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#### Note 1

Throughout, Sigma is assumed to be some equality type.

#### Note 2

This document assumes the definitions and declarations of <a href="https://github.com/smlhelp/aux-library/blob/main/documentation/language.pdf">https://github.com/smlhelp/aux-library/blob/main/documentation/language.pdf</a>, and assumes that the Language structure has been opened.

In particular, we have

#### Defn. 3

#### aux-library: Language.sml

```
type 'S language = 'S list -> bool
```

A total function D : Sigma list  $\rightarrow$  bool is said to compute a set L of values of type Sigma list if

```
D cs \Longrightarrow true iff cs \in L
```

i.e. we can run D on any value cs : Sigma list and get back either true or false, which correctly indicates that either  $cs \in L$  or  $cs \notin L$ , respectively.

# 1 Preliminary Declarations & Main Definitions

#### Declaration 4

#### aux-library: Regexp.sml

```
datatype ''S regexp =
    Zero
    | One
    | Const of ''S
    | Plus of ''S regexp * ''S regexp
    | Times of ''S regexp * ''S regexp
    | Star of ''S regexp
```

#### Defn. 5 (Language)

Given a value R : Sigma regexp, define the language of R to be the set of values  $\mathcal{L}(R) \subseteq \mathsf{Values}(Sigma\ list)$  given recursively by:

#### Defn. 6

A total function D: Sigma regexp -> Sigma language is said to compute the regular expression decision problem (over the alphabet Sigma) if

```
D R cs \Longrightarrow true if and only if cs \in \mathcal{L}(R)
```

for all R : Sigma regexp and all cs : Sigma list.

#### Defn. 7

```
A pair (p,s) : Sigma list * Sigma list is said to be a splitting of cs : Sigma list if  cs \cong p \ @ \ s.
```

In such a splitting, p is called the **prefix** and s the **suffix**.

#### Declaration 8

aux-library: Regexp.sml

```
exception NoMatch
```

## Defn. 9

For any type t, we'll say that a function

is almost total if, for all (p,s) : Sigma list \* Sigma list, either

 $k(p,s) \hookrightarrow v \text{ for some value } v \text{ or } k(p,s) \text{ raises NoMatch.}$ 

If  $k(p,s) \hookrightarrow v$ , then we say that k accepts (p,s) with result v.

# 2 Matching Specification

## Declaration 10 (Depth)

Define the depth of R : Sigma regexp recursively by

#### aux-library: Regexp.sml

```
fun depth Zero = 0
       | depth One = 0
38
       | depth (Const(_)) = 0
39
       | depth (Plus(R1,R2)) =
40
           1 + Int.max(depth R1, depth R2)
41
       | depth (Times(R1,R2)) =
42
           1 + Int.max(depth R1, depth R2)
43
       | depth (Star(R)) =
44
           1 + depth R
45
```

#### Value Spec

**REQUIRES:** k is almost total (Defn. 9)

#### **ENSURES:**

#### Value Spec

```
LL : ''S regexp -> ''S language  \begin{array}{c} \textbf{ENSURES:} \ \texttt{LL} \ \texttt{computes} \ \texttt{the regular expression decision problem:} \ \texttt{for all R} \ : \ \texttt{Sigma regexp}, \ (\texttt{LL R}) \ : \ \texttt{Sigma list} \ -> \ \texttt{bool} \ \texttt{is a total function such that} \\ \\ \textbf{LL R cs} \Longrightarrow \texttt{true} \qquad \texttt{iff} \qquad \texttt{cs} \in \mathcal{L}(\texttt{R}) \\ \end{array}
```

# 3 Matching Implementation

## aux-library: Regexp.sml

```
datatype ''', regexp =
         Zero
30
         | One
31
         | Const of ''S
32
         | Plus of ''S regexp * ''S regexp
         | Times of ''S regexp * ''S regexp
34
         | Star of ''S regexp
36
    fun depth Zero = 0
       | depth One = 0
38
       \mid depth (Const(_)) = 0
       | depth (Plus(R1,R2)) =
40
           1 + Int.max(depth R1, depth R2)
41
       | depth (Times(R1,R2)) =
42
           1 + Int.max(depth R1, depth R2)
43
       | depth (Star(R)) =
44
           1 + depth R
45
46
    exception NoMatch
47
```

