

OXFORD

ALAN BRYMAN

social research methods

4th edition



Part One

Part One of this book aims to provide the groundwork for the more specialized chapters in Parts Two, Three, and Four. In Chapter 1, some of the basic ideas in thinking about social research methods are outlined. Chapters 2 and 3 are concerned with two ideas that will recur again and again during the course of this book—the idea of **research strategy** and the idea of **research design**. Chapter 2 outlines a variety of considerations that impinge on the practice of social research and relates these to the issue of research strategy. Two research strategies are identified: **quantitative** and **qualitative research**. Chapter 3 identifies the different kinds of research design that are employed in social research. Chapters 4 and 5 are concerned with providing advice to students on some of the issues that they need to consider if they have to prepare a dissertation based upon a relatively small-scale research project. Chapter 4 deals with planning and formulating **research questions**, including the principles and considerations that need to be taken into account in designing a small-scale research project, while Chapter 5 is about how to get started in reviewing the literature. Chapter 6 deals with ethics in social research.

1

The nature and process of social research

Chapter outline

Introduction	4
What is meant by 'social research'?	4
Why do social research?	5
The context of social research methods	5
Elements of the process of social research	8
Literature review	8
Concepts and theories	8
Research questions	9
Sampling cases	11
Data collection	12
Data analysis	13
Writing up	14
The messiness of social research	15
Key points	16
Questions for review	16



Chapter guide

This chapter aims to introduce readers to some fundamental considerations in conducting social research. It begins by outlining what we mean by social research and the reasons why we conduct it. However, the bulk of the chapter then moves on to consider three areas:

- *The context of social research methods.* This entails considering issues such as the role of theory in relation to social research, the role of values and in particular of ethical considerations in the research process, the significance of assumptions about the nature of the social world and about how knowledge about it should be produced, and the ways in which political considerations may materialize in social research.
- *The elements of the research process.* The whole book is dedicated to the elements of social research, but here the essential stages are given a preliminary treatment. The elements identified are: a literature review; formulating concepts and theories; devising research questions; sampling; data collection; data analysis; and writing up findings.
- *The messiness of social research.* This section acknowledges that social research often does not conform to a neat, linear process and that researchers may find themselves facing unexpected contingencies and difficulties. At the same time, it is suggested that a familiarity with the nature of the research process and its principles is crucial to navigating through the unexpected.

All of the issues presented in these three sections will be treated in much greater detail in later chapters, but they are introduced at this stage to provide readers with an early encounter with them.

Introduction

This book is concerned with the ways that social researchers go about their craft. I take this to mean that it is concerned with the approaches that are employed by social researchers to go about the research process in all its phases—formulating research objectives, choosing research methods, securing research participants, collecting, analysing, and interpreting data, and disseminating findings to others. An understanding of social research methods is important for several reasons, but two stand out. First, it is hoped that it will help readers to avoid the many pitfalls that are all too common when relatively inexperienced people try to do social research, such as failing to match research questions to research methods, asking ambiguous questions in **questionnaires**,

and engaging in practices that are ethically dubious. If you are expected to conduct a research project, an education in research methods is important, not just for ensuring that the correct procedures are followed but also for gaining an appreciation of the choices that are available to you. Second, an understanding of social research methods is also important from the point of view of being a consumer of published research. When people take degrees in the social sciences, they will read a lot of published research in the substantive areas they study. A good grounding in the research process and a knowledge of the potential pitfalls can provide a critical edge when reading the research of others that can be invaluable.



What is meant by ‘social research’?

The term ‘social research’ as used in this book denotes academic research on topics relating to questions relevant

to the social scientific fields, such as sociology, human geography, social policy, politics, and criminology. Thus,

social research involves research that draws on the social sciences for conceptual and theoretical inspiration. Such research may be motivated by developments and changes in society, such as the rise in worries about security or binge-drinking, but it employs social scientific ideas to illuminate those changes. It draws upon the social sciences for ideas about how to formulate

research topics and issues and how to interpret and draw implications from research findings. In other words, what distinguishes social research of the kind discussed in this book is that it is deeply rooted in the ideas and intellectual traditions of the social sciences. This book is about the methods that are used to create that kind of research.



