

This is an explanation of what happens in the Lean files leading up to TS1.lean. The goal is to define the "weighted complex" (a subcomplex of the Coxeter complex of the symmetric group), to prove that it is shellable and to calculate its Euler-Poincaré characteristic, and then to deduce a formula for the alternating sum on totally partitions of a finite set α satisfying a certain positivity condition. (The final formula is easy enough to prove by induction on the cardinality of α , so it is mainly an excuse to play with some interesting abstract simplicial complexes.)

The sections correspond to separate Lean files, the subsections to the internal organizations of these files.

1 General preorder stuff

1.1 General stuff

This contains general lemmas about preorder on a type α . If s is a preorder on α , we write \simeq_s for the antisymmetrization relation of s (i.e. $a \simeq_s b$ if and only if $a \leq_s b$ and $b \leq_s a$). This is an equivalence relation, and the quotient of α by this equivalence relation is called the antisymmetrization; it carries a partial order induced by s .

Lemma 1.1.1 (TotalPreorder_trichotomy) *If s is a total preorder on α , then, for all $a, b \in \alpha$, we have $a <_s b$, $b <_s a$ or $a \simeq_s b$.*

Lemma 1.1.2 (LinearPreorder_trichotomy) *If s is a linear preorder on α (i.e. a preorder that happens to be a linear order), then, for all $a, b \in \alpha$, we have $a <_s b$, $b <_s a$ or $a = b$.*

Lemma 1.1.3 (TotalPreorder_lt_iff_not_le) *If s is a total preorder on α and $a, b \in \alpha$, then $\neg(a \leq_s b)$ if and only if $b <_s a$.*

1.2 SuccOrders and antisymmetrization

A SuccOrder structure on s is the data of a "reasonable" successor function.

Definition 1.2.1 (SuccOrdertoAntisymmetrization) If $hsucc$ is a SuccOrder on α for the preorder s , then we get a SuccOrder on the antisymmetrization of α (relative to s) by taking as successor function the function sending x to the image of the successor of a lift of x .

Definition 1.2.2 (SuccOrderofAntisymmetrization) If s is a preorder on α and $hsucc$ is a SuccOrder on the antisymmetrization of α (for the canonical partial order), then we get a SuccOrder on α for s by sending a to any lift of the successor of the image of a in the antisymmetrization.

1.3 Essentially locally finite preorders

Definition 1.3.1 (EssentiallyLocallyFinitePreorder) We say that a preorder is *essentially locally finite* if its antisymmetrization partial order is locally finite (i.e. closed intervals are finite).

Definition 1.3.2 (EssentiallyLocallyFinite_ofLocallyFinite) Any structure of locally finite preorder on s defines a structure of essentially locally finite preorder.

Definition 1.3.3 (TotalELFP_SuccOrder) Any structure of essentially locally finite preorder on a total preorder s defines a SuccOrder structure.

Lemma 1.3.4 (ELFP_is_locally_WellFounded) *If s is an essentially locally finite preorder, then it is well-founded on any closed interval.*

1.4 Partial order on preorders

Definition 1.4.1 (instPreorder.le & Preorder.PartialOrder) We define a partial order on preorders by saying that $s \leq t$ if only, for all $a, b \in \alpha$, $a \leq_s b$ implies $a \leq_t b$.

1.5 The trivial preorder

Definition 1.5.1 (trivialPreorder) The trivial preorder on α is the preorder with graph $\alpha \times \alpha$.

Definition 1.5.2 (trivialPreorder_is_total) The trivial preorder on α is total.

Lemma 1.5.3 (trivialPreorder_is_greatest) Every preorder is smaller than or equal to the trivial preorder.

Lemma 1.5.4 (nontrivial_preorder_iff_exists_not_le) A preorder s is different from the trivial preorder if and only if there exist $a, b \in \alpha$ such that $\neg(a \leq_s b)$.

1.6 Partial order on preorders and antisymmetrization

Lemma 1.6.1 (AntisymmRel_monotone) If s, t are preorders on α such that $s \leq t$, then the graph of \simeq_s is contained in the graph of \simeq_t .

Definition 1.6.2 (AntisymmetrizationtoAntisymmetrization) Let s, t be preorders such that $s \leq t$. We have a map from the antisymmetrization of s to that of t sending x to the image in the antisymmetrization of t of any lift of x .

Lemma 1.6.3 (AntisymmetrizationtoAntisymmetrization_lift) If s, t are preorders on α such that $s \leq t$ and $a \in \alpha$, then the class of a in the antisymmetrization of t is the image of its class in the antisymmetrization of s .

Lemma 1.6.4 (AntisymmetrizationtoAntisymmetrization_monotone) If s, t are preorders on α such that $s \leq t$, then the map from the antisymmetrization of s to the antisymmetrization of t is monotone.

Lemma 1.6.5 (AntisymmetrizationtoAntisymmetrization_image_interval) If r, s are preorders on α such that $r \leq s$ and r is total, and if $a, b \in \alpha$ are such that $a \leq_r b$, then the image of the interval $[\bar{a}, \bar{b}]$ in the antisymmetrization of r is the corresponding interval in the antisymmetrization of s .

1.7 Upper sets for the partial order on preorders

Lemma 1.7.1 (Total_IsUpperSet) *Total preorders form an upper set.*

Definition 1.7.2 (TotalELPF_IsUpperSet) If r is a total essentially locally finite preorder, then it defines a structure of essentially locally finite preorder on any s such that $r \leq s$.

