

# TERMS

Let us re-confirm our understanding of a few terms

## PROGRAM

- A computer program is a sequence of instructions written to perform a specified task in a computer

## SOFTWARE

- A software is a set of programs, procedures and its documentation concerned with the operation of a data processing system

## PROCESS

- A Process is a series of definable, repeatable, and measurable tasks leading to a useful result

# SOFTWARE DEVELOPMENT

## Ad-hoc Software Development (till 1960s)



Software was developed on a Trial & Error basis



No Specific Process was followed during the development of the Product; No proper testing was done



Defects were detected only after the product was delivered to external Users



# SOFTWARE ENGINEERING



PLANNING & ANALYSIS



DEVELOPMENT & TESTING



DESIGN



MAINTENANCE

Software engineering is the application of a systematic, disciplined, quantifiable approach to the design, development, operation and maintenance of software.

# PROCESS & SOFTWARE DEVELOPMENT PROCESS



Let us define what a Process is

A Process is a series of definable, repeatable, and measurable tasks leading to a useful result.

Software Development process involves transformation of user needs into an effective software solution.

- Benefits:
- 1 Helps to develop software smoothly
  - 2 Allows the development within the given budget, time and scope
  - 3 Mitigate risk

# SOFTWARE DEVELOPMENT LIFE CYCLE (SDLC)

## What is SDLC?

1

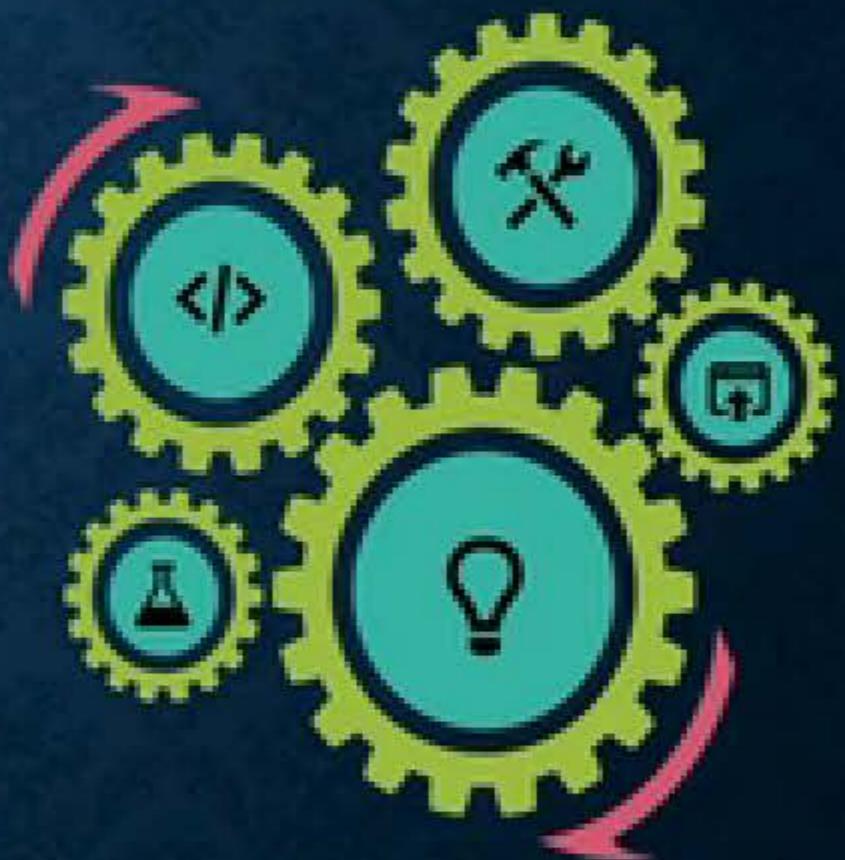
SDLC is the process used in a project to develop a software product.

2

Defines the phases, and tasks to be performed with the objective of developing software that meets requirements

3

Describes how development activities are performed, and their appropriate sequence



# SOFTWARE DEVELOPMENT LIFE CYCLE

The different phases of SDLC - planning, analysis, design, implementation , testing, deployment and maintenance

## 5-DEPLOYMENT & MAINTENANCE

Deployment – Release the product / installing at client's end

Maintenance – Any changes done to software from deployment till retirement of software



# PHASES IN SDLC

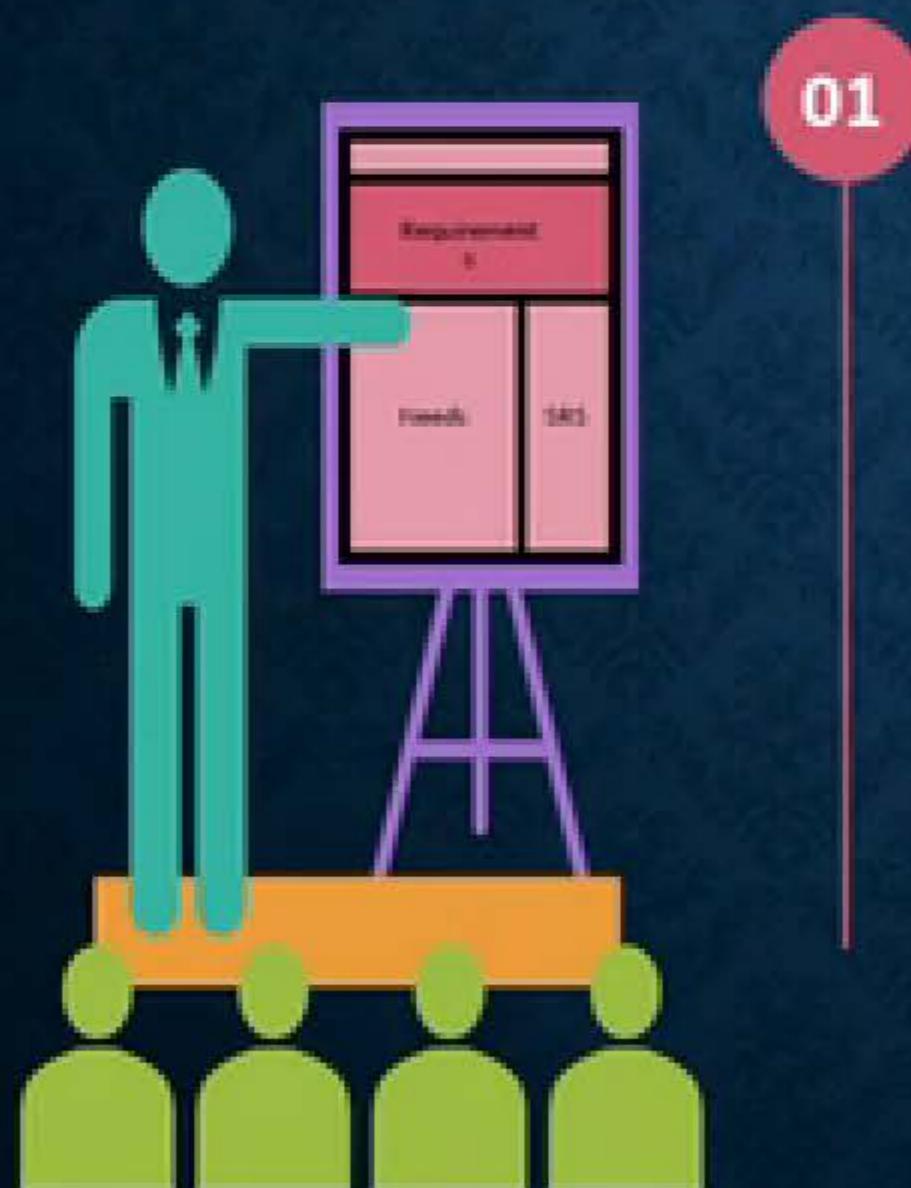
Let us learn the various phases of SDLC



# ANALYSIS

The goal of the system analysis is to define the requirements of the system

## Requirement analysis



- » The analyst is responsible for defining the requirements of the system
- » The main focus is to identify the problem that the customer is trying to solve.

The activities are

- ✓ Requirement gathering and analysis requires client as well as the service provider to get the detailed and accurate requirements
- ✓ SRS (Software Requirement Specification) is the primary artifact of Analysis phase

Design converts the software requirement specification document into system specification.

## System Design

- » It is the process of defining the architecture, interface, component and other characteristics of a system
- » The design stage takes the requirements identified in the approved requirements document (SRS) as its initial input
- » The design documents and the artefacts are produced at the end of the design phase

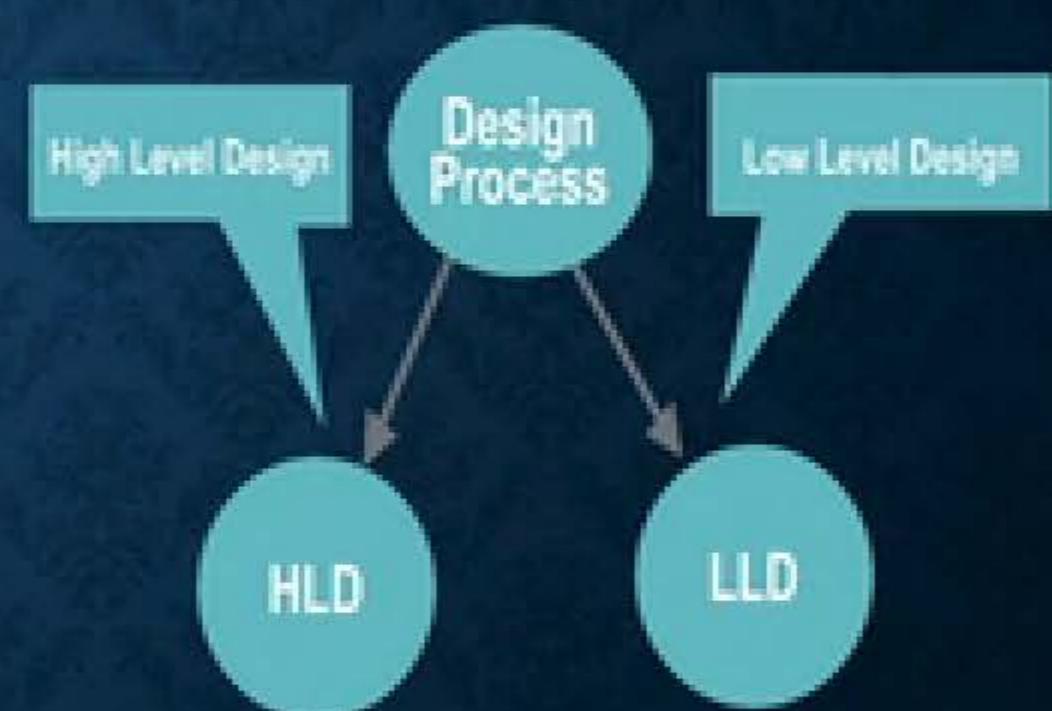
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# LEVELS OF A DESIGN

## Design process

- ❖ Decomposes the entire project into units / modules and identify the system architecture, data structure and processing logic
- ❖ The two levels of design are High Level Design and Low-Level Design
- ❖ High Level Design focuses on what modules are required what each module performs, and how these modules communicate with one another
- ❖ Low Level Design focuses on writing a detailed algorithm
- ❖ DD(Design Document)= HLD + LLD



# CONSTRUCTION (CODE + UNIT TESTING)

Modular and subsystem programming code is accomplished during this stage

## Development

- ❖ The goal of the coding phase is to convert the design into a workable solution using any programming language
- ❖ Unit testing /module testing is done in this stage by the developers
- ❖ This stage produces the source code, executable, and databases applicable

03



# TESTING

Testing is the process of executing the program with the intent of finding errors

## Software testing

Software testing is a process of verifying and validating that a software application or program meets the business and technical requirements

04



## Levels of Testing

1 Unit Testing

3 System Testing

2 Integration Testing

4 Acceptance Testing

# LEVELS OF TESTING

The levels of testing explained



## UNIT TESTING

Done by the developer - individual module is for functional correctness



## INTEGRATION TESTING

Checks for the interface errors between the integrated components



## SYSTEM TESTING

The system is tested as a whole to check the functional and nonfunctional correctness



## ACCEPTANCE TESTING

Done by the end user for the system acceptance

# TESTING (V & V)

Software Testing includes Verification , Validation & Defects finding

Verification - Confirms that the software meets its technical specifications

Validation - Confirms that the software meets the business requirements

Defect -Variance between the expected and actual result



# MAINTENANCE

Changes or enhancements happen everywhere. Software is no exemption.



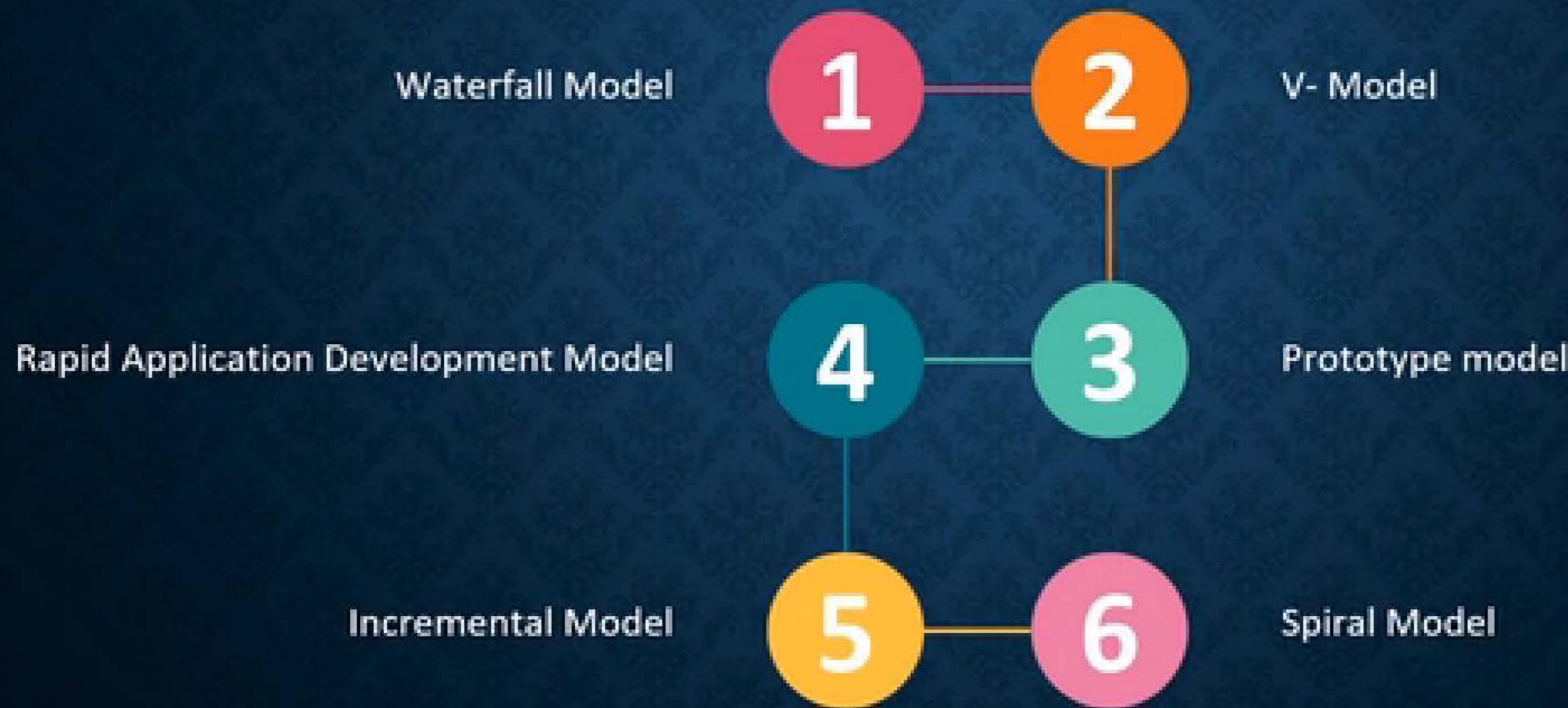
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## SOFTWARE MAINTENANCE

Any change that is made to the software after it is deployed is known as maintenance.



# SOFTWARE DEVELOPMENT LIFE CYCLE MODELS



# WATERFALL MODEL

Also known as the linear sequential model



# WATERFALL MODEL

## Key Features:

1

Each phase has a well defined end  
identifiable deliverables to the next phase

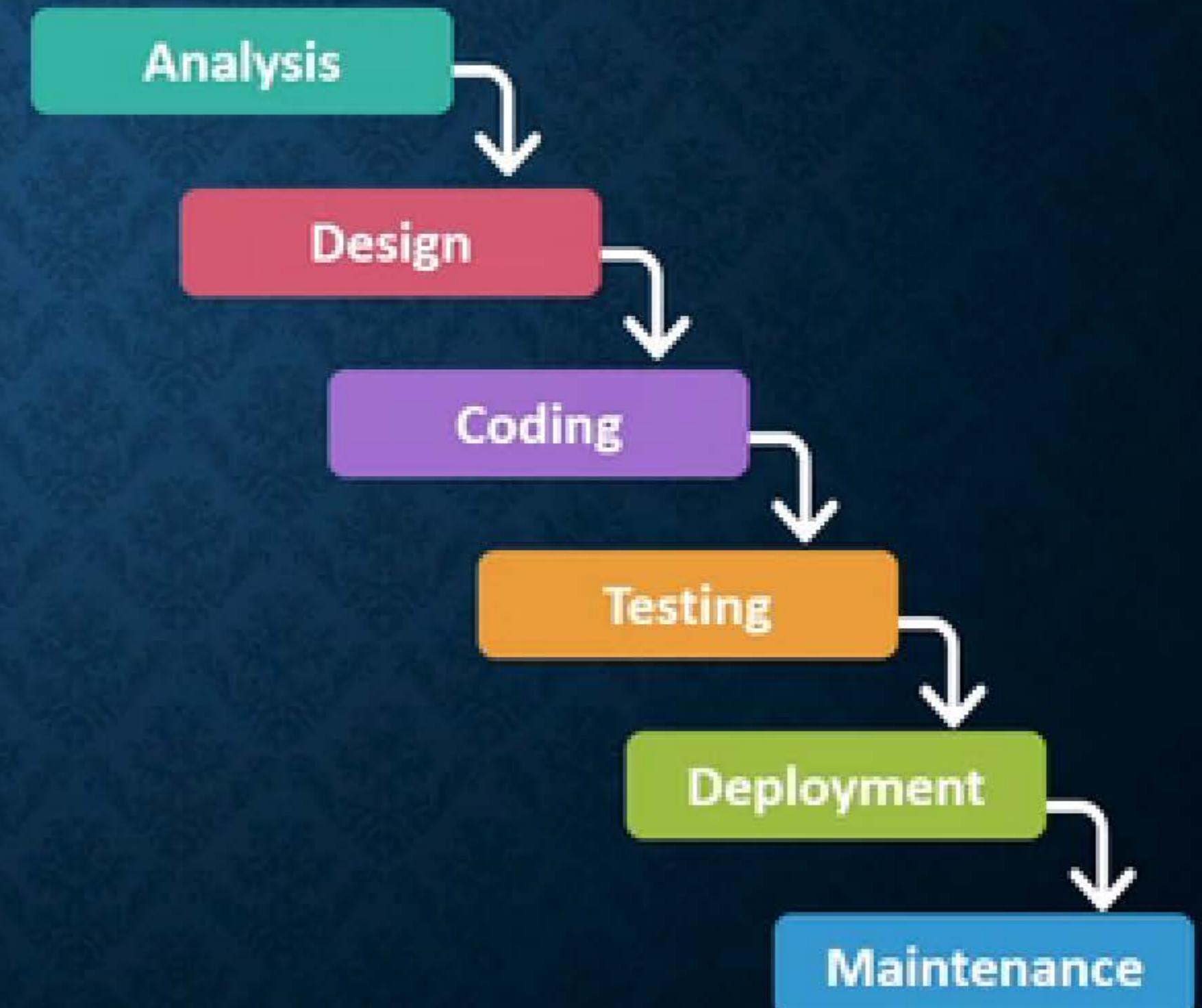
2

It is a linear sequential model and is  
systematic in approach

3

The main consequence of this model is -  
"Can't iterate to previous phase"

i.e. this model follows a "no going back"  
style between phases



# ADVANTAGES OF WATERFALL MODEL



# LIMITATIONS OF WATERFALL MODEL

Is suitable if the requirements are well-defined and stable

Not desirable for large and complex projects where the requirements are expected to change frequently

Delayed Testing

Testing phase starts only after the entire implementation is complete. Until then, Testers are not involved in the process leading to the blocked state.

Systems must be defined up front ; Rigidity

- » Does not allow to modify the requirements once the development process is triggered.
- » To start a phase, all the deliverables related to the previous phase should be 100% complete.
- » Suppose a project is in coding phase and a requirement needs to be changed. Then the entire process needs to be done from scratch to incorporate the changes

Hard to estimate costs & schedule Cost of change or correction is very high

No way to perform testing prior to testing phase. Also client interacts with the system only when delivered. If any changes are to be incorporated, there will be an overrun in cost and schedule because these changes should go through the entire process again..

Client gets a feel of the system only when the final product is delivered

- » Client will get the preview of the application only after the final version of the software is developed. There is no feed back loop.
- » If client finds any mismatch in requirements, then it is a hectic process to incorporate the changes

# WATERFALL MODEL

Waterfall model is suitable when

Software requirements are clearly defined and known

Product definition is stable

To write an application to play chess, all the rules are well defined and are stable. Hence waterfall model can be used.

