



095946- ADVANCED ALGORITHMS AND PARALLEL PROGRAMMING

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so we have different costs in doing something and we have to amortize that costs during all the execution time

□ Amortized Analysis

the goal is going to try to understand the average complexity / costs

- Dynamic tables
- Aggregate method
- Accounting method
- Potential method



How large should a hash table be?

Goal: Make the table as small as possible, but large enough so that it won't overflow (or otherwise become inefficient).

Problem: What if we don't know the proper size in advance?

Solution: *Dynamic tables.*

like for example how we do with arrays.

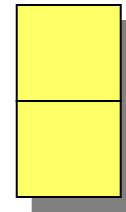
IDEA: Whenever the table overflows, “grow” it by allocating (via **malloc** or **new**) a new, larger table. by doubling the size. -> quando c'è un overflow duplichiamo la size Move all items from the old table into the new one, and free the storage for the old table.



Example of a dynamic table

1. INSERT
2. INSERT


overflow

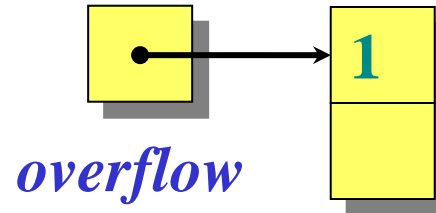


i'm doubling the size.



Example of a dynamic table

1. INSERT
2. INSERT



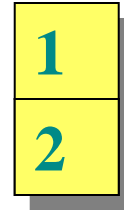
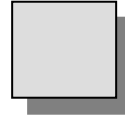
i'm copying the first value



Example of a dynamic table

1. INSERT

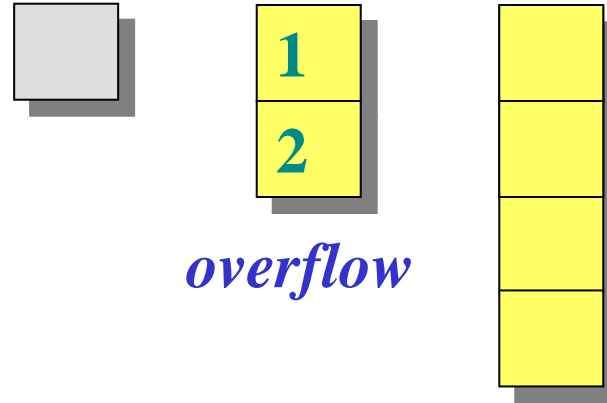
2. INSERT





Example of a dynamic table

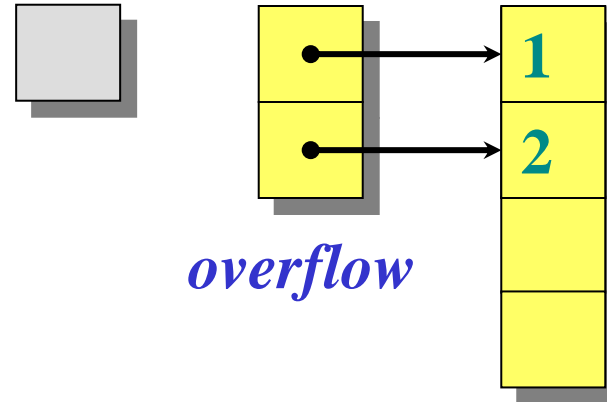
1. INSERT
2. INSERT
3. INSERT





Example of a dynamic table

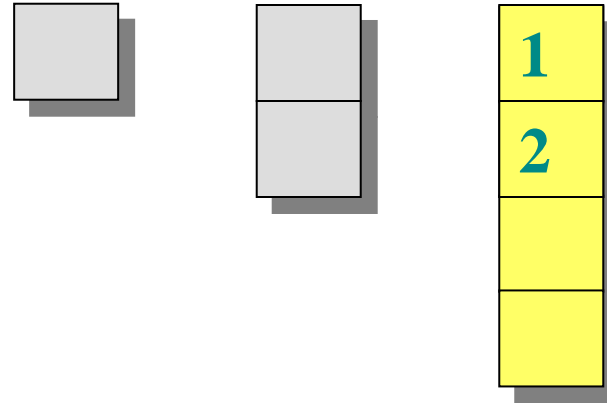
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Example of a dynamic table

