Log on to <https://www.pay.gov>.
2. Click on Agency List.
3. Click on "A" in Index.
4. Click on Agriculture Department.
5. Click on Department of Agriculture.
6. Click on Animal and Plant Health Inspection Service – APHIS Customers (2nd listing).
7. Complete the required information and submit the form.
8. Enter payment information and submit your payment.
9. Print confirmation screen as your receipt.

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1. Call (612) 336-3264 and speak to a Debt Management Specialist.
2. State your Reference Number AZ150085-AC.
3. Submit your credit card information.

U.S. Mail. To make a payment by check, cashier's check, or money order:

1. Make the check, cashier's check, or money order payable to the Treasurer of the United States.
2. Write the Reference Number AZ150085-AC on your check, cashier's check, or money order.
3. Mail your payment to:
USDA – APHIS – GENERAL AZ150085-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



AGREEMENT TO PAY AND REQUEST FOR PAYMENT PLAN

If you agree with the terms in this Settlement Agreement but cannot pay the penalty in full at this time, select one of the options below, sign the form, and return it to the following address prior to the due date of **September 12, 2016**:

USDA, APHIS, IES (General) AZ150085-AC
4700 River Road, Unit 85
Riverdale, MD 20737

I understand that by signing this Settlement Agreement, I waive my right to a hearing. I agree to pay the penalty of \$2,350, but I am requesting a payment plan because of financial hardship. I have enclosed a copy of my most recent federal tax return. I have indicated below if I have enclosed other documents to show financial hardship:

Bankruptcy filing

Other (describe): _____

Signature: _____ Date: _____

Street Address: _____

City: _____ State: _____ Zip code: _____



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
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Investigative and
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Voice 301.851.2948
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920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

August 22, 2016

Los Angeles Zoo
5333 Zoo Drive
Los Angeles, CA 90027

John R. Lewis, Director
5333 Zoo Drive
Los Angeles, CA 90027

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$22,850, by **September 23, 2016**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **September 23, 2016**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **September 23, 2016**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



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What should I do if I need help?

If you have any questions concerning this letter, contact Robert Randen at (301) 851-2785, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

A handwritten signature in black ink, appearing to read "S. M. Bennett".

Steven M. Bennett, Director
Investigative and Enforcement Services



Los Angeles Zoo
5333 Zoo Drive
Los Angeles, CA 90027

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: April 29, 2014

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

- (b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:
- (2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care

You failed to establish and maintain an adequate program of veterinary care to include appropriate methods to prevent, control, diagnose and treat diseases and injuries, and the availability of emergency care. A female takin, "Qiji," began showing signs of labor during the afternoon and had a recent history of lethargy, lameness, and swollen legs. A senior zookeeper contacted the attending veterinarian that evening but could not reach him. The zookeeper spoke with the attending veterinarian later that night and the veterinarian stated that the issue would be dealt with in the morning. You provided veterinary care to the takin the following morning. The attending veterinarian euthanized the takin due to findings discovered during a C-section to remove her fetus, which had died. You subsequently found that the after-hours vet call list was out of date.

Date of Violation: November 22, 2014

9 C.F.R. § 3.125(a) Facilities, general.

- (a) Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

You failed to construct facilities of such material so as to contain the animals. A female big horn sheep escaped her enclosure by jumping a wall and a barrier fence. She then escaped the grounds by climbing

a stairway and jumping the perimeter fence. While outside she was struck by an automobile and died shortly after being recaptured.

Date of Violation: July 2, 2015

9 C.F.R. § 3.75(a) Housing facilities, general.

(a) Structure: construction. Housing facilities for nonhuman primates must be designed and constructed so that they are structurally sound for the species of nonhuman primates housed in them. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

You failed to design and construct housing facilities for nonhuman primates that protected them from injury, contained them securely, and restricted other animals from entering. Two cotton top tamarins went missing from their exhibit, which was an island-like peninsula that had several access points, which would permit entry of other animals. You identified a surveillance video that showed a bobcat walking away with one tamarin, and you also found the remains of one tamarin. You did not find the second missing tamarin.

Date of Violation: March 3, 2016

9 C.F.R. § 3.127(d) Facilities, outdoor.

(d) Perimeter fence. On or after May 17, 2000, all outdoor housing facilities (i.e., facilities not entirely indoors) must be enclosed by a perimeter fence that is of sufficient height to keep animals and unauthorized persons out. Fences less than 8 feet high for potentially dangerous animals, such as, but not limited to, large felines (e.g., lions, tigers, leopards, cougars, etc.), bears, wolves, rhinoceros, and elephants, or less than 6 feet high for other animals must be approved in writing by the Administrator. The fence must be constructed so that it protects the animals in the facility by restricting animals and unauthorized persons from going through it or under it and having contact with the animals in the facility, and so that it can function as a secondary containment system for the animals in the facility. It must be of sufficient distance from the outside of the primary enclosure to prevent physical contact between animals inside the enclosure and animals or persons outside the perimeter fence. Such fences less than 3 feet in distance from the primary enclosure must be approved in writing by the Administrator.

You failed to construct a perimeter fence that protected the animals in the facility by restricting animals and unauthorized persons from going through it or under it and having contact with the animals in the facility. A koala disappeared from her exhibit. The koalas housed with her that night were unharmed. The design of this enclosure allows several access points that could permit entry of unauthorized animals. You found the remains of the missing koala approximately 300 yards from the exhibit.



Date of Violation: March 10, 2016

9 C.F.R. § 3.127(d) Facilities, outdoor.

(d) Perimeter fence. On or after May 17, 2000, all outdoor housing facilities (i.e., facilities not entirely indoors) must be enclosed by a perimeter fence that is of sufficient height to keep animals and unauthorized persons out. Fences less than 8 feet high for potentially dangerous animals, such as, but not limited to, large felines (e.g., lions, tigers, leopards, cougars, etc.), bears, wolves, rhinoceros, and elephants, or less than 6 feet high for other animals must be approved in writing by the Administrator. The fence must be constructed so that it protects the animals in the facility by restricting animals and unauthorized persons from going through it or under it and having contact with the animals in the facility, and so that it can function as a secondary containment system for the animals in the facility. It must be of sufficient distance from the outside of the primary enclosure to prevent physical contact between animals inside the enclosure and animals or persons outside the perimeter fence. Such fences less than 3 feet in distance from the primary enclosure must be approved in writing by the Administrator.

You failed to have a perimeter fence of sufficient height, and constructed to protect the animals in the facility by restricting animals and unauthorized persons from going through it or under it and having contact with the animals in the facility, and so that it can function as a secondary containment system. At least one gate in the perimeter fence was less than 8 feet in height. Vegetation grew into or adjacent to the perimeter fence in some areas, and in one area, tree branches/vines had grown into and around the barbed wire kick-ins, resulting in drooping and/or damaged wires.

The penalty for the alleged violation(s) described above is \$22,850.



How To Pay The Penalty

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USDA – APHIS – GENERAL CA150065-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



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4700 River Road, Unit 85
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I understand that by signing this Settlement Agreement, I waive my right to a hearing. I agree to pay the penalty of \$22,850, but I am requesting a payment plan because of financial hardship. I have enclosed a copy of my most recent federal tax return. I have indicated below if I have enclosed other documents to show financial hardship:

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Other (describe): _____

Signature: _____ Date: _____

Street Address: _____

City: _____ State: _____ Zip code: _____



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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

September 21, 2016

Lockwood Animal Rescue Center
DBA: New England Animal Rescue
Center
15660 Curtis Trail
Frazier Park, CA 93225

Registered Agent: Lorin Linder
PO Box 1510
Frazier Park, CA 93225

SETTLEMENT AGREEMENT

Dear Sir or Madam:

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An Equal Opportunity Provider and Employer

Reference Number: CA150144-
AC
Issuance Date: September 21,
2016
Version: Revision



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Sincerely,

A handwritten signature in black ink, appearing to read "S. M. Bennett".

Steven M. Bennett, Acting Director
Investigative and Enforcement Services



Lockwood Animal Rescue Center
DBA: New England Animal Rescue
Center
15660 Curtis Trail
Frazier Park, CA 93225

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: Between November 2014 and March 2015

9 C.F.R. § 2.40(b)(3) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(3) Daily observation of all animals to assess their health and well-being; Provided, however, that daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

You failed to establish a mechanism of direct and frequent communication with the attending veterinarian. Several wolf hybrids died between November 2014 and March 2015, most of whom were humanely euthanized after you took them to a nearby veterinary clinic for treatment. You did not inform your attending veterinarian about their conditions, treatments, or deaths in a timely manner.

Date of Violation: December 3, 2014

9 C.F.R. § 3.1(a) Housing facilities, general.

(a) Structure; construction. Housing facilities for dogs and cats must be designed and constructed so that they are structurally sound. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

You failed to construct and maintain housing facilities so that they were structurally sound and protected the animals from injury. You built eleven shelters on top of pallets to elevate them from the ground; however, the shelters did not entirely cover the pallets, leaving large gaps that could injure an animal's legs and/or feet.

Date of Violation: December 3, 2014

9 C.F.R. § 3.1(e) Housing facilities, general.

(e) Storage. Supplies of food and bedding must be stored in a manner that protects the supplies from spoilage, contamination, and vermin infestation. The supplies must be stored off the floor and away from the walls, to allow cleaning underneath and around the supplies. Foods requiring refrigeration must be stored accordingly, and all food must be stored in a manner that prevents contamination and deterioration of its nutritive value. All open supplies of food and bedding must be kept in leakproof containers with tightly fitting lids to prevent contamination and spoilage. Only food and bedding that is currently being used may be kept in the animal areas. Substances that are toxic to the dogs or cats but are required for normal husbandry practices must not be stored in food storage and preparation areas, but may be stored in cabinets in the animal areas.

You failed to store and maintain animal food in a manner that protected it from spoilage and contamination. APHIS observed:

- 1) A freezer where meat was stored needed to be cleaned.
- 2) A lack of organization in one freezer of the new and older meat.
- 3) Knives used to cut meat had bits of meat still on them and the cutting board was covered in blood and other liquids.

Date of Violation: December 3, 2014

9 C.F.R. § 3.4(b)(3) Outdoor housing facilities.

(b) Shelter from the elements. Outdoor facilities for dogs or cats must include one or more shelter structures that are accessible to each animal in each outdoor facility, and that are large enough to allow each animal in the shelter structure to sit, stand, and lie in a normal manner, and to turn about freely. In addition to the shelter structures, one or more separate outside areas of shade must be provided, large enough to contain all the animals at one time and protect them from the direct rays of the sun. Shelters in outdoor facilities for dogs or cats must contain a roof, four sides, and a floor, and must:

- (3) Be provided with a wind break and rain break at the entrance

You failed to provide shelters with a wind and rain break at the entrance. None of the wooden shelters had wind and rain breaks.

Date of Violation: December 3, 2014

9 C.F.R. § 3.11(c) Cleaning, sanitization, housekeeping, and pest control.

(c) Housekeeping for premises. Premises where housing facilities are located, including buildings and surrounding grounds, must be kept clean and in good

repair to protect the animals from injury, to facilitate the husbandry practices required in this subpart, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of accumulations of trash, junk, waste products, and discarded matter. Weeds, grasses, and bushes must be controlled so as to facilitate cleaning of the premises and pest control, and to protect the health and well-being of the animals.

You failed to keep the premises free of accumulations of trash, junk, waste products, and discarded matter. Debris from meat packs was strewn across the ground outside the food preparation area.

Date of Violation: On or about February 14-24, 2015

9 C.F.R. § 2.40(b)(3) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(3) Daily observation of all animals to assess their health and well-being; Provided, however, that daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

You failed to establish a mechanism of direct and frequent communication with the attending veterinarian. You observed that Tahzay, a 13-15 year old male wolf dog hybrid, was gagging, drooling, and vomiting, and was not eating. You brought him inside for observation and to feed him a bland diet, but did not contact your attending veterinarian. On February 16, 2015, you took him to a nearby veterinary clinic because he was gagging, vomiting, and not eating. The veterinarian prescribed an antibiotic and an anti-nausea medication and you brought him back to your facility. On February 24, 2015, you took Tahzay back to the veterinary clinic after he did not respond to the medications and his condition declined. Due to his age and poor health, Tahzay was euthanized. You did not notify the attending veterinarian about Tahzay's condition during his illness.

Date of Violation: March 24, 2015

9 C.F.R. § 3.11(c) Cleaning, sanitization, housekeeping, and pest control.

(c) Housekeeping for premises. Premises where housing facilities are located, including buildings and surrounding grounds, must be kept clean and in good repair to protect the animals from injury, to facilitate the husbandry practices required in this subpart, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of



accumulations of trash, junk, waste products, and discarded matter. Weeds, grasses, and bushes must be controlled so as to facilitate cleaning of the premises and pest control, and to protect the health and well-being of the animals.

You failed to control weeds, grasses, and bushes. Twelve of the older, non-updated large enclosures of dog hybrids had excessively dense trees and shrubs.

The penalty for the alleged violation(s) described above is \$2,750.



How To Pay The Penalty

You may pay your penalty immediately through secure online transactions or phone using American Express, Discover, Diners Club, Master Card, and Visa, or by U.S. Mail using a check, cashier's check, or money order.

Online. Pay.gov allows you to make deferred and recurring credit card payments through secure online payments. To submit your credit card payment online:

1. Log on to <https://www.pay.gov>.
2. Click on Agency List.
3. Click on "A" in Index.
4. Click on Agriculture Department.
5. Click on Department of Agriculture.
6. Click on Animal and Plant Health Inspection Service – APHIS Customers (2nd listing).
7. Complete the required information and submit the form.
8. Enter payment information and submit your payment.
9. Print confirmation screen as your receipt.

Phone. To make a credit card payment by phone:

1. Call (612) 336-3264 and speak to a Debt Management Specialist.
2. State your Reference Number CA150144-AC.
3. Submit your credit card information.

U.S. Mail. To make a payment by check, cashier's check, or money order:

1. Make the check, cashier's check, or money order payable to the Treasurer of the United States.
2. Write the Reference Number CA150144-AC on your check, cashier's check, or money order.
3. Mail your payment to:

USDA – APHIS – GENERAL CA150144-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



AGREEMENT TO PAY AND REQUEST FOR PAYMENT PLAN

If you agree with the terms in this Settlement Agreement but cannot pay the penalty in full at this time, select one of the options below, sign the form, and return it to the following address prior to the due date of **September 23, 2016**:

USDA, APHIS, IES (General) CA150144-AC
4700 River Road, Unit 85
Riverdale, MD 20737

I understand that by signing this Settlement Agreement, I waive my right to a hearing. I agree to pay the penalty of \$2,750, but I am requesting a payment plan because of financial hardship. I have enclosed a copy of my most recent federal tax return. I have indicated below if I have enclosed other documents to show financial hardship:

Bankruptcy filing

Other (describe): _____

Signature: _____ Date: _____

Street Address: _____

City: _____ State: _____ Zip code: _____



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

June 23, 2016

Justin and La Nae Jackson
dba: Jackson Kennels
424 6th Road
Clifton, KS 66937

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$5,600, by **July 25, 2016**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **July 25, 2016**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **July 25, 2016**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



What are my other options?

If you do not agree with the terms of this Settlement Agreement, submit a written request for a hearing, with your Reference Number, to our office by **July 25, 2016**, at 4700 River Rd., Unit 85, Riverdale, MD, 20737.

What happens if I fail to respond?

If we do not receive a payment or hearing request from you by **July 25, 2016**, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Robert Randen at (301) 851-2785, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director
Investigative and Enforcement Services



Justin and La Nae Jackson
dba: Jackson Kennels
424 6th Road
Clifton, KS 66937

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: June 19, 2014

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries.

You failed to provide adequate veterinary care and use appropriate methods to prevent, control, diagnose, and treat diseases and injuries. APHIS observed the following:

1) A black and tan adult male German Shepherd (microchip AO1483334) had moist, swollen red skin between the toes of all four feet, and appeared to walk tenderly at times on all four feet.

2) A buff colored adult female Lhasa Apso (microchip 048 586 088) was holding up her right foreleg whenever she was at rest.

Date of Violation: September 8, 2014

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries.

You failed to provide adequate veterinary care and use appropriate methods to prevent, control, diagnose, and treat diseases and injuries. APHIS observed the following:

1) An adult male Shiba Inu (microchip 448 793 780) with a swollen mass of tissue between the middle toes on the right rear foot. The dog was unable to bear any weight on the foot.

2) An adult female chocolate Labrador Retriever (microchip 079 275



569) had a swollen mass of tissue between the middle toes on the right front and rear feet. Both feet felt puffy when touched, and the skin had a reddish color.

- 3) An adult female elkhound (microchip 048 306 812) had a swollen mass of tissue between the middle toes on the right rear foot. The foot felt puffy when touched, and the skin had a reddish color.
- 4) An adult female Siberian Husky (microchip 070 805 383) was limping and placing very little weight on the left front leg.
- 5) An adult female German Shepard (microchip 033 264 621) had an open wound on the top of its left ear. The wound was moist, reddened, and inflamed and covered approximately one third of the surface area of the ear, and had a yellowish, creamy discharge.
- 6) You had expired medications ready for use, including a 250 mg bottle of Chloramphenicol pills, expiration date 12/1012, and a 250 mg bottle of Amoxicillin pills, expiration date 05/2014.

Date of Violation: January 6, 2016

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries.

You failed to provide adequate veterinary care and use appropriate methods to prevent, control, diagnose, and treat diseases and injuries. APHIS observed two red adult female Vizslas (microchip 146324528 A and 146223762 A) and one red adult male Vizsla (microchip 010 789 768) that appeared thin. The ribs and backbone on all three animals were clearly visible.

Date of Violation: April 13, 2016

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors).

(b) Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include:

(2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries.

You failed to provide adequate veterinary care and use appropriate methods to prevent, control, diagnose, and treat diseases and injuries. APHIS observed a blue adult male Shih Tzu (microchip 17152) with a white colored, opaque area on the center of the right eye (cornea).

The penalty for the alleged violation(s) described above is \$5,600.



How To Pay The Penalty

You may pay your penalty immediately through secure online transactions or phone using American Express, Discover, Diners Club, Master Card, and Visa, or by U.S. Mail using a check, cashier's check, or money order.

