

CS 4602

Introduction to Machine Learning

Convolutional Neural Network

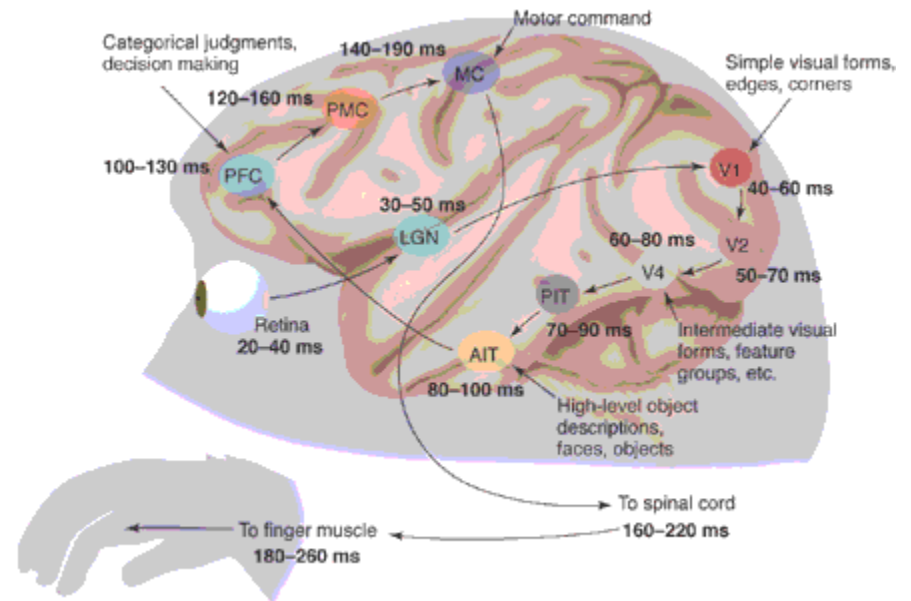
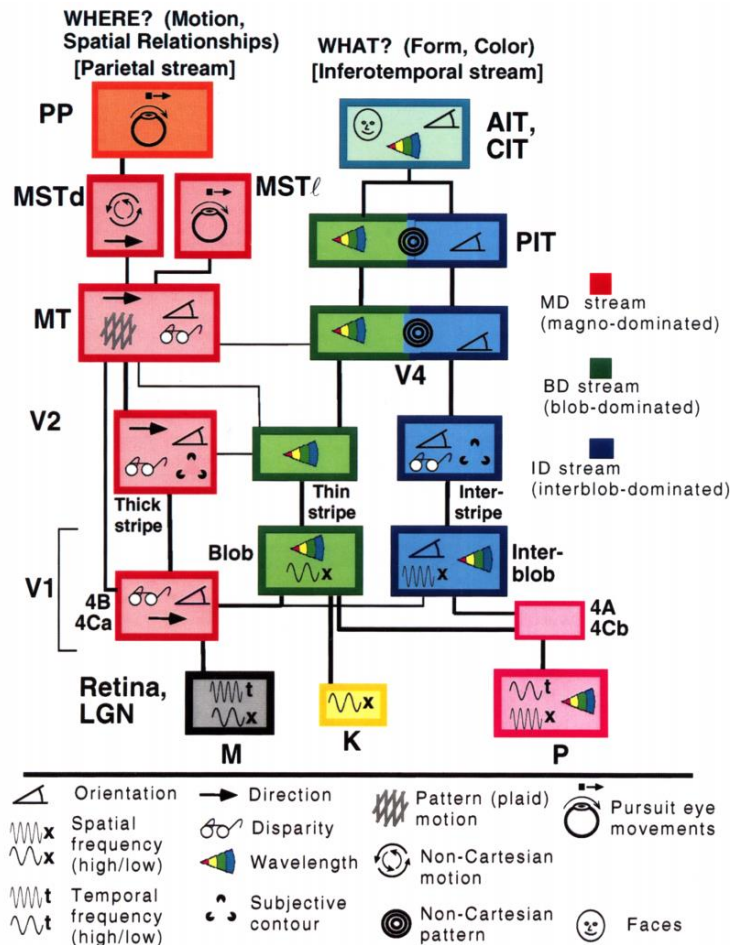
Instructor: Po-Chih Kuo

Roadmap

- Introduction and Basic Concepts
- Regression
- Bayesian Classifiers
- Decision Trees
- Linear Classifier
- Neural Networks
- Deep learning
- Convolutional Neural Networks
- Recurrent Neural Networks, Transformer, Reinforcement Learning...
- Clustering
- Dimensionality reduction
- Model Selection and Evaluation

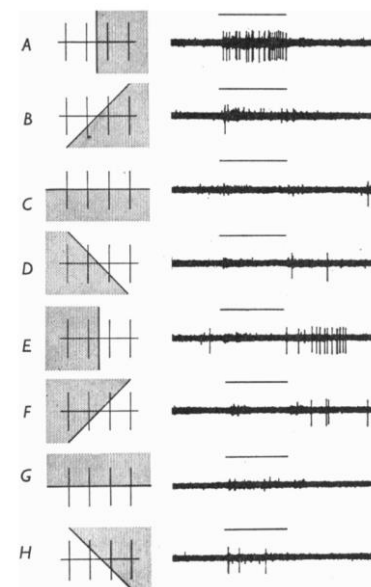
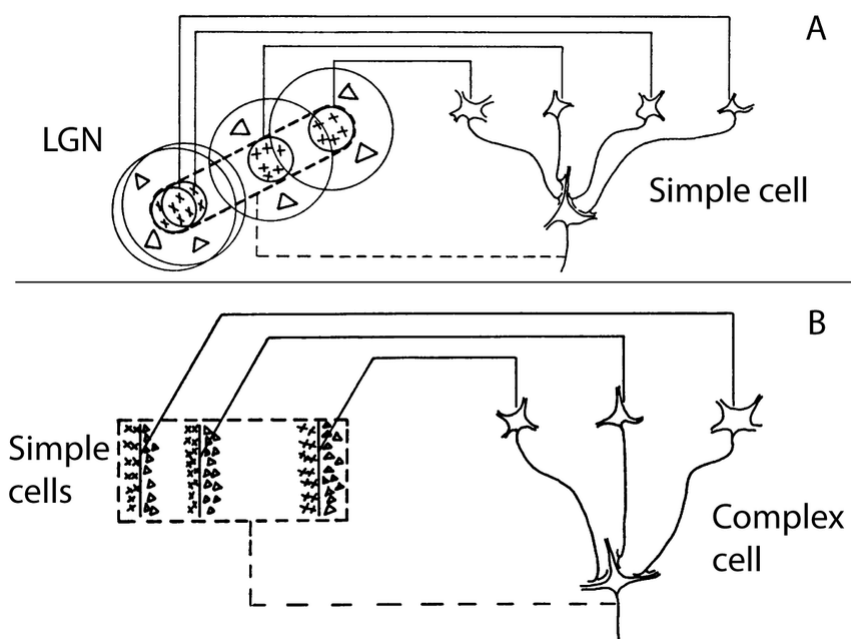
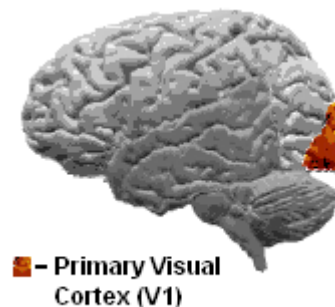
How does the brain interpret images?

- The ventral (recognition) pathway in the visual cortex has multiple stages
- Retina - LGN - V1 - V2 - V4 - PIT - AIT



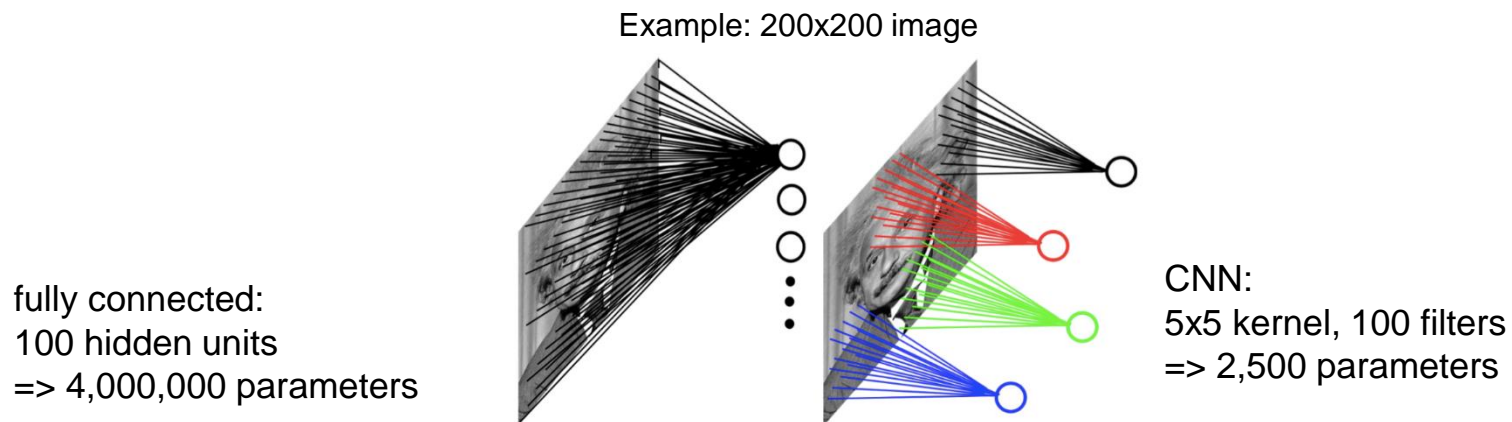
Visual Cortex

- Hubel & Wiesel 1962
- Simple cells detect local features
- Complex cells “pool” the outputs of simple cells