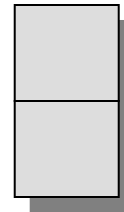
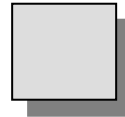
1. INSERT
2. INSERT
3. INSERT





Example of a dynamic table

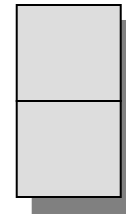
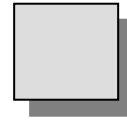
- 1. INSERT**
- 2. INSERT**
- 3. INSERT**
- 4. INSERT**



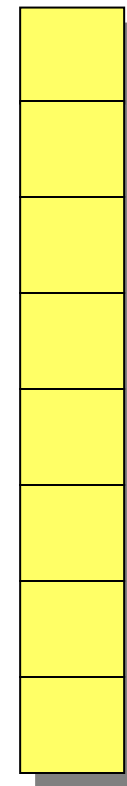


Example of a dynamic table

1. INSERT
2. INSERT
3. INSERT
4. INSERT
5. INSERT



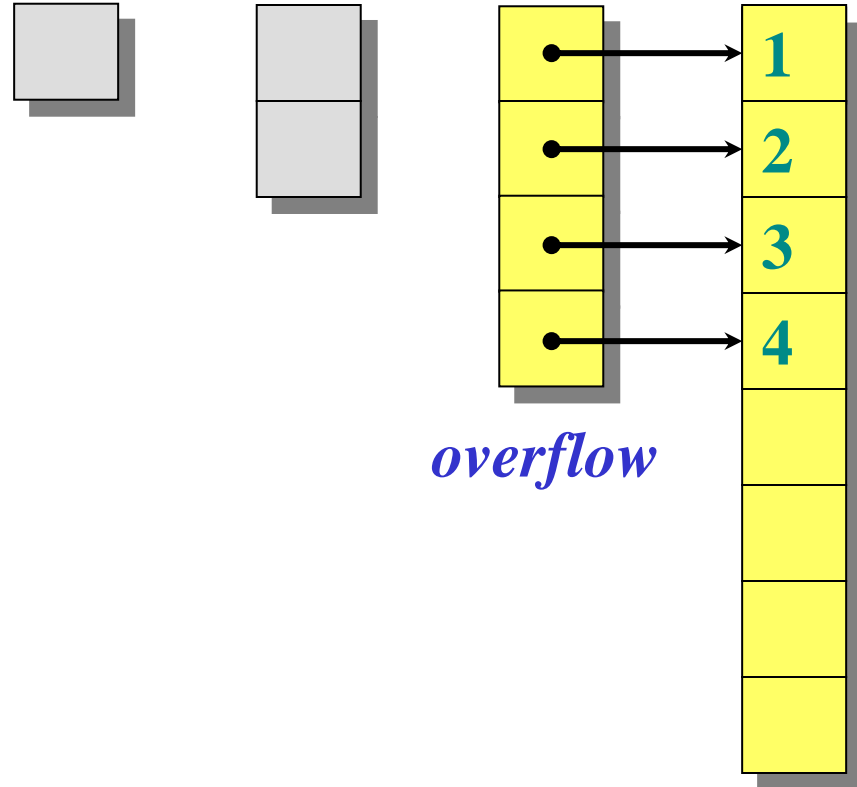
overflow





Example of a dynamic table

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2. INSERT
3. INSERT
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Example of a dynamic table