New version of the existing software system is created

An application already exists in Dotnet Technology. Client wants the same application to be converted to Java technology

Software development technologies and tools are well known

# V-MODEL

## Verification and Validation Model

1

Verification and Validation Model commonly known as V-Model evolved from waterfall Model

2

It is a structured approach to testing

3

Testing is emphasized in this model more than in the waterfall model

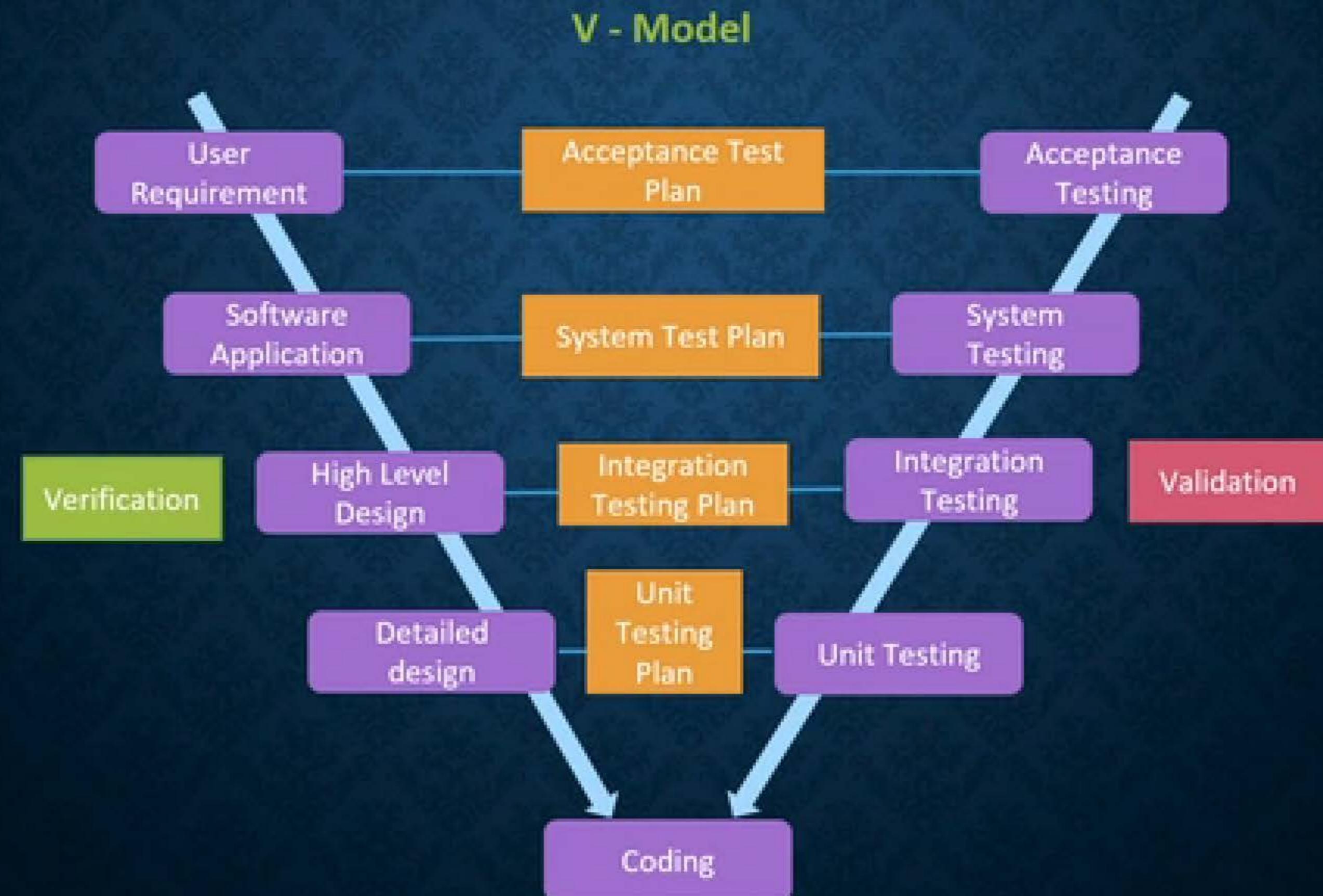
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Testing is done from the earliest stages. This ensures that Quality of software becomes a focus-area right from the start.

5

Each phase must be completed before the next phase begins

# V-MODEL



# ADVANTAGES OF V-MODEL

1

Validation and Verification at each level of the stage containment

2

Stage containment mechanism

3

Avoids the downward flow of defect

4

Lower defect resolution cost due to early detection

5

Allows testers to be active from the initial state of the project life cycle

# LIMITATIONS OF V-MODEL

1

Least flexible

2

Rigid

3

Backtracking cost is high in case a problem occurs

4

Hard to estimate costs & project overruns

5

No Prototype guidance

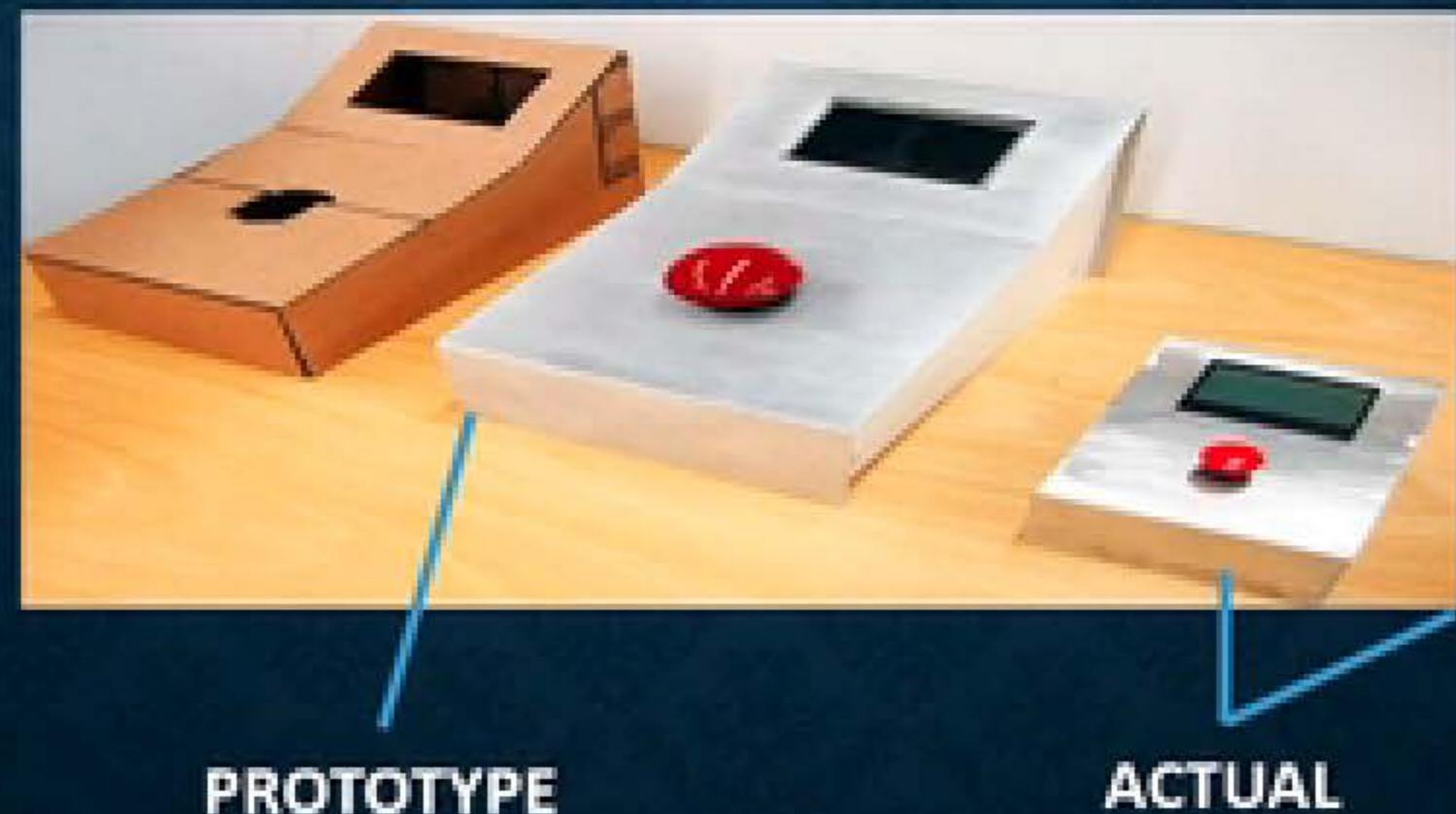
# PROTOTYPE MODEL

Prototyping is the concept of developing a model for the system to be built

Prototyping is the concept of developing a model for the system to be built.

Creates prototypes, which is an incomplete version of the software program being developed

Simulates only few aspects of the features of the System to be built



# PROTOTYPE MODEL

The process of prototyping involves the following steps

- 1 Identify basic requirements
- 2 Develop Initial Prototype
- 3 Review
- 4 Revise and Enhance the Prototype

# PROTOTYPE MODEL - WHY

One of the major reasons why prototyping is done is to help software architects / designers make choices.

Another reason is to help communicate ideas within the Team or outside – to make clear what one is talking about, to understand what someone else's ideas, and to pitch for support

Another reason is to test if something works.

Prototyping can also be used by the end users to describe and prove requirements that the developers have

# PROTOTYPE MODEL

There are two types of prototyping - Throwaway, and Evolutionary

## THROW AWAY

- » Used when the requirement is unclear.
- » A small part of the system is developed and then given to the end user for review.
- » The prototype is then discarded or thrown away.

## EVOLUTIONARY

- » Used when the requirements are unstable.
- » An initial prototype is presented to the user for feedback and suggestions for improvements, and refined by the developer and the process is repeated.
- » In Evolutionary prototype the prototype is converted into the final system.

# THROWAWAY PROTOTYPE MODEL

A 'quick and dirty' approach involving - Quick requirements assessment, analysis, design

- » Focuses on rapid construction and has an Ad-hoc development approach; Discards prototype after the objective is met

The detailed steps for the throw away prototype are

- » Write preliminary requirements
- » Design the prototype
- » User experiences or uses the prototype, specifies new requirements
- » Writing final requirements
- » Rapid Construction

WHY prototype is THROWN AWAY

- » When working with this prototype its not necessary that the developer should strictly follow the documentation
- » Also, not necessary to adopt the same technology. Developer may use some easy to use tools or technology for his ease.
- » Hence this prototype will be thrown away and the actual product will be developed with proper documentation and in the technology required.

# EVOLUTIONARY PROTOTYPE MODEL

An incremental and iterative model

1 Requirements are prioritized and the code is developed initially for stable requirements, with an eye on quality

2 Software is continuously refined and augmented in close collaboration with the client

3 Build the software incrementally

4 Adopt a rigorous, systematic approach

5 Iterative model



# ADVANTAGES OF PROTOTYPE MODEL



# WHEN TO USE PROTOTYPE MODEL

## SCENARIO 1:

- Peter needs to develop an application for Azure online shopping.  
Client requires color changes depending on the product displayed.
- Peter is not clear with the client requirement.

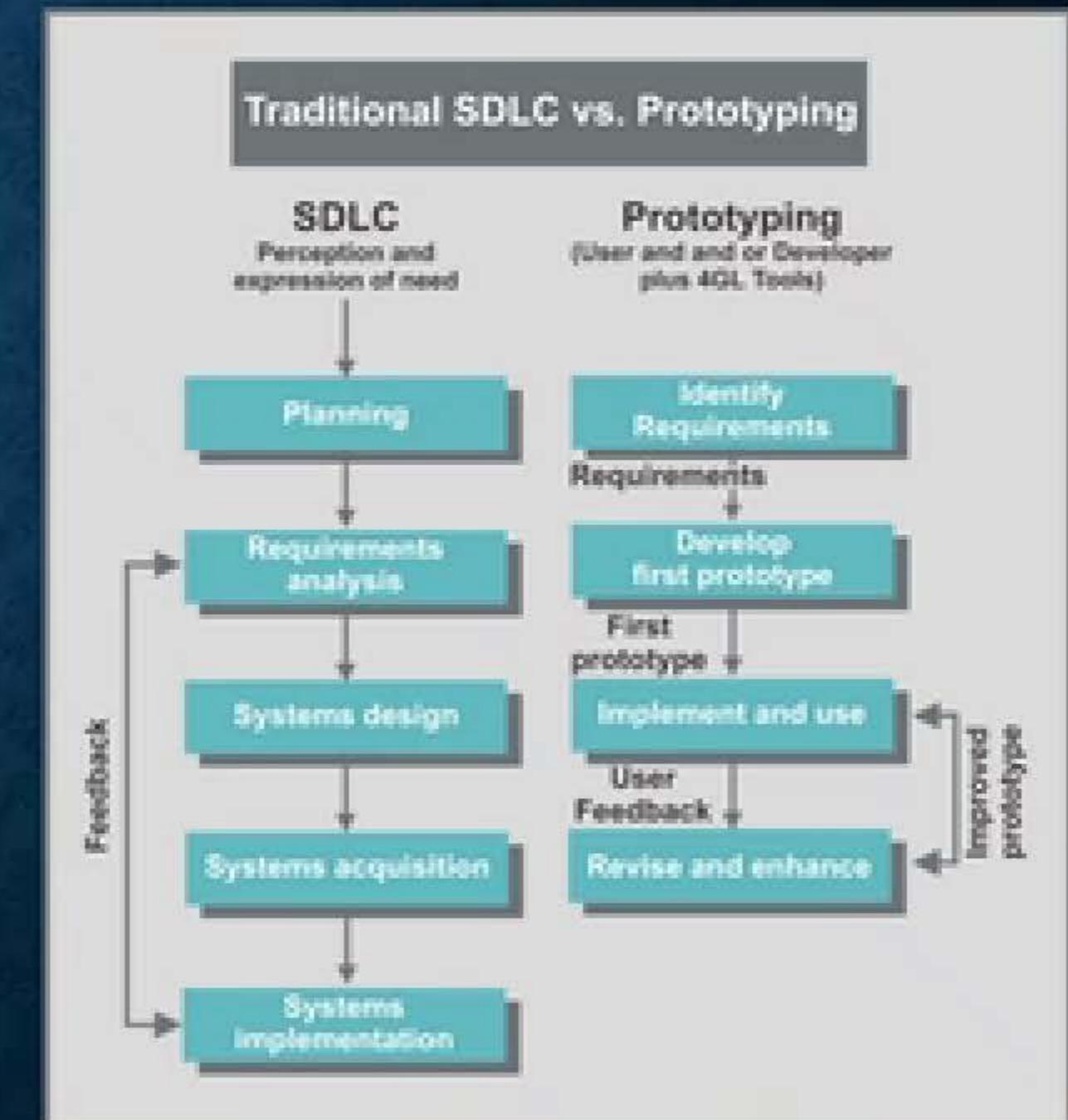
What type of prototype should be adopted for this scenario?

## SCENARIO 2:

Stakeholders explain Peter the process for creating the Balance sheet.

Their explanation is not stable and has multiple variations.

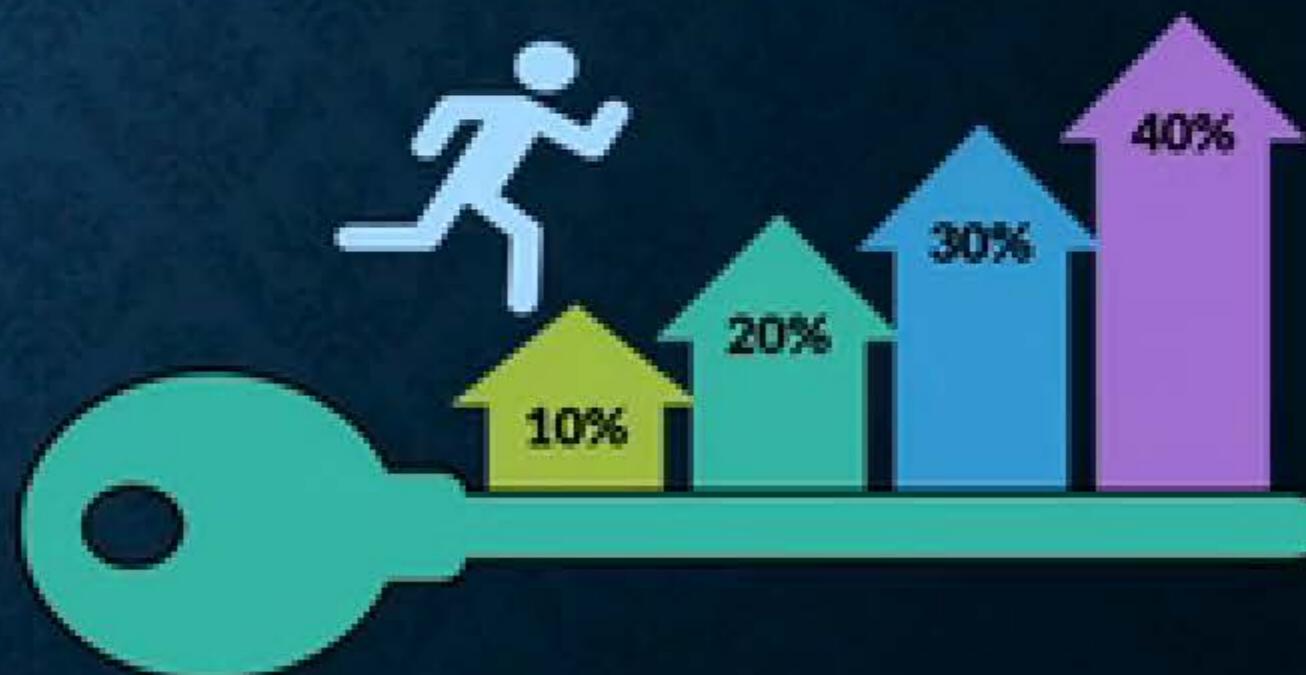
What type of prototype is best suited for this scenario?



# RAPID APPLICATION DEVELOPMENT (RAD)

RAD model is an incremental model

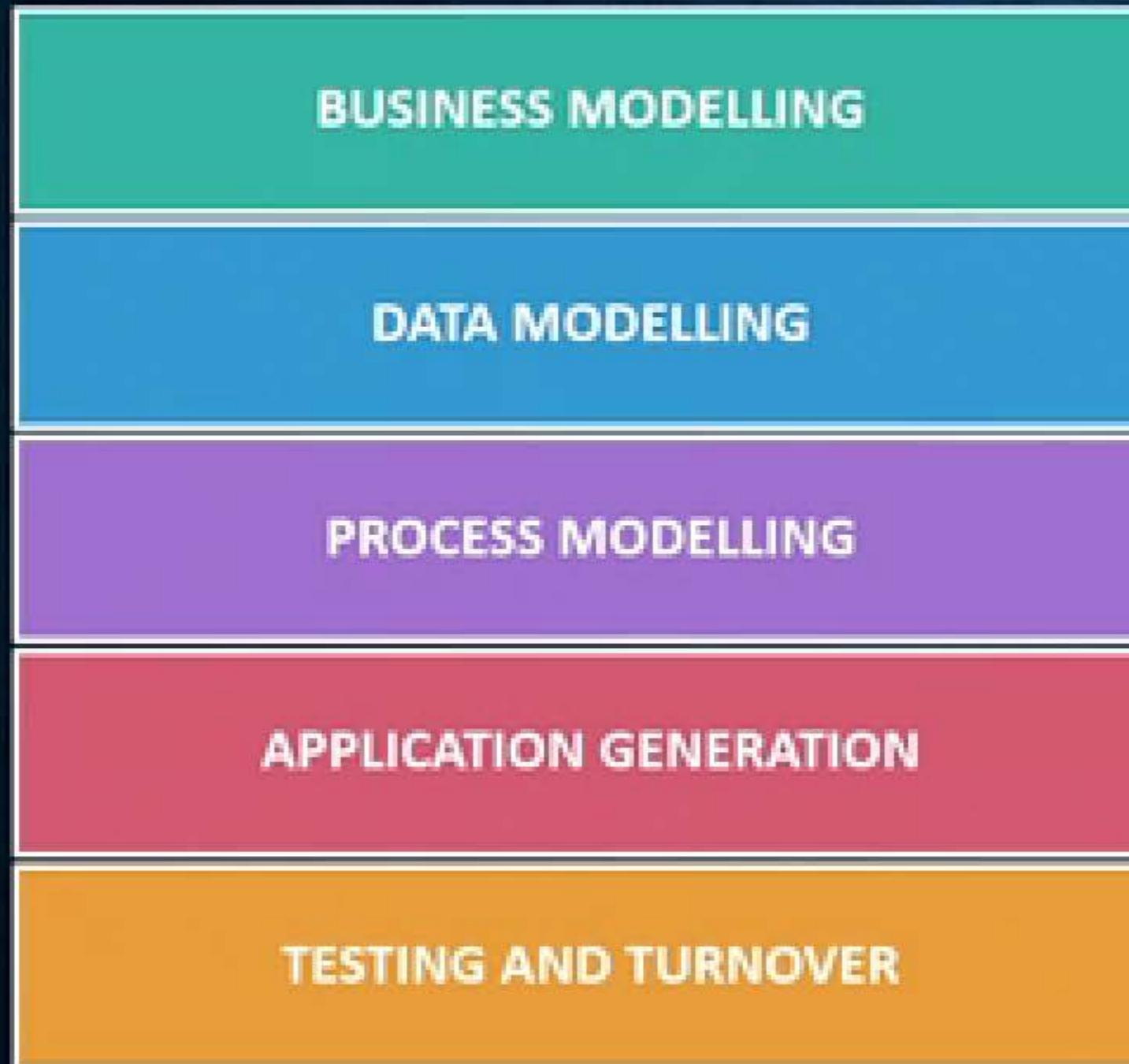
- 1 In RAD model the modules are developed in parallel as if they were mini projects
- 2 RAD is a high speed, version of the linear sequential model
- 3 Characterized by a very short development life cycle; Customer can provide feedback regarding the requirements
- 4 The RAD model follows a component based, approach
- 5 Individual components are developed by different people and assembled to develop a large software system



