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# 1 Introduction

## 2 Polynomial and commutative Algebra

In this chapter a mathematical basis is systematically approached to give the reader an understanding to Groebner Bases and obtaining by the Flipping-Algorithm which is needed later.

In the first section monomials are revisited. The second section explains how monomials can be mathematically ordered. After that Ideals are defined over polynomial rings and a summary on Groebner bases and Groebner fans for ideals is presented.

#### 2.1 Monomials

First of all, the basic components of a polynomial ring has to be explained. This forms the basis of

**Definition 2.1** (Monomial). A monomial m is a product of variables over a finite field  $\mathbb{K}$ , denoted by  $\mathbb{K}[X_1, X_2, \cdots X_n]$  of the form  $X_1^{u_1} X_2^{u_2} \cdots X_n^{u_n}$ , where  $u_i, 1 < i < n$  and  $u \in \mathbb{N}_0$ 

The total **degree** of a monomial is  $deg(m) = \sum_{i=1}^{n} u_i$ 

**Definition 2.2** (Polynomial). A polynomial f is a finite linear combination with coefficients  $c_u \in \mathbb{K}$  multiplied with monomials.

$$f = \sum_{u} c_{u} X^{u}$$

If  $c_u \neq 0$  then  $c_u x_u$  is a term of f

#### 2.2 Monomial Order

It is necessary to arrange the terms of a polynomial in order to compare every pair of polynomials. That is important for dividing polynomials in the finite field  $\mathbb{K}[X_1, X_2, \cdots X_n]$ 

**Definition 2.3** (Term Ordering). A monomial order is a relation > on the set of all monomials in  $\mathbb{K}[x]$  such that [2] holds. Let  $m_1, m_2$  and  $m_3$  be monomials

- for any pair of monomials  $m_1, m_2$  either  $m_1 > m_2$  or  $m_2 > m_1$  or  $m_1 = m_2$
- if  $m_1 > m_2$  and  $m_2 > m_3$  then  $m_1 > m_3$
- $m_1 > 1$  for any monomial  $m_1 \neq 1$
- if  $m_1 > m_2$  then  $mm_1 > mm_2$  for any monomial m

Two commonly used term orders are the following. Let u and v be elements of  $\mathbb{N}_0^n$ , such that [2]

## 2.2.1 Lexicographic Order

 $u >_{lex} v$  if in u - v the left most non-zero entry is positive. This can be written as  $X^u >_{lex} X^v$  if  $u >_{lex} v$ .

#### 2.2.2 Graded Lex Order

 $u >_{grlex} v$  if deg(u) > deg(v) or if deg(u) = deg(v) and  $u >_{lex} v$ 

**Example** Let  $m_1 = 4x^2y^4z^3$  and  $m_2 = x^1y^1z^4 \in \mathbb{K}[x,y,z]$ . The monomials can also be written as  $m_1 = X^{(2 \cdot 4 \cdot 3)}$  and  $m_2 = X^{(1 \cdot 1 \cdot 4)}$ . Thus  $m_1 >_{lex} m_2$  because the left most non-zero entry of  $(2 \cdot 4 \cdot 3) - (1 \cdot 1 \cdot 4)$  is positive.

The total degree of  $m_1$  is 9 and  $deg(m_2) = 6$ . Hence,  $m_1 >_{lex} m_2$  and  $deg(m_1) > deg(m_2)$  so that  $m_1 >_{grlex} m_2$ 

#### 2.2.3 Weight vectors

#### 2.2.4 Leading term

Given a term order >, each non-zero polynomial  $f \in \mathbb{K}[x]$  has a unique leading term, denoted by lt(f), given by the largest involved term with respect to the term order.

If  $lt(f) = cX^u$ , where  $c \in \mathbb{K}$ , then c is the leading coefficient of f and  $X^u$  is the leading monomial(lm).[2]

**Example** Let  $f = 3x^2y^5z^3 + x^4 - 2x^3y^4 + 12^2z^2$ With respect to lex order  $f = \underline{x^4} - 2x^3y^4 + 3x^2y^5z^3 + 12^2z^2$ with respect to greex order  $f = 3x^2y^5z^3 - 2x^3y^4 + x^4 + 12^2z^2$ 

The underlined terms are the leading binomials with the respect to the monomial order.

