

Chapter 20: Elementary Graph Algorithms II

About this lecture

- Depth First Search
 - DFS Tree and DFS Forest
- Properties of DFS
 - Parenthesis theorem (very important)
 - White-path theorem (very useful)


Depth First Search (DFS)

- An alternative algorithm to find all vertices reachable from a particular source vertex s
- Idea:
 - Explore a branch as far as possible before exploring another branch
- Easily done by recursion or stack

The DFS Algorithm

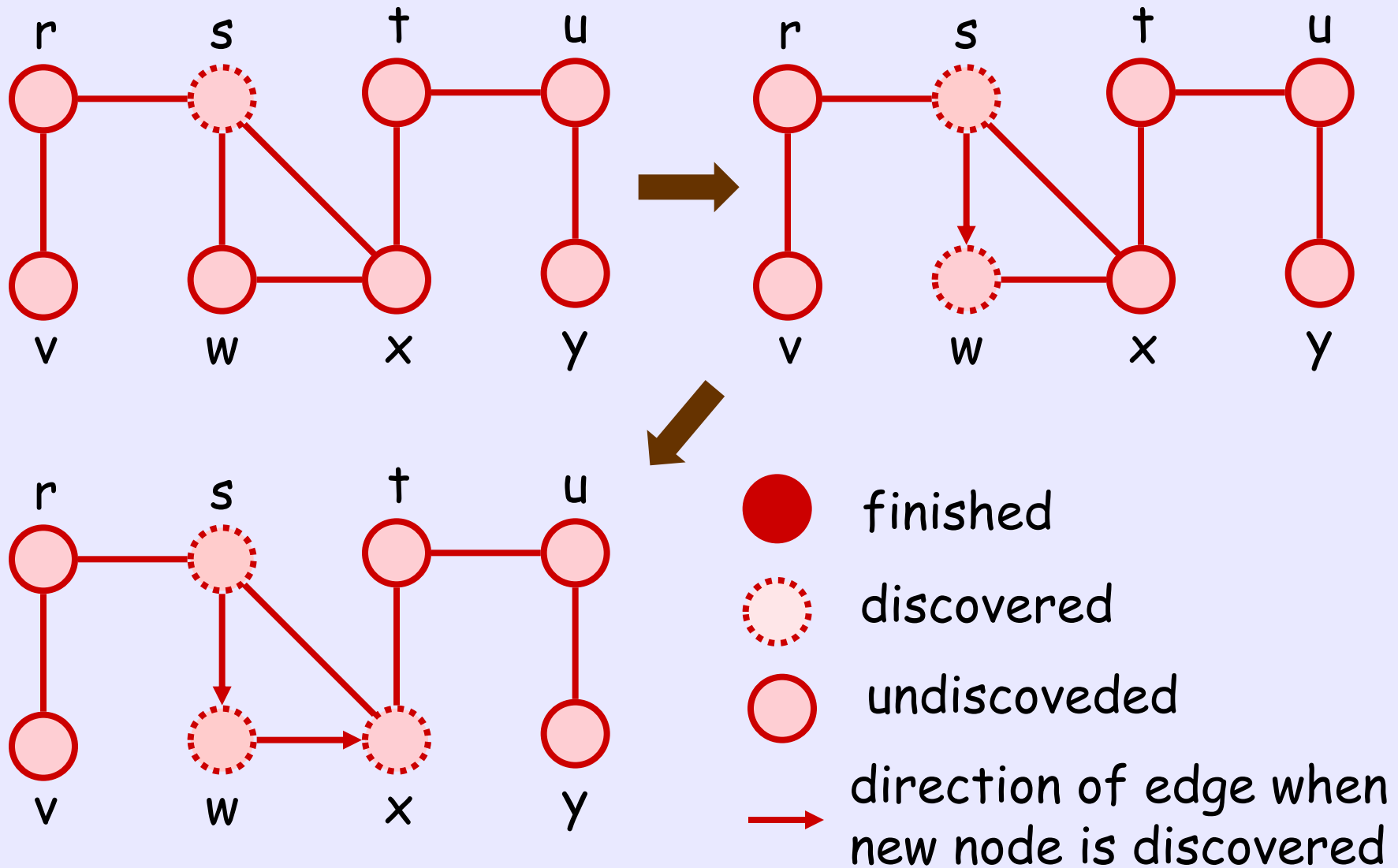
DFS(*u*)

```
{  Mark u as discovered ;  
   while (u has undiscovered neighbor v)  
       DFS(v);  
   Mark u as finished /*visited*/;  
}
```

