

# ON A CONSTRUCTION OF CODES OVER TERM RANK METRIC SPACES

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# Introduction

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- ▶ Although every pseudo-cyclic code corresponds to a shortened cyclic code over finite fields, researches have showned that the method not only provides a direct construction for many linear codes but also may be a fruitful way to construct many good codes over different algebraic structures for which a construction is not introduced yet (Lopez et al., 2009; Alahamdi et al., 2016).

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- ▶ In the first section we give the definitions for the pseudo-cyclic shift and pseudo-cyclic codes.
- ▶ In the second section we mention about previous studies briefly.
- ▶ Finally, we introduce our recent study on pseudo-cyclic code constructions over term rank metric spaces.

# Pseudo-cyclic Shift

Let  $F = GF(q)$  be a finite field with  $q$  elements and let  $F^n$  be the  $n$ -dimensional vector space over  $F$ .

Let  $c = (c_0, c_1, \dots, c_{n-1})$  be any vector in  $F^n$ . We fix a shift vector  $v = (v_0, v_1, \dots, v_{n-1})$  and define the following transformation

$$\begin{aligned}\tau_v: F^n &\rightarrow F^n \\ (c_0, c_1, \dots, c_{n-1}) &\mapsto (v_0 c_{n-1}, c_0 + v_1 c_{n-1}, \dots, c_{n-2} + v_{n-1} c_{n-1})\end{aligned}$$

- It has the following representation matrix as  $\tau_v(c) = T_v c$ , and  $T_v$  is exactly the companion matrix for  $f(x) = x^n - v(x)$ .

$$T_v = \begin{bmatrix} 0 & \cdots & \cdots & 0 & v_0 \\ 1 & 0 & \cdots & 0 & v_1 \\ 0 & 1 & \ddots & \vdots & \vdots \\ \vdots & \ddots & \ddots & 0 & \vdots \\ 0 & \cdots & 0 & 1 & v_{n-1} \end{bmatrix}_{n \times n}$$

## Example

Let  $c = (c_0, c_1, c_2)$  be a vector in some vector space  $F^3$ . Let  $v = (v_0, v_1, v_2)$  be the shift vector.

Thus we have the following shift representation matrix;

$$T_v = \begin{bmatrix} 0 & 0 & v_0 \\ 1 & 0 & v_1 \\ 0 & 1 & v_2 \end{bmatrix}$$

And the transformation  $\tau_v$  moves  $c = (c_0, c_1, c_2)$  to the vector  $\tau_v(c) = (v_0c_2, c_0 + v_1c_2, c_1 + v_2c_2)$  as follows;

$$\tau_v(c) = T_v c = \begin{bmatrix} 0 & 0 & v_0 \\ 1 & 0 & v_1 \\ 0 & 1 & v_2 \end{bmatrix} \begin{bmatrix} c_0 \\ c_1 \\ c_2 \end{bmatrix} = \begin{bmatrix} v_0c_2 \\ c_0 + v_1c_2 \\ c_1 + v_2c_2 \end{bmatrix}$$

- $T_v$  is the companion matrix for  $f(x) = x^3 - (v_0 + v_1x + v_2x^2)$ .

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# Different Notations of the shift and Related Structures

- ▶ Pseudo-cyclic shift, Pseudo-cyclic codes
- ▶ Polycyclic shift, Polycyclic codes
- ▶  $p(x)$ —circulants, Generalized cyclic codes
- ▶  $v$ —vector cyclic shift,  $v$ —vector based codes

# Pseudo-cyclic Codes

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### Definition

A linear code  $C$  with length  $n$  over a finite field  $F$  is called *pseudo-cyclic* with respect to the polynomial  $v(x) = v_0 + v_1x + \cdots + v_{n-1}x^{n-1}$ , if whenever  $c = (c_0, c_1, \dots, c_{n-1})$  is in  $C$ , so is its  $v$ -pseudo-cyclic shift  $(v_0c_{n-1}, c_0 + v_1c_{n-1}, \dots, c_{n-2} + v_{n-1}c_{n-1})$ .

- ▶ A pseudo-cyclic code with respect to  $v$  is invariant under  $\tau_v$ .

