

# Boundary Equilibrium GAN

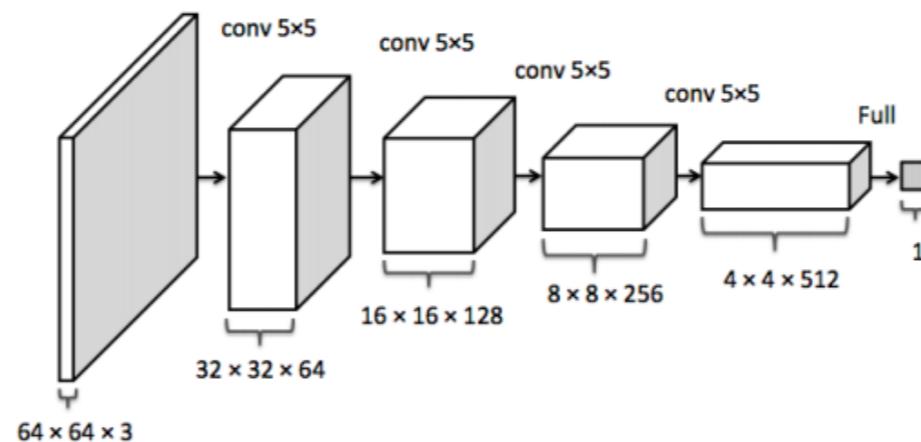
Berthelot, Schumm and Metz  
at Google  
2017.03

# Boundary Equilibrium GAN

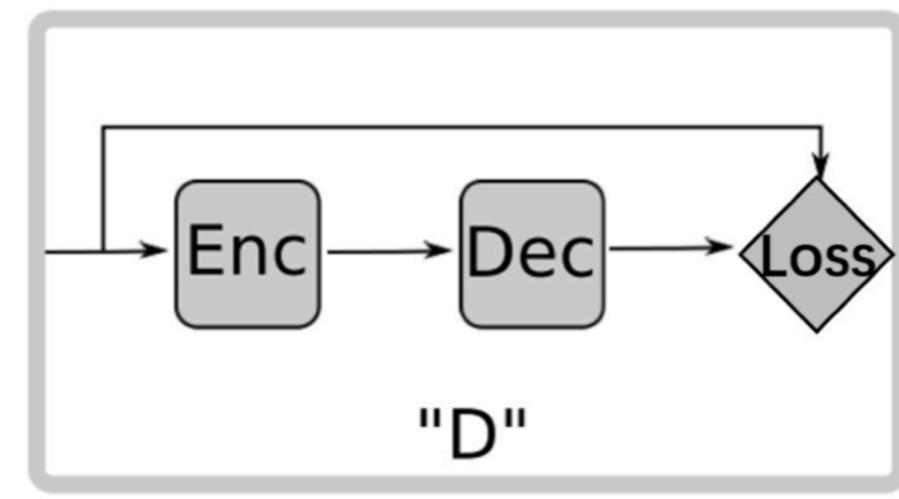
- HighLights
  - AutoEncoder architecture as Discriminator
  - Distribution metric among AE-Loss (rather than data)
  - Boundary Equilibrium and Convergence Measure
- Experiments
- Some Problems

# AutoEncoder as Discriminator

- Common GANs use a discriminative network (normally last layer as softmax)