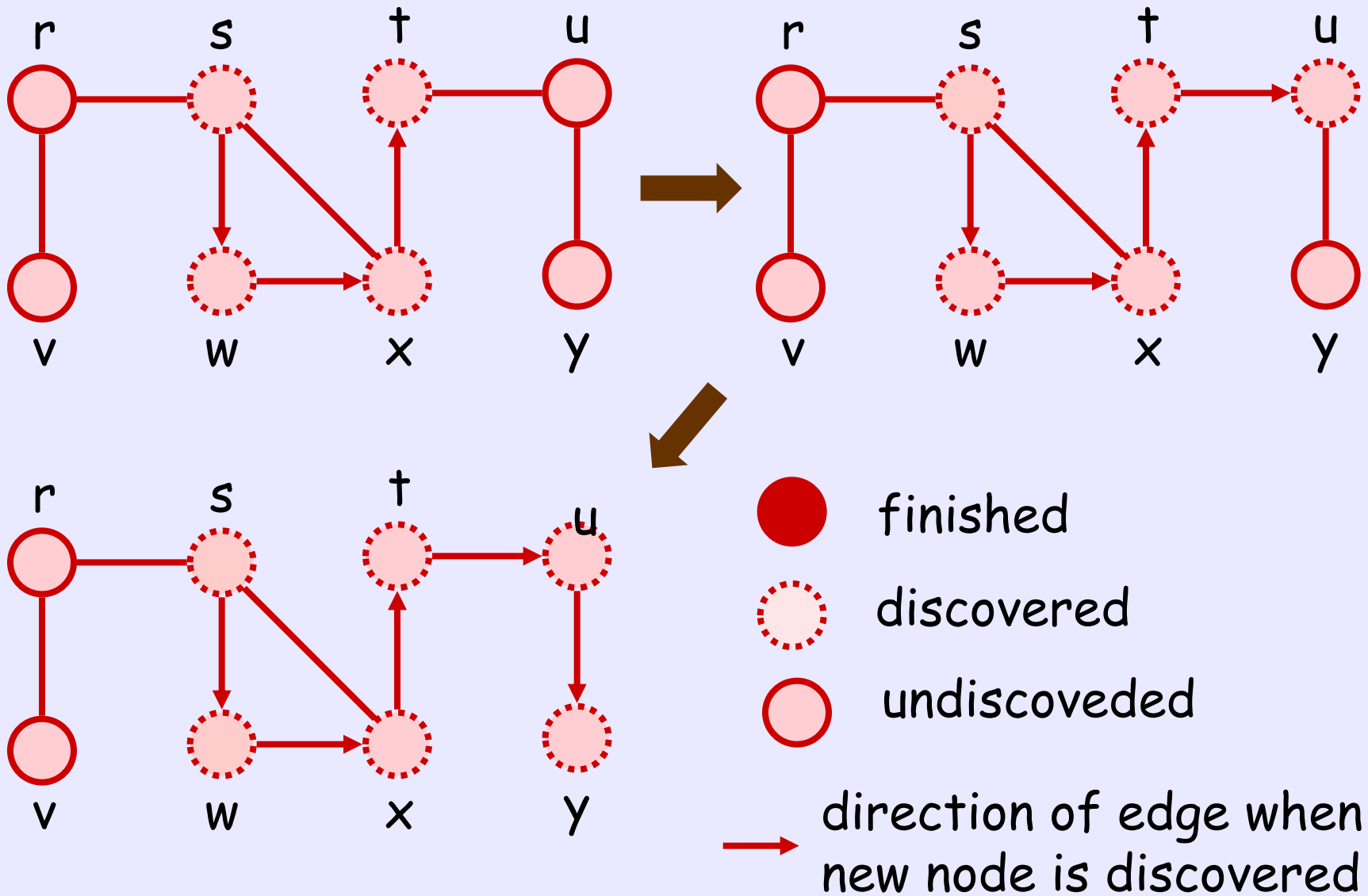


The while-loop explores a branch as far as possible before the next branch

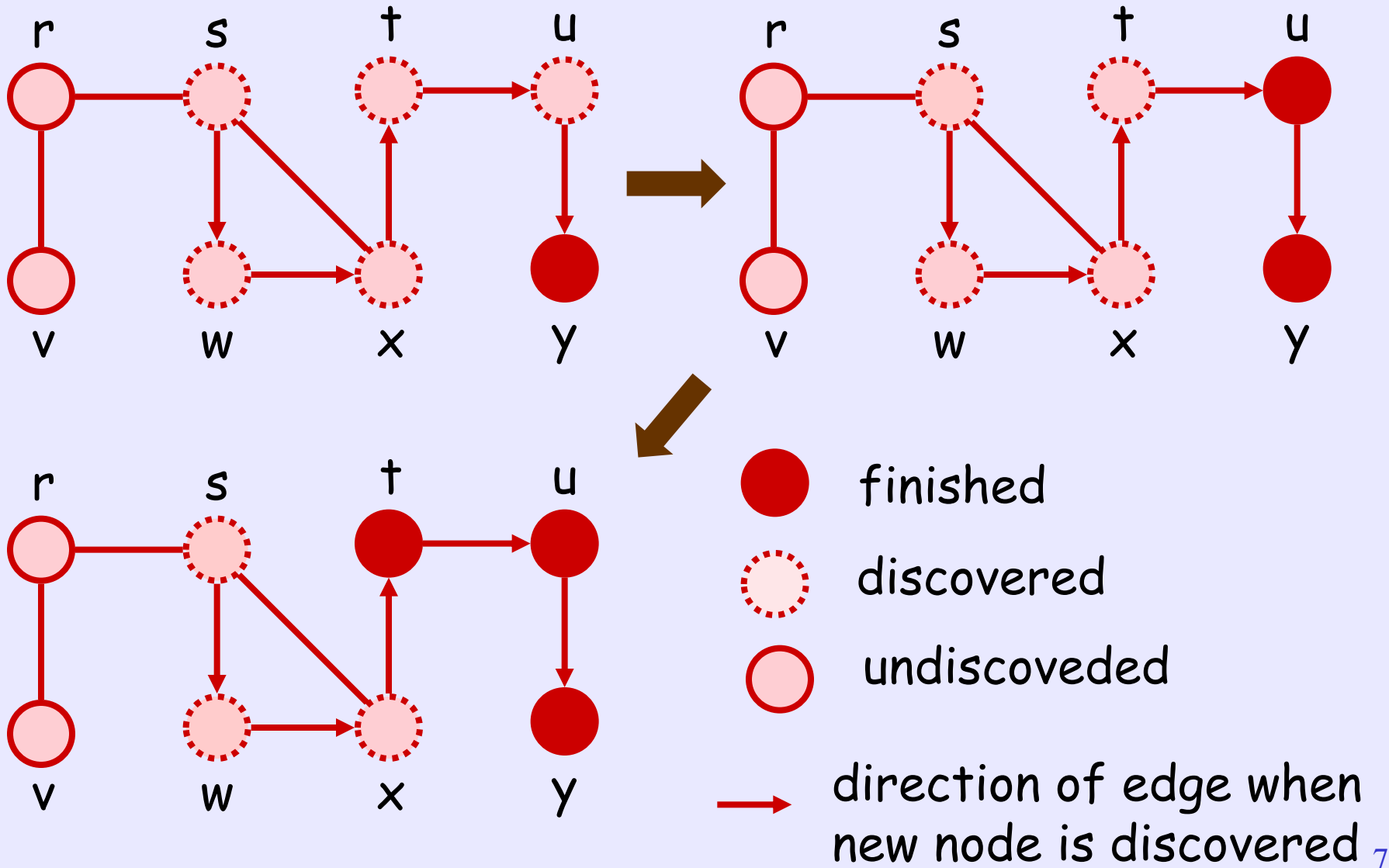
Example (s = source)



