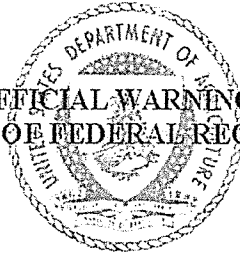


UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
CA170121

VIOLATOR:
The Institute of Critical Care Medicine
Customer# 1272
Registrant# 93-R-0362

ADDRESS (Street, City, State, ZIP Code):
35-100 Bob Hope Drive
Rancho Mirage, CA 92270

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Gerald Rushin

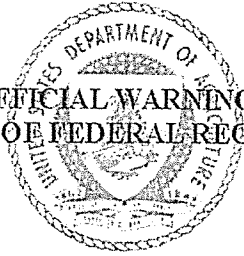
OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2096

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
CO170006

VIOLATOR:
Weston Educational Inc.
DBA Heritage Institute
Customer# 329636
Registrant# 84-R-0085

ADDRESS (Street, City, State, ZIP Code):
4704 Harian Street, Suite 420
Denver, CO 80212

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2065

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

(b) (6), (b) (7)(C)

VIOLATOR:

(b) (6), (b) (7)(C)

Customer#

(b) (6), (b) (7)(C)

Registrant#

(b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

Date(s): (b) (6), (b) (7)(C) 2017, (b) (6), (b) (7)(C) 2016 and (b) (6), (b) (7)(C) 2015

§ 2.38 (b) Miscellaneous.

Each research facility shall, during business hours, allow APHIS officials to enter its place of business to examine records required to be kept by the Act and the regulations in this part. To inspect the facilities, property, and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations, and the standards in this subchapter; and to document, by the taking of photographs and other means, conditions and areas of noncompliance.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 17

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO:

(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

IA170028

VIOLATOR:

Captiva Kennel Inc.

Customer# 41882

License# 42-A-1319

ADDRESS (Street, City, State, ZIP Code):

3246 150 Ave.

Redding, IA 50860

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 5, 2016, July 27, 2016, May 18, 2016 and August 12, 2015

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

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The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0221

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
LA170003

VIOLATOR:
Baton Rouge Community College
DBA Veterinary Technology Program
Customer# 329626
Registrant# 72-R-0103

ADDRESS (Street, City, State, ZIP Code):
201 Community College Drive
Baton Rouge, LA 70806

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Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO:

7013 2630 0002 2760 2072

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
MA170006

VIOLATOR:
Gene Sys Research Institute
Customer# 99
Registrant# 14-R-0013

ADDRESS (Street, City, State, ZIP Code):
736 Cambridge Street, CBR 406
Boston, MA 02135

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2058

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
NJ170032

VIOLATOR:
Ortho Clinical Diagnostics Inc.
Customer# 182
Registrant# 22-R-0064

ADDRESS (Street, City, State, ZIP Code):
Manufacturing 1001 US Highway 202
Raritan, NJ 18869

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

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APHIS OFFICIAL (Name):
Gerald Rushin

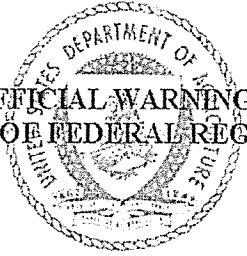
OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2119

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
NM170004

VIOLATOR:
Echo Group, LLC
Customer# 332715
Registrant# 85-R-0028

ADDRESS (Street, City, State, ZIP Code):
7820 Enchanted Hills Boulevard
Suite A #349
Rio Rancho, NM 87144

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Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2089

(b) (6), (b) (7)(C)

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of (b) (6), (b) (7)(C) titled (b) (6), (b) (7)(C) that featured an adult raccoon and three raccoon kits which were filmed for exhibition purposes.

Date of Violation: On or about (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of (b) (6), (b) (7)(C) titled (b) (6), (b) (7)(C) that featured two North American porcupines which were filmed for exhibition purposes.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of (b) (6), (b) (7)(C) titled (b) (6), (b) (7)(C) that featured a white-tailed deer and two raccoons which were filmed for exhibition purposes.

Date of Violation: On or about (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.131(b)(1) Handling of animals. (b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to ensure that animals were handled as expeditiously and carefully as possible. You filmed a (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) for an episode of (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) titled "(b) (6), (b) (7)(C)". You filmed a farm-raised white-tailed deer inside a shop, causing it unnecessary discomfort and stress.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) 2013

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of "(b) (6), (b) (7)(C)" titled "(b) (6), (b) (7)(C)" that featured Mexican free tailed bats and a raccoon which were filmed for exhibition purposes.

Date of Violation: On or about (b) (6), (b) (7)(C) 2013

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of "(b) (6), (b) (7)(C)" titled "(b) (6), (b) (7)(C)" that featured five armadillo which were filmed for exhibition purposes.

Date of Violation: (b) (6), (b) (7)(C) 2013

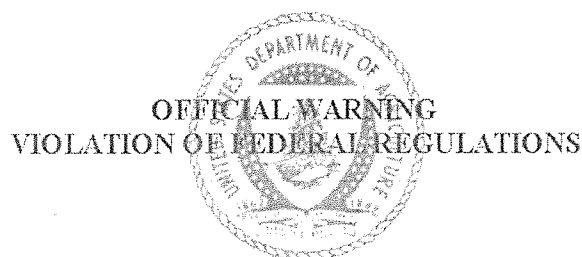
9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of "(b) (6), (b) (7)(C)" titled "(b) (6), (b) (7)(C)" that featured a coyote which was filmed for exhibition purposes.



The penalty for the alleged violation(s) described above is \$1,400.

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
RI170002

VIOLATOR:
Brown University
Customer# 267
License# 15-R-0003

ADDRESS (Street, City, State, ZIP Code):
34 Olive Street Box G
Providence, RI 02912

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 12, 2016

§ 3.80(a)(2)(iii) - Primary enclosures. (CRITICAL)

Primary enclosures for nonhuman primates must meet the following minimum requirements: *General requirement.* Primary enclosures must be constructed and maintained so that they: contain the nonhuman primates securely and prevent accidental opening of the enclosure, including opening by the animal.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acis.aphis.edc.usda.gov/ords/f?p=116:1:9172609945732>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

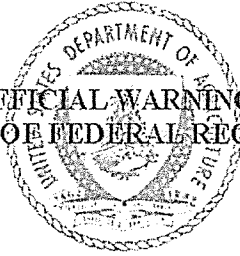
2 March 2017

TELEPHONE NO:

(919) 855-7116

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0214

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
VA170006

VIOLATOR:
Gov Source Incorporated
Customer# 330617
Registrant# 52-R-0131

ADDRESS (Street, City, State, ZIP Code):
1897 Preston White Drive, Suite 110
Reston, VA 20191

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):
Gerald Rushin

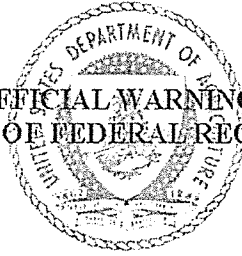
OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2102

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
VA170007

VIOLATOR:
Tier One Quality Solutions
DBA TQS Corp
Customer# 327195
Registrant# 93-R-0129

ADDRESS (Street, City, State, ZIP Code):
P.O. Box 10537
Virginia Beach, VA 23450

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

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APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7013 2630 0002 2760 2041

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
WA170017

VIOLATOR:
Bio-Research Laboratories, Inc.
Customer# 1036
Registrant# 91-R-0043

ADDRESS (Street, City, State, ZIP Code):
P.O. Box 489
Preston, WA 98050

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED: 14 March 2017
TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO:
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