

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CA170121

VIOULATOR:
The Institute of Critical Care Medicine
Customer# 1272
Registrant# 93-R-0362

ADDRESS (Street, City, State, ZIP Code):
35-100 Bob Hope Drive
Rancho Mirage, CA 92270

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations.

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 14 March 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2096		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
CO170006

VIOULATOR:
Weston Educational Inc.
DBA Heritage Institute
Customer# 329636
Registrant# 84-R-0085

ADDRESS (Street, City, State, ZIP Code):
4704 Harian Street, Suite 420
Denver, CO 80212

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): December 1, 2016

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APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 14 March 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2065		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

(b) (6), (b) (7)(C)

VIOULATOR:

(b) (6), (b) (7)(C)

Customer# (b) (6), (b) (7)(C)

Registrant# (b) (6), (b) (7)(C)

ADDRESS (Street, City, State, ZIP Code):

(b) (6), (b) (7)(C)

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): (b) (6), (b) (7)(C) 2016

§ 2.36 (a) Annual report.

The reporting facility shall be that segment of the research facility, or that department, agency, or instrumentality the United States, that uses or intends to use live animals in research, tests, experiments, or for teaching. Each reporting facility shall submit an annual report to the AC Regional Director for the State where the facility is located on or before December 1 of each calendar year. The report shall be signed.

Date(s): (b) (6), (b) (7)(C) 2017, (b) (6), (b) (7)(C) 2016 and (b) (6), (b) (7)(C) 2015

§ 2.38 (b) Miscellaneous.

Each research facility shall, during business hours, allow APHIS officials to enter its place of business to examine records required to be kept by the Act and the regulations in this part. To inspect the facilities, property, and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations, and the standards in this subchapter; and to document, by the taking of photographs and other means, conditions and areas of noncompliance.

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 17

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO:

(b) (6), (b) (7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

IA170028

VIOLATOR:

Captiva Kennel Inc.
Customer# 41882
License# 42-A-1319

ADDRESS (Street, City, State, ZIP Code):

3246 150 Ave.
Redding, IA 50860

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): October 5, 2016, July 27, 2016, May 18, 2016 and August 12, 2015

§ 2.40(b)(2) – Attending Veterinarian and Adequate Veterinary Care. (DIRECT)

Each dealer or exhibitor shall establish and maintain programs of adequate veterinary care that include the use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care.

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Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0221

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
LA170003

VIOLATOR:
Baton Rouge Community College
DBA Veterinary Technology Program
Customer# 329626
Registrant# 72-R-0103

ADDRESS (Street, City, State, ZIP Code):
201 Community College Drive
Baton Rouge, LA 70806

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APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 14 March 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2072		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
MA170006

VIOLATOR:
Gene Sys Research Institute
Customer# 99
Registrant# 14-R-0013

ADDRESS (Street, City, State, ZIP Code):
736 Cambridge Street, CBR 406
Boston, MA 02135

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Date(s): December 1, 2016

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APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 14 March 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2058		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

NJ170032

VIOULATOR:

Ortho Clinical Diagnostics Inc.

Customer# 182

Registrant# 22-R-0064

ADDRESS (Street, City, State, ZIP Code):

Manufacturing 1001 US Highway 202
Raritan, NJ 18869

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Date(s): December 1, 2016

§ 2.36 (a) Annual report.

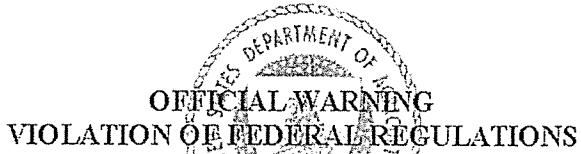
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CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2119		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
NM170004

VIOULATOR:
Echo Group, LLC
Customer# 332715
Registrant# 85-R-0028

ADDRESS (Street, City, State, ZIP Code):
7820 Enchanted Hills Boulevard
Suite A #349
Rio Rancho, NM 87144

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO:

7013 2630 0002 2760 2089

(b) (6), (b) (7)(C)

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of (b) (6), (b) (7)(C) titled (b) (6), (b) (7)(C) that featured an adult raccoon and three raccoon kits which were filmed for exhibition purposes.