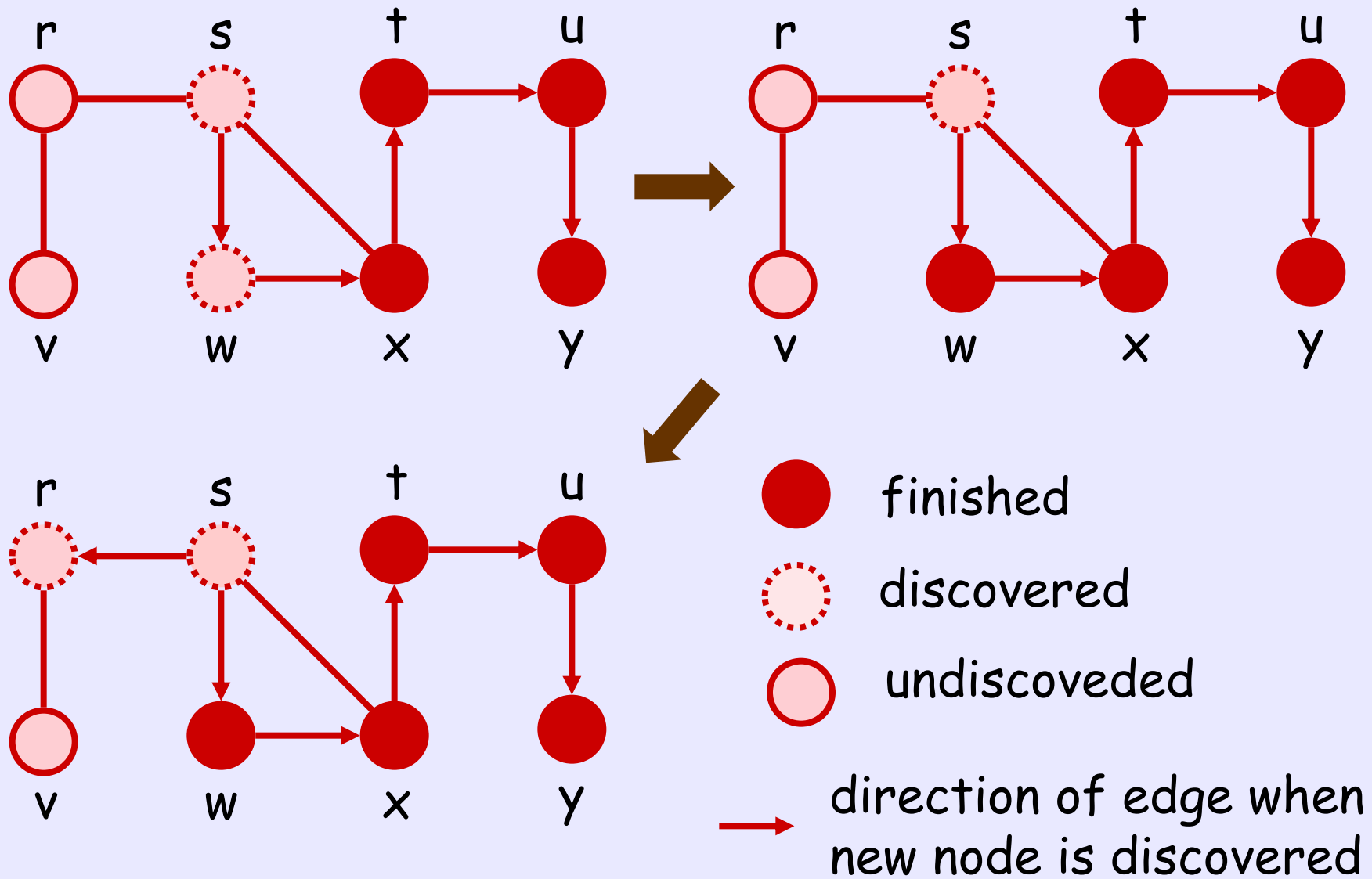
Example (s = source)



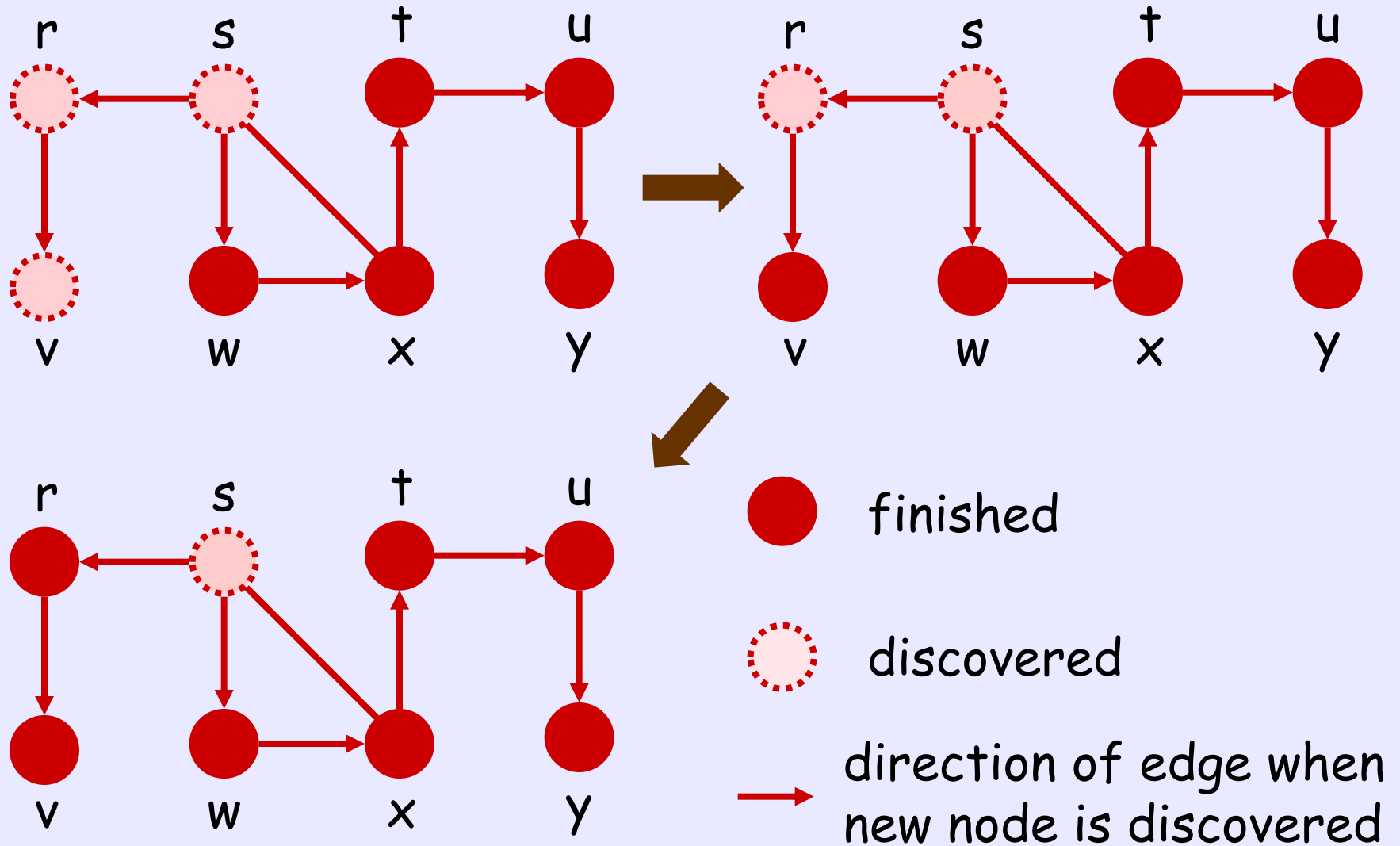
Example (s = source)