1.8 Noetherian preordered sets

Definition 1.8.1 (IsNoetherianPoset) A preorder s on α is called *Noetherian* if the relation $>_s$ is well-founded.

1.9 Maximal nonproper order ideals

We fix a preorder on α .

Definition 1.9.1 (Order.Ideal.IsMaximalNonProper) An order ideal is called *maximal non-proper* if it is maximal among all order ideals of α .

This definition is only interesting if α itself is not an order ideal, i.e. if the preorder on α is not directed.

Lemma 1.9.2 (OrderIdeals_inductive_set) *Order ideals of α form an inductive set (i.e. any nonempty chain has an upper bound).*

Lemma 1.9.3 (Order.Ideal.contained_in_maximal_nonproper) *Any order ideal of α is contained in a maximal nonproper order ideal.*

Lemma 1.9.4 (Order.Ideal.generated_by_maximal_element) *If I is an order ideal of α and if a is a maximal element of I , then I is generated by a .*

Lemma 1.9.5 (Order.PFilter.generated_by_minimal_element) *If F is an order filter of α and if a is a minimal element of F , then F is generated by a .*

Lemma 1.9.6 (Noetherian_iff_every_ideal_is_principal_aux & Noetherian_iff_every_ideal_is_principal) *The preorder on α is Noetherian if and only if every order ideal is principal (= generated by one element).*

1.10 Map from α to its order ideals

We fix a partial order on α .

Definition 1.10.1 (Elements_to_Ideal) We have an order embedding from α to the set of its order ideals (ordered by inclusion) sending a to the ideal generated by a .

1.11 Locally finite partial order on finsets of α

Lemma 1.11.1 (FinsetIic_is_finite) *If s is a finset of α , then the half-infinite interval $] \leftarrow, s]$ is finite.*

Lemma 1.11.2 (FinsetIcc_is_finite) *If s and t are finsets of α , then the closed interval $[s, t]$ is finite.*

Definition 1.11.3 (FinsetLFB) A structure of locally finite order with smallest element on the set of finsets of α .

Definition 1.11.4 (FacePosetLF) A structure of locally finite order with smallest element on the set of finsets of α .

1.12 Two-step preorders

Definition 1.12.1 (twoStepPreorder) If a is an element of α , we define a preorder $\text{twoStepPreorder}(a)$ that makes a strictly smaller than every other element and all other elements of α equal.

Lemma 1.12.2 (twoStepPreorder_smallest) *If a is an element of α , then it is the smallest element for $\text{twoStepPreorder}(a)$.*

Lemma 1.12.3 (twoStepPreorder_greatest) *If a, b are elements of α such that $a \neq b$, then any element of α is smaller than or equal to b for $\text{twoStepPreorder}(a)$.*

Lemma 1.12.4 (twoStepPreorder_IsTotal) *If a is an element of α , then $\text{twoStepPreorder}(a)$ is a total preorder.*

Lemma 1.12.5 (twoStepPreorder_nontrivial) *If a, b are elements of α such that $a \neq b$, then $\text{twoStepPreorder}(a)$ is nontrivial.*

Definition 1.12.6 (twoStepPreorder_singleton_toAntisymmetrization) For a an element of α , a map from $\{a\}$ to the antisymmetrization of α for $\text{twoStepPreorder}(a)$.

Definition 1.12.7 (twoStepPreorder_nonsingleton_toAntisymmetrization) If a, b are elements of α , a map from $\{a\} \cup *$ to the antisymmetrization of α for $\text{twoStepPreorder}(a)$.

Lemma 1.12.8 (twoStepPreorder_singleton_toAntisymmetrization_surjective) *If a is an element of α such that every element of α is equal to a , then the map from $\{a\}$ to the antisymmetrization of α for $\text{twoStepPreorder}(a)$ is surjective.*

Lemma 1.12.9 (twoStepPreorder_nonsingleton_toAntisymmetrization_surjective) *If a, b are elements of α such that $a \neq b$, then the map from $\{a\} \cup *$ to the antisymmetrization of α for $\text{twoStepPreorder}(a)$ is surjective.*

Lemma 1.12.10 (twoStepPreorder_Antisymmetrization_finite) *If a is an element of α , then the antisymmetrization of α for $\text{twoStepPreorder}(a)$ is finite.*

Lemma 1.12.11 (twoStepPreorder_Antisymmetrization.card) *If a, b are elements of α such that $a \neq b$, then the antisymmetrization of α for $\text{twoStepPreorder}(a)$ has cardinality 2.*

1.13 A linear order on any type

Definition 1.13.1 (ArbitraryLinearOrder) A definition of a linear order on α (by embedding α into the class of cardinals and lifting the linear order on cardinals).

2 Abstract simplicial complexes

Let α be a set.

2.1 Generalities

Definition 2.1.1 (AbstractSimplicialComplex) An *abstract simplicial complex* K on α is a set of nonempty finite subsets of α (called *faces* of K) such that, if s is face of K and t is a nonempty subset of s , then t is a face of K .

Definition 2.1.2 (of_erase) If we have a set S of finite subsets of α that is a lower set for inclusion, then we get an abstract simplicial complex whose faces are the nonempty elements of S .