# RAPID APPLICATION DEVELOPMENT (RAD) PHASES



The phases of RAD model are as follows



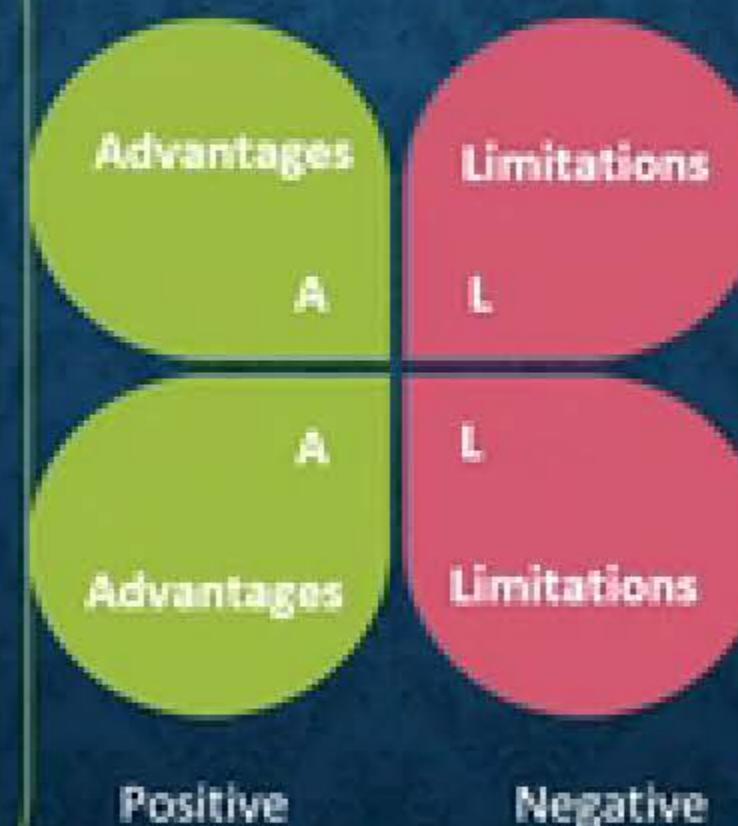
RAD model is used

- 1 When requirements are not fully understood
- 2 When User is involved throughout the life cycle
- 3 When System can be modularized

# ADVANTAGES AND LIMITATIONS OF RAD

## ADVANTAGES

- Due to the emphasis on rapid development, it results in the delivery of a fully functional project in short period
- Facilitates Parallel Development



## LIMITATIONS

- Developers and clients must be committed to rapid-fire activities in an abbreviated time frame
- If either party is indifferent in needs of other, the project will run into serious problem.
- It is not suitable for large projects

# INCREMENTAL MODEL

This model uses incremental cycles

## INCREMENTAL MODEL IS USED

- The incremental model prioritizes requirements of the system and implements them in small manageable units called module. Each module undergoes analysis, design, coding and testing.
- Each subsequent release of the system adds function to the previous release, until all designed functionalities have been implemented

- When most of the requirements are known up-front but are expected to evolve over time
- When projects have lengthy development schedules



# INCREMENTAL MODEL – ADVANTAGES AND LIMITATIONS



## INCREMENTAL MODEL – ADVANTAGES AND LIMITATIONS

### ADVANTAGES

- Uses "divide and conquer" breakdown of tasks
- High-risk or major functions are addressed in the first increment cycles; Each release delivers an operational product
- Customer can respond to each build and
- Customers get important functionality early

### LIMITATIONS

- Requires early definition of a complete and fully functional system to allow for increments
- Requires good planning and design
- Absence of well-defined module interfaces are major obstacles

# SPIRAL MODEL

Proposed by Barry Boehm in 1986; Suggested for High-Risk Scenarios based projects

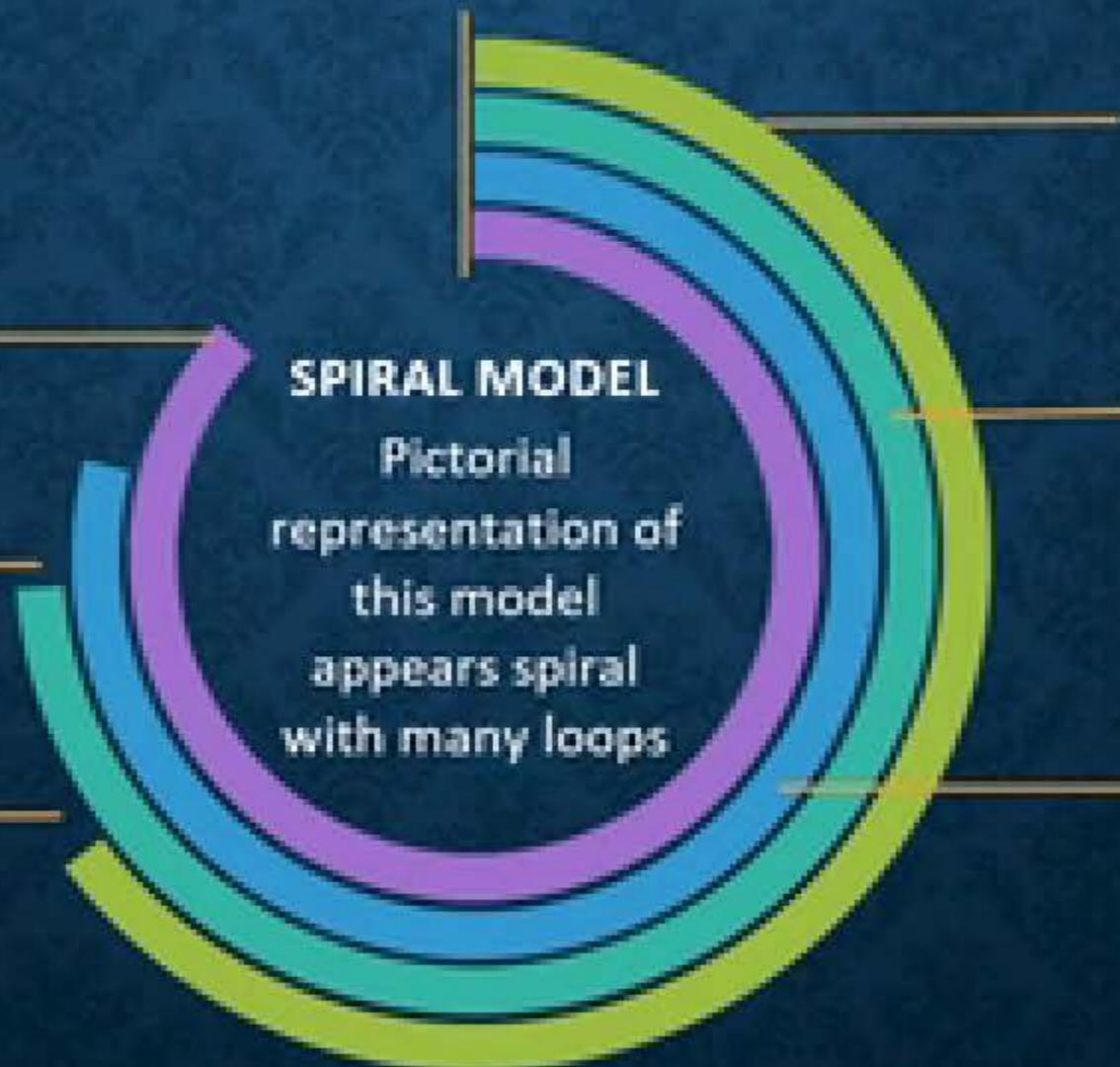
The count for number of loops of the spiral is unknown.  
It varies from project to project.

Each loop of the spiral represents a Phase of the software development process.

Risk-driven software development process model.

Combination of waterfall and iterative model.

Accommodates prototyping. This model combines the features of the prototyping model and the waterfall model



Has four phases – Planning, Risk Analysis, Engineering and Evaluation; It is favourable for large, expensive, and complicated models

## SPIRAL MODEL IS USED WHEN

- Risk perceived is very high
- Requirements are complex
- Significant changes are expected

# ADVANTAGES AND LIMITATIONS OF SPIRAL MODEL

## ADVANTAGES

- Provides early indication of risk as here high risk analysis is done at all phases.
- Users see the system early because of rapid prototyping tools.
- Critical high-risk functions are developed first.
- Early and frequent feedback from users.

## LIMITATIONS

- Time spent for evaluating risks are too large for small or low-risk projects and may not prove cost-worthy.
- Time spent on planning, resetting objectives, doing risk analysis and prototyping may be excessive.
- Relies on Risk assessment expertise.

# REQUIREMENTS ENGINEERING

Helps in a clear understanding of the requirements / needs of a Software project

Requirement:a capability that the system must possess to meet a need, solve a problem, or offer a service

**Types of Requirement:**

**Functional Requirement:** Specifies the system behavior that includes the features and various functions of the system. For example, if a pen is considered its functionality is it should write.

**Non-Functional Requirement:** Specifies the overall attributes and constraints imposed on the system.

**DEFINE**

Requirement Engineering is the process of defining, documenting and maintaining the requirements



**INITIAL PHASE**

One of the initial phases in the software development is Requirements Analysis

# REQUIREMENTS ENGINEERING

Helps in a clear understanding of the requirements / needs of a Software project

## DEFINE

Requirement Engineering is the process of defining, documenting and maintaining the requirements

## IMPORTANCE

For a software project to be successful this phase is very critical.

## OUTPUT

The Software Requirements Specification (SRS) document is the outcome of the Requirements Analysis phase



## INITIAL PHASE

One of the initial phases in the software development is Requirements Analysis

## GOALS

To understand, analyze and make the requirements very clear

## STEPS INVOLVED

The steps in Requirements Engineering are

- Requirements Elicitation,
- Requirements Analysis and Requirements

# REQUIREMENTS ELICITATION

Gathers requirements from the users

## PROCESS

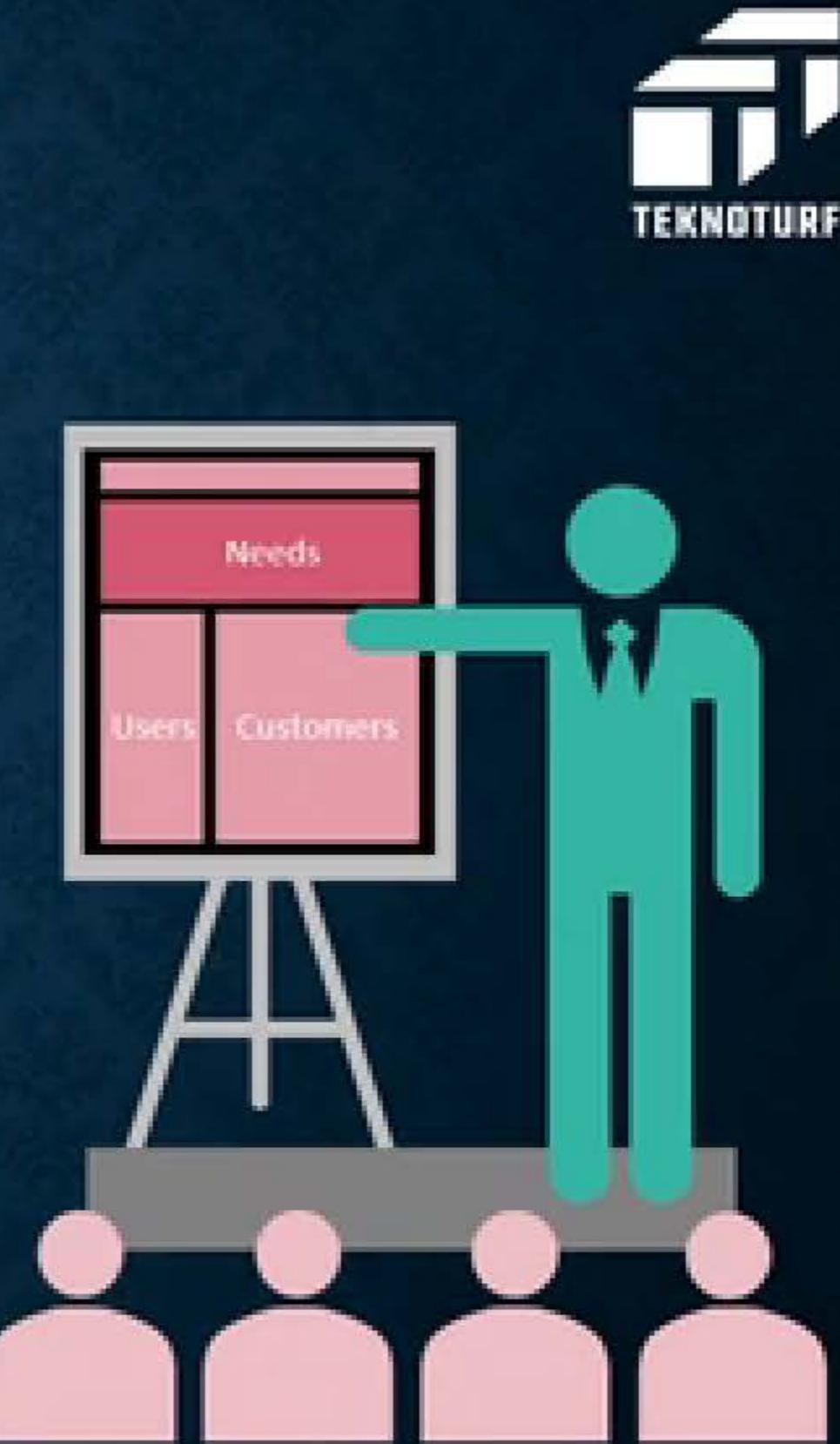
Requirements elicitation is the process of gathering requirements from the users, customers and other stake holders

Elicitation helps to

- To make clear what the problem(s) are that the system aims to solve
- Make clear what we will build
- Make clear what stakeholder expectations are and so on.

Requirements Elicitation is used to

- ✓ Address problems of scope - The boundary of the system is ill defined
- ✓ Address problems of understanding - Users himself is not clear about his needs
- ✓ Address problems of volatility (changing requirements)



# REQUIREMENTS ELICITATION TECHNIQUES

Elicitation aims to pull out the ideas about the project, from the SME's and stake holders

## TECHNIQUES

**Document Analysis**  
Review of the existing documents



### Brainstorming

The SME's and the stakeholders involved brainstorm to get new ideas



### Focus groups

Providing feedback by discussing the topic to refine the ideas obtained as a result of the earlier elicitation



### Surveys

SME's and stakeholders conduct survey/questionnaire for the requirement elicitation

### Interviews

Interviewer asks the relevant question to the stake holders to get the information

### Workshops

Workshops are one of the most resource efficient technique in requirement elicitation

# REQUIREMENTS ANALYSIS (RA)



Analyze the requirements

## PROCESS

- 1** Requirements analysis is the process of establishing the services the system should provide and the constraints under which it must operate
- 2** The process of studying and analyzing the customer and the user/stakeholder to arrive at a definition of software requirements
- 3** Requirement analysis helps in obtaining requirements that are
  - Clear
  - Complete
  - Consistent
  - Unambiguous

# REQUIREMENTS ANALYSIS (RA)

Why is Requirement Analysis difficult?

1

## Learn Different "worlds"

- ✓ Bridging the gap between the client and the software developer
- ✓ Knowing what should be done VS knowing what to let a computer do

2

## Users/stakeholders are not a uniform group

- ✓ Conflict between cost and usability / performance / features
- ✓ Conflicting demands from different departments

3

## Other factors

- ✓ It is sometimes not easy to visualize the solution or system as it will ultimately be. There may also be differences between how stakeholders visualize the system
- ✓ Scope of the system needs well defined boundaries
- ✓ Current vs. future system - resistance to change
- ✓ Process of negotiation between users and designers



Users/stakeholders



Software designer

Getting the good (ideal) system

vs

possibility of building it well

# REQUIREMENT ANALYSIS (RA)

Elicitation is followed by the Requirement Analysis, where the requirements are scrutinized and analysed for unambiguous , consistent and clear requirements.

## RA helps to

- Understand the requirements
- Remove inconsistencies, anomalies, etc. from requirements
- Document requirements properly in an SRS document

## Try out!!!

An elicitation was performed, and the below requirements are obtained from the customer. Can you perform an analysis and identify whether the requirements are clear or does it have any ambiguity or inconsistency in it.

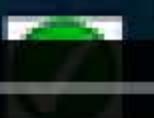
- The UI Screens should be highly user-friendly
- System should send a confirmation email after the employee details are successfully added into the database.
- A requirement is that the response time should be not more than 5 seconds. Another requirement indicates that the response time may be delayed.



Ambiguous



Correct Requirement



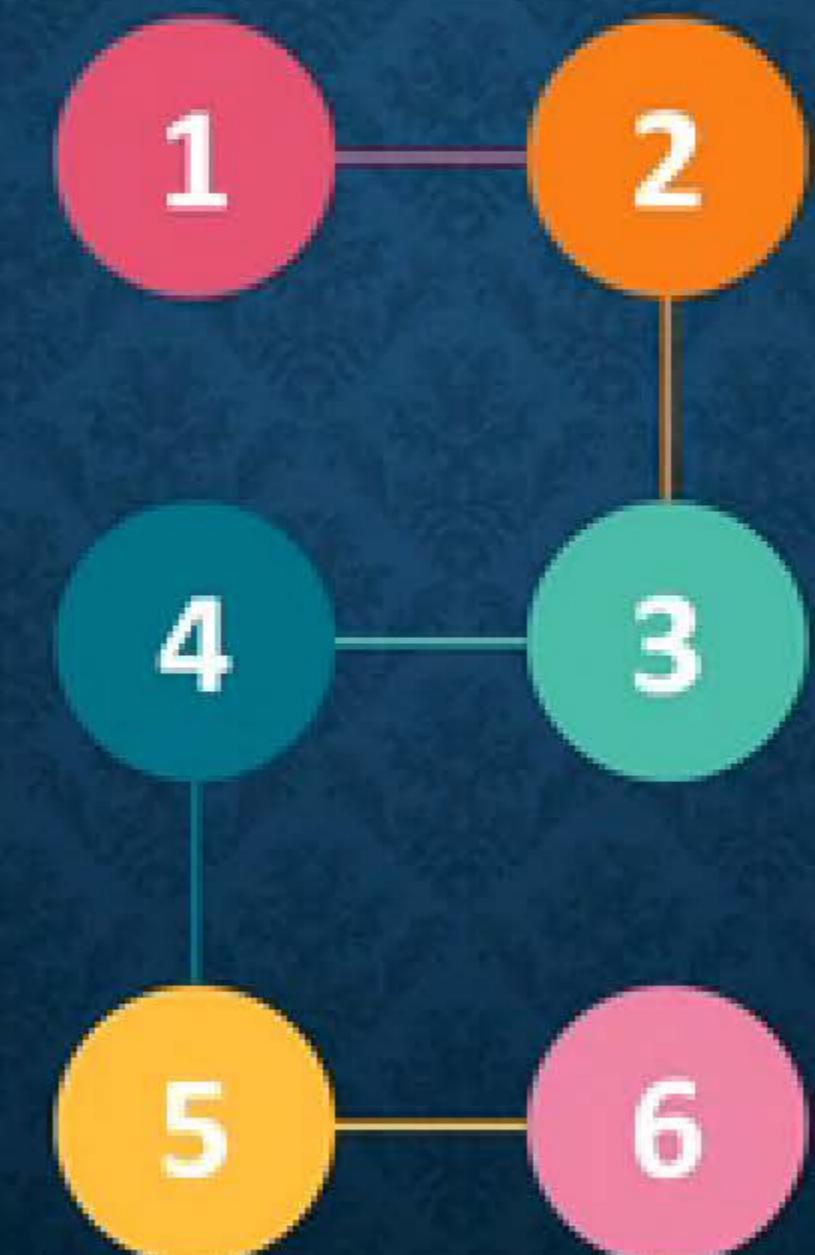
Inconsistent Requirement

# REQUIREMENT ANALYSIS – GATHERING REQUIREMENTS

Observation of existing systems

Having questionnaires to understand user requirements

Conducting discussion with domain experts to understand the system



Studying existing procedures

Discussion with the customer and the end-users

Finally, through these steps, the Analyst can easily obtain

- ✓ Input and output formats
- ✓ Accurate details of the operational procedures

# RA—ANALYZING THE GATHERED REQUIREMENTS



What is to be built in the software and not how it is to be built

1

## Analyzing the gathered requirement

- ✓ Understands the user requirements
- ✓ Detects and removes inconsistencies ambiguities, and incompleteness

2

## Incompleteness and inconsistencies – Resolved

- ✓ Resolved through further discussions with the end- users and the customers

3

## Analyst should understand the following

- ✓ What is the problem?
- ✓ Why is it important to solve the problem?
- ✓ What are the possible solutions to the problem?
- ✓ What complexities might arise while solving the problem?