- BEGAN takes an AutoEncoder as discriminator



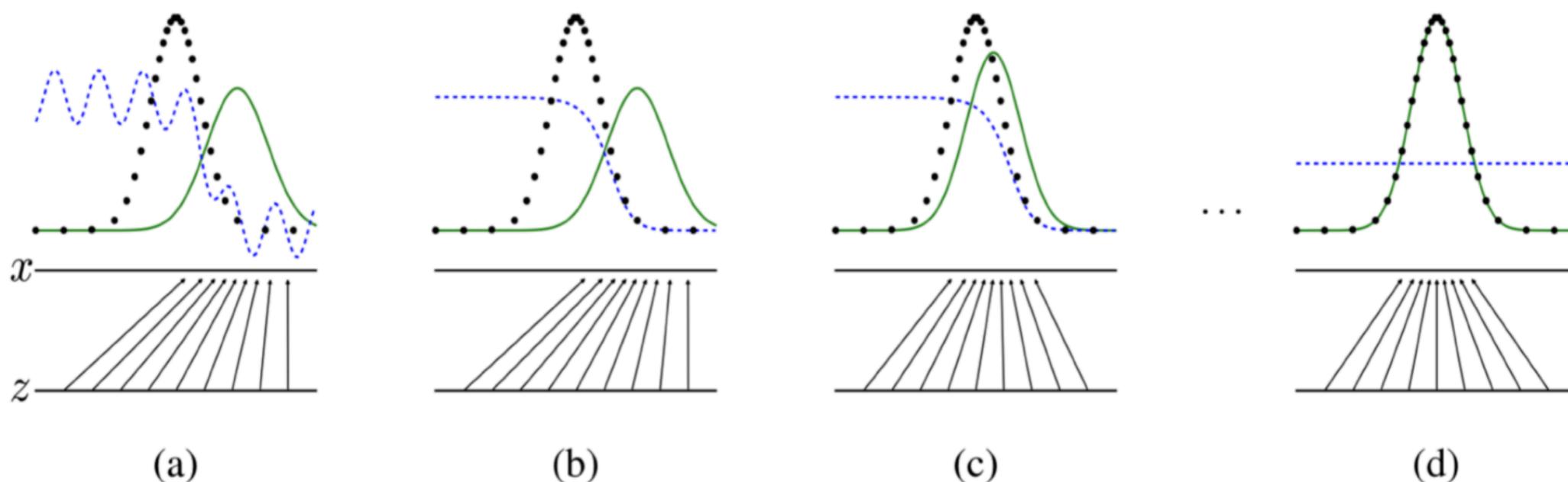
# Change of Distribution Assumption

- Original GAN, model **data-points** into distributions

Blue dashed line —— discriminative distribution (D)

Black dashed line —— data distribution  $P_x$

Green solid line —— generative distribution  $P_g$  (G)



Lower horizontal line —— domain of  $z$  (noise)

Upper horizontal line —— domain of  $x$

# Change of Distribution Assumption

- Original GAN, model **data-points** into distributions

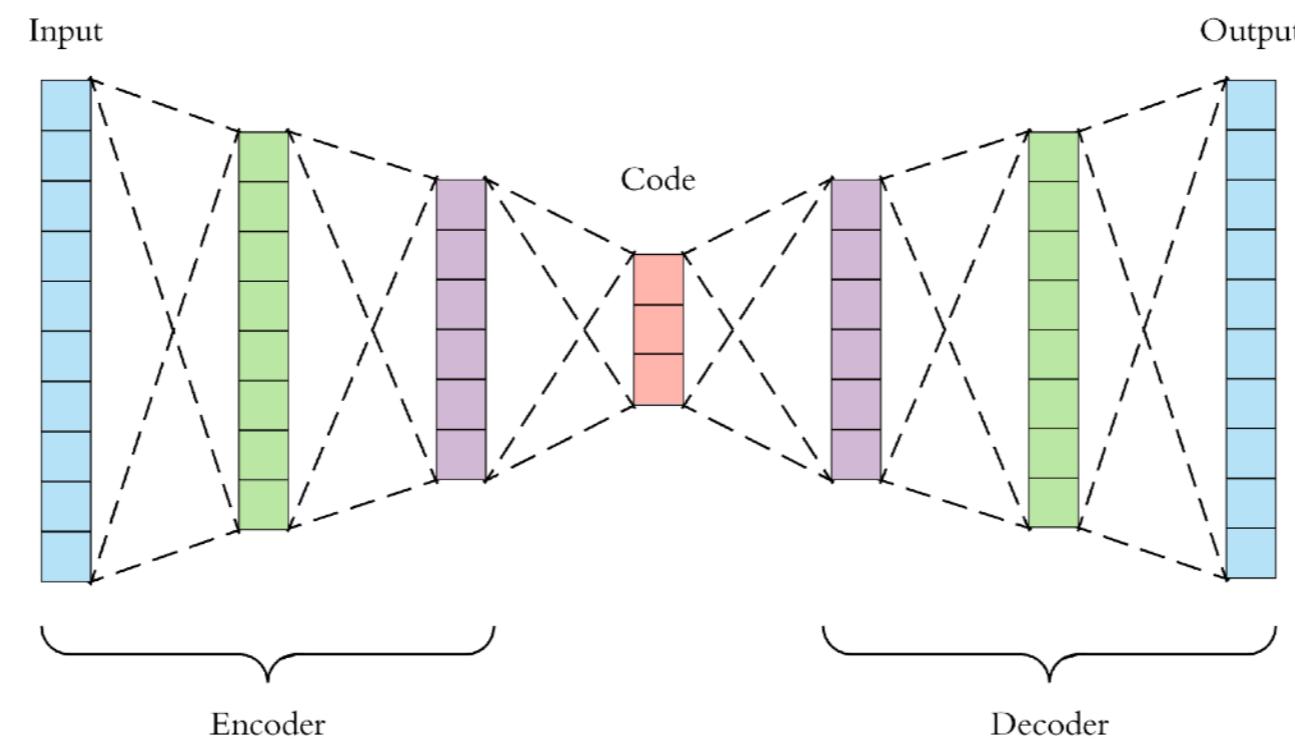
$$\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))].$$

