## Neural Networks

CISC 7026: Introduction to Deep Learning

University of Macau

- 1. We looked at linear and polynomial f
  - 1. Looked at both classification and regression
  - 2. They have problems
    - 1. Input features scale poorly
    - 2. Bad performance around edges
  - 3. Neural networks fix many of these problems
  - 4. What is a neural network?
    - 1. Draw linear model as neural network
  - 5. Based on theory of the brain
    - 1. Invented ages ago
    - 2. Only recently have we learned to harness them

- 6. Neuron theory
  - 1. Connectivity
  - 2. Activation function
- 7. Parallels between real/artificial neuron
- 8. Matrix/graph duality
- 9. Single layer perceptron
- 10. Issues with one layer
  - 1. Not universal function approximator
- 11. Backprops
  - 1. Provides a way to train nn
    - 1. Assigns "fault" for each neuron

- 2. Recall closed form for linear model
  - 1. We use the gradient of the linear model
- 3. We use a similar approach

- 1. Limitations of linear models
- 2. History and overview of neural networks
- 3. Neurons
- 4. Perceptron
- 5. Multilayer Perceptron
- 6. Backpropagation
- 7. Gradient descent

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$$f(\boldsymbol{x},\boldsymbol{\theta}) = \theta_0 + \boldsymbol{\theta}\boldsymbol{x} = \theta_0 + \theta_1 x_1 + \theta_2 x_2, \dots$$

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$$oldsymbol{ heta} = \left( oldsymbol{X}^ op oldsymbol{X} 
ight)^{-1} oldsymbol{X}^ op oldsymbol{y}$$

Issues with very complex problems

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1. Poor scalability

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$$egin{aligned} egin{aligned} x_1^n & x_1^{n-1} & \dots & x_1^1 & 1 \ x_2^n & x_2^{n-1} & \dots & x_2^1 & 1 \ dots & dots & \ddots & dots & dots \ x_p^n & x_p^{n-1} & \dots & x_p^1 & 1 \ x_1^{n-1}x_2 & x^{n-2}x_2^2 & \dots & 0 & 1 \ dots & dots & dots & dots & dots \end{aligned}$$

$$m{X} = egin{bmatrix} x_1^n & x_1^{n-1} & \dots & x_1^1 & 1 \ x_2^n & x_2^{n-1} & \dots & x_2^1 & 1 \ dots & dots & \ddots & dots & dots \ x_p^n & x_p^{n-1} & \dots & x_p^1 & 1 \ x_1^{n-1}x_2 & x^{n-2}x_2^2 & \dots & 0 & 1 \ dots & dots & dots & dots & dots \end{matrix}$$

**Answer:**  $65,536^3 \approx 10^{14}$  parameters

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For comparison, GPT-4 has  $10^{12}$  parameters

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Largest matrix ever inverted is  $\approx 10^{12}$ 

For comparison, GPT-4 has  $10^{12}$  parameters

Polynomial regression scales poorly to high dimensional data

Issues with very complex problems

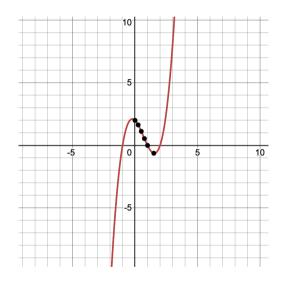
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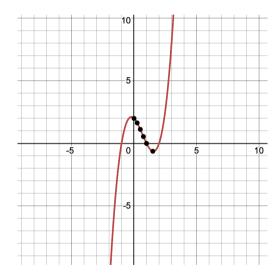
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$$f(x) = x^3 - 2x^2 - x + 2$$

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If breed of dog missing from training set, we still want to classify it as dog!

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# Relax

Can we improve upon the linear/polynomial model?

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Yes, with neural networks

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TODO: diagram/flowchart history of ML

**Brain:** Biological neurons  $\rightarrow$  Biological neural network

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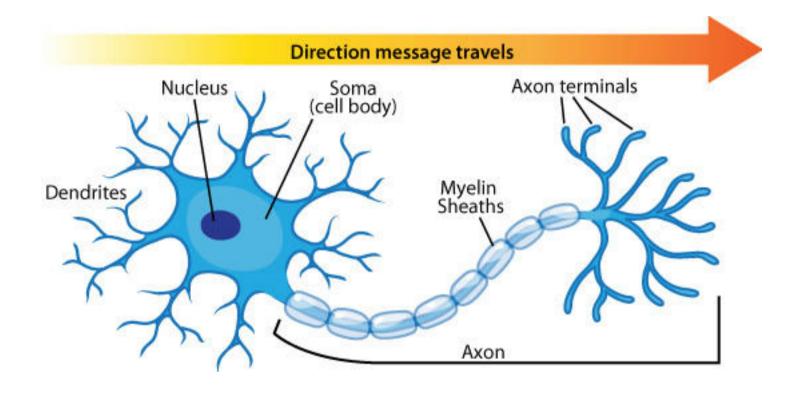
**Computer:** Artificial neurons  $\rightarrow$  Artificial neural network

