

OFFICIAL WARNING

VIOLATION OF FEDERAL REGULATIONS

VIOULATOR:

(b) (7)(C), (b) (6)

Customer# (b) (7)(C), (b) (6)

License# (b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 20, 2016 and February 11, 2016

§ 3.75(c)(1) - Housing facilities, general.

Surfaces. General requirements. The surfaces of housing facilities – including perches, shelves, swings, boxes, houses, dens, and other furniture-type fixtures or objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Furniture-type fixtures or objects must be sturdily constructed and must be strong enough to provide for the safe activity and welfare of nonhuman primates. Floors may be made of dirt, absorbent bedding, sand, gravel, grass, or other similar material that can be readily cleaned, or can be removed or replaced whenever cleaning does not eliminate odors, diseases, pests, insects, or vermin. Any surfaces that come in contact with nonhuman primates.

Date(s): April 20, 2016, February 11, 2016, November 12, 2015, August 18, 2015, March 25, 2015 and November 12, 2014

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

Date(s): April 20, 2016, February 11, 2016 and November 12, 2015

§ 3.125(d) - Facilities, general.

Waste disposal. Provision shall be made for the removal and disposal of animal and food wastes, bedding, dead animals, trash and debris. Disposal facilities shall be so provided and operated as to minimize vermin infestation, odors, and disease hazards. The disposal facilities and any disposal of animal and food wastes, bedding, dead animals, trash, and debris shall comply with applicable Federal, State, and local laws and regulations relating to pollution control or the protection of the environment.

Date(s): November 12, 2015, August 18, 2015, March 25, 2015 and November 12, 2014

§ 3.75(c)(3) - Housing facilities, general.

Surfaces. Cleaning. Hard surfaces with which nonhuman primates come in contact must be spot-cleaned daily and sanitized in accordance with § 3.84 of this subpart to prevent accumulation of excreta or disease hazards. If the species scent mark, the surfaces must be sanitized or replaced at regular intervals as determined by the attending veterinarian in accordance with generally accepted professional and husbandry practices. Floors made of dirt, absorbent bedding, sand, gravel, grass, or other similar material, and planted enclosures must be raked or spot-cleaned with sufficient frequency to ensure all animals the freedom to avoid contact with excreta. Contaminated material must be removed or replaced whenever raking and spot cleaning does not eliminate odors, diseases, insects, pests, or vermin infestation. All other surfaces of housing facilities must be cleaned and sanitized when necessary to satisfy generally accepted husbandry standards and practices. Sanitization may be done by any of the methods provided in § 3.84(b)(3) of this subpart for primary enclosures.

Date(s): March 25, 2015

§ 2.40(b)(3) – Attending veterinarian and adequate veterinary care (dealers and exhibitors).

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: Daily observation of all animals to assess their health and well-being; Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 10, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA160228

VIOULATOR:
Syntron Bioresearch Inc.
Customer# 25560
License# 93-R-0498

ADDRESS (Street, City, State, ZIP Code):
2774 Loker Avenue West
Carlsbad, CA 92010

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 14, 2016 and September 15, 2015

§ 2.33(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each research facility shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at: <https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737
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APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/10/2016	TELEPHONE NO: (970) 494-7478
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CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 9423



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): July 5, 2016

§ 2.1(a)(1) Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): February 11, 2016 (Direct) and December 9, 2015

§ 3.4(b)(4) Outdoor Housing Facilities.

Shelter from the elements. Outdoor facilities for dogs or cats must include one or more shelter structures that are accessible to each animal in each outdoor facility, and that are large enough to allow each animal in the shelter structure to sit, stand, and lie in a normal manner, and to turn about freely. In addition to the shelter structures, one or more separate outside areas of shade must be provided, large enough to contain all the animals at one time and protect them from the direct rays of the sun. Shelters in outdoor facilities for dogs or cats must contain a roof, four sides, and a floor, and must provide the dogs and cats with protection from the direct rays of the sun and the direct effect of wind, rain, or snow.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478

CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): March 7, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): June 6, 2016 and March 7, 2016

§ 3.1(c)(1)(ii) – Housing Facilities, General.