Why do social research?

The rationale for doing social research has been outlined in the previous section to a certain extent. Academics conduct such research because, in the course of reading the literature on a topic or when reflecting on what is going on in modern social life, questions occur to them. They may notice a gap in the literature or an inconsistency between a number of studies or an unresolved issue in the literature. These provide common circumstances that act as springboards for social research in academic circles. Another is when there is a development in society that provides an interesting point of departure for the investigation of a research question. For example, noting

the widespread use of text messaging on mobile telephones, a researcher might become interested in studying how far it has affected the nature and quality of interaction in social life. In exploring this kind of issue, the researcher is likely to draw upon the literature on technology and on social interaction to provide insights into how to approach the issue. As I say in Chapter 2, there is no single reason why people do social research of the kind emphasized in this book, but, at its core, it is done because there is an aspect of our understanding of what goes on in society that is to some extent unresolved.



The context of social research methods

Social research and its associated methods do not take place in a vacuum. In this book, a number of factors that form the context of social research will be mentioned. The following factors form part of the context within which social research and its methods operate:

- The *theories* that social scientists employ to help to understand the social world have an influence on what is researched and how the findings of research are interpreted. In other words, the topics that are investigated are profoundly influenced by the available theoretical positions. Thus, if a researcher was interested in the impact of mobile phone text messaging on sociability, it is quite likely that he or she would want to take into account prevailing theories about how technology is used and its impacts. In this way, social research is informed and influenced by theory. It also contributes to theory because the findings of a study will feed into the stock of knowledge to which the theory relates.
- The researcher’s views about the nature of the *relationship between theory and research* also have implications for research. For some practitioners, theory is something that is addressed at the beginning of a research project. The researcher might be viewed as

engaging in some theoretical reflections out of which a **hypothesis** is formulated and then subsequently tested. An alternative position is to view theory as an outcome of the research process—that is, as something that is arrived at after the research has been carried out. This difference has implications for research, because the first approach implies that a set of theoretical ideas drive the collection and analysis of data whereas the second suggests a more open-ended strategy in which theoretical ideas emerge out of the data. Of course, as is so often the case in discussions of this kind, the choice is rarely as stark as this account of the relationship between theory and research implies, but it does imply that there are some contrasting views about the role of theory in relation to research. This issue will be a major focus of Chapter 2.

- The assumptions and views about how research should be conducted influence the research process. It is often assumed that a ‘scientific’ approach will and should be followed, in which a hypothesis is formulated and then tested using precise measurement techniques. Such research definitely exists, but the view that this is how research should be done is by no means universally shared. Considerations of this kind are referred to as **epistemological** ones. They raise questions about, and invite us to reflect upon, the issue of how the social world should be studied and whether a scientific approach is the right stance to adopt. Some researchers favour an approach that eschews a scientific model, arguing that people and their social institutions are very different from the subject matter of the scientist and that an approach is needed that is more sensitive to the special qualities of people and their social institutions. This issue will be a major focus in Chapter 2.
- The assumptions about the nature of social phenomena influence the research process too. It is sometimes suggested that the social world should be viewed as something that is external to social actors and over which they have no control. It is simply there, acting upon and influencing their behaviour, beliefs, and values. We might view the culture of an organization as a set of values and behavioural expectations that exert a powerful influence over those who work in the organization and into which new recruits have to be socialized. But we could also view it as an entity that is in a constant process of reformulation and reassessment, as members of the organization continually modify it through their practices and through small

innovations in how things are done. Considerations of this kind are referred to as **ontological** ones. They invite us to consider the nature of social phenomena—are they relatively inert and beyond our influence or are they very much a product of social interaction? As for epistemological issues discussed in the previous point, the stance that the researcher takes on them has implications for the way in which social research is conducted. This issue will be a major focus of Chapter 2.

