OPTION #1: KNN Classifier with Iris Data

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#### **Abstract**

```
onormalize_dataset
    1 import pandas as pd
       from sklearn.neighbors import KNeighborsClassifier
       from sklearn.model_selection import train_test_split
    4 # k-nearest neighbors on the Iris Flowers Dataset
    5 from random import seed
       from random import randrange
       from csv import reader
    8
       from math import sqrt
       import re
    9
   10
       # Load a CSV file
   11
       def load_csv(filename):
   12
            dataset = list()
   13
            with open(filename, 'r') as file:
   14
   15
                 csv_reader = reader(file)
                 for row in csv reader:
   16
                      if not row:
   17
   18
                           continue
   19
                      dataset.append(row)
            return dataset
   20
   21
   22
       # Convert string column to float
      def str_column_to_float(dataset, column):
   23
            for row in dataset:
   24
                 row[column] = float(row[column].strip())
   25
   26
       # Convert string column to integer
   27
       def str_column_to_int(dataset, column):
   28
   29
            class_values = [row[column] for row in dataset]
            unique = set(class_values)
   30
            lookup = dict()
   31
   32
            # create dictionary
   33
            for i, value in enumerate(unique):
                 lookup[value] = i
   34
            # convert dataset column
   35
   36
            for row in dataset:
   37
                 row[column] = lookup[row[column]]
   38
            return lookup
   39
       # Find the min and max values for each column
   40
       def dataset_minmax(dataset):
   41
            minmax = list()
   42
            for i in range(len(dataset[0])):
   43
   44
                 col_values = [row[i] for row in dataset]
   45
                 value_min = min(col_values)
                 value_max = max(col_values)
   46
                 minmax.append([value_min, value_max])
   47
   48
            return minmax
   49
       # Rescale dataset columns to the range 0-1
   50
   51
       def normalize_dataset(dataset, minmax):
   52
            for row in dataset:
                 for i in range(len(row)):
   53
                      row[i] = (row[i] - minmax[i][0]) / (minmax[i][1] - minmax[i][0])
   54
   55
   56
       # Split a dataset into k folds
       def cross_validation_split(dataset, n_folds):
   57
            dataset_split = list()
   58
            dataset_copy = list(dataset)
   59
            fold_size = int(len(dataset) / n_folds)
   60
            for _ in range(n_folds):
   61
                 fold = list()
   62
   63
                 while len(fold) < fold_size:
                      index = randrange(len(dataset_copy))
   64
                      fold.append(dataset_copy.pop(index))
   65
   66
                 dataset_split.append(fold)
            return dataset_split
```

Figure 1. Python code to implement a k-NN-C from scratch (part 1)

```
KNN.py + ×
evaluate_algorithm
   67
             return dataset_split
       # Calculate accuracy percentage
   69
       def accuracy_metric(actual, predicted):
   70
            correct = 0
   71
            for i in range(len(actual)):
   72
   73
                  if actual[i] == predicted[i]:
                       correct += 1
   74
            return correct / float(len(actual)) * 100.0
   75
   76
   77
       # Evaluate an algorithm using a cross validation split
       def evaluate_algorithm(dataset, algorithm, n_folds, *args):
   78
   79
             folds = cross_validation_split(dataset, n_folds)
            scores = list()
   80
   81
             for fold in folds:
                  train_set = list(folds)
   82
                  # create hold out set
   83
   84
                 train_set.remove(fold)
                  #combine train sets
   85
                 train_set = sum(train_set, [])
   86
                  # create test set on new hold
   87
                  test set = list()
   88
                  for row in fold:
   89
                       row_copy = list(row)
   91
                       test_set.append(row_copy)
   92
                       # remove prediction from hold out set
   93
                       row_copy[-1] = None
                  predicted = algorithm(train_set, test_set, *args)
   94
   95
                  actual = [row[-1] for row in fold]
                  accuracy = accuracy_metric(actual, predicted)
   96
                  scores.append(accuracy)
   97
   98
             return scores
   99
       # Calculate the Euclidean distance between two vectors
  100
       def euclidean_distance(row1, row2):
  101
            distance = 0.0
  102
  103
             for i in range(len(row1) - 1):
  104
                 distance += (row1[i] - row2[i]) ** 2
             return sqrt(distance)
  105
  106
  107
       # Locate the most similar neighbors
  108
       def get_neighbors(train, test_row, num_neighbors):
             distances = list()
  109
             for train row in train:
  110
  111
                  dist = euclidean_distance(test_row, train_row)
                  distances.append((train row, dist))
  112
             distances.sort(key=lambda tup: tup[1])
  113
  114
             neighbors = list()
  115
             for i in range(num neighbors):
                  neighbors.append(distances[i][0])
  116
             return neighbors
  117
  118
       # Make a prediction with neighbors
       def predict_classification(train, test_row, num_neighbors):
  120
            neighbors = get_neighbors(train, test_row, num_neighbors)
  121
             output_values = [row[-1] for row in neighbors]
  122
  123
             prediction = max(set(output_values), key=output_values.count)
  124
             return prediction
  125
       # kNN Algorithm
  126
       def k_nearest_neighbors(train, test, num_neighbors):
  127
  128
            predictions = list()
             for row in test:
  129
                  output = predict_classification(train, row, num_neighbors)
  130
                  predictions.append(output)
  131
  132
            return(predictions)
```

