

# Quantum Mechanics

## Lecture Notes



# Chapter 1 量力源

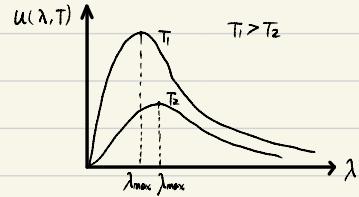
Kirchhoff th.  $\frac{r(\nu, T)}{\alpha(\nu, T)} = \frac{c}{4} u(\nu, T)$  for black body  $\alpha(\nu, T) = 1 \Rightarrow r(\nu, T) = u(\nu, T)$

Explanation:

1) Stefan-Boltzmann:  $J = \int u(\nu, T) d\nu \propto T^4$

Optical Pressure  $p = \frac{1}{3} \varepsilon$   $T dS = du + pdV = V d\varepsilon + \frac{4}{3} \varepsilon dV$   
 $\Rightarrow \left( \frac{\partial S}{\partial V} \right)_T = \frac{1}{T} \frac{4}{3} \varepsilon$

Maxwell  $\left( \frac{\partial S}{\partial V} \right)_T = \left( \frac{\partial P}{\partial T} \right)_V \Rightarrow \frac{1}{3} \frac{\partial \varepsilon}{\partial T} = \frac{4}{3} \frac{\varepsilon}{T}$  hence  $\varepsilon \propto T^4$



2) Wien  $u(\nu, T) = g(\nu) \bar{\varepsilon}(\nu, T)$   $g(\nu)$  为  $\nu \sim \nu + d\nu$  单位体积电磁波模式数  
 $\bar{\varepsilon}(\nu, T)$  每个模式谐振子的平均能量

for 1-Dim  $L = n \frac{\lambda}{2}$ ,  $\lambda = \frac{2\pi}{k}$ ,  $k = \frac{n\pi}{L}$   
 $0 \leq k_m \quad N_m = \frac{k_m}{\pi} \quad k = \frac{m\pi}{c}$

for 3-Dim ....

## 第二章 量子力学的基本理论框架

基本公设：

1. 系统状态由量子态描述，其满足态叠加原理
2. 力学量(可观测量)由算符表示
3. (关于测量) 力学量算符的可能测值为本征值，测量得到某本征值有一定几率，其取值与对应的本征态与系统量子态相关
4. 动力学演化满足 Schrödinger eq.  $i\hbar \frac{d}{dt}|\psi\rangle = \hat{H}|\psi\rangle$  能量算符
5. 粒子的全能性

1. 引入量子态概念的必要性 (例：波粒二象性)

a. 光的本质 概率正比于  $|E(r,t)|^2$

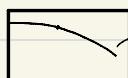
b. 物质波 → 推广到一切微观粒子

c. Heisenberg 不确定性关系

讨论：轨道的概念

i) 氢原子基态  $E_1 \sim -13.6 eV$ ,  $\Delta p \sim \sqrt{2mE_1}$ ,  $\Delta x \geq \frac{\hbar}{2\Delta p} = \frac{\hbar}{2\sqrt{2mE_1}} \sim 2.6 \cdot 10^{-11} m$  } 原子尺度  $\sim 10^{-10} m$  } 无法在原子尺度中谈论电子的位置

ii) Wilson 云室



雾滴尺寸  $\sim 10^{-6} m$

$\Delta x \sim 10^{-6} m$

$\Delta p \geq \frac{\hbar}{2\Delta x} \Rightarrow \Delta E \geq \frac{\hbar^2}{4\Delta x^2} \frac{1}{2m} \sim 9 \times 10^{-9} eV$  (相较于高能粒子的能量，非常小)

### 2. 量子态与算符

b. 用算符表示可观测量 Dirac notation  $|\psi\rangle, \hat{A}$

a. 摆弃经典概念用量子态这一抽象概念描述。

### 3. 态叠加原理与测量

如  $|\psi_m\rangle$  为系统可能的状态，则其线性叠加  $\sum_m c_m |\psi_m\rangle$  亦为系统可能状态  
 $\{c_1, c_2, \dots, c_n\}$  为复数。它表示具有  $|\psi_m\rangle$  性质的相对几率为  $|c_m|^2$

例1:  $|\psi_m\rangle$  有确定的能量  $E_m$  (能量本征态), 当系统处于  $|\psi\rangle = \sum_m c_m |\psi_m\rangle$  时, 所有可能的能量测值为  $\{E_1, E_2, \dots, E_n\}$ .

其中测得能量值为  $E_m$  的几率  $\frac{|c_m|^2}{\sum |c_i|^2}$ , 测量后,  $|\psi\rangle$  量子态塌缩成为  $|\psi_m\rangle$

例2: 连续谱  $|\psi\rangle = \int d^3r \psi(r) |r\rangle$ , 测量  $|r|$  位置, 得到  $r \rightarrow r + d^3r$  中的几率为  $\frac{|\psi(r)|^2}{\int d^3r |\psi(r)|^2}$

$$\begin{aligned} \text{例3: 光的偏振 电磁波的电矢量 } & E_x \cos(kz - wt) \vec{e}_x + E_y \cos(kz - wt + \varphi) \vec{e}_y \\ &= \vec{E}_0 \left[ \vec{e}_p \frac{e^{i(kz-wt)}}{2} + \text{c.c.} \right] \quad \cos \varphi = \frac{E_x}{\sqrt{E_x^2 + E_y^2}} \\ & \text{设 } \varphi = \frac{\pi}{2}, \text{ 则 } \vec{e}_p \propto \cos \varphi \vec{e}_x + i \sin \varphi \vec{e}_y \end{aligned}$$

对于经典光, 检偏器 (P) 测得的光强  $I_0 \cos^2 \theta$

对于单光子, 可能测值  $\int_1^0 \frac{\sin^2 \theta}{\cos^2 \theta}$  本征值  $|\psi\rangle = \cos \theta | \leftrightarrow \rangle + i \sin \theta | \uparrow \downarrow \rangle$  测量改变了微观体系的状态  
 $\alpha | \leftrightarrow \rangle + \beta | \uparrow \downarrow \rangle$

讨论 i)  $|\psi\rangle$  与  $C|\psi\rangle$  表示同一量子态

ii) 展开系数  $c_m$ ,  $|\psi\rangle$  本身不可测量, 只可测得模方

iii) 同一量子态具有不同态叠加形式

例4. 基于态叠加的干涉实验

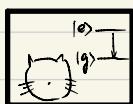
经典波有  $I = |\vec{E}|^2 = |\vec{E}_1 + \vec{E}_2 e^{i\varphi(r)}|^2 = |\vec{E}_1|^2 + |\vec{E}_2|^2 + 2 |\vec{E}_1||\vec{E}_2| \cos \varphi(r)$

量子态  $|\psi\rangle = \frac{\sqrt{2}}{2} |\psi_1\rangle + \frac{\sqrt{2}}{2} |\psi_2\rangle \Rightarrow \frac{\sqrt{2}}{2} \int d^3r [\psi_1(r) + \psi_2(r)] |r\rangle$



几率:  $\frac{1}{2} |\psi_1(r) + \psi_2(r)|^2 = \frac{1}{2} (|\psi_1|^2 + |\psi_2|^2 + \underline{2\psi_1^\dagger \psi_2 + \psi_2^\dagger \psi_1})$  干涉项

#### 4. 干涉实验讨论



$\frac{\sqrt{2}}{2} (|e, \text{alive}\rangle + |g, \text{dead}\rangle)$  经典与量子的界限? ~ 经典几率与量子几率大有不同

$\left\{ \begin{array}{l} \frac{\sqrt{2}}{2} (|e, \text{alive}\rangle + |g, \text{dead}\rangle) \text{ 叠加态} \\ \left\{ \begin{array}{l} \frac{1}{2} \leftrightarrow \\ \frac{1}{2} \uparrow \end{array} \right. \end{array} \right.$  缩减  
 两者不同!!!

## 5. Wheeler 实验



BS 加入可以影响时间之前的光子  
⇒ 量子叠加态有非定域性(包括时间)

## d. EPR Paradox (1935)

A                      B          空间隔

A与B的总动量与相对位置已知

① 测A的位置, 则B的位置确定 }    可在不扰动B的情况下  
② 测A的动量, 则B的动量确定 }    分别测出B的坐标与分量     $\Rightarrow$    
x, p为B的不依赖于测量的可观实在  
 $\rightarrow$  Heisenberg 不确定性原理矛盾

## e. 隐变量理论 Bohm 1952

存在经典意义上的(客观实在)隐变量

## f. 实在论

Copenhagen 测量之前, 经典性质的实在无意义

## 5. 波函数的讨论

### a. 波函数的物理意义

Born 的几何解释: 坐标空间中的波函数, 即将  $|ψ\rangle$  用  $\{|\mathbf{r}\rangle\}$  展开, 展开系数为波函数  
同理, 可将  $|ψ\rangle$  用  $\{|\mathbf{p}\rangle\}$  展开

量

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle$$

$$|\psi\rangle = \int d^3r |\psi(r)\rangle / r$$

$$|\psi\rangle = \int d^3p |\varphi(p)\rangle / p$$

象

$$\Rightarrow \{|\psi_n\rangle\}$$

$\Rightarrow \{|r\rangle\}$ , 粒子在  $r \rightarrow r + dr$  上出现概率  $|\psi(r)|^2$

$$\text{归一化} \int d^3r |\psi(r)|^2 = 1$$

$\Rightarrow \{|p\rangle\}$ , 粒子在  $p \rightarrow p + dp$  上出现概率  $|\varphi(p)|^2$

$$\text{归一化} \int d^3p |\varphi(p)|^2 = 1$$

Fourier Transformation

$$2\psi(r) = \frac{1}{(2\pi\hbar)^{3/2}} \int \varphi(p) e^{i\vec{p} \cdot \vec{r}/\hbar} d^3p \quad \text{with } \vec{p} = \hbar \vec{k}$$

$$\varphi(p) = \frac{1}{(2\pi\hbar)^{3/2}} \int 2\psi(r) e^{-i\vec{p} \cdot \vec{r}/\hbar} d^3r$$

$$\text{计算例 (归一化): } \int \varphi^*(p) \varphi(p) d^3p = \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r d^3r' \psi^*(r) \psi(r') e^{-i\vec{p} \cdot (\vec{r} - \vec{r}')/\hbar}$$

$$= \int d^3r d^3r' \psi^*(r) \psi(r') \delta(r - r') \text{ with } \boxed{\delta(\vec{r} - \vec{r}') = \frac{1}{(2\pi\hbar)^3} \int e^{i\vec{p} \cdot (\vec{r} - \vec{r}')/\hbar} d^3p}$$

$$= \int d^3r \psi^*(r) \psi(r)$$

$$\boxed{\vec{p} e^{-i\vec{p} \cdot \vec{r}/\hbar} = i\hbar \nabla_r e^{-i\vec{p} \cdot \vec{r}/\hbar}}$$

b. 测量与测量的期望值

位置期望值,  $\bar{r} = \int d^3r |\psi(r)|^2 r$

$$\text{动量期望值, } \bar{p} = \int d^3p |\psi(p)|^2 p = \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r d^3r' \psi^*(r') \psi(r') e^{i\vec{p} \cdot (\vec{r} - \vec{r}')/\hbar} \vec{p}$$

$$= \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r \psi^*(r) e^{i\vec{p} \cdot \vec{r}/\hbar} \int d^3r' \psi(r') i\hbar \nabla_r e^{-i\vec{p} \cdot \vec{r}'/\hbar}$$

$$\xrightarrow{\text{integrate by parts}} \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r \psi^*(r) e^{i\vec{p} \cdot \vec{r}/\hbar} \int d^3r' e^{-i\vec{p} \cdot \vec{r}'/\hbar} \left[ i\hbar \nabla_r \psi(r') \right]$$

$$= \int d^3r d^3r' \delta(r - r') \psi^*(r) (-i\hbar \nabla_r) \psi(r)$$

$$= \int d^3r \psi^*(r) - i\hbar \nabla_r \psi(r)$$

### 第三章 量子力学的初步描述

#### 1. 量子态

量子数

a.  $|\psi\rangle$  ket矢 满足态叠加原理的所有可能态 $|\psi\rangle$ 组成的复矢量空间为态空间 (Hilbert Space)

运算:  $c|\alpha\rangle = |\alpha\rangle c$ ,  $|\alpha\rangle \rightarrow c|\alpha\rangle$  表示同一量子态

b.  $\langle\psi|$  bra矢 定义为与 $|\psi\rangle$ 共轭的矢量 由所有 $\langle\psi|$ 构成的复空间为态空间的共轭空间

运算:  $c\langle\psi| = \langle\psi|c$

$$(|\psi\rangle)^{\dagger} = \langle\psi| \quad (\langle\psi|)^{\dagger} = |\psi\rangle \quad (c|\psi\rangle)^{\dagger} = \langle\psi|c^* \quad (c_1|\psi_1\rangle + c_2|\psi_2\rangle + \dots + c_n|\psi_n\rangle)^{\dagger} = \langle\psi_1|c_1^* + \dots + \langle\psi_n|c_n^*$$

c. 内积  $\langle\alpha|\beta\rangle \rightarrow \text{Complex Number}$  要求 (i)  $\langle\alpha|\beta\rangle = \langle\beta|\alpha\rangle^*$

(ii)  $\langle\alpha|\alpha\rangle \geq 0$ , 且  $\langle\alpha|\alpha\rangle = 0$ , 则  $|\alpha\rangle$  为空态 Null

d. 直积  $|\alpha\rangle \otimes |\beta\rangle = |\alpha\rangle|\beta\rangle = |\alpha, \beta\rangle \sim$  两个独立的状态拼接在一起

e.g. Schwartz ineq.  $|\langle\alpha|\beta\rangle| \leq \sqrt{\langle\alpha|\alpha\rangle\langle\beta|\beta\rangle}$

Proof:  $(\langle\alpha| + \langle\beta|\lambda^*)(|\alpha\rangle + \lambda|\beta\rangle) \geq 0$ , 令  $\lambda = -\frac{\langle\beta|\alpha\rangle}{\langle\beta|\beta\rangle}$

$$\Rightarrow \langle\alpha|\alpha\rangle - \frac{\langle\beta|\alpha\rangle}{\langle\beta|\beta\rangle}\langle\alpha|\beta\rangle - \frac{\langle\alpha|\beta\rangle}{\langle\beta|\beta\rangle}\langle\beta|\alpha\rangle + \frac{\langle\beta|\alpha\rangle\langle\alpha|\beta\rangle}{|\langle\beta|\beta\rangle|^2}\langle\beta|\beta\rangle \geq 0$$

$$\Rightarrow \langle\alpha|\alpha\rangle\langle\beta|\beta\rangle \geq \langle\alpha|\beta\rangle\langle\beta|\alpha\rangle$$

$$\Rightarrow \sqrt{\langle\alpha|\alpha\rangle\langle\beta|\beta\rangle} \geq |\langle\alpha|\beta\rangle| \quad \text{Q.E.D.}$$

#### 2. Operator

定义为作用在ket矢上的变换, 理解为矩阵,  $\hat{A}|\psi\rangle = |\psi\rangle$

Linear Operator, 力学量算符均为线性算符

Identical Operator  $\hat{I}|\psi\rangle = |\psi\rangle$

对任意态 $|\psi\rangle$ 和 $|\phi\rangle$ , 有  $\langle\psi|\hat{A}|\phi\rangle = \langle\phi|\hat{B}|\psi\rangle$ , 则  $\hat{A} = \hat{B}$

算符的积:  $\hat{A}\hat{B}|\psi\rangle = \hat{A}(\hat{B}|\psi\rangle) \quad \hat{A}\hat{B}\hat{C} = (\hat{A}\hat{B})\hat{C} = \hat{A}(\hat{B}\hat{C})$

基本对易关系 (1-Dim):  $[\hat{x}, \hat{p}] = \hat{x}\hat{p} - \hat{p}\hat{x} = i\hbar$

基本假设

形式推导:  $\hat{x}\hat{p}|\psi\rangle \Rightarrow \hat{x}(-i\hbar \frac{\partial}{\partial x})|\psi\rangle$   
 $\hat{p}\hat{x}|\psi\rangle \Rightarrow (-i\hbar \frac{\partial}{\partial x})(x|\psi\rangle) = -i\hbar\psi - i\hbar x \frac{\partial\psi}{\partial x}$

同理可推得  $[\hat{x}, \hat{p}_y] = 0$ , 故一般的三维对易关系为  $[\hat{x}_a, \hat{p}_b] = i\hbar \delta_{ab}$   
 以及  $[\hat{x}_a, \hat{x}_b] = 0, [\hat{p}_a, \hat{p}_b] = 0$

**对易关系**  $[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}, \quad \{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A}$

则有  $[\hat{A}, \hat{B} \pm \hat{C}] = [\hat{A}, \hat{B}] \pm [\hat{A}, \hat{C}]$  类似于线性

$$[\hat{A}, \hat{B}\hat{C}] = \hat{A}\hat{B}\hat{C} - \hat{B}\hat{A}\hat{C} + \hat{B}\hat{A}\hat{C} - \hat{B}\hat{C}\hat{A} = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]$$

$$[\hat{A}\hat{B}, \hat{C}] = \hat{A}\hat{B}\hat{C} - \hat{A}\hat{C}\hat{B} + \hat{A}\hat{C}\hat{B} - \hat{C}\hat{A}\hat{B} = \hat{A}[\hat{B}, \hat{C}] + [\hat{A}, \hat{C}]\hat{B}$$

例: 角动量算符的对易关系  $\hat{\vec{r}} = \hat{\vec{r}} \times \hat{\vec{p}}$ , (此时  $\hat{\vec{p}} = \hat{p}_x \hat{e}_x + \hat{p}_y \hat{e}_y + \hat{p}_z \hat{e}_z$ )

$$\Rightarrow \begin{cases} \hat{l}_x = \hat{y}\hat{p}_z - \hat{z}\hat{p}_y \\ \hat{l}_y = \hat{z}\hat{p}_x - \hat{x}\hat{p}_z \\ \hat{l}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x \end{cases} \Rightarrow \hat{l}_i = \epsilon_{ijk} \hat{x}_j \hat{p}_k$$

容易得到  $[\hat{l}_x, \hat{l}_y] = 0$ ,

$$[\hat{l}_x, \hat{y}] = [\hat{y}\hat{p}_z - \hat{z}\hat{p}_y, \hat{y}] = [\hat{y}\hat{p}_z, \hat{y}] - [\hat{z}\hat{p}_y, \hat{y}] = -\hat{z}[\hat{p}_y, \hat{y}] = i\hbar\hat{z}$$

$$[\hat{l}_i, \hat{l}_j] = [\epsilon_{ikl} \hat{x}_k \hat{p}_l, \hat{x}_j] = \epsilon_{ikl} \hat{x}_k [\hat{p}_l, \hat{x}_j] = -i\hbar \epsilon_{ikl} \hat{x}_k = i\hbar \epsilon_{ijk} \hat{x}_k$$

同理也有  $[\hat{l}_i, \hat{p}_j] = i\hbar \epsilon_{ijk} \hat{p}_k$ ,  $[\hat{l}_i, \hat{l}_j] = i\hbar \epsilon_{ijk} \hat{l}_k$ ,  $[\hat{l}_i, \hat{x}^a] = [\hat{l}_i, \hat{p}^a] = [\hat{l}_i, \hat{l}^a] = 0$

定义  $\hat{l}_{\pm} = \hat{l}_x + i\hat{l}_y$ ,  $[\hat{l}_z, \hat{l}_{\pm}] = \pm \hbar \hat{l}_{\pm}$

**算符的逆**  $\hat{A}|\psi\rangle = |\psi\rangle$ , 则定义  $\hat{A}^{-1}$ ,  $\hat{A}^{-1}|\psi\rangle = |\psi\rangle$   $(\hat{A}\hat{B})^{-1} = \hat{B}^{-1}\hat{A}^{-1}$

**算符的幂**  $\hat{A}^n = \underbrace{\hat{A} \cdots \hat{A}}_n$ , 可以定义  $e^{\alpha \hat{A}} = \sum_{n=0}^{\infty} \frac{\hat{A}^n \alpha^n}{n!}$

$$\frac{d}{d\alpha} (e^{\alpha \hat{A}}) = \sum_n \frac{1}{n!} n \alpha^{n-1} \hat{A}^n = \underbrace{e^{\alpha \hat{A}} \hat{A}}_{\text{利用对易换位置}} \xrightarrow{[\hat{A}^n, \hat{A}] = 0} \hat{A} e^{\alpha \hat{A}}$$

## 常用公式小结

$$1. [\hat{A}, f(\hat{B})] = [\hat{A}, \hat{B}] f'(\hat{B}) \quad \text{when } [\hat{A}, [\hat{A}, \hat{B}]] = 0$$

一些常用的结果:

$$1. [\hat{A}, \hat{B}^n] = n[\hat{A}, \hat{B}]\hat{B}^{n-1}, [\hat{A}, e^{\lambda\hat{B}}] = \lambda[\hat{A}, \hat{B}]e^{\lambda\hat{B}}$$

$$2. [\hat{x}, F(\hat{p})] = i\hbar F'(\hat{p}), [\hat{p}, G(\hat{x})] = -i\hbar G'(\hat{x})$$

$$2. \text{ Baker Hausdorff eq. } e^{\lambda\hat{A}}\hat{B}e^{-\lambda\hat{A}} = \sum_{n=0}^{\infty} \frac{\lambda^n \hat{G}}{n!}$$

$$3. \text{ Glauber eq. } e^{\hat{A}+\hat{B}} = e^{\hat{A}} e^{\hat{B}} e^{-\frac{1}{2}[\hat{A}, \hat{B}]} \quad \text{when } [\hat{A}, [\hat{A}, \hat{B}]] = [\hat{B}, [\hat{A}, \hat{B}]] = 0$$

$$\text{e.g. } e^{\alpha\hat{A}^+ - \alpha^*\hat{A}} = e^{\alpha\hat{A}^+} e^{-\alpha^*\hat{A}} e^{-\frac{1}{2}\hat{H}^2}$$

