

## CS385 : Assignment 6

**Topics covered:** Feed-forward Artificial Neural Network, Back Propagation Algorithm

**Deliverables:** Your submission for this assignment should be an archive of two files, named `annxor.py` and `yourusername_report.pdf`.

`annxor.py` should contain functions list below.

| Function name              | Input                                                                | type                      | Output                                                                                                                                                                 | type        |
|----------------------------|----------------------------------------------------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| <code>dataLoad</code>      | Filename                                                             | String                    | X: dataset,<br>include dummy inputs                                                                                                                                    | Array (4,4) |
| <code>paralni</code>       |                                                                      |                           | Initialized parameters<br>W[0] is wh: parameters<br>for hidden layer<br>W[1] is wo: parameters<br>for output layer                                                     | W:List      |
| <code>feedforward</code>   | X:<br>3 features are used<br>for feed forwarding                     | (4,4)                     | Intermediate Result<br>intermRslt[0]: oh<br>hidden layer output<br>intermRslt[1]: ino<br>output layer input<br>intermRslt[2]: oo<br>output layer output<br>(i.e. Yhat) | List        |
|                            | W: parameters                                                        | list                      |                                                                                                                                                                        |             |
| <code>errCompute</code>    | Y                                                                    | Class label in X<br>(4,1) | Value of cost function                                                                                                                                                 | real        |
|                            | Yhat                                                                 | (1,4)                     |                                                                                                                                                                        |             |
| <code>backpropagate</code> | X                                                                    | (4, 4)                    | W:<br>updated parameters                                                                                                                                               | List        |
|                            | W: parameters                                                        | list                      |                                                                                                                                                                        |             |
|                            | intermRslt:<br>Output/input of each<br>layer in feedforward<br>stage | list                      |                                                                                                                                                                        |             |
|                            | alpha: learning rate                                                 | real                      |                                                                                                                                                                        |             |

`yourusername_report.pdf` should follow the outline described in Section 3 and you should put in all the required materials in Section 4. You should put two files in a directory called `cs385_yourusername_6` and zipping the directory into a zip file called `cs385_yourusername_6.zip` etc. Failure to follow conventions will result in penalties in marks.

### Objectives:

- To get familiarized the principle of FF ANN(feed-forward artificial neural network) and gradient descent method for learning in a multi-layered network. You are also expected to implement it by using *vectorization* method.
- To implement the BP(Back Propagation) algorithm to learn parameters of FF ANN for solving the XOR problem.
- To get familiarized on tuning the performance of BP algorithm.

### 1. Dataset

The training dataset is XOR(eXclusive-OR) truth table. The provided XOR.txt contains 4 samples and each sample is with 2 features and 1 output.

### 2. Documentation

For documentation, you can use LATEX or Word format. You need to write a report on this assignment by following instructions in Section 3. You should submit ONLY the “pdf” of the document and NO other file formats will be accepted.

### 3. Your tasks

- a. Write a function *loadData(filename)* to load XOR data into an array *X*.  
After inserting dummy inputs as the first feature of *X*, we have: *X.shape* (4, 4).
- b. The structure of ANN for XOR problem: input layer with 2 units; 1 hidden layer with 2 units, each use *tanh()* as activation function; output layer with 1 unit with *sigmoid()* as activation function. Draw the diagram of the network in *Section 1 ANN for XOR*.  
Construct the feedforward equation to predict the output of an input (*x1*, *x2*). Explain this equation briefly and the number of parameters that need to be estimated. Write down the equation, explanation and the number of needed parameters in *Section 1 ANN for XOR* of your report.
- c. Write a function *paralni()* to initialize parameters *W* for the given network in *task b*. The values are randomly chosen between [-1,1].  
For test use, a fix valued set of parameters are given by the provided function *paralni()* (a6.py)
- d. Write a function *feedforward()* to generate output of each layer for the given network in *task b*. The function takes in *X* the training data set and parameters *W*.  
*W* is a list. *W*[0] is wh the hidden layer parameters, and *W*[1] is wo the output layer parameters. The function returns *intermRslt*=[*oh*,*ino*,*oo*], *oh* is the output of hidden layer, *ino* is the input of output layer, and *oo* is the output of output layer.  
For the given initial parameters, the output is: (0.5036, 0.5867, 0.4353, 0.5369)
- e. The error cost function we used for the XOR NN is:  $err = \frac{1}{2m} \sum_{i=1}^m (y_i - \hat{y}_i)$ , write a function *errCompute()* to return a cost value. It takes in the output from training dataset *Y* and model output  $\hat{Y}$  from *intermRslt*(*oo* is  $\hat{Y}$ ).  
For the given initial parameters, the cost value is: 0.1290
- f. Construct the back propagation equations for updating all parameters. Again, briefly explain these equation. Write down them in *Section 2 Back Propagation* of your report.
- g. Basing on the equations in *task f*, write *backpropagate()* to implement the BP algorithm for learning the parameters. This function takes in *X*, parameters *W*, *intermRslt*(return by *feedforward*), and the learning rate *alpha*. It returns the learned *W*.  
For the given initial parameters, when *alpha* is 0.5, the learned parameters is:  
*W*[0]=wh=[[0.1785, -0.7730, 0.6199], [-0.7984, 0.5606, 0.2083]]  
*W*[1]=wo=[[0.1303, 0.5908, 0.3435]]
- h. Run the provided *R=FFMain(“XOR.txt, 10000, 0.5)* function by using the given initial parameters (call the provided *paralni()*), you’ll get *R*[1]=[[0.0169, 0.9782, 0.9782, 0.0150]].

Use your own *paralni()*, and run the *FFMain()* when numIteration=100, 1000, 5000, 10000, and alpha=0.01, 0.5 respectively. Draw the curve of error function (i.e. R[1] returned by *FFMain()*). Observe the curve and the classification result, give your brief analysis on them in *Section 3 Experimental Result*.

## 5. Rubrics

This assignment is graded over total of 50 points. The breakdown is as follows:

- task a, data loading (3 points)
- task b, ANN for XOR (5 points)
- task c, parameters initialization (5 points)
- task d, feed-forward implementation (5 points)
- task e, error function implementation (5 points)
- task f, back propagation description (10 points)
- task g, back propagation implementation (10 points)
- task h, error curve plotting and experimental result analysis (3+4 points)

**Note:** Submission requirements must be met i.e., reasonable comments, proper format of the report (Not copy and pasted from the assignment specifications!), detailed explanation (using necessary equations, tables, figures, charts, etc.), correct documentation and file formats for the submission, etc. If any of these requirements are not satisfactory, then zero marks for this assignment.