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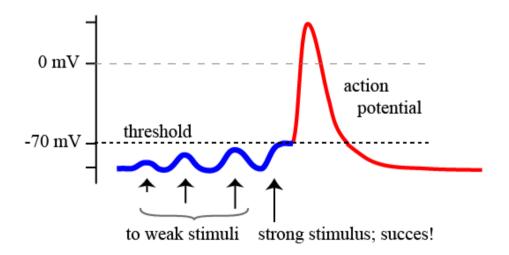
Neurons send and receive electrical impulses along axons and dendrites

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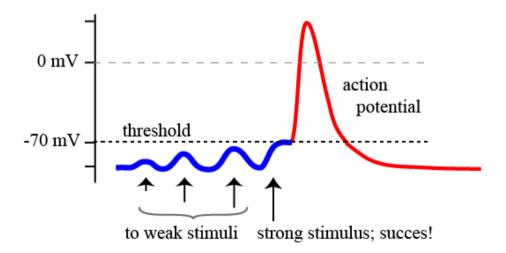


Incoming impulses (via dendrites) change the electric potential of the neuron

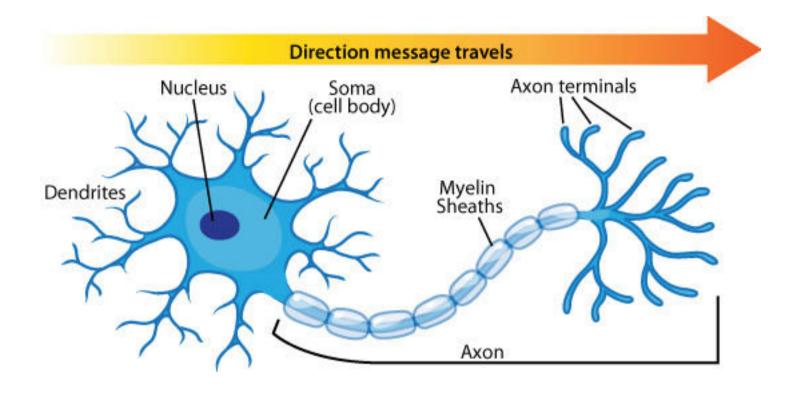
Incoming impulses (via dendrites) change the electric potential of the neuron

