




Investment Advisor's Name & Code	Sub-Broker's Code	EUIN	<b>Systematic Transfer / Withdrawal Form</b> Strike off sections that are not applicable
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☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

SIGNATURE(S)  Sole/First Applicant	 Second Applicant	 Third Applicant
To be signed by <b>All Applicants</b> if mode of operation is "Joint"		

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

## Investor's Information

Folio No. <small>(For Existing Investors)</small>	Application No. <small>(For New Investors, Please attach the application form)</small>
Name of Sole/ First Holder	
E-Mail Id <small>Pls. Provide your e-mail id for mailing of account Statement</small>	

I would like to opt for ☐ **Systematic Transfer Plan** ☐ **Systematic Withdrawal Plan**

Scheme _____ Plan _____	Option (Please ✓)	<input type="checkbox"/> Growth <input type="checkbox"/> Bonus <small>(available only in Kotak Bond Regular)</small> <input type="checkbox"/> Dividend <input checked="" type="checkbox"/> Payout OR <input type="checkbox"/> Re-investment	Dividend Frequency _____ Commencement Date <span style="float: right;">dd/mm/yy</span> Date <span style="float: right;">mm/yyyy</span> To <span style="float: right;">mm/yyyy</span>
Frequency (Please ✓) <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Weekly <small>(Available only for STP)</small> Date <input type="radio"/> 1 <sup>st</sup> <input type="radio"/> 7 <sup>th</sup> <input type="radio"/> 14 <sup>th</sup> <input type="radio"/> 21 <sup>st</sup> <input type="radio"/> 25 <sup>th</sup>			

## Systematic Transfer Plan (STP)

To Scheme _____ Plan _____	Option (Please ✓)	<input type="checkbox"/> Growth <input type="checkbox"/> Bonus <small>(available only in Kotak Bond Regular)</small> <input type="checkbox"/> Dividend <input checked="" type="checkbox"/> Payout OR <input type="checkbox"/> Re-investment Dividend Frequency _____	
Transfer Option (Please ✓) <input type="checkbox"/> Fixed Sum <span style="border: 1px solid black; padding: 2px; margin-left: 10px;">Min. Rs. 1000/-</span> OR <input type="checkbox"/> Entire Appreciation	<div style="display: flex; align-items: center;"> <div style="flex: 1;"> <b>Check List</b>  <input type="checkbox"/> Your choice of transfer option is clearly indicated.  <input type="checkbox"/> STP period, date &amp; frequency is mentioned above.  <input type="checkbox"/> Scheme (Plan) - Option from &amp; to which you wish to transfer is clearly indicated.         </div> <div style="flex: 1; text-align: center;"> <b>Minimum Criteria</b>  <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 40%;">             Transfers 6           </div> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 40%;">             Amount Rs. 1000/-           </div> </div> </div> </div>		
PAN # & KYC*	Sole/ First Holder	Second Holder	Third Holder
Enclosed (Please ✓)	<input type="radio"/> PAN Proof KYC Compliance Status <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="radio"/> PAN Proof KYC Compliance Status <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="radio"/> PAN Proof KYC Compliance Status <input type="checkbox"/> Yes <input type="checkbox"/> No
<small>(# Please attach PAN card copy) (* KYC Acknowledgement letter copy is Mandatory)</small>			




## Systematic Withdrawal Plan (SWP)

Withdrawal Option (Please ✓)	Option (Please ✓)	<input type="checkbox"/> Fixed Sum <span style="border: 1px solid black; padding: 2px; margin-left: 10px;">Min. Rs. 1000/-</span> OR <input type="checkbox"/> Entire Appreciation	
<div style="display: flex; align-items: center;"> <div style="flex: 1;"> <b>Check List</b>  <input type="checkbox"/> Your choice of withdrawal option is clearly indicated.  <input type="checkbox"/> SWP period, date &amp; frequency is mentioned above.         </div> <div style="flex: 1; text-align: center;"> <b>Minimum Criteria</b>  <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 40%;">             Withdrawal 6           </div> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 40%;">             Amount Rs. 1000/-           </div> </div> </div> </div>			

## Declaration and Signatures

I/We have read and understood the contents of the SID/ SAI of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I/We authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or banks.

I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment.

SIGNATURE(S)  Sole/First Applicant	 Second Applicant	 Third Applicant
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