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Machine Learning with Python-From Linear Models to Deep Learning

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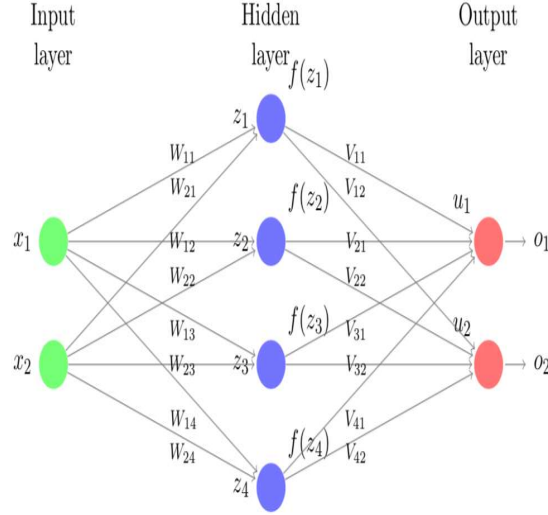
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## 1. Neural Networks

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Homework due Oct 28, 2020 05:29 IST *Completed*

In this problem we will analyze a simple neural network to understand its classification properties. Consider the neural network given in the figure below, with **ReLU activation functions (denoted by  $f$ ) on all neurons**, and a **softmax activation function in the output layer**:



Given an input  $x = [x_1, x_2]^T$ , the hidden units in the network are activated in stages as described by the following equations:

$$\begin{aligned} z_1 &= x_1 W_{11} + x_2 W_{21} + W_{01} & f(z_1) &= \max\{z_1, 0\} \\ z_2 &= x_1 W_{12} + x_2 W_{22} + W_{02} & f(z_2) &= \max\{z_2, 0\} \\ z_3 &= x_1 W_{13} + x_2 W_{23} + W_{03} & f(z_3) &= \max\{z_3, 0\} \\ z_4 &= x_1 W_{14} + x_2 W_{24} + W_{04} & f(z_4) &= \max\{z_4, 0\} \end{aligned}$$

$$\begin{aligned} u_1 &= f(z_1) V_{11} + f(z_2) V_{21} + f(z_3) V_{31} + f(z_4) V_{41} + V_{01} & f(u_1) &= \max\{u_1, 0\} \\ u_2 &= f(z_1) V_{12} + f(z_2) V_{22} + f(z_3) V_{32} + f(z_4) V_{42} + V_{02} & f(u_2) &= \max\{u_2, 0\}. \end{aligned}$$

The final output of the network is obtained by applying the **softmax** function to the last hidden layer,

$$\begin{aligned} o_1 &= \frac{e^{f(u_1)}}{e^{f(u_1)} + e^{f(u_2)}} \\ o_2 &= \frac{e^{f(u_2)}}{e^{f(u_1)} + e^{f(u_2)}}. \end{aligned}$$

In this problem, we will consider the following setting of parameters:

$$\begin{bmatrix} W_{11} & W_{21} & W_{01} \\ W_{12} & W_{22} & W_{02} \\ W_{13} & W_{23} & W_{03} \\ W_{14} & W_{24} & W_{04} \end{bmatrix} = \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \\ -1 & 0 & -1 \\ 0 & -1 & -1 \end{bmatrix},$$

$$\begin{bmatrix} V_{11} & V_{21} & V_{31} & V_{41} & V_{01} \\ V_{12} & V_{22} & V_{32} & V_{42} & V_{02} \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 \\ -1 & -1 & -1 & -1 & 2 \end{bmatrix}.$$

## Feed Forward Step

2/2 points (graded)

Consider the input  $x_1 = 3, x_2 = 14$ . What is the final output  $(o_1, o_2)$  of the network?