- The values of the research community have significant implications for researchers. This can take a number of forms. *Ethical issues* have been a point of discussion, and indeed often of considerable dissension, over the years, but in recent times they have soared in prominence. It is now almost impossible to do certain kinds of research without risking the opprobrium of the research community and possible censure from the organizations in which researchers are employed. Nowadays, there is an elaborate framework of bodies that scrutinize research proposals for their ethical integrity, so that transgression of ethical principles becomes ever less likely. Certain kinds of research require special provision with regard to ethics, such as research involving children or vulnerable adults. Thus, ethical values and the institutional arrangements that have arisen in response to the clamour for ethical caution have implications for what and who can be researched and for how research can be conducted to the point where certain research methods and practices are no longer employed. Another way in which the values of the research community can impinge on the researcher is that in certain fields, such as in social policy, there is a strong view that those being researched should be involved in the research process. For example, when social researchers conduct research on service users, it is often suggested that the users of those services should be involved in the formulation of research questions and instruments, such as questionnaires. While such views are not universally held (Becker et al. 2010), they form a consideration that researchers in certain fields may feel compelled to reflect upon when contemplating certain kinds of investigation. Ethical issues are addressed further in Chapter 6 and touched on in several other chapters.
- Related to the previous issue is the question of what research is for. Thus far, I have tended to stress the academic nature and role of social research—namely,

that it is to add to the stock of knowledge about the social world. However, many social scientists feel that research should have a practical purpose and that it should make a difference to the world around us. Such an emphasis means that, for some practitioners, the social sciences should focus on topics and issues that will have *implications for practice*. For researchers in social science disciplines like social policy, an emphasis on investigations having demonstrable implications for practice is more widely held than in it might be in other disciplines. Also, there are research approaches that are more or less exclusively designed to explore issues that will have implications for people’s everyday lives, such as **evaluation research** and **action research**, which will be touched upon in Chapters 3 and 17 respectively. However, even in fields like social policy, a commitment to an emphasis on practice is not universally held. In a survey of UK social policy researchers in 2005, Becker, Bryman, and Sempik (2006) found that 53 per cent of all those questioned felt that it was *equally important* for research to have potential value for policy and practice and to lead to the accumulation of knowledge, a further 34 per cent felt it was more important for research to have potential value for policy and practice, and 13 per cent felt it was more important for social policy research to lead to the accumulation of knowledge.

- Social research operates within a wider *political context*. This feature has many aspects and some of these are mentioned in Chapter 6. For example, much social research is funded by government bodies, and these tend to reflect the orientation of the government of the day. This will mean that certain research issues are somewhat more likely to receive financial support than others. Further, for research supported by the Economic and Social Research Council (ESRC), the major funding body for UK social science research, prospective applicants are supposed to demonstrate how potential users of the research will be involved or engaged if the research receives financial support. The notion of a ‘user’ is capable of being interpreted in a number of different ways, but it is likely to be more straightforward for an applicant to demonstrate the involvement of users when research has a more applied focus. In other words, the stipulation that users must be involved could be taken to give a slight advantage to research with a focus on practice.

• The *training and personal values* of the researcher cannot be ignored. They form a component of the context of social research methods in that they may influence the research area, the research questions, and the methods employed to investigate these. Our experiences and our interests frequently have some influence on the issues we research. As academic social researchers, the issues that interest us have to connect to the wider disciplines of the social sciences. An example referred to in Chapter 2 is O'Reilly's (2000) study of British expatriates living on Spain's Costa Del Sol. The issue was of interest to her because she and her partner were planning to live there themselves. This clearly constitutes a personal interest, but it is not exclusively so, because she used the topic as a lens for raising issues about transnational migration, an issue that has been of great interest to social scientists in recent years. I also mention in Chapter 2 my own interest in the ways in which social science research is reported in the mass media. This grew out of a wounding experience reported in Haslam and Bryman (1994), which led me to develop an interest in the issue more generally, to read a great deal of the literature on the reporting of both science and social science in the media, and to develop it into a research project. Also, social researchers, as a result of their training and sometimes from personal preferences that build up, frequently develop attachments to, or at least preferences for, certain research methods and approaches. One of the reasons why I try to cover a wide range of research methods in this book is because I am convinced that it is important for practising and prospective researchers to be familiar with a diversity of methods and how to implement them. The development of methodological preferences carries the risk of researchers becoming blinkered and restricted in what they know, but it is undoubtedly the case that such preferences often do emerge and have implications for the conduct of research.