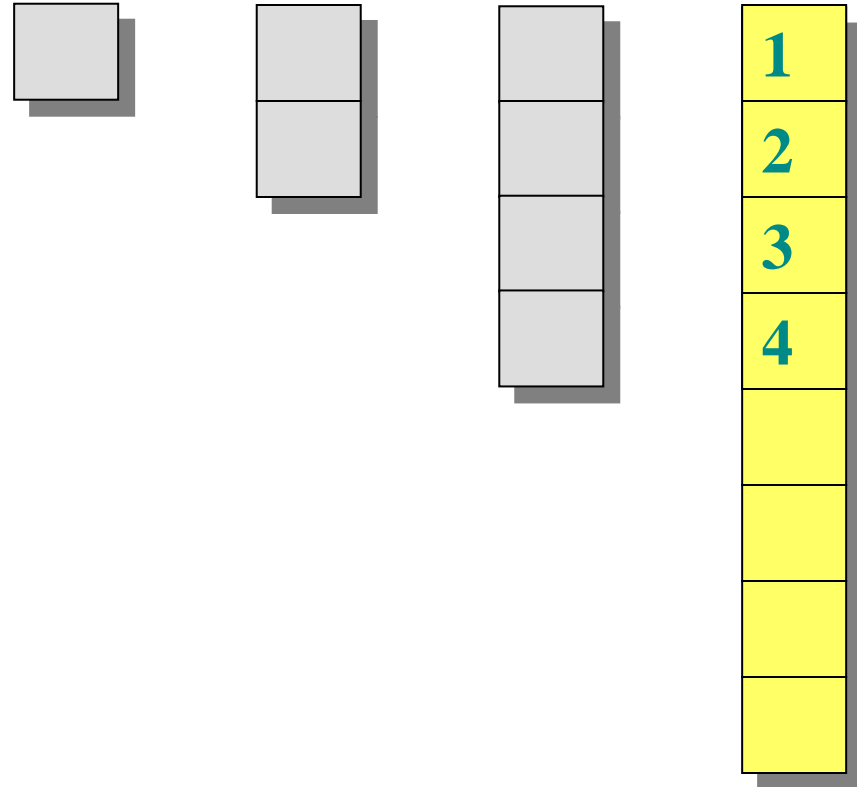
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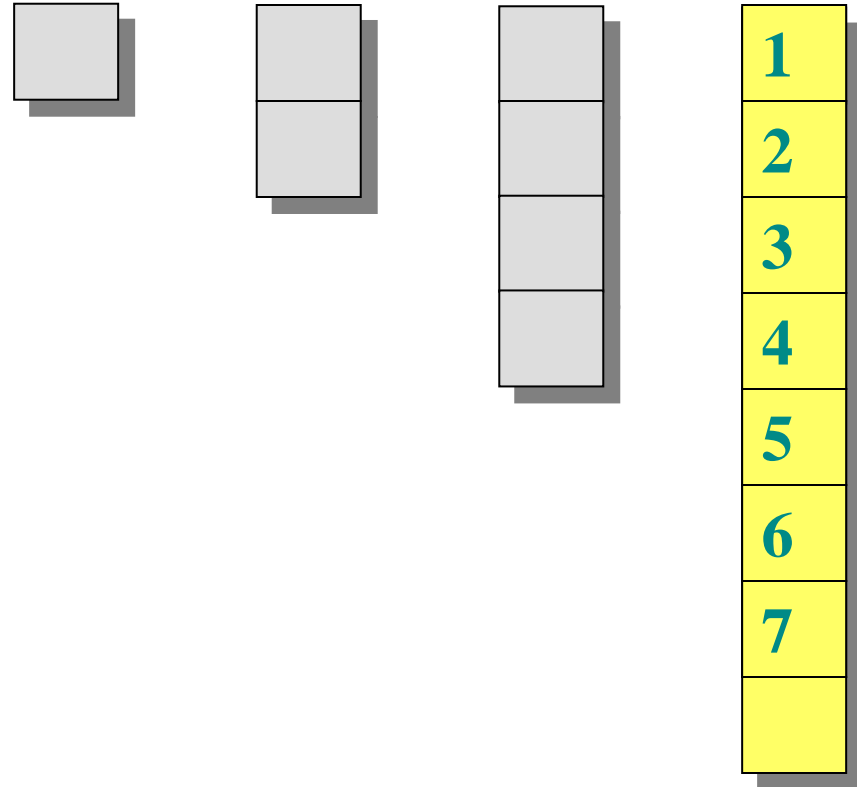
5. INSERT





Example of a dynamic table

1. INSERT
2. INSERT
3. INSERT
4. INSERT
5. INSERT
6. INSERT
7. INSERT





Worst-case analysis

because i'm allocating a new size and i'm copying each time i'm doubling

Consider a sequence of n insertions. The worst-case time to execute one insertion is $\Theta(n)$. Therefore, the worst-case time for n insertions is $n \cdot \Theta(n) = \Theta(n^2)$.