# RA – CONTRADICTING AND INCOMPLETE REQUIREMENTS

## Contradicting requirements

- » One requirement conflicts with another requirement
- » Example: The Hiring Team asks that the system capture the marital status of potential hires. The HR Legal Team states that personal information such as marital status must not be recorded by the system

## Incomplete requirements

- » Some requirements have been omitted:
  - » Due to oversight
  - » A simple example
    - A solar heater specifies what it will do on a 'sunny day' but it fails to specify what it will do on a 'rainy day'
    - The analyst has not recorded that when temperature falls below 90 degrees.
      - ✓ heater should be turned ON
      - ✓ water shower turned OFF

# RA –PRIORITIZATION OF REQUIREMENTS

- 1 Analyst must prioritize the requirements so that it allows all stakeholders to focus on the most important functionalities first .
- 2 Prioritization also gives an insight that allows all the stakeholders involved to understand and agree on the important features of the product
- 3 MoSCoW is one of the requirement prioritization technique that helps to classify the need based on the four possible priority classification
- 4 Must: Mandatory requirement which must be there in the product to be considered as a success
- 5 Should: Not mandatory to be there, but, if possible, it could be incorporated in the solution but even without that still it is considered as success
- 6 Could: Desired capability, can be considered with respect to the available time and resources
- 7 Wont: Not required at all at this point of time, can be a thought for the future upgrades

# SOFTWARE REQUIREMENTS SPECIFICATION



1

## Main aim of requirements specification

- Systematically organizes the requirements gathered during requirements analysis
- Documents all the requirements properly

2

## Software Requirements Specification

- Specifies what the software is supposed to do without specifying how it is implemented
- The implementation details will not be present in the SRS
- Outcome of the analysis phase
- Contains all the information about the requirements gathered

# SOFTWARE REQUIREMENT SPECIFICATION (SRS) – IMPORTANCE



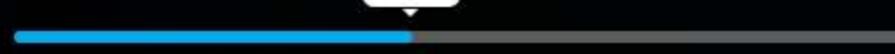
## SRS DOCUMENT

- » SRS is a reference document
- » It is a contract between the development team and the customer
- » SRS document concentrates on - What needs to be done
- » Carefully avoids the solution ("how to do") aspects

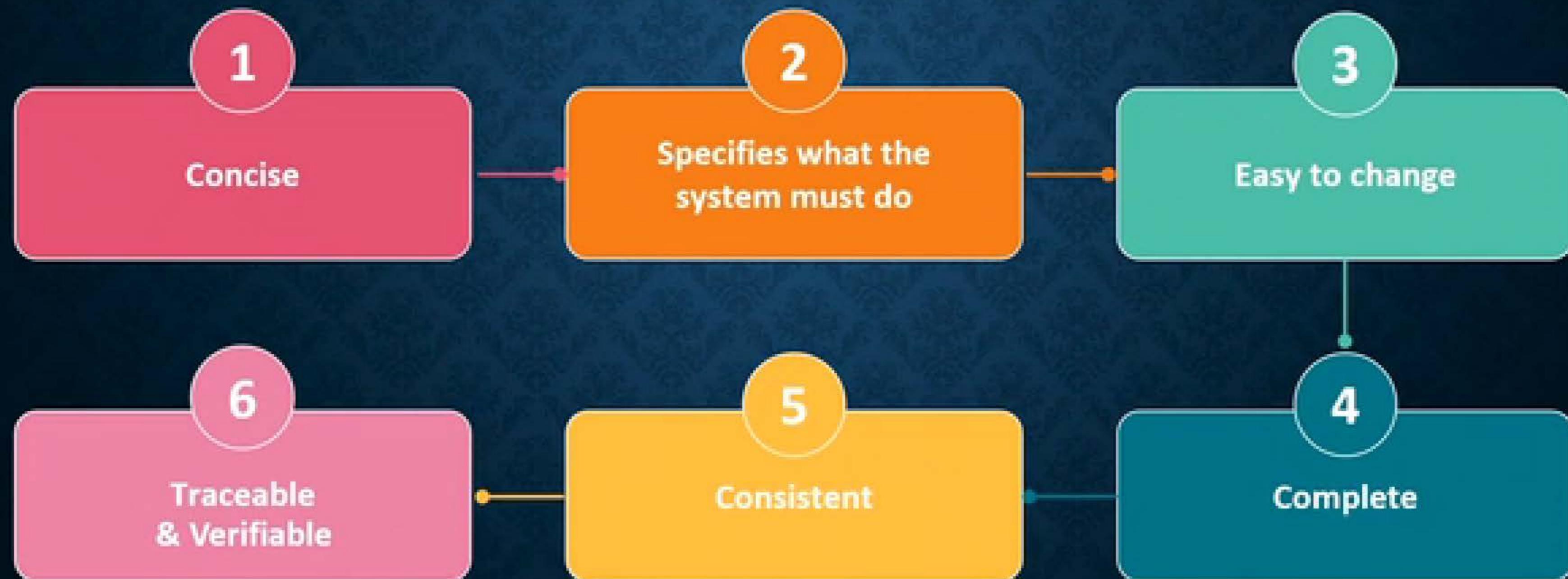
## THE SRS DOCUMENT IS USEFUL IN MANY CONTEXTS

- » Statement of user needs
- » Contract document sentiments.
- » Reference document
- » Definition for implementation

01:14



# PROPERTIES OF A GOOD SRS



# SRS DOCUMENT

SRS document normally contains three important parts

1

## Functional requirements

Specifies the input,  
the task and the  
output

2

## Non-Functional requirements

Specifies the overall  
quality attributes and  
constraints

3

## Constraints on the system

Specifies the  
restrictions

# FUNCTIONAL AND NON-FUNCTIONAL REQUIREMENTS

## FUNCTIONAL REQUIREMENT

- » A functional Requirement describes a service that the software system must offer
- » Example : For a service provider application, few functional requirements are
  - Add a New Plan
  - Register Customer
  - Open a new Connection
  - Generate Bill for Postpaid connection
  - Make Payment
  - Change Plan for a connection
  - Deactivate Connection

## NON - FUNCTIONAL REQUIREMENT

- » Non-functional Requirements describe qualitative attributes of a software system.
- » Few non-functional requirements are
  - Maintainability
  - Portability
  - Usability
  - Reliability
  - Robustness
  - Security
  - Performance

# FUNCTIONAL AND NON-FUNCTIONAL REQUIREMENTS



## EXAMPLES FOR NON-FUNCTIONAL REQUIREMENT

**Portability** - Tom was having Windows 10 on his laptop. Now he changed to MacOS laptop. He installs the game that he had on the old laptop. If that game works as efficiently as it worked on the old device, then that application is portable.

**Notification** should be sent to the user within 5 second from the transaction time and this should happen till 5000 users use the application simultaneously

**Security** -  
1. User needs to be prompted to provide a digital signature when a new page is loaded.  
2. For a Banking website, back button should not work.

**Maintainability** - Due to change in the government norms changes in Income tax calculation is to be done. These changes should be easily incorporated in the code with minimal changes.

**Usability** -  
1. In an online shopping website, when a user selects a product, only that product should be displayed on the screen and to view few other related products there should be a hyperlink "Click here for more Products"  
2. To login in a website, entered a username and password. An error message is displayed as Username or password is incorrect. It is not specific whether the username or the password is incorrect.

**Robustness** - When a product is added by providing wrong data like product name with special characters, price with a value exceeding the range, discount with a negative value it should prompt with appropriate error messages.

# SRS – CONSTRAINTS

CONSTRAINTS DESCRIBE

STANDARD  
COMPLIANCE

HARDWARE TO BE  
USED

OPERATION  
SYSTEM

DBMS TO BE USED

CAPABILITIES OF  
I/O DEVICES

DATA  
REPRESENTATION



# ELEMENTS OF SRS DOCUMENT

## ELEMENTS OF SRS

An overall description  
of the software



Purpose of the  
software being  
developed



Functional  
requirements of  
the software



Performance of the  
software in a  
production situation



Non-functional  
requirements



External interfaces or how the  
software will interact with  
hardware or other software it  
must connect to



Design constraints or  
the limitations of the  
environment that the  
software will run in



# ENTITY RELATIONSHIP DIAGRAM (ERD)

ER diagram is widely used in database design

ERD is part of the data modeling that focus on defining and analyzing the data needed to support business.

## 01 IMPORTANCE

Represents conceptual level of a database system

## 02 DESCRIBE

Describes things and their relationships in high level



Entity



Relationship



Attribute

07:35



## WHAT IS AN ENTITY?

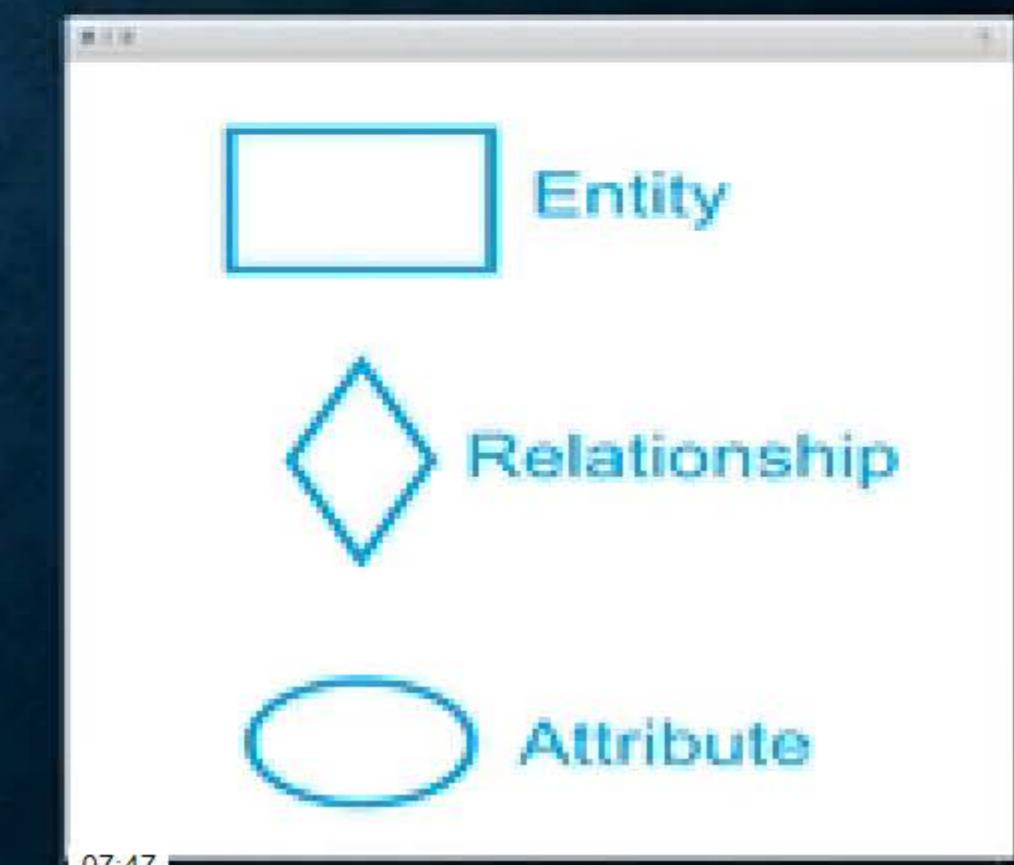
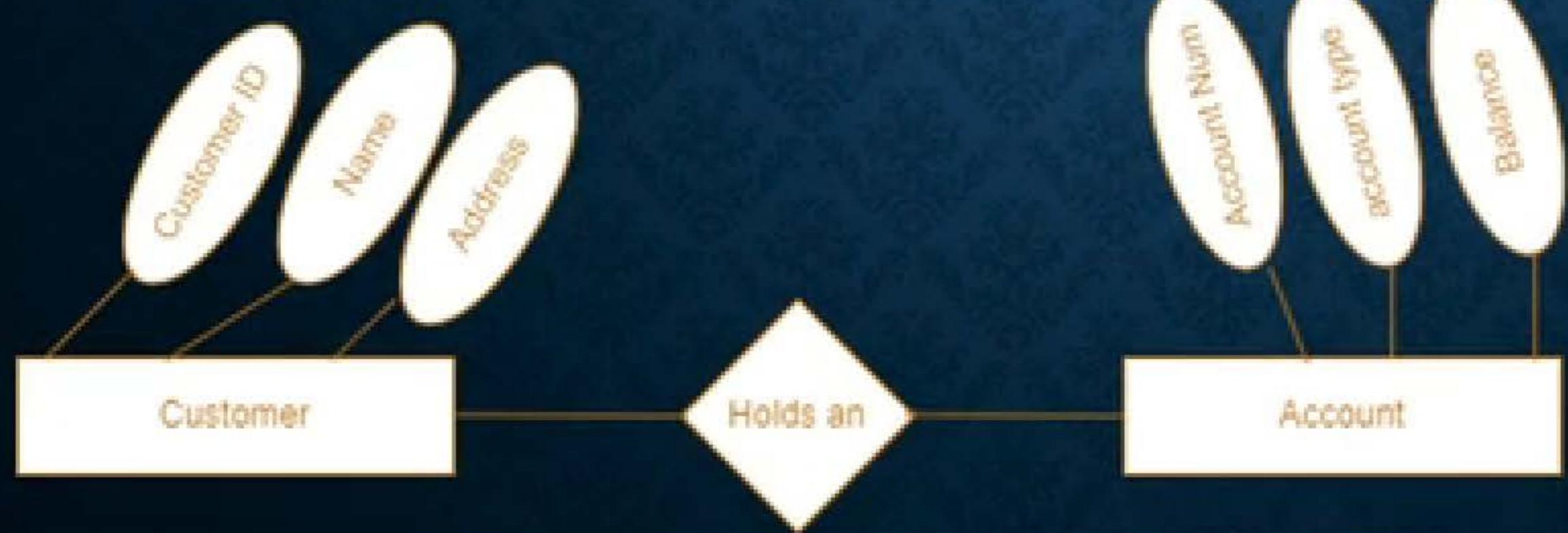
An entity is a business object that represents a group, or a category of data

## ATTRIBUTES

Properties of an entity

## RELATIONSHIP

Specifies the relations among entities



## CARDINALITY

One instance of an entity maps to how many instances of other entity

This relationship can be

- One-to-One
- One-to-Many
- Many-to-Many

## OPTIONALITY

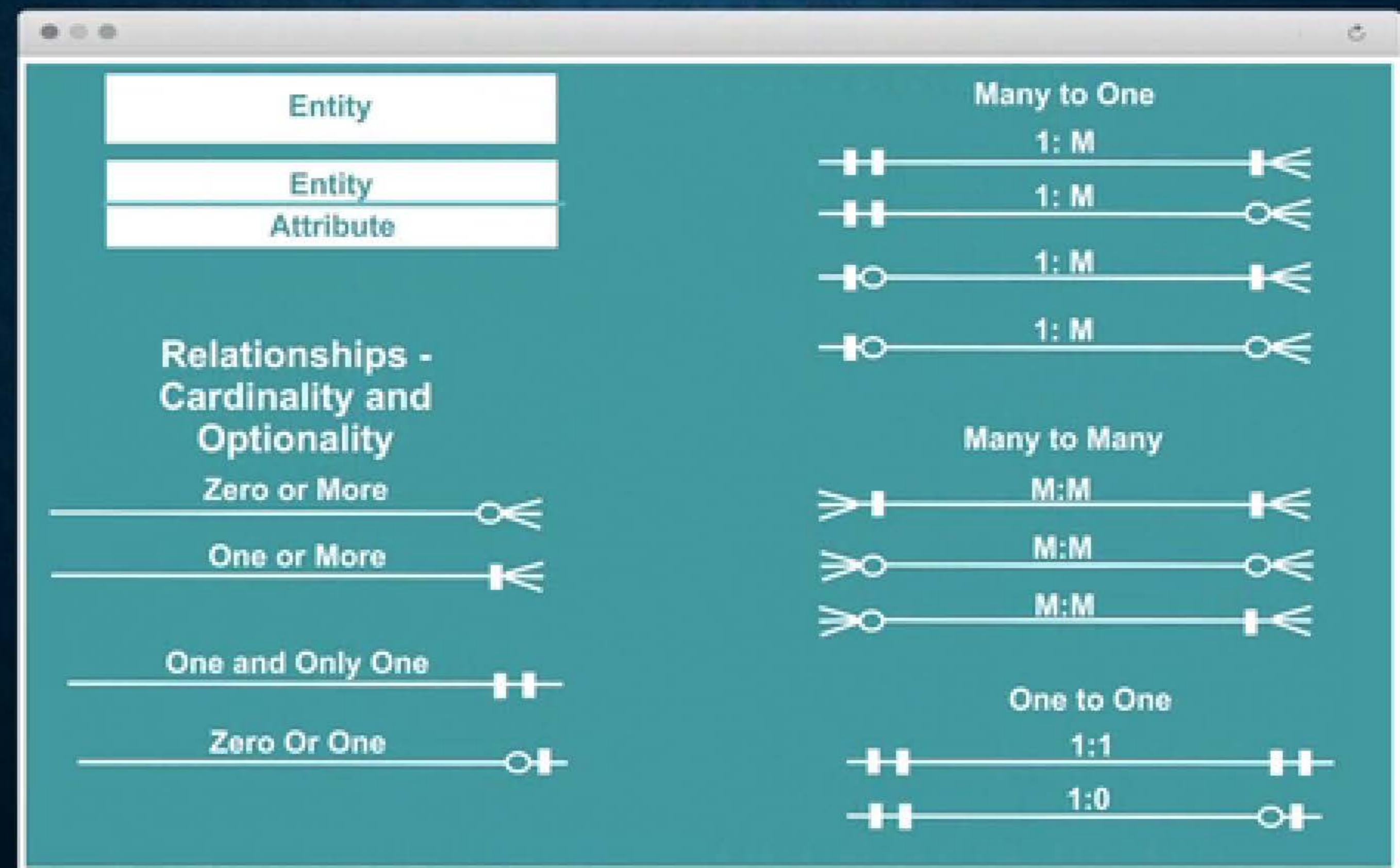
Is the relationship mandatory or optional?

Specifies the relations among entities

- Mandatory Relationships
- Optional Relationships

# ERD EXAMPLE

## ERD Example



# ERD

Relationship specifies association between two entities

## CARDINALITY

- One Passport is related to one Person. One Person has only one Passport. Hence here the relationship is One-to-One.
- One Department can have many Employees. Hence relationship between Department and Employee is One-to-Many.
- One Product can have many Sales. Also one Sale can have many Products. Here relationship between Product and Sale is Many-to-Many.

## OPTIONALITY

- For an Employee, Department is Mandatory. But for a Department, Employee is Optional.



Design converts the software requirement specification document into system specification

## System Design

- » It is the process of defining the architecture, interface, components and other characteristics of a system Build a sentiment analysis model using this dictionary
- » The design stage takes the requirements identified in the approved requirements document (SRS) as its initial input
- » The design documents and the artifacts are produced at the end of the design phase



# WHY DESIGN ?

## SYSTEM DESIGN

1

Design process helps in understanding the “how to” implement or a representation of the program and not the actual code or program

2

Design helps to enforce standards and guidelines and achieves reusability of modules

3

A good design achieves low coupling between the modules related and high cohesion within a module

4

Makes the system portable to different software or hardware platforms

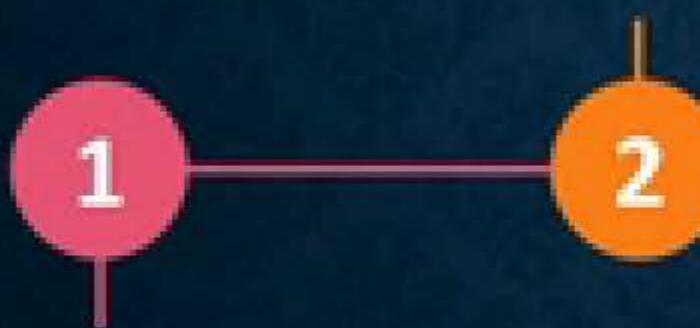


# GOOD DESIGN

## Efficiency

helps determine the runtime, response time and throughput.

It also limits the memory usage, size of executables to be developed



## Functional

The very basic attribute that makes the system work and can be implemented

## Flexible

helps in building systems that can be easily modified when a requirement change happens

## Reliability

helps in achieving a design based on whether it is mission critical, real time or an online processing



## Portability

helps in designing a system that can be easily migrated to different hardware or software platforms

## QUALITIES



# LEVELS OF A DESIGN

1

Decomposes the entire project into units or modules and identify the system architecture, data structure and processing logic

2

The two levels of design are High Level Design and Low Level Design

3

High Level Design focuses on

- ❖ what modules are required
- ❖ what each module performs, and
- ❖ how these modules communicate with one another

4

Low Level Design focuses on

- ❖ writing a detailed algorithm

5

DD(Design Document)= HLD + LLD

Design process

High Level  
Design

Design  
Process

Low Level  
Design

HLD

LLD

Low Level  
Design

Module  
Design

Data  
Design

# HIGH LEVEL DESIGN (HLD)

## DATA DESIGN

High Level Design, Architecture design, data design and interface design are part of high level design

A database designer helps in creating the data architecture for a system to represent the data components

The data design helps in transforming the data model created during requirements analysis phase into the data structures that will be used to implement the software

The Entity Relationship Diagram created during requirements Analysis is mapped to the data structures; the data dictionary is also mapped in the data design

ENTITY  
RELATIONSHIP  
DIAGRAM

DATA  
DICTIONARY

DATA DESIGN



# HIGH LEVEL DESIGN (HLD)

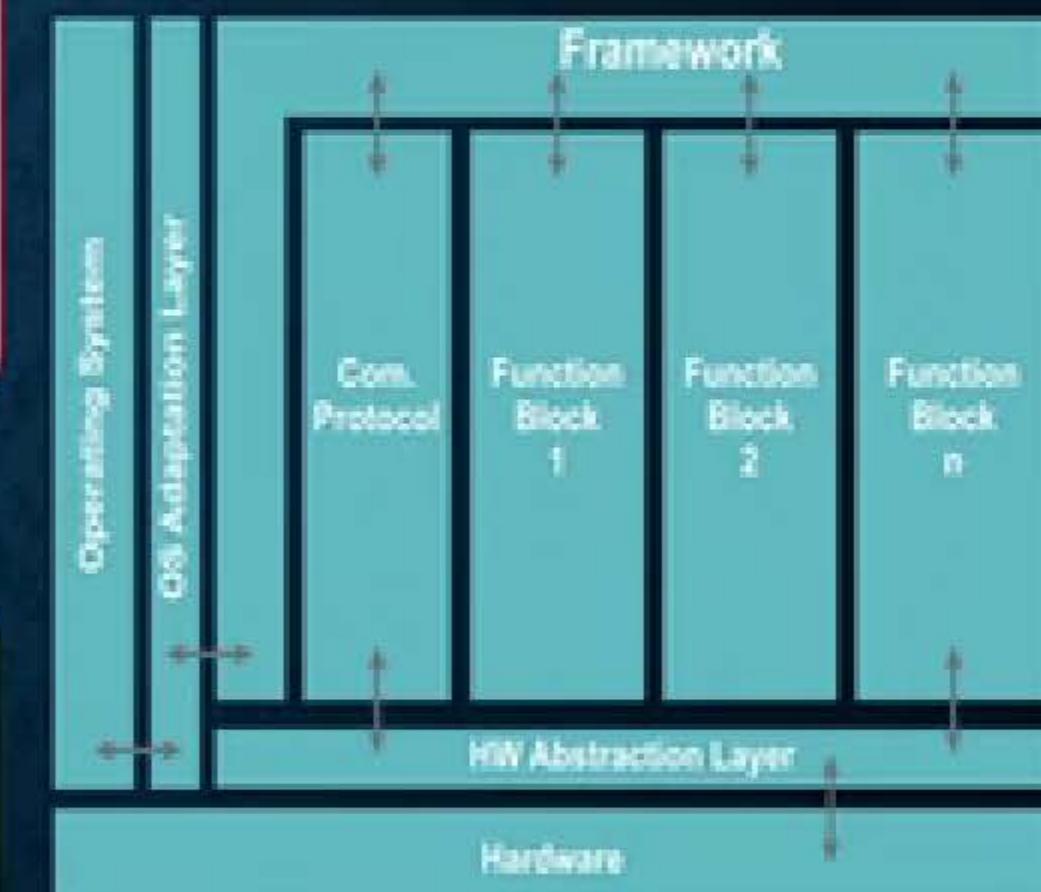
## ARCHITECTURAL DESIGN

Architecture design defines the modules of the system, the functions that each module perform, how they interact with each other, the design patterns used, and the constraints.