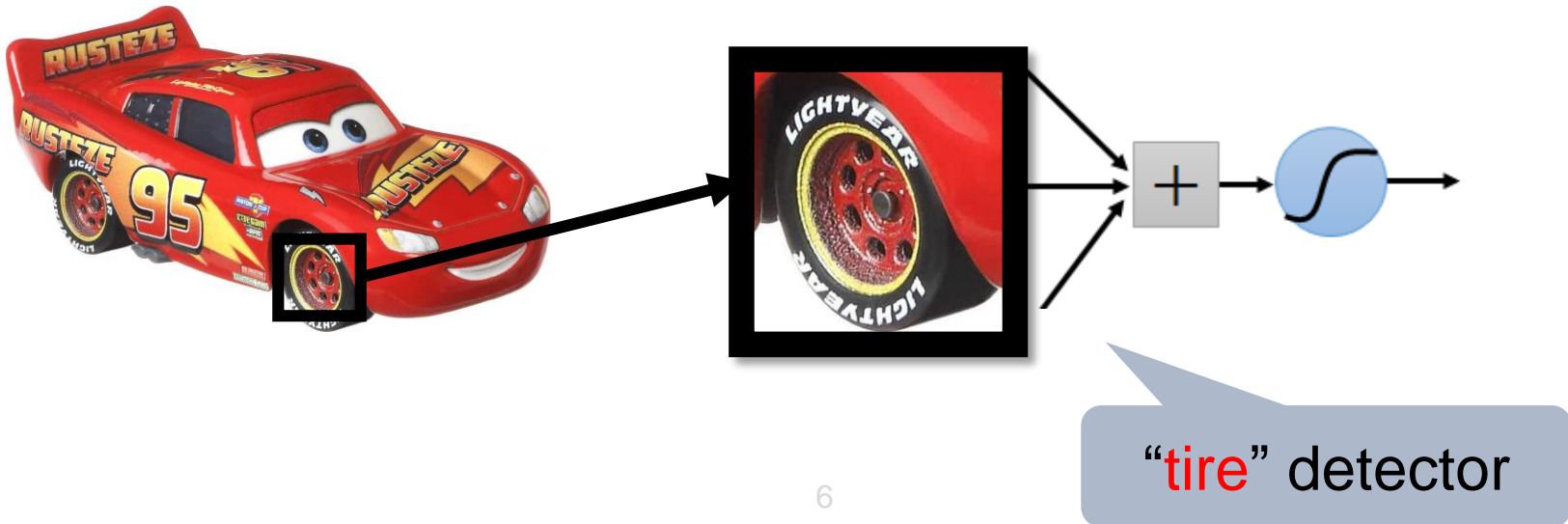
Convolutional Neural Network (CNN)

- Fully-connected neural network needs a large amount of parameters.
- CNNs are a special type of neural network whose hidden units are only connected to **local receptive field**
 - The number of parameters needed by CNNs is much smaller.

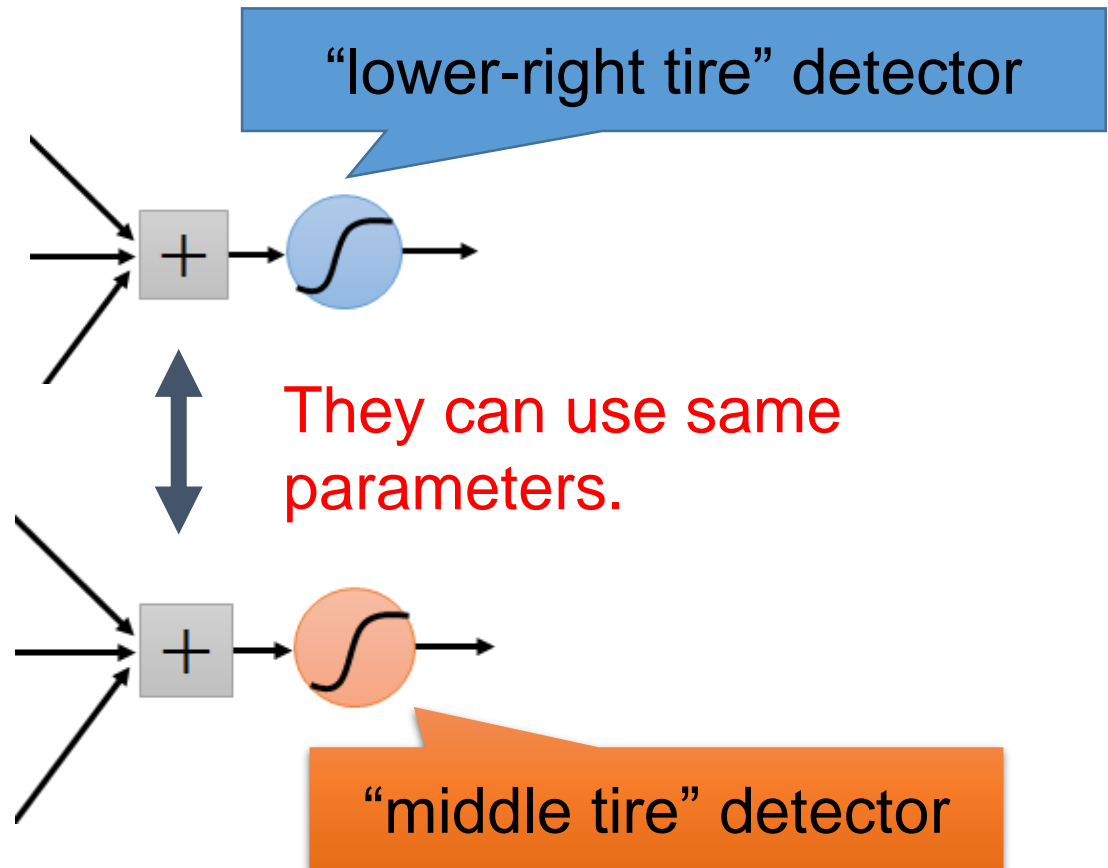
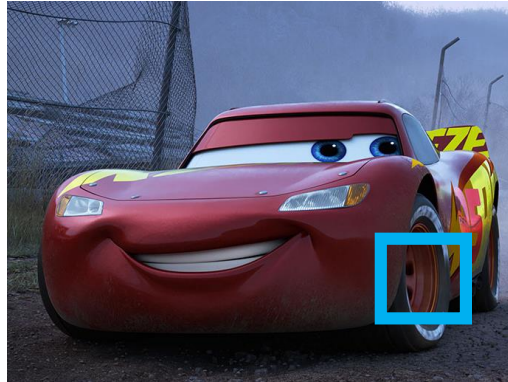


Learning a pattern

- Some patterns are much smaller than the whole image
- Can represent a small region with fewer parameters

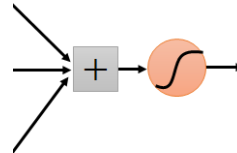


- Same pattern appears in different places



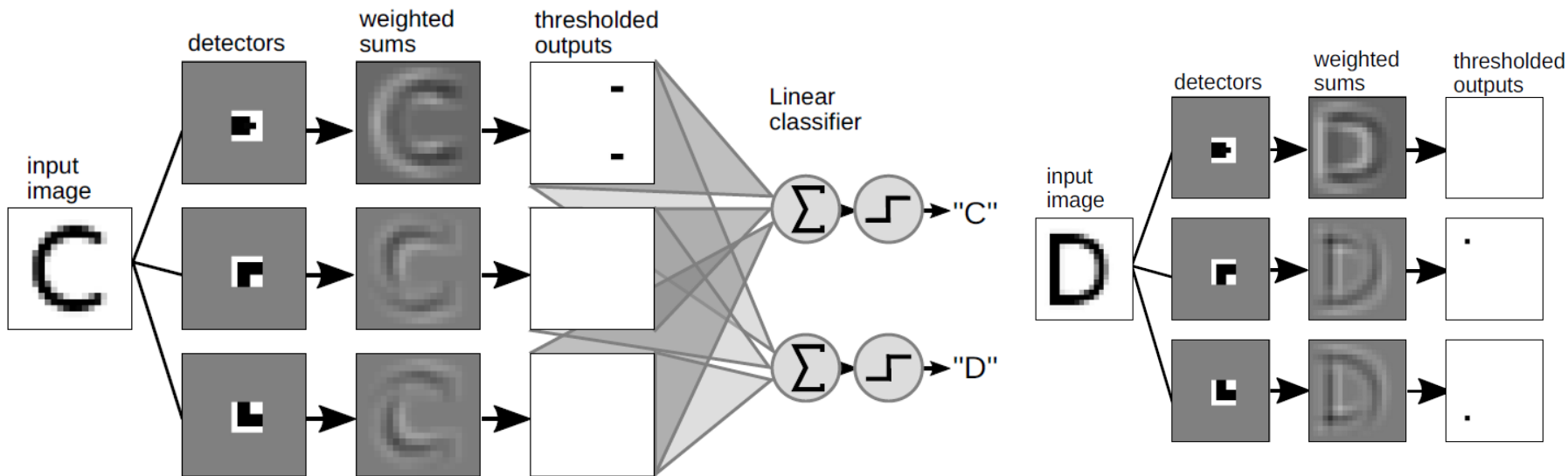
What about each detector can move around?

- A moving detector



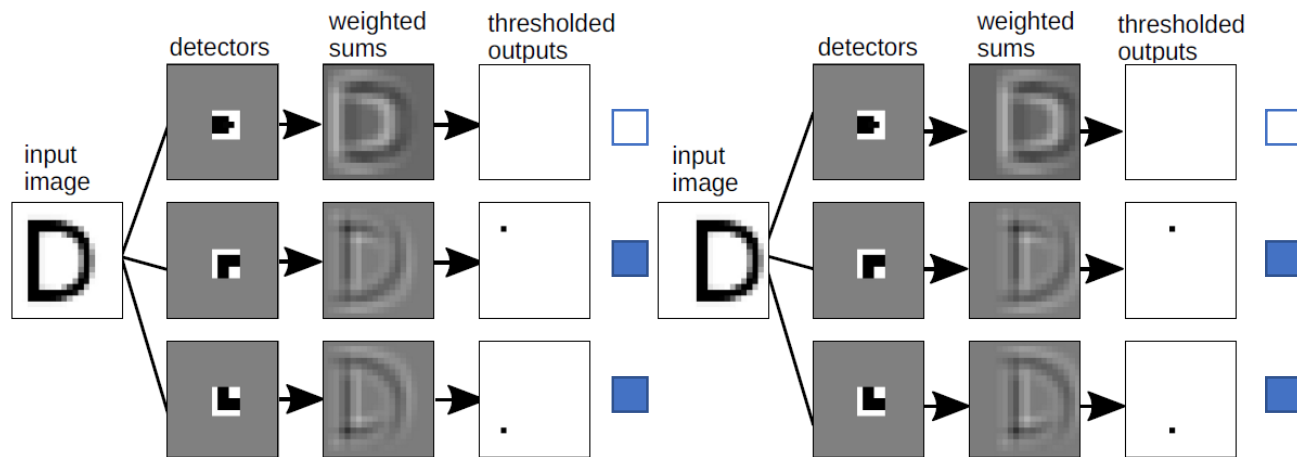
Detecting Motifs in Images

- Swipe “templates” over the image to detect motifs



Detecting Motifs in Images

- Shift invariance



How can we have similar outputs from the model?