Online. Pay.gov allows you to make deferred and recurring credit card payments through secure online payments. To submit your credit card payment online:

1. Log on to <https://www.pay.gov>.
2. Click on Agency List.
3. Click on "A" in Index.
4. Click on Agriculture Department.
5. Click on Department of Agriculture.
6. Click on Animal and Plant Health Inspection Service – APHIS Customers (2nd listing).
7. Complete the required information and submit the form.
8. Enter payment information and submit your payment.
9. Print confirmation screen as your receipt.

Phone. To make a credit card payment by phone:

1. Call (612) 336-3264 and speak to a Debt Management Specialist.
2. State your Reference Number KS150003-AC.
3. Submit your credit card information.

U.S. Mail. To make a payment by check, cashier's check, or money order:

1. Make the check, cashier's check, or money order payable to the Treasurer of the United States.
2. Write the Reference Number KS150003-AC on your check, cashier's check, or money order.
3. Mail your payment to:
USDA – APHIS – GENERAL KS150003-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

November 9, 2016

Allen Strenke, Jerry McClure
2304 North Street
Grant City, MO 64456

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$2,550, by **December 12, 2016**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **December 12, 2016**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **December 12, 2016**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



What are my other options?

If you do not agree with the terms of this Settlement Agreement, submit a written request for a hearing, with your Reference Number, to our office by **December 12, 2016**, at 4700 River Rd., Unit 85, Riverdale, MD, 20737.

What happens if I fail to respond?

If we do not receive a payment or hearing request from you by **December 12, 2016**, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Kelly Ferrell at (301) 851-2755, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

A handwritten signature in black ink, appearing to read "S. M. Bennett".

Steven M. Bennett, Director
Investigative and Enforcement Services



Allen Strenke, Jerry McClure
2304 North Street
Grant City, MO 64456

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: August 5, 2013

9 C.F.R. § 3.1(c)(1)(ii) Housing facilities, general. Surfaces. General requirements. The surfaces of housing facilities including houses, dens, and other furniture-type fixtures and objects within the facility must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs or cats must be free of jagged edges or sharp points that might injure the animals.

You failed to properly construct an enclosure in the whelping facility which contained two puppies, as evidenced by cut wires with sharp points.

Date of Violation: June 3, 2014

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors). Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

You failed to provide adequate veterinary care for one female Silky Terrier (#157) nursing two puppies, who was very thin with easily palpable ribs and defined vertebrae showing.

Date of Violation: July 16, 2014

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors). Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

You failed to provide adequate veterinary care for the following animals:

-
- 1) One Silky Terrier (#165) who had knotted, matted hair pulling at the skin on her neck, legs and abdomen;
 - 2) One Pomeranian (#130) who was matted around the anal region; and
 - 3) One Chihuahua (#126) who had dangling, matted hair on the hindquarters and long toenails.

Date of Violation: January 12, 2015

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors). Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

You failed to provide adequate veterinary care for a male boxer (#121) who had a deep, harsh, non-productive cough upon exertion.

Date of Violation: April 30, 2015

9 C.F.R. § 2.126(b) Access and inspection of records and property; submission of itineraries. The use of a room, table, or other facilities necessary for proper examination of the records and inspection of the property or animals must be extended to APHIS officials by the dealer, exhibitor, intermediate handler or carrier, and a responsible adult shall be made available to accompany APHIS officials during the inspection process.

You failed to have a responsible adult available to accompany APHIS officials during the inspection process.

Date of Violation: June 15, 2015

9 C.F.R. § 2.126(b) Access and inspection of records and property; submission of itineraries. The use of a room, table, or other facilities necessary for proper examination of the records and inspection of the property or animals must be extended to APHIS officials by the dealer, exhibitor, intermediate handler or carrier, and a responsible adult shall be made available to accompany APHIS officials during the inspection process.

You failed to have a responsible adult available to accompany APHIS officials during the inspection process.

Date of Violation: June 24, 2015

9 C.F.R. § 2.40(b)(2) Attending veterinarian and adequate veterinary care (dealers and exhibitors). Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

You failed to provide adequate veterinary care for the following animals:

- 1) One Chihuahua (#190) who had a torn left ear with the ear flap dangling;
- 2) One Shih Tzu (#187) who was periodically shaking and rubbing its head. The right ear was hot to the touch and had a black discharge in the ear canal;
- 3) One Pomeranian (#84) who had patches of matted hair along the belly and behind the ears. The dog's penis and prepuce looked red, inflamed, and irritated; and
- 4) One Silky Terrier (#162) who had long toenails that were starting to curve.

Date of Violation: June 24, 2015

9 C.F.R. § 3.1(a) Housing facilities, general. Structure; construction. Housing facilities for dogs and cats must be designed and constructed so that they are structurally sound. They must be kept in good repair, and they must protect the animals from injury, contain the animals securely, and restrict other animals from entering.

You failed to construct and maintain enclosures so that they were structurally sound, protected the animals from injury, contained the animals securely, and restricted other animals from entering. The divider between two enclosures detached from the main building, which created an opening and allowed the dogs in the two enclosures access to each other. A dog (#110) in one of the enclosures died, apparently from a fight between the dogs, as evidenced by puncture wounds on its body.

Date of Violation: June 24, 2015

9 C.F.R. § 3.7 Dogs and cats that are housed in the same primary enclosure must be compatible.

You failed to ensure that dogs housed in the same primary enclosure were compatible. You housed two Chihuahuas together that were not compatible. One of the Chihuahuas (#190) had a torn ear and the tip of the left ear flap was dangling. The ear flap showed signs of dried blood and the edges of the wound had started to turn black. You had not noticed this at the time of the inspection, and indicated that it may have been the result of a fight between the dogs.

The penalty for the alleged violation(s) described above is \$2,550.



How To Pay The Penalty

You may pay your penalty immediately through secure online transactions or phone using American Express, Discover, Diners Club, Master Card, and Visa, or by U.S. Mail using a check, cashier's check, or money order.

Online. Pay.gov allows you to make deferred and recurring credit card payments through secure online payments. To submit your credit card payment online:

1. Log on to <https://www.pay.gov>.
2. Click on Agency List.
3. Click on "A" in Index.
4. Click on Agriculture Department.
5. Click on Department of Agriculture.
6. Click on Animal and Plant Health Inspection Service – APHIS Customers (2nd listing).
7. Complete the required information and submit the form.
8. Enter payment information and submit your payment.
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Phone. To make a credit card payment by phone:

1. Call (612) 336-3264 and speak to a Debt Management Specialist.
2. State your Reference Number MO150062-AC.
3. Submit your credit card information.

U.S. Mail. To make a payment by check, cashier's check, or money order:

1. Make the check, cashier's check, or money order payable to the Treasurer of the United States.
2. Write the Reference Number MO150062-AC on your check, cashier's check, or money order.
3. Mail your payment to:

USDA – APHIS – GENERAL MO150062-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



AGREEMENT TO PAY AND REQUEST FOR PAYMENT PLAN

If you agree with the terms in this Settlement Agreement but cannot pay the penalty in full at this time, select one of the options below, sign the form, and return it to the following address prior to the due date of **December 12, 2016**:

USDA, APHIS, IES (General) MO150062-AC
4700 River Road, Unit 85
Riverdale, MD 20737

I understand that by signing this Settlement Agreement, I waive my right to a hearing. I agree to pay the penalty of \$2,550, but I am requesting a payment plan because of financial hardship. I have enclosed a copy of my most recent federal tax return. I have indicated below if I have enclosed other documents to show financial hardship:

Bankruptcy filing

Other (describe): _____

Signature: _____ Date: _____

Street Address: _____

City: _____ State: _____ Zip code: _____



**Animal and Plant
Health Inspection
Service**

**Marketing and
Regulatory Programs
Business Services**

**Investigative and
Enforcement Services**

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

May 17, 2016

Kord McGuire
385 Quinn Road
West Alexandria, OH 45381

SETTLEMENT AGREEMENT

Re: Reference number OH150007-AC

Dear Mr. McGuire:

We believe that you violated the Animal Welfare Act, 7 U.S.C. § 2131 *et seq.* (“AWA”), as described in the enclosed Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA to ensure the welfare of animals.

Violations of the AWA can have serious and costly impacts, detrimental to animals and the public interest. After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. However, instead of pursuing a civil penalty or other sanctions at this time, we are offering you the opportunity to resolve this matter by agreeing to meet the terms specified in the enclosed Settlement Agreement.

What are the terms of the Settlement Agreement?

Briefly, the Settlement Agreement provides that APHIS will not initiate formal enforcement action for the alleged violations outlined in the Settlement Agreement, if:

1. You agree to a revocation of your AWA license, and permanent disqualification from obtaining an AWA license in the future.
2. You agree to sell, donate, and/or transfer ownership of your regulated animals within 12 weeks from the date you sign the Settlement Agreement. You will need to request a one-time exemption from the licensing requirements to disperse your regulated animals if you 1) do not currently hold a license, or 2) disperse them after signing this Settlement Agreement. You may also request an exemption to retain a



reasonable number of animals that are your personal pets. To request an exemption, you should contact Teany Gerkin with Animal Care at (919) 855-7116.

3. You agree to allow Animal Care to take an inventory of the regulated animals currently present at your facility. You also agree to allow Animal Care to confirm that you have sold, donated, and transferred ownership of your regulated animals (other than animals for which you request an exemption) within the 12-week period, by allowing Animal Care to take an inventory of the regulated animals present at your facility and providing Animal Care with ownership transfer records.
4. You acknowledge that the Secretary has jurisdiction over activities governed by the AWA and waive your opportunity for a hearing in this matter.
5. You agree that your failure to comply with the terms of the Settlement Agreement will void the Settlement Agreement, and allow APHIS to pursue any and all remedies and sanctions.

The above is a summary of the terms in the Settlement Agreement. You should review the Settlement Agreement in its entirety.

When must I respond?

If you agree to meet the terms of the Settlement Agreement, sign the Settlement Agreement and send it to our office by **June 17, 2016**: USDA, APHIS, IES OH150007-AC, 4700 River Road, Unit 85, Riverdale, MD 20737-1234. We reserve the right to withdraw the Settlement Agreement if you fail to comply with any of the terms.

What are my other options?

- If you do not agree with the terms of the Settlement Agreement, you may submit a written request for a hearing, with your Reference Number, to our office by **June 17, 2016**: USDA, APHIS, IES OH150007-AC, 4700 River Road, Unit 85, Riverdale, MD 20737-1234.
- Alternatively, if you are interested in resolving this matter by paying a monetary civil penalty instead of agreeing to the terms specified in the Settlement Agreement, please contact our office using the telephone numbers below.



What happens if I fail to respond?

If we do not receive your signed Settlement Agreement or request for a hearing by June 17, 2016, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Robert Randen at (301) 851-2785, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at 301-851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director
Investigative and Enforcement Services



SETTLEMENT AGREEMENT

THIS AGREEMENT is entered into by the United States Department of Agriculture, Animal and Plant Health Inspection Service (“APHIS”), and Kord McGuire, with reference to the following facts:

1. At all times mentioned herein, Kord McGuire operated as an Exhibitor under the Animal Welfare Act (7 U.S.C. § 2131 *et seq.*) (the “AWA”) at the facility located at 385 Quinn Road, West Alexandria, OH 45381.
 2. APHIS has documented evidence of Kord McGuire’s non-compliance with the AWA and the regulations promulgated thereunder (9 C.F.R. § 1.1 *et seq.*), and specifically, has documented evidence of Kord McGuire’s failure to provide adequate veterinary care, provide adequate heat and shelter during periods of inclement weather, provide sufficient quantities of food, and provide shelter free from sharp point that could injure animals as required by the AWA regulations and AWA standards for the humane handling and treatment of animals (9 C.F.R. §§ 2.40(b)(2), 2.40(b)(3), 3.77(a), 3.80(a)(2)(i), 3.125(a), 3.127(b), 3.127(c), and 3.129(a)).
 3. APHIS has not instituted an administrative enforcement action based on the findings described above in paragraph 2.
 4. APHIS and Kord McGuire have determined to settle the issues related to the potential violations of the AWA and the regulations and standards issued thereunder, as described above.
- NOW, THEREFORE, it is agreed as follows:
5. Kord McGuire admits that the Secretary has jurisdiction in this matter, and waives oral hearing and further procedure.
 6. Kord McGuire consents and agrees to the following:

a. Kord McGuire agrees to sell, donate, and/or transfer ownership and possession of any regulated animals on his premises, regardless of ownership, within twelve (12) weeks from the date Kord McGuire signs this Settlement Agreement.

b. Animal Care will, upon written request, grant a one-time exemption from the licensing requirements for the transfer, movement, and/or sale of any regulated animal that Kord McGuire holds at the time Kord McGuire signs this Settlement Agreement, to include the transportation of animals that are less than eight (8) weeks of age.

c. Animal Care will, upon written request, grant an exemption for Kord McGuire to retain a reasonable number of animals that are maintained for his own personal use and enjoyment, provided that the laws of the jurisdiction where Kord McGuire lives allow him to keep the animals.

d. At a time that is mutually agreeable to both parties, Animal Care shall take an inventory of the regulated animals currently present at Kord McGuire's facility to determine his existing inventory of animals, and Animal Care shall take a second inventory of the regulated animals present at Kord McGuire's facility within twelve (12) weeks from the date Kord McGuire signs this Settlement Agreement to verify compliance with paragraph (a) above. Kord McGuire shall not unreasonably withhold his consent to a time and date for Animal Care to conduct the inventories. Kord McGuire shall document all sales, donations, and transfers of ownership and provide copies of those documents to Animal Care within twelve (12) weeks from the date Kord McGuire signs this Settlement Agreement.



United States Department of Agriculture
Animal and Plant Health Inspection Service
Investigative and Enforcement Services

Reference Number:
OH150007-AC
Issuance Date: May 17, 2016
Version: Final



e. As of the date Kord McGuire signs this Settlement Agreement, AWA license 31-C-0031 is hereby revoked.

f. Kord McGuire and any partnerships, firms, corporations or other legal entities that he controls or in which he has a substantial interest, financial or otherwise, are permanently disqualified from (a) obtaining an Animal Welfare Act license, and (b) engaging in activities governed by the Animal Welfare Act (7 U.S.C. § 2131 *et seq.*) and regulations issued thereunder (9 C.F.R. § 1.1 *et seq.*), either directly or indirectly, on or off 385 Quinn Road, West Alexandria, OH 45381.

7. Kord McGuire consents and agrees that his failure to comply with the terms of this Settlement Agreement shall automatically void paragraph 8 below, and that APHIS shall have the right to immediately institute enforcement proceedings against Kord McGuire based upon the non-compliant items documented at 385 Quinn Road, West Alexandria, OH 45381, in connection with animal welfare investigation OH150007-AC, and any future violations, and to pursue any and all remedies available to APHIS under the AWA.

8. For and in consideration of Kord McGuire's agreements and actions described in paragraph 6 above, and the promises and admissions of Kord McGuire set forth herein, APHIS agrees not to institute an administrative or civil enforcement action against Kord McGuire in connection with the alleged AWA violations documented in animal welfare investigation OH150007-AC.

APHIS and Kord McGuire warrant and represent that their respective representatives, whose signatures appear below, have the authority to execute this Settlement Agreement and to bind each of the parties, respectively, to this Settlement Agreement.



United States Department of Agriculture

Kord McGuire

Signature: _____ Date: _____

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

Signature: _____ Date: _____

Steven M. Bennett
Acting Director
Investigative and Enforcement Services
Animal and Plant Health Inspection Service
United States Department of Agriculture

AGREEMENT

THIS AGREEMENT is entered into between the Animal and Plant Health Inspection Service, United States Department of Agriculture (APHIS), and Spirit of the Hills Wildlife Sanctuary, a South Dakota corporation (Spirit of the Hills), with reference to the following facts:

I. At all times mentioned herein, Spirit of the Hills was an exhibitor, as that term is defined in the Animal Welfare Act (7 U.S.C. 2131 *et seq.*)(AWA or Act) and held AWA license 46-C-0053, and operated a zoo, as that term is defined in the regulations promulgated under the Act (9 C.F.R. Parts 1 and 2) (Regulations), located at 500 Tinton Road, Spearfish, SD 57783.

II. On September 28, 2016, and September 30, 2016, APHIS conducted inspections of the animals, facilities and records at Spirit of the Hills (the September 2016 Inspections), which inspections revealed evidence of non-compliance with the Regulations and failures to meet the minimum standards promulgated under the Act (9 C.F.R. Part 3)(Standards).

III. APHIS and Spirit of the Hills have now determined to compromise and settle the issues between them related to the potential violations of the Act and the Regulations based on the September 2016 Inspections. Pursuant to section 2149 of the Act (7 U.S.C. § 2149), if the Secretary of Agriculture believes that a licensee has violated the Act or the Regulations, the Secretary is authorized to suspend or revoke the licensee's license and to assess civil penalties, after notice and an opportunity for a hearing, if such violations are determined to have occurred. To date, APHIS has not taken any enforcement action against Spirit of the Hills based on the September 2016 Inspections.

NOW, THEREFORE, it is agreed as follows:

A. Spirit of the Hills admits that the Secretary has jurisdiction in this matter, and waives oral hearing and further procedure.

B. For and in consideration of the agreement by APHIS described in paragraph C below, Spirit of the Hills consents and agrees to the following:

1. AWA license 46-C-0053 is hereby revoked effective October 5, 2016.

2. The failure by Spirit of the Hills to comply with any of the terms of this Agreement shall automatically void paragraph C below.

C. For and in consideration of the agreement by Spirit of the Hills described in paragraph B above, APHIS consents and agrees not to institute an enforcement action under the AWA against Spirit of the Hills based on or in connection with the September 2016 Inspections.

The parties agree that this Agreement shall have the same force and effect as an order entered after a full hearing pursuant to the Act and the Rules of Practice Governing Formal Adjudicatory Proceedings Instituted By the Secretary (7 C.F.R. §§ 1.130-1.151; 4.10-4.11).

IN WITNESS WHEREOF, this agreement is executed on October 5, 2016.