**Important:** Numerical outputs from the softmax function are sometimes extremely close to 0 or 1. We recommend you enter your answer as a mathematical expression, such as  $e^{15}+1$ . If you choose to enter your answers as a decimal, you must enter the decimal accurate to at least **9 decimal places**.

$$o_1 = \frac{e^{15}}{e^{15}+e^0}$$

✓ Answer:  $e^{15} / (e^{15} + 1)$   $o_2 = \frac{e^0}{e^{15}+e^0}$



Answer:  $1 / (e^{15} + 1)$

STANDARD NOTATION

### Solution:

Plugging the formula, we see that

$$f(z_1) = \max\{z_1, 0\} = 2$$

$$f(z_2) = \max\{z_2, 0\} = 13$$

$$f(z_3) = \max\{z_3, 0\} = 0$$

$$f(z_4) = \max\{z_4, 0\} = 0$$

Going to the next layer, we see that

$$u_1 = f(z_1)V_{11} + f(z_2)V_{21} + f(z_3)V_{31} + f(z_4)V_{41} + V_{01}$$

$$u_1 = 2(1) + 13(1) + 0(1) + 0(1)$$

$$u_1 = 15$$

$$u_2 = f(z_1)V_{12} + f(z_2)V_{22} + f(z_3)V_{32} + f(z_4)V_{42} + V_{02}$$

$$u_2 = 2(-1) + 13(-1) + 0(-1) + 0(-1) + 2$$

$$u_2 = -13$$

Passing the values of  $u_1, u_2$  through the function  $f$  gives:

$$f(u_1) = \max\{u_1, 0\}$$

$$f(u_1) = \max\{15, 0\}$$

$$f(u_1) = 15$$

$$f(u_2) = \max\{u_2, 0\}$$

$$f(u_2) = \max\{-13, 0\}$$

$$f(u_2) = 0$$

Plugging these values into the following equations for  $o_1, o_2$  gives:

$$o_1 = \frac{e^{f(u_1)}}{e^{f(u_1)} + e^{f(u_2)}}$$

$$o_2 = \frac{e^{f(u_2)}}{e^{f(u_1)} + e^{f(u_2)}}$$

$$o_1 = \frac{e^{15}}{e^{15} + 1}, \quad o_2 = \frac{1}{e^{15} + 1}$$

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You have used 1 of 4 attempts

## Decision Boundaries

1/1 point (graded)

In this problem we visualize the “decision boundaries” in  $x$ -space, corresponding to the four hidden units. These are the lines in  $x$ -space where the values of  $z_1, z_2, z_3, z_4$  are exactly zero. Plot the decision boundaries of the four hidden units using the parameters of  $W$  provided above.

Enter below the **area of the region** of your plot that corresponds to a negative ( $< 0$ ) value for all of the four hidden units.

4

✓ Answer: 4

### Solution:

The four decision boundaries are given by the following four functions respectively.

$$z_1 = x_1 W_{11} + x_2 W_{21} + W_{01} = 0$$

$$z_2 = x_1 W_{12} + x_2 W_{22} + W_{02} = 0$$

$$z_3 = x_1 W_{13} + x_2 W_{23} + W_{03} = 0$$

$$z_4 = x_1 W_{14} + x_2 W_{24} + W_{04} = 0$$

When the weight parameters are plugged in, the above equations simplify to the following expressions:

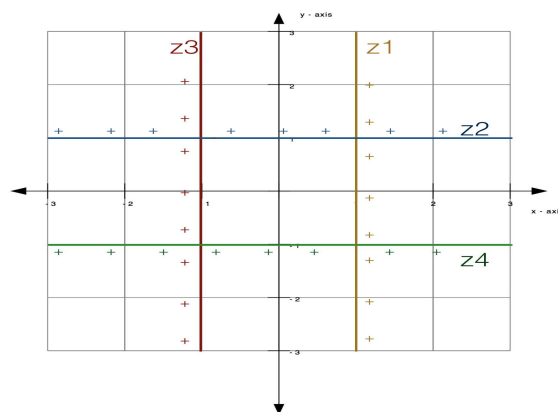
$$x_1 - 1 = 0$$

$$x_2 - 1 = 0$$

$$-x_1 - 1 = 0$$

$$-x_2 - 1 = 0$$

Note that the four equations above correspond to four straight lines in the two-dimensional  $x$ -space. The four equations are visualized in the figure below.



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You have used 3 of 3 attempts

**i** Answers are displayed within the problem

## Output of Neural Network

3/3 points (graded)

Using the same matrix  $V$  as above, what is the value of  $o_1$  (accurate to at least three decimal places if responding numerically) in the following three cases?