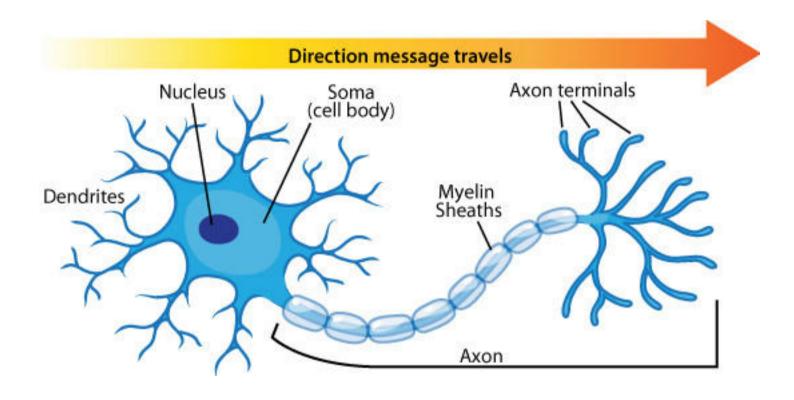


Incoming impulses (via dendrites) change the electric potential of the neuron

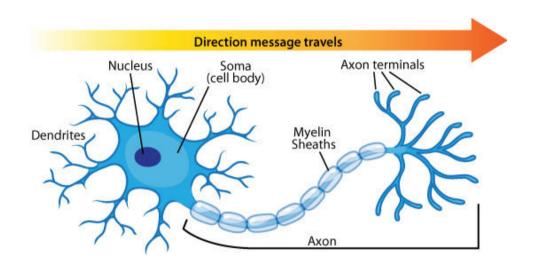


Pain triggers initial nerve impulse, sets of impulse chain into the brain

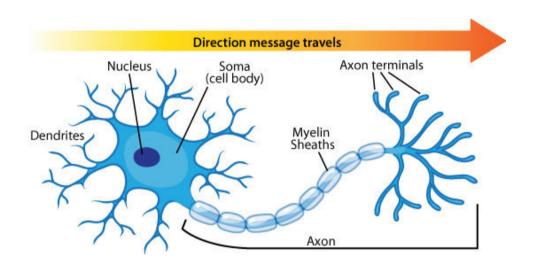




**Question:** How would you model a neuron mathematically?

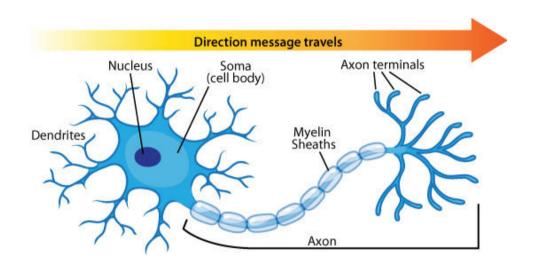


Neuron has a structure of dendrites

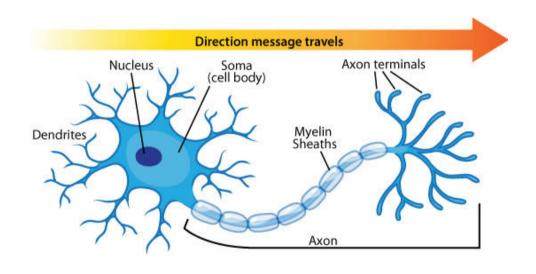


## Neuron has a structure of dendrites

$$f\left(\begin{bmatrix}\theta_1\\\theta_2\\\vdots\\\theta_n\end{bmatrix}\right)=f\left(\begin{bmatrix}1\\0\\\vdots\\1\end{bmatrix}\right)$$



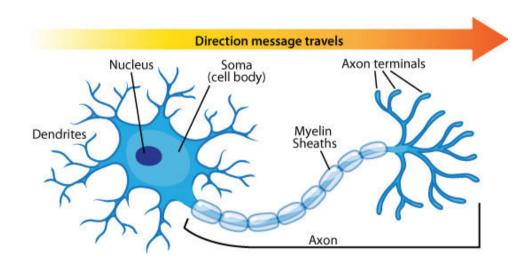
Each incoming dendrite has some voltage potential



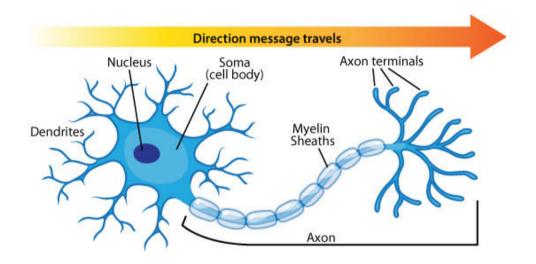
Each incoming dendrite has some voltage potential

$$f\left(\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}, \begin{bmatrix} \theta_1 \\ \vdots \\ \theta_n \end{bmatrix}\right)$$

$$\begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} 0.5 \\ \vdots \\ -0.3 \end{bmatrix}$$

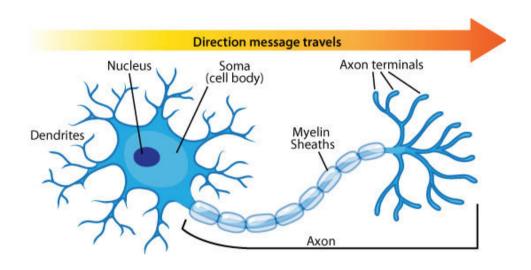


Voltage potentials sum together to give us the voltage in the cell body

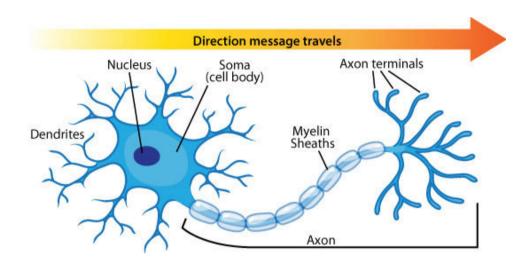


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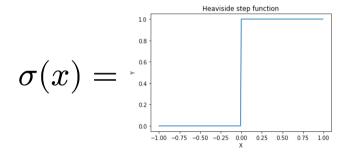
$$f\!\left(\begin{bmatrix}x_1\\\vdots\\x_n\end{bmatrix},\begin{bmatrix}\theta_1\\\vdots\\\theta_n\end{bmatrix}\right) = \sum_{i=1}^n x_i\theta_i$$