U.S. DEPARTMENT OF AGRICULTURE
Animal and Plant Health Inspection Service

By Carlynn J. mckinney, Supervisor, USDA
Its APHIS Animal Care
(b) (6), (b) (7) (C)
[REDACTED]

SPIRIT OF THE HILLS
a South Dakota corporation

(b) (6), (b) (7)(C)

By [REDACTED]

Its President
(b) (6), (b) (7)(C) Secretary Treasurer
[REDACTED]



United States
Department of
Agriculture

Office of
Administrative
Law Judges

Hearing Clerk

Room 1031
South Building

1400 Independence
Avenue SW

Washington, DC
20250-9200

(202) 720-4443
(202) 720-9778 fax

CERTIFIED RECEIPT REQUESTED

September 27, 2016

William J. Cook, Esquire
501 East Kennedy Blvd., Ste. 790
Tampa, FL 33602

Subject: **Douglas Keith Terranova, Respondent**
Docket: **15-0058 & 16-0037**
Authority: Animal Welfare Act

Dear Mr. Cook:

Enclosed is a copy of the Decision and Order issued in this proceeding by Administrative Law Judge Erin Wirth on September 27, 2016. Please note that each party has **thirty (30)** days after being served with a copy of this Decision and Order to file an appeal to the Department's Judicial Officer.

If no appeal is filed, the Decision and Order shall become final and effective as to each party **thirty-five (35) days** after the date of service. However, if an appeal is filed the Decision and Order is not final for purposes of judicial review. Upon completion of judicial review, an Order will be issued by the Secretary of Agriculture or the Judicial Officer.

If you elect to file an appeal, please note that an original and **three (3)** copies of the appeal are required. You may also elect to file by electronic service at OALJHearingClerks@ocio.usda.gov. Please refer to the Uniform Rules of Practice (7 C.F.R. § 1.145) to obtain information regarding the procedure for filing an appeal. Lastly, you received a copy of the Uniform Rules of Practice when you were served with the Complaint.

Sincerely,

Renee Leach-Carlos
Hearing Clerk

cc:
Colleen A. Carroll, OGC
Teresa M. Lorenzano, APHIS

CH: 9/27/16



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

October 13, 2016

University of Texas
Medical Branch at Galveston
301 University Blvd.
Galveston, TX 77550

Toni J. D'Agostino
Office of the Associate Dean for
Research
301 University Blvd.
Galveston, TX 77550

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$33,000, by **November 14, 2016**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **November 14, 2016**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **November 14, 2016**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



What are my other options?

If you do not agree with the terms of this Settlement Agreement, submit a written request for a hearing, with your Reference Number, to our office by **November 14, 2016**, at 4700 River Rd., Unit 85, Riverdale, MD, 20737.

What happens if I fail to respond?

If we do not receive a payment or hearing request from you by **November 14, 2016**, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Robert Randen at (301) 851-2785, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



University of Texas
Medical Branch at Galveston
301 University Blvd.
Galveston, TX 77550

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: March 13, 2013

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as carefully as possible. Five of forty guinea pigs died when staff members stacked several enclosures on top of each other and transferred them from one room to another. The only ventilation for each enclosure was located on its top.

Date of Violation: On or about November 17, 2015 to December 15, 2015

9 C.F.R. § 2.31(c)(7) Institutional Animal Care and Use Committee (IACUC).

(c) IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall:

(7) Review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activities.

You failed to secure IACUC approval prior to making significant changes regarding the care and use of animals used in ongoing activities. Protocols 1507043 and 1507044 indicated that you would increase animal observations at the onset of clinical signs, but forty-nine animal records did not document these increased observations. In addition, the scoring sheet used to record animal observations did not correlate with the project specific scoring sheet approved for use in these protocols.

Date of Violation: On or about November 24, 2015 to December 15, 2015

9 C.F.R. § 2.33(b)(3) Attending veterinarian and adequate veterinary care.

(b) Each research facility shall establish and maintain programs of adequate veterinary care that include:

(3) Daily observation of all animals to assess their health and well-being;



Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian

You failed to provide direct and frequent communications to the attending veterinarian. Staff members did not notify the attending veterinarian when nine of twenty-five guinea pigs used during protocol 1507043 died. The protocol stated death was not the end point of the study.

Date of Violation: On or about December 4-12, 2015

9 C.F.R. § 2.33(b)(3) Attending veterinarian and adequate veterinary care.

(b) Each research facility shall establish and maintain programs of adequate veterinary care that include:

(3) Daily observation of all animals to assess their health and well-being;
Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian

You failed to provide direct and frequent communications to the attending veterinarian. Staff members failed to notify the attending veterinarian when ten of twenty-four guinea pigs used during protocol 1507044 died. The protocol stated death was not the end point of the study.

Date of Violation: January 20, 2016

9 C.F.R. § 2.131(b)(1) Handling of animals.

(b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to handle animals as carefully as possible. When staff members moved a sheep from one enclosure to another enclosure, the sheep jumped over the temporary ramp used to facilitate the movement between the uneven surfaces of the enclosures. The sheep sustained a leg fracture that required euthanasia.

The penalty for the alleged violation(s) described above is \$33,000.



Animal and Plant
Health Inspection
Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail
Shipping Event: 1st

January 18, 2017

Racine Zoological Gardens
2131 N. Main Street
Racine, WI 53402

Registered Agent:
Joanne Dean
Racine Zoological Society, Inc.
200 Goold Street
Racine, WI 53402

SETTLEMENT AGREEMENT

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Settlement Agreement. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Settlement Agreement. We are offering you the opportunity to resolve this matter by paying an amount that is much lower than the maximum civil penalty.

What are the terms of the Settlement Agreement?

You should review the information in this Settlement Agreement, including the citation and notification of penalty.

If you agree to waive your right to a hearing and pay the penalty, then do the following:

- Pay the amount due, \$1,000, by **February 20, 2017**. You can pay by check, money order, or credit card. For your convenience, we have included additional instructions for making payments.
- If you are unable to pay the full amount due by **February 20, 2017**, you may be eligible for a payment plan. To request a payment plan, you must use the enclosed form to submit a written response to this letter by **February 20, 2017**, that indicates you wish to pay the penalty and waive your right to a hearing. You must also submit a copy of your most recent federal tax return. As part of your response, please include a phone number and an email address so that we may contact you.



What are my other options?

If you do not agree with the terms of this Settlement Agreement, submit a written request for a hearing, with your Reference Number, to our office by **February 20, 2017**, at 4700 River Rd., Unit 85, Riverdale, MD, 20737.

What happens if I fail to respond?

If we do not receive a payment or hearing request from you by **February 20, 2017**, we will forward this matter to our Office of the General Counsel for litigation.

What should I do if I need help?

If you have any questions concerning this letter, contact Robert Randen at (301) 851-2785, or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?

APHIS always welcomes comments on how it can better assist small businesses. If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you would prefer to comment to someone outside APHIS, you may contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or toll free at 1-888-REG-FAIR. The Ombudsperson's office receives comments from small businesses and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

A handwritten signature in black ink, appearing to read "S. M. Bennett".

Steven M. Bennett, Director
Investigative and Enforcement Services



Racine Zoological Gardens
2131 N. Main Street
Racine, WI 53402

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: September 21, 2015

9 C.F.R. § 2.131(c)(1) Handling of animals.

(c)(1) During public exhibition, any animal must be handled so there is minimal risk of harm to the animal and to the public, with sufficient distance and/or barriers between the animal and the general viewing public so as to assure the safety of animals and the public.

You failed to handle an animal during public exhibition so there was minimal risk of injury to the public with sufficient distance and/or barriers between the animal and the public to assure the safety of the animal and the public. You allowed members of the public to feed two camels from a platform extending into the camel enclosure, under the supervision of one zookeeper. The platform had a painted line that you instructed the guests to stay behind. A three year old child on the platform was bitten by a camel on the right side of the head, suffering a 2" gash.

The penalty for the alleged violation(s) described above is \$1,000.



How To Pay The Penalty

You may pay your penalty immediately through secure online transactions or phone using American Express, Discover, Diners Club, Master Card, and Visa, or by U.S. Mail using a check, cashier's check, or money order.

Online. Pay.gov allows you to make deferred and recurring credit card payments through secure online payments. To submit your credit card payment online:

1. Log on to <https://www.pay.gov>.
2. Click on Agency List.
3. Click on "A" in Index.
4. Click on Agriculture Department.
5. Click on Department of Agriculture.
6. Click on Animal and Plant Health Inspection Service – APHIS Customers (2nd listing).
7. Complete the required information and submit the form.
8. Enter payment information and submit your payment.
9. Print confirmation screen as your receipt.

Phone. To make a credit card payment by phone:

1. Call (612) 336-3264 and speak to a Debt Management Specialist.
2. State your Reference Number WI160005-AC.
3. Submit your credit card information.

U.S. Mail. To make a payment by check, cashier's check, or money order:

1. Make the check, cashier's check, or money order payable to the Treasurer of the United States.
2. Write the Reference Number WI160005-AC on your check, cashier's check, or money order.
3. Mail your payment to:
USDA – APHIS – GENERAL WI160005-AC
P.O. Box 979043
St. Louis, MO 63197-9000

If You Are Unable To Pay In Full

Complete the attached form and return it to our office to request a payment plan. Please be prepared to provide us with copies of your most recent federal tax return so that we may determine whether you are eligible for a payment plan.



AGREEMENT TO PAY AND REQUEST FOR PAYMENT PLAN

If you agree with the terms in this Settlement Agreement but cannot pay the penalty in full at this time, select one of the options below, sign the form, and return it to the following address prior to the due date of **February 20, 2017**:

USDA, APHIS, IES (General) WI160005-AC
4700 River Road, Unit 85
Riverdale, MD 20737

I understand that by signing this Settlement Agreement, I waive my right to a hearing. I agree to pay the penalty of \$1,000, but I am requesting a payment plan because of financial hardship. I have enclosed a copy of my most recent federal tax return. I have indicated below if I have enclosed other documents to show financial hardship:

Bankruptcy filing

Other (describe): _____

Signature: _____ Date: _____

Street Address: _____

City: _____ State: _____ Zip code: _____



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Larry George
1803 Hwy 64W
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number AL160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000247

Reference Number: AL160021-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160021-ACVIOLATOR:
Larry GeorgeADDRESS (Street, City, State, ZIP
Code):
Shelbyville, TN 37160

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named "All American Silver", (entry number 257, class number 36) for the purpose of showing in the 48th Annual Alabama Jubilee Charity Horse Show, in Priceville, AL where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Beverly Sherman
6924 Oak Manor Drive
Dallas, TX 75230

OFFICIAL WARNING

Re: Reference number AL160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000251

Reference Number: AL160021-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160021-AC

VIOLATOR:
Beverly Sherman

ADDRESS (Street, City, State, ZIP
Code):
Dallas, TX 75230

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You allowed your horse "All American Silver", (entry number 257, class number 36) to be entered for the purpose of showing in the 48th Annual Alabama Jubilee Charity Horse Show, in Priceville, AL where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Voice 301.851.2948
Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Tommy Grider
P.O. Box 430
Columbia, KY 42728

OFFICIAL WARNING

Re: Reference number AL160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160022-ACVIOLATOR:
Tommy GriderADDRESS (Street, City, State, ZIP
Code):
Columbia, KY 42728

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "Cadillac's Bum", (entry number 608, class number 37) to be entered for the purpose of showing in the 48th Annual Alabama Jubilee Charity Horse Show, in Priceville, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Charles Tooley
P.O. Box 514
Columbia, KY 42728

OFFICIAL WARNING

Re: Reference number AL160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160022-ACVIOLATOR:
Charles TooleyADDRESS (Street, City, State, ZIP
Code):
Columbia, KY 42728

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "Cadillac's Bum", (entry number 608, class number 37) for the purpose of showing in the 48th Annual Alabama Jubilee Charity Horse Show, in Priceville, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Sharon Peebles and Dick Peebles
115 Holt Avenue
Shelbyville, TN 37162

OFFICIAL WARNING

Re: Reference number AL160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000261

Reference Number: AL160023-
AC
Issuance Date: October 20, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: AL160023-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Sharon Peebles and Dick Peebles
	ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37162

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named "On Probation" in the 48th Annual Alabama Jubilee Charity Horse Show for the purpose of showing or exhibiting the horse, which was sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Investigative and
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Riverdale, MD 20737
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Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Buddy Wilhelm
1512 Pickle Road
Petersburg, TN 37144

OFFICIAL WARNING

Re: Reference number AL160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000265

Reference Number: AL160023-
AC
Issuance Date: October 20, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160023-ACVIOLATOR:
Buddy WilhelmADDRESS (Street, City, State, ZIP
Code):
Petersburg, TN 37144

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You allowed your horse "On Probation", (entry number 644, class number 37) to be entered for the purpose of showing in the 48th Annual Alabama Jubilee Charity Horse Show, in Priceville, AL where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Voice 301.851.2948
Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

August 30,
2016

Ryne Parker
14351 County Road 47
Florence, AL 35634

OFFICIAL WARNING

Re: Reference number AL160031-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160031-AC

VIOULATOR:
Ryne Parker

ADDRESS (Street, City, State, ZIP Code):
Florence, AL 35634

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Ryne Parker was the scheduled rider who entered for the purpose of showing, "Ritz's Special Temptation" (class number 26, entry number 972) while sore and in violation of the scar rule at the 44th Annual Racking Horse World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/30/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

August 31,
2016

Samantha Presgraves
9009 South Highway 123
Newton, AL 36352

OFFICIAL WARNING

Re: Reference number AL160031-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160031-AC

VIOULATOR:
Samantha Presgraves

ADDRESS (Street, City, State, ZIP Code):
Newton, AL 36352

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Samantha Presgraves was the owner who entered for the purpose of showing, "Ritz's Special Temptation" (class number 26, entry number 972) while sore and in violation of the scar rule at the 44th Annual Racking Horse World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/31/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Jim Cole
3801 Holly Springs Road
Rockmart, GA 30153

OFFICIAL WARNING

Re: Reference number AL160034-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000273

Reference Number: AL160034-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160034-ACVIOLATOR:
Jim ColeADDRESS (Street, City, State, ZIP
Code):
Rockmart, GA 30153

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "The Divine Miss M" (Entry #926, Class #28) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

James Dean
4986 Old Scott Road
Blountsville, AL 35031

OFFICIAL WARNING

Re: Reference number AL160034-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000277

Reference Number: AL160034-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160034-ACVIOLATOR:
James DeanADDRESS (Street, City, State, ZIP
Code):
Blountsville, AL 35031

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "The Divine Miss M" (Entry #926, Class #28) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Lamar Denny
75510 HWY 231
Blountsville, AL 35031

OFFICIAL WARNING

Re: Reference number AL160034-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000281

Reference Number: AL160034-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160034-ACVIOLATOR:
Lamar DennyADDRESS (Street, City, State, ZIP
Code):
Blountsville, AL 35031

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "The Divine Miss M" (Entry #926, Class #28) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Business Services

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Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Gerald Ford
124 Thorp Street
Bennettsville, SC 29512

OFFICIAL WARNING

Re: Reference number AL160034-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000285

Reference Number: AL160034-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160034-ACVIOLATOR:
Gerald FordADDRESS (Street, City, State, ZIP
Code):
Bennettsville, SC 29512

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "The Divine Miss M" (Entry #926, Class #28) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Mark Smith
137 Thorps Chapel Road
Rogersville, TN 37857

OFFICIAL WARNING

Re: Reference number AL160035-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000289

Reference Number: AL160035-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160035-ACVIOLATOR:
Mark SmithADDRESS (Street, City, State, ZIP
Code):
Rogersville, TN 37857

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "Carolina Cash" (Entry #942, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.

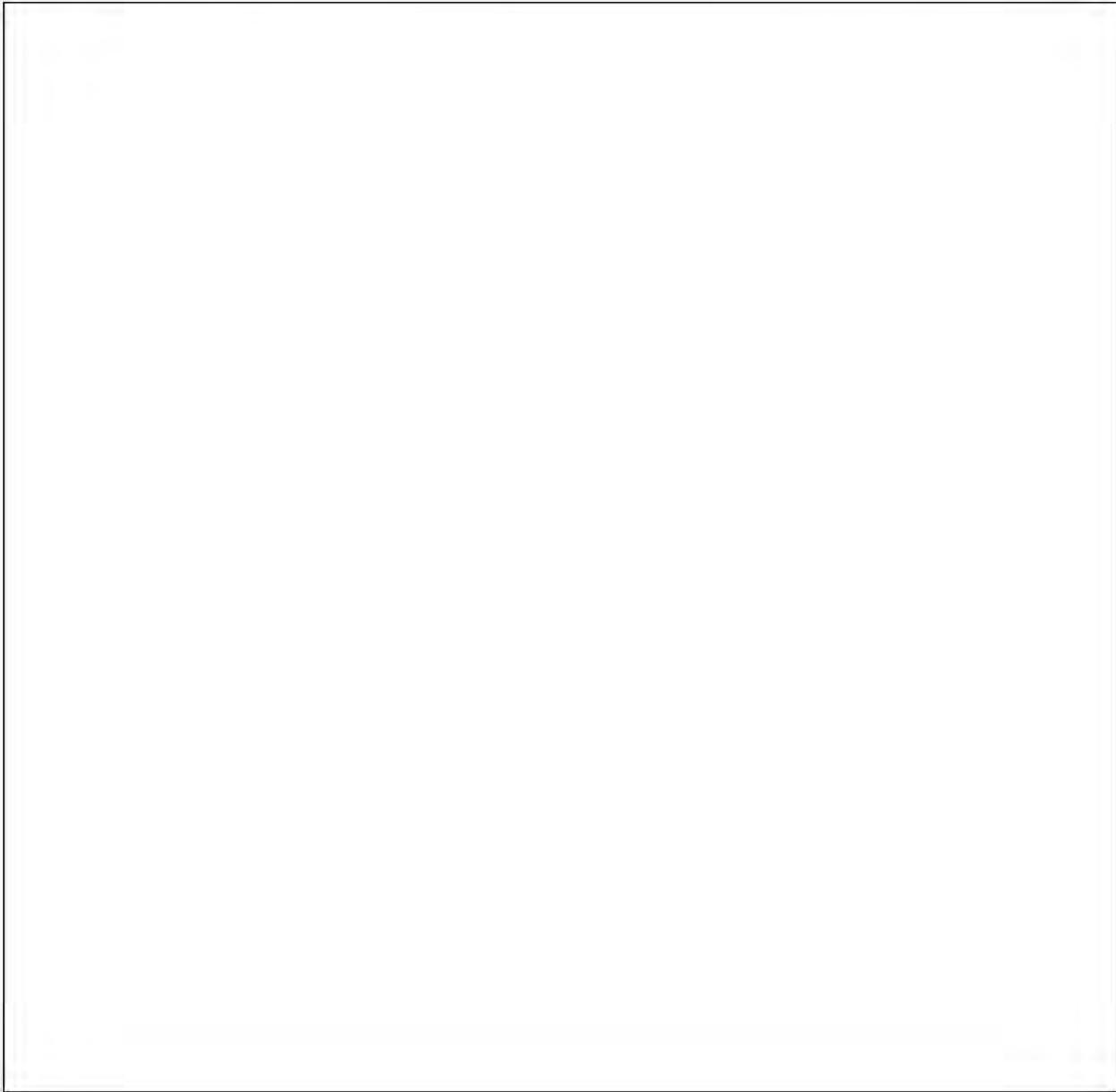
Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You entered a horse named "Carolina Cash" (Entry 942, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL where the horse was wearing a black foreign substance.