Definition 2.1.3 (of_subcomplex) If K is an abstract simplicial complex and S is a set of faces of K that is a lower set for inclusion, then we get an abstract simplicial with set of faces S .

Lemma 2.1.4 (face_card_nonzero) If K is an abstract simplicial complex and s is a face of K , then the cardinality of s is a nonzero natural number.

2.2 Vertices

Definition 2.2.1 (vertices) Let K be an abstract simplicial complex. Vertices of K are elements a of α such that $\{a\}$ is a face of K .

Lemma 2.2.2 (mem_vertices) An element a of α is a vertex of K if and only if $\{a\}$ is a face of K .

Lemma 2.2.3 (vertices_eq) The set of vertices of K is the union of the faces of K .

Lemma 2.2.4 (mem_vertices_iff) An element a of α is a vertex of K if and only if there exists a face s of K such that $a \in s$.

Lemma 2.2.5 (face_subset_vertices) Every face of K is contained in the set of vertices of K .

2.3 Facets

Definition 2.3.1 (facets) A *facet* of K is a maximal face.

Lemma 2.3.2 (mem_facets_iff) A finite set of α is a facet of K if and only if it is a face of K and it is maximal among faces of K .

Lemma 2.3.3 (facets_subset) Every facet of K is a face of K .

2.4 Partial order on abstract simplicial complexes

Definition 2.4.1 We define a partial order on the set of abstract simplicial complexes on α by saying that K is less than or equal to L if and only if the set of faces of K is contained in the set of faces of L .

Definition 2.4.2 (Inf) If K and L are two abstract simplicial complexes, then $Inf(K, L)$ is the abstract simplicial complexes whose set of faces is the intersection of the set of faces of K and the set of faces of L .

Definition 2.4.3 (Sup) If K and L are two abstract simplicial complexes, then $Sup(K, L)$ is the abstract simplicial complexes whose set of faces is the union of the set of faces of K and the set of faces of L .

Definition 2.4.4 (DistribLattice) A structure of distributive lattice on the set of abstract simplicial complexes on α , whose max and min are given by Sup and Inf .

Definition 2.4.5 (Top) We define an abstract simplicial complex Top such that every finite subset of α is a face of Top .

Definition 2.4.6 (Bot) We define an abstract simplicial complex Bot whose set of faces of empty.

Definition 2.4.7 (OrderBot) A smallest element of the set of abstract simplicial complexes on α given by Bot .

Definition 2.4.8 (OrderTop) A biggest element of the set of abstract simplicial complexes on α given by Top .

Definition 2.4.9 (SupSet) If s is a set of abstract simplicial complexes on α , an abstract simplicial complex $SupSet(s)$ whose set of faces is the union of the sets of faces of the elements of s .

Definition 2.4.10 (InfSet) If s is a set of abstract simplicial complexes on α , an abstract simplicial complex $InfSet(s)$ whose set of faces is the intersection of the sets of faces of the elements of s .

Definition 2.4.11 (CompleteLattice) A structure of complete lattice on the set of abstract simplicial complexes on α , with supremum and infimum functions given by $SupSet$ and $InfSet$.

Definition 2.4.12 (CompleteDistribLattice) A structure of complete distributive lattice on the set of abstract simplicial complexes on α .

2.5 Finite complexes

Definition 2.5.1 (FiniteComplex) An abstract simplicial complex is called *finite* if its set of faces is finite.

Lemma 2.5.2 (Finite_IsLowerSet) Every complex smaller than or equal to a finite complex is also finite.

Lemma 2.5.3 (FiniteComplex_has_finite_facets) If K is a finite abstract simplicial complex, then its set of facets is finite.

2.6 Pure complexes and dimension

Definition 2.6.1 (dimension) Let K be an abstract simplicial complex. The *dimension* of K is the supremum (taken in $\mathbb{N} \cup \{\infty\}$) of on the set of faces of K of the function $s \mapsto \text{card}(s) - 1$.

Definition 2.6.2 (Pure) Let K be an abstract simplicial complex. We say that K is *pure* if, for every facet s of K , the dimension of K is equal to $\text{card}(s) - 1$.

2.7 Skeleton and link

Definition 2.7.1 (skeleton) Let K be an abstract simplicial complex and $d \in \mathbb{N}$. The *d-skeleton* of K is the abstract simplicial complexes whose faces are all faces of K of cardinality $\leq d + 1$.

Definition 2.7.2 (link) Let K be an abstract simplicial complex and s be a finite subset of α . The *link* of s in K is the abstract simplicial complex whose faces are all the faces t of K that are disjoint from s and such that $s \cup t$ is a face of K .

2.8 Simplicial maps

Definition 2.8.1 (SimplicialMap) Let K and L be abstract simplicial complexes on the sets α and β respectively. A *simplicial map* from K to L is a map f from α to β such that, for every face s of K , the finite set $f(s)$ is a face of L .

Definition 2.8.2 (toFaceMap) Given a simplicial map f from K to L , we get a map $f.\text{toFaceMap}$ from the set of faces of K to the set of faces of L .

Definition 2.8.3 (comp) Definition of the composition of simplicial maps: it is given by composing the maps on the underlying sets.

Definition 2.8.4 (id) If K is an abstract simplicial complex on α , the identity of K is the simplicial map given by the identity of α .