#### 2.3 Ideals

**Definition 2.4** (Ideal). An ideal I is collection of polynomials  $f_1, \dots, f_s \in \mathbb{K}[X_1, \dots, X_n]$  and polynomials which can be built from these with multiplication with arbitrary polynomials and linear combination, such as [1]:

This is called an Ideal generated by  $f_1, \dots, f_s$ 

It satisfies:

•

$$\langle f_1, \dots, f_s \rangle = \left\{ \sum_{i=1}^s h_i f_i \mid h_1, \dots, h_s \in \mathbb{K} \left[ X_1, \dots, X_n \right] \right\}$$

0 ∈ I

• If  $f, g \in \langle f_1, \dots, f_s \rangle$ , then  $f + g \in \langle f_1, \dots, f_s \rangle$ 

• If  $f \in \langle f_1, \dots, f_s \rangle$  and  $h \in \langle f_1, \dots, f_s \rangle$ , then  $f \cdot h \in \langle f_1, \dots, f_s \rangle$ 

**Example** Let  $I = \langle f_1, f_2 \rangle = \langle x^2 + y, x + y + 1 \rangle$  and  $f = yx^2 + y^2 + x^2 + xy + x$ . Since  $f = y \cdot f_1 + x \cdot f_2$ ,  $f \in I$ 

**♦** 

**Definition 2.5** (Binomial Ideal). A binomial ideal  $I \in \mathbb{K}[X_1, \dots, X_n]$  is a polynomial Ideal, generated by binomials. A binomial is a linear combination of two monomials.

## 2.4 Division Algorithm

The reader already may determine if a polynomial p lies in an Ideal I in polynomial ring with one variable. This can be achieved with the help of the polynomial division. If result has no remainder, p lies in I But in a ring with several variables like  $\mathbb{K}\left[X_1,X_2,\cdots X_n\right]$  the usual division algorithm can not work. A generalized algorithm is needed. The main goal now is to divide  $g \in \mathbb{K}\left[X_1,\cdots,X_n\right]$  by  $f_1,\ldots,f_s \in \mathbb{K}\left[X_1,\cdots,X_n\right]$ , so g can be expressed in the form

$$g = a_1 f_1 + \ldots + a_s f_s + r$$

where the  $a_1f_1 + ... + a_sf_s$  and  $r \in \mathbb{K}[X_1, ..., X_n]$  This is possible with the Theorem mentioned at [3]

**Theorem 2.1** (Division Algorithm in ). Fix a monomial > on  $\mathbb{Z}_{\geq 0}^n$  and let  $F = (f_1, \ldots, f_s)$  be an ordered s-tuple of polynomials in  $\mathbb{K}[X_1, \cdots, X_n]$ . Then every  $f \in \mathbb{K}[X_1, \cdots, X_n]$  can be written as

$$f = a_1 f_1 + \ldots + a_s f_s + r$$

where  $a_i, r \in \mathbb{K}[X_1, \dots, X_n]$ , and either r = 0 or r is a linear combination, with the coefficients in  $\mathbb{K}$ , none of which is divisible by any of  $LT(f_1), \dots, LT(f_s)$ . The remainder of f on division by F is r. Furthermore, if  $a_i f_i \neq 0$ , then  $deg(f) \geq deg(a_i f_i)$ 

# Algorithm 1 Division Algorithm

```
Require: Basis f_1, \dots, f_m nonzero polynomials
               = 0 or none of the terms in r are divisible by
    LT_{\leq}(f_1), \cdots, LT_{\leq}(f_m)
 1: h_1 \leftarrow 0, \cdots, h_m \leftarrow 0
 2: r \leftarrow 0
 s \leftarrow f
 4: while s \neq 0 do
         i \leftarrow 1
         division\_occured \leftarrow false
 6:
         while i \le m and division_occured = false do
 7:
             if LT(f[i]) divides LT(s) then
 8:
 9:
                                   s \leftarrow s - \frac{LT(s)}{LT(f[i])} * f_i
                 h_i \leftarrow h_i + LT(s) / LT(f_i)
10:
                 division_occured = false
11:
             else
12:
                 i \leftarrow i + 1
13:
14:
             end if
         end while
15:
         if division_occured = false then
16:
             r \leftarrow r + LT(s)
17:
             S \leftarrow s - LT(s)
18:
19:
         end if
20: end while
```

## Example

## Example

The last example shows that is still possible to obtain a nonzero remainder even if  $f \in \langle f_1, f_2 \rangle$ . That means r = 0 is a necessary condition for the ideal membership but not a sufficient condition

#### 2.5 Groebner basis

To solve the idea membership problem a "good" generating set for an Ideal I is needed. It would be helpful when the remainder r on division is uniqueley determined and the condition r = is equivalent to the membership in the ideal. So the definition from [KHZ] might be useful.