A system architect usually does the architectural design at the end of the software requirements analysis.

The hardware and software used in developing are also specified in the architectural design.

Examples are Layered architecture, Distributed architecture and Client Server architecture.



# HIGH LEVEL DESIGN (HLD)

## INTERFACE DESIGN

The interface design focuses on the user interface, general interaction with the software, displaying the data and data inputs

Audio visual communication between user and interface) is also important; screen designs must focus on presenting relevant information to the user

Undo and redo features are also to be included in the interface design phase



The interface design in overall, describes how the software communicates with itself, and with the users interacting with the software

For example, selecting from menu options, command level input, display the data and other information such as feedback, Prompting the user for confirmation when a request is placed for the deletion of a file, usage of graphs and charts for voluminous data

# LOW LEVEL DESIGN (LLD)

## PROCEDURAL DESIGN

1

Low Level Design focuses on writing a detailed algorithm

2

Procedural design or Detailed design also called as LLD focuses on algorithm design and concrete data representation at a higher level of abstraction and notation and it is not the implementing programming language

3

Algorithm is represented by pseudocodes that will map to the statements in the implementation language. The implementation is done in the coding phase

4

The interconnections among functions, and data structure is also focused in the low level design

5

Detailed data structures, algorithms, pseudocodes and procedural specifications are also part of low level design

## DATA DESIGN

### PERSON

```
personID : numeric(10) <<primary key>>  
name: char(50)  
contact: char(10)  
city: char(25)
```

# LOW LEVEL DESIGN (LLD)

## PROCEDURAL DESIGN - PSEUDOCODE

1

Pseudocodes helps the programmer to write better abstractions of logics

2

Using a simple and plain language to represent the various modules to be implemented and describing what is to be coded; the Implementation follows the low level design

### PSEUDOCODE

set total to zero

get list of numbers

loop through each number in the list  
    add each number to total  
end loop

if number more than zero  
    print "it's positive" message  
else  
    print "it's zero or less" message  
end if

# CONSTRUCTION (CODE + UNIT TESTING)

Modular and subsystem programming code is accomplished during this stage

## DEVELOPMENT

1

The goal of the coding phase is to convert the design into a workable solution using any programming language

2

Unit testing /module testing is done in this stage by the developers

3

This stage produces the source code, executable, and databases applicable



# CONSTRUCTION (CODE + UNIT TESTING)

## GUIDELINES

1

Using standard control constructs for looping and decision making, usage of user defined datatypes, abstracting the data structures, naming variable conventions, indentations

2

Software Verification and Validation (V&V) can be enforced on the software being developed

3

Reviews and tests can be performed at the end of each phase of the software development

4

Reviews and tests ensures that the software requirements are complete and testable and that design code, and documentation satisfy the requirements



# TESTING

## Scenario 1

An organization conducted test for hiring employees. They used OMR Sheets for the candidates to answer.

To evaluate the OMR sheet, the code was written to check if the candidate has shaded the correct option.

Example : For Question 1, if the answer was Option C, he code will check if option C was shaded.

**Do You find any flaw in the evaluation process ?**

What would be the evaluation result if a candidate shades all the options for all the questions ?

The score will be 100 because the code checks if the correct answer is shaded.

Testing should be done to find fault with the application tested rather than to prove that it works fine as expected.

A Good Testers intention should be to find the presence of defects in the code and not its absence.

# TESTING

## Scenario 2

Consider testing of a Banking application.

Testers provided all possible inputs and tested all functionalities in that application. All worked fine.

When the client tested the application, it works fine for valid and invalid combinations.

After clicking logout, client clicked the back button.

It displayed the previous page and also allowed to perform any other transactions too.

This is a major flaw which should be considered for testing.

# TESTING

Testing is the process of executing a program with the intention of finding errors

## Define

- 1 Process of verifying and validating so that a software application or a program meets the business and the technical requirements that guides its design and development work as expected.

## V&v

- 2 Verification - Are we building the product right?  
Validation - Are we building the right product?

## Example

Peter ordered for a Choco chip cone ice cream

VERIFICATION:

Is it a cone?

Does it have Choco chips around ?

Does it have chocolate flavor?

VALIDATION:

Peter consumes the ice cream to ensure if it is as per his expectation

# TESTING

Testing is the process of executing a program with the intention of finding errors

## Define

- 1 Process of verifying and validating so that a software application or a program meets the business and the technical requirements that guides its design and development work as expected.

## V&v

- 2 Verification - Are we building the product right?  
Validation - Are we building the right product?

## Ensures

- 3 Software testing is a process that ensures correctness, completeness and quality of the developed software

## IEEE 610 STANDARD - DEFINITION

- 4 Software Testing is the process of exercising or evaluating a system by manual or automatic means to verify that it satisfies specified requirements or to identify differences between the actual and the expected results

## Testing steps

- 5 Software testing is planned.  
Tests are clearly specified, and after execution, results are recorded

# IMPORTANCE AND NEED OF TESTING

Ensures that the software meets requirements and is fit for use.

Saves money by identifying defects before the software is released. Remember, the earlier a defect is identified and fixed, the better.

Minimises risk in extending existing software. Less risk the software is, more the software becomes trusted.

Provides information on the limits / constraints of the software application that can be communicated to end-users, and other stakeholders to keep in mind.

For example, in a University, assume there is a student management system. The system shows incorrect marks due to some bug in the software. This is a bug. Rather if the software is properly tested, it would have displayed an error message like " Unable to display marks. Please try later". So, testing plays a vital role in software development and introducing testing early in the life cycle ensures that such errors can be identified and prevented from cascading to later phases

# DEBUGGING

Debugging is the process of finding the source of the already identified bugs and fixing it.

Performed by Developers

1

Find the defect  
in the code

2

Identify the  
root cause of  
the problem

3

Identify the  
exact place in  
the code that is  
the cause of  
the problem

4

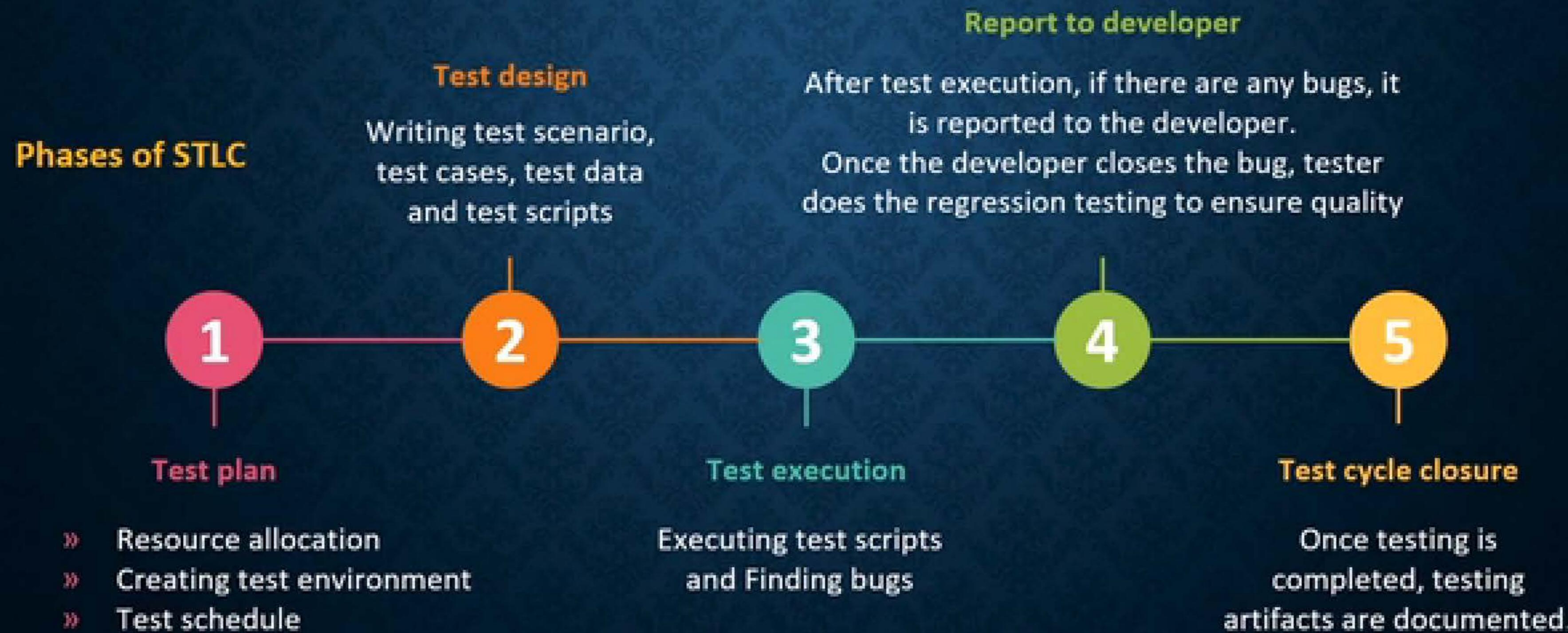
Fix the defect

5

Recheck to  
ensure that the  
defect fixed is  
working as  
expected

# SOFTWARE TESTING LIFE CYCLE(STLC)

STLC identifies the activities that are to be carried out and determines when (best time) to accomplish the activities



# METHODOLOGIES OF TESTING



## Manual Testing

Manual testing is where the tests are executed manually by the QA

## Automated

Testers use automated scripts / tools to automate test execution and compare the expected with the actual results

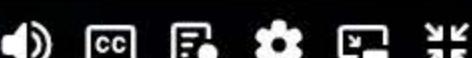
Examples - Automated tools  
junit – java  
Nunit - dotnet

## Defect tracking

Process of logging and monitoring the bugs during testing  
Examples – defect tracking tools bugzilla



08:33



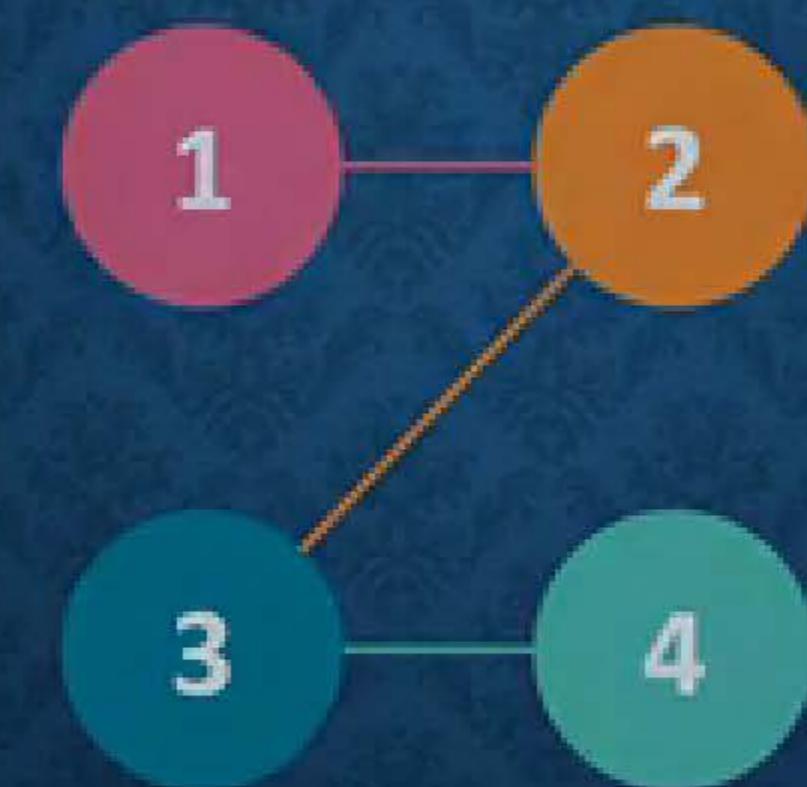
# LEVELS OF TESTING

## Unit testing

Done by the developer - individual module is for functional correctness

## System testing

Done by the end user for the system acceptance



## Integration testing

Checks for the interface errors between the integrated components

## Acceptance testing

The system is tested as a whole to check the functional and non functional correctness

# UNIT TESTING

Done by the developers

## Module

- » Unit testing is done to test whether a particular module is implementing its specification
- » Involves verification of the code that was delivered in coding phase

## Who does?

- » Unit testing is normally done by the software developers themselves or their peers
- » Rarely the unit testing can also be done by the independent software testers

# INTEGRATION TESTING

Done by the developers

## Integration

Integration Testing is a level of the software testing process where individual units are combined and tested as a group

## Who does?

Either the Developers themselves or the independent testers

## Expose faults

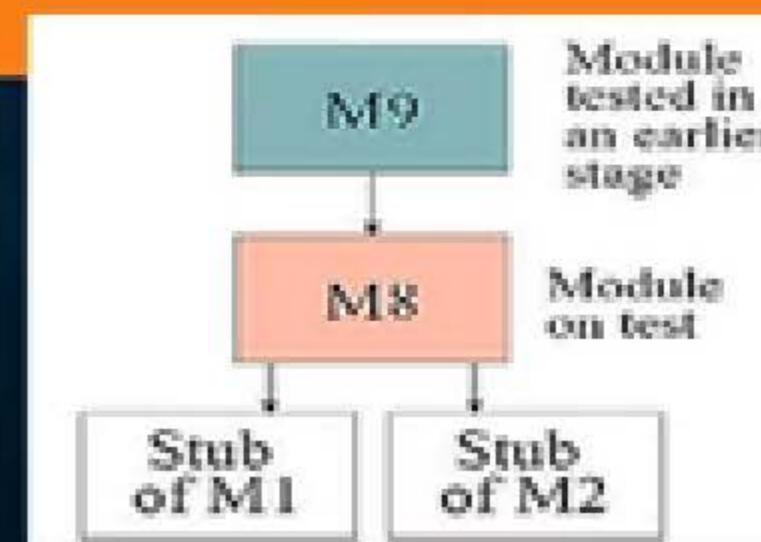
The purpose of Integration Testing is to expose faults in the interaction between integrated units

# SYSTEM TESTING

Performed by the testers

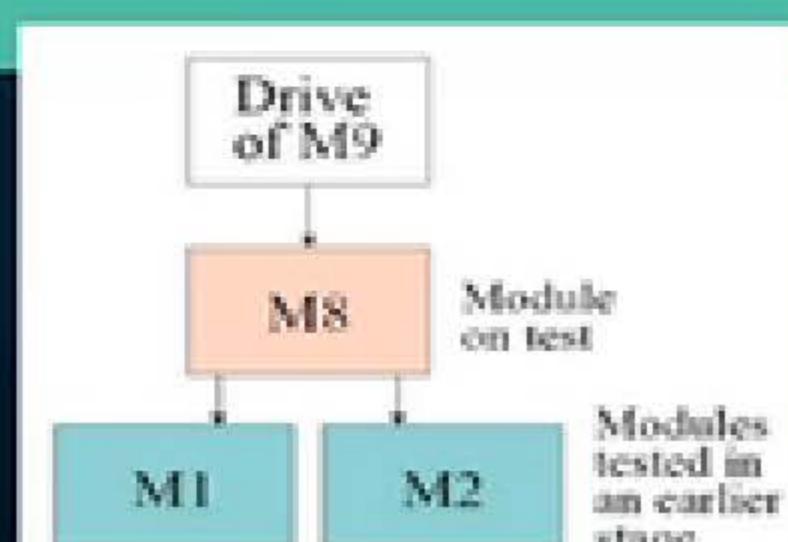
## Top down

- » Top level units are tested first and lower level units are tested step by step after that
- » Stubs are dummy module for the called function
- » Test Stubs are needed to simulate lower level units which may not be available during the initial phases



## Bottom up

- » Bottom level units are tested first and upper-level units' step by step after that
- » Drivers are dummy module through which other functions/modules are called
- » Test Drivers are needed to simulate higher level units which may not be available during the initial phases



# SYSTEM TESTING

Performed by the testers

## Aim

- » System testing finds disparities between implementation and specification

## What does it test?

System testing involves

- » Functional testing - Tests the implementation of the business needs
- » Performance testing - Tests all the non functional requirements of the system specified in the specification

# PERFORMANCE TESTING - TYPES

## Methodologies – Manual and Automated

### Stress Test

- » Stress test evaluates the system when stressed to its limits

### Regression Test

- » Regression test is required when the system being tested is replacing an existing system

### Usability Test

- » Usability test is testing characteristics related to user friendliness

# USER ACCEPTANCE TESTING

Done by the Client

## WHO DOES IT?

Done by the client to test whether the system meets the specified requirements

## OBJECTIVE

To confirm if the system is as per the users requirement and certify that it is acceptable for delivery to the customer

## Types

- » Alpha testing - Done by the client in the developer's environment
- » Beta testing - Done by the client in the real world environment

# TESTING PRINCIPLES

## SEVEN TESTING PRINCIPLES

Ensures following a right strategy for testing

1

Testing shows the presence of defects

2

Early testing

3

Exhaustive testing is impossible

4

Defect clustering

5

Pesticide paradox

6

Testing is context dependent

7

Absence of error - fallacy

# TESTING PRINCIPLES

**Testing shows the presence of defects**

Testing shows the presence of defects but not their absence

**Exhaustive testing is impossible**

100% testing (all possible inputs and preconditions) is not always possible

**Defect clustering**

A few smaller modules may contain many defects and could cause the failure of the software

**Testing is context dependent**

Testing a mission critical system is different from testing a library management system

**Early testing**

Early testing is done to find out early defects in each phase of the SDLC

**Absence of error - fallacy**

Finding defects on a system that does not match users requirements is helpless

**Pesticide paradox**

Avoid repeating same test; new test cases have to be revised; write different testcases for exercising different parts of the code

# TYPES OF TESTING

## Static testing

The techniques are

- » Review
- » Walkthrough
- » Inspection

## Dynamic testing

The techniques are

- » Black box testing
- » White box testing

# STATIC TESTING

## Manually

Static testing is the testing of the software work products manually to find errors

## Documents

Work product means all the documents related to software as well as the code

## Techniques

Reviews, Walkthrough and Inspection

## No Execution

Execution of the Code is not performed. Sanity of the code is checked. This means, we can do static testing of the code, to ensure that addition or modification of any feature in the application has not broken anything in the code

# MEMBERS OF STATIC TESTING



Author



Moderator



Reader



Recorder / Scribe



Inspector

# TECHNIQUES IN STATIC TESTING

## Review

- » It is a process in which the product is re-examined or re-evaluated for possible corrections

## Walk through

- » Mostly done on the code that is developed
- » The author gives sample test data. The test data is examined with the code and intermediate results are recorded

## Inspection

- » Inspection involves step by step reading of the product, with each step checked against a predefined list of criteria (historical common errors, standards)

## Review is a static testing technique

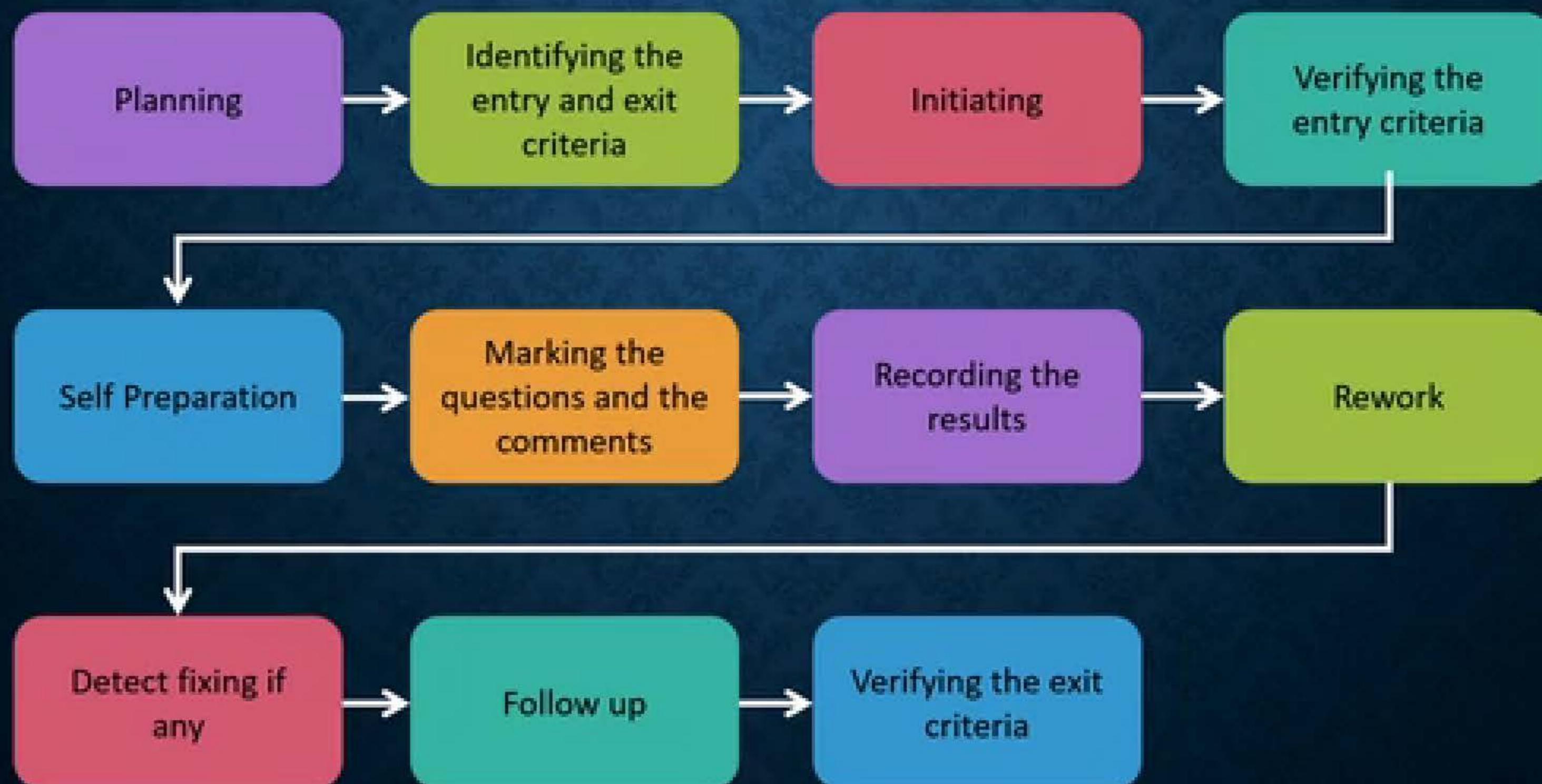
During review the artifacts are manually examined and the findings are recorded; Led by the moderator

Artefacts for which reviews are performed are

- » SRS
- » Design specification
- » Source code
- » Test plans
- » Test specification
- » Test cases
- » Test scripts
- » User guides
- » web pages

# REVIEW PROCESS

Review is a static testing technique



# WALKTHROUGH

This meeting can be conducted in an informal way that can end up in a formal way

Finding defects is the main aim of a walkthrough; understanding the defects through walkthrough



A meeting which focuses on dry runs of code and scenarios testing; Led by the Author

Peer groups can participate in walkthroughs

Review report can be prepared which includes a list of all the findings

# INSPECTION

Prepared before the meeting; led by a trained moderator

Specified entry and exit criteria for the product acceptance

Inspection reports contains list of findings



# STATIC TESTING ADVANTAGES



Early defect detection and correction

Fewer defects

Improve the development productivity

Helps in identifying omissions of requirements

Saves testing cost and time

Communication within team is improved

# TYPES OF TESTING

## Static Testing

Review

Walk  
through

Inspection

**There are two types of testing**

- ✓ Static testing – The techniques are Review, Walkthrough and Inspection.
- ✓ Dynamic testing – The techniques are Black box testing and White box testing.