Figure 2. Python code to implement a k-NN-C from scratch (part 2)

```
134 # Test the kNN on the Iris Flowers dataset
      seed(1)
135
      filename = 'data/iris.txt'
     dataset = load_csv(filename)
      for i in range(len(dataset[0]) - 1):
str_column_to_float(dataset[1:], i)

# convert class column to integers
141 # versicolor: 0
     # virginica: 1
      # setosa: 2
143
     lookup = str_column_to_int(dataset[1:], len(dataset[0]) - 1)
145
146 # evaluate algorithm
147
     n \text{ folds} = 5
     149
      print(f'*')
151
print(f'* K-Nearest Neighbor (KNN) algorithm with {num_neighbors} neighbors trained on a ')
print(f'* dataset containing {len(dataset)-1} rows and {len(dataset[1])-1} features, using {n_folds}-fold cross validation.')
     print(f'*
                   Users can adjust the n folds and num neighbors variables in the script.')
      157
     print(f'Accuracy per fold: {scores}')
print(f'Mean Accuracy: {sum(scores) / float(len(scores)):.3f}')
161
162
    try:

sl, sw, pl, pw = [float(x) for x in re.split(r'\, | \\,', input('\nPlease input four floating point numbers, representing, respectively,\n\
sepal length, sepal width, petal length, and petal width \n(e.g., 5.1, 3.5, 1.4, 0.2). The model will guess the flower category\n\
(i.e., setosa, versicolor, or virginica) based on your input (CTRL-C to Exit): '))]
163
167
               test_row = [sl, sw, pl, pw]
168
               test row
169
               prediction = predict_classification(train=dataset[1:], test_row=test_row, num_neighbors=num_neighbors)
for key, value in lookup.items():
170
                      if prediction == value:
172
173
                           prediction = key
174
               print()
          print(f'Prediction: {prediction}')
except ValueError:
176
               print('\nNote: wrong input format.')
```

Figure 3. Python code to implement a k-NN-C from scratch (part 3)

Figure 4. Program output and predictions based on user input similar to the training examples found on the right-hand side of the

### **OPTION #1: KNN Classifier with Iris Data**

This paper describes a *k*-Nearest Neighbors classifier (*k*-NN-C) constructed from scratch in Python that obtains a mean accuracy of 96.67% on the Iris Dataset using 5-fold cross-validation (CV), with the model's number of nearest neighbors set to 10. Fenner (2019) describes the Iris Dataset, sometimes referred to as Fisher's Iris Dataset, named after the mid-20<sup>th</sup>-century statistician, Sir Ronald Fisher, who used it in one of the first published academic papers on classification. The dataset contains three classes and 50 instances of each class. The classes correspond to the type of iris plant in the dataset: (a) Setosa, (b) Versicolor, and (c) Virginica. Four attributes in the dataset describe these plants: (a) sepal length in cm, (b) sepal width in cm, (c) petal length in cm, and (d) petal width in cm. After the program trains the *k*-NN-C model on the Iris Dataset, it prompts the user to input four floating-point numbers, separated by commas or spaces, which represent the features of new iris plants not found in the model's training data. The program then outputs the model's class prediction based on this user input.

## k-Nearest Neighbor Classifier (k-NN-C) Overview

Fenner (2019) describes *k*-NN-C as one of the simpler machine learning models used to make predictions from labeled datasets. Briefly, *k*-NN-C models describe similarities between observation pairs, choose a specified number of the most similar examples, and combine these results to produce a single output prediction. The Euclidean distance is often used to describe similarities amongst features, though other metrics can be used, including the Minkowski and Hamming distances. The *k* in *k*-NN-C refers to the number of nearest neighbors on which the model bases its predictions. Common settings include 1, 3, 10, and 20, with the best setting often obtained through experimentation.

Fenner (2019) writes that *k*-NN-C models differ from other machine learning methods because they require all training data to make predictions on new test cases. For instance, removing any training observations will lead to the classifier making incorrect predictions because any of these training records may have been the nearest neighbor to a given test case. Such makes *k*-NN-C a lazy learner, meaning that it does not have a specialized training phase, which eager learners like logistic regression do, but instead stores all its training data to use in its prediction phase, subsequently making this phase more expensive than that of eager learners (*KNN Algorithm - Finding Nearest Neighbors*, n.d.; *Why Is Nearest Neighbor a Lazy Algorithm?*, 2021).

## **Program and Model Architecture**

Figures 1 – 3 show the Python code to create the k-NN-C model. Much of this code is adapted from the example given by Brownlee (2019). The program begins by reading in the dataset, stored in the data/iris.txt file using the  $load\_csv()$  function, as shown in lines 12-20 of Figure 1. Because reading in the file stores the dataset as a series of strings rather than floating-point numbers, the method  $str\_column\_to\_float()$ , shown in lines 28-38 of Figure 1, converts the dataset features to decimal numbers. Similarly, in lines 23-35 of Figure 1, the method  $str\_column\_to\_int()$  converts the string representation of each iris plant category to an integer so the k-NN-C model can better comprehend the data.