United States Department of Agriculture





The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Ann Southerland
128 Southerland Lane
White, TN 37890

OFFICIAL WARNING

Re: Reference number AL160035-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000294

Reference Number: AL160035-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160035-AC

VIOULATOR:
Ann Southerland

ADDRESS (Street, City, State, ZIP
Code):
White, TN 37890

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "Carolina Cash" to be entered (Entry #942, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.

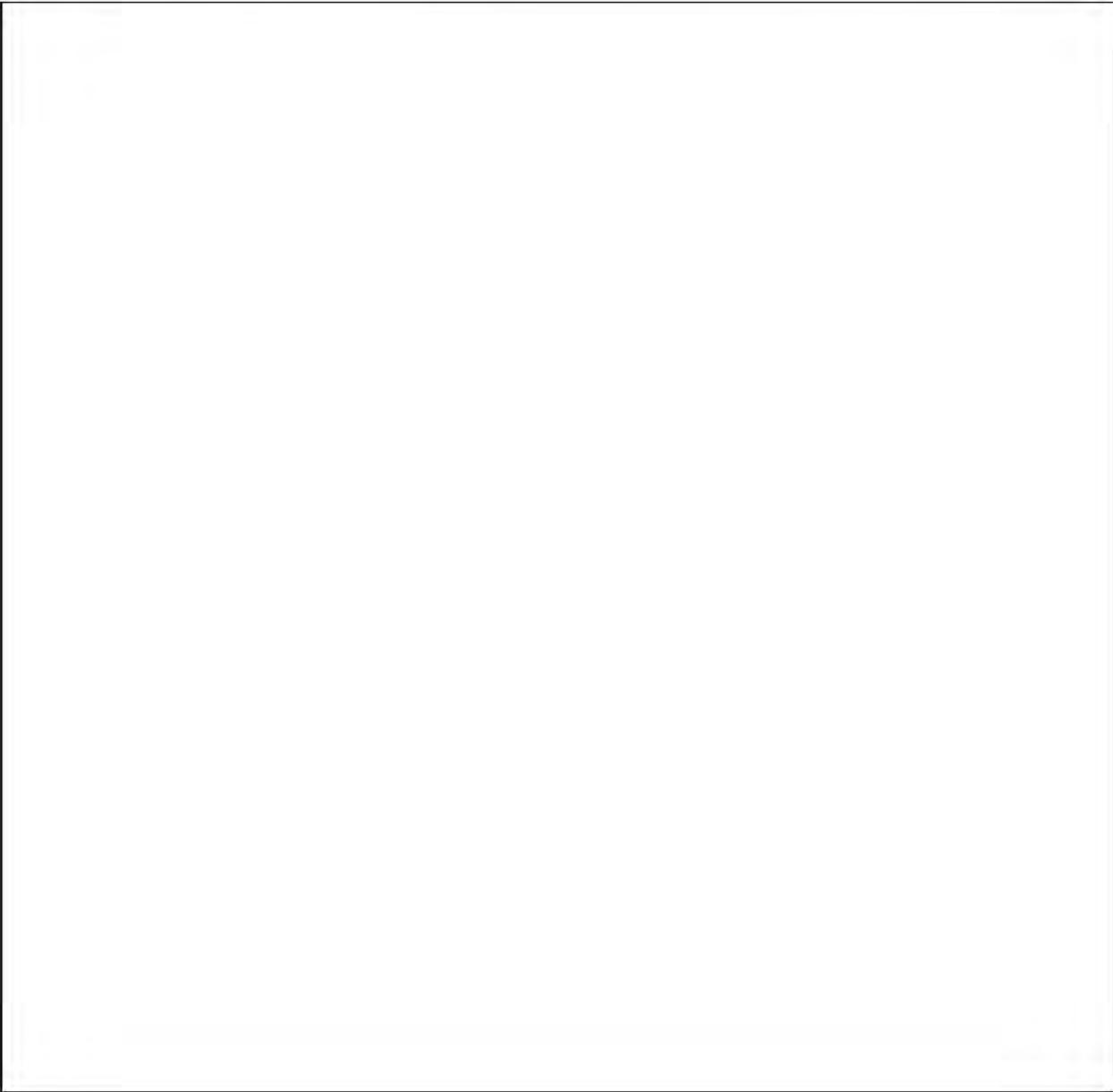
Date of Violation: September 19, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You allowed your horse "Carolina Cash" to be entered (Entry 942, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL where the horse was wearing a black foreign substance.



United States Department of Agriculture





The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Charity H. Latham
1658 Co. Rd. 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160036-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000299

Reference Number: AL160036-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160036-ACVIOLATOR:
Charity H. LathamADDRESS (Street, City, State, ZIP
Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You allowed your horse "Jose With An Attitude" to be entered (Entry #827, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

David Latham
1658 Co. Road 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160036-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000303

Reference Number: AL160036-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160036-AC

VIOLATOR:
David Latham

ADDRESS (Street, City, State, ZIP
Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named "Jose With An Attitude" (Entry #827, Class #37) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Lucky Easterling
519 S CR 100
Mize, MS 39116

OFFICIAL WARNING

Re: Reference number AL160037-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000307

Reference Number: AL160037-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160037-ACVIOLATOR:
Lucky EasterlingADDRESS (Street, City, State, ZIP
Code):
Mize, MS 39116

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "The Thug" to be entered (Entry #75, Class #40) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Animal and Plant Health
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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Mike Etheredge
1276 Pearson Road
Louisville, MS 39339

OFFICIAL WARNING

Re: Reference number AL160037-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000311

Reference Number: AL160037-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160037-ACVIOLATOR:
Mike EtheredgeADDRESS (Street, City, State, ZIP
Code):
Louisville, MS 39339

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "The Thug" (Entry #75, Class #40) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Bryan Thomas Barnhill
4340 Hal Allen Road
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160038-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000315

Reference Number: AL160038-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160038-ACVIOLATOR:
Bryan Thomas BarnhillADDRESS (Street, City, State, ZIP
Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered a horse named "Jazz in Command" (Entry #949, Class #136) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Donald Ray McDowell
427 Berley Mc Road
Conway, SC 29526

OFFICIAL WARNING

Re: Reference number AL160038-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000319

Reference Number: AL160038-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160038-ACVIOLATOR:
Donald Ray McDowellADDRESS (Street, City, State, ZIP
Code):
Conway, SC 29526

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Rev. 8-8-16



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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Richard Williams
2204 Methodist Church Road
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160038-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000323

Reference Number: AL160038-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160038-ACVIOLATOR:
Richard WilliamsADDRESS (Street, City, State, ZIP
Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Michael Wisecup
167 Pinehurst Drive
Cranberry Township, PA 16066-2839

OFFICIAL WARNING

Re: Reference number AL160038-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000327

Reference Number: AL160038-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160038-ACVIOLATOR:
Michael WisecupADDRESS (Street, City, State, ZIP Code):
Cranberry Township, PA
16066-2839

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Mickey Groom
1302 Northgate Drive
Opelika, AL 36801

OFFICIAL WARNING

Re: Reference number AL160039-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000331

Reference Number: AL160039-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160039-ACVIOLATOR:
Mickey GroomADDRESS (Street, City, State, ZIP
Code):
Opelika, AL 36801

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "It's All About Ted" to be entered (Entry #477, Class #138) for the purpose of showing at the 44th Annual RHBA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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Fax 919.855.7090

Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

David Latham
1658 Co. Rd. 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160039-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000335

Reference Number: AL160039- AC Issuance Date: October 19, 2016 Version: Final
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160039-ACVIOLATOR:
David LathamADDRESS (Street, City, State, ZIP
Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Kelly Peevy
610 Ferry Street NE
Decatur, AL 35601

OFFICIAL WARNING

Re: Reference number AL160041-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000339

Reference Number: AL160041-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160041-ACVIOLATOR:
Kelly PeevyADDRESS (Street, City, State, ZIP
Code):
Decatur, AL 35601

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "I'm Major Pain" to be entered (Entry #319, Class #143) for the purpose of showing at the 44th Annual RHBA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Roger Smith
12924 AL Hwy 69 N.
Baileyton, AL 35019

OFFICIAL WARNING

Re: Reference number AL160041-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000343

Reference Number: AL160041-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160041-ACVIOLATOR:
Roger SmithADDRESS (Street, City, State, ZIP
Code):
Baileyton, AL 35019

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "I'm Major Pain" (Entry #319, Class #143) for the purpose of showing at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Kevin Scott Collins
5732 Forsythe Lane
Carterville, IL 62918

OFFICIAL WARNING

Re: Reference number AL160042-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000347

Reference Number: AL160042-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160042-ACVIOLATOR:
Kevin Scott CollinsADDRESS (Street, City, State, ZIP
Code):
Carterville, IL 62918

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "Jose's 38 Special (Entry #775, Class #145) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Tyler Parish
P.O. Box 323
Mt. Pleasant, TN 38474

OFFICIAL WARNING

Re: Reference number AL160042-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000351

Reference Number: AL160042-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160042-ACVIOLATOR:
Tyler ParishADDRESS (Street, City, State, ZIP
Code):
Mt. Pleasant, TN 38474

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "Jose's 38 Special (Entry #775, Class #145) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
27, 2016

Danny Mead
P.O. Box 564
McDowell, KY 41647

OFFICIAL WARNING

Re: Reference number AL160044-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000355

Reference Number: AL160044-
AC
Issuance Date: September 27,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160044-ACVIOLATOR:
Danny MeadADDRESS (Street, City, State, ZIP
Code):
McDowell, KY 41647

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(b) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

Danny Mead allowed his horse, “Sy Robertson” (entry number 720, class number 152) to be entered for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America 2015 World Celebration in Decatur, AL where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/27/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
27, 2016

Richard Williams
2204 Methodist Church Road
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160044-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000360

Reference Number: AL160044-
AC
Issuance Date: September 27,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160044-ACVIOLATOR:
Richard WilliamsADDRESS (Street, City, State, ZIP
Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a horse named, “Sy Robertson” (entry number 720, class number 152) for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America 2015 World Celebration in Decatur, AL where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/27/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Rev. 8-8-16



Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
27, 2016

Mike Wisecup
167 Pinehurst Drive
Cranberry Township, PA 16066

OFFICIAL WARNING

Re: Reference number AL160044-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000365

Reference Number: AL160044-
AC
Issuance Date: September 27,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160044-ACVIOLATOR:
Mike WisecupADDRESS (Street, City, State, ZIP
Code):
Cranberry Township, PA
16066

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(C) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”



9 C.F.R. § 11(3)(B) Scar rule. The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.

You entered a horse named, “Sy Robertson” (entry number 720, class number 152) for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America 2015 World Celebration in Decatur, AL where the horse was found sore, in violation of the scar rule, and bearing a prohibited substance on the pasterns during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/27/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Diana Bowers
770 Hwy 91
Elizabethton, TN 37643

OFFICIAL WARNING

Re: Reference number AL160045-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160045-AC

VIOULATOR:
Diana Bowers

ADDRESS (Street, City, State, ZIP
Code):
Elizabethhton, TN 37643

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "Santana's Bama Boy", (entry number 467, class number 152) to be entered for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America in Decatur, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Buffy Ailshie
4146 Old Baileyton Road
Greeneville, TN 37745

OFFICIAL WARNING

Re: Reference number AL160045-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160045-ACVIOLATOR:
Buffy AilshieADDRESS (Street, City, State, ZIP
Code):
Greeneville, TN 37745

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 18.24(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "Santana's Bama Boy", (entry number 467, class number 152) for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America in Decatur, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Keith Ailshire
1124 Gap Mountain Road
Greeneville, TN 37745

OFFICIAL WARNING

Re: Reference number AL160045-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160045-ACVIOLATOR:
Keith AilshieADDRESS (Street, City, State, ZIP
Code):
Greeneville, TN 37745

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(B) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "Santana's Bama Boy", (entry number 467, class number 152) for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America in Decatur, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Ann Southerland
128 Southerland Lane
White Pine, TN 37890

OFFICIAL WARNING

Re: Reference number AL160047-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160047-ACVIOLATOR:
Ann SoutherlandADDRESS (Street, City, State, ZIP
Code):
White Pine, TN 37890

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "E Trade", (entry number 360, class number 152) to be entered for the purpose of showing in the 44th Annual Racking Horse Breeders Association of America, 2015 World Celebration in Decatur, AL where the horse was found sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Bryan T.Barnhill
4340 Hal Allen Road
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160049-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160049-AC

VIOULATOR:
Bryan T. Barnhill

ADDRESS (Street, City, State, ZIP Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Bryan Barnhill was the scheduled rider who entered for the purpose of showing, "A Strong Dollar Bill" (class # 155, entry # 329) while sore and in violation of the scar rule at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
Inspection Service

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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Richard Williams
C/O 2240 Methodist Church Road
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160049-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160049-AC

VIOULATOR:
Richard Williams

ADDRESS (Street, City, State, ZIP Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Richard Williams was the trainer who entered for the purpose of showing, "A Strong Dollar Bill" (class # 155, entry # 329) while sore and in violation of the scar rule at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Virginia L. Mead
P O Box 285
Hi Hat, KY 41636

OFFICIAL WARNING

Re: Reference number AL160049-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160049-AC

VIOULATOR:
Virginia L. Mead

ADDRESS (Street, City, State, ZIP Code):
Hi Hat, KY 41636

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Virginia Mead was the owner who allowed "A Strong Dollar Bill" (class # 155, entry # 329) to be entered for the purpose of showing, while sore and in violation of the scar rule at the 44th RHBEAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Investigative and
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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Mike Wisecup
167 Pinehurst Drive
Cranberry, PA 16066

OFFICIAL WARNING

Re: Reference number AL150056-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL150056-AC

VIOLEATOR:
Mike Wisecup

ADDRESS (Street, City, State, ZIP Code):
Cranberry, PA 16066

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Mike Wisecup was the owner who allowed "Enchanter" (class #161, entry # 865) to be entered for the purpose of showing, while sore and in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Headquarters:
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Riverdale, MD 20737
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Raleigh, NC 27606
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Richard Williams
c/o 2204 Methodist Church Rd
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160056-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160056-AC

VIOULATOR:
Richard Williams

ADDRESS (Street, City, State, ZIP Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Richard Williams was the trainer who entered for the purpose of showing, "Enchanter" (class #161, entry # 865) while sore and in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Greg Bryant
1039 Greenwood Ave
Devore Heights CA, 92407

OFFICIAL WARNING

Re: Reference number CA160193-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA160193-AC

VIOLATOR:
Greg Bryant

ADDRESS (Street, City, State, ZIP Code):
Devore Heights CA, 92407

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Greg Bryant entered, for the purpose of showing or exhibiting, the horse, “Tipsy By Noon,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13



Animal and Plant Health
Inspection Service

Marketing and
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Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Marilee Moran
35976 Oak Glen Road
Yucaipa, CA 92399

OFFICIAL WARNING

Re: Reference number CA160193-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
CA160193-ACVIOLATOR:
Marilee MoranADDRESS (Street, City, State, ZIP
Code):
Yucaipa, CA 92399

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Marilee Moran allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, “Tipsy By Noon,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
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Business Services

Investigative and
Enforcement Services

Headquarters:
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Adrienne Benham
9780 Kehl Canyon Drive
Cherry Valley, CA 92223

OFFICIAL WARNING

Re: Reference number CA160198-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
CA160198-ACVIOLATOR:
Adrienne BenhamADDRESS (Street, City, State, ZIP Code):
Cherry Valley, CA 92223

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

"The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

"All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

Adrienne Benham allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, "Colloredo," at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Rev. 7-26-13



Animal and Plant Health
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Marketing and
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Investigative and
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Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Manuel Rodriguez
13213 Ontario Ave
Ontario, CA 91761

OFFICIAL WARNING

Re: Reference number CA160198-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
CA160198-AC

VIOULATOR:
Manuel Rodriguez

ADDRESS (Street, City, State, ZIP Code):
Ontario, CA 91761

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Manuel Rodriguez entered, for the purpose of showing or exhibiting, the horse, “Colloredo,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Shari Carriero
5845 Crown Drive
Mira Loma, CA 91752

OFFICIAL WARNING

Re: Reference number CA160199-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
CA160199-ACVIOLATOR:
Shari CarrieroADDRESS (Street, City, State, ZIP Code):
Mira Loma, CA 91752

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Shari Carriero entered, for the purpose of showing or exhibiting, the horse, “Quarterback Whirlwind,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016 TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Bobbie Parady
7155 Via Mariposa Norte
Bonsall, CA 92003

OFFICIAL WARNING

Re: Reference number CA160200-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA160200-AC

VIOLATOR:
Bobbie Parady

ADDRESS (Street, City, State, ZIP Code):
Bonsall, CA 92003

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Bobbie Parady allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, “A Sky Captain,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016 TELEPHONE NUMBER: (301) 851-2948

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Case Number: CA160200-AC

Sending Option: USPS Certified Mail.

