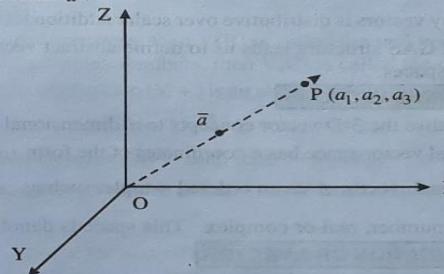


# 1

## Vector Spaces

**1.1.** Let  $P$  be a point  $(a_1, a_2, a_3)$  with respect to the frame of reference OXYZ. Let  $\overline{OP}$  be denoted by the vector  $\bar{a}$ . Now the vector  $\bar{a}$  is associated with the point  $P$  given by the ordered triad  $(a_1, a_2, a_3)$ . Conversely the ordered triad  $(a_1, a_2, a_3)$  defines a point  $P$  associated with the vector  $\bar{a}$ .



This shows that the set of all points in 3-D space has a one to one correspondence with the set of all vectors starting from the origin. Thus each vector is representable as an ordered triad of three real numbers. This enables us to write  $\bar{a} = (a_1, a_2, a_3)$ .

From this we can visualise the 3-dimensional space as an ordered set of triads  $(a_1, a_2, a_3)$  where  $a_1, a_2, a_3$  are real numbers.

This space is denoted by  $\mathbb{R}^3$ .

### 1.2. ALGEBRAIC STRUCTURE OF 3 - D VECTORS

Let  $V$  be the set of 3.D vectors and  $F$  be the field of scalars.

Now it is easy to verify the following algebraic structure with the vector addition (+) and scalar multiplication ( $\bullet$ ) of a vector.

**G :**(1) **Closure** :  $\alpha + \beta \in V \forall \alpha, \beta \in V$

(2) **Associativity** :  $\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma \forall \alpha, \beta, \gamma \in V$

(3) **Identity** :  $\alpha + \bar{0} = \bar{0} + \alpha = \alpha \forall \alpha \in V$  null vector  $\bar{0}$  is the additive in  $V$ .

(4) **Inverse** :  $\alpha + (-\alpha) = \bar{0} = (-\alpha) + \alpha$ . Every vector in  $V$  has the additive inverse.

(5) **Commutativity** :  $\alpha + \beta = \beta + \alpha \forall \alpha, \beta \in V$

$\therefore (V, +)$  is an abelian group.

**A : Admission of scalar multiplication in V**

- (1)  $a\alpha \in V \forall a \in F$  and  $\alpha \in V$
- (2)  $1.\alpha = \alpha \forall \alpha \in V$ , where 1 is the unity of F.

**S : Scalar multiplication**

- (1)  $a(b\alpha) = (ab)\alpha \quad \forall a, b \in F$  and  $\alpha \in V$

Scalar multiplication of vectors is associative

- (2)  $a(\alpha + \beta) = a\alpha + a\beta \quad \forall a \in F$  and  $\alpha, \beta \in V$

Multiplication by scalars is distributive over vector addition.

- (3)  $(a+b)\alpha = a\alpha + b\alpha \quad \forall a, b \in F$  and  $\alpha \in V$

Multiplication by vectors is distributive over scalar addition.

The above verified GAS structure leads us to define abstract vector spaces or simply vector spaces or linear spaces.

### 1.3. THE $n$ -DIMENSIONAL VECTORS

Now we can generalise the 3-D vector concepts to  $n$ -dimensional vectors. Thereby, a point in the  $n$ -dimensional vector space has  $n$  coordinates of the form  $(a_1, a_2, \dots, a_n)$ . Hence we define an  $n$ -dimensional vector  $\bar{a}$  as an ordered  $n$ -tuple, such as  $\bar{a} = (a_1, a_2, a_3, \dots, a_n)$

where each  $a_i$  is a number, real or complex. This space is denoted by  $R^n$  or  $C^n$ .

### 1.4. SCALAR MULTIPLICATION OF A VECTOR

Let  $\alpha = (a_1, a_2, \dots, a_n)$  be a vector in  $R^n$  and  $k$  be a scalar.

Then we define  $k\alpha = (ka_1, ka_2, \dots, ka_n)$ .

### 1.5. ADDITION OF VECTORS

Let  $\alpha = (a_1, a_2, \dots, a_n)$ ,  $\beta = (b_1, b_2, \dots, b_n)$  be two vectors of  $R^n$ .

Then we define  $\alpha + \beta = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$ .

### 1.6. INTERNAL COMPOSITION

Let  $F$  be a set. If  $aob \in F$  for all  $a, b \in F$ . Then ' $o$ ' is said to be an internal composition in the set  $F$ .

### 1.7. EXTERNAL COMPOSITION

Let  $V$  and  $F$  be two sets. If  $a\alpha \in V$  for all  $a \in F$  and for all  $\alpha \in V$ , then ' $o$ ' is said to be an external composition in  $V$  over  $F$ . Here the resulting element  $a\alpha$  is an element of the set  $V$ .

### 1.8. VECTOR SPACES

**Definition.** Let  $V$  be a non-empty set whose elements are called vectors. Let  $F$  be any set whose elements are called scalars where  $(F, +, \cdot)$  is a field.

The set  $V$  is said to be a vector space if

(1) there is defined an internal composition in  $V$  called addition of vectors denoted by  $+$ , for which  $(V, +)$  is an abelian group.

(2) there is defined an external composition in  $V$  over  $F$ , called the scalar multiplication in which  $a\alpha \in V$  for all  $a \in F$  and  $\alpha \in V$ .

(3) the above two compositions satisfy the following postulates

- (i)  $a(\alpha + \beta) = a\alpha + a\beta$
- (ii)  $(a+b)\alpha = a\alpha + b\alpha$
- (iii)  $(ab)\alpha = a(b\alpha)$
- (iv)  $1\alpha = \alpha$

$\forall a, b \in F$  and  $\alpha, \beta \in V$  and 1 is the unity element of  $F$ . Instead of saying that  $V$  is a vector space over the field  $F$ , we simply say  $V(F)$  is a vector space. Sometimes if the field  $F$  is understood, then we simply say that  $V$  is a 'vector space'.

### 1.9. VECTOR SPACE

If  $R$  is a field of real numbers, then  $V(R)$  is called the real vector space.

If  $C$  is the field of complex numbers, then  $V(C)$  is called the complex vector space.

**Note 1.** In the above definition  $(V, +)$  is an abelian group implies that for all  $\alpha, \beta, \gamma \in V$

$$(a) \alpha + \beta \in V \quad (b) \alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma$$

$$(c) \text{there exists an element } \bar{O} \in V \text{ such that } \alpha + \bar{O} = \alpha$$

$$(d) \text{To every vector } \alpha \in V \text{ there exists } -\alpha \in V \text{ such that } \alpha + (-\alpha) = 0$$

$$(e) \alpha + \beta = \beta + \alpha$$

2. The use of symbol  $+$  for two different compositions (for the addition in the field  $F$  and for the internal composition in  $V$ ) should not cause any confusion. It should be understood according to the context in which it is used.

3. In vector space, two types of zero elements come into operation. One is the zero vector  $\bar{O}$  of  $V$  and the other is the zero scalar 0 of the field  $F$ .

### 1.10. NULL SPACE OR ZERO VECTOR SPACE

The vector space having only one zero vector  $\bar{O}$  is called the zero vector space or null space. It clearly satisfies all the postulates on any field of scalars.

**Theorem :** Let  $V(F)$  be a vector space and  $O, \bar{O}$  be the zero scalar and zero vector respectively. Then

$$(1) a\bar{O} = \bar{O} \quad \forall a \in F \quad (2) 0\alpha = \bar{O} \quad \forall \alpha \in V$$

$$(3) a(-\alpha) = -(a\alpha) \quad \forall a \in F, \forall \alpha \in V \quad (4) (-a)\alpha = (-a\alpha) \quad \forall a \in F, \forall \alpha \in V$$

$$(5) a\alpha = \bar{O} \Rightarrow a = 0 \text{ or } \alpha = \bar{O}$$

$$(6) a(\alpha - \beta) = a\alpha - a\beta \quad \forall a \in F, \forall \alpha, \beta \in V$$

$$(7) (-a)(-\alpha) = a\alpha \quad \forall a \in F, \forall \alpha \in V$$

**Proof.** (1)  $a\bar{O} = a(\bar{O} + \bar{O}) = a\bar{O} + a\bar{O}$

$$\therefore a\bar{O} + \bar{O} = a\bar{O} + a\bar{O} \quad (\text{Distributive Law})$$

$$\bar{O} = a\bar{O} \quad (\text{Left Cancellation Law})$$

- (2)  $0\alpha = (0+0)\alpha = 0\alpha + 0\alpha$   
 $\therefore \bar{0} + 0\alpha = 0\alpha + 0\alpha \Rightarrow \bar{0} = 0\alpha$  (Cancellation Law.)
- (3)  $a[\alpha + (-\alpha)] = a\bar{0} \Rightarrow a\alpha + a(-\alpha) = \bar{0}$   
 $\Rightarrow a(-\alpha)$  is the additive inverse of  $a\alpha \Rightarrow a(-\alpha) = -(a\alpha)$
- (4)  $[a + (-a)]\alpha = 0\alpha \Rightarrow a\alpha + (-a)\alpha = \bar{0}$   
 $\Rightarrow (-a)\alpha$  is the additive inverse of  $a\alpha$   
 $\Rightarrow (-a)\alpha = -(a\alpha)$
- (5)  $a\alpha = \bar{0}$  and  $a \neq 0$ . Then there is nothing to prove.  $a\alpha = \bar{0}$  and  $a \neq 0$   
Now  $a \neq 0, a \in F \Rightarrow$  there exists  $a^{-1} \in F$  such that  $a a^{-1} = a^{-1} a = 1$   
Now  $a\alpha = \bar{0} \Rightarrow a^{-1}(a\alpha) = a^{-1}\bar{0} \Rightarrow 1\alpha = \bar{0} \Rightarrow \alpha = \bar{0}$   
 $\therefore a\alpha = \bar{0} \Rightarrow a = 0$  or  $\alpha = \bar{0}$
- (6)  $a(\alpha - \beta) = a[\alpha + (-\beta)] = a\alpha + a(-\beta) = a\alpha - a\beta$
- (7)  $(-a)(-\alpha) = -[a(-\alpha)] = -[-(a\alpha)] = a\alpha$

**1.11. Theorem.** Let  $V(F)$  be a vector space

- (I) If  $a, b \in F$  and  $\alpha \in V$  where  $\alpha \neq \bar{0}$  then  $a\alpha = b\alpha \Rightarrow a = b$   
(2) If  $a \in F$  where  $a \neq 0$  and  $\alpha, \beta \in V$  then  $a\alpha = a\beta \Rightarrow \alpha = \beta$ .

**Proof:** (1)  $a\alpha = b\alpha \Rightarrow a\alpha + (-b)\alpha = b\alpha + (-b)\alpha \Rightarrow (a-b)\alpha = \bar{0}$

$$\Rightarrow a-b=0 \text{ as } a \neq \bar{0} \Rightarrow a=b$$

$$(2) a\alpha = a\beta \Rightarrow a\alpha - a\beta = \bar{0} \Rightarrow a(\alpha - \beta) = \bar{0} \Rightarrow \alpha - \beta = \bar{0} \text{ as } a \neq 0 \Rightarrow \alpha = \beta.$$

### SOLVED PROBLEMS

**Ex. 1.** The set  $C_n$  of all  $n$ -tuples of complex numbers with addition as the external composition and scalar multiplication of complex numbers by complex numbers is a vector space over the field of complex numbers with the following definitions.

$$(i) \text{ If } \alpha, \beta \in C_n \text{ and } \alpha = (a_1, a_2, \dots, a_n) \text{ and } \beta = (b_1, b_2, \dots, b_n) \text{ for all } a_k, b_k \in C \\ \alpha + \beta = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n) \in C_n$$

$$(ii) x\alpha = (x a_1, x a_2, \dots, x a_n) \quad \forall x \in C$$

**Sol.** (i) By definition  $x+y$  is an  $n$ -tuple of complex numbers. Hence  $C_n$  is closed.

$$(ii) (\alpha + \beta) + \gamma = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n) + (c_1, \dots, c_n)$$

where  $\gamma = (c_1, c_2, \dots, c_n)$  with  $c_i$ 's  $\in C$

$$\begin{aligned} &= ((a_1 + b_1) + c_1, (a_2 + b_2) + c_2, \dots, (a_n + b_n) + c_n) \\ &= (a_1 + (b_1 + c_1), a_2 + (b_2 + c_2), \dots, a_n + (b_n + c_n)) \text{ since } + \text{ is associative in } C \\ &= (a_1, a_2, \dots, a_n) + (b_1 + c_1, b_2 + c_2, \dots, b_n + c_n) = \alpha + (\beta + \gamma) \end{aligned}$$

(i). Vector addition is associative in  $C_n$ .

$$(iii) \alpha + \bar{0} = (a_1, a_2, \dots, a_n) + (0, 0, \dots, 0) = (a_1 + 0, a_2 + 0, \dots, a_n + 0) = (a_1, a_2, \dots, a_n) = \alpha$$

Similarly  $\bar{0} + \alpha = \alpha \Rightarrow \alpha + \bar{0} = \bar{0} + \alpha = \alpha$

$\therefore$  The  $n$ -tuple  $\bar{0} = (0, 0, \dots, 0)$  is the identity in  $C_n$ .

$$(iv) \alpha + (-\alpha) = (a_1, a_2, \dots, a_n) + (-a_1, -a_2, \dots, -a_n)$$

$$= (a_1 - a_1, a_2 - a_2, \dots, a_n - a_n) = (0, 0, 0, \dots, 0) = \bar{0}.$$

$\Rightarrow (-\alpha) \in C$ , is the additive inverse of  $\alpha$  in  $C$

$$(v) \alpha + \beta = (a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$$

$$= (b_1 + a_1, a_2 + b_2, \dots, b_n + a_n) \quad (\because + \text{ is commutative in } C)$$

$$= \beta + \alpha.$$

(vi) By definition  $x \in C, \alpha \in C_n \Rightarrow x\alpha \in C_n$

$$(vii) \text{ Let } x, y \in C. \quad x(y\alpha) = x(ya_1, ya_2, \dots, ya_n) = (xa_1, ya_2, \dots, ya_n) \\ = \{(xy)a_1, (xy)a_2, \dots, (xy)a_n\} = xy(a_1, a_2, \dots, a_n) = (xy)\alpha$$

(viii)  $x \in C$  and  $\alpha, \beta \in C_n$

$$\Rightarrow x(\alpha + \beta) = x(a_1 + b_1, a_2 + b_2, \dots, a_n + b_n)$$

$$= \{(xa_1 + xb_1), (xa_2 + xb_2), \dots, (xa_n + xb_n)\} = (xa_1 + xb_1, xa_2 + xb_2, \dots, xa_n + xb_n)$$

$$= (xa_1, xa_2, \dots, xa_n) + (xb_1, xb_2, \dots, xb_n) = x\alpha + x\beta$$

(ix)  $x, y \in C$  and  $\alpha \in C_n$

$$\Rightarrow (x+y)\alpha = (x+y)(a_1, a_2, \dots, a_n) = \{(x+y)a_1, (x+y)a_2, \dots, (x+y)a_n\}$$

$$= \{(xa_1 + ya_1), (xa_2 + ya_2), \dots, (xa_n + ya_n)\} \text{ (Since distributive law is true in } C)$$

$$= (xa_1, xa_2, \dots, xa_n) + (ya_1, ya_2, \dots, ya_n) = x\alpha + y\alpha$$

$$(x) 1.\alpha = 1(a_1, a_2, \dots, a_n) = (a_1, a_2, \dots, a_n) = \alpha$$

Since all the postulates are verified  $C_n$  ( $C$ ) is a vector space.

**Ex.2.** Prove that the set of all real valued continuous functions defined in the open interval  $(0,1)$  is a vector space over the field of real numbers, with respect to the operations of addition and scalar multiplication defined as

$$(f+g)(x) = f(x) + g(x)$$

$$(af)(x) = af(x), a \text{ is real} \quad \dots (2) \text{ where } 0 < x < 1$$

**Sol:** Let  $V$  be the set of all such real values continuous functions and  $R$  be the field of real numbers.

(i) The sum of two continuous functions is again a continuous function

$$(f+g)(x) \in V \quad \forall f, g \in V$$

$$(ii) \{(f+g)+h\}(x) = (f+g)x + h(x) \quad (\text{by def. (1)})$$

$$\begin{aligned}
 &= f(x) + g(x) + h(x) = f(x) + (g + h)(x) = \{f + (g + h)\}(x) \\
 \Rightarrow (f + g) + h &= f + (g + h). \quad \therefore V \text{ is associative} \\
 \text{(iii) Let the function } O \text{ be defined as } \bar{O}(x) = 0 \\
 &\therefore (0+b)(x) = \bar{O}(x) = f(x) \quad (\text{by def (1)}) \\
 &= 0 + f(x) = f(x) \text{ as the real number } 0 \text{ is the additive identity in } R. \\
 \therefore \bar{O} + f &= f, \forall f \in V \\
 \text{(iv) } \{f + (-f)\}(x) &= f(x) + (-f(x)) = f(x) - f(x) = 0 = \bar{O}(x) \\
 \text{The function } (-f) \text{ is the additive inverse of } f \\
 \therefore f + (-f) &= \bar{O} \text{ (the identity function)} \\
 \text{(v) } (f + g)(x) &= f(x) + g(x) \\
 &= g(x) + f(x) \text{ (real numbers are commutative under addition)} \\
 &= (g + f)(x) \quad \text{by definition (1)} \\
 \text{Thus } f + g &= g + f, \forall g, f \in V. \quad \therefore (V, +) \text{ is an abelian group} \\
 \text{(vi) For all } a \in R \text{ and } f, g \in V, \quad a(f+g) &= af + ag \\
 \text{Now } [a(f+g)](x) &= a[(f+g)(x)] = a[f(x)+g(x)] = af(x)+ag(x) \\
 &= (af)(x)+(ag)(x) = (af+ag)(x) \quad \therefore a(f+g) = af+ag \\
 \text{(vii) If } a, b \in R \text{ and } f \in V \text{ then, } \{ (a+b)f \}(x) &= (a+b)f(x) \quad (\text{by (2)}) \\
 &= a f(x) + b f(x) \quad (\text{as } f(x) \text{ is real}) \\
 &= (a f)(x) + (b f)(x) = (af+bf)(x) \quad (\text{by (1)}) \\
 \Rightarrow (a+b)f &= af+bf \\
 \text{(viii) If } a, b \in R \text{ and } f \in V \text{ then, } \{a(bf)\}(x) &= a(bf)(x) \quad (\text{by (2)}) \\
 &= a\{(bf)(x)\} = a\{bf(x)\} \\
 &= (ab)f(x) \text{ as } f(x) \text{ is real} = \{(ab)f\}(x) \Rightarrow a(bf) = (ab)f \\
 \text{(ix) Since 1 is the identity of the field } R \text{ and } f \in V, \\
 \text{we have } (1f)(x) &= 1f(x) = f(x) \quad \therefore 1f = f
 \end{aligned}$$

All the postulates of vector space are verified. Hence  $V(R)$  is a vector space.

**Ex. 3.**  $V$  is the set of all  $m \times n$  matrices with real entries and  $R$  is the field of real numbers. 'Addition of matrices' is the internal composition and 'multiplication of a matrix by a real number' an external composition in  $V$ . Show that  $V(R)$  is a vector space.

**Sol:** Let  $\alpha, \beta, \gamma \in V$  and  $x, y \in R$  where  $\alpha = [a_{ij}]$ ,  $\beta = [b_{ij}]$ ,  $\gamma = [c_{ij}]$  for  $a's, b's, c's \in R$

(i) Addition of two matrices is a matrix.  $\therefore \alpha + \beta \in V \quad \forall \alpha, \beta \in V$

$$\begin{aligned}
 \text{(ii) } \alpha + (\beta + \gamma) &= [a_{ij}] + [b_{ij} + c_{ij}] = [a_{ij} + (b_{ij} + c_{ij})] = [(a_{ij} + b_{ij}) + c_{ij}] \\
 &= [a_{ij} + b_{ij}] + [c_{ij}] = (\alpha + \beta) + \gamma \quad \therefore V \text{ is associative.} \\
 \text{(iii) If } O = [o_{ij}] \text{ is the null matrix then } \alpha + O &= [a_{ij}] + [o_{ij}] = [a_{ij} + o_{ij}] = [a_{ij}] = \alpha \\
 \text{Thus } \alpha + O = O + \alpha = \alpha. \quad \Rightarrow \text{ The null matrix } O \text{ is the additive identity in } V. \\
 \text{(iv) } \alpha + (-\alpha) &= [a_{ij}] + [-a_{ij}] = [a_{ij} - a_{ij}] = [-a_{ij}] = 0 \\
 &\therefore (-\alpha) \text{ is the additive inverse of every } \alpha \text{ in } V \\
 \text{(v) Clearly } \alpha + \beta &= \beta + \alpha \quad \therefore (V, +) \text{ is an abelian group.} \\
 \text{(vi) For } x \in R \text{ and } \alpha \in V. \quad x\alpha &= x[a_{ij}] = [xa_{ij}] \Rightarrow x\alpha \in V \\
 \text{(vii) For } x, y \in R \text{ and } \alpha \in V. \quad x(y\alpha) &= x(y[a_{ij}]) = x[ya_{ij}] = (xy)[a_{ij}] = (xy)\alpha \\
 \text{(viii) } x(\alpha + \beta) &= [a_{ij} + b_{ij}] = [x(a_{ij} + b_{ij})] = [xa_{ij} + xb_{ij}] = [xa_{ij}] + [xb_{ij}] \\
 &= x[a_{ij}] + x[b_{ij}] = x\alpha + x\beta \\
 \text{(ix) } (x+y)\alpha &= (x+y)[a_{ij}] = [(x+y)a_{ij}] = [x a_{ij} + y a_{ij}] \\
 &= [x a_{ij}] + [y a_{ij}] = x[a_{ij}] + y[a_{ij}] = x\alpha + y\alpha
 \end{aligned}$$

(x)  $1 \cdot \alpha = 1[a_{ij}] = [a_{ij}] = \alpha$  Hence  $V(R)$  is a vector space.

**Ex.4.** Let  $V$  be the set of all pairs  $(a, b)$  of real numbers and  $R$  be the field of real numbers. Show that with the operation  $(a_1, b_1) + (a_2, b_2) = (a_1 + a_2, 0)$ ,  $c(a_1, b_1) = (ca_1, b_1)$   $V(R)$  is not a vector space.

**Sol:** If any one of the postulates of a vector space is not satisfied, then  $V(R)$  cannot be a vector space. Let  $(x, y)$  be the identity in  $V$ .

$$\text{Now } (a, b) + (x, y) = (a, b) \quad \forall a, b \in V \Rightarrow (a+x, 0) = (a, b) \quad \dots (1)$$

If  $b \neq 0$ , then we cannot have the equality (1).

Thus there exists no element  $(x, y) \in V$  such that  $(a, b) + (x, y) = (a, b) \quad \forall a, b \in V$

$\therefore$  Additive identity does not exist in  $V$  and hence  $V(R)$  is not a vector space.

### EXERCISE 1 (a)

- Show that the set of all triads  $(x_1, x_2, x_3)$  where  $x_1, x_2, x_3$  are real numbers forms a vector space over the field of real numbers with respect to the operations of addition and scalar multiplication defined as
  - $(x_1, x_2, x_3) + (y_1, y_2, y_3) = (x_1 + y_1, x_2 + y_2, x_3 + y_3)$  and
  - $c(x_1, x_2, x_3) = (cx_1, cx_2, cx_3)$   $c$  is a real number.

2. Let  $P$  be the set of all polynomials in one indeterminate with real coefficients. Show that  $P(\mathbb{R})$  is a vector space, if in  $P$  the addition of polynomials is taken as the internal composition and the multiplication of polynomial by a constant polynomial (i.e. by an element  $k$ ) as scalar multiplication.
3. If  $F$  is a field, the  $F(F)$  is a vector space, if in  $F$  the addition of field  $F$  is taken as the internal composition and the multiplication of the field  $F$  is taken as the external composition in  $F$  over  $F$ .
4. Let  $\mathbb{R}^n$  be the set of all ordered  $n$ -tuples of real numbers given by

$$\mathbb{R}^n = \{(x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{R}\}$$

The internal and external composition in  $\mathbb{R}^n$  are defined by

$$(i) (x_1, x_2, \dots, x_n) + (y_1, y_2, \dots, y_n) = (x_1 + y_1, x_2 + y_2, \dots, x_n + y_n)$$

(ii)  $a(x_1, x_2, \dots, x_n) = (ax_1, ax_2, \dots, ax_n)$ ,  $a \in \mathbb{R}$ . Show that  $\mathbb{R}^n(\mathbb{R})$  is a vector space.

5. Let  $F$  be any field and  $K$  any subfield of  $F$ . Then  $F(K)$  is a vector space, if the addition of the field  $F$  is taken as the internal composition in  $F$ , and the field multiplication is taken as the external composition in  $F$  over  $K$ .

6. Let  $V(\mathbb{R})$  be a vector space and  $W = \{(x, y) \mid x, y \in V\}$ . For  $(x_1, y_1)$  and  $(x_2, y_2)$  in  $W$  we have  $(x_1, y_1) + (x_2, y_2) = (x_1 + x_2, y_1 + y_2)$  and if  $\alpha = a_1 + ia_2 \in \mathbb{C}$ , we define  $\alpha(x_1, y_1) = (a_1x_1 - a_2y_1, a_2x_1 + a_1y_1)$ . Prove that  $W(\mathbb{C})$  is a vector space.
7. The set of all real valued differentiable or integrable functions defined in some interval  $[0, 1]$  is a vector space.
8. Let  $V$  be the set of all pairs of real numbers and let  $F$  be the field of real numbers with the definition  $(x_1, y_1) + (x_2, y_2) = (3y_1 + 3y_2, -x_1 - x_2)$ ,  $c(x_1, y_1) = (3c y_1, -c x_1)$ . Show that  $V(F)$  is not a field.

9.  $V$  is the set of all polynomials over real numbers of degree at most one and  $F = \mathbb{R}$ . If  $f(t) = a_0 + a_1 t$  and  $g(t) = b_0 + b_1 t$  in  $V$ ; define  $f(t) + g(t) = (a_0 + b_0) + (a_1 b_1 + a_1 b_0) t$  and  $kf(t) = (ka_0) + (ka_1)t$ ,  $k \in F$ . Show that  $V(F)$  is not a vector space. (Hint: Additive identity does not exist)
10.  $C$  is the field of complex numbers and  $R$  is the field of real numbers. Show that  $C(R)$  is a vector space and  $R(C)$  is not a vector space.
11. Let  $V$  be the set of all pairs  $(x, y)$  of real numbers, and let  $F$  be the field of real numbers. Define  $(x, y) + (x_1, y_1) = (x + x_1, y + y_1)$ ,  $c(x, y) = (cx, y)$ . Show that  $V(F)$  is not a vector space.

### 1.12. VECTOR SUBSPACES

**Definition.** Let  $V(F)$  be a vector space and  $W \subseteq V$ . Then  $W$  is said to be a subspace of  $V$  if  $W$  itself is a vector space over  $F$  with the same operations of vector addition and scalar multiplication in  $V$ .

**Note 1.** If  $W(F)$  is a subspace of  $V(F)$  then  $W$  is a sub-group of  $V$ .

**Note 2.** Let  $V(F)$  be a vector space. The zero vector space  $\{\bar{0}\} \subseteq V$  and  $V \subseteq V$ .

$\therefore \{\bar{0}\}$  and  $V$  are the trivial subspaces of  $V$ .

**1.13. Theorem.** Let  $V(F)$  be a vector space and let  $W \subseteq V$ . The necessary and sufficient conditions for  $W$  to be a subspace of  $V$  are

$$(i) \alpha \in W, \beta \in W \Rightarrow \alpha - \beta \in W \quad (ii) a \in F, \alpha \in W \Rightarrow a\alpha \in W.$$

**Proof.** Conditions are necessary

(i)  $W$  is a vector subspace of  $V$

$\Rightarrow W$  is a subgroup of  $(V, +)$   $\Rightarrow (W, +)$  is a group

$\Rightarrow$  if  $a, \beta \in W$  then  $a - \beta \in W$ .

(ii)  $W$  is a subspace of  $V$

$\Rightarrow W$  is closed under scalar multiplication  $\Rightarrow$  for  $a \in F, \alpha \in W; a\alpha \in W$

Conditions are sufficient.

Let  $W$  be a nonempty subset of  $V$  satisfying the two given conditions

$\alpha \in W, \alpha \in W \Rightarrow \alpha - \alpha \in W \Rightarrow \bar{0} \in W$  (by (i))

$\therefore$  The zero vector of  $V$  is also the zero vector of  $W$

$\bar{0} \in W, \alpha \in W \Rightarrow \bar{0} - \alpha \in W \Rightarrow (-\alpha) \in W$  (by (i))

$\Rightarrow$  additive inverse of each element of  $W$  is also in  $W$

Again  $\alpha \in W, \beta \in W \Rightarrow \alpha \in W, (-\beta) \in W \Rightarrow \alpha - (-\beta) \in W$  (by (i))

$\Rightarrow \alpha + \beta \in W$

i.e.,  $W$  is closed under vector addition

As  $W \subseteq V$ , all the elements of  $W$  are also the elements of  $V$ . Thereby vector addition in  $W$  will be associative and commutative. This implies that  $(W, +)$  is an abelian group.

Further by (ii),  $W$  is closed under scalar multiplication and the other postulates of vector space hold in  $W$  as  $W \subseteq V$ .

$\therefore W$  itself is a vector space under the operations of  $V$ .

Hence  $W(F)$  is a vector subspace of  $V(F)$ .

A more useful and abridged form of the above theorem is the following.

**1.14. Theorem.** Let  $V(F)$  be a vector space. A non-empty set  $W \subseteq V$ .

The necessary and sufficient condition for  $W$  to be a subspace of  $V$  is

$$a, b \in F \text{ and } \alpha, \beta \in V \Rightarrow a\alpha + b\beta \in W \quad \dots (I)$$

(S.V.U.S97)

**Proof.** Condition is necessary.

$W(F)$  is a subspace of  $V(F) \Rightarrow W(F)$  is a vector space  
 $\therefore a \in F, \alpha \in W \Rightarrow a\alpha \in W$  and  $b \in F, \beta \in W \Rightarrow b\beta \in W$

Now  $a\alpha \in W, b\beta \in W \Rightarrow a\alpha + b\beta \in W$

Condition is sufficient.

Let  $W$  be the non-empty subset of  $V$  satisfying the given condition

i.e.,  $a, b \in F$  and  $\alpha, \beta \in W \Rightarrow a\alpha + b\beta \in W \dots (I)$

Taking  $a=1, b=-1$  and  $\alpha, \beta \in W \Rightarrow 1\alpha + (-1)\beta \in W$

$\Rightarrow \alpha - \beta \in W \quad [\because \alpha \in W \Rightarrow \alpha \in V \text{ and } 1\alpha = \alpha \text{ in } V]$

( $H \subseteq G$  and  $a, b \in H \Rightarrow ab^{-1} \in H$  then  $(H, o)$  is subgroup of  $(G, 0)$ ).

$\therefore (W, +)$  is a subgroup of the abelian group  $(V, +)$ .

$\Rightarrow (W, +)$  is an abelian group. Again taking  $b=0$

$a, 0 \in F$  and  $\alpha, \beta \in W \Rightarrow a\alpha + 0\beta \in W \Rightarrow a\alpha \in W \Rightarrow a \in F$  and  $\alpha \in W \Rightarrow a\alpha \in W$

$\therefore W$  is closed under scalar multiplication.

The remaining postulates of vector space hold in  $W$  as  $W \subseteq V$ .

$\therefore W(F)$  is a vector subspace of  $V(F)$ .

**1.15. Theorem.** A non-empty set  $W$  is a subset of vector space  $V(F)$ .  $W$  is a subspace of  $V$  if and only if  $a \in F$  and  $\alpha, \beta \in W \Rightarrow a\alpha + \beta \in W$ .

**Proof.** Condition is necessary.  $W$  is a subspace of  $V(F)$

$\Rightarrow W(F)$  is a vector space.  $\therefore a \in F, \alpha \in W \Rightarrow a\alpha \in W$

Further  $a\alpha \in W, \beta \in W \Rightarrow a\alpha + \beta \in W$

Condition is sufficient.  $W$  is a non-empty subset of  $V$  satisfying the condition.

$a \in F$  and  $\alpha, \beta \in W \Rightarrow a\alpha + \beta \in W$

(i) Now taking  $a=-1$ , for  $\alpha \in W$  we have  $(-1)\alpha + \alpha \in W \Rightarrow \bar{0} \in W$

(ii) Again  $a \in F, \alpha, \bar{0} \in W \Rightarrow a\alpha + \bar{0} \in W \Rightarrow a\alpha \in W$

$\therefore W$  is closed with respect to scalar multiplication.

(iii)  $-1 \in F$  and  $\alpha, \bar{0} \in W \Rightarrow (-1)\alpha + \bar{0} \in W \Rightarrow -\alpha \in W$ .  $\therefore$  Inverse exists in  $W$ .

The remaining postulates of vector space hold good in  $W$  since they hold in  $V$  of which  $W$  is a subset.

Hence  $W$  is a subspace of  $V(F)$ .

### SOLVED PROBLEMS

**Ex.1.** The set  $W$  of ordered triads  $(x, y, 0)$  where  $x, y \in F$  is a subspace of  $V_3(F)$

**Sol:** Let  $\alpha, \beta \in W$  where  $\alpha = (x_1, y_1, 0)$  and  $\beta = (x_2, y_2, 0)$  for some  $x_1, y_1, x_2, y_2 \in F$ .

Let  $a, b \in F$ .

$$\therefore a\alpha + b\beta = a(x_1, y_1, 0) + b(x_2, y_2, 0)$$

$$= (ax_1, ay_1, 0) + (bx_2, by_2, 0) = (ax_1 + bx_2, ay_1 + by_2, 0)$$

Clearly  $ax_1 + bx_2, ay_1 + by_2 \in F \Rightarrow a\alpha + b\beta \in W$  for all  $a, b \in F$  and  $\alpha, \beta \in W$

Hence  $W$  is a subspace of  $V_3(F)$ .

**Ex.2.** Let  $p, q, r$  be the fixed elements of a field  $F$ . Show that the set  $W$  of all triads  $(x, y, z)$  of elements of  $F$ , such that  $px + qy + rz = 0$  is a vector subspace of  $V_3(F)$ .

(S. V. U. S97)

**Sol:** By definition  $W \neq \emptyset$ . Let  $\alpha, \beta \in W$  where  $\alpha = (x_1, y_1, z_1)$  and  $\beta = (x_2, y_2, z_2)$  for some  $x_1, x_2, y_1, y_2, z_1, z_2 \in F$ .

Then by definition  $px_1 + qy_1 + rz_1 = 0 \dots (1) \quad px_2 + qy_2 + rz_2 = 0 \dots (2)$

If  $a, b \in F$ , then we have  $a\alpha + b\beta = a(x_1, y_1, z_1) + b(x_2, y_2, z_2)$

$$= (ax_1, ay_1, az_1) + (bx_2, by_2, bz_2) = (ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2)$$

Now  $p(ax_1 + bx_2) + q(ay_1 + by_2) + r(az_1 + bz_2)$

$$= a(px_1 + qy_1 + rz_1) + b(px_2 + qy_2 + rz_2) = a \cdot 0 + b \cdot 0 = 0 \quad (\text{by (1) and (2)})$$

$\therefore a\alpha + b\beta = (ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2) \in W$ . Hence  $W$  is a subspace of  $V_3(F)$ .

**Ex. 3.** Let  $R$  be the field of real numbers and  $W = \{(x, y, z) / x, y, z \text{ are rational numbers}\}$ . Is  $W$  a subspace of  $V_3(R)$ .

**Sol:** Let  $\alpha = (2, 3, 4)$  be an element of  $W$ ;  $a = \sqrt{7}$  is an element of  $R$ .

Now  $a\alpha = \sqrt{7}(2, 3, 4) = (2\sqrt{7}, 3\sqrt{7}, 4\sqrt{7}) \notin W$ .

( $\therefore 2\sqrt{7}, 3\sqrt{7}, 4\sqrt{7}$  not rational numbers).

$\therefore W$  is not closed under scalar multiplication.

Hence  $W$  is not a subspace of  $V_3(R)$ .

**Ex. 4.** Let  $V$  be the vector space of all polynomials in an indeterminate  $x$  over the field  $F$ . Let  $W$  be a subset of  $V$  consisting of all polynomials of degree  $\leq n$ . Then  $W$  is a subspace of  $V$ .

**Sol:** Let  $\alpha, \beta \in W$ . Then  $\alpha, \beta$  are polynomials over  $F$  of degree  $\leq n$ .

If  $a, b \in F$  then  $a\alpha + b\beta$  will also be a polynomial of degree  $\leq n$ .

$\therefore a\alpha + b\beta \in W \Rightarrow W$  is a subspace of  $V$ .

**Ex. 5.** Let  $V$  be the set of all  $n \times n$  matrices and  $F$  be the field. If  $W$  is the subset of  $n \times n$  symmetric matrices in  $V$ , show that  $W$  is a subspace of  $V(F)$ .

**Sol:**  $O_{n \times n} = \begin{pmatrix} 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & \dots & 0 \end{pmatrix}$  is a symmetric matrix

since  $i-j$  th element of  $O = 0 = j-i$  th element of  $O$

$\therefore W \neq \emptyset$ . Let  $a, b \in F$  and  $P, Q \in W$  where  $P = [p_{ij}], Q = [q_{ij}]$

$\therefore P, Q$  are symmetric,  $p_{ij} = p_{ji}$  and  $q_{ij} = q_{ji} \dots (1)$

$$\therefore aP + bQ = a[p_{ij}] + b[q_{ij}] = [ap_{ij}] + [bq_{ij}] = [ap_{ij} + bq_{ij}]_{n \times n}$$

The  $i-j$ th element in  $aP+bQ = ap_{ij} + bq_{ij} = ap_{ji} + bq_{ji}$  (by (1))  
 $= j-i$ th element of  $aP+bQ$   
 $\Rightarrow aP+bQ$  is a symmetric  $n \times n$  matrix  $\Rightarrow aP+bQ \in W$   
 $\therefore W$  is a vector subspace of  $V(F)$ .

**Ex. 6.** Let  $F$  be a field and  $A$  be a  $m \times n$  matrix over  $F$ .

$F_{l \times m}$  is the set of all  $1 \times m$  matrices defined over forming the vector space  $F_{l \times m}(F)$ . Define  $W = \{X = [x_1, x_2, \dots, x_l] \in F_{l \times m} \mid l \times A = O_{l \times n}\}$ .

Prove that  $W$  is a subspace of  $F_{l \times m}(F)$ .

**Sol:** Let  $X, Y \in W$  and  $a, b \in F$ . By def. of  $W$ ,  $XA = O_{l \times n}$  and  $YA = O_{l \times n}$

Now  $(aX+bY)A = (aX)A + (bY)A = a(XA) + b(YA) = aO_{l \times n} + bO_{l \times n} = O_{l \times n}$

$\therefore aX+bY \in W \quad \therefore W$  is a subspace of  $F_{l \times m}(F)$ .

**Note.**  $W$  is called a solution space of  $XA = O$ .

### EXERCISE 1 (b)

- Let  $R$  be the field of real numbers. Show the set of triads that  
 $(1) \{(x, 2y, 3z) / x, y, z \in R\} \quad (2) \{(x, x, x) / x \in R\}$  form the subspaces of  $R^3(R)$ .
- Let  $V = R^3 = \{(x, y, z) / x, y, z \in R\}$  and  $W$  be the set of triads  $(x, y, z)$  such that  $x-3y+4z=0$ . Show that  $W$  is a subspace of  $V(R)$ .
- Show that the set  $W$  of the elements of the vector space  $V_3(R)$  of the form  $(x+2y, y, -x+3y)$  where  $x, y \in R$  is a subspace of  $V_3(R)$ .
- Prove that the set of solutions  $(x, y, z)$  of the equation  $x+y+2z=0$  is a subspace of the space  $R^3(R)$ .
- Show that the solutions of the differential equation  $(D^2 - 5D + 6)y = 0$  is a subspace of the vector space of all real-valued continuous functions over  $R$ .  
**Hint.** Let  $y = f(x)$  and  $y = g(x)$  be two solutions.  
 $\therefore D^2f(x) - 5Df(x) + 6f(x) = 0 \dots (1), \quad D^2g(x) - 5Dg(x) + 6g(x) = 0 \dots (2)$   
 $(1) + (2)$  gives :  $D^2[af(x) + bg(x)] - 5D[af(x) + bg(x)] + 6[af(x) + bg(x)] = 0$   
 $\therefore af(x) + bg(x)$  is also a solution of D.E. etc.]
- Show that the subset  $W$  defined below is not a subspace of  $R^3(R)$ .  
 $(i) \quad W = \{(a, b, c) | a, b, c \text{ are rationals}\} \quad (ii) \quad W = \{(a, b, c) | a^2 + b^2 + c^2 \leq 1\}$
- Let  $V$  be the vector of  $n \times n$  matrices over a field  $F$ . Show that  $W$  the set of matrices which commute with a given matrix  $T$  is a subspace of  $V$ .  
 $W = \{A = [a_{ij}] \in V : AT = TA\}$

### Vector Spaces

- $V$  is the vector space of  $2 \times 2$  matrices over a field  $F$ . Show that  $W$  is not a subspace of  $V$  where (i)  $W = \{A \in V \mid \det A = 0\}$  (ii)  $W = \{A \in V \mid A^2 = A\}$

**[Hint.** (i) Take  $A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  and  $B = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$  in  $W$ .

$A + B = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  with  $\det(A + B) \neq 0$  etc.

**[Hint.** (ii) Clearly  $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \in W$ . As  $(2I)^2 \neq 2I$  scalar multiplication in  $W$  fails].

- Let  $V$  be the set of all real valued functions defined on  $[-1, 1]$ . Which of the following are subspaces of  $V(R)$ .

(i)  $W_1 = \{f \in V \mid f(0) = 0\}$  (ii)  $W_2 = \{f \in V \mid f(1) = f(-1)\}$

(iii)  $W_3 = \{f \in V \mid f(x) = 0 \text{ if } x < 0\}$  (iv)  $W_4 = \{f \in V \mid f(x) = f(-x)\}$

- $W$  is the subset of  $C^3$ . Which of the following are the subspaces of  $C^3(C)$ .

(i)  $W = \{(\alpha_1, \alpha_2, \alpha_3) \in C^3 \mid \alpha_1 \text{ is real}\}$

(ii)  $W = \{(\alpha_1, \alpha_2, \alpha_3) \in C^3 \mid \text{either } \alpha_1 = 0 \text{ or } \alpha_2 = 0\}$

- Let  $x$  be an indeterminate over the field  $F$ . Which of the following are subspaces of  $[x]$  over  $F$ ?

(i) All monic polynomials of degree atmost 10.

(ii) All polynomials having  $\alpha$  and  $\beta$  in  $F$  as roots. (iii) All polynomials divisible by  $x$ .

(iv) All polynomials  $f(x)$  such that  $2f(0) = f(1)$ .

- Prove that  $W = \{\lambda(1, 1, 1) \mid \lambda \in R\}$  is a subspace of  $R^3$ .

### ALGEBRA OF SUBSPACES

**1.16. Theorem.** The intersection of any two subspaces  $W_1$  and  $W_2$  of vector space  $V(F)$  is also a subspace.

**Proof.**  $W_1$  and  $W_2$  are subspaces of  $V(F)$

$\Rightarrow \bar{0} \in W_1$  and  $\bar{0} \in W_2 \Rightarrow \bar{0} \in W_1 \cap W_2 \quad \therefore W_1 \cap W_2 \neq \emptyset$

Let  $a, b \in F$  and  $\alpha, \beta \in W_1 \cap W_2 \quad \therefore \alpha, \beta \in W_1$  and  $\alpha, \beta \in W_2$

Now  $a, b \in F$  and  $\alpha, \beta \in W_1 \Rightarrow a\alpha + b\beta \in W_1$

$a, b \in F$  and  $\alpha, \beta \in W_2 \Rightarrow a\alpha + b\beta \in W_2$

$\therefore a\alpha + b\beta \in W_1 \cap W_2 \quad \therefore W_1 \cap W_2$  is a subspace of  $V(F)$

**1.17. Theorem.** The intersection of any family of subspaces of a vector space is also a subspace.

**Proof.** Let  $V(F)$  be a vector space.

Let  $W_1, W_2, W_3 \dots W_n$  be the  $n$  subspaces of  $V(F)$ .

$$\text{Let } W = \bigcap_{i=1}^n W_i = \{\alpha \in V : \alpha \in W_i \forall i\}$$

$$\bar{0} \in W_i \text{ for } i = 1, 2, \dots, n \Rightarrow \bar{0} \in \bigcap_{i=1}^n W_i \text{ and } \bigcap_{i=1}^n W_i \neq \emptyset$$

$$\text{Let } \alpha, \beta \in \bigcap_{i=1}^n W_i \Rightarrow \alpha, \beta \in W_i \forall i$$

Since each  $W_i$  is a subspace we have  $a, b \in F$  and  $\alpha, \beta \in W_i \Rightarrow a\alpha + b\beta \in W_i$   
 $\Rightarrow a\alpha + b\beta \in \bigcap_{i=1}^n W_i$ . Hence  $\bigcap_{i=1}^n W_i$  is a subspace of  $V(F)$ .

Note : The union of two subspaces of  $V(F)$  may not be a subspace of  $V(F)$ .  
e.g. Let  $W_1$  and  $W_2$  be two subspaces of  $V_3(R)$  given by

$$W_1 = \{(0, y, 0) | y \in R\}, W_2 = \{(0, 0, z) | z \in R\}$$

$$\therefore W_1 \cup W_2 = \{(0, y, 0) \cup (0, 0, z) | y, z \in R\}$$

$$\text{Now } (0, y, 0) + (0, 0, z) = (0, y, z) \notin W_1 \cup W_2$$

$$\text{Since neither } (0, y, z) \in W_1 \text{ nor } (0, y, z) \in W_2$$

Thus  $W_1 \cup W_2$  is not closed under vector addition.

$\therefore W_1 \cup W_2$  is not a subspace of  $V(F)$ .

**1.18. Theorem.** The union of two subspaces is a subspace if and only if one contained in the other.

**Proof.** Let  $W_1$  and  $W_2$  be two subspaces of  $V(F)$ .

Condition is necessary. Let  $W_1 \subseteq W_2$  or  $W_2 \subseteq W_1$

$$\therefore W_1 \cup W_2 = W_2 \text{ or } W_1 \Rightarrow W_1 \cup W_2 \text{ is a subspace of } V(F)$$

Condition is sufficient. Let  $W_1 \cup W_2$  be a subspace.

Let us suppose that  $W_1 \not\subseteq W_2$  and  $W_2 \not\subseteq W_1$

$$\text{Now } W_1 \not\subseteq W_2 \Rightarrow \text{there exists } x \in W_1 \text{ and } x \notin W_2 \quad \dots (1)$$

$$W_2 \not\subseteq W_1 \Rightarrow \text{there exists } y \in W_2 \text{ and } y \notin W_1 \quad \dots (2)$$

$$\therefore x \in W_1 \cup W_2 \text{ and } y \in W_1 \cup W_2$$

$$\Rightarrow x+y \in W_1 \cup W_2 \quad (\because W_1 \cup W_2 \text{ is a subspace})$$

$$\Rightarrow x+y \in W_1 \text{ or } x+y \in W_2.$$

$$\text{Now } x+y, x \in W_1 \text{ (subspace)} \Rightarrow 1(x+y)+(-1)x \in W_1 \Rightarrow y \in W_1 \quad \dots (3)$$

$$\text{Similarly } x+y, y \in W_2 \text{ (subspace)} \Rightarrow 1(x+y)+(-1)y \in W_2 \Rightarrow x \in W_2 \quad \dots (4)$$

Thus (3) and (4) contradict (2) and (1).  $\therefore$  Either  $W_1 \subseteq W_2$  or  $W_2 \subseteq W_1$ .