WRONG! In fact, the worst-case cost for n insertions is only $\Theta(n) \ll \Theta(n^2)$. but the asymptotic complexity is theta of n not n^2.

Let's see why.



Tighter analysis

Let $c_i =$ the cost of the i th insertion

$$= \begin{cases} i & \text{if } i-1 \text{ is an exact power of } 2, \\ 1 & \text{otherwise.} \end{cases}$$

in that case we have to reallocate.

(basta che lo provi a mano e capisci)

(altrimenti non sto riallocando quindi il costo è solo quello di inserire il nuovo valore. -> cost=1)

this is i-th insertion

i	1	2	3	4	5	6	7	8	9	10
$size_i$	1	2	4	4	8	8	8	8	16	16
c_i	1	2	3	1	5	1	1	1	9	1

cost for each iteration.



Tighter analysis

Let $c_i =$ the cost of the i th insertion
 $= \begin{cases} i & \text{if } i - 1 \text{ is an exact power of } 2, \\ 1 & \text{otherwise.} \end{cases}$

i	1	2	3	4	5	6	7	8	9	10
$size_i$	1	2	4	4	8	8	8	8	16	16
<small>cost just for inserting so the total is n</small>	1	1	1	1	1	1	1	1	1	1
c_i		1	2		4				8	
<small>cost for copying after a realloc.</small>										



Tighter analysis (continued)

Cost of n insertions

$$\begin{aligned} &= \sum_{i=1}^n c_i && \text{cost = sum of single costs} \\ &\leq \underbrace{n}_{\substack{\text{for just inserting } n \text{ elements} \\ \text{(la riga di tutti 1 sopra)}}} + \sum_{j=0}^{\lfloor \lg(n-1) \rfloor} 2^j && \begin{array}{l} \text{this is } 2n. \text{ so the total is } 3n \\ \text{this is the cost when i'm reallocating} \end{array} \\ &\leq 3n \\ &= \Theta(n) \end{aligned}$$

Thus, the average cost of each dynamic-table operation is

$$\Theta(n)/n = \Theta(1).$$

the average is simply the total cost divided by n iterations.



Amortized analysis

An *amortized analysis* is any strategy for analyzing a sequence of operations to show that the average cost per operation is small, even though a single operation within the sequence might be expensive.

Even though we're taking averages, however, probability is not involved!

- An amortized analysis guarantees the average performance of each operation in the *worst case*.



Types of amortized analyses

Three common amortization arguments:

- the *aggregate* method, idea to compute the cost for each insertion, doing the sum and dividing for the number of iterations (what we've seen so far)
- the *accounting* method,
- the *potential* method.

We've just seen an aggregate analysis.

The aggregate method, though simple, lacks the precision of the other two methods. In particular, the accounting and potential methods allow a specific *amortized cost* to be allocated to each operation.



Accounting method

- Charge i th operation a fictitious *amortized cost* \hat{c}_i , where \$1 pays for 1 unit of work (*i.e.*, time).
- This fee is consumed to perform the operation.
- Any amount not immediately consumed is stored in the *bank* for use by subsequent operations.
- The bank balance must not go negative! We must ensure that

$$\sum_{i=1}^n c_i \leq \sum_{i=1}^n \hat{c}_i$$

for all n .

- Thus, the total amortized costs provide an upper bound on the total true costs.



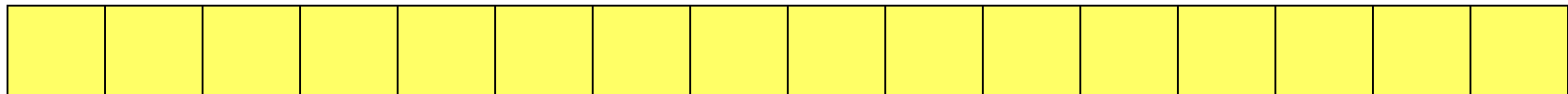
Accounting analysis of dynamic tables

Charge an amortized cost of $\hat{c}_i = \$3$ for the i th insertion.

- **\$1** pays for the immediate insertion.
- **\$2** is stored for later table doubling.

When the table doubles, **\$1** pays to move a recent item, and **\$1** pays to move an old item.