Surfaces. General requirements. The surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – must be constructed in a manner and made of materials that allow them to be readily cleaned and sanitized, or removed or replaced when worn or soiled. Interior surfaces and any surfaces that come in contact with dogs and cats must be free of jagged edges or sharp points that might injure the animals.

§ 3.1(c)(2) – Housing Facilities, General.

Surfaces. Maintenance and replacement of surfaces. All surfaces must be maintained on a regular basis. Surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – that cannot be readily cleaned and sanitized, must be replaced when worn or soiled.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
ID160010

VIOULATOR:
City of Pocatello
Customer# 3486
License# 82-C-0003

ADDRESS (Street, City, State, ZIP Code):
3101 Ave. of the Chiefs
Pocatello, ID 83204

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): **May 25, 2016**

§ 3.125(a) Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

8/09/16

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4063 9386

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
IL160045

VIOULATOR:
Settlers Pond Animal Shelter & Sanctuary

Customer# 38808
License# Unlicensed

ADDRESS (Street, City, State, ZIP Code):
**1301 E Offner Road
Beecher, IL 60401**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 27, 2016 and July 09, 2015

§ 2.1(a)(1) - Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 05, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 1520 0001 1010 4824		



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 25, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): May 25, 2016 and August 26, 2015

§ 3.1(c)(2) – Housing Facilities, General

Maintenance and replacement of surfaces. All surfaces must be maintained on a regular basis. Surfaces of housing facilities – including houses, dens, and other furniture-type fixtures and objects within the facility – that cannot be readily cleaned and sanitized, must be replaced when worn or soiled.

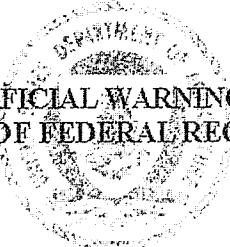
The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)	

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 09, 2016

§ 3.53 - Primary enclosures. (DIRECT)

All primary enclosures for rabbits shall conform to the following requirements: primary enclosures shall be structurally sound and maintained in good repair to protect the rabbits from injury, to contain them, and to keep predators out.

*The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.*

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 02, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (6), (b) (7)(C)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
MN160028

VIOLATOR:
Cock a Doodle Zoo

Customer# 27809
License# 41-B-0238

ADDRESS (Street, City, State, ZIP Code):
15801 Pilar Road North
Scandia, MN 55073

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 24, 2016 and March 28, 2016

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

Date(s): March 28, 2016 and December 07, 2015

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

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The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: Aug 01, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 1520 0001 1010 4671		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): March 15, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

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APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 13, 2016 (DIRECT) and February 22, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acisssearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

02/08/2016

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: **(b) (7)(C), (b) (6)**



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): **March 29, 2016**

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 8, 2016 and May 26, 2016

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions.

If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

08/02/2016

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO (b) (7)(C), (b) (6)



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 9, 2015

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 8/10/16	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

<p>UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE</p>  <p>OFFICIAL WARNING VIOLATION OF FEDERAL REGULATIONS</p>	<p>CASE NUMBER: MT160007</p> <p>VIOLATOR: Montana State University Customer# 1069 License# 81-R-0002</p> <p>ADDRESS (Street, City, State, ZIP Code):</p>
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 9, 2016

§ 2.32(c)(1)(iv) Personnel Qualifications.

Training and instruction of personnel must include guidance in at least the following area, humane methods of animal maintenance and experimentation, including aseptic surgical methods and procedures,

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions.

If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 1402		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: Between January 2014-December 2014

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You operated as a dealer without first obtaining a valid license. You sold three juvenile lemurs for \$500.

Date of Violation: On or about October 2014

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

(b) (7)(C), (b) (6)



You operated as a dealer without first obtaining a valid license. You sold two coati mundi at an auction.