To combine outputs in a common pool!

Overall Architecture

- Multiple stages: Normalization→ Convolution→Non-Linearity→Pooling
 - Normalization: average removal, variance normalization...
 - Convolution: dimension expansion, projection on basis...
 - Non-Linearity: Rectification (ReLU), tanh...
 - Pooling: Max, average...



Convolution

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

Dot
product



3

-1

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

Convolution

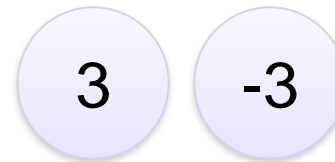
If stride=2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1



Convolution

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

3	-1	-3	-1
-3	1	0	-3
-3	-3	0	1
3	-2	-2	-1

Convolution

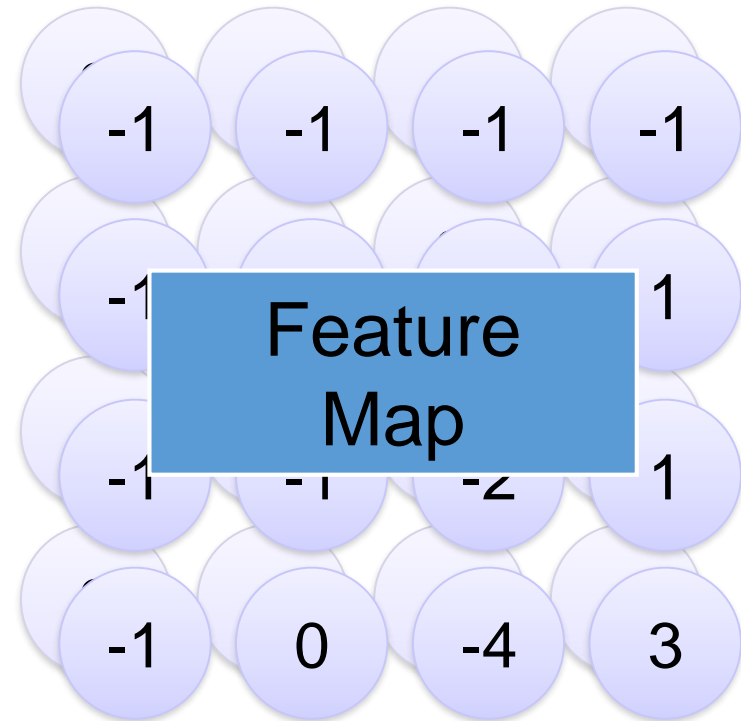
stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

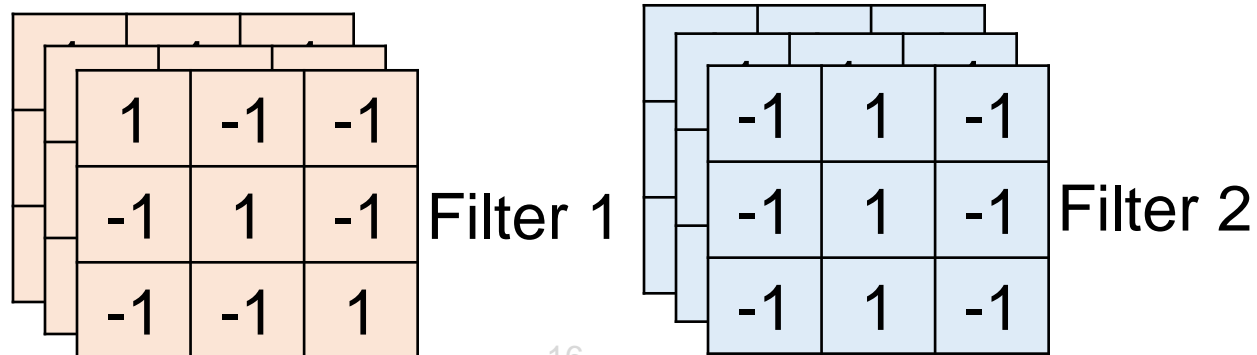
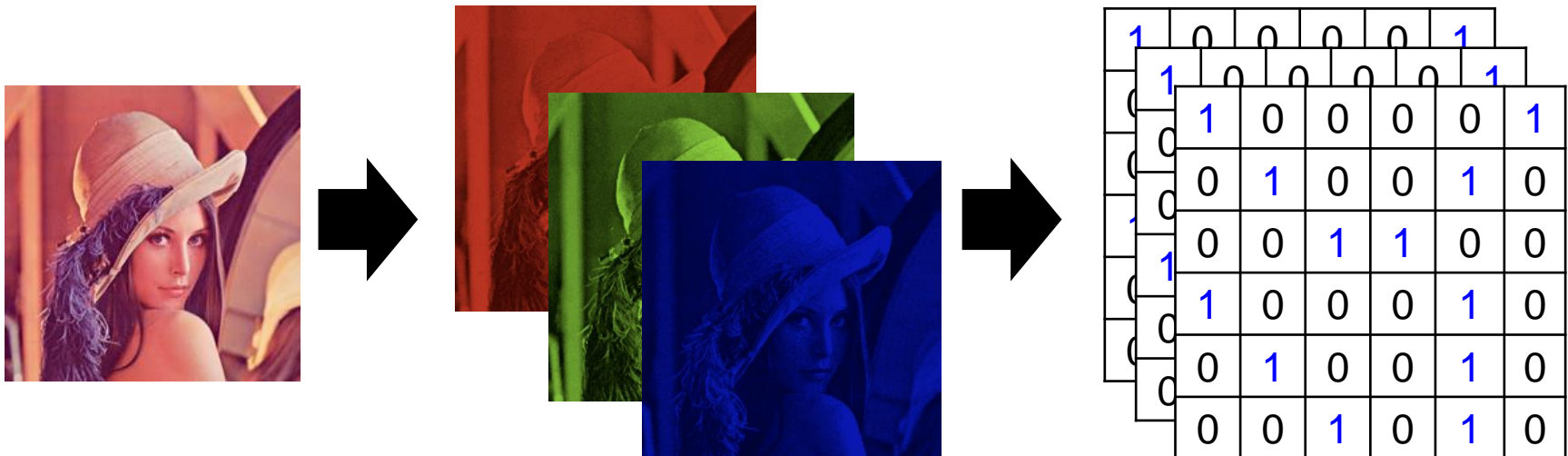
-1	1	-1
-1	1	-1
-1	1	-1

Filter 2



Two 4 x 4 images
Forming 2 x 4 x 4 matrix

Color image (3 channels)



Padding

- Conv 3x3 with stride=1, padding=1

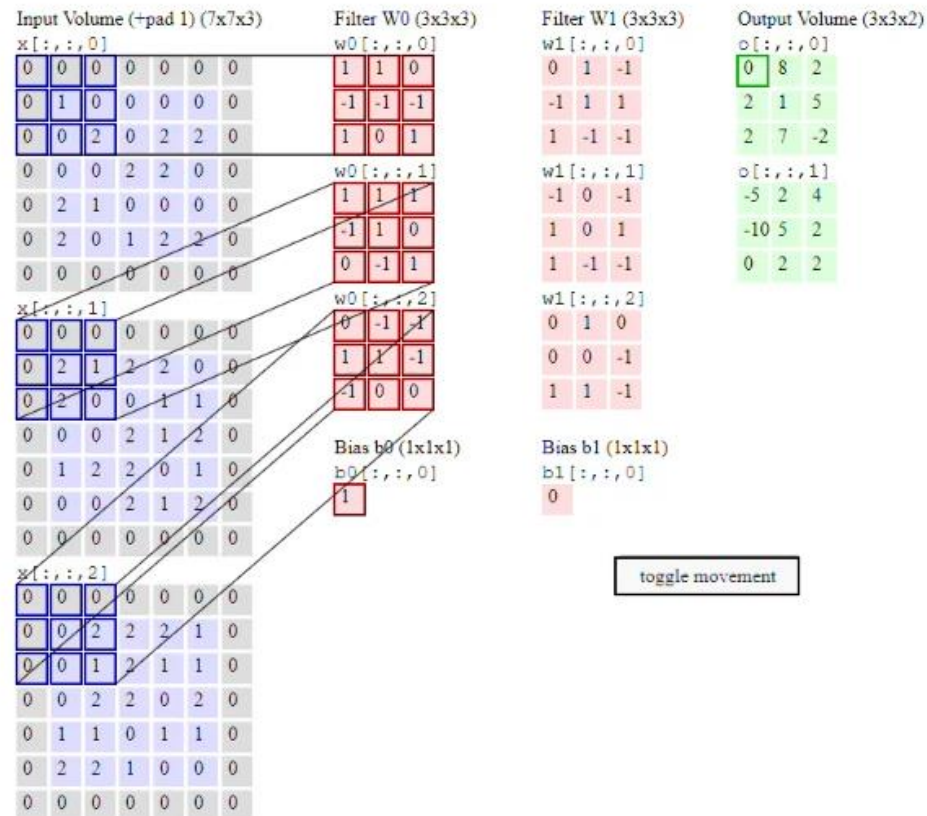
0	0	0	0	0	0
0	1	5	3	9	0
0	4	4	3	5	0
0	6	4	2	6	0
0	6	5	2	1	0
0	0	0	0	0	0