Definition 2.8.5 (AbstractSimplicialComplexEquiv) Let K and L be abstract simplicial complexes on the sets α and β respectively. A *simplicial equivalence* from K to L is the data of a simplicial map $f : \alpha \rightarrow \beta$ from K to L and a simplicial map $g : \beta \rightarrow \alpha$ from L to K such that, for every face s of K , we have $g(f(s)) = s$ and, for every face t of L , we have $f(g(t)) = t$.

2.9 Subcomplex generated

Definition 2.9.1 (SubcomplexGenerated) Let K be a abstract simplicial complex and F be a set of finite sets of α . The *subcomplex of K generated by F* is the abstract simplicial complex whose faces are the faces of K that are contained in an element of F .

Lemma 2.9.2 (SubcomplexGenerated_mem) Let K be a abstract simplicial complex and F be a set of finite sets of α . A finite subset s of α is a face of the subcomplex of K generated by F if and only if s is a face of K and there exists $t \in F$ such that $s \subset t$.

2.10 Boundary of a simplex

Definition 2.10.1 (Boundary) Let K be an abstract simplicial complex and s be a face of K . The *boundary* of s is the abstract simplicial complex whose faces are nonempty proper subsets of s .¹

Lemma 2.10.2 (Boundary_mem) Let K be an abstract simplicial complex and s be a face of K . A finite subset t of α is a face of the boundary of s if and only if t is a face of K , t is included in s and $t \neq s$.

Lemma 2.10.3 (BoundaryFinite) Let K be an abstract simplicial complex and s be a face of K . Then the boundary of s is a finite complex.

¹Hey, we don't need K here !

3 The face poset of an abstract simplicial complex

We fix a set α and an abstract simplicial complex K on α .

3.1 Definitions

Definition 3.1.1 (FacePoset) The *face poset* of K is the set of faces of K , partially ordered by inclusion.

Lemma 3.1.2 (FacePosetIic_is_finite) For every face s of K , the half-infinite interval $]\leftarrow, s]$ is finite.

Lemma 3.1.3 (FacePosetIcc_is_finite) For all faces s and t , the closed interval $[s, t]$ is finite.

Definition 3.1.4 (FacePosetLFB) A structure of locally finite order with smallest element on the face poset of K .

Definition 3.1.5 (FacePosetLF) A structure of locally finite order on the face poset of K .

3.2 Filters of the face poset

Lemma 3.2.1 (FacePoset.Filters) Every filter of the face poset is principal.

3.3 Ideals of the face poset

Definition 3.3.1 (SupportIdeal) If I is an ideal of the face poset, its *support* is the union of all its members.

Definition 3.3.2 (IdealFromSet) If S a subset of α , the subset of the face poset that it defines is the set of faces contained in S .

Lemma 3.3.3 (SupportIdeal_def) Let I be an ideal of the face poset. An element a of α is in the support of I if and only if there exists a face s of K such that $s \in I$ and $a \in s$.

Lemma 3.3.4 (SupportIdeal_eq) Let I be an ideal of the face poset. An element a of α is in the support of I if and only if the face $\{a\}$ of K is an element of I .

Lemma 3.3.5 (SupportIdeal_contains_faces) Let I be an ideal of the face poset. If s is a face of K such that $s \in I$, then s is contained in the support of I .

Lemma 3.3.6 (SupportIdeal_monotone) If I, J are ideals of the face poset of K such that $I \subset J$, then the support of I is contained in the support of J .

Lemma 3.3.7 (SupportIdeal_nonempty) *If I is an ideal of the face poset of K , then the support of I is nonempty. (Note that ideals are nonempty by definition.)*

Lemma 3.3.8 (Finset_SupportIdeal_aux and Finset_SupportIdeal) *Let I be an ideal of the face poset of K . Then any nonempty finite subset of the support of I is a face of K .*

Lemma 3.3.9 (MemIdeal_iff_subset_SupportIdeal) *Let I be an ideal of the face poset of K and s be a face of K . Then $s \in I$ if and only if s is contained in the support of I .*

Lemma 3.3.10 (SupportIdeal_principalIdeal) *Let s be a face of K . The support of the principal ideal generated by s is equal to s .*

Lemma 3.3.11 (SupportIdeal_top) *Let I be an ideal of the face poset of K . If I is equal to the set of all faces of K , then the support of I is equal to the set of vertices of K .*

Lemma 3.3.12 (IdealFromSet_only_depends_on_vertices) *Let S be a subset of α . Then S and its intersection with the set of vertices of K define the same subset of the face poset of K .*

Lemma 3.3.13 (IdealFromSet.IsLowerSet) *Let S be a subset of α . The subset of the face poset of K that it defines is a lower set.*

Lemma 3.3.14 (IdealFromSupport) *Let I be an ideal of the face poset of K . Then I is equal to the subset defined by its support.*

Lemma 3.3.15 (IdealFromSet_DirectedOn_iff_aux & IdealFromSet_DirectedOn_iff) *Let S be a subset of α contained in the set of vertices of K . Then the subset of faces defined by S is directed if and only if every nonempty finite subset of S is a face of K .*

Lemma 3.3.16 (PrincipalIdeal_iff) *An ideal of the face poset of K is principal if and only if its support is finite.*

Lemma 3.3.17 (Subideal_of_Principal_is_Principal) *If I, J are ideals of the face poset of K such that $I \subset J$ and J is principal, then I is principal.*