**算符与 bra 失的作用** 算符可以从右边作用于 bra 失上，其结果亦为 bra 失

$$\langle \psi | \hat{A}^\dagger = \hat{A}^\dagger | \psi \rangle \quad \text{or} \quad \langle \psi | \hat{A}^\dagger = (\hat{A}^\dagger | \psi \rangle)^\dagger$$

$$\text{thus } \langle \psi | \hat{A}^\dagger | \psi \rangle = \langle \psi | (\langle \psi | \hat{A} \rangle)^\dagger \xleftarrow{\text{using } \langle \alpha | \beta \rangle = \langle \beta | \alpha \rangle^*} \langle \psi | \hat{A} | \psi \rangle^*$$

$$\text{Similarly } \langle \psi | (\hat{A}^\dagger)^\dagger | \psi \rangle = \langle \psi | \hat{A}^\dagger | \psi \rangle^* = \langle \psi | \hat{A} | \psi \rangle \Rightarrow (\hat{A}^\dagger)^\dagger = \hat{A}, (\hat{A}\hat{B})^\dagger = \hat{B}^\dagger \hat{A}^\dagger$$

$$\text{对于一个简单的复数 } \langle \psi | \hat{A} | \psi \rangle^{\dagger} = \langle \psi | \hat{A}^\dagger | \psi \rangle = \langle \psi | \hat{A} | \psi \rangle^*$$

**外积**  $|\alpha\rangle\langle\beta|$  本质为算符，with  $(|\alpha\rangle\langle\beta|)^\dagger = |\beta\rangle\langle\alpha|$

## 3. Hermitian 厄米算符

$$\text{def: } \hat{A}^\dagger = \hat{A}$$

本征值和本征态

a. 算符的本征问题  $\hat{A}|\psi_n\rangle = a_n|\psi_n\rangle$  本征值 本征态, then we get  $\{a_n\} \{|\psi_n\rangle\}$

b. 厄米算符的本征值为实数, 且对应不同本征值的本征态相互正交

Proof:  $\hat{A}|\psi_m\rangle = A_m|\psi_m\rangle \Rightarrow \langle \psi_m | \hat{A} | \psi_n \rangle \xrightarrow{\text{非简并的}} A_n \langle \psi_m | \psi_n \rangle$  if  $\langle \alpha | \beta \rangle = 0$ , then  $|\alpha\rangle|\beta\rangle$  is orthogonal  
 $\langle \psi_m | \hat{A} = \langle \psi_m | A_m^* \Rightarrow \langle \psi_m | A_m^* \xrightarrow{\Delta} A_m^* \langle \psi_m | \psi_n \rangle$

$$\text{thus } (A_n - A_m^*) \langle \psi_m | \psi_n \rangle, \text{ if } m=n, \text{ then } A_n - A_n^* = 0 \Rightarrow A_n \in \mathbb{R}$$

$$\text{if } m \neq n, \text{ & } A_m \neq A_n, \text{ then } \langle \psi_m | \psi_n \rangle = 0, \text{ Orthogonal}$$

$\hat{A}$  可在本征基下展开成

$$\hat{A} = \sum_i A_i |i\rangle \langle i|, A_i \text{ 为本征值}$$

c. 厄米算符的归一化, 非简并本征态集合构成对称空间的一组正交完备基

$$\text{正交性: } \langle \psi_m | \psi_n \rangle = \delta_{mn} \quad \text{完备性: } \sum_n |\psi_n\rangle \langle \psi_n| = \hat{I}$$

$$\text{对任意态 } |\psi\rangle = \sum_n c_n |\psi_n\rangle \Rightarrow \langle \psi_n | \psi \rangle = c_m$$

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle = \sum_n \langle \psi_n | \psi \rangle |\psi_n\rangle = \left( \sum_n \langle \psi_n | \psi \rangle \langle \psi_n | \right) |\psi\rangle$$

\* 对于连续基的情况  $\langle r | r \rangle = \delta(r - r)$ ,  $\int d^3r |r\rangle \langle r| = \hat{1}$

$$|\psi\rangle = \int d^3r \psi(r) |r\rangle \quad \langle r | \psi \rangle = \int d^3r \psi(r) \langle r | r \rangle = \psi(r)$$

$$\text{then } \langle \psi | \psi \rangle = \langle \psi | \left( \sum_n |\psi_n\rangle \langle \psi_n| \right) | \psi \rangle = \sum_n C_n C_n^* = 1$$

$$\Rightarrow \langle \psi | \left( \int d^3r |r\rangle \langle r| \right) | \psi \rangle = \int d^3r \psi(r) \psi(r) = 1$$

$$\bar{A} = \sum_n |C_n|^2 A_n = \sum_n A_n \langle \psi | \psi_n \rangle \langle \psi_n | \psi \rangle = \sum_n \langle \psi | (A_n | \psi_n \rangle) \langle \psi_n | \psi \rangle = \sum_n \langle \psi | \hat{A} (| \psi_n \rangle \langle \psi_n |) | \psi \rangle = \langle \psi | \hat{A} | \psi \rangle$$

with  $\hat{A} | \psi_n \rangle = A_n | \psi_n \rangle$

equivalent to 1

#### d. 厄米算符的性质

i) 于任意量子态下, Hermitian 期望值为实数

$$\bar{A} = \langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{A}^\dagger | \psi \rangle^* = \langle \psi | \hat{A} | \psi \rangle^* = \bar{A}^*$$

ii) 于任意量子态下, 期望值为实数的 Operator 为 Hermitian

Proof: 取  $|\psi\rangle = |\psi_1\rangle + c |\psi_2\rangle$ , 则  $\langle \psi | = \langle \psi_1 | + \langle \psi_2 | c^*$ ,

$$\bar{A} = \langle \psi | \hat{A} | \psi \rangle = \bar{A}^* = \langle \psi | \hat{A} | \psi \rangle^*, \text{ 并取 } c=1 \& i, \text{ 可得 } \langle \psi | \hat{A} | \psi \rangle = \langle \psi | \hat{A}^\dagger | \psi \rangle \text{ 即 } \hat{A} = \hat{A}^\dagger$$

例:  $\hat{p}_x$  的本征态

$$\hat{p}_x |p_x\rangle = p_x |p_x\rangle \Rightarrow -i\hbar \frac{\partial}{\partial x} \psi_{p_x}(x) = p_x \psi_{p_x}(x) \Rightarrow \psi_{p_x} = \frac{1}{\sqrt{2\pi\hbar}} e^{ip_x x/\hbar}$$

#### 4. 简谐振子的代数算法

##### a. 一维谐振子的能量本征问题

$$H = \frac{p^2}{2m} + \frac{1}{2} m\omega^2 x^2 \sim \hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m\omega^2 \hat{x}^2$$

$$\text{定义算符} \quad \begin{cases} \hat{a} = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{m\omega}{\hbar}} \hat{x} + \sqrt{\frac{i}{m\omega\hbar}} \hat{p} \right) \\ \hat{a}^\dagger = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{m\omega}{\hbar}} \hat{x} - \sqrt{\frac{i}{m\omega\hbar}} \hat{p} \right) \end{cases} \Rightarrow \begin{cases} \hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^\dagger) \\ \hat{p} = \sqrt{\frac{m\omega\hbar}{2}} (\hat{a} - \hat{a}^\dagger) \end{cases}$$

$$\begin{aligned} \hat{a} &\neq \hat{a}^\dagger \\ \hat{x} &= \hat{x}^\dagger \\ \hat{p} &= \hat{p}^\dagger \end{aligned} \quad \text{由 } [\hat{x}, \hat{p}] = i\hbar \quad \text{推得 } [\hat{a}, \hat{a}^\dagger] = 1 \quad \therefore \hat{H} = \hbar\omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right) = \hbar\omega \left( \frac{1}{2} + n \right)$$

$\hat{N} = \hat{a}^\dagger \hat{a}$ , 设  $\hat{N}|n\rangle = n|n\rangle$ , 则  $\hat{H}|n\rangle = (n + \frac{1}{2})\hbar\omega|n\rangle$

$$[\hat{N}, \hat{a}] = [\hat{a}^\dagger \hat{a}, \hat{a}] = -\hat{a}, \quad [\hat{N}, \hat{a}^\dagger] = [\hat{a}^\dagger \hat{a}, \hat{a}^\dagger] = \hat{a}^\dagger$$

$$\begin{cases} \hat{N} \hat{a}^\dagger |n\rangle = (\hat{a}^\dagger \hat{N} + \hat{a}^\dagger) |n\rangle = (n+1) \hat{a}^\dagger |n\rangle \Rightarrow \hat{a}^\dagger |n\rangle = c |n+1\rangle \end{cases}$$

$$\begin{cases} \hat{N} \hat{a} |n\rangle = (\hat{a} \hat{N} - \hat{a}) |n\rangle = (n-1) \hat{a} |n\rangle \Rightarrow \hat{a} |n\rangle = d |n-1\rangle \end{cases}$$

$$\langle n | \hat{a}^\dagger \hat{a} | n \rangle = \langle n-1 | d^\dagger d | n-1 \rangle = |d|^2 = \langle n | n | n \rangle = n \quad \text{取 } d = \sqrt{n} \Rightarrow \begin{cases} \hat{a}^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle \\ \hat{a} |n\rangle = \sqrt{n} |n-1\rangle \end{cases}$$

连续地把  $a$  作用于  $|n\rangle$  上，同时  $n = \langle n | \hat{a}^\dagger \hat{a} | n \rangle \geq 0$ ，设存在  $n$  的下限记为  $n_0$

if  $n_0 = 0$ , then  $n$  is positive integer

if  $0 < n_0 < 1$ , then  $\hat{a} |n_0\rangle = \sqrt{n_0} |n_0-1\rangle$ ,  $\hat{N} \hat{a} |n_0\rangle = (n_0-1) \hat{a} |n_0\rangle$  is impossible

then  $\begin{cases} \hat{a} |n\rangle = \sqrt{n} |n-1\rangle \\ \hat{a} |n-1\rangle = \sqrt{n-1} |n-2\rangle \\ \vdots \\ \hat{a} |1\rangle = |0\rangle \\ \hat{a} |0\rangle = 0 \end{cases}$  能谱  $\hat{A} |n\rangle = E_n |n\rangle$ ,  $E_n = (n+\frac{1}{2})\hbar\omega$ ,  $n=0, 1, 2, \dots$  (离散化)

对于  $n=0$ ,  $E_0 = \frac{1}{2}\hbar\omega$  为真能, 来源于测不准 (量子涨落)  $\sim$  Dark Energy?

$$\begin{aligned} \text{对于 fake 态, } |n\rangle &= \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}} |0\rangle, \quad \begin{cases} \hat{x}|n\rangle = \sqrt{\frac{\hbar}{2m\omega}} (\sqrt{n} |n-1\rangle + \sqrt{n+1} |n+1\rangle) \\ \hat{p}|n\rangle = -i\sqrt{\frac{m\omega\hbar}{2}} (\sqrt{n} |n-1\rangle - \sqrt{n+1} |n+1\rangle) \end{cases} \end{aligned}$$

$$\Rightarrow \langle n | \hat{x} | n \rangle = 0, \quad \langle n | \hat{p} | n \rangle = 0, \quad \langle n | \hat{x}^2 | n \rangle \neq 0, \quad \langle n | \hat{p}^2 | n \rangle \neq 0. \quad \Delta x \Delta p = (n+\frac{1}{2})\hbar$$

### b. fake 态的波函数

$$\text{出发点: } \langle \hat{x} | \hat{a} | 0 \rangle = 0 \Rightarrow \langle x | (\hat{x} + \frac{i}{m\omega} \hat{p}) | 0 \rangle = 0 \Rightarrow (x + \frac{i}{m\omega} \frac{\partial}{\partial x}) \psi(x) = 0, \text{ 其中 } \psi(0) = \langle 0 | \hat{a} | 0 \rangle$$

$$\text{解得 } \psi(x) = \frac{1}{\pi^{1/4} \sqrt{x_0}} e^{-\frac{1}{2} \left( \frac{x}{x_0} \right)^2}, \text{ 其中 } x_0 = \sqrt{\hbar/m\omega} \text{ 为特征长度.}$$

$$\langle \hat{x} | \psi \rangle = \langle \hat{x} | \hat{a}^\dagger | 0 \rangle = \frac{1}{\sqrt{x_0}} \left( x - x_0^2 \frac{\partial}{\partial x} \right) \psi(x)$$

$$\langle \hat{x} | \psi \rangle = \frac{1}{\pi^{1/4} \sqrt{2^n n!}} \left( \frac{1}{x_0^{n+1}} \right) \left( x - x_0^2 \frac{\partial}{\partial x} \right)^n e^{-\frac{1}{2} \left( \frac{x}{x_0} \right)^2} \text{ Hermit 多项式}$$

### C. 相干态 Coherent State

Complex Number as  $|x\rangle$  is not a Hermitian

$\hat{a}$  的本征态:  $\hat{a} |\alpha\rangle = \alpha |\alpha\rangle \Leftrightarrow \langle \alpha | \hat{a}^\dagger = \langle \alpha | \alpha^*$

i) 相干态用 fake 态表示  $|\alpha\rangle = \sum_n |n\rangle \langle n | \alpha \rangle$ , with  $\langle n | \alpha \rangle = \frac{\alpha^n}{\sqrt{n!}} \langle 0 | \alpha \rangle$

$$\text{let } \langle \alpha | \alpha \rangle = 1, \text{ then } 1 = \sum_n \langle \alpha | n \rangle \langle n | \alpha \rangle = \sum_n \frac{|\alpha|^{2n}}{n!} |\langle 0 | \alpha \rangle|^2 = e^{|\alpha|^2} |\langle 0 | \alpha \rangle|^2$$

$$\text{then } \langle 0 | \alpha \rangle = e^{-\frac{1}{2} |\alpha|^2}$$

$$\Rightarrow |\alpha\rangle = e^{-\frac{1}{2} |\alpha|^2} \sum_n \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad \& \quad |\alpha\rangle = e^{-\frac{1}{2} |\alpha|^2} e^{\alpha^\dagger \hat{a}^\dagger} |0\rangle$$

ii) 粒子数  $\langle \alpha | \hat{N} | \alpha \rangle = \langle \alpha | \hat{a}^\dagger \hat{a} | \alpha \rangle = |\alpha|^2 \langle \alpha | \alpha \rangle = |\alpha|^2 \sim$  模方具有观察意义  $= \bar{n}$

$$P_n = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} = e^{-\bar{n}} \frac{\bar{n}^n}{n!} \sim P(\bar{n}) \text{ Poisson Distribution}$$

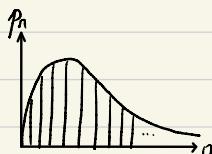
iii) 正交归一的完备性能保证吗

$$\langle \alpha | \alpha \rangle = 1, \quad \langle \alpha | \beta \rangle = \sum_{m,n} \frac{\alpha^m}{\sqrt{m!}} \frac{\beta^n}{\sqrt{n!}} \langle m | n \rangle e^{-\frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2} = \sum_n \frac{(\alpha^\dagger \beta)^n}{n!} e^{-\frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2} = \sum_n e^{\alpha^\dagger \beta - \frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2}$$

$$\int d^2 \alpha \langle \alpha | \alpha \rangle = \pi^1$$

$$\text{复平面上积, } \alpha = pe^{i\varphi} \text{ 由 } \int_0^{2\pi} d\varphi e^{i\varphi(n-m)} = 2\pi \delta_{mn}$$

$$\{|n\rangle\} \Rightarrow \begin{cases} \langle n | m \rangle = \delta_{mn} \\ \sum_n |n\rangle \langle n | = \mathbb{I} \end{cases}$$



$$\text{考虑时间演化算符 } |\psi, t-t_0\rangle = \exp(-i\frac{\hbar E}{\hbar})(|\psi, t\rangle) \text{ for } |\psi, t\rangle = H|\psi\rangle$$

$$|\alpha, n\rangle = n|\psi\rangle \text{ 则 } |\alpha, t-t_0\rangle = e^{-i\frac{\hbar\omega_n t_0}{\hbar}} \sum \frac{e^{-i\hbar\omega_n t}}{\sqrt{n!}} |\alpha^n, n\rangle \Rightarrow \alpha' = \alpha e^{-i\hbar\omega t_0} \text{ (转动)}$$

iv) 相干态下的不确定度关系 定义为位置/动量算符

 取实  $\hat{x}_1 = \frac{1}{2}(\hat{a} + \hat{a}^*)$ ,  $\langle \alpha | \hat{x}_1 | \alpha \rangle = \frac{1}{2}(\alpha + \alpha^*) = \text{Re}\alpha$

取虚  $\hat{x}_2 = \frac{1}{2i}(2 - \hat{a}^2)$ ,  $\langle \alpha | \hat{x}_2 | \alpha \rangle = \frac{1}{2i}(\alpha - \alpha^*) = \text{Im}\alpha$

$$\hat{x}_1^2 = \frac{1}{4}(\hat{a}^2 + \hat{a}^{*2} + \hat{a}\hat{a}^* + \hat{a}^*\hat{a}), \quad \langle \alpha | \hat{x}_1^2 | \alpha \rangle = \langle \hat{x}_1^2 \rangle_\alpha = \frac{1}{4}(\alpha^2 + \alpha^{*2} + 2|\alpha|^2 + 1) = \frac{1}{4}[(\alpha + \alpha^*)^2 + 1] = (\text{Re}\alpha)^2 + \frac{1}{4}$$

$$\langle \hat{x}_2^2 \rangle_\alpha = -\frac{1}{4}(\alpha^2 + \alpha^{*2} - 2|\alpha|^2) = -\frac{1}{4}[(\alpha - \alpha^*)^2 - 1] = (\text{Im}\alpha)^2 + \frac{1}{4}$$

$$\Delta x_1 = \sqrt{\langle \hat{x}_1^2 \rangle - \langle \hat{x}_1 \rangle^2} = \frac{1}{2} = \Delta x_2, \quad \Delta x_1 \Delta x_2 = \frac{1}{4} \text{ 最小不确定度}$$

#### d. 三维谐振子

$$\hat{H} = \sum_{i=x,y,z} \left( \frac{\hat{p}_i^2}{2m} + \frac{1}{2}m\omega^2 \hat{r}_i^2 \right) \quad [\hat{r}_i, \hat{p}_j] = i\hbar\delta_{ij} \quad [\hat{a}_i, \hat{a}_j^*] = \delta_{ij}$$

$$\hat{H} = \left( \hat{N}_x + \hat{N}_y + \hat{N}_z + \frac{3}{2} \right) \hbar\omega \quad \text{直积 } |n_x, n_y, n_z\rangle = |n_x, n_y, n_z\rangle \quad \begin{aligned} &\xrightarrow{\text{简并子空间}} & \langle n_x=1, n_y=0 | n_x=0, n_y=1 \rangle = 0 \\ &\Rightarrow & \langle n_x=1 \otimes \langle n_y=0 | n_x=0 \otimes |n_y=1 \rangle \\ && \Rightarrow 0 \end{aligned}$$

$$E_{n_x, n_y, n_z} = (n_x + n_y + n_z + \frac{3}{2}) \hbar\omega, \quad n_x, n_y, n_z = 0, 1, 2, \dots$$

当  $E = \frac{5}{2}\hbar\omega$  时,  $|100\rangle, |010\rangle, |001\rangle$  三态简并

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 \hat{r}^2 - gE\hat{x} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 \left( \hat{x} - \frac{gE}{m\omega^2} \right)^2 - E_0, \quad \text{仍有 } [\hat{x}, \hat{p}] = i\hbar$$

利用  $[\hat{p}, \hat{x}] = -i\hbar$ , 则  $[\hat{p}, \hat{x}^n] = n(-i\hbar)\hat{x}^{n-1}$

$f(x) = f(0) + f'(0)x + \frac{f''(0)}{2!}x^2 + \dots$ , 则  $[\hat{p}, f(x)] = -i\hbar \frac{\partial f(x)}{\partial x}$

## 第四章 表象representation与表象交换

### 1 表象与量子态的表达方式

矢量 → 量子态

坐标系 → 表象

坐标 → 量子态表示 → 矩阵、波函数

### 2 如何确定表象

$|4\rangle = \sum_n C_n |4_n\rangle$  用力学量的本征态作为表象的基，可以用本征值为量子数特定基组中的态

a 如  $\hat{A}$  的本征态非简并，则它构成一组正交归一完备的基

Hermitian 任意态均可用其展开。 $|4\rangle = \sum_n C_n |4_n\rangle$  且每个均可用  $A_m$  唯一确定

b  $\hat{A}$  的本征值存在简并

$\{A_1, A_2, \dots, A_m, \dots, A_n\}$  对于简并子空间的正交基与维度

$\{|4\rangle, |4_1\rangle, \dots, |4_m\rangle, \dots, |4_n\rangle\}$  (i)  $\hat{A}|4_{\alpha m}\rangle = A_m|4_{\alpha m}\rangle \quad \alpha=1, 2, \dots, s$

s↑

(ii) 构造  $\{|4_{\alpha m}\rangle\}$  两两正交 (Schmidt 正交化)

(iii) 使所有  $\sum_{\alpha} C_{\alpha} |4_{\alpha m}\rangle$  构成  $\hat{A}$  算符本征值  $A_m$  的简并子空间。  
( $\alpha=1, 2, \dots, s$ )

性质

(i)  $\hat{A}(\sum_{\alpha} C_{\alpha} |4_{\alpha m}\rangle) = A_m(\sum_{\alpha} C_{\alpha} |4_{\alpha m}\rangle)$

(ii) 简并子空间内任意态与本征值不为  $A_m$  的本征态正交

(iii) 简并子空间内的任意态均可表示为  $\{|4_{\alpha m}\rangle\}$  的线性叠加

所有  $\hat{A}$  的本征值为  $A_m$  的本征态均属于该子空间

① 如何唯一地确定  $\{|4_{\alpha m}\rangle\}$ ?  $\Rightarrow$  再引入一力学量  $\hat{B}$ , 其满足  $[\hat{A}, \hat{B}] = 0$ , 由  $\hat{B}$  存在简并子空间内的本征问题确定一组  $\{|4_{\alpha m}\rangle\}$

$$\begin{cases} \hat{A}|4_{\alpha m}\rangle = A_m|4_{\alpha m}\rangle \\ \hat{B}|4_{\alpha m}\rangle = B_{\alpha m}|4_{\alpha m}\rangle \end{cases}$$