### 1.19. LINEAR SUM OF TWO SUBSPACES

**Definition.** Let  $W_1$  and  $W_2$  be two subspaces of the vector space  $V(F)$ . Then the linear sum of the subspaces  $W_1$  and  $W_2$ , denoted by  $W_1 + W_2$ , is the set of all sums  $\alpha_1 + \alpha_2$  such that  $\alpha_1 \in W_1$ ,  $\alpha_2 \in W_2$  i.e.,  $W_1 + W_2 = \{\alpha_1 + \alpha_2 / \alpha_1 \in W_1, \alpha_2 \in W_2\}$

**1.20. Theorem.** If  $W_1$  and  $W_2$  are any two subspaces of a vector space  $(F)$  then

(i)  $W_1 + W_2$  is a subspace of  $V(F)$ .

(ii)  $W_1 \subseteq W_1 + W_2$  and  $W_2 \subseteq W_1 + W_2$ .

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**Proof.** (i) Let  $\alpha, \beta \in W_1 + W_2$ . Then

$$\alpha = \alpha_1 + \alpha_2 \text{ and } \beta = \beta_1 + \beta_2 \text{ where } \alpha_1, \beta_1 \in W_1 \text{ and } \alpha_2, \beta_2 \in W_2.$$

$$\text{If } a, b \in F \text{ then } a\alpha_1, b\beta_1 \in W_1 \quad (\because W_1 \text{ subspace})$$

$$\text{and } a\alpha_2, b\beta_2 \in W_2 \quad (\because W_2 \text{ subspace})$$

$$\text{Now } a\alpha + b\beta = a(\alpha_1 + \alpha_2) + b(\beta_1 + \beta_2) = (a\alpha_1, b\beta_1) + (a\alpha_2, b\beta_2) \in W_1 \cup W_2$$

$$\therefore a, b \in F \text{ and } \alpha, \beta \in W_1 + W_2 \Rightarrow a\alpha + b\beta \in W_1 + W_2$$

Hence  $W_1 + W_2$  is a subspace of  $V(F)$ .

$$(ii) \alpha_1 \in W_1 \text{ and } \bar{0} \in W_2 \Rightarrow \alpha_1 + \bar{0} \in W_1 + W_2. \quad \therefore \alpha_1 \in W_1 \Rightarrow \alpha_1 \in W_1 + W_2$$

$$\Rightarrow W_1 \subseteq W_1 + W_2. \text{ Similarly, } W_2 \subseteq W_1 + W_2. \quad \text{Hence } W_1 \cup W_2 \subseteq W_1 + W_2.$$

### SOLVED PROBLEMS

**Ex.1.** Let  $V$  be the vector space of all functions from  $R$  into  $R$ . Let  $S_e$  be the subset of even functions,  $f(-x) = f(x)$ .  $S_o$  be the subset of odd functions,  $f(-x) = -f(x)$ .

Prove that (1)  $S_e$  and  $S_o$  are subspaces of  $V$  (2)  $S_e + S_o = V$  (3)  $S_e \cap S_o = \{\bar{0}\}$

**Sol:** (1) Let  $f_e, g_e \in S_e$  and  $a, b \in R$

$$\therefore (af_e + bg_e)(-x) = af_e(-x) + bg_e(-x) = af_e(x) + bg_e(x) = (af_e + bg_e)(x)$$

$\Rightarrow af_e + bg_e$  is an even function.

$$\therefore f_e, g_e \in S_e, a \text{ and } b \in R \Rightarrow af_e + bg_e \in S_e$$

Hence  $S_e$  is a subspace of  $V$ . Similarly we can prove that  $S_o$  is a subspace of  $V$ .

(2) Since  $S_e$  and  $S_o$  are subspaces of  $V$ ,  $S_e + S_o$  is also a subspace of  $V$

$$\therefore S_e + S_o \subseteq V. \quad \text{Let } g_e(x) = \frac{1}{2}[f(x) + f(-x)], \quad h_o(x) = \frac{1}{2}[f(x) - f(-x)]$$

Clearly  $g_e$  is an even function and  $h_o$  is an odd function

$$\text{Now } f(x) = \frac{1}{2}[f(x) + f(-x)] + \frac{1}{2}[f(x) - f(-x)] = g_e(x) + h_o(x) = (g_e + h_o)(x)$$

$$\Rightarrow f = g_e + h_o \text{ where } g_e \in S_e, h_o \in S_o$$

$$\text{Thus } f \in V \Rightarrow f \in S_e + S_o \quad \therefore V \subseteq S_e + S_o. \quad \text{Hence } S_e + S_o = V.$$

(3) Let  $\bar{O}$  denote the zero function i.e.,  $\bar{O}(x)=0 \forall x \in \mathbb{R}$

Let  $f \in S_e \cap S_o$  then  $f(-x)=f(x)$  and  $f(-x)=-f(x)$

$$\therefore f(x) = -f(x) \Rightarrow 2f(x) = 0$$

$$\Rightarrow f(x) = 0 = \bar{O}(x) \Rightarrow f = \bar{O}.$$

Hence  $S_e \cap S_o = \{\bar{O}\}$ .

**Ex.2.** Let  $W_1$  and  $W_2$  be subspaces of a vector space  $V$  such that  $W_1 + W_2 = V$  and  $W_1 \cap W_2 = \{\bar{O}\}$ . Prove that for each vector  $\alpha$  in  $V$  there are unique vectors  $\alpha_1 \in W_1, \alpha_2 \in W_2$  such that  $\alpha = \alpha_1 + \alpha_2$ .

$$\text{Sol: } \alpha \in V \Rightarrow \alpha \in W_1 + W_2 \quad (\because V = W_1 + W_2)$$

$$\Rightarrow \alpha = \alpha_1 + \alpha_2 \text{ where } \alpha_1 \in W_1, \alpha_2 \in W_2$$

$$\text{If possible let } \alpha = \beta_1 + \beta_2 \text{ where } \beta_1 \in W_1, \beta_2 \in W_2$$

$$\therefore \alpha_1 + \alpha_2 = \beta_1 + \beta_2. \quad \alpha_1 - \beta_1 = \beta_2 - \alpha_2$$

$$\text{Now } \alpha_1 - \beta_1 \in W_1 \text{ and } \beta_2 - \alpha_2 \in W_2$$

$$\Rightarrow \alpha_1 - \beta_1 \in W_1 \text{ and } \alpha_2 - \beta_1 \in W_2$$

$$\Rightarrow \alpha_1 - \beta_1 \in W_1 \cap W_2 \Rightarrow \alpha_1 - \beta_1 = \bar{O} \quad (\because W_1 \cap W_2 = \{\bar{O}\})$$

$$\Rightarrow \beta_2 - \alpha_2 = \bar{O}$$

$$\Rightarrow \alpha_1 = \beta_1 \text{ and } \alpha_2 = \beta_2. \quad \text{Hence } \alpha = \alpha_1 + \alpha_2 \text{ is unique.}$$

### 1.21. LINEAR COMBINATION OF VECTORS

**Definition.** Let  $V(F)$  be a vector space. If  $\alpha_1, \alpha_2, \dots, \alpha_n \in V$  then any vector

$$\gamma = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n \text{ where } a_1, a_2, \dots, a_n \in F \text{ is called a linear combination of}$$

the vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$ .

**Note.1.**  $\gamma$  is a vector belonging to  $V(F)$ .

### 1.22. LINEAR SPAN OF A SET

**Definition.** Let  $S$  be a non-empty subset of a vector space  $V(F)$ . The linear span of  $S$  is the set of all possible linear combinations of all possible finite subsets of  $S$ . The linear span of  $S$  is denoted by  $L(S)$

$$\therefore L(S) = \{ \gamma : \gamma = \sum a_i \alpha_i, a_i \in F, \alpha_i \in S \}$$

**Note.1.**  $S$  may be a finite set but  $L(S)$  is infinite set.

$L(S)$  is said to be generated or spanned by  $S$

2. If  $S$  is an empty subset of  $V$  then we define  $L(S) = \{0\}$

3.  $S \subseteq L(S)$

**1.23. Theorem.** The linear span  $L(S)$  of any subset  $S$  of a vector space  $V(F)$  is a subspace of  $V(F)$ .

**Proof.** Let  $\alpha, \beta \in L(S)$  and  $a, b \in F$

### Vector Spaces

$$\therefore \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m, \quad \beta = b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n$$

where  $a_i, s, b_i, s \in F$  and  $\alpha_i, s, \beta_i, s \in S$

$$\therefore a\alpha + b\beta = a(a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m) + b(b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n)$$

$$= (a a_1)\alpha_1 + (a a_2)\alpha_2 + \dots + (a a_m)\alpha_m + (b b_1)\beta_1 + (b b_2)\beta_2 + \dots + (b b_n)\beta_n$$

$\Rightarrow a\alpha + b\beta$  is a linear combination of finite set

$\alpha_1, \alpha_2, \dots, \alpha_m, \beta_1, \beta_2, \dots, \beta_n$  of the elements of  $S$ .  $\Rightarrow a\alpha + b\beta \in L(S)$

Thus  $a, b \in F$  and  $\alpha, \beta \in L(S) \Rightarrow a\alpha + b\beta \in L(S) \therefore L(S)$  is a subspace of  $V(F)$ .

**1.24. Theorem.** Let  $S$  be a non-empty subset of the vector space  $V(F)$ . The linear span  $L(S)$  is the intersection of all subspaces of  $V$  which contain  $S$ .

**Proof.** Let  $W$  be the subspace of  $V(F)$  containing  $S$ . Every linear combination of finite set of elements of  $S$  is an element of  $W$  as  $W$  is closed.

But the set of every linear combination of finite set of elements of  $S$  is  $L(S)$ .

$$\therefore L(S) \subseteq W$$

$$\therefore L(S) \subseteq \text{Intersection of all subspaces of } V \text{ containing } S \quad (\because S \subseteq L(S))$$

The intersection of all subspaces of  $V$  containing  $S \subseteq L(S)$

Hence  $L(S)$  is the intersection of all subspaces of  $V$  containing  $S$ .

### SOLVED PROBLEMS

**Ex.1.** Express the vector  $\alpha = (1, -2, 5)$  as a linear combination of the vectors

$$e_1 = (1, 1, 1), e_2 = (1, 2, 3) \text{ and } e_3 = (2, -1, 1)$$

$$\text{Sol: Let } \alpha = (1, -2, 5) = x(1, 1, 1) + y(1, 2, 3) + z(2, -1, 1)$$

$$= (x + y + 2z, x + 2y - z, x + 3y + z)$$

$$\therefore x + y + 2z = 1, x + 2y - z = -2, x + 3y + z = 5$$

$$\text{Reducing to echelon form we get } \alpha + \beta + 2\gamma = 1, \beta - 3\gamma = -3, 5\gamma = 10$$

These equations are consistant and have a solution given by  $\alpha = -6, \beta = 3, \gamma = 2$

$$\therefore \alpha = -6e_1 + 3e_2 + 2e_3$$

**Ex.2.** Show that the vector  $\alpha = (2, -5, 3)$  in  $\mathbb{R}^3$  cannot be expressed as a linear combination of the vectors  $e_1 = (1, -3, 2), e_2 = (2, -4, -1)$  and  $e_3 = (1, -5, 7)$

$$\text{Sol: Let } \alpha = ae_1 + be_2 + ce_3$$

$$\text{i.e., } (2, -5, 3) = a(1, -3, 2) + b(2, -4, -1) + c(1, -5, 7)$$

$$= (a + 2b + c, -3a - 4b - 5c, 2a - b + 7c)$$

$$\Rightarrow a + 2b + c = 2, -3a - 4b - 5c = -5, 2a - b + 7c = 3$$

$$\text{Now reducing to echelon form we get } a + 2b + c = 2, 2b - 2c = 1, 0 = 3$$

As the system is inconsistent, the equations have no solution. Hence  $\alpha$  cannot be expressed as a l.c. of  $e_1, e_2, e_3$ .

**Ex.3.** The subset  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  of  $V_3(\mathbb{R})$  generates the entire vector space  $V_3(\mathbb{R})$ .

**Sol:** Let  $(a, b, c) \in V$  then we can write  $(a, b, c) = a(1, 0, 0) + b(0, 1, 0) + c(0, 0, 1)$   
 $\Rightarrow (a, b, c) \in L(S) \Rightarrow V \subseteq L(S)$ . But  $L(S) \subseteq V$  Hence  $L(S) = V$ .

**Ex.4.** In the vector space  $R^3(\mathbb{R})$ . Let  $\alpha = (1, 2, 1), \beta = (3, 1, 5), \gamma = (3, -4, 5)$ . Show that the subspace spanned by  $S = \{\alpha, \beta\}$  and  $T = \{\alpha, \beta, \gamma\}$  are the same.

**Sol:**  $L(T) = l.c. \text{ of the vectors of } T = \{a\alpha + b\beta + c\gamma / a, b, c \in \mathbb{R}\}$   
Let  $\gamma = x\alpha + y\beta \Rightarrow (3, -4, 5) = x(1, 2, 1) + y(3, 1, 5)$   
 $\therefore x+3y=3, 2x+y=-4, x+5y=5$   
 $x=-3, y=2$  satisfy all the three equations.  $\therefore (3, -4, 5) = -3(1, 2, 1) + 2(3, 1, 5)$   
 $\therefore c(3, -4, 5) = -3c(1, 2, 1) + 2c(3, 1, 5) \Rightarrow c\gamma = -3c\alpha + 2c\beta$   
 $\therefore a\alpha + b\beta + c\gamma = a\alpha + b\beta - 3c\alpha + 2c\beta$   
 $= (a-3c)\alpha + (b+2c)\beta = p\alpha + q\beta \text{ when } p, q \in \mathbb{R}$   
 $\therefore \text{But } p\alpha + q\beta \in L(S).$   $\therefore L(T) = L(S)$ .

**Ex.5.** In the vector space  $R^3(\mathbb{R})$  let  $S = \{(1, 0, 0), (0, 1, 0)\}$ . Find  $L(S)$ .

**Sol:**  $L(S) = \{\alpha / \alpha = a(1, 0, 0) + b(0, 1, 0), a, b \in \mathbb{R}\} = \{\alpha / \alpha = (a, b, 0) ; a, b \in \mathbb{R}\}$

Geometrically the linear span is the plane  $Z = 0$ .

**1.25. Theorem.** If  $W_1$  and  $W_2$  are two subspaces of a vector space  $V(F)$  then

$$L(W_1 \cup W_2) = W_1 + W_2.$$

**Proof.** Let  $\alpha_1 \in W_1$  and  $\bar{\alpha} \in W_2$   $\therefore \alpha_1 \in W_1$  and  $\bar{\alpha} \in W_2 \Rightarrow \alpha_1 + \bar{\alpha} \in W_1 + W_2$

$\therefore$  Every  $\alpha_1 \in W_1 \Rightarrow \alpha_1 \in W_1 + W_2$

$\therefore W_1 \subseteq W_1 + W_2$ . Similarly  $W_2 \subseteq W_1 + W_2$ . Hence  $W_1 \cup W_2 \subseteq W_1 + W_2$

Also  $W_1$  and  $W_2$  subspaces of  $V \Rightarrow W_1 \cup W_2$  subspace of  $W_1 + W_2$ .

$\therefore L(W_1 \cup W_2)$  is a subspace of  $W_1 + W_2$ . Again let  $\alpha \in W_1 + W_2$

$\therefore$  By def.  $\alpha = \alpha_1 + \alpha_2$  where  $\alpha_1 \in W_1$  and  $\alpha_2 \in W_2$   $\therefore \alpha_1, \alpha_2 \in W_1 \cup W_2$

Now,  $\alpha = \alpha_1 + \alpha_2 = 1\alpha_1 + 1\alpha_2 = l.c. \text{ of elements of } W_1 \cup W_2$

$\therefore \alpha \in L(W_1 \cup W_2)$   $\therefore W_1 + W_2 \subseteq L(W_1 \cup W_2)$  ... (i)

Also we know that  $L(W_1 \cup W_2)$  is the smallest subspace containing  $W_1 \cup W_2$  and  $W_1 \cup W_2 \subseteq W_1 + W_2$ .  $\therefore L(W_1 \cup W_2) \subseteq W_1 + W_2$  ... (ii)

From (i) and (ii)  $L(W_1 \cup W_2) = W_1 + W_2$

**1.26. Theorem.** If  $S$  is a subset of a vector space  $V(F)$  then prove that

(I)  $S$  is a subspace of  $V \Leftrightarrow L(S) = S$  (S. V. U. 2001/O) (II)  $L(L(S)) = L(S)$ .

**Proof.** I. (i) Let  $S$  be a subspace of  $V$ .

Let  $\alpha \in L(S)$ . Then  $\alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m$  where  $a_1, a_2, \dots, a_m \in F$

and  $\alpha_1, \alpha_2, \dots, \alpha_m \in S$

$\therefore S$  is a subspace of  $V$ , it is closed w.r.t. scalar multiplication and vector addition.

$\therefore a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \alpha \in S$

Thus  $\alpha \in L(S) \Rightarrow \alpha \in S \quad \therefore L(S) \subseteq S$

Let  $\beta \in S$ . Now  $\beta = l.c. \text{ of infinite elements of } S$

$\Rightarrow \beta \in L(S) \quad \therefore S \subseteq L(S)$ . Hence  $L(S) = S$ .

(ii) Suppose  $L(S) = S$ .

We know that  $L(S)$  is a subspace of  $V$ .  $\Rightarrow S$  is a subspace of  $V$ .

II. We know that  $L(S)$  is a subspace of  $V$ . By I,  $L(L(S)) = L(S)$ .

**1.27. Theorem.** If  $S, T$  are the subsets of a vector space  $V(F)$ , then

(I)  $S \subseteq T \Rightarrow L(S) \subseteq L(T)$  (II)  $L(S \cup T) = L(S) + L(T)$  (N.U. 95)

**Proof.** (I) Let  $\alpha \in L(S)$

$\therefore \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$  where  $a_i \in F$  and  $\alpha_i \in S$

$\therefore S \subseteq T$ , then  $\{\alpha_1, \alpha_2, \dots, \alpha_n\} \subseteq T$

$\therefore \alpha = l.c. \text{ of finite subset of } T \Rightarrow \alpha \in L(T)$

Hence  $L(S) \subseteq L(T)$ .

(II) Let  $\alpha \in L(S \cup T)$

$\therefore \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m + b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n$  where  $a_1, a_2, \dots, a_m, b_1, b_2, \dots, b_n \in F$

$\alpha_1, \alpha_2, \dots, \alpha_m \in S$  and  $\beta_1, \beta_2, \dots, \beta_n \in T$ .

(i) But  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m \in L(S)$ ,  $b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n \in L(T)$

$\therefore \alpha$  is an element of  $L(S) + L(T)$

$\therefore \alpha \in L(S) + L(T) \Rightarrow L(S \cup T) \subseteq L(S) + L(T)$  ... (1)

(ii) Let  $\alpha \in L(S) + L(T)$

$\therefore \alpha = \gamma + \delta$  where  $\gamma \in L(S)$ ,  $\delta \in L(T)$   $\therefore \gamma = l.c. \text{ of a finite no. of elements of } S$

$\delta = l.c. \text{ of a finite no. of elements of } T$

$\therefore \alpha = \gamma + \delta = l.c. \text{ of a finite no. of elements of } S \cup T \Rightarrow \alpha \in L(S \cup T)$

$\therefore L(S) + L(T) \subseteq L(S \cup T)$  ... (2)

Hence from (1) and (2) :  $L(S \cup T) = L(S) + L(T)$ .

**EXERCISE 1 (c)**

1. Show that each of the following set of vectors generates  $\mathbb{R}^3(\mathbb{R})$ .
- $\{(1,0,0), (1,1,0), (1,1,1)\}$
  - $\{(1,2,3), (0,1,2), (0,0,1)\}$
  - $\{(1,2,1), (2,1,0), (1,-1,2)\}$
- (i)  $\{(1,0,0), (1,1,0), (1,1,1)\}$  (ii)  $\{(1,2,3), (0,1,2), (0,0,1)\}$  (iii)  $\{(1,2,1), (2,1,0), (1,-1,2)\}$  are the vectors of  $V_3(\mathbb{R})$  such that  $L(\{\alpha, \beta\}) \neq L(\{\gamma, \delta\})$ .
2. If  $\alpha = (1, 2, -1)$ ,  $\beta = (2, -3, 2)$ ,  $\gamma = (4, 1, 3)$  and  $\delta = (-3, 1, 2)$  are the vectors of  $V_3(\mathbb{R})$  show that the subspace spanned by a non-empty subset  $S$  of a vector space  $V$  is the set of all linear combinations of vectors in  $S$ . (N.U.)

**1.28. LINEAR DEPENDENCE OF VECTORS**

**Definition.** Let  $V(F)$  be a vector space. A finite subset  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  of vectors of  $V$  is said to be a linearly dependent (L.D.) set if there exist scalars  $a_1, a_2, \dots, a_n \in F$  not all zero, such that  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n = \bar{0}$ .

**1.29. LINEAR INDEPENDENCE OF VECTORS**

**Definition.** Let  $V(F)$  be a vector space. A finite subset  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  of vectors of  $V$  is said to be linearly independent (L.I.) if every relation of the form

$$\begin{aligned} a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n &= \bar{0}, a_i's \in F \\ \Rightarrow a_1 = 0, a_2 = 0, \dots, a_n = 0. \end{aligned}$$

**1.30. Theorem.** Every superset of a linearly dependent (L.D.) set of vectors is linearly dependent (L.D.).

**Proof.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a linearly dependent set of vectors.

$\therefore$  There exist scalars  $a_1, a_2, \dots, a_n \in F$ , not all zero such that

$$a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n = \bar{0} \quad \dots (1)$$

Let  $S' = \{\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_m\}$  be a super set of  $S$ . then (1) can be re-written as

$$a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n + 0\beta_1, 0\beta_2, \dots, 0\beta_m = \bar{0} \quad \dots (2)$$

In (2) all the scalars are not zero  $\Rightarrow S'$  is linearly dependent (L.D.).

Hence any super set of an L.D. set is L.D.

**1.31. Theorem.** Every non-empty subset of a linearly independent (L.I.) set of vectors is linearly independent (L.I.) (N.U. 94M)

**Proof.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a L.I. set of vectors

Let us consider the subset  $\{\alpha_1, \alpha_2, \dots, \alpha_k\}$  where  $1 \leq k \leq m$ .

Now  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_k\alpha_k = \bar{0}$

**Vector Spaces**

$$\Rightarrow a_1\alpha_1 + a_2\alpha_2 + \dots + a_k\alpha_k + 0\alpha_{k+1} + \dots + 0\alpha_m = \bar{0}$$

$$\Rightarrow a_1 = 0, a_2 = 0, a_k = 0 \quad (\because S \text{ is L.I. set.})$$

Hence the subset  $\{\alpha_1, \alpha_2, \dots, \alpha_k\}$  is L.I.

**1.32. Theorem.** A set of vectors which contains atleast one zero vector is linearly dependent.

**Proof.** Let  $\alpha_1 = \bar{0}$  and  $\alpha_2, \alpha_3, \dots, \alpha_m \neq \bar{0}$

Then  $1\alpha_1 + 0\alpha_2 + 0\alpha_3, \dots, + 0\alpha_m = \bar{0}$ ,  $a_1 = 1 \in F$  with atleast one scalar  $a_1 \neq 0$

$\Rightarrow \{\bar{0}, \alpha_2, \dots, \alpha_m\}$  L.D. set.

Hence the set of vectors containing zero vector is linearly dependent (L.D.).

**Note.** A L.I. subset of a vector space  $V(F)$  does not contain zero vector.

**1.33. Theorem.** A single non-zero vector forms a linearly independent (L.I.) set.

**Proof.** Let  $L = \{\alpha\}$  be a subset of  $V(F)$  where  $\alpha \neq \bar{0}$

If  $a \in F$  then  $a\alpha = \bar{0} \Rightarrow a = 0$ .  $\therefore$  The set  $S$  is linearly independent.

**Note.**  $\{\alpha\}$  is a L.D. set.

**SOLVED PROBLEMS****Ex.1.** Show that the system of vectors  $(1,3,2), (1,-7,-8), (2,1,-1)$  of  $V_3(\mathbb{R})$  is linearly dependent.

**Sol.** Let  $a, b, c \in \mathbb{R}$ , then  $a(1,3,2) + b(1,-7,-8) + c(2,1,-1) = \bar{0}$

$$\Rightarrow (a+b+2c, 3a-7b+c, 2a-8b-c) = (0,0,0)$$

$$\Rightarrow a+b+2c = 0, 3a-7b+c = 0, 2a-8b-c = 0$$

$$\Rightarrow a = 3, b = 1, c = -2 \quad \therefore \text{The given vectors are linearly dependent.}$$

**Ex.2.** Show that the system of vectors  $(1,2,0), (0,3,1), (-1,0,1)$  of  $V_3(\mathbb{Q})$  is L.I. where  $Q$  is the field of rational numbers.

**Sol.** Let  $x, y, z \in Q$  then  $x(1,2,0) + y(0,3,1) + z(-1,0,1) = \bar{0}$

$$\Rightarrow (x-z, 2x+3y, y+z) = (0,0,0)$$

$$\Rightarrow x-z = 0, 2x+3y = 0, y+z = 0 \Rightarrow x = 0, y = 0, z = 0$$

Hence the system is L.I.

**Ex.3.** If two vectors are linearly dependent, prove that one of them is a scalar multiple of the other.

**Sol:** Let  $\alpha, \beta$  be two L.D. vectors of  $V(F)$

Then there exist  $a, b \in F$ , not both zero such that  $a\alpha + b\beta = \bar{0}$

Let  $a \neq 0$ , then  $\alpha = \left(-\frac{b}{a}\right)\beta \Rightarrow \alpha$  is a scalar multiple of  $\beta$

If  $b \neq 0$ , similarly we get  $\beta = a$  scalar multiple of  $\alpha$ .

**Ex.4.** Prove that the vectors  $(x_1, y_1)$  and  $(x_2, y_2)$  of  $V_2(F)$  are L.D.

$$x_1y_2 - x_2y_1 = 0.$$

Sol. Let  $a, b \in F$  then  $a(x_1y_1) + b(x_2y_2) = (0, 0)$

$$\Rightarrow (ax_1 + bx_2, ay_1 + by_2) = (0, 0) \Rightarrow ax_1 + bx_2 = 0, ay_1 + by_2 = 0$$

These equations are consistent.

$$\text{If } \det \begin{bmatrix} x_1 & x_2 \\ y_1 & y_2 \end{bmatrix} = 0 \Rightarrow x_1y_2 - x_2y_1 = 0$$

**Ex.5.** In the vector space  $V_n(F)$ , the system of  $n$  vectors  $e_1 = (1, 0, 0, \dots, 0)$ ,  $e_2 = (0, 1, 0, \dots, 0)$ , ...,  $e_n = (0, 0, \dots, 1)$  is linearly independent where 1 is unity of  $F$ .

Sol. Let  $a_1, a_2, \dots, a_n \in F$ , then  $a_1e_1 + a_2e_2 + \dots + a_ne_n = \bar{0}$

$$\Rightarrow a_1(1, 0, \dots, 0) + a_2(0, 1, 0, \dots, 0) + \dots + a_n(0, 0, \dots, 1, 0) = \bar{0}$$

$$\Rightarrow (a_1, a_2, \dots, a_n) = (0, 0, \dots, 0) \Rightarrow a_1 = 0, a_2 = 0, \dots, a_n = 0$$

$\Rightarrow$  The given set of vectors is linearly independent.

**Ex.6.** Prove that the four vector  $\alpha = (1, 0, 0)$ ,  $\beta = (0, 1, 0)$ ,  $\gamma = (0, 0, 1)$ ,  $\delta = (1, 1, 1)$  of  $V_3(C)$  form L.D. set, but any three of them are L.I.

Sol. Let  $a, b, c, d \in C$   $\therefore a\alpha + b\beta + c\gamma + d\delta = \bar{0}$

$$\Rightarrow a(1, 0, 0) + b(0, 1, 0) + c(0, 0, 1) + d(1, 1, 1) = \bar{0}$$

$$\Rightarrow (a+d, b+d, c+d) = (0, 0, 0)$$

$$\Rightarrow a+d = 0, b+d = 0, c+d = 0 \quad \therefore a = -d, b = -d, c = -d$$

Thus if  $d = -k$ , then  $a = k, b = k, c = k$ , showing that  $\alpha + \beta + \gamma - \delta = \bar{0}$

$\therefore$  The four vectors  $\alpha, \beta, \gamma, \delta$  are L.D.

(i) But  $a\alpha + b\beta + c\gamma + d\delta = \bar{0} \Rightarrow a(1, 0, 0) + b(0, 1, 0) + c(1, 1, 1) = \bar{0}$

$$\Rightarrow (a+c, b+c, c) = (0, 0, 0) \Rightarrow a+c = 0, b+c = 0, c = 0,$$

$\Rightarrow a = 0, b = 0, c = 0 \Rightarrow$  the vectors  $\alpha, \beta, \delta$  are L.I.

Similarly we can show that any other three vectors are L.I.

**Ex.7.** If  $\alpha, \beta, \gamma$  are linearly independent vectors of  $V(R)$  show that  $\alpha + \beta, \beta + \gamma, \gamma + \alpha$  are also L.I.

Sol. Let  $a, b, c \in R$ .

(O.U. 2001/0)

$$a(\alpha + \beta) + b(\beta + \gamma) + c(\gamma + \alpha) = \bar{0} \Rightarrow (a+c)\alpha + (a+b)\beta + (b+c)\gamma = \bar{0}$$

Given  $\alpha, \beta, \gamma$  are linearly independent.

$$\Rightarrow a+0.b+c=0, \quad a+b+0.c=0, \quad 0.a+b+c=0.$$

Now the coefficient matrix  $A$  of these equations is  $A = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$ ,

where rank of  $A = 3$  i.e., equal to the no. of unknowns.

$\Rightarrow a = 0, b = 0, c = 0$  is the only solution of the given equations.

$\therefore \alpha + \beta, \beta + \gamma, \gamma + \alpha$  are also L.I.

**Ex.8.** Let  $F(x)$  be the vector space of all polynomials over the field  $F$ . Show that the infinite set  $S = \{1, x, x^2, \dots\}$  is linearly independent.

Sol. Let  $S' = \{x^{m_1}, x^{m_2}, \dots, x^{m_n}\}$  be any finite subset of having  $n$  vectors, where  $m_1, m_2, \dots, m_n$  are non-negative integers.

Let  $a_1, a_2, \dots, a_n \in F$

$$\therefore a_1x^{m_1} + a_2x^{m_2} + \dots + a_nx^{m_n} = \bar{0} \quad (\text{i.e. zero polynomial})$$

Then by definition of equality of two vectors we have  $a_1 = 0, a_2 = 0, \dots, a_n = 0$

$\Rightarrow$  Every finite subset of  $S$  is L.I. and hence  $S$  is linearly independent.

**Ex.9.** Let  $V$  be the vector space of  $2 \times 3$  matrices over  $R$ . Show that the vectors  $A, B, C$  form L.I set where

$$A = \begin{bmatrix} 2 & 1 & -1 \\ 3 & -2 & 4 \end{bmatrix} \quad B = \begin{bmatrix} 1 & 1 & -3 \\ -2 & 0 & 5 \end{bmatrix} \quad \text{and} \quad C = \begin{bmatrix} 4 & -1 & 2 \\ 1 & -2 & -3 \end{bmatrix}$$

Sol. Let  $a, b, c \in R$  then for L.I.

$$aA + bB + cC = \bar{0} \Rightarrow a = 0, b = 0, c = 0$$

Now  $aA + bB + cC = \bar{0}$

$$\Rightarrow a \begin{bmatrix} 2 & 1 & -1 \\ 3 & -2 & 4 \end{bmatrix} + b \begin{bmatrix} 1 & 1 & -3 \\ -2 & 0 & 5 \end{bmatrix} + c \begin{bmatrix} 4 & -1 & 2 \\ 1 & -2 & -3 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\Rightarrow \begin{bmatrix} 2a + b + 4c & a + b - c & -a - 3b + 2c \\ 3a - 2b + c & -2a - 2c & 4a + 5b - 3c \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\Rightarrow 2a + b + 4c = 0, \quad a + b - c = 0, \quad -a - 3b + 2c = 0$$

$$3a - 2b + c = 0, \quad -2a - 2c = 0, \quad 4a + 5b - 3c = 0$$

These equations have only one solution  $a = 0, b = 0, c = 0$ .

**Ex.10.** Prove that the set  $\{1, i\}$  is L.D. in the vector space  $C(C)$  but is L.I. in the vector space  $C(R)$ .

Sol. Since  $(-i) i=1$  with  $(-i) \in C$ , (one vector is a multiple of other)

$\Rightarrow$  the set  $\{1, i\}$  in  $C(C)$  is L.D.

There exists no real number  $a$  such that  $a i = 1$ .

Hence  $\{1, i\}$  is not L.D and so L.I in  $C(R)$ .

**1.34. Theorem.** Let  $V(F)$  be a vector space and  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a finite subset of non-zero vectors of  $V(F)$ . Then  $S$  is linearly dependent if and only if some vector  $\alpha_k \in S$ ,  $2 \leq k \leq n$ , can be expressed as a linear combination of its preceding vectors. (N.U. 93S, O.U. 2001/O, S.V.U. 2001/O)

**Proof.** Given  $S = \{\alpha_1, \alpha_2, \dots, \alpha_k, \dots, \alpha_n\}$  is L.D. Then there exist  $a_1, a_2, \dots, a_n \in F$ , not all zero, such that  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_k\alpha_k + \dots + a_n\alpha_n = \bar{0}$

Let  $k$  be the greatest suffix of  $a$  for which  $a_k \neq 0$ .

$$\text{Then } a_1\alpha_1 + a_2\alpha_2 + \dots + a_k\alpha_k + 0\alpha_{k+1} + \dots + 0\alpha_n = \bar{0} \Rightarrow a_1\alpha_1 + a_2\alpha_2 + \dots + a_k\alpha_k = \bar{0}$$

$$\text{Now suppose } k=1, (1) \Rightarrow a_1\alpha_1 = \bar{0}$$

But  $a_1 \neq 0$ , so  $\alpha_1 = 0$ , which contradicts that each element of  $S$  is a non-zero vector.

Hence  $k > 1$ , i.e.,  $2 \leq k \leq n$ .

Again from (1) we have  $a_k\alpha_k = -a_1\alpha_1 - a_2\alpha_2 - \dots - a_{k-1}\alpha_{k-1}$

$$\Rightarrow a_k^{-1}(a_k\alpha_k) = a_k^{-1}(-a_1\alpha_1 - a_2\alpha_2 - \dots - a_{k-1}\alpha_{k-1})$$

$$\Rightarrow \alpha_k = (-a_k^{-1}a_1)\alpha_1 + (-a_k^{-1}a_2)\alpha_2 + \dots + (-a_k^{-1}a_{k-1})\alpha_{k-1}$$

= (L.C. of its preceding vectors).

**Conversely.** Let some  $\alpha_p \in S$  be expressible as a linear combination of its preceding

vectors i.e., for  $b_1, b_2, \dots, b_{p-1} \in F$ ,

$$\alpha_p = b_1\alpha_1 + b_2\alpha_2 + \dots + b_{p-1}\alpha_{p-1} \Rightarrow b_1\alpha_1 + b_2\alpha_2 + \dots + b_{p-1}\alpha_{p-1} + (-1)\alpha_p = \bar{0}$$

$\Rightarrow$  the set  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is L.D. ... [(-1) is a non-zero coefft.]

Hence the superset  $S = \{\alpha_1, \alpha_2, \dots, \alpha_p, \dots, \alpha_n\}$  is L.D.

**Note.** If  $\beta$  is a linear combination of the set of vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$ , then the set of vectors  $\{\beta, \alpha_1, \alpha_2, \dots, \alpha_n\}$  is L.D.

**1.35. Theorem.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a subset of the vector space  $V(F)$ . If  $\alpha_i \in S$  is a linear combination of its preceding vectors then  $L(S) = \{S'\}$  where

$$S' = \{\alpha_1, \alpha_2, \dots, \alpha_{i-1}, \alpha_{i+1}, \dots, \alpha_n\}$$

**Proof.** Clearly  $S' \subset S \Rightarrow L(S') \subset L(S)$ . Let  $\beta \in L(S)$  then for  $a_1, a_2, \dots, a_n \in F$ ,

$$\therefore \beta = a_1\alpha_1 + a_2\alpha_2 + \dots + a_i\alpha_i + \dots + a_n\alpha_n$$

But given that  $\alpha_i = b_1\alpha_1 + b_2\alpha_2 + \dots + b_{i-1}\alpha_{i-1} \quad \forall b's \in F$

$$\begin{aligned} \therefore \beta &= a_1\alpha_1 + a_2\alpha_2 + \dots + a_i(b_1\alpha_1 + b_2\alpha_2 + \dots + b_{i-1}\alpha_{i-1}) + a_{i+1}\alpha_{i+1} + \dots + a_n\alpha_n \\ &= (a_1 + a_ib_1)\alpha_1 + (a_2 + a_ib_2)\alpha_2 + \dots + (a_{i-1} + a_ib_{i-1})\alpha_{i-1} + a_{i+1}\alpha_{i+1} + \dots + a_n\alpha_n \\ &= \text{L.C. of the elements of } S' \\ \therefore \beta &\in L(S') \Rightarrow L(S) \subset L(S') \end{aligned}$$

Hence  $L(S) = L(S')$ .

### EXERCISE 1 (d)

1. Determine whether the following sets of vectors are L.D. or L.I.

$$(a) \{(2, -3), (6, -9)\} \text{ in } R^2(R) \quad (b) \{(4, 3, -2), (2, -6, 7)\} \text{ in } V_3(R)$$

$$(c) \{A, B\} \text{ where } A = \begin{bmatrix} 1 & -2 & 4 \\ 3 & 1 & -1 \end{bmatrix} \text{ and } B = \begin{bmatrix} 3 & -6 & 12 \\ 9 & 3 & -3 \end{bmatrix} \text{ in the vector space of } 2 \times 3 \text{ matrices over } R$$

$$(d) \{p_1(x), p_2(x)\} \text{ where } p_1(x) = 1 - 2x + 3x^2 \text{ and } p_2(x) = 2 - 3x \text{ in the vector space of all polynomials over } R$$

2. Determine whether the following sets of vectors in  $R^3(R)$  are L.D or L.I.

$$(a) \{(1, -2, 1), (2, 1, -1), (7, -4, 1)\} \quad (b) \{(2, 0, 5), (3, -5, 8), (4, -2, 1), (0, 0, 1)\}$$

$$(c) \{(-1, 2, 1), (3, 0, -1), (-5, 4, 3)\} \quad (O.U., M 06)$$

3. Determine whether each of the following sets of vectors  $V_4(Q)$  is or L.D. or L.I., Q is the field of rational numbers.

$$(a) \{(2, 1, 1, 1), (1, 3, 1, -2), (1, 2, -1, 3)\} \quad (b) \{(0, 1, 0, 1), (1, 2, 3, -1), (1, 0, 1, 0), (0, 3, 2, 0)\}$$

$$(c) \{(1, 2, -1, 1), (0, 1, -1, 2), (2, 1, 0, 3), (1, 1, 0, 0)\} \quad (d) \{(1, 1, 2, 4), (2, -1, -5, 2), (1, -1, -4, 0), (2, 1, 1, 6)\}$$

4. Show that the set  $\{1, x, x - x^2\}$  is L.I., set of vectors in  $F(x)$  over the field of real numbers.

5. If  $\alpha, \beta, \gamma$  are the vectors of  $V(F)$ , and  $a, b \in F$  show that the set  $\{\alpha, \beta, \gamma\}$  is L.D, if the set  $\{\alpha + a\beta + b\gamma, \beta, \gamma\}$  is linearly dependent.

6. If  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is any linearly independent subset of a vector space  $V$  and  $\beta \notin L(S)$ , then prove that  $\{\alpha_1, \alpha_2, \dots, \alpha_n, \beta\}$  is linearly independent.

7. Under what conditions on the scalar  $\beta$  is the subset  $\{(\beta, 1, 0), (1, \beta, 1), (0, 1, \beta)\}$  of  $R^3$ , linearly dependent?

8. If  $f(x)$  is a polynomial of degree  $n$  with real coefficients prove that

$$\left\{ f(x), \frac{d}{dx}f(x), \frac{d^2}{dx^2}f(x), \dots, \frac{d^n}{dx^n}f(x) \right\} \text{ is a linearly independent set.}$$

9. If  $u_1, u_2, u_3$  be the vectors of  $V(F)$  and  $a, b \in F$ , show that  $\{u_1, u_2, u_3\}$  is L.D. if the set  $\{u_1 + a u_2 + b u_3, u_2, u_3\}$  is L.D. set.

## 2

# Basis and Dimension

## 2.1. BASIS OF VECTOR SPACE

**Definition.** A subset  $S$  of a vector space  $V(F)$  is said to be the basis of  $V$ , if  
 (i)  $S$  is linearly independent. (ii) the linear span of  $S$  is  $V$  i.e.,  $L(S) = V$

**Note:** A vector space may have more than one basis.

## 2.2. FINITE DIMENSIONAL SPACE.

**Definition.** A vector space  $V(F)$  is said to be finite dimensional if it has a finite basis. (OR)

A vector space  $V(F)$  is said to be finite dimensional if there is a finite subset  $S$  of  $V$  such that  $L(S) = V$ .

**Ex. 1.** Show that the set of  $n$  vectors  $e_1 = (1, 0, \dots, 0), e_2 = (0, 1, 0, \dots, 0), e_n = (0, 0, \dots, 1)$  is a basis of  $V_n(F)$ .

**Sol.** Let  $S = \{e_1, e_2, \dots, e_n\}$ . (i) It can be easily verified that the set  $S$  is L.I.

(ii) Now any vector  $\alpha = (a_1, a_2, \dots, a_n) \in V_n(F)$  can be put in the form

$$(a_1, a_2, \dots, a_n) = a_1(1, 0, \dots, 0) + a_2(0, 1, 0, \dots, 0) + \dots + a_n(0, 0, \dots, 1) = a_1e_1 + a_2e_2 + \dots + a_ne_n$$

= l.c. of elements of the set  $S \Rightarrow \alpha \in L(S)$ .

$\therefore \alpha \in V \Rightarrow \alpha \in L(S) \therefore V = L(S)$ . Hence  $S$  is a basis of  $V_n(F)$

**Note 1.** The set  $S = \{e_1, e_2, \dots, e_n\}$  is called the standard basis of  $V_n(F)$  or  $F^n$

**Note 2.** The set  $\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  is the standard basis of  $V_3(R)$  or  $R^3$ .

**Ex. 2.** Show that the infinite set  $S = \{1, x, x^2, \dots, x^n, \dots\}$  is a basis of the vector space  $F[x]$  over the field  $F$ .

**Sol.** (i) The set  $S$  is clearly L.I. (ii) Let  $f(x) = a_0 + a_1x + \dots + a_nx^n \in F[x]$

$\therefore$  where  $a_0, a_1, \dots, a_n \in F$

$\therefore f(x) \in L(S) \Rightarrow F[x] = L(S) \therefore S$  is a basis of  $F[x]$

Let  $S' = \{1, x, x^2, \dots, x^m\}$  be a finite subset of  $S$ . If  $g(x) = c_0 + c_1x + c_2x^2 + \dots + c_nx^n$  and  $n > m$  it is not possible to write  $g(x)$  as a l.c. of the element of  $S'$ .

Hence the vector space  $F[x]$  is not finite dimensional.

## Basis and Dimension

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**2.3 Theorem.** IF  $V(F)$  is a finite dimensional vector space, then there exists a basis set of  $V$ . (N.U. 95, S.V.U. 97, S.K.U. 2001/0)

**Proof.** Since  $V(F)$  is finite dimensional, there exists a finite set  $S$  such that,  $L(S) = V$ . Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$

We may assume that  $S$  does not contain the  $\bar{0}$  vector.

If  $S$  is L.I., then  $S$  is a basis set of  $V$ . If  $S$  is L.D. set, then there exists a vector  $\alpha_i \in S$  which can be expressed as a linear combination of the preceding vectors.

Omitting  $\alpha_i$  from  $S$ , let  $S_1 = \{\alpha_1, \alpha_2, \dots, \alpha_{i-1}, \alpha_{i+1}, \dots, \alpha_n\} \Rightarrow S_1 \subset S$

By previous theorem  $L(S_1) = L(S)$ . Now  $L(S) = V \Rightarrow L(S_1) = V$

If  $S_1$  is L.I set then  $S_1$  will be a basis of  $V$ .

If  $S_1$  is linearly dependent, then proceeding as above for a finite number of steps, we will be left with a L.I. set  $S_k$  and  $L(S_k) = V$ . Hence  $S_k$  will be the basis of  $V(F)$

Thus there exists a basis set for  $V(F)$

## 2.4. BASIS EXTENSION

**Theorem.** Let  $V(F)$  be a finite dimensional vector space and  $S = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  a linearly independent subset of  $V$ . Then either  $S$  itself a basis of  $V$  or  $S$  can be extended to form a basis of  $V$ .

**Proof.** Given  $S = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  is L.I. subset of  $V$ .

Since  $V(F)$  is finite dimensional it has a finite basis  $B$ . Let  $B = \{\beta_1, \beta_2, \dots, \beta_n\}$

Now consider the set  $S_1 = \{\alpha_1, \alpha_2, \dots, \alpha_m, \beta_1, \beta_2, \dots, \beta_n\}$  written in this order. Clearly  $L(S) = V$ .

Each  $\alpha$  can be expressed as a l.c. of  $\beta$ 's as  $B$  is the basis of  $V \Rightarrow S_1$  is L.D.

Hence some vector in  $S_1$  can be expressed as a l.c. of its proceeding vectors. This vector cannot be any of  $\alpha$ 's, since  $S$  is L.I. So this vector must be some  $\beta_i$ .

Consider now the set.

$S_2 = \{\alpha_1, \alpha_2, \dots, \alpha_m, \beta_1, \beta_{i-1}, \beta_{i+1}, \dots, \beta_n\} = S_1 - \{\beta_i\}$  obviously  $L(S_n) = L(S_1) = V$ .

If  $S_2$  is L.I. then  $S_2$  forms a basis of  $V$  and it is the extended set.

If  $S_2$  is L.D. then continue this procedure till we get a set  $S_k \subset S$  such that  $S_k$  is L.I.

$\therefore L(S_k) = L(S) = V$

$S_k$  will be extended set of  $S$  forming a basis of  $V$ .

**Note. 1.** Every basis is a spanning set but every spanning set is not a basis.

**2.** If a basis of  $V(F)$  contains  $n$  elements, then  $m \leq n$ .

**2.5. Theorem.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a basis set of a finite dimensional vector space  $V(F)$ . Then for every  $\alpha \in V$  there exists a unique set of scalars  $a_1, a_2, \dots, a_n \in F$  such that  $\alpha = a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n$

**Proof.** If possible let there exist another set of scalars  $b_1, b_2, \dots, b_n \in F$  such that

$$\begin{aligned} \alpha &= b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n \\ \therefore a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n &= b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n \\ \Rightarrow (a_1 - b_1) \alpha_1 + (a_2 - b_2) \alpha_2 + \dots + (a_n - b_n) \alpha_n &= \bar{0} \end{aligned}$$

Since the set  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is L.I.

we have  $a_1 - b_1 = 0, a_2 - b_2 = 0, \dots, a_n - b_n = 0 \Rightarrow a_1 = b_1, a_2 = b_2, \dots, a_n = b_n$

## 2.6. COORDINATES

**Definition.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be the basis set of a finite dimensional vector space  $V(F)$ . Let  $\beta \in V$  be given by  $\beta = a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n$  for  $a_1, a_2, \dots, a_n \in F$  the scalars  $(a_1, a_2, \dots, a_n)$  are called the coordinates.

**Note.** Coordinates change with the change of basis.

### SOLVED PROBLEMS

**Ex. 1.** Show that the vector  $(1,1,2), (1,2,5), (5,3,4)$  of  $R^3(R)$  do not form a basis of  $R^3(R)$ .

**Sol.** Writing these vectors as rows of a matrix we have

$$\begin{bmatrix} 1 & 1 & 2 \\ 1 & 2 & 5 \\ 5 & 3 & 4 \end{bmatrix}$$

Reducing to echelon form, we get

$$\begin{bmatrix} 1 & 1 & 2 \\ 0 & 1 & 3 \\ 0 & -2 & -6 \end{bmatrix} \sim \begin{bmatrix} 1 & 1 & 2 \\ 0 & 1 & 3 \\ 0 & 0 & 0 \end{bmatrix}$$

But in the last matrix there are only two non-zero rows, hence the given vectors are L.D. Therefore they can not form a basis of  $R^3(R)$ .