## Dynamic Testing

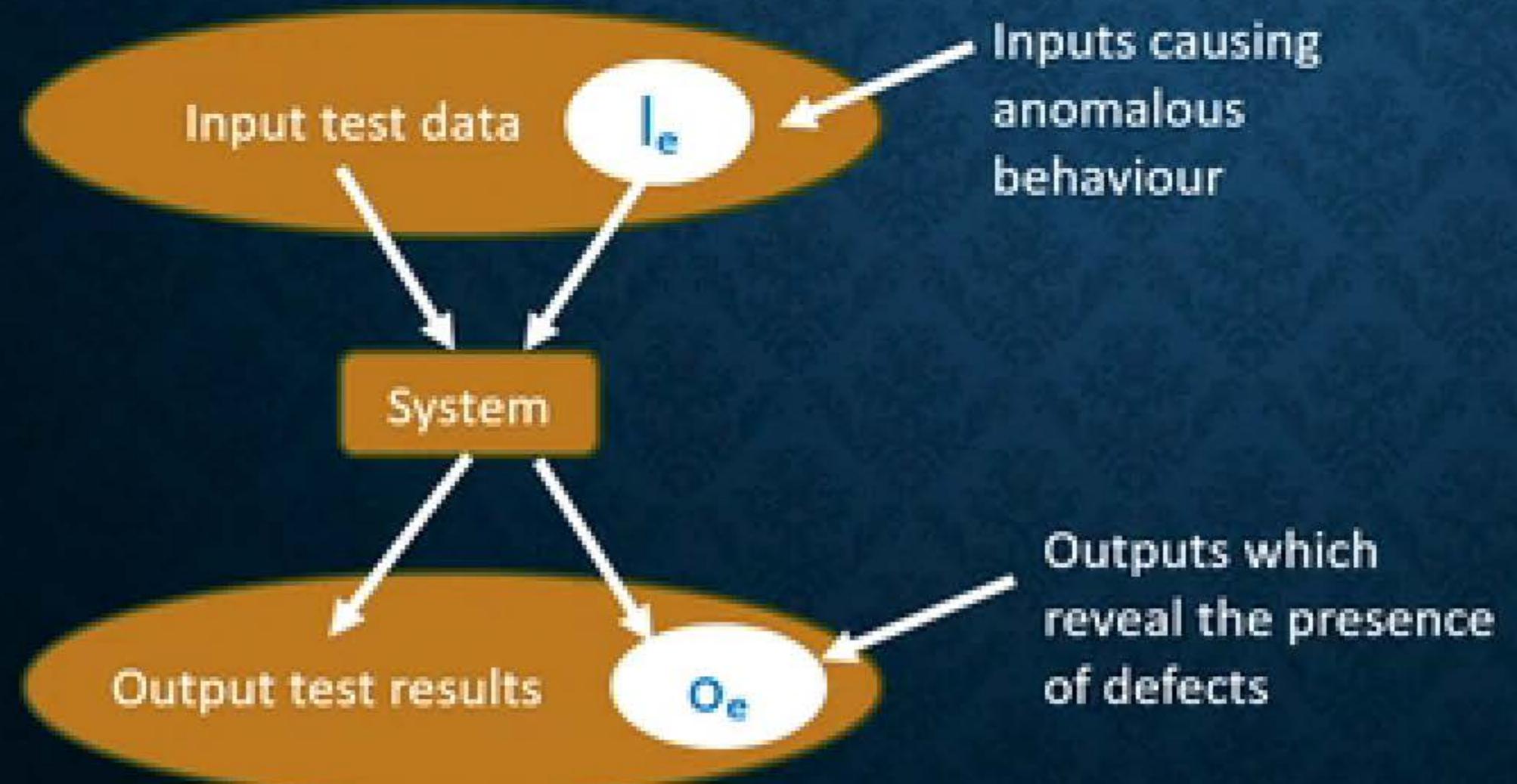
Black box  
testing

White box  
testing

# DYNAMIC TESTING - BLACK BOX TESTING

Testing after the system is done

It is a testing that ignores the internal mechanism of a system or a component and focuses solely on the outputs generated in response to selected inputs and execution conditions.



Black Box Testing

# DYNAMIC TESTING

## DYNAMIC TESTING - TEST CASE

### Test cases

- 1 Once the test plan for the level of testing has been written, the next stage of test design is to specify a set of test cases or test path for each item to be tested at that level
- 2 Each test case will specify how the implementation of the particular requirement or design decision is to be tested and the criteria for success of the test

# BLACK BOX TESTING – TECHNIQUES FOR GENERATING A TEST CASE

## BLACK BOX TESTING TECHNIQUES

Equivalence class partitioning

Error guessing



Boundary value analysis

Cause effect analysis

# EQUIVALENCE CLASS PARTITIONING

## EQUIVALENCE PARTITIONING

- 1 Black-box technique divides the input domain into classes of data from which test cases can be derived
- 2 For each of these equivalence classes, the set of data should be treated the same by the module under test and should produce the same answer
- 3 Equivalence class guidelines:
  - For a range of input choose three values such that,
    - ✓ One value is above the range
    - ✓ One value is below the range
    - ✓ One value is within the range



# EQUIVALENCE CLASS PARTITIONING

## EQUIVALENCE PARTITIONING

### EXAMPLE SCENARIO

- The component "generate\_grading" is the scores (out of 75) and a coursework (c/w) scores (out of 25), from which it generates a grade for the course in the range 'A' to 'D'.
- The grade is calculated from the overall mark, which is calculated as the sum of the exam and c/w marks, as follows:
  - ✓ greater than or equal to 70 - 'A'
  - ✓ greater than or equal to 50, but less than 70 - 'B'
  - ✓ greater than or equal to 30, but less than 50 - 'C'
  - ✓ less than 30 - 'D'
  - ✓ When a mark is outside its expected range, then a error message ('FM') is generated

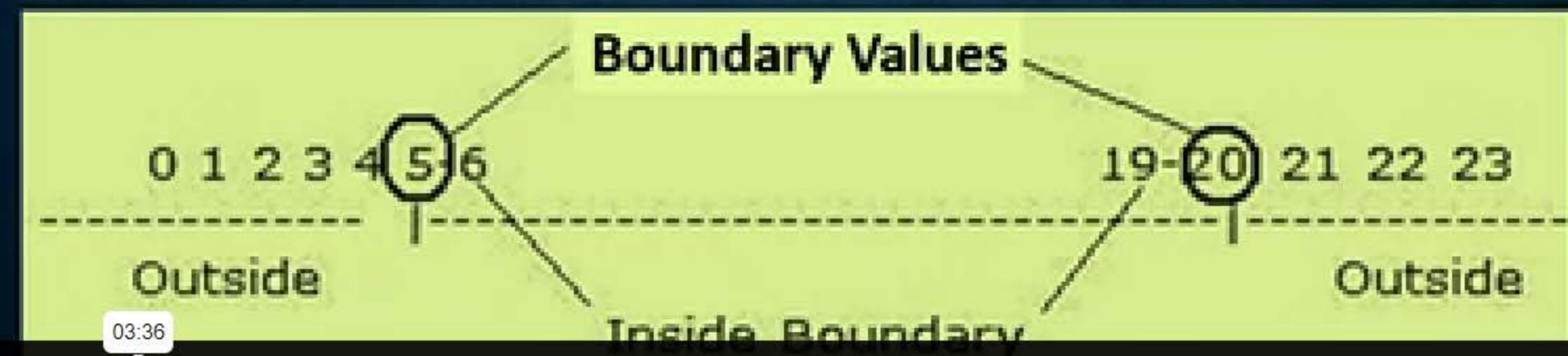
All inputs are passed as integers

# BOUNDARY VALUE ANALYSIS

## BOUNDARY VALUE ANALYSIS

- 1 Black-box technique focuses on the boundaries of the input domain rather than its center
- 2 For each of the Boundary value analysis guidelines:
  - ✓ If input condition specifies a range bounded by values a and b, test cases should include a and b, values just below a and just above b

Example : If input condition specifies a range 5 to 20



# CAUSE EFFECT ANALYSIS



## CAUSE EFFECT ANALYSIS

- 1** The cause effect analysis helps in identifying the causes and their effects (and identifies how they are linked) associated with a particular problem or situation
- 2** A cause is an input condition or an equivalence class of input conditions. An effect is an output condition or a system transformation
- 3** It is suitable for applications in which combinations of input conditions are few

# CAUSE EFFECT ANALYSIS



## CAUSE EFFECT ANALYSIS

### EXAMPLE SCENARIO

- A module for calculating increment, that decides how much increment percent will be assigned to every employee
- The decision is based on the experience and the designation
  - ✓ Between 1 – 3 then incr% 10
  - ✓ Between 4 – 10 then incr% 20
  - ✓ Greater than 10 then incr% 30

For designation

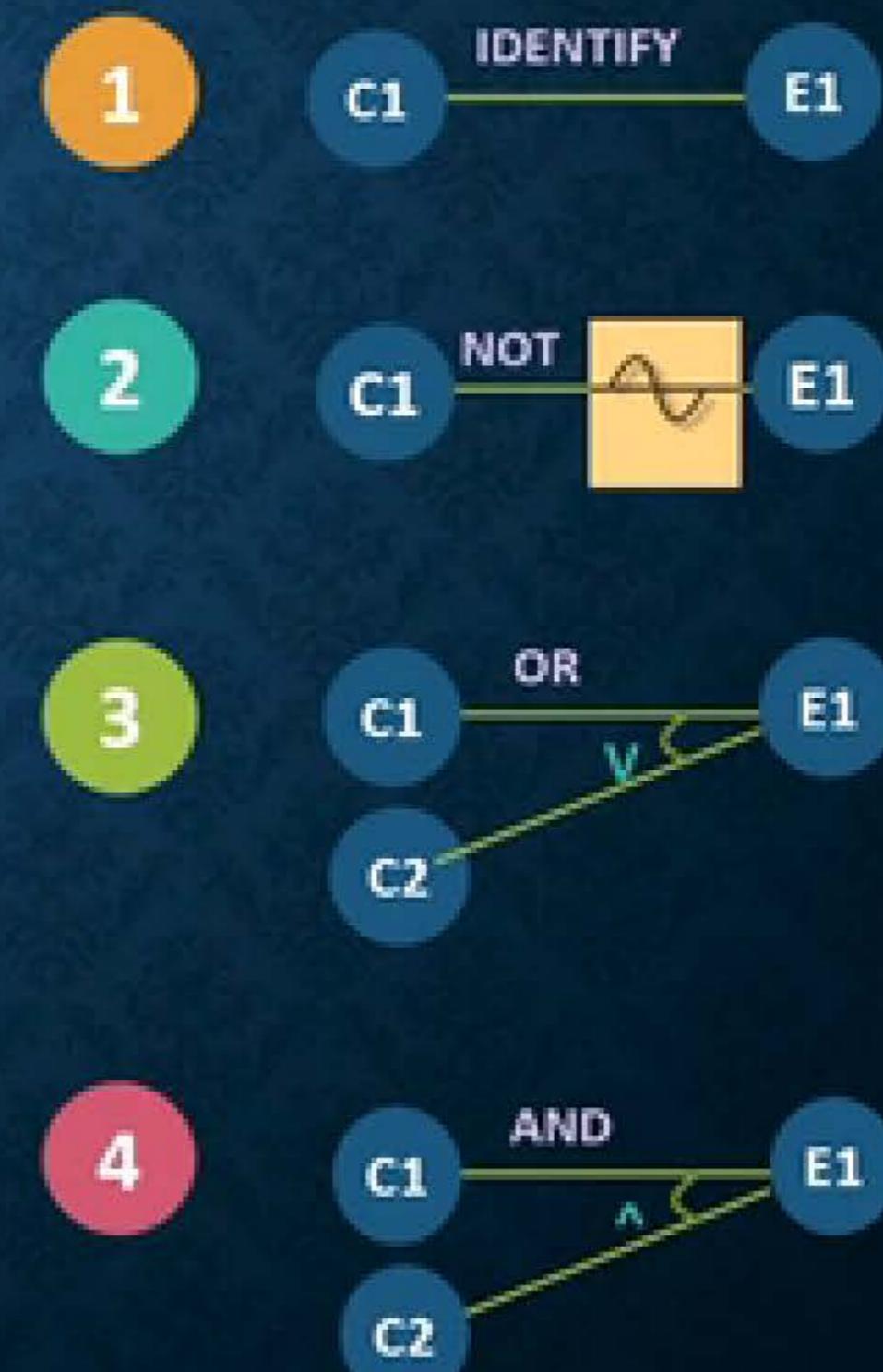
- ✓ Developer then incr% 30
- ✓ Tester then incr% 40

# CAUSE EFFECT ANALYSIS

## CAUSE EFFECT GRAPHING

- 1 The cause effect graphing is used to capture the relationship between certain combinations of inputs(causes) and outputs(effects)
- 2 The causes and effects represent the nodes of a cause effect graph
- 3 Intermediate nodes are also present, and they represent the linking of causes and effects
- 4 The steps involved are:
  - Specify the inputs (causes) and actions (effect)
  - Design a cause effect graph
  - Convert the cause effect graph into a decision table
  - Decision table rules is converted to test cases
  - Each column of the decision table represents a test case

## NOTATIONS



# CAUSE EFFECT GRAPHING

## CAUSE EFFECT GRAPHING - EXAMPLE

- 1 An employee record has to be updated if an input U1 is received. Else it has to be deleted if an input of D1 is received. If the first character of the input is not U or D, the record is simply printed.



- 2 TreeSet internally uses compareTo() method to compare the objects for sorting.

## DECISION TABLE

C1 (U)	C2 (D)	C3 (1)	A1 (PRINT)	A2 (UPD /DEL)
1	0	1	0	1
0	1	1	0	1
0	0	1	1	0

# STATE TRANSITION DIAGRAM

State transition model is a black box technique to deriving test cases.

A state transition diagram has four components:

- ✓ The states that the software may occupy (Example., A word document being in an open or closed state)
- ✓ The transitions from one state to another ( A word document from open to closed state)
- ✓ The events that cause a transition (Closing a file makes the document transition to closed state)
- ✓ The actions that result from a transition (a file being opened or closed).

A transition may not perform the transition to a new state. An invalid input gives an error message as the action, and the transition would be back to the same state as the system was in before.

# CREATION OF A PLOT

1

## Ad-hoc approach

It is an ad hoc approach guided by intuition and experience

2

## Example

Consider that a program is written into a file. The following test cases can be derived

- ✓ Having an empty file
- ✓ Not having a file at all
- ✓ Having a file with read permission

# BLACK BOX TESTING

## Scenario

- Azure Solutions is planning to give incentive for the employees based on the appraisal rating obtained by them.
- The rating and the incentive amount are mentioned below.

Appraisal Range	Incentive
7 – 10	1000 USD
4 – 6	500 USD
1-3	300 USD

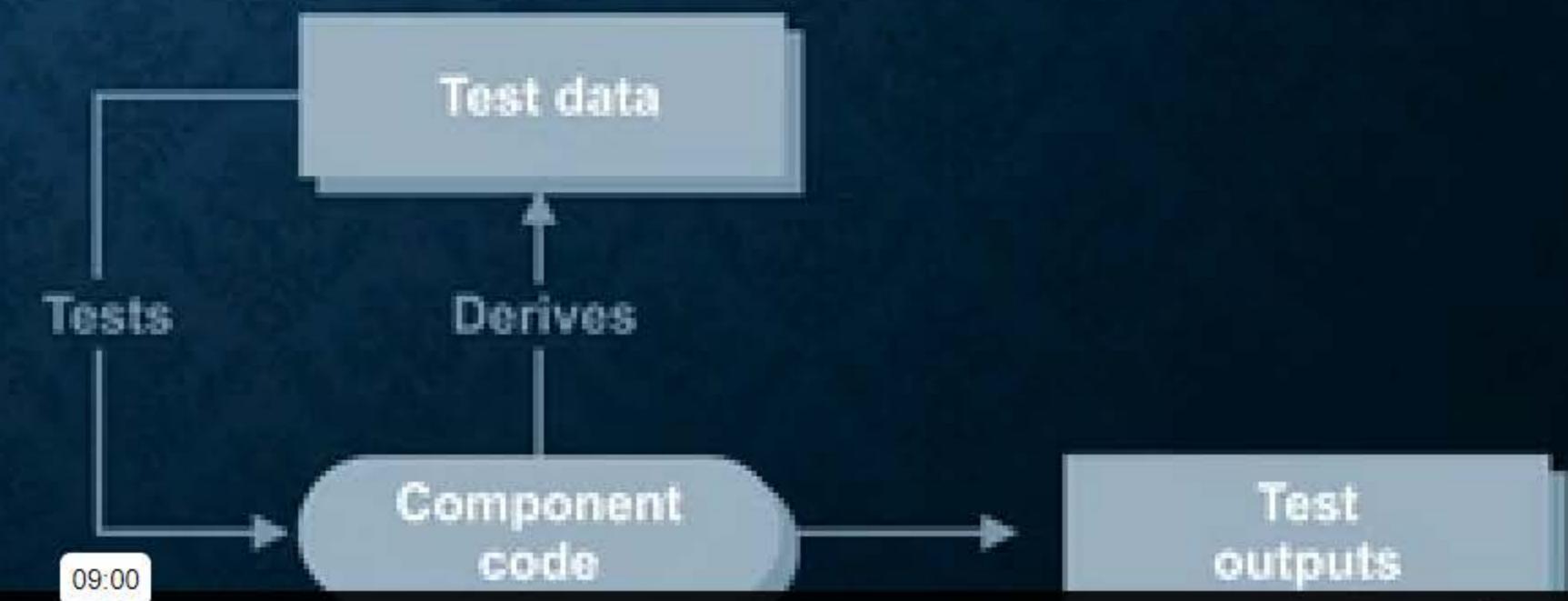
Test Data Using
Equivalence Partitioning
3, 9,12
2,5,9
-2,2,5
BVA
6,7,10,11
3,4,6,7
-1,1,3,4

# DYNAMIC TESTING – WHITE BOX TESTING

It is also called as glass box testing, structural testing, clear box testing

## WHITE BOX TESTING

- 1 It deals with the internal logic and structure of the code
- 2 Derive test cases based on the program structure
- 3 To perform white box testing testers should have a thorough knowledge of
  - Problem Domain
  - Internal implementation of the code



# BASIS PATH TESTING

Basis Path Testing is a white box testing method

## MAIN FEATURES OF BASIS PATH TESTING

Design test cases to cover the following in the code written

Every statement(Statement coverage)

Every predicate (condition) in the code(branch coverage)

Loops (loop coverage)



# BASIS PATH TESTING – STATEMENT COVERAGE

Has each and every statement in the code been executed?

## MAIN FEATURES OF STATEMENT COVERAGE

The number of executable statements covered in a code determines the statement coverage

Assessment of statement coverage = number of executable statements covered in the code / Total number of executable statements in the code to be tested



# BASIS PATH TESTING – STATEMENT COVERAGE



Has each and every statement in the code been executed?

## MAIN FEATURES OF STATEMENT COVERAGE

The number of branch statements (e.g., IF / CASE) covered in a code determines the branch or the decision coverage

Assessment of branch coverage = number of all branches or decisions covered in the code / Total number of all possible branch or decision statements in the code



# BASIS PATH TESTING – LOOP COVERAGE

How many times is the loop executed?