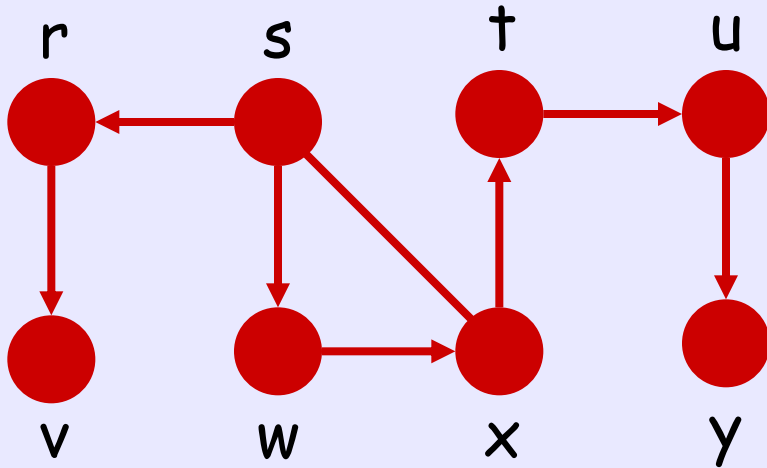
Example (s = source)



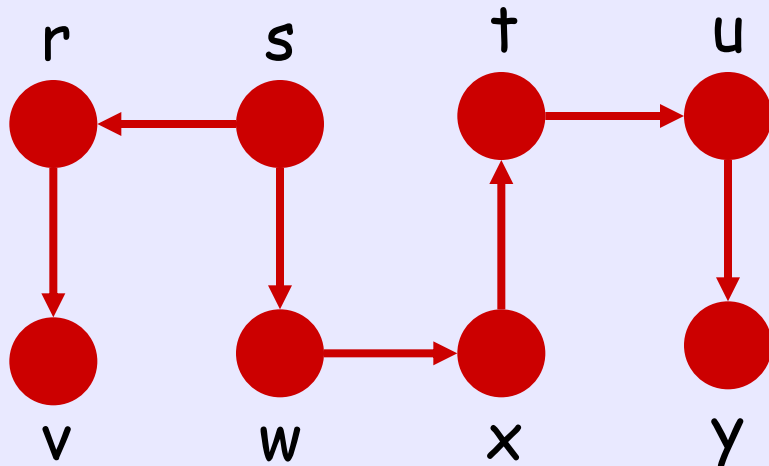
Example (s = source)



Example (s = source)



Done when s is finished



The directed edges form a tree that contains all nodes **reachable** from s

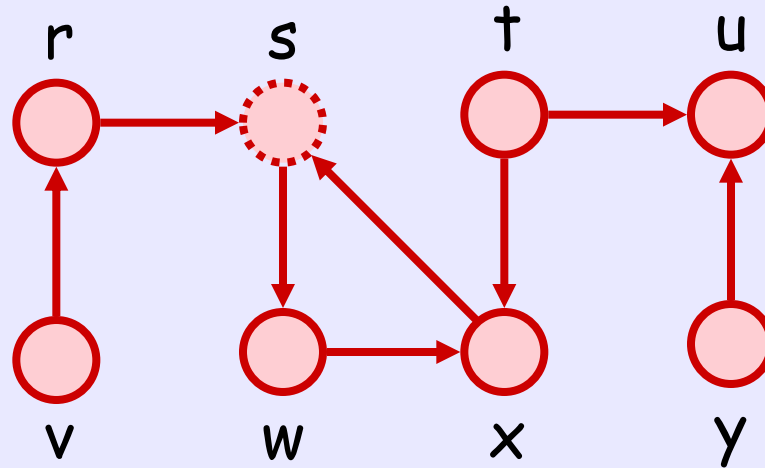
Called **DFS tree** of s

Generalization

- Just like BFS, DFS may not visit all the vertices of the input graph G , because :
 - G may be disconnected
 - G may be directed, and there is no directed path from s to some vertex
- In most application of DFS (as a subroutine) , once DFS tree of s is obtained, we will continue to apply DFS algorithm on any unvisited vertices ...

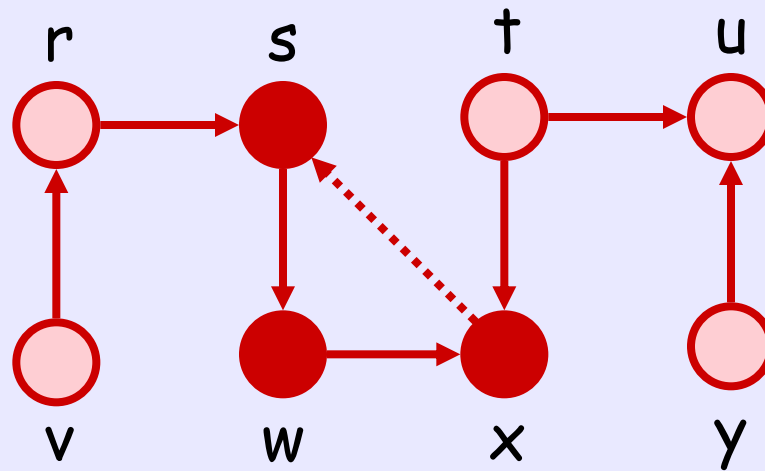
Generalization (Example)

Suppose the input graph is directed



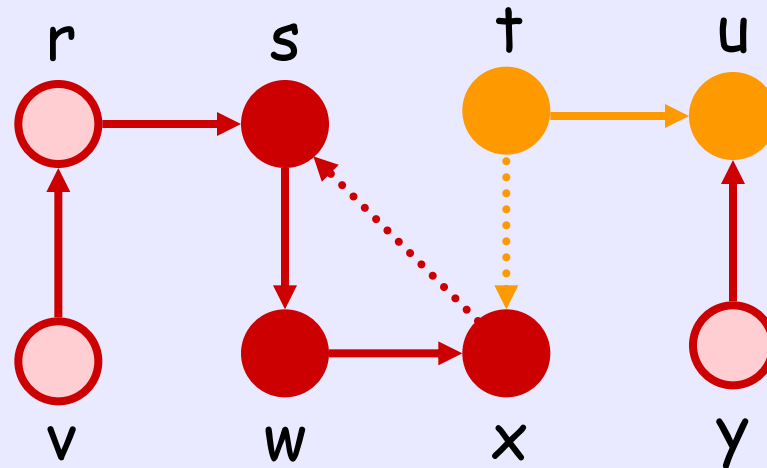
Generalization (Example)