Version: Version

Document Create Date: 8/1/2016

Document Modify Date: 9/15/2016

Issuance Date: 9/15/2016

Author: Edmund Grant at (301) 851-2752

Approver: Steve Bennett

CC to IES Regional Directors
and Area Managers:

- Eastern Regional Director, IES
- Eastern Deputy Regional Director, IES
- Western Regional Director, IES
- Western Deputy Regional Director, IES
- Northeast Area Director, IES, ER
- Caribbean Area Director, IES, ER
- Southeast Area Director, IES, ER
- North Central Area Director, IES, ER
- Mid-Atlantic Area Director, IES, ER
- South Central Area Director, IES, WR
- Pacific Area Director, IES, WR
- Northwest Area Director, IES, WR
- Mountain Area Director, IES, WR
- Great Plains Area Director, IES, WR

CC to Others: C. Jones, AC

Final Processing: Reggie Dobbins

Production Notes: [Production Notes]

T02A Warning Letter (7-26-13)

Bobbie Parady
7155 Via Mariposa Norte
Bonsall, CA 92003



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
15, 2016

Manuel Rodriguez
13213 Ontario Ave
Ontario, CA 91761

OFFICIAL WARNING

Re: Reference number CA160200-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
CA160200-ACVIOLATOR:
Manuel RodriguezADDRESS (Street, City, State, ZIP
Code):
Ontario, CA 91761

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 19, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 19, 2013**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Manuel Rodriguez entered, for the purpose of showing or exhibiting, the horse, “A Sky Captain,” at the California Celebration in Pomona, CA, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 9/15/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

November
14, 2016

SPOT Travel, LLC
C/O SPOT Group Holdings, LLC
145 West 20th Street
New York, NY 10011

CT Corporation System
208 S. La Salle Street, Suite 814
Chicago, IL 60604

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number IL150028-AC

Dear Sir or Madam:

We believe that you failed to comply with the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$10,000, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the AWA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Roxanne Folk at (301) 851-2736 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
IL150028-AC

ALLEGED VIOLATOR:
SPOT Travel, LLC
C/O SPOT Group
Holdings, LLC

ADDRESS (City, State, ZIP Code):
New York, NY 10011

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: June 18-19, 2015

9 C.F.R. § 2.131(b)(1) Handling of Animals.

You failed to handle animals as carefully as possible in a manner that did not cause trauma, overheating, behavioral stress, physical harm, or unnecessary discomfort. A shipment of four adult sugar gliders were scheduled for transport by a carrier, in commerce, from Charlotte-Douglas International Airport (CLT), routed through Chicago, Illinois (ORD), with a final destination of Charleston, West Virginia (CRW). The carrier did not load the animals onto their originally-scheduled flight from CLT to ORD, and subsequently transported them on a later flight to ORD. Due to the missed flight and weather-related delays, the sugar gliders did not make their connection to CRW, and the carrier delivered them to you for holding overnight at ORD. You did not visually observe the sugar gliders or provide them with food or water. The sugar gliders resumed transit on a flight from ORD to CRW the following day, and the consignee discovered that they were dead when she picked them up at CRW. The necropsy performed on the sugar gliders indicated that they died from probable heat stroke.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 11/14/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 6,
2016

Carroll Counts
Virginia Counts
7251 West Old Sanders Lane
Republic, MO 65738

OFFICIAL WARNING

Re: Reference number MS160020-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Lorna Harris at (301) 851-2748 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**



CASE NUMBER:
MS160020-AC

VIOULATOR:
Carroll Counts
Virginia Counts

ADDRESS (Street, City, State, ZIP Code):
Republic, MO 65738

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: March 26, 2015

15 U.S.C. § 1824(2) Unlawful Acts. (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed the entry for the purpose of showing, of a horse you own named "Cash's Bold and Fresh" in the 2015 Mississippi Charity Horse Show in Jackson, MS where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 10/6/2016	TELEPHONE NUMBER: (301) 851-2948



Animal and Plant Health
Inspection Service

Marketing and
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Jackie Barron
730 Robinwood Road
Kingsport, TN 37663

OFFICIAL WARNING

Re: Reference number NC160019-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160019-AC

VIOULATOR:
Jackie Barron

ADDRESS (Street, City, State, ZIP Code):
Kingsport, TN 37663

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jackie Barron was the trainer who entered for the purpose of showing, "Marie Laveau" (class # 33, entry # 227) while sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948
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Fax 919.855.7090

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

K. C. Griffin
1397 Barkley Road
Telford, TN 37690

OFFICIAL WARNING

Re: Reference number NC160019-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160019-AC

VIOULATOR:
K. C. Griffin

ADDRESS (Street, City, State, ZIP Code):
Telford, TN 37690

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

K. C. Griffin was the owner who allowed "Marie Laveau" (class # 33, entry # 227) to be entered for the purpose of showing, while sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948
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Fax 919.855.7090

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Kerry DeArmond
160 Apalachie Duncan Road
Greer, SC 29651

OFFICIAL WARNING

Re: Reference number NC160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160021-AC

VIOULATOR:
Kerry DeArmond

ADDRESS (Street, City, State, ZIP Code):
Greer, SC 29651

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Kerry DeArmond was the owner who allowed "Powerful Performance" (class # 37, entry # 190) to be entered for the purpose of showing, while sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Tommy Blackwell
103 High Street
Greer, SC 29651

OFFICIAL WARNING

Re: Reference number NC160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160021-AC

VIOULATOR:
Tommy Blackwell

ADDRESS (Street, City, State, ZIP Code):
Greer, SC 29651

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Tommy Blackwell was the trainer who entered for the purpose of showing, "Powerful Performance" (class # 37, entry # 190) while sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

James Brewer
984 Old Lewisburg Hwy
Columbia, TN 38401

OFFICIAL WARNING

Re: Reference number NC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC160024-ACVIOLATOR:
James BrewerADDRESS (Street, City, State, ZIP
Code):
Columbia, TN 38401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Charles Stivers was the rider who entered for the purpose of showing "Win Baby Win" (class # 44, entry # 255) while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

Date of Violation: October 9, 2015**15 U.S.C. § 1824(7) Prohibited substances**

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

Charles Stivers entered for the purpose of showing "Win Baby Win" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
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Business Services

Investigative and
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Fax 919.855.7090

Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Danny Hughes
1184 Arlis Hale Road
Russell Springs, KY 42642

OFFICIAL WARNING

Re: Reference number NC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC160024-ACVIOLATOR:
Danny HughesADDRESS (Street, City, State, ZIP Code):
Russell Springs, KY 42642

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Danny Hughes was the custodian who entered for the purpose of showing "Win Baby Win" (class # 44, entry # 255) while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

Date of Violation: October 9, 2015**15 U.S.C. § 1824(7) Prohibited substances**

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

Danny Hughes entered for the purpose of showing "Win Baby Win" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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920 Main Campus Drive
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Glendon Stivers
118 Richmond Road
Manchester, KY 40962

OFFICIAL WARNING

Re: Reference number NC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160024-AC

VIOULATOR:
Glendon Stivers

ADDRESS (Street, City, State, ZIP Code):
Manchester, KY 40962

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Clendon Stivers was the owner who allowed "Win Baby Win" (class # 44, entry # 255) to be entered for the purpose of showing, while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

James Brewer
984 Old Lewisburg Hwy
Columbia, TN 38401

OFFICIAL WARNING

Re: Reference number NC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

<small>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</small>  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: NC160024-AC VIOLATOR: James Brewer ADDRESS (Street, City, State, ZIP Code): Columbia, TN 38401
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 9, 2015</p> <p>15 U.S.C. § 1824(2)(B) Unlawful acts - Entering</p> <p>The following conduct is prohibited:</p> <p>(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.</p> <p>James Brewer was the trainer who entered for the purpose of showing "Win Baby Win" (class # 44, entry # 255) while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.</p> <p>Date of Violation: October 9, 2015</p> <p>15 U.S.C. § 1824(7) Prohibited substances</p> <p>The following conduct is prohibited:</p> <p>(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.</p> <p>James Brewer entered for the purpose of showing "Win Baby Win" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 25,
2016

Chris Simpson
P. O. Box 601
Gretna, VA 24557

OFFICIAL WARNING

Re: Reference number NC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: NC160025-AC VIOLATOR: Chris Simpson ADDRESS (Street, City, State, ZIP Code): Gretna, VA 24557
--	--

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Chris Simpson was the custodian who entered for the purpose of showing "Denied Parole" (class # 46, entry # 241) while sore and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

Date of Violation: October 9, 2015

15 U.S.C. § 1824(7) Prohibited substances

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

Chris Simpson entered for the purpose of showing "Denied Parole" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/25/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 25,
2016

Deborah Simpson
P. O. Box 601
Gretna, VA 24557

OFFICIAL WARNING

Re: Reference number NC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC160025-ACVIOLATOR:
Deborah SimpsonADDRESS (Street, City, State, ZIP
Code):
Gretna, VA 24557

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Deborah Simpson was the trainer who entered for the purpose of showing "Denied Parole" (class # 46, entry # 241) while sore and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

Date of Violation: October 9, 2015**15 U.S.C. § 1824(7) Prohibited substances**

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

Deborah Simpson entered for the purpose of showing "Denied Parole" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/25/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 25,
2016

Harold Keatts
P. O. Box 53
Gretna, VA 24557

OFFICIAL WARNING

Re: Reference number NC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160025-AC

VIOULATOR:
Harold Keatts

ADDRESS (Street, City, State, ZIP Code):
Gretna, VA 24557

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Harold Keatts was the owner who allowed "Denied Parole" (class # 46, entry # 241) to be entered for the purpose of showing, while sore and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/25/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 25,
2016

Thomas Collins
5625 Oxford Circle
Russellville, TN 37860

OFFICIAL WARNING

Re: Reference number NC160026-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC160026-ACVIOLATOR:
Thomas CollinsADDRESS (Street, City, State, ZIP
Code):
Russellville, TN 37860

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Thomas Collins was the trainer who entered for the purpose of showing "Just Call Me Jose" (class # 46, entry # 149) while sore and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

Date of Violation: October 9, 2015**15 U.S.C. § 1824(7) Prohibited substances**

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

Thomas Collins entered for the purpose of showing "Just Call Me Jose" while bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/25/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 25,
2016

Tip King
3281 Springvale Road
Morristown, TN 37813

OFFICIAL WARNING

Re: Reference number NC160026-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160026-AC

VIOULATOR:
Tip King

ADDRESS (Street, City, State, ZIP Code):
Morristown, TN 37813

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Tip King was the owner who allowed "Just Call Me Jose" (class # 46, entry # 149) to be entered for the purpose of showing, while sore and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/25/2016	TELEPHONE NUMBER: (301) 851-2948
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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 26,
2016

Sammy Messick
128 Southerland Lane
White Pine, TN 37890

OFFICIAL WARNING

Re: Reference number NC170006-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Tyrese Coleman at (301) 851-2758 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC170006-ACVIOLATOR:
Sammy MessickADDRESS (Street, City, State, ZIP
Code):
White Pine, TN 37890

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered the horse "E-Trade" for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to be sore and in violation of the scar rule.

Date of Violation: October 17, 2015

9 C.F.R. § 11.2(c) Prohibitions concerning exhibitors. (c) Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof

You entered the horse "E-Trade" for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to have a black foreign substance covering its pasterns.

Date of Violation: October 17, 2015

9 C.F.R. § 11.3 Scar rule. (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas, other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair.

You entered the horse "E-Trade" for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to be in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/26/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 26,
2016

Ann Southerland
128 Southerland Lane
White Pine, TN 37890

OFFICIAL WARNING

Re: Reference number NC170006-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC170006-ACVIOLATOR:
Ann SoutherlandADDRESS (Street, City, State, ZIP
Code):
White Pine, TN 37890

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 17, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You allowed a horse you own named "E-Trade" to be entered for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to be sore and in violation of the scar rule.

Date of Violation: October 17, 2015

9 C.F.R. § 11.2(c) Prohibitions concerning exhibitors. (c) Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof

You allowed a horse you own named "E-Trade" to be entered for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to have a black foreign substance covering its pasterns.

Date of Violation: October 17, 2015

9 C.F.R. § 11.3 Scar rule. (a) The anterior and anterior-lateral surfaces of the fore pasterns (extensor surface) must be free of bilateral granulomas, other bilateral pathological evidence of inflammation, and, other bilateral evidence of abuse indicative of soring including, but not limited to, excessive loss of hair.



You allowed a horse you owned named "E-Trade" to be entered for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN which was found to be in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/26/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Ronny Fulton
1711 Mt. Solon Rd.
Mt. Solon, VA 22843

OFFICIAL WARNING

Re: Reference number NC170007-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Tyrese Coleman at (301) 851-2758 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC170007-ACVIOLATOR:
Ronny FultonADDRESS (Street, City, State, ZIP
Code):
Mt. Solon, VA 22843

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

9 C.F.R. § 2(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

You entered for the purpose of showing or exhibiting a horse named "Barbados" in the ETWHA Fall Classic Horse Show in White Pine, TN where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Kay Swisher
67 Folly Mills Rd.
Staunton, VA 24401

OFFICIAL WARNING

Re: Reference number NC170007-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
NC170007-ACVIOLATOR:
Kay SwisherADDRESS (Street, City, State, ZIP
Code):
Staunton, VA 24401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

9 C.F.R. § 2(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

You entered a horse you owned named "Barbados" for the purpose of showing or exhibiting in the ETWHA Fall Classic Horse Show in White Pine, TN where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

Eastern Region:
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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

David Brown
2771 Creek Side Drive
Sumter, SC 29150

OFFICIAL WARNING

Re: Reference number SC160005-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS****CASE NUMBER:**
SC160005-AC**VIOLATOR:**
David Brown**ADDRESS (Street, City, State, ZIP Code):**
Sumter, SC 29150

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

David Brown allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Jose’s Corner Market,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016 TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160005-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
SC160005-ACVIOLATOR:
Sam MartinADDRESS (Street, City, State, ZIP Code):
Leesville, SC 29070

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Sam Martin entered, for the purpose of showing or exhibiting, the horse, “Jose’s Corner Market,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



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APHIS OFFICIAL (<i>Name</i>): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016 TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Beverly McLeod
5184 Bush River Road
Newberry, SC 29108

OFFICIAL WARNING

Re: Reference number SC160006-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160006-ACVIOLATOR:
Beverly McLeodADDRESS (Street, City, State, ZIP
Code):
Newberry, SC 29108

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Beverly McLeod entered, for the purpose of showing or exhibiting, the horse, “Prides Lady Di,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Ed McLeod
5184 Bush River Road
Newberry, SC 29108

OFFICIAL WARNING

Re: Reference number SC160006-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: SC160006-AC</p> <p>VIOLATOR: Ed McLeod</p> <p>ADDRESS (Street, City, State, ZIP Code): Newberry, SC 29108</p>
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Ed McLeod allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Prides Lady Di,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Jordan Hall
133 High Street
Greer, SC 29651

OFFICIAL WARNING

Re: Reference number SC160006-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: SC160006-AC</p> <p>VIOLATOR: Jordan Hall</p> <p>ADDRESS (Street, City, State, ZIP Code): Greer, SC 29651</p>
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Jordan Hall entered, for the purpose of showing or exhibiting, the horse, “Prides Lady Di,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160007-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160007-AC
	VIOLATOR: Sam Martin
	ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Sam Martin entered, for the purpose of showing or exhibiting, the horse, “Spirited Heartbeat,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Larry McLaughlin
4255 Bannock Burn Road
Florence, SC 29505

OFFICIAL WARNING

Re: Reference number SC160007-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160007-AC
	VIOLATOR: Larry McLaughlin
	ADDRESS (Street, City, State, ZIP Code): Florence, SC 29505
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Larry McLaughlin allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Spirited Heartbeat,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Chad Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160008-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: SC160008-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Chad Way
	ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p>	
<p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p>	
<p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Chad Way entered, for the purpose of showing or exhibiting, the horse, “A Specialist,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Jacquelyn Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160008-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160008-AC
	VIOLATOR: Jacquelyn Way
	ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Jacquelyn Way entered, for the purpose of showing or exhibiting, the horse, “A Specialist,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Alan Riddley
2825 W Stage Coach Trl
Shelby, NC 28150

OFFICIAL WARNING

Re: Reference number SC160009-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
SC160009-AC

VIOULATOR:
Alan Riddley

ADDRESS (Street, City, State, ZIP
Code):
Shelby, NC 28150

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Alan Riddley allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Jose’s Delightful Pusher,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Rhonda Baucom
1775 E Brief Road
Monroe, NC 28110

OFFICIAL WARNING

Re: Reference number SC160009-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160009-AC
	VIOLATOR: Rhonda Baucom
	ADDRESS (Street, City, State, ZIP Code): Monroe, NC 28110
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Rhonda Baucom entered, for the purpose of showing or exhibiting, the horse, “Jose’s Delightful Pusher,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Meme Anderson
565 Bethune Highway
Bishopville, SC 29010

OFFICIAL WARNING

Re: Reference number SC160010-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: SC160010-AC</p> <p>VIOLATOR: Meme Anderson</p> <p>ADDRESS (Street, City, State, ZIP Code): Bishopville, SC 29010</p>
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Meme Anderson entered, for the purpose of showing or exhibiting, the horse, “Dollar on Fire,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Animal and Plant Health
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Investigative and
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4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Leon Rembert
565 Bethune Highway
Bishopville, SC 29010

OFFICIAL WARNING

Re: Reference number SC160010-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160010-AC
	VIOLATOR: Leon Rembert
	ADDRESS (Street, City, State, ZIP Code): Bishopville, SC 29010
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Leon Rembert entered, for the purpose of showing or exhibiting, the horse, “Dollar on Fire,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Edwina Duddy
718 Whitthorne Street
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160011-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000504

Reference Number: SC160011- AC Issuance Date: October 14, 2016 Version: [Version]
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<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: SC160011-AC</p> <p>VIOLATOR: Edwina Duddy</p> <p>ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160</p>
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 24, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Edwina Duddy allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, “Made You Look,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Case Number: SC160011-AC

Sending Option: USPS Certified Mail.

Version: [Version]

Document Create Date: 9/8/2016

Document Modify Date: 10/14/2016

Issuance Date: 10/14/2016

Author: Edmund Grant at (301) 851-2752

CC to IES Regional Directors
and Area Managers:

- Eastern Regional Director, IES
- Eastern Deputy Regional Director, IES
- Western Regional Director, IES
- Western Deputy Regional Director, IES
- Northeast Area Director, IES, ER
- Caribbean Area Director, IES, ER
- Southeast Area Director, IES, ER
- North Central Area Director, IES, ER
- Mid-Atlantic Area Director, IES, ER
- South Central Area Director, IES, WR
- Pacific Area Director, IES, WR
- Northwest Area Director, IES, WR
- Mountain Area Director, IES, WR
- Great Plains Area Director, IES, WR

CC to Others: C. Jones, AC

Final Processing: [Enforcement Support]

Production Notes: [Production Notes]

T02A Warning Letter (8-8-16)

Edwina Duddy
718 Whitthorne Street
Shelbyville, TN 37160



Animal and Plant Health
Inspection Service

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Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
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Fax 301.734.4328

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 14,
2016

Dick Peebles
115 Holt Ave
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160011-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160011-AC VIOLATOR: Dick Peebles ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Dick Peebles entered, for the purpose of showing or exhibiting, the horse, “Made You Look,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/14/2016	TELEPHONE NUMBER: (301) 851-2948

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Animal and Plant Health
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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Danny Kistler
2124 Old Mountain Road
Stateville, NC 28625

OFFICIAL WARNING

Re: Reference number SC160012-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160012-AC
	VIOLATOR: Danny Kistler
	ADDRESS (Street, City, State, ZIP Code): Stateville, NC 28625
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 24, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 24, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Danny Kistler entered, for the purpose of showing or exhibiting, the horse, “Beach Babe,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Larry Mesimer
5601 Alexander Road
Concord, NC 28027

OFFICIAL WARNING

Re: Reference number SC160012-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160012-ACVIOLATOR:
Larry MesimerADDRESS (Street, City, State, ZIP
Code):
Concord, NC 28027

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 24, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 24, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Larry Mesimer allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Beach Babe,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance. □



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Lester Auman
3448 Teague Mill Lane
Winston Salem, NC 27107

OFFICIAL WARNING

Re: Reference number SC160013-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160013-ACVIOLATOR:
Lester AumanADDRESS (Street, City, State, ZIP
Code):
Winston Salem, NC 27107

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Lester Auman entered, for the purpose of showing or exhibiting, the horse, “Meet Your Maker,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Debbie Bonner
1065 Ferry Road
Mooresboro, NC 28114

OFFICIAL WARNING

Re: Reference number SC160013-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
SC160013-AC

VIOLATOR:
Debbie Bonner

ADDRESS (Street, City, State, ZIP Code):
Mooresboro, NC 28114

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

"The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses."