It is impossible to arrive at an exhaustive list of factors that are relevant to this section, but it is hoped that the discussion above will provide a flavour of the ways in which the conduct of social research and the choice of research methods are not hermetically sealed off from wider influences.



Elements of the process of social research

In this section and the rest of this chapter, I will introduce what I think are the main elements of most research projects. It is common for writers of textbooks on social research methods to compile flow charts of the research process, and I am not immune to this temptation, as you will see from, for example, Figures 2.1, 8.1, and 17.1! At this point, I am not going to try to sequence the various stages or elements of the research process, as the sequencing varies somewhat according to different research strategies and approaches. All I want to do at this juncture is to introduce some of the main elements—in other words, elements that are common to all or most varieties of social research. Some of them have already been touched on in the previous section and all of them will be addressed further and in more detail in later chapters.

Literature review

The existing literature represents an important element in all research. When we have alighted upon a topic or issue that interests us, we must read further to determine a number of things. We need to know:

- what is already known about the topic;
- what concepts and theories have been applied to the topic;
- what research methods have been applied to the topic;
- what controversies about the topic and how it is studied exist;
- what clashes of evidence (if any) exist;
- who the key contributors to research on the topic are.

Many topics have a rich tradition of research, so it is unlikely that many people, such as students doing an undergraduate or postgraduate Master's dissertation, will be able to conduct an exhaustive review of the literature in such areas. What is crucial is that you establish and read the key books and articles and some of the main figures who have written in the field. As I suggest in Chapter 5, it is crucial that you know what is known, so that you cannot be accused of not doing your homework and therefore of naively going over old ground. Also, being able to link your own research questions, findings, and discussion to the existing literature is an important and

useful way of demonstrating the credibility and contribution of your research. However, as will become clear from reading Chapter 5, a literature review is not simply a summary of the literature that has been read. The written literature review is expected to be critical. This does not necessarily mean that you are expected to be highly critical of the authors you read, but it does mean that you are supposed to assess the significance of their work and how each item fits into the narrative about the literature that you construct when writing a literature review.

Concepts and theories

Concepts are the way that we make sense of the social world. They are essentially labels that we give to aspects of the social world that seem to have common features that strike us as significant. As outlined in Chapter 7, the social sciences have a strong tradition of concepts, many of which have become part of the language of everyday life. Concepts such as bureaucracy, power, social control, status, charisma, labour process, cultural capital (see Research in focus 1.1 for an example using this concept), McDonaldization, alienation, and so on are very much part of the theoretical edifice that generations of social scientists have constructed. Concepts are a key ingredient of theories. Indeed, it is almost impossible to imagine a theory that did not have at least one concept embedded in it.

Concepts serve several purposes in the conduct of social research. They are important to how we organize and signal to intended audiences our research interests. They help us to think about and be more disciplined about what it is we want to find out about and at the same time help with the organization of our research findings. In the section on 'The context of social research methods' it was noted briefly that the relationship between theory and research is often depicted as involving a choice between theories driving the research process in all its phases and theories as a product of the research process. This is invariably depicted as the contrast between respectively **deductive** and **inductive** approaches to the relationship between theory and research and is something that will be expanded upon in Chapter 2. Unsurprisingly, this contrast has implications for concepts. Concepts may be viewed as something we start out

with and that represent key areas around which data are collected in an investigation. In other words, we might collect data in order to shed light on a concept or more likely several concepts and how they are connected. This is the approach taken in the investigation reported in Research in focus 1.1. The alternative view is that concepts are outcomes of research. According to this second view, concepts help us to reflect upon and organize the data that we collect. Of course, these are not mutually exclusive positions. In research, we often start out with some key concepts that help us to orient to our subject matter but, as a result of collecting data and interpreting them, we possibly revise those concepts, or new ones emerge through our reflections.