Example:





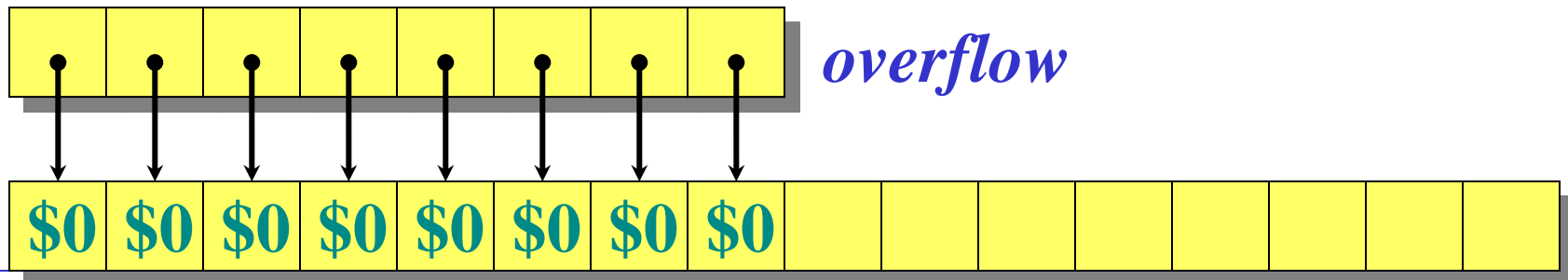
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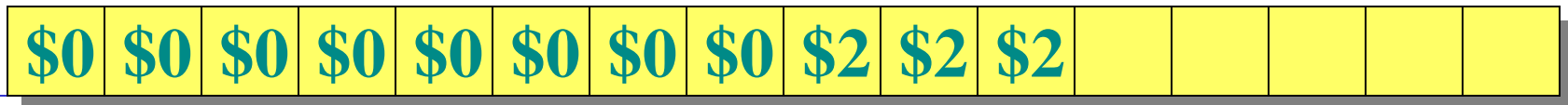
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Example:





Accounting analysis (continued)

Key invariant: Bank balance never drops below 0. Thus, the sum of the amortized costs provides an upper bound on the sum of the true costs.

i	1	2	3	4	5	6	7	8	9	10
$size_i$	1	2	4	4	8	8	8	8	16	16
c_i	1	2	3	1	5	1	1	1	9	1
\hat{c}_i	2	3	3	3	3	3	3	3	3	3
$bank_i$	1*	2	2	4	2	4	6	8	2	4

*Okay, so I lied. The first operation costs only \$2, not \$3.



Potential method

IDEA: View the bank account as the potential energy (*à la* physics) of the dynamic set.

Framework:

- Start with an initial data structure D_0 .
- Operation i transforms D_{i-1} to D_i .
- The cost of operation i is c_i .
- Define a *potential function* $\Phi : \{D_i\} \rightarrow \mathbb{R}$, such that $\Phi(D_0) = 0$ and $\Phi(D_i) \geq 0$ for all i .
- The *amortized cost* \hat{c}_i with respect to Φ is defined to be $\hat{c}_i = c_i + \underbrace{\Phi(D_i) - \Phi(D_{i-1})}_{\text{difference in the potential, between before and after.}}$.



Understanding potentials

$$\hat{c}_i = c_i + \underbrace{\Phi(D_i) - \Phi(D_{i-1})}_{\text{potential difference } \Delta\Phi_i}$$

- If $\Delta\Phi_i > 0$, then $\hat{c}_i > c_i$. Operation i stores work in the data structure for later use.
- If $\Delta\Phi_i < 0$, then $\hat{c}_i < c_i$. The data structure delivers up stored work to help pay for operation i .



The amortized costs bound the true costs

The total amortized cost of n operations is

$$\sum_{i=1}^n \hat{c}_i = \sum_{i=1}^n (c_i + \Phi(D_i) - \Phi(D_{i-1}))$$

Summing both sides.



The amortized costs bound the true costs

The total amortized cost of n operations is

$$\begin{aligned}\sum_{i=1}^n \hat{c}_i &= \sum_{i=1}^n (c_i + \Phi(D_i) - \Phi(D_{i-1})) \\ &= \sum_{i=1}^n c_i + \Phi(D_n) - \Phi(D_0)\end{aligned}$$

quindi faccio la diff tra i e $i-1$, poi alla prossima faccio la differenza tra $i+1$ e i e così via. \Rightarrow rimane solo D_n e D_0 (serie telescopica)

The series telescopes.