Lemma 3.3.18 (AllIdealsPrincipal_iff_AllMaximalNonProperIdealsPrincipal) *The following are equivalent:*

1. *Every ideal of the face poset of K is principal.*
2. *Every nonproper maximal ideal of the face poset of K is principal.*

Lemma 3.3.19 (Facet_iff_principal_ideal_maximal) *Let s be a face of K . Then s is a facet if and only if the principal ideal that it generates is nonproper maximal.*

Lemma 3.3.20 (Top_ideal_iff_simplex) *The following are equivalent:*

1. *The set of all faces of K is an ideal.*
2. *Every nonempty finite subset of the set of vertices of K is a face of K .*

3.4 Noetherianity of the face poset

Lemma 3.4.1 (Noetherian implies every face contained in facet) *If the face poset of K is Noetherian, then every face of K is contained in a facet.*

Lemma 3.4.2 (Noetherian nonempty implies facets exist) *If the face poset of K is Noetherian and K is nonempty, then K has a facet.*

Lemma 3.4.3 (Finite dimensional implies Noetherian) *If the dimension of K is finite, then the face poset of K is Noetherian.*

Lemma 3.4.4 (Finite implies Noetherian) *If K is a finite complex, then its face poset is Noetherian.*

Lemma 3.4.5 (Finite implies finite dimensional) *If K is a finite complex, then its dimension is finite.*

Lemma 3.4.6 (Dimension of Noetherian pure) *If the face poset of K is Noetherian and all facets of K have the same cardinality, then K is pure.*

4 Orders on the facets of a complex

We fix a set α and an abstract simplicial complex K on α .

4.1 The complex of old faces

Definition 4.1.1 (OldFaces) Let r be a partial order on the set of facets of K and s be a facet of K . We consider the abstract simplicial subcomplex L of K generated by all facets t such that $t <_r s$. The complex of *old faces* defined by r and s is the abstract simplicial subcomplex of L generated by s .

Lemma 4.1.2 (OldFaces_mem) Let r be a partial order on the set of facets of K and s be a facet of K . A finite subset t of α is a face of the complex of old faces if and only if t is a face of K , $t \subset s$ and there exists a facet u of K such that $u <_r s$ and $t \subset u$.

Lemma 4.1.3 (OldFaces_included_in_boundary) Let r be a partial order on the set of facets of K and s be a facet of K . The complex of old faces is included in the boundary of s .

Lemma 4.1.4 (OldFacesFinite) Let r be a partial order on the set of facets of K and s be a facet of K . The complex of old faces is finite.

Lemma 4.1.5 (OldFacesNonempty_implies_not_vertex) Let r be a partial order on the set of facets of K and s be a facet of K . If the complex of old faces is nonempty, then s has cardinality ≥ 2 .

5 Going between total preorders and their sets of lower sets

We fix a set α .

5.1 From a preorder to the powerset

Definition 5.1.1 (preorderToPowerset) If s is a preorder on α , then $\text{preorderToPowerset}(s)$ is the set of lower sets of s that are nonempty and not equal α itself.

Lemma 5.1.2 (preorderToPowerset_TrivialPreorder_is_empty) If s is the trivial preorder on α , then $\text{preorderToPowerset}(s)$ is empty.

Lemma 5.1.3 (preorderToPowerset_TwoStepPreorder) If s is the two-step preorder associated to a and if α has an element different from a , then $\text{preorderToPowerset}(s)$ is equal to $\{\{a\}\}$.

Lemma 5.1.4 (preorderToPowerset_total_is_total) If s is a total preorder, then $\text{preorderToPowerset}(s)$ is totally ordered by inclusion.

Lemma 5.1.5 (preorderToPowerset_antitone) The function $\text{preorderToPowerset}$ is antitone.

5.2 From a set of subsets to a preorder

Definition 5.2.1 (powersetToPreorder) If E is a set of subsets of α , we define a preorder $s = \text{powersetToPreorder}(E)$ on α by setting $a \leq_s b$ if and only every element of E that contains b also contains a .

Lemma 5.2.2 (powersetToPreorder_antitone) The function $\text{powersetToPreorder}$ is antitone.

Lemma 5.2.3 (powersetToPreorder_total_is_total) Let E be a set of subsets of α . If E is totally ordered by inclusion, then $\text{powersetToPreorder}(E)$ is a total preorder.

5.3 Going in both directions

Lemma 5.3.1 (preorderToPowersetToPreorder) For every preorder s on α , we have $s = \text{powersetToPreorder}(\text{preorderToPowerset}(s))$.

Lemma 5.3.2 (preorderToPowerset_injective) The function $\text{preorderToPowerset}$ is injective.

