Technische Universität Braunschweig
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Master's Thesis

# Assessing Performance Evolution Of Configurable Software Systems

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**Statement of Originality**

This thesis has been performed independently with the support of my supervisors. To the best of my knowledge, this thesis contains no material previously published or written by another person except where due reference is made in the text.

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# Contents

# 1. Introduction

## 1.1 Context

### 1.1.1 Configurable Software

Modern software systems often need to be customized to satisfy user requirements. Customizable software, for instance, enables greater flexibility in supporting varying hardware platforms or tweaking system performance. To make software systems configurable and customizable, they exhibit a variety of *configuration options*, also called *features* (Apel et al., 2013). Configuration options range from fine-grained options that tune small functional- and non-functional properties to those that enable or disable entire parts of the software. The selection of configuration options can be accommodated at different stages: *compile-* or *build-time* when the software is built or at *load-time* before the software is actually used. Compile-time variability usually governs what code sections get compiled in the program. For instance, compile-time variability can be realized by excluding code sections from compilation using preprocessor annotations (Hunsen et al., 2016) or by assembling the code sections to compile incrementally from delta modules (Schaefer et al., 2010). In contrast to that, load-time variability controls which code sections can be visited during execution. Configurations for load-time variability can be specified using configuration files, environment variables or command-line arguments. Examples for configurable software systems range from small open-source command-line tools to mature ecosystems including Eclipse or even operating systems such as the Linux kernel with more than 11,000 options (Dietrich et al., 2012).

Configuration options for software systems are usually constrained (e.g., are mutually exclusive, imply or depend on other features) to a certain extent. In the worst case though, where all options can be selected independently, the number of valid configurations grows exponentially with every feature added and likely exceeds the number of atoms in the entire universe once we count 265 independent features. Hence, even for a small number of features, any naive approach for assessing emergent properties of configurable software systems exhaustively for each valid configuration is in general conceived infeasible. Despite this mathematical limitation, many feasible approaches to static analysis for configurable systems emerged. Those variability-aware approaches enable, for instance, type checking in the presence of variability by exploiting commonalities among different variants (Thüm et al., 2014).

To meet functional and non-functional requirements, users aim at finding the optimal configuration of a configurable software system. However, this task is non-trivial and has shown to be NP-hard (White et al., 2009). The main driver for the complexity are feature interactions. A *feature interaction* is an "emergent behavior that cannot be easily deduced

from the behaviors associated with the individual features involved" (Apel et al., 2013) and can make development and maintenance of a configurable system an error-prone task.

A commonly referred example of a feature interaction, as drafted by Calder et al. (2003), describes interactions in telecommunication networks. Given two independent features CallForwarding and CallWaiting, where CallForwarding forwards a call from a busy line to a line that is available, and where CallWaiting notifies a busy line when a call is on hold. In isolation their behavior is well-defined, but if both features are selected, their oppositional behavior becomes problematic. If no precedence of one feature has been specified, the network might end up in race conditions or other unexpected behavior. That is, to avoid this feature interaction, for instance, precedence constraints must be implemented or the selection of both features must be mutually exclusive.

### 1.1.2 Performance Behavior

Performane with respect to software and software systems is not precisely defined and differs from an end user's and a developer's perspective. According to Molyneaux (2014), from a user's perspective "a well-performing application is one that lets the end user carry out a given task without any undue perceived delay or irritation". However, to accurately assess performance, from a practitioner's perspective, performance is outlined by measurements called *key performance indicators* (KPIs) which relate to non-functional requirements (Molyneaux, 2014). The set of KPIs include availability of a software system, its response time, throughput, and resource utilization. Availability comprises the amount of time an application is available to the user. Response time describes the amount of time it takes to process a task. Throughput describes the program load or number of items passed to a process. Resource utilization describes the used quota of resources used for processing a task.

The performance behavior of a software system depends on the functionality offered, the respective implementation, program load, the underlying hardware system, environment variables, and the resulting execution. Since configuration options control what and how functionality is executed, we concentrate here on those source of performance. While feature interactions not necessarily cause the software system to break severely in all cases, its overall performance can become unfavorable for corner cases or specific configurations as the feature selection influences the execution (Foo et al., 2010; Heger et al., 2013; Nguyen et al., 2014). That is, the choice of features as well shapes the performance of a software system.