4 x 4 image



14	24	33	24
27	41	32	25
33	34	32	26
26	32	27	16

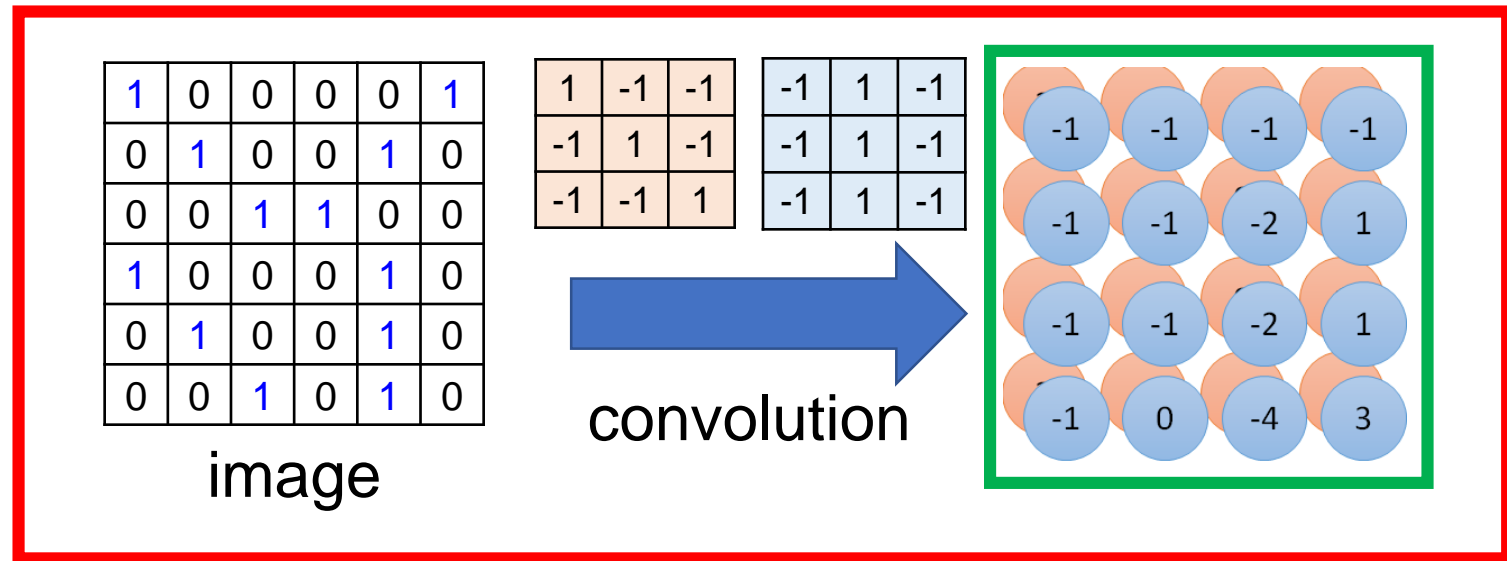
4 x 4 image



Implementation as Matrix Multiplication. Note that the convolution operation essentially performs dot products between the filters and local regions of the input. A common implementation pattern of the CONV layer is to take advantage of this fact and formulate the forward pass of a convolutional layer as one big matrix multiply as follows:

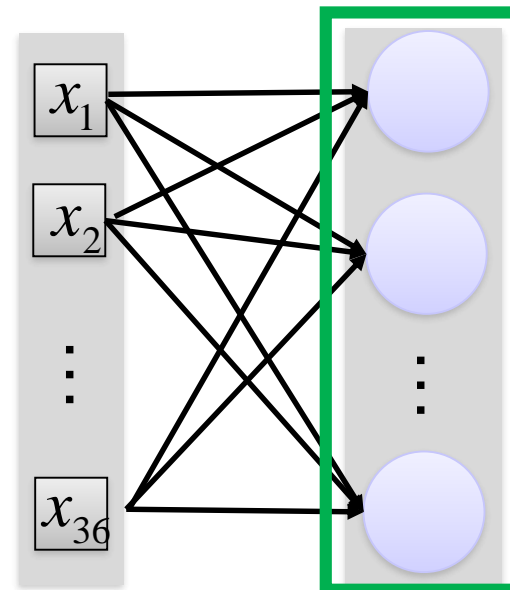
<https://cs231n.github.io/convolutional-networks/>

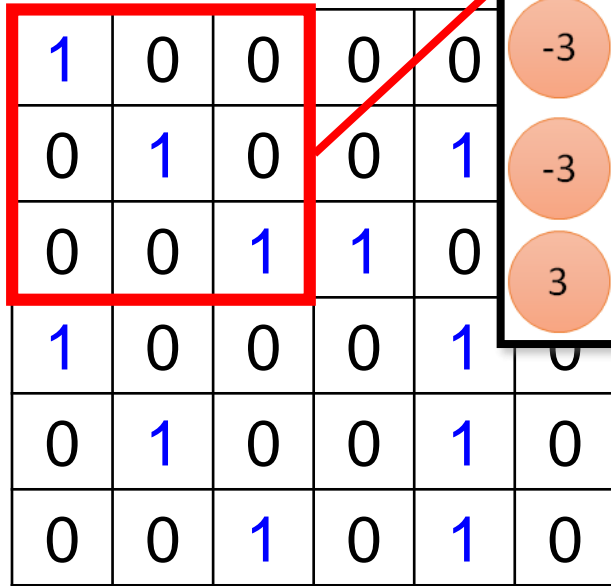
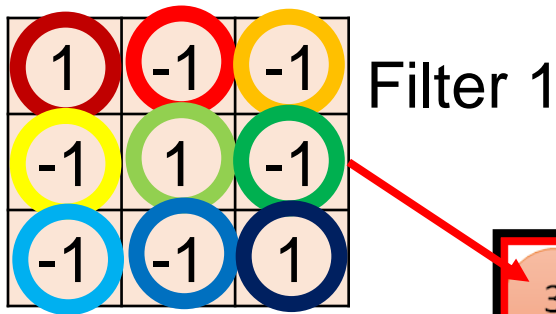
Convolution v.s. Fully Connected



Fully-connected

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

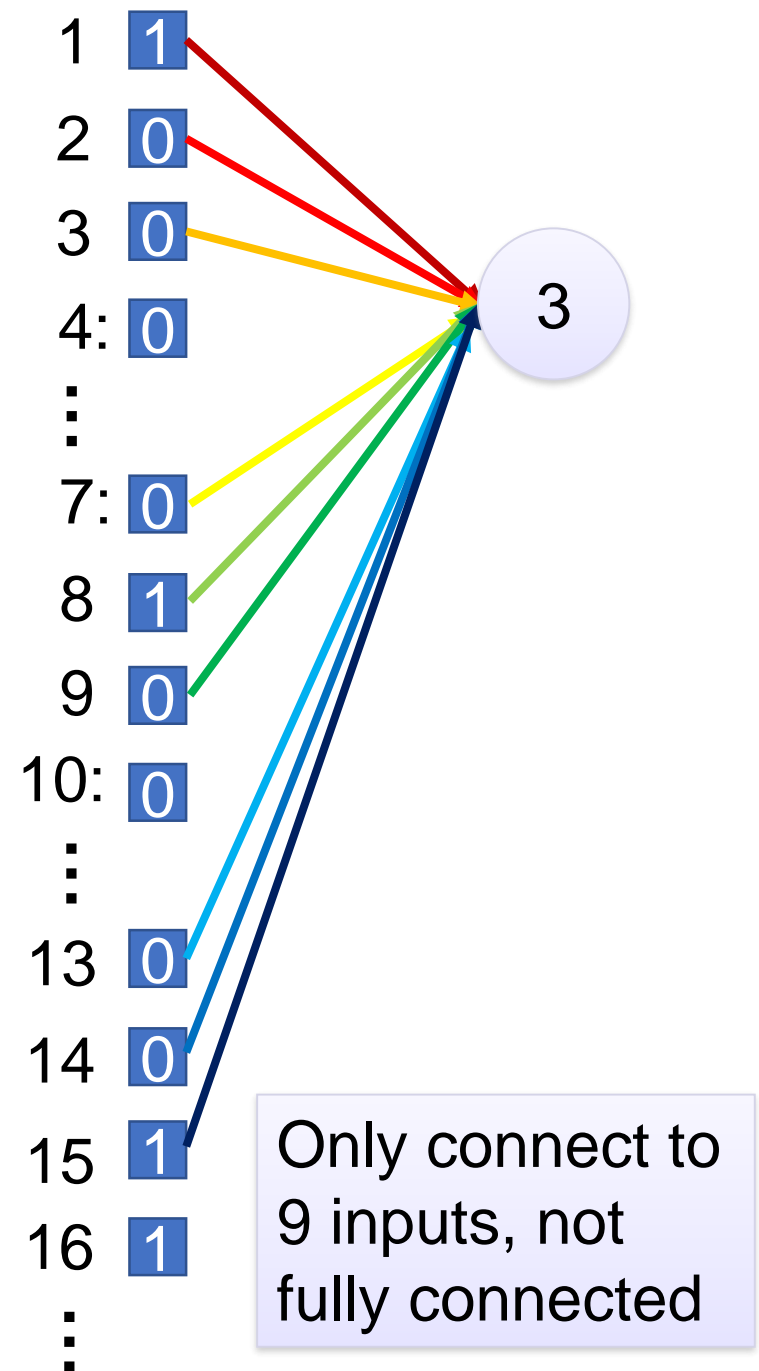
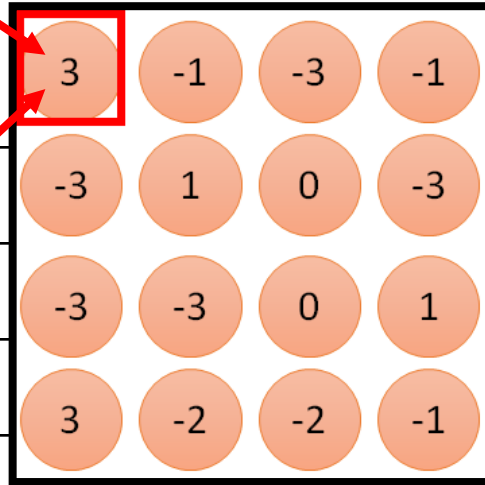