共同本征态  $|4_{\alpha m}\rangle \Rightarrow |A_m, B_{\alpha m}\rangle$

\* 定理: 若  $[\hat{A}, \hat{B}] = 0$ , 则  $\hat{A}, \hat{B}$  有共同本征态

$$\langle 4_n | \hat{B} | 4_n \rangle$$

$$\textcircled{1} \text{ 非简并: } 0 = \langle 4_n | \hat{A} \hat{B} - \hat{B} \hat{A} | 4_k \rangle = (A_n - A_k) \langle 4_n | \hat{B} | 4_k \rangle \Rightarrow \langle 4_n | \hat{B} | 4_k \rangle = B_n \delta_{nk}$$

$$\therefore \hat{B}|4_n\rangle = \sum_k B_k |4_k\rangle \langle 4_k | \hat{B} | 4_n \rangle = \sum_k B_k |4_k\rangle B_n \delta_{nk} = B_n |4_n\rangle$$

$$\textcircled{2} \text{ 简并: } \hat{A}(\hat{B}|4_{\alpha m}\rangle) = A_m \hat{B}|4_{\alpha m}\rangle, \hat{B}|4_{\alpha m}\rangle = \sum_p B_{p\alpha} |4_{p\alpha}\rangle$$

$$|4\rangle = \sum_{\alpha} C_{\alpha} |4_{\alpha m}\rangle, \hat{B}|4\rangle = B|4\rangle \Rightarrow \sum_{\alpha} B_{p\alpha} C_{\alpha} = B C_p \rightarrow \begin{pmatrix} B_{p_1} & B_{p_2} & \cdots & B_{p_s} \end{pmatrix} \begin{pmatrix} C_1 \\ \vdots \\ C_s \end{pmatrix} = B \begin{pmatrix} C_1 \\ \vdots \\ C_s \end{pmatrix}$$

以矩阵的观点看

$$\langle \psi_n | A | \psi_n \rangle$$

$$\begin{pmatrix} A_1 & & & \\ & A_2 & & \\ & & \boxed{A_m} & \\ & & & A_n \end{pmatrix}$$

$$\langle \psi_n | B | \psi_n \rangle = \langle \psi_n | \hat{B} | \psi_n \rangle^*$$

$$\begin{pmatrix} B & & & \\ & B_2 & & \\ & & \boxed{\dots} & \\ & & & B_n \end{pmatrix}$$

厄米矩阵，可对角化为对角阵

$$B_m^{(a)} = B_m^{(b)}$$

② 如  $\hat{B}$  在  $\hat{A}$  的简并子空间内仍存在简并，找第三个力学量  $\hat{C}$ ， $[\hat{A}, \hat{C}] = [\hat{B}, \hat{C}] = 0$ ，

并在  $\hat{C}$  的残余子空间内求  $\hat{C}$  的本征问题，依次类推，直至找到一组两两对易的力学量算符，它们的共同本征态由这些算符的本征值完全确定，则  $\{\hat{A}, \hat{B}, \hat{C}\}$  构成体系的力学量完备集

$$|\psi\rangle = \sum_{\alpha, \beta, \gamma} C_{\alpha, \beta, \gamma} |\alpha \beta \gamma\rangle$$

此时  $\{|\alpha \beta \gamma\rangle\}$  构成由该力学量完备集所确定的最弱的基。

例：一维运动  $\hat{x}, \hat{p}$  都构成力学量完备集  $\hat{x}|x\rangle = x|x\rangle, |\psi\rangle = \int dx \psi(x)|x\rangle$

例：二维谐振子  $\hat{H} = (\hat{N}_x + \hat{N}_y + 1) \hbar \omega$

③ 量子涨落，不确定性关系及共同本征态

$$\langle \psi | A | \psi \rangle = \bar{A}$$

$$\text{涨落 } \Delta A = \sqrt{\langle \psi | (A - \bar{A})^2 | \psi \rangle} \quad \text{令 } \Delta A = 0 \Rightarrow \hat{A}|\psi\rangle = \bar{A}|\psi\rangle$$

而  $\Delta x \cdot \Delta p = 0 \Rightarrow$  找不到  $\hat{x}, \hat{p}$  的共同本征态。

不确定性关系

$$\text{依 Schwarz inequality: } \langle \alpha | \alpha \rangle \langle \beta | \beta \rangle \geq |\langle \alpha | \beta \rangle|^2$$

$$\text{构造 } |\alpha\rangle = (\hat{A} - \bar{A})|\psi\rangle, |\beta\rangle = (\hat{B} - \bar{B})|\psi\rangle$$

$$\text{则 } \langle \alpha | \alpha \rangle = \Delta A^2, \langle \beta | \beta \rangle = \Delta B^2, \langle \alpha | \beta \rangle = \langle \psi | \frac{1}{2} [\hat{A}, \hat{B}] + \frac{i}{2} \{ \hat{A} - \bar{A}, \hat{B} - \bar{B} \} | \psi \rangle$$

$$[\hat{A}, \hat{B}]^+ = -[\hat{A}, \hat{B}] \leftarrow \text{反厄米算符}$$

$$\therefore \langle [\hat{A}, \hat{B}] \rangle^+ = -\langle [\hat{A}, \hat{B}] \rangle \Rightarrow \langle [\hat{A}, \hat{B}] \rangle \text{ 为纯虚数}$$

$$[\hat{A} - \bar{A}, \hat{B} - \bar{B}]^+ = \{ \hat{A} - \bar{A}, \hat{B} - \bar{B} \} \Rightarrow \langle [\hat{A} - \bar{A}, \hat{B} - \bar{B}] \rangle \text{ 为实数}$$

$$\Rightarrow (\Delta A)^2 (\Delta B)^2 \geq \frac{1}{4} |\langle [\hat{A}, \hat{B}] \rangle|^2 + \frac{1}{4} |\langle [\hat{A}-\hat{A}, \hat{B}-\hat{B}] \rangle|^2$$

$$\Rightarrow (\Delta A^2 \Delta B^2) \geq \frac{1}{4} |\langle [\hat{A}, \hat{B}] \rangle|^2 \quad \Delta A \Delta B \geq \frac{1}{2} |\langle [\hat{A}, \hat{B}] \rangle|$$

如  $[\hat{A}, \hat{B}] = 0$ , 则  $\Delta A \Delta B$  同时为零, 有共同本征态

反之, 若  $\Delta A \Delta B$  在  $\langle [\hat{A}, \hat{B}] \rangle = 0$ , 且  $\langle [\hat{A}-\hat{A}, \hat{B}-\hat{B}] \rangle = 0$  时, 则必取零.

### 3. 分离谱表示(矩阵表示)

**性质(1)** 算符在其本征态为基的表象下, 其矩阵为对角阵  $\langle \psi_n | \hat{A} | \psi_m \rangle = \delta_{mn} A_m$

(2) 若某算符在某组基矢下的矩阵表示为对角阵, 则该组基矢为算符的本征态, 其对角元为本征值。  $\hat{A} | \psi_n \rangle = \sum_m | \psi_m \rangle \langle \psi_m | \hat{A} | \psi_n \rangle = A_n | \psi_n \rangle$

(3) 期望值  $\langle \psi | \hat{A} | \psi \rangle = \sum_m \langle \psi | \psi_m \rangle \langle \psi_m | \hat{A} | \psi_m \rangle \langle \psi_m | \psi \rangle = \sum_m C_m^* A_m C_m$

(4)  $\hat{A} = \sum_{ij} | \psi_i \rangle \langle \psi_i | \hat{A} | \psi_j \rangle \langle \psi_j | = \sum_{ij} A_{ij} | \psi_i \rangle \langle \psi_j |$

### 4. 分离谱的表象变换

$$\{ | \psi_n \rangle \} \quad \langle \psi_n | \psi_m \rangle = \delta_{mn}$$

$$\sum_n | \psi_n \rangle \langle \psi_n | = \hat{I}$$

$$G(\text{旧})$$

$$| \psi \rangle = \sum_n C_n | \psi_n \rangle = \sum_n C_n | \varphi_n \rangle$$

$$\Rightarrow \sum_n C_n \langle \varphi_\beta | \psi_n \rangle = C_\beta$$

$$\Rightarrow C_\beta = \sum_n \langle \varphi_\beta | \psi_n \rangle C_n = \sum_n S_{\beta n} C_n$$

$$\text{thus} \quad \begin{pmatrix} C_\beta \\ \vdots \\ C_n \end{pmatrix} = \begin{pmatrix} S_{\beta n} & & & \\ \vdots & \ddots & & \\ & & \ddots & \\ & & & S_{nn} \end{pmatrix} \begin{pmatrix} \psi_1 \\ \vdots \\ \psi_n \end{pmatrix}$$

$$C^{(\text{新})} = S C^{(\text{旧})}$$

变换矩阵

$$(S^\dagger S)_{mn} = \sum_\beta (S^\dagger)_{m\beta} (S)_{\beta n} = \sum_\beta \langle \psi_m | \varphi_\beta \rangle \langle \varphi_\beta | \psi_n \rangle = \langle \psi_m | \psi_n \rangle = \delta_{mn}$$

即  $S^\dagger S = I = S S^\dagger$  或  $S^\dagger = S^{-1}$   $S$  为幺正矩阵 (Unitary Matrix) 其不改变向量的模

$$\langle \psi | \psi \rangle$$

$$\text{因} \quad (C^{(\text{F})})^\dagger C^{(\text{F})} = (C^{(\text{G})})^\dagger S^\dagger S \quad C^{(\text{G})} = (C^{(\text{G})})^\dagger C^{(\text{G})}$$

$$\text{对任意} \hat{A}, \quad A_{\alpha\beta} = \langle \varphi_\alpha | \hat{A} | \varphi_\beta \rangle = \sum_m \sum_n \langle \varphi_\alpha | \psi_m \rangle \langle \psi_m | \hat{A} | \psi_n \rangle \langle \psi_n | \varphi_\beta \rangle = \sum_m \sum_n S_{\alpha m} A_{mn} S_{\beta n}^\dagger$$

$$\Rightarrow A^{(\text{F})} = S A^{(\text{G})} S^\dagger, \quad S^\dagger A^{(\text{G})} S = A^{(\text{G})}$$

$$\text{例: } f(\hat{A}) = \sum_n \frac{f^{(n)}(0)}{n!} \hat{A} \quad f^{(\text{F})} = S f^{(\text{G})} S^\dagger \quad \text{且} \quad C^{(\text{F})} = S C^{(\text{G})}$$

$$|\psi\rangle = \sum_n C_n |\psi_n\rangle \quad | \psi \rangle = \sum_n C_n | \varphi \rangle$$

## 5. 连续谱表象 $\{|r\rangle\} \quad |\psi\rangle$

a. 生标表象

$$|\psi\rangle = \int d^3r \psi(r) |r\rangle \quad \text{定义 } \langle r|\psi\rangle = \psi(r)$$

$$\langle \psi| = \int d^3r \langle r|\psi^*(r) \quad \text{正则性 } \langle r|r\rangle = \delta(r-r) \quad \text{Dirac-delta function}$$

$$\langle \psi|\psi\rangle = \int d^3r \psi^*(r) \psi(r)$$

$$\text{算符的表示 } \hat{A}|\psi\rangle = \iint d^3r d^3r' |r\rangle \langle r|\hat{A}|r'\rangle \langle r'|\psi\rangle$$

$$|\psi\rangle = \int d^3r \psi(r) |r\rangle$$

$$\text{故 } |\psi\rangle = \hat{A}|\psi\rangle \Leftrightarrow \psi(r) = \int d^3r' \langle r|\hat{A}|r'\rangle \psi(r') \Leftrightarrow a_n = \sum_m A_{nm} c_m$$

$$\langle r|f(r)\rangle = r' \delta(r-r)$$

$$\langle r|V(\hat{r})|r'\rangle = V(r) \delta(r-r')$$

$$\langle r|\hat{p}|r'\rangle = ?$$

-维情况. 考察  $\langle x|\hat{x}|\psi\rangle = i\hbar \langle x|x\rangle = i\hbar \delta(x-x)$   
 $\Rightarrow \langle x|\hat{x}\hat{x}-\hat{p}\hat{p}|x\rangle = (x-x) \langle x|\hat{p}|x\rangle$

$$\text{若 } \langle x|\hat{p}|x\rangle = i\hbar \frac{\delta(x-x)}{x-x'} = -i\hbar \frac{d}{dx} \delta(x-x)$$

$$\text{三推 } \langle r|\hat{p}|r\rangle = -i\hbar \nabla_r \delta(r-r)$$

$$\langle r|\hat{A}(\hat{p})|r\rangle = A(-i\hbar \nabla_r) \delta(r-r)$$

考虑  $\delta$  性质

$$\int f(x) \frac{d\delta^{(n)}(x)}{dx} dx \xrightarrow[\text{by parts}]{\text{integrate}} \delta^{(n)}(f(x)) \Big|_{-\infty}^{+\infty} - \int \delta^{(n)}(f(x)) dx$$

$$\text{thus } - \int \delta^{(n)}(f(x)) dx = \int f(x) \delta^{(n)}(x) dx \Rightarrow -\delta^{(n)}(f(x)) = f(x) \delta^{(n)}$$

let  $f(x) = x$ , then  $\delta(x) = -x \delta'(x)$

证(i)  $\langle x|\hat{p}|p\rangle = p \langle x|p\rangle$

$$\langle x|\hat{p}|p\rangle = \int dx' \langle x|\hat{p}|x\rangle \langle x'|p\rangle = \int dx' \left[ -i\hbar \frac{\partial}{\partial x} \delta(x-x') \right] \langle x'|p\rangle = \int dx' \left[ i\hbar \frac{\partial}{\partial x} \delta(x-x') \right] \langle x'|p\rangle$$

$$\xrightarrow[\text{by parts}]{\text{integrate}} -i\hbar \frac{\partial}{\partial x} \langle x|p\rangle$$

$$\text{thus } -i\hbar \frac{\partial}{\partial x} \langle x|p\rangle = p \langle x|p\rangle \Rightarrow \langle x|p\rangle \propto e^{ipx/\hbar}, \quad \langle r|p\rangle \propto e^{ip\vec{r}/\hbar}$$

$$\frac{1}{2\pi\hbar} \int e^{i\vec{p}(x-x)/\hbar} dp = \delta(x-x)$$

(ii) 由-1问?  $\langle r|\hat{p}\rangle = \frac{e^{i\vec{p}\vec{r}/\hbar}}{(2\pi\hbar)^3/2}$  with  $\delta(r-r) = \int dp^3 \langle r|\hat{p}\rangle \langle \hat{p}|r\rangle = \frac{1}{(2\pi\hbar)^3} \int e^{i\vec{p}(\vec{r}-\vec{r})/\hbar} dp^3 = \delta(r-r)$

(iii)  $\langle r|\hat{A}(\hat{r})|r\rangle = -i\hbar \vec{r} \cdot \nabla_r \delta(r-r) \quad \langle r|\hat{A}(\vec{r}, \beta)|r\rangle = A(r, -i\hbar \vec{r}) \delta(r-r)$

$$\langle r|\hat{H}|r\rangle = \langle r| \frac{\hat{p}^2}{2m} + V(r) |r\rangle = -\frac{\hbar^2}{2m} \nabla_r^2 \delta(r-r) + V(r) \delta(r-r)$$

$$\text{Schrödinger eq. } i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$$

$$\text{答案 } i\hbar \frac{\partial}{\partial t} \langle r | \psi \rangle = i\hbar \frac{\partial^2 \psi(r)}{\partial r^2} = \langle r | \hat{H} | \psi \rangle = \int d^3r' \langle r | \hat{H} | r' \rangle \psi(r') = -\frac{\hbar^2}{2m} \nabla_r^2 \psi(r) + V(r) \psi(r)$$

$$\text{以及 } \hat{H} |\psi\rangle = E |\psi\rangle \Rightarrow \left[ -\frac{\hbar^2}{2m} \nabla_r^2 + V(r) \right] \psi(r) = E \psi(r)$$

$$(iv) \text{ 期望值的做法 } \langle \psi | \hat{A} | \psi \rangle = \int d^3r \psi^*(r) A(r, -i\hbar \vec{r}) \psi(r)$$

$$b. \text{ 动量表象 } \langle p | \hat{A} | p \rangle = \iint d^3r d^3r' \langle p | r \rangle \langle r | \hat{A} | r' \rangle \langle r' | p \rangle = \int d^3r \underbrace{r}_{\text{对后方括号}} \langle p | r \rangle \langle r | p \rangle = \frac{1}{(2\pi\hbar)^3} \int d^3r \left( i\hbar \frac{\partial}{\partial p} \right) e^{i\vec{p} \cdot \vec{r}/\hbar} = i\hbar \nabla_p \delta(p-p)$$

$$(i) \begin{cases} \langle p | \hat{p} | p \rangle = p \delta(p-p) \\ \langle p | A(p) | p \rangle = A(p) \delta(p-p) \end{cases} \quad \begin{cases} \langle p | \hat{r} | p \rangle = i\hbar \nabla_p \delta(p-p) \\ \langle p | A(\hat{r}) | p \rangle = A(i\hbar \nabla_p) \delta(p-p) \end{cases}$$

(ii)  $\hat{p}$  的厄米性

$$\text{profe. } \langle \psi | \hat{p} | \psi \rangle = \int d^3r \psi^*(r) (-i\hbar \nabla_r) \psi(r) = \psi^*(r) \psi(r) \Big|_{-\infty}^{\infty} + \int d^3r (i\hbar \nabla_r) \psi^*(r) \psi(r) d^3r = 0 + \int \langle r | \psi \rangle (\hat{p} | \psi \rangle)^* d^3r$$

$$= (\hat{p} | \psi \rangle)^* \langle \psi | = \langle \psi | \hat{p}^\dagger | \psi \rangle \Rightarrow \hat{p} = \hat{p}^\dagger$$

$$\begin{aligned} i\hbar \nabla_p \psi^*(r) &= \left[ -i\hbar \nabla_p \psi(r) \right]^* \\ &= \left[ \int d^3r' \langle r | \psi | r' \rangle \langle r' | \psi \rangle \right]^* \\ &= (\langle r | \psi | \psi \rangle)^* = (\hat{p} | \psi \rangle)^* \end{aligned}$$

## 6. 连续谱的算符变换

$$|\psi\rangle = \int d^3r \psi(r) |r\rangle = \int d^3p \psi(p) |p\rangle$$

$$\langle r | \implies \int d^3r \psi(r) \langle r | r \rangle = \int d^3p \psi(p) \langle r | p \rangle$$

$$\begin{cases} \psi(r) = \int d^3p \psi(p) \langle r | p \rangle \\ \psi(p) = \int d^3r \psi(r) \langle p | r \rangle \end{cases} \quad \text{with } \langle r | p \rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{i\vec{p} \cdot \vec{r}/\hbar}$$

$$\langle r | \hat{A} | r \rangle = \int \langle r | p \rangle \langle p | \hat{A} | p \rangle \langle p | r \rangle d^3p dp$$

连续谱  $\Leftrightarrow$  分离谱

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle \quad \therefore c_n = \langle \psi_n | \psi \rangle = \int d^3r \langle \psi_n | r \rangle \langle r | \psi \rangle = \int d^3r \psi_n^*(r) \psi(r)$$

$$\psi(r) = \langle r | \psi \rangle = \sum_n \langle r | \psi_n \rangle \langle \psi_n | \psi \rangle = \sum_n \psi_n(r) c_n$$

$$\text{以及 } A_{nm} = \langle \psi_m | \hat{A} | \psi_n \rangle = \int d^3r d^3r' \psi_m^*(r) \langle r | \hat{A} | r' \rangle \psi_n(r')$$

关于  $\psi_n(r)$  的讨论  $\langle \psi_n | \psi_m \rangle = \langle r | \psi_n \rangle$

$$\begin{cases} \langle \psi_n | \psi_m \rangle = \delta_{mn} \Rightarrow \int d^3r \psi_n^*(r) \psi_m(r) = \delta_{mn} \\ \sum_n |\psi_n\rangle \langle \psi_n| = \hat{I} \Rightarrow \langle r | \left( \sum_n |\psi_n\rangle \langle \psi_n| \right) |r\rangle = \langle r | r \rangle \hat{I} = \delta(r-r') \end{cases}$$
$$\sum_n \psi_n(r) \psi_n^*(r) = \delta(r-r')$$

例 1.  $e^{i\hat{p}_x/\hbar} |\psi\rangle$  (-维情况), 写到坐标系下:

$$\langle x | e^{i\hat{p}_x/\hbar} |\psi\rangle = \int dx' e^{i(-i\hbar \frac{\partial}{\partial x})a/\hbar} \delta(x-x') \psi(x) = e^{\frac{\partial a}{\partial x}} \psi(x) = \sum_n \frac{1}{n!} a^n \frac{\partial^n}{\partial x^n} \psi(x) = \psi(x+a)$$

即  $|\psi\rangle \rightarrow \psi(x)$   $e^{i\hat{p}_x/\hbar} |\psi\rangle \rightarrow \psi(x+a)$

## 第五章 时间演化

a. 时间与空间不同, 不做为 Operator, 只做 parameter

b. 之前的态与算符理论仅为某时刻的刻画

c. 存在多种等价的描述方式

1. Schrödinger eq:  $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{A} |\psi\rangle$  \*公设 \*NR

若  $|\psi\rangle$  为  $\hat{A}$  本征态, 则  $\hat{A} |\psi\rangle = E |\psi\rangle = i\hbar \frac{\partial}{\partial t} |\psi\rangle$ , 解为  $|\psi\rangle = e^{-iEt/\hbar} |\psi(0)\rangle \Rightarrow$  定态 Stationary State

写在坐标系上:  $i\hbar \frac{\partial}{\partial t} \psi(r,t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(r) \right] \psi(r,t)$

Trick: Separate of Variables  $\psi(r,t) = \psi(r) T(t) \Rightarrow \frac{i\hbar}{T(t)} \frac{dT(t)}{dt} = \frac{1}{\psi(r)} \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(r) \right] \psi(r) \stackrel{\text{let}}{=} E$

$\Rightarrow T(t) \propto e^{-iEt/\hbar}$ , thus  $\psi(r,t) = e^{-iEt/\hbar} \psi(r)$ , with  $\left[ -\frac{\hbar^2}{2m} \nabla^2 + V(r) \right] \psi(r) = E \psi(r)$

任选不含时的力学量在定态下的期望值与测量值的概率分布均不随时变化

证: (1)  $\langle \psi_E | e^{iEt/\hbar} \hat{A} e^{-iEt/\hbar} | \psi_E \rangle = \langle \psi_E | \hat{A} | \psi_E \rangle$

(2)  $\hat{A} |\psi_n\rangle = A_n |\psi_n\rangle$   $|\langle \psi_n | \psi_E \rangle e^{-iEt/\hbar}|^2 = |\langle \psi_n | \psi_E \rangle|^2$

2. 任意态的时间演化 利用 Hermitian 的本征正交完备 (b)

$i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{A} |\psi\rangle$  with  $\hat{A} |\psi_n\rangle = E_n |\psi_n\rangle$

thus  $|\psi(t)\rangle = \sum_n C_n(t) |\psi_n\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} \sum_n C_n(t) |\psi_n\rangle = \hat{A} \sum_n C_n(t) |\psi_n\rangle = \sum_n C_n(t) E_n |\psi_n\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} C_n(t) = C_n(t) E_n \Rightarrow C_m(t) = e^{-iE_m t/\hbar} C_m(0)$