One of the reasons why familiarity with the existing literature in a research area (the issue covered in the previous section) is so important is that it alerts us to

some of the main concepts that past researchers have employed and how useful or limited those concepts have been in helping to unravel the main issues. Research in focus 1.1 provides an example of this tendency in that the concept of cultural capital is employed for its possible insights into the process of students being accepted or rejected when applying for entry to Oxford University. Even when we are reading the literature solely as consumers of research—for example, when writing an essay—knowing what the key concepts are, who is responsible for them, and what controversies there are (if any) surrounding them can be crucial.

Research questions

Research questions have been mentioned in passing on a couple of occasions, and they are implicit in some of



Key concept 1.1 What are research questions?

A research question is a question that provides an explicit statement of what it is the researcher wants to know about. A research purpose can be presented as a statement (for example, 'I want to find out whether (or why) . . .'), but a question forces the researcher to be more explicit about what is to be investigated. A research question must have a question mark at the end of it or else it is not a question. It must be interrogatory. Research in focus 1.1 provides an example of a study with several research questions. A hypothesis is in a sense a form of research question, but it is not stated as a question and provides an anticipation of what will be found out.

Denscombe (2010) has provided a helpful list of types of research question. This list first appeared in an earlier edition, which has been embellished by White (2009). The following types of research question are proposed by Denscombe:

1. Predicting an outcome (does y happen under circumstances a and b ?).
2. Explaining causes and consequences of a phenomenon (is y affected by x or is y a consequence of x ?).
3. Evaluating a phenomenon (does y exhibit the benefits that it is claimed to have?).
4. Describing a phenomenon (what is y like or what forms does y assume?).
5. Developing good practice (how can we improve y ?).
6. Empowerment (how can we enhance the lives of those we research?).

White (2009) is uneasy about Denscombe's last category, arguing that an emphasis on political motives of this kind can impede the conduct of high-quality research. To some extent, this difference of opinion can be attributed to differences in viewpoint about the purposes of research highlighted in the section on 'The context of social research methods'. Rather than the sixth type of research question above, White proposes an alternative:

7. Comparison (do a and b differ in respect of x ?).

There are many ways that research questions can be categorized, and it is also difficult to arrive at an exhaustive list, but these seven types provide a rough indication of the possibilities as well as drawing attention to a controversy about the wider goals of research.

the discussion thus far. Research questions are extremely important in the research process, because they force you to consider that most basic of issues—what is it about your area of interest that you want to know? Most people beginning research start with a general idea of what it is they are interested in. Research questions force you to consider the issue of what it is you want to find out about much more precisely and rigorously. Developing research questions is a matter of narrowing down and focusing more precisely on what it is that you want to know about.

Research questions are, therefore, important. Having no research questions or poorly formulated research questions will lead to poor research. If you do not specify clear research questions, there is a great risk that your research will be unfocused and that you will be unsure about what your research is about and what you are collecting data for. It does not matter how well you design a questionnaire or how skilled an interviewer you are; you must be clear about your research questions. Equally, it does not matter whether your research is for a project with a research grant of £300,000, a doctoral



Research in focus 1.1

Research questions in a study of cultural capital

The focus of the article by Zimdars, Sullivan, and Heath (2009) is the recruitment of students to Oxford University. Recruitment to UK universities and to the elite universities of Oxford and Cambridge has been the focus of political controversy in recent years, because the failure to recruit sufficient numbers of state-school students is seen as elitist and as restricting social mobility. Admissions officers in Oxford and Cambridge universities in particular are often portrayed as displaying class prejudices that constrain the life chances of young people from less privileged backgrounds. The researchers' aim was 'to assess whether cultural capital is linked to success in gaining admission for those who apply' (Zimdars et al. 2009: 653). They then go on to outline their research questions:

Specifically, we address the following questions:

1. How do Oxford applicants vary in their cultural participation and cultural knowledge, according to parents' education, social class, gender and ethnicity?
2. Does cultural capital predict acceptance to Oxford?
3. If so, does its effect remain once we control for examination performance?
4. Is cultural capital more important for admission to the arts and humanities faculties than to the sciences?
5. To what extent does cultural capital mediate the effect of social class, parents' education, private schooling, ethnicity and gender? (Zimdars et al. 2009: 653)