- Thus G generates samples close to real image distribution

$$\min_G \max_D V(D, G)$$

# Change of Distribution Assumption

- BEGAN, model **AutoEncoder Loss** into distributions



$\mathcal{L}(v) = |v - D(v)|^\eta$  where  $\begin{cases} D : \mathbb{R}^{N_x} \mapsto \mathbb{R}^{N_x} \\ \eta \in \{1, 2\} \\ v \in \mathbb{R}^{N_x} \end{cases}$  is the autoencoder function.  
is the target norm.  
is a sample of dimension  $N_x$ .

# Change of Distribution Assumption

- BEGAN, model **AutoEncoder Loss** into distributions

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- We can formulate W-distance as

$$W_1(\mu_1, \mu_2) = \inf_{\gamma \in \Gamma(\mu_1, \mu_2)} \mathbb{E}_{(x_1, x_2) \sim \gamma} [|x_1 - x_2|]$$

$\mu_1, \mu_2$  represents the distribution of  $\mathcal{L}(x)$  and  $\mathcal{L}(G(z))$

- With Jensen's Inequality, the lower bound was derived

$$\inf \mathbb{E}[|x_1 - x_2|] \geq \inf |\mathbb{E}[x_1 - x_2]| = |m_1 - m_2|$$

$m_1, m_2$  represents the mean of  $\mu_1$  and  $\mu_2$

# Change of Distribution Assumption

给定两个一维的正态分布  $\mu_1 = \mathcal{N}(m_1, c_1)$ ,  $\mu_2 = \mathcal{N}(m_2, c_2)$ , 不难计算出它们之间的 Wasserstein distance:

$$W(\mu_1, \mu_2)^2 = (m_1 - m_2)^2 + (c_1 + c_2 - 2\sqrt{c_1 c_2})$$

假设：

$\frac{c_1 + c_2 - 2\sqrt{c_1 c_2}}{(m_1 - m_2)^2}$  是常数，或者是关于  $W$  的递增函数。

在该假设下，我们可以只通过最小化  $(m_1 - m_2)^2$  来最小化  $W(\mu_1, \mu_2)^2$ 。也就是说， $W(\mu_1, \mu_2) \propto |m_1 - m_2|$ 。