### **Cross-Validation Splits**

Next, the program uses the *cross\_validation\_split()* method, shown in lines 56 – 67 of Figure 1, to divide the dataset into five CV splits. Barrow and Crone (2013) describe CV as a widely employed technique "to estimate the expected accuracy of a predictive algorithm by averaging predictive errors across mutually exclusive subsamples of … data" (p. 1). The function

divides the dataset into k mutually exclusive groups of approximately equal size, depending on the users' setting for k. One fold is retained for the validation dataset, while the remaining folds comprise the training data. The model is fitted on the training data and evaluated using the validation dataset (Brownlee, 2019).

Barrow and Crone (2013) write that the CV process is repeated k times, with each of the folds serving as the validation dataset exactly once. The program records the accuracy scores for each of the k evaluation sessions and obtains a final measure of the model's predictive accuracy by averaging these scores across the k folds. Lines 70-75 of Figure 2 show the function  $accuracy\_metric()$ , which calculates the accuracy of the model on each fold using the following formula:  $\frac{\# correct \ predictions}{\# \ total \ predictions}$ . The advantages of k-fold CV are that it uses all observations for both training and validation datasets, uses all training observations with equal weight, and uses each observation for validation exactly once.

# Generating Predictions and the Euclidean Distance

As described, k-NN-C is a lazy learning algorithm and therefore does not have a specialized training phase but uses all its training data to generate predictions during the evaluation phase, which happens once the program constructs the CV splits. The method evaluate\_algorithm(), shown in lines 78 – 98 of Figure 2, calls another method, k\_nearest\_neighbors(), which iterates over the test dataset, calling predict\_classification() for each row of the test data. The predict\_classification() method, in turn, calls the get\_neighbors() method, which, in turn, calls the euclidean\_distance() function, which calculates the Euclidean distance between each of the training examples and a given test example. The Euclidean distance is calculated using the following formula:

$$d = \sqrt{(sl_{train} - sl_{test})^2 + (sw_{train} - sw_{test})^2 + (pl_{train} - pl_{test})^2 + (pw_{train} - pw_{test})^2}.$$

The variables *sl*, *sw*, *pl*, and *pw* represent each training and test example's sepal length, sepal width, petal length, and petal width. Lines 101 – 105 of Figure 2 show the *euclidean\_distance()* function (Brownlee, 2019).

The program then sorts the training records in ascending order based on their distance from the test observation. The examples at the top of this sorted list correspond to the test cases' k nearest neighbors. Next, the model sums the class frequencies of these k nearest neighbors to generate a class prediction for a given test example. Whatever class occurs most frequently is what the model chooses as its prediction for the test case class. If there is a tie among the frequencies, the model chooses the class of the neighbor nearest the test example for its prediction. The program then compares the classifier's predictions with reality and calculates the accuracy for each test fold before averaging these accuracies over all the validation splits to produce the mean accuracy for the model.

# Model Evaluation, User Input, and Future Research Recommendations

Figure 4 shows that the *k*-NN-C model achieves a mean accuracy of 96.67% on the Iris Dataset using 5-fold CV, with the number of nearest neighbors set to 10. Then, the program allows the user to input four floating-point numbers that correspond to the sepal length, sepal width, petal length, and petal width, respectively, of iris plants previously unseen to the model. For example, after inputting features similar to, but not identical with, the training examples shown on the right-hand side of Figure 4, one can see the model predicts each of the iris plant categories correctly. Lines 134 – 177 of Figure 3 show the program's driver code. Users are required to input four valid numerical features separated by spaces, commas, or a combination of the two for the model to generate a valid prediction, or else the program notifies the user of their error and re-prompts the user for input. Finally, the program contains some additional functions,

such as the *normalize\_dataset()* function, which can be used in future research to assess how normalizing the input features of the Iris Dataset affects the mean accuracy of the classifier (Brownlee, 2019).

### Conclusion

In conclusion, this paper presented an overview of a k-Nearest Neighbor classifier (k-NN-C) built from scratch in Python that achieves 96.67% accuracy on the Iris Dataset using 5-fold cross-validation (CV), with the models' number of neighbors set to 10. The paper gave an overview of the Iris Dataset, k-NN-C models, and CV. The paper also described the classifier's inner workings, including how it calculates the Euclidean distance for each training example per test example, sorts these results, and sums the frequencies of the k nearest neighbor classes to produce predictions. Additionally, the program this paper described loaded the Iris Dataset, initialized the value of k to 10, iterated over all the training data points to obtain predictions for the test data by calculating the Euclidean distance between records, sorted the calculated distances in ascending order, obtained the top k rows from the sorted list, and made predictions for test cases based on the most frequently occurring classes in these top k rows. The program also accepted user input corresponding to iris plant features previously unseen by the model and generated predictions for this input based on the training data.

### References

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