#### 2.5.1 Definition of a Groebner basis

**Definition 2.6** (Groebner base). Let  $\leq$  be a monomial order on  $\mathbb{K}[X_1, \dots, X_n]$  and let I be an Ideal on  $\mathbb{K}[X_1, \dots, X_n]$ . A Groebner basis for I (with respect to  $\leq$ ) is a finite set of polynomials  $F = \{f_1, \dots, f_m\}$  in I with the property that for every nonzero  $f \in I$ ,  $LT_{\geq}(f)$  is divisible by  $LT(f_i)$  for some  $1 \leq i \leq m$ 

A Groebner basis has the beneficial property that the remainder r of f by the elements of a Groebner basis are uniquely determined and independent of the order of the elements in G. Also every Ideal in  $\mathbb{K}[X_1, \dots, X_n]$  has a Groebner basis with respect to any monomial order [KHZ]

#### 2.5.2 Computation of a Groebner basis

In order to obtain a Groebner basis of an arbitrary basis  $f_1, \ldots, f_n$  with an arbitrary monomial order  $\geq$  of an Ideal I, an algorithm is needed. This algorithm is called Buchberger-Algorithm. The main idea is to build every possible S-Polynomial of  $(f_i, f_j)$  for every  $1 \leq i \neq j \leq n$  and every nonzero result is added to the basis until every S-Pair of  $(f_i, f_j)$  vanishes.

Let the polynomials  $f, g \in \mathbb{K}[X_1, \dots, X_n]$  and  $LT_{\leq}(f) = cX^{\alpha}$ ,  $LT_{\leq}(g) = dX^{\beta}$  and LCM  $(X^{\alpha}, X^{\beta})$  be the least common multiple between  $X^{\alpha}$  and  $X^{\beta}$ .

**Definition 2.7** (S-Polynomial). [KHZ] The S-polynomial of f and g is the polynomial

$$S(f,g) = \frac{\text{LCM}(X^{\alpha}, X^{\beta})}{\text{LT}_{\leq}(f)} \cdot f - \frac{\text{LCM}(X^{\alpha}, X^{\beta})}{\text{LT}_{\leq}(g)} \cdot g$$

**example** Consider the polynomials the polynomial ring  $\mathbb{K}[x, y, z]$  with the basis  $\{f, g\} = \{xy^2 - xz + y, xy - z^2\}$  with respect to the lexicographic order

Forming the S-Polynomial leads to:

$$S(f,g) = \frac{\text{LCM}(xy^2, xy)}{xy^2} \cdot (xy^2 - xz + y) - \frac{\text{LCM}(xy^2, xy)}{xy} \cdot (xy - z)$$
$$= \frac{xy^2}{xy^2} \cdot (xy^2 - xz + y) - \frac{xy^2}{xy} \cdot (xy - z)$$
$$= -xz - yz + y$$

The S-Polynomial is not zero and is not disvisible by the leading terms of f or g. That means the Basis given in the example is not a Groebner basis. This can be deduced by the Buchbergers criterium.

**Definition 2.8** (Buchberger Criterion). [KHZ] A finite set  $G = \{f_1, \dots, f_m\}$  of polynomials in  $\mathbb{K}[X_1, \dots, X_n]$  is a Groebner basis of an Ideal  $I = \langle f_1, \dots, f_m \rangle$  if and only  $S(f_i, f_j) = 0, \forall 1 \leq i, j \leq n, i \neq j$ 

Now that the meaning of the S-Polynomial is clear the Buchberger algorithm can be defined.