At one level, this research seeks to address issues of relevance to social and educational policy. As noted in the section on 'The context of social research methods', social research sometimes explores issues that are mainly to do with policy and practice. But the researchers are also keen to draw on theory and one key concept in particular—Bourdieu's concept of cultural capital—to help understand the processes underlying the low level of acceptance of state-school applicants at Oxford. Cultural capital refers to an individual's ability to distinguish him- or herself through cultural experiences and competencies. It is argued that such cultural expertise allows the middle class to reproduce itself both culturally and socially and serves to reduce the social and economic opportunities of working-class children.

Zimdars et al. draw primarily on a questionnaire survey of Oxford applicants who applied for entry in 2002. Of particular interest is that the researchers found cultural knowledge to be a more important factor in success at gaining entry than mere cultural participation through visiting museums, galleries, etc. As the authors put it: 'What matters is a relationship of familiarity with culture, rather than just participation in culture' (Zimdars et al. 2009: 661). As such, these findings are only partially supportive of Bourdieu's ideas at least so far as they relate to the issue of gaining admission to Oxford.

thesis, or a small mini-project. Research questions are crucial because they will:

- guide your literature search;
- guide your decisions about the kind of research design to employ;
- guide your decisions about what data to collect and from whom;
- guide your analysis of your data;
- guide your writing-up of your data;
- stop you from going off in unnecessary directions; and
- provide your readers with a clearer sense of what your research is about.

It has been suggested above that research questions will help to guide your literature search for your literature review. However, it is also possible, if not likely, that reading the literature may prompt you to revise your research questions and may even suggest some new ones. Therefore, at an early stage of a research study, research questions and the literature relating to them are likely to be rather intertwined. A plausible sequence at the beginning of a research project is that initial contact with the literature relating to an area of interest suggests one or two research questions and that further reading guided by the initial research questions gives rise to a revision of them or possibly some new ones. In Chapter 4, there will be more discussion of research questions and how they can be developed.



Student experience

Generating and changing research questions

Hannah Creane elaborated on her answers regarding her research questions in an email. She writes:

the three initial research questions I had formulated when I began the study were: what makes a child a child?; what makes an adult an adult?; and to what extent can the child be seen as a 'mini' adult? However, while writing this up I realized that those questions were no longer really the guiding questions for my research. The study has evolved and become more of an empirical reflection of the generational changes within childhood rather than looking specifically at what childhood actually is. It seems to me that the two appropriate questions in relation to the study as a whole now are: What makes a child a child as opposed to an adult?; and to what extent has this changed across the generations?



To read more about Hannah's research experiences, go to the Online Resource Centre that accompanies this book at: www.oxfordtextbooks.co.uk/orc/brymansrm4e/

Sampling cases

Social research is not always carried out on people. For example, we may want to examine mass-media content and employ a technique like **content analysis**, which is covered in Chapter 13. In such a situation, we are collecting our data from newspapers or television programmes rather than from people. Because of this, it is common for writers on social research methods to use the term 'case' to cover the wide variety of objects on whom or from whom data will be collected. Much if not most of the time, 'cases' will be people. In social research we are rarely in a position in which we can interview, observe, or send questionnaires to all possible individuals who are appropriate to our research and equally we are unlikely to be able to read and analyse the content of all articles in

all newspapers relating to an area of media content that interests us. Time and cost issues will always constrain the number of cases we can include in our research, so we almost always have to sample.

As we will see in later chapters, there are a number of different principles behind sampling. Many people associate sampling with surveys and the quest for **representative samples**. This approach to sampling invariably lies behind sampling for opinion polls of the kind that we often encounter in newspapers. Such sampling is usually based on principles to do with searching for a sample that can represent (and therefore act as a microcosm of) a wider **population**. If newspapers could not make claims about the representativeness of the samples used for the opinion polls they commission, the findings they report about the prospects for political parties would