Date of Violation: On or about (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of '(b) (6), (b) (7)(C)' titled (b) (6), (b) (7)(C) that featured two North American porcupines which were filmed for exhibition purposes.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) 2012

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of '(b) (6), (b) (7)(C)' titled "(b) (6), (b) (7)(C)" that featured a white-tailed deer and two raccoons which were filmed for exhibition purposes.

Reference Number: (b) (6), (b) (7)(C)

Issuance Date: March 15, 2017

Version: Revision

Date of Violation: On or about (b) (6), (b) (7)(C) 2012

9 C.F.R. § 2.131(b)(1) Handling of animals. (b)(1) Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

You failed to ensure that animals were handled as expeditiously and carefully as possible. You filmed a (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) for an episode of (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) titled “(b) (6), (b) (7)(C)”. You filmed a farm-raised white-tailed deer inside a shop, causing it unnecessary discomfort and stress.

Date of Violation: (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) 2013

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of “(b) (6), (b) (7)(C)” titled “(b) (6), (b) (7)(C)” that featured Mexican free tailed bats and a raccoon which were filmed for exhibition purposes.

Date of Violation: On or about (b) (6), (b) (7)(C) 2013

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of “(b) (6), (b) (7)(C)” titled “(b) (6), (b) (7)(C)” that featured five armadillo which were filmed for exhibition purposes.

Date of Violation: (b) (6), (b) (7)(C) 2013

9 C.F.R. § 2.1(a)(1) Requirements and application. (a)(1) Any person operating or intending to operate as a dealer, exhibitor, or operator of an auction sale, except persons who are exempted from the licensing requirements under paragraph (a)(3) of this section, must have a valid license.

You operated as an exhibitor without a valid license. You produced an episode of “(b) (6), (b) (7)(C)”, titled “(b) (6), (b) (7)(C)”, that featured a coyote which was filmed for exhibition purposes.

Reference Number: (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Issuance Date: March 15, 2017

Version: Revision



The penalty for the alleged violation(s) described above is \$1,400.

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
RI170002

VIOULATOR:
Brown University
Customer# 267
License# 15-R-0003

ADDRESS (Street, City, State, ZIP Code):
34 Olive Street Box G
Providence, RI 02912

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

Date(s): September 12, 2016

§ 3.80(a)(2)(iii) - Primary enclosures. (CRITICAL)

Primary enclosures for nonhuman primates must meet the following minimum requirements: *General requirement*. Primary enclosures must be constructed and maintained so that they: contain the nonhuman primates securely and prevent accidental opening of the enclosure, including opening by the animal.

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APHIS OFFICIAL (Name): Gerald Rushin	OFFICE ADDRESS: 4700 River Road Riverdale, MD 30737	
APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 2 March 2017	TELEPHONE NO: (919) 855-7116
CERTIFIED MAIL RECEIPT NO: 7015 0640 0000 4064 0214		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VA170006

VIOULATOR:

Gov Source Incorporated

Customer# 330617

Registrant# 52-R-0131

ADDRESS (Street, City, State, ZIP Code):

1897 Preston White Drive, Suite 110

Reston, VA 20191

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APHIS OFFICIAL (Name):

Gerald Rushin

OFFICE ADDRESS:

4700 River Road

Riverdale, MD 30737

APHIS OFFICIAL (TITLE):

Assistant Deputy Administrator
Animal Care

DATE ISSUED:

14 March 2017

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO:

7013 2630 0002 2760 2102

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:

VA170007

VIOULATOR:

Tier One Quality Solutions

DBA TQS Corp

Customer# 327195

Registrant# 93-R-0129

ADDRESS (Street, City, State, ZIP Code):

P.O. Box 10537

Virginia Beach, VA 23450

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APHIS OFFICIAL (TITLE): Assistant Deputy Administrator Animal Care	DATE ISSUED: 14 March 2017	TELEPHONE NO: (970) 494-7478
CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2041		

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



CASE NUMBER:
WA170017

VIOLATOR:
Bio-Research Laboratories, Inc.
Customer# 1036
Registrant# 91-R-0043

ADDRESS (Street, City, State, ZIP Code):
P.O. Box 489
Preston, WA 98050

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CERTIFIED MAIL RECEIPT NO: 7013 2630 0002 2760 2034		