#### aux-library: Regexp.sml

```
fun match Zero _ _ = raise NoMatch
49
       | match One cs k = k([],cs)
50
       | match (Const(c)) [] k = raise NoMatch
       | match (Const(c)) (c'::cs') k =
52
           if c=c,
53
           then k([c'], cs')
54
           else raise NoMatch
55
       | match (Plus(R1,R2)) cs k =
56
             (match R1 cs k
57
               handle NoMatch => match R2 cs k)
       | match (Times(R1,R2)) cs k =
59
           match R1 cs (fn (res',cs') =>
60
             match R2 cs' (fn (res'', cs'') =>
61
               k (res'@res'',cs'')))
       | match (Star(R)) cs k =
63
           k([],cs)
             handle NoMatch =>
65
                match R cs (fn (res',cs') =>
                  if (cs = cs')
67
                  then raise NoMatch
                  else
69
                    match (Star(R)) cs' (fn (res'',cs'') =>
                      k(res'@res'',cs'')))
71
72
    val LL = fn R \Rightarrow fn s \Rightarrow
73
       match R s (fn (_,[]) => true | _ => raise NoMatch)
74
       handle NoMatch => false
75
```

# 4 Zero, One, Const Correctness

#### Lemma 11

For any almost total k and any cs : Sigma list,

$$\text{match Zero cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that p} \in \mathcal{L}(\text{Zero}) \text{ and k accepts (p}, s) \text{ with result v.} \\ & \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

*Proof.* Since  $\mathcal{L}(\texttt{Zero}) = \emptyset$ , there cannot be any splitting of any cs whose prefix is in  $\mathcal{L}(\texttt{Zero})$ . Therefore, this spec says that match Zero cs k always ought to raise NoMatch, which it does. Spec Satisfied!

#### Cor. 12

For any almost total k, (fn cs => match Zero cs k) is almost total.

#### Lemma 13

For any almost total k and any cs : Sigma list,

$$\text{match One cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that } p \in \mathcal{L}(\text{One}) \text{ and } k \text{ accepts (p,s)} \\ & \text{s) with result } v. \end{cases}$$

*Proof.* Since  $\mathcal{L}(\mathtt{One}) = \{[]\}$ , the only splitting of cs which we need to consider is ([], cs). But notice that

$$\texttt{k([],cs)} \hspace{0.2cm} \cong \hspace{0.2cm} \begin{cases} \texttt{v} & \text{if k accepts ([],cs) with result v} \\ \texttt{raise NoMatch} & \text{if k rejects ([],cs)} \end{cases}$$

so we have Spec Satisfied! by putting match One cs k = k([], cs).

#### Cor. 14

For any almost total k, (fn cs => match One cs k) is almost total.

For any almost total k, any cs : Sigma list, and any value c : Sigma

$$\text{match (Const c) cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such that p} \in \\ & \mathcal{L}(\text{Const c}) \text{ and } k \text{ accepts (p,s) with result v.} \\ & \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

*Proof.* Begin by recalling that  $\mathcal{L}(\texttt{Const} \ \texttt{c}) = \{ [\texttt{c}] \}$ . Break into two cases: cs = [] and cs = c' : : cs'.

If cs=[], then there is no prefix of cs in  $\mathcal{L}(Const c)$ , so match (Const c) [] k should raise NoMatch. Spec Satisfied!

If cs=c'::cs', then break into two further cases: either c=c' or c<>c'. In the latter case, there is no prefix of cs in  $\mathcal{L}(Const\ c)$ , so again NoMatch should be raised. Spec Satisfied! However, if c=c', then  $[c'] \in \mathcal{L}(Const\ c)$ , so whatever behavior k ([c'], cs') has is what behavior match (Const c) cs k should have. Spec Satisfied!

#### Cor. 16

For any almost total k and any value c: Sigma, (fn cs => match (Const c) cs k) is almost total.