Date of Violation: On or about July 15, 2015

9 C.F.R. § 2.1(a)(1) Requirements of Application. Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

You operated as a dealer without first obtaining a valid license. You sold a juvenile lemur for \$1,000.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

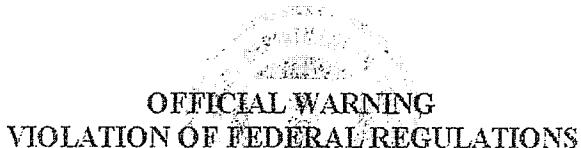
APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/8/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
NJ160091

VIOULATOR:
Township of Mt. Laurel

Customer# 34249
License# 22-C-0128

ADDRESS (Street, City, State, ZIP Code):
1105 Hainesport Mt. Laurel Road
Mt. Laurel, NJ 08054

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 21, 2016

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

*The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://ucissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.*

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 10, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 1520 0001 1010 4855		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
OH160017

VIOULATOR:
Noahs Lost Ark Inc.

Customer# 328710
License# 31-C-0234

ADDRESS (Street, City, State, ZIP Code):
8424 Bedell Road
Berlin Center, OH 44401

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): Mar 17, 2016

§ 3.125(a) - Facilities, general.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

§ 3.127(c) - Facilities, outdoor. DIRECT

Drainage. A suitable method shall be provided to rapidly eliminate excess water. The method of drainage shall comply with applicable Federal, State, and local laws and regulations relating to pollution control or the protection of the environment.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 09, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 1520 0001 1869 6505		



OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 03, 2016 and January 13, 2016

§ 2.1 - Requirements and application.

Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

*The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.*

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 02, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011



CASE NUMBER:

(b) (7)(C), (b) (6)

VIOLATION:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): March 10, 2016

§ 2.1(a)(1) Requirements and application.

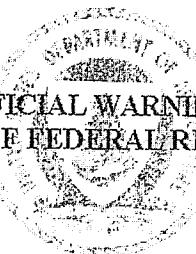
Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license. A person must be 18 years of age or older to obtain a license. A person seeking a license shall apply on a form which will be furnished by the AC Regional Director in the State in which that person operates or intends to operate. The applicant shall provide the information requested on the application form, including a valid mailing address through which the licensee or applicant can be reached at all times, and a valid premises address where animals, animal facilities, equipment, and records may be inspected for compliance. The applicant shall file the completed application form with the AC Regional Director.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/10/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

ADDRESS (Street, City, State, ZIP Code): (b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 22, 2016

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 02, 2016	TELEPHONE NO: (919) 855-7116

(b) (6), (b) (7)(C)



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): June 14, 2016 and May 25, 2016

§ 2.40(b)(2) - Attending veterinarian and adequate veterinary care (dealers and exhibitors). (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include: the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

Date(s): June 14, 2016 and May 25, 2016

§ 3.31(a)(1) - Sanitation.

Cleaning and sanitation of primary enclosures. Primary enclosures shall be cleaned and sanitized often enough to prevent an accumulation of excreta or debris: *Provided, however,* That such enclosures shall be sanitized at least once every 2 weeks in the manner provided in paragraph (a)(4) of this section.

Date(s): May 25, 2016

§ 3.28(c)(1)(iii) - Primary enclosures. (DIRECT)

Space requirements for primary enclosures acquired on or after August 15, 1990. Guinea pigs. Each guinea pig shall be provided a minimum amount of floor space in any primary enclosure.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:
<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (<i>Name</i>): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (<i>Title</i>): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 09, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: (b) (7)(C), (b) (6)		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:
TN160258

VIOULATOR:
Lincoln Memorial University

Customer# 847
License# 63-R-0019

ADDRESS (Street, City, State, ZIP Code):
Cumberland Gap Pkwy
Harrogate, TN 37752

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): January 07, 2016 and April 30, 2015

§ 2.31(c)(6) - Institutional Animal Care and Use Committee (IACUC).

IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of those components of proposed activities related to the care and use of animals.

§ 2.31(c)(7) - Institutional Animal Care and Use Committee (IACUC).

IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activities.

§ 3.11(c) - Cleaning, sanitization, housekeeping, and pest control.