Lemma 5.3.3 (preorderToPowerset_is_empty_iff_TrivialPreorder) *Let s be a preorder on α . Then $\text{preorderToPowerset}(s)$ is empty if and only if s is the trivial preorder on α .*

Lemma 5.3.4 (powersetToPreorderToPowerset) *Let E be a set of subsets of α . Every element of E that is a proper subset of α is in $\text{preorderToPowerset}(\text{powersetToPreorder}(E))$.*

5.4 The case of essentially locally finite preorders

Under some conditions we have $E = \text{preorderToPowerset}(\text{powersetToPreorder}(E))$ in the last lemma (up to \emptyset and α). The condition I first wanted to use is "s is total, locally (i.e. in each closed interval) the relation $>_s$ is well-founded and there is a successor function for s." But this is actually equivalent to the fact that, in every closed interval, the relations $<_s$ and $>_s$ are well-founded. Indeed:

- (1) If the latter condition is true, then we get a successor function in the following way: Let a be an element of α . If a is maximal, we set $\text{succ}(a) = a$. If not, then there exists b such that $a <_s b$. We set $\text{succ}(a)$ to be a minimal element of the set $\{c \mid a <_s c \text{ and } c \leq_s b\}$, which is a nonempty subset of the closed interval $[a, b]$.
- (2) In the other direction, let a and b be elements of α such that $a \leq_s b$. We want to show that $<_s$ is well-founded on the closed interval $[a, b]$, so let S be a nonempty subset of $[a, b]$. If there exists c in S such that $c \leq_s a$, then this c is minimal. Otherwise, the set M of elements of $[a, b]$ that are strictly smaller than every element of S is nonempty (because it contains a). Let b be a maximal element of M , and let $c = \text{succ}(b)$. We have $b <_s c$ because b is not maximal in α , so $c \notin M$, so there exists d in S such that $d \leq_s c$. I claim that d is a minimal element of S . Indeed, let e be an element of S . If $e <_s d$, then $e <_s c$, so $e \leq_s b$ (by the properties of the successor function), and this is a contradiction; so $\neg(e <_s d)$.

On the other hand, if s is a total preorder such that $<_s$ and $>_s$ are well-founded, then the antisymmetrization of s is finite. Indeed, going to the antisymmetrization, we may assume that s is a partial order, hence a linear order, hence a well-order whose dual is also a well-order. As every well-order is isomorphic to an ordinal, we may assume that α is an ordinal (and s is its canonical order). Then if α were infinite, it would contain the ordinal ω as an initial segment, and ω has no greatest element, so the dual of s would not be a well-order.

So in conclusion, the conclusion I wanted to impose is equivalent to the fact that the antisymmetrization partial order of s is locally finite, which is the "essentially locally finite" condition.

Lemma 5.4.1 (TotalELFP_LowerSet_is_principal) *If s is total and essentially locally finite, then every element of $\text{preorderToPowerset}(s)$ is a half-infinite ideal $\downarrow \leftarrow, a]$.*

Lemma 5.4.2 (TotalELFP_powersetToPreorderToPowerset) *Let E be a set of subsets of α . If $\text{powersetToPreorder}(E)$ is total and essentially locally finite, then $\text{preorderToPowerset}(\text{powersetToPreorder}(E))$ is included in E .*

Lemma 5.4.3 (preorderToPowersets_down_closed) *Let s be a preorder on α . If s is total and essentially locally finite, then, for every subset E of $\text{preorderToPowerset}(s)$, we have $E = \text{preorderToPowerset}(\text{powersetToPreorder}(E))$.*

5.5 Relation between the set of lower sets and the antisymmetrization

Definition 5.5.1 (Preorder_nonmaximal) We define the set of nonmaximal elements of a preorder s .

Definition 5.5.2 (Antisymmetrization_nonmaximal) We define the set of nonmaximal elements of the antisymmetrization partial order.

Lemma 5.5.3 (Antisymmetrization_nonmaximal_prop1) *Let s be a preorder on α . If s has no maximal element, then the set of nonmaximal elements of its antisymmetrization partial order is equal to its antisymmetrization.*

Lemma 5.5.4 (Antisymmetrization_nonmaximal_prop2) *Let s be a preorder on α . If s has a maximal element s , then the set of nonmaximal elements of its antisymmetrization partial order is equal to the set of elements of its antisymmetrization that are not equal to the image of a .*

Lemma 5.5.5 (FiniteAntisymmetrization_exists_maximal) *Let s be a preorder on α . If the antisymmetrization of s is finite and α is nonempty, then s has a maximal element.*

Lemma 5.5.6 (FiniteAntisymmetrization_nonmaximal) *Let s be a preorder on α . If the antisymmetrization of s is finite and α is nonempty, then the set of nonmaximal elements of the antisymmetrization partial order of s is equal to the set of elements of its antisymmetrization that are not equal to the image of an arbitrarily chosen maximal element of s .*

Lemma 5.5.7 (Antisymmetrization_to_powerset) *Let s be a preorder on α . We have a map from the antisymmetrization of s to the powerset of α sending x to the set of a in α whose image is $\leq x$.*

Lemma 5.5.8 (Antisymmetrization_to_powerset_in_PreorderToPowerset) *Let s be a total preorder on α and x be a nonmaximal element of the antisymmetrization of s . Then the subset of α defined by x is in $\text{preorderToPowerset}(s)$.*

Lemma 5.5.9 (Antisymmetrization_to_powerset_preserves_order) *Let s be a total preorder on α and x, y be elements of the antisymmetrization of s . Then $x \leq y$ if and only if the set defined by x is contained in the set defined by y .*

Lemma 5.5.10 (Antisymmetrization_to_powerset_injective) *Let s be preorder on α . The map from the antisymmetrization of s to the powerset of α is injective.*

Lemma 5.5.11 (Nonempty_of_mem_PreorderToPowerset) *Let s be a preorder on α . If $\text{preorderToPowerset}(s)$ has an element, then α is nonempty.*

Lemma 5.5.12 (Antisymmetrization_to_powerset_surjective) *Let s be a total essentially locally finite preorder on α . For every element X of $\text{preorderToPowerset}(s)$, there exists a nonmaximal element of the antisymmetrization of s defining X .*

Definition 5.5.13 (Equiv_Antisymmetrization_nonmaximal_to_PreorderToPowerset) Let s be a total essentially locally finite preorder on α . We define an equivalence between the set of nonmaximal elements of the antisymmetrization of s and $\text{preorderToPowerset}(s)$.