1. After applying DFS on s



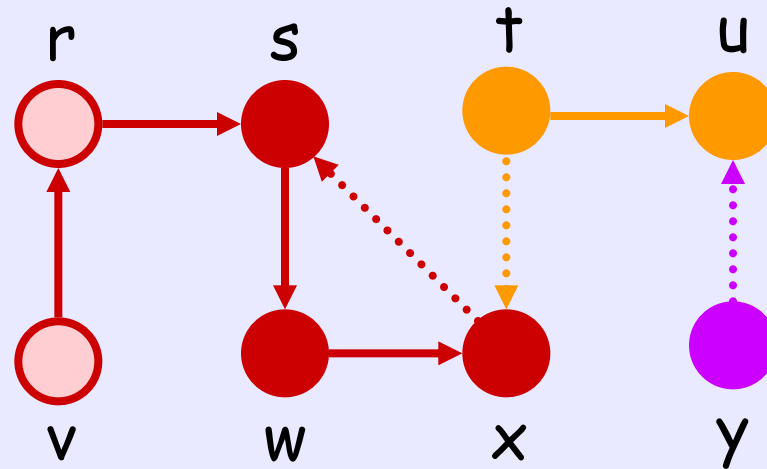
Generalization (Example)

2. Then, after applying DFS on t



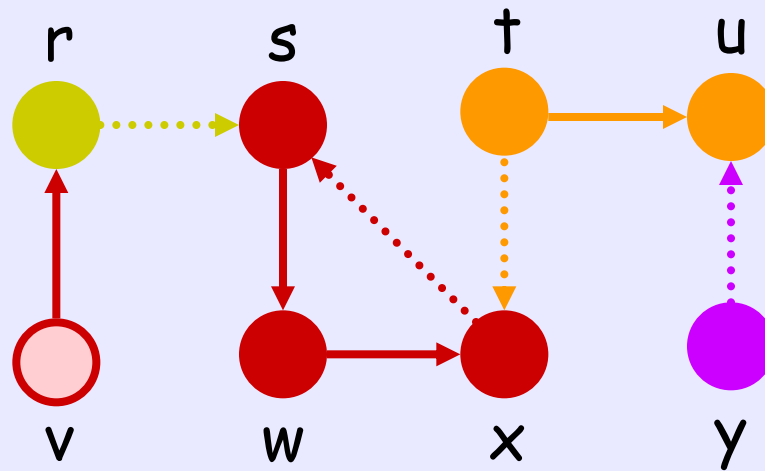
Generalization (Example)

3. Then, after applying DFS on y



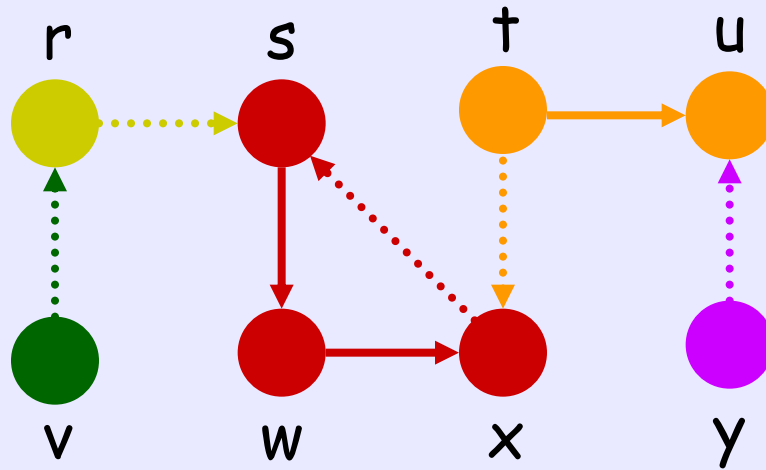
Generalization (Example)

4. Then, after applying DFS on **r**



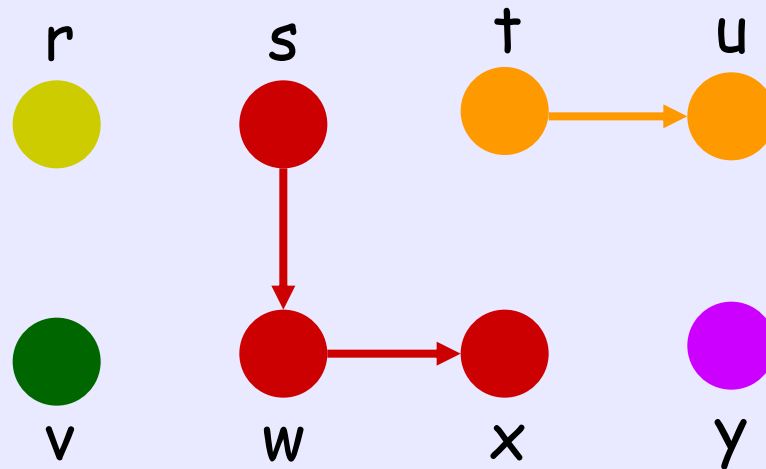
Generalization (Example)

5. Then, after applying DFS on v



Generalization (Example)

Result : a collection of rooted trees
called **DFS forest**



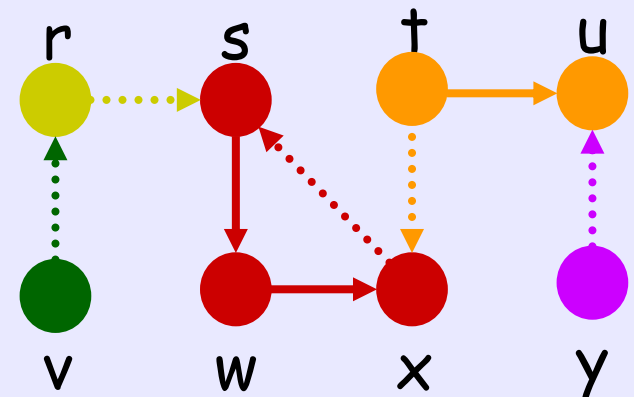
Performance

- Since no vertex is discovered twice, and each edge is visited at most twice (why?)
→ Total time: $O(|V|+|E|)$
- As mentioned, apart from recursion, we can also perform DFS using a LIFO stack (Do you know how?)

Who will be in the same tree ?

- Because we can only explore branches in an unvisited node
→ $\text{DFS}(u)$ may not contain all nodes reachable by u in its DFS tree

E.g, in the previous run,
 v can reach r, s, w, x
but v 's tree does not contain any of them



Can we determine who will be in the same tree ?