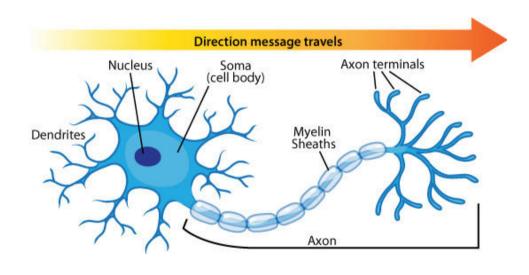


The axon fires only if the voltage is over a threshold



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$$\sigma(x) = \frac{\frac{10}{0.8} - \frac{10}{0.8}}{\frac{0.6}{0.100} - \frac{10}{0.75} - \frac{0.50}{0.50} - \frac{0.25}{0.50} - \frac{0.50}{0.55} - \frac{0.50}{0.50} - \frac{0.75}{0.50} - \frac{100}{0.50}}{\frac{0.000}{0.25} - \frac{0.50}{0.50} - \frac{0.75}{0.50} - \frac{100}{0.50}}{\frac{0.000}{0.25} - \frac{0.50}{0.50} - \frac{0.75}{0.50} - \frac{100}{0.50}}$$

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This is almost the artificial neuron!

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**Question:** Does it look familiar to any other functions we have seen?

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**Answer:** The linear model!

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Linear model

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Linear model

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We add a bias term to the neuron, for the same reason we add a bias term to the linear model

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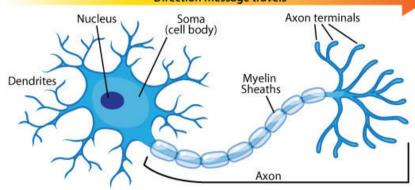
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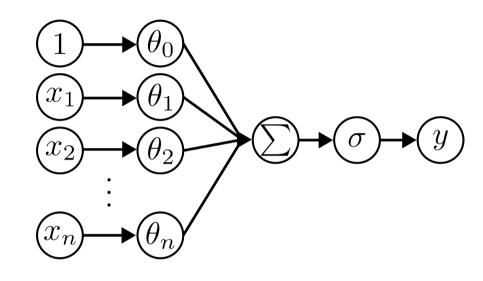
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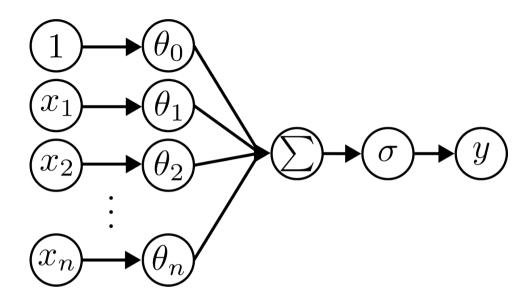
#### **Direction message travels**

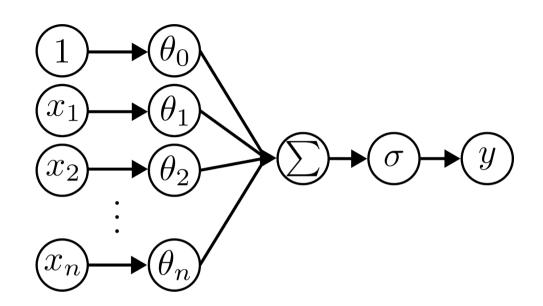


$$f\!\left(\begin{bmatrix}x_1\\\vdots\\x_n\end{bmatrix},\begin{bmatrix}\theta_1\\\vdots\\\theta_n\end{bmatrix}\right) = \sigma\!\left(\theta_0 + \sum_{i=1}^n x_i\theta_i\right)$$