Definition 5.5.14 (OrderIso_Antisymmetrization_minus_greatest_to_PreorderToPowerset) Under the same hypotheses, we upgrade the previous equivalence to an order isomorphism.

6 Linearly ordered partitions

We fix a set α .

Definition 6.0.1 (dual) If r is a linear order on α , then $dual(r)$ is the dual linear order.

6.1 The partial order on linearly ordered partitions

Definition 6.1.1 (LinearOrderedPartitions) A linearly ordered partitions on α is a total preorder on α .

Definition 6.1.2 (LinearOrderedPartitions.PartialOrder) We restrict the partial order on preorders to a partial order on linearly ordered partitions.

Lemma 6.1.3 (trivialPreorder_is_greatest_partition) *The trivial preorder is the greatest element of the set of linearly ordered partitions.*

Lemma 6.1.4 (linearOrder_is_minimal_partition) *A linear order is minimal in the set of linearly ordered partitions.*

6.2 Cooking up a linear order from a total preorder

Definition 6.2.1 (LinearOrder_of_total_preorder_and_linear_order_aux) Let r be a linear order on α and s be a preorder on α . We define a relation $LO(r, s)$ on α by saying that $a \leq_{LO(r, s)} b$ if and only if $a <_s b$, or $a \simeq_s b$ and $a \leq_r b$.

The idea is that we want to define a linear order smaller than or equal to s , and we use r to order the elements of α that are equivalent for s .

Lemma 6.2.2 (LinearOrder_of_total_preorder_and_linear_order_aux & LinearOrder_of_total_preorder) *Let r be a linear order on α and s be a total preorder on α . Then $LO(r, s)$ is a linear order on α .*

Definition 6.2.3 (LinearOrder_of_total_preorder_and_linear_order) The relation $LO(r, s)$ as a preorder.

Lemma 6.2.4 (LinearOrder_of_total_preorder_and_linear_order_is_total) *The preorder $LO(r, s)$ is total if s is total.*

Lemma 6.2.5 (LinearOrder_of_total_preorder_and_linear_order_is_linear) *The preorder $LO(r, s)$ is a linear order if s is total.*

Lemma 6.2.6 (LinearOrder_of_total_preorder_and_linear_order_is_smaller) *The preorder $LO(r, s)$ is smaller than or equal to s .*

Lemma 6.2.7 (LinearOrder_vs_fixed_LinearOrder) *If s is total and a, b are elements of α such that $a \simeq_s b$, then $a \leq_r b$ if and only if $a \leq_{LO(r, s)} b$.*

Lemma 6.2.8 (LinearOrder_of_total_preorder_and_linear_order_It) *Suppose that s is total, and let a, b be elements of α . If $a <_{LO(r, s)} b$, then $a <_s b$.*

Lemma 6.2.9 (LinearOrder_of_linear_order_and_linear_order_is_self) *If s is a linear order, then $LO(r, s) = s$.*

Lemma 6.2.10 (minimal_partition_is_linear_order) *A minimal linearly ordered partition is a linear order.*

We finish this subsection with some lemmas about the principal lower sets of $LO(r, s)$.

Lemma 6.2.11 (LowerSet_LinearOrder_etc_is_disjoint_union) *Let a be an element of α and X be the half-infinite interval $] \leftarrow, a]$ for the preorder $LO(r, s)$. Then X is the disjoint union of the half-infinite interval $] \leftarrow, a]$ for the preorder s and of the set $\{b \mid b \leq_r a \text{ and } a \simeq_s b\}$.*

Lemma 6.2.12 (LowerSet_LinearOrder_etc_is_difference) *Let a be an element of α and X be the half-infinite interval $] \leftarrow, a]$ for the preorder $LO(r, s)$. Then X is the difference of the half-infinite interval $] \leftarrow, a]$ for the preorder s and of the set $\{b \mid a <_r b \text{ and } a \simeq_s b\}$, and the second of these sets is contained in the first.*

6.3 The ascent partition of a preorder

Definition 6.3.1 (AscentPartition_aux) *If r is a linear order on α and s is a preorder on α , the ascent partition of s (with respect to r) is the relation $AP(r, s)$ defined by $a \leq_{AP(r, s)} b$ if $a \leq_s b$, or $b \leq_s a$ and the identity on the interval $[b, a]$ for s is strictly monotone for the preorders s and r . (The last condition means that, if c, d are elements of α such that $a \leq_s c <_s d \leq_s b$, then $c <_r d$.)*

Lemma 6.3.2 (AscentPartition_aux_refl & AscentPartition_aux_trans & AscentPartition_aux_total) *If s is a total preorder, then $AP(r, s)$ is a total preorder.*

Definition 6.3.3 (AscentPartition) *The relation $AP(r, s)$ as a preorder (for s a total preorder).*