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- ▶ A pseudo-cyclic code with respect to  $v$  is invariant under  $\tau_v$ .
- ▶ Any cyclic code is *pseudo-cyclic* with respect to  $v = (1, 0, \dots, 0) \cdot (v(x) = 1)$

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- ▶ Any cyclic code is *pseudo-cyclic* with respect to  $v = (1, 0, \dots, 0) \cdot (v(x) = 1)$
- ▶ Any constacyclic code with respect to  $\alpha$ , is *pseudo-cyclic* with respect to  $v = (\alpha, 0, \dots, 0) \cdot (v(x) = \alpha)$

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- In terms of the usual correspondance to the polynomial ring  $F[x]/(x^n - v(x))$ , multiplying a polynomial by  $x$  corresponds to a pseudo-cyclic shift with respect to  $v$ , therefore a *pseudo-cyclic* code over  $F^n$  corresponds to an ideal in  $F[x]/(x^n - v(x))$ .

## Example

Consider  $c(x) = c_0 + c_1x + c_2x^2$ . Let  $v(x) = v_0 + v_1x + v_2x^2$ , and we are in  $F_q[x]/(x^3 - v(x))$ .

Multiplying  $c(x)$  by  $x$ , we get;

$$\begin{aligned}(c_0 + c_1x + c_2x^2).x &= c_0x + c_1x^2 + c_2x^3 \\ &= c_0x + c_1x^2 + c_2(v(x)) \\ &= c_0x + c_1x^2 + c_2(v_0 + v_1x + v_2x^2) \\ &= c_2v_0 + (c_0 + c_2v_1)x + (c_1 + c_2v_2)x^2\end{aligned}$$

So this gives us the pseudo-cyclic shift,

$$(c_0, c_1, c_2) \rightarrow (c_2v_0, c_0 + c_2v_1, c_1 + c_2v_2)$$

# Pseudo-cyclic Codes as Invariant Submodules

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- ▶ Pseudo-cyclic codes over finite chain rings are recently studied by (Lopez, et al., 2009).

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- ▶ Pseudo-cyclic codes over finite chain rings are recently studied by (Lopez, et al., 2009).
- ▶ Let  $f(x) = x^n - v(x) = f_1 \cdot f_2 \cdots f_t$  be the factorization of a regular polynomial  $f(x)$  over a finite chain ring  $R$  into pairwise coprime, monic, basic irreducible polynomial factors. In this case, we can apply the generalization of the Sun Zi Theorem;

$$R/(f(x)) = R/(f_1(x)) \oplus \cdots \oplus R/(f_t(x))$$

- Consider the submodules  $f_i(T_v)x = 0$ , where  $x \in R^n$ .

Denoting  $U_i = \text{Ker} f_i(\tau_v)$ , the results in and are generalized to the pseudo-cyclic case as follows

## Lemma

- (1) Each  $U_i$  is a free  $\tau_v$ -invariant submodule of  $R^n$ .
- (2) If  $W$  is a  $\tau_v$ -invariant submodule of  $R^n$  and  $W_i = W \cap U_i$  for  $i = 1, 2, \dots, r$ , then  $W_i$  is  $\tau_v$ -invariant and  $W = \bigoplus_{i=1}^t W_i$ .
- (3)  $R^n = \bigoplus_{i=1}^t U_i$
- (4)  $\text{rank}(U_i) = \deg(f_i)$
- (5) The minimal polynomial of  $\tau_v$  over  $U_i$  is  $f_i(x)$
- (6)  $U_i$  is a free minimal  $\tau_v$ -invariant submodule of  $R^n$
- (7) If  $U$  is a free  $\tau_v$ -invariant submodule of  $R^n$ , then  $U$  is a direct sum of some minimal free  $\tau_v$ -invariant submodules  $U_i$  of  $R^n$ .



## Theorem

Let  $C$  be a linear polycyclic code of length  $n$  over  $R$ . Then the following facts hold

$$(1) \ C = \bigoplus_{j=1}^s U_{i_j} \text{ for some minimal } \tau_v\text{-invariant submodules of } R^n$$

$$\text{and } \text{rank}(C) = \sum_{j=1}^s k_{i_j} \text{ where } k_{i_j} \text{ is the rank of } U_{i_j}$$

$$(2) \ h(x) = f_{i_1}(x) \cdot f_{i_2}(x) \cdot \dots \cdot f_{i_s}(x) \text{ is the minimal polynomial of } \tau_v \text{ over } C$$

$$(3) \ \text{rank}(h(T_v)) = n - \text{rank}(C)$$

$$(4) \ c \in C \text{ if and only if } h(T_v)c = 0.$$

- If  $g(x) = f(x)/h(x)$  is the generating polynomial of a polycyclic code  $C$ , then  $H = h(T_v)$  is a parity check matrix for  $C$  and  $G = g(T_v^{tr})$  is a generator matrix for  $C$ . One may also notice that  $G$  is indeed a full vector-circulant matrix (Jitman, 2013) of  $c = (g_0, g_1, \dots, g_{n-1})$  with respect to  $v$ .