# 5 Plus Correctness

#### Inductive Assumption (Section 5)

For some R1, R2 : Sigma regexp,

$$\text{match R1 cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that p} \in \mathcal{L}(\text{R1}) \text{ and k accepts (p,s)} \\ & \text{with result v.} \\ \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

$$\text{match R2 cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that p} \in \mathcal{L}(\text{R2}) \text{ and k accepts (p,s)} \\ & \text{with result v.} \\ \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

for all values cs : Sigma list and all almost total k.

In particular: if k is almost total, then so too are (fn cs => match R1 cs k) and (fn cs => match R2 cs k).

For any almost total k, any cs : Sigma list,

$$\text{match (Plus(R1,R2)) cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such that } p \in \\ \mathcal{L}(\text{Plus(R1,R2)}) \text{ and } k \\ & \text{accepts (p,s) with result } v. \\ & \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

#### Cor. 18

For any almost total k, (fn cs => match (Plus(R1,R2)) cs k) is almost total.

# 6 Times Correctness

### Inductive Assumption (Section 6)

For some R1, R2 : Sigma regexp,

$$\text{match R1 cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that p} \in \mathcal{L}(\text{R1}) \text{ and k accepts (p,s)} \\ & \text{with result v.} \\ \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

$$\text{match R2 cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that p} \in \mathcal{L}(\texttt{R2}) \text{ and k accepts (p,s)} \\ & \text{with result v.} \\ \\ \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

for all values cs : Sigma list and all almost total k.

In particular: if k is almost total, then so too are (fn cs => match R1 cs k) and (fn cs => match R2 cs k).

For any almost total k, any cs : Sigma list,

$$\text{match (Times(R1,R2)) cs } k \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such that } p \in \\ \mathcal{L}(\text{Times(R1,R2)}) & \text{and } \\ k & \text{accepts (p,s) with result } v. \end{cases}$$

#### Cor. 20

For any almost total k, (fn cs => match (Times(R1,R2)) cs k) is almost total.

# 7 Star Correctness

# Inductive Assumption (Section 7)

For some R : Sigma regexp and some cs : Sigma list

$$\text{match } R \text{ cs } g \cong \begin{cases} v & \text{where (p,s) is a splitting of cs such} \\ & \text{that } p \in \mathcal{L}(R) \text{ and g accepts (p,s)} \\ & \text{with result v.} \\ \text{raise NoMatch if there is no such (p,s)} \end{cases}$$

$$\text{match (Star(R)) cs' } g \cong \begin{cases} v & \text{where (p,s) is a splitting of cs'} \\ & \text{such that p} \in \mathcal{L}(\text{Star(R)}) \text{ and g} \\ & \text{accepts (p,s) with result v.} \end{cases}$$

for all values cs': Sigma list of length less than cs, and all almost total g.

In particular: if g is almost total, then so too is (fn cs => match R cs g). And if g is almost total, match (Star(R)) cs' g for cs' of length less than cs.

For any almost total k,

#### Cor. 22

For any almost total k, (fn cs => match (Star(R)) cs k) is almost total.

- 8 match and LL Correctness
- 9 Reduction, Representation, and Printing Specification

#### Value Spec

represent : (''S -> string) -> ''S regexp -> string

REQUIRES: toStr is total, R is non-Zero

ENSURES: represent toStr R converts the regular expression R into POSIX-like regular expression syntax, using toStr to convert Sigma values into strings. This uses the convention of representing One as  $(.\{0,0\})$ 

#### Defn. 23

A value R : Sigma regexp is said to be Zero-reduced if either:

- R = Zero
- R does not contain any Zeros

#### Value Spec

reduce : ''S regexp -> ''s regexp

ENSURES: (reduce R)  $\Longrightarrow$  R' such that  $\mathcal{L}(R) = \mathcal{L}(R')$  and R' is Zero-reduced (and also R' may have some superfluous Ones removed)

# Value Spec

printRep : ('''S -> string) -> '''S regexp -> unit

**REQUIRES:** toStr is total, (reduce R)  $\Longrightarrow$  Zero

ENSURES: printRep toStr  $R \Longrightarrow ()$ 

**EFFECT:** Prints the value of (represent toStr (reduce R)) into the REPL.