





# IS CROSS-ATTENTION PREFERABLE TO SELF-ATTENTION FOR MULTI-MODAL EMOTION RECOGNITION?

VANDANA RAJAN<sup>1</sup>, ALESSIO BRUTTI<sup>2</sup>, ANDREA CAVALLARO<sup>1</sup>

<sup>1</sup>CENTRE FOR INTELLIGENT SENSING, QUEEN MARY UNIVERSITY OF LONDON, UK

 $^2\mathrm{CENTER}$  FOR INFORMATION AND COMMUNICATION TECHNOLOGY, FONDAZIONE BRUNO KESSLER, ITALY

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Self and cross-attention models

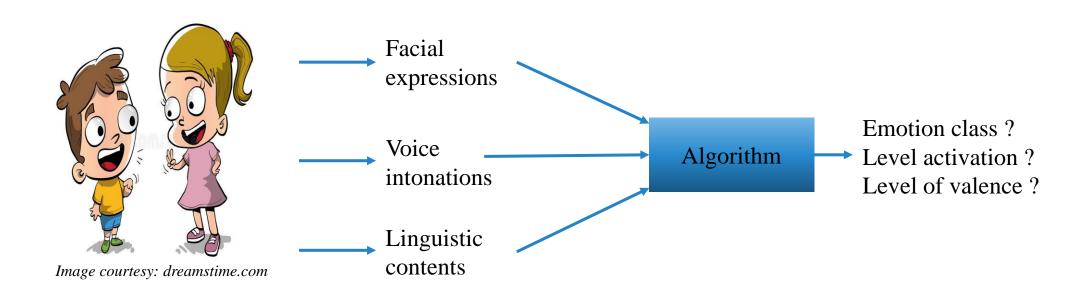
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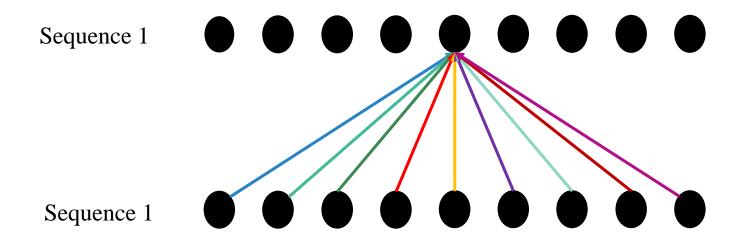
## Automatic emotion recognition



### Self-attention

#### Self-attention (intra-attention):

• relates different positions of a sequence to compute a representation of the *same* sequence [1].



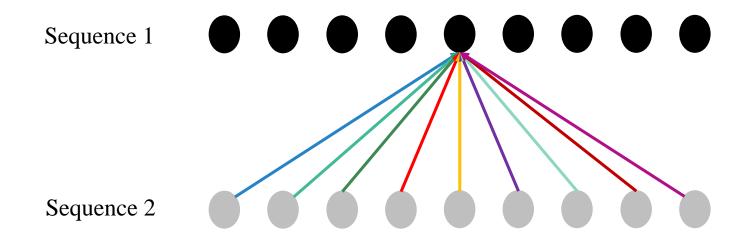
Different colours indicate different weights

[1] Cheng, Jianpeng, Li Dong, and Mirella Lapata. "Long Short-Term Memory-Networks for Machine Reading." *Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing*. 2016.

### Cross-attention

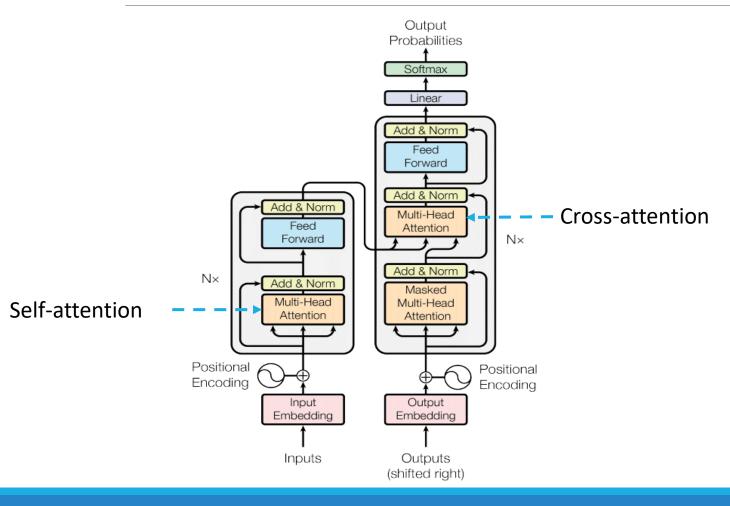
#### Cross-attention (inter-attention):