## MAIN FEATURES OF LOOP COVERAGE

The number of times loops are executed in a code determines the loop coverage;  
0 times, 1 time or multiple times

For example, for a do while loop, the number of times the loop can be executed is  
1 or many



# BASIS PATH TESTING

## DERIVE A LOGICAL COMPLEXITY MEASURE OF PROCEDURAL DESIGN

- ✓ Break the module into blocks delimited by statements that affect the control flow (e.g., condition, method call, loop)
- ✓ Mark out these as nodes in a control flow graph
- ✓ Draw connectors (arcs) with arrow heads to mark the flow of logic
- ✓ Identify the number of regions (Cyclomatic Number) which is equivalent to the McCabe's number

## MC CABE'S NUMBER (CYCLOMATIC COMPLEXITY)

- ✓ It defines the number of independent paths
- ✓ Provides the number of tests that must be conducted to ensure that all the statements are executed at least once

# BLACK BOX VS. WHITE BOX TESTING

COMPLEXITY OF A FLOW GRAPH 'G',  $V(G)$ , IS COMPUTED IN ONE OF THESE THREE WAYS

- $V(G) = \text{No. of closed regions of } G + 1$
- $V(G) = E - N + 2$  ( $E$ : No. of edges &  $N$ : No. of nodes)
- $V(G) = P + 1$  ( $P$ : No. of predicate nodes in  $G$  or No. of conditions in the code)

## STEPS INVOLVED

- Define a basis set of execution paths
- Determine independent paths
- Eliminate infeasible paths
- Derive test case to exercise (cover) the basis set

# BASIS PATH TESTING

## SAMPLE CODE

```
main() {  
    int a,b;          1  
    scanf("%d%d",&a,&b);  
    if(a > b)        2  
        printf("\na is greater"); 3  
    else  
        printf("\nb is greater"); 4  
} 5
```

## MCCABE'S NUMBER

McCabe's No

$$\checkmark P+1 = 2$$

$$\checkmark E-N+2 = 5-5+2 = 2$$

$$\checkmark R+1 = 2$$

$$\checkmark 1-2-3-5 \quad a=10, b=5$$

$$\checkmark 1-2-4-5 \quad a=2, b=6$$



# BLACK BOX VS. WHITE BOX TESTING



## BLACK BOX TESTING

- Test cases are designed depending on the functionality
- Identifies hidden functionality
- Techniques
  - ✓ Equivalence class partitioning
  - ✓ Boundary value analysis
  - ✓ Cause effect analysis and graphing
  - ✓ Decision table

## WHITE BOX TESTING

- Test cases are designed depending on the logic of the code
- Identifies unreachable code and checks for code coverage
- Techniques
  - ✓ Basis path testing



13:51

# STATIC VS. DYNAMIC TESTING



## STATIC TESTING

- Manual examination (reviews) of the code or other project documentation
- Testing methodologies
  - ✓ Review
  - ✓ Inspection
  - ✓ Walkthrough
- Bugs discovered at this point are less expensive to fix

## DYNAMIC TESTING

- The examination of the physical response from the system to variables that are not constant and change with time
- Testing methodologies
  - ✓ Black box testing
  - ✓ White box testing
- Depends on the type of bug identified

# SOFTWARE EVOLUTION

1

It is impossible to produce a system of any size which does not need to be changed

2

Parts of the software may have to be modified to correct the errors that are found in operation, or to improve its performance or other non-functional characteristics

3

After delivery, software systems always evolve in response to demand for change

# SOFTWARE CHANGE

## Software Change

New requirements emerge when the software is used

The business environment changes

Errors must be repaired

New requirements must be accommodated

The performance or reliability may have to be improved

# SOFTWARE EVOLUTION APPROACHES

## SOFTWARE EVOLUTION

- ❖ Software maintenance
- ❖ Architectural transformation
- ❖ Software re-engineering



# SOFTWARE CHANGE STRATEGIES

1

## Software Maintenance

Changes are made in response to changed requirements but the fundamental software structure is stable

2

## Architectural Transformation

The Architecture of the system is modified; Generally from a centralized to a distributed architecture. Architecture may also get modified depending on the requirement / need.

3

## Software re-engineering

New functionalities are not added to the system but it is restructured and reorganized to facilitate future changes

# SOFTWARE MAINTENANCE

1

Modify a program after it is  
being used

2

Maintenance does not  
normally involve major changes  
to the system architecture

3

Changes are implemented by  
modifying the existing  
components or by adding new  
components to the system

# TYPES OF SOFTWARE MAINTENANCE



# TYPES OF MAINTENANCE

## CORRECTIVE MAINTENANCE

1. Maintenance that includes the repair of the defects in the existing system

2. Defects can stem from

- Requirements specification errors
- Design errors
- Coding errors
- A large number of problems stem from Requirements and Design

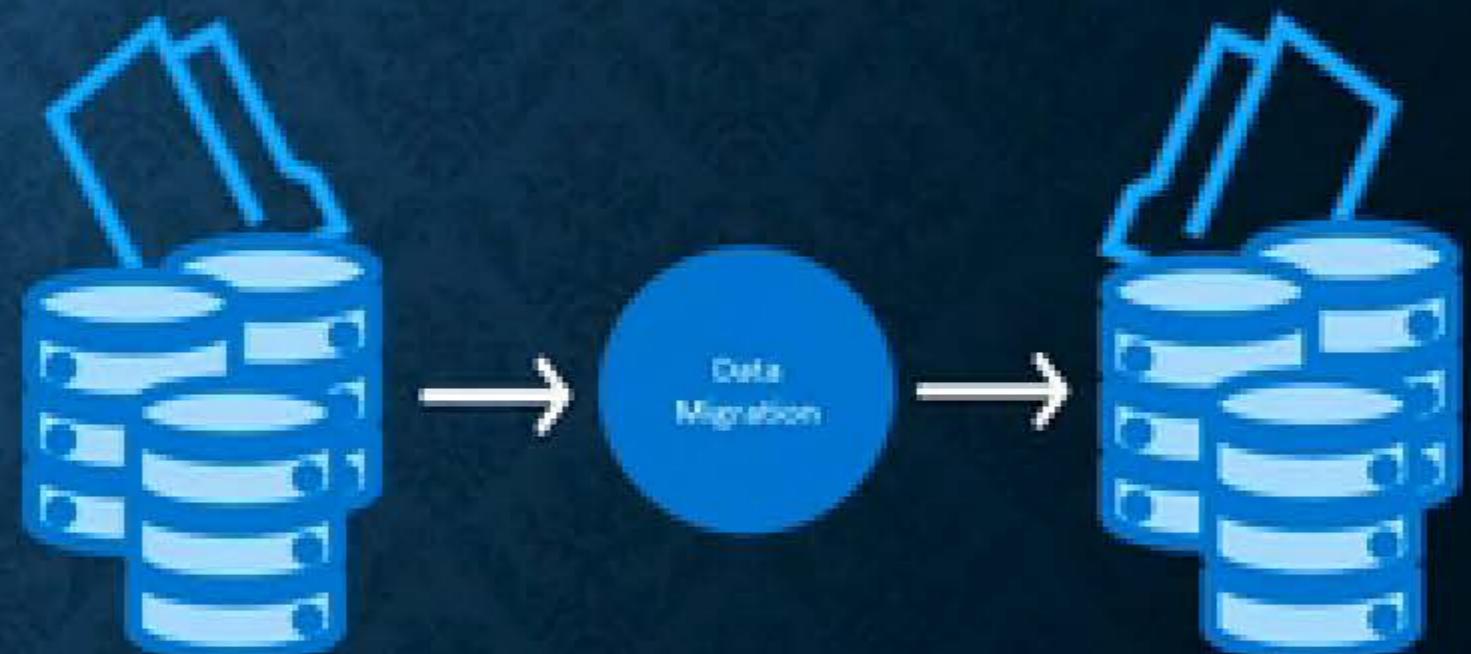
# TYPES OF MAINTENANCE

## ADAPTIVE MAINTENANCE

1. Maintenance to adapt software to changes in the working environment

- Internal needs; e.g. run the software on a new operating system
- External requirement e.g. change in law

2. Invoked by



# TYPES OF MAINTENANCE

## Perfective Maintenance

1

Involves making functional enhancements to the system which can increase the system's performance

2

Includes all efforts to polish or refine the quality of the software or the document

3

Important that the improvement reduces the system maintenance cost

## Preventive Maintenance

1

Changes made to the system to prevent occurrence of errors in future

2

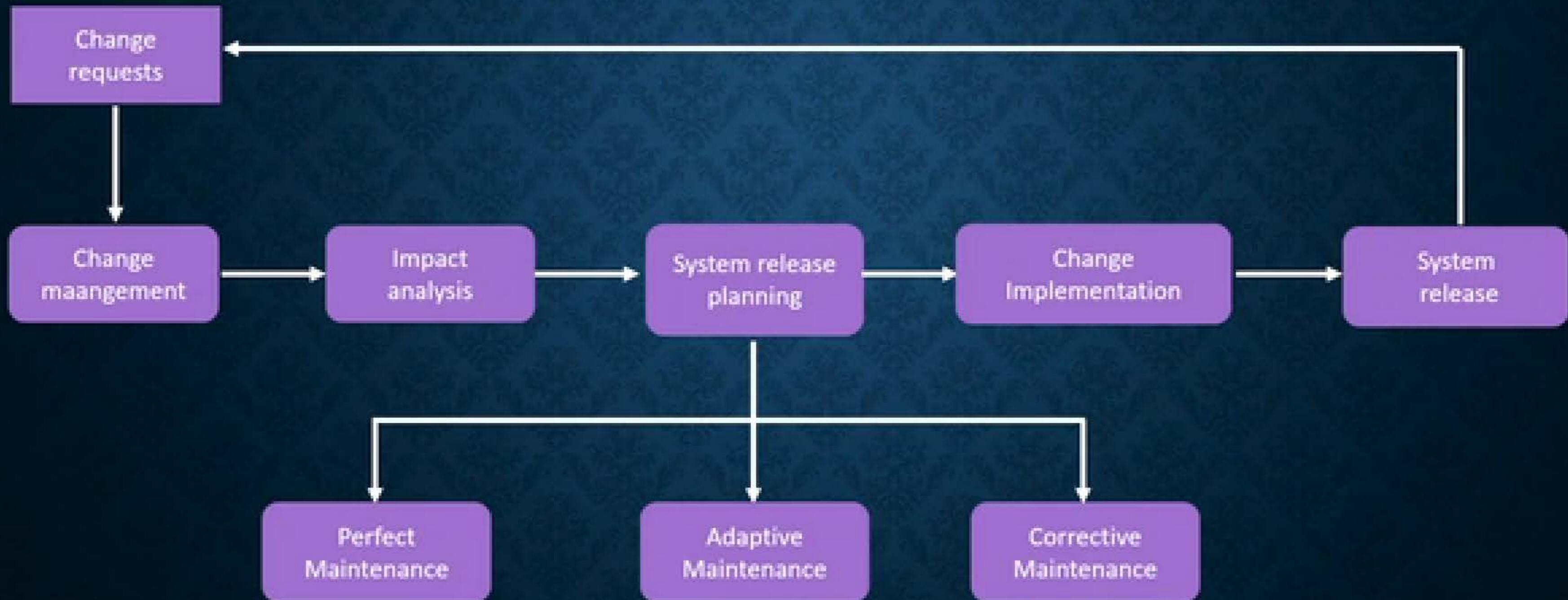
Changes made to the system to avoid any Software faults in the future

## Maintenance activity can be for

- Fault Repair
- Software Adaption
- Functionality Addition or Modification

A study on maintenance effort distribution revealed that Effort for functionality addition or modification of existing components is more compared to Software Adaption and Fault Repair.

# SOFTWARE MAINTENANCE



# MAINTENANCE COST

## Maintenance Cost

1

Allows a variable or value to be tested for equality against a list of values.

2

Each possible input value is referred to as a case.

3

It can contain an unlimited number of case statements.

4

If more than one match exists, the first matching element is returned.

# RELATIVE COST OF MAINTENANCE

1

Cost of maintenance is relatively high.

2

A study on estimating software maintenance resulted as the cost of maintenance is so high that it consumes major percentage (67%) of the cost of entire software process cycle.

# SOFTWARE MAINTENANCE EXAMPLES

## Corrective Maintenance

Tom developed an application for Global pharmacy. When the client was using that application, they found a defect that the application accepted a date of expiry which was prior to the current date. They wanted this error to be rectified. The application should not allow the user to add medicine with such expiry date. What Maintenance does this scenario depict ?

## Adaptive Maintenance

In the Pharmacy application, there was a provision to calculate discount for the total bill amount for a sale. But as the discount may vary for each medicine, the client wanted the provision to calculate discount for each medicine in the bill. What Maintenance does this represent ?

## Perfective Maintenance

Reports were generated for the Pharmacy application representing the sales and purchase details of medicines. Developer felt that along with those details which were displayed in a table format, it will be good if the same is displayed in graphical notation too and implemented the same. What maintenance does this scenario represent?

## Preventive Maintenance

"Grab your product" is an online shopping application for Mobile and its accessories. As it was New year Eve, the client expected more number of customers might access their link for shopping. To prevent the network issue, they decided to make necessary changes in the cloud. What type of maintenance is this?

# SOFTWARE CONFIGURATION MANAGEMENT



A configuration is the functional and physical characteristics of a hardware or software as set forth in technical documentation or achieved in a product.

As we saw in the situation with the ABC Bank document, multiple issues crop up when software is collaboratively developed by Teams.

Some of them are :-

- Are all changes done by team members reflected in the repository?
- Who did what change at what time?
- Is it possible to revert back to the previous version of the code/ project?

SCM helps to minimize the above confusions by coordinating software development activities, by “identifying, modifying and controlling” modifications to software.

SCM activities are to

- identify the change,
- control the change,
- ensure that the changes are being properly implemented,
- report the changes to others who are involved in it.

# WHY SOFTWARE CONFIGURATION MANAGEMENT

- 1 Most projects developed in IT industry are complex
- 2 Lots of people are involved in the project. All need to update their code in the repository
- 3 There will be conflicts in code when changes are made by all in the team.
- 4 There will be communication gap when changes are made
- 5 The solution for these problems - Software Configuration Management

# SOFTWARE CONFIGURATION MANAGEMENT



New versions of software systems are created as they change

- For different machines/OS
- Offering different functionality
- Tailored for particular user requirements

Configuration management is concerned with managing evolving software systems:

- System change is a team activity
- Configuration Management is responsible for managing and controlling the costs and effort involved in making changes to a system.

# SOFTWARE CONFIGURATION MANAGEMENT



Software entities that SCM is expected to manage include :

- Specifications (SRS,)
- Design
- Programs
- Test data
- User Documentation
- Support Software Tools, Source Code, Executable, and Libraries

SCM is said to be effective:

- when every work product can be accounted for
- when every work product or change made to it can be tracked and controlled

# SOFTWARE CONFIGURATION MANAGEMENT PLAN



Software configuration management plan starts during the early phases of a project.

## SCM PLAN DEFINES

- The various artifacts to be managed along with the code and a document naming scheme.
- Who takes responsibility for the CM procedures and creation of “baselines”.
- Policies for change control and version management.
- The CM records which must be maintained.

SCM plan describes the tools which should be used to assist the CM process and any limitations to their use.

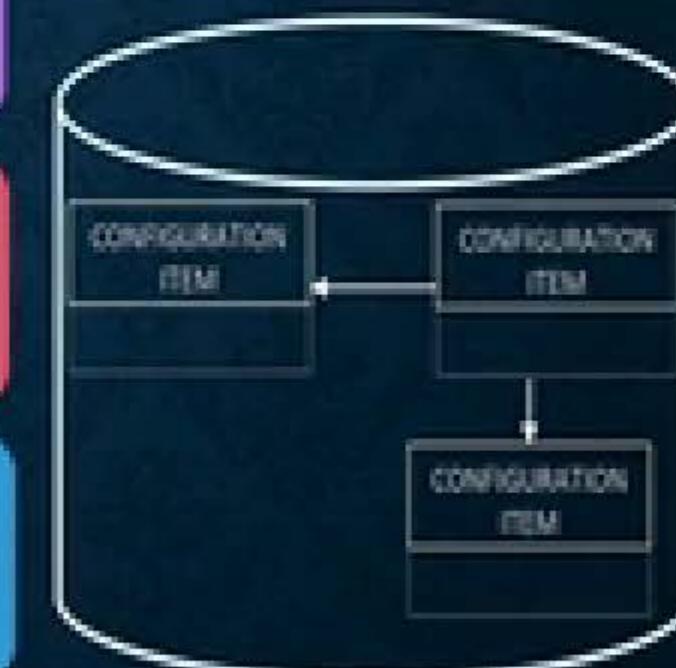
# CONFIGURATION ITEM

A Configuration Item is an aggregation of hardware, software, or both, that is designated for configuration management and treated as a single entity in the configuration management process.

Large projects typically produce thousands of documents which must be uniquely identified.

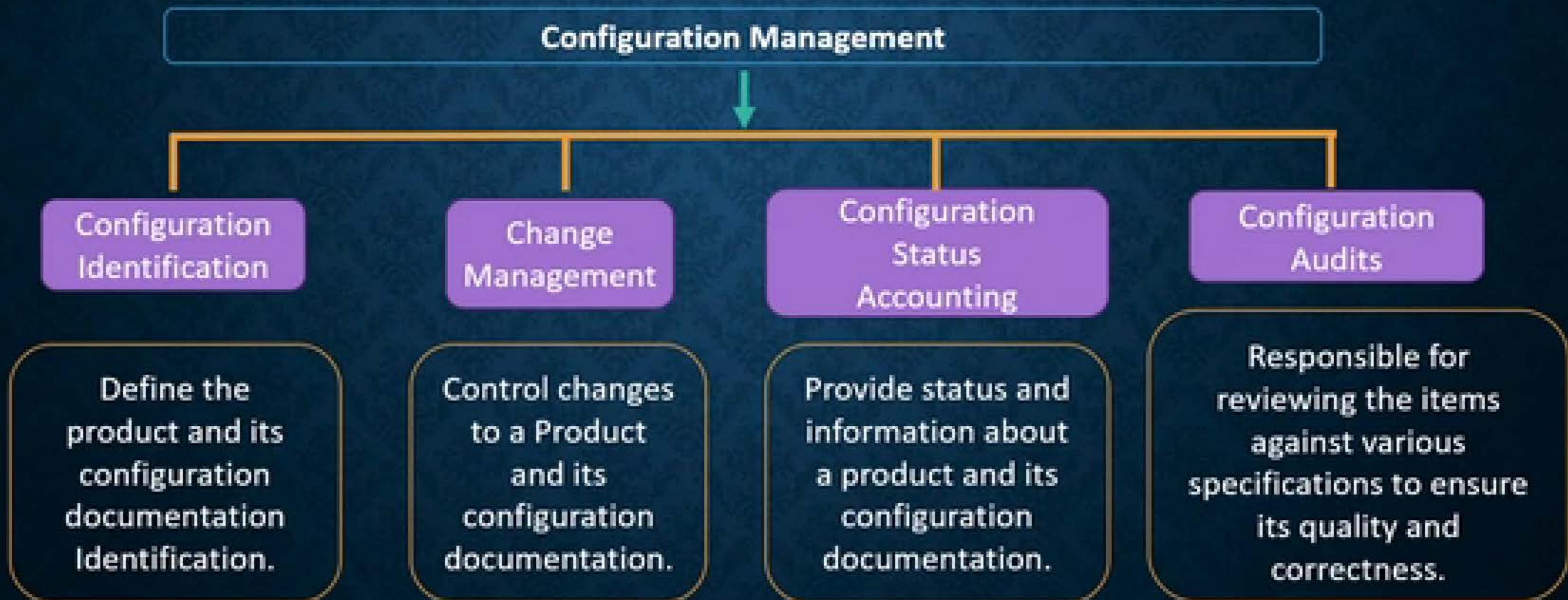
Some of these documents must be maintained for the lifetime of the software.

Document naming scheme should be defined so that related documents have related names.



# SOFTWARE CONFIGURATION MANAGEMENT

## 4 components of Software Configuration Management



# TYPES OF CONFIGURATION OBJECTS

To manage SCIs ,they must be separately named and organized using object-oriented approach

There are two types of Objects : Base Object and Aggregate Object

## BASE OBJECT

A base object is the "unit of text" that has been created by a software engineer during analysis, design, code, or test

### Example

- Section of a requirement specification a source listing for a component a suite of test cases that are used to exercise the code

# BASELINE

## Baseline

"Specification or product that has been formally reviewed and agreed upon, that thereafter serves as the basis for further development, and that can be changed only through formal Change Control Procedures"

*IEEE Std. No. 610.12-1990*

## Example for Baseline

*Baseline A* : The API has been completely defined; the bodies of the methods are empty.

*Baseline B* : All data access methods are implemented and tested.

*Baseline C* : The GUI is implemented.



# BASELINE

As system is developed, series of baselines are developed

- Developmental Baseline
- Functional Baseline
- Product Baseline

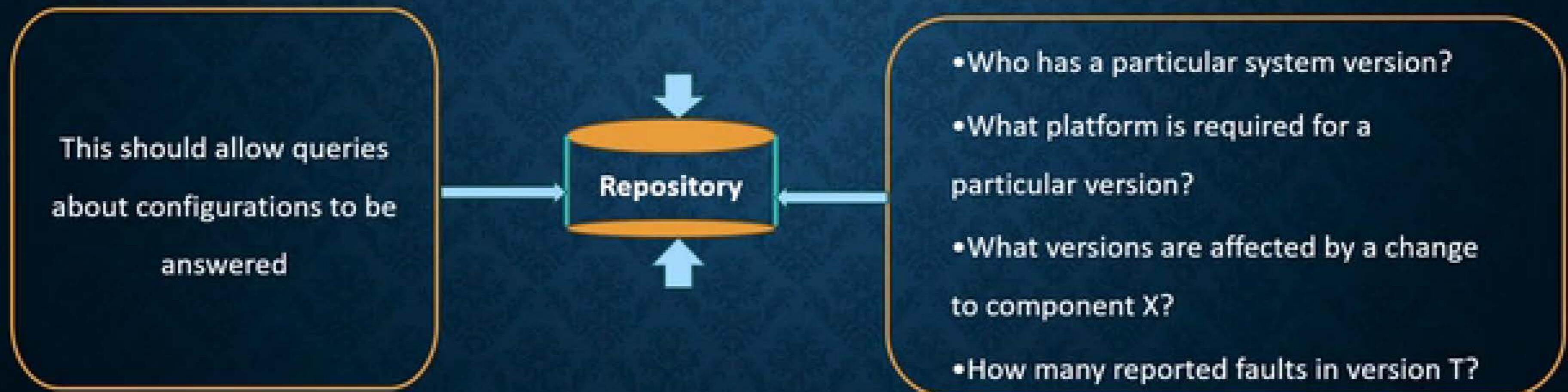
Many naming scheme exist for baselines (2.0,3.01a, ...)

A 3 digit scheme is quite common



# CONFIGURATION REPOSITORY

All CM information should be maintained in a configuration database



# CHECK -IN AND CHECK-OUT

The Configuration items available in the Configuration Management system will be in read-only mode by default.

**CHECK IN**

An operation used to make a developer's object version available to other users.

**CHECKOUT**

A process that creates a new version of an object from an existing version stored in the database.

Developers check out objects so they can work on them.

# CHANGE MANAGEMENT

Change management (or change control) is the process during which the changes of a system are implemented in a controlled manner by following a pre-defined framework / model with some reasonable modifications.