Housekeeping for premises. Premises where housing facilities are located, including buildings and surrounding grounds, must be kept clean and in good repair to protect the animals from injury, to facilitate the husbandry practices required in this subpart, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of accumulations of trash, junk, waste products, and discarded matter. Weeds, grasses, and bushes must be controlled so as to facilitate cleaning of the premises and pest control, and to protect the health and well-being of the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://ucissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: August 09, 2016	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 1520 1520 0001 1869 6499		

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
UT160002

VIOLATOR:
University of Utah
Customer# 12
License# 87-R-0001

ADDRESS (Street, City, State, ZIP Code):
75 South 2000 East, Suite 311
Salt Lake City, UT 84112

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): April 26, 2016

§ 2.32(a) – Personnel Qualifications.

It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel.

§ 2.33(b)(2) – Attending Veterinarian and Adequate Veterinary Care.

Each research facility shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0001 9309 1184		

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 25, 2016

§ 3.133 - Separation. (DIRECT)

Animals housed in the same primary enclosure must be compatible. Animals shall not be housed near animals that interfere with their health or cause them discomfort.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

August 05, 2016

TELEPHONE NO:

(919) 855-7116

CERTIFIED MAIL RECEIPT NO.

(b) (7)(C), (b) (6)

APHIS FORM 7060

Previous editions may be used

Rev. September 2011

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)**(b) (7)(C), (b) (6)**ADDRESS (Street, City, State, ZIP
Code):**(b) (6), (b) (7)(C)**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

15 U.S.C. § 1824(2)(d) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)**(b) (7)(C), (b) (6)**



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/24/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

(b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

Issuance Date: August 24, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)

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Date of Violation: October 16, 2015

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(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

VIOLATOR:
ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 16, 2015

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(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/24/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

(b) (6), (b) (7)(C)

An Equal Opportunity Provider and Employer

Issuance Date: August 24, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP)
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:	(b) (7)(C), (b) (6)
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APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 8/24/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code): (b) (6), (b) (7)(C)

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VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/24/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/26/2016	TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP)	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
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VIOULATOR:
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ADDRESS (Street, City, State, ZIP
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VIOLATION OF FEDERAL REGULATIONS**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/26/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP)	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/26/2016 TELEPHONE NUMBER: (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):

Steven M. Bennett

OFFICE ADDRESS:

4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:

8/26/2016

**TELEPHONE
NUMBER:**

(301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLEATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 18, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/30/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 19, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

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APHIS OFFICIAL (Name):
Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/31/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (<i>Title</i>): Director, Investigative and Enforcement Services	DATE ISSUED: 8/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C)



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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)
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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)
VIOLATOR:
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ADDRESS (Street, City, State, ZIP
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15 U.S.C. § 1824(7) Unlawful Acts

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP)	(b) (6), (b) (7)(C)

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Date of Violation: May 18, 2013

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
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Date of Violation: May 18, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 18, 2013**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

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Date of Violation: May 18, 2013**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 18, 2013**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances**

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/3/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/5/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

(b) (7)(C), (b) (6)

An Equal Opportunity Provider and Employer

Issuance Date: August 5, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/5/2016 TELEPHONE NUMBER: (301) 851-2948

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VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
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“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP)	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 18, 2013

15 U.S.C. § 1824(7) Unlawful Acts

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: May 18, 2013

9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors – Substances

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C)



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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/5/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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An Equal Opportunity Provider and Employer

Issuance Date: August 26, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA160227

VIOLATOR:
Pierce College
Customer# 1172
License# 93-R-0053

ADDRESS (Street, City, State, ZIP Code):
6201 Winnetka Avenue
Woodland Hills, CA 91371

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): May 2, 2016

§ 3.125(a) Facilities, General.