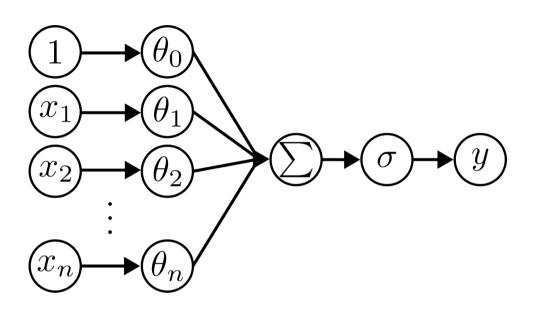


# Relax



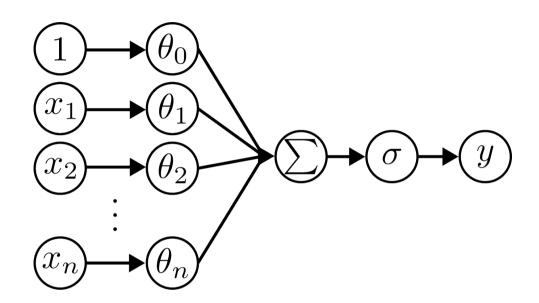


Recall that in machine learning we deal with functions



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What kinds of functions can our neuron represent?

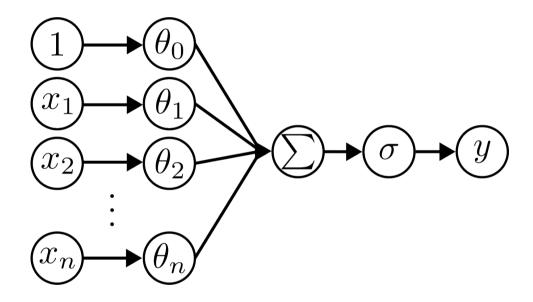


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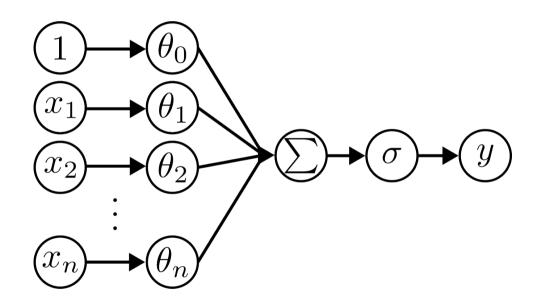
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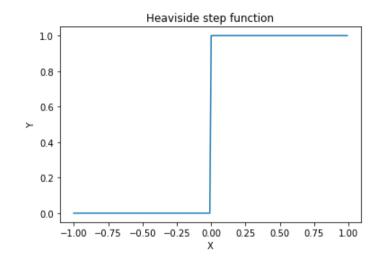
Let us start with a logical AND function

Recall the activation function (Heaviside step)



# Recall the activation function (Heaviside step)





$$H(x) = \begin{cases} 1 & \text{if } x > 0 \\ 0 & \text{if } x \le 0 \end{cases}$$



$$f(x_1,x_2,\boldsymbol{\theta}) = H(\theta_0 + x_1\theta_1 + x_2\theta_2)$$



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$$\boldsymbol{\theta} = \begin{bmatrix} \theta_0 & \theta_1 & \theta_2 \end{bmatrix}^\top = \begin{bmatrix} -1 & 1 & 1 \end{bmatrix}^\top$$

$$\begin{split} f(x_1, x_2, \boldsymbol{\theta}) &= H(\theta_0 + x_1 \theta_1 + x_2 \theta_2) \\ \boldsymbol{\theta} &= \begin{bmatrix} \theta_0 & \theta_1 & \theta_2 \end{bmatrix}^\top = \begin{bmatrix} -1 & 1 & 1 \end{bmatrix}^\top \end{split}$$

$x_1$	$x_2$	y	$f(x_1,x_2,\boldsymbol{\theta})$	$oxed{\hat{y}}$
0	0	0	$H(-1+1\cdot 0+1\cdot 0)=H(-1)$	0
0	1	0	$H(-1 + 1 \cdot 0 + 1 \cdot 1) = H(0)$	0
1	0	0	$H(-1 + 1 \cdot 1 + 1 \cdot 0) = H(0)$	0
1	1	1	$H(-1+1\cdot 1 + 1\cdot 1) = H(1)$	1



$$f(x_1,x_2,\boldsymbol{\theta}) = H(\theta_0 + x_1\theta_1 + x_2\theta_2)$$

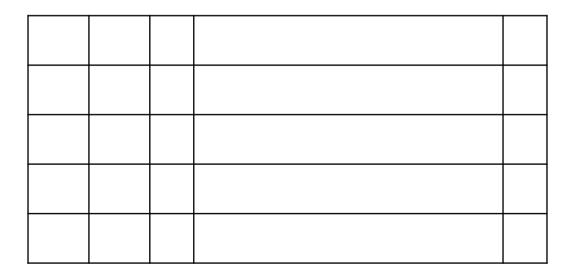


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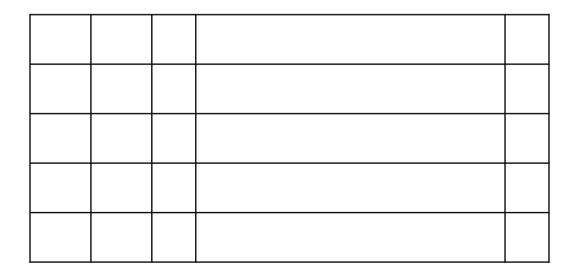
$$\boldsymbol{\theta} = \begin{bmatrix} \theta_0 & \theta_1 & \theta_2 \end{bmatrix}^{\top} = \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}^{\top}$$