**Ex. 2.** Show that the set  $\{(1,0,0), (1,1,0), (1,1,1)\}$  is a basis of  $C^3(C)$ . Hence find the coordinates of the vector  $(3+4i, 6i, 3+7i)$  in  $C^3(C)$ .

**Sol.** Let  $S = \{(1,0,0), (1,1,0), (1,1,1)\}$

Keeping these vectors as rows of a matrix we get

$$A = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

### Basis and Dimension

Reducing to echelon form,  $A \sim \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$  i.e.,  $R_2 - R_1$  and  $R_3 - R_2$

$\therefore$  rank  $A = \text{no. of unknowns.} \Rightarrow$  The given set is L.I.

Let  $z \in C^3$  be  $z = (a, b, c)$  where  $a, b, c \in C$

Now  $(a, b, c) = p(1, 0, 0) + q(1, 1, 0) + r(1, 1, 1)$  for  $p, q, r \in C = (p+q+r, q+r, r)$

$\Rightarrow a = p+q+r, b = q+r, c = r. \Rightarrow r = c, q = b-c, p = a-b$

$\therefore z = (a-b)(1, 0, 0) + (b-c)(1, 1, 0) + c(1, 1, 1)$

= l.c. of the given vectors of  $S \Rightarrow z \in L(S) \therefore S$  is a basis of  $C^3(C)$

Now if  $(a, b, c) = (3+4i, 6i, 3+7i)$  then  $p = 3-2i, q = -3-i, r = 3+7i$  which are the coordinates of the given vector.

**Ex. 3.** The set  $S_4 = \{\alpha, \beta, \gamma, \delta\}$  where  $\alpha = (1, 0, 0), \beta = (1, 1, 0), \gamma = (1, 1, 1)$  and  $\delta = (0, 1, 0)$  is a spanning set of  $R^3(R)$  but not a basis of set.

**Sol.** Keeping the vectors in matrix form

$$\begin{bmatrix} \alpha \\ \beta \\ \gamma \\ \delta \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

$\delta$ -row reduced to zero row.

$\Rightarrow \delta$  can be expressed as l.c of  $\alpha, \beta, \gamma \Rightarrow S$  is L.D.

Consider  $S_3 = \{\alpha, \beta, \gamma\} \therefore \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Whenever  $[\alpha \beta \gamma]$  can be reduced to the standard basis set,  $S_3$  will be the basis of  $V_3(F)$  i.e.,  $L(S_3) = V$ . As  $S_3 \subset S_4, L(S_3) = L(S_4) = V$

Thus  $S_4$  is a spanning set of  $V_3(F)$  but not a basis set.

**Ex. 4.** If  $\alpha = (1, -1, 0), \beta = (2, 1, 3)$  find a basis for  $R^3$  containing  $\alpha$  and  $\beta$ .

**Sol.** Let  $e_1 = (1, 0, 0), e_2 = (0, 1, 0), e_3 = (0, 0, 1)$  and  $S = \{e_1, e_2, e_3\}$  we know that  $S$  is a standard basis for  $R^3$

We have  $\beta \in R^3$ . Let  $S_1 = \{\beta, e_1, e_2, e_3\}$  then  $L(S_1) = L(S) = R^3$

Now  $\beta = 2e_1 + e_2 + 3e_3$

$\Rightarrow e_3 = \frac{1}{3}\beta - \frac{2}{3}e_1 - \frac{1}{3}e_2$  which shows that  $e_3$  is a L. C. of  $\beta, e_1, e_2$

Let  $S_1 = \{\beta, e_1, e_2\}$  then we have  $L(S_1) = L(S) = \mathbb{R}^3$ . Again  $\alpha \in \mathbb{R}^3$

Let  $S_2 = \{\alpha, \beta, e_1, e_2\}$  then  $L(S_2) = L(S_1) = \mathbb{R}^3$

We have  $\alpha = e_1 - e_2 \Rightarrow e_2 = -\alpha + 0\beta + e_1$  which show that  $e_2$  is a L. C. of  $\alpha, \beta, e_1$

Remove  $e_2$  from  $S_2$  and let  $S_3 = \{\alpha, \beta, e_1\}$  then  $L(S_3) = L(S) = \mathbb{R}^3$

Since the dimension of  $\mathbb{R}^3$  is 3. We have  $S_3$  is a basis of  $\mathbb{R}^3$ .

$\therefore \{(1, -1, 0), (2, 1, 3), (1, 0, 0)\}$  is a basis of  $\mathbb{R}^3$ .

**Note:** We can prove that  $\{\alpha, \beta, e_2\}$  and  $\{\alpha, \beta, e_3\}$  are also basis of  $\mathbb{R}^3$ .

**Ex. 5.** Find a basis for  $\mathbb{R}^4$  write  $(3, 2, 5, 4), (6, 3, 5, 2)$  as numbers.

**Sol.** Let  $\alpha_1 = (3, 2, 5, 4), \alpha_2 = (6, 3, 5, 2)$

$e_1 = (1, 0, 0, 0), e_2 = (0, 1, 0, 0), e_3 = (0, 0, 1, 0), e_4 = (0, 0, 0, 1)$

We know that  $\{e_1, e_2, e_3, e_4\}$  is a basis of  $\mathbb{R}^4$

we have  $\alpha_2 = 6e_1 + 3e_2 + 5e_3 + 2e_4$

$\therefore \alpha_2, e_1, e_2, e_3, e_4$  are L.D. i.e.  $e_4$  is a L.C. of  $\alpha_2, e_1, e_2, e_3$

Suppose we remove  $e_4$  from the set  $\{\alpha_2, e_1, e_2, e_3, e_4\}$  then  $\{\alpha_2, e_1, e_2, e_3\}$  is L.I. set

Consider  $\{\alpha_1, \alpha_2, e_1, e_2, e_3\}$ . Since the dimension of  $\mathbb{R}^4$  is 4 this must be a L.D. set

Consider  $x_1\alpha_1 + x_2\alpha_2 + x_3e_1 + x_4e_2 + x_5e_3 = 0$

$$\text{then } 3x_1 + 6x_2 + x_3 = 0 \quad \dots (1) \quad 2x_1 + 3x_2 + x_4 = 0 \quad \dots (2)$$

$$5x_1 - 5x_2 + x_5 = 0 \quad \dots (3) \quad 4x_1 + 2x_2 = 0 \quad \dots (4)$$

(4)  $\Rightarrow x_2 = -2x_1$  substituting (I), (2), (3) we get

$$-9x_1 + x_3 = 0 \Rightarrow x_1 = \frac{1}{9}x_3; \quad -4x_1 + x_4 = 0 \Rightarrow x_1 = \frac{1}{4}x_4; \quad -5x_1 + x_5 = 0 \Rightarrow x_1 = \frac{1}{5}x_5$$

$$\therefore x_1 = 1, x_2 = -2, x_3 = 9, x_4 = 4, x_5 = 5$$

$$\therefore \alpha_1 - 2\alpha_2 + 9e_1 + 4e_2 + 5e_3 = 0 \text{ i.e., } e_3 = \frac{-1}{5}\alpha_1 + \frac{2}{5}\alpha_2 - \frac{9}{5}e_1 - \frac{4}{5}e_2$$

which proves that  $e_3$  is a L. C. of  $\alpha_1, \alpha_2, e_1, e_2$

We can prove that  $\{\alpha_1, \alpha_2, e_1, e_2\}$  is L.I. set, which gives a basis for  $\mathbb{R}^4$ .

**Ex. 6.** If  $\alpha_1 = (1, 2, -1), \alpha_2 = (-3, -6, 3), \alpha_3 = (2, 1, 3)$  and  $\alpha_4 = (8, 7, 7)$  and if  $S = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$  is such that  $L(S) = W$ , find a basis by reducing  $S$ .

**Sol.** We observe  $\alpha_2 = -3\alpha_1 = -3\alpha_1 + 0\alpha_3 + 0\alpha_4$

$\therefore \alpha_2$  is a L. C. of  $\alpha_1, \alpha_3, \alpha_4$

Let  $S_1 = \{\alpha_1, \alpha_3, \alpha_4\}$ . We have  $L(S_1) = L(S) = W$

Again  $\alpha_4 = 2\alpha_1 + 3\alpha_3$ . Thus  $\alpha_4$  is L. C. of  $\alpha_1$  and  $\alpha_3$

Let  $S_2 = \{\alpha_1, \alpha_3\}$  then  $L(S_2) = L(S_1) = W$

Now  $a\alpha_1 + b\alpha_2 = 0 \Rightarrow a(1, 2, -1) + b(2, 1, 3) = 0$

$$\Rightarrow (a+2b, 2a+b, -a+3b) = (0, 0, 0) \Rightarrow a+2b = 0$$

$$2a+b = 0, \quad -a+3b = 0$$

on solving :  $a = 0 = b$  is the only solution which proves that  $S_2$  is L.I. set

Thus  $S_2$  is a basis for  $W$

**Note :** In every step we can remove one vector which is a L. C. of other vectors.

In the above problem any subset of  $S$  containing 2 vectors will become a basis of  $W$ .

### EXERCISE 2 (a)

- Show that the set  $\{(1, 0, 0), (1, 1, 0), (1, 1, 1)\}$  is a basis of  $C^3(C)$  but not a basis of  $C^3(R)$ .
- Find the coordinates of  $\alpha$  with respect to the basis set  $\{x, y, z\}$  where
  - $\alpha = (4, 5, 6), x = (1, 1, 1), y = (-1, 1, 1), z = (1, 0, -1)$
  - $\alpha = (1, 0, -1), x = (0, 1, -1), y = (1, 1, 0), z = (1, 0, 2)$
  - $\alpha = (2, 1, 3), x = (1, 1, 1), y = (-1, 1, 0), z = (1, 0, -1)$
- Show that the four vectors  $\{(2, 1, 0), (0, 1, 2), (-7, 2, 5), (8, 0, 0)\}$  is not a basis of  $R^3(R)$
- Show that if  $\{\alpha_1, \alpha_2, \alpha_3\}$  is a basis of  $R^3(R)$  then the set  $\{\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1\}$  is also a basis of  $R^3(R)$
- (i) Show that the set  $\{(1, 2, 1), (2, 1, 0), (1, -1, 2)\}$  forms a basis of  $V_3(F)$  (S. V. U. S97)
  - Show that the set of vectors  $\{(2, 1, 4), (1, -1, 2), (3, 1, -2)\}$  form a basis for  $R^3$ . (S. V. U. S97)
- Show that the set  $\{(1, i, 0), (2i, 1, 1), (0, 1+i, 1-i)\}$  is a basis of  $V_3(C)$  and find the coordinates of the vectors  $(1, 0, 0), (0, 1, 0), (0, 0, 1)$  with respect to this basis.
- Show that the set  $\{(3-i, 2+2i, 4), (2, 2+4i, 3), (1-i, -2i, 1)\}$  a basis of  $V_3(C)$  and find the coordinates of the vectors  $(1, 0, 0)$  and  $(0, 0, 1)$  with respect to this basis.

8. Show that the vectors  $(1,1,2), (1,2,5), (5,3,4)$  in  $\mathbb{R}^3(\mathbb{R})$  do not form a basis set of  $\mathbb{R}^3(\mathbb{R})$ .
9. Find the coordinates of (i)  $(2i, 3+4i, 5)$  (ii)  $(6i, 7, 8i)$  (iii)  $(3+4i, 6i, 3+7i)$  with respect to the basis set  $\{(1,0,0), (1,1,0), (1,1,1)\}$  of  $\mathbb{C}^3(\mathbb{C})$ .
10. Under what conditions on the scalar  $a \in \mathbb{R}$  is the set  $\{(0,1,a), (a,0,1), (a,1,1+a)\}$  a basis of  $\mathbb{R}^3(\mathbb{R})$ .

**2.7 Theorem.** Let  $V(F)$  be a finite dimensional vector space. Then any two bases of  $V$  have the same number of elements. (S. V. U. S 97, S. V. U. O2001, O. U. M 06)

**Proof.** Let  $S_m$  and  $S_n$  be the two bases of  $V(F)$  where  $S_m = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$ ,  $S_n = \{\beta_1, \beta_2, \dots, \beta_n\}$

Obviously both  $S_m$  and  $S_n$  are L.I. subsets of  $V$ .

(i) Consider  $S_m$  as the basis of  $V$  and  $S_n$  and L.I. set.

$$\Rightarrow L(S_m) = V \text{ and } n(S_m) = m$$

$\therefore S_n$  can be extended to be a basis of  $V \Rightarrow n \leq m$

(ii) Consider  $S_n$  as the basis of  $V$  and  $S_m$  as L.I. set  $\Rightarrow L(S_n) = V$  and  $n(S_n) = n$

$\therefore S_m$  can be extended to form a basis of  $V \Rightarrow m \leq n$

But both  $S_m$  and  $S_n$  are bases of  $V$ .  $\therefore n \leq m$  and  $m \leq n \Rightarrow m = n$

Thus any two bases of  $V$  have the same number of elements.

## 2.8. DIMENSION OF A VECTOR SPACE

**Definition.** Let  $V(F)$  be a finite dimensional vector space. The number of elements in any basis of  $V$  is called the dimension of  $V$  and is denoted by  $\dim V$ .

**Note:** The dimension of zero vector space  $\{\bar{0}\}$  is said to be zero.

e.g. The set  $S = \{(1,0,0), (0,1,0), (0,0,1)\}$  is a basis of  $V_3(\mathbb{R})$

$$\therefore \dim V = \text{no. of elements of } S = 3.$$

**2.9. Theorem.** Every set of  $(n+1)$  or more vectors in an  $n$  dimensional vector space is linearly dependent.

**Proof.** Let  $V(F)$  be the vector space with  $V = n$

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_{n+1}\}$  be any subset consisting of  $(n+1)$  vectors of  $V(F)$

If  $S$  is L.I. then  $S$  itself is the basis or can be extended to be a basis of  $V(F)$ .

In any of these two cases  $S$  will have more than or equal to  $(n+1)$  vectors. But every basis of  $V$  must contain exactly  $n$  vectors. Therefore  $S$  can not be L.I.

Hence  $S$  (i.e. every  $n+1$  or more vectors in  $V$ ) is L.D.

**Note.** The largest L.I. subset of a finite dimensional vector space of dimension  $n$  is a basis.

**2.10. Theorem.** Let  $V(F)$  be a finite dimensional vector space of dimension  $n$ . Then any set of  $n$  linearly independent vectors in  $V$  forms a basis of  $V$ .

**Proof.** Given  $\dim V = n$ .

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be L.I. set of  $n$  vectors in  $V$ .

If  $S$  is not a basis of  $V$ , then it can be extended to form a basis of  $V$ . In such a case the basis will contain more than  $n$  vectors.

But every basis of  $V$  must contain exactly  $n$  vectors. Therefore our presumption is wrong and  $S$  must be a basis of  $V$ .

**2.11. Theorem.** Let  $V(S)$  be a finite dimensional vector space of dimension  $n$ . Let  $S$  be a set of  $n$  vectors of  $V$  such that  $L(S) = V$ . Then  $S$  is a basis of  $V(F)$ .

**Proof.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be the subset of vector space  $V(F)$ .

If  $S$  is L.I. and because  $L(S) = V$ , the set  $S$  becomes the basis of  $V$ .

If  $S$  is L.D. then there exists a proper subset of  $S$  forming a basis of  $V$ . In such case we get a basis of  $V$  consisting less than  $n$  vectors.

As every basis of  $V$  must contain exactly  $n$  vectors,  $S$  cannot be L.D. Hence  $S$  is a basis of  $V$ .

**Note.** If  $V$  is a finite dimensional vector space of dimension  $n$ , then  $V$  cannot be generated by a set of vectors whose number of elements is less than  $n$ .

**2.12. Theorem.**  $V$  is a vector space which is spanned by a finite set of vectors  $\beta_1, \beta_2, \dots, \beta_n$  then any independent set of vectors in  $V$  is finite and contains no more than  $n$  elements.

**Proof.** Let  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a linearly independent subset of vector space  $V(F)$

Let  $S = \{\beta_1, \beta_2, \dots, \beta_n\}$  and  $L(S) = V$ .

Then any vector of  $V$  is l.c. of the elements of  $S$ .

Let  $\alpha_m \in V$  be a linear combination of the elements of  $S$ .

$\Rightarrow S' = \{\alpha_m, \beta_1, \beta_2, \dots, \beta_n\}$  is L.D.

$\therefore$  There exists a vector in  $S'$  which is a linear combination of its preceding vectors. This vector must be one among  $\beta$ 's.

Let it be  $\beta_i$ .  $\therefore \beta_i = \text{l.c. of } \alpha_m, \beta_1, \beta_2, \dots, \beta_{i-1}$

If  $S_1 = \{\alpha_m, \beta_1, \beta_2, \dots, \beta_{i-1}, \beta_{i+1}, \dots, \beta_n\}$ . Then  $L(S_1) = L(S) = V$

Again the vector  $\alpha_{m-1} \in V$  is a l.c. of the elements of  $S_1$

$\therefore \alpha_{m-1}, \alpha_m, \beta_1, \beta_2, \dots, \beta_{i-1}, \beta_{i+1}, \dots, \beta_n$  are L.D.

$\therefore$  There exists a vector in this set which is a l.c. of its predecessors.

Such vector is one of  $\beta$ 's as  $\alpha_{m-1}, \alpha_m$  are L.I. Let it be  $\beta_k$ .

If  $S_2 = \{\alpha_{m-1}, \alpha_m, \beta_1, \dots, \beta_{k-1}, \beta_{k+1}, \dots, \beta_{i-1}, \beta_{i+1}, \dots, \beta_n\}$  then

$\beta_k = l.c.$  of the elements of  $S_3$ .  $\therefore L(S) = L(S_1) = L(S) = V$

Continuing this process further for  $m-3$  times

We get  $S_{m-1} = \{\alpha_2, \alpha_3, \dots, \alpha_m, \beta_1, \beta_2, \beta_{m-m+1}\}$ . So that  $L(S_{m-1}) = V$ .

This set consists of atleast one  $\beta_i$ . Otherwise  $S_{m-1} = \{\alpha_2, \alpha_3, \dots, \alpha_m\}$

So that  $\alpha_1$  is a L.C. of  $\alpha_2, \alpha_3, \dots, \alpha_m$

This can not happen as the set  $\alpha_2, \alpha_3, \dots, \alpha_m$  is L.I.

Hence  $S_{m-1}$  consists of atleast one  $\beta_i \Rightarrow n-m+1 \geq 1 \Rightarrow n \geq m$

$\therefore$  The no. of elements of the L.I. set in  $V \leq n$ .

#### DIMENSION OF A SUBSPACE

**2.13. Theorem.** Let  $V(F)$  be a finite dimensional vector space of dimension  $n$  and  $W$  be the subspace of  $V$ . Then  $W$  is a finite dimensional vector space with  $\dim W \leq n$ .

**Proof.**  $\dim V = n \Rightarrow$  each  $(n+1)$  or more vectors of  $V$  form an L.D. set.

Given  $W$  is a subspace of  $V(F)$

$\Rightarrow$  each set of  $(n+1)$  vectors in  $W$  is a subset of  $V$  and hence L.D.

Thus any L.I. set of vectors in  $W$  can contain at the most  $n$  vectors.

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be the largest L.I. subset of  $W$ , where  $m \leq n$ .

Now we shall prove that  $S$  is the basis of  $W$ .

For any  $\beta \in W$  consider,  $S_1 = \{\alpha_1, \alpha_2, \dots, \alpha_m, \beta\}$

Since  $S$  is the largest set of L.I. vectors,  $S_1$  is L.D.

$\therefore$  Therefore there exists  $a_1, a_2, \dots, a_m, b \in F$  not all zero such that

$$a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m + b\beta = 0$$

Let  $b = 0$ , then we have  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = 0$

$\Rightarrow a_1 = 0, a_2 = 0, \dots, a_m = 0$  as  $S$  is L.I.

This proves that  $S_1$  is L.I which is a contradiction.

$\therefore b \neq 0$ . Therefore there exists  $b^{-1} \in F$  such that  $bb^{-1} = 1$

$$\therefore a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m + b\beta = 0 \Rightarrow b\beta = -a_1\alpha_1 - a_2\alpha_2 - \dots - a_m\alpha_m$$

$$\Rightarrow \beta = (-b^{-1}a_1)\alpha_1 + (-b^{-1}a_2)\alpha_2 + \dots + (-b^{-1}a_m)\alpha_m$$

$\Rightarrow \beta = a$  linear combination of elements of  $S$ .  $\Rightarrow \beta \in L(S)$

Also  $S$  is L.I. Hence  $S$  is the basis of  $W$ .

$\therefore W$  is a finite dimensional vector space with  $\dim W \leq n$ .

**2.14. Theorem.** Let  $W_1$  and  $W_2$  be two subspaces of a finite dimensional vector space  $V(F)$ . Then  $\dim(W_1 + W_2) = \dim W_1 + \dim W_2 - \dim(W_1 \cap W_2)$

(O.U.M06, O.U.O01, S.V.U.O01, S.V.U.S97)

**Proof.** Since  $W_1$  and  $W_2$  are subspaces of  $V$ ,  $W_1 + W_2$  and  $W_1 \cap W_2$  are also subspaces of  $V$ .

Let  $\dim(W_1 \cap W_2) = k$  and  $S = \{\gamma_1, \gamma_2, \dots, \gamma_k\}$  be a basis of  $W_1 \cap W_2$ .

Clearly  $S \subseteq W_1$  and  $S \subseteq W_2$  and  $S$  is L.I.

Since  $S$  is L.I. and  $S \subseteq W_1$ , the set  $S$  can be extended to form a basis of  $W_1$ .

Let  $B_1 = \{\gamma_1, \gamma_2, \dots, \gamma_k, \alpha_1, \alpha_2, \dots, \alpha_m\}$  be a basis of  $W_1$ .  $\therefore \dim W_1 = k+m$ .

Again since  $S$  is L.I. and  $S \subseteq W_2$ , the set  $S$  can be extended to form a basis of  $W_2$ .

Let  $B_2 = \{\gamma_1, \gamma_2, \dots, \gamma_k, \beta_1, \beta_2, \dots, \beta_t\}$  be a basis of  $W_2$ .  $\therefore \dim W_2 = k+t$

$$\therefore \dim W_1 + \dim W_2 - \dim(W_1 \cap W_2) = (k+m) + (k+t) - k = k+m+t$$

Now we shall prove that the set

$S' = \{\gamma_1, \gamma_2, \dots, \gamma_k, \alpha_1, \alpha_2, \dots, \alpha_m, \beta_1, \beta_2, \dots, \beta_t\}$  is a basis of  $W_1 + W_2$  and hence  $\dim(W_1 + W_2) = k+m+t$ .

(i) To prove that  $S'$  is L.I.

$$\text{Now } c_1\gamma_1 + c_2\gamma_2 + \dots + c_k\gamma_k + a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m + b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t = 0 \quad \dots (1)$$

$$\Rightarrow b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t = -c_1\gamma_1 - \dots - c_k\gamma_k - a_1\alpha_1 - \dots - a_m\alpha_m$$

= l.c. of elements of  $B_1$  and  $\therefore \in W_1$

$$\Rightarrow b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t \in W_1$$

$$\text{Again } 0\gamma_1 + 0\gamma_2 + \dots + 0\gamma_k + b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t$$

= l.c. of elements of  $B_2$  and  $\therefore \in W_2 \Rightarrow b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t \in W_2$

Therefore by (1) and (2)  $\Rightarrow b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t \in W_1 \cap W_2$

Hence it can be expressed as a l.c. of the elements of the basis  $S$  of  $W_1 \cap W_2$

$$\text{Let } b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t = d_1\gamma_1 + d_2\gamma_2 + \dots + d_k\gamma_k$$

$$\therefore b_1\beta_1 + b_2\beta_2 + \dots + b_t\beta_t - d_1\gamma_1 - d_2\gamma_2 - \dots - d_k\gamma_k = \bar{0} \Rightarrow (\text{l.c. of elements of basis } B_2) = \bar{0}$$

$$b_1 = 0, b_2 = 0 \dots b_t = 0, d_1 = 0, \dots, d_k = 0$$

$$c_1\gamma_1 + c_2\gamma_2 + \dots + c_k\gamma_k + a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \bar{0}$$

Substituting in I we have  $c_1\gamma_1 + c_2\gamma_2 + \dots + c_k\gamma_k + a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \bar{0}$   
 $\Rightarrow (\text{l.c. of elements of basis } B_1) = \bar{0} \Rightarrow c_1 = 0, c_2 = 0 \dots c_k = 0, a_1 = 0, a_2 = 0 \dots a_m = 0$

Thus the relation I implies that  $c_1 = c_2 = \dots = c_k = a_1 = a_2 = \dots = a_m = 0, b_1 = b_2 = \dots = b_t = 0$

$\therefore S'$  is L.I. set.

(ii) To prove  $L(S') = W_1 + W_2$

Every vector of  $S'$  is a vector of  $W_1 + W_2$ .  $\therefore L(S') \subseteq W_1 + W_2$

Let  $\delta \in W_1 + W_2$ .

$\therefore \delta = \alpha + \beta$  where  $\alpha \in W_1, \beta \in W_2$

$\delta = (\text{l.c. of elements of } B_1) + (\text{l.c. of elements of } B_2)$

$$= (\text{l.c. of } \gamma\text{'s and } \alpha\text{'s}) + (\text{l.c. of } \gamma\text{'s and } \beta\text{'s}) = \text{l.c. of } \gamma\text{'s, } \alpha\text{'s and } \beta\text{'s.}$$

$$= \text{l.c. of elements of } S'.$$

$\therefore \delta \in L(S') \therefore W_1 + W_2 \subseteq L(S')$

$\therefore L(S') = W_1 + W_2$ .

Hence  $S'$  is the basis of  $W_1 + W_2$ .

$\therefore \dim(W_1 + W_2) = k + m + t$ .

Hence the theorem.

### SOLVED PROBLEMS

Ex. 1. Let  $W_1$  and  $W_2$  be two subspaces of  $\mathbb{R}^4$  given by

$W_1 = \{(a, b, c, d) : b - 2c + d = 0\}, W_2 = \{(a, b, c, d) : a = d, b = 2c\}$ . Find the basis and dimension of (i)  $W_1$  (ii)  $W_2$  (iii)  $W_1 \cap W_2$  and hence find  $\dim(W_1 + W_2)$ .

Sol. (i) Given  $V = \{(a, b, c, d) : b - 2c - d\}$

Let  $(a, b, c, d) \in V$  then  $(a, b, c, d) = (a, 2c - d, c, d)$

$$= a(1, 0, 0, 0) + c(0, 2, 1, 0) + d(0, -1, 0, 1), \quad \therefore (a, b, c, d) = \text{l.c. of L.I. set}$$

$\{(1, 0, 0, 0), (0, 2, 1, 0), (0, -1, 0, 1)\}$  which forms the basis of  $W_1 \quad \therefore \dim W_1 = 3$ .

(ii) Given  $W_2 = \{(a, b, c, d) : a = d, b = 2c\}$ .

Let  $\alpha \in W_2 \Rightarrow \alpha = (a, b, c, d)$  where  $a = d, b = 2c$

$$\therefore \alpha = (d, 2c, c, d) = d(1, 0, 0, 1) + c(0, 2, 1, 0) \Rightarrow \alpha = \text{l.c. of L.I. set } \{(1, 0, 0, 1), (0, 2, 1, 0)\}$$

$\therefore$  It forms a basis  $\therefore \dim W_2 = 2$ .

(iii)  $W_1 \cap W_2 = \{(a, b, c, d) / b - 2c + d = 0, a = d, b = 2c\}$

Now  $b - 2c + d = 0, a = d, b = 2c$  gives  $b = 2c, a = 0, d = 0$

$\therefore (a, b, c, d) = (0, 2c, c, 0) = c(0, 2, 1, 0) \Rightarrow (a, b, c, d) = \text{multiple of the vector } (0, 2, 1, 0)$

$\therefore$  Basis of  $W_1 \cap W_2 = (0, 2, 1, 0) \Rightarrow \dim W_1 \cap W_2 = 1$

(iii)  $\dim(W_1 + W_2) = \dim W_1 + \dim W_2 - \dim W_1 \cap W_2 = 3 + 2 - 1 = 4$

Ex. 2. If  $W$  is the subspace of  $V_4(\mathbb{R})$  generated by the vectors  $(1, -2, 5, -3), (2, 3, 1, -4)$  and  $(3, 8, -3, -5)$  find a basis of  $W$  and its dimension.

Sol. Arranging the given vectors as rows of a matrix

$$\text{Let } A = \begin{bmatrix} 1 & -2 & 5 & -3 \\ 2 & 3 & 1 & -4 \\ 3 & 8 & -3 & -5 \end{bmatrix}. \text{ Reducing to echelon form,}$$

$$R_2 - 2R_1, R_2 - 3R_1; A \sim \begin{bmatrix} 1 & -2 & 5 & -3 \\ 0 & 7 & -9 & 2 \\ 0 & 14 & -18 & 4 \end{bmatrix}. \text{ Again by } R_3 - 2R_2, A \sim \begin{bmatrix} 1 & -2 & 5 & -3 \\ 0 & 7 & -9 & 2 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Hence the two non-zero rows viz;  $(1, -2, 5, -3), (0, 7, -9, 2)$  form the least L.I. set and hence a basis of  $W$ .  $\therefore \dim W = 2$

Ex. 3.  $V$  is the space generated by the polynomials  $\alpha = x^3 + 2x^2 - 2x + 1$ ,

$\beta = x^3 + 3x^2 - x + 4, \gamma = 2x^3 + x^2 - 7x - 7$ . Find a basis of  $V$  and its dimension.

Sol. Now  $V$  is the polynomial space generated by  $\{\alpha, \beta, \gamma\}$  given above

$$\alpha = x^3 + 2x^2 - 2x + 1$$

$\Rightarrow$  the coordinates of are  $(1, 2, -2, 1)$  w.r.t. to the base  $\{x^3, x^2, x, 1\}$

Similarly the coordinates of  $\beta$  and  $\gamma$  w.r.t. to the same base are  $(1, 3, -1, 4)$  and  $(2, 1, -7, -7)$ .

Forming the matrix  $A$  with these co-ordinate as rows

$$A = \begin{bmatrix} 1 & 2 & -2 & 1 \\ 1 & 3 & -1 & 4 \\ 2 & 1 & -7 & -7 \end{bmatrix} \text{ Reducing to echilon form,}$$

$$\text{by } R_2 - R_1, R_3 - 2R_1, \sim \begin{bmatrix} 1 & 2 & -2 & 1 \\ 0 & 1 & 1 & 3 \\ 0 & -3 & -3 & -9 \end{bmatrix}$$

$$\text{again by } R_3 + 3R_2, A \sim \begin{bmatrix} 1 & 2 & -2 & 1 \\ 0 & 1 & 1 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\therefore W + \alpha \in L(S') \quad \therefore L(S') = V/W$$

Therefore  $S'$  is the basis of  $V/W$  and hence  $\dim V/W = l = \dim V - \dim W$ .

**Ex.** If  $W$  is a subspace of  $V(F)$  then for  $a, b \in V$  show that

(i)  $\alpha \in (\beta + W)$     (ii)  $\beta \in (\alpha + W)$  are equivalent.

$$\text{Sol. } \alpha \in \beta + W \Rightarrow \alpha = \beta + \gamma \text{ for some } \gamma \in W \Rightarrow \alpha - \beta \in W$$

$$\text{For } (-1) \in F, (-1)(\alpha - \beta) \in W \Rightarrow \beta - \alpha \in W \Rightarrow (\beta - \alpha + \alpha) \in W + \alpha \Rightarrow \beta \in W + \alpha.$$

For  $(-1) \in F, (-1)(\alpha - \beta) \in W \Rightarrow \beta - \alpha \in W \Rightarrow (\beta - \alpha + \alpha) \in W + \alpha \Rightarrow \beta \in W + \alpha.$

## 3

# Linear Transformations

### 3.1. VECTOR SPACE HOMOMORPHISM

**Definition.** Let  $U$  and  $V$  be two vector spaces over the same field  $F$ . Thus the mapping  $f: U \rightarrow V$  is called a homomorphism from  $U$  into  $V$  if

$$(i) f(\alpha + \beta) = f(\alpha) + f(\beta) \quad \forall \alpha, \beta \in U \quad (ii) f(a\alpha) = af(\alpha) \quad \forall a \in F; \forall \alpha \in U$$

**Note. 1.** If  $f$  is onto function then  $V$  is called the homomorphic image of  $f$ .

**2.** If  $f$  is one-one onto function then  $f$  is called an isomorphism. Thus it is said that  $U$  is isomorphic to  $V$  denoted by  $U \cong V$ .

**3.** The two conditions of homomorphism are combined into a single condition, called the linear property to define the linear transformation as below.

### 3.2. LINEAR TRANSFORMATION

**Definition.** Let  $U(F)$  and  $V(F)$  be two vector spaces. Then the function  $T: U \rightarrow V$  is called a linear transformation of  $U$  into  $V$  if  $T(a\alpha + b\beta) = aT(\alpha) + bT(\beta) \quad \forall a, b \in F; \alpha, \beta \in U$ .

Clearly the vector space homomorphism is equivalent to linear transformation.

**Linear Operator : Definition.** If  $T: U \rightarrow U$  (i.e.  $T$  transforms  $U$  into itself) then  $T$  is called a linear operator on  $U$ .

**Linear Functional : Definition.** If  $T: U \rightarrow F$  (i.e.  $T$  transforms  $U$  into the field  $F$ ) then  $T$  is called a linear functional on  $U$ .

### 3.3. ZERO TRANSFORMATION

**Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces. Let the mapping  $T: U \rightarrow V$  be defined by  $T(\alpha) = \hat{O} \quad \forall \alpha \in U$  where  $\hat{O}$  (zero crown) is the zero vector of  $V$ . Then  $T$  is a linear transformation.

**Proof.** For  $a, b \in F$  and  $\alpha, \beta \in U \Rightarrow a\alpha + b\beta \in U \quad (\because U \text{ is V.S.})$

By definition we have  $T(a\alpha + b\beta) = \hat{O} = a\hat{O} + b\hat{O} = aT(\alpha) + bT(\beta)$

$\therefore$  By the definition of linearity  $T$  is a linear transformation.

Such a L.T. is called the zero transformation and is denoted by  $O$ .

### 3.4. IDENTITY OPERATOR

**Theorem.** Let  $V(F)$  be a vector space and the mapping  $I: V \rightarrow V$  be defined by  $I(\alpha) = \alpha \quad \forall \alpha \in V$ . Then,  $I$  is a linear operator from  $V$  into itself.

**Proof.**  $a, b \in F$  and  $\alpha, \beta \in V \Rightarrow a\alpha + b\beta \in V$  ( $\because V$  is L.S.)  
By definition we have  $I(a\alpha + b\beta) = a\alpha + b\beta = aI(\alpha) + bI(\beta)$  (by def.)

$\therefore I$  is a L.T from  $V$  into itself and  $I$  is called the **Identity Operator**.

### 3.5. NEGATIVE OF TRANSFORMATION

**Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a linear transformation. Then the mapping  $(-T)$  defined by  $(-T)(\alpha) = -T(\alpha) \forall \alpha \in U$  is a linear transformation.

**Proof.**  $a, b \in F$  and  $\alpha, \beta \in U \Rightarrow a\alpha + b\beta \in U$  ( $\because U$  is V.S.)

Now by definition  $(-T)(a\alpha + b\beta) = -[T(a\alpha + b\beta)]$

$$\begin{aligned} &= -[aT(\alpha) + bT(\beta)] = -aT(\alpha) - bT(\beta) \\ &= a[-T(\alpha)] + b[-T(\beta)] = a[(-T)(\alpha)] + b[(-T)(\beta)] \\ &\Rightarrow -T \text{ is a linear transformation.} \end{aligned}$$

### PROPERTIES OF LINEAR TRANSFORMATIONS

**3.6. Theorem.** Let  $T: U \rightarrow V$  is a linear transformation from the vector space  $U(F)$  to the vector space  $V(F)$ . Then (i)  $T(\bar{0}) = \bar{0}$ , where  $\bar{0} \in U$  and  $\bar{0} \in V$   
(ii)  $T(-\alpha) = -T(\alpha) \forall \alpha \in U$  (iii)  $T(\alpha - \beta) = T(\alpha) - T(\beta) \forall \alpha, \beta \in U$   
(iv)  $T(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n) = a_1T(\alpha_1) + a_2T(\alpha_2) + \dots + a_nT(\alpha_n) \forall a_i \in F$  and  $\alpha's \in U$ .

**Proof.** (i)  $\alpha, \bar{0} \in U \Rightarrow T(\alpha), T(\bar{0}) \in V$

$$\text{Now } T(\alpha) + T(\bar{0}) = T(\alpha + \bar{0}) \quad (\text{T is L.T.}) \quad = T(\alpha) = T(\alpha) + \bar{0} \quad (\bar{0} \in V)$$

By cancellation law  $T(\bar{0}) = \bar{0}$

$$(ii) T(-\alpha) = T(-1 \cdot \alpha) = (-1)T(\alpha) = -T(\alpha)$$

$$(iii) T(\alpha - \beta) = T[\alpha + (-1)\beta] = T(\alpha) + (-1)T(\beta) = T(\alpha) - T(\beta) \quad (\because T \text{ is L.T.})$$

$$(iv) \text{ For } n=1, T(a_1\alpha_1) = a_1 T(\alpha_1) \quad (\because T \text{ is L.T.})$$

$$n=2, T(a_1\alpha_1 + a_2\alpha_2) = a_1 T(\alpha_1) + a_2 T(\alpha_2)$$

Let this be true for  $n=m$

$$\therefore T(a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m) = a_1 T(\alpha_1) + a_2 T(\alpha_2) + \dots + a_m T(\alpha_m) \quad \dots (1)$$

$$\text{Now } T[a_1\alpha_1 + \dots + a_m\alpha_m + a_{m+1}\alpha_{m+1}] = T(a_1\alpha_1 + \dots + a_m\alpha_m) + T(a_{m+1}\alpha_{m+1})$$

$$= a_1 T(\alpha_1) + \dots + a_m T(\alpha_m) + a_{m+1} T(\alpha_{m+1}) \quad \therefore \text{The relation is true for } n=m+1$$

Hence it is true for all integral values of  $n$ .

### DETERMINATION OF LINEAR TRANSFORMATION

**3.7. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a basis of  $U$ . Let  $\{\delta_1, \delta_2, \dots, \delta_n\}$  be a set of  $n$  vectors in  $V$ . Then there exists a unique linear transformation  $T: U \rightarrow V$  such that  $T(\alpha_i) = \delta_i$  for  $i = 1, 2, \dots, n$ .

**Proof.** Let  $\alpha \in U$ . Since  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of  $U$ , there exist unique scalars  $a_1, a_2, \dots, a_n \in F$  such that  $\alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$

$$(i) \text{ Existence of } T, \delta_1, \delta_2, \dots, \delta_n \in V \Rightarrow (a_1\delta_1 + a_2\delta_2 + \dots + a_n\delta_n) \in V$$

We define  $T: U \rightarrow V$ , such that  $T(\alpha) = a_1\delta_1 + a_2\delta_2 + \dots + a_n\delta_n$

$\therefore T$  is a mapping from  $U$  into  $V$ .

$$\text{Now } \alpha_i = 0 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 1 \cdot \alpha_i + 0 \cdot \alpha_{i+1} + \dots + 0 \cdot \alpha_n$$

$\therefore$  by the definition of  $T$  mapping

$$T(\alpha_i) = 0 \cdot \delta_1 + 0 \cdot \delta_2 + \dots + \delta_i + 0 \cdot \delta_{i+1} + \dots + 0 \cdot \delta_n \Rightarrow T(\alpha_i) = \delta_i, \forall i = 1, 2, \dots, n$$

(ii) To show that  $T$  is L.T:

Let  $a, b \in F$  and  $\alpha, \beta \in U$

$$\therefore \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n; \quad \beta = b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n$$

$$\therefore T(\alpha) = a_1\delta_1 + a_2\delta_2 + \dots + a_n\delta_n; \quad T(\beta) = b_1\delta_1 + b_2\delta_2 + \dots + b_n\delta_n$$

$$\therefore a\alpha + b\beta = a(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n) + b(b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n) \quad (\text{by def.})$$

$$= (aa_1 + bb_1)\alpha_1 + (aa_2 + bb_2)\alpha_2 + \dots + (aa_n + bb_n)\alpha_n$$

$$\therefore T(a\alpha + b\beta) = T[(aa_1 + bb_1)\alpha_1 + (aa_2 + bb_2)\alpha_2 + \dots + (aa_n + bb_n)\alpha_n]$$

$$= (aa_1 + bb_1)\delta_1 + (aa_2 + bb_2)\delta_2 + \dots + (aa_n + bb_n)\delta_n$$

$$= a(a_1\delta_1 + a_2\delta_2 + \dots + a_n\delta_n) + b(b_1\delta_1 + \dots + b_n\delta_n) = aT(\alpha) + bT(\beta)$$

$\therefore T$  is a L.T.

(iii) To show that  $T$  is unique.

Let  $T': U \rightarrow V$  be another L.T. so that  $T'(\alpha_i) = \delta_i$  for  $i = 1, 2, \dots, n$

$$\text{If } \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$$

$$\text{then } T'(\alpha) = T'(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n) = a_1T'(\alpha_1) + a_2T'(\alpha_2) + \dots + a_nT'(\alpha_n)$$

$$= a_1\delta_1 + a_2\delta_2 + \dots + a_n\delta_n = T(\alpha)$$

$\therefore T' = T$  and hence  $T$  is unique.

**Note.** In determining the L.T. the assumption that  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of  $U$  is essential.

**3.8. Theorem.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $S' = \{\beta_1, \beta_2, \dots, \beta_n\}$  be two ordered bases of  $n$ -dimensional vector space  $V(F)$ . Let  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be an ordered set of  $n$  scalars such that  $\alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$  and  $\beta = a_1\beta_1 + a_2\beta_2 + \dots + a_n\beta_n$ . Show that  $T(\alpha) = \beta$  where  $T$  is the linear operator on  $V$  defined by  $T(\alpha_i) = \beta_i$ ,  $i = 1, 2, \dots, n$ .

**Proof.** Now  $T(\alpha) = T(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n)$

$$\begin{aligned} &= a_1T(\alpha_1) + a_2T(\alpha_2) + \dots + a_nT(\alpha_n) \quad (\text{T is L.T.}) \\ &= a_1\beta_1 + a_2\beta_2 + \dots + a_n\beta_n = \beta \end{aligned}$$

### SOLVED PROBLEMS

**Ex. 1.** The mapping  $T : V_3(\mathbb{R}) \rightarrow V_2(\mathbb{R})$  is defined by  $T(x, y, z) = (x-y, x-z)$ . Show that  $T$  is a linear transformation.

**Sol.** Let  $\alpha = (x_1, y_1, z_1)$  and  $\beta = (x_2, y_2, z_2)$  be two vectors of  $V_3(\mathbb{R})$ .

For  $a, b \in \mathbb{R}$

$$\begin{aligned} T[a\alpha + b\beta] &= T[a(x_1, y_1, z_1) + b(x_2, y_2, z_2)] = T(ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2) \\ &= ((ax_1 + bx_2) - (ay_1 + by_2), ax_1 + bx_2 - (az_1 + bz_2)) \\ &= (a(x_1 - y_1) + b(x_2 - y_2), a(x_1 - z_1) + b(x_2 - z_2)) \\ &= (a(x_1 - y_1), a(x_1 - z_1) + (b(x_2 - y_2), b(x_2 - z_2))) \\ &= aT(x_1, y_1, z_1) + bT(x_2, y_2, z_2) = aT(\alpha) + bT(\beta) \\ \Rightarrow T &\text{ is a linear transformation from } V_3(\mathbb{R}) \text{ to } V_2(\mathbb{R}) \end{aligned}$$

**Ex. 2.** The mapping  $T : V_3(\mathbb{R}) \rightarrow V_1(\mathbb{R})$  is defined by  $T(a, b, c) = a^2 + b^2 + c^2$ ; Can it be a linear transformation? (O.U. 2001/O)

**Sol.** Let  $\alpha = (a, b, c)$  and  $\beta = (x, y, z)$  be two vectors of  $V_3(\mathbb{R})$ .

For  $p, q \in \mathbb{R}$ ,  $T(pa + qx, pb + qy, pc + qz) = (pa + qx)^2 + (pb + qy)^2 + (pc + qz)^2$

Now  $= pT(\alpha) + qT(\beta) = pT(a, b, c) + qT(x, y, z)$

$$= p(a^2 + b^2 + c^2) + q(x^2 + y^2 + z^2) = T(p\alpha + q\beta) \neq pT(\alpha) + qT(\beta)$$

$\therefore T$  is not a L.T. from  $V_3(\mathbb{R})$  to  $V_1(\mathbb{R})$ .

**Ex. 3.** Let  $V$  be the vector space of polynomials in the variable  $x$  over  $\mathbb{R}$ . Let  $f(x) \in V(\mathbb{R})$ ; show that

(i)  $D : V \rightarrow V$  defined by  $Df(x) = \frac{df(x)}{dx}$

(ii)  $I : V \rightarrow V$  defined by  $If(x) = \int_0^x f(x) dx$  are linear transformations.

**Sol.** Let  $f(x), g(x) \in V(\mathbb{R})$  and  $a, b \in \mathbb{R}$

$$\begin{aligned} (i) D[af(x) + bg(x)] &= \frac{d}{dx}[af(x) + bg(x)] = \frac{d}{dx}[af(x)] + \frac{d}{dx}[bg(x)] \\ &= a \frac{d}{dx}[f(x)] + b \frac{d}{dx}[g(x)] = aDf(x) + bDg(x) \end{aligned}$$

$\therefore D$  is a linear transformation and  $D$  is called a differential operator.

$$(ii) I[af(x) + bg(x)] = \int_0^x [af(x) + bg(x)] dx = a \int_0^x f(x) dx + b \int_0^x g(x) dx = aI f(x) + bI g(x)$$

$\therefore I$  is a linear transformation and is called Integral transformation.

**Ex. 4.** Let  $P_n(\mathbb{R})$  be the vector space of all polynomials of degree  $n$  over a field

R. If a linear operator  $T$  on  $P_n(\mathbb{R})$  is such that  $Tf(x) = f(x+1)$ ,  $f(x) \in P_n(\mathbb{R})$ .

Show that  $T = 1 + \frac{D}{1!} + \frac{D^2}{2!} + \frac{D^3}{3!} + \dots + \frac{D^n}{n!}$

**Sol.** Let  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n \quad \forall a_i \in \mathbb{R}$

$$\left[ 1 + \frac{D}{1!} + \frac{D^2}{2!} + \frac{D^3}{3!} + \dots + \frac{D^n}{n!} \right] f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$$

$$+ \frac{1}{1!}(0 + a_1 + 2a_2x + 3a_3x^2 + \dots + na_nx^{n-1}) + \frac{1}{2!}(0 + 0 + 2a_2 + 6a_3 + \dots + n(n-1)a_nx^{n-2})$$

$$+ \dots \dots \dots + \frac{1}{n!}(0 + 0 + 0 + \dots + a_n n!)$$

$$= a_0 + a_1(x+1) + a_2(x+1)^2 + \dots + a_n(x+1)^n$$

$$= f(x+1) = Tf(x) \quad (\text{by def.})$$

$$\therefore T = \left( 1 + \frac{D}{1!} + \frac{D^2}{2!} + \dots + \frac{D^n}{n!} \right).$$

Thus  $T$  is a linear operator from  $P_n(\mathbb{R})$  into  $P_n(\mathbb{R})$ .

**Ex. 5.** Is the mapping  $T : \mathbb{R}^3 \rightarrow \mathbb{R}^2$  defined by  $T(x, y, z) = (|x|, 0)$  a linear transformation.

**Sol.** We have  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  defined by  $T(x, y, z) = (|x|, 0)$

Let  $\alpha, \beta \in \mathbb{R}^3$  where  $\alpha = (x_1, y_1, z_1)$  and  $\beta = (x_2, y_2, z_2)$

$$\text{For } a, b \in \mathbb{R}, \quad a\alpha + b\beta = a(x_1, y_1, z_1) + b(x_2, y_2, z_2) = (ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2)$$

$$\therefore T(a\alpha + b\beta) = T(ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2) = (|ax_1 + bx_2|, 0)$$

$$\text{And } aT(\alpha) + bT(\beta) = aT(x_1, y_1, z_1) + bT(x_2, y_2, z_2)$$

$$= a(|x_1|, 0) + b(|x_2|, 0) = (a|x_1| + b|x_2|, 0)$$

Clearly  $T(a\alpha + b\beta) \neq aT(\alpha) + bT(\beta)$ . Hence  $T$  is not a linear transformation.