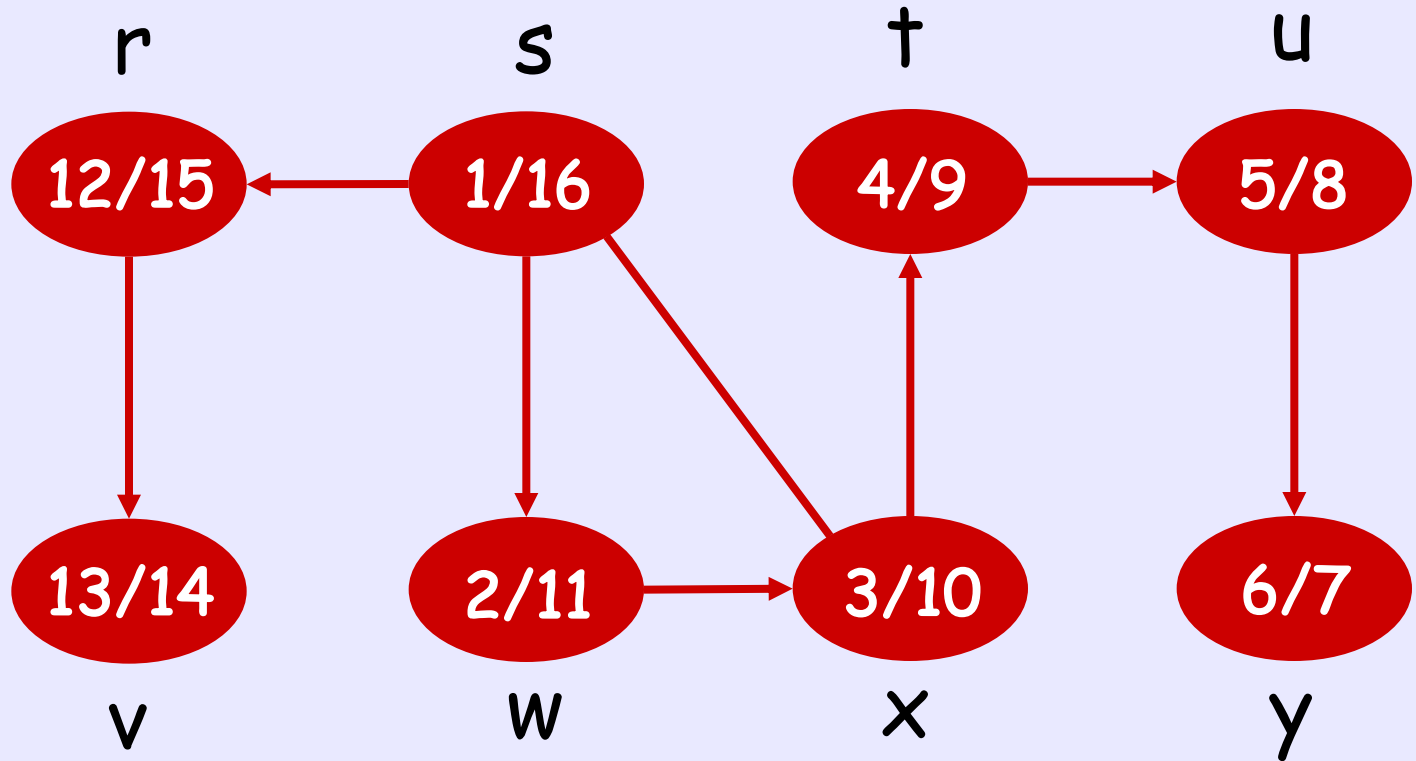
Who will be in the same tree ?

- Yes, we will soon show that by **white-path theorem**, we can determine who will be in the same tree as **v** at the time when **DFS** is performed on **v**
- Before that, we will define the **discovery time** and **finishing time** for each node, and show interesting properties of them

Discovery and Finishing Times

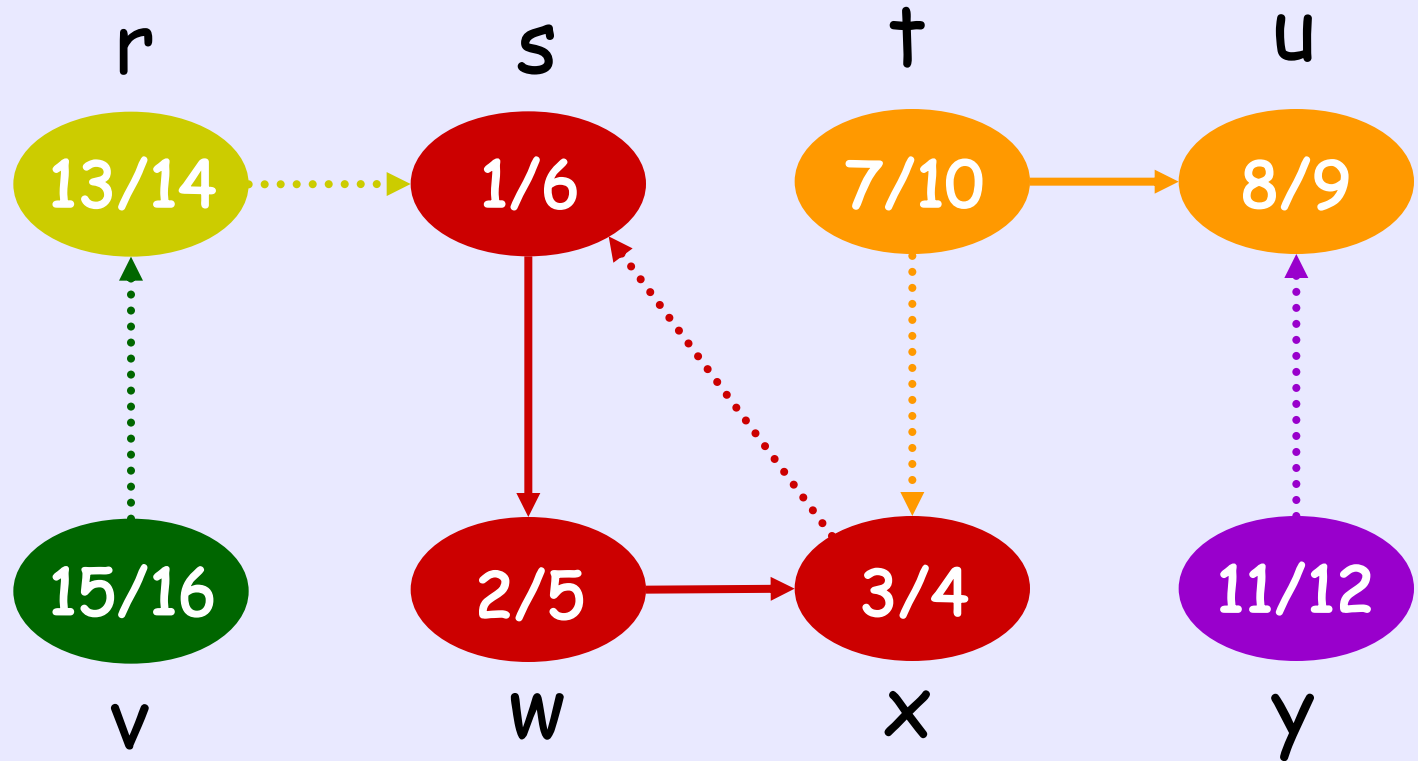
- When the DFS algorithm is run, let us consider a **global time** such that the time increases one unit :
 - when a node is **discovered**, or
 - when a node is **finished**
(i.e., finished exploring all unvisited neighbors)
- Each node **u** records :
 $d(u)$ = the time when **u** is **discovered**, and
 $f(u)$ = the time when **u** is **finished**