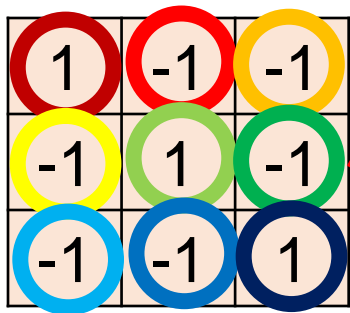


6 x 6 image

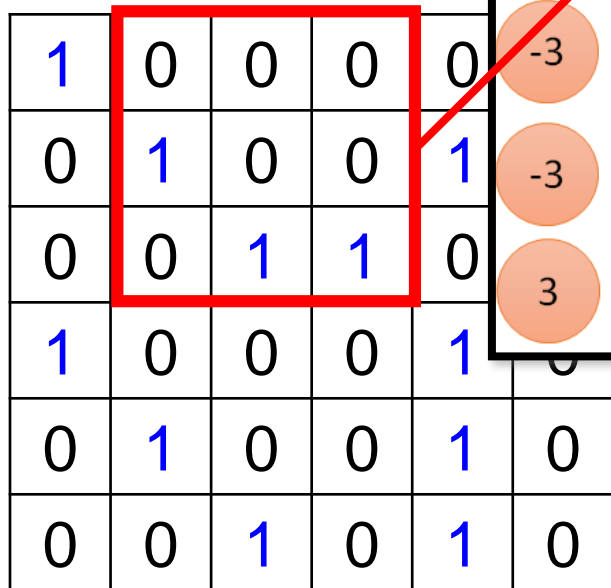


Fewer parameters

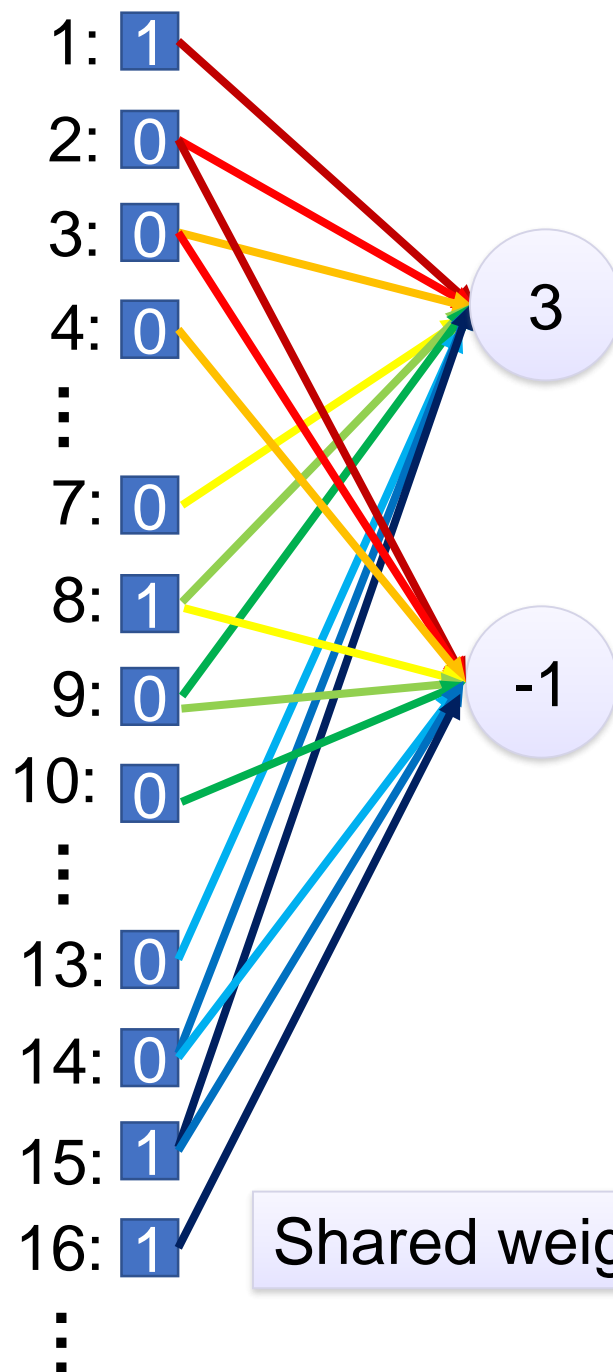
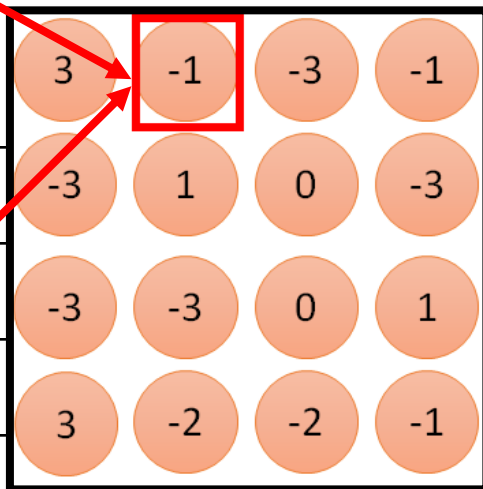




Filter 1



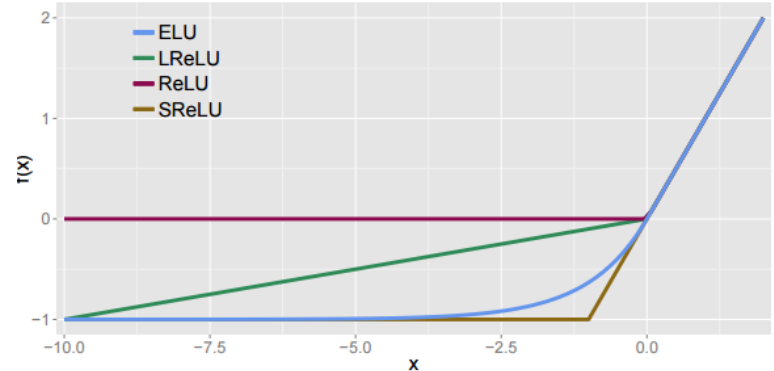
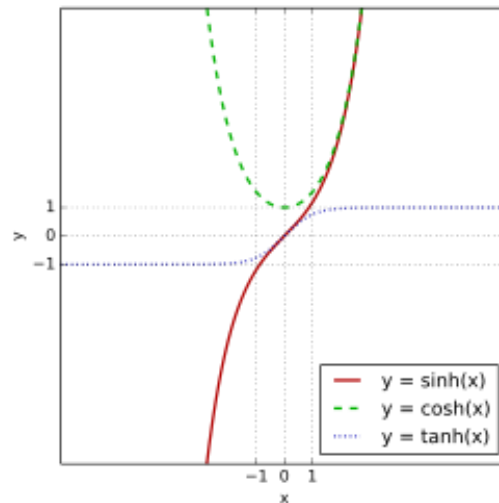
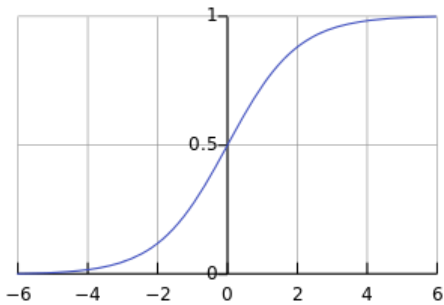
6 x 6 image



Even fewer parameters

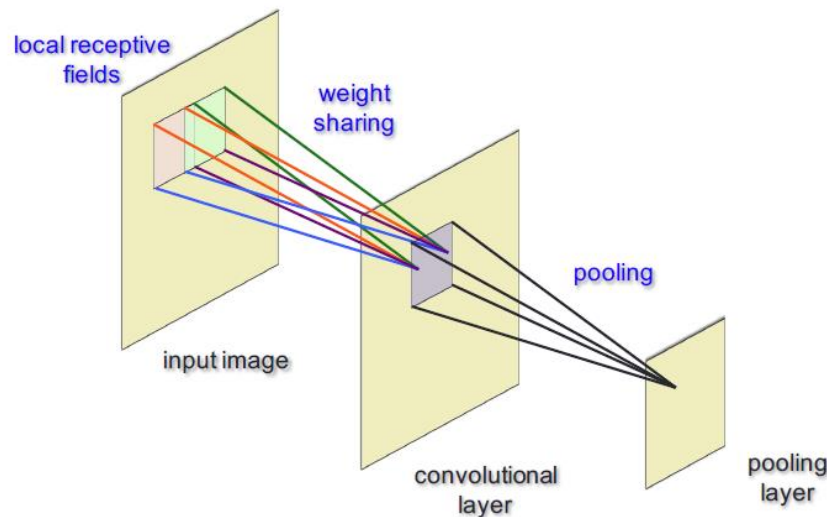
Nonlinear Activations

- Why activation? **Nonlinearity**
 - Sigmoid
 - tanh
 - ReLU family



Pooling

- Common pooling operations:
 - **Max pooling**: reports the maximum output within a rectangular neighborhood.
 - **Average pooling**: reports the average output of a rectangular neighborhood (possibly weighted by the distance from the central pixel).



Pooling Example (Summing or averaging)

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

Convolved feature

1	

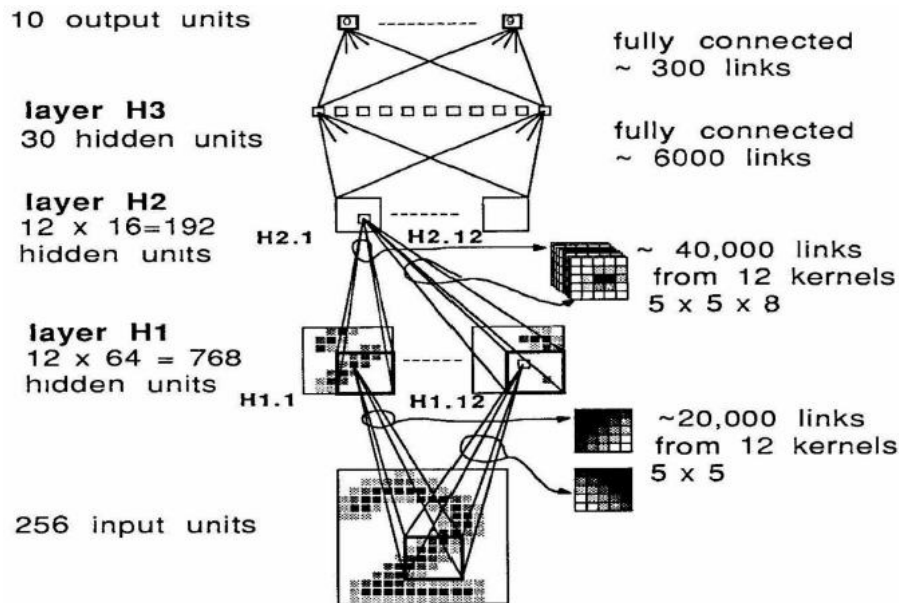
Pooled feature



Fewer parameters to characterize the image

First CNNs [LeCun et al. 89]

- Trained with Backpropagation
- USPS Zipcode digits: 7300 training, 2000 test
- Convolution with stride. No separate pooling



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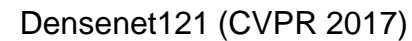
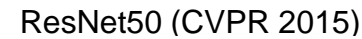
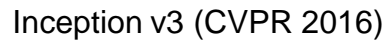
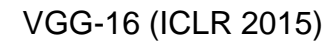
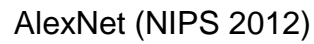
40004 14310

37879 05453

33502 75216

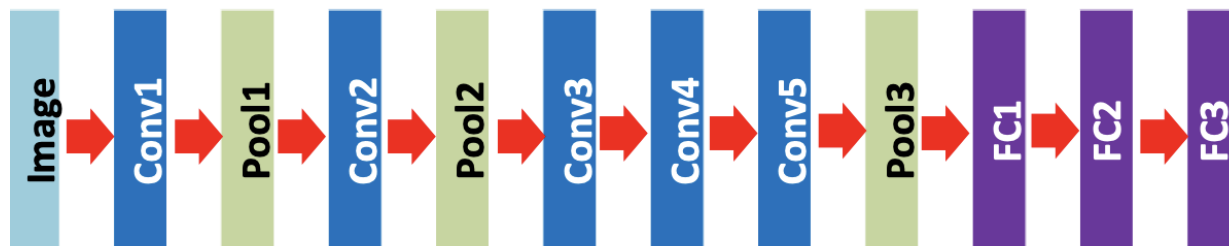
35460 44209

1611915485726803226414184
2359720299299722510046701
3084111591010615406103631
1064111030475262009979966
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1075318255182814358090943
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18255108303047520439401

