

☐ Enrolment / ☐ Cancellation

Date: 

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(Please refer Product labeling available on cover page of the KIM and terms and conditions overleaf)

The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box wherever applicable and strike off the section(s) not in use.

KEY PARTNER / AGENT INFORMATION (Refer Instruction 11)				FOR OFFICE USE ONLY (TIME STAMP)
ARN & ARN Name	Sub Agent's ARN / Bank Branch Code	Internal Code for Sub-Agent / Employee	Employee Unique Identification Number (EUIN)	

**EUIN Declaration (only where EUIN box is left blank) (Refer Instruction 11)**

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here First/Sole Unit holder / Guardian	Sign Here Second Unit holder	Sign Here Third Unit holder
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**Folio No. of 'Transferor' Scheme (for existing Unit holder)**

Name of First / Sole Applicant	
Name of Guardian (in case First / Sole Applicant is a minor)	
Name of Second Applicant	
Name of Third Applicant	

For STP investments, PAN based KYC is mandatory. Refer Instruction No. 9

Name of 'Transferor' Scheme/Plan/Option													
Name of 'Transferee' Scheme/Plan/Option													
Amount of Transfer per Installment:	Rs.												
STP Details (Please ✓ any one) (Refer Instruction No. 8)	<input type="radio"/> Daily\$ No. of Installments:*  _____												
	<input type="radio"/> Weekly\$ [Day of Transfer (Please ✓ any one)] <input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday+ <input type="checkbox"/> Thursday <input type="checkbox"/> Friday No. of Installments:*  _____												
	<input type="radio"/> Monthly+ <input type="radio"/> Quarterly Enrolment Period*: <input type="checkbox"/> 1 <input type="checkbox"/> 5 <input type="checkbox"/> 10+ <input type="checkbox"/> 15 <input type="checkbox"/> 20 <input type="checkbox"/> 25 From: <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> To: <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Please select any one or more	M	M	Y	Y	Y	Y	M	M	Y	Y	Y	Y
M	M	Y	Y	Y	Y								
M	M	Y	Y	Y	Y								
For Capital Appreciation Systematic Transfer Plan (CASTP) (Refer Instruction No. 7)	<input type="radio"/> Monthly+ Enrolment Period*: From: <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> To: <table border="1"><tr><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	M	M	Y	Y	Y	Y	M	M	Y	Y	Y	Y
M	M	Y	Y	Y	Y								
M	M	Y	Y	Y	Y								

In case of multiple registrations, please fill up separate Enrolment Forms.

\$Refer Instruction No. 8 \*Refer Instruction No. 8 +Default Frequency/Date/Day [Refer Instruction 8]

**Declaration**

I/We have read and understood the contents of the scheme related documents (i.e. Scheme Information Document / Key Information Memorandum & Statement of Additional Information) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) including the terms and conditions/instructions pertaining to the Systematic Transfer Plan (STP)/ Capital Appreciation STP (CASTP) Facility as on the date of this transaction. The amount invested in the Scheme(s) is derived through legitimate sources only and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, AMC, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the AMC / the Fund, their appointed service providers or representatives responsible. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield for this investment.

**Applicable to NRIs only:** I/We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct.

Sign Here First/Sole Unit holder / Guardian	Sign Here Second Unit holder	Sign Here Third Unit holder
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Please note : Signature(s) should be as it appears on the Application Form and in the same order In case the mode of holding is joint, all Unit holders are required to sign.

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# TERMS & CONDITIONS / INSTRUCTIONS FOR STP

- STP is a facility wherein unit holder(s) of designated open ended scheme(s) of Mahindra Mutual Fund (Transferor Scheme) can opt to transfer a fixed amount or capital appreciation amount at applicable NAV, subject to exit load, at regular intervals to designated open-ended schemes of Mahindra Mutual Fund (Transferee Scheme).  
Please contact the nearest Investor Service Centre (ISC) of Mahindra Mutual Fund for complete list of transferor and transferee schemes for STP.
- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Mahindra Mutual Fund.
- One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
- Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Mahindra Mutual Fund, brokers/distributors and also displayed at the Mahindra Mutual Fund website i.e. [www.mahindramutualfund.com](http://www.mahindramutualfund.com)
- Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number. Unitholders' names should match with the details in the existing folio number, failing which, the application is liable to be rejected.
- This form is for the following two facilities only:
  - Systematic Transfer Plan (STP)
  - Capital Appreciation Systematic Transfer Plan (CASTP)
 STP offers transfer facility at daily, weekly, monthly and quarterly intervals and CASTP offers transfer facility at monthly interval. Unit holder is free to opt for any of the facilities and also choose the frequency of such transfers. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.
- Under the CASTP- Monthly frequency, unit holders will be eligible to transfer the entire capital appreciation amount (minimum Rs. 500) by way of capital appreciation on the 10th of each month. Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the CASTP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTP date (where CASTP has been processed and paid) and the next CASTP date e.g. if the appreciation is Rs. 3,500 in the first quarter and Rs. 3,000 in the second quarter, the unit holder will receive only the

appreciation i.e. Rs. 3,500 in the first quarter and Rs. 3,000 in the second quarter.