Structural strength. The facility must be constructed of such material and of such strength as appropriate for the animals involved. The indoor and outdoor housing facilities shall be structurally sound and shall be maintained in good repair to protect the animals from injury and to contain the animals.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737
--	--

APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/09/2016	TELEPHONE NO: (970) 494-7478
---	-----------------------------------	--

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 1488

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

CASE NUMBER:
(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



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APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/11/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street City State ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 16, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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(b) (7)(C), (b) (6)



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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: May 16, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 11, 2015**15 U.S.C. § 1824(2) Unlawful Acts**

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

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Date of Violation: July 11, 2015

9 C.F.R. § 11.3 Scar Rule

“The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C)



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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



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APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/10/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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CASE NUMBER:
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VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 18, 2015

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

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ADDRESS (Street, City, State, ZIP)

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 8, 2015

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/31/2016	TELEPHONE NUMBER: (301) 851-2948

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VIOLATION OF FEDERAL REGULATIONS**

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: October 8, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/31/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

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(b) (7)(C), (b) (6)VIOLATOR:
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VIOLATOR:

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9 C.F.R. § 11.3 Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of section 5 of the Act.

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Version: Final

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 5, 2014**15 U.S.C. § 1824(7) Unlawful Acts**

“The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.”

Date of Violation: July 5, 2014**9 C.F.R. § 11.2 (c) Prohibition concerning exhibitors - Substance**

“All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

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Version: Final



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ADDRESS (Street, City, State, ZIP)

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 24, 2013**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 2, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts – Showing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)



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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 4/12/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 2, 2015**15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Date of Violation: September 2, 2015

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VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/26/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code)

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 2, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name): Steven M. Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
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VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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VIOLATOR:

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ADDRESS (Street, City, State, ZIP)

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

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(b) (7)(C), (b) (6)Issuance Date: August 9, 2016
Version: Final



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Date of Violation: April 24, 2015

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(b) (6), (b) (7)(C)

Date of Violation: April 24, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



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APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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(b) (7)(C), (b) (6)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 24, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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Date of Violation: April 24, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: April 25, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: June 13, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/11/2016	TELEPHONE NUMBER: (301) 851-2948

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

9 C.F.R. § 11.3(b) Scar rule. The scar rule criteria are as follows: “The posterior surfaces of the pasterns (flexor surface), including the sulcus of “pocket” may show bilateral areas of uniformly thickened epithelial tissue if such areas are free of proliferating granuloma tissue, irritation, moisture, edema, or other evidence of inflammation.”

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name):
Steve Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Acting Director, Investigative and Enforcement Services

DATE ISSUED: 8/11/2016	TELEPHONE NUMBER: (301) 851-2948
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(b) (7)(C), (b) (6)



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

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Acting Director, Investigative and Enforcement Services

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(b) (7)(C), (b) (6)

Issuance Date: August 11, 2016
Version: Final

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: (2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 30, 2015**15 U.S.C. § 1824(2) Unlawful Acts**

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C)

Date of Violation: August 30, 2015**9 C.F.R. § 11.3 Scar Rule**

The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C)



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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
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**OFFICIAL WARNING
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(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street City State ZIP Code):
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Date of Violation: August 30, 2015

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**OFFICIAL WARNING
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VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

(b) (6), (b) (7)(C)

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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APHIS OFFICIAL (Title):
Acting Director, Investigative and Enforcement Services

DATE ISSUED: 8/11/2016 **TELEPHONE NUMBER:** (301) 851-2948

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

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Rev. 8-8-16

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
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Date of Violation: August 28, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts. The following conduct is prohibited: “(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

(b) (6), (b) (7)(C)

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DATE ISSUED: 8/11/2016 **TELEPHONE NUMBER:** (301) 851-2948



United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)

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Date of Violation: August 27, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts. The following conduct is prohibited: (2) The (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore.

15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



United States Department of Agriculture

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(b) (7)(C), (b) (6)

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Issuance Date: August 27, 2016
Version: Final

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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(b) (7)(C), (b) (6)

VIOLATOR:

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15 U.S.C. § 1824(7) Unlawful acts. The showing or exhibiting at a horse show or horse exhibition ; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, sold at a horse show, horse exhibition, or horse sale or auction the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

9 C.F.R. § 11(2)(c) Prohibition concerning exhibitors – Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being show, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof....”