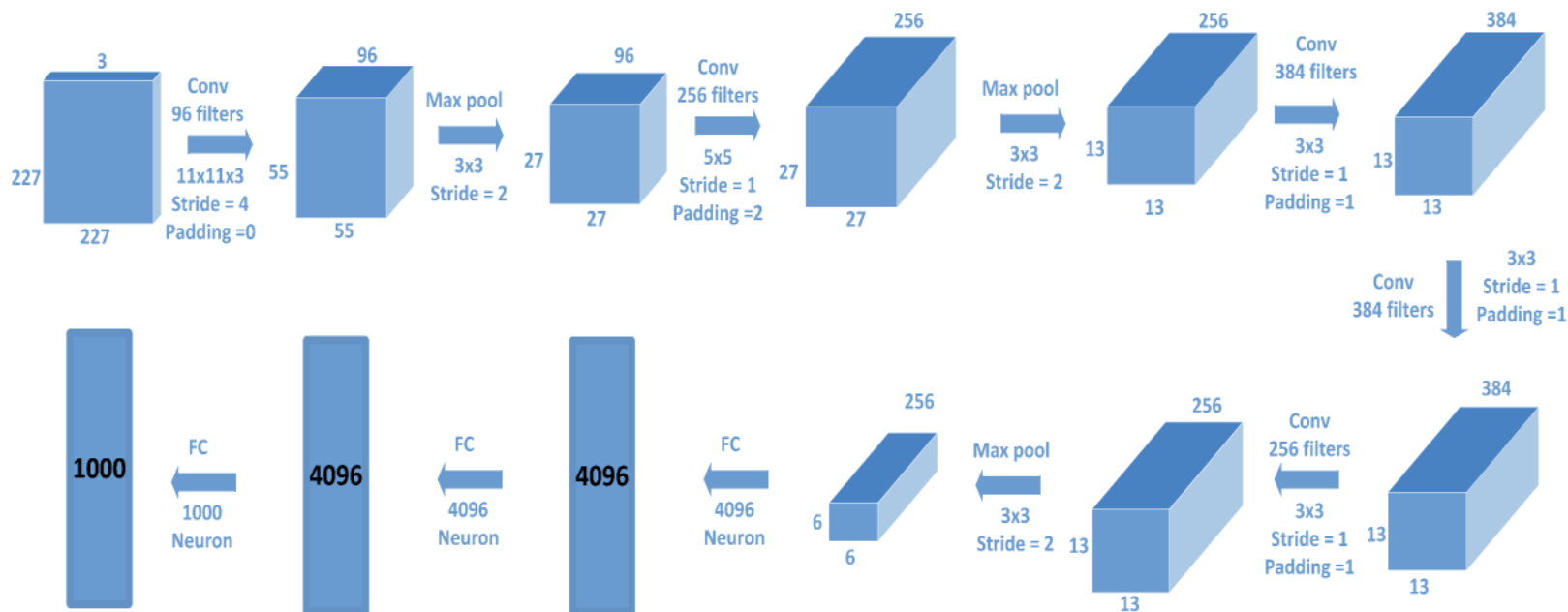


AlexNet (2012)

- AlexNet achieves on ILSVRC 2012 competition 15.3% Top-5 error rate compare to 26.2% achieved by the second best entry.
- AlexNet has 8 layers without counting pooling layers.
- AlexNet trained on two GTX 580 GPUs for five to six days



AlexNet (2012)



Total (label and softmax not included)

Memory: 2.24 million

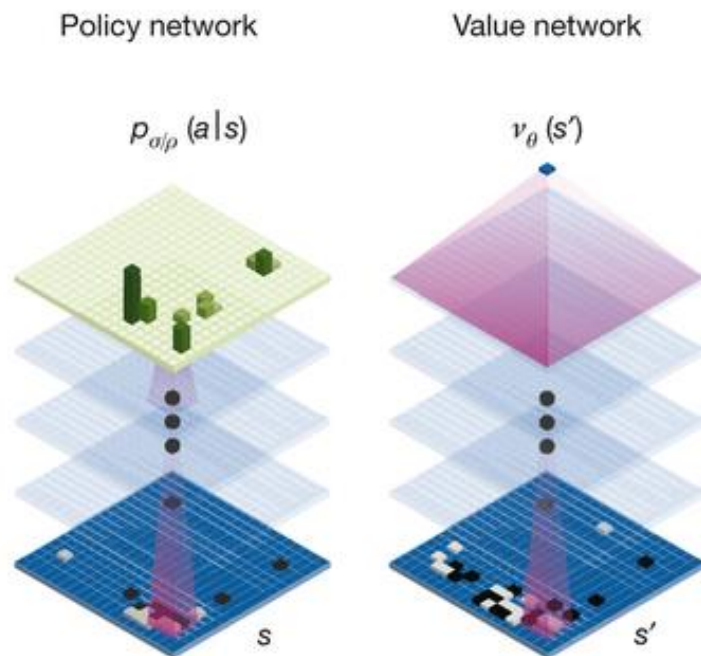
Weights: 62.37 million

(Figure from Dr. Mohamed Loey)

AlexNet (2012)

- ReLU
- Norm layers
- Data augmentation
- Dropout 0.5
- Batch size is 128
- SGD Momentum 0.9
- Learning rate $1e-2$

Deep CNN in AlphaGO



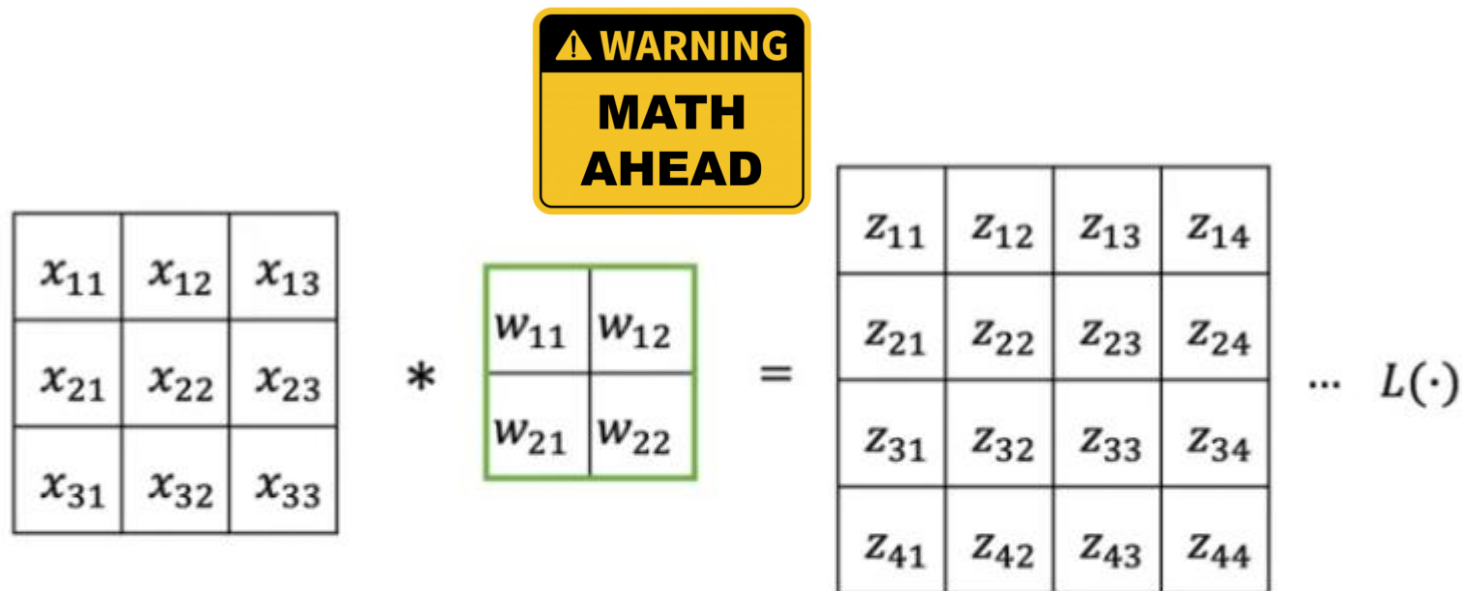
(Silver et al, 2016)

Policy network:

- Input: 19x19, 48 input channels
- Layer 1: 5x5 kernel, 192 filters
- Layer 2 to 12: 3x3 kernel, 192 filters
- Layer 13: 1x1 kernel, 1 filter