Lemma 6.3.4 (AscentPartition_is_total) *If s is a total preorder, then the preorder $AP(r, s)$ is total.*

Lemma 6.3.5 (AscentPartition_is_greater) *If s is a total preorder, then $AP(r, s)$ is greater than or equal to s .*

6.4 Interactions between these two constructions

Lemma 6.4.1 (AscentPartition_comp) *If r is a linear order and s is a total preorder, then $AP(r, s) = AP(r, LO(r, s))$.*

Lemma 6.4.2 (LinearOrder_of_AscentPartition) *If r is a linear order and s is a total preorder, then $LO(r, s) = LO(r, AP(r, s))$.*

Lemma 6.4.3 (LinearOrder_of_total_preorder_and_linear_order_is_constant_on_interval_aux & LinearO
Let r be a linear order on α and s, t, u be total preorders on α such that $s \leq t \leq u$ and $LO(r, s) = LO(r, u)$. Then $LO(r, s) = LO(r, t)$.

Lemma 6.4.4 (LinearOrder_of_total_preorder_and_linear_order_on_ascent_interval) *Let r be a linear order on α and s, t be total preorders on α such that $s \leq t \leq AP(r, s)$. Then $LO(r, s) = LO(r, t)$.*

Lemma 6.4.5 (LinearOrder_of_total_preorder_and_linear_order_on_ascent_interval') *Let r be a linear order on α and s, t be total preorders on α such that $s \leq t \leq AP(r, s)$ and s is a linear order. Then $s = LO(r, t)$.*

Lemma 6.4.6 (LinearOrder_of_total_preorder_and_linear_order_fibers) *Let r be a linear order on α and s, t be preorder on α such that s is a linear order, t is total and $LO(r, t) = s$. Then we have $s \leq t \leq AP(r, s)$.*

Lemma 6.4.7 (AscentPartition_fibers) *Let r be a linear order on α and s, t be preorder on α such that s is a linear order and t is total. Then $AP(r, s) = AP(r, t)$ if and only if $s \leq t \leq AP(r, s)$.*

Lemma 6.4.8 (AscentPartition_fibers') *Let r be a linear order on α and s, t be preorder on α such that s is a linear order and t is total. Then $AP(r, s) = AP(r, t)$ if and only if $s = LO(r, t)$.*

6.5 Eventually trivial partitions

This part does not seem to be useful anymore.

Definition 6.5.1 (EventuallyTrivialLinearOrderedPartitions) *Let s be a linear order on α . A linearly ordered partitions s is called *essentially trivial* if there exists a in α such that, for all b, c in α , if $b, c \geq_r a$, we have $b \leq_s c$.*

Lemma 6.5.2 (EventuallyTrivial_is_finite) *Let r be a linear order on α that is locally finite with a smallest element, and let s be an essentially trivial linearly ordered partition. Then the antisymmetrization of s is finite.*

Lemma 6.5.3 (EventuallyTrivial_IsUpperSet) *Let r be a linear order on α . Then eventually trivial linearly ordered partitions form an upper set.*

Lemma 6.5.4 (Finite_is_EventuallyTrivial) *If α is finite nonempty, then every linearly ordered partition is eventually trivial (for any choice of linear order r).*

6.6 Some calculations

Lemma 6.6.1 (AscentPartition_fixed_linear_order) *Let r be a linear order on α . Then $AP(r, r)$ is the trivial preorder on α .*

Lemma 6.6.2 (Preorder_lt_and_AscentPartition_ge_implies_LinearOrder_le) *Let r be a linear order and s be a total preorder. For all a, b in α such that $a <_s b$ and $b \leq_{AP(r, s)} a$, we have $a \leq_r b$.*

Lemma 6.6.3 (AscentPartition_trivial_implies_fixed_linear_order) *Let r be a linear order and s be a preorder. If s is a linear order and $AP(r, s)$ is the trivial preorder, then $s = r$.*

Lemma 6.6.4 (AscentPartition_dual_fixed_linear_order) *Let r be a linear order on α . Then $AP(r, \text{dual}(r))$ is equal to $\text{dual}(r)$.*

We want to prove the converse of the last lemma under some conditions on s . This requires some preparation.

Definition 6.6.5 (ReverseProductOrder) *If s is a preorder on α , then this is the preorder on $\alpha \times \alpha$ that is the product of the dual of α and of α .*

Lemma 6.6.6 (ReverseProductOrder_lt1 & ReverseProductOrder_lt2) *Let s be a preorder on α and a, b, c be elements of α . If $a <_s b$, then $(b, c) < (a, c)$ for the reverse product order, and if $b <_s c$, then $(a, b) < (a, c)$ for the reverse product order.*

Lemma 6.6.7 (Exists_smaller_noninversion) *Let r be a linear order and s be a preorder. We suppose that s is a linear order and that $AP(r, s) = s$. If a, b are elements of α such that $a <_r b$ and $a <_s b$, then there exist c, d in α such that $c <_r d$, $c <_s d$ and (c, d) is strictly smaller than (a, b) for the reverse product preorder defined by s .*

Lemma 6.6.8 (AscentPartition_linear_implies_dual_linear_order) *Let r be a linear order and s be a preorder. We suppose that s is a linear order, that $AP(r, s) = s$ and that s is locally finite. Then s is equal to the dual of r .*