# BEGAN Objectives

- As two options to maximize the lower bound  $|m_1 - m_2|$

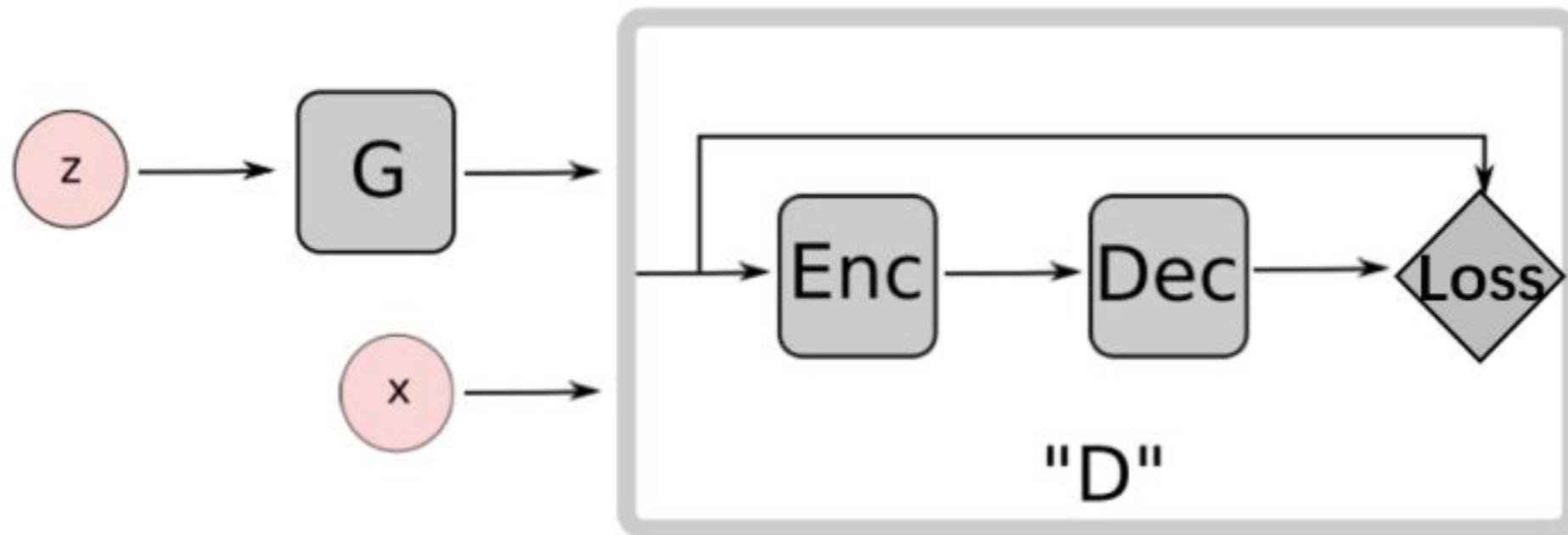
$$(a) \begin{cases} W_1(\mu_1, \mu_2) \geq m_1 - m_2 \\ m_1 \rightarrow \infty \\ m_2 \rightarrow 0 \end{cases} \quad \text{or} \quad (b) \begin{cases} W_1(\mu_1, \mu_2) \geq m_2 - m_1 \\ m_1 \rightarrow 0 \\ m_2 \rightarrow \infty \end{cases}$$

- As minimizing  $m_1$  naturally leads to auto-encoding real samples, option (b) is selected

$$\begin{cases} \mathcal{L}_D = \mathcal{L}(x; \theta_D) - \mathcal{L}(G(z_D; \theta_G); \theta_D) & \text{for } \theta_D \\ \mathcal{L}_G = -\mathcal{L}_D & \text{for } \theta_G \end{cases}$$

# BEGAN Objectives

- The simple architecture could be simplified as



# Balancing Equilibrium

- We can consider equilibrium between G and D when

$$\mathbb{E} [\mathcal{L}(x)] = \mathbb{E} [\mathcal{L}(G(z))]$$

- AutoEncoder has two competing goals: 1.autoencode real images 2.tell real from generated.  
Hyper-parameter introduced to balance two goals

$$\gamma = \frac{\mathbb{E} [\mathcal{L}(G(z))]}{\mathbb{E} [\mathcal{L}(x)]} \quad \gamma \in [0, 1]$$

# Balancing Equilibrium

- About the value of Gamma

$$\gamma = \frac{\mathbb{E} [\mathcal{L}(G(z))]}{\mathbb{E} [\mathcal{L}(x)]} \quad \gamma \in [0, 1]$$

$$(b) \begin{cases} W_1(\mu_1, \mu_2) \geq m_2 - m_1 \\ m_1 \rightarrow 0 \\ m_2 \rightarrow \infty \end{cases}$$

- At the beginning of training,  $\mathcal{L}(x) \gg \mathcal{L}(G(z))$  as real images are far complicated than generated noises, thus Gamma takes value smaller than 1 (actually it doesn't matter)