## Algorithm 2 Buchbergers Algorithm

```
Require: Basis F = (f_1, \dots, f_m)
Ensure: Groebner basis G for I = \langle f_1, \dots, f_m \rangle with F \subseteq G
 1: G \leftarrow F
 2: repeat
          G' \leftarrow G
 3:
          for each pair f_i and f_j in G, i \neq j do
 4:
              S \leftarrow S(f_i, f_i)^{G'}
                                                     \triangleright S-Polynomial with the basis of G'
 5:
              if G \neq 0 then
 6:
                   G \leftarrow G \cup \{S\}
 7:
 8:
              end if
          end for
10: until G = G'
```

This algorithm is correct and terminates.[KHZ]

However, a Groebner basis is not unique. A arbitrary polynomial can be added to a Groebner basis and it is still a Groebner basis. Fortunalety a each nonzero Ideal in  $\mathbb{K}[X_1, \dots, X_n]$  has a unique *reduced* Groebner basis.

**Definition 2.9** (Reduced Groebner basis). A Groebner basis  $G = \{f_1, \dots, f_m\}$  in  $\mathbb{K}[X_1, \dots, X_n]$  is reduced if the polynomials  $f_1, \dots, f_m$  are monic and no term  $f_i$  is divisible by  $LT_{\leq}(f_i)$  for any pair  $i \neq j$ , where  $\leq$  is monomial order.

#### 2.6 Groebner fans

Groebner bases for a fixed Ideal *I* with different monomial orders can look very different and have different properties. The difference can be in the number of elements in the Groebner basis, the length or the degree of the elements. So it will be helpful if all possible Groebner basis of a fixed ideal can be collected together.

[*Cox*, *O'Shea*] shows that the collection is finite.

**Definition 2.10** (Groebner fan). [Cox, O'Shea] A Groebner fan of an Ideal I constist of finitley many closed convex polyedral cones with vertices at the origin, such that

- A face of a cone  $\sigma$  is  $\sigma \cap \{l = 0\}$ , where l = 0 is a nontrivial linear equation such that  $l \geq 0$  on  $\sigma$ . Any face of a cone in the fan is also in the fan.
- The intersection of two cones in the fan is a face of each.

In order to construct a Groebner fan to a given Ideal, consider the marked Groebner basis  $G = \{g_1, \dots, g_t\}$  of the Ideal I. A marked Groebner basis is a Groebner basis where each  $g \in G$  has an identified leading term, such that G is a monic Groebner basis with respect to some monomial > order selecting those terms. More informally, where all leading terms in G are marked.

The elements of G  $g_i$  can be written as

$$g_i = x^{\alpha(i)} + \sum_{\beta} c_{i,\beta} x^{\beta},$$

where  $x^{\alpha(i)}$  is the leading term and  $x^{\alpha(i)} > x^{\beta}$ , with respect to a monomial order, whenever  $c_{i,\beta} \neq 0$ .

Now if a weight vector **w** fullfills the inequation  $\alpha(i) * \mathbf{w} \ge \beta * \mathbf{w}$ , the vector selects the correct leading term in  $g_i$  as the term with the highest weight.

So the cone of a Groebner basis can be written as [CoxOshea]

$$C_{G} = \left\{ \mathbf{w} \in (\mathbb{R}^{n})^{+} : \alpha(i) \cdot \mathbf{w} \geq \beta \cdot \mathbf{w} \text{ whenever } c_{i,\beta} \neq 0 \right\}$$

**example** Consider the Ideal from [CoxO'Shea] with  $I = \langle x^2 - y, xz - y^2 + yz \rangle \in \mathbb{Q}[x,y,z]$ . Note that the ring is 3-dimensional so that Groebner fan can be plotted in the positive orthant  $\mathbb{R}^3_+$ .

The marked Groebner basis with respect to the *grevlex* order with y > z > x is

$$G^{(1)} = \left\{ \underline{x^2} - y, \underline{y^2} - yz - xz \right\}$$

The leading terms are underlined. Let  $\mathbf{w} = (a, b, c) \in \mathbb{R}^3_+$ . Then W is in the cone  $C_{G^1}$  if and only the inequalities defined above are satisfied.