Date of Violation: October 25, 2014

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

"All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof...."

Debbie Bonner entered, for the purpose of showing or exhibiting, the horse, "Meet Your Maker," at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Edwina Duddy
718 Whitthorne Street
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160014-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160014-AC
	VIOLATOR: Edwina Duddy
	ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Edwina Duddy allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Made You Look,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance. □</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Dick Peebles
115 Holt Ave
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160014-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160014-AC
	VIOLATOR: Dick Peebles
	ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Dick Peebles entered, for the purpose of showing or exhibiting, the horse, “Made You Look,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Connie Gamble
1345 McFaddin Gamble Road
New Zion, SC 29111

OFFICIAL WARNING

Re: Reference number SC160015-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160015-ACVIOLATOR:
Connie GambleADDRESS (Street, City, State, ZIP
Code):
New Zion, SC 29111

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Connie Gamble entered, for the purpose of showing or exhibiting, the horse, “Coin’s Mega Dollar,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Connie Gamble
1345 McFaddin Gamble Road
New Zion, SC 29111

OFFICIAL WARNING

Re: Reference number SC160015-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160015-ACVIOLATOR:
Connie GambleADDRESS (Street, City, State, ZIP Code):
New Zion, SC 29111

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Connie Gamble entered, for the purpose of showing or exhibiting, the horse, “Coin’s Mega Dollar,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Bobby Kellett
PO Box 72
New Zion, SC 29111

OFFICIAL WARNING

Re: Reference number SC160015-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160015-ACVIOLATOR:
Bobby KellettADDRESS (Street, City, State, ZIP Code):
New Zion, SC 29111

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Bobby Kellett entered, for the purpose of showing or exhibiting, the horse, “Coin’s Mega Dollar,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Bobby Kellett
PO Box 72
New Zion, SC 29111

OFFICIAL WARNING

Re: Reference number SC160015-AC

Dear Sir or Madam:

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160015-ACVIOLATOR:
Bobby KellettADDRESS (Street, City, State, ZIP
Code):
New Zion, SC 29111

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

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“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Bobby Kellett entered, for the purpose of showing or exhibiting, the horse, “Coin’s Mega Dollar,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 17,
2016

Steve Gamble
1345 McFaddin Gamble Road
New Zion, SC 29111

OFFICIAL WARNING

Re: Reference number SC160015-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160015-ACVIOLATOR:
Steve GambleADDRESS (Street, City, State, ZIP Code):
New Zion, SC 29111

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Steve Gamble allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Coin’s Mega Dollar,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/17/2016	TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Martha Milligan
418 N Cove Blvd
Panama City, FL 32400

OFFICIAL WARNING

Re: Reference number SC160016-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160016-ACVIOLATOR:
Martha MilliganADDRESS (Street, City, State, ZIP Code):
Panama City, FL 32400

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Martha Milligan entered, for the purpose of showing or exhibiting, the horse, “The Dixie Sky,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Dick Peebles
115 Holt Ave
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160016-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160016-AC
	VIOLATOR: Dick Peebles
	ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Dick Peebles entered, for the purpose of showing or exhibiting, the horse, “The Dixie Sky,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Chad Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160017-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

<small>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</small>	CASE NUMBER: SC160017-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Chad Way
	ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p>	
<p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p>	
<p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Chad Way entered, for the purpose of showing or exhibiting, the horse, “A Specialist,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Jacquelyn Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160017-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160017-AC
	VIOLATOR: Jacquelyn Way
	ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Emma Lee Cannon
40 Lower Lake Court
Sumter, SC 29150

OFFICIAL WARNING

Re: Reference number SC160018-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160018-AC
	VIOLATOR: Emma Lee Cannon
	ADDRESS (Street, City, State, ZIP Code): Sumter, Sc 29150
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Emma Lee Cannon entered, for the purpose of showing or exhibiting, the horse, “Major Title,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160018-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160018-AC
	VIOLATOR: Sam Martin
	ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Sam Martin entered, for the purpose of showing or exhibiting, the horse, “Major Title,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Johnny Hewitt
4900 St Charles Road
Bishopville, SC 29010

OFFICIAL WARNING

Re: Reference number SC160019-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160019-ACVIOLATOR:
Johnny HewittADDRESS (Street, City, State, ZIP Code):
Bishopville, SC 29010

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Johnny Hewitt allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “That’s My Title,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160019-AC

Dear Sir or Madam:

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Investigative and Enforcement Services

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	ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070
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VIA: USPS Certified Mail. Shipping: 1st

October 19,
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Marsha Hewitt
4900 St Charles Road
Bishopville, SC 29010

OFFICIAL WARNING

Re: Reference number SC160019-AC

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160019-ACVIOLATOR:
Marsha HewittADDRESS (Street, City, State, ZIP Code):
Bishopville, SC 29010

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Marsha Hewitt entered, for the purpose of showing or exhibiting, the horse, “That’s My Title,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Chad Adams
126 Selton St
Goldsboro, NC 27531

OFFICIAL WARNING

Re: Reference number SC160020-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160020-ACVIOLATOR:
Chad AdamsADDRESS (Street, City, State, ZIP
Code):
Goldsboro, NC 27531

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Chad Adams entered, for the purpose of showing or exhibiting, the horse, “Romam on parole,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160021-ACVIOLATOR:
Sam MartinADDRESS (Street, City, State, ZIP
Code):
Leesville, SC 29070

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Sam Martin entered, for the purpose of showing or exhibiting, the horse, “Truck Yeah,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Stephanie Rose Martin
4707 Calks Ferry Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160021-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160021-ACVIOLATOR:
Stephanie Rose MartinADDRESS (Street, City, State, ZIP
Code):
Leesville, SC 29070

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Stephanie Rose Martin allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, “Truck Yeah,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000582

Reference Number: SC160022-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
SC160022-AC

VIOULATOR:
Sam Martin

ADDRESS (Street, City, State, ZIP Code):
Leesville, SC 29070

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Sam Martin entered, for the purpose of showing or exhibiting, the horse, “He’s Black as Night,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Raleigh, NC 27606
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sarah Sheffield
1031 Davids Pond Road
Bennettsville, SC 29512

OFFICIAL WARNING

Re: Reference number SC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000586

Reference Number: SC160022- AC Issuance Date: October 19, 2016 Version: Final
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160022-ACVIOLATOR:
Sarah SheffieldADDRESS (Street, City, State, ZIP
Code):
Bennettsville, SC 29512

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Sarah Sheffield entered, for the purpose of showing or exhibiting, the horse, “He’s Black as Night,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Tony Sheffield
1031 Davids Pond Road
Bennettsville, SC 29512

OFFICIAL WARNING

Re: Reference number SC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000590

Reference Number: SC160022-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160022-ACVIOLATOR:
Tony SheffieldADDRESS (Street, City, State, ZIP
Code):
Bennettsville, SC 29512

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Tony Sheffield allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “He’s Black as Night,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000594

Reference Number: SC160023-
AC
Issuance Date: October 19, 2016
Version: Final

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: SC160023-AC</p> <p>VIOLATOR: Sam Martin</p> <p>ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070</p>
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Sam Martin entered, for the purpose of showing or exhibiting, the horse, “21 Gun Salute,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Stephanie Rose Martin
4707 Calks Ferry Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000598

Reference Number: SC160023-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: SC160023-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Stephanie Rose Martin
ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070	

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Stephanie Rose Martin allowed the entry of her horse at the show, for the purpose of showing or exhibiting, the horse, “21 Gun Salute,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Martha Milligan
418 N Cove Blvd
Panama City, FL 32400

OFFICIAL WARNING

Re: Reference number SC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000602

Reference Number: SC160024-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160024-ACVIOLATOR:
Martha MilliganADDRESS (Street, City, State, ZIP
Code):
Panama City, FL 32400

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Martha Milligan entered, for the purpose of showing or exhibiting, the horse, “Out of Alcatraz,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Dick Peebles
115 Holt Ave
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160024-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000606

Reference Number: SC160024-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: SC160024-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Dick Peebles
ADDRESS (Street, City, State, ZIP Code): Shelbyville, TN 37160	
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Dick Peebles entered, for the purpose of showing or exhibiting, the horse, “Out of Alcatraz,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Chad Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000610

Reference Number: SC160025-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160025-AC
		VIOULATOR: Chad Way
		ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>		
<p>Date of Violation: October 25, 2014</p>		
<p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>		
<p>Date of Violation: October 25, 2014</p>		
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>		
<p>Chad Way entered, for the purpose of showing or exhibiting, the horse, “Strait Line Cash,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>		



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Rick Justice
PO Box 170006
Tarrant, AL 37183

OFFICIAL WARNING

Re: Reference number SC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000614

Reference Number: SC160025-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160025-AC
	VIOLATOR: Rick Justice
	ADDRESS (Street, City, State, ZIP Code): Tarrant, AL 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Rick Justice allowed the entry of his horse at the show, for the purpose of showing or exhibiting, the horse, “Strait Line Cash,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Jacqueline Way
105 Andrew Drive
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number SC160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

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17-00922_000618

Reference Number: SC160025-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: SC160025-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: Jacqueline Way
	ADDRESS (Street, City, State, ZIP Code): Wartrace, TN 37183
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>15 U.S.C. § 1824(7) Unlawful Acts</p>	
<p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p>	
<p>Date of Violation: October 25, 2014</p>	
<p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p>	
<p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p>	
<p>Jacqueline Way entered, for the purpose of showing or exhibiting, the horse, “Strait Line Cash,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Ginger Hartlein
334 Shelby Circle
Shelbyville, TN 37160

OFFICIAL WARNING

Re: Reference number SC160026-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000622

Reference Number: SC160026-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
SC160026-AC

VIOULATOR:
Ginger Hartlein

ADDRESS (Street, City, State, ZIP
Code):
Shelbyville, TN 37160

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Ginger Hartlein entered, for the purpose of showing or exhibiting, the horse, “Jose Today,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Sam Martin
445 Marcellus Road
Leesville, SC 29070

OFFICIAL WARNING

Re: Reference number SC160026-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

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17-00922_000626

Reference Number: SC160026-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160026-AC
	VIOLATOR: Sam Martin
	ADDRESS (Street, City, State, ZIP Code): Leesville, SC 29070
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: October 25, 2014</p> <p>15 U.S.C. § 1824(7) Unlawful Acts</p> <p>“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”</p> <p>Date of Violation: October 25, 2014</p> <p>9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances</p> <p>“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”</p> <p>Sam Martin entered, for the purpose of showing or exhibiting, the horse, “Jose Today,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Beulah Essary
2856 Shiloh Circle
Strawberry plains, TN 37871

OFFICIAL WARNING

Re: Reference number SC160027-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

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17-00922_000630

Reference Number: SC160027- AC Issuance Date: October 19, 2016 Version: Final
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
SC160027-ACVIOLATOR:
Beulah EssaryADDRESS (Street, City, State, ZIP
Code):
Strawberry plains, TN 37871

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014**9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Beulah Essary allowed the entry of the horse at the show, for the purpose of showing or exhibiting, the horse, “Coach’s Rare Talent,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Terry Givens
2856 Shiloh Circle
Strawberry Plains, TN 37871

OFFICIAL WARNING

Re: Reference number SC160027-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000634

Reference Number: SC160027-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: SC160027-AC
	VIOLATOR: Terry Givens
	ADDRESS (Street, City, State, ZIP Code): Strawberry Plains, TN 37871

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Terry Givens entered, for the purpose of showing or exhibiting, the horse, “Coach’s Rare Talent,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Joe Robinson
760 Asbury Road
Candler, NC 28715

OFFICIAL WARNING

Re: Reference number SC160027-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Edmund Grant at (301) 851-2752 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000638

Reference Number: SC160027-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
SC160027-AC

VIOULATOR:
Joe Robinson

ADDRESS (Street, City, State, ZIP
Code):
Candler, NC 28715

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 25, 2014

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: October 25, 2014

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

Joe Robinson entered, for the purpose of showing or exhibiting, the horse, “Coach’s Rare Talent,” at the South Carolina Walking Horse Association Championship Walking Horse Show in Clemson, SC, which was found to be wearing a foreign substance.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 28,
2016

Don Broome
P O Box 8
Rogersville, TN 37857

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

Re: Reference number TN160014-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160014-AC

ALLEGED VIOLATOR:
Don Broome

ADDRESS (City, State, ZIP Code):
Rogersville, TN 37857

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Don Broome allowed, "The Coach's Son" (entry # 437, class # 13) to be entered for the purpose of showing at the 109th Anniversary Wartrace Horse Show in Wartrace, Tennessee where the horse was found sore during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/28/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 28,
2016

Sharon Broome
P O Box 8
Rogersville, TN 37857

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number TN160014-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsman, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsman at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsman's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160014-AC

ALLEGED VIOLATOR:
Sharon Broome

ADDRESS (City, State, ZIP Code):
Rogersville, TN 37857

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Sharon Broome allowed, "The Coach's Son" (entry # 437, class # 13) to be entered for the purpose of showing at the 109th Anniversary Wartrace Horse Show in Wartrace, Tennessee where the horse was found sore during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/28/2016	TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

Karen Bean
309 Snell Road
Shelbyville, TN 37160

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number TN160015-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsman, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsman at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsman's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160015-AC

ALLEGED VIOLATOR:
Karen Bean

ADDRESS (City, State, ZIP Code):
Shelbyville, TN 37160

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Karen Bean allowed, "Oh My Darlin'" (entry # 785, class # 22) to be entered for the purpose of showing at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found sore during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

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Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

William Bean
23402 Holly Hollow
Tomball, TX 77377-3685

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number TN160015-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160015-AC

ALLEGED VIOLATOR:
William Bean

ADDRESS (City, State, ZIP Code):
Tomball, TX 77377-3685

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

William Bean allowed, "Oh My Darlin'" (entry # 785, class # 22) to be entered for the purpose of showing at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found sore during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

Tamela Brogdon
119 Cobb Road
Panama City Beach, FL 32413-2001

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

Re: Reference number TN160018-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160018-AC

ALLEGED VIOLATOR:
Tamela Brogdon

ADDRESS (City, State, ZIP Code):
Panama City Beach, FL
32413-2001

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Tamela Brogdon allowed, "Face Time" (entry # 814, class # 24) to be entered for the purpose of showing at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found sore during a post-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Larry D. Austin
2980 6th St NE
Hickory, NC 28601

OFFICIAL WARNING

Re: Reference number TN160208-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000657

Reference Number: TN160208-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160208-ACVIOLATOR:
Larry D. AustinADDRESS (Street, City, State, ZIP
Code):
Hickory, NC 28601

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015**15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Larry D. (L.D.) Austin was the co-owner who allowed "Santana's El Nino" (class number 121, entry number 239) to be entered for the purpose of showing, while sore at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Lincoln Webb
203 Old Hillcrest Drive
Byrdstown, TN 38549

OFFICIAL WARNING

Re: Reference number TN160208-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000661

Reference Number: TN160208-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: TN160208-AC
	VIOLATOR: Lincoln Webb
	ADDRESS (Street, City, State, ZIP Code): Byrdstown, TN 38549
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: August 31, 2015</p> <p>15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing</p> <p>The following conduct is prohibited:</p> <p>(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.</p> <p>Link Webb was the trainer who entered for the purpose of showing "Santana's El Nino" (class number 121, entry number 239), while sore at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Tammy Austin
2980 6th St NE
Hickory, NC 28601

OFFICIAL WARNING

Re: Reference number TN160208-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000665

Reference Number: TN160208-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
TN160208-AC

VIOLATOR:
Tammy Austin

ADDRESS (Street, City, State, ZIP
Code):
Hickory, NC 28601

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Tammy Austin was the co-owner who allowed "Santana's El Nino" (class number 121, entry number 239) to be entered for the purpose of showing, while sore at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Edgar Abernathy
1181 Jason Way
Byhalia, MS 38611

OFFICIAL WARNING

Re: Reference number TN160144-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Lorna Harris at (301) 851-2748 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000669

Reference Number: TN160144-
AC
Issuance Date: October 13, 2016
Version: Final



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160144-AC

VIOLATOR:

Edgar Abernathy

ADDRESS (Street, City, State, ZIP Code):

Byhalia, MS 38611

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

Edgar Abernathy was the custodian, who entered, for the purpose of showing or exhibiting, the horse "I'm Superbad" (class number 29, entry number 1101) in the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN, where the horse was found sore.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Joel Nipper
189 Nipper Drive
Cleveland, TN 37323

OFFICIAL WARNING

Re: Reference number AL160040-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000672

Reference Number: AL160040-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: AL160040-AC
	VIOLATOR: Joel Nipper
	ADDRESS (Street, City, State, ZIP Code): Cleveland, TN 37323
<p>The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:</p> <p>Date of Violation: September 25, 2015</p> <p>15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."</p> <p>You showed your horse "Uptown Funk" (Entry #703, Class #138) at the 44th Annual RHBAA 2015 World Celebration in Decatur, AL while the horse was sore.</p>	



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

David Latham
1658 Co. Road 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160043-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160043-ACVIOLATOR:
David LathamADDRESS (Street, City, State, ZIP
Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You showed a horse named "I'm Diamond Rio", (entry number 817, class number 146) in the 44th Annual Racking Horse Breeders Association of America 2015 World Celebration in Decatur, AL where the horse was found sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

J. Kent Lawrence
2614 Red Bank Road
Decatur, AL 35603

OFFICIAL WARNING

Re: Reference number AL160043-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kelly Ferrell at (301) 851-2755 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160043-ACVIOLATOR:
J. Kent LawrenceADDRESS (Street, City, State, ZIP
Code):
Decatur, AL 35603

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You allowed your horse "I'm Diamond Rio", (entry number 817, class number 146) to be shown in the 44th Annual Racking Horse Breeders Association of America, 2015 World Celebration in Decatur, AL where the horse was found sore.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Fax 301.734.4328

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Ann M. Arnold
1014 Section Line Road N.
Hanceville, AL 35077

OFFICIAL WARNING

Re: Reference number AL160046-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160046-ACVIOLATOR:
Ann M. ArnoldADDRESS (Street, City, State, ZIP
Code):
Hanceville, AL 35077

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Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You allowed your horse "Barnburner Prince of Tide", (entry number 933, class number 149) to be shown in the 44th Annual Racking Horse Breeders Association of America, 2015 World Celebration in Decatur, AL where the horse was found sore and in violation of the scar rule.