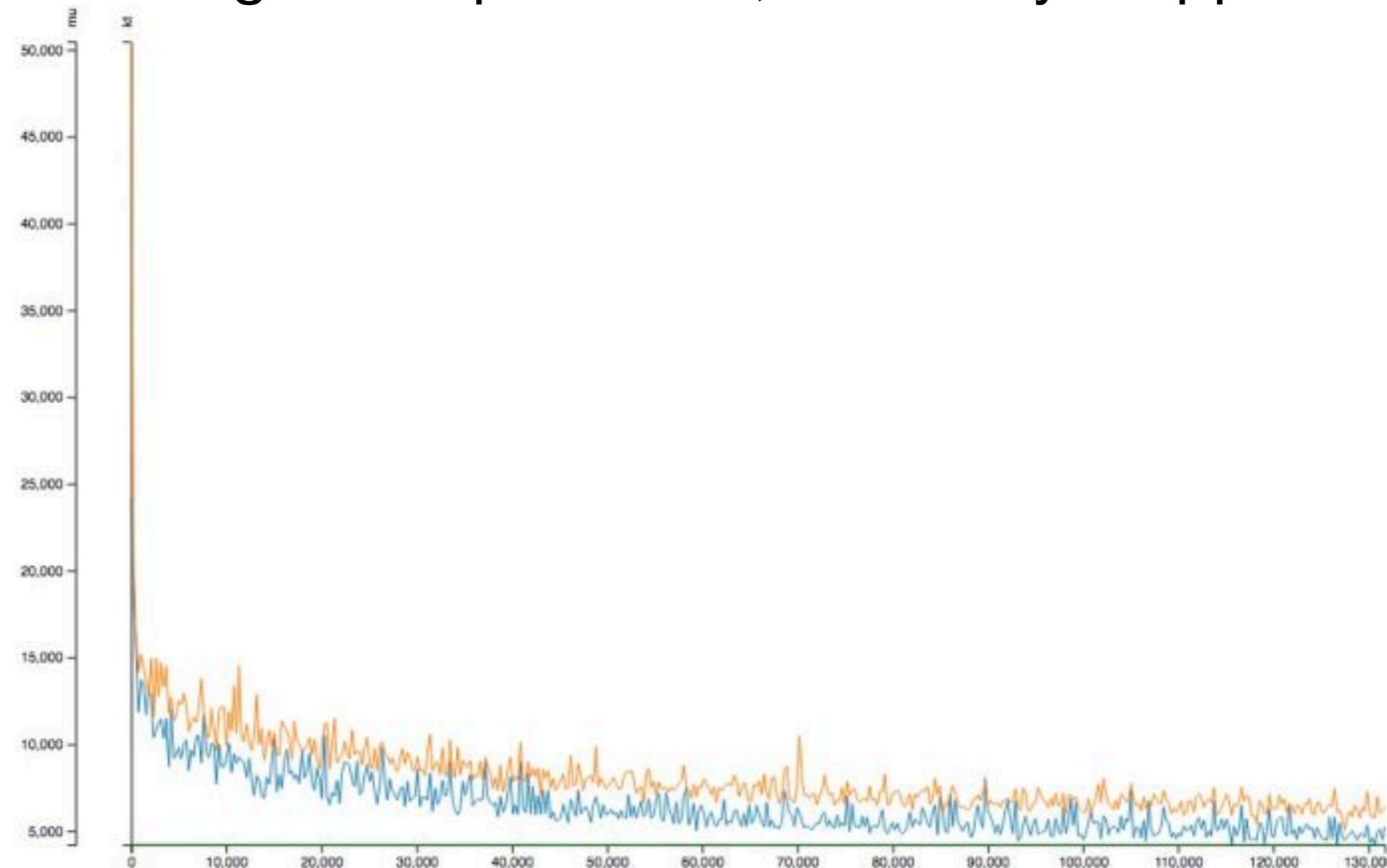
# BEGAN Objectives

$$\begin{cases} \mathcal{L}_D = \mathcal{L}(x) - k_t \cdot \mathcal{L}(G(z_D)) & \text{for } \theta_D \\ \mathcal{L}_G = \mathcal{L}(G(z_G)) & \text{for } \theta_G \\ k_{t+1} = k_t + \lambda_k (\gamma \mathcal{L}(x) - \mathcal{L}(G(z_G))) & \text{for each training step } t \\ k_t \in [0, 1] & \end{cases}$$