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Issuance Date: August 27, 2016
Version: Final



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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/27/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 8-8-16

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

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United States Department of Agriculture

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An Equal Opportunity Provider and Employer

Issuance Date: August 27, 2016
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United States Department of Agriculture

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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Author:

Lorna Harris at (301) 851-2748

CC to IES Regional Directors
and Area Managers:

- Eastern Regional Director, IES
- Eastern Deputy Regional Director, IES
- Western Regional Director, IES
- Western Deputy Regional Director, IES
- Northeast Area Director, IES, ER
- Caribbean Area Director, IES, ER
- Southeast Area Director, IES, ER
- North Central Area Director, IES, ER
- Mid-Atlantic Area Director, IES, ER
- South Central Area Director, IES, WR
- Pacific Area Director, IES, WR
- Northwest Area Director, IES, WR
- Mountain Area Director, IES, WR
- Great Plains Area Director, IES, WR

CC to Others:

D. Tracy, AC, Riverdale, MD

C. Jones, AC, Riverdale, MD

Final Processing:

Ashley Smith

Production Notes:

[Production Notes]

T02A Warning Letter (8-8-16)



United States Department of Agriculture

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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United States Department of Agriculture

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737
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(b) (7)(C), (b) (6)

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15 U.S.C. § 1824 (2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

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Date of Violation: August 28, 2015

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/19/2016 TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts

“The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.”

Date of Violation: August 31, 2015

9 C.F.R. § 11.3 Scar Rule

“The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C)



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(b) (7)(C), (b) (6)VIOLATOR:
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Date of Violation: August 29, 2015**9 C.F.R. § 11.3 Scar Rule**

“The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be “sore” and are subject to all prohibitions of...the Act.”

(b) (6), (b) (7)(C)



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VIOLATOR:	(b) (7)(C), (b) (6)
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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOLATOR:	(b) (7)(C), (b) (6)
(b) (6), (b) (7)(C)	e):

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CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code)	(b) (6), (b) (7)(C)

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Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOULATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)

Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Steven M. Bennett

OFFICE ADDRESS:

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Riverdale, MD 20737

APHIS OFFICIAL (Title):

Director, Investigative and Enforcement Services

DATE ISSUED:

8/23/2016

**TELEPHONE
NUMBER:**

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:	(b) (7)(C), (b) (6)
VIOULATOR:	(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 29, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

<p>(b) (7)(C), (b) (6)</p> <p>(b) (7)(C), (b) (6)</p> <p>(b) (6), (b) (7)(C)</p>	<p>CASE NUMBER:</p> <p>VIOULATOR:</p> <p>ADDRESS (Street, City, State, ZIP Code):</p>
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
(b) (7)(C), (b) (6)

VIOULATOR:
(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP)
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 4, 2015

15 U.S.C. § 1824(2)(B) Unlawful acts - Entering

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/23/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

Date of Violation: September 1, 2015

15 U.S.C. § 1824(7) Prohibited substances

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

(b) (6), (b) (7)(C)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: September 1, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Date of Violation: September 1, 2015

15 U.S.C. § 1824(7) Prohibited substances

The following conduct is prohibited:

(7) The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses.

(b) (6), (b) (7)(C)



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**OFFICIAL WARNING
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(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):
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Date of Violation: September 1, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Steven M. Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Director, Investigative and Enforcement Services

DATE ISSUED: 8/23/2016	TELEPHONE NUMBER: (301) 851-2948
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

VIOLATOR:
ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

Gary Edwards was the custodian who entered for the purpose of showing "Honors" (class number 40, entry number 877) while sore at the Christmas in July horse show in Shelbyville, Tennessee.