对于演化  $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$

$$\textcircled{1} \Rightarrow i\hbar \frac{\partial^2}{\partial t^2} \psi(r,t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V \right] \psi(r,t)$$

$$\text{特解 } \left[ -\frac{\hbar^2}{2m} \nabla^2 + V \right] \psi_n(r) = E_n \psi_n(r) \xrightarrow{\text{总解}} \psi(r,t) = \sum_n C_n e^{-iE_n t/\hbar} \psi_n(r)$$

$$\textcircled{2} \quad \{|\psi_a\rangle\} \quad |\psi\rangle = \sum_a C_a |\psi_a\rangle$$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} C_a = \sum_b H_{ab} C_b$$

(i) do  $|\psi_a\rangle$  为  $\hat{H}$  的本征态,  $\hat{H}_{aa} = E_a \delta_{aa}$

$$i\hbar \frac{\partial^2}{\partial t^2} \left( \begin{array}{c} \\ \\ \end{array} \right) = \left( \begin{array}{ccc} & & \\ & & \\ & & \end{array} \right) \left( \begin{array}{c} \\ \\ \end{array} \right)$$

(ii) do  $|\psi_a\rangle$  非  $\hat{H}$  的本征态

### 3. 时间演化算符

let:  $|\psi(t)\rangle = \hat{U}(t) |\psi(0)\rangle$ , 代入  $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} \hat{U}(t) |\psi(0)\rangle = \hat{H} \hat{U}(t) |\psi(0)\rangle$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} \hat{U}(t) = \hat{H} \hat{U}(t)$$

如  $\hat{H}$  不显含时, 则  $\hat{U}(t) = e^{-i\hat{H}t/\hbar}$  (展开成级数  $= \sum_n \frac{1}{n!} (-i\frac{\hat{H}t}{\hbar})^n \hat{A}^n = -\frac{i}{\hbar} \hat{H} e^{-i\hat{H}t/\hbar}$ )

性质:  $\langle \psi(0) | \psi(t) \rangle = \langle \psi(0) | \hat{U}^\dagger \hat{U} | \psi(0) \rangle = \langle \psi(0) | \psi(0) \rangle \Rightarrow \hat{U}^\dagger \hat{U} = \hat{I} \Rightarrow |\psi(0)\rangle = \hat{U}^\dagger |\psi(t)\rangle \Rightarrow \hat{U} \hat{U}^\dagger = \hat{I}$  约定

例: 期望值的时间演化, Ehrenfest 定理

$$\frac{d}{dt} \langle \psi(t) | \hat{A} | \psi(t) \rangle = \frac{1}{i\hbar} \langle [\hat{A}, \hat{A}] \rangle_\psi + \langle \frac{\partial \hat{A}}{\partial t} \rangle_\psi$$

$$\text{由 } \frac{\partial}{\partial t} \langle \psi(t) | \hat{A} | \psi(t) \rangle = \frac{1}{i\hbar} \hat{A} |\psi(t)\rangle, \frac{\partial}{\partial t} \langle \psi(t) | = -\frac{1}{i\hbar} \langle \psi(t) | \hat{A}$$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} \langle \hat{A} \rangle_\psi = \langle [\hat{A}, \hat{A}] \rangle_\psi + i\hbar \langle \frac{\partial \hat{A}}{\partial t} \rangle_\psi$$

若 $\hat{H}$ 含时, 则形式解 $\hat{U}(t) = \int_0^t (-\frac{i}{\hbar}) \hat{H}(t') \hat{U}(t') dt' + \hat{U}(0)$
可迭代求解 $\hat{U}(t) = \hat{I} + \int_0^t (-\frac{i}{\hbar}) \hat{H}(t') dt' + \int_0^t dt' \int_0^{t'} dt'' \hat{H}(t') \hat{H}(t'') + \dots$
编写算符 $\rightarrow T e^{-\frac{i}{\hbar} \int_0^t \hat{H}(t') dt'}$

例: 在某组基下, 矩阵  $\hat{A}$  表示为  $\begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}$ , 初态为  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ , 求任意时刻的态

法一 (推导) ①求  $\hat{A}$  的本征问题:  $E_1 = \frac{1}{2}, \frac{\sqrt{5}}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$   
 $E_2 = -\frac{1}{2}, \frac{\sqrt{5}}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$$\textcircled{2} \quad \text{态表示为 } \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\sqrt{5}}{2} \left[ \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + \frac{\sqrt{3}}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right]$$

$$\textcircled{3} \quad \text{演化方程 } \frac{1}{2} e^{-i\frac{\sqrt{5}}{2}t} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \frac{1}{2} e^{i\frac{\sqrt{5}}{2}t} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \begin{pmatrix} \cos(\frac{\sqrt{5}}{2}t) \\ -i \sin(\frac{\sqrt{5}}{2}t) \end{pmatrix}$$

法二:  $G$  旧基:  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ -1 \end{pmatrix}$   $S = \begin{pmatrix} 1 & \frac{\sqrt{5}}{2} \\ 1 & -\frac{\sqrt{5}}{2} \end{pmatrix}$   
 $F$  新基:  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$   $S^{-1} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{\sqrt{5}}{2} \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & \frac{\sqrt{5}}{2} \end{pmatrix} = \frac{\sqrt{5}}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$$\text{用 } G: \hat{A} \rightarrow \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} \quad A^{(G)} = S A^{(F)} S^{-1}$$

$$\text{正变换 } S e^{-i \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} t / \hbar} S^{-1} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos \frac{\sqrt{5}t}{2} \\ -i \sin \frac{\sqrt{5}t}{2} \end{pmatrix}$$

$$\begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix} \frac{\sqrt{5}}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$$

$$S^+ e^{-i \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} t / \hbar} S = \begin{pmatrix} e^{-i\frac{\sqrt{5}t}{2}} & 0 \\ 0 & e^{i\frac{\sqrt{5}t}{2}} \end{pmatrix}$$

$$\text{依 Ehrenfest 关系 } i\hbar \frac{d}{dt} \langle \hat{A} \rangle_s = \langle [\hat{A}, \hat{A}] \rangle_s + i\hbar \langle \frac{\partial \hat{A}}{\partial t} \rangle_s$$

守恒量为：不显含时且与  $\hat{A}$  对易的力学量  $[\hat{A}, \hat{A}] = 0$

$$\text{或 } [\hat{A}, \hat{A}] = 0, \text{ 或 } \text{相关本征态 } \{| \psi_n \rangle\} \quad \{A_n, E_n\}$$

#### 4. 三种经典 picture

##### a. Schrödinger Picture

$ \psi(t)\rangle$	$\hat{A}$	可观测量 $\langle \psi(t)   \hat{A}   \psi(t) \rangle = \bar{A}(t)$
含时演化	不变化	$ c_n ^2 =  \langle \psi_n   \psi(t) \rangle ^2$
$i\hbar \frac{d}{dt}  \psi(t)\rangle = \hat{H}  \psi(t)\rangle$		

##### b. Heisenberg Picture

态不变化但算符演化的情况

为保证  $\langle \hat{A} \rangle = \langle \hat{A} | \psi \rangle$  不变， $\hat{A}_H = ?$

$$|\psi(t)\rangle_s = |\psi\rangle_H = \hat{a}^\dagger |\psi(t)\rangle_s \Rightarrow \langle \hat{A}_H | \psi \rangle_H = \langle \hat{a}^\dagger | \hat{a} \hat{A}_H \hat{a}^\dagger | \psi(t) \rangle_s = \langle \hat{a}^\dagger | \hat{A}_s | \psi(t) \rangle_s$$

$$\Rightarrow \begin{cases} \hat{A}_H = \hat{a}^\dagger \hat{A}_s \hat{a} \\ |\psi\rangle_H = \hat{a}^\dagger |\psi(t)\rangle_s \end{cases} \quad \text{完整的 Heisenberg Picture}$$

$$\text{故 Heisenberg eq.: } \frac{d\hat{A}_H}{dt} = \hat{a}^\dagger \frac{\partial \hat{A}}{\partial t} \hat{a} + \frac{1}{i\hbar} [\hat{A}_H, \hat{H}_s] = \left( \frac{\partial \hat{A}}{\partial t} \right)_H + \frac{1}{i\hbar} [\hat{A}_H, \hat{H}_s]$$

$$\text{Trick: } [\hat{p}, V(x)] = \sum_n \frac{V^{(n)}(x)}{n!} [\hat{p}, \hat{x}^n] = -i\hbar \sum_n \frac{V^{(n)}(x)}{(n-1)!} \hat{x}^{n-1} = -i\hbar \frac{\partial}{\partial x} V(x)$$

(直接用  $[\hat{p}, V(x)] = [\hat{p}, \hat{x}] V'(x)$  亦可)

#### \*C. 相互作用绘景

$$\hat{A} = \hat{A}_0 + \hat{V}$$

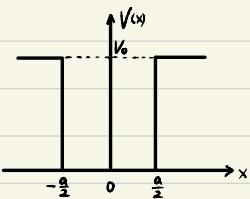
$$\text{定义: } |\psi(t)\rangle_z = e^{i\hat{A}_0 t/\hbar} |\psi(t)\rangle_s = e^{i\hat{A}_0 t/\hbar} e^{-i\hat{A}_0 t/\hbar} |\psi(t)\rangle_s$$

$$\hat{A}_z = e^{i\hat{A}_0 t/\hbar} \hat{A}_s e^{-i\hat{A}_0 t/\hbar}$$

$$\text{动力学方程: } \begin{cases} i\hbar \frac{d}{dt} \hat{A}_z = [\hat{A}_z, \hat{H}_s] + \left( \frac{\partial \hat{A}}{\partial t} \right)_z \\ i\hbar \frac{d}{dt} |\psi(t)\rangle_z = \hat{V}_z |\psi(t)\rangle_z \end{cases}$$

$$\text{而: } \hat{V}_z = e^{i\hat{A}_0 t/\hbar} \hat{V}_s e^{-i\hat{A}_0 t/\hbar}$$

## 1. 一维有限深势阱



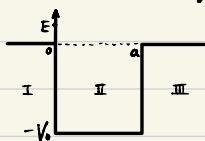
a.  $E < V_0$ , Bound State

$$\text{能量本征方程 } \psi'' + \frac{2m}{\hbar^2} (E - V(x)) \psi = 0$$

令  $\sqrt{2mE/\hbar^2} = k_0$ ,  $\sqrt{2m(V_0-E)/\hbar^2} = \beta$ , 则有

$$\begin{cases} \psi'' + \frac{2mE}{\hbar^2} \psi = 0 & |x| \leq \frac{a}{2} \Rightarrow \psi(x) = A \cos k_0 x + B \sin k_0 x \\ \psi'' - \beta^2 \psi = 0 & |x| \geq \frac{a}{2} \Rightarrow \psi(x) = C e^{-\beta|x|} \end{cases}$$

b.  $E > V_0$ , Scattering



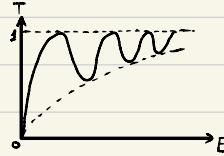
$$\psi(x) = \begin{cases} e^{ikx} + Re^{-ikx} & \text{I} \\ Ae^{ikx} + Be^{-ikx} & \text{II} \\ Se^{ikx} & \text{III} \end{cases} \quad \begin{array}{ll} I: k = \sqrt{2mE/\hbar^2} \\ II: k = \sqrt{2m(E+V_0)/\hbar^2} \end{array}$$

散射概率  $|R|^2 + |S|^2 = 1$

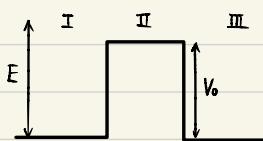
$$\text{定义透射率 } T = |S|^2 = \left[ 1 + \frac{\sin ka}{4E(1+E)} \right]^{-1}$$

$$\text{当 } E = -V + \frac{n^2 \pi^2 \hbar^2}{2m a^2} \rightarrow KR = n\pi \quad (\text{驻波条件})$$

产生共振隧穿



c. 方势阱



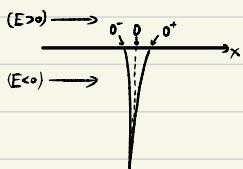
$$\psi(x) = \begin{cases} e^{ikx} + Re^{-ikx} & \text{I} \\ Ae^{ikx} + Be^{-ikx} & \text{II} \\ Se^{ikx} & \text{III} \end{cases} \quad \begin{array}{ll} I: k = \sqrt{2mE/\hbar^2} \\ II: k = \sqrt{2m(V_0-E)/\hbar^2} \end{array}$$

$$\text{透射率 } T = |S|^2 = \left[ 1 + \frac{1}{E(1-E)} \sinh^2(Ka) \right]^{-1}$$

STM 的机制

## 2. 二维 $\delta$ 势阱问题

$$V(x) = -\gamma \delta(x), \quad \gamma > 0$$



$$\text{Boundary Condition: } \psi'(0) - \psi'(0) = \frac{2mr}{\hbar^2} \psi(0) \int_{-r}^{r} V(x) dx = -\frac{2mr}{\hbar^2} \gamma \psi(0)$$

$$\text{对 } x \neq 0, \psi'' + \frac{2mE}{\hbar^2} \psi = 0, \text{ 设 } k = \sqrt{2mE/\hbar^2}$$

$$\text{① } E < 0 \text{ (Bound State)} \quad \text{偶宇称 } \psi(x) = \begin{cases} Ae^{-kx}, & x > 0 \\ Ae^{kx}, & x < 0 \end{cases} \Rightarrow k = \frac{mr}{\hbar^2}, \quad E = -\frac{mr^2}{2\hbar^2}$$

$$\text{奇宇称 } \psi(x) = \begin{cases} Ae^{-kx}, & x > 0 \\ -Ae^{kx}, & x < 0 \end{cases} \Rightarrow A = 0, \text{ trivial}$$

### 3. 一维谐振子

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dx^2} + \frac{1}{2}m\omega^2x^2\right)\psi(x) = E\psi(x)$$

first step: 元量纲化  $\Rightarrow$  定义  $\alpha = \sqrt{\frac{m\omega}{\hbar}}$ , 则  $\xi = \alpha x$  为无量纲的长度

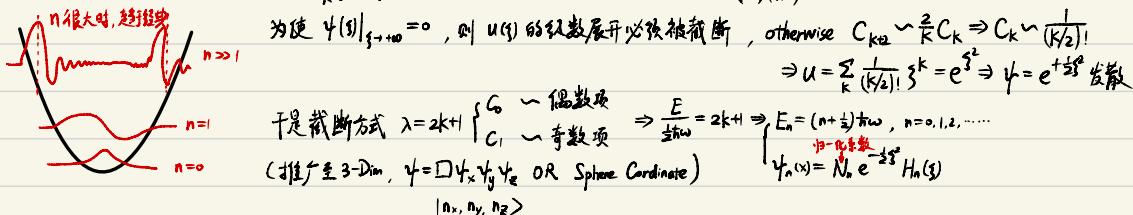
$$\text{定义 } \lambda = \frac{E}{\frac{1}{2}m\omega} \text{ 为无量纲的能量}$$

next step:  $\Rightarrow \frac{d^2\psi}{d\xi^2} + (\lambda - \xi^2)\psi = 0$ , with  $\xi \rightarrow \pm\infty$ ,  $\psi \rightarrow 0$  (Bound State)  $\psi \sim Ae^{-\frac{1}{2}\xi^2} + Be^{+\frac{1}{2}\xi^2}$

$$\text{令 } \psi = u(\xi)e^{-\frac{1}{2}\xi^2}, \text{ 代入原方程即得 } \frac{d^2u}{d\xi^2} - 2\xi \frac{du}{d\xi} + (\lambda - 1)u = 0 \quad (\text{Hermite eq.})$$

$$\text{其解 } H_n(\xi) = (-i)^n e^{\xi^2} \frac{d^n}{d\xi^n}(e^{-\xi^2}) \quad (\text{Hermite 多项式})$$

介级微数解法:  $u(\xi) = \sum_{k=0}^{\infty} C_k \xi^k$ ,  $|\xi| < \infty$ , 代入可得递推关系为  $C_{k+2} = \frac{2k-\lambda+1}{(k+1)(k+2)} C_k$



### 4. 氢原子 (三维中心力场的定态问题)

$$O \begin{array}{l} \vec{r}_1 \\ \vec{r}_2 \end{array} \rightarrow \vec{e} \begin{array}{l} m \\ M \end{array} \left[ -\frac{\hbar^2}{2M}\nabla_1^2 - \frac{\hbar^2}{2m}\nabla_2^2 + V(|\vec{r}_1 - \vec{r}_2|) \right] \psi(\vec{r}_1, \vec{r}_2) = E \psi(\vec{r}_1, \vec{r}_2)$$

$$\text{令 } \begin{cases} \vec{R} = \frac{m}{m+M}\vec{r}_1 + \frac{M}{m+M}\vec{r}_2 \\ \vec{r} = \vec{r}_1 - \vec{r}_2 \quad (M \gg m) \end{cases} \quad \left[ -\frac{\hbar^2}{2(M+m)}\nabla_R^2 - \frac{\hbar^2}{2m}\nabla_r^2 + V(|\vec{r}|) \right] \psi(\vec{R}, \vec{r}) = E \psi(\vec{R}, \vec{r})$$

Born-Oppenheimer 近似

$$\text{即 } R, r \text{ 为分离变量, } \psi(\vec{R}, \vec{r}) = \psi_r(\vec{r}) \psi_c(\vec{R}) \quad \text{①} \quad \text{质心运动为平面波: } -\frac{\hbar^2}{2(M+m)}\nabla_R^2 \psi_c(\vec{R}) = E_c \psi_c(\vec{R})$$

$$\text{② 相对运动为: } \left[ -\frac{\hbar^2}{2m}\nabla_r^2 + V(|\vec{r}|) \right] \psi_r(\vec{r}) = E \psi_r(\vec{r}) \quad \text{球对称问题, 微扰项有 } \nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2} \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{r^2} \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2}$$

$$\text{分离变量, 令 } \psi(r, \theta, \phi) = R(r) Y(\theta, \phi), \text{ 得 } \left[ \frac{1}{R(r)} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2m}{\hbar^2} [V(r) - E] \right] + \frac{1}{Y(\theta, \phi)} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} \right] = 0$$

应有  $(\psi_r) = -(\psi_c) = J(l+1)$

解 ④ 得球谐函数  $Y_l^m(\theta, \phi) \quad l = 0, 1, 2, \dots, m = -l, -l+1, \dots, l-1, l$

$$\text{解 ⑤, 令 } u(r) = r R(r), \psi_r = -\frac{\hbar^2}{2m} \frac{d^2u(r)}{dr^2} + \left[ V(r) + \frac{1}{2m} \frac{l(l+1)}{r^2} \right] u(r) = E u(r)$$

$$\text{下面令 } V(r) = -\frac{e^2}{4\pi\epsilon_0 r}, \text{ 元量纲化 } K = \sqrt{\frac{2mE}{\hbar^2}}, \text{ 则 } P = xr \text{ 无量纲: } \frac{d^2u}{dr^2} - \left[ 1 - \frac{P}{r} + \frac{l(l+1)}{r^2} \right] u = 0, \quad P_0 = \frac{me^2}{2\pi\epsilon_0 \hbar^2 K}$$

其渐近行为  $\begin{cases} P \rightarrow \infty, u'' - \frac{l(l+1)}{r^2} u = 0, u \sim Ae^{-P} + Be^{+P} \\ \text{令 } u(P) = P^{l+1} e^{-P} v(P) \end{cases}$

$$P \rightarrow 0, u'' - \frac{l(l+1)}{P^2} u = 0, u \sim Ap^{l+1} + bp^{-l} \Rightarrow Pv'' + 2(l+1)v' + (P_0 - 2l - 2)v = 0$$

$$\text{令 } v = \sum_k C_k P^k \Rightarrow C_{k+1} = \frac{2(k+l+1)}{(k+1)(k+2)} C_k, \text{ 当 } k \rightarrow +\infty \text{ 时, } C_k \sim \frac{2^k}{k!} C_0 \Rightarrow v \sim e^{P_0} \quad \text{则 } u \text{ 会发散}$$

行数需要截断  $\Rightarrow P_0 = 2(k_{\max} + l + 1) = 2n, n = 1, 2, \dots$

$$\text{得到 } E_n = -\frac{\hbar^2 k^2}{2m} = -\frac{\hbar^2}{2ma_0^2} \frac{1}{n^2}, a_0 = \frac{4\pi e \hbar^2}{me^2} \text{ 为 Bohr Radius}$$

进而波函数  $\psi(r) = \sum_{n=1}^{2l+1} (2l+1) \rightarrow \text{使用 Legendre 多项式}$

$$\Rightarrow \psi_{nlm}(r) = \sqrt{\left(\frac{2}{na_0}\right)^3 \frac{n-l-1}{2n[(n+l)!]^3}} e^{-\frac{r}{na_0}} \left(\frac{2r}{na_0}\right)^l \sum_{m=-l}^{2l+1} \left(\frac{2r}{na_0}\right) Y_l^m(\theta, \varphi)$$

$$= \langle r | n l m \rangle \quad E_n \sim \frac{1}{r^2} \sim | n l m \rangle \Rightarrow E_n \text{ 有 } \sum_{l=0}^{n-1} (2l+1) = n^2 \text{ 重简并. 考虑 Spin 后为 } 2n^2.$$

## 第六章 角动量

### 1. 轨道角动量

Operator  $\hat{J}^2 = \hat{r} \times \hat{p}$  with  $[\hat{J}^2, \hat{J}_i] = 0, [\hat{J}_i, \hat{J}_j] = i\hbar \epsilon_{ijk} \hat{J}_k$

$$\begin{cases} \hat{J}^2 Y_l(\theta, \varphi) = l(l+1) \hbar^2 Y_l(\theta, \varphi) \\ \hat{J}_z Y_l(\theta, \varphi) = m \hbar Y_l(\theta, \varphi) \end{cases} \text{ 球谐函数 } Y_l^m(\theta, \varphi) = (-1)^m \sqrt{\frac{(l-m)! (2l+1)}{(l+m)! 4\pi}} P_l^m(\cos\theta) e^{im\varphi}$$

在 Dirac 符号下, 只需掌握

$$\begin{cases} \hat{J}^2 | l, m \rangle = l(l+1) \hbar^2 | l, m \rangle \\ \hat{J}_z | l, m \rangle = m \hbar | l, m \rangle \end{cases} \text{ with } Y_l^m(\theta, \varphi) = \langle r | l, m \rangle$$