## 8. STP/CASTP Details

- STP and CASTP commencement date shall be within 15 calendar days from the date of receipt of valid request.
- If any STP/CASTP transaction due date falls on a non-Business Day, then the respective transactions will be processed on the immediately succeeding Business Day.
- If the STP/CASTP period or no. of installments is not specified in the transaction Form, the STP/CASTP transactions will be processed for the STP/CASTP amount specified until the balance of units in the unit holder's folio in the Transferor Scheme becomes zero.
- STP registered for more than one day or all days in a week under Weekly option and for more than one date or all dates of 1st, 5th, 10th, 15th, 20th, 25th of the month/ calendar quarter will be considered as separate STP instruction for the purpose of fulfilling the criteria under "Minimum no. of installments" section above.
- The AMC reserves the right to introduce CASTP facility at any other frequencies or on any other dates as the AMC may feel appropriate from time to time.
- The load structure in the Transferee Scheme prevailing at time of submission of STP/CASTP application (whether for fresh enrollment or extension) will be applicable for all the investment through STP/CASTP specified in such application.
- The STP/CASTP mandate may be discontinued by a Unit holder by giving a written notice of 7 working days to any of the Official Point(s) of Acceptance. STP mandate will terminate automatically if there is no Unit balance in the Transferor Scheme on the STP transaction date or upon the Mutual Fund receiving a written intimation of death of the sole / 1st Unit holder.
- Units marked under lien or pledge in the Transferor Scheme will not be eligible for STP.
- In case the unit balance in the Transferor Scheme is lesser than amount specified by the unit holders for STP, the AMC will transfer remaining unit balance to the Transferee Scheme.
- STP/CASTP in a folio of minor will be registered only upto the date of minor attaining majority even though the instruction may be for the period beyond that date.

## Default options

Default Frequency – Monthly

Default Date (for monthly and quarterly frequency) – 10th of every month / quarter

Default day for weekly frequency - Wednesday

## 9. PERMANENT ACCOUNT NUMBER

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention the permanent account number (PAN) irrespective of the amount of investment PAN of all applicants will be as per the Folio number mentioned in STP Form. If for one or more unitholders PAN based KYC is not verified, the STP application is liable to be rejected.

For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website [www.mahindramutualfund.com](http://www.mahindramutualfund.com)

## PAN Exempt Investments

PAN exempt investments are not allowed in STP facility.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC / Trustee from time to time and their decision in this behalf will be final and binding.

- Investors with existing investment under the Regular Plan who wish to invest under the Direct Plan of the Transferee Scheme must cancel their existing enrollment and register afresh for the facility.

## 11. Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), Investors can route their Application Forms directly and /or through the distributors /employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by AMFI. Further, no agents/ distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

## Employee Unique Identification Number (EUID)

Every employee/ relationship manager/ sales person of the distributor of mutual fund products is required to quote the EUID obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in exceptional cases, where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUID box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.

## Overseas Distributors

For Overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

## Direct Investments

Investors applying Directly must mention "Direct" in ARN column. In case Distributor code is mentioned in the Application Form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan".

- Mahindra Mutual Fund / Mahindra Asset Management Company Limited reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website [www.mahindramutualfund.com](http://www.mahindramutualfund.com)

Particulars	Frequency available			
	Daily	Weekly	Monthly	Quarterly
STP Transaction Dates	Every Business Day	Any day of the Week (except Saturday & Sunday)	1st, 5th, 10th, 15th, 20th, or 25th of every month	1st, 5th, 10th, 15th, 20th or, 25th of every calendar quarter beginning i.e. Jan/Apr/July/Oct
Minimum no. of installments and Minimum amount per installment	6 installments of Rs. 500/- each and in multiples of Re.0.01/- thereafter	6 installments of Rs. 500/- each and in multiples of Re.0.01/- thereafter	6 installments of Rs. 500/- each and in multiples of Re.0.01/- thereafter	4 installments of Rs.1500/- each and in multiples of Re.0.01/- thereafter