- Borrow idea from Proportional Control Theory to maintain the Equilibrium,  $k$  is introduced to control how much emphasis to put on  $\mathcal{L}(G(z_D))$

# BEGAN Objectives

- But during real experiments, k is always clipped as 0

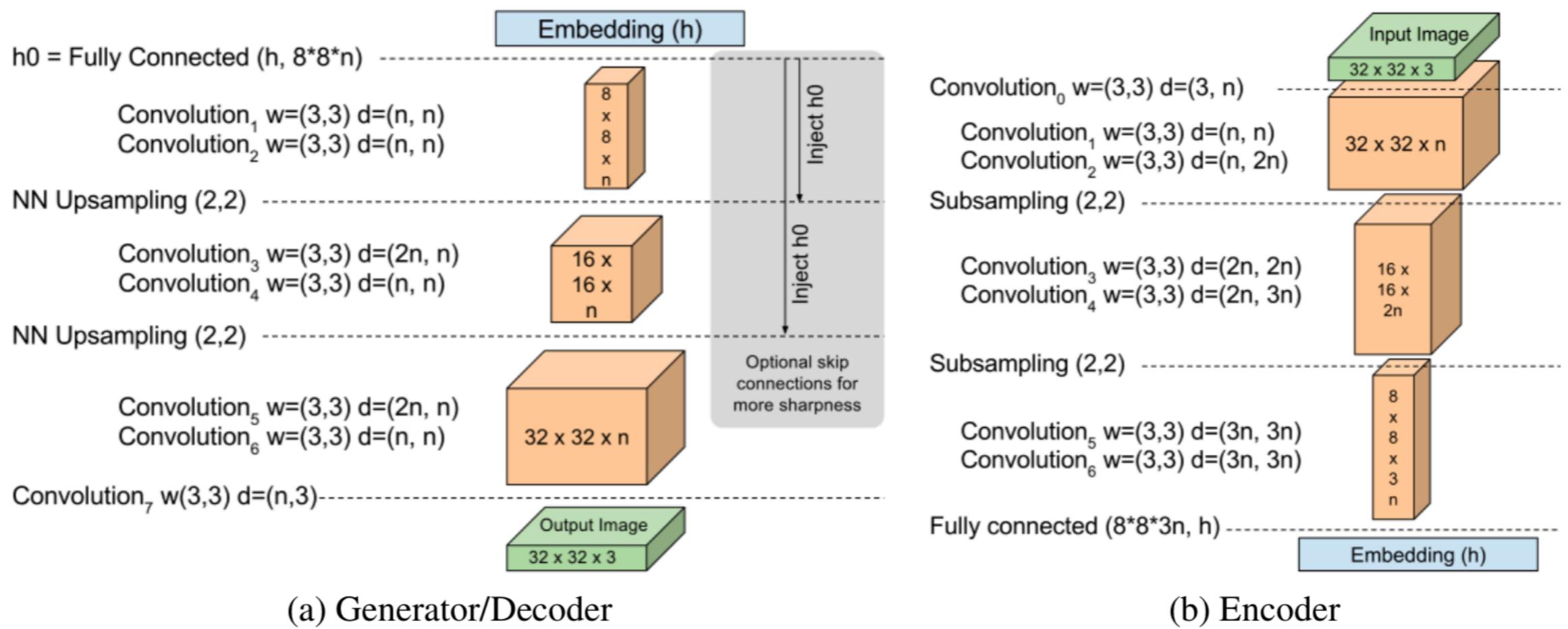


# Convergence Measure

- A problem appears in GANs is to determine whether model has reached convergence, “visual inspection”
- Here a convergence measurement is given as

$$\mathcal{M}_{global} = \mathcal{L}(x) + |\gamma\mathcal{L}(x) - \mathcal{L}(G(z_G))|$$