be less significant. In Chapter 8, the principles that lie behind the quest for the representative sample will be explained. These principles do not apply solely to questionnaire **survey research** of the kind described in Research in focus 1.1 but may also apply to other kinds of investigation—for example, when sampling newspaper articles for a content analysis of media content. By no means all forms of social science research prioritize representative samples. In several of the chapters in Part Three we will encounter sampling principles that are based not on the idea of representativeness but on the notion that samples should be selected on the basis of their appropriateness to the purposes of the investigation. Also, in **case study** research, there may be just one or two units of analysis. With such research, the goal is to understand the selected case or cases in depth. Sampling issues are relevant to such research as well. Quite aside from the fact that the case or cases have to be selected according to criteria relevant to the research, those individuals who are members of the case study context have to be sampled according to criteria too. However, the chief point to register at this juncture is that sampling is an inevitable feature of most if not all kinds of social research and therefore constitutes an important stage of any investigation.

Data collection

To many people, data collection represents the key point of any research project, and it is probably not surprising therefore that this book probably gives more words and pages to this stage in the research process than any other. Some of the methods of data collection covered in this book, such as interviewing and questionnaires, are probably more familiar to many readers than some of the others. Some methods entail a rather structured approach to data collection—that is, the researcher establishes in advance the broad contours of what he or she needs to find out about and designs research instruments to implement what needs to be known. The questionnaire is an example of such an instrument; the researcher establishes what he or she needs to know to answer the research questions that drive the project and designs questions in the questionnaire that will allow data to be collected to answer those research questions. Similarly, something like a **structured interview**—the kind of interview used in survey investigations—includes a host of questions designed for exactly the same purpose. It is unfortunate that we use the same word—question—for both research questions and the kinds of questions that are posed in questionnaires and interviews. They are

very different: a research question is a question designed to indicate what the purpose of an investigation is; a questionnaire question is one of many questions that are posed in a questionnaire that will help to shed light on and answer one or more research questions.

It is also possible to discern in this book methods of data collection that are less structured or, to put it another way, that are more unstructured. In Part Three in particular, research methods will be encountered that emphasize a more open-ended view of the research process, so that there is less restriction on the kinds of things that can be found out about. Research methods such as **participant observation** and **semi-structured interviewing** are used so that the researcher can keep more of an open mind about the contours of what he or she needs to know about, so that concepts and theories can emerge out of the data. This is the inductive approach to theorizing and conceptualization that was referred to above. Such research is usually still geared to answering research questions, but these are often expressed in a less explicit form than the research questions encountered in more structured research of the kind encountered in Research in focus 1.1. This can be seen by comparing the specificity of these research questions with those of a study of retired senior managers by Jones, Leontowitsch, and Higgs (2010):

Our aim was to explore the experiences of retirement, changes in lifestyle and social roles and the meanings associated with retirement amongst early retirees from higher management. Research questions included: to what extent do our respondents construct a new balance of activities? Do respondents construct new discourses of everyday life? Does the move by respondents into leisure retirement create new tensions in other parts of their lives? (Jones et al. 2010: 105)

These research questions derived in part from, and were illuminated by, the concept of the ‘quasi-subject’ in modern societies, whereby people ‘become authors of their own biographies—authors who have to continually construct identities and biographical narratives in order to give meaning to lives that are lived out in the face of uncertainty’ (Jones et al. 2010: 104). In order to explore the research questions, semi-structured interviews with twenty relevant retirees were undertaken. The interviews were designed ‘to encourage a conversation and to allow participants to give their own account of retirement’ (Jones et al. 2010: 108). This is a noticeably less

structured approach to the collection of data, which reflects the open-ended nature of the research questions.

The collection of data, then, can entail different sorts of approach in terms of how structured or open-ended the implementation of the methods are. An issue that arises in all research is that of *quality*. How do you do good research and how do you know it when you read it? The assessment of research quality is an issue that relates to all phases of the research process, but the quality of the data-collection procedures is bound to be a key concern. As we will see in several chapters, the assessment of quality has become a prominent issue among social research practitioners and also for policy-makers with an interest in academic research. It has become a much more significant topic since the first edition of this book was published in 2001. There are several reasons for the greater prominence of research quality assessment, some of which will be mentioned in later chapters. However, the key point to register for the time being is that, with