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# Vector Circulant Matrices (p(x)-circulants)

The generator matrix of a pseudo-cyclic code is a vector circulant matrix.

Vector circulant matrices are obtained by applying recursively the vector cyclic shift to the generating vector in the first row.

Namely, if we have  $g = (g_0, g_1, \dots, g_{n-1})$  as the generating vector ( the coefficient vector for the generating polynomial  $g(x)$  ), then the generating matrix is obtained as follows;

$$G = \begin{bmatrix} \dots & g & \dots \\ \dots & \tau_v(g) & \dots \\ \dots & \tau_v^2(g) & \dots \\ & \vdots & \\ \dots & \tau_v^{n-1}(g) & \dots \end{bmatrix}_{n \times n} = g(T_v^{tr})$$

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## Example

Consider the vector space  $F_7^4$ .

Fix the shift vector  $v = (2, 3, 0, 1)$  and construct the  $v$ -vector circulant matrix for  $g = (1, 2, 3, 4)$ .

$$\tau_v((g_0, g_1, g_2, g_3)) = (v_0 g_3, g_0 + v_1 g_3, g_1 + v_2 g_3, g_2 + v_3 g_3).$$

$$v = (2, 3, 0, 1);$$

$$T_v = \begin{bmatrix} 0 & 0 & 0 & 2 \\ 1 & 0 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}, g = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix};$$

$$Tg = \begin{bmatrix} 1 \\ 6 \\ 2 \\ 0 \end{bmatrix}, T^2g = \begin{bmatrix} 0 \\ 1 \\ 6 \\ 2 \end{bmatrix}, T^3g = \begin{bmatrix} 4 \\ 6 \\ 1 \\ 1 \end{bmatrix};$$

$$G = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 6 & 2 & 0 \\ 0 & 1 & 6 & 2 \\ 4 & 6 & 1 & 1 \end{bmatrix}$$

# Term Rank Metric Spaces

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The vector space of  $m \times n$  matrices over a fixed finite field  $F_q$  of  $q$  elements become a metric space denoted by  $M_{TR}$ , with the term rank metric derived from the term rank weight defined below, given  $A$  as an  $m \times n$  matrix with  $\mathcal{I}(A)$  being the set of rows/columns of  $A$  which contains all the nonzero entries of  $A$ ;

$$\|A\|_{TR} = \min |\mathcal{I}(A)|$$

If  $A$  and  $B$  are two  $m \times n$  matrices, the term rank distance is defined as;

$$d_{TR} = \|A - B\|_{TR}$$

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## Examples

$$A = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \implies \|A\|_{TR} = 1$$

$$A = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \implies \|A\|_{TR} = 2$$

# Codes over Term Rank Metric Spaces

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Codes over term rank metric spaces have applications in information transmission via memoryless matrix channels which appear in the data storage systems, memory cards and some wireless communication systems.

These codes are considered as  $k$ –dimensional vector subspaces of  $F_q^{m \times n}$ . The minimum distance of a code over term rank metric space, denoted by  $D_{TR}$ , should clearly be less than or equal to the minimum of  $\{m, n\}$  and assuming without the loss of generality that  $m \leq n$ , we have;

$$D_{TR} = \min_{A \in C - \{0\}} \|A\|_{TR} \leq m$$

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# Computing Term Rank Distance

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It is proved that, the term rank weight of a matrix  $A$  is equal to the maximum size of a matching of the bipartite graph for which  $A$  is the bi-adjacency matrix (Brualdi et al, 2012).

# Singleton Bound

The only known bound for codes over  $M_{TR}$  is the Singleton bound, which is expressed in the following version;

$$k \leq n(m - D_{TR} + 1)$$

If we have the equality, the code is considered to be optimal.