Properties: i)  $\langle l, m | l', m' \rangle = \delta_{ll'} \delta_{mm'}$

$$\text{ii) } \sum_{l,m} | l, m \rangle \langle l, m | = \hat{I}$$

Trick: 利用  $(x, y, z) \leftrightarrow (r, \theta, \varphi)$  代数关系可

### 2. 角动量的代数性质

$$\textcircled{1} \hat{J}^2 \hat{J}_{\pm} | j, m \rangle = \hat{J}_{\pm} \hat{J}^2 | j, m \rangle = l(l+1) \hbar^2 \hat{J}_{\pm} | j, m \rangle \quad \text{with } [\hat{J}^2, \hat{J}_i] = 0$$

$$\textcircled{2} \hat{J}_{\pm} | j, m \rangle = \sqrt{l(l+1)-m(m\pm 1)} \hbar | j, m\pm 1 \rangle$$

### 3. 自旋 Spin

$S = \frac{1}{2}$  Pauli Operator  $\hat{S}_i = \frac{\hbar}{2} \sigma_i$  在  $\{\hat{S}^x, \hat{S}^y\}$  基底下

$$\text{Pauli Matrix } \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

对任意  $2 \times 2$  矩阵总可用  $\{I, \sigma_x, \sigma_y, \sigma_z\}$  展开。

e.g. 本章算符的本征值与本征态，如电子处于  $\begin{pmatrix} \alpha \\ \beta \end{pmatrix} (\alpha|1\rangle + \beta|0\rangle)$  自旋态

问测  $\hat{S}_z$  的可能测值与概率几何。

$$\text{法一: } \sigma_z : \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \Rightarrow \lambda_1 = 1, \frac{\hbar}{2} \begin{pmatrix} 1 \\ i \end{pmatrix}; \quad \lambda_2 = -1, \frac{\hbar}{2} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

$$\therefore |\psi\rangle = \langle 1|\psi\rangle |1\rangle + \langle 0|\psi\rangle |0\rangle$$

$$\therefore \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{\hbar}{2} (\alpha - i\beta) \frac{\hbar}{2} \begin{pmatrix} 1 \\ i \end{pmatrix} + \frac{\hbar}{2} (\alpha + i\beta) \frac{\hbar}{2} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

$$\text{法二: } \frac{\hbar}{2} \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \frac{\hbar}{2} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\frac{\hbar}{2} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \frac{\hbar}{2}(\alpha - i\beta) \\ \frac{\hbar}{2}(\alpha + i\beta) \end{pmatrix}$$

e.g. 转动算符的矩阵表示  $e^{-i \frac{\hat{S}}{\hbar} \vec{n} \cdot \vec{\sigma}/\hbar} = e^{-i \frac{\hat{S}}{\hbar} \vec{n} \cdot \vec{\sigma}/\hbar}$

$$\text{with } (\hat{\vec{\sigma}} \cdot \vec{a})(\hat{\vec{\sigma}} \cdot \vec{b}) = \hat{\sigma}_j a_j \hat{\sigma}_k b_k = \left( \frac{1}{2} \{ \hat{\sigma}_j, \hat{\sigma}_k \} + \frac{1}{2} [\hat{\sigma}_j, \hat{\sigma}_k] \right) a_j b_k$$

$$\& \{ \hat{\sigma}_i, \hat{\sigma}_j \} = 2 \delta_{ij}, \quad [ \hat{\sigma}_i, \hat{\sigma}_j ] = 2i \varepsilon_{ijk} \hat{\sigma}_k$$

$$\text{thus } (\hat{\vec{\sigma}} \cdot \vec{a})(\hat{\vec{\sigma}} \cdot \vec{b}) = a_j b_j + i \varepsilon_{ijk} \hat{\sigma}_i a_j b_k = \vec{a} \cdot \vec{b} + i (\vec{a} \times \vec{b}) \cdot \hat{\vec{\sigma}}$$

noticing that  $(\hat{\vec{\sigma}} \cdot \vec{n})^2 = 1$ , expanding it,

$$e^{-i(\hat{\vec{\sigma}} \cdot \vec{n})\frac{\varphi}{\hbar}} = \left[ 1 - \frac{(\hat{\vec{\sigma}} \cdot \vec{n})^2}{2!} \left( \frac{\varphi}{\hbar} \right)^2 + \frac{(\hat{\vec{\sigma}} \cdot \vec{n})^4}{4!} \left( \frac{\varphi}{\hbar} \right)^4 + \dots \right] + \left[ -i(\hat{\vec{\sigma}} \cdot \vec{n}) \frac{\varphi}{\hbar} + i \frac{(\hat{\vec{\sigma}} \cdot \vec{n})^3}{3!} \left( \frac{\varphi}{\hbar} \right)^3 + \dots \right]$$

$$= \cos\left(\frac{\varphi}{\hbar}\right) \hat{\vec{\sigma}} - i \left( \frac{\varphi}{\hbar} \right) \sin\left(\frac{\varphi}{\hbar}\right) \hat{\vec{\sigma}}$$

$$\Rightarrow e^{-i(\hat{\vec{\sigma}} \cdot \vec{n})\varphi} = \cos\varphi \hat{\vec{\sigma}} - i \sin\varphi \hat{\vec{\sigma}}$$

e.g. 转动算符

$$(1) e^{-i \hat{S}_z \varphi/\hbar} \boxed{\hat{S}_x} e^{i \hat{S}_z \varphi/\hbar} = \frac{\hbar}{2} e^{-i \cos\varphi \sigma_x} \sigma_x e^{i \cos\varphi \sigma_x} = \cos\varphi \hat{S}_x + \sin\varphi \hat{S}_y$$

$\hat{S}$

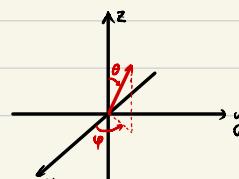
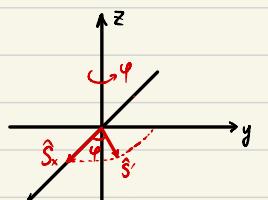
(2) 在  $\{\hat{S}^x, \hat{S}^y\}$  的本征基下, 求  $\hat{S}$  在  $\vec{n} = (\sin\varphi \cos\theta, \sin\varphi \sin\theta, \cos\theta)$  方向上分量的矩阵表示与本征态。

法一:  $\hat{S}_n = \hat{S} \cdot \vec{n} = \sin\varphi \cos\theta \hat{S}_x + \sin\varphi \sin\theta \hat{S}_y + \cos\theta \hat{S}_z$

$$\Rightarrow \frac{1}{2} \begin{pmatrix} \cos\theta & \sin\theta e^{-i\varphi} \\ \sin\theta e^{i\varphi} & -\cos\theta \end{pmatrix} \Rightarrow \left\{ \begin{array}{l} \frac{\hbar}{2} \begin{pmatrix} \cos\frac{\theta}{2} e^{i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{pmatrix} \\ -\frac{\hbar}{2} \begin{pmatrix} -\sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \\ \cos\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{pmatrix} \end{array} \right.$$

法二: 定义转动算符由两步完成

$$\begin{aligned} \hat{U} &= e^{-i \frac{\hbar}{2} \hat{S}_z} e^{-i \frac{\hbar}{2} \hat{S}_x} \\ &= \begin{pmatrix} \cos\theta e^{-i\varphi} & -\sin\theta e^{-i\varphi} \\ \sin\theta e^{i\varphi} & \cos\theta e^{i\varphi} \end{pmatrix} \end{aligned}$$



$$\text{延缓法二: } \hat{H} \hat{S}_z \hat{H}^\dagger = \frac{\hbar}{2} \begin{bmatrix} \cos\phi & \sin\phi e^{-i\varphi} \\ \sin\phi e^{i\varphi} & -\cos\phi \end{bmatrix} \quad \text{同时有} \quad \begin{cases} |1_\alpha\rangle = \hat{H}|1_\beta\rangle = \hat{H}\left(\begin{array}{c} 1 \\ 0 \end{array}\right) = \begin{bmatrix} \cos\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{bmatrix} \\ |1_\beta\rangle = \hat{H}|1_\alpha\rangle = \hat{H}\left(\begin{array}{c} 0 \\ 1 \end{array}\right) = \begin{bmatrix} -\sin\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \cos\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{bmatrix} \end{cases}$$

e.g.: 自旋  $\frac{1}{2}$  的电子在外磁场中的 Hamiltonian 为  $\hat{H} = A \vec{S} \cdot \vec{B}$  假设磁场 z 向, 电子初态为  $\hat{S}_x$  的本征值态的本征态.

求 t 时刻  $\hat{S}_x, \hat{S}_y, \hat{S}_z$  的期望值及测值几率分布.

$$|1_\alpha\rangle = \frac{1}{\sqrt{2}}\left(\begin{array}{c} 1 \\ 1 \end{array}\right), \quad |1_\beta\rangle = \frac{1}{\sqrt{2}}\left(\begin{array}{c} 1 \\ -1 \end{array}\right)$$

$$|\Psi(t)\rangle = \langle 1_\alpha | \Psi(0) \rangle |1_\alpha\rangle + \langle 1_\beta | \Psi(0) \rangle |1_\beta\rangle$$

$$\frac{1}{\sqrt{2}}\left(\begin{array}{c} 1 \\ 1 \end{array}\right)$$

由  $|1_\alpha\rangle$  系统 z 轴转  $\frac{ABt}{2}$  角

$$\hat{H} = AB\hat{S}_z, \quad \hat{U} = e^{-i\frac{AB}{2}\hat{S}_z t} \Rightarrow \begin{bmatrix} e^{-i\frac{ABt}{2}} & 0 \\ 0 & e^{i\frac{ABt}{2}} \end{bmatrix}$$

$$|\Psi(t)\rangle = \hat{U}|1_\alpha\rangle = \frac{\sqrt{2}}{2} \begin{pmatrix} e^{-i\frac{\theta}{2}} \\ e^{i\frac{\theta}{2}} \end{pmatrix} \quad \text{with } \theta = ABt$$

$$\text{测值: } \langle \hat{S}_z \rangle_t = 0 \quad \begin{cases} \frac{1}{2}, P = \frac{1}{2} \\ -\frac{1}{2}, P = -\frac{1}{2} \end{cases} \quad \langle \hat{S}_x \rangle_t = \frac{\hbar}{2} \cos\theta t \quad \begin{cases} \frac{\hbar}{2}, P = \cos^2\frac{\theta t}{2} \\ -\frac{\hbar}{2}, P = \sin^2\frac{\theta t}{2} \end{cases} \quad \langle \hat{S}_y \rangle_t = \frac{\hbar}{2} \sin\theta t \quad \begin{cases} \frac{\hbar}{2}, \frac{1}{2}[1 + \sin\theta t] \\ -\frac{\hbar}{2}, \frac{1}{2}[1 - \sin\theta t] \end{cases}$$

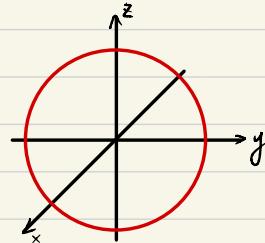
e.g.: Bloch Sphere  $\rightarrow 2 \times 2$  体系动力学

$$H_0 = AI + B\sigma_x + C\sigma_y + D\sigma_z$$

不是  $\hat{S}_z$  吗

$$\text{选取新势能零点: } H = B\sigma_x + C\sigma_y + D\sigma_z = E \hat{S}_z$$

$$\Rightarrow \text{其本征态: } E_+ \left[ \begin{array}{c} \cos\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{array} \right], \quad -E_- \left[ \begin{array}{c} -\sin\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \cos\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{array} \right]$$

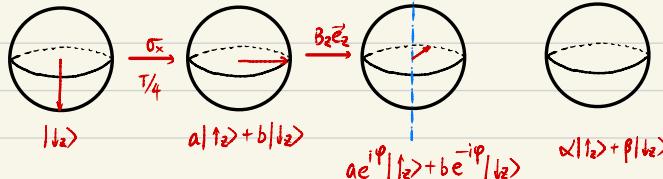


$$\left(\begin{array}{c} 1 \\ 0 \end{array}\right) \Rightarrow \theta=0, \varphi=0 \text{ 的规范} \quad \hat{U}(0, \varphi) \left(\begin{array}{c} 1 \\ 0 \end{array}\right) = \left[ \begin{array}{c} \cos\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{array} \right]$$

$$\text{若取 } \vec{n} = -\vec{r}, \text{ 则 } \begin{cases} \theta \rightarrow \pi - \theta \\ \varphi \rightarrow \varphi + \pi \end{cases} \quad |\uparrow_n\rangle \rightarrow i \left[ \begin{array}{c} \cos\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{array} \right]$$

e.g. (承接上例)

干涉仪



$$\sum_m |jm\rangle \langle jm| = \hat{I} \leftarrow 2j+1 \text{ 维}$$

## 4. 角动量耦合

考虑两个独立的角动量  $\hat{j}_1, \hat{j}_2$  即  $[\hat{j}_{1\alpha}, \hat{j}_{2\beta}] = 0$

定义  $\hat{j} = \hat{j}_1 + \hat{j}_2$ , 则  $\hat{j}$  亦为角动量算符, 服从  $[\hat{j}_\alpha, \hat{j}_\beta] = i\hbar \epsilon_{\alpha\beta\gamma} \hat{j}_\gamma$

如研究氢原子

$$\hat{L} + \hat{S} = \hat{j} \quad \text{or} \quad \hat{j}_1 + \hat{j}_2 = \hat{j}$$

轨道 电子旋

可研究  $\{\hat{j}^2, \hat{j}_i\}$  的本征问题

老性质仍然满足  $[\hat{j}^2, \hat{j}_i^\pm] = 0, [\hat{j}_i^\pm, \hat{j}_j^\pm] = 0 \quad i=1,2$

$$\textcircled{1} \quad \{\hat{j}_1^2, \hat{j}_2^2, \hat{j}_1, \hat{j}_2\} \quad |\langle j_1 m_1 | \otimes | j_2 m_2 \rangle = |\langle j_1 m_1 | \langle j_2 m_2 | = |\langle j_1 m_1, j_2 m_2 | \rangle$$

非耦合表象

$$\begin{cases} |\hat{j}_1^2| > = j_1(j_1+1)\hbar^2 > \\ |\hat{j}_2^2| > = j_2(j_2+1)\hbar^2 > \\ |\hat{j}_1| > = j_1\hbar > \\ |\hat{j}_2| > = j_2\hbar > \end{cases}$$

$$\textcircled{2} \quad \text{耦合表象} \quad \{\hat{j}_1^2, \hat{j}_2^2, \hat{j}^2, \hat{j}_z\} \quad | j_1 j_2 jm \rangle$$

$$\begin{cases} |\hat{j}_1^2| > = j_1(j_1+1)\hbar^2 > \\ |\hat{j}_2^2| > = j_2(j_2+1)\hbar^2 > \\ |\hat{j}^2| > = j(j+1)\hbar^2 > \\ |\hat{j}_z| > = m\hbar > \end{cases}$$

### ③ 表象变换与CG系数

CG系数性质

$$\text{定义: } |\langle j_1 j_2 jm \rangle| = \sum_{m_1 m_2} \langle j_1 m_1 | j_2 m_2 | j_1 j_2 jm \rangle / |\langle j_1 m_1 | j_2 m_2 \rangle|$$

CG系数

(i) 非零, 则  $m = m_1 + m_2$

$$(\hat{j}_2 - \hat{j}_{1z} - \hat{j}_{2z})| > = 0$$

$$\Rightarrow \langle (\hat{j}_2 - \hat{j}_{1z} - \hat{j}_{2z}) | > = 0$$

$(m-m_1-m_2)| > = 0$  角动量守恒

$$(ii) \quad |j_1 - j_2| \leq j \leq j_1 + j_2$$

(iii) 规范要求 CG系数为实数.

$$\text{e.g. } \{|\langle j_1 m_1 \rangle|\} \quad \{|\langle j_2 = \frac{1}{2}, m_2 = \pm \frac{1}{2} \rangle|\}$$

轨道

自旋

$$\hat{j}_{2z} | \frac{j_2, m_2}{\frac{1}{2}, \frac{1}{2}} \rangle = 0, \quad |\langle j_1, \frac{1}{2} | j_2 m \rangle| = C_{\frac{1}{2}, \frac{1}{2}} |\langle j_1, m+\frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \rangle| + C_{\frac{1}{2}, \frac{1}{2}} |\langle j_1, m-\frac{1}{2}; \frac{1}{2}, \frac{1}{2} \rangle| \dots \quad (1)$$

$$\hat{j}^2 = \hat{j}_1^2 + \hat{j}_2^2 + 2\hat{j}_{1z}\hat{j}_{2z} + \hat{j}_{1+}\hat{j}_{2-} + \hat{j}_{1-}\hat{j}_{2+} \dots \quad (2)$$

$$\begin{aligned} \hat{j}_+ | \langle j m \rangle | &= \sqrt{(j-m)(j+m+1)} | \langle j, m+1 \rangle | \\ \hat{j}_- | \langle j m \rangle | &= \sqrt{(j+m)(j-m+1)} | \langle j, m-1 \rangle | \end{aligned}$$

利用(1)(2)式，可得一大块

$$\left\{ \begin{array}{l} \text{左乘 } \langle j, m_{j+\frac{1}{2}}, \frac{j_2}{2}, -\frac{m_2}{2} \rangle \\ \text{左乘 } \langle j, m_{-\frac{1}{2}}, \frac{j_2}{2}, \frac{m_2}{2} \rangle \end{array} \right. \xrightarrow{\substack{\text{两者得到} \\ \text{等价的方程}}} j(j+1) C_{-\frac{1}{2}} = [j_1(j_1+1) + \frac{1}{4} - m] C_{-\frac{1}{2}} + \sqrt{(j_1-m+\frac{1}{2})(j_1+m+\frac{1}{2})} C_{\frac{1}{2}}$$

再稍加以归化条件  $C_{\frac{1}{2}}^2 + C_{-\frac{1}{2}}^2 = 1$

且有  $|j_1 - j_2| \leq j \leq j_1 + j_2 \Rightarrow j = j_1 \pm \frac{1}{2}$  即总角动量有2种取值情况

1° 若  $j = j_1 + \frac{1}{2}$ ，则  $\begin{cases} \sqrt{j_1+m+\frac{1}{2}} C_{-\frac{1}{2}} = \sqrt{j_1-m+\frac{1}{2}} C_{\frac{1}{2}} \\ C_{-\frac{1}{2}}^2 + C_{\frac{1}{2}}^2 \end{cases} \Rightarrow \binom{C_{-\frac{1}{2}}}{C_{\frac{1}{2}}} = \frac{1}{\sqrt{2j+1}} \binom{\sqrt{j_1+m+\frac{1}{2}}}{\sqrt{j_1-m+\frac{1}{2}}} = \frac{1}{\sqrt{2j}} \binom{\sqrt{j+m}}{\sqrt{j-m}}$

$$\text{即: } |j, \frac{j_2}{2}, jm\rangle = \sqrt{\frac{j-m}{2j}} |j_1, m+\frac{1}{2}; \frac{1}{2}, -\frac{1}{2}\rangle + \sqrt{\frac{j+m}{2j}} |j_1, m-\frac{1}{2}; \frac{1}{2}, \frac{1}{2}\rangle$$

2° 若  $j = j_1 - \frac{1}{2}$ ，则  $\begin{cases} \sqrt{j_1+m+\frac{1}{2}} C_{-\frac{1}{2}} = \sqrt{j_1-m+\frac{1}{2}} C_{\frac{1}{2}} \\ C_{-\frac{1}{2}}^2 + C_{\frac{1}{2}}^2 \end{cases} \Rightarrow \binom{C_{-\frac{1}{2}}}{C_{\frac{1}{2}}} = \frac{1}{\sqrt{2j+1}} \binom{\sqrt{j_1+m+\frac{1}{2}}}{\sqrt{j_1-m+\frac{1}{2}}} = \frac{1}{\sqrt{2j+2}} \binom{\sqrt{j+m+1}}{-\sqrt{j-m+1}}$

$$\text{即: } |j, \frac{j_2}{2}, jm\rangle = \sqrt{\frac{j+m+1}{2j+2}} |j_1, m+\frac{1}{2}; \frac{1}{2}, -\frac{1}{2}\rangle - \sqrt{\frac{j-m+1}{2j+2}} |j_1, m-\frac{1}{2}; \frac{1}{2}, \frac{1}{2}\rangle$$

e.g. ①  $\langle j, m_j | \hat{l}_z | j, m_j \rangle$

$$= \sum_{m_1 m_2} \langle j, m_j | m_1 m_2 \rangle \langle m_1 m_2 | j, m_j \rangle m_j \hbar$$

1° 对  $j = j_1 + \frac{1}{2}$

$$\langle \hat{l}_z \rangle_{j, m_j} = \left( \frac{j-m_1}{2j} \right) (m_j + \frac{1}{2}) \hbar + \left( \frac{j+m_1}{2j} \right) (m_j - \frac{1}{2}) \hbar = \frac{2j-1}{2j} m_j \hbar$$

2° 对  $j = j_1 - \frac{1}{2}$

$$\langle \hat{l}_z \rangle_{j, m_j} = \left( \frac{j+m_1+1}{2j+2} \right) (m_j + \frac{1}{2}) \hbar + \left( \frac{j-m_1+1}{2j+2} \right) (m_j - \frac{1}{2}) \hbar = (m_j + \frac{m_1}{2j+2}) \hbar$$

②  $\langle \hat{s}_z \rangle_{j, m_j} \cdot \begin{cases} j = j_1 + \frac{1}{2} & \left( \frac{j-m_1}{2j} \right) (-\frac{1}{2}) \hbar + \left( \frac{j+m_1}{2j} \right) \frac{1}{2} \hbar = \frac{m_1}{2j} \hbar \\ j = j_1 - \frac{1}{2} & \left( \frac{j+m_1+1}{2j+2} \right) (-\frac{1}{2}) \hbar + \left( \frac{j-m_1+1}{2j+2} \right) \frac{1}{2} \hbar = -\frac{m_1}{2j+2} \hbar \end{cases}$