• relates different positions of one sequence to compute a representation of *another* sequence.



Different colours indicate different weights

## Multi-head attention (1/2)



 Transformers introduced MHA with self and cross-attentions [2]

[2] Vaswani, Ashish, et al. "Attention is all you need." *Advances in neural information processing systems* 30 (2017).

## Multi-head attention (2/2)

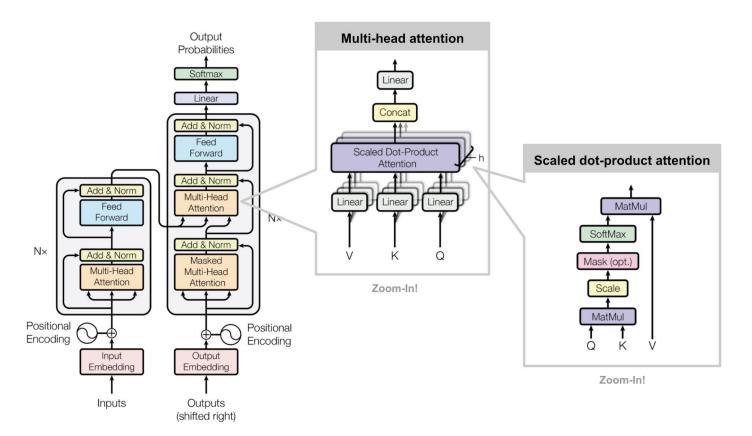


Figure from https://lilianweng.github.io/posts/2018-06-24-attention/

- Self-attention:
  - Intra-modal
  - V, K, Q same modality
- Cross-attention:
  - Inter-modal [3]
  - V,K source modality
  - Q target modality

[3] Tsai, Yao-Hung Hubert, et al. "Multimodal transformer for unaligned multimodal language sequences." *Proceedings of the conference. Association for Computational Linguistics. Meeting.* Vol. 2019. NIH Public Access, 2019.

## Self or cross-attention?



RQ: Is cross-attention preferable to self-attention for MMER?



Compare 2 models, one made of self (intra-modal) attention, and another made of cross (inter-modal) attention.

## Model design

#### Modality specific encoders

- 1D convolutions extract local task-relevant components
- $\bullet \ Bi\text{-}GRU-global \ sequence \ modelling$