# Architecture



- No Batch-Norm, No dropout, etc

# Experiments

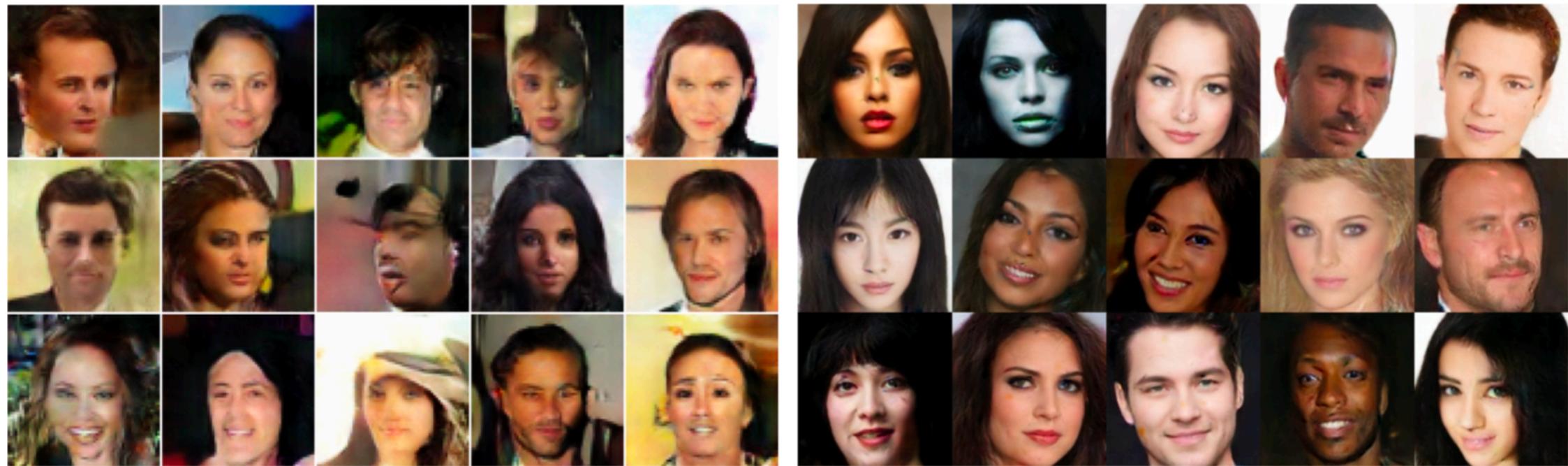


Figure 2: Random samples comparison



Figure 3: Random 64x64 samples at varying  $\gamma \in \{0.3, 0.5, 0.7\}$

# Experiments



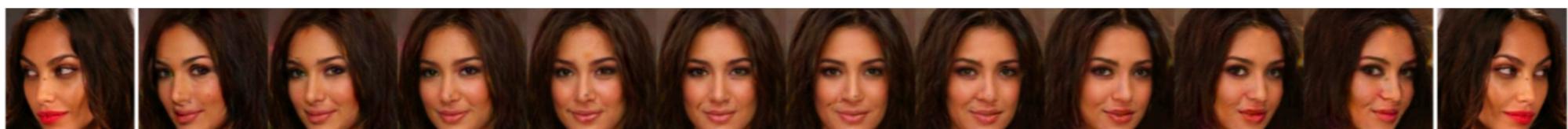
(a) ALI [5] (64x64)



(b) Conditional PixelCNN [13] (32x32)



(c) Our results (128x128 with 128 filters)



(d) Mirror interpolations (our results 128x128 with 128 filters)

Figure 4: Interpolations of real images in latent space

# Experiments

Method (unsupervised)	Score
Real data	11.24
DFM [19]	7.72
<b>BEGAN (ours)</b>	<b>5.62</b>
ALI [5]	5.34
Improved GANs [16]	4.36
MIX + WGAN [2]	4.04

Table 1: Inception scores (higher is better)

# In the End

- Pros:
  - AutoEncoder as Discriminator
  - Distribution Assumption upon loss instead of data
  - Equilibrium and Convergence measurement
- Cons:
  - No clear relation with W-distance
  - Mode collapse in face images
  - About the IID assumption on every pixel

# In the End

- A metric evaluating the diversity and quality of data