The amortized costs bound the true costs

The total amortized cost of n operations is

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since $\Phi(D_n) \geq 0$ and
 $\Phi(D_0) = 0$.



Potential analysis of table doubling

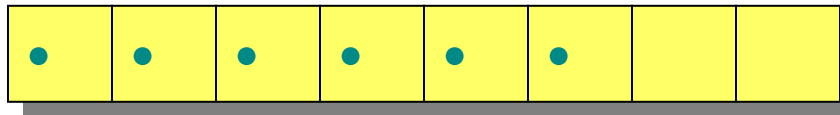
Define the potential of the table after the i th insertion by $\Phi(D_i) = 2i - 2^{\lceil \lg i \rceil}$. (Assume that $2^{\lceil \lg 0 \rceil} = 0$.)

Note:

- $\Phi(D_0) = 0$,
- $\Phi(D_i) \geq 0$ for all i .

Example:

sto alla sesta iterazione



$$\Phi = 2 \cdot 6 - 2^3 = 4$$



accounting method)



Calculation of amortized costs

The amortized cost of the i th insertion is

$$\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$$



Calculation of amortized costs

The amortized cost of the i th insertion is

$$\begin{aligned}\hat{c}_i &= c_i + \Phi(D_i) - \Phi(D_{i-1}) \\ &= \left\{ \begin{array}{l} i \text{ if } i - 1 \text{ is an exact power of } 2, \\ 1 \text{ otherwise;} \end{array} \right\} \\ &\quad + (2i - 2^{\lceil \lg i \rceil}) - (2(i-1) - 2^{\lceil \lg (i-1) \rceil})\end{aligned}$$



Calculation of amortized costs

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$$+ (2i - 2^{\lceil \lg i \rceil}) - (2(i-1) - 2^{\lceil \lg (i-1) \rceil})$$

$$= \left\{ \begin{array}{l} i \text{ if } i - 1 \text{ is an exact power of } 2, \\ 1 \text{ otherwise;} \end{array} \right\}$$

$$+ 2 - 2^{\lceil \lg i \rceil} + 2^{\lceil \lg (i-1) \rceil}$$

.



Calculation

Case 1: $i - 1$ is an exact power of 2.

$$\hat{c}_i = i + 2 - 2^{\lceil \lg i \rceil} + 2^{\lceil \lg (i-1) \rceil}$$



Calculation

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$$\begin{aligned}\hat{c}_i &= i + 2 - 2^{\lceil \lg i \rceil} + 2^{\lceil \lg (i-1) \rceil} \\ &= i + 2 - 2(i - 1) + (i - 1)\end{aligned}$$



Calculation

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Case 2: $i - 1$ is *not* an exact power of 2.

$$\hat{c}_i = 1 + 2 - 2^{\lceil \lg i \rceil} + 2^{\lceil \lg (i-1) \rceil}$$



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Case 2: $i - 1$ is *not* an exact power of 2.

$$\begin{aligned}\hat{c}_i &= 1 + 2 - 2^{\lceil \lg i \rceil} + 2^{\lceil \lg (i-1) \rceil} \\ &= 3\end{aligned}\quad (\text{since } 2^{\lceil \lg i \rceil} = 2^{\lceil \lg (i-1) \rceil})$$



Calculation

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Therefore, n insertions cost $\Theta(n)$ in the worst case.



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Therefore, n insertions cost $\Theta(n)$ in the worst case.

Exercise: Fix the bug in this analysis to show that the amortized cost of the first insertion is only 2.



Conclusions

- **Amortized costs can provide a clean abstraction of data-structure performance.**
 - **Any of the analysis methods can be used when an amortized analysis is called for, but each method has some situations where it is arguably the simplest or most precise.**
 - **Different schemes may work for assigning amortized costs in the accounting method, or potentials in the potential method, sometimes yielding radically different bounds.**
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Acknowledge

- ❑ *Based on Introduction to Algorithms CLRS*
- ❑ Material adapted from Erik D. Demaine and Charles E. Leiserson slides