(b) (7)(C), (b) (6)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/4/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

Rev. 7-26-13

(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 1, 2016**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/4/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - Showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)



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APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/11/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**CASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015**15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

(b) (6), (b) (7)(C)**(b) (7)(C), (b) (6)**



The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts - Showing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
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ADDRESS (Street, City, State, ZIP)

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE



**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

CASE NUMBER:
(b) (7)(C), (b) (6)

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(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
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Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts - Showing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Name): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/10/2016	TELEPHONE NUMBER: (301) 851-2948

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CASE NUMBER:	(b) (7)(C), (b) (6)
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CASE NUMBER:	(b) (7)(C), (b) (6)
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CASE NUMBER:	(b) (7)(C), (b) (6)
(b) (7)(C), (b) (6)	

ADDRESS (Street, City, State, ZIP Code):	(b) (6), (b) (7)(C)
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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015

15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 25, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts - Showing**

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VIOLATION OF FEDERAL REGULATIONS**

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(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP Code):

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The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)

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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948

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(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
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**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

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APHIS OFFICIAL (Name):
Steve Bennett

OFFICE ADDRESS:
4700 River Road, Unit 85
Riverdale, MD 20737

APHIS OFFICIAL (Title):
Acting Director, Investigative and Enforcement Services

DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948
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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: July 31, 2015

15 U.S.C. § 1824(2) Unlawful Acts. The following conduct is prohibited: The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

9 C.F.R. § 11.3 Scar Rule. Horses subject to this rule that do not meet the following scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of section 5 of the Act.

(b) (6), (b) (7)(C)

Date of Violation: July 31, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

(b) (6), (b) (7)(C)

(b) (7)(C), (b) (6)



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APHIS OFFICIAL (<i>Name</i>): Steve Bennett	OFFICE ADDRESS: 4700 River Road, Unit 85 Riverdale, MD 20737	
APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

Previous editions may be used

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ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

VIOLATOR:
(b) (7)(C), (b) (6)ADDRESS (Street, City, State, ZIP
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Date of Violation: July 31, 2015

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(b) (6), (b) (7)(C)

Date of Violation: July 31, 2015

15 U.S.C. § 1824(7) Unlawful Acts. The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or exhibition; or offering for sale at a horse show or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under section 1828 of this title prohibits to prevent the soring of horses."

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VIOLATOR:
(b) (7)(C), (b) (6)
ADDRESS (Street, City, State, ZIP Code):
(b) (7)(C), (b) (6)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 1, 2015

15 U.S.C. § 1824(2)(A) Unlawful acts - showing

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts - showing**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing**

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APHIS OFFICIAL (Title): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/10/2016	TELEPHONE NUMBER: (301) 851-2948

APHIS FORM 7060

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(b) (7)(C), (b) (6)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

(b) (7)(C), (b) (6)

(b) (7)(C), (b) (6)

ADDRESS (Street, City, State, ZIP
Code):
(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following violation(s) of Federal Regulations:

Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(B) Unlawful acts - Entering**

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (<i>Title</i>): Acting Director, Investigative and Enforcement Services	DATE ISSUED: 8/9/2016	TELEPHONE NUMBER: (301) 851-2948

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Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(D) Unlawful acts - Allowing**

The following conduct is prohibited:

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Date of Violation: August 1, 2015**15 U.S.C. § 1824(2)(A) Unlawful acts - Showing**

Sec. 1824. Unlawful acts

The following conduct is prohibited:

(2) The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse.

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APHIS OFFICIAL (Title): Director, Investigative and Enforcement Services	DATE ISSUED: 8/11/2016	TELEPHONE NUMBER: (301) 851-2948

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ANIMAL AND PLANT HEALTH INSPECTION SERVICECASE NUMBER:
(b) (7)(C), (b) (6)VIOLATOR:
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(b) (6), (b) (7)(C)**OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS**

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The following conduct is prohibited:

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UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
WA160047

VIOLATOR:
R & R Research LLC
Customer# 45412
License# 91-A-0113

ADDRESS (Street, City, State, ZIP Code):
20004 Happy Valley Road
Stanwood, WA 98292

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): August 5, 2015

§ 2.40(b)(3) Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include daily observation of all animals to assess their health and well-being; *Provided, however,* That daily observation of animals may be accomplished by someone other than the attending veterinarian; and *Provided, further,* That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date(s): August 5, 2015 and December 3, 2015

§ 3.53(a)(1) Primary Enclosures.

Primary enclosures shall be structurally sound and maintained in good repair to protect the rabbits from injury, to contain them, and to keep predators out.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:

<https://acisearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.

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APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 08/02/2016	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0001 9309 1191		