Discovery and Finishing Times



In our first example
(undirected graph)

Discovery and Finishing Times



In our second example
(directed graph)

Nice Properties

- Lemma: For any node u , $d(u) < f(u)$
- Lemma: For nodes u and v ,
 $d(u)$, $d(v)$, $f(u)$, $f(v)$ are all distinct
- Theorem (Parenthesis Theorem):
Let u and v be two nodes with $d(u) < d(v)$
Then, either
 1. $d(u) < d(v) < f(v) < f(u)$ [contain], or
 2. $d(u) < f(u) < d(v) < f(v)$ [disjoint]

Proof of Parenthesis Theorem

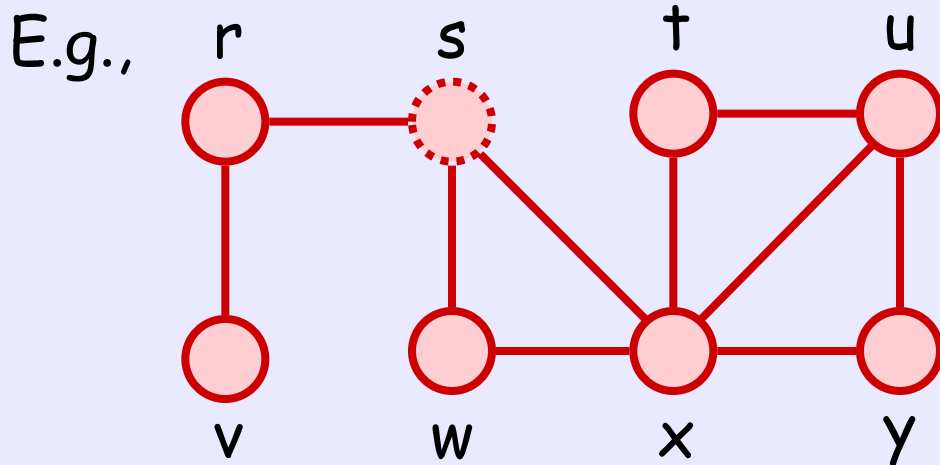
- Consider the time when v is discovered
- Since u is discovered before v , there are two cases concerning the status of u :
 - Case 1: (u is not finished)
This implies v is a descendant of u
 $\Rightarrow f(v) < f(u)$ (why?)
 - Case 2: (u is finished)
 $\Rightarrow f(u) < d(v)$

Corollary

- Corollary:
 v is a (proper) descendant of u
 if and only if
 $d(u) < d(v) < f(v) < f(u)$
- Proof: v is a (proper) descendant of u
 $\Leftrightarrow d(u) < d(v)$ and $f(v) < f(u)$
 $\Leftrightarrow d(u) < d(v) < f(v) < f(u)$

White-Path Theorem

- Theorem: In a **DF** forest of a graph $G = (V, E)$, vertex v is a descendant of vertex u **if and only if** at the time $d(u)$ that the search discovers u , there is a path from u to v consisting entirely of white nodes (**undiscovered nodes**) only



Proof (Part 1)

- \Rightarrow Suppose that v is a descendant of u
Let $P = (u, w_1, w_2, \dots, w_k, v)$ be the directed path from u to v in DFS tree of u
Then, apart from u , each node on P must be discovered after u
 - \rightarrow They are all unvisited by the time we perform DFS on u
 - \rightarrow Thus, at this time, there exists a path from u to v with unvisited nodes only

Proof (Part 2)

- So, every descendant of u is reachable from u with **unvisited nodes only**
 - To complete the proof, it remains to show the **converse (\Leftarrow)** :
 - ✓ Any node reachable from u with **unvisited nodes only** becomes u 's descendant is also true
- (We shall prove this by contradiction)

Proof (Part 2)

- Suppose on contrary the converse is false
 - Then, there exists some v , reachable from u with **unvisited nodes only**, does not become u 's descendant
 - If more than one choice of v , let v be one such vertex closest to u
 - By Parenthesis Theorem
- $d(u) < f(u) < d(v) < f(v) \quad \dots \text{EQ.1}$

Proof (Part 2)

- Let $P = (u, w_1, w_2, \dots, w_k, v)$ be any path from u to v using **unvisited nodes only**
- By our choice of v (closest one), all w_1, w_2, \dots, w_k become u 's descendants
- This implies:
$$d(u) \leq d(w_k) < f(w_k) \leq f(u)$$

Handle special case: when $u = w_k$
- Combining with EQ.1, we have
$$d(w_k) < f(w_k) < d(v) < f(v)$$

Proof (Part 2)

- However, since there is an edge (no matter undirected or directed) from w_k to v ,
if $d(w_k) < d(v)$, then we must have

$$d(v) < f(w_k) \quad \dots \text{(why??)}$$

- Consequently, it contradicts with :

$$d(w_k) < \underline{f(w_k)} < d(v) < f(v)$$

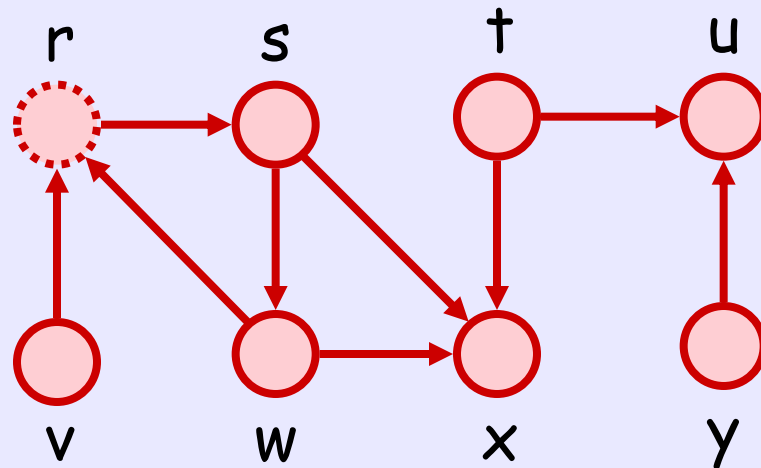
→ Proof completes

Classification of Tree Edges

- After a DFS process, we can classify the edges of a graph into four types :
 1. **Tree**: Edges in the DFS forest
 2. **Back**: From descendant to an ancestor when explored (include self-loop)
 3. **Forward**: From ancestor to descendant when explored (exclude tree edge)
 4. **Cross**: Others (no ancestor-descendant relation)