- $(2,0,0) \cdot (a,b,c) \ge (0,1,0) \cdot (a,b,c)$  or  $2a \ge b$
- $(0,2,0) \cdot (a,b,c) \ge (1,0,1) \cdot (a,b,c)$  or  $2b \ge a+c$
- $(0,2,0) \cdot (a,b,c) \ge (0,1,1) \cdot (a,b,c)$  or  $2b \ge b+c$

This is the first cone of the Groebner fan and can be drawn in the positive orthant sliced of the plane of a + b + c = 1 for visuality.

•

This figure shows that the Groebner fan is not complete, since the cone does not cover the whole positive orthant. In this example, the other reduced Groebner basis can be obtained by applying the Buchberger Algorithm with common term orders to the Ideal *I*. If the computed cones still are not the whole positive orthant, then a further computation with weight vectors are necessary.

This is strategy is reasonable for a small example like above. In genearal, the whole Groebner fan can be computed with the Groebner walk. See [CoxOShea] for further details.

An inexpensive way to obtain all reduced Groebner bases of a special ideal, the Code Ideal, which will be explained later.

### 2.7 Degree Compatible Groebner fans

#### 2.8 Toric Ideals

This work and is focused on Code Ideals, so it is useful to define the Toric Ideals first. Given a matrix  $A = [a_1, \ldots, a_n] \in \mathbb{Z}^{d \times n}$  and  $u \in \mathbb{Z}^n$ . u which can be decomposed in  $u^+$  and  $u^-$ , where  $u^+$  and  $u^-$  have nonnegative coefficients and disjoint support.

**Definition 2.11** (Toric Ideal). [Dueck Journal] A toric ideal  $I_A$  is defined as

$$I_A = \langle x^{u^+} - x^{u^-} \mid u \in ker(A) \rangle$$

The toric ideal can also be expressed as

$$\mathbf{I}_A = \langle \mathbf{x}^u - \mathbf{x}^u \mid Au = Av, u, v \in \mathbb{N}_0^n \rangle.$$

## 2.9 Enumerating Groebner fans

In this section, 2 algorithms will be explained in order to enumerate the Groebner fan. For the purpose to compute all Groebner bases from a toric ideal  $I_A$ , it is necessary to search the *edge graph* of a Groebner fan.

Two reduced Groebner bases with respect to a term order covered by the generic weight vectors  $c_1$ , $c_2$  are said to be adjacent if the two Groebner cones share a common *facet*.

**Definition 2.12** (Facet Binomial). [*TiGERS*] The binomial  $x^{\alpha_k} - x^{\beta_k} \in \mathcal{G}_c$  is a facet binomial of  $\mathcal{G}_c$  if and only if there exists a  $u \in \mathbb{R}^n$  which satisfies:

$$\{\alpha_i \cdot u > \beta_i \cdot u : i = 1, \dots, t, i \neq k\}$$
  
$$\{\beta_k \cdot u > \alpha_k \cdot u\}$$

In the example (with the Gröebner fan) the facet binomials of a Gröbner cone determine the border to an other Gröber cone. In order to traverse from a Gröber base  $\mathcal{G}_c$  to a neighboured Gröbner base  $\mathcal{G}_{c'}$  with the certain facet  $x^{\alpha} - x^{\beta}$ , a procedure making a local change from  $\mathcal{G}_c$  to  $\mathcal{G}_{c'}$  is required. This procedure is called flip.

This algorithm is correct and can terminate. [*Tigers*] The main advantage of the algorithm is that no weight vectors must be stored or computed. Weight vectors are carried implicitly and that is possible due to the binomial structure that this subroutine generates for every Gröbner basis.

#### example

#### 2.9.1 Breadth first search

In this section an algorithm to enumerate the edge graph of a Groebner fan via breath-first search and its drawbacks are presented.

This algorithm is intuitive but has the drawback that every vertex of the edge graph must be stored and every vertex must be checked against all other vertices if it is a new vertex or not. The more vertices the edge graph has, the more expensive the calculation will be. Also the need of memory will arise if a Gröbner fan has a lot of cones.

## **Algorithm 3** Local change of reduced Gröbner bases in $I_A$ [*TiGERS*]

**Require:** Reduced Gröbner basis  $G = \{\underline{x}_k^a\}$  of  $I_A$ 

A prescribed facet binomial  $\underline{x}_i^a - x_i^b \in \mathcal{G}$   $\triangleright$  The weight vector inducing  $\mathcal{G}$  is generic and the leading terms are underlined

**Ensure:** The reduced Gröbner basis is adjacent to  $\mathcal{G}$  in which  $\underline{x}_i^b - x_i^a$  is a facet binomial.