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$$\boldsymbol{\theta} = [\theta_0 \ \theta_1 \ \theta_2]^\top = [0 \ 1 \ 1]^\top$$

$x_1$	$x_2$	y	$f(x_1,x_2,\boldsymbol{\theta})$	$oxed{\hat{y}}$
0	0	0	$H(0+1\cdot 0+1\cdot 0) = H(0)$	0
0	1	0	$H(0+1\cdot 1+1\cdot 0)=H(1)$	1
1	0	1	$H(0+1\cdot 0+1\cdot 1)=H(1)$	$\boxed{1}$
1	1	1	$H(1+1\cdot 1+1\cdot 1)=H(2)$	1



$$f(x_1,x_2,\boldsymbol{\theta}) = H(\theta_0 + x_1\theta_1 + x_2\theta_2)$$



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$$oldsymbol{ heta} = \left[ eta_0 \;\; heta_1 \;\; eta_2 
ight]^ op = \left[ ? \;\; ? \;\; ? 
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$$\boldsymbol{\theta} = [\theta_0 \ \theta_1 \ \theta_2]^\top = [? \ ? \ ?]^\top$$

$x_1$	$x_2$	y	$f(x_1,x_2,\boldsymbol{\theta})$	$oxed{\hat{y}}$
0	0	0	This is IMPOSSIBLE!	
0	1	1		
1	0	1		
1	1	0		

$$f(x_1,x_2,\boldsymbol{\theta}) = H(\theta_0 + x_1\theta_1 + x_2\theta_2)$$

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We can only represent H(linear function)

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XOR is not a linear combination of  $x_1, x_2$ !

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We want to represent any function, not just linear functions

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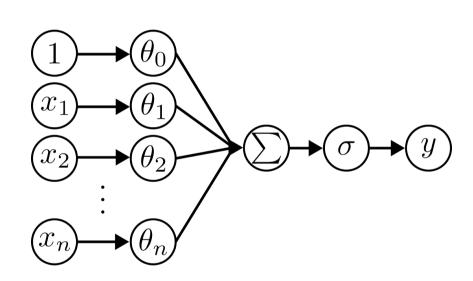
Let us think back to biology, maybe it has an answer

**Brain:** Biological neurons  $\rightarrow$  Biological neural network

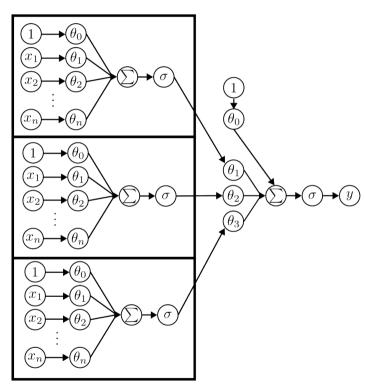
**Brain:** Biological neurons  $\rightarrow$  Biological neural network

**Computer:** Artificial neurons  $\rightarrow$  Artificial neural network

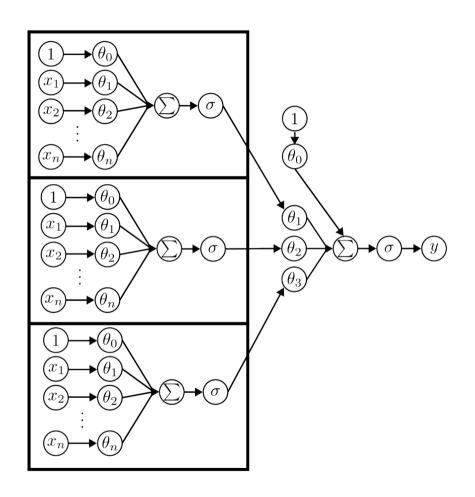
#### Connect artificial neurons into a network