**Ex. 6.** Let  $T$  be a linear transformation on a vector space  $U$  into  $V$ . Prove that the vectors  $x_1, x_2, \dots, x_n \in U$  are linearly independent if  $T(x_1), T(x_2), \dots, T(x_n)$  are L.I.

**Sol.** Given  $T: U(F) \rightarrow V(F)$  is a L.T. and  $x_1, x_2, \dots, x_n \in U$ .

Let there exist  $a_1, a_2, \dots, a_n \in F$  such that  $a_1x_1 + a_2x_2 + \dots + a_nx_n = \bar{0} \quad \dots (1) \quad (\bar{0} \in U)$

$$\therefore T(a_1x_1 + a_2x_2 + \dots + a_nx_n) = T(\bar{0}) \Rightarrow a_1T(x_1) + a_2T(x_2) + \dots + a_nT(x_n) = \hat{\bar{0}} \quad (\hat{\bar{0}} \in V)$$

But  $T(x_1), T(x_2), \dots, T(x_n)$  are L.I.  $\therefore a_1 = a_2 = \dots = a_n = 0$ .

$\therefore$  From (1)  $x_1, x_2, \dots, x_n$  are L.I.

**Ex. 7.** Let  $V$  be a vector space of  $n \times n$  matrices over the field  $F$ .  $M$  is a fixed matrix in  $V$ . The mapping  $T: V \rightarrow V$  is defined by  $T(A) = AM + MA$  where  $A \in V$ . Show that  $T$  is linear.

**Sol.** Let  $a, b \in F$  and  $A, B \in V$ . Then  $T(A) = AM + MA$  and  $T(B) = BM + MB$

$$\therefore T(aA + bB) = (aA + bB)M + M(aA + bB) = a(AM + MA) + b(BM + MB)$$

$= aT(A) + bT(B)$ .  $T$  is a linear transformation.

**Ex. 8.** Describe explicitly the linear transformation  $T: \mathbb{R}^2 \rightarrow \mathbb{R}$  such that

$$T(2,3) = (4,5) \text{ and } T(1,0) = (0,0). \quad (\text{S. V. U. S97})$$

**Sol.** First of all we have to show that the vectors  $(2,3)$  and  $(1,0)$  are L.I.

$$\text{Let } = a(2,3) + b(1,0) = \bar{0}$$

$$\Rightarrow (2a + b, 3a + 0) = (0,0) \Rightarrow 2a + b = 0, 3a = 0 \Rightarrow 2a = 0, b = 0$$

$$\therefore S = \{(2,3), (1,0)\} \text{ is L.I.}$$

Let us prove that  $L(S) = \mathbb{R}^2$

Let  $(x, y) \in \mathbb{R}^2$  and  $(x, y) = a(2,3) + b(1,0) = (2a + b, 3a)$

$$\Rightarrow 2a + b = x, \quad 3a = y \Rightarrow a = \frac{y}{3}; b = \frac{3x - 2y}{3}, \quad \text{Hence } S \text{ spans } \mathbb{R}^2$$

$$\text{Now } T(x, y) = T\left[\frac{y}{3}(2,3) + \frac{3x-2y}{3}(1,0)\right]$$

$$= \frac{y}{3}T(2,3) + \frac{3x-2y}{3}T(1,0) = \frac{y}{3}(4,5) + \frac{3x-2y}{3}(0,0) = \left(\frac{4y}{3}, \frac{5y}{3}\right)$$

$\therefore$  This is the required transformation.

**Ex. 9.** Find  $T(x, y, z)$  where  $T: \mathbb{R}^3 \rightarrow \mathbb{R}$  is defined by  $T(1,1,1) = 3$ ,  $T(0,1,-2) = 1$ ,  $T(0,0,1) = -2$ .

**Sol.** Let  $S = \{(1,1,1), (0,1,-2), (0,0,1)\}$

$$(i) \text{ Let } a(1,1,1) + b(0,1,-2) + c(0,0,1) = \bar{0}$$

$$\Rightarrow (a, a+b, a-2b+c) = (0,0,0) \quad (\because \bar{0} \in \mathbb{R}^3)$$

$$\Rightarrow a = 0, a+b = 0, a-2b+c = 0 \Rightarrow a = 0, b = 0, c = 0 \quad (\because S \text{ is L.I. set})$$

$$(ii) \text{ Let } (x, y, z) \in \mathbb{R}^3$$

$$(x, y, z) = a(1,1,1) + b(0,1,-2) + c(0,0,1) = (a, a+b, a-2b+c)$$

$$\Rightarrow a = x, a+b = y, a-2b+c = z \Rightarrow a = x, b = y-x, c = z+2y-3x$$

$\therefore S \text{ spans } \mathbb{R}^3$

$$\text{Hence } T(x, y, z) = T[x(1,1,1) + (y-x)(0,1,-2) + (z+2y-3x)(0,0,1)]$$

$$= xT(1,1,1) + (y-x)T(0,1,-2) + (z+2y-3x)T(0,0,1)$$

$$= x(3) + (y-x)(1) + (z+2y-3x)(-2)$$

$$= 8x - 3y - 2z \text{ which is the required linear functional.}$$

### EXERCISE 3 (a)

1. Which of the following maps are linear transformations?

$$(a) T: V_1 \rightarrow V_3 \text{ defined by } T(x) = (x, 2x, 3x).$$

$$(b) T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \text{ defined by } T(a,b) = (2a+3b, 3a-4b).$$

$$(c) T: \mathbb{R}^3 \rightarrow \mathbb{R}^2 \text{ defined by } T(x, y, z) = (x-y, x-z).$$

$$(d) T: P \rightarrow P \text{ defined by } T(x) = x^2 + x.$$

$$(e) T: P \rightarrow P \text{ defined by } T p(x) = p(0) + xp'(0) + \frac{x^2}{2!} p''(0).$$

$$(f) T: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \text{ defined by } T(x, y, z) = (x+1, y, z).$$

$$(g) T: \mathbb{R}^2 \rightarrow \mathbb{R}^2 \text{ defined by } T(x, y) = (x^3, y^3).$$

2. Let  $V$  be the space of  $m \times n$  matrices over the field  $F$ . Let  $P$  be a fixed  $m \times m$  matrix and  $Q$  is a fixed  $n \times n$  matrix over  $F$ .  
 $T: V \rightarrow V$  is defined by  $T(A) = PAQ$ . Then show that  $T$  is a linear transformation.  
 $T(aA + bB) = P(aA + bB)Q = (aPA + bPB)Q$   
{ Hint :  $T(aA + bB) = P(aA + bB)Q = (aPA + bPB)Q = aPAQ + bPBQ = aT(A) + bT(B)$ . Find a linear transformation.  
 $= aPAQ + bPBQ = aT(A) + bT(B)$ .
3.  $T: R^2 \rightarrow R^2$  such that  $T(1,0) = (1,1)$  and  $T(0,1) = (-1,2)$
4.  $T: V_2 \rightarrow V_2$  such that  $T(1,2) = (3,0)$  and  $T(2,1) = (1,2)$
5.  $T: V_3 \rightarrow V_3$  such that  $T(0,1,2) = (3,1,2)$  and  $T(1,1,1) = (2,2,2)$
6.  $T: V_2(R) \rightarrow V_3(R)$  such that  $T(1,2) = (3,-1,5)$  and  $T(0,1) = (2,1,-1)$
7.  $T: R^2 \rightarrow R^3$  such that  $T(2,-5) = (-1,2,3)$  and  $T(3,4) = (0,1,5)$
8. Find a linear transformation.  $T: R^2 \rightarrow R^2$ , such that  $T(1,0) = (1,1)$  and  $T(0,1) = (-1,2)$   
Prove that  $T$  maps the square with vertices  $(0,0), (1,0), (1,1), (0,1)$  into a parallelogram.  
(S. V. U. 2001/0)

### SUM OF LINEAR TRANSFORMATIONS

3.9. Definition. Let  $T_1$  and  $T_2$  be two linear transformations from  $U(F)$  into  $V(F)$ . Then their sum  $T_1 + T_2$  is defined by  $(T_1 + T_2)(\alpha) = T_1(\alpha) + T_2(\alpha) \forall \alpha \in U$

3.10. Theorem. Let  $U(F)$  and  $V(F)$  be two linear transformations. Let  $T_1$  and  $T_2$  be two linear transformations from  $U$  into  $V$ . Then the mapping  $T_1 + T_2$  defined by  
 $(T_1 + T_2)(\alpha) = T_1(\alpha) + T_2(\alpha) \forall \alpha \in U$  is a linear transformation.

Proof. Given  $T_1: U \rightarrow V$  and  $T_2: U \rightarrow V$ ,  $(T_1 + T_2)(\alpha) = T_1(\alpha) + T_2(\alpha) \forall \alpha \in U$   
 $T_1(\alpha) \in V$  and  $T_2(\alpha) \in V \Rightarrow T_1(\alpha) + T_2(\alpha) \in V$ . Hence  $(T_1 + T_2): U \rightarrow V$   
Let  $a, b \in F$  and  $\alpha, \beta \in U$ . Then  $(T_1 + T_2)(a\alpha + b\beta) = T_1(a\alpha + b\beta) + T_2(a\alpha + b\beta)$  (by def.)  
 $= aT_1(\alpha) + bT_1(\beta) + aT_2(\alpha) + bT_2(\beta) = a[T_1(\alpha) + T_2(\alpha)] + b[T_1(\beta) + T_2(\beta)]$   
 $= a(T_1 + T_2)(\alpha) + b(T_1 + T_2)(\beta) \therefore T_1 + T_2$  is a L. T. from  $U$  into  $V$ .

### 3.11. SCALAR MULTIPLICATION OF A L.T.

Theorem. Let  $T: U(F) \rightarrow V(F)$  be a linear transformation and  $a \in F$ . Then the function  $aT$  defined by  $(aT)(\alpha) = aT(\alpha) \forall \alpha \in U$  is a linear transformation.

Proof. Given  $T: U(F) \rightarrow V(F)$  and  $(aT)(\alpha) = aT(\alpha)$ ,  $a \in F$ ,  $\alpha \in U$

Now  $T(\alpha) \in V \Rightarrow aT(\alpha) \in V$ .  $\therefore (aT)$  is a mapping from  $U$  into  $V$

For  $c, d \in F$  and  $\alpha, \beta \in U$

### Linear Transformations

$$\begin{aligned} (aT)[c\alpha + d\beta] &= aT(c\alpha + d\beta) \\ &= a[cT(\alpha) + dT(\beta)] = acT(\alpha) + adT(\beta) = c(aT)(\alpha) + d(aT)(\beta) \end{aligned}$$

(by def)

Hence  $aT$  is a L.T. from  $U$  into  $V$ .

### SOLVED PROBLEMS

Ex. 1. Let  $T: V_3(R) \rightarrow V_2(R)$  and  $H: V_3(R) \rightarrow V_2(R)$  be the two linear transformations defined by  $T(x, y, z) = (x - y, y + z)$  and  $H(x, y, z) = (2x, y - z)$

Find (i)  $H + T$  (ii)  $aH$

Sol. (i)  $(H + T)(x, y, z) = H(x, y, z) + T(x, y, z) = (2x, y - z) + (x - y, y + z) = (3x - y, 2y)$   
(ii)  $(aH)(x, y, z) = aH(x, y, z) = a(2x, y - z) = (2ax, ay - az)$

Ex. 2. Let  $G: V_3 \rightarrow V_3$  and  $H: V_3 \rightarrow V_3$  be two linear operators defined by

$$G(e_1) = e_1 + e_2, G(e_2) = e_3, G(e_3) = e_2 - e_3 \text{ and } H(e_1) = e_3, H(e_2) = 2e_2 - e_3, H(e_3) = 0$$

where  $\{e_1, e_2, e_3\}$  is the standard basis of  $V_3(R)$ . Find (i)  $G + H$  (ii)  $2G$

Sol. Let  $S = \{(1,0,0), (0,1,0), (0,0,1)\}$  be the standard basis of  $V_3(R)$  so that

$$e_1 = (1,0,0), e_2 = (0,1,0), e_3 = (0,0,1)$$

$$G(e_1) = e_1 + e_2 \Rightarrow G(1,0,0) = (1,1,0), G(e_1) = e_3 \Rightarrow G(0,1,0) = (0,0,1)$$

$$G(e_3) = e_2 - e_3 \Rightarrow G(0,0,0) = (0,1,-1)$$

$$\text{Again } H(e_1) = e_3 \Rightarrow H(1,0,0) = (0,0,1), H(e_2) = 2e_2 - e_3 \Rightarrow H(0,1,0) = (0,2,-1)$$

$$H(e_3) = \bar{o} \Rightarrow H(0,0,1) = (0,0,0)$$

$$(i) (G + H)(e_1) = G(e_1) + H(e_1) = e_1 + e_2 + e_3 \Rightarrow (G + H)(1,0,0) = (1,1,1)$$

$$(G + H)(e_2) = G(e_2) + H(e_2) = 2e_2 \Rightarrow (G + H)(0,1,0) = (0,2,0)$$

$$(G + H)(e_3) = G(e_3) + H(e_3) = e_2 - e_3 \Rightarrow (G + H)(0,0,1) = (0,1,-1)$$

$$(ii) 2G(e_1) = 2G(e_1) = 2e_1 + e_2, 2G(e_2) = 2G(e_2) = 2e_3, 2G(e_3) = 2G(e_3) = 2e_2 - 2e_3 \text{ etc.}$$

### PRODUCT OF LINEAR TRANSFORMATIONS

3.12. Theorem. Let  $U(F)$ ,  $V(F)$  and  $W(F)$  are three vector spaces and  $T: V \rightarrow W$  and  $H: U \rightarrow V$  are two linear transformations. Then the composite function  $TH$  (called the product of linear transformations) defined by  $(TH)(\alpha) = T[H(\alpha)] \forall \alpha \in U$  is a linear transformation from  $U$  into  $W$ .

Proof. Given  $H: U(F) \rightarrow V(F)$  and  $T: V(F) \rightarrow W(F)$

For  $a \in U \Rightarrow H(a) \in V$

Again  $H(a) \in V \Rightarrow T[H(a)] \in W \Rightarrow (TH)(a) \in W$

$\therefore TH$  is a mapping from  $U$  into  $W$ .  
Now Let  $a, b \in F$ ,  $\alpha, \beta \in U$ . Then  $(TH)[a\alpha + b\beta] = T[H(a\alpha + b\beta)]$  (by def.)  
 $= T[aH(\alpha) + bH(\beta)]$  (H is L.T.)  
 $= a(TH)(\alpha) + b(TH)(\beta)$

$\therefore TH$  is a LT. from  $U$  to  $W$ .

Note. The range of  $H$  is the domain of  $T$ .

**3.13. Theorem.** Let  $H, H'$  be two linear transformations from  $U(F)$  to  $V(F)$ . Let  $T, T'$  be the linear transformations from  $V(F)$  to  $W(F)$  and  $a \in F$ .  
Then (i)  $T(H+H') = TH + TH'$  (ii)  $(T+T')H = TH + T'H$   
(iii)  $a(TH) = (aT)H = T(aH)$

**Proof.** (i) Let  $\alpha \in U$ . Then  $T(H+H')(\alpha) = T[H(\alpha) + H'(\alpha)]$   
 $= TH(\alpha) + TH'(\alpha) = (TH + TH')(\alpha)$

(ii) Similar to (i)

(iii) Let at  $\alpha \in U$ .  $a(TH)(\alpha) = aT[H(\alpha)] = [(aT)H](\alpha)$   
Again  $[T(aH)](\alpha) = T[aH(\alpha)] = [a(TH)](\alpha)$ .  $\therefore a(TH) = (aT)H = T(aH)$

### 3.14. ALGEBRA OF LINEAR OPERATORS

**Theorem.** Let  $A, B, C$  be linear operators on a vector space  $V(F)$ . Also let  $O$  be the zero operator and  $I$  the identity operator on  $V$ . Then

- (i)  $AO = OA = O$
- (ii)  $AI = IA = A$
- (iii)  $A(B+C) = AB + AC$
- (iv)  $(A+B)C = AC + BC$
- (v)  $A(BC) = (AB)C$

**Proof.** Let  $\alpha \in V$

$$(i) AO(\alpha) = A[O(\alpha)] = A(\bar{O}) \quad (\text{by def. of } O) \\ = \bar{O} \quad (\text{A is L.T.}) \quad = O(\alpha) \quad (\forall \alpha \in U)$$

Similarly  $OA(\alpha) = O[A(\alpha)] = \bar{O} = O(\alpha) \Rightarrow OA = O$ . Thus  $AO = OA = O$ .

(ii) Similar to (i)

$$(iii) [A(B+C)](\alpha) = A[(B+C)(\alpha)] = A[B(\alpha) + C(\alpha)] = AB(\alpha) + AC(\alpha) = (AB + AC)(\alpha)$$

$$\therefore A(B+C) = AB + AC$$

(iv) Similar to (iii)

$$(v) [A(BC)](\alpha) = A[(BC)(\alpha)] = A[B(C(\alpha))] = (AB)[C(\alpha)] = [(AB)C](\alpha) \\ \therefore A(BC) = (AB)C$$

### SOLVED PROBLEMS

**Ex. 1.** Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  and  $H: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be defined by  $T(x, y, z) = (3x, y+z)$  and  $H(x, y, z) = (2x - x, y)$ . Compute (i)  $T+H$  (ii)  $4T - 5H$  (iii)  $TH$  (iv)  $HT$

**Sol.** Since  $T$  and  $H$  map  $V$ , the linear transformations  $T+H$  and  $4T - 5H$  are defined.

$$(i) (T+H)(x, y, z) = T(x, y, z) + H(x, y, z) = (3x, y+z) + (2x - x, y) = (5x, 2y+z)$$

$$(ii) (4T - 5H)(x, y, z) = 4T(x, y, z) - 5H(x, y, z)$$

$$= 4(3x, y+z) - 5(2x - x, y) = (2x + 5z, -y + 4z)$$

(iii) and (iv) both  $TH$  and  $HT$  are not defined because the range of  $T$  is not equal to the domain of  $H$  and vice versa.

**Ex. 2.** Let  $T_1: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  and  $T_2: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  are two linear transformations defined by  $T_1(x, y, z) = (3x, 4y - z)$ ,  $T_2(x, y) = (-x, y)$ . Compute  $T_1T_2$  and  $T_2T_1$ .

**Sol.** (i) Since the range of  $T_2$  i.e.  $\mathbb{R}^2$  is not equal to the domain of  $T_1$  i.e.,  $\mathbb{R}^3$ ,  $T_1T_2$  is not defined.

(ii) But the range of  $T_1$  i.e.  $\mathbb{R}^3$  is equal to the domain of  $T_2$ ,  $T_2T_1$  is defined.

$$\therefore (T_2T_1)(x, y, z) = T_2[T_1(x, y, z)] = T_2(3x, 4y - z) = (-3x, 4y - z)$$

**Ex. 3.** Let  $P(R)$  be the vector space of all polynomials in  $x$  and  $D, T$  be two linear operators on  $P$  defined by  $D[f(x)] = \frac{df}{dx}$  and  $T[f(x)] = xf(x) \forall f(x) \in V$

$$\text{Show (i) } TD \neq DT \quad \text{(ii) } (TD)^2 = T^2D^2 + TD$$

$$\text{Sol. (i) } (DT)f(x) = D[Tf(x)] = D[xf(x)] = f(x) + xf'(x)$$

$$(TD)f(x) = T[Df(x)] = T\left[\frac{df}{dx}\right] = xf'(x)$$

$$\text{Clearly } DT \neq TD. \quad \text{Also } (DT)f(x) - (TD)f(x) = f(x)$$

$$\Rightarrow (DT - TD)f(x) = I f(x) \quad (I \text{ is identity}) \Rightarrow DT - TD = I$$

$$(ii) (TD)^2 f(x) = (TD)[(TD)f(x)] = (TD)\left[x \frac{df}{dx}\right] = T\left[D\left(x \frac{df}{dx}\right)\right]$$

$$= T\left[\frac{df}{dx} + x \frac{d^2f}{dx^2}\right] = x \frac{df}{dx} + x^2 \frac{d^2f}{dx^2}$$

$$\text{Now } (T^2D^2)f(x) = T^2D[Df(x)] = T^2\left[D\left(\frac{df}{dx}\right)\right] = T^2\left(\frac{d^2f}{dx^2}\right)$$

$$= T\left[T\left(\frac{d^2f}{dx^2}\right)\right] = T\left[x \frac{d^2f}{dx^2}\right] = x^2 \frac{d^2f}{dx^2}$$

$$\Rightarrow \sum_{i=1}^n \sum_{j=1}^m a_{ij} T_{ij}(\alpha_k) = \hat{O} \Rightarrow \sum_{j=1}^m a_{kj} T_{kj}(\alpha_k) = \hat{O} \quad (\hat{O} \in V)$$

$$\Rightarrow a_{k1} \alpha_1 + a_{k2} \alpha_2 + \dots + a_{km} \alpha_m = \hat{O} \Rightarrow a_{k1} = 0, a_{k2} = 0, \dots, a_{km} = 0 \quad (\because B_1 \text{ is L.I.})$$

Hence  $S = \{T_{ij}\}$  is an L.I. set.

(ii) To show that  $L(S) = L(U, V)$ .

Let  $T \in L(U, V)$ . The vector  $T(\alpha_i) \in V$  can be expressed as

$$T(\alpha_i) = b_{1i} \beta_1 + b_{2i} \beta_2 + \dots + b_{mi} \beta_m$$

In general for  $i = 1, 2, \dots, m$

$$T(\alpha_i) = b_{1i} \beta_1 + b_{2i} \beta_2 + \dots + b_{mi} \beta_m \quad \dots (1)$$

$$\text{Consider the linear transformation } H = \sum_{i=1}^n \sum_{j=1}^m b_{ij} T_{ij}$$

Clearly  $H$  is a linear combination of  $S = \{T_{ij}\}$ ; therefore  $H \in L(U, V)$ .

Let  $\alpha_k \in U$  for  $k = 1, 2, \dots, n$ . Since  $T_{ij}(\alpha_k) = \hat{O}$  for  $k \neq i$ , We have  $T_{kj}(\alpha_k) = \beta_j$

$$\text{Consider } H(\alpha_k) = \sum_{i=1}^n \sum_{j=1}^m b_{ij} T_{ij}(\alpha_k) = \sum_{j=1}^m b_{kj} T_{kj}(\alpha_k) = \sum_{j=1}^m b_{kj} \beta_j$$

$$\text{i.e. } H(\alpha_k) = b_{k1} \beta_1 + b_{k2} \beta_2 + \dots + b_{km} \beta_m = T(\alpha_k) \quad [\text{ by (1)}]$$

Hence  $H(\alpha_k) = T(\alpha_k)$  for each  $k$ .  $\therefore H = T$

Thus  $T$  is a linear combination of elements of  $S$ . i.e.,  $L(S) = L(U, V)$ .

$\therefore S$  is a basis set of  $L(U, V)$   $\therefore \dim L(U, V) = mn$ .

### EXERCISE 3 (b)

1. Let  $T: R^3 \rightarrow R^2$  and  $H: R^2 \rightarrow R^3$  be two linear transformation defined by

$$T(x, y, z) = (x - 3y - 2z, y - 4z) \text{ and } H(x, y) = (2x, 4x - y, 2x + 3y)$$

Find  $HT$  and  $TH$ . Is product commutative?

2. Define on  $R^2$  linear operators  $H$  and  $T$  as follows  $H(x, y) = (0, x)$  and  $T(x, y) = (x, 0)$  and show that  $TH = 0$ ,  $HT \neq TH$  and  $T^2 = T$ .

3. Give an example of a linear operator  $T$  on  $R^3$  such that  $T \neq 0$ ,  $T^2 \neq 0$  but  $T^2 = 0$ .

[Hint:  $T: R^3 \rightarrow R^3$  where  $T(x, y, z) = (0, x, y)$ ]

4. Let  $P$  be the polynomial space in one indeterminate  $x$  with real coefficients. Let  $D: P \rightarrow P$  and  $S: P \rightarrow P$  be two linear operators defined by

$$Df(x) = \frac{df}{dx} \text{ and } Sf(x) = \int_0^x f(x) dx \quad \forall f(x) \in P$$

Show that  $DS = I$  and  $SD \neq I$  where  $I$  is the identity transformation.

5. Let  $T: V_3(R) \rightarrow V_3(R)$  be defined by  $T(a, b, c) = (3a, a-b, 2a+b+c)$

Prove that  $(T^2 - I)(T - 3I) = O$ .

### 3.17. RANGE AND NULL SPACE OF A LINEAR TRANSFORMATION

**RANGE.** Definition. Let  $U(F)$  and  $V(F)$  be two vector spaces and let  $T: U \rightarrow V$  be a linear transformation. The range of  $T$  is defined to be the set  $\text{Range}(T) = R(T) = \{T(\alpha) : \alpha \in U\}$ .

Obviously the range of  $T$  is a subset of  $V$ . i.e.  $R(T) \subseteq V$ .

**3.18. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces. Let  $T: U(F) \rightarrow V(F)$  be a linear transformation. Then the range set  $R(T)$  is a subspace of  $V(F)$ .

(S. V. U. O 2000, S. K. U. S01, O. U. S01)

**Proof.** For  $\bar{O} \in U \Rightarrow T(\bar{O}) = O \in R(T) \therefore R(T)$  is non-empty set and  $R(T) \subseteq V$

Let  $\alpha_1, \alpha_2 \in U$  and  $\beta_1, \beta_2 \in R(T)$  be such that  $T(\alpha_1) = \beta_1$  and  $T(\alpha_2) = \beta_2$

For  $a, b \in F$ ,  $a\alpha_1 + b\alpha_2 \in U$  ( $\because U$  is V.S.)  $\Rightarrow T(a\alpha_1 + b\alpha_2) \in R(T)$

But  $T(a\alpha_1 + b\alpha_2) = aT(\alpha_1) + bT(\alpha_2) = a\beta_1 + b\beta_2 \in R(T)$  ( $\because T$  is L.T.)

Thus  $a, b \in F$  and  $\beta_1, \beta_2 \in R(T) \Rightarrow a\beta_1 + b\beta_2 \in R(T)$

$\therefore R(T)$  is a subspace of  $V(F)$ .  $R(T)$  is called the range space.

### 3.19. NULL SPACE OR KERNEL

**Definition.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a linear transformation. The null space denoted by  $N(T)$  is the set of all vectors  $\alpha \in U$  such that  $T(\alpha) = \bar{O}$  (zero vector of  $V$ ).

The null space of  $N(T)$  is also called the kernel of  $T$  i.e.,  $N(T) = \{\alpha \in U : T(\alpha) = \bar{O} \in V\}$ .

Obviously the null space  $N(T) \subseteq U$ .

**3.20. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  is a linear transformation. Then null space  $N(T)$  is a subspace of  $U(F)$ .

(S. V. U. O2000, S. K. U. 2001/O, O. U. 2001/O)

**Proof.** Let  $N(T) = \{\alpha \in U : T(\alpha) = \bar{O} \in V\}$

$$\therefore T(\vec{o}) = \vec{O} \Rightarrow \vec{O} \in N(T)$$

$N(T)$  is a non-empty subset of  $U$ .

$$\text{Now } \alpha, \beta \in N(T) \Rightarrow T(\alpha) = \vec{O}, T(\beta) = \vec{O}$$

$$\text{For } a, b \in F, T(a\alpha + b\beta) = aT(\alpha) + bT(\beta) = a\vec{O} + b\vec{O} = \vec{O} \quad (\text{T is L.T.})$$

$$\therefore T(a\alpha + b\beta) = \vec{O}$$

By definition  $a\alpha + b\beta \in N(T)$

Thus  $a, b \in F$  and  $\alpha, \beta \in N(T) \Rightarrow a\alpha + b\beta \in N(T)$

$\therefore$  Null space  $N(T)$  is a subspace of  $U(F)$ .

**3.21. Theorem.** Let  $T: U(F) \rightarrow V(F)$  be a linear transformation. If  $U$  is finite dimensional then the range space ( $T$ ) is a finite dimensional subspace of  $V(F)$ .

**Proof.** Given  $U$  is finite dimensional

$\therefore$  Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be the basis set of  $U(F)$ .

Let  $\beta \in R(T)$ , the range space of  $T$ .

Then there exists  $\alpha \in U$  such that  $T(\alpha) = \beta$ .

$\therefore \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$  for  $a_i \in F$

$$\Rightarrow T(\alpha) = T(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n) \Rightarrow \beta = a_1T(\alpha_1) + a_2T(\alpha_2) + \dots + a_nT(\alpha_n)$$

But  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\} \subseteq R(T)$

Now  $\beta \in R(T)$  and  $\beta = l.c.$  of elements of  $S' \Rightarrow \beta \in L(S')$ .

Thus  $R(T)$  is spanned by a finite set  $S'$ .

$\therefore R(T)$  is finite dimensional subspace of  $V(F)$ .

#### DIMENSION OF RANGE AND KERNEL

**3.22. Definition.** Let  $T: U(F) \rightarrow V(F)$  be a linear transformation where  $U$  is finite dimensional vector space.

**Rank :** Then the rank of  $T$  denoted by  $r(T)$  is the dimension of range space  $R(T)$ .

$$r(T) = \dim R(T)$$

**Nullity :** The nullity of  $T$  denoted by  $v(T)$  is the dimension of null space  $N(T)$ .

$$v(T) = \dim N(T)$$

**3.23. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a linear transformation. Let  $U$  be finite dimensional then  $r(T) + v(T) = \dim U$  i.e.  $\text{rank}(T) + \text{nullity}(T) = \dim U$ . (N.U. 94 M, S.V.U.A2000, S.K.U.2001/O)

**Proof.** The null space  $N(T)$  is a subspace of finite dimensional space  $U(F)$ .

$\Rightarrow N(T)$  is finite dimensional

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_k\}$  be a basis set of  $N(T)$ .  $\therefore \dim N(T) = v(T) = k$ .

$$\therefore T(\alpha_1) = \vec{O}, T(\alpha_2) = \vec{O}, \dots, T(\alpha_k) = \vec{O} \quad \dots (1) \quad (\vec{O} \in V)$$

As  $S$  is L.I. it can be extended to form a basis of  $U(F)$

Let  $S_1 = \{\alpha_1, \alpha_2, \dots, \alpha_k, \theta_1, \theta_2, \dots, \theta_m\}$  be the extended basis of  $U(F)$ .  $\therefore \dim U = k+m$

Now we show that the set of images of additional vectors

$S_2 = \{T(\theta_1), T(\theta_2), \dots, T(\theta_m)\}$  is a basis of  $R(T)$ . Clearly  $S_2 \subseteq R(T)$

(i) To prove  $S_2$  is L.I.

Let  $a_1, a_2, \dots, a_m \in F$  be such that  $a_1T(\theta_1) + a_2T(\theta_2) + \dots + a_mT(\theta_m) = \vec{O}$

$$\Rightarrow T(a_1\theta_1 + a_2\theta_2 + \dots + a_m\theta_m) = \vec{O}$$

$\Rightarrow a_1\theta_1 + a_2\theta_2 + \dots + a_m\theta_m \in N(T)$ , null space of  $T$ .

But each vector in  $N(T)$  is a l.c. of elements of basis  $S$

$\therefore$  For some  $b_1, b_2, \dots, b_m \in F$ , let  $a_1\theta_1 + a_2\theta_2 + \dots + a_m\theta_m = b_1\alpha_1 + b_2\alpha_2 + \dots + b_k\alpha_k$

$$\Rightarrow a_1\theta_1 + \dots + a_m\theta_m - b_1\alpha_1 - \dots - b_k\alpha_k = \vec{O}$$

$$\Rightarrow a_1 = 0, a_2 = 0, \dots, a_m = 0, b_1 = 0, \dots, b_k = 0 \quad (\because S_1 \text{ is L.I.}) \Rightarrow S_2 \text{ is L.I. set}$$

(ii) To prove  $L(S_2) = R(T)$

Let  $\beta \in \text{range space } R(T)$ , then there exists  $\alpha \in U$  such that  $T(\alpha) = \beta$

Now  $\alpha \in U \Rightarrow$  there exist  $c's, d's \in F$  such that

$$\alpha = c_1\alpha_1 + c_2\alpha_2 + \dots + c_k\alpha_k + d_1\theta_1 + d_2\theta_2 + \dots + d_m\theta_m$$

$$\Rightarrow T(\alpha) = T(c_1\alpha_1 + \dots + c_k\alpha_k + d_1\theta_1 + \dots + d_m\theta_m)$$

$$= c_1T(\alpha_1) + \dots + c_kT(\alpha_k) + d_1T(\theta_1) + \dots + d_mT(\theta_m)$$

$$\Rightarrow \beta = d_1T(\theta_1) + d_2T(\theta_2) + \dots + d_mT(\theta_m) \quad (\text{by (1)}) \Rightarrow \beta \in L(S_2)$$

$\therefore S_2$  is a basis of  $R(T)$  and  $\dim R(T) = m$ .

Thus  $\dim R(T) + \dim N(T) = m + k = \dim U$  i.e.,  $r(T) + v(T) = \dim U$ .

#### SOLVED PROBLEMS

**Ex. 1.** If  $T: V_4(R) \rightarrow V_3(R)$  is a linear transformation defined by

$$T(a, b, c, d) = (a-b+c+d, a+2c-d, a+b+3c-3d) \text{ for } a, b, c, d \in R,$$

then verify  $r(T) + v(T) = \dim V_4(R)$

(O.U.M06)

**Sol.** Let  $S = \{(1,0,0,0), (0,1,0,0), (0,0,1,0), (0,0,0,1)\}$  be the basis set of  $V_4(\mathbb{R})$ .

$\therefore$  The transformation  $T$  on  $B$  will be  $T(1,0,0,0) = (1,1,1)$ ,  $T(0,1,0,0) = (-1,0,1)$

$$T(0,0,1,0) = (1,2,3), \quad T(0,0,0,1) = (1,-1,-3)$$

$$T(0,0,1,0) = (1,2,3), \quad T(0,0,0,1) = (1,-1,-3)$$

$$\text{Let } S_1 = \{(1,1,1), (-1,0,1), (1,2,3), (1,-1,-3)\}$$

$$\therefore S_1 \subseteq R(T)$$

Now we verify whether  $S_1$  is L.I. or not. If not, we find the least L.I. set by forming

$$\text{the matrix, } S_1 = \begin{bmatrix} 1 & 1 & 1 \\ -1 & 0 & 1 \\ 1 & 2 & 3 \\ 1 & -1 & -3 \end{bmatrix} \text{ applying } R_2 + R_1, R_3 - R_1, R_4 - R_1$$

$$S_1 \sim \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 1 & 2 \\ 0 & -2 & -4 \end{bmatrix} \text{ Again apply } R_4 + 2R_3, R_3 - R_2, \quad S_1 \sim \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$\therefore$  The non-zero rows of vectors  $\{(1,1,1), (0,1,2)\}$

Constitute the L.I. set forming the basis of  $R(T) \Rightarrow \dim R(T) = 2$

Basis for null space of  $T$ .

$$\alpha \in N(T) \Rightarrow T(\alpha) = \hat{0}$$

$$\therefore T(a, b, c, d) = \hat{0} \text{ where } \hat{0} = (0, 0, 0) \in V_3(\mathbb{R})$$

$$\Rightarrow (a-b+c+d, a+2c-d, a+b+3c-3d) = (0, 0, 0)$$

$$\Rightarrow a-b+c+d=0, \quad a+2c-d=0$$

$a+b+3c-3d=0$ , we have to solve these for  $a, b, c, d$ .

$$\text{Coefficient matrix} = \begin{bmatrix} 1 & -1 & 1 & 1 \\ 1 & 0 & 2 & -1 \\ 1 & 1 & 3 & -3 \end{bmatrix}, \quad \text{by } R_2 - R_1, R_3 - R_1 = \begin{bmatrix} 1 & -1 & 1 & 1 \\ 0 & 1 & 1 & -2 \\ 0 & 2 & 2 & -4 \end{bmatrix}$$

$$\text{by } R_3 - 2R_2, \text{ the echelon form is } \begin{bmatrix} 1 & -1 & 1 & 1 \\ 0 & 1 & 1 & -2 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$\therefore$  The equivalent system of equations are

$$a-b+c+d=0, \quad b+c-2d=0 \quad \Rightarrow b=2d-c, \quad a=d-2c$$

The number of free variables is 2 namely  $c, d$  and the values of  $a, b$  depend on these and hence  $\text{nullity}(T) = \dim N(T) = 2$ .

Choosing  $c=1, d=0$ , we get  $a=-2, b=-1$ ,  $\therefore (a, b, c, d) = (-2, -1, 1, 0)$

Choosing  $c=0, d=1$ , we get  $a=1$ ,  $\therefore (a, b, c, d) = (1, 2, 0, 1)$

$\therefore \{(-2, -1, 1, 0), (1, 2, 0, 1)\}$  constitute a basis of  $N(T)$

$\therefore \dim R(T) + \dim N(T) = 2 + 2 = 4 = \dim V_4(\mathbb{R})$ .

**Ex. 2.** Find a linear transformation  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  whose range is spanned by  $(1, 2, 0, -4), (2, 0, -1, -3)$ .

**Sol** Given  $R(T)$  is spanned by  $\{(1, 2, 0, -4), (2, 0, -1, -3)\}$

Let us include a vector  $(0, 0, 0, 0)$  in this set which will not effect the spanning property so that

$$S = \{(1, 2, 0, -4), (2, 0, -1, -3), (0, 0, 0, 0)\}$$

Let  $B = \{\alpha_1, \alpha_2, \alpha_3\}$  be the basis of  $\mathbb{R}^3$ . We know there exists a transformation  $T$  such that

$$T(\alpha_1) = (1, 2, 0, -4); \quad T(\alpha_2) = (2, 0, -1, -3) \text{ and } T(\alpha_3) = (0, 0, 0, 0)$$

Now if  $\alpha \in \mathbb{R}^3 \Rightarrow \alpha = (a, b, c) = a\alpha_1 + b\alpha_2 + c\alpha_3$

$$\begin{aligned} \therefore T(a, b, c) &= T(a\alpha_1 + b\alpha_2 + c\alpha_3) = aT(\alpha_1) + bT(\alpha_2) + cT(\alpha_3) \\ &= a(1, 2, 0, -4) + b(2, 0, -1, -3) + c(0, 0, 0, 0) \end{aligned}$$

$\therefore T(a, b, c) = (a+2b, 2a, -b, -4a-3b)$  is the required transformation.

**Ex. 3:** Find  $F: \mathbb{R}^3 \rightarrow \mathbb{R}^4$  is a linear transformation whose range is spanned by  $(1, -1, 2, 3)$  and  $(2, 3, -1, 0)$ .

**Sol.** Consider the standard basis for  $\mathbb{R}^3$   $e_1, e_2, e_3$  where  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 1, 0)$ ,  $e_3 = (0, 0, 1)$ . Then  $F(e_1) = (1, -1, 2, 3)$ ,  $F(e_2) = (2, 3, -1, 0)$  and  $F(e_3) = (0, 0, 0, 0)$ .

We know that,  $(x, y, z) = xe_1 + ye_2 + ze_3$

$$\therefore F(x, y, z) = F(xe_1 + ye_2 + ze_3) = xF(e_1) + yF(e_2) + zF(e_3) (\because F \text{ is a linear transformation})$$

$$= (x, -x, 2x, 3x) + (2y, 3y, -y, 0) + (0, 0, 0, 0) = (x+2y, -x+3y, 2x-y, 3x)$$

**Ex. 4.** Let  $V$  be a vector space of  $2 \times 2$  matrices over reals. Let  $P$  be a fixed matrix

of  $V$ ;  $P = \begin{bmatrix} 1 & -1 \\ -2 & 2 \end{bmatrix}$  and  $T: V \rightarrow V$  be a linear operator defined by  $T(A) = PA$ ,  $A \in V$ .

Find the nullity  $T$ .

$$\text{Sol. Let } A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in V$$

The null space  $N(T)$  is the set of all  $2 \times 2$  matrices whose  $T$ -image is  $\hat{0}$

$$\Rightarrow T(A) = PA = \bar{O} \Rightarrow T \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & -1 \\ -2 & 2 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\Rightarrow \begin{bmatrix} a-c & b-d \\ -2a+2c & -2b+2d \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \sim \begin{bmatrix} a-c & b-d \\ a-c & b-d \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\Rightarrow a-c=0, b-d=0 \Rightarrow a=c, b=d,$$

The free variables are  $c$  and  $d$ . Hence  $\dim N(T) = 2$ .

**Ex. 5.** Find the null space, range, rank and nullity of the transformation  $T : R^2 \rightarrow R^3$  defined by  $T(x, y) = (x+y, x-y, y)$ . (S. V. U. S97, S. V. U. O2000)

$$T : R^2 \rightarrow R^3 \text{ defined by } T(x, y) = (x+y, x-y, y). \quad (\bar{O} \in R^3)$$

**Sol.** (i) Let  $\alpha = (x, y) \in R^2$ . Then  $N(T) \Rightarrow T(\alpha) = \bar{O}$

$$\text{i.e., } T(x, y) = (0, 0, 0) \Rightarrow (x+y, x-y, y) = (0, 0, 0)$$

$$\Rightarrow x+y=0, x-y=0, y=0 \Rightarrow x=0, y=0 \quad \therefore \alpha = (0, 0) = (\bar{O} \in R^2)$$

Thus the null space of  $T$  consists of only zero vector of  $R^2$

$$\therefore \text{nullity } T = \dim N(T) = 0$$

(ii) Range space of  $T = \{\beta \in R^2 : T(\alpha) = \beta \text{ for } \alpha \in R^2\}$

$\therefore$  The range space consists of all vectors of the type  $(x+y, x-y, y)$  for all  $(x, y) \in R^2$

$$(iii) \dim R(T) + \dim N(T) = \dim R^2 \Rightarrow \dim R(T) + 0 = 2 \Rightarrow \text{rank of } T = 2.$$

**Ex. 6.** Let  $V(F)$  be a vector space and  $T$  be a linear operator on  $V$ . Prove that the following statements are true

(i) The intersection of the range of  $T$  and null space of  $T$  is the zero subspace of  $T$ .

$$\text{i.e., } R(T) \cap N(T) = \{\bar{O}\}$$

$$(ii) \text{ If } T[T(\alpha)] = \bar{O}, \text{ then } T(\alpha) = \bar{O}$$

**Sol.** (i)  $\Rightarrow$  (ii)

$$\text{Let } R(T) \cap N(T) = \{\bar{O}\}. \quad \text{Let } T(\alpha) = \beta \quad \therefore \beta \in R(T) \quad \dots (1)$$

$$\text{Now } T[T(\alpha)] = \bar{O} \Rightarrow T(\beta) = \bar{O} \Rightarrow \beta \in N(T) \quad \dots (2)$$

$$\text{From (1) and (2)} \quad \beta \in R(T) \cap N(T). \quad \text{But } R(T) \cap N(T) = \{\bar{O}\} \Rightarrow \beta = \bar{O} \Rightarrow T(\alpha) = \bar{O}$$

$$\text{Thus } T[T(\alpha)] = \bar{O} \Rightarrow T(\alpha) = \bar{O}$$

$$(ii) \Rightarrow (i) \text{ Given } T[T(\alpha)] = \bar{O} \Rightarrow T(\alpha) = \bar{O}$$

$$\text{Let } \beta \in R(T) \cap N(T). \quad \therefore \beta \in R(T) \text{ and } \beta \in N(T)$$

$$\text{Now } \beta \in R(T) \Rightarrow T(\alpha) = \beta \text{ for some } \alpha \in V \text{ and } \beta \in N(T) \Rightarrow T(\beta) = \bar{O} \Rightarrow T[T(\alpha)] = \bar{O}$$

$$\Rightarrow T(\alpha) = \bar{O} \Rightarrow \beta = \bar{O}. \quad \text{Thus } R(T) \cap N(T) = \bar{O}$$

**Ex. 7.** Verify the Rank-Nullity theorem for the linear map  $T: V_4 \rightarrow V_3$  defined by  $T(e_1) = f_1 + f_2 + f_3, T(e_2) = f_1 - f_2 + f_3, T(e_3) = f_1, T(e_4) = f_1 + f_3$  when  $\{e_1, e_2, e_3\}$  and  $\{f_1, f_2, f_3\}$  are standard basis  $V_4$  and  $V_3$  respectively (S. V. U. 2001/O)

**Sol:** Let  $e_1 = (1, 0, 0, 0), e_2 = (0, 1, 0, 0), e_3 = (0, 0, 1, 0), e_4 = (0, 0, 0, 1)$  and

$$f_1 = (1, 0, 0), f_2 = (0, 1, 0), f_3 = (0, 0, 1)$$

$\{e_1, e_2, e_3, e_4\}, \{f_1, f_2, f_3\}$  are the standard basis of  $V_4$  and  $V_3$  respectively.

$$\text{we have } T(e_1) = f_1 + f_2 + f_3 \Rightarrow T(1, 0, 0, 0) = (1, 0, 0) + (0, 1, 0) + (0, 0, 1) = (1, 1, 1)$$

$$T(e_2) = f_1 - f_2 + f_3 \Rightarrow T(0, 1, 0, 0) = (1, 0, 0) - (0, 1, 0) + (0, 0, 1) = (1, -1, 1)$$

$$T(e_3) = f_1 \Rightarrow T(0, 0, 1, 0) = (1, 0, 0); \quad T(e_4) = f_1 + f_3 \Rightarrow T(0, 0, 0, 1) = (1, 0, 0) + (0, 0, 1)$$

Let  $\alpha \in V_4$ . The  $\alpha$  can be written as  $\alpha = ae_1 + be_2 + ce_3 + de_4$

$$\text{Then } T(\alpha) = aT(e_1) + bT(e_2) + cT(e_3) + dT(e_4)$$

$$= a(1, 1, 1) + b(1, -1, 1) + c(1, 0, 0) + d(1, 0, 1) = (a+b+c+d, a-b, a+b+d)$$

$$\text{Consider } B = \begin{bmatrix} 1 & 1 & 1 \\ 1 & -1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 1 \end{bmatrix} \quad R_4 = R_4 - R_1 \begin{bmatrix} 1 & 1 & 1 \\ 0 & -2 & 0 \\ 0 & -1 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

$$R_3 = R_3 - R_1 \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix} \quad R_2 = R_2 - R_1 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$R_4 = R_4 + R_1 \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 0 & -1 & -1 \\ 0 & 0 & 0 \end{bmatrix} \quad R_1 + R_3 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix} \quad R_3 - R_1 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$

Then  $\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  is a basis set for  $R(T)$ .

$$\text{Thus } \dim R(T) = 3. \text{ Suppose } T(\alpha) = \bar{O} \Rightarrow (a+b+c+d, a-b, a+b+d) = (0, 0, 0)$$

$$\text{Which gives } a+b+c+d = 0, a-b = 0, a+b+d = 0$$

From this we have  $c = 0, b = a$  and  $d = -2a$

$$\text{Thus } [a, b, c, d] = [1, 1, 0, -2]$$

$\therefore$  Rank of the null space  $N(T) = 1$ .

$\therefore$  Rank of  $T = \dim R(T) = 3$

Nullity =  $\dim N(T) = 1$ .

Dim  $V_4 = 4$

Thus Rank + Nullity = Dimension, is verified

**EXERCISE 3(c)**

- Let  $T: V_4 \rightarrow V_3$  be a linear transformation defined by  $T(\alpha_1) = (1, 1, 1)$ ;  $T(\alpha_2) = (1, -1, 1)$ ;  $T(\alpha_3) = (1, 0, 0)$ ,  $T(\alpha_4) = (1, 0, 1)$ . Then verify that  $\rho(T) + v(T) = \dim V_4$
- Describe explicitly the linear transformation  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  whose range space is spanned by  $\{(1, 0, -1), (1, 2, 2)\}$ .
- Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a linear transformation defined by  $T(x, y, z) = (x + y, y + z)$ . Find a basis, dimension of each of the range and null space of  $T$ .
- Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the linear mapping defined by  $T(x, y, z) = (x + 2y - z, y + z, x + y - 2z)$ . Find the rank, nullity and find a basis for each of the range and null space of  $T$ .
- Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be defined by  $T(x, y, z) = (x - y + 2z, 2x + y - z, -x - 2y)$ . Find the null space of  $T$ .
- $T: V_3(\mathbb{R}) \rightarrow V_2(\mathbb{R})$  is defined by  $T(a, b, c) = (a, b) \quad \forall (a, b, c) \in \mathbb{R}^3$ . Prove that  $T$  is a linear transformation. Find the kernel of  $T$ .
- Let  $V(F)$  be an  $n$  dimensional vector space and let  $T$  be a L.T. from  $V$  into  $V$  such that range and null space of  $T$  are identical. Prove that  $n$  is even.