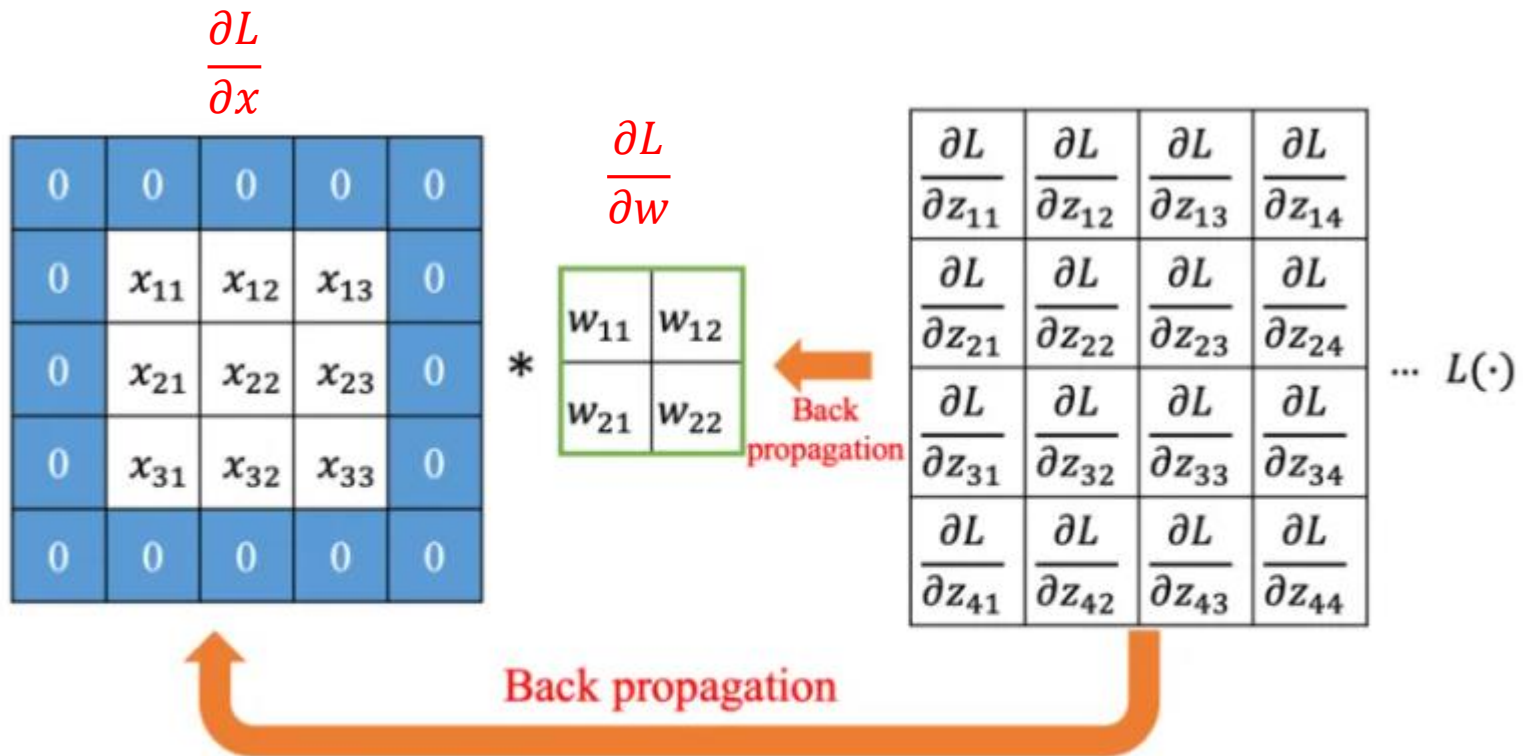
Value network has similar architecture to policy network

How to backpropagate with convolution?



Padding (p)= 1
Stride (s)= 1

$L(\cdot)$ = Loss function.



Padding (p)= 1

Stride (s)= 1

$L(\cdot)$ = Loss function.

Ref: https://www.brilliantcode.net/1670/convolutional-neural-networks-4-backpropagation-in-kernels-of-cnns/?cli_action=1604504837.339

$$\begin{aligned}
z_{11} &= 0w_{11} + 0w_{12} + 0w_{21} + x_{11}w_{22} \\
z_{12} &= 0w_{11} + 0w_{12} + x_{11}w_{21} + x_{12}w_{22} \\
z_{13} &= 0w_{11} + 0w_{12} + x_{12}w_{21} + x_{13}w_{22} \\
z_{14} &= 0w_{11} + 0w_{12} + x_{13}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{21} &= 0w_{11} + x_{11}w_{12} + 0w_{21} + x_{21}w_{22} \\
z_{22} &= x_{11}w_{11} + x_{12}w_{12} + x_{21}w_{21} + x_{22}w_{22} \\
z_{23} &= x_{12}w_{11} + x_{13}w_{12} + x_{22}w_{21} + x_{23}w_{22} \\
z_{24} &= x_{13}w_{11} + 0w_{12} + x_{23}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{31} &= 0w_{11} + x_{21}w_{12} + 0w_{21} + x_{31}w_{22} \\
z_{32} &= x_{21}w_{11} + x_{22}w_{12} + x_{31}w_{21} + x_{32}w_{22} \\
z_{33} &= x_{22}w_{11} + x_{23}w_{12} + x_{32}w_{21} + x_{33}w_{22} \\
z_{34} &= x_{23}w_{11} + 0w_{12} + x_{33}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{41} &= 0w_{11} + x_{31}w_{12} + 0w_{21} + 0w_{22} \\
z_{42} &= x_{31}w_{11} + x_{32}w_{12} + 0w_{21} + 0w_{22} \\
z_{43} &= x_{32}w_{11} + x_{33}w_{12} + 0w_{21} + 0w_{22} \\
z_{44} &= x_{33}w_{11} + 0w_{12} + 0w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{11} &= 0w_{11} + 0w_{12} + 0w_{21} + x_{11}w_{22} \\
z_{12} &= 0w_{11} + 0w_{12} + x_{11}w_{21} + x_{12}w_{22} \\
z_{13} &= 0w_{11} + 0w_{12} + x_{12}w_{21} + x_{13}w_{22} \\
z_{14} &= 0w_{11} + 0w_{12} + x_{13}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{21} &= 0w_{11} + x_{11}w_{12} + 0w_{21} + x_{21}w_{22} \\
z_{22} &= x_{11}w_{11} + x_{12}w_{12} + x_{21}w_{21} + x_{22}w_{22} \\
z_{23} &= x_{12}w_{11} + x_{13}w_{12} + x_{22}w_{21} + x_{23}w_{22} \\
z_{24} &= x_{13}w_{11} + 0w_{12} + x_{23}w_{21} + 0w_{22}
\end{aligned}$$

$$\frac{\partial L}{\partial w_{11}} \quad ?$$

$$\begin{aligned}
z_{31} &= 0w_{11} + x_{21}w_{12} + 0w_{21} + x_{31}w_{22} \\
z_{32} &= x_{21}w_{11} + x_{22}w_{12} + x_{31}w_{21} + x_{32}w_{22} \\
z_{33} &= x_{22}w_{11} + x_{23}w_{12} + x_{32}w_{21} + x_{33}w_{22} \\
z_{34} &= x_{23}w_{11} + 0w_{12} + x_{33}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{41} &= 0w_{11} + x_{31}w_{12} + 0w_{21} + 0w_{22} \\
z_{42} &= x_{31}w_{11} + x_{32}w_{12} + 0w_{21} + 0w_{22} \\
z_{43} &= x_{32}w_{11} + x_{33}w_{12} + 0w_{21} + 0w_{22} \\
z_{44} &= x_{33}w_{11} + 0w_{12} + 0w_{21} + 0w_{22}
\end{aligned}$$

$$\frac{\partial L}{\partial w} = \frac{\partial L}{\partial z} \frac{\partial z}{\partial w}$$

$$\begin{aligned} \frac{\partial L}{\partial w_{11}} &= \frac{\partial L}{\partial z_{22}} \frac{\partial z_{22}}{\partial w_{11}} + \frac{\partial L}{\partial z_{23}} \frac{\partial z_{23}}{\partial w_{11}} + \frac{\partial L}{\partial z_{24}} \frac{\partial z_{24}}{\partial w_{11}} \\ &\quad + \frac{\partial L}{\partial z_{32}} \frac{\partial z_{32}}{\partial w_{11}} + \frac{\partial L}{\partial z_{33}} \frac{\partial z_{33}}{\partial w_{11}} + \frac{\partial L}{\partial z_{34}} \frac{\partial z_{34}}{\partial w_{11}} \\ &\quad + \frac{\partial L}{\partial z_{42}} \frac{\partial z_{42}}{\partial w_{11}} + \frac{\partial L}{\partial z_{43}} \frac{\partial z_{43}}{\partial w_{11}} + \frac{\partial L}{\partial z_{44}} \frac{\partial z_{44}}{\partial w_{11}} \\ &= \frac{\partial L}{\partial z_{22}} x_{11} + \frac{\partial L}{\partial z_{23}} x_{12} + \frac{\partial L}{\partial z_{24}} x_{13} \\ &\quad + \frac{\partial L}{\partial z_{32}} x_{21} + \frac{\partial L}{\partial z_{33}} x_{22} + \frac{\partial L}{\partial z_{34}} x_{23} \\ &\quad + \frac{\partial L}{\partial z_{42}} x_{31} + \frac{\partial L}{\partial z_{43}} x_{32} + \frac{\partial L}{\partial z_{44}} x_{33} \end{aligned}$$

$$\begin{aligned}
\frac{\partial L}{\partial w_{11}} &= \frac{\partial L}{\partial z_{22}} x_{11} + \frac{\partial L}{\partial z_{23}} x_{12} + \frac{\partial L}{\partial z_{24}} x_{13} \\
&\quad + \frac{\partial L}{\partial z_{32}} x_{12} + \frac{\partial L}{\partial z_{33}} x_{22} + \frac{\partial L}{\partial z_{34}} x_{23} \\
&\quad + \frac{\partial L}{\partial z_{42}} x_{31} + \frac{\partial L}{\partial z_{43}} x_{32} + \frac{\partial L}{\partial z_{44}} x_{33} \\
\\
\frac{\partial L}{\partial w_{12}} &= \frac{\partial L}{\partial z_{21}} x_{11} + \frac{\partial L}{\partial z_{22}} x_{12} + \frac{\partial L}{\partial z_{23}} x_{13} + \\
&\quad + \frac{\partial L}{\partial z_{31}} x_{21} + \frac{\partial L}{\partial z_{32}} x_{22} + \frac{\partial L}{\partial z_{33}} x_{23} \\
&\quad + \frac{\partial L}{\partial z_{41}} x_{31} + \frac{\partial L}{\partial z_{42}} x_{32} + \frac{\partial L}{\partial z_{43}} x_{33} \\
\\
\frac{\partial L}{\partial w_{21}} &= \frac{\partial L}{\partial z_{12}} x_{11} + \frac{\partial L}{\partial z_{13}} x_{12} + \frac{\partial L}{\partial z_{14}} x_{13} \\
&\quad + \frac{\partial L}{\partial z_{22}} x_{21} + \frac{\partial L}{\partial z_{23}} x_{22} + \frac{\partial L}{\partial z_{24}} x_{23} \\
&\quad + \frac{\partial L}{\partial z_{32}} x_{31} + \frac{\partial L}{\partial z_{33}} x_{32} + \frac{\partial L}{\partial z_{34}} x_{33} \\
\\
\frac{\partial L}{\partial w_{22}} &= \frac{\partial L}{\partial z_{11}} x_{11} + \frac{\partial L}{\partial z_{12}} x_{12} + \frac{\partial L}{\partial z_{13}} x_{13} \\
&\quad + \frac{\partial L}{\partial z_{21}} x_{21} + \frac{\partial L}{\partial z_{22}} x_{22} + \frac{\partial L}{\partial z_{23}} x_{23} \\
&\quad + \frac{\partial L}{\partial z_{31}} x_{31} + \frac{\partial L}{\partial z_{32}} x_{32} + \frac{\partial L}{\partial z_{33}} x_{33}
\end{aligned}$$

$$\begin{aligned}
z_{11} &= 0w_{11} + 0w_{12} + 0w_{21} + x_{11}w_{22} \\
z_{12} &= 0w_{11} + 0w_{12} + x_{11}w_{21} + x_{12}w_{22} \\
z_{13} &= 0w_{11} + 0w_{12} + x_{12}w_{21} + x_{13}w_{22} \\
z_{14} &= 0w_{11} + 0w_{12} + x_{13}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{21} &= 0w_{11} + x_{11}w_{12} + 0w_{21} + x_{21}w_{22} \\
z_{22} &= x_{11}w_{11} + x_{12}w_{12} + x_{21}w_{21} + x_{22}w_{22} \\
z_{23} &= x_{12}w_{11} + x_{13}w_{12} + x_{22}w_{21} + x_{23}w_{22} \\
z_{24} &= x_{13}w_{11} + 0w_{12} + x_{23}w_{21} + 0w_{22}
\end{aligned}$$

$$\frac{\partial L}{\partial x_{11}}$$

?