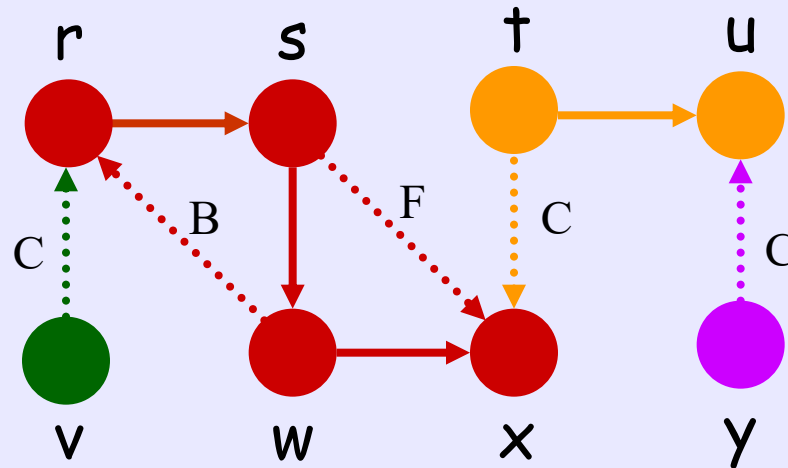
Example

Suppose the input graph is directed



Example

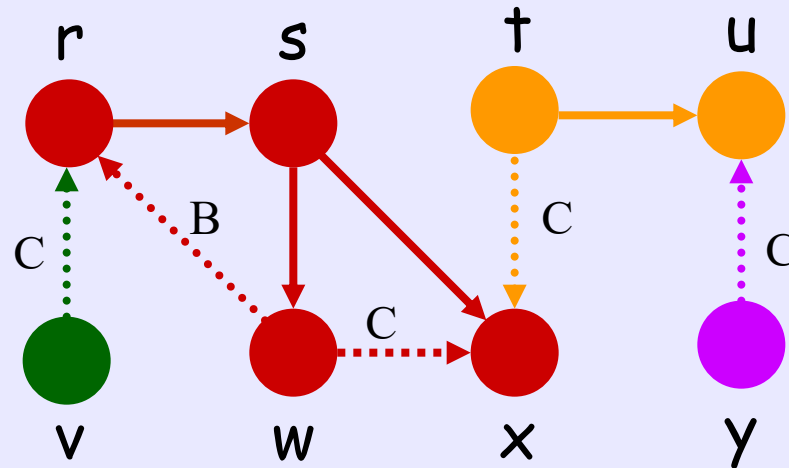
Suppose this is the DFS forest obtained



Can you classify the type of each edge ?

Example

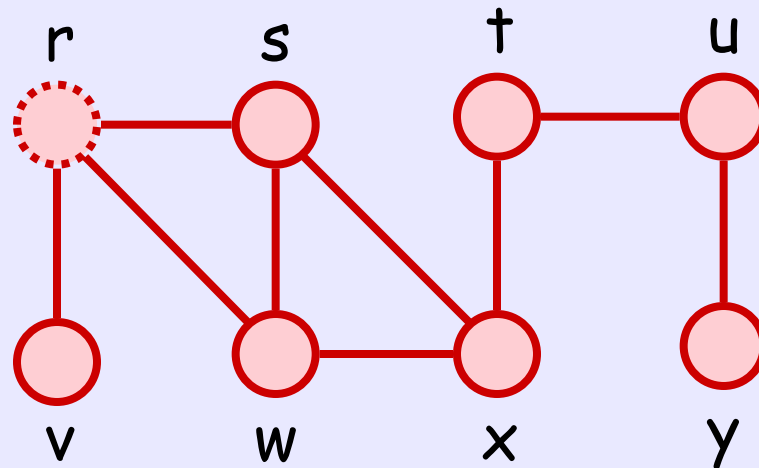
Suppose the DFS forest is different
now ...



Can you classify the type of each edge ?

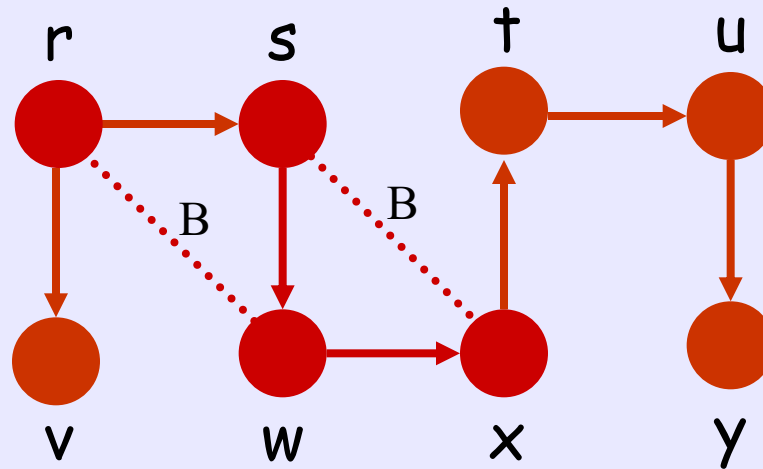
Example

Suppose the input graph is undirected



Example

Suppose this is the DFS forest obtained



Can you classify the type of each edge ?

Edges in Undirected Graph

- Theorem: After DFS of an undirected graph, every edge is either a tree edge or a back edge
- Proof: Let (u,v) be an edge. Suppose u is discovered first. Then, v will become u 's descendent (white-path) so that $f(v) < f(u)$
 - If u discovers $v \Rightarrow (u,v)$ is tree edge
 - Else, (u,v) is explored after v discovered
Then, (u,v) must be explored from v
because $f(v) < f(u) \Rightarrow (u,v)$ is back edge

Practice at Home

- Exercise: 20.3-5, 20.3-6, 20.3-7, 20.3-8, 20.3-10, 20.3-11