**4****Vector Space Isomorphism**

**4.1. Definition.** Let  $U(F)$  and  $V(F)$  be two vector spaces. The one-one onto transformation  $T: U \rightarrow V$  is called the isomorphism and is denoted by  $U(F) \cong V(F)$ .

Now we prove some more properties of vector space isomorphism.

**4.2. Theorem.** Two finite dimensional vector spaces  $U$  and  $V$  over the same field  $F$  are isomorphic if and only if they have the same dimension. (S. K. U. M 07)  
i.e.  $U(F) \cong V(F) \Leftrightarrow \dim U = \dim V$

**Proof.** Let  $U(F)$  and  $V(F)$  be finite dimensional and  $U(F) \cong V(F)$ . Then there exists an one-one onto transformation  $T: U \rightarrow V$

To prove that  $\dim U = \dim V$ .

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a basis of  $U$ .  $\therefore \dim U = n$

Let  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  be the set of  $T$ -images of  $S \Rightarrow S' \subseteq V$

(i) To show that  $S'$  is L.I.

Consider the equation  $a_1 T(\alpha_1) + a_2 T(\alpha_2) + \dots + a_n T(\alpha_n) = \hat{0} \quad a's \in F \quad \hat{0} \in V$

$$\Rightarrow T[a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n] = T(\hat{0}) \quad (T \text{ is L.T.}; \hat{0} \in U)$$

$$\Rightarrow a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n = \hat{0} \quad (\because T \text{ is one-one})$$

$$\Rightarrow a_1 = 0, a_2 = 0, \dots, a_n = 0 \quad (\because S \text{ is L.I.})$$

$\Rightarrow S'$  is L.I. set.

(ii) To show that  $L(S') = V$

Let  $\beta \in V$ . Since  $T$  is onto there exists;  $\alpha \in U$  such that  $T(\alpha) = \beta$

But  $\alpha \in U \Rightarrow \alpha = l.c.$  of elements of basis

$$= b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n \quad (b's \in F)$$

$$\Rightarrow T(\alpha) = T(b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n)$$

$$\Rightarrow \beta = b_1 T(\alpha_1) + b_2 T(\alpha_2) + \dots + b_n T(\alpha_n) \quad (T \text{ is L.T.})$$

$$\Rightarrow l.c. \text{ of elements of } S' \Rightarrow \beta \in L(S')$$

$\therefore L(S') = V$ . As  $S'$  is also L.I.;  $S'$  forms a basis of  $V$ .  $\therefore \dim V = n = \dim U$

**Converse.** Let  $\dim U = \dim V$ . To prove  $U \cong V$ .

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $S' = \{\beta_1, \beta_2, \dots, \beta_n\}$  be the basis of  $U$  and  $V$  respectively so that  $\dim U = n = \dim V$ .

$$\therefore \alpha \in U \Rightarrow \alpha = c_1\alpha_1 + c_2\alpha_2 + \dots + c_n\alpha_n \text{ for some } c's \in F$$

$$\therefore \alpha \in U \Rightarrow \alpha = c_1\beta_1 + c_2\beta_2 + \dots + c_n\beta_n = \sum c_i\beta_i$$

Now define  $T: U \rightarrow V$  such that  $T(\alpha) = c_1\beta_1 + c_2\beta_2 + \dots + c_n\beta_n$

(a) To show  $T'$  is one-one

$$\text{Let } \theta \in U \text{ such that, } \theta = d_1\alpha_1 + \dots + d_n\alpha_n \quad d's \in F$$

(by def.)

$$\Rightarrow T'(\theta) = d_1\beta_1 + \dots + d_n\beta_n$$

$$\text{Now } T'(\alpha) = T'(\theta) \Rightarrow c_1\beta_1 + c_2\beta_2 + \dots + c_n\beta_n = d_1\beta_1 + d_2\beta_2 + \dots + d_n\beta_n$$

( $\hat{0} \in V$ )

$$\Rightarrow (c_1 - d_1)\beta_1 + \dots + (c_n - d_n)\beta_n = \hat{0}$$

( $S'$  is L.I.)

$$\Rightarrow c_1 - d_1 = 0, \dots, c_n - d_n = 0$$

$$\Rightarrow c_1 = d_1, \dots, c_n = d_n$$

$$\therefore c_1\alpha_1 + c_2\alpha_2 + \dots + c_n\alpha_n = d_1\alpha_1 + d_2\alpha_2 + \dots + d_n\alpha_n$$

$$\Rightarrow \alpha = \theta \quad \therefore T'$$
 is one-one.

(b) To show  $T'$  is onto

$$\text{For } \delta \in V, \text{ we can express } \delta = c_1\beta_1 + c_2\beta_2 + \dots + c_n\beta_n \text{ for } c's \in F$$

$$\text{If } \gamma = e_1\alpha_1 + e_2\alpha_2 + \dots + e_n\alpha_n \quad \text{by definition } T'(\gamma) = \delta$$

$$\therefore \text{For } \delta \in V \text{ there exists } \gamma \in U \text{ such that } T'(\gamma) = \delta \quad \therefore T'$$
 is onto.

(c) To show that  $T'$  is L.T.

$$\text{Let } a, b \in F \text{ and } \alpha, \theta \in U, \text{ then } T'(a\alpha + b\theta) = T'[a \sum c_i\alpha_i + b \sum d_i\alpha_i]$$

$$= T'[\sum (a c_i + b d_i) \alpha_i] = \sum (a c_i + b d_i) \beta_i \quad (\text{by def.})$$

$$= a \sum c_i \beta_i + b \sum d_i \beta_i = a T'(\alpha) + b T'(\theta)$$

$\therefore T'$  is a linear transformation.

Thus  $T': U \rightarrow V$  is one-one onto linear transformation.

Hence  $T'$  is an isomorphism

$$\therefore U \cong V$$

**Corollary.** The image set of a basis set under an isomorphism is a basis set.

The proof of this statement is the first part of the above proof.

#### 4.3. FUNDAMENTAL THEOREM OF HOMOMORPHISM

**Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  is a onto linear transformation.  $N$  is the null space of  $T$ . Then  $U/N \cong V$

**Proof.**  $N$  is the null space of  $T \Rightarrow N \subseteq U$

$\therefore$  The quotient space,  $U/N = \{N + \alpha : \alpha \in U\}$  is the set of all cosets of  $N$  in  $U$ .

We know that  $(N + \alpha_1) + (N + \alpha_2) = N + (\alpha_1 + \alpha_2) \quad \forall \alpha_i \in U, a(N + \alpha) = N + a\alpha \quad \forall a \in F$

Let a function  $f: U/N \rightarrow V$  be defined such that  $f(N + \alpha) = T(\alpha) \quad \forall \alpha \in U$

Now to prove  $f$  is an homomorphism.

Let  $\alpha_1, \alpha_2 \in U$  and  $a, b \in F$ , then  $f[a(N + \alpha_1) + b(N + \alpha_2)] = f[(N + a\alpha_1) + (N + b\alpha_2)]$

$$= f[(N + (a\alpha_1 + b\alpha_2))] = T(a\alpha_1 + b\alpha_2)$$

$$= aT(\alpha_1) + bT(\alpha_2) = af(N + \alpha_1) + b(N + \alpha_2)$$

$\therefore f$  is a homomorphism.

(i) To prove  $f$  is one-one

$$f(N + \alpha_1) = f(N + \alpha_2) \Rightarrow T(\alpha_1) = T(\alpha_2) \Rightarrow T(\alpha_1) - T(\alpha_2) = \hat{0}$$

$$\Rightarrow T(\alpha_1 - \alpha_2) = \hat{0} \Rightarrow \alpha_1 - \alpha_2 \in N \Rightarrow N + \alpha_1 = N + \alpha_2$$

(ii) To prove  $f$  is onto

Since  $T: U \rightarrow V$  is onto for every  $\beta \in V$ , there exists some  $\alpha \in U$  such that  $T(\alpha) = \beta$

$\therefore$  For this  $\alpha \in U, (N + \alpha) \in U/N$ . Hence  $f(N + \alpha) = T(\alpha) = \beta$ .

$\therefore$  For all  $\beta \in V$ , there exists  $N + \alpha \in U/N$ , so that  $f(N + \alpha) = \beta$

$\Rightarrow f$  is onto, thus  $f$  is one-one homomorphism

$\therefore f$  is an isomorphism from  $U/N$  to  $V$  i.e.  $U/N \cong V$ .

**Note.** In the above theorem, if  $T$  is not given as onto then the statement will be as:

Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a linear transformation.

Then  $\frac{U}{N} \cong T(U)$  where  $N$  is the null space of  $T$ .

#### 4.4. Theorem. Every $n$ -dimensional vector space $V(F)$ is isomorphic to $F^n$ .

(S. K. U. M 07)

**Proof.** Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be the basis of the  $n$ -dimensional vector space  $V(F)$

For  $\alpha \in V$  there exist  $a_1, a_2, \dots, a_n \in F$  such that  $\alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n$

Let a mapping  $T: U \rightarrow F^n$  be defined by  $T(\alpha) = (a_1, a_2, \dots, a_n)$

i.e., then the  $T$ -image of  $\alpha$  is the  $n$ -tuple of the coordinates of  $\alpha$

(i) To show that  $T$  is one-one

For  $\alpha, \beta \in V, a's, b's \in F$

$$\text{Let } \alpha = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n = \sum a_i\alpha_i; \quad \beta = b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n = \sum b_i\alpha_i$$

Now  $T(\alpha) = T(\beta) \Rightarrow (a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n)$   
 $\Rightarrow a_1 = b_1, a_2 = b_2, \dots, a_n = b_n \Rightarrow \sum a_i \alpha_i = \sum b_i \alpha_i \Rightarrow \alpha = \beta$ .  $\therefore T$  is one-one

(ii) To show that  $T$  is onto

For given any  $(c_1, c_2, \dots, c_n) \in F^n$  there exists  $\gamma \in V$  such that  $\gamma = (c_1 \alpha_1 + c_2 \alpha_2 + \dots + c_n \alpha_n)$   
 $\therefore T(\gamma) = (c_1, c_2, \dots, c_n)$  (by def.)  $\therefore T$  is onto

(iii) To show that  $T$  is linear

Let  $a, b \in F$  and  $\alpha, \beta \in V$

$$\begin{aligned} T(a\alpha + b\beta) &= [a \sum a_i \alpha_i + b \sum b_i \alpha_i] = T[\sum (aa_i + bb_i)\alpha_i] \\ &= (aa_1 + bb_1, aa_2 + bb_2, \dots, aa_n + bb_n) \\ &= a(a_1, a_2, \dots, a_n) + b(b_1, b_2, \dots, b_n) = aT(\alpha) + bT(\beta) \end{aligned}$$

$\therefore T$  is a linear transformation.

Thus  $T$  is an isomorphism from  $U$  to  $F^n$  i.e.,  $U \cong F^n$

### SOLVED PROBLEMS

**Ex. 1.** Let  $T_k : V_3(\mathbb{R}) \rightarrow V_3(\mathbb{R})$  defined by  $T(x, y, z) = (x, y, kz)$ ,  $k \neq 0, k \in \mathbb{R}$  show that  $T_k$  is an isomorphism. What about  $T_o$  if  $T_o : V_3(\mathbb{R}) \rightarrow V_3(\mathbb{R})$  defined by  $T(x, y, z) = (x, y, 0)$ .

**Sol.** Let  $\alpha, \beta \in V_3(\mathbb{R})$  where  $\alpha = (x_1, y_1, z_1)$ ,  $\beta = (x_2, y_2, z_2)$

$$\therefore T(\alpha) = (x_1, y_1, z_1), T(\beta) = (x_2, y_2, z_2)$$

$$\begin{aligned} (i) \text{ For } a, b \in \mathbb{R}, T_k(a\alpha + b\beta) &= T_k[a(x_1, y_1, z_1) + b(x_2, y_2, z_2)] \\ &= T_k(ax_1 + bx_2, ay_1 + by_2, az_1 + bz_2) = (ax_1 + bx_2, ay_1 + by_2, k(az_1 + bz_2)) \\ &= (ax_1, ay_1, akz_1) + (bx_2, by_2, bkz_2) = a(x_1, y_1, kz_1) + b(x_2, y_2, kz_2) \\ &= aT_k(\alpha) + bT_k(\beta). \end{aligned}$$

$\therefore T_k$  is a linear transformation

(ii) To prove  $T_k$  is one-one

$$\text{Now } T_k(x_1, y_1, z_1) = T_k(x_2, y_2, z_2) \Rightarrow (x_1, y_1, kz_1) = (x_2, y_2, kz_2)$$

$$\Rightarrow kz_1 = kz_2 \Rightarrow z_1 = z_2 \quad \because k \neq 0$$

$$\Rightarrow (x_1, y_1, z_1) = (x_2, y_2, z_2) \quad \therefore T$$
 is one-one.

(iii) To prove  $T$  is onto

Since  $k \neq 0$ , for every vector  $(x_1, y_1, z_1) \in \mathbb{R}^3$  there exists a vector  $\left(x_1, y_1, \frac{z_1}{k}\right)$

in  $\mathbb{R}^3$  such that  $T_k\left(x_1, y_1, \frac{z_1}{k}\right) = (x_1, y_1, z_1)$   $\therefore T$  is onto.

Thus  $T$  is one-one onto linear transformation from  $\mathbb{R}^3$  onto itself and hence  $T$  is an isomorphism.

Clearly  $T$  is L.T.

$$T_o(x_1, y_1, z_1) = T_o(x_2, y_2, z_2) \Rightarrow (x_1, y_1, 0) = (x_2, y_2, 0)$$

does not  $\Rightarrow (x_1, y_1, z_1) = (x_2, y_2, z_2) \therefore T_o$  is not one-one

Also  $T_o$  is not onto and hence  $T_o$  is not an isomorphism

**Ex. 2.** If  $A$  and  $B$  are subspaces of a vector space  $V$  over a field  $F$ , then prove

$$\text{that } \frac{A+B}{B} \cong \frac{A}{A \cap B}.$$

**Sol.** We know that  $A+B$  is a subspace of  $V$  containing  $B$

$$\therefore \frac{A+B}{B}$$
 is a vector space over  $F$ .

Also  $A \cap B$  is a subspace of  $A$ .  $\therefore \frac{A}{A \cap B}$  is a vector space over  $F$ .

An element of  $\frac{A+B}{B}$  is of the form  $\alpha + \beta + B$  where  $\alpha \in A, \beta \in B$ .

But  $\beta + B = B$ .  $\therefore$  An element of  $\frac{A+B}{B}$  is of the form  $\alpha + B$

$$\text{Define a map } T : A \rightarrow \frac{A+B}{B} \text{ by } T(\alpha) = \alpha + B \forall \alpha \in A$$

Clearly  $T$  is well defined and onto.

$$\text{Let } a, b \in F \text{ and } \alpha_1, \alpha_2 \in A. \quad \therefore a\alpha_1 + b\alpha_2 \in A$$

$$\begin{aligned} \text{Now } T(a\alpha_1 + b\alpha_2) &= (a\alpha_1 + b\alpha_2) + B = (a\alpha_1 + B) + (b\alpha_2 + B) \\ &= a(\alpha_1 + B) + b(\alpha_2 + B) = aT(\alpha_1) + bT(\alpha_2) \end{aligned}$$

$\therefore T$  is a linear transformation.

$$\text{Hence (By Theorem 4.3)} \quad \frac{A}{\text{Ker } T} \cong \frac{A+B}{B}$$

$$\text{Now } \text{Ker } T = \left\{ \alpha \in A / T(\alpha) = 0 \text{ of } \frac{A+B}{B} \right\} = \left\{ \alpha \in A / \alpha + B = B \right\} = A \cap B.$$

$$\text{Thus } \frac{A}{A \cap B} \cong \frac{A+B}{B} \text{ i.e., } \frac{A+B}{B} \cong \frac{A}{A \cap B}$$

### 4.5. DIRECT SUMS

**Definition.** Let  $U_1, \dots, U_n$  be subspaces of a vector space  $V$  ( $F$ ). Then  $V$  is said to be the internal direct sum of  $U_1, \dots, U_n$  if every  $v \in V$  can be written in one and only one way as  $v = u_1 + u_2 + \dots + u_n$  where  $u_i \in U_i \forall i$ .

Now we introduce another concept, known as the external direct sum as follows:

Let  $V_1, \dots, V_n$  be any finite number of vector spaces over a field  $F$ . Let  $V = \{(v_1, \dots, v_n) / v_i \in V_i\}$ . We take two elements  $(v_1, \dots, v_n)$  and  $(v'_1, \dots, v'_n)$  of  $V$  to be equal if and only if for each  $i$ ,  $v_i = v'_i$ . We define addition on  $V$  as  $(v_1, \dots, v_n) + (v'_1, \dots, v'_n) = (v_1 + v'_1, \dots, v_n + v'_n)$ . Finally we define scalar multiplication on  $V$  as  $a(v_1, \dots, v_n) = (av_1, \dots, av_n)$  where  $a \in F$ .

We can easily see that  $V$  is a vector space over  $F$  for the operations defined above (by checking the vector space axioms).  $V$  is called the external direct sum of  $V_1, \dots, V_n$  is denoted by writing  $V = V_1 \oplus \dots \oplus V_n$

**Theorem.** If  $V$  is the internal direct sum of  $U_1, \dots, U_n$ , then  $V \cong U_1 \oplus \dots \oplus U_n$ .

**Proof.** Let  $v \in V$ . Since  $V$  is the internal direct sum of  $U_1, \dots, U_n$ ,  $v$  can be written in one and only one way as  $v = u_1 + \dots + u_n \dots (1)$  where  $u_i \in U_i \forall i$

Define  $T: V \rightarrow U_1 \oplus \dots \oplus U_n$  by  $T(v) = (u_1, \dots, u_n)$

$T$  is well defined since  $v \in V$  has a unique representation of the form (1).

(i) If  $(u'_1 + \dots + u'_n)$  is any element of  $U_1 + \dots + U_n$  then

$v' = u'_1 + \dots + u'_n \in V$  and is such that  $T(v') = (u'_1, \dots, u'_n)$   $\therefore T$  is onto.

(ii) Let  $a, b \in F$  and  $v, v' \in V$

Let  $v = u'_1 + \dots + u'_n$  and  $v' = u'_1 + \dots + u'_n$  where  $v'_i, u'_i \in U_i$ .

Then  $T(v) = T(v')$

$$\Rightarrow (u_1, \dots, u_n) = (u'_1, \dots, u'_n) \Rightarrow u_1 = u'_1, \dots, u_n = u'_n$$

$$\Rightarrow u_1 + \dots + u_n = u'_1 + \dots + u'_n \Rightarrow v = v'$$

(iii) Let  $a, b \in F$  and  $v, v' \in V$   $\therefore av + bv' \in V$

$$\therefore T(av + bv') = T[a(u_1 + \dots + u_n) + b(u'_1 + \dots + u'_n)] \in V$$

$$= T[(au_1 + bu'_1) + \dots + (au_n + bu'_n)] = (au_1 + bu'_1, \dots, au_n + bu'_n)$$

$$= (au_1, \dots, au_n) + (bu'_1, \dots, bu'_n) = a(u_1, \dots, u_n) + b(u'_1, \dots, u'_n)$$

$$= aT(v) + bT(v'). \quad \therefore T$$
 is a homomorphism.

Thus  $T$  is an isomorphism and hence  $V \cong U_1 \oplus \dots \oplus U_n$ .

**Note.** Because of the isomorphism proved above, we shall henceforth merely refer to a direct sum, not qualifying that it be internal or external.

#### 4.6. DIRECT SUM OF TWO SUBSPACES.

**Definition.** Let  $W_1$  and  $W_2$  be two subspaces of the vector space  $V(F)$ . Then  $V$  is said to be the direct sum of the subspaces  $W_1$  and  $W_2$  if every element  $v \in V$  can be uniquely written as  $v = v_1 + v_2$  where  $v_1 \in W_1$  and  $v_2 \in W_2$ .

Thus  $V = W_1 \oplus W_2$  and every element of  $V$  can be uniquely written as sum of an element of  $W_1$  and an element of  $W_2$ .

We denote "V is the direct sum of subspaces  $W_1, W_2$ " as  $V = W_1 \oplus W_2$ .

#### DISJOINT SUBSPACES :

**Definition.** Two subspaces  $W_1$  and  $W_2$  of the vector space  $V(F)$  are said to be disjoint if their intersection is the zero subspace i.e. if  $W_1 \cap W_2 = \{O\}$ .

**Theorem.** The necessary and sufficient conditions for a vector space  $V(F)$  to be a direct sum of its subspaces  $W_1$  and  $W_2$  are that (i)  $V = W_1 + W_2$  and (ii)  $W_1 \cap W_2 = \{O\}$  i.e.  $W_1$  and  $W_2$  are disjoint.

**Proof.** The conditions are necessary.

$V$  is the direct sum of its subspaces  $\Rightarrow$  Each element of  $V$  can be uniquely written as sum of an element of  $W_1$  and an element of  $W_2 \Rightarrow V = W_1 + W_2$ .

If possible, let  $O \neq \alpha \in W_1 \cap W_2$ .

$\therefore \alpha \in W_1, \alpha \in W_2 \Rightarrow \alpha \in V$  and  $\alpha = O + \alpha$  where  $O \in W_1, \alpha \in W_2$

and  $\alpha = \alpha + O$  where  $\alpha \in W_1, O \in W_2$

Thus an element in  $V$  can be written in at least two different ways as sum of an element of  $W_1$  and an element of  $W_2$ . This is a contradiction of the hypothesis. Hence  $O$  is the only element of  $V$  common to both  $W_1$  and  $W_2$  i.e.  $W_1 \cap W_2 = \{O\}$ .

Thus the conditions (i) and (ii) are necessary.

**The conditions are sufficient.**

Let  $V = W_1 + W_2$  and  $W_1 \cap W_2 = \{O\}$

$V = W_1 + W_2 \Rightarrow$  Each element of  $V$  can be written as sum of an element of  $W_1$  and an element of  $W_2 \Rightarrow \alpha = \alpha_1 + \alpha_2$  where  $\alpha \in V$  and  $\alpha_1 \in W_1, \alpha_2 \in W_2$ .

If possible, let  $\alpha = \beta_1 + \beta_2$  where  $\beta_1 \in W_1, \beta_2 \in W_2$ .

$\therefore \alpha_1 + \alpha_2 = \beta_1 + \beta_2 \Rightarrow \alpha_1 - \beta_1 = \beta_2 - \alpha_2 \in W_1 \cap W_2$

Since  $\alpha_1 \in W_1, \beta_1 \in W_1 \Rightarrow \alpha_1 - \beta_1 \in W_1$

$$\alpha_2 \in W_2, \beta_2 \in W_2 \Rightarrow \alpha_2 - \beta_2 \in W_2$$

Since  $W_1 \cap W_2 = \{\vec{0}\}$ ,  $\alpha_1 - \beta_1 = \vec{0} = \alpha_2 - \beta_2 \Rightarrow \alpha_1 = \beta_1$  and  $\alpha_2 = \beta_2$   
 $\Rightarrow \alpha \in V$  is uniquely written as an element of  $W_1$  and an element of  $W_2$   
 $\Rightarrow V = W_1 \oplus W_2$

Thus the conditions (i) and (ii) are sufficient.

### SINGULAR AND NON-SINGULAR TRANSFORMATIONS

#### 4.7. SINGULAR TRANSFORMATION

**Definition.** A linear transformation  $T: U(F) \rightarrow V(F)$  is said to be singular if the null space of  $T$  consists of atleast one non-zero vector.

i.e. If there exists a vector  $\alpha \in U$  such that  $T(\alpha) = \vec{0}$  for  $\alpha \neq \vec{0}$  then  $T$  is singular.

#### 4.8. NON-SINGULAR TRANSFORMATION

**Definition.** A linear transformation  $T: U(F) \rightarrow V(F)$  is said to be non-singular if the null space consists of one zero vector alone.

i.e.,  $\alpha \in U$  and  $T(\alpha) = \vec{0} \Rightarrow \alpha = \vec{0} \Rightarrow N(T) = \{\vec{0}\}$

**4.9. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a linear transformation. Then  $T$  is non-singular if, the set of images of a linearly independent set, is linearly independent.

**Proof.** (i) Let  $T$  be non-singular and let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$

be a L.I. subset of  $U$ . Then its  $T$ -images set be  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$

Now to prove  $S'$  is L.I. For some  $a_1, a_2, \dots, a_n \in F$

$$\text{Let } a_1 T(\alpha_1) + a_2 T(\alpha_2) + \dots + a_n T(\alpha_n) = \vec{0} \quad (\vec{0} \in V)$$

$$\Rightarrow T[a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n] = \vec{0} \quad (\because T \text{ is L.T.})$$

$$\Rightarrow a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n = \vec{0} \quad (\because T \text{ is non-singular})$$

$$\Rightarrow a_1 = 0, a_2 = 0, \dots, a_n = 0 \quad (\because S \text{ is L.I.})$$

Thus  $S'$  is linearly independent.

(ii) Let the  $T$ -image of any L.I. set be L.I., then to prove  $T$  is non-singular.

Let  $\alpha \in U$  and  $\alpha \neq \vec{0}$ . Then the set  $B = \{\alpha\}$  is L.I. set and its image set  $B' = \{T(\alpha)\}$  given to be L.I.

$$\Rightarrow T(\alpha) \neq \vec{0} \quad (\because \{\vec{0}\} \text{ vectors is L.D.})$$

Thus  $\alpha \neq \vec{0} \Rightarrow T(\alpha) \neq \vec{0}$ .  $\therefore T$  is non-singular.

### Vector Space Isomorphism

**4.10. Theorem.** Let  $U(F)$  and  $V(F)$  be two finite dimensional vector spaces. The linear transformation  $T: U \rightarrow V$  is an isomorphism iff  $T$  is non singular.

**Proof.** (i) Let  $T: U(F) \rightarrow V(F)$  be an isomorphism so that  $T$  is one-one onto. To prove  $T$  is non-singular

$$\text{Let } \alpha \in U, \text{ then } T(\alpha) = \vec{0} \Rightarrow T(\alpha) = T(\vec{0}) \quad (\because T(\vec{0}) = \vec{0} \text{ in any L.T.})$$

$$\Rightarrow \alpha = \vec{0} \quad (\text{T is one-one})$$

$\therefore T$  is non-singular

(ii) Let  $T$  be non-singular.

$$\text{i.e. } \alpha \in U, T(\alpha) = \vec{0} \Rightarrow \alpha = \vec{0}; \quad N(T) = \{\vec{0}\}, \therefore \dim N(T) = 0$$

$$\text{For } \alpha_1, \alpha_2 \in U, T(\alpha_1) = T(\alpha_2) \Rightarrow T(\alpha_1) - T(\alpha_2) = \vec{0} \quad [\vec{0} \in V]$$

$$\Rightarrow T(\alpha_1 - \alpha_2) = \vec{0} \quad (\because T \text{ is L.T.})$$

$$\Rightarrow \alpha_1 - \alpha_2 = \vec{0} \Rightarrow \alpha_1 = \alpha_2 \quad (\because T \text{ is non-singular})$$

$\therefore T$  is one-one

$$(iii) \dim U = \dim R(T) + \dim N(T) = \dim R(T) \quad (\because \dim N(T) = 0)$$

Also  $T: U \rightarrow V$  is one-one by (ii)  $\Rightarrow V = R(T)$ .  $\Rightarrow T$  is an onto mapping

Again  $\dim U = \dim V$ . Hence  $T$  is an isomorphism.

### SOLVED PROBLEMS

**Ex. 1.** A linear mapping  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is defined by

$$T(x, y, z) = (x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta, z). \text{ Show that } T \text{ is non-singular.}$$

**Sol.** Let  $T(x, y, z) = \vec{0}$

$$\Rightarrow (x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta, z) = (0, 0, 0) \Rightarrow x \cos \theta - y \sin \theta = 0$$

$$x \sin \theta + y \cos \theta = 0, \quad z = 0$$

$$\text{Squaring and adding } x^2 + y^2 = 0 \Rightarrow x = 0, y = 0$$

$$\text{Thus } T(x, y, z) = \vec{0} \Rightarrow (x, y, z) = (0, 0, 0). \quad \therefore T \text{ is non singular.}$$

**Ex. 2.** Show that a linear transformation  $T: U \rightarrow V$  over the field  $F$  is non-singular if and only if  $T$  is one-one. (S. K. U. M 07, S. U. M 07)

**Sol.** (i) Let  $T$  be non-singular i.e.,  $\alpha \in U, T(\alpha) = \vec{0} \Rightarrow \alpha = \vec{0}$

Now for  $\alpha_1, \alpha_2 \in U, T(\alpha_1) = T(\alpha_2)$

$$\Rightarrow T(\alpha_1) - T(\alpha_2) = \vec{0} \quad (\vec{0} \in V)$$

$$\begin{aligned} \Rightarrow T(\alpha_1 - \alpha_2) &= \bar{0} \\ \Rightarrow \alpha_1 - \alpha_2 &= 0 \\ \Rightarrow \alpha_1 &= \alpha_2 \end{aligned}$$

(∴ T is L.T.)  
(∴ T is non-singular)

∴ T is one-one.

(ii) Let T be one-one

- ∴ zero element  $\bar{0}$  of V is the T - image of only one element  $\in U$ .
- $\Rightarrow$  null space of U consists of only one element.
- $\therefore$  null space  $N(T) \subseteq U$ , it must consist of  $\bar{0}$ .
- $\Rightarrow$  null space  $N(T)$  consists of only one  $\bar{0}$  element.
- $\Rightarrow N(T) = \{\bar{0}\} \Rightarrow T$  is non-singular.

**Ex. 3.** Let  $T: U \rightarrow V$  be a linear transformation of  $U(F)$  into  $V(F)$  where  $U(F)$  is finite dimensional. Prove that  $U$  and the range space of  $T$  have the same dimension iff  $T$  is non-singular.

**Sol.** (i) Let  $\dim U = \dim (\text{Range } T) = \dim R(T)$

$$\therefore \dim U = \dim R(T) + \dim N(T) \Rightarrow \dim N(T) = 0$$

$\Rightarrow$  The null space of T is the zero space  $\{\bar{0}\}$

$\Rightarrow$  Hence T is non-singular

(ii) Let T be non-singular. Then  $N(T) = \{\bar{0}\}$  and nullity T = 0.

$$\text{As } \dim U = \dim R(T) + \dim N(T) = \dim R(T) + 0$$

$$\Rightarrow \dim U = \dim R(T).$$

**Ex. 4.** If  $U$  and  $V$  are finite dimensional vector spaces of the same dimension, then a linear mapping  $T: U \rightarrow V$  is one-one iff it is onto.

**Sol.** T is one-one  $\Leftrightarrow N(T) = \{\bar{0}\} \Leftrightarrow \dim N(T) = 0$

$$\Leftrightarrow \dim R(T) + \dim N(T) = \dim U = \dim V$$

$$\Leftrightarrow R(T) = V \Leftrightarrow T \text{ is onto.}$$

Note. In view of the above examples, we can state the following theorem.

**Theorem.** Let  $U$  and  $V$  are vector spaces of equal (finite) dimension, and let  $T: U \rightarrow V$  is a linear transformation. Then the following are equivalent.

- (a) T is one - to one      (b) T is onto      (c)  $\text{rank}(T) = \dim(U)$

We note that linearity of T is essential in the above theorems.

**Ex. 5.** Let  $T: P_2(R) \rightarrow P_3(R)$  be the linear transformation

$$\text{defined by } T\{f(x)\} = 2f'(x) + \int_0^x f(t) dt$$

$$\begin{aligned} \text{Now } T(1) &= 0 + \int_0^x 3 dt = 3x, \quad T(x) = 2 + \int_0^x 3(t) dt = 2 + \frac{3}{2}x^2, \quad T(x^2) = 4x + \int_0^x 3t^2 dt = 4x + x^3 \\ \therefore R(T) &= \text{span}(\{T(1), T(x), T(x^2)\}) = \text{span}\left(3x, 2 + \frac{3}{2}x^2, 4x + x^3\right) \end{aligned}$$

Since  $\left\{3x, 2 + \frac{3}{2}x^2, 4x + x^3\right\}$  is linearly independent.

We have  $\text{rank}(T) = 3$ . Also  $\dim P_3(R) = 4$ , T is not onto.

From Rank Nullity theorem, nullity  $(T) + 3 = 3$ . Thus nullity  $(T) = 0$ .  
So  $N(T) = \{0\}$ . We have T is one to one.

**Ex. 6.** Let  $T: F^2 \rightarrow F^2$  be the linear transformation defined by  $T(a_1, a_2) = (a_1 + a_2, a_1)$ . We can easily see that  $N(T) = \{0\}$ . Then T is one - to - one. T must be onto.

#### 4.11. INVERSE FUNCTION

**Definition.** Let  $T: U \rightarrow V$  be a one-one onto mapping. Then the mapping  $T^{-1}: U \rightarrow V$  defined by  $T^{-1}(\beta) = \alpha \Leftrightarrow T(\alpha) = \beta$ ,  $\alpha \in U, \beta \in V$  is called the inverse mapping of T.

**Note:** If  $T: U \rightarrow V$  is one-one onto mapping then the mapping  $T^{-1}: V \rightarrow U$  is also one-one onto.

**4.12. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces and  $T: U \rightarrow V$  be a one-one onto linear transformation. Then  $T^{-1}$  is a linear transformation and that T is said to be invertible.

**Proof.** Let  $\beta_1, \beta_2 \in V$  and  $a, b \in F$

Since T is one-one onto function there exist unique vectors  $\alpha_1, \alpha_2 \in U$  such that

$$T(\alpha_1) = \beta_1 \text{ and } T(\alpha_2) = \beta_2. \quad \text{Hence by the definition } T^{-1},$$

$$\text{we have } \alpha_1 = T^{-1}(\beta_1) \text{ and } \alpha_2 = T^{-1}(\beta_2). \text{ Also } \alpha_1, \alpha_2 \in U \text{ and } a, b \in F \Rightarrow a\alpha_1 + b\alpha_2 \in U$$

$$\therefore T(a\alpha_1 + b\alpha_2) = aT(\alpha_1) + bT(\alpha_2) = a\beta_1 + b\beta_2 \quad (\because T \text{ is L.T.})$$

$$\therefore \text{By the definition of inverse } T^{-1}(a\beta_1 + b\beta_2) = a\alpha_1 + b\alpha_2 = aT^{-1}(\beta_1) + bT^{-1}(\beta_2)$$

$\therefore T^{-1}$  is a linear transformation from V into U.

**4.13. Theorem.** A linear transformation T on a finite dimensional vector space is invertible if and only if T is non-singular.

**Proof.** Let  $U(F)$  and  $V(F)$  be two vector spaces.

Let  $T: U \rightarrow V$  be a linear transformation.

(i) Let  $T$  be non-singular i.e. For  $\alpha \in U, T(\alpha) = \bar{0} \Rightarrow \alpha = \bar{0}$

Now to prove  $T$  is invertible, it is enough to show that  $T$  is one-one onto. For this refer proof of theorem 4.8.

(ii) Let  $T$  be invertible so that  $T$  is one-one onto. Now to prove  $T$  is non-singular.

$$\text{For } \alpha \in U, T(\alpha) = \bar{0} = T(\bar{0}) \quad (\because T \text{ is L.T.})$$

$$\Rightarrow \alpha = \bar{0} \quad T \text{ is one-one.} \quad \therefore T \text{ is non-singular.}$$

**4.14. Theorem.** Let  $U(F)$  and  $V(F)$  be two finite dimensional vector spaces such that  $\dim U = \dim V$ . If  $T: U \rightarrow V$  is a linear transformation then the following are equivalent :-

(1)  $T$  is invertible

(2)  $T$  is non-singular

(3) The range of  $T$  is  $V$

(4) If  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is any basis of  $U$ , then  $\{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  is a basis of  $V$ .

(5) There is some basis  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  of  $U$  such that  $\{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  is a basis of  $V$ .

**Proof.** Here we shall prove a series of implications

viz., (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Rightarrow$  (5)  $\Rightarrow$  (1)

Now (1)  $\Rightarrow$  (2)

If  $T$  is invertible then  $T$  is one-one and therefore  $T(\alpha) = \bar{0} = T(\bar{0}) \Rightarrow \alpha = \bar{0}$ .

Hence  $T$  is nonsingular.

(ii)  $\Rightarrow$  (iii)

Let  $T$  be non-singular. Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a basis of  $U$ .

Then  $S$  is L.I. set. Since  $T$  is non-singular the set  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  is a linearly independent set in  $V$ . But  $\dim V = n$ , hence the set  $S'$  is a basis of  $V$ . Then a vector  $\beta \in V$  can be expressed as  $\beta = a_1 T(\alpha_1) + a_2 T(\alpha_2) + \dots + a_n T(\alpha_n)$  for some  $a_i$ 's  $\in F$

$$= T[a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n] = T(\alpha), \quad \alpha \in U \quad (\because T \text{ is L.T.})$$

$\Rightarrow \beta \in \text{range of } T$ .

Thus every vector in  $V$  is in the range of  $T$ .  $\therefore R(T) = V$

(3)  $\Rightarrow$  (4)

Let the range of  $T$  be  $V$  i.e.  $T$  is onto. If  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis of  $U$ , the  $T$ -images of these vectors  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  span the range of  $T$  i.e.  $V$ .

$\therefore L(S') = V$ .

Since  $S'$  is a L.I. set of  $n$  vectors and  $\dim V = n$ , the set  $S'$  is a basis of  $V$ .

(4)  $\Rightarrow$  (5). This is obvious in the above proof. (5)  $\Rightarrow$  (1)

Let  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be a basis of  $U$  such that  $S' = \{T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)\}$  is a basis of  $V$ .

Since  $L(S') = R(T)$  it is clear that  $R(T) = V$ . i.e.  $T$  is onto.

Let  $\alpha \in \text{null space of } T$  i.e.  $N(T)$  then  $\alpha \in U$

$$\therefore \alpha = b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n \text{ for some } b_i \text{'s } \in F. \quad \text{Hence } T(\alpha) = \bar{0}.$$

$$\Rightarrow T[b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n] = \bar{0}$$

$$\Rightarrow b_1 T(\alpha_1) + b_2 T(\alpha_2) + \dots + b_n T(\alpha_n) = \bar{0} \quad (\because T \text{ is L.T.})$$

$$\Rightarrow b_1 = 0, b_2 = 0, \dots, b_n = 0 \Rightarrow \alpha = \bar{0} \quad (\because S' \text{ is L.I.})$$

$\therefore$  This shows that  $T$  is non-singular and one-one. Hence  $T$  is invertible.

### SOLVED PROBLEMS

**Ex. 1.** If  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is invertible operator defined by

$$T(x, y, z) = (2x, 4x - y, 2x + 3y - z). \quad \text{Find } T^{-1}.$$

(S. V. U. M 07)

**Sol.** Since  $T$  is invertible.  $T(x, y, z) = (a, b, c) \Rightarrow T^{-1}(a, b, c) = (x, y, z)$

$$\therefore (2x, 4x - y, 2x + 3y - z) = (a, b, c) \Rightarrow 2x = a, 4x - y = b, 2x + 3y - z = c$$

$$\text{Solving } x = \frac{a}{2}, y = 2a - b, z = 7a - 3b - c. \quad \text{Hence } T^{-1}(a, b, c) = \left( \frac{a}{2}, 2a - b, 7a - 3b - c \right)$$

**Ex. 2.** The set  $\{e_1, e_2, e_3\}$  is the standard basis of  $V_3(\mathbb{R})$ .  $T: V_3(\mathbb{R}) \rightarrow V_3(\mathbb{R})$  is a linear operator defined by  $T(e_1) = e_1 + e_2$ ,  $T(e_2) = e_2 + e_3$ ,  $T(e_3) = e_1 + e_2 + e_3$ . Show that  $T$  is non-singular and find its inverse.

(S. K. U. S01)

**Sol.** Let  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 1, 0)$ ,  $e_3 = (0, 0, 1)$

$$\text{Now } T: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \text{ is defined by } T(e_1) = e_1 + e_2 \Rightarrow T(1, 0, 0) = (1, 1, 0);$$

$$T(e_2) = e_2 + e_3 \Rightarrow T(0, 1, 0) = (0, 1, 1); \quad T(e_3) = e_1 + e_2 + e_3 \Rightarrow T(0, 0, 1) = (1, 1, 1)$$

Let  $\alpha = (x, y, z) \in V_3(\mathbb{R})$

$$\therefore \alpha = x(1, 0, 0) + y(0, 1, 0) + z(0, 0, 1)$$

$$\therefore T(\alpha) = xT(1, 0, 0) + yT(0, 1, 0) + zT(0, 0, 1) = x(1, 1, 0) + y(0, 1, 1) + z(1, 1, 1)$$

$\therefore$  The transformation is given by  $T(x, y, z) = (x+z, x+y+z, y+z)$

Now If  $T(x, y, z) = \bar{0}$ , then  $(x+z, x+y+z, y+z) = (0, 0, 0)$

$$\Rightarrow x+z=0, x+y+z=0, y+z=0 \Rightarrow x=y=z=0.$$

$\therefore T(\alpha) = \bar{0} \Rightarrow \alpha = \bar{0}$ . Hence  $T$  is non-singular and therefore  $T^{-1}$  exists.

Let  $T(x, y, z) = (a, b, c) \Rightarrow (x+z, x+y+z, y+z) = (a, b, c)$

$$\Rightarrow x+z=a \quad \text{Solving } x=b-c \\ x+y+z=b, y+z=c, y=b-a, z=a-b+c \\ \therefore T^{-1}(a,b,c) = (x,y,z) = (b-c, b-a, a-b+c)$$

**Ex. 3.** A linear transformation  $T$  is defined on  $V_3(C)$  by  $T(a,b) = (a\alpha + b\beta, a\gamma + b\delta)$  where  $\alpha, \beta, \gamma, \delta$  are fixed elements of  $C$ . Prove that  $T$  is invertible if and only if  $\alpha\delta - \beta\gamma \neq 0$ .

**Sol.**  $T: V_2(C) \rightarrow V_2(C)$  is a L.T. and  $\dim V_2(C) = 2$

$T$  is invertible if and only if  $T$  is one-one onto.

$T$  is onto iff the range of  $T$  is the whole set  $V_2$  i.e.  $R(T) = V_2(C)$

Now  $S = \{(1,0), (0,1)\}$  is a basis of  $V_2 \Rightarrow L(S) = V_2$ .

$$T(1,0) = (1, \alpha + 0, \beta, 1, \gamma + 0, \delta) = (\alpha, \gamma); T(0,1) = (0, \alpha + 1, \beta, 0, \gamma + 1, \delta) = (\beta, \delta)$$

$\therefore T$  is invertible iff  $S' = \{(\alpha, \gamma), (\beta, \delta)\}$  span  $V_2(C)$ .

As  $\dim V_2(C) = 2$ , the set  $S'$  containing two vectors will span  $V_2$  if  $S'$  is L.I.

$$\text{For } x, y \in C, x(\alpha, \gamma) + y(\beta, \delta) = (0,0) \Rightarrow x\alpha + y\beta = 0, x\gamma + y\delta = 0$$

$$\Rightarrow (x\alpha + y\beta, x\gamma + y\delta) = (0,0) \Rightarrow x\alpha + y\beta = 0, x\gamma + y\delta = 0$$

These equations will have the only solution  $x=0, y=0$  iff

$$\begin{vmatrix} \alpha & \beta \\ \gamma & \delta \end{vmatrix} \neq 0 \text{ i.e., } \alpha\delta - \beta\gamma \neq 0$$

$\therefore T$  is invertible  $\Leftrightarrow \alpha\delta - \beta\gamma \neq 0$

#### EXERCISE 4

- Show that each of the following linear operators  $T$  on  $R^3$  is invertible and find  $T^{-1}$ .
  - $T(x, y, z) = (2x, 4x-y, 2x+3y-z)$
  - $T(a, b, c) = (a-3b-2c, b-4c, c)$
  - $T(a, b, c) = (3a, a-b, 2a+b+c)$  (S. V. U. S97)
  - $T(x, y, z) = (x+y+z, y+z, z)$
  - $T(a, b, c) = (a-2b-c, b-c, a)$
- The set  $\{e_1, e_2, e_3\}$  is the standard basis set of  $V_3(R)$ . The linear operator  $T: R^3 \rightarrow R^3$  is defined below. Show that  $T$  is invertible and find  $T^{-1}$ 
  - $T(e_1) = e_1 + e_2, T(e_2) = e_1 - e_2 + e_3, T(e_3) = 3e_1 + 4e_3$
  - $T(e_1) = e_1 - e_2, T(e_2) = e_2, T(e_3) = e_1 + e_2 - 7e_3$
  - $T(e_1) = e_1 - e_2 + e_3, T(e_2) = 3e_1 - 5e_3, T(e_3) = 3e_1 - 2e_3$
- Let  $T: U \rightarrow V$  be a non-singular linear transformation then prove that  $(T^{-1})^{-1} = T$ .

**4.15. Theorem.** The necessary and sufficient condition for a linear operator  $T$  on a vector space  $V(F)$  to be invertible is that there exists a linear transformation  $H$  on  $V$  such that  $TH = HT = I$ .

**Proof.** Given  $T: V(F) \rightarrow V(F)$  is a L.T.

(i) Let  $T$  be invertible. Hence  $T^{-1}$  exists and is one-one onto.

Let  $\alpha \in V$  and  $T(\alpha) = \beta$  so that  $\alpha = T^{-1}(\beta)$

$$(TT^{-1})(\beta) = T[T^{-1}(\beta)] = T(\alpha) = \beta = I(\beta) \Rightarrow TT^{-1} = I$$

$$\text{Again } (T^{-1}T)(\alpha) = T^{-1}[T(\alpha)] = T^{-1}(\beta) = \alpha = I(\alpha) \Rightarrow T^{-1}T = I$$

$$\therefore T^{-1}T = T^{-1}T = I$$

Taking  $H = T^{-1}$  we get  $TH = HT = I$  such that  $H: V \rightarrow V$  is one-one onto L.T.

(ii) Let there exist a linear operator  $H: V \rightarrow V$  such that  $TH = HT = I$ .

To prove  $T$  is invertible.

$$\text{For } \alpha_1, \alpha_2 \in V \quad T(\alpha_1) = T(\alpha_2)$$

$$\Rightarrow H[T(\alpha_1)] = H[T(\alpha_2)] \Rightarrow (HT)(\alpha_1) = (HT)(\alpha_2)$$

$$\Rightarrow I(\alpha_1) = I(\alpha_2) \Rightarrow \alpha_1 = \alpha_2$$

$\therefore T$  is one-one

For  $\beta \in V$  there exists  $\alpha \in V$  such that  $H(\beta) = \alpha$   $(\because H: V \rightarrow V)$

$$\Rightarrow TH(\beta) = T(\alpha) \Rightarrow I(\beta) = T(\alpha) \Rightarrow \beta = T(\alpha)$$

$\therefore$  For any  $\alpha \in V$  there exists  $\beta \in V$  such that  $T(\alpha) = \beta$ , therefore  $T$  is onto.

Thus  $T$  is one-one onto and hence  $T$  is invertible.