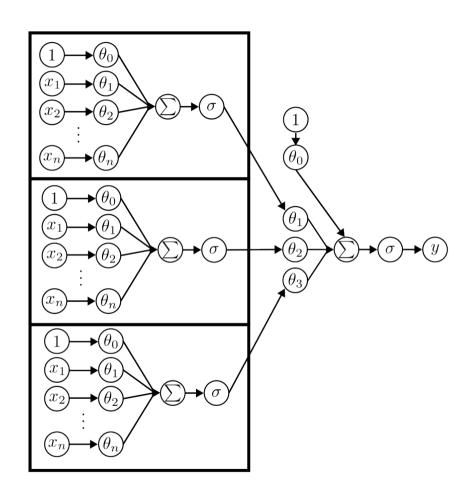
Neuron



Neural Network

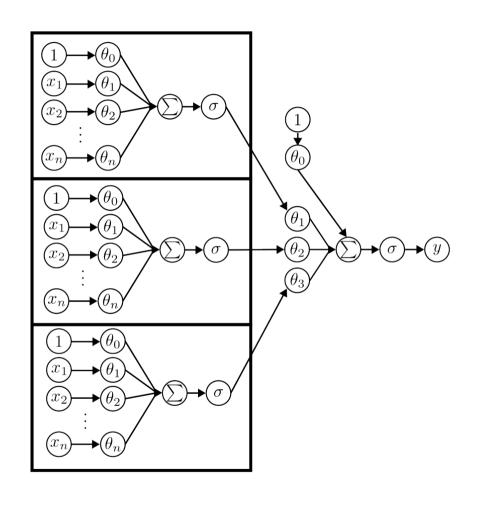


Adding neurons in **parallel** creates a **wide** neural network



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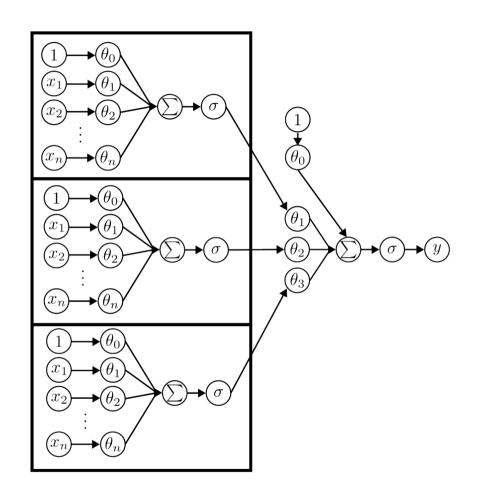
Adding neurons in **series** creates a **deep** neural network



Adding neurons in **parallel** creates a **wide** neural network

Adding neurons in **series** creates a **deep** neural network

Today's powerful neural networks are both wide and deep



Adding neurons in **parallel** creates a **wide** neural network

Adding neurons in **series** creates a **deep** neural network

Today's powerful neural networks are both wide and deep

Let us try to implement XOR using a wide and deep neural network

$$\begin{split} f(x_1, x_2, \pmb{\theta}) &= H\big(\theta_{3,0} \\ &\quad + \theta_{3,1} \quad \cdot \quad H\big(\theta_{1,0} + x_1\theta_{1,1} + x_2\theta_{1,2}\big) \\ &\quad + \theta_{3,2} \quad \cdot \quad H\big(\theta_{2,0} + x_1\theta_{2,1} + x_2\theta_{2,2}\big)\big) \end{split}$$

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$$\theta = \begin{bmatrix} \theta_{1,0} & \theta_{1,1} & \theta_{1,2} \\ \theta_{2,0} & \theta_{2,1} & \theta_{2,2} \\ \theta_{3,0} & \theta_{3,1} & \theta_{3,2} \end{bmatrix} = \begin{bmatrix} -0.5 & 1 & 1 \\ -1.5 & 1 & 1 \\ -0.5 & 1 & -2 \end{bmatrix}$$

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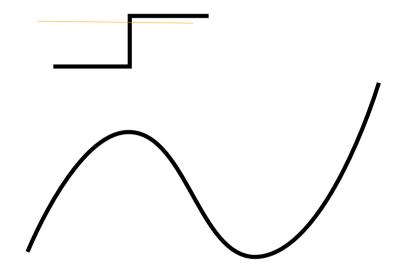
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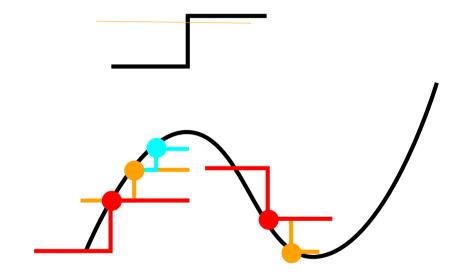
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**Proof Sketch:** Approximate a function g(x) using a linear combination of Heaviside functions