- Assuming that  $f(z_1) + f(z_2) + f(z_3) + f(z_4) = 1$ :

$o_1 = 0.5$

✓ Answer: 0.5

$$o_1 = \frac{e^{f(1)}}{e^{f(1)} + e^{f(1)}} \quad \checkmark \text{ Answer: } 0.5$$

- Assuming that  $f(z_1) + f(z_2) + f(z_3) + f(z_4) = 0$ :

$$o_1 = \frac{e^0}{e^0 + e^2} \quad \checkmark \text{ Answer: } 1/(1+e^2)$$

- Assuming that  $f(z_1) + f(z_2) + f(z_3) + f(z_4) = 3$ :

$$o_1 = \frac{e^3}{e^3 + e^0} \quad \checkmark \text{ Answer: } 1/(1+e^{-3})$$

STANDARD NOTATION

**Solution:**

Note that,

$$\begin{aligned} u_1 &= f(z_1) V_{11} + f(z_2) V_{21} + f(z_3) V_{31} + f(z_4) V_{41} + V_{01} \\ u_2 &= f(z_1) V_{12} + f(z_2) V_{22} + f(z_3) V_{32} + f(z_4) V_{42} + V_{02} \end{aligned}$$

Plugging in values of  $V$  and the assumption of the first case, we get:

$$\begin{aligned} u_1 &= f(z_1) + f(z_2) + f(z_3) + f(z_4) + 0 \\ u_1 &= 1 \\ u_2 &= -1(f(z_1) + f(z_2) + f(z_3) + f(z_4)) + 2 \\ u_2 &= 1 \end{aligned}$$

From the above we substitute the values of  $u_1 = u_2 = 1$  into the equations for  $o_1, o_2$  to get:

$$\begin{aligned} o_1 &= \frac{e^{f(1)}}{e^{f(1)} + e^{f(1)}} \\ o_1 &= \frac{e^1}{e^1 + e^1} \\ o_1 &= \frac{1}{2} \\ o_2 &= \frac{e^{f(1)}}{e^{f(1)} + e^{f(1)}} \\ o_2 &= \frac{e^1}{e^1 + e^1} \\ o_2 &= \frac{1}{2} \end{aligned}$$

The other two cases are solved similarly. Note that  $\frac{e^3}{e^3+1} = \frac{1}{1+e^{-3}}$

Submit

You have used 2 of 4 attempts

**i** Answers are displayed within the problem

## Inverse Temperature

3/3 points (graded)

Now, suppose we modify the network's softmax function as follows:

$$\begin{aligned} o_1 &= \frac{e^{\beta f(u_1)}}{e^{\beta f(u_1)} + e^{\beta f(u_2)}} \\ o_2 &= \frac{e^{\beta f(u_2)}}{e^{\beta f(u_1)} + e^{\beta f(u_2)}} \end{aligned}$$

$$o_2 = \frac{1}{e^{\beta f(u_1)} + e^{\beta f(u_2)}},$$

where  $\beta > 0$  is a parameter. Note that our previous setting corresponded to the special case  $\beta = 1$ . In the following, please write a numerical solution with an accuracy of at least 3 places. For  $\beta = 1$ , in order to satisfy  $o_2 \geq \frac{1}{1000}$ , the value of  $f(u_1) - f(u_2)$  should be smaller or equal than:

✓ Answer: 6.906754778648554

If we increase the value to  $\beta = 3$ , in order to satisfy  $o_2 \geq \frac{1}{1000}$ , the value of  $f(u_1) - f(u_2)$  should be smaller or equal than:

✓ Answer: 2.3022515928828513

In general, in order to satisfy  $o_2 \geq \frac{1}{1000}$ , increasing the value of  $\beta$  can result in  $f(u_1) - f(u_2)$  being:

☐ larger

☒ smaller


### Solution:

For  $o_2 \geq \frac{1}{1000}$  we must have

$$\frac{1}{1 + e^{\beta(f(u_1) - f(u_2))}} \geq \frac{1}{1000}$$

which is equivalent to  $e^{\beta(f(u_1) - f(u_2))} \leq 999$ . In other words,

$$f(u_1) - f(u_2) \leq \frac{\ln(999)}{\beta}$$

As  $\beta$  increases from 1 to 3 the above condition becomes more strict, and hence the corresponding region in the  $x$ -space **shrinks**. (To see this more clearly, consider the boundaries  $f(u_1) - f(u_2) = \ln(999)$  and  $f(u_1) - f(u_2) = \ln(999)/3$ .)

You have used 1 of 4 attempts

**i** Answers are displayed within the problem

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**Topic:** Unit 3 Neural networks (2.5 weeks):Homework 3 / 1. Neural Networks

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🗨 [Inverse temperature](#)

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