#### 4.16. UNIQUENESS OF INVERSE

**Theorem.** Let  $T$  be an invertible linear operator on a vector space  $V(F)$ . Then  $T$  possesses unique inverse.

**Proof.** Let  $H$  and  $G$  be two inverses of  $T$ . Then

$$HT = TH = I \text{ and } GT = TG = I$$

$$\text{Now } G(TH) = GI = G. \quad \therefore \text{ again } G(TH) = (GT)H = IH = H$$

Since product of linear transformations is associative

$$\therefore G = G(TH) = (GT)H = H \Rightarrow G = H. \quad \text{Hence the inverse of } T \text{ is unique.}$$

**4.17. Theorem.** Let  $T$  be an invertible operator on a vector space  $V(F)$ . Then show that (i) a  $T$  is invertible linear operator, where  $a \neq 0$  and  $a \in F$ .

$$(ii) (aT)^{-1} = \frac{1}{a} T^{-1} \quad (iii) T^{-1} \text{ is invertible and } (T^{-1})^{-1} = T$$

**Proof.** T is an invertible operator. T is one-one onto and  $TT^{-1} = T^{-1}T = I$

$$\text{For } a \neq 0 \text{ and } a \in F \Rightarrow a^{-1} = \frac{1}{a} \in F$$

T is a linear operator  $\Rightarrow (aT)$  is a linear operator.

$$\text{Also } (aT)(a^{-1}T^{-1}) = a[T(a^{-1}T^{-1})] = a[a^{-1}(TT^{-1})] = (aa^{-1})(TT^{-1}) = 1 \cdot I = I$$

$$\text{For } \alpha_1, \alpha_2 \in F \quad (aT)(\alpha_1) = (aT)(\alpha_2)$$

$$\Rightarrow aT(\alpha_1) = aT(\alpha_2) \Rightarrow T(a\alpha_1) = T(a\alpha_2)$$

$$\Rightarrow a\alpha_1 = a\alpha_2 \Rightarrow \alpha_1 = \alpha_2 \quad (\because T \text{ is one-one})$$

$$\therefore aT \text{ is one-one.} \quad \text{Also } T \text{ is onto } \Rightarrow aT \text{ is onto.}$$

Hence  $(aT)$  is invertible operator. For  $\alpha, \beta \in V$  and  $c, d \in F$

$$\begin{aligned} (aT)(c\alpha + d\beta) &= aT(c\alpha + d\beta) = T(ac\alpha + ad\beta) \\ &= acT(\alpha) + adT(\beta) = c(aT)(\alpha) + d(aT)(\beta) \end{aligned}$$

Hence  $(aT)$  is a linear transformation.

Thus  $(aT)$  is a one-one onto linear operator on the vector space  $V(F)$ .

Hence  $(aT)$  is invertible.

(ii) Since  $TT^{-1} = T^{-1}T = I$

$$\text{we have } (aa^{-1})(TT^{-1}) = (a^{-1}a)(T^{-1}T) = I \quad (\because aa^{-1} = a^{-1}a = 1)$$

$$\Rightarrow (aT)(a^{-1}T^{-1}) = (a^{-1}T^{-1})(aT) = I \quad \Rightarrow (aT)^{-1} = a^{-1}T^{-1} = \frac{1}{a}T^{-1}$$

(iii) Again  $TT^{-1} = T^{-1}T = I$

$$\Rightarrow \text{that the inverse of } T^{-1} \text{ is } T. \text{ i.e. } (T^{-1})^{-1} = T$$

**4.18. Theorem.** If T and H are invertible linear operators on a vector space  $V(F)$  then show that TH is invertible and  $(TH)^{-1} = H^{-1}T^{-1}$

**Proof.** Given T and H are invertible  $\Rightarrow T^{-1}, H^{-1}$  exist and  $TT^{-1} = T^{-1}T = I$

$$HH^{-1} = H^{-1}H = I$$

$$\text{Now } (H^{-1}T^{-1})(TH) = H^{-1}(T^{-1}T)H = H^{-1}IH = H^{-1}H = I$$

$$\text{Again } (TH)(H^{-1}T^{-1}) = T(HH^{-1})T^{-1} = TIT^{-1} = TT^{-1} = I$$

$$\text{Thus } (TH)(H^{-1}T^{-1}) = (H^{-1}T^{-1})(TH) = I \Rightarrow (TH)^{-1} = H^{-1}T^{-1}$$

$\therefore TH$  is invertible and  $(TH)^{-1} = H^{-1}T^{-1}$

### SOLVED PROBLEMS

**Ex. 1.** If A, B, C are linear transformations on a vector space V (F) such that  $AB = CA = I$  then show that A is invertible and  $A^{-1} = B = C$

**Sol.** (i) To prove  $A^{-1}$  exists

$$\text{For } \alpha_1, \alpha_2 \in V \quad A(\alpha_1) = A(\alpha_2) \Rightarrow CA(\alpha_1) = CA(\alpha_2)$$

$$\Rightarrow I(\alpha_1) = I(\alpha_2) \Rightarrow \alpha_1 = \alpha_2 \quad \therefore A \text{ is one one.}$$

Let  $\beta \in V$ . Since  $B: V \rightarrow V$ , then  $B(\beta) \in V$

$$\text{For some } \alpha \in V \text{ let } B(\beta) = \alpha \Rightarrow A[B(\beta)] = A(\alpha) \Rightarrow (AB)(\beta) = A(\alpha)$$

$$\Rightarrow I(\beta) = A(\alpha) \Rightarrow \beta = A(\alpha)$$

Thus for some  $\beta \in V$  there exists  $\alpha \in V$  such that  $A(\alpha) = \beta$ .  $\therefore A$  is onto

Thus A is one one onto  $\Rightarrow A^{-1}$  exists.

(ii) To prove  $A^{-1} = B = C$

$$\text{Now } AB = I \Rightarrow A^{-1}(AB) = A^{-1}I \Rightarrow (A^{-1}A)B = A^{-1} \Rightarrow IB = A^{-1} \Rightarrow B = A^{-1}$$

$$\text{Again } CA = I \Rightarrow (CA)A^{-1} = IA^{-1} \Rightarrow C(AA^{-1}) = A^{-1} \Rightarrow CI = A^{-1} \Rightarrow C = A^{-1}$$

$$\text{Hence } A^{-1} = B = C.$$

**Ex. 2.** If T is a linear operator on a vector space V (F) such that  $T^2 - T + I = 0$ , then show that T is invertible.

**Sol.** If  $T^2 - T + I = 0$ , then  $T - T^2 = I$

(i) To prove T is one one

$$\text{Let } \alpha_1, \alpha_2 \in V, \text{ then } T(\alpha_1) = T(\alpha_2) \quad \dots (1)$$

$$\Rightarrow T[T(\alpha_1)] = T[T(\alpha_2)] \Rightarrow T^2(\alpha_1) = T^2(\alpha_2) \quad \dots (2)$$

$$\text{Subtracting (2) from (1)} \Rightarrow T(\alpha_1) - T^2(\alpha_1) = T(\alpha_2) - T^2(\alpha_2)$$

$$\Rightarrow (T - T^2)(\alpha_1) = (T - T^2)(\alpha_2) \Rightarrow I(\alpha_1) = I(\alpha_2) \Rightarrow \alpha_1 = \alpha_2$$

$\therefore T$  is one one

(ii) To prove T is onto

$$\text{For } \alpha \in V, T(\alpha) \in V \text{ and } \alpha - T(\alpha) \in V$$

$$\text{Now } T[\alpha - T(\alpha)] = T(\alpha) - T^2(\alpha) = (T - T^2)(\alpha) = I(\alpha) = \alpha$$

Thus for  $\alpha \in V$  there  $\alpha - T(\alpha) \in V$  such that  $T[\alpha - T(\alpha)] = \alpha$

$\therefore T$  is onto. Thus T is one one onto and hence invertible.

**Ex. 3.** If  $A$  and  $B$  are linear transformations on a finite dimensional vector space  $V(F)$  and if  $A \circ B = I$ , then show that  $A$  and  $B$  are invertible.

**Sol.** (i) To prove  $B$  is invertible

Let  $\alpha_1, \alpha_2 \in V(F)$ , then  $B(\alpha_1) = B(\alpha_2)$

$$\Rightarrow A[B(\alpha_1)] = A[B(\alpha_2)] \Rightarrow (AB)(\alpha_1) = (AB)(\alpha_2)$$

$$\Rightarrow I(\alpha_1) = I(\alpha_2) \Rightarrow \alpha_1 = \alpha_2 \quad \therefore B \text{ is one-one.}$$

Since  $B$  is a L.T on a finite dimensional vector space such that  $B$  is one-one,  $B$  will be onto.

Now  $B$  is one-one onto  $\Rightarrow B$  is invertible  $\Rightarrow B^{-1}$  exists

(ii) To prove  $A$  is invertible

$$\text{Now } AB = I \Rightarrow (AB)B^{-1} = IB^{-1} \Rightarrow A(BB^{-1}) = B^{-1}$$

$$\Rightarrow A \circ I = B^{-1} \Rightarrow A = B^{-1}$$

$$\text{Hence } B^{-1}B = BB^{-1} = I \Rightarrow AB = BA = I$$

$$\Rightarrow A \text{ is invertible and } A^{-1} = B.$$

**Ex. 4.** Let  $A = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B = \{\beta_1, \beta_2, \dots, \beta_n\}$  be two ordered bases of a finite dimensional vector space  $V(F)$ . Prove that there exists an invertible linear operator  $T$  on  $V$  such that  $T(\alpha_i) = \beta_i$ .

**Sol.** Already we have proved in a previous theorem that there exists a linear transformation  $T$  on  $V$  such that  $T(\alpha_i) = \beta_i$  for  $i = 1, 2, \dots, n$ .

Now we prove that  $T$  is invertible. This is equivalent to proving  $T$  is non-singular.

For  $\alpha \in V \Rightarrow \alpha = l.c. \text{ of elements of } A$ .

$$= a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n \text{ for } a's \in F \quad \therefore T(\alpha) = \bar{0}$$

$$\Rightarrow T(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n) = \bar{0} \quad \Rightarrow a_1T(\alpha_1) + a_2T(\alpha_2) + \dots + a_nT(\alpha_n) = \bar{0}$$

$$\Rightarrow a_1\beta_1 + a_2\beta_2 + \dots + a_n\beta_n = \bar{0} \quad (\because T(\alpha_i) = \beta_i)$$

$$\Rightarrow a_1 = 0, a_2 = 0, \dots, a_n = 0 \Rightarrow \alpha = \bar{0} \quad (\because B \text{ is L.I.})$$

Thus  $T(\alpha) = \bar{0} \Rightarrow \alpha = \bar{0} \quad \therefore T$  is non-singular and hence invertible.

## 5

### Matrix of Linear Transformation

**5.1.** Let  $U(F)$  and  $V(F)$  be two vector spaces so that  $\dim U = n$  and  $\dim V = m$ . Let  $T: U \rightarrow V$  be a linear transformation.

Let  $B_1 = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  be the ordered basis of  $U$ , and  $B_2 = \{\beta_1, \beta_2, \dots, \beta_m\}$  be the ordered basis of  $V$ .

For every  $\alpha \in U \Rightarrow T(\alpha) \in V$  and  $T(\alpha)$  can be expressed as a l.c. of elements of the basis  $B_2$ . Let there exist  $a$ 's  $\in F$  such that

$$\left. \begin{aligned} T(\alpha_1) &= a_{11}\beta_1 + a_{12}\beta_2 + \dots + a_{1n}\beta_m, & T(\alpha_2) &= a_{12}\beta_1 + a_{22}\beta_2 + \dots + a_{2n}\beta_m \\ &\dots & \dots & \dots \\ &\dots & \dots & \dots \\ &\dots & \dots & \dots \\ &a_{11} &a_{12} &\dots &a_{1j} &\dots &a_{1n} \\ &\dots &\dots &\dots &\dots &\dots &\dots \\ &a_{m1} &a_{m2} &\dots &a_{mj} &\dots &a_{mn} \end{aligned} \right\} \quad \dots \quad (A)$$

Writing the coordinates of  $T(\alpha_1), T(\alpha_2), \dots, T(\alpha_n)$  successively as columns of a matrix

$$\text{we get } \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1j} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2j} & \dots & a_{2n} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ a_{i1} & a_{i2} & \dots & a_{ij} & \dots & a_{in} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ a_{m1} & a_{m2} & \dots & a_{mj} & \dots & a_{mn} \end{bmatrix}_{m \times n}$$

This matrix represented as  $[a_{ij}]_{m \times n}$  is called the matrix of the linear transformation  $T$  w.r.t. to the bases  $B_1$  and  $B_2$ . Symbolically  $[T : B_1, B_2]$  or  $[T] = [a_{ij}]_{m \times n}$

Thus the matrix  $[a_{ij}]_{m \times n}$  completely determines the linear transformation through the relations given in (A). Hence the matrix  $[a_{ij}]_{m \times n}$  represents the transformation  $T$ .

**Note.** Let  $T: V \rightarrow V$  be the linear operator so that  $\dim V = n$ .

If  $B_1 = B_2 = B$  (say) then the above said matrix is called the matrix of  $T$  relative to the ordered basis  $B$ . It is denoted as  $[T : B] = [T]_B = [a_{ij}]_{n \times n}$ .

**5.2. Corollary.** Let  $V(F)$  be an  $n$  dimensional vector space for which  $B$  is a basis. Show that

(i)  $[I:B] = I_{n \times n}$  (ii)  $[O:B] = O_{n \times n}$  where  $I$  and  $O$  are the identity and zero transformations on  $V$ , respectively.

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$

$$(i) I(\alpha_1) = 1 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 0 \cdot \alpha_n, \quad I(\alpha_2) = 0 \cdot \alpha_1 + 1 \cdot \alpha_2 + \dots + 0 \cdot \alpha_n$$

$$\dots \quad \dots, \quad I(\alpha_n) = 0 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 1 \cdot \alpha_n$$

$\therefore$  The matrix is the unit matrix

$$I_{n \times n} = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}_{n \times n} = [\delta_{ij}]_{n \times n}; \text{ Kronecker delta } \begin{cases} \delta_{ij} = 1, i = j \\ \delta_{ij} = 0, i \neq j \end{cases}$$

$$(ii) \text{ Now } O(\alpha_1) = \bar{O} = 0 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 0 \cdot \alpha_n, \quad O(\alpha_2) = \bar{O} = 0 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 0 \cdot \alpha_n$$

$$\dots \quad \dots, \quad O(\alpha_n) = \bar{O} = 0 \cdot \alpha_1 + 0 \cdot \alpha_2 + \dots + 0 \cdot \alpha_n$$

$$\therefore \text{The matrix of zero transformation } [O : B] = \begin{bmatrix} 0 & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 0 \end{bmatrix} = O_{n \times n}$$

### SOLVED PROBLEMS

**Ex. 1.** Let  $T: V_2 \rightarrow V_3$  be defined by  $T(x, y) = (x+y, 2x-y, 7y)$ . Find  $[T : B_1, B_2]$  where  $B_1$  and  $B_2$  are the standard bases of  $V_2$  and  $V_3$ . (S. K. U. M 07)

**Sol.**  $B_1$  is the standard basis of  $V_2$  and  $B_2$  that of  $V_3$

$$\therefore B_1 = \{(1, 0), (0, 1)\} \quad B_2 = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$$

$$\therefore T(1, 0) = (1, 2, 0) = 1(1, 0, 0) + 2(0, 1, 0) + 0(0, 0, 1)$$

$$T(0, 1) = (1, -1, 7) = 1(1, 0, 0) - 1(0, 1, 0) + 7(0, 0, 1)$$

$$\therefore \text{The matrix of } T \text{ relative to } B_1 \text{ and } B_2 \text{ is } [T : B_1, B_2] = \begin{bmatrix} 1 & 1 \\ 2 & -1 \\ 0 & 7 \end{bmatrix}$$

**Ex. 2.** Let  $T: R^3 \rightarrow R^2$  be the linear transformations defined by

$$T(x, y, z) = (3x+2y-4z, x-5y+3z). \text{ Find the matrix of } T \text{ relative to the bases}$$

$$B_1 = \{(1, 1, 1), (1, 1, 0), (1, 0, 0)\}, B_2 = \{(1, 3), (2, 5)\}$$

**Sol.** Let  $(a, b) \in R^2$  and let  $(a, b) = p(1, 3) + q(2, 5) = (p+2q, 3p+5q)$

$$\Rightarrow p+2q = a, \quad 3p+5q = b.$$

$$\text{Solving } p = -5a+2b, \quad q = 3a-b$$

$$\therefore (a, b) = (-5a+2b)(1, 3) + (3a-b)(2, 5)$$

$$\text{Now } T(1, 1, 1) = (1, -1) = -7(1, 3) + 4(2, 5), \quad T(1, 1, 0) = (5, -4) = -33(1, 3) + 19(2, 5),$$

$$T(1, 0, 0) = (3, 1) = -13(1, 3) + 8(2, 5)$$

$\therefore$  The matrix of L. T. relative to  $B_1$  and  $B_2$ .

$$[T : B_1, B_2] = \begin{bmatrix} -7 & -33 & -13 \\ 4 & 19 & 8 \end{bmatrix}$$

**Ex. 3.** If the matrix of a linear transformation  $T$  on  $V_3(R)$  w.r. to the standard basis is  $\begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & -1 \\ -1 & -1 & 0 \end{bmatrix}$  what is the matrix of  $T$  w.r.to the basis.  $\{(0,1,-1), (1,-1,1), (-1,1,0)\}$

**Sol.** (i) Let the standard basis of  $V_3(R)$  be  $B = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$

$$\text{Let } \alpha_1 = (1, 0, 0), \alpha_2 = (0, 1, 0), \alpha_3 = (0, 0, 1). \quad \therefore \text{ Given } [T]_B = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & -1 \\ -1 & -1 & 0 \end{bmatrix}$$

$$\therefore T(\alpha_1) = 0\alpha_1 + 1\alpha_2 + (-1)\alpha_3 = (0, 1, -1)$$

$$T(\alpha_2) = 1\alpha_1 + 0\alpha_2 - 1\alpha_3 = (1, 0, -1), \quad T(\alpha_3) = 1\alpha_1 - 1\alpha_2 + 0\alpha_3 = (1, -1, 0)$$

$$\text{Let } (a, b, c) \in V_3(R) \text{ then } (a, b, c) = a(1, 0, 0) + b(0, 1, 0) + c(0, 0, 1) = a\alpha_1 + b\alpha_2 + c\alpha_3$$

$$\therefore T(a, b, c) = aT(\alpha_1) + bT(\alpha_2) + cT(\alpha_3) = a(0, 1, -1) + b(1, 0, -1) + c(1, -1, 0) \\ = (b+c, a-c, -a-b) \text{ which is the required transformation.}$$

$$(ii) \text{ Let } B_2 = \{\beta_1, \beta_2, \beta_3\} \text{ where } \beta_1 = (0, 1, -1), \beta_2 = (1, -1, 1), \beta_3 = (-1, 1, 0)$$

Using the transformation  $T(a, b, c) = (b+c, a-c, -a-b)$  we have

$$T(\beta_1) = T(0, 1, -1) = (0, 1, -1); \quad T(\beta_2) = T(1, -1, 1) = (0, 0, 0), \quad T(\beta_3) = T(-1, 1, 0) = (1, -1, 0)$$

$$\text{Now Let } (a, b, c) = x\beta_1 + y\beta_2 + z\beta_3 = x(0, 1, -1) + y(1, -1, 1) + z(-1, 1, 0)$$

$$= (y-z, x-y+z, -x+y)$$

$$y-z = a, \quad x-y+z = b, \quad -x+y = c \quad \Rightarrow x = a+b, \quad y = a+b+c, \quad z = b+c$$

$$\therefore (a, b, c) = (a+b)\beta_1 + (a+b+c)\beta_2 + (b+c)\beta_3$$

$$\therefore T(\beta_1) = (0, 1, -1) = 1\beta_1 + 0\beta_2 + 0\beta_3$$

$$\therefore T(\beta_2) = (0, 0, 0) = 0 \cdot \beta_1 + 0 \cdot \beta_2 + 0 \cdot \beta_3, \quad \therefore T(\beta_3) = (1, -1, 0) = 0 \cdot \beta_1 + 0 \cdot \beta_2 - 1 \cdot \beta_3$$

$$\therefore [T : B_2] = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

**Ex. 4.** Let  $D: P_3 \rightarrow P_2$  be the polynomial differential transformation  $D(p) = \frac{dp}{dx}$ .

Find the matrix of  $D$  relative to the standard bases.  $B_1 = \{1, x, x^2, x^3\}$  and  $B_2 = \{1, x, x^2\}$

$$\text{Sol. } D(1) = 0 = 0 \cdot 1 + 0 \cdot x + 0 \cdot x^2, \quad D(x) = 1 = 1 \cdot 1 + 0 \cdot x + 0 \cdot x^2$$

$$D(x^2) = 2x = 0 \cdot 1 + 2 \cdot x + 0 \cdot x^2, \quad D(x^3) = 3x^2 = 0 \cdot 1 + 0 \cdot x + 3 \cdot x^2$$

$$\therefore \text{The matrix of } D \text{ relative to } B_1 \text{ and } B_2 \text{ is } [T : B_1 ; B_2] = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix}$$

**5.3. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces such that  $\dim U = n$  and  $\dim V = m$ . Then corresponding to every matrix  $[a_{ij}]_{m \times n}$  of  $mn$  scalars belonging to  $F$  there corresponds a unique linear transformation  $T: U \rightarrow V$  such that  $[T : B ; B'] = [a_{ij}]_{m \times n}$  where  $B$  and  $B'$  are the ordered bases of  $U$  and  $V$  respectively.

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B' = \{\beta_1, \beta_2, \dots, \beta_m\}$  be the ordered bases of  $U$  and  $V$  respectively.

$$\text{Given } [a_{ij}]_{m \times n} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1j} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2j} & \dots & a_{2n} \\ \dots & \dots & \dots & \dots & \dots \\ a_{i1} & a_{i2} & \dots & a_{ij} & \dots & a_{in} \\ \dots & \dots & \dots & \dots & \dots \\ a_{m1} & a_{m2} & \dots & a_{mj} & \dots & a_{mn} \end{bmatrix}$$

Now  $\alpha_j \in B \Rightarrow T(\alpha_j) \in V$ . Let  $T: U \rightarrow V$  be defined by

$$T(\alpha_j) = a_{1j}\beta_1 + a_{2j}\beta_2 + \dots + a_{ij}\beta_i + \dots + a_{mj}\beta_m = \sum_{i=1}^m a_{ij}\beta_i$$

Let  $\alpha \in U$  be any vector then  $\alpha = b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n$

$$\therefore T(\alpha) = b_1T(\alpha_1) + b_2T(\alpha_2) + \dots + b_nT(\alpha_n)$$

$$\begin{aligned} &= b_1 \sum_{i=1}^m a_{i1}\beta_i + b_2 \sum_{i=1}^m a_{i2}\beta_i + \dots + b_n \sum_{i=1}^m a_{in}\beta_i = \sum_{j=1}^n b_j \left( \sum_{i=1}^m a_{ij}\beta_i \right) = \sum_{i=1}^m \left( \sum_{j=1}^n b_j a_{ij} \right) \beta_i \\ &= \sum_{i=1}^m c_i \beta_i \text{ where } c_i = \sum_{j=1}^n b_j a_{ij} = c_1\beta_1 + c_2\beta_2 + \dots + c_m\beta_m \end{aligned}$$

$\Rightarrow T(\alpha)$  is expressible uniquely as a l.c. of the elements of  $B'$  ( $\because \beta$ 's are unique)

$\Rightarrow T$  is unique.

Thus for all  $\sum a_{ij}\beta_i \in V$  there is a L.T. from  $U$  to  $V$  such that

$$T(\alpha_j) = \sum_{i=1}^m a_{ij}\beta_i \quad (j = 1, 2, \dots, n)$$

Thus corresponding to the matrix  $[a_{ij}]_{m \times n}$  there corresponds a transformation

$T: U \rightarrow V$ .

**5.4. Theorem. 1.** Let  $U(F)$  and  $V(F)$  be two linear transformations so that  $\dim U = n$  and  $\dim V = m$ . Let  $B$  and  $B'$  be the ordered bases for  $U$  and  $V$  respectively.

Let  $T: U \rightarrow V$  then for all  $\alpha \in U$ ,  $[T : B ; B'][\alpha]_B = [T(\alpha)]_{B'}$  (S. V. U. O. OI)

where  $[\alpha]_B$  is the coordinate matrix of  $\alpha$  with respect to the basis  $B$  and  $[T(\alpha)]_{B'}$  is the coordinate matrix of  $T(\alpha) \in V$  with respect to  $B'$ .

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B' = \{\beta_1, \beta_2, \dots, \beta_m\}$  be the ordered bases of  $U$  and  $V$  respectively.

Let  $A = [a_{ij}]$  be the matrix of  $T$  relative to  $B$  and  $B'$ . Then  $[T : B, B'] = A = [a_{ij}]_{m \times n}$

$$\Rightarrow \text{For } \alpha_j \in B, \quad T(\alpha_j) = a_{1j}\beta_1 + a_{2j}\beta_2 + \dots + a_{ij}\beta_i + \dots + a_{mj}\beta_m = \sum_{i=1}^m a_{ij}\beta_i$$

For any  $\alpha \in U$ ,  $\alpha = b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n \quad \forall b_i \in F$

$$\therefore \text{The coordinate matrix of } \alpha = [\alpha]_B = \begin{bmatrix} b_1 \\ b_2 \\ \dots \\ b_n \end{bmatrix}$$

$$\therefore T(\alpha) = b_1T(\alpha_1) + b_2T(\alpha_2) + \dots + b_nT(\alpha_n) = \sum_{j=1}^n b_j T(\alpha_j)$$

$$= \sum_{j=1}^n b_j \left( \sum_{i=1}^m a_{ij}\beta_i \right) = \sum_{i=1}^m \left( \sum_{j=1}^n b_j a_{ij} \right) \beta_i$$

$$= \sum_{i=1}^m c_i \beta_i \quad \text{where } c_i = \sum_{j=1}^n b_j a_{ij} \Rightarrow T(\alpha) = c_1 \beta_1 + c_2 \beta_2 + \dots + c_m \beta_m$$

$\therefore$  The coordinate matrix of  $T(\alpha)$  w.r. to the basis of  $B'$  is

$$[T(\alpha)]_{B'} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \\ \vdots \\ c_m \end{bmatrix} = \begin{bmatrix} a_{11}b_1 + a_{12}b_2 + \dots + a_{1n}b_n \\ a_{21}b_1 + a_{22}b_2 + \dots + a_{2n}b_n \\ \vdots \\ \vdots \\ a_{m1}b_1 + a_{m2}b_2 + \dots + a_{mn}b_n \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix} = A[\alpha]_B$$

$$\text{Thus } [T(\alpha)]_{B'} = [T : B, B'] [T(\alpha)]_B$$

**Theorem. 2.** If  $V$  is an  $n$  dimensional vector space over  $F$  and  $B$  is an ordered basis of  $V$ , then prove that for any linear operator  $T$  on  $V$  and  $\alpha \in V$ ,  $[T(\alpha)]_B = [T]_B [\alpha]_B$ .

**Proof.** Let  $T: V \rightarrow V$  is a linear transformation and  $B$  is an ordered basis of  $V$ .

$$\text{Let } B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}.$$

Let  $A = [a_{ij}]_{n \times n}$  be the matrix of  $T$  relative to  $B$ .

$$\text{Then } [T]_B = A = [a_{ij}]_{n \times n}$$

$$\therefore \forall \alpha_j \in B, \quad T(\alpha_j) = a_{1j}\alpha_1 + a_{2j}\alpha_2 + \dots + a_{nj}\alpha_n = \sum_{i=1}^n a_{ij} \alpha_i$$

For any  $\alpha \in V$ ,  $\alpha = b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n$  for every  $b \in F$ .

$$\therefore \text{The coordinate matrix of } \alpha = [\alpha]_B = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix}$$

$$\therefore T(\alpha) = T(b_1\alpha_1 + b_2\alpha_2 + \dots + b_n\alpha_n) = b_1 T(\alpha_1) + b_2 T(\alpha_2) + \dots + b_n T(\alpha_n)$$

$$= \sum_{j=1}^n b_j T(\alpha_j) = \sum_{j=1}^n b_j \left( \sum_{i=1}^n a_{ij} \alpha_i \right) = \sum_{i=1}^n \left( \sum_{j=1}^n b_j a_{ij} \right) \alpha_i = \sum_{i=1}^n \left( \sum_{j=1}^n a_{ij} b_j \right) \alpha_i$$

$$= \sum_{i=1}^n c_i \alpha_i \quad \text{where } c_i = \sum_{j=1}^n a_{ij} b_j$$

$\therefore$  The coordinate matrix of  $T(\alpha)$  w.r.t. basis  $B$  is

$$[T(\alpha)]_B = \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{bmatrix} = \begin{bmatrix} \sum_{j=1}^n a_{1j} b_j \\ \sum_{j=1}^n a_{2j} b_j \\ \vdots \\ \sum_{j=1}^n a_{nj} b_j \end{bmatrix} = \begin{bmatrix} a_{11}b_1 + a_{12}b_2 + \dots + a_{1n}b_n \\ a_{21}b_1 + a_{22}b_2 + \dots + a_{2n}b_n \\ \vdots \\ a_{n1}b_1 + a_{n2}b_2 + \dots + a_{nn}b_n \end{bmatrix}$$

$$= \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix} = A[\alpha]_B = [T]_B [\alpha]_B$$

**5.5. Theorem.** Let  $U(F)$  and  $V(F)$  be two vector spaces so that  $\dim U = n$  and  $\dim V = m$ . Let  $T$  and  $H$  be the linear transformations from  $U$  to  $V$ . If  $B$  and  $B'$  are the ordered bases of  $U$  and  $V$  respectively then

- (i)  $[T + H : B : B'] = [T : B ; B'] + [H : B ; B']$
- (ii)  $[c T : B ; B'] = c [T : B ; B'] \text{ where } c \in F$ .

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B' = \{\beta_1, \beta_2, \dots, \beta_m\}$

$$\text{Let } [a_{ij}]_{m \times n} \text{ be the matrix } [T : B ; B']. \quad \therefore T(\alpha_j) = \sum_{i=1}^m a_{ij} \beta_i, \quad j = 1, 2, \dots, n$$

$$\text{Let } [b_{ij}]_{m \times n} \text{ be the matrix } [H : B ; B']. \quad \therefore T(\alpha_j) = \sum_{i=1}^m b_{ij} \beta_i, \quad j = 1, 2, \dots, n.$$

$$(i) \text{ Now } (T + H)(\alpha_j) = T(\alpha_j) + H(\alpha_j), \quad j = 1, 2, \dots, n$$

$$= \sum_{i=1}^m a_{ij} \beta_i + \sum_{i=1}^m b_{ij} \beta_i = \sum_{i=1}^m (a_{ij} + b_{ij}) \beta_i$$

$$\therefore \text{Matrix of } [T + H : B ; B'] = [T : B, B'] + [H : B, B']$$

$$(ii) (cT)(\alpha_j) = cT(\alpha_j), \quad (j = 1, 2, \dots, n) \quad = c \sum_{i=1}^m a_{ij} \beta_i = \sum_{i=1}^m (c a_{ij}) \beta_i$$

$\therefore$  The matrix of  $cT$  relative to  $B$  and  $B'$  is

$$[cT : B ; B'] = [c \ a_{ij}]_{m \times n} = c [a_{ij}]_{m \times n} = c [T : B ; B']$$

Note. If  $U = V$  and the bases are such that  $B = B'$

Then (i)  $[T + H] = [T] + [H]$  (ii)  $[cT] = c[T]$

**5.6. Theorem.** Let  $T$  and  $H$  be linear operators on an  $n$ -dimensional vector space  $V(F)$ . If  $B$  is the basis of  $V$  then  $[TH] = [T][H]$

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ . Let  $[a_{ik}]_{n \times n}$  be the matrix  $[T]$

$$T(\alpha_k) = \sum_{i=1}^n a_{ik} \alpha_i \quad k = 1, 2, \dots, n.$$

$$\text{Let } [b_{kj}]_{n \times n} \text{ be the matrix } [H]. \text{ Then } H(\alpha_j) = \sum_{k=1}^n b_{kj} \alpha_k \quad j = 1, 2, \dots, n.$$

$$\therefore \text{Now } (TH)(\alpha_j) = T(H(\alpha_j))$$

$$= T \left( \sum_{k=1}^n b_{kj} \alpha_k \right) = \sum_{k=1}^n b_{kj} T(\alpha_k) \quad [T \text{ is L.T.}]$$

$$= \sum_{k=1}^n b_{kj} \left( \sum_{i=1}^n a_{ik} \alpha_i \right) = \sum_{i=1}^n \left( \sum_{k=1}^n a_{ik} b_{kj} \right) \alpha_i = \sum_{i=1}^n c_{ij} \alpha_i \quad \text{where } c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}$$

$$\therefore \text{The matrix } [TH] = [c_{ij}]_{n \times n} = [\sum a_{ik} b_{kj}]_{n \times n} = [a_{ik}] [b_{kj}] = [T] [H].$$

**5.7. Theorem.** Let  $T$  be a linear operator on an  $n$ -dimensional vector space  $V$  and let  $B$  be an ordered basis for  $V$ . Then  $T$  is invertible iff  $[T]_B$  is an invertible matrix and  $[T^{-1}]_B = [[T]_B]^{-1}$

**Proof.** (i) Let  $T$  be invertible.  $\therefore T^{-1}$  exists and  $T^{-1}T = I = TT^{-1}$

$$\Rightarrow [T^{-1}T]_B = [I]_B = [TT^{-1}]_B \Rightarrow [T^{-1}]_B [T]_B = I = [T]_B [T^{-1}]_B$$

$$\Rightarrow [T]_B \text{ is invertible and } [[T]_B]^{-1} = [T^{-1}]_B.$$

(ii) Let  $[T]_B$  be an invertible matrix.  $[T]_{B^{-1}}$  exists.

$\therefore$  There exists a linear operator  $H$  on  $V$  such that  $[T]^{-1} = [H]$

$$\Rightarrow [H][T] = [I] = [T][H] \Rightarrow [HT] = [I] = [TH]$$

$$\Rightarrow HT = I = TH \Rightarrow T \text{ is invertible.}$$

### CHANGE OF BASIS

**5.8. Transition Matrix.** Let  $V(F)$  be an  $n$ -dimensional vector space and  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B' = \{\beta_1, \beta_2, \dots, \beta_n\}$  be two arbitrary bases of  $V$ . Let us suppose

$$\beta_1 = a_{11}\alpha_1 + a_{21}\alpha_2 + \dots + a_{n1}\alpha_n;$$

$$\dots \dots \dots;$$

$$\beta_2 = a_{12}\alpha_1 + a_{22}\alpha_2 + \dots + a_{n2}\alpha_n$$

$$\beta_n = a_{1n}\alpha_1 + a_{2n}\alpha_2 + \dots + a_{nn}\alpha_n$$

The matrix of transformation from  $B$  to  $B'$  is  $P = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \dots & \dots & \dots & \dots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}_{n \times n}$

$\therefore$  The matrix  $P$  is called the transition matrix from  $B$  to  $B'$ .

**Note.** Since  $B' = \{\beta_1, \beta_2, \dots, \beta_n\}$  is an L.I. set, the matrix  $P$  is invertible and  $P^{-1}$  is a transition matrix from  $B'$  to  $B$ .

**5.9. Theorem.** Let  $P$  be the transition matrix from a basis  $B$  to a basis  $B'$  in an  $n$ -dimensional vector space  $V(F)$ . Then for  $\alpha \in V$  (i)  $P[\alpha]_{B'} = [\alpha]_B$  (ii)  $[\alpha]_{B'} = P^{-1}[\alpha]_B$

**Proof.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  and  $B' = \{\beta_1, \beta_2, \dots, \beta_n\}$  be two ordered bases of  $V_n(F)$

Let the transition matrix  $P = [a_{ij}]_{n \times n}$ . Then there exists a unique linear transformation  $T$  in  $V$  such that  $T(\alpha_j) = \beta_j \quad j = 1, 2, \dots, n$ .

Since  $T$  is an onto function, then  $T$  is invertible.

$\Rightarrow [T]_B = P$  is a unique matrix and hence invertible.

$$(i) \text{ Now } T(\alpha_j) = \beta_j = a_{1j}\alpha_1 + a_{2j}\alpha_2 + \dots + a_{nj}\alpha_n = \sum_{i=1}^n a_{ij}\alpha_i \quad (j = 1, 2, \dots, n)$$

$$\text{For } \alpha \in V, \alpha = l.c. \text{ of elements } B' = b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n = \sum_{j=1}^n b_j \beta_j \quad (b's \in F)$$

$$\Rightarrow \text{The coordinate matrix of } [\alpha]_{B'} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix}$$

$$\text{Also } \alpha = \sum_{j=1}^n b_j \beta_j = \sum_{j=1}^n b_j \left( \sum_{i=1}^n a_{ij}\alpha_i \right) = \sum_{i=1}^n \left( \sum_{j=1}^n a_{ij}b_j \right) \alpha_i = \sum_{i=1}^n (a_{i1}b_1 + a_{i2}b_2 + \dots + a_{in}b_n) \alpha_i$$

The coordinate matrix of  $\alpha$  relative to  $B$

$$[\alpha]_B = \begin{bmatrix} a_{11}b_1 + a_{12}b_2 + \dots + a_{1n}b_n \\ a_{21}b_1 + a_{22}b_2 + \dots + a_{2n}b_n \\ \dots & \dots & \dots \\ a_{n1}b_1 + a_{n2}b_2 + \dots + a_{nn}b_n \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \dots & \dots & \dots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix} \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix} \therefore [\alpha]_B = P[\alpha]_B$$

(ii) Pre multiplying by  $P^{-1}$ .

$$\Rightarrow P^{-1}[\alpha]_B = I[\alpha]_B \Rightarrow P^{-1}[\alpha]_B = [\alpha]_B.$$

**5.10. Theorem.** Let  $P$  be the transition matrix from a basis  $B$  to a basis  $B'$  in a vector space  $V_n(F)$ . Then for any linear operator  $T$  on  $V$ ,  $[T : B]P = P[T : B']$

**Proof.** Let  $\alpha \in V$  be arbitrary vector. Then by the previous theorems we have

$$P[\alpha]_B = [\alpha]_{B'} \quad \dots (1) \quad \text{and} \quad [T]_B [\alpha]_B = [T(\alpha)]_B \quad \dots (2)$$

Now pre-multiplying (1) by  $P^{-1}[T]_B$  we get  $P^{-1}[T]_B P[\alpha]_B = P^{-1}[T]_B [\alpha]_{B'}$

$$= P^{-1}[T(\alpha)]_B \quad (\text{by (2)}) \quad = [T(\alpha)]_{B'} \quad (\text{by (1)})$$

$$\therefore P^{-1}[T]_B P[\alpha]_B = [T]_B [\alpha]_{B'} \Rightarrow P^{-1}[T]_B P = [T]_{B'}$$

Premultiplying with  $P^{-1}[T]_B P = P[T]_{B'}$  or  $[T : B]P = P[T : B']$

### SOLVED PROBLEMS

**Ex. 1.** Let  $T$  be the linear operator on  $R^2$  defined by  $T(x, y) = (4x - 2y, 2x + y)$

Find the matrix of  $T$  w.r. to the basis  $T\{(1, 1), (-1, 0)\}$ .

Also verify  $[T]_B [\alpha]_B = [T](\alpha)_B \quad \forall \alpha \in R^2$

**Sol.** Let  $(a, b) \in R^2$ . Then  $(a, b) = p(1, 1) + q(-1, 0) = (p - q, p)$

$$\Rightarrow a = p - q \text{ and } b = p \Rightarrow p = b \text{ and } q = b - a$$

$$\therefore (a, b) = b(1, 1) + (b - a)(-1, 0) \quad \dots (1)$$

(i) Given transformation is  $T(x, y) = (4x - 2y, 2x + y)$

$$\therefore T(1, 1) = (2, 3) = 3(1, 1) + 1(-1, 0) \quad \dots \text{(by (1))}$$

$$T(-1, 0) = (-4, -2) = -2(1, 1) + 2(-1, 0) \quad \therefore [T : B] = [T]_B = \begin{bmatrix} 3 & -2 \\ 1 & 2 \end{bmatrix}$$

(ii) Let  $\alpha \in R^2$  where  $\alpha = (a, b)$

$$\therefore \alpha = (a, b) = b(1, 1) + (b - a)(-1, 0) \quad \therefore [\alpha]_B = \begin{bmatrix} b \\ b - a \end{bmatrix}$$

$$\therefore [T]_B [\alpha]_B = \begin{bmatrix} 3 & -2 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} b \\ b - a \end{bmatrix} = \begin{bmatrix} 2a + b \\ -2a + 3b \end{bmatrix} \quad \dots (1)$$

Again  $T(\alpha) = T(a, b) = (4a - 2b, 2a + b)$

$$\text{Let } (4a - 2b, 2a + b) = x(1, 1) + y(-1, 0) = (x - y, x)$$

$$\Rightarrow 4a - 2b = x - y \text{ and } 2a + b = x \Rightarrow x = 2a + b \text{ and } y = -2a + 3b$$

$$\text{Hence } T(\alpha) = T(a, b) = (2a + b)(1, 1) + (-2a + 3b)(-1, 0)$$

$$\therefore \text{The matrix of } T(\alpha) \text{ w.r. to the base } B \text{ is } [T(\alpha)]_B = \begin{bmatrix} 2a + b \\ -2a + 3b \end{bmatrix} \quad \dots (2)$$

$$\therefore \text{From (1) and (2)} \quad [T]_B [\alpha]_B = [T(\alpha)]_B.$$

**Ex. 2.** Let  $T$  be a linear operator on  $V_3(R)$  defined by

$$T(x, y, z) = (3x + z, -2x + y, -x + 2y + z). \text{ Prove that } T \text{ is invertible and find } T^{-1}.$$

**Sol.** Consider the standard basis  $B = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$

Given transformation is  $T(x, y, z) = (3x + z, -2x + y, -x + 2y + z)$

$$\therefore T(1, 0, 0) = (3, -2, -1) = 3(1, 0, 0) - 2(0, 1, 0) - 1(0, 0, 1)$$

$$\therefore T(0, 1, 0) = (0, 1, 2) = 0(1, 0, 0) + 1(0, 1, 0) + 2(0, 0, 1)$$

$$\therefore T(0, 0, 1) = (1, 0, 4) = 1(1, 0, 0) + 0(0, 1, 0) + 4(0, 0, 1)$$

$$\therefore [T]_B = \begin{bmatrix} 3 & 0 & 1 \\ -2 & 1 & 0 \\ -1 & 2 & 4 \end{bmatrix} = P \quad (\text{say}) \quad T \text{ is invertible if } [T]_B \text{ is invertible.}$$

$$\det P = \begin{vmatrix} 3 & 0 & 1 \\ -2 & 1 & 0 \\ -1 & 2 & 4 \end{vmatrix} = 9 \quad \therefore \det P \neq 0, \text{ the matrix } P \text{ is invertible}$$

$$\text{Calculating } P^{-1} = \frac{\text{adj } P}{\det P} \text{ we get } P^{-1} = \frac{1}{9} \begin{bmatrix} 4 & 2 & -1 \\ 8 & 13 & -2 \\ -3 & -6 & 3 \end{bmatrix}. \quad \therefore P^{-1} = [T]_B^{-1} = [T^{-1}]_B$$

To find the transformation  $T^{-1}$  take  $\alpha \in V$  where  $\alpha = (a, b, c)$

$$\Rightarrow \alpha = a(1,0,0) + b(0,1,0) + c(0,0,1)$$

$$\Rightarrow (\alpha)_B = \begin{bmatrix} a \\ b \\ c \end{bmatrix}$$

We know that  $[T^{-1}(\alpha)]_B = [T^{-1}]_B [\alpha]_B = \frac{1}{9} \begin{bmatrix} 4 & 2 & -1 \\ 8 & 13 & -2 \\ -3 & -6 & 3 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix}$

$$\therefore [T^{-1}(\alpha)]_B = \begin{bmatrix} 4a+2b-c \\ 8a+13b-2c \\ -3a-6b+3c \end{bmatrix}$$

$$\Rightarrow T^{-1}(\alpha) = T^{-1}(a,b,c) = (4a+2b-c, 8a+13b-2c, -3a-6b+3c)$$

**Ex. 3.** Let  $B = \{(1,0), (0,1)\}$  and  $B' = \{(1,3), (2,5)\}$  be the bases of  $\mathbb{R}^2$ .

Find the transition matrices from  $B$  to  $B'$  and  $B'$  to  $B$ .

**Sol.** (i) Given  $B = \{(1,0), (0,1)\}$  and  $B' = \{(1,3), (2,5)\}$

$$\text{Now } (1,3) = 1(1,0) + 3(0,1); (2,5) = 2(1,0) + 5(0,1)$$

$$\therefore \text{The transition matrix from } B \text{ to } B' = \begin{bmatrix} 1 & 2 \\ 3 & 5 \end{bmatrix}$$

$$(ii) \text{ Again } (1,0) = a(1,3) + b(2,5) = (a+2b, 3a+5b)$$

$$\therefore 1 = a+2b \text{ and } 0 = 3a+5b \Rightarrow a = -5 \text{ and } b = 3$$

$$\text{Similarly } (0,1) = x(1,3) + y(2,5) = (x+2y, 3x+5y) \Rightarrow x+2y = 0 \text{ and } 3x+5y = 1$$

$$\Rightarrow x = 2 \text{ and } y = -1. \quad \text{Hence } (1,0) = -5(1,3) + 3(2,5); (0,1) = 2(1,3) - 1(2,5).$$

**Ex. 4.** Let  $T$  be the linear operator on  $V_3(\mathbb{R})$  defined by  $T(x, y, z) = (2y+z, x-4y, 3x)$

(i) Find the matrix of  $T$  relative to the basis  $B = \{(1,1,1), (1,1,0), (1,0,0)\}$

(ii) Verify  $[T(\alpha)]_B = [T]_B [\alpha]_B$

**Sol.** Let  $\alpha = (a, b, c) \in V_3(\mathbb{R})$

$$\therefore \alpha = (a, b, c) = p(1,1,1) + q(1,1,0) + r(1,0,0) = (p+q+r, p+q, p)$$

$$\therefore a = p+q+r, b = p+q, c = p \Rightarrow p = c, q = b-c, r = a-b$$

$$\therefore \alpha = (a, b, c) = c(1,1,1) + (b-c)(1,1,0) + (a-b)(1,0,0)$$

$$\Rightarrow [\alpha]_B = \begin{bmatrix} c \\ b-c \\ a-b \end{bmatrix}.$$

$$\text{Given } T(x, y, z) = (2y+z, x-4y, 3x)$$

$$\therefore T(1,1,1) = (3, -3, 3) = l(1,1,1) + m(1,1,0) + n(1,0,0) = (l+m+n, l+m, l)$$

$$\Rightarrow l+m+n = 3, l+m = -3 \text{ and } l = 3 \Rightarrow l = 3, m = -6, n = 6$$

$$\therefore T(1,1,0) = 3(1,1,1) - 6(1,1,0) + 6(1,0,0)$$

$$\text{Similarly } T(1,1,0) = (2, -3, 3) = 3(1,1,1) - 6(1,1,0) + 5(1,0,0)$$

$$T(1,0,0) = (0,1,3) = 3(1,1,1) - 2(1,1,0) - 1(1,0,0)$$

$$\therefore [T]_B = \begin{bmatrix} 3 & 3 & 3 \\ -6 & -6 & -2 \\ 6 & 5 & 1 \end{bmatrix}$$

$$\text{Now } (T)_B (\alpha)_B = \begin{bmatrix} 3 & 3 & 3 \\ -6 & -6 & -2 \\ 6 & 5 & 1 \end{bmatrix} \begin{bmatrix} c \\ b-c \\ a-b \end{bmatrix} = \begin{bmatrix} 3a \\ -2a-4b \\ -a+6b+c \end{bmatrix} \dots (1)$$

$$\begin{aligned} \text{Again } T(\alpha) &= T(a, b, c) = (2b+c, a-4b, 3a) \\ &= 3a(1,1,1) + (a-4b-3a)(1,1,0) + (2b+c-a+4b)(1,0,0) \\ &= 3a(1,1,1) - (2a+4b)(1,1,0) + (-a+6b+c)(1,0,0) \end{aligned}$$

$$\therefore [T(\alpha)]_B = \begin{bmatrix} 3a \\ -2a-4b \\ -a+6b+c \end{bmatrix}. \quad \text{Hence } [T(\alpha)]_B = [T]_B [\alpha]_B.$$

**Ex. 5.** Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a linear transformation defined by

(i) Obtain the matrix of  $T$  relative to the bases

$$B_1 = \{(1,1,1), (1,1,0), (1,0,0)\}; \quad B_2 = \{(1,3), (1,4)\}$$

$$(ii) \text{ Verify for any vector } \alpha \in \mathbb{R}^3 \quad [T : B_1, B_2] [\alpha : B_1] = [T(\alpha) : B_2]$$

**Sol.** Let  $(a, b) \in \mathbb{R}^2$  and let  $(a, b) = p(1,3) + q(1,4) \Rightarrow (p+q, 3p+4q)$

$$\Rightarrow a = p+q \text{ and } b = 3p+4q \Rightarrow p = 4a-b \text{ and } q = b-3a$$

$$\therefore (a, b) = (4a-b)(1,3) + (b-3a)(1,4) \dots (1)$$

$$\text{Given } T(x, y, z) = (2x+y-z, 3x-2y+4z)$$

$$\therefore T(1,1,1) = (2, 5) = (4 \cdot 2 - 5)(1,3) + (5 - 3 \cdot 2)(1,4) = 3(1,3) + (-1)(1,4)$$

$$T(1,1,0) = (3, 1) = 11(1,3) - 8(1,4)$$

$$(ii) \text{ Let } \alpha = (x, y, z) \in \mathbb{R}^3$$

$$\therefore (x, y, z) = l(1,1,1) + m(1,1,0) + n(1,0,0) = (l+m+n, l+m, l)$$

$$\Rightarrow x = l+m+n, y = l+m, z = l \Rightarrow l = z, m = y-z, n = x-y$$

$$\therefore \alpha = (x, y, z) = z(1,1,1) + (y-z)(1,1,0) + (x-y)(1,0,0)$$

$$\therefore [\alpha]_{B_1} = \begin{bmatrix} z \\ y-z \\ x-y \end{bmatrix} \quad \therefore [T : B_1; B_2](\alpha_{B_1}) = \begin{bmatrix} 3 & 11 & 5 \\ -1 & -8 & -3 \end{bmatrix} \begin{bmatrix} z \\ y-z \\ x-y \end{bmatrix} = \begin{bmatrix} 5x+6y-8z \\ -3x-5y+7z \\ x-y \end{bmatrix}$$

Also  $T(\alpha) = T(x, y, z) = (2x+y-z, 3x-2y+4z)$   
 $= (4(2x+y-z)-(3x-2y+4z)(1,3)) + ((3x-2y+4z)-3(2x+y-z))(1,4)$   
 $= (5x+6y-8z)(1,3) + (-3x-5y+7z)(1,4)$

$$\therefore [T(\alpha)]_{B_2} = \begin{bmatrix} 5x+6y-8z \\ -3x-5y+7z \end{bmatrix}. \quad \text{From I and II : } [T : B_1, B_2] [\alpha : B_1] = [T(\alpha) : B_2]$$

**Ex. 6.** Let  $V(F)$  be a vector space of polynomials in  $x$  of degree at most 3 and  $D$  be the differential operator on  $V$ .