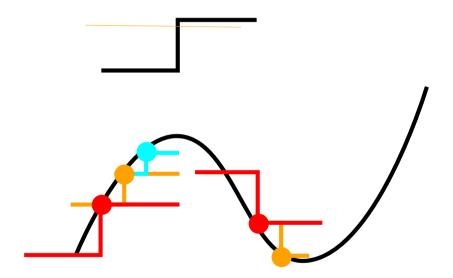
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Roughly, 
$$\left[\lim_{n \to \infty} \theta_{2,0} + \theta_{2,1} \sum_{j=1}^{n} \sigma(\theta_{1,0} + \theta_{1,j}x)\right] = g(x); \quad \forall g$$

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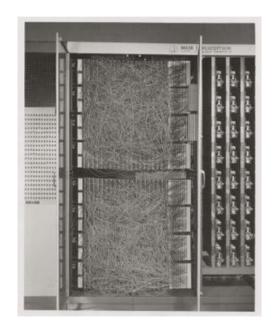
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Very powerful finding! The basis of deep learning.

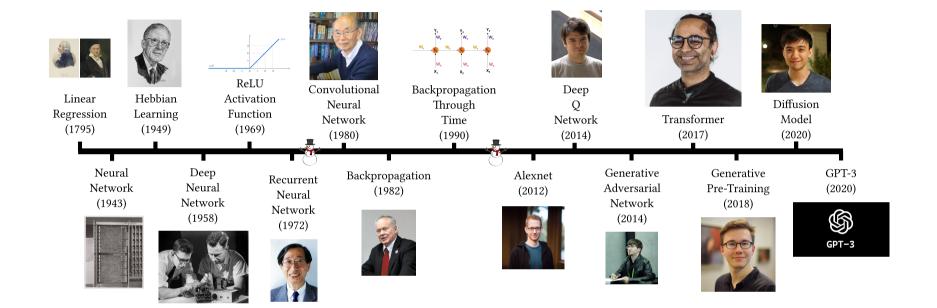
## Relax

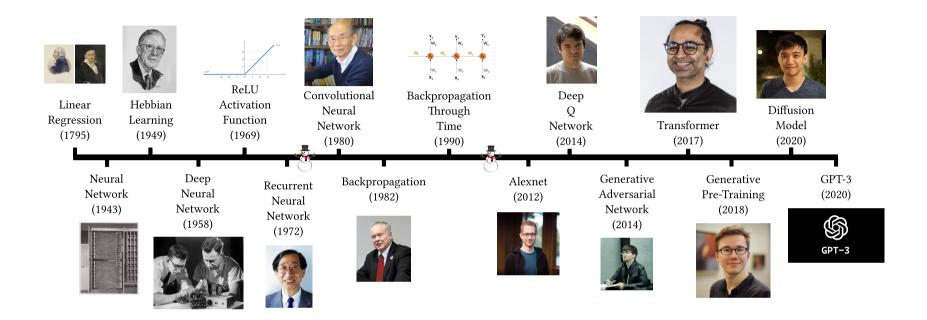
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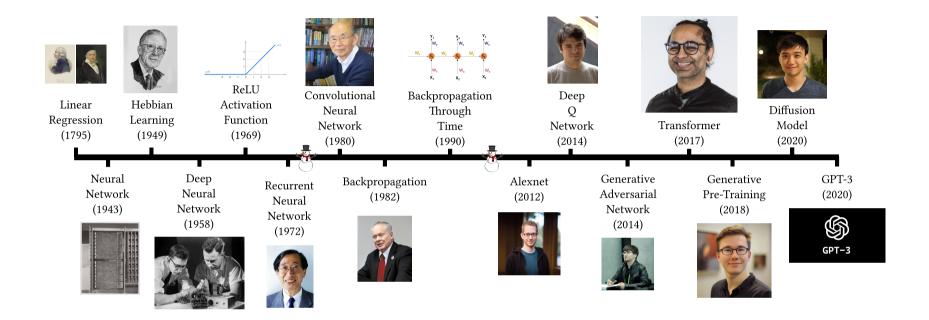


 $20 \times 20$  grid of pixels to process images





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Many small improvements over time eventually made NNs feasible

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We often use the term "layers", when referring to a specific depth of the neural network

• Four-layer MLP means a neural network with a depth of four