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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Lamar Denny
75510 Hwy 231
Blountsville, AL 35031

OFFICIAL WARNING

Re: Reference number AL160046-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160046-ACVIOLATOR:
Lamar DennyADDRESS (Street, City, State, ZIP
Code):
Blountsville, AL 35031

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 301.734.4328

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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Gerald Ford
124 Thorp Street
Bennettsville, SC 29512

OFFICIAL WARNING

Re: Reference number AL160046-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160046-AC

VIOULATOR:
Gerald Ford

ADDRESS (Street, City, State, ZIP
Code):
Bennettsville, SC 29512

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
13, 2016

Mike Wisecup
167 Pinehurst Drive
Cranberry, PA 21523

OFFICIAL WARNING

Re: Reference number AL160050-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160050-AC

VIOULATOR:
Mike Wisecup

ADDRESS (Street, City, State, ZIP Code):
Cranberry, PA 21523

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Mike Wisecup was the owner, scheduled rider and custodian who entered for the purpose of showing, "I'm Jailbait" (class # 155, entry # 745) while in violation of the scar rule at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
13, 2016

Richard Williams
C/O: 2204 Methodist Church Rd
Marion, SC 29571

OFFICIAL WARNING

Re: Reference number AL160050-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160050-AC

VIOULATOR:
Richard Williams

ADDRESS (Street, City, State, ZIP Code):
Marion, SC 29571

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Richard Williams was the trainer who entered for the purpose of showing, "I'm Jailbait" (class # 155, entry # 745) while in violation of the scar rule at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
13, 2016

Charity Latham
1658 County Road 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160051-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160051-AC

VIOULATOR:
Charity Latham

ADDRESS (Street, City, State, ZIP Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts: Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Charity Latham was the owner who allowed "Sorento" (class # 157, entry # 97) to be entered for the purpose of showing, while in violation of the scar rule and bearing a foreign substance at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
13, 2016

David Latham
1658 County Road 1598
Joppa, AL 35087

OFFICIAL WARNING

Re: Reference number AL160051-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160051-AC

VIOULATOR:
David Latham

ADDRESS (Street, City, State, ZIP Code):
Joppa, AL 35087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

David Latham entered for the purpose of showing, "Sorento" (class # 157, entry # 97) while in violation of the scar rule and bearing a foreign substance at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
13, 2016

Kent Lawrence
2614 Red Bank Road
Decatur, AL 35603

OFFICIAL WARNING

Re: Reference number AL160051-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160051-AC

VIOLEATOR:
Kent Lawrence

ADDRESS (Street, City, State, ZIP Code):
Decatur, AL 35603

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts: Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Kent Lawrence was the scheduled rider who entered for the purpose of showing, "Sorento" (class # 157, entry # 97) while in violation of the scar rule and bearing a foreign substance at the 44th RHBAA Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/13/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Dewey Hannon, Jr.
13 Brigadoon Way
Amory, MS 38821

OFFICIAL WARNING

Re: Reference number AL160052-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000701

Reference Number: AL160052-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160052-AC

VIOULATOR:
Dewey Hannon, Jr.

ADDRESS (Street, City, State, ZIP
Code):
Amory, MS 38821

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Dewey Hannon, Jr. was the owner who allowed "Wow Factor" (class # 161, entry # 252) to be entered for the purpose of showing while sore at the 44th RHBAA Celebration horse show in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
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Investigative and
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Voice 919.855.7080
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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Lewis F. McRoy
1504 Cheyenne Drive
Marion, IL 62959

OFFICIAL WARNING

Re: Reference number AL160052-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000705

Reference Number: AL160052-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160052-ACVIOLATOR:
Lewis F. McRoyADDRESS (Street, City, State, ZIP
Code):
Marion, IL 62959

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Lewis McRoy was the scheduled rider who entered for the purpose of showing, "Wow Factor" (class # 161, entry # 252) while sore at the 44th RHBAA Celebration horse show in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Danny Odum
16862 Boundary Road
Creal Springs, IL 62922

OFFICIAL WARNING

Re: Reference number AL160052-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000709

Reference Number: AL160052- AC Issuance Date: October 19, 2016 Version: Final
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160052-ACVIOLATOR:
Danny OdumADDRESS (Street, City, State, ZIP
Code):
Creal Springs, IL 62922

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Danny Odum was the trainer who entered for the purpose of showing, "Wow Factor" (class # 161, entry # 252) while sore at the 44th RHBAA Celebration horse show in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
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Business Services

Investigative and
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Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Jason Morris
1795 Highway P
Perryville, MO 63775

OFFICIAL WARNING

Re: Reference number AL160053-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160053-AC

VIOULATOR:
Jason Morris

ADDRESS (Street, City, State, ZIP Code):
Perryville, MO 63775

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jason Morris entered for the purpose of showing, "The Sunday Ticket" (class # 160, entry # 221) while sore at the 44th RHBAA World Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Headquarters:
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Jim C. Morris
1795 Highway P
Perryville, MO 63775

OFFICIAL WARNING

Re: Reference number AL160053-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	CASE NUMBER: AL160053-AC
	VIOLATOR: Jim C. Morris
	ADDRESS (Street, City, State, ZIP Code): Perryville, MO 63775

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jim Morris entered for the purpose of showing, "The Sunday Ticket" (class # 160, entry # 221) while sore at the 44th RHBAA World Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948



Animal and Plant Health
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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
19, 2016

Rodney Thomsen
1100 Pikeview St
Dresden, TN 38225

OFFICIAL WARNING

Re: Reference number AL160053-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160053-AC

VIOULATOR:
Rodney Thomsen

ADDRESS (Street, City, State, ZIP Code):
Dresden, TN 38225

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Rodney Thomsen allowed "The Sunday Ticket" (class # 160, entry # 221) to be entered for the purpose of showing, while sore at the 44th RHBAA World Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/19/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

Cynthia Bryant
PO Box 1426
Lake Junaluska, NC 28745

OFFICIAL WARNING

Re: Reference number AL160054-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160054-AC

VIOULATOR:
Cynthia Bryant

ADDRESS (Street, City, State, ZIP Code):
Lake Junaluska, NC 28745

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Cynthia Bryant was the owner who entered for the purpose of showing, "The Master's Choice" (class # 160, entry # 799) while in violation of the scar rule at the 44th RHBAA World Celebration horse show in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
Inspection Service

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Enforcement Services

Headquarters:
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Riverdale, MD 20737
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Brian Perkins
2439 Aristocracy Circle
Lexington, KY 40509

OFFICIAL WARNING

Re: Reference number AL160055-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160055-AC

VIOULATOR:
Brian Perkins

ADDRESS (Street, City, State, ZIP Code):
Lexington, KY 40509

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Donald Brian Perkins allowed "Muscadine Wine" (class # 161, entry # 623) to be entered for the purpose of showing, while in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Chimney Top Stables
18325 Horton Highway
Fall Branch, TN 37656

Andrew Breslin
18325 Horton Highway
Fall Branch, TN 37656

OFFICIAL WARNING

Re: Reference number AL160055-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160055-AC

VIOULATOR:
Chimney Top Stables

ADDRESS (Street, City, State, ZIP Code):
Fall Branch, TN 37656

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Chimney Top Stables entered for the purpose of showing, "Muscadine Wine" (class # 161, entry # 623) while in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
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Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Kelly France
2385 Chimney Top Loop
Fall Branch, TN 37656

OFFICIAL WARNING

Re: Reference number AL160055-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160055-AC

VIOULATOR:
Kelly France

ADDRESS (Street, City, State, ZIP Code):
Fall Branch, TN 37656

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Kelly France entered for the purpose of showing, "Muscadine Wine" (class # 161, entry # 623) while in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

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Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
21, 2016

Travis Caudill
C/O 2385 Chimney Top Loop
Fall Branch, TN 37656

OFFICIAL WARNING

Re: Reference number AL160055-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160055-AC

VIOULATOR:
Travis Caudill

ADDRESS (Street, City, State, ZIP Code):
Fall Branch, TN 37656

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Travis Caudill was the trainer who entered for the purpose of showing, "Muscadine Wine" (class # 161, entry # 623) while in violation of the scar rule at the 44th RHBAA World Celebration in Decatur, Alabama.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/21/2016	TELEPHONE NUMBER: (301) 851-2948
----------------------------------	--



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Riverdale, MD 20737
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
26, 2016

Jeff Johnston
59 Dooley Road
Ethridge, TN 38456

OFFICIAL WARNING

Re: Reference number AL160057-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000729

Reference Number: AL160057-
AC
Issuance Date: September 26,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160057-ACVIOLATOR:
Jeff JohnstonADDRESS (Street, City, State, ZIP
Code):
Ethridge, TN 38456

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015**15 U.S.C. § 1824(2)(B) Unlawful act - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jeff Johnston was the custodian who entered for the purpose of showing, "The Legacy" (class # 160, entry # 722) while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at the 44th RHBAA World Celebration in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/26/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
26, 2016

Nicole Garon Rehkemper
937 Curtis Road
Wickliffe, KY 42087

OFFICIAL WARNING

Re: Reference number AL160057-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000733

Reference Number: AL160057-
AC
Issuance Date: September 26,
2016
Version: [Version]

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
AL160057-AC

VIOLATOR:
Nicole Garon Rehkemper

ADDRESS (Street, City, State, ZIP Code):
Wickliffe, KY 42087

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015

15 U.S.C. § 1824(2)(D) Unlawful act - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Nicole Garon Rehkemper was the owner who allowed "The Legacy" (class # 160, entry # 722) to be entered for the purpose of showing while sore, in violation of the scar rule, and bearing a foreign substance on its right front pastern at the 44th RHBAA World Celebration in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/26/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
26, 2016

Tyler Parish
P. O. Box 323
Mount Pleasant, TN 38474

OFFICIAL WARNING

Re: Reference number AL160057-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000737

Reference Number: AL160057-
AC
Issuance Date: September 26,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
AL160057-ACVIOLATOR:
Tyler ParishADDRESS (Street, City, State, ZIP
Code):
Mount Pleasant, TN 38474

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 26, 2015**15 U.S.C. § 1824(2)(B) Unlawful act - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Tyler Parish was the trainer who entered for the purpose of showing, "The Legacy" (class # 160, entry # 722) while sore, in violation of the scar rule, and bearing a foreign substance on it's right front pastern at the 44th RHBAA World Celebration in Decatur, Alabama.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/26/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

January 25,
2017

Victor Chemerisov
1320 Arthur Street
Hollywood, FL 33019

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

Re: Reference number FL150063-AC

Dear Sir or Madam:

We believe that you failed to comply with the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$10,000, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the AWA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Roxanne Folk at (301) 851-2736 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
FL150063-AC

ALLEGED VIOLATOR:
Victor Chemerisov

ADDRESS (City, State, ZIP Code):
Hollywood, FL 33019

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: On or about February 21, 2014 through September 17, 2014

9 C.F.R. § 2.1(a)(1) Requirements and application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license.

You conducted regulated activity without a valid USDA license. You acted as a dealer when you sold at least 15 French and English Bulldogs sight unseen to several individuals throughout the United States.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 1/25/2017	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16



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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Rufus Eskew
1017 McManus Road
Hephzibah, GA 30815

OFFICIAL WARNING

Re: Reference number GA150005-AC

Dear Sir or Madam:

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the AWA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$10,000, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Robert Randen at (301) 851-2785 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000744

Reference Number: GA150005-
AC
Issuance Date: October 13, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
GA150005-AC

VIOULATOR:
Rufus Eskew

ADDRESS (Street, City, State, ZIP
Code):
Hephzibah, GA 30815

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: On or about September 6, 2014

9 C.F.R. § 2.1(a)(1) Requirements and application.

(a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph(a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You failed to have a valid license prior to operating as an exhibitor of regulated animals.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
Inspection Service

Marketing and
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Business Services

Investigative and
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Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

James Steele and Carmiletta Steele
P.O. Box 205
Sand Gap, KY 40481

OFFICIAL WARNING

Re: Reference number KY160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000748

Reference Number: KY160025-
AC
Issuance Date: September 20,
2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	CASE NUMBER: KY160025-AC
 OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS	VIOLATOR: James Steele and Carmiletta Steele
	ADDRESS (Street, City, State, ZIP Code): Sand Gap, KY 40481

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "Sassy's Slow Gen Fizz" (Entry #911, Class #17) at the KWHA Mid-Summer Classic Horse Show in Stanford, KY while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Headquarters:
4700 River Road
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Riverdale, MD 20737
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Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Billy Howard
2475 Columbia Way
Greensburg, KY 42743

OFFICIAL WARNING

Re: Reference number KY160025-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000752

Reference Number: KY160025-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
KY160025-ACVIOLATOR:
Billy HowardADDRESS (Street, City, State, ZIP
Code):
Greensburg, KY 42743

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

You entered your horse "Gens Major Knockout" (Entry #234, Class 6) for the purpose of showing at the KWHA Mid-summer classic in Stanford, KY while the horse was sore.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



Animal and Plant Health
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 20,
2016

Cathi Gruber
8605 Andrew Jackson Highway, SW
Cerro Gordo, NC 28430

OFFICIAL WARNING

Re: Reference number NC160020-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160020-AC

VIOULATOR:
Cathi Gruber

ADDRESS (Street, City, State, ZIP Code):
Cerro Gordo, NC 28430

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Cathi Gruber was the owner and trainer who entered for the purpose of showing, "Generator's Santana's Push" (class # 38, entry # 175) while sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/20/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Investigative and
Enforcement Services

Headquarters:
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Riverdale, MD 20737
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Fax 301.734.4328

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Voice 919.855.7080
Fax 919.855.7090

Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Christopher Stivers
118 Richmond Road
Manchester, KY 40962

OFFICIAL WARNING

Re: Reference number NC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160022-AC

VIOULATOR:
Christopher Stivers

ADDRESS (Street, City, State, ZIP Code):
Manchester, KY 40962

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts – Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Christopher Stivers was the owner who allowed “Master Jack Frost” (class # 37, entry # 248) to be showed sore, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Enforcement Services

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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Charles Stivers
118 Richmond Road
Manchester, KY 40962

OFFICIAL WARNING

Re: Reference number NC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160022-AC

VIOULATOR:
Charles Stivers

ADDRESS (Street, City, State, ZIP Code):
Manchester, KY 40962

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts – Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Charles Stivers was the rider who showed "Master Jack Frost" (class # 37, entry # 248) while in violation of the scar rule, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
-----------------------------------	--



Animal and Plant Health
Inspection Service

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Fax 919.855.7090

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2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

James Brewer
984 Old Lewisburg Hwy
Columbia, TN 38401

OFFICIAL WARNING

Re: Reference number NC160022-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160022-AC

VIOULATOR:
James Brewer

ADDRESS (Street, City, State, ZIP Code):
Columbia, TN 38401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts – Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

James Brewer was the trainer who showed "Master Jack Frost" (class # 37, entry # 248) while in violation of the scar rule, at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

Marketing and
Regulatory Programs
Business Services

Investigative and
Enforcement Services

Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Jackie Whatley
6555 SE 13th Ave
Ocala, FL 34480

OFFICIAL WARNING

Re: Reference number NC160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160023-AC

VIOULATOR:
Jackie Whatley

ADDRESS (Street, City, State, ZIP Code):
Ocala, FL 34480

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jackie Whatley was the owner who allowed "Jose's Beyonce" (class # 42, entry # 169) to be showed while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Larry Edwards
786 Horse Farm Road
Dawson, GA 39842

OFFICIAL WARNING

Re: Reference number NC160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160023-AC

VIOULATOR:
Larry Edwards

ADDRESS (Street, City, State, ZIP Code):
Dawson, GA 39842

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Larry Edwards was the custodian who showed "Jose's Beyonce" (class # 42, entry # 169) while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 21,
2016

Ulyssess Soilberry
437 14th Ave NE
Dawson, GA 39842

OFFICIAL WARNING

Re: Reference number NC160023-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
NC160023-AC

VIOULATOR:
Ulysess Soilberry

ADDRESS (Street, City, State, ZIP Code):
Dawson, GA 39842

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 9, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Ulysess Soilberry was the trainer who showed "Jose's Beyonce" (class # 42, entry # 169) while sore, in violation of the scar rule, and bearing a foreign substance at the North Carolina Championship Walking Horse Show in Fletcher, North Carolina.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/21/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
Inspection Service

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Eastern Region:
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Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

Jason Myatt
1995 Boyce Fairview Road
Bowling Green, KY 42104

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number TN160016-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsman, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsman at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsman's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160016-AC

ALLEGED VIOLATOR:
Jason Myatt

ADDRESS (City, State, ZIP Code):
Bowling Green, KY
42104

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jason Myatt entered for the purpose of showing, "Record High" (entry # 803, class # 25A) at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found sore and in violation of the scar rule during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16



Animal and Plant Health
Inspection Service

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Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

Jerry Myatt
4671 Old Scottsville Road
Alvaton, KY 42122-9752

**OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION**

Re: Reference number TN160016-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

What happens if I do not comply in the future? If you fail to comply in the future, we may pursue criminal penalties, or we may pursue civil penalties for the alleged violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact David Dissinger at (301) 851-2803 or call our main office at (301) 851-2948.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160016-AC

ALLEGED VIOLATOR:
Jerry Myatt

ADDRESS (City, State, ZIP Code):
Alvaton, KY 42122-9752

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Jerry Myatt allowed, "Record High" (entry # 803, class # 25A) to be entered for the purpose of showing at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found sore and in violation of the scar rule during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16



Animal and Plant Health
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 31,
2016

Double B, LLC
P.O. Box 745
Troy, AL 36081

Ben Beard
1101 S. Brundidge Street
Troy, AL 36081

OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

Re: Reference number TN160019-AC

Dear Sir or Madam:

We believe that you failed to comply with the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), and its implementing regulations, as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and regulations and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may pursue civil penalties of up to \$2,200, or other sanctions, for each alleged violation described in this Official Warning. Although we have authority to pursue penalties for this type of alleged violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with the HPA and regulations.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
TN160019-AC

ALLEGED VIOLATOR:
Double B, LLC

ADDRESS (City, State, ZIP Code):
Troy, AL 36081

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: September 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Double B, LLC allowed, "A Home Run By Ted" (entry # 798, class # 141) to be entered for the purpose of showing at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, Tennessee where the horse was found in violation of the scar rule during a pre-show inspection.