If the basis for  $V(F)$  is  $B = \{1, x, x^2, x^3\}$  verify  $[D : B] [\alpha : B] = [D(\alpha) : B]$ .

**Sol.** The basis  $B = \{1, x, x^2, x^3\}$ .  $\therefore D(1) = 0 = 0 \cdot 1 + 0 \cdot x + 0 \cdot x^2 + 0 \cdot x^3$

$$D(x) = 1 = 1 \cdot 1 + 0 \cdot x + 0 \cdot x^2 + 0 \cdot x^3; \quad D(x^2) = 2x = 0 \cdot 1 + 2 \cdot x + 0 \cdot x^2 + 0 \cdot x^3$$

$$D(x^3) = 3x^2 = 0 \cdot 1 + 0 \cdot x + 3 \cdot x^2 + 0 \cdot x^3$$

$$\therefore [D : B] = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

$$\text{Let } \alpha = f(x) = a + bx + cx^2 + dx^3 \in V$$

$$\therefore [\alpha : B] = \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \quad \therefore [D : B] [\alpha : B] = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} b \\ 2c \\ 3d \\ 0 \end{bmatrix}$$

$$\text{Again } D(\alpha) = D(f(x)) = b + 2cx + 3dx^2$$

$$[D(\alpha) : B] = \begin{bmatrix} b \\ 2c \\ 3d \\ 0 \end{bmatrix}.$$

$$\text{Hence } [D : B] [\alpha : B] = [D(\alpha) : B]$$

**Ex. 7.** Let  $A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$ . Let  $T$  be a linear operator on  $R^2$  defined by  $T(\alpha) = A\alpha$ ,

where  $\alpha$  is written as a column vector. Find the matrix of  $T$  relative to the basis  $((1,0), (0,1))$ .

$$\text{Sol. Let } T(1,0) = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 3 \end{bmatrix} = 1(1,0) + 3(0,1)$$

$$T(0,1) = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 4 \end{bmatrix} = 2(1,0) + 4(0,1) \quad \therefore \text{The matrix of } T = [T] = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$

**Ex. 8.** If  $C(R)$  is a vector space having the bases  $B_1 = \{1, i\}$  and  $B_2 = \{1+i, 1+2i\}$ , find the transition matrix of  $T$  from  $B_1$  to  $B_2$ .

$$\text{Sol. Now } (1+i) = 1(1) + 1(i); \quad (1+2i) = 1(1) + 2(i)$$

$$\therefore \text{The transition matrix from } B_1 \text{ to } B_2 \text{ is } [T : B_1; B_2] = \begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix}$$

**5.11.** We complete this section with the introduction of the "Left-Multiplication Transformation"  $L_A$ , where  $A$  is  $m \times n$  matrix. This transformation is most useful in transferring properties about transformations to analogous properties about matrices and vice versa.

**5.12. Def :** Let  $A$  be an  $m \times n$  matrix with elements from a field  $F$ . We denote by  $L_A$ , the mapping  $L_A : F^n \rightarrow F^m$  defined by  $L_A(x) = Ax$  (the matrix product of  $A$  and  $x$ ) for each column vector  $x \in F^n$ . We call  $L_A$  as "Left - Multiplication transformation".

$$\text{Ex. Let } A = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 1 & 2 \end{bmatrix}$$

$$\text{Then } A \in M_{2 \times 3}(R) \text{ and } L_A : R^3 \rightarrow R^2$$

$$\text{Take } x = \begin{bmatrix} 1 \\ 3 \\ -1 \end{bmatrix} \text{ then } L_A(x) = Ax = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 3 \\ -1 \end{bmatrix} = \begin{bmatrix} 6 \\ 1 \end{bmatrix}$$

**5.13. Theorem.** Let  $A$  be an  $m \times n$  matrix with elements from  $F$ . Then the left multiplication transformation  $L_A : F^n \rightarrow F^m$  is linear. Further, if  $B$  is any other  $m \times n$  matrix (with elements from  $F$ ) and  $\beta, \gamma$  are the standard ordered bases for  $F^n$  and  $F^m$ , respectively then we have

$$(i) \quad [L_A]_{\beta}^{\gamma} = A \quad (ii) \quad L_A = L_B \text{ iff } A = B \quad (iii) \quad L_{A+B} = L_A + L_B, \quad L_{aA} = aL_A \forall a \in F$$

(iv) If  $T : F^n \rightarrow F^m$  is linear, then there exists a unique  $m \times n$  matrix  $c$

such that  $T = L_c$  in fact  $C = [T]_{\beta}^{\gamma}$

(v) If  $E$  is an  $n \times p$  matrix then  $L_{AE} = L_A L_E$  (vi) If  $m = n$ , then  $L_I_n = I_{F^n}$

**Proof.** Let  $a, b \in F$  and  $x, y$  are two vectors then we have  $L_A(ax+by) = A(ax+by)$

$$\begin{aligned} &= A(ax) + A(by) = a(Ax) + b(Ay) \\ &= aL_A(x) + bL_A(y) \end{aligned}$$

Thus  $L_A$  is a linear transformation.

(i) The  $j^{\text{th}}$  column of  $[L_A]_{\beta}^{\gamma} = L_A(e_j)$ .

However,  $L_A(e_j) = A(e_j)$ . This is the  $j^{\text{th}}$  column of  $A$ .

Thus  $[L_A]_{\beta}^{\gamma} = A$

(ii) If  $L_A = L_B$ , we have by (i)  $[L_A]_{\beta}^{\gamma} = [L_B]_{\beta}^{\gamma} = B$  converse is trivial.

(iii)  $L_{A+B}(x) = (A+B)(x) = Ax + Bx = L_A(x) + L_B(x)$

$$\therefore L_{A+B} = L_A + L_B$$

$$L_{aA}(x) = (aA)x = a(Ax) = aL_A(x)$$

$$\therefore L_{aA} = aL_A$$

(iv) Let  $C = [T]_{\beta}^{\gamma}$ . We know that  $[T(x)]_{\gamma} = [T]_{\beta}^{\gamma}[x]_{\beta}$  or  $T(x) = C_x = L_C(x) \forall x \in F^n$

Thus  $T = L_C$ .

Uniqueness of  $c$  follows from (iii), (v), (vi) are simple to follow.

As remarked earlier we use the definition of  $L_A$ , to prove the properties of matrices. Here we prove the associativity of matrix multiplication.

**Theorem.** Let  $A, B, C$  be the matrices such that  $A(BC)$  is defined. Thus  $(AB)C$  is also defined and  $A(BC) = (AB)C$ . i.e. matrix multiplication is associative.

**Proof.** We can use properties of matrices to show that  $(AB)C$  is defined.

$$\text{Now } L_{A(BC)} = L_A(L_{BC}) = L_A(L_B L_C)$$

$$= (L_A L_B) L_C = (L_{AB}) L_C = L_{(AB)C}$$

### EXERCISE 5

1. Find the matrix of linear transformation  $T$  on  $R^3$  defined by

$$T(x, y, z) = (2y + z, x - 4y, 3x) \text{ with respect to the ordered basis}$$

$$B = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$$

2. If the matrix of  $T$  on  $R^2$  relative to the standard basis is  $\begin{bmatrix} 2 & -3 \\ 1 & 1 \end{bmatrix}$  find the matrix of  $T$  relative to the basis  $\{(1, 1), (1, -1)\}$ .

3. If the matrix of  $T$  on  $R^3$  relative to the basis  $\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  is

$$\begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & -1 \\ -1 & -1 & 0 \end{bmatrix} \text{ find the matrix relative to the basis } \{(0, 1, -1), (1, -1, 1), (-1, 1, 0)\}$$

4. If the matrix of transformation  $T$  on  $V_3(R)$  relative to the standard basis is

$$\begin{bmatrix} 1 & 1 & -1 \\ -1 & 1 & 1 \\ 1 & -1 & 1 \end{bmatrix} \text{ find the matrix of } T \text{ relative to the basis } S = \{(1, 2, 2), (1, 1, 2), (1, 2, 1)\}$$

5. Let  $T$  be a linear operator on  $R^3$  defined by  $T(x, y) = (2y, 3x - y)$

Find the matrix of  $T$  relative to the basis  $\{(1, 3), (2, 5)\}$

6. Let  $T$  be a linear transformation from  $R^3$  to  $R^2$  defined by  $T(x, y, z) = (x + y, 2z - x)$

Find the matrix of  $T$  from the basis  $\{(1, 0, -1), (1, 1, 1), (1, 0, 0)\}$  to the base  $\{(0, 1), (1, 0)\}$

7. If  $T$  be linear operation on  $R^3$  defined by

$T(x_1, x_2, x_3) = (3x_1 + x_2, -2x_1 + x_2, -x_1, 2x_2 + 4x_3)$  determine the matrix of  $T$  relative to the standard basis of  $R^3$

8. Let  $A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$  and  $T$  be the linear operator on  $R^2$  defined by  $T(\alpha) = A\alpha$ , where  $\alpha$  is written as a column vector. Find the matrix of  $T$  relative to the basis  $\{(1, 3), (2, 5)\}$

9. If  $C(R)$  is a vector space having the basis as  $B_1 = \{1, i\}$  and  $B_2 = \{1+i, 1+2i\}$

Find the transition matrix from  $B_2$  to  $B_1$

10. Let the matrix of  $T$  relative to the bases  $B_1$  and  $B_2$  be

Find the linear transformation relative to the bases  $B_1$  and  $B_2$  where

(i)  $B_1$  and  $B_2$  are standard bases of  $V_2$  and  $V_3$  respectively.

(ii)  $B_1 = \{(1, 1), (-1, 1)\}; B_2 = \{(1, 1, 1), (1, -1, 1), (0, 0, 1)\}$

(iii)  $B_1 = \{(1, 2), (-2, 1)\}; B_2 = \{(1, -1, -1), (1, 2, 3), (-1, 0, 2)\}$

11. Given  $[T : B_1; B_2] = \begin{bmatrix} 1 & -1 & 2 \\ 3 & 1 & 0 \end{bmatrix}$  find the linear transformation when

(i)  $B_1$  and  $B_2$  are standard bases of  $V_3$  and  $V_2$  respectively.

(ii)  $B_1 = \{(1, 1, 1), (1, 2, 3), (1, 0, 0)\}; B_2 = \{(1, 1), (1, -1)\}$

(iii)  $B_1 = \{(1, -1, 1), (1, 2, 0), (0, -1, 0)\}; B_2 = \{(1, 0), (2, -1)\}$

12. The matrix of linear transformation  $T : V_4 \rightarrow V_3$

### 8.3. INNER PRODUCT SPACE

**Definition.** 1. Let  $V(F)$  be a vector space where  $F$  is the field of real numbers or the field of complex numbers. The vector space  $V(F)$  is said to be an inner product space if there is defined for any two vectors  $\alpha, \beta \in V$  an element  $\langle \alpha, \beta \rangle \in F$  such that

- (1)  $\langle \alpha, \beta \rangle = \overline{\langle \beta, \alpha \rangle}$  (conjugate symmetry)
- (2)  $\langle \alpha, \alpha \rangle > 0$  (zero element in  $F$ ) for  $\alpha \neq \bar{0}$ , the zero element in  $V$  (Positivity) and
- (3)  $\langle a\alpha + b\beta, \gamma \rangle = a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle$  (Distributivity) for any  $\alpha, \beta, \gamma \in V$  and  $a, b \in F$ .

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A function  $f : V \times V \rightarrow F$  satisfying the above properties is called an **inner product**. If  $f$  is the **inner product** function then  $f(\langle \alpha, \beta \rangle) = \langle \alpha, \beta \rangle$  or  $\langle \alpha, \beta \rangle \forall \alpha, \beta \in V$ .

**Note. 1.** The vectors  $\alpha, \beta \in V$  while the inner product is a scalar  $\langle \alpha, \beta \rangle \in F$ .

2. In the property (3) : the '+' sign' in  $a\alpha + b\beta$  is addition in  $V$  while '+' sign' in  $a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle$  is addition in  $F$ .

3. If  $F$  is the field of complex numbers  $\langle \bar{\beta}, \alpha \rangle$  denotes the complex conjugate of  $\langle \beta, \alpha \rangle \in F$ .

4. If  $F$  is the field of real numbers, then  $\langle \beta, \alpha \rangle$  is a real number and  $\langle \bar{\beta}, \alpha \rangle = \langle \beta, \alpha \rangle$ .

5. By conjugate symmetry property;  $\langle \alpha, \alpha \rangle = \overline{\langle \alpha, \alpha \rangle}$  for every  $\alpha \in V$  ( $Z = \bar{Z}$ ) and hence  $\langle \alpha, \alpha \rangle$  is always real. Thus the order property (2) makes sense.

**Definition. 2.** Let  $V(F)$  be a vector space where  $F$  is the field of real numbers or the field of complex numbers. The vector space  $V(F)$  is said to be inner product space if there is defined for any two vectors  $\alpha, \beta \in V$  an element  $\langle \alpha, \beta \rangle \in F$  such that

- (1)  $\langle \alpha, \beta \rangle = \overline{\langle \beta, \alpha \rangle}$  (conjugate symmetry)
- (2)  $\langle \alpha, \alpha \rangle > 0$  (zero element in  $F$ ) for  $\alpha \neq \bar{0}$  the zero element in  $V$  (Positivity)
- (3)  $\langle \alpha + \beta, \gamma \rangle = \langle \alpha, \gamma \rangle + \langle \beta, \gamma \rangle$  (Distributivity) and
- (4)  $\langle a\alpha, \gamma \rangle = a\langle \alpha, \gamma \rangle$  for any  $\alpha, \beta, \gamma \in V$  and  $a \in F$ .

**Note.** Definition (1) and Definition (2) are equivalent.

From the definition it is clear that a vector space  $V$  over  $F$  endowed with a specific inner product is an inner product space.

If  $F = R$  the field of real numbers then  $V(F)$  is called **Euclidean space or Real inner product space**.

If  $F = C$ , the field of complex numbers then  $V(F)$  is called **Unitary space or Complex inner product space**.

### Inner Product

An inner product space having only zero vector is called zero space or null space.

If  $V(F)$  is an inner product space then  $V(F)$  is a vector space. A sub space  $W(F)$  of the vector space  $V(F)$  is also inner product space with the same inner product as in  $V(F)$ .

### ILLUSTRATIONS.

1. If  $\alpha = (a_1, a_2, a_3), \beta = (b_1, b_2, b_3)$  are the elements of a vector space  $R^3$ , then  $\langle \alpha, \beta \rangle = a_1b_1 + a_2b_2 + a_3b_3$  defines an inner product on  $R^3$ .

Let  $\alpha = (a_1, a_2, a_3), \beta = (b_1, b_2, b_3)$  and  $\gamma = (c_1, c_2, c_3) \in R^3$ .

Then  $a_1, a_2, a_3, b_1, b_2, b_3, c_1, c_2, c_3 \in R$ .

$$(1) \langle \alpha, \beta \rangle = a_1b_1 + a_2b_2 + a_3b_3 = b_1a_1 + b_2a_2 + b_3a_3 \quad (\because a's and b's are real numbers)$$

$$= \langle \beta, \alpha \rangle = \overline{\langle \bar{\beta}, \alpha \rangle}.$$

$$(2) \langle \alpha, \alpha \rangle = a_1a_1 + a_2a_2 + a_3a_3 = a_1^2 + a_2^2 + a_3^2$$

If  $\alpha = (a_1, a_2, a_3) \neq (0, 0, 0)$  then at least one of  $a_1, a_2, a_3$  is not zero.

$$\text{So, } \langle \alpha, \alpha \rangle = a_1^2 + a_2^2 + a_3^2 > 0.$$

(3) For  $a, b \in R$  and  $\alpha, \beta, \gamma \in R^3$  we have

$$a\alpha + b\beta = a(a_1, a_2, a_3) + b(b_1, b_2, b_3) = (aa_1 + bb_1, aa_2 + bb_2, aa_3 + bb_3)$$

$$\langle a\alpha + b\beta, \gamma \rangle = (aa_1 + bb_1)c_1 + (aa_2 + bb_2)c_2 + (aa_3 + bb_3)c_3$$

$$= (aa_1c_1 + aa_2c_2 + aa_3c_3) + (bb_1c_1 + bb_2c_2 + bb_3c_3)$$

$$= a(a_1c_1 + a_2c_2 + a_3c_3) + b(b_1c_1 + b_2c_2 + b_3c_3) = a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle$$

$\therefore$  The product  $\langle \alpha, \beta \rangle = a_1b_1 + a_2b_2 + a_3b_3$  is an inner product on the vector space  $R^3$ .

Hence  $R^3$  is an inner product space with the above inner product and  $R^3(R)$  is real inner product space.

**Note. 1.** The inner product of  $\alpha$  and  $\beta$  namely,  $\langle \alpha, \beta \rangle = a_1b_1 + a_2b_2 + a_3b_3$  is called the dot product of  $\alpha$  and  $\beta$  and is denoted by  $\alpha \cdot \beta$ . This is called the **standard inner product** in  $R^3$ .

**Note. 2.** If  $\alpha = (a_1, a_2, \dots, a_n), \beta = (b_1, b_2, \dots, b_n)$  are any two vectors of the vector space  $V_n(R)$  or  $R^n$  then  $\langle \alpha, \beta \rangle = a_1b_1 + a_2b_2 + \dots + a_nb_n$  is called the standard inner product.

2. If  $\alpha = (a_1, a_2, \dots, a_n)$  and  $\beta = (b_1, b_2, \dots, b_n)$  are the elements of the vector space  $V_n(C)$  where  $C$  is the field of complex numbers, then

$$\langle \alpha, \beta \rangle = a_1\bar{b}_1 + a_2\bar{b}_2 + \dots + a_n\bar{b}_n = \sum_{i=1}^n a_i\bar{b}_i \text{ defines an inner product on } V_n(C).$$

Let  $a, b \in C$  and  $\alpha, \beta, \gamma \in V_n$  so that  $\alpha = (a_1, a_2, \dots, a_n), \beta = (b_1, b_2, \dots, b_n), \gamma = (c_1, c_2, \dots, c_n)$  where  $a$ 's,  $b$ 's and  $c$ 's are complex numbers.

If  $Z$  is a complex number we know that  $\bar{\bar{Z}} = Z$  and  $Z\bar{Z} = |Z|^2, \bar{Z_1 + Z_2} = \bar{Z}_1 + \bar{Z}_2$

$$(1) \quad \langle \bar{\beta}, \alpha \rangle = \overline{b_1 \bar{a}_1 + b_2 \bar{a}_2 + \dots + b_n \bar{a}_n} = \bar{b}_1 \bar{a}_1 + \bar{b}_2 \bar{a}_2 + \dots + \bar{b}_n \bar{a}_n \\ = \bar{b}_1 \bar{a}_1 + \bar{b}_2 \bar{a}_2 + \dots + \bar{b}_n \bar{a}_n = \bar{b}_1 a_1 + \bar{b}_2 a_2 + \dots + \bar{b}_n a_n = a_1 \bar{b}_1 + a_2 \bar{b}_2 + \dots + a_n \bar{b}_n = \langle \alpha, \beta \rangle.$$

$$(2) \quad \langle \alpha, \alpha \rangle = a_1 \bar{a}_1 + a_2 \bar{a}_2 + \dots + a_n \bar{a}_n = |a_1|^2 + |a_2|^2 + \dots + |a_n|^2$$

If  $\alpha \neq 0$  then at least one of  $a_1, a_2, \dots, a_n$  is non-zero complex number.

$$\text{So } \langle \alpha, \alpha \rangle = |a_1|^2 + |a_2|^2 + \dots + |a_n|^2 > 0.$$

$$(3) \quad a\alpha + b\beta = a(a_1, a_2, \dots, a_n) + b(b_1, b_2, \dots, b_n) = (aa_1 + bb_1, aa_2 + bb_2, \dots, aa_n + bb_n)$$

$$\begin{aligned} \langle a\alpha + b\beta, \gamma \rangle &= (aa_1 + bb_1) \bar{c}_1 + (aa_2 + bb_2) \bar{c}_2 + \dots + (aa_n + bb_n) \bar{c}_n \\ &= (aa_1 \bar{c}_1 + aa_2 \bar{c}_2 + \dots + aa_n \bar{c}_n) + (bb_1 \bar{c}_1 + bb_2 \bar{c}_2 + \dots + bb_n \bar{c}_n) \\ &= a(a_1 \bar{c}_1 + a_2 \bar{c}_2 + \dots + a_n \bar{c}_n) + b(b_1 \bar{c}_1 + b_2 \bar{c}_2 + \dots + b_n \bar{c}_n) = a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle. \end{aligned}$$

$\therefore$  the product  $\langle \alpha, \beta \rangle = a_1 \bar{b}_1 + a_2 \bar{b}_2 + \dots + a_n \bar{b}_n$  is an inner product on  $V_n(C)$ .

$\therefore V_n(C)$  or  $C^n(C)$  is the unitary space.

**Note. 1.** The product above defined is called **standard inner product** on  $V_n(C)$ .

**2.** We can always define an inner product on a finite dimensional vector space of real or complex numbers as follows :

Let  $B = (\alpha_1, \alpha_2, \dots, \alpha_n)$  be a basis of  $V_n(F)$ .

$\alpha, \beta \in V_n \Rightarrow \alpha = a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_n \alpha_n$  and  $\beta = b_1 \alpha_1 + b_2 \alpha_2 + \dots + b_n \alpha_n$  where  $a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n \in F$

Then  $\langle \alpha, \beta \rangle = a_1 \bar{b}_1 + a_2 \bar{b}_2 + \dots + a_n \bar{b}_n = \sum_{i=1}^n a_i \bar{b}_i$  defines inner product on  $V_n$ .

**3.** If  $\alpha = (1+i, 4)$  and  $\beta = (2-3i, 4+5i)$  in  $V_2(C)$  or  $C^2$  then

$$\langle \alpha, \beta \rangle = (1+i)(\bar{2}-\bar{3}i) + 4(\bar{4}+5i) = (1+i)(2+3i) + 4(4-5i) = 15-15i$$

$$\langle \alpha, \alpha \rangle = (1+i)(\bar{1}+\bar{i}) + 4(\bar{4}) = (1+i)(1-i) + 4(4) = 18$$

$$\langle \bar{\beta}, \alpha \rangle = \{(\bar{2}-\bar{3}i)(1-\bar{i}) + (\bar{4}+5i)\bar{4}\} = \{(-1-5i)+(16+20i)\} = \bar{15+15i} = 15-15i = \langle \alpha, \beta \rangle.$$

**3.** Let  $V(C)$  be the vector space of all continuous complex valued functions on the closed interval  $[0,1]$ . For  $f, g \in V$  if  $\langle f, g \rangle = \int_0^1 f(t) \bar{g(t)} dt$ , then  $V$  is an inner product space.

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$f$  is a continuous complex - valued function on  $[0,1]$  means that  $f(t)$  is a complex number for  $t \in [0,1]$ .

Let  $a, b \in C$  and  $f, g, h \in V$  where  $t \in [0,1]$ .

$$(1) \quad \langle \bar{g}, f \rangle = \left\{ \int_0^1 g(t) \bar{f(t)} dt \right\} = \int_0^1 \bar{g(t)f(t)} dt. \quad \left( \because \int_0^1 \bar{f(t)} dt = \int_0^1 \bar{f(t)} dt \right) \\ = \int_0^1 \bar{g(t)} \bar{f(t)} dt = \int_0^1 g(t) f(t) dt = \int_0^1 f(t) \bar{g(t)} dt = \langle f, g \rangle. \quad (\because \bar{\bar{Z}} = Z)$$

$$(2) \quad \langle f, f \rangle = \int_0^1 f(t) \bar{f(t)} dt = \int_0^1 |f(t)|^2 dt \quad (\because \bar{Z}Z = |Z|^2)$$

If  $f(t) \neq 0$  then  $|f(t)|^2 > 0$  i.e.,  $\langle f, f \rangle > 0$ .

$$(3) \quad \langle af + bg, h \rangle = \int_0^1 (af(t) + bg(t)) \bar{h(t)} dt = \int_0^1 (af(t) \bar{h(t)} + bg(t) \bar{h(t)}) dt \\ = \int_0^1 af(t) \bar{h(t)} dt + \int_0^1 bg(t) \bar{h(t)} dt = a \int_0^1 f(t) \bar{h(t)} dt + b \int_0^1 g(t) \bar{h(t)} dt \\ = a\langle f, h \rangle + b\langle g, h \rangle \quad \therefore V(C) \text{ is an inner product space.}$$

**Note.** We can define more than one inner product on a given vector space. Let  $V(R)$  be the vector space of continuous real valued functions. We can define two inner products

$$(i) \quad \langle f, g \rangle = \int_0^1 f(t) g(t) dt \text{ and } (ii) \quad \langle f, g \rangle = \int_{-1}^1 f(t) g(t) dt \text{ which result in two distinct inner}$$

product spaces.

**Theorem. 1. Definition (1) and Definition (2) are equivalent.**

**Proof.** The properties of conjugate symmetry and positivity are same in both the definitions.

(i) **Definition (1)  $\Rightarrow$  Definition (2)**

For any  $\alpha, \beta, \gamma \in V$  and  $a, b \in F$  we have  $\langle a\alpha + b\beta, \gamma \rangle = a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle$ .

If we take  $a = 1, b = 1 \in F$  then

$$\langle 1\alpha + 1\beta, \gamma \rangle = 1\langle \alpha, \gamma \rangle + 1\langle \beta, \gamma \rangle \Rightarrow \langle \alpha + \beta, \gamma \rangle = \langle \alpha, \gamma \rangle + \langle \beta, \gamma \rangle$$

If we take  $b = 0 \in F$  the zero element, then  $\langle a\alpha + 0\beta, \gamma \rangle = a\langle \alpha, \gamma \rangle + 0\langle \beta, \gamma \rangle$

$$\Rightarrow \langle a\alpha + 0, \gamma \rangle = a\langle \alpha, \gamma \rangle + 0 \Rightarrow \langle a\alpha, \gamma \rangle = a\langle \alpha, \gamma \rangle.$$

( $\because 0 \in F, \beta \in V \Rightarrow 0\beta = \bar{0} \in V$  and  $a\alpha + \bar{0} = a\alpha \in V$ )

$$\text{Then } \alpha = \begin{bmatrix} i \\ 2 \end{bmatrix}, \beta = \begin{bmatrix} 1+2i \\ 3+4i \end{bmatrix} \text{ and } \beta^* = [1-2i \quad 3-4i]$$

$$\langle \alpha, \beta \rangle = \beta^* \alpha = [1-2i \quad 3-4i] \begin{bmatrix} i \\ 2 \end{bmatrix} = (1-2i)i + (3-4i)2 = 8-7i \in C.$$

### SOLVED PROBLEMS

**Ex. 1.** If  $\alpha, \beta$  are vectors in a complex inner product space prove that  $\langle \alpha, \beta \rangle = \operatorname{Re} \langle \alpha, \beta \rangle + i \operatorname{Re} \langle \alpha, i\beta \rangle$ .

**Sol.** For  $\alpha, \beta \in V$ ,  $\langle \alpha, \beta \rangle \in C$ , the field of complex numbers.

Let  $\langle \alpha, \beta \rangle = x + iy$  so that  $x = \operatorname{Re} \langle \alpha, \beta \rangle$  and  $y = \operatorname{Im} \langle \alpha, \beta \rangle$ .

$-i\langle \alpha, \beta \rangle = -i(x + iy) = y - ix$  so that  $y = \operatorname{Re}(-i\langle \alpha, \beta \rangle) = \operatorname{Re}(i\langle \alpha, \beta \rangle) = \operatorname{Re} \langle \alpha, i\beta \rangle$

$\therefore \langle \alpha, \beta \rangle = \operatorname{Re} \langle \alpha, \beta \rangle + i \operatorname{Re} \langle \alpha, i\beta \rangle$ .

**Ex. 2.** In the inner product space  $M_2(C)$ , the vector space of  $2 \times 2$  matrices over the complex field if  $\langle A, B \rangle = \operatorname{tr}(B^* A)$   $\forall A, B \in M_2$  find  $\langle A, B \rangle$

$$\text{given } A = \begin{bmatrix} 1 & 2+i \\ 3 & i \end{bmatrix} \text{ and } B = \begin{bmatrix} 1+i & 0 \\ i & -i \end{bmatrix}.$$

**Sol.**  $B^* = \begin{bmatrix} 1-i & -i \\ 0 & i \end{bmatrix}$  = the conjugate transpose of  $B$ .

$$B^* A = \begin{bmatrix} 1-i & -i \\ 0 & i \end{bmatrix} \begin{bmatrix} 1 & 2+i \\ 3 & i \end{bmatrix} = \begin{bmatrix} 1-i-3i & 2+i-2i+1+1 \\ 0+3i & 0-1 \end{bmatrix} = \begin{bmatrix} 1-4i & 4-i \\ 3i & -1 \end{bmatrix}$$

so that  $\operatorname{tr}(B^* A) = 1-4i-1 = -4i$ .  $\therefore \langle A, B \rangle = -4i \in C$ .

**Ex. 3.** In the inner product space  $C^2$  for  $x, y \in C^2$  and  $A = \begin{bmatrix} 1 & i \\ -i & 2 \end{bmatrix}$  if the inner product  $\langle x, y \rangle = x A y^*$  compute  $\langle x, y \rangle$  for  $x = [1-i, 2+3i]$  and  $y = [2+i, 3-2i]$ .

$$\text{Sol. } y = [2+i, 3-2i] \Rightarrow y^* = \begin{bmatrix} 2-i \\ 3+2i \end{bmatrix}. \quad x A = [1-i, 2+3i] \begin{bmatrix} 1 & i \\ -i & 2 \end{bmatrix}$$

$$= [1-i-2i+3, i+1+4+6i] = [4-3i, 5+7i]$$

$$\therefore \langle x, y \rangle = x A y^* = [4-3i, 5+7i] \begin{bmatrix} 2-i \\ 3+2i \end{bmatrix} = (4-3i)(2-i) + (5+7i)(3+2i) \\ = (5-10i) + (1+31i) = 6+2i \in C, \text{ the field.}$$

### Inner Product Space

**Ex. 4.** For  $\alpha = (a, b), \beta = (c, d) \in R^2$ , the vector space, if  $\langle \alpha, \beta \rangle = ac - bd$  then prove that it is not an inner product.

**Sol.** Consider  $\alpha = (3, 4) \in R^2$  so that  $\alpha \neq (0, 0)$ .

$$\langle \alpha, \alpha \rangle = (3)(3) - (4)(4) = 9 - 16 = -7 \neq 0. \therefore \text{the property of positivity is not true.}$$

**Ex. 5.** For  $f(x), g(x) \in P(R)$ , the vector space of polynomials over the field  $R$  defined on  $[0, 1]$  if  $\langle f(x), g(x) \rangle = \int_0^1 f(t) g(t) dt$  then prove that it is not an inner product.

$$\text{Sol. Take } f(x) = x, g(x) = x^2 \text{ on } [0, 1]. \langle f, g \rangle = \int_0^1 t^2 dt = \frac{1}{3} \text{ and } \langle g, f \rangle = \int_0^1 2t \cdot t dt = \frac{2}{3}$$

$\therefore \langle f, g \rangle \neq \langle g, f \rangle$  and hence conjugate symmetry is not true.

**Ex. 6.** In the vector space  $V_2(C)$ , the set of all ordered pairs over the field of complex numbers,  $\langle \alpha, \beta \rangle = 2x_1 \bar{y}_1 + x_1 \bar{y}_2 + x_2 \bar{y}_1 + x_2 \bar{y}_2 \forall \alpha = (x_1, x_2), \beta = (y_1, y_2) \in V_2$ . Prove that  $V_2(C)$  is inner product space.

**Sol. Conjugate symmetry.**

$$\begin{aligned} \langle \beta, \alpha \rangle &= 2y_1 \bar{x}_1 + y_1 \bar{x}_2 + y_2 \bar{x}_1 + y_2 \bar{x}_2 = 2\bar{y}_1 \bar{x}_1 + \bar{y}_1 \bar{x}_2 + \bar{y}_2 \bar{x}_1 + \bar{y}_2 \bar{x}_2 \\ &= 2\bar{y}_1 x_1 + \bar{y}_1 x_2 + \bar{y}_2 x_1 + \bar{y}_2 x_2 = 2x_1 \bar{y}_1 + x_1 \bar{y}_2 + x_2 \bar{y}_1 + x_2 \bar{y}_2 = \langle \alpha, \beta \rangle. \end{aligned}$$

$$\begin{aligned} \text{Positivity. } \langle \alpha, \alpha \rangle &= 2x_1 \bar{x}_1 + x_1 \bar{x}_2 + x_2 \bar{x}_1 + x_2 \bar{x}_2 = x_1 \bar{x}_1 + (x_1 + x_2)(\bar{x}_1 + \bar{x}_2) \\ &= x_1 \bar{x}_1 + (x_1 + x_2)(\bar{x}_1 + \bar{x}_2) = |x_1|^2 + |x_1 + x_2|^2 \end{aligned}$$

$$\text{For } \alpha = (x_1, x_2) \neq (0, 0) \text{ either } x_1 \neq 0 \text{ or } x_2 \neq 0. \therefore \langle \alpha, \alpha \rangle = |x_1|^2 + |x_1 + x_2|^2 > 0.$$

**Distributivity.** Let  $a, b \in C$  and  $\gamma = (z_1, z_2) \in V_2$

$$a\alpha + b\beta = a(x_1, x_2) + b(y_1, y_2) = (ax_1 + by_1, ax_2 + by_2)$$

$$\langle a\alpha + b\beta, \gamma \rangle = 2(ax_1 + by_1)\bar{z}_1 + (ax_1 + by_1)\bar{z}_2 + (ax_2 + by_2)\bar{z}_1 + (ax_2 + by_2)\bar{z}_2$$

$$= a(2x_1 \bar{z}_1 + x_1 \bar{z}_2 + x_2 \bar{z}_1 + x_2 \bar{z}_2) + b(2y_1 \bar{z}_1 + y_1 \bar{z}_2 + y_2 \bar{z}_1 + y_2 \bar{z}_2)$$

$$= a \langle \alpha, \gamma \rangle + b \langle \beta, \gamma \rangle. \therefore V_2(C) \text{ is an inner product space.}$$

**Ex. 7.** Let  $V(R)$  be the vector space of real valued continuous functions on  $[0, 1]$ .

For  $f, g \in V$  if we define  $\langle f, g \rangle = \int_0^1 f(t) g(t) dt$  then prove that  $V$  is an inner product space.

**Sol.**  $f \in V$  is a continuous real valued function on  $[0,1]$ .  
 $\Rightarrow f(t)$  is a real number  $\forall t \in [0,1] \Rightarrow \overline{f(t)} = f(t)$ .

**Conjugate symmetry.**

$$\langle \overline{g}, f \rangle = \overline{\int_0^1 g(t)f(t) dt} = \int_0^1 \overline{g(t)f(t)} dt = \int_0^1 g(t)\overline{f(t)} dt = \int_0^1 f(t)g(t) dt = \langle f, g \rangle$$

**Positivity.** Let  $f \neq 0$ , the zero function defined by  $O(t) = 0 \in R$  for  $t \in [0,1]$ .

$$\langle f, f \rangle = \int_0^1 f(t)f(t) dt = \int_0^1 f(t)^2 dt > 0 \quad (\because f \text{ is continuous on } [0,1])$$

**Distributivity.** Let  $a, b \in R$  and  $h \in V$ .  $\langle af + bg, h \rangle = \int_0^1 (af(t) + bg(t))h(t) dt$   
 $= \int_0^1 a f(t)h(t) dt + \int_0^1 b g(t)h(t) dt = a \int_0^1 f(t)h(t) dt + b \int_0^1 g(t)h(t) dt = a \langle f, h \rangle + b \langle g, h \rangle$

$\therefore V(R)$  is an inner product space.

**Ex. 8.** Let  $V = M_2(R)$  be the vector space of  $2 \times 2$  matrices over the field of real numbers. For  $A, B \in V$  we define  $\langle A, B \rangle = \text{tr}(B^T A)$ . Prove that  $V$  is an inner product space.

**Sol.** Let  $A, B, C \in V$ . Then  $A, B, C$  are  $2 \times 2$  matrices with real numbers as elements.

Therefore for any matrix  $A$ ,  $\overline{A} = A$ ,  $\overline{A^T} = A^T$ .

$$\begin{aligned} \text{Conjugate Symmetry. } \langle \overline{B}, A \rangle &= \overline{\text{tr}(A^T B)} = \text{tr}(A^T B) = \text{tr}(BA^T) \\ &= \text{tr}(BA^T)^T = \text{tr}(B^T(A^T)^T) = \text{tr}(B^TA) = \langle A, B \rangle \end{aligned}$$

**Positivity.** Let  $A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$  where  $a_{11}, a_{12}, a_{21}, a_{22} \in R$  and  $A \neq O$ .

Then atleast one of  $a_{11}, a_{12}, a_{21}, a_{22}$  is not zero.

$$\langle A, A \rangle = \text{tr}(A^T A) = a_{11}^2 + a_{12}^2 + a_{21}^2 + a_{22}^2 > 0. \quad \therefore \text{Positivity is true.}$$

**Distributivity.** For  $a, b \in R$ ;  $\langle aA + bB, C \rangle = \text{tr}(C^T(aA + bB))$

$$= \text{tr}(C^T(aA) + C^T(bB)) \quad (\text{Distributivity in matrices})$$

$$= \text{tr}(a(C^T A) + b(C^T B)) = \text{tr}(a(C^T A)) + \text{tr}(b(C^T B))$$

$$= a \text{tr}(C^T A) + b \text{tr}(C^T B) = a \langle A, C \rangle + b \langle B, C \rangle$$

Hence  $V = M_2(R)$  is an inner product space.

**Ex. 9.** Let  $P_n(x)$  be the vector space of all real valued polynomials of degree almost  $n$ . Prove that  $P_n(x)$  is an inner product space for the product  $\langle f, g \rangle = a_0b_0 + a_1b_1 + \dots + a_nb_n$  for  $f(x) = a_0 + a_1x + \dots + a_nx^n$ ,  $g(x) = b_0 + b_1x + \dots + b_mx^m \in P_n(x)$ .

**Sol.** Let  $f(x) = a_0 + a_1x + \dots + a_nx^n$ ,  $g(x) = b_0 + b_1x + \dots + b_mx^m \in P_n(x)$ .

and  $h(x) = c_0 + c_1x + \dots + c_px^p \in P_n(x)$  so that  $m \leq n$ ,  $p \leq n$ .

$$\begin{aligned} \text{Conjugate Symmetry. } \langle f, g \rangle &= a_0b_0 + a_1b_1 + \dots + a_nb_n = b_0a_0 + b_1a_1 + \dots + b_na_n \\ &= \langle g, f \rangle \quad (\because a_i, b_i \text{'s are real numbers}) \Rightarrow \overline{\langle g, f \rangle} = \langle f, g \rangle \end{aligned}$$

$$\text{Positivity. } \langle f, f \rangle = a_0a_0 + a_1a_1 + \dots + a_na_n = a_0^2 + a_1^2 + \dots + a_n^2 > 0 \text{ for } f \neq O.$$

**Distributivity.** For  $\alpha, \beta \in R$ ;

$$\langle \alpha f + \beta g, h \rangle = (\alpha a_0 + \beta b_0)c_0 + (\alpha a_1 + \beta b_1)c_1 + \dots + (\alpha a_n + \beta b_n)c_n$$

$$= \alpha(a_0c_0 + a_1c_1 + \dots + a_nc_n) + \beta(b_0c_0 + b_1c_1 + \dots + b_nc_n)$$

$$= \alpha \langle f, h \rangle + \beta \langle g, h \rangle \quad (\because \text{real numbers are commutative, associative and distributive})$$

$\therefore P_n(x)$  with respect to the product is an inner product space.

### EXERCISE 8 (a)

- If  $\alpha, \beta, \gamma$  are vectors in the inner product space  $V(F)$  prove that
  - $\langle \alpha, \beta \pm \gamma \rangle = \langle \alpha, \beta \rangle \pm \langle \alpha, \gamma \rangle$
  - $\langle \alpha - \beta, \alpha - \beta \rangle = \langle \alpha, \alpha \rangle - \langle \alpha, \beta \rangle - \langle \beta, \alpha \rangle + \langle \beta, \beta \rangle$
  - $\langle a\alpha - b\beta, a\alpha - b\beta \rangle = \overline{a}\langle \alpha, \alpha \rangle - ab\langle \alpha, \beta \rangle - ba\langle \beta, \alpha \rangle + \overline{b}\langle \beta, \beta \rangle$
- $\alpha = (1, 2), \beta = (-1, 1)$  are two vectors in the vector space  $R^2$  with standard inner product.  
 If  $\gamma$  is a vector such that  $\langle \alpha, \gamma \rangle = -1$  and  $\langle \beta, \gamma \rangle = 3$  find  $\gamma$ .
- If  $\alpha = (2, 1+i, i), \beta = (2-i, 2, 1+2i)$  are two vectors in  $C^3$  with standard inner product find  $\langle \alpha, \beta \rangle$ .
- If  $M_2(R)$  is the vector space of  $2 \times 2$  matrices over real field and  $A, B \in M_2$  prove that  $\langle A, B \rangle = \text{tr}(A + B)$  is not an inner product.
- If  $\alpha = (x_1, x_2, \dots, x_n)$  and  $\beta = (y_1, y_2, \dots, y_n)$  are vectors in  $R^n$  vector space prove that  $\langle \alpha, \beta \rangle = x_1y_1 + x_2y_2 + \dots + x_ny_n$  defines inner product in  $R^n$ .
- In  $R^2$  show that  $\langle \alpha, \beta \rangle = x_1 + x_2 + y_1 + y_2$  where  $\alpha = (x_1, x_2), \beta = (y_1, y_2)$  does not define inner product.

7. In  $R^2$  if we define  $\langle \alpha, \beta \rangle = x_1 y_1 - x_2 y_1 + 4x_2 y_2$  for  $\alpha = (x_1, x_2), \beta = (y_1, y_2)$  prove that  $R^2$  is an inner product space.
8. In the vector space  $R^3(R)$  if  $\bar{x} = (x_1, x_2, x_3), \bar{y} = (y_1, y_2, y_3)$  are two vectors such that  $\langle \bar{x}, \bar{y} \rangle = x_1 y_1 + x_2 y_2 - x_3 y_3$  then prove that it is not an inner product.
9. If  $f, g$  are two inner products defined on a vector space  $V(F)$  then show that (i)  $(f+g)$  is also an inner product on  $V(F)$  (ii)  $(nf)$  where  $n \in N$  is also an inner product and (iii)  $(f-g)$  need not be an inner product on  $V(F)$ .

### ANSWERS

2.  $\left( -\frac{7}{3}, \frac{2}{3} \right)$     3.  $8+5i$

### 8.4. NORM OR LENGTH OF A VECTOR

In what follows we generalise the concept of length in  $R^2$  and  $R^3$  to inner product space.

**Definition.** Let  $V$  be an inner product space over the field  $F$ . The norm (length) of  $\alpha \in V$  denoted by  $\|\alpha\|$  is defined as the positive square root of  $\langle \alpha, \alpha \rangle$ .

**Norm or length of**  $\alpha \in V = \|\alpha\| = \sqrt{\langle \alpha, \alpha \rangle} \Rightarrow \|\alpha\|^2 = \langle \alpha, \alpha \rangle$ .

**Note.** 1. For  $\alpha \in V, \langle \alpha, \alpha \rangle$  is a non-negative real number and hence the norm of  $\alpha$  is always a non-negative real number.

2.  $\alpha = \bar{0} \Leftrightarrow \|\alpha\| = 0$

e.g.1. In the inner product space  $V_2(R) = R^2(R)$ ; if  $\alpha = (a, b) \in V_2$

then  $\|\alpha\| = \|(a, b)\| = \sqrt{a^2 + b^2} = \sqrt{\langle \alpha, \alpha \rangle}$ .

2. In the inner product space  $V_3(R) = R^3(R)$ ; if  $\alpha = (a, b, c)$

then  $\|\alpha\| = \|(a, b, c)\| = \sqrt{a^2 + b^2 + c^2} = \sqrt{\langle \alpha, \alpha \rangle}$ .

3. In the inner product space  $V_n(C) = C^n$  if  $\alpha = (a_1, a_2, \dots, a_n)$

then  $\|\alpha\| = \|(a_1, a_2, \dots, a_n)\| = \sqrt{|a_1|^2 + |a_2|^2 + \dots + |a_n|^2} = \sqrt{\sum_{i=1}^n |a_i|^2} = \sqrt{\langle \alpha, \alpha \rangle}$ .

**Theorem. 1.** In an inner product space  $V(F)$  (1)  $\|\alpha\| > 0$  if  $\alpha \neq \bar{0}$  and (2)  $\|a\alpha\| = |a| \|\alpha\|$  where  $0, a \in F$  and  $\bar{0}, \alpha \in V$ .  
 (S.K.D. 08, O.U. 03)

**Proof.** (1) If  $\alpha \neq \bar{0}$  then  $\langle \alpha, \alpha \rangle > 0$ .

$\therefore \|\alpha\| = \sqrt{\langle \alpha, \alpha \rangle} > 0$ . For any  $\alpha \in V, \|\alpha\| \geq 0$ .

(2) By the definition of norm,  $\|a\alpha\|^2 = \langle a\alpha, a\alpha \rangle$

$= a\langle \alpha, a\alpha \rangle = a\bar{a} \langle \alpha, \alpha \rangle$  (By (3) of the theorem)

$= |a|^2 \|\alpha\|^2 = (|a| \|\alpha\|)^2$  ( $\because Z\bar{Z} = |Z|^2$ )  $\therefore \|a\alpha\| = |a| \|\alpha\|$ .