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### ► Gabudilin Codes (in Rank Metric Spaces)

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- ▶ Gabudilin Codes (in Rank Metric Spaces)
- ▶ by considering a set of all  $p(x)$  – *circulant*  $m \times m$  matrices over  $F_q$  (for  $D_{TR} = m$ ).

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- ▶ by Toeplitz-like matrices (for  $D_{TR} = m$ ).

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- ▶ by Toeplitz-like matrices (for  $D_{TR} = m$ ).
- ▶ ???

## Example (Gritsenko and Maevskiy, 2014)

Let  $F_q = GF(2^2) = \{0, 1, a, a^2\}$ .

Let  $p(x) = x^4 + a$ ,  $M_{TR}$ ;  $4 \times 4$   $F_q$ -matrix space

The set of all  $4 \times 4$   $p(x)$ -*circulant* matrices over  $F_q$  constructs a subspace (a code) over the  $F_4$ -matrix space.

A basis for this subspace may be obtained as follows and it constructs a  $[4 \times 4, 4]$  code over  $M_{TR}$ .

$$C = \left\langle \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & 0 & 0 & 0 \\ 0 & a & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 & 1 \\ a & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & 0 \end{bmatrix}, I_4 \right\rangle$$

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# Code Construction and Examples

Let  $p(x) = a_0 + a_1x + \cdots + x^m$  be a monic divisor of degree  $m$  of a polynomial  $f = x^n - 1$  of degree  $n$  and consider the following matrix  $P$  obtained from the companion matrix (bootom format) of  $p$  horizontally joined with an  $m \times (n - m)$  block matrix of zeroes.

$$P = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots & \vdots \\ 0 & \cdots & 0 & 1 & 0 & \cdots & 0 \\ -a_0 & -a_1 & \cdots & -a_{m-1} & 0 & \cdots & 0 \end{bmatrix}$$

We define a cyclic shift by vertically shifting the columns of  $P$  to the right hand side. We can obtain this shift by successive operations of the companion matrix of  $f$  to the matrix  $P$ .

The  $F_q$  sub matrix space spanned by  $n$  matrices of cyclic shifts of  $P$  construct a form of a cyclic code over  $M_{TR}$ .

We generalize this to the pseudo-cyclic case as follows;

## Fact (Proposition)

Let  $F_q$  be a finite field with  $q$  elements and let  $f$  be a monic polynomial and  $p$  a divisor polynomial of  $f$  over  $F_q[x]$ , with  $\deg f = n$  and  $\deg p = m$ . Let  $P$  be the matrix obtained from the companion matrix of  $p$  horizontally joined with an  $m \times (n - m)$  block matrix of zeroes, and  $T$  be the companion matrix of  $f$ . The  $F_q$ -sub matrix space spanned by the following set of  $m \times n$  matrices;

$$\{P, PT, PT^2, \dots, PT^{n-1}\}$$

constructs a pseudo-cyclic code over the term rank metric space  $M_{TR}$ .

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## Example

Let  $F_q$  be the finite field with 4 elements;  $F_4 = \{0, 1, a, a^2\}$ .

Consider  $f(x) = x^9 - 1$  and take  $p(x) = x^3 + a^2$  as a divisor of  $f$ .

Therefore we have  $m = 3$ ,  $n = 9$ , and

$$P = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ a^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},$$

$$T = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \ddots & \vdots \\ 0 & \vdots & \ddots & \ddots & 0 \\ 0 & 0 & \cdots & 0 & 1 \\ 1 & 0 & \cdots & \cdots & 0 \end{bmatrix}_{9 \times 9}$$

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## Example


Applying  $T$  to  $P$ , constructs the desired cyclic shift;

$$\begin{aligned}
 PT &= \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & a^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
 PT^2 &= \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & a^2 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
 &\vdots \\
 PT^8 &= \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & a^2 \end{bmatrix}
 \end{aligned}$$


The subspace generated by the spanning set  $\{P, PT, PT^2, \dots, PT^8\}$  becomes a cyclic  $[3x9, 9]$ -code over the  $F_4$ -matrix space of  $3x9$  matrices.


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
- ▶ We aim to find the restrictions and conditions for constructing optimal codes with the proposed construction method.
- ▶ New bounds (implementations of sphere packing-covering bounds) are to be explored as in the usual vector space case.


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
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