③  $\langle \hat{l}_z \rangle_{j, m_j} + \langle \hat{s}_z \rangle_{j, m_j} = m_j \hbar \in (\hat{j})_{j, m_j}$  是  $m = m_1 + m_2$  的体现

$$\langle \hat{l}_z \rangle_{j, m_j} + 2 \langle \hat{s}_z \rangle_{j, m_j} = \begin{cases} j = j_1 + \frac{1}{2} : (m_j + \frac{m_1}{2j}) \hbar \\ j = j_1 - \frac{1}{2} : (m_j - \frac{m_1}{2j+2}) \hbar \end{cases}$$

e.g. 自旋-自旋耦合 (He 原子)

$$S_1 = \frac{1}{2}, S_2 = \frac{1}{2} \text{, 即 } S = 0, 1 \text{ (由 } |S_1 - S_2| \leq S \leq S_1 + S_2 \text{ 给定)}$$

$$S=0, |0, 0\rangle = \frac{\sqrt{2}}{2} (|1\downarrow\downarrow\rangle - |1\uparrow\uparrow\rangle) \sim \text{交换反对称态 spin singlet 自旋单态}$$

$$S=1, |1, 1\rangle = |1\uparrow\uparrow\rangle$$

$$\begin{cases} |1, 0\rangle = \frac{\sqrt{2}}{2} (|1\uparrow\downarrow\rangle + |1\downarrow\uparrow\rangle) \\ |1, -1\rangle = |1\downarrow\downarrow\rangle \end{cases} \rightarrow \begin{array}{l} \text{交换对称态} \\ \text{玻色子} \end{array} \quad \text{spin triplet 自旋三重态}$$

He 原子电子态

全同粒子.

$$4(\text{万尼}) \chi_{\text{spin}} \sim |1\rangle \otimes |\text{Spin}\rangle$$

空间波函数部分

$$\left\{ \begin{array}{l} 4(\text{万尼}) \text{ 交换对称, } \chi_{\text{spin}} \text{ 交换反对称} \\ \rightarrow \text{电子平均间距近, 库仑排斥能高} \end{array} \right. \rightarrow \text{singlet}$$

$$\left\{ \begin{array}{l} 4(\text{万尼}) \text{ 交换反对称, } \chi_{\text{spin}} \text{ 交换对称} \\ \rightarrow \text{电子平均间距远, 库仑排斥能低} \end{array} \right. \rightarrow \text{triplet}$$

$$\left. \begin{array}{l} \text{引入原子光谱项 } L_J^{2s+1} \\ \text{ 定义, 对于 } (1s)^2, \text{ 考虑轨道角动量 } L: L_1, L_2 \text{ 都要, 则 } L \text{ 只能为零, } L=0 \\ \text{ with } L=0, 1, 2 \\ \text{ 考虑自旋角动量 } S: S=0, * \\ \text{ 最后考虑总角动量 } J: J=0, * \end{array} \right\} \xrightarrow[2s+1]{\text{合作}} L_J^{2s+1} \xrightarrow{\text{合作}} 1S_0$$

$$\left. \begin{array}{l} \text{对于 } 1s 2s, L=0 \\ S=0, 1 \\ J=0, 1 \end{array} \right\} \Rightarrow L_J^{2s} \left\{ \begin{array}{l} 1S_0 \\ 3S_1 \end{array} \right.$$

$$\boxed{0.8eV \left\{ \begin{array}{l} 1S_0 \text{ (单态)} \\ 3S_1 \text{ (三重态)} \end{array} \right\} _{1s 2s} }$$

$$1S_0 (1s)^2$$

e.g. 耦合量子数

$$\hat{L} + \hat{S} = \hat{J} \quad \text{如} \quad \left. \begin{array}{lll} L=1 & J=\frac{1}{2} & m_J=\pm\frac{1}{2} \\ S=\frac{1}{2} & J=\frac{3}{2} & m_J=\pm\frac{3}{2}, \pm\frac{1}{2} \end{array} \right. \quad \left. \begin{array}{l} 2 \text{ 个态} \\ 4 \text{ 个态} \end{array} \right\} \quad \left. \begin{array}{l} 6 \text{ 个态} \end{array} \right.$$

考虑电子构型  $1s 2p$

$$\left\{ \begin{array}{l} L=1 \\ S=0 \end{array} \right. \quad \left. \begin{array}{l} J=1 \\ m_J=\pm 1, 0 \end{array} \right\} \quad \left( \begin{array}{c} 12 \text{ 个态} \\ 3 \text{ 个态} \end{array} \right)$$

$$\left\{ \begin{array}{l} L=1 \\ S=1 \end{array} \right. \quad \left. \begin{array}{l} J=0 \\ J=1 \\ J=2 \end{array} \right. \quad \left. \begin{array}{l} m_J=0 \\ m_J=\pm 1, 0 \\ m_J=\pm 2, \pm 1, 0 \end{array} \right\} \quad \left( \begin{array}{c} 1 \\ 3 \\ 5 \end{array} \right) \quad \text{直和}$$

非耦合情况下

$$\left. \begin{array}{l} 1s, m_s=\pm\frac{1}{2} \\ 2p, m_L=\pm 1, 0 \\ m_s=\pm\frac{1}{2} \end{array} \right\} \quad \left. \begin{array}{l} 2 \\ 3 \times 2=6 \end{array} \right\} \quad \xrightarrow{2 \times 6=12} \text{ 直积}$$

常用代换

$$\begin{aligned}\hat{J}_1 \cdot \hat{J}_2 &= \frac{1}{2} (\hat{J}_1^2 - \hat{J}_2^2) \text{ 用于 } |J, m\rangle \text{ 表示} \\ &= \hat{J}_{1z} \hat{J}_{2z} + \frac{1}{2} (\hat{J}_{1+} \hat{J}_{2+} + \hat{J}_{1-} \hat{J}_{2-}) \text{ 用于 } |m_1, m_2\rangle \text{ 表示}\end{aligned}$$

e.g. 两自旋  $\frac{1}{2}$  粒子的张量势写做

$$\hat{V} = \frac{4}{\hbar^2} \left[ \frac{3(\hat{\vec{S}} \cdot \vec{r})(\hat{\vec{S}}_0 \cdot \vec{r})}{r^2} - \frac{\hat{S}_1 \cdot \hat{S}_2}{r^2} \right]$$



$\vec{r}$  为相对位矢, 将  $\hat{V}$  表示为  $\hat{S} = \hat{S}_1 + \hat{S}_2$  与  $\vec{r}$  的函数.

$$\text{令 } \vec{n} = \frac{\vec{r}}{r}, \quad \hat{S}_n = \hat{S} \cdot \vec{n} = \hat{S}_{1n} + \hat{S}_{2n}$$

$$\begin{aligned}\hat{S}_n^2 &= \hat{S}_{1n}^2 + \hat{S}_{2n}^2 + 2\hat{S}_{1n}\hat{S}_{2n} = (\frac{1}{2}\hbar)^2 + (\frac{1}{2}\hbar)^2 + 2\hat{S}_{1n}\hat{S}_{2n} = \frac{\hbar^2}{2} + 2\hat{S}_{1n}\hat{S}_{2n} \\ \Leftrightarrow \hat{S}_{1n} \hat{S}_{2n} &= \frac{\hat{S}_n^2 - \frac{\hbar^2}{2}}{2}\end{aligned}$$

$$\hat{S}_1 \cdot \hat{S}_2 = \frac{\hat{S}^2 - \hat{S}_n^2 - \hat{S}_1^2}{2} = \frac{1}{2}\hat{S}^2 - \frac{3}{4}\hbar^2, \quad \hat{V} = \frac{1}{\hbar^2} [6(\hat{S} \cdot \vec{n})^2 - 2\hat{S}^2]$$

$$(\hat{\sigma} \cdot \vec{n})^2 = 1$$

$$(\hat{S} \cdot \vec{n})^2 = \frac{\hbar^2}{4}$$

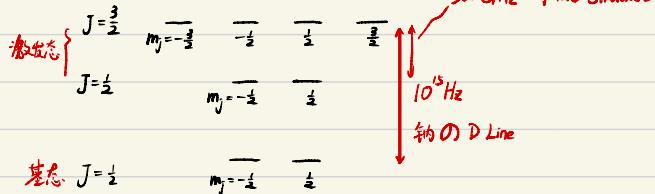
$$\hat{S}_n \rightarrow \frac{\hbar}{2} \begin{pmatrix} \cos \varphi & \sin \varphi e^{-i\varphi} \\ \sin \varphi e^{i\varphi} & -\cos \varphi \end{pmatrix}$$

e.g. CG 系数在能级跃迁几率中的应用

碱金属  ${}^2S_Na$

$$3P \quad n=3 \quad l=1 \quad S=\frac{1}{2} \quad {}^2P_{\frac{1}{2}} {}^2P_{\frac{3}{2}}$$

$$3S \quad n=3 \quad l=0 \quad S=\frac{1}{2} \quad {}^2S_{\frac{1}{2}}$$

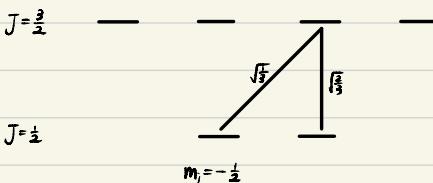


W-E 定理

$$\langle \alpha', j'm' | \hat{T}_2^{(k)} | \alpha, jm \rangle = \langle j'm, j'k | jk | jm \rangle \frac{\langle \alpha, j' || T^{(k)} || \alpha, j \rangle}{\sqrt{2j+1}}$$

光场强度  $k=1, 2=\pm 1, 0$

$\int_{|j-k| \leq j \leq j+k}^{m'=m+2} m, g, \pi \text{ 允许} \quad \text{选择定则}$



## 第八章 近似方法 (微扰&变分法)

### 1. 定常微扰论

求:  $A|\psi\rangle = E|\psi\rangle$ ?  $\hat{A} = \hat{A}_0 + \lambda \hat{V}$  少量 微扰项

$$\text{即已知 } \hat{A}_0|\psi_n^{(0)}\rangle = E_n^{(0)}|\psi_n^{(0)}\rangle \quad \left. \begin{array}{l} |\psi_n^{(0)}\rangle \xrightarrow{\hat{V}} |\psi_n\rangle = \sum C_i |\psi_i^{(0)}\rangle \\ E_n^{(0)} \xrightarrow{\hat{V}} E_n \end{array} \right.$$

### a. 非简并微扰论

$$\left. \begin{array}{l} E_k = E_k^{(0)} + \lambda E_k^{(1)} + \lambda^2 E_k^{(2)} + \dots \\ |\psi_k\rangle = |\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle \end{array} \right. \Rightarrow \begin{aligned} A|\psi_k\rangle &= E_k|\psi_k\rangle \\ &\Rightarrow (\hat{A}_0 + \lambda \hat{V})(|\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle + \dots) \\ &= (E_k^{(0)} + \lambda E_k^{(1)} + \lambda^2 E_k^{(2)} + \dots)(|\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle + \dots) \end{aligned}$$

提取而出:

$$\left. \begin{array}{l} \lambda \text{的0次项} \quad \hat{A}_0|\psi_k^{(0)}\rangle = E_k^{(0)}|\psi_k^{(0)}\rangle \\ \lambda \text{的1次项} \quad \hat{A}_0|\psi_k^{(0)}\rangle + \hat{V}|\psi_k^{(0)}\rangle = E_k^{(0)}|\psi_k^{(0)}\rangle + E_k^{(1)}|\psi_k^{(0)}\rangle \\ \lambda \text{的2次项} \quad \hat{A}_0|\psi_k^{(0)}\rangle + \hat{V}|\psi_k^{(0)}\rangle = E_k^{(0)}|\psi_k^{(0)}\rangle + E_k^{(1)}|\psi_k^{(1)}\rangle + E_k^{(2)}|\psi_k^{(0)}\rangle \end{array} \right.$$

一级近似解:

用  $\langle \psi_m^{(0)} |$  作用至  $\lambda$  次项的两边 设  $|\psi_k^{(0)}\rangle = \sum_n C_n^{(0)} |\psi_n^{(0)}\rangle$

$$\Rightarrow E_m^{(0)} C_m^{(0)} + \underbrace{\langle \psi_m^{(0)} | \hat{V} | \psi_k^{(0)} \rangle}_{V_{mk}} = E_k^{(0)} C_m^{(0)} + E_k^{(1)} \delta_{mk}$$

$$\Rightarrow \begin{cases} m=k \text{ 时}, \quad E_k^{(0)} = V_{kk} = \langle \psi_k^{(0)} | \hat{V} | \psi_k^{(0)} \rangle \\ m \neq k \text{ 时}, \quad C_m^{(0)} = \frac{V_{mk}}{E_k^{(0)} - E_m^{(0)}} \end{cases} \Rightarrow |\psi_k\rangle \approx |\psi_k^{(0)}\rangle \quad \text{波函数} \text{ 级近似}$$

$$E_k \approx E_k^{(0)} + V_{kk} \quad \text{能量} \text{ 级近似}$$

进而:  $|\psi_k\rangle \approx |\psi_k^{(0)}\rangle + |\psi_k^{(1)}\rangle = |\psi_k^{(0)}\rangle + \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle$  波函数级近似

① 非简并的重要性.  $E_k^{(0)} \neq E_n^{(0)}$  对所有  $n \neq k$

$$\textcircled{2} \quad \langle \psi_k^{(0)} | \psi_k^{(1)} \rangle = 0$$

二级近似解:

用  $\langle \psi_m^{(0)} |$  作用至  $\lambda$  次项的两边 设  $|\psi_k^{(0)}\rangle = \sum_n C_n^{(0)} |\psi_n^{(0)}\rangle$

$$\hat{A}_0 \sum_n C_n^{(0)} |\psi_n^{(0)}\rangle + \hat{V} \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle$$

$$= E_k^{(0)} \sum_n C_n^{(0)} |\psi_n^{(0)}\rangle + V_{kk} \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle + E_k^{(2)} |\psi_k^{(0)}\rangle$$

$$\Rightarrow E_m^{(0)} C_m^{(0)} + \sum_{n \neq k} \frac{V_{mn} V_{nk}}{E_k^{(0)} - E_n^{(0)}} = E_k^{(0)} C_m^{(0)} + \frac{V_{kk} V_{kk}}{E_k^{(0)} - E_n^{(0)}} (1 - \delta_{mk}) + E_k^{(2)} \delta_{mk}$$

$$\Rightarrow E_k^{(2)} = \sum_{m \neq k} \frac{|V_{mk}|^2}{E_k^{(0)} - E_m^{(0)}}$$

讨论：(i) 正交归一完备性

(ii) 矩阵图像

e.g.  $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 \hat{x}^2 + \varepsilon \frac{1}{2}m\omega^2 \hat{x}^2$  ( $\varepsilon \ll 1$ ), 求问二能级修正？

$$\left\{ \begin{array}{l} E_n^{(0)} = (n + \frac{1}{2})\hbar\omega \quad |n\rangle \\ E_n = E_n^{(0)} + \langle n | \hat{V} | n \rangle + \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}} \end{array} \right.$$

由  $\hat{x}^2 = \frac{\hbar}{2m\omega} (\hat{a}^+ \hat{a}^2 + \hat{a} \hat{a}^+ + \hat{a}^2 \hat{a})$ , 则  $\langle m | \hat{x}^2 | n \rangle = \frac{\hbar^2}{2m\omega} \left[ \sqrt{n(n-1)} \delta_{m,n-2} + \sqrt{(m+1)(m+2)} \delta_{m,n+2} + (2n+1) \delta_{mn} \right]$

即  $V_{nn} = \frac{1}{4}(2m+1)\hbar\omega\varepsilon$ ,  $V_{nk} = \frac{1}{4}\hbar\omega\varepsilon \left( \sqrt{n(n-1)} \delta_{k,n-2} + \sqrt{(m+1)(m+2)} \delta_{k,m+2} \right)$  ( $k \neq n$ )  
 $\Rightarrow E_n = (n + \frac{1}{2})\hbar\omega + \frac{1}{4}(2m+1)\hbar\omega\varepsilon + \frac{\frac{1}{16}\hbar^2\omega^2\varepsilon^2}{-2\hbar\omega} (n+2)(n+1) + \frac{\frac{1}{16}\hbar^2\omega^2\varepsilon^2}{2\hbar\omega} n(n-1)$

$$|\psi_0\rangle = |n\rangle + \frac{1}{8}\varepsilon \left[ \sqrt{n(n-2)} |n-2\rangle - \sqrt{(m+1)(m+2)} |m+2\rangle \right] + O(\varepsilon^2)$$

$\Downarrow_{n \geq 2}$

对  $n=0$ ,  $E_0 = \frac{1}{2}\hbar\omega + \frac{1}{4}\hbar\omega\varepsilon - \frac{1}{16}\hbar^2\omega^2\varepsilon^2$   
 $|\psi_0\rangle = |0\rangle - \frac{\sqrt{2}}{8}\varepsilon|2\rangle$

而精确解为

$$E = (n + \frac{1}{2})\sqrt{1+\varepsilon}\hbar\omega = (n + \frac{1}{2})\hbar\omega \left( 1 + \frac{1}{2}\varepsilon - \frac{1}{8}\varepsilon^2 + \dots \right)$$

### b. 简并微扰论

$\hat{H} = \hat{H}_0 + \hat{V}$  其中  $\hat{H}_0$  的本征态为  $\{ |\psi_{mp}^{(0)}\rangle, |\psi_n^{(0)}\rangle \}$   $m \neq n$

$m, n = 1, 2, 3, \dots$ ;  $g$  表示3个简并子空间。

$$\left\{ \begin{array}{l} \hat{H}_0 |\psi_{mp}^{(0)}\rangle = E_m^{(0)} |\psi_{mp}^{(0)}\rangle \\ \hat{H}_0 |\psi_n^{(0)}\rangle = E_n^{(0)} |\psi_n^{(0)}\rangle \end{array} \right.$$

①一般方法：设  $|\psi\rangle = |\psi^{(0)}\rangle + \lambda |\psi^{(0)}\rangle$   $E_m = E_m^{(0)} + E_m^{(1)}$

且  $|\psi^{(0)}\rangle$  与整个简并子空间正交

$|\psi^{(0)}\rangle$  可以用  $\{ |\psi_{mp}^{(0)}\rangle \}$  展开

$\hat{H} |\psi\rangle = E_m |\psi\rangle$  的  $\lambda$ -项  $|\psi^{(0)}\rangle$  表达式为：

$$\hat{A}_0 |\psi^{(0)}\rangle + \hat{V} \sum_{\mu} C_{mp}^{(0)} |\psi_{mp}^{(0)}\rangle = E_m^{(0)} |\psi^{(0)}\rangle + E_m^{(1)} \sum_{\mu} C_{mp}^{(1)} |\psi_{mp}^{(0)}\rangle$$

左乘  $\langle \psi_{nv}^{(0)} |$ , 有

即在  $\{|\psi_{np}\rangle\}$  为基的简并子空间内对角化  $\hat{V}$  的矩阵  
 { 本征态：简并子空间内新的  $\ell$  级波函数  
 { 本征值：能量的  $\ell$  级修正

$$\text{即 } \begin{vmatrix} V_{11} - E_n^{(0)} & V_{12} & \cdots & V_{1g} \\ V_{21} & V_{22} - E_n^{(0)} & \cdots & V_{2g} \\ \vdots & \vdots & \ddots & \vdots \\ V_{g1} & V_{g2} & \cdots & V_{gg} - E_n^{(0)} \end{vmatrix} = 0$$

$g$  为  $E_n^{(0)}$  的简并度,  $\Rightarrow E_{n,i}^{(0)}, i=1, 2, \dots, g$

### ② Practical Methods

1. 寻找算符  $A$ , 使  $[A, \hat{H}_0] = 0, [A, \hat{V}] = 0$ ;  $\{A, A\}$  的共同本征态在  $\hat{H}_0$  的简并子空间内简并.

则这些本征态是全近似  $\ell$  级波函数  $\Rightarrow \hat{V}$  在这些本征态下对角

$$\langle \psi_m | \hat{A}C - CA | \psi_n \rangle = 0 = (A_m - A_n) V_{mn} \Rightarrow V_{mn} = \delta_{mn} V_m$$

e.g.: (Anomalous Zeeman Effect)

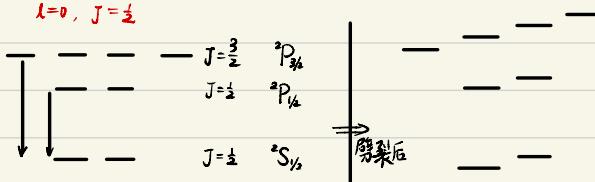
考虑  ${}^{23}\text{Na}$  原子  $n=3$  时  $3p: m_l = \pm 1, 0 \quad l=1$

$3s: m_l = 0 \quad l=0$

• 加磁场, when  $B=0$ , 不变; else if  $B \neq 0$ , 3个简并能级分立开来.