$$\begin{aligned}
z_{31} &= 0w_{11} + x_{21}w_{12} + 0w_{21} + x_{31}w_{22} \\
z_{32} &= x_{21}w_{11} + x_{22}w_{12} + x_{31}w_{21} + x_{32}w_{22} \\
z_{33} &= x_{22}w_{11} + x_{23}w_{12} + x_{32}w_{21} + x_{33}w_{22} \\
z_{34} &= x_{23}w_{11} + 0w_{12} + x_{33}w_{21} + 0w_{22}
\end{aligned}$$

$$\begin{aligned}
z_{41} &= 0w_{11} + x_{31}w_{12} + 0w_{21} + 0w_{22} \\
z_{42} &= x_{31}w_{11} + x_{32}w_{12} + 0w_{21} + 0w_{22} \\
z_{43} &= x_{32}w_{11} + x_{33}w_{12} + 0w_{21} + 0w_{22} \\
z_{44} &= x_{33}w_{11} + 0w_{12} + 0w_{21} + 0w_{22}
\end{aligned}$$

$$\frac{\partial L}{\partial x} = \frac{\partial L}{\partial z} \frac{\partial z}{\partial x}$$

$$\frac{\partial L}{\partial x_{11}} = \frac{\partial L}{\partial z_{11}} \frac{\partial z_{11}}{\partial x_{11}} + \frac{\partial L}{\partial z_{12}} \frac{\partial z_{12}}{\partial x_{11}} + \frac{\partial L}{\partial z_{21}} \frac{\partial z_{21}}{\partial x_{11}} + \frac{\partial L}{\partial z_{22}} \frac{\partial z_{22}}{\partial x_{11}}$$

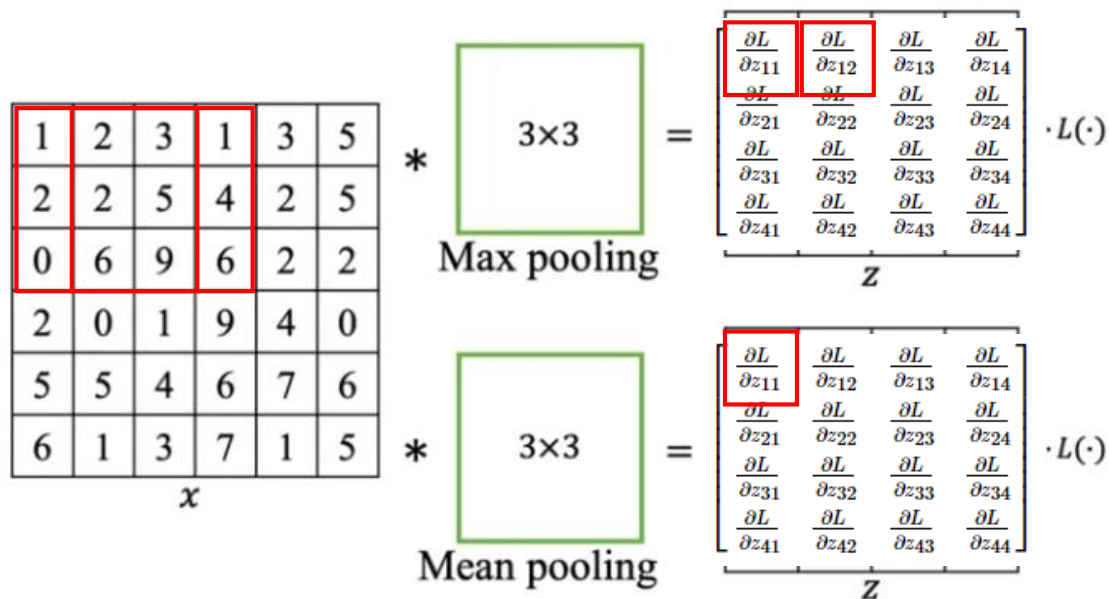
$$= \frac{\partial L}{\partial z_{11}} w_{22} + \frac{\partial L}{\partial z_{12}} w_{21} + \frac{\partial L}{\partial z_{21}} w_{12} + \frac{\partial L}{\partial z_{22}} w_{11}$$

$$\frac{\partial L}{\partial x_{22}} = \frac{\partial L}{\partial z_{22}} \frac{\partial z_{22}}{\partial x_{22}} + \frac{\partial L}{\partial z_{23}} \frac{\partial z_{23}}{\partial x_{22}} + \frac{\partial L}{\partial z_{32}} \frac{\partial z_{32}}{\partial x_{22}} + \frac{\partial L}{\partial z_{33}} \frac{\partial z_{33}}{\partial x_{22}}$$

$$= \frac{\partial L}{\partial z_{22}} w_{22} + \frac{\partial L}{\partial z_{23}} w_{21} + \frac{\partial L}{\partial z_{32}} w_{12} + \frac{\partial L}{\partial z_{33}} w_{11}$$

⋮

How about Pooling layers?



Pooling kernel size= (3×3) , Stride (s)= 1, $L(\cdot)$ = Loss function.

Max:

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{\partial L}{\partial z_{11}} + \frac{\partial L}{\partial z_{12}} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Mean:

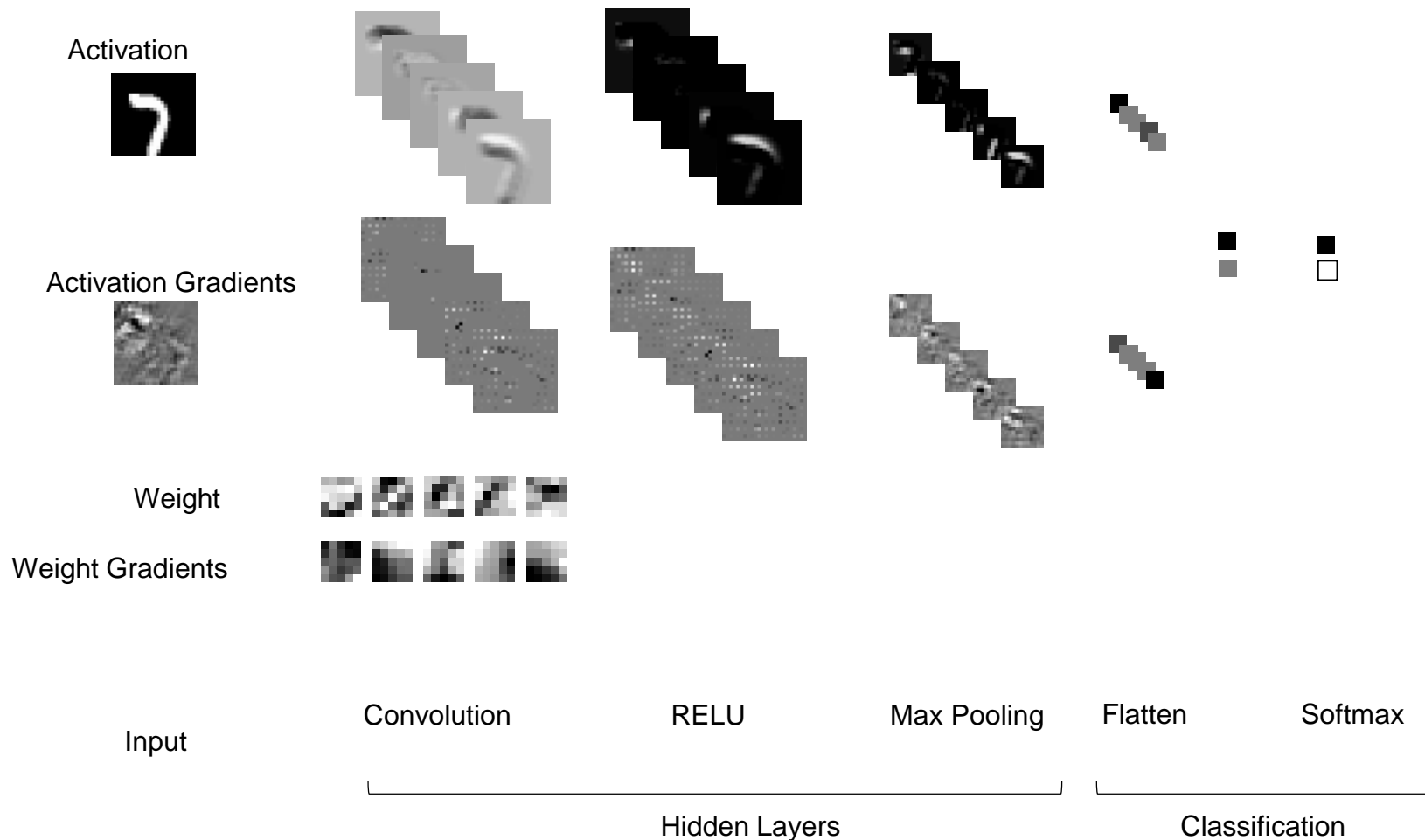
$$\begin{bmatrix} (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & 0 & 0 & 0 \\ (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & 0 & 0 & 0 \\ (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & (\frac{\partial L}{\partial z_{11}})/9 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

CNNs are good for

- Signals that comes to you in the form of (multidimensional) arrays.
- Signals that have strong local correlations
- Signals where features can appear anywhere
- Signals in which objects are invariant to translations.
- 1D CNNs: sequential signals, text
 - Text, music, audio, speech, time series.
- 2D CNNs: images, time-frequency representations (speech and audio)
 - Object detection, localization, recognition
- 3D CNNs: video, volumetric images, tomography images
 - Video recognition / understanding
 - Biomedical image analysis

Model Visualization

<http://cs.stanford.edu/people/karpathy/convnetjs/>



Questions?

How to confuse your ConvNets?