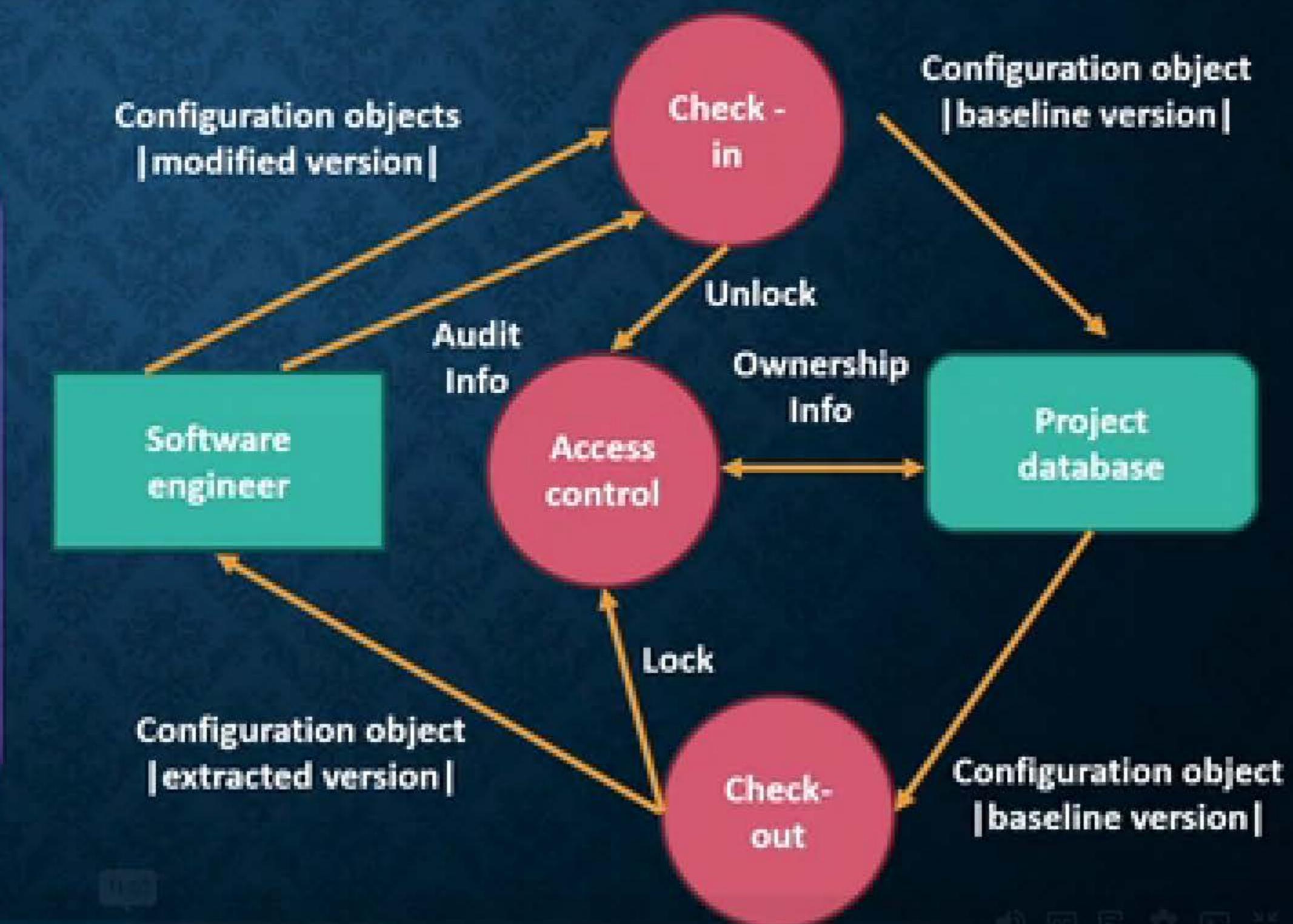
## ACTIVITIES IN CHANGE MANAGEMENT:

- Filtering changes
- Managing changes and the change process
- Reviewing and closing of Requests for Change (RFCs)

# SYNCHRONIZATION CONTROL

## Synchronization Control

- Helps to ensure that parallel changes, performed by two different people, don't overwrite each other.
- Locks the object in the project database so that no updates can be made to it until the currently checked out version has been replaced.



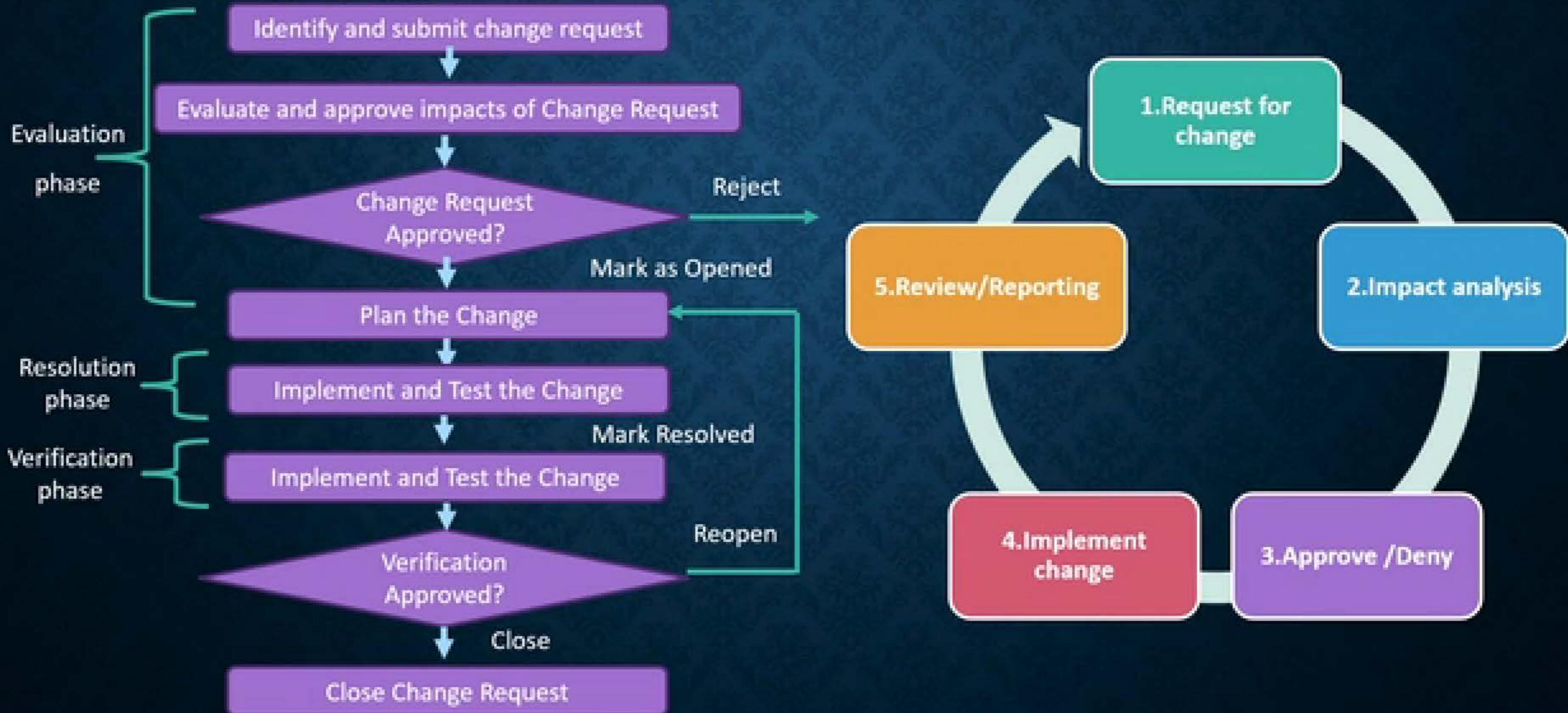
# CHANGE CONTROL BOARD(CCB)

## CHANGE CONTROL BOARD (CCB) OR SOFTWARE CHANGE CONTROL BOARD (SCCB)

- is a committee that makes decisions regarding whether or not proposed changes to a software project should be implemented.
- is constituted of project stakeholders or their representatives.

The authority of the change control board may vary from project to project, but decisions reached by the change control board are often accepted as final and binding.

# CHANGE CONTROL PROCESS



# VERSION MANAGEMENT

Version control is a mechanism used to manage multiple versions of computer files and programs.

It allows users to

- lock files so they can only be edited by one person at a time
- track changes to files

Version Control allows you a place to store your work as it progresses and allows you instant recall of any work from any point in time.

It also allows others to recall communal (or just your) work at any time, in a read only fashion, or also to make changes themselves.

# BENEFITS OF VERSION MANAGEMENT

- 1 Rolls back to a previous version of a given file
- 2 Compares two versions of a file, highlighting differences
- 3 Creates branches that allow for parallel concurrent development
- 4 Maintains an instant audit trail on each and every file: versions, modified date, modifier, and any additional amount of meta-data your system provides for and whichever you choose

# SCM TOOLS

Features supported in any change management tool are

- 1 Concurrency management
- 2 Version control
- 3 Synchronization

# SCM TOOLS

## RCS

- It is a local repository model
- Saving of revisions is independent of the central repository
- Allows revision of documents, committing changes and merging docs together.

## CLEARCASE

- Provides controlled access to software assets, including code, requirements, design documents, models, test plans and test results
- Provides parallel development support, automated workspace management, baseline management, secure version management, reliable build auditing, and flexible access virtually anytime, anywhere.
- Supports storage of binary files and large repositories of files.

## SUBVERSION

- Open Source Project  
It is centralized (one server)
- Allows revert to the previous version to study and analyze the changes
- Allows concurrent development on same file

# SOME SCM TERMS TO BE FAMILIAR WITH

Term	Meaning
Repository (repo)	The database where the files are stored
Server	The system that stores the repository.
Client	The system that connects to the server(repo)
Working Set / Working Copy	Local directory of files, where changes are made.
Trunk / Main	The primary location for code in the repository.
Add	Put a file related to the project into the repo for the first time,

# SOME SCM TERMS TO BE FAMILIAR WITH

Term	Meaning
Revision	Depicts the version of a file (v1, v2, v3, etc.).
Head	The latest revision in the repo.
Check out	Download a file from the repo to work in local.
Check In	Upload a file to the repository after making necessary addition / modification. The file gets a new revision number, and people can "check out" the latest one.
Check in Message	A short message describing what was changed.

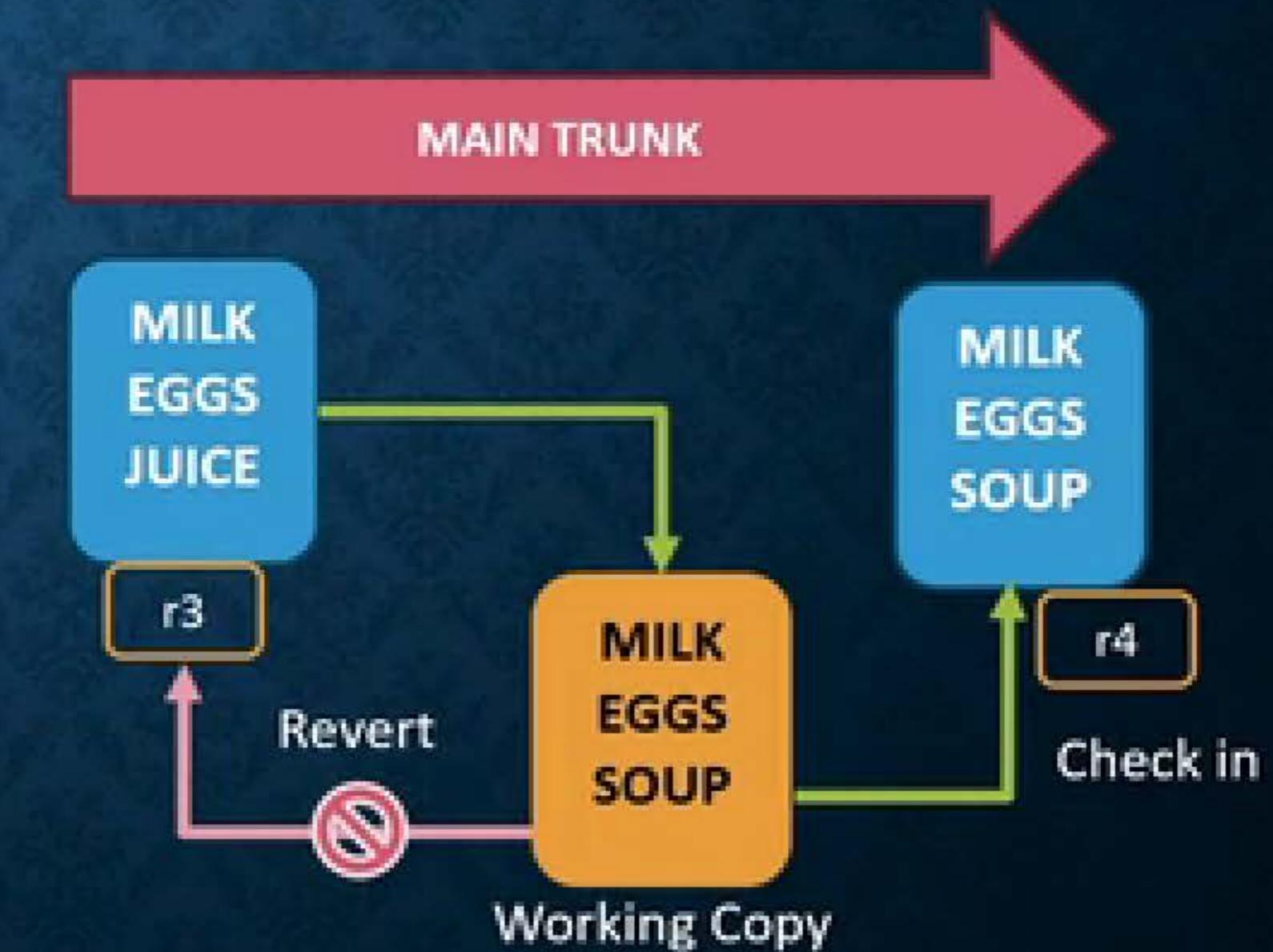
# CHECK-IN AND CHECK-OUT

## BASIC CHECKINS



Each time we check in a new version,  
we get a new revision (r1, r2, r3,  
etc.).

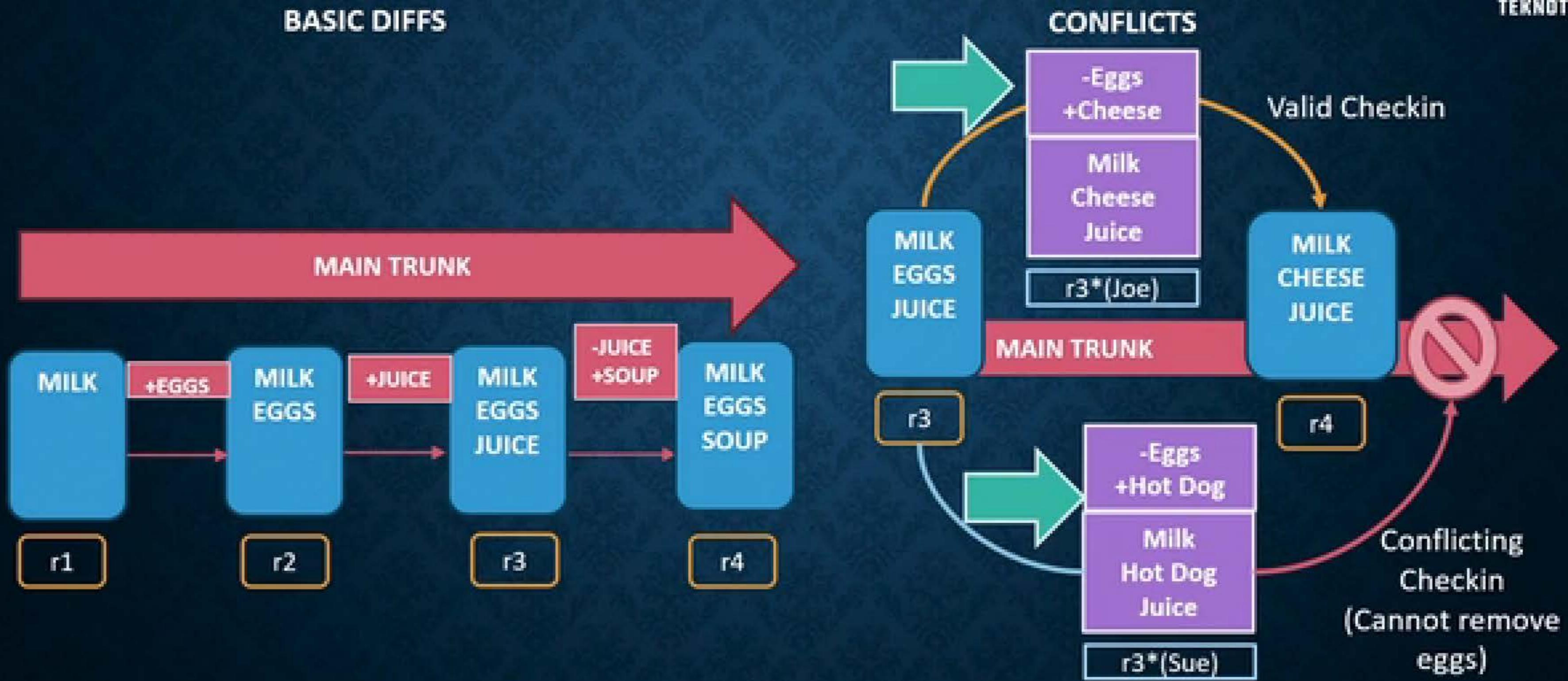
## CHECK OUT AND EDIT



Check out, by default fetches the latest version

17:02

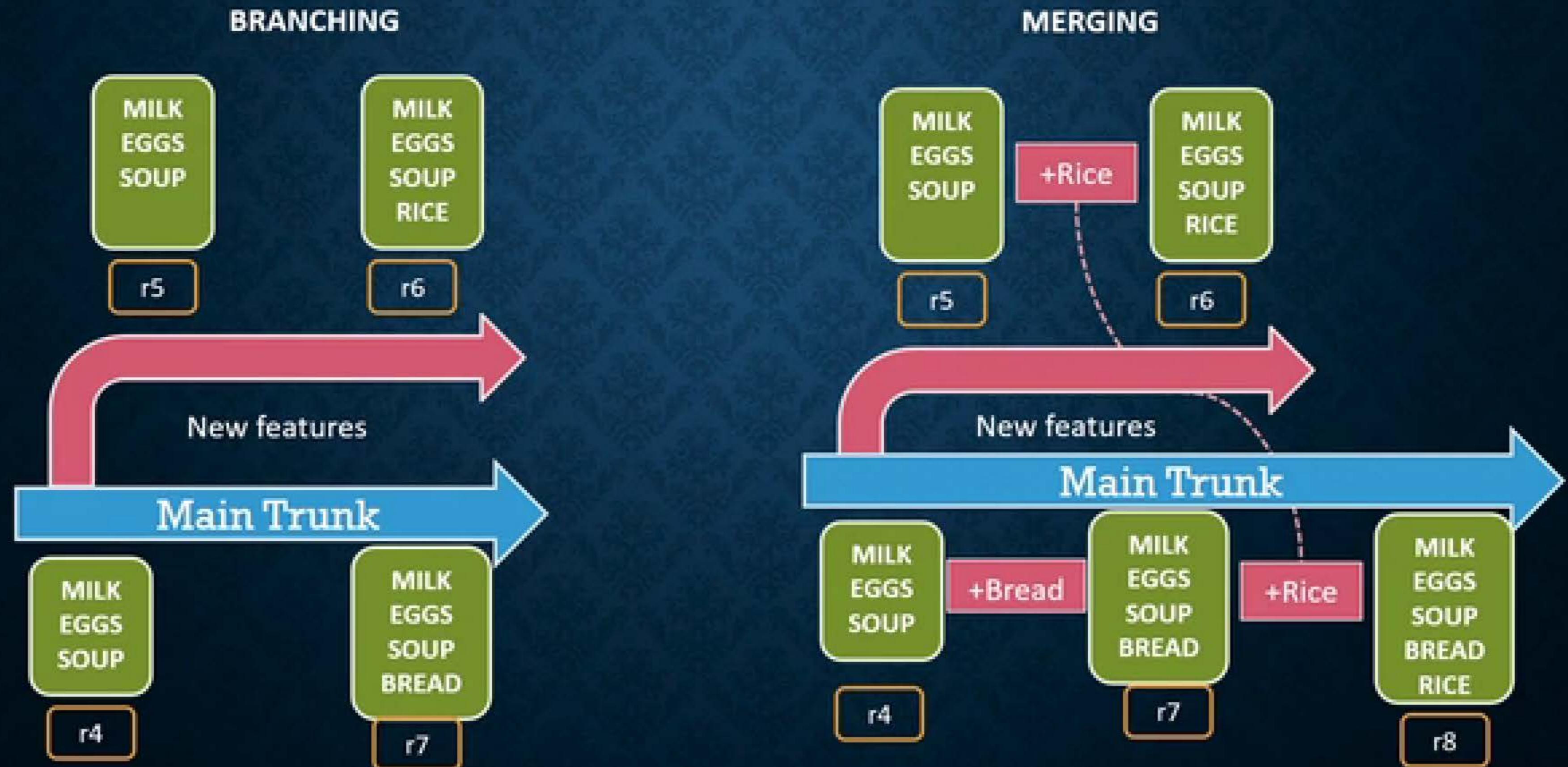
# DIFF AND CONFLICT



Changes made when editing the artifact

Conflicts occur when there is an overlap in the changes made

# BRANCH AND MERGE



# SOFTWARE CONFIGURATION MANAGEMENT - ACTIVITIES



Code is kept in a common repository

Developers pick up a working copy and work on their local machine

Changes are committed to the repository.

Check if anyone has checked in the same file in the repository

If yes compare the conflicts and merge the changes as per the requirement

Check in the new version of files into the repository

- Details of what, why, when and by whom changes were made to the software is also preserved
- Tracking the changes is a very simple task with SCM