• 假设我们不知道 Spin, 则三态简并

• 若  $S=\frac{1}{2}, l=1, J=\frac{1}{2}, \frac{3}{2}$   
 $l=0, J=\frac{1}{2}$



下面展示具体运算.

$$\hat{H} = A \hat{L} \cdot \hat{S} + B (\hat{J}_z + 2\hat{S}_z) \quad \rightarrow z \text{ 方向为量化方向, 人为定义}$$

背景无关关心

考虑如下情况 (i)  $A \gg B$  强耦合极限  
 (ii)  $A \ll B$  弱耦合极限

(i)  $A \gg B$  时,  $\hat{H}_0 = A \hat{L} \cdot \hat{S}, \hat{V} = B(\hat{J}_z + 2\hat{S}_z)$

开始解  $\hat{H}_0$  的本征问题

$$\text{利用 } \hat{J}^2 = \hat{L}^2 + \hat{S}^2, \hat{L} \cdot \hat{S} = \frac{1}{2}(\hat{J}^2 - \hat{L}^2 - \hat{S}^2) \quad \text{可知} \quad [\hat{J}^2, \hat{H}_0] = 0, [\hat{J}_z, \hat{H}_0] = 0$$

$$\text{引入 } \{ |jm_j\rangle \}, E_0 = \langle jm_j | A \hat{L}^2 \hat{J} | jm_j \rangle = \frac{\hbar}{2} [ j(j+1)\hbar^2 - l(l+1)\hbar^2 - s(s+1)\hbar^2 ]$$

$$\text{with } \begin{cases} S=\frac{1}{2}, & j=l+\frac{1}{2} \Rightarrow E_0 = \frac{\hbar}{2} l \hbar^2 \\ S=\frac{1}{2}, & j=l-\frac{1}{2} \Rightarrow E_0 = -\frac{\hbar}{2} (l+1) \hbar^2 \end{cases} \quad \text{这里选取 1 OR 0.}$$

$H_0$ 的矩阵:

$j=l-\frac{1}{2}$	$\frac{\hbar(l+1)\hbar^2}{2}$
$(2j+1=2l\text{维})$	//,,

$j=l+\frac{1}{2}$	$\frac{\hbar(l+1)\hbar^2}{2}$
$(2j+1=2l+2\text{维})$	//,,

$\hat{V}$ 的矩阵元:

$$\begin{aligned} \langle jm_j | \hat{V} | jm_j \rangle &= B \langle jm_j | \hat{s}_z | jm_j \rangle + 2B \underbrace{\langle jm_j | \hat{s}_x | jm_j \rangle}_{\text{要用到 CG 矢量!}} \\ &= B \delta_{jj'} \delta_{m_j m_j'} \hbar + 2B \langle jm_j' | \hat{s}_x | jm_j \rangle \end{aligned}$$

• 同一个  $j$  值子空间内，求对角元

$$\begin{cases} j=l+\frac{1}{2} & \langle jm_j | \hat{s}_x | jm_j \rangle = \left[ \frac{\hbar}{2} \frac{j+m_j}{2j} + (-\frac{\hbar}{2}) \frac{j-m_j}{2j} \right] \delta_{m_j m_j} = \frac{m_j}{2j} \hbar \delta_{m_j m_j} \\ j=l-\frac{1}{2} & \langle jm_j | \hat{s}_x | jm_j \rangle = \left[ \frac{\hbar}{2} \frac{j-m_j+1}{2j+2} + (-\frac{\hbar}{2}) \frac{j+m_j+1}{2j+2} \right] \delta_{m_j m_j} = -\frac{m_j}{2(j+1)} \hbar \delta_{m_j m_j} \end{cases}$$

• 对于非对角元 (对二阶能量修正有贡献)

$$\begin{aligned} \langle jm_j | \hat{s}_x | jm_j \rangle &= \left( \langle m_j+\frac{1}{2}, -\frac{1}{2} | \int \frac{j'+m_j+1}{2j'+2} \right. \\ &\quad \left. - \langle m_j-\frac{1}{2}, \frac{1}{2} | \int \frac{j'-m_j+1}{2j'+2} \right) \hat{s}_x \left( \int \frac{j-m_j}{2j} | m_j+\frac{1}{2}, -\frac{1}{2} \rangle - \int \frac{j+m_j}{2j} | m_j-\frac{1}{2}, \frac{1}{2} \rangle \right) \\ &= \left( -\frac{1}{2} \hbar \sqrt{\frac{j'+m_j+1}{2j'+2}} \sqrt{\frac{j-m_j}{2j}} - \frac{1}{2} \hbar \sqrt{\frac{j'-m_j+1}{2j'+2}} \sqrt{\frac{j+m_j}{2j}} \right) \delta_{j,j+1} \delta_{m_j m_j} \end{aligned}$$

故简并微扰一阶修正为

$$E_1 = \langle jm_j | \hat{V} | jm_j \rangle = B m_j \hbar + 2B \langle jm_j | \hat{s}_x | jm_j \rangle$$

$$\Rightarrow \begin{cases} j=l+\frac{1}{2} & E_0 + E_1 = \frac{\hbar}{2} l \hbar^2 + B \left( \frac{m_j}{2j} + m_j \right) \hbar \rightarrow \text{弄出4个能级} \\ j=l-\frac{1}{2} & E_0 + E_1 = -\frac{\hbar}{2} (l+1) \hbar^2 + B \left( m_j - \frac{m_j}{2(j+1)} \right) \hbar \rightarrow \text{弄出2个能级} \end{cases} \quad (\text{取 } j=1)$$

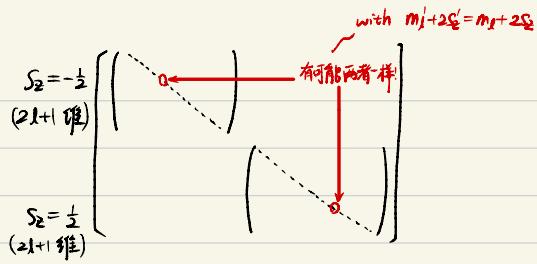
(ii)  $A \ll B$

$$\hat{A}_0 = B (\hat{L}_z + 2\hat{s}_z) \quad \hat{V} = A \hat{L}^2 \hat{J}$$

自然而然地非耦合表象基 (作为零级波函数)  $\{ |m_z s_z\rangle \}$

$$E_0 = \langle m_1 s_2 | A_0 | m_1 s_2 \rangle = B(m_1 + 2s_2)\hbar$$

即  $\hat{H}_0$  的矩阵元如下：



计算  $\hat{V}$  的矩阵元  $\langle \hat{V} \rangle$ ：

$$\langle m_1 s_2' | \hat{L}_z \hat{S}' | m_1 s_2 \rangle$$

$$= \langle m_1 s_2' | \hat{L}_z \hat{S}_2 + \frac{1}{2} (\hat{L}_+ \hat{S}_- + \hat{L}_- \hat{S}_+) | m_1 s_2 \rangle$$

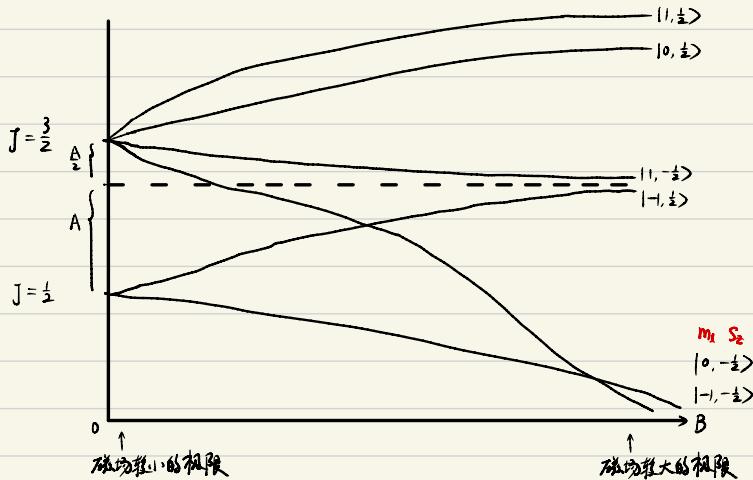
$$= m_1 s_2 \hbar^2 \delta_{m_1 m_1'} \delta_{s_2 s_2'} + C_1 \delta_{s_2', s_2-1} \delta_{m_1, m_1+1} + C_2 \delta_{s_2', s_2+1} \delta_{m_1, m_1-1}$$

非对角元： $s_2' + m_1 = s_2 + m_1$

问题就化为了非简并微扰！

$$\begin{aligned} \text{能量的一级修正} \quad E_1 &= \langle m_1 s_2 | A \hat{L}_z \hat{S}' | m_1 s_2 \rangle = \langle m_1 s_2' | \hat{L}_z \hat{S}_2 + \frac{1}{2} (\hat{L}_+ \hat{S}_- + \hat{L}_- \hat{S}_+) | m_1 s_2 \rangle \\ &= A \hbar^2 m_1 s_2 \end{aligned}$$

$$\text{thus } E = E_0 + E_1 = B(m_1 + 2s_2)\hbar + A\hbar^2 m_1 s_2$$



e.g.: (Toy Model) 自旋为1的三重态向同生谐振子处于基态  
耦合

求在微扰  $\hat{V} = \lambda \hat{a} \hat{a}^2$  作用下的基态能量，精确到二阶。

$$|000\uparrow\rangle |000\downarrow\rangle \Rightarrow |020\uparrow\rangle |020\downarrow\rangle$$

$$\hat{g}^2 = \frac{\hbar}{2m\omega} (\hat{a}^2 + \hat{a}^{*2} + 2\hat{a}^\dagger \hat{a} + 1)$$

$$\begin{array}{c} <000\uparrow| \left( \begin{array}{cc} \frac{3}{2}\hbar\omega & \frac{\hbar}{2m\omega}\lambda \\ \frac{\hbar}{2m\omega}\lambda & \frac{3}{2}\hbar\omega \end{array} \right) 0 \quad \frac{\sqrt{2}\hbar}{2m\omega}\lambda \\ <000\downarrow| \left( \begin{array}{cc} \frac{\hbar}{2m\omega}\lambda & \frac{\sqrt{2}\hbar}{2m\omega}\lambda \\ \frac{\sqrt{2}\hbar}{2m\omega}\lambda & 0 \end{array} \right) \\ <020\uparrow| 0 \quad \frac{\sqrt{2}\hbar}{2m\omega}\lambda \quad \left( \begin{array}{cc} \frac{7}{2}\hbar\omega & \frac{5}{2}\hbar\omega\lambda \\ \frac{5}{2}\hbar\omega\lambda & 0 \end{array} \right) \\ <020\downarrow| \frac{\sqrt{2}\hbar}{2m\omega}\lambda \quad 0 \quad \left( \begin{array}{cc} \frac{5}{2}\hbar\omega\lambda & \frac{7}{2}\hbar\omega \\ \frac{7}{2}\hbar\omega & 0 \end{array} \right) \end{array}$$

Step 1: 对角化

$$\text{一阶 (简并微扰)} \quad \frac{3}{2}\hbar\omega \pm \frac{\hbar}{2m\omega}\lambda$$

$$\text{二阶} \quad \otimes \text{ for: } \frac{3}{2}\hbar\omega \pm \frac{\hbar}{2m\omega}\lambda \Rightarrow |\psi^{(1)}\rangle = \frac{\sqrt{2}}{2}(|000\uparrow\rangle - |000\downarrow\rangle)$$

$$E_2 = \frac{|<020\uparrow|\hat{V}|\psi^{(1)}>|^2 + |<020\downarrow|\hat{V}|\psi^{(1)}>|^2}{\frac{3}{2}\hbar\omega \mp \frac{\hbar}{2m\omega}} = -\frac{\hbar\lambda^2}{4m\omega^3}$$

Intro: 近简并微扰论

$$\hat{A} = \begin{pmatrix} a & c \\ c & b \end{pmatrix} \quad \text{with } c \ll a, b$$

微扰问题其实就是求  
A的本征问题，解析地有  

$$\frac{a+b}{2} \pm \frac{\sqrt{(a-b)^2 + 4c^2}}{2} \xrightarrow{|a \gg |a-b|} \begin{cases} a - \frac{c^2}{a-b} \\ b - \frac{c^2}{b-a} \end{cases}$$



同样地， $\begin{pmatrix} a & c \\ c & a \end{pmatrix} \quad a \xleftarrow{} \begin{matrix} a+c \\ a-c \end{matrix}$

③ 如果不能消除简并，则需找到合适的零级波函数

$$|\psi\rangle = |\psi^{(0)}\rangle + |\psi^{(1)}\rangle + |\psi^{(2)}\rangle + \dots \quad \text{用 } \square \text{ 作标记}$$

$$\begin{aligned} \text{并要求} \quad & \left\{ \begin{array}{l} |\psi^{(0)}\rangle = \sum_{\mu} C_{\mu}^{(0)} |\psi_{\mu}\rangle \quad \text{简并子空间之内} \\ |\psi^{(0)}\rangle = \sum_{n \neq m} C_n^{(0)} |\psi_n^{(0)}\rangle \quad \text{简并子空间之外} \\ |\psi^{(0)}\rangle = \sum_{n \neq m} C_n^{(0)} |\psi_n^{(0)}\rangle \end{array} \right. \Rightarrow \left\{ \begin{array}{l} O(\lambda) \cdot (\hat{H}_0 - E_m^{(0)}) |\psi^{(0)}\rangle = (E_m^{(0)} - \hat{V}) |\psi^{(0)}\rangle \\ O(\lambda) \cdot (\hat{H}_0 - E_n^{(0)}) |\psi^{(0)}\rangle = (E_n^{(0)} - \hat{V}) |\psi^{(0)}\rangle + E_m^{(0)} |\psi^{(0)}\rangle \end{array} \right. \end{aligned}$$

$$\langle \psi_{\mu}^{(0)} | \text{左乘 } O(\lambda) : \sum_{\mu} [V_{\mu\nu, \mu\mu} - \delta_{\mu\nu, \mu\mu} E_m^{(0)}] C_{\mu}^{(0)} = 0$$

如  $V_{\mu\nu, \mu\mu}$  为对角阵，且耦合元不相同，则  $|\psi^{(0)}\rangle$  已为合适的波函数

否则, 如  $V_{m\mu, n\nu}$  为对角阵, 且对角元相同, 则能约在一级近似下仍简并

接着,  $\langle \psi_m^{(0)} |$  左乘  $O(x)$ :  $E_m^{(2)} C_m^{(0)} = \sum_{n \neq m} V_{m\mu, n\nu} C_n^{(0)}$

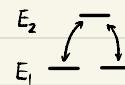
$\langle \psi_n^{(0)} |$  右乘  $O(x)$ :  $(E_n^{(0)} - E_m^{(0)}) C_n^{(0)} = - \sum_{\mu} V_{n\mu, m\nu} C_m^{(0)} \Rightarrow C_n^{(0)} = \sum_{\mu} \frac{V_{n\mu, m\nu}}{E_m^{(0)} - E_n^{(0)}} C_m^{(0)}$

上式(联立消  $C_m^{(0)}$ ) 有

$$\sum_{\mu} \left[ \sum_{n \neq m} \frac{V_{m\mu, n\nu} V_{n\mu, m\nu}}{E_m^{(0)} - E_n^{(0)}} - E_m^{(2)} \delta_{m\mu, n\nu} \right] C_{m\mu}^{(0)} = 0$$

该矩阵的本征方程决定  $C_{m\mu}^{(0)}$ , 通过久期方程可求出  $E_m^{(2)}$ ;  $i=1, 2, \dots, g$  (g 为简并度)

e.g.:  $H = H_0 + V = \begin{pmatrix} E_1 & 0 \\ 0 & E_1 \\ a & b \\ b & E_2 \end{pmatrix}$  with  $|a|, |b| \ll |E_1|, |E_2|, |E_1 - E_2|$



$\sum_{n \neq m} \frac{V_{m\mu, n\nu} V_{n\mu, m\nu}}{E_m^{(0)} - E_n^{(0)}}$  (取 1, 2)  $\Rightarrow \begin{pmatrix} \frac{a^2}{E_1 - E_2} & \frac{ab}{E_1 - E_2} \\ \frac{ab}{E_1 - E_2} & \frac{b^2}{E_1 - E_2} \end{pmatrix}$  对角化  $\Rightarrow E_1^{(2)} = 0, \frac{a^2 + b^2}{E_2 - E_1}$  thus  $\begin{cases} E_a = E_1 \\ E_b = E_1 + \frac{a^2 + b^2}{E_2 - E_1} \\ E_c = E_2 \end{cases}$  (这步精确!!!)

### c. 微扰论在氢原子中的应用

零级近似  $H_0 = -\frac{\hat{p}^2}{2m} + V(r)$  解析解  $|n l m\rangle$  动量算量  $E_n = -\left[\frac{m}{2\pi}\left(\frac{e^2}{4\pi\varepsilon_0}\right)^2\right]^{\frac{1}{2}} n^2$  有  $2n^2$  重简并

$$g = \sum_{l=0}^{n-1} (2l+1) = n^2$$

#### ① Fine Structure

(i) SR 修正  $T = \frac{\hat{p}^2}{2m} \Leftrightarrow T_{SR} = \sqrt{\hat{p}^2 c^2 + m^2 c^4} - mc^2 \approx \frac{\hat{p}^2}{2m} - \frac{\hat{p}^4}{8m^3 c^2}$

量子化  $\hat{V} = -\frac{\hat{p}^4}{8m^3 c^2}$  with  $[\hat{p}^4, \hat{l}^2] = 0, [\hat{p}^4, \hat{l}_2] = 0$   $\{\hat{l}^2, \hat{l}_2\}$   $|n l m\rangle$

一级修正

$$E_F = \langle nlm | \hat{V} | nlm \rangle = -\frac{E_n^2}{2mc^2} \left( \frac{4n}{1+\frac{1}{2}} - 3 \right)$$

已经和角动量有关了, 一定程度上破除了简并

考虑自旋后为  $2n^2$

#### (ii) 自旋-轨道耦合

$$\hat{H}_{so} = \frac{e^2}{8\pi\varepsilon_0} \frac{1}{m^2} \frac{1}{c^2 r^3} \hat{S} \cdot \hat{L} \quad \text{with } [\hat{H}_{so}, \hat{l}^2] = 0, [\hat{H}_{so}, \hat{S}^z] = 0$$

but  $[\hat{H}_{so}, \hat{l}_2] \neq 0, [\hat{H}_{so}, \hat{s}_2] \neq 0$

定义  $\hat{j} = \hat{L} + \hat{S}$ , 则  $[\hat{H}_{so}, \hat{j}^2] = [\hat{H}_{so}, \hat{j}_2] = [\hat{H}_0, \hat{j}] = [\hat{H}_0, \hat{j}_2] = 0$

$\Rightarrow$  基  $|nlsm\rangle$

$$\text{进而 } E_{so} = \langle nlsjm_j | \hat{H}_{so} | nlsjm_j \rangle = \frac{E_n^2}{m_e c^2} \left\{ \frac{n[J(J+1) - l(l+1) - \frac{3}{2}]}{l(l+\frac{1}{2})(l+\frac{1}{2})} \right\} \quad \hat{j}^2 - \hat{l}^2 - \hat{s}^2$$

得到 Fine Structure

$$E_{nj} = E_n + \frac{E_n^2}{2mc^2} \left( 3 - \frac{4n}{J+\frac{1}{2}} \right) = -\frac{13.6 \text{ eV}}{n^2} \left[ 1 + \frac{\alpha^2}{n^2} \left( \frac{n}{J+\frac{1}{2}} - \frac{3}{4} \right) \right] \quad \text{with } \alpha = \frac{e^2}{4\pi\epsilon_0 c} = \frac{1}{137} \quad \text{Fine Structure Constant}$$

## ② Zeeman Effect

## ③ Hyper Fine Structure

考虑核自旋与电子轨道/自旋的相互作用

$$\hat{H}_{hf} = \hat{A} \frac{1}{r^3} [3(\hat{I} \cdot \hat{e}_r)(\hat{S} \cdot \hat{e}_r) - \hat{I} \cdot \hat{S}] + \frac{8\pi}{3} \hat{A} \hat{I} \cdot \hat{S} S(G) + \hat{B}(r) \hat{I} \cdot \hat{L}$$

$$\sim A \hat{I} \cdot \hat{J} \quad \leftarrow \text{只考虑进阶}$$

$$\text{对 } l=0 \text{ 的态(基态)} \quad \hat{H}_{hf} = A \hat{I} \cdot \hat{S} \quad \text{定义 } \hat{F} = \hat{I} + \hat{L} + \hat{S}, \text{ with } [\hat{F}, \hat{H}_{hf}] = [\hat{F}_z, \hat{H}_{hf}] = 0$$

进而得基  $|nlsjm_I F m_F\rangle$

$$l=0, S=\frac{1}{2}, I=\frac{1}{2} \xrightarrow{\text{Coupling}} J=\frac{1}{2}, I=\frac{1}{2} \xrightarrow{\text{Coupling}} F=0, 1$$

$$E_{hf} = \langle F m_F | \hat{H}_{hf} | F m_F \rangle = \frac{1}{2} A \hbar^2 [F(F+1) - I(I+1) - S(S+1)] \quad \begin{cases} \frac{1}{2} A \hbar^2 & F=1 \\ -\frac{3}{4} A \hbar^2 & F=0 \end{cases}$$

宇宙射线中常见波长, 表明

$$\text{若 } \downarrow \quad \begin{array}{c} F=1 \\ \nearrow \quad \searrow \\ F=0 \end{array} \quad \uparrow \sim 1420 \text{ MHz}, \lambda = 21 \text{ cm} \quad \text{存在大量 H.}$$

$^{23}\text{Na}$  -类氢原子  $I = \frac{3}{2}$

$$n=3 \quad \text{---}$$

加外磁场, Zeeman Effect



$$n=2 \quad \begin{array}{c} \text{---} \\ \downarrow \\ \text{J}=\frac{3}{2} \quad \{ F=0, 1, 2, 3 \} \\ \text{J}=\frac{1}{2} \quad \{ F=1, 2 \} \end{array}$$

$$F=3 \quad m_F = \pm 3, \pm 2, \pm 1, 0$$

$$n=1 \quad \begin{array}{c} \text{---} \\ \downarrow \\ \text{J}=\frac{1}{2} \quad \{ \text{精细} \} \\ \text{J}=\frac{1}{2} \quad \{ \text{超精细} \} \end{array}$$

## 2. 变分法

对于给定  $\hat{H}$  和任意态  $|4\rangle$ , 均有如下关系

$$\langle 4 | \hat{H} | 4 \rangle \geq E_{\text{gs}}, \quad \hat{H} | 4 \rangle = E_4 | 4 \rangle$$

$$\text{Prove: } |4\rangle = \sum C_n |4_n\rangle, \quad \langle \hat{H} \rangle_4 = \sum |C_n|^2 E_n \geq E_{\text{gs}} \sum_n |C_n|^2$$

应用: 猜基态形式, 把求解基态的问题转化为最优化问题  $|4(\omega)\rangle$ , 其中  $\{\omega_n\}$  称为变分参数.

$$E(\omega) = \frac{\langle 4(\omega) | \hat{H} | 4(\omega) \rangle}{\langle 4(\omega) | 4(\omega) \rangle}, \quad \text{由 } \frac{\partial E}{\partial \omega_n} = 0, \text{ 求得 } \{\omega_n\} \text{ 及 } E(\omega)$$

期望值的极值

BCS 理论

e.g.: He 原子基态

$$H = -\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{4\pi\varepsilon_0} \left( \frac{2}{r_1} + \frac{2}{r_2} - \frac{1}{|r_1 - r_2|} \right) \quad \leftarrow \text{Born-Oppenheimer 近似}$$

$$\text{回顾 H 原子基态 } \langle \vec{r} | \vec{n} \vec{l} \vec{m} \rangle = \frac{1}{\sqrt{\pi a^3}} e^{-r/a} \quad \leftarrow a \text{ 为 Bohr 半径}$$

$$\text{试探波函数猜成 } 4(\vec{r}, \vec{r}) = \frac{Z^3}{\pi a^3} e^{-Z(r_1+r_2)/a} \quad Z \text{ 电量!}$$

$$\text{于是整理成 } H = -\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{4\pi\varepsilon_0} \underbrace{\left( \frac{Z}{r_1} + \frac{Z}{r_2} \right)}_{H_0} + \frac{e^2}{4\pi\varepsilon_0} \underbrace{\left( \frac{Z-2}{r_1} + \frac{Z-2}{r_2} \right)}_{H_1} + \frac{e^2}{4\pi\varepsilon_0} \frac{1}{|r_1 - r_2|}$$

$$\begin{cases} \langle H_0 \rangle_4 = 2Z^2 E_1 \quad \text{其中 } E_1 = \frac{e^2}{4\pi\varepsilon_0 a} \sim 13.6 \text{ eV} \\ \langle H_1 \rangle_4 = 2(Z-2) \frac{e^2}{4\pi\varepsilon_0} \frac{Z}{a} \\ \langle V \rangle_4 = -\frac{5}{4} Z E_1 \end{cases}$$