### 1.1.3 Modeling Performance Behavior

The aspect of performance of configurable software systems has gained more attention recently, even though from a practitioners view, according to Molyneaux (2014), for the most part, performance testing is still not accommodated to an acceptable degree in the development process.

Even though, from a practicioners' perspective, performance testing is still not accommodated to an acceptable degree in the development process, the assessment of performance of configurable software systems has gained more attention recently. As assessing performance for configurable systems incorporates obtaining knowledge about the performance of every valid configuration, in the recent years, a variety of approaches to model

and predict performance behavior of configurable software system have emerged. The scheme behind these approaches is the conception of performance modeling as an optimization problem, i.e., to recover and approximate performance behavior as a function of the selection of configuration options. Genetic algorithms (Guo et al., 2011; Sayyad et al., 2013) have shown reasonable results, yet are not able to handle constraints such as mutual exclusion. Siegmund et al. (2012) proposed a method to predict performance for arbitrary variants, following an approach for automated detection of feature interactions (Siegmund et al., 2012). Moreover, in 2015 they proposed performance-influence models as a means to analyze and predict performance for configurable software systems (Siegmund et al., 2015). A performance-influence model attempts to approximate the influence of both single features and interacting features on the software systems' performance. The approach has shown a reasonably low error rate for several real-world applications and allows prediction of system performance for arbitrary configuration variants.

### 1.1.4 Performance of Evolving Software

Actively maintained (configurable )software systems evolve by adapting to changed contexts and requirements (Peng et al., 2011). Changes usually introduce new functionality to the system, but functionality might as well be divided into smaller modules to enable provide more fine-grained configuration options. When features are removed from the software system, the corresponding functionality might remain in the code base or options are merged (Apel et al., 2013). While there exists substantial work on understanding the evolution of configurable systems, for example, documenting common symptoms of architectural decay like code scattering and tangling (Passos et al., 2015; Zhang et al., 2013) or attempting to classify patterns for variability evolution (Seidl et al., 2012; Peng et al., 2011; Passos et al., 2012), there is little reseach addressing the evolution of performance or non-functional properties in configurable systems. The evolution of software may affect the overall quality of a software system and this, in turn, might affect the performance behavior of its variants. The phenomenon of degrading performance behavior is commonly referred to as *performance regression*.

To get a better understanding about software evolution and to address performance regression problems, it is inevitable to continue studying the performance and performance evolution of configurable systems. In practice, all aforementioned approaches to model and predict performance behavior for a configurable software system require exhaustive records of performance measurements to learn from. Even though valid configurations can be sampled to some extent (Apel et al., 2013; Siegmund et al., 2015), assessing a single version of a configurable software system still demands a large number of valid configurations to be measured. In addition, to study the performance evolution of configurable software systems, a history or series of performance models is required. That is, assessing performance evolution of configurable systems is infeasible without automated tool support.

## 1.2 Problem Statement

The assessment of performance evolution requires a series of performance models describing performance behavior for a series of versions of a configurable system. Assessing the

performance behavior for a single version of a configurable software system entails a number of necessary and preliminary tasks. These tasks can even become more complicated once instead of a single version a series of versions is assessed.

*First*, not all configurable systems do explicitly exhibit a variability model what is required to derive all valid variants (Rabkin and Katz, 2011; Nadi et al., 2015). While substantial work exists on reverse engineering variability models from source (Rabkin and Katz, 2011; She et al., 2011; Zhou et al., 2015; Nadi et al., 2015) code or non-code artifacts (Alves et al., 2008; Andersen et al., 2012; Bakar et al., 2015), many techniques still involve manual decisions (She et al., 2011) and domain knowledge (Nadi et al., 2015). Moreover, variability models evolve as part of the software (Peng et al., 2011), vary from version to version, and, therefore, require repeated reverse engineering steps. *Second*, the translation of a valid configuration to a configuration artifact such as a configuration file or a list of command-line arguments may differ from system to system. This step may be automated, but one still needs to detect how configurations are read by the software system one wants to study. *Third*, same goes for the infrastructure to compile or build a software system since there exist may possible build tools such as makefiles or sbt. Again, the build process can be automated, but one needs to detect and specify the build mechanism used. *Fourth*, to study performance evolution one needs to specify which snapshots or versions of a software system one wants to study. While detecting releases and release candidates should be straightforward, one might, for instance, be interested in the performance evolution including snapshots between two releases. As not all snapshots though are likely to compile, classifying defect snapshots can still be tedious work. *Finally*, the accurate assessment of performance evolution requires a suitable testing setup. The methodology required for assessing performance among others requires the selection of suitable performance metrics and corresponding benchmarks, means to record measurements and repeat experiments easily, and proper ways to interpret and compare results.