**Note.** If  $\alpha \in V$  and  $\alpha \neq \bar{0}$  by the above theorem  $\|\alpha\| > 0$ . Since  $\|\alpha\| > 0 \in F$  and  $F$  is a field, there exists  $\frac{1}{\|\alpha\|} \in F$  such that  $\|\alpha\| \frac{1}{\|\alpha\|} = 1$ . Now, for  $\frac{1}{\|\alpha\|} \in F$  and  $\alpha \in V$  we

have  $\frac{1}{\|\alpha\|} \alpha \in V$ , such that  $\left\langle \frac{1}{\|\alpha\|} \alpha, \frac{1}{\|\alpha\|} \alpha \right\rangle = \frac{1}{\|\alpha\|} \overline{\left( \frac{1}{\|\alpha\|} \right)} \langle \alpha, \alpha \rangle = \left( \frac{1}{\|\alpha\|} \right) \left( \frac{1}{\|\alpha\|} \right) \|\alpha\|^2 = 1$ .

Hence, for  $\alpha \in V$  and  $\alpha \neq \bar{0}$ ,  $\frac{1}{\|\alpha\|} \alpha \in V$  is a vector of length 1.

**Definition.** Let  $V(F)$  be an inner product space.  $\alpha \in V$  is called a unit vector if

$\|\alpha\|=1$ . If  $\alpha \in V$  then  $\frac{1}{\|\alpha\|} \alpha \in V$  is unit vector.

e.g.1. In the inner product space  $R^2, i = (1, 0), j = (0, 1)$  are unit vectors.

e.g.2. In the inner product space  $R^3$  with standard inner product  $i = (1, 0, 0), j = (0, 1, 0)$  and  $k = (0, 0, 1)$  are vectors of each length 1.

3. In the inner product space  $R^3$ , if  $\alpha = (a_1, a_2, a_3)$  then  $\|\alpha\| = \sqrt{a_1^2 + a_2^2 + a_3^2}$

and  $\frac{1}{\|\alpha\|} \alpha = \left( \frac{a_1}{\sqrt{a_1^2 + a_2^2 + a_3^2}}, \frac{a_2}{\sqrt{a_1^2 + a_2^2 + a_3^2}}, \frac{a_3}{\sqrt{a_1^2 + a_2^2 + a_3^2}} \right)$

**Theorem. 2.** In an inner product space  $V(F)$ ,  $|\langle \alpha, \beta \rangle| \leq \|\alpha\| \|\beta\|$  for all  $\alpha, \beta \in V$ .

**Cauchy - Schwarz's inequality.**

(S.K.D. 08, A.U. 08, S.V.U. 07, N.U. 07, A.U. 08, O.U. 03, 05, K.U. 04)

**Proof. Case (1).** Let  $\alpha = \bar{0}$ . Then  $\langle \alpha, \beta \rangle = \langle \bar{0}, \beta \rangle = 0$  and  $\|\alpha\|^2 = \langle \alpha, \alpha \rangle = \langle \bar{0}, \bar{0} \rangle = 0$ .

$\therefore |\langle \alpha, \beta \rangle| = 0$  and  $\|\alpha\| \|\beta\| = 0$  ( $\|\beta\| = 0$ ).  $\therefore |\langle \alpha, \beta \rangle| = \|\alpha\| \|\beta\|$ .

**Case (2).** Let  $\alpha \neq \bar{0}$ . Then  $\|\alpha\| > 0$  so that  $\frac{1}{\|\alpha\|} > 0$ .

**EXERCISE 8 (b)**

- If (i)  $\alpha = (1, -2, 5) \in R^3(R)$  (ii)  $\alpha = (3+i, 4i, -4) \in C^3(C)$  find  $\|\alpha\|$  and unit vector of  $\alpha$ .  
(S. V. U. 08)
- If  $\alpha = (1-i, 2+3i), \beta = (2-5i, 3-i)$  are two vectors in  $V(C) = C^2(C)$  with standard inner product find  $\langle \alpha, \beta \rangle$  and  $\|\alpha\|, \|\beta\|$ .
- If  $\alpha, \beta$  are two vectors in Euclidean space  $V(R)$  such that  $\|\alpha\| = \|\beta\|$  prove that  $\langle \alpha + \beta, \alpha - \beta \rangle = 0$ .  
(S. V. U. 07)
- If  $\alpha, \beta$  are two vectors in a unitary space find  $\|\alpha + i\beta\|$  and  $\|\alpha - i\beta\|$ .
- If  $\alpha, \beta$  are two vectors in a unitary space prove that  $\|\alpha + \beta\|^2 - \|\alpha - \beta\|^2 = 4 \operatorname{Re} \langle \alpha, \beta \rangle$ .
- If  $M_2(R)$  is the inner product space and for  $A = \begin{bmatrix} a_1 & a_2 \\ a_3 & a_4 \end{bmatrix}, B = \begin{bmatrix} b_1 & b_2 \\ b_3 & b_4 \end{bmatrix} \in M_2$  the inner product is defined as  $\langle A, B \rangle = a_1b_1 + a_2b_2 + a_3b_3 + a_4b_4$  find the angle between  $A$  and  $B$ .  
 $A = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$  and  $B = \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix}$ .
- If  $\alpha = (4, 3, 1, -2), \beta = (-2, 1, 2, 3)$  be two vectors in the vector space  $V_4(R)$  with standard inner product then find the angle between  $\alpha$  and  $\beta$ .
- In a real inner product space if  $\alpha, \beta$  are two vectors such that  $\|\alpha + \beta\| = \|\alpha\| + \|\beta\|$  then prove that  $\alpha, \beta$  are linearly dependent.

**ANSWERS**

- $\sqrt{30}, \sqrt{42}, \frac{1}{\sqrt{30}}(1, -2, 5), \frac{1}{\sqrt{42}}(3+i, 4i, -4)$
- $10+14i, \sqrt{27}, \sqrt{39}$
- $\sqrt{\|\alpha\|^2 - i\langle \alpha, \beta \rangle + i\langle \beta, \alpha \rangle + \|\beta\|^2}, \sqrt{\|\alpha\|^2 + i\langle \alpha, \beta \rangle - i\langle \beta, \alpha \rangle + \|\beta\|^2}$
- $\pi/2$
- $7. \theta = \cos^{-1} \sqrt{(3/20)}$

**9****Orthogonality**

9.1. We now introduce the concept of orthogonality in an inner product space which is analogous to perpendicularity in Geometry. In 3-D geometry we know that the three unit vectors  $\hat{i}, \hat{j}$  and  $\hat{k}$  along the three mutually perpendicular coordinate axes form a base for any vector. Just as bases are building blocks of vector spaces, the basis vectors forming an orthonormal set are the building blocks of inner product spaces. By Gram - Schmidt orthogonalisation process we now establish that every finite dimensional inner product space has an orthonormal basis.

**9.2. ORTHOGONAL AND ORTHONORMAL VECTORS**

**Definition.** Let  $V(F)$  be an inner product space and  $\alpha, \beta \in V$ .  $\alpha$  is said to be orthogonal to  $\beta$  if  $\langle \alpha, \beta \rangle = 0$ .  
(O. U. 00)

$\alpha$  is orthogonal to  $\beta \Leftrightarrow \langle \alpha, \beta \rangle = 0 \Leftrightarrow \langle \beta, \alpha \rangle = \langle \alpha, \bar{\beta} \rangle = 0$  ( $\because$  the conjugate of 0 is 0)  
 $\Leftrightarrow \beta$  is orthogonal to  $\alpha$ .

So, we say that  $\alpha, \beta \in V$  are orthogonal, if and only if,  $\langle \alpha, \beta \rangle = 0$ .

**Note. 1.** If  $\bar{0} \in V$  is the zero vector then  $\langle \bar{0}, \alpha \rangle = 0$  for all  $\alpha \in V$ .

Therefore the zero vector is orthogonal to every vector in  $V$  and  $\bar{0}$  is the only vector in  $V$  with this property.

2. Since  $\langle \bar{0}, \bar{0} \rangle = 0$  for  $\bar{0} \in V$ , the zero vector in  $V$  is orthogonal to itself.

**e.g. 1.** Let  $\alpha = (0, -2, 3), \beta = (7/2, 3, 2)$  be two vectors of the inner product space  $R^3$  with standard inner product.

Then  $\langle \alpha, \beta \rangle = (0)(7/2) + (-2)(3) + (3)(2) = 0$ .  $\therefore \alpha, \beta$  are orthogonal vectors.

2. Consider the inner product space  $V(C)$  of continuous complex valued functions on  $[0, 1]$  with the inner product defined as :  $\langle f, g \rangle = \int_0^1 f(t) \overline{g(t)} dt$  for  $f(t), g(t) \in V$ .

For  $f(t) = 2 \sin \pi t, g(t) = \cos \pi t$  we have

$$\langle f, g \rangle = \int_0^1 2 \sin \pi t \cos \pi t dt = \int_0^1 \sin 2\pi t dt = -\frac{1}{2\pi} [\cos 2\pi t]_0^1 = 0.$$

$\therefore 2 \sin \pi t, \cos \pi t$  are orthogonal vectors.

### 9.3. ORTHOGONAL AND ORTHONORMAL SETS OF INNER PRODUCT SPACE

**Definition.** Let  $S$  be a non-empty subset of an inner product space  $V(F)$ .

The set  $S$  is said to be an orthogonal set if every pair of distinct vectors are orthogonal.  
Or,  $S \subset V$  is an orthogonal set if  $\langle \alpha_i, \alpha_j \rangle = 0 \forall \alpha_i, \alpha_j \in S$  and  $i \neq j$ . (S.K.D. 05)

**Definition.** Let  $S$  be a non-empty subset of an inner product space  $V(F)$ .

The set  $S$  is said to be an orthonormal set if (i)  $\|\alpha_i\| = 1$  for each  $\alpha_i \in S$  and  
(ii)  $\langle \alpha_i, \alpha_j \rangle = 0$  for  $\alpha_i, \alpha_j \in S, i \neq j$ .

$S \subset V$  is an orthonormal set if  $\langle \alpha_i, \alpha_j \rangle = 1$  for  $i = j$  and  $\langle \alpha_i, \alpha_j \rangle = 0$  for  $i \neq j$  where  $\alpha_i, \alpha_j \in S$ . That is  $\langle \alpha_i, \alpha_j \rangle = \delta_{ij}$  (Kronecker delta). (S.K.D. 05, N.U. 97)

**Note. 1.**  $S \subset V$  is an orthonormal set  $\Leftrightarrow S$  contains mutually orthogonal unit vectors.

2. An orthonormal set is an orthogonal set with the property that each vector is of length 1.

3. An orthonormal set does not contain zero vector.

**e.g. 1.** If  $\alpha \in V$  is a nonzero vector then  $\frac{1}{\|\alpha\|} \alpha$  is unit vector. Therefore  $\left\{ \frac{1}{\|\alpha\|} \alpha \right\}$  is an orthonormal set in  $V$ . Thus, every inner product space which is not a null space has an orthonormal subset.

**e.g. 2.** The standard basis of the inner product space  $R^3$  or  $V_3(R)$  is the set  $\{e_1 = (1, 0, 0), e_2 = (0, 1, 0), e_3 = (0, 0, 1)\}$ . (S.K.D. 08)

Then  $\|e_1\| = 1, \|e_2\| = 1, \|e_3\| = 1$  and  $\langle e_1, e_2 \rangle = 0, \langle e_2, e_3 \rangle = 0, \langle e_1, e_3 \rangle = 0$ .

Thus the standard basis form an orthonormal set.

**Theorem 1.** In an inner product space, any orthogonal set of non-zero vectors is linearly independent. (S.V.U. 07)

**Proof.** Let  $S$  be a finite or infinite orthogonal set of non-zero vectors in an inner product space  $V(F)$ .

Then  $\alpha_i, \alpha_j \in S \Rightarrow \alpha_i \neq \bar{0}, \alpha_j \neq \bar{0}$  and  $\langle \alpha_i, \alpha_j \rangle = 0$  for  $i \neq j$ .

Let  $T = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a finite subset of  $S$  having  $m$  distinct vectors.

Let there exist  $a_1, a_2, \dots, a_m \in F$  such that  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \bar{0}$ .

For any  $i \in \{1, 2, \dots, m\}$ ,  $(a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m, \alpha_i)$

$$= a_1(\alpha_1, \alpha_i) + a_2(\alpha_2, \alpha_i) + \dots + a_i(\alpha_i, \alpha_i) + \dots + a_m(\alpha_m, \alpha_i)$$

$$= a_i(\alpha_i, \alpha_i) \text{ since } (\alpha_j, \alpha_i) = 0 \text{ for } j \neq i$$

$$\therefore a_1, a_2, \dots, a_m \in F \text{ and } a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \bar{0}$$

### Orthogonality

$$\Rightarrow \langle \bar{0}, \alpha_i \rangle = a_i \langle \alpha_i, \alpha_i \rangle \text{ for each } i \in \{1, 2, \dots, m\}$$

$$\Rightarrow 0 = a_i \langle \alpha_i, \alpha_i \rangle \text{ for each } i \in \{1, 2, \dots, m\}$$

$$\Rightarrow a_i = 0 \text{ for each } i \in \{1, 2, \dots, m\} \quad (\because \alpha_i \neq \bar{0}, \langle \alpha_i, \alpha_i \rangle \neq 0)$$

Hence the set  $T$  is linearly independent.

Thus every non-zero finite subset  $T$  of  $S$  is linearly independent.

$\therefore$  The set  $S$  is linearly independent.

**Note.** If  $\beta = a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m$  then for each  $i \in \{1, 2, \dots, m\}$  we have  $\langle \beta, \alpha_i \rangle = a_i \langle \alpha_i, \alpha_i \rangle = a_i \|\alpha_i\|^2$  so that  $a_i = \frac{\langle \beta, \alpha_i \rangle}{\|\alpha_i\|^2}$ .

$$\text{Therefore } \beta = a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \sum_{i=1}^m a_i \alpha_i = \sum_{i=1}^m \frac{\langle \beta, \alpha_i \rangle}{\|\alpha_i\|^2} \alpha_i.$$

**Corollary.** In an inner product space any orthonormal set of vectors is linearly independent. (S.V.U. M07, S.K.U. M07, O.U. 99)

**Proof.** Let  $S$  be a finite or infinite orthonormal set of vectors in an inner product space  $V(F)$ .

Then  $\alpha_i, \alpha_j \in S \Rightarrow \langle \alpha_i, \alpha_j \rangle = 1$  for  $i = j$  and  $\langle \alpha_i, \alpha_j \rangle = 0$  for  $i \neq j$ .

Let  $T = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a finite subset of  $S$  having  $m$  distinct vectors.

$\therefore a_1, a_2, \dots, a_m \in F$  and  $a_1\alpha_1 + a_2\alpha_2 + \dots + a_m\alpha_m = \bar{0}$

$$\Rightarrow \langle \bar{0}, \alpha_i \rangle = a_i \text{ for each } i \in \{1, 2, \dots, m\}$$

$$\Rightarrow a_i = 0 \forall i \in \{1, 2, \dots, m\} \Rightarrow T \text{ is linearly independent}$$

$\therefore S$  is linearly independent.

**Corollary. 2.** If  $S$  is an orthonormal set of vectors of an inner product space

$V(F)$ , then  $\beta = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n \Rightarrow a_i = \langle \beta, \alpha_i \rangle$  for  $i = 1, 2, \dots, n$ . (N.U. 97)

**Proof.**  $S$  is an orthonormal set  $\Rightarrow$  for  $\alpha_i, \alpha_j \in S$

$$\|\alpha_i\| = 1, \|\alpha_j\| = 1 \text{ and } \langle \alpha_i, \alpha_j \rangle = 0 \text{ when } i \neq j.$$

Then, for each  $i = 1, 2, \dots, n$ ;  $\langle \beta, \alpha_i \rangle = (a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n, \alpha_i) = a_i \langle \alpha_i, \alpha_i \rangle = a_i$

$$\therefore \beta = a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n = \sum_{i=1}^n a_i \alpha_i = \sum_{i=1}^n \langle \beta, \alpha_i \rangle \alpha_i.$$

**Theorem. 2.** Let  $V(F)$  be an inner product space and  $S = \{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be an orthogonal subset of  $V$  consisting non-zero vectors. If  $\beta \in \text{span } S = L(S)$  then

$$\beta = \sum_{i=1}^m \frac{\langle \beta, \alpha_i \rangle}{\|\alpha_i\|^2} \alpha_i.$$

**9.4. GRAM - SCHMIDT ORTHOGONALISATION PROCESS.**

For a vector space we have seen earlier the special role of basis. We have learnt that, if  $S$  is a basis of vector space  $V(F)$  then  $S$  is linearly independent and  $L(S) = V$ .

**Definition.** A basis of an inner product space  $V(F)$  which is also orthonormal is called "orthonormal basis" of the inner product space.

e.g. 1. The basis  $S = \{(1, 0), (0, 1)\}$  of the inner product space  $R^2(R)$  is also orthonormal.

So,  $S$  is an orthonormal basis of  $R^2(R)$ .

e.g. 2. The basis  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  of the inner product space  $R^3(R)$  is also orthonormal. So,  $S$  is an orthonormal basis of  $R^3(R)$ .

e.g. 3. For the inner product space  $R^2(R)$ ,  $S = \left\{ \left( \frac{1}{\sqrt{5}}, \frac{2}{\sqrt{5}} \right), \left( \frac{2}{\sqrt{5}}, -\frac{1}{\sqrt{5}} \right) \right\}$  is also an orthonormal basis.

**Definition.** A finite dimensional vector space, in which an inner product is defined, is called a finite dimensional inner product space.

We now establish that every finite dimensional inner product space possesses an orthonormal basis. If  $S$  is a basis of the finite dimensional inner product space  $V(F)$ , we construct an orthonormal set  $S'$  from  $S$  such that  $L(S) = L(S') = V$ .

e.g. Let  $V_2(F)$  be a 2-dimensional inner product space and  $S = \{\beta_1, \beta_2\}$  be a basis. Then  $S$  is linearly independent and  $L(S) = V$ .

Consider  $S' = \{\alpha_1, \alpha_2\}$  where  $\alpha_1 = \beta_1$  and  $\alpha_2 = \beta_2 - a\beta_1$  where  $a \in F$  chosen such that  $\alpha_2$  is orthogonal to  $\beta_1$ .

$$\text{Then } 0 = \langle \alpha_2, \beta_1 \rangle = \langle \beta_2 - a\beta_1, \beta_1 \rangle = \langle \beta_2, \beta_1 \rangle - a \langle \beta_1, \beta_1 \rangle \Rightarrow a = \frac{\langle \beta_2, \beta_1 \rangle}{\langle \beta_1, \beta_1 \rangle} = \frac{\langle \beta_2, \beta_1 \rangle}{\|\beta_1\|^2}.$$

Thus  $S' = \left\{ \beta_1, \beta_2 - \frac{\langle \beta_2, \beta_1 \rangle}{\|\beta_1\|^2} \beta_1 \right\}$  is an orthogonal set of non-zero vectors such that  $L(S) = L(S') = V$ .

Observe that each vector in  $S' = \{\alpha_1, \alpha_2\}$  is a linear combination of  $\beta_1, \beta_2$  of  $S$ .

**Theorem. 1.** Every finite dimensional inner product space has an orthonormal basis.  
(N. U. 07, 08, K. U. 05, 03, A. U. 04, 03, O. U. 04, 03)

**Proof.** Let  $V(F)$  be an inner product space of dimension  $n$  and  $B = \{\beta_1, \beta_2, \dots, \beta_n\}$  be a basis for  $V$ .

Now we shall obtain an orthonormal set  $B' = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  in  $V(F)$  such that

$L(B') = L(B) = V$  with the help of the vectors in  $B$  by means of a construction known as Gram - Schmidt orthogonalization process.

The main idea behind this construction is that each  $\alpha_i$  is a linear combination of  $\beta_1, \beta_2, \dots, \beta_n$ .

We now prove this construction by using induction on the dimension  $n$  of  $V$ .

Since  $B$  is a basis of  $V$ ,  $B$  is linearly independent  $\Rightarrow \beta_i \neq \bar{0}$  for  $i = 1, 2, \dots, n$ .

$$\text{Let } \alpha_1 = \frac{\beta_1}{\|\beta_1\|}.$$

Then we have  $\langle \alpha_1, \alpha_1 \rangle = 1$  and  $\alpha_1$  is a linear combination of  $\beta_1$ .

Thus  $\{\alpha_1\}$  is an ortho-normal set which is a basis of  $V$  such that  $L(\{\alpha_1\}) = L(\{\beta_1\}) = V$  when  $\dim V = n = 1$ .

Suppose that  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$  where  $1 \leq m < n$  has been constructed as an ortho-normal basis of  $V$  when  $\dim V = m$ .

Then  $L(\{\alpha_1, \alpha_2, \dots, \alpha_m\}) = L(\{\beta_1, \beta_2, \dots, \beta_m\}) = V$  and each  $\alpha_i$  ( $i = 1, 2, \dots, m$ ) is a linear combination of  $\beta_1, \beta_2, \dots, \beta_m$ .

Consider the vector

$$\gamma_{m+1} = \beta_{m+1} - \langle \beta_{m+1}, \alpha_1 \rangle \alpha_1 - \langle \beta_{m+1}, \alpha_2 \rangle \alpha_2 - \dots - \langle \beta_{m+1}, \alpha_m \rangle \alpha_m \quad \dots (1)$$

By theorem (3) of Art. 9.3;  $\gamma_{m+1}$  is orthogonal to each of  $\alpha_1, \alpha_2, \dots, \alpha_m$ .

Suppose that  $\gamma_{m+1} = \bar{0}$ . Then  $\beta_{m+1} = (\beta_{m+1}, \alpha_1) \alpha_1 + (\beta_{m+1}, \alpha_2) \alpha_2 + \dots + (\beta_{m+1}, \alpha_m) \alpha_m$  so that  $\beta_{m+1}$  is a linear combination of  $\alpha_1, \alpha_2, \dots, \alpha_m$ .

By our induction hypothesis, each  $\alpha_i$  ( $i = 1, 2, \dots, m$ ) is a linear combination of  $\beta_1, \beta_2, \dots, \beta_m$ .

Therefore,  $\beta_{m+1}$  is a linear combination of  $\beta_1, \beta_2, \dots, \beta_m$ .

This is a contradiction, as  $\{\beta_1, \beta_2, \dots, \beta_m, \beta_{m+1}\}$  is linearly independent.

$$\therefore \gamma_{m+1} \neq \bar{0}, \text{ Take } \alpha_{m+1} = \frac{\gamma_{m+1}}{\|\gamma_{m+1}\|}.$$

Then we have  $\|\alpha_{m+1}\| = 1$  and  $\alpha_{m+1}$  is orthogonal to each of  $\alpha_1, \alpha_2, \dots, \alpha_m$ .

Also,  $\alpha_{m+1} \neq \alpha_i$  for  $i = 1, 2, \dots, m$ .

Otherwise, from (1) we see that  $\beta_{m+1}$  will become a linear combination of  $\beta_1, \beta_2, \dots, \beta_m$ .

Further from (1) we see that  $\alpha_{m+1}$  is a linear combination of  $\beta_1, \beta_2, \dots, \beta_m, \beta_{m+1}$ .

Thus  $\{\alpha_1, \alpha_2, \dots, \alpha_m, \alpha_{m+1}\}$  is an orthonormal basis of  $V$  such that

$$L(\{\alpha_1, \alpha_2, \dots, \alpha_{m+1}\}) = L(\{\beta_1, \beta_2, \dots, \beta_{m+1}\}) = V \text{ when } \dim V = m+1.$$

Therefore, by induction,  $B' = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is an orthonormal basis of  $V$  such that  $L(B) = L(B') = V$  when  $\dim V = n$ .

**The above theorem can also be stated as follows :**

"Let  $V(F)$  be an inner product space of dimension  $n$  and  $B = \{\beta_1, \beta_2, \dots, \beta_n\}$  be a linearly independent subset of  $V$ . If  $B' = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  such that  $\alpha_1 = \beta_1$  and

$$\alpha_k = \beta_k - \sum_{i=1}^{k-1} \frac{\langle \beta_k, \alpha_i \rangle}{\|\alpha_i\|^2} \alpha_i \text{ for } 2 \leq k \leq n \text{ then } B'$$

such that  $L(B') = L(B) = V$ ."

#### WORKING METHOD FOR FINDING ORTHOGONAL BASIS

Let  $(\beta_1, \beta_2, \dots, \beta_n)$  be the given L.I. basis of  $V(F)$ .

The orthogonal basis of  $V(F)$ , namely  $\{\gamma_1, \gamma_2, \dots, \gamma_n\}$  is given by the following relations:

$$\gamma_1 = \beta_1, \quad \gamma_2 = \beta_2 - \frac{\langle \beta_2, \gamma_1 \rangle}{\|\gamma_1\|^2} \gamma_1, \quad \gamma_3 = \beta_3 - \frac{\langle \beta_3, \gamma_1 \rangle}{\|\gamma_1\|^2} \gamma_1 - \frac{\langle \beta_3, \gamma_2 \rangle}{\|\gamma_2\|^2} \gamma_2, \dots$$

$$\dots \gamma_n = \beta_n - \frac{\langle \beta_n, \gamma_1 \rangle}{\|\gamma_1\|^2} \gamma_1 - \dots - \frac{\langle \beta_n, \gamma_{n-1} \rangle}{\|\gamma_{n-1}\|^2} \gamma_{n-1}.$$

#### WORKING METHOD FOR FINDING ORTHONORMAL BASIS

Let  $(\beta_1, \beta_2, \dots, \beta_n)$  be a given basis of a finite dimensional inner product space  $V(F)$ .

The vectors  $\alpha_1, \alpha_2, \dots, \alpha_n$  of the orthonormal basis of  $V(F)$  are given by

$$\alpha_1 = \frac{\beta_1}{\|\beta_1\|}, \quad \alpha_2 = \frac{\gamma_2}{\|\gamma_2\|} \text{ where } \gamma_2 = \beta_2 - \langle \beta_2, \alpha_1 \rangle \alpha_1, \quad \alpha_3 = \frac{\gamma_3}{\|\gamma_3\|}$$

$$\text{where } \gamma_3 = \beta_3 - \langle \beta_3, \alpha_1 \rangle \alpha_1 - \langle \beta_3, \alpha_2 \rangle \alpha_2, \dots, \alpha_n = \frac{\gamma_n}{\|\gamma_n\|}$$

$$\text{where } \beta_n = \beta_n - \langle \beta_n, \alpha_1 \rangle \alpha_1 - \langle \beta_n, \alpha_2 \rangle \alpha_2 - \dots - \langle \beta_n, \alpha_{n-1} \rangle \alpha_{n-1}.$$

#### SOLVED PROBLEMS

**Ex. 1.** Given  $\{(2,1,3), (1,2,3), (1,1,1)\}$  is a basis of  $R^3$ ; construct an orthonormal basis. (N. U. 91, S. K. U. 00)

**Sol.** Let  $\beta_1 = (2,1,3), \beta_2 = (1,2,3), \beta_3 = (1,1,1)$ .

By Gram - Schmidt orthogonalization process an ortho-normal basis  $= \{\alpha_1, \alpha_2, \alpha_3\}$  is given by  $\alpha_1 = \frac{\beta_1}{\|\beta_1\|}, \alpha_2 = \frac{\gamma_2}{\|\gamma_2\|}$  where  $\gamma_2 = \beta_2 - \langle \beta_2, \alpha_1 \rangle \alpha_1$  and  $\alpha_3 = \frac{\gamma_3}{\|\gamma_3\|}$ .

#### Orthogonality

where  $\gamma_3 = \beta_3 - \langle \beta_3, \alpha_1 \rangle \alpha_1 - \langle \beta_3, \alpha_2 \rangle \alpha_2$ .

$$\|\beta_1\|^2 = \langle \beta_1, \beta_1 \rangle = 2^2 + 1^2 + 3^2 = 14. \quad \therefore \alpha_1 = \frac{1}{\|\beta_1\|} \beta_1 = \frac{1}{\sqrt{14}} (2,1,3) = \left( \frac{2}{\sqrt{14}}, \frac{1}{\sqrt{14}}, \frac{3}{\sqrt{14}} \right)$$

$$\langle \beta_2, \alpha_1 \rangle = 1 \cdot \frac{2}{\sqrt{14}} + 2 \cdot \frac{1}{\sqrt{14}} + 3 \cdot \frac{3}{\sqrt{14}} = \frac{13}{\sqrt{14}}$$

$$\gamma_2 = (1,2,3) - \frac{13}{\sqrt{14}} \left( \frac{2}{\sqrt{14}}, \frac{1}{\sqrt{14}}, \frac{3}{\sqrt{14}} \right) = \left( \frac{-12}{14}, \frac{15}{14}, \frac{3}{14} \right)$$

$$\|\gamma_2\|^2 = \langle \gamma_2, \gamma_2 \rangle = \left( \frac{-12}{14} \right)^2 + \left( \frac{15}{14} \right)^2 + \left( \frac{3}{14} \right)^2 = \frac{378}{196}.$$

$$\therefore \alpha_2 = \frac{1}{\|\gamma_2\|} \gamma_2 = \frac{14}{\sqrt{378}} \left( \frac{-12}{14}, \frac{15}{14}, \frac{3}{14} \right) = \left( \frac{-12}{\sqrt{378}}, \frac{15}{\sqrt{378}}, \frac{3}{\sqrt{378}} \right).$$

$$\langle \beta_3, \alpha_1 \rangle = 1 \cdot \frac{2}{\sqrt{14}} + 1 \cdot \frac{1}{\sqrt{14}} + 1 \cdot \frac{3}{\sqrt{14}} = \frac{6}{\sqrt{14}}, \quad \langle \beta_3, \alpha_2 \rangle = 1 \cdot \frac{-12}{\sqrt{378}} + 1 \cdot \frac{15}{\sqrt{378}} + 1 \cdot \frac{3}{\sqrt{378}} = \frac{6}{\sqrt{378}}.$$

$$\gamma_3 = (1,1,1) - \frac{6}{\sqrt{14}} \left( \frac{2}{\sqrt{14}}, \frac{1}{\sqrt{14}}, \frac{3}{\sqrt{14}} \right) - \frac{6}{\sqrt{378}} \left( \frac{-12}{\sqrt{378}}, \frac{15}{\sqrt{378}}, \frac{3}{\sqrt{378}} \right)$$

$$= (1,1,1) - \left( \frac{12}{14}, \frac{6}{14}, \frac{18}{14} \right) - \left( \frac{-72}{378}, \frac{90}{378}, \frac{18}{378} \right) = \left( \frac{126}{378}, \frac{126}{378}, \frac{-126}{378} \right) = \left( \frac{1}{3}, \frac{1}{3}, \frac{-1}{3} \right)$$

$$\|\gamma_3\|^2 = \langle \gamma_3, \gamma_3 \rangle = \left( \frac{1}{3} \right)^2 + \left( \frac{1}{3} \right)^2 + \left( \frac{-1}{3} \right)^2 = \frac{1}{3}.$$

$$\therefore \alpha_3 = \frac{1}{\|\gamma_3\|} \gamma_3 = \sqrt{3} \left( \frac{1}{3}, \frac{1}{3}, \frac{-1}{3} \right) = \left( \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{-1}{\sqrt{3}} \right)$$

Hence orthonormal basis  $\left\{ \left( \frac{2}{\sqrt{14}}, \frac{1}{\sqrt{14}}, \frac{3}{\sqrt{14}} \right), \left( \frac{-12}{\sqrt{378}}, \frac{15}{\sqrt{378}}, \frac{3}{\sqrt{378}} \right), \left( \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{-1}{\sqrt{3}} \right) \right\}$ .

**Ex. 2.** Find an orthonormal basis of a vector space  $V(R)$  of all real polynomials of degree not greater than 2, in which the inner product is defined as

$$\langle f(x), g(x) \rangle = \int_0^1 f(x) g(x) dx \quad \forall f(x), g(x) \in V \text{ and } x \in [0,1], \text{ from a given basis } \{1, x, x^2\}.$$

**Sol.** Let  $\beta_1 = 1, \beta_2 = x, \beta_3 = x^2$ .

$$\|\beta_1\|^2 = \langle \beta_1, \beta_1 \rangle = \int_0^1 (1) (1) dx = 1. \quad \therefore \alpha_1 = \frac{1}{\|\beta_1\|} \beta_1 = 1.$$

$$\langle \beta_2, \alpha_1 \rangle = \int_0^1 (x) (1) dx = \int_0^1 x \cdot 1 dx = \frac{1}{2}.$$

$$\gamma_2 = \beta_2 - \langle \beta_2, \alpha_1 \rangle \alpha_1 = x - \frac{1}{2}(1) = x - \frac{1}{2}.$$

$$\|\gamma_2\|^2 = \langle \gamma_2, \gamma_2 \rangle = \int_0^1 \left( x - \frac{1}{2} \right) \left( x - \frac{1}{2} \right) dx = \left[ \frac{\left( x - \frac{1}{2} \right)^3}{3} \right]_0^1 = \frac{1}{12} \quad \therefore \alpha_2 = \frac{1}{\|\gamma_2\|} \gamma_2 = \sqrt{12} \left( x - \frac{1}{2} \right)$$

$$\langle \beta_3, \alpha_1 \rangle = \int_0^1 x^2 \cdot 1 dx = \frac{1}{3} \text{ and } \langle \beta_3, \alpha_2 \rangle = \int_0^1 x^2 \cdot \sqrt{12} \left( x - \frac{1}{2} \right) dx = \sqrt{12} \left[ \frac{x^4}{4} - \frac{1}{2} \frac{x^3}{3} \right]_0^1 = \frac{1}{\sqrt{12}}$$

$$\gamma_3 = \beta_3 - (\beta_3, \alpha_1) \alpha_1 - (\beta_3, \alpha_2) \alpha_2 = x^2 - \frac{1}{3} \cdot 1 - \frac{1}{\sqrt{12}} \sqrt{12} \left( x - \frac{1}{2} \right) = x^2 - x + \frac{1}{6}$$

$$\|\gamma_3\|^2 = \langle \gamma_3, \gamma_3 \rangle = \int_0^1 \left( x^2 - x + \frac{1}{6} \right) \left( x^2 - x + \frac{1}{6} \right) dx$$

$$= \int_0^1 \left( x^4 - 2x^3 + \frac{4x^2}{3} - \frac{x}{3} + \frac{1}{36} \right) dx = \left[ \frac{x^5}{5} - \frac{x^4}{2} + \frac{4x^3}{9} - \frac{x^2}{9} + \frac{x}{36} \right]_0^1 = \frac{1}{180}$$

$$\therefore \alpha_3 = \frac{1}{\|\gamma_3\|} \gamma_3 = \sqrt{180} \left( x^2 - x + \frac{1}{6} \right).$$

Hence orthonormal basis  $\{\alpha_1, \alpha_2, \alpha_3\} = \left\{ 1, \sqrt{12} \left( x - \frac{1}{2} \right), 3 \sqrt{20} \left( x^2 - x + \frac{1}{6} \right) \right\}$

**Theorem. 2.** Let  $B = \{\alpha_1, \alpha_2, \dots, \alpha_k\}$  be an orthonormal set in  $n$ -dimensional inner product space  $V(F)$ . Then  $B$  can be extended to an orthonormal basis  $B' = \{\alpha_1, \alpha_2, \dots, \alpha_k, \alpha_{k+1}, \dots, \alpha_n\}$  for  $V$ .

**Proof.**  $V(F)$  is an inner product space  $\Rightarrow V(F)$  is a vector space.

By basis extension theorem of vector space,  $B$  can be extended to the set  $B_1 = \{\alpha_1, \alpha_2, \dots, \alpha_k, \beta_{k+1}, \dots, \beta_n\}$ . So as to form the basis of  $V(F)$ .

By applying Gram - Schmidt orthogonalisation process to  $B_1$  we can obtain orthonormal basis  $B' = \{\alpha_1, \alpha_2, \dots, \alpha_k, \alpha_{k+1}, \dots, \alpha_n\}$  so that  $L(B') = V$  of the inner product space.

**Theorem. 3.** If  $V(F)$  is a non-zero finite dimensional inner product space of dimension  $n$  then  $\gamma = \sum_{i=1}^n \langle \gamma, \alpha_i \rangle \alpha_i$  where  $\alpha_1, \alpha_2, \dots, \alpha_n, \gamma \in V$ .

**Proof.** Let  $B = \{\beta_1, \beta_2, \dots, \beta_n\}$  be a linearly independent subset of  $V$  such that  $L(B) = V$ .

By Gram - Schmidt orthogonalisation process, we can obtain

$B' = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , an orthonormal basis of  $V$  such that  $L(B') = L(B) = V$

$$\gamma \in V \Rightarrow \gamma \in L(B') \Rightarrow \gamma = \sum_{i=1}^n a_i \alpha_i \text{ for } a_i \in F$$

### Orthogonality

$$\begin{aligned} \text{For } 1 \leq j \leq n; \langle \gamma, \alpha_j \rangle &= \left\langle \sum_{i=1}^n a_i \alpha_i, \alpha_j \right\rangle = \sum_{i=1}^n a_i \langle \alpha_i, \alpha_j \rangle \\ &= a_j \langle \alpha_j, \alpha_j \rangle = a_j \|\alpha_j\|^2 \quad (\because \langle \alpha_i, \alpha_j \rangle = 0 \text{ for } i \neq j) \\ \therefore a_j &= \frac{\langle \gamma, \alpha_j \rangle}{\|\alpha_j\|^2} = \langle \gamma, \alpha_j \rangle \quad (\because \|\alpha_j\| = 1). \end{aligned}$$

Hence  $\gamma = \sum_{i=1}^n \langle \gamma, \alpha_i \rangle \alpha_i$ .

**Corollary.** Let  $V(F)$  be a finite dimensional vector space with an orthonormal basis  $B = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ . Let  $T$  be a linear operator on  $V$  and  $A = [T]_B$ . Then for any  $i$  and  $j$ ,  $a_{ij} = \langle T(\alpha_j), \alpha_i \rangle$ .

**Proof.** From the above theorem,

$$T(\alpha_j) = \sum_{i=1}^n \langle T(\alpha_j), \alpha_i \rangle \alpha_i \text{ and hence } a_{ij} = \langle T(\alpha_j), \alpha_i \rangle.$$

**Definition. (Fourier Coefficients)** If  $B$  is an orthonormal basis of a finite dimensional inner product space  $V(F)$  and  $\beta \in V$  then the Fourier coefficients of  $\beta$  relative to basis  $B$  are the scalars  $\langle \beta, \alpha_i \rangle$  where  $\alpha_i \in B$ .

e.g. Let  $B = \left\{ \left( \frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right), \left( \frac{1}{\sqrt{2}}, -\frac{1}{\sqrt{2}} \right) \right\}$  be an orthonormal basis of  $R^2(R)$ .

Then the Fourier coefficients of  $\beta = (3, 4) \in R^2$  relative to  $B$  are as below :

$$\text{Let } \alpha_1 = \left( \frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right) \text{ and } \alpha_2 = \left( \frac{1}{\sqrt{2}}, -\frac{1}{\sqrt{2}} \right).$$

The Fourier coefficients of  $\beta$  with respect to  $B$  are  $\langle \beta, \alpha_1 \rangle$  and  $\langle \beta, \alpha_2 \rangle$ .

$$\langle \beta, \alpha_1 \rangle = 3 \left( \frac{1}{\sqrt{2}} \right) + 4 \left( \frac{1}{\sqrt{2}} \right) = \frac{7}{\sqrt{2}}; \quad \langle \beta, \alpha_2 \rangle = 3 \left( \frac{1}{\sqrt{2}} \right) + 4 \left( -\frac{1}{\sqrt{2}} \right) = -\frac{1}{\sqrt{2}}.$$

### 9.5. BESEL'S INEQUALITY AND PARSEVAL'S IDENTITY

**Theorem. 1. (Bessel's inequality)** If  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is any orthonormal subset in an inner product space  $V(F)$  and  $\beta$  is any vector in  $V$ , then  $\sum_{i=1}^n |\langle \beta, \alpha_i \rangle|^2 \leq \|\beta\|^2$ .

Further equality holds if and only if  $\beta$  is in the subspace generated by  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  or if and only if  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is a basis for  $V$ .

(K. U. 05, 07, N. U. 00, 08, S. K. D. 01)

**Proof.**  $S = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  is an orthonormal set

From the definition,  $\langle \alpha, \gamma \rangle = 0, \langle \beta, \gamma \rangle = 0 \forall \gamma \in W$ .

For each  $\gamma \in W; \langle a\alpha + b\beta, \gamma \rangle = a\langle \alpha, \gamma \rangle + b\langle \beta, \gamma \rangle = a0 + b0 = 0 \Rightarrow a\alpha + b\beta \in W^\perp$

$\therefore W^\perp$  is a subspace of  $V$ .

Note. The non-empty subset  $W$  need not be a subspace of  $V$ .

**Theorem. 2.** If  $W$  is a subspace of the inner product space  $V(F)$  then  $W^\perp$  is also subspace of  $V$  and  $W \cap W^\perp = \{0\}$ .

**Proof.**  $W$  is a subspace of  $V \Rightarrow W$  is a non - empty subset of  $V$

$\Rightarrow W^\perp$  is subspace of  $V$  (By theorem(1)).

Let  $\bar{0} \in V$  be the zero element.

Then  $\bar{0} \in W$  and  $\bar{0} \in W^\perp$  as  $W, W^\perp$  are both subspaces of  $V$ .  $\therefore \bar{0} \in W \cap W^\perp$ .

Let  $\alpha \in W \cap W^\perp$ .  $\alpha \in W^\perp \Rightarrow \langle \alpha, \beta \rangle = 0 \forall \beta \in W$

As  $\alpha \in W$  we have  $\langle \alpha, \alpha \rangle = 0 \Rightarrow \alpha = \bar{0}$

$\therefore$  Every  $\alpha \in W \cap W^\perp$  is such that  $\alpha = \bar{0}$ . Hence  $W \cap W^\perp = \{0\}$ .

### SOLVED PROBLEMS

**Ex. 5.** Let  $V = P_3(R)$  be the inner product space of atmost 3rd degree polynomials continuous on  $[-1,1]$  let the innerproduct be defined as  $\langle f, g \rangle = \int_{-1}^1 f(t) g(t) dt$  where  $f, g \in V$ . If  $W = P_2(R)$  is the subspace of  $V$  with standard basis  $B = \{1, x, x^2\}$ , by Gram - Schmidt process obtain its orthonormal basis.

**Sol.** Let  $B = \{\beta_1, \beta_2, \beta_3\}$  so that  $\beta_1 = 1, \beta_2 = x, \beta_3 = x^2$

Let  $B' = \{\alpha_1, \alpha_2, \alpha_3\}$  be the orthonormal basis.

$$\|\beta_1\|^2 = \langle \beta_1, \beta_1 \rangle = \int_{-1}^1 (1)(1) dt = t \Big|_{-1}^1 = 2 \Rightarrow \alpha_1 = \frac{1}{\|\beta_1\|} \beta_1 = \frac{1}{\sqrt{2}}$$

$$\langle \beta_2, \alpha_1 \rangle = \int_{-1}^1 t \cdot \frac{1}{\sqrt{2}} dt = 0. \quad \gamma_2 = \beta_2 - \langle \beta_2, \alpha_1 \rangle \alpha_1 = x - 0\alpha_1 = x$$

$$\|\gamma_2\|^2 = \langle \gamma_2, \gamma_2 \rangle = \int_{-1}^1 t \cdot t dt = 2 \int_0^1 t^2 dt = \frac{2}{3} \Rightarrow \alpha_2 = \frac{1}{\|\gamma_2\|} \gamma_2 = \sqrt{\frac{3}{2}} \cdot x$$

$$\langle \beta_3, \alpha_1 \rangle = \int_{-1}^1 t^2 \cdot \frac{1}{\sqrt{2}} dt = \frac{1}{\sqrt{2}} \left( \frac{2}{3} \right) = \frac{\sqrt{2}}{3}; \quad \langle \beta_3, \alpha_2 \rangle = \int_{-1}^1 t^2 \cdot \sqrt{\frac{3}{2}} dt = \sqrt{\frac{3}{2}} \int_{-1}^1 t^3 dt = 0$$

$$\gamma_3 = \beta_3 - \langle \beta_3, \alpha_1 \rangle \alpha_1 - \langle \beta_3, \alpha_2 \rangle \alpha_2 = x^2 - \frac{\sqrt{2}}{3} \cdot \frac{1}{\sqrt{2}} - 0 \cdot \sqrt{\frac{3}{2}} x = x^2 - \frac{1}{3}$$

$$\|\gamma_3\|^2 = \int_{-1}^1 \left( t^2 - \frac{1}{3} \right)^2 dt = \int_{-1}^1 \left( t^4 - \frac{2}{3}t^2 + \frac{1}{9} \right) dt = 2 \int_0^1 \left( t^4 - \frac{2}{3}t^2 + \frac{1}{9} \right) dt = 2 \left( \frac{1}{5} - \frac{2}{3} \cdot \frac{1}{3} + \frac{1}{9} \right) = \frac{8}{45}$$

$$\therefore \alpha_3 = \frac{1}{\|\gamma_3\|} \gamma_3 = \left( x^2 - \frac{1}{3} \right) \sqrt{\frac{45}{8}} = \sqrt{\frac{5}{8}} (3x^2 - 1)$$

Hence orthonormal basis of the subspace  $W = \{\alpha_1, \alpha_2, \alpha_3\} = \left\{ \frac{1}{\sqrt{2}}, \sqrt{\frac{3}{2}}x, \sqrt{\frac{5}{8}}(3x^2 - 1) \right\}$

**Ex. 6.** Represent the polynomial  $f(x) = 1 + 2x + 3x^2 \in P_2(R)$  as linear combination of the vectors in the orthonormal basis  $\{\alpha_1, \alpha_2, \alpha_3\}$  in Ex. 10.

**Sol.** The linear combination of  $f(x) = \sum_{i=1}^3 \langle f, \alpha_i \rangle \alpha_i$

$$\langle f, \alpha_1 \rangle = \int_{-1}^1 (1 + 2t + 3t^2) \frac{1}{\sqrt{2}} dt = \frac{2}{\sqrt{2}} \left\{ \int_0^1 1 dt + 3 \int_0^1 t^2 dt \right\} = \sqrt{2}(1+1) = 2\sqrt{2}$$

$$\langle f, \alpha_2 \rangle = \int_{-1}^1 (1 + 2t + 3t^2) \sqrt{3/2} t dt = 2(\sqrt{3/2}) \left\{ \int_0^1 2t^2 dt \right\} = 2\sqrt{\frac{3}{2}} \cdot \frac{2}{3} = \frac{2\sqrt{6}}{3}$$

$$\langle f, \alpha_3 \rangle = \int_{-1}^1 (1 + 2t + 3t^2) \sqrt{5/8} (3t^2 - 1) dt = 2(\sqrt{5/8}) \left\{ \int_0^1 (-1 + 9t^4) dt \right\} = \frac{2\sqrt{10}}{5}.$$

$$\therefore f(x) = 2\sqrt{2} \left( \frac{1}{\sqrt{2}} \right) + \frac{2\sqrt{6}}{3} \left( \sqrt{\frac{3}{2}}x \right) + \frac{2\sqrt{10}}{5} \left( \sqrt{\frac{5}{8}}(3x^2 - 1) \right)$$

### EXERCISE 9 (b)

- Normalize the vectors (a)  $\{(1,1,0), (1,-1,1), (-1,1,2)\}$  (b)  $\{(1,0,1), (1,0,-1), (0,3,0)\}$  in  $R^3(R)$ .
- Find an orthonormal basis of  $R^2(R)$  from the basis (a)  $\{(1,-3), (2,2)\}$  (b)  $\{(1,0), (3,-5)\}$
- Applying Gram - Schmidt process obtain an orthonormal basis of  $R^3(R)$  from the basis (a)  $\{(1,0,1), (1,0,-1), (0,3,4)\}$  (N.U. 01, S.K.D. 08)
- (b)  $\{(2,0,1), (3,-1,5), (0,4,2)\}$  (N.U. 03, 08)
- (c)  $\{(1,1,0), (-1,1,0), (1,2,1)\}$  (K.U. 04)
- Applying Gram - Schmidt process compute the orthogonal set and then normalize to obtain an orthonormal set of  $\{(1,0,1,0), (1,1,1,1), (0,1,2,1)\}$  in  $R^4(R)$ .
- Find an orthonormal basis of the subspace  $W = L(\{(1,0,i), (2,1,1+i)\})$  of  $C^3(C)$ .
- If  $\langle f, g \rangle = \int_0^1 f(t) g(t) dt$  is the inner product in  $P_2(R)$  with a basis  $S = \{1, x, x^2\}$  find the Fourier coefficients of  $h(x) = 1+x$  relative to an orthonormal basis.