- 1: Old :=  $\{\underline{x}_i^a x_i^b\} \cup \{\underline{x}_j^a : \underline{x}_j^a x_j^b \in \mathcal{G}, j \neq i\}$  Prescribed Facet and leading terms only in Old
- 2: Temp :=  $\{\underline{x}_i^b x_i^a\} \cup \{\underline{x}_i^a : x_i^a \in Old\}$   $\triangleright$  "Flipping" the facet Binomial
- 3: New := Computed reduced Gröbner basis with respect to the new marking 

  ▷ This can be done with Buchberger Algorithm.
- $4: \mathcal{G}' = \left\{ \underline{x}_i^b x_i^a \right\}$
- 5: for each monmial h in New do
- 6: Reduce h with G to obtain the monomial h'.
- 7: Add h h' to G' with h marked as the leading term.
- 8: end for

15: return Verts

9: Auto-reduce  $\mathcal{G}'$  to get  $\mathcal{G}_{new}$   $\triangleright$  no term shall be divisible by a leading term

**Algorithm 4** Enumerating the edge graph of the Gröbner fan via breathfirst search [*TiGERS*]

```
Require: Any reduced Gröbner basis \mathcal{G}_0 of I_A
```

**Ensure:** All reduced Gröbner bases of  $I_A$ , (all vertices of the edge graph)

```
1: Todo := [G_0]
 2: Verts := []
 3: while Todo \neq \emptyset do
         \mathcal{G} := first element in (Todo)
 4:
         Remove \mathcal{G} from Todo
 5:
         add \mathcal{G} to Verts
 6:
         determine list L of facet binomials of \mathcal{G} \triangleright With linear programming
 7:
         for each x^{\alpha} - x^{\beta} \in L do
 8:
              G' = \text{flip}(G, x^{\alpha} - x^{\beta})
 9.
              if G' ∉ Todo ∪ Verts then
10:
                   add \mathcal{G}' to Todo
11:
              end if
12:
         end for
13:
14: end while
```

#### 2.9.2 Reverse Search tree

In this section, a memoryless algorithm is presented which has the benefit that it runs linear depending on the size of output. The main idea is to enumerate the edge graph with depth first reverse search. The result will be a directed subgraph of the edge graph, called reverse search tree  $T_{\succ}(I_A)$ . But before that, the meaning of a mismarked polynomial must be clear.

**Definition 2.13** (Mismarked Polynomial). [Tigers] A polynomial f that has been marked with respect to the monomial order  $\succ$  is mismarked with respect to the monomial order  $\succ$ 

**Example** Consider the reduced Gröbner base  $\mathcal{G} = \{x^2y - z, y^2 - xz, zy - xy^2z\}$  with a certain monomial order  $\succ$ , which is not the lexicograpic order. Then, the second and last term are clearly mismarked with respect to  $\succ_{lex}$ . Applying the flip-procedure  $(\mathcal{G}, y^2 - xz)$  leads to the Groebner base  $\mathcal{G} = \{x^2y - z, xz^2 - y^2, zy - xy^2z\}$ . Now only the last binomial is mismarked and using flip $(\mathcal{G}, zy - xy^2z)$  the result is the reduced Groebner basis with respect to the lexicographic order  $\mathcal{G} = \{xy^2z - xy, xz^2 - y^2, xy - z\}$ . Note that every monomial can not be divided by the leading terms, so all Groebner bases are reduced and no auto-reduce is necessary.

**♦** 

The *reverse search tree*  $T_{\succ}(I_A)$  with a given monomal order  $\succ$  can be defined as follows.

**Definition 2.14** (Reverse Search Tree). [TiGERS] For two reduced Groebner bases  $G_i$  and  $G_j$ ,  $[G_i, G_j]$  directed from  $G_i$  to  $G_j$  is an edge

### 2.10 Degree compatible Groebner

exampleConsider this ideal: blabla

- 3 Linear Codes
- 4 Software
- A Appendix