## 1.3 Goals and Thesis Structure

The goal of this thesis is to provide a theoretical and practical foundation for exhaustive performance measurements of configurable software systems and series thereof. We contribute a guideline of and tool support for performance measurements for configurable and evolving software systems. Our research objectives and desired outcomes are

- a literature overview regarding software evolution, feature model synthesis and performance assessment,
- a methodology to assess performance evolution with respect to the aforementioned challenges, and
- a practical tool for performance measurement for multiple revisions of configurable software systems.

The Thesis is organized as follows. Chapter 2 provides the background to the relevant topics discussed in this thesis, including variability modeling, software evolution, the foundations and statistical aspects of performance testing, and recent approaches to performance modeling. In Chapter 3, we propose our measurement methodology and

discuss the methods used for our performance measurement tool as well as its limitations. In Chapter 4, we evaluate several aspects of our tool with respect to practicality and discuss the results thereof. Finally, Chapter 5 concludes the thesis and gives an outlook on possible future work.

# 2. Background

This chapter is intended to recapitulate the background of the thesis theme. In Sec. 2.2, we recall the evolution of software systems with respect to architecture and variability. In Sec. 2.4 we outline the characteristics of software performance, practical testing and measurement strategies as well as some statistical background necessary to analyze, interpret and compare performance assessment. In Sec. 2.3 we present recent approaches for feature model extraction from existing software systems and code artifacts. Finally, in Sec. 2.5 we recall and compare in detail different approaches to model and predict performance behavior for configurable software systems.

## 2.1 Variability Modeling

The design and development of configurable software systems is conceptually divided into *problem space* and *solution space* (Czarnecki and Eisenecker, 2000). The problem space comprises the abstract design of features that are contained in the software system as well as constraints among features, such as dependencies or mutual-exclusion. The solution space describes the technical realization of features and the functionality described by and associated with features, e.g., implementation and build mechanisms. That is, features cross both spaces since they are mapped to corresponding code artifacts.

A common way to express features and constraints in the problem space is to define a *variability model*, or *feature model*, which subsumes all valid configurations (Kang et al., 1990; Apel et al., 2013). There are different and equivalent syntactical approaches to define feature models, for instance, a propositional formula $F$ over the set of features of the configurable software systems (Batory, 2005). In this case a configuration is valid with respect to the feature model if and only if $F$ holds for all selected features being true and all unselected features being false respectively. However, a more practical and more commonly used way to express feature models are graphical tree-like *feature diagrams* (Apel et al., 2013). In a feature diagram, features are ordered hierarchically, starting with a root feature and subsequent child features. By definition, the selection of a child feature requires the parent feature to be selected as well. Child features can either be labeled as *optional* features or *mandatory* features; the latter ones need to be selected in every valid configuration. Moreover, feature diagrams provide a syntax for two different types of feature groups, *or-groups* or *alternative-groups*. For an or-group at least one of the group's features needs to be selected for a valid configuration, whereas for an alternative group exactly one out of the group's mutually exclusive features must be selected. In addition to the feature hierarchy, constraints, which cannot be expressed by the tree-like structure, are referred to as *cross-tree constraints*. Cross-tree constraints, depending on the notation, are depicted by arrows between two features or simply added to the feature diagram as a

propositional formula. For such two features $f_1$ and $f_2$, a cross-tree constraint means that for feature $f_1$ to be selected, either the selection of $f_2$ is required/implied or excluded.

An introductory example for the syntax and semantics of feature diagrams is provided in Fig. 2.1. In this example an imaginary vehicle propulsion can be configured with eight valid configurations. The vehicle requires an engine, thus, feature Engine is mandatory. At least one out of the three features Hybrid, Piston and Electric needs to be selected. For a piston engine, we can select either the feature Diesel or Petrol. A petrol engine requires additional ignition sparks in contrast to a Diesel engine. For an electric engine we require a battery, hence, the feature Battery is mandatory. In addition, the feature model specifies two cross-tree constraints: First, the feature Tank is optional, yet once a piston engine is selected, we require a tank. Second, if we want to use the Hybrid functionality (e.g., use both electric and piston engine simultaneously), we require to have both a piston and an electric engine.