组装得到  $\langle H \rangle_4 = (-2Z^2 + \frac{3}{4}Z) E_1$ , 求其期望最小值

$$\frac{d\langle H \rangle_4}{dZ} = 0 \Rightarrow Z = \frac{27}{16} \sim 1.69 \quad \text{比 2 小一点的正好有}$$

此刻  $\langle H \rangle_4 = -77.5 \text{ eV}$  (实验: -78.795 eV)

### 3. 含时微扰

a. 设  $\hat{H} = \hat{H}_0 + \hat{V}(t)$

概率幅在变，这样才能发生跃迁

$$\hat{H}_0 |\psi_n\rangle = E_n |\psi_n\rangle \quad |\psi(t)\rangle = \sum_n C_n(t) |\psi_n\rangle$$

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle \Rightarrow i\hbar \frac{d}{dt} (\sum_n C_n(t) |\psi_n\rangle) = (\hat{H}_0 + \hat{V}) \sum_n C_n(t) |\psi_n\rangle$$

$$\text{左乘 } \langle \psi_m | \Rightarrow i\hbar \frac{d}{dt} C_m(t) = E_m C_m(t) + \sum_n V_{mn}(t) C_n(t) \quad (1)$$

先做变换  $\tilde{C}_m(t) = e^{iE_m t/\hbar} C_m(t)$  (相当于进一个转速的 Rotating Frame), 则方程可得

$$i\hbar \frac{d}{dt} \tilde{C}_m(t) = -E_m \tilde{C}_m(t) + e^{-iE_m t/\hbar} [i\hbar \frac{d}{dt} C_m(t)] \quad (2)$$

(1) & (2) 可知

各项吸收进入

$$\Rightarrow i\hbar \frac{d}{dt} \tilde{C}_m(t) = -E_m \tilde{C}_m(t) + E_m e^{iE_m t/\hbar} C_m(t) + \sum_n V_{mn}(t) e^{iE_m t/\hbar} e^{-iE_n t/\hbar} \tilde{C}_n(t)$$

$$\Rightarrow i\hbar \frac{d}{dt} \tilde{C}_m(t) = \sum_n V_{mn}(t) e^{i(E_m - E_n)t/\hbar} \tilde{C}_n(t) \quad ; \text{记 } \omega_{mn} = \frac{E_m - E_n}{\hbar}$$

之后只需迭代微扰 i) 设  $t=0$  时初态为零级近似

ii) 把零级近似代入上式左边  $\xrightarrow{\text{和项}} \text{一级修正}$

iii)  $\dots \xrightarrow{\text{和项}} \text{二级修正}$

$$i\hbar \frac{d}{dt} \begin{pmatrix} \tilde{C}_1 \\ \tilde{C}_2 \\ \vdots \\ \tilde{C}_n \end{pmatrix} = \begin{pmatrix} V_{11} \\ V_{21} \\ \vdots \\ V_{n1} \end{pmatrix} \begin{pmatrix} \tilde{C}_1 \\ \tilde{C}_2 \\ \vdots \\ \tilde{C}_n \end{pmatrix}$$

e.g.: 二能级系统

$$\begin{cases} i\hbar \frac{d}{dt} \tilde{C}_b = V_{bb} \tilde{C}_b + V_{ba} e^{i\omega_{ba} t} \tilde{C}_a \\ i\hbar \frac{d}{dt} \tilde{C}_a = V_{aa} \tilde{C}_a + V_{ab} e^{i\omega_{ab} t} \tilde{C}_b \end{cases}$$

设  $\tilde{C}_a(0)=1$ ,  $\tilde{C}_b(0)=0$ . (零级)

$$\begin{cases} i\hbar \frac{d}{dt} \tilde{C}_a^{(0)} = V_{aa} \\ i\hbar \frac{d}{dt} \tilde{C}_b^{(0)} = V_{ba} e^{i\omega_{ba} t} \end{cases} \Rightarrow \begin{cases} \tilde{C}_a^{(0)} = -\frac{i}{\hbar} \int_0^t V_{aa}(t') dt' \\ \tilde{C}_b^{(0)} = -\frac{i}{\hbar} \int_0^t V_{ba}(t') e^{i\omega_{ba} t'} dt' \end{cases} \quad (-21)$$

$$\begin{cases} \tilde{C}_a = 1 - \frac{i}{\hbar} \int_0^t V_{aa}(t') dt' \\ \tilde{C}_b = -\frac{i}{\hbar} \int_0^t V_{ba}(t') e^{i\omega_{ba} t'} dt' \end{cases} \quad \text{with } |\tilde{C}_a|^2 + |\tilde{C}_b|^2 = 1 + O(V^2)$$

### b. 跃迁

设初态为某  $k$  态, 则零级近似为  $\tilde{C}_k^{(0)} = \delta_{nk}$

$$i\hbar \frac{d}{dt} \tilde{C}_m = \sum_n V_{mn} e^{i\omega_{mn} t} \tilde{C}_n$$

$$\text{-级含时微扰为 } i\hbar \frac{d}{dt} \tilde{C}_m^{(0)} = V_{mk} e^{i\omega_{mk} t} \Rightarrow C_m^{(0)} = -\frac{i}{\hbar} \int_0^t V_{mk}(t') e^{i\omega_{mk} t'} dt'$$

$$\Rightarrow \tilde{C}_m \approx \delta_{mk} - \frac{i}{\hbar} \int_0^t V_{mk}(t') e^{i\omega_{mk} t'} dt'$$

对于  $m \neq b$  的态，定义跃迁概率为

$$P_{a \rightarrow b}(t) = \frac{1}{\hbar^2} \left| \int_0^t V_{ab}(t') e^{i(\omega_b - \omega_a)t'} dt' \right|^2$$

e.g. 光场与一能级原子的耦合

$$V(t) = V_0 (e^{i\omega t} + e^{-i\omega t}) \quad E_b - E_a = \hbar\omega$$

$|b\rangle$



快衰亡, 可忽略 慢变化

$$(i) \text{ 一级修正解} \quad V_{ac} = V_{bc} = 0, \quad V_{ab} = \langle a | V(R) | b \rangle$$

$$\text{初值 } |a\rangle, \quad \tilde{C}_b(t) = -\frac{i}{\hbar} \int_0^t V_{ba} (e^{i\omega t} + e^{-i\omega t}) e^{i(\omega_b - \omega)t'} dt' \xrightarrow{\text{积分得到}} -\frac{V_{ba}}{\hbar} \left[ \frac{e^{i(\omega_b + \omega)t}}{\omega_b + \omega} - \frac{e^{i(\omega_b - \omega)t}}{\omega_b - \omega} \right]$$

$$P_{a \rightarrow b}(t) = |\tilde{C}_b|^2, \quad \text{with } |V_{ba}| \ll \hbar\omega_b, \hbar\omega_a, \quad \hbar(\omega_b - \omega) \ll |V_{ba}| \rightarrow \omega_b \ll \omega \quad (\text{近共振条件})$$

$$\text{利用 RWA, 可得 } \tilde{C}_b(t) \approx -\frac{V_{ba}}{\hbar} e^{i(\omega_b - \omega)t/2} / \frac{1}{\omega_b - \omega} \approx \delta \sin\left[\frac{(\omega_b - \omega)t}{2}\right]$$

$$\text{即 } P_{a \rightarrow b}(t) \approx \frac{4|V_{ba}|^2}{\hbar^2} \frac{\sin^2\left[\frac{(\omega_b - \omega)t}{2}\right]}{(\omega_b - \omega)^2}$$

$$\xrightarrow{t \rightarrow \infty} \frac{2\pi}{\hbar^2} t |V_{ba}|^2 \delta(\omega_b - \omega) \quad (\text{with } \frac{1}{|V_{ba}|} \gg t, \quad \text{let } P < 1)$$

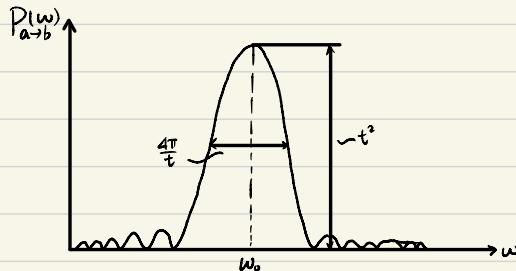
$$\text{单位时间跃迁几率 } \omega_{a \rightarrow b} = \frac{2\pi}{\hbar^2} |V_{ba}|^2 \delta(\omega_b - \omega)$$

Trick:

$$\lim_{t \rightarrow \infty} \frac{\sin^2\left[\frac{(\omega_b - \omega)t}{2}\right]}{(\omega_b - \omega)^2} = \frac{\pi}{4} + \delta\left(\frac{\omega_b - \omega}{2}\right)$$

$$\left\{ \begin{array}{l} \# 103 \lim_{x \rightarrow \infty} \frac{\sin^2(\omega x)}{\omega^2} = \delta(x) \pi \end{array} \right.$$

$$\text{或 } |b\rangle \text{ 附近有一系列态} \quad \sum_b P_{a \rightarrow b}(t) \Big|_{t \rightarrow \infty} = \int dE \rho(E) \frac{2\pi}{\hbar} |V_{ba}|^2 \delta(E - \omega) t$$



Fermi's Golden Rule

询问: 会有  $a \rightarrow b$ , 单次测量能量不守恒? 计算能量涨落  $\Delta E \cdot \Delta t = \frac{4\pi}{t} \hbar \cdot t = 4\pi \hbar$

思考: 时间测量尺度越小, 能量涨落越大

(ii) 严格解

$$i\hbar \frac{d}{dt} \begin{pmatrix} C_a \\ C_b \end{pmatrix} = \begin{pmatrix} E_a & V_{ab}(e^{i\omega t} + e^{-i\omega t}) \\ \dots & E_b \end{pmatrix} \begin{pmatrix} C_a \\ C_b \end{pmatrix} \quad \text{取相互作用强限} \quad \left\{ \begin{array}{l} \tilde{C}_a = C_a e^{i\left(\frac{E_a + E_b}{2\hbar} - \frac{\omega}{2}\right)t} \\ \tilde{C}_b = C_b e^{i\left(\frac{E_a + E_b}{2\hbar} + \frac{\omega}{2}\right)t} \end{array} \right.$$

$$\Rightarrow i \frac{d}{dt} \begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} = \begin{pmatrix} \frac{\omega - \omega_0}{2} & \frac{V_{ab}}{\hbar} (1 + e^{i\omega t}) \\ \dots & -\delta - \frac{\omega - \omega_0}{2} \end{pmatrix} \begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} \quad \left\{ \begin{array}{l} \frac{\delta}{2} \rightarrow \text{能量零上} \\ -\frac{\delta}{2} \rightarrow \text{能量零下} \end{array} \right.$$

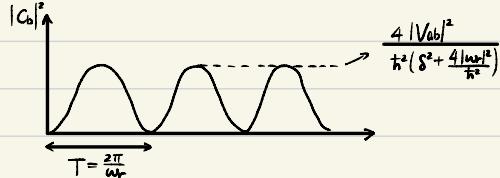
$$\text{上述变换后的V矩阵写成Pauli矩阵和: } \tilde{V} = \frac{\delta}{2} \sigma_z + \operatorname{Re}\left(\frac{V_{ab}}{\hbar}\right) \sigma_x - \operatorname{Im}\left(\frac{V_{ab}}{\hbar}\right) \sigma_y, \quad \begin{pmatrix} \tilde{C}_a(t) \\ \tilde{C}_b(t) \end{pmatrix} = e^{-i\tilde{V}t} \begin{pmatrix} C_a(0) \\ C_b(0) \end{pmatrix}$$

其解

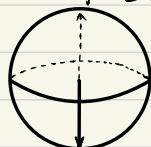
$$\begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} = \begin{pmatrix} \cos \frac{\omega_r}{2} t + i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t & -i \frac{2V_{ab}}{\hbar \omega_r} \sin \frac{\omega_r}{2} t \\ \dots & \cos \frac{\omega_r}{2} t - i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t \end{pmatrix} \begin{pmatrix} \tilde{C}_a(0) \\ \tilde{C}_b(0) \end{pmatrix} \quad \text{with } \omega_r = \sqrt{\delta^2 + \frac{4|V_{ab}|^2}{\hbar^2}} \text{ Rabi Frequency}$$

考虑  $\tilde{C}_a(0)=1, \tilde{C}_b(0)=0$

$$\begin{cases} \tilde{C}_a(t) = \cos \frac{\omega_r}{2} t + i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t \\ \tilde{C}_b(t) = -i \frac{2V_{ab}}{\hbar \omega_r} \sin \frac{\omega_r}{2} t \end{cases}$$



② 用Bloch球去理解



$$e^{i\psi(\vec{R}, \vec{\sigma})}$$

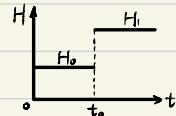
想翻上去, 则必须在xy平面内  $\Rightarrow \delta=0$ , 反之

### c. 含时间问题指深

① 定实近似

$$\hat{H}_0 |\psi_n\rangle = E_n |\psi_n\rangle$$

$$\hat{H} |\psi_n\rangle = E_n |\psi_n\rangle$$



在  $t_0$  时刻, 态近似不变.

$$t=0, |\psi\rangle = \sum_n c_n |\psi_n\rangle$$

$$0 < t < t_0, |\psi\rangle = \sum_n c_n e^{-iE_n t/\hbar} |\psi_n\rangle$$

$$t=t_0, |\psi\rangle = \sum_n c_n e^{-iE_n t_0/\hbar} |\psi_n\rangle$$

$$t > t_0, |\psi\rangle = \sum_n e^{-iE_n(t-t_0)}$$

② 绝热近似

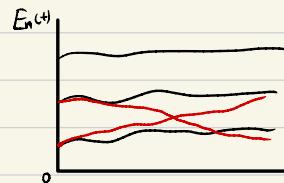
$\hat{H}(t)$  缓变, 则初始本征态绝热跟随瞬时  $\hat{H}(t)$  的本征态演化  $\hat{H}(t) |\psi_n(t)\rangle = E_n(t) |\psi_n(t)\rangle$

$$t=0, |\psi_0\rangle, \hat{H}(t=0) |\psi_0\rangle = E_0(0) |\psi_0\rangle$$

$$t>0, |\psi(t)\rangle \approx e^{i\phi_n(t)} e^{iE_n(t)} |\psi_0(t)\rangle$$

$$\text{动力学 phase } \phi_n = -\frac{i}{\hbar} \int_0^t E_n(t') dt'$$

$$\text{几何学 phase } \gamma_n = i \int_0^t \langle \psi_0(t') | \frac{\partial}{\partial t} |\psi_0(t')\rangle dt'$$



判断

Spin, 角动量耦合(CS); 磁微扰<sup>非简并</sup>简单, {变<sup>了</sup>简单含时}

## Bonus: 拓展论题

### 1. 金固粒子 详见 Griffith

内禀属性一致为金固粒子

N个粒子体系

$$\hat{P}_{ij} \psi(z_1, \dots, z_i, \dots, z_j, \dots, z_N) = \psi(z_1, \dots, z_j, \dots, z_i, \dots, z_N)$$

$\Rightarrow [\hat{P}_{ij}, A] = 0$ , 有关同本征态

$$\hat{P}_{ij} \psi = \lambda \psi, \quad \hat{P}_{ij}^2 \psi = \lambda^2 \psi = \psi \Rightarrow \lambda = \pm 1$$

定义  $\begin{cases} \hat{P}_{ij} \psi^s = \psi^s & \text{交换对称} \\ \hat{P}_{ij} \psi^A = -\psi^A & \text{交换反对称} \end{cases}$

$$\begin{cases} \hat{P}_{ij} \psi^s = \psi^s \\ \hat{P}_{ij} \psi^A = -\psi^A \end{cases}$$

e.g. 两粒子波函数

$$\hat{H} = \hat{h}_1(g_1) + \hat{h}_2(g_2) \quad \text{设 } h_i \varphi_{ki}(g_i) = \varepsilon_{ki} \varphi_{ki}(g_i) \quad i=1, 2$$

$$\text{考虑 } \hat{H} \varphi_{ki}(g_1) \varphi_{kj}(g_2) = (\varepsilon_{ki} + \varepsilon_{kj}) \varphi_{ki}(g_1) \varphi_{kj}(g_2)$$

直积态

e.g. 交操作用 (参见 Griffith)

$$\text{直和态 } |a\rangle_1 |b\rangle_2 \quad \text{对称态 } \frac{\sqrt{2}}{2} (|a\rangle_1 |b\rangle_2 + |b\rangle_1 |a\rangle_2) \quad \text{反对称态 } \frac{\sqrt{2}}{2} (|a\rangle_1 |b\rangle_2 - |b\rangle_1 |a\rangle_2)$$

$$\text{求 } \langle (\hat{x}_1 - \hat{x}_2)^2 \rangle$$

$$\text{直和态: } \langle a | b | (\hat{x}_1^2 + \hat{x}_2^2 - 2\hat{x}_1 \hat{x}_2) | a \rangle_1 | b \rangle_2 = \langle \hat{x}_1^2 \rangle_a + \langle \hat{x}_2^2 \rangle_b - 2 \langle \hat{x}_1 \rangle_a \langle \hat{x}_2 \rangle_b$$

$$\text{设 } \begin{cases} \langle \hat{x}_1^2 \rangle_a = \langle \hat{x}_2^2 \rangle_a & \langle \hat{x}_1 \rangle_a = \langle \hat{x}_2 \rangle_a \\ \langle \hat{x}_1^2 \rangle_b = \langle \hat{x}_2^2 \rangle_b & \langle \hat{x}_1 \rangle_b = \langle \hat{x}_2 \rangle_b \end{cases} \Rightarrow \langle \hat{x}_1^2 \rangle_a + \langle \hat{x}_2^2 \rangle_b - 2 \langle \hat{x}_1 \rangle_a \langle \hat{x}_2 \rangle_b + 2 |\langle \hat{x} \rangle_{ab}|^2$$

即  $\begin{cases} \text{对称态} & \text{间距小} \text{ (成键)} \\ \text{反对称态} & \text{间距大} \text{ (反键)} \end{cases}$

### 2. 量子信息初步

#### ① 密度矩阵

a. 对于任意态  $| \psi \rangle$   $\hat{\rho} = | \psi \rangle \langle \psi |$  一含概了体系的量子信息

力学量期望值:  $\langle \psi | \hat{A} | \psi \rangle = \sum_n \langle \psi_n | \hat{A} | \psi \rangle \langle \psi | \psi_n \rangle = \text{Tr}(\hat{A} \hat{\rho})$

e.g. 一个二能级体系 (qubit)

$$|\psi\rangle = |\uparrow_x\rangle + \frac{\sqrt{2}}{2}(|\uparrow_z\rangle + |\downarrow_z\rangle)$$

$$\Rightarrow \hat{P} = |\psi\rangle\langle\psi| = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix}$$

我很纯

$$\text{再考虑 } \hat{P}' = \frac{1}{2}|\uparrow_z\rangle\langle\uparrow_z| + \frac{1}{2}|\downarrow_z\rangle\langle\downarrow_z| = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix}$$

我不能化简，有一项纯

$$\begin{array}{l} \text{相干性 Coherence} \text{ 则 } \hat{S}_z \text{ 测值} \left\{ \begin{array}{l} P=\frac{1}{2} \uparrow_x \\ P=\frac{1}{2} \downarrow_x \end{array} \right. \quad \hat{S}_x \text{ 测值} \left\{ \begin{array}{l} P=\frac{1}{2} \uparrow_x \\ P=0 \downarrow_x \end{array} \right. \end{array}$$

$$\text{应用：随机制备 } \begin{pmatrix} \frac{1}{2} & \uparrow_x \\ \frac{1}{2} & \downarrow_x \\ \frac{1}{2} & \uparrow_z \\ \frac{1}{2} & \downarrow_z \end{pmatrix} \Rightarrow \begin{pmatrix} \frac{1}{2} & \uparrow_x \\ \frac{1}{2} & \downarrow_x \end{pmatrix}$$

b. 两个二能级体系

$$|\psi_{AB}\rangle = a|\uparrow_z\rangle_A|\uparrow_z\rangle_B + b|\downarrow_z\rangle_A|\downarrow_z\rangle_B \quad \text{with } |a|^2 + |b|^2 = 1$$

$$\text{对 A 的态测量} \quad \begin{cases} P=|a|^2 \quad |\uparrow_z\rangle_A \text{ 同时态坍缩为 } |\uparrow_z\rangle_B \\ P=|b|^2 \quad |\downarrow_z\rangle_A \quad |\downarrow_z\rangle_B \end{cases}$$

如不关心 B 的结果，只对 A 进行测量：

$$\langle\psi_{AB}|\hat{M}_A \otimes \hat{I}_B|\psi_{AB}\rangle = |a|^2\langle\uparrow_z|\hat{M}_A|\uparrow_z\rangle_A + |b|^2\langle\downarrow_z|\hat{M}_A|\downarrow_z\rangle_A \quad \leftarrow \text{插入完备基, } \{|\psi\rangle, |\bar{\psi}\rangle\} \text{ 为 A 的基}$$

$$\begin{aligned} &\stackrel{\text{支数}}{=} |a|^2\langle\psi|\uparrow_z\rangle\langle\uparrow_z|\hat{M}_A|\psi\rangle + |a|^2\langle\psi|\uparrow_z\rangle\langle\uparrow_z|\hat{M}_A|\bar{\psi}\rangle \\ &\quad + |b|^2\langle\psi|\downarrow_z\rangle\langle\downarrow_z|\hat{M}_A|\psi\rangle + |b|^2\langle\psi|\downarrow_z\rangle\langle\downarrow_z|\hat{M}_A|\bar{\psi}\rangle \end{aligned}$$

$$\text{定义 } \hat{P}_A = |a|^2|\uparrow_z\rangle\langle\uparrow_z| + |b|^2|\downarrow_z\rangle\langle\downarrow_z| \Rightarrow \text{纯态}$$

$$= \text{Tr}_B(\hat{P}_A \hat{M}_A)$$

②纠缠与纠缠态

$$\text{分离的态 } |\psi_{ab}\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$$

$$\hat{P}_A = \text{Tr}_B(\hat{P}_{AB}) = |\psi_A\rangle\langle\psi| \otimes |\psi\rangle\langle\psi|_B = |\psi_A\rangle\langle\psi| \text{ 成为纯态}$$

找 B 的完备基

把 B 作用掉！