The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 9-13-16



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Fax 301.734.4328

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920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Elizabeth Tew
5 Justin Adam Drive
Ellisville, MS 39437-8000

OFFICIAL WARNING

Re: Reference number TN160144-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Lorna Harris at (301) 851-2748 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000779

Reference Number: TN160144-
AC
Issuance Date: October 13, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160144-ACVIOLATOR:
Elizabeth TewADDRESS (Street, City, State, ZIP
Code):
Ellisville, MS 39437-8000

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Elizabeth Tew was the co-owner who allowed "I'm Superbad" (class number 29, entry number 1101) to be entered for the purpose of showing or exhibiting while sore at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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Rev. 8-8-16



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Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 13,
2016

Scott Tew
5 Justin Adam Drive
Ellisville, MS 39437-8000

OFFICIAL WARNING

Re: Reference number TN160144-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160144-AC

VIOLATOR:

Scott Tew

ADDRESS (Street, City, State, ZIP
Code):

Ellisville, MS 39437-8000

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Scott Tew was the owner who allowed "I'm Superbad" (class number 29, entry number 1101) to be entered for the purpose of showing or exhibiting while sore at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN.

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APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 10/13/2016	TELEPHONE NUMBER: (301) 851-2948
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Animal and Plant Health
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Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

Bob Lawrence
Post Office Box 5979
Thomasville, GA 31758

OFFICIAL WARNING

Re: Reference number TN160149-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000785

Reference Number: TN160149-
AC
Issuance Date: September 20,
2016
Version: Final

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160149-AC

VIOLATOR:

Bob Lawrence

ADDRESS (Street, City, State, ZIP
Code):

Thomasville, GA 31758

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

Bob Lawrence was the owner who allowed "Full Back" (class number 31, entry number 962) to be entered for the purpose of showing while in violation of scar rule at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN.



United States Department of Agriculture

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

Gary Edwards
760 Horse Farm Road
Dawson, GA 39842-4341

OFFICIAL WARNING

Re: Reference number TN160149-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000789

Reference Number: TN160149-
AC
Issuance Date: September 20,
2016
Version: Final


**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160149-AC

VIOLATOR:

Gary Edwards

ADDRESS (Street, City, State, ZIP
Code):

Dawson, GA 39842-4341

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

Gary Edwards was the trainer and rider who entered for the purpose of showing "Full Back" (class number 31, entry number 962) in the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN, where the horse was in violation of scar rule during a pre-show inspection.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 9/20/2016 **TELEPHONE NUMBER:** (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

An Equal Opportunity Provider and Employer

17-00922_000790

 Reference Number: TN160149-
 AC
 Issuance Date: September 20,
 2016
 Version: Final



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Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

Brad Beard
111 Anthony Road
Wartrace, TN 37183

OFFICIAL WARNING

Re: Reference number TN160150-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000792

Reference Number: TN160150-
AC
Issuance Date: September 20,
2016
Version: Final

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160150-AC

VIOLATOR:

Brad Beard

ADDRESS (Street, City, State, ZIP
Code):

Wartrace, TN 37183

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

Brad Beard was the trainer who showed “Starting Lineman” (class number 50a, entry number 827) while in violation of the scar rule at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN.



United States Department of Agriculture

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Fax 919.855.7090

Western Region:
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Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September
20, 2016

Mark Walling
Post Office Box 58
Bell Buckle, TN 37020

OFFICIAL WARNING

Re: Reference number TN160150-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

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Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000796

Reference Number: TN160150-
AC
Issuance Date: September 20,
2016
Version: Final


**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160150-AC

VIOLATOR:

Mark Walling

ADDRESS (Street, City, State, ZIP Code):

Bell Buckle, TN 37020

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse..

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

Mark Walling allowed his horse "Starting Lineman" (class number 50a, entry number 827) to be shown at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN, where the horse was found in violation of the scar rule.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/20/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

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17-00922_000797

Reference Number: TN160150- AC
Issuance Date: September 20, 2016
Version: Final



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Investigative and
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Headquarters:
4700 River Road
Unit 85
Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 11,
2016

Cassi Slagle
2890 Hidden Cove Rd
Cookeville, TN 38506

OFFICIAL WARNING

Re: Reference number TN160187-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Lorna Harris at (301) 851-2748 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact? If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director
Investigative and Enforcement Services

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

TN160187-AC

VIOLATOR:

Cassi Slagle

ADDRESS (Street, City, State, ZIP
Code):

Cookeville, TN 38506

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: "The posterior surfaces of the pasterns (flexor surface), including the sulcus of "pocket" may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation."

Cassi Slagle was the owner who allowed "American Romeo" (class number 53, entry number 694) to be entered for the purpose of showing while in violation of scar rule at the 77th Annual Tennessee Walking Horse National Celebration in Shelbyville, TN.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/11/2016	TELEPHONE NUMBER: (301) 851-2948



Animal and Plant Health
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4700 River Road
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Riverdale, MD 20737
Voice 301.851.2948
Fax 301.734.4328

Eastern Region:
920 Main Campus Drive
Suite 200
Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September 6,
2016

Billy Woods and Deborah Woods
10522 Hwy 200
Lexington, TN 38351

OFFICIAL WARNING

Re: Reference number TN160259-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000801

Reference Number: TN160259-
AC
Issuance Date: September 6, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160259-ACVIOLATOR:
Billy Woods and Deborah
WoodsADDRESS (Street, City, State, ZIP
Code):
Lexington, TN 38351

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed your horse "He's a Chip Shot" (Entry #98, Class #9) at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/6/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

September 6,
2016

Casey Wright
96 Shephard Road
Reagan, TN 38368

OFFICIAL WARNING

Re: Reference number TN160259-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000805

Reference Number: TN160259-
AC
Issuance Date: September 6, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160259-ACVIOLATOR:
Casey WrightADDRESS (Street, City, State, ZIP
Code):
Reagan, TN 38368

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You showed a horse named "He's a Chip Shot" (Entry #98, Class #9) at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN while the horse was sore and in violation of the scar rule.



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 9/6/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

Chad Tyler Baucom
1723 E.Brief Road
Monroe, NC 28110

OFFICIAL WARNING

Re: Reference number TN160259-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

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What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000809

Reference Number: TN160259-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160259-ACVIOLATOR:
Chad Tyler BaucomADDRESS (Street, City, State, ZIP
Code):
Monroe, NC 28110

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2016

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

You entered a horse named "Miracles Senorita" (Entry #30, Class #3) at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN while the horse was sore and in violation of the scar rule.

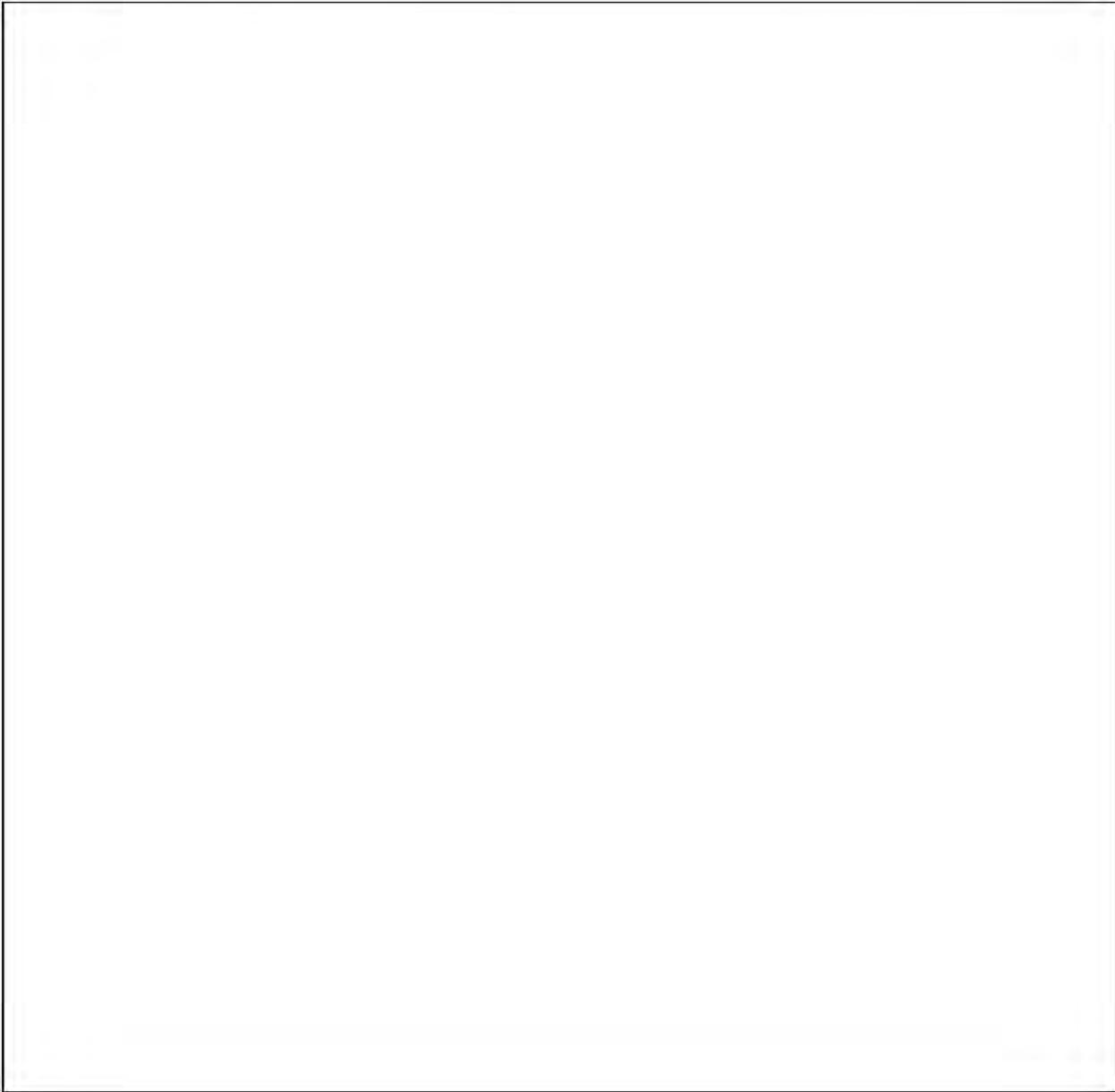
Date of Violation: August 26, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You entered a horse named "Miracles Senorita" (Entry #30, Class #3) at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN where the horse was wearing a black foreign substance.



United States Department of Agriculture



An Equal Opportunity Provider and Employer

17-00922_000811

Reference Number: TN160259-
AC
Issuance Date: October 19, 2016
Version: Final



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16



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Raleigh, NC 27606
Voice 919.855.7080
Fax 919.855.7090

Western Region:
2150 Centre Avenue
Building B-3W10
Fort Collins, CO 80526
Voice 970.494.7485
Fax 970.494.7487

VIA: USPS Certified Mail. Shipping: 1st

October 19,
2016

John Callicut
P.O. Box 293
Seagrove, NC 27341

OFFICIAL WARNING

Re: Reference number TN160260-AC

Dear Sir or Madam:

We believe that you violated the Horse Protection Act (15 U.S.C. § 1821 et seq.) (HPA), as described in the attached Official Warning. Our agency, the Animal and Plant Health Inspection Service (APHIS), is responsible for enforcing the HPA, and other agriculture laws that help prevent the spread of animal and plant pests and diseases, and ensure the welfare of animals.

After providing you with an opportunity for a hearing, we may impose civil penalties of up to \$2,200, or other sanctions, for each violation described in this Official Warning. Although we generally pursue penalties for this type of violation(s), we have decided not to pursue penalties in this instance so long as you comply, in the future, with laws that APHIS enforces.

What happens if I do not comply in the future? If you violate laws that APHIS enforces in the future, we may pursue criminal penalties, or we may pursue civil penalties for the violations described in this Official Warning, in addition to any penalties we may pursue for any future violations.

What should I do if I have questions? If you have any questions concerning this Official Warning, contact Kimberly Nichols at (301) 851-2739 or call our main office at (301) 851-2948.

I am a small business owner and have comments or concerns regarding APHIS's enforcement of animal and plant health laws. Who should I contact?
If you have comments about APHIS's enforcement of animal and plant health laws, please contact me, Steven M. Bennett, the APHIS Small Business Ombudsperson, at (301) 851-2948. If you prefer to comment to someone outside APHIS, contact the Small Business Regulatory Enforcement Ombudsperson at <http://sba.gov/ombudsman>, email ombudsman@sba.gov, or 1-888-REG-FAIR. The Ombudsperson's office receives comments and annually evaluates federal agency enforcement activities for their responsiveness to the special needs of small businesses.

Sincerely,

Steven M. Bennett, Acting Director



United States Department of Agriculture

Investigative and Enforcement Services

An Equal Opportunity Provider and Employer

17-00922_000814

Reference Number: TN160260-
AC
Issuance Date: October 19, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
TN160260-ACVIOLATOR:
John CallicutADDRESS (Street, City, State, ZIP
Code):
Seagrove, NC 27341

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 26, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act."

You entered your horse "Miracles Senorita" (Entry #30, Class #3) for the purpose of showing at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN while the horse was sore and in violation of the scar rule.

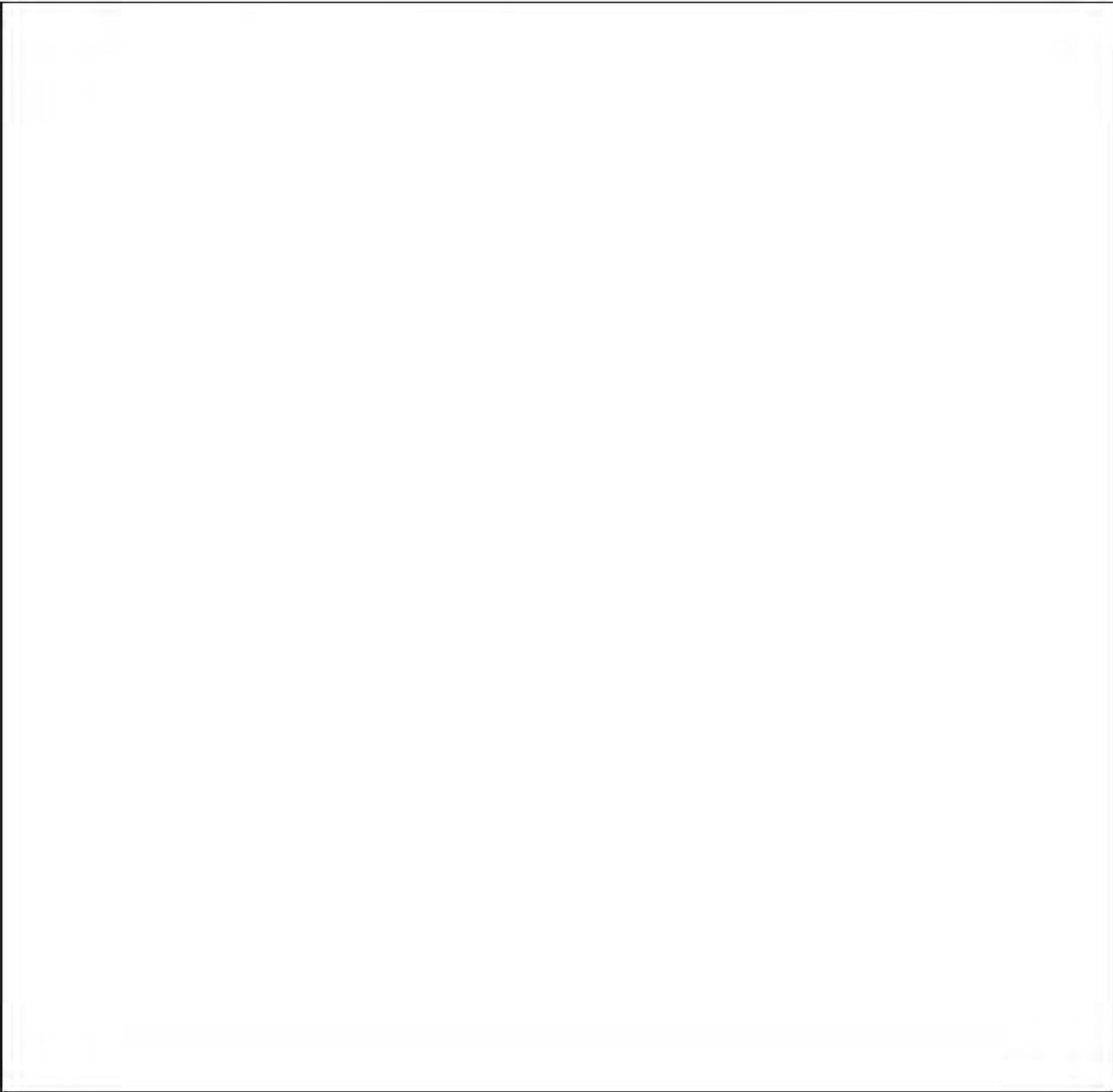
Date of Violation: August 26, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

You entered your horse "Miracles Senorita" (Entry #30, Class #3) for the purpose of showing at the 2015 TWHBEA National Futurity Horse Show in Shelbyville, TN where the horse was wearing a black foreign substance.



United States Department of Agriculture



An Equal Opportunity Provider and Employer

17-00922_000816

Reference Number: TN160260-
AC
Issuance Date: October 19, 2016
Version: Final



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 10/19/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13