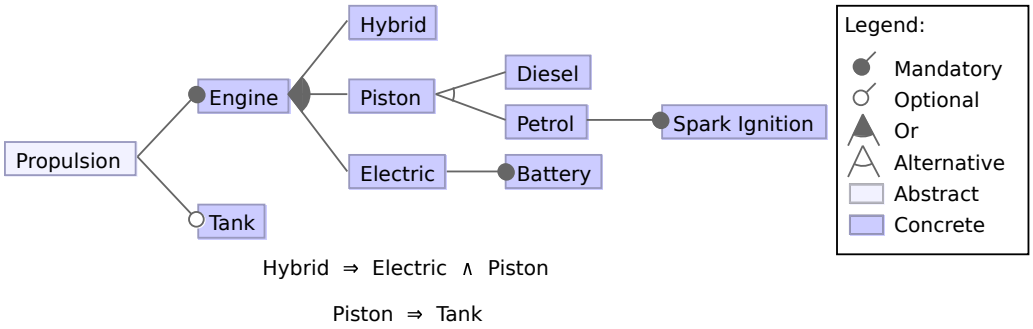


**Fig. 2.1.** Feature diagram for a feature model with eight valid configurations; two cross-tree constraints are specified as propositional formulas over features

## 2.2 Evolving Software

### 2.2.1 Software Evolution

The first notion of a software systems' development process is usually developer-centered and merely focuses on software being designed, implemented, tested and eventually being released and deployed. Maintainability is a generally recognized software quality property to look after, and maintenance is, of course, essential to every successful software system. Nonetheless, less attention is given to the ability to adapt a software system to changing requirements (evolvability) rather than maintaining it to keep functionality working (Parnas, 1994). Software evolution and evolvability, like software itself are manifold. Software evolves in many ways ranging from maintenance (refactoring, bug-fixes and patches) to adapting to changed requirements (adding, removing, reorganizing functionality and variability).

Modern software systems not only often ship with a variety of configuration options to select, they also employ routines to be build and sometimes even make use of or are part of platforms, such as apps or plugins. That is, software evolution affects all aforementioned aspects and maintainability as well as evolvability can degrade as software evolves.

### 2.2.2 Software Erosion

The negative symptoms of software evolution, which are referred to as "architectural erosion" (Breivold et al., 2012), have been addressed by many researchers. Most of existing research so far though focuses on evolution regarding software architecture (Breivold et al., 2012). The main driving factors leading to symptoms of decay identified by Perry and Wolf (1991) are architectural erosion and architectural drift. While architectural drift subsumes developers' insensitivity when not following a systems architecture or respective guidelines while making changes, architectural erosion subsumes ignoring and violating the existing software architecture. Parnas (1994) argues that as software evolves, software is maintained and evolved by developers who are not necessarily familiar with the initial architectural design and, therefore, knowledge about the architecture becomes unavailable. Although the unfavorable effects of software evolution do not necessary break a system necessarily and imminently, the software becomes "brittle" (Perry and Wolf, 1991) as maintainability as well as evolvability degrade. Concrete symptoms of software erosion on the implementation level have been documented.

Zhang et al. (2013) have studied erosion symptoms for a large-scale industrial software product line with compile-time variability using preprocessor directives. They identify variability-related directives and clusters of those to tend to become more complex as the software evolves. The negative effects, or symptoms of software erosion are described as, but not limited to *code replication* or interdependencies between code elements, such as *scattering* and *tangling*. Code scattering describes the phenomenon of code belonging to a certain feature being scattered across multiple units of implementation, e.g., modules, whereas code tangling means that code from different and potentially unrelated features in entangled within a single module.

Passos et al. (2015) have studied the extent of usage of scattering for device-drivers in the Linux kernel. Despite scattering being quite prevalent, their findings suggest that the kernel architecture is robust enough to have evolved successfully. Nonetheless, platform drivers in the Linux kernel seem more likely to be scattered than non-platform driver. They conclude that this is a trade-off between maintainability and performance: a more generalized and abstract implementation for platform-drivers in this case could possibly avoid scattering, yet refactorings in this manner did not seem to be necessary or worth the effort yet.

### 2.2.3 Variability Evolution

Apart from architecture evolution, the variability offered by software systems evolves as well. For configurable software systems (or software product lines; these terms are not equivalent, but every SPL is a configurable software system) evolution steps will not only affect artifacts in the solution space, yet also be visible in changes in the respective variability models. Although the variability aspect of software evolution has not been drawn as much attention to as has been on architecture in the past, more and more research has emerged recently to address and understand variability evolution.

Peng et al. (2011) proposed a classification of variability evolution patterns that conceives evolution as adaption to changing (non-)functional requirements as well as changing contexts. For a context in that sense, two categories exist. A driving context determines, whether a variability model and respective variants can meet functional requirements in the first place. A supporting context by definition determines how non-functional prop-

erties are strengthened or weakened. Any changed requirement is likely to change the contexts for a software systems variability model and, therefore, will make adaptations of the variability model necessary. Within their classification method Peng et al. identify major causes for variability evolution, comprising a) new driving contexts emerging, b) weakened supporting contexts (for instance, due to new non-functional requirements), and c) unfavorable trade-offs for non-functional properties.

To understand single evolutionary steps, several catalogs of variability evolution patterns have been proposed. Peng et al. (2011) present three patterns, where either a new feature is added, a mandatory feature becomes optional, or a mandatory/optional feature is split into alternative features. Seidl et al. (2012) suggest a catalog of patterns for co-evolution of variability models and feature mappings that additionally introduces code clones, splitting a feature into more fine-grained sub-features and feature removal as evolution patterns. In addition, Passos et al. (2012) have studied variability evolution in the Linux kernel and present a catalog of patterns where features are removed from the variability model, but remain a part of the implementation. Their catalog, among others, includes feature merges, either implicit (optional feature merged with its parent) or explicit.

The classification proposed by Peng et al. (2011) is a general and formalized approach that, as well as Seidl et al. (2012) and Passos et al. (2012), describes elementary evolution patterns which can be composed to more complex patterns. Nonetheless, no comprehensive catalog of variability evolution so far has been proposed as all mentioned work above focuses on those patterns that appeared to be relevant for the respective case study.

## 2.3 Feature Model Synthesis

A variability model as an abstraction of functionality of a software system is required, or at least of great interest, in many contexts. *First*, not every configurable system (or software product line) provides an explicit representation of its variability model. The reasons for inexplicit or absent configuration specification are manifold. They can range from poor or inconsistent documentation (Rabkin and Katz, 2011), overly complex configurability (Xu et al., 2015) or configuration constraints originated in different layers of a software system, e.g.m build constraints or compiler constraints (Nadi et al., 2015).

*Second*, variability models have emerged to be a useful means in domain and domain analysis prior to developing a software system. As variability models group and organize functionality, synthesizing a variability model has shown to be applicable to extract features and constraints from functional requirements. In addition, by comparison of product specifications for an existing market domain, variability models can provide detailed feature summary.

For this thesis, we focus on the first aspect of synthesizing variability models, as our work addresses the assessment of already existing configurable software systems. Nonetheless, many techniques employed in the aforementioned second aspect address similar problems, yet rely on natural language artifacts rather than code artifacts (Alves et al., 2008; Bakar et al., 2015). The following section recalls work on extracting configuration options and constraints from source code as well as the organization of constraints into feature hierarchy and groups. The further assessment of configurable systems requires a well-defined and sound variability model.

### 2.3.1 Feature Extraction

The first objective in recovering a variability model from a configurable system is to determine the set of available configuration options to select. In addition, for further configuration the type of each configuration option (e.g., boolean, numeric or string) and the respective domain of valid values needs to be specified.