#### Attention modules

• MHA – varying focus on time instances according to task-relevance

#### Temporal averaging

• Global representation of entire utterance

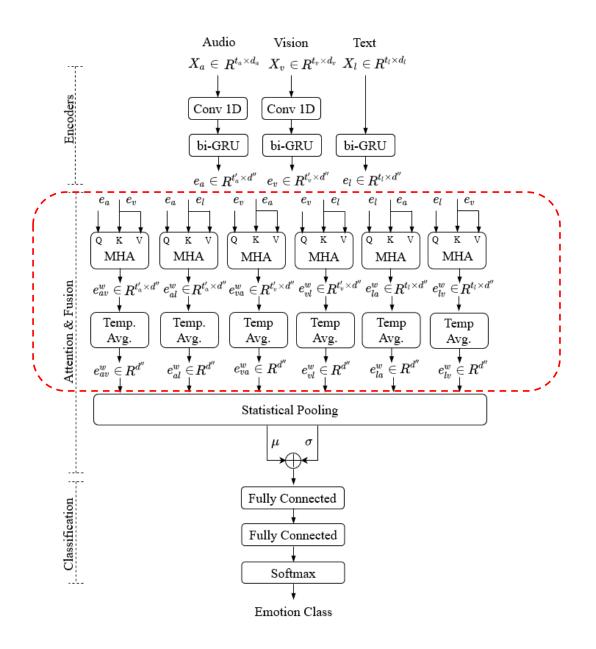
#### Statistical pooling

• Mean and standard deviation features

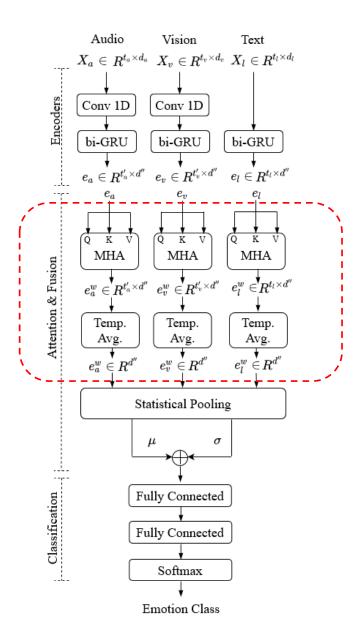
#### Classifier

• Fully connected layers output predictions

### Tri-modal crossattention model



### Tri-modal selfattention model



### Validation

Dataset: IEMOCAP [4] (~ 12 hours)

Total num. utterances: 7487

Classes: 7

1,103 angry, 1,041 excited, 595 happy, 1,084 sad, 1,849 frustrated, 107 surprise and 1,708 neutral

5 fold cross-validation

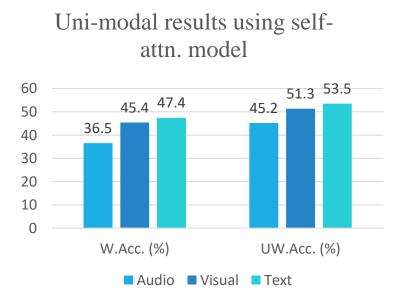
• Train and evaluate models 10 times per fold (with 10 different random seeds)

#### Metrics:

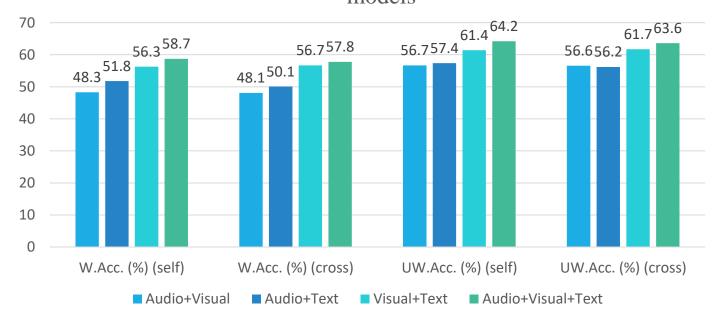
- WA Weighted Accuracy
- UWA Un-Weighted Accuracy

[4] Carlos Busso, Murtaza Bulut, Chi-Chun Lee, Abe Kazemzadeh, Emily Mower, Samuel Kim, Jeannette N Chang, Sungbok Lee, and Shrikanth S Narayanan, "IEMOCAP: Interactive emotional dyadic motion capture database," Language Resources and Evaluation, vol. 42, no. 4, pp. 335–359, 2008.

## Results (1/2)



Bi- & Tri-modal results using self and cross-modal attn. models



Tri-modal > Bi-modal > Uni-modal for both fusion models

# Results (2/2)

#### Ablation and model combination

Model	Weighted Accuracy	Unweighted Accuracy
Cross-noSP	.570 (.021)	.634 (.015)
Cross	.578 (.024)	.636 (.012)
Self-noSP	.584 (.021)	.638 (.019)
Self	.587 (.022)	.642 (.019)
Cross+Self	.585 (.028)	.642 (.020)

## Conclusion

No statistically significant difference between self and cross-attn. models

Combination of self and cross-attn did not improve performance

This might indicate that the cross-attn model does not contribute any additional info.

## Code

https://github.com/smartcameras/SelfCrossAttn