Rabkin and Katz (2011) proposed a static, yet heuristic approach to extract configuration options along with respective types and domains. They exploit the usage of configuration APIs. Their approach works in two stages and commences with extracting all code sections where configuration options are parsed. Subsequently, configuration names can be recovered as they are either already specified at compile-time or can be reconstructed using string analysis yielding respective regular expressions. Moreover, they employ a number of heuristics to infer the type of parsed configurations as well as respective domains. First, the return type of the parsing method is likely to indicate the type of the configuration option read. Second, if a string is read initially, the library method it is passed to can reveal information about the actual type. For instance, a method *parseInteger* is likely to parse an integer value. Third, whenever a parsed configuration option is compared against a constant, expression or value of an enum class, these might indicate valid values or at least corner cases of the configuration options' domain. The extraction method by Rabkin and Katz (2011) renders to be precise, but is limited, for instance, when an option leaves the scope of the source code. Nonetheless, for the systems they evaluated they recovered configuration options that were not documented, only used for debugging or even not used at all.

### 2.3.2 Constraint Extraction

The second, or an additional step in recovering a variability model is the extraction of configuration constraints. An approach proposed by Zhou et al. (2015) focuses on the extraction of file presence conditions from build files using symbolic execution. A more comprehensive investigation of configuration constraints and their origin is provided by Nadi et al. (2014, 2015). They propose an approach based on variability-aware parsing and infer constraints by evaluating make files and analyzing preprocessor directives. Inferred constraints result from violations of two assumed rules, where a) every valid configuration must not contain build-time errors and b) every valid configuration should result in a lexically different program, thus. While the first rule aims at inferring constraints that prevent build-time errors, the second one is intended to detect features without any effect, at least as part of some configurations. Their analysis one the one hand emerged to be accurate in recovering constraints with 93 % for constraints inferred by the first rule and 77 % for second one respectively. On the other hand, their approach was only to recover 28 % of all constraints present in the software system. Further qualitative investigation, including developer interviews, lead to the conclusion that most of existing constraints stem from domain knowledge.

### 2.3.3 Feature Hierarchy Recovery

Besides recovering configuration options and respective constraints, to reverse engineer a feature model, one further step is required. The recovered knowledge needs a tree-like hierarchy, detection of feature groups and cross-tree constraints to be an acceptable

feature diagram (Kang et al., 1990). While several approaches to the recover feature model hierarchy have been proposed, we are primarily interested in finding a hierarchy for knowledge obtained from source code. Other scenarios, as already stated in the opener of this section, are based on product descriptions or sets of valid configurations. The remainder of this subsection we will focus on organizing features and constraints extracted from source code. For further reading Andersen et al. (2012) present algorithms for structuring feature diagrams for three different scenarios including the ones previously mentioned.

Given an extracted set of features along with corresponding descriptions and recovered constraints among the features, She et al. (2011) propose an semi-automated and interactive approach to synthesize a feature hierarchy. Their approach comprises three steps: 1) Specifying a feature hierarchy, 2) detecting and selecting feature groups, and 3) adding a cross-tree constraint formula to the feature model.

1. Their approach commences with finding a single parent for each feature and, thus, specifying a tree-like feature hierarchy. Based on the given constraints a directed acyclic graph (DAG) representing implication relationships among features, a so-called implication graph, is constructed: Every vertex depicts a feature and edges are inserted for each pair of features $(u, v)$, where $u \implies v$ holds with respect to the given constraints.

   In addition to the implication graph, the algorithm for each feature computes two rankings of features that are likely to be the respective parent feature. The two rankings both employ the feature descriptions. Feature descriptions are compared for similarity using a similarity metric. For two features $p$ and $s$ the similarity is defined as the weighted sum of the inverse document frequencies $idf(w)$ for the words that the descriptions of features $u$ and $v$ share. The idf-ranking for a word $w$ is the logarithm of the number of features divided by the number of features whose description contains $w$. Each $idf$ value is weighted by with by the frequency of $w$ in the description of feature $p$.

   The first ranking, called Ranked-Implied-Features (RIF), for each feature $f$ ranks features by their similarity to $f$ in an descending order, but prioritizes those features that are implied according to the previously computed implication graph. The second ranking, called Ranked-All-Features (RAF) is similar to RIF, yet less strict since implied features are not prioritized. Given these ranking, a user selects for each feature a suitable parent feature from the RIF or RAF ranking. The idea behind providing two separate rankings, according to She et al. (2011) is that the given extracted constraints can be incomplete and, thus, not all relevant implications are contained.

2. After the feature hierarchy is specified, another auxiliary graph, a mutex graph, similar to the implication graph, is constructed. The mutex graph is an undirected graph with features as vertices and edges between two features $u$ and $v$, if $u \implies \neg v$ and $v \implies \neg u$ hold with respect to the given constraints. That is, all incident adjacent are mutually exclusive. Based on this mutex graph all maximal cliques (subsets of vertices that all are connected with each other) among the vertices with the same parent are computed. Those cliques are mutually exclusive and share the same parent and represent mutex- or alternative-groups. She et al. (2011)

introduce an additional constraint to extract xor-groups that require one of the groups' features to be selected if the parent is selected. This distinction is in line with the initial description of feature diagrams by Kang et al. (1990), but not all descriptions follow this distinction between mutex- and xor-groups and just use the term alternative-group mentioned in Sec. 1.

3. The cross-tree constraints for the feature diagram are extracted from the given configuration constraints. Since CTCs are constraints that could not be represented by the feature hierarchy (implication) or alternative-groups (exclusion) the derivation of CTCs follows this idea. The set of cross-tree implications is derived by removing all edges that are part of the feature hierarchy from the initially constructed implication graph. The set of cross-tree exclusions is derived in the same manner from the mutex graph by removing all edges among vertices of all mutex-groups. To make the feature model sound, the given configuration constraints, reduced to those clauses that are not already entailed by the diagram, can be added as an additional CTC formula to the feature diagram.

The approach by She et al. (2011) provides a practical algorithm to synthesize a feature diagram, yet has some aspects we might need to consider. First, the approach is not able to detect or-groups as defined in Sec. 1. Second, the approach does introduce a root feature. Finally, the approach does not distinguish between mandatory and optional features. Implicitly, all features that do not have a parent feature are optional and all features that have a parent feature are by default mandatory. She et al. (2011) evaluated the algorithm with both complete and incomplete variability knowledge (feature names, descriptions and constraints). While the algorithm emerged to be practical, detecting features whose parent was the root-feature was difficult.

## 2.4 Assessing Performance

While the last three sections covered software evolution and variability modeling, we know step forward to the topic of software performance. This section will the outline performance with respect to software systems as well as to possible measurements. We provide a brief look at the general performance testing setup and the required prerequisites, including suitable benchmarks. Finally, we provide the statistical background to summarize, interpret and compare performance measurements accurately.

### 2.4.1 What is Performance?

The performance of software systems is, like software quality, primarily a matter of perspective. While an end user might consider practical aspects to be more important, from a developer's perspective, performance relates to and is best described by non-functional properties (Molyneaux, 2014). While functional properties subsume what exactly a software system does, non-functional properties describe how (good or bad) a software system is at providing the functionality offered. The notion of good and bad in this sense corresponds to non-functional requirements (NFR), that is, software with good performance behavior satisfies NFRs. The categories of NFRs what shape performance behavior are manifold. According to Molyneaux (2014), *key performance indicators* (KPIs) include

- availability
- response time,
- resource utilization, and in a broader scope also
- capacity.

Time-related KPIs are availability and response time, whereby availability describes the time or time ratio that the software is available to the end user and response time subsumes the time it takes to finish a request or operation. Throughput as a category subsumes the program behavior with respect to program load, such as hits per second for a web application or amount of data processed per second. Resource utilization describes the extent to which a software system uses the physical resources (CPU time, memory, and disk or cache space) of the host machine. Finally, from a web-centered perspective, capacity describes measurements with respect to servers and networks, such as network utilization (traffic, transmission rate) and server utilization, such as resource limitations per application on a host server.

Consequently, the assessment of performance requires a context or testing target that corresponds to the assesses system under test (SUT). For instance, for a simple command-line compression tool, suitable KPIs are response time and throughput, whereas performance for an online shop web application is better outlined by availability and capacity.

### 2.4.2 Performance and Software Engineering

In the last section we referred to performance, or in detail, the KPIs, as possible testing targets we validate against non-functional requirements. However, in a broader sense software performance has become a facet of software engineering and a lot of effort has been spent to study, describe, and improve performance. Performance assessment in a software engineering context, according to Molyneaux (2014), comprises a number of activities that are related to or part of software development: Besides the analysis of concerns or requirements with respect to performance, software performance engineering entails performance testing and prediction and can contribute to maintenance and evolution aspects (Woodside et al., 2007). While performance testing, like other testing targets, is intended to validate requirements, prediction aims to approximate performance behavior measures for different scenarios or configurations. Moreover, performance behavior predictions can help anticipate the impact of various changes (Woodside et al., 2007). The authors, moreover, present the two approaches in assessing software performance. So-called model-based approaches aim to describe performance behavior by modeling functionality, for instance, modeling scenarios in UML, using performance estimations early in the development process. In contrast to that, accommodated later in the development process, measurement-based approaches aim to model performance behavior based on measurements of existing prototypes. The latter class of performance assessment includes classical performance (regression) testing. The authors, moreover, outline the anticipated future of both approaches and advocate the combination or convergence of both approaches in the future. Since then, especially more measurement-based performance prediction approaches have emerged. More on this in the next section, the remainder of this subsection will outline the general performance testing setup.

### 2.4.3 Performance Testing

The first step in performance testing, prior to defining relevant KPIs and metrics, is to specify a system operation or use case (Woodside et al., 2007) to validate. A typical use case includes a well defined task or workload to process, expected behavior or and outcome or state, and performance requirements as previously discussed. For the SUT, however, we require a version that does compile or, in case it is interpreted, is syntactically correct (Molyneaux, 2014). With regard to performance assessments as part of the development process, a code freeze should be obtained since measurement results are likely to become meaningless for later versions. In addition to that, the machine or setup used for performance measurement should ideally be as close to the production environment as possible, but at least be documented to compare different runs.

Finally, one or more benchmarks need to be selected to simulate the program load for the respective use case. A benchmark, all in all, needs to be representative, i.e., should relate to the the use case or requirement one wants to validate. While benchmarks for file compression usually include multiple different types of media data (text, sound, pictures) like the Canterbury corpus, web applications can be exposed to dealing with a number of simulated users at the same time (Molyneaux, 2014). Benchmarks have often been standardized within research and engineering to provide comparable performance measurements. A popular examples is the Software Performance Evaluation Corporation (SPEC), a consortium providing a variety of benchmarks like the CPU2000 processor benchmark consisting of both floating point and integer operations. Nonetheless, benchmarks in a sense of repeatable program load can be obtained from load generation software like ApacheBench for the Apache web server or simply by measuring performance for test cases.

Performance testing heavily relies on tool support, especially for repeating test cases, recording measurements, and dynamic program analysis. While the tool solutions for performance testing vary from domain, scale and purpose, we will only outline the general tool architecture. Molyneaux (2014) describes four primary components: a scripting module, a test management module, a load injector, and an analysis module. A scripting module handles the generation or repetition of use cases which, for instance, can be recorded prior to the test for web applications. A test management module creates and executes a test session, whose program load is generated by one or more load injectors. A load injector can provide a benchmark or generate items to process or can simulate a number of clients for a server-side application. An analysis module, finally, collects and summarizes data related to the performance testing target. More on summarizing and comparing recorded results in the next section.

### 2.4.4 Statistical Considerations

- Arithmetic mean:

$$\text{a-mean} = \frac{1}{n}\sum_{i=1}^{n} X_i$$

- Geometric mean:

$$\text{g-mean} = \left(\prod_{i=1}^{n} X_i\right)^{\frac{1}{n}} = \sqrt[n]{X_1 X_2 \dots X_n}$$

- Harmonic mean:

$$\text{h-mean} = n \cdot \left( \sum_{i=1}^{n} \frac{1}{X_i} \right)^{-1}$$

## 2.5 Performance Modeling

- Genetic algorithms (Guo et al., 2011)
- Variability-aware modeling (Guo et al., 2013)
- via feature-interaction and performance influence models (Siegmund et al., 2012, 2015)

# 3. Performance Measurement Setup

## 3.1 Performance Measurement Infrastructure

### 3.1.1 Repository Retrieval

### 3.1.2 Configuration Generation

(Batory, 2005)

### 3.1.3 Sampling strategies

### 3.1.4 Benchmarks

### 3.1.5 Measurements with `GNU time`

### 3.1.6 Measurement Aggregation

## 3.2 Experiment Optimizations

## 3.3 Preprocessing Strategies

### 3.3.1 Variabilty Model Extraction

### 3.3.2 Build Mechnism Extraction

### 3.3.3 Release Commit Extraction

## 3.4 Case Study Corpus

# 4. Case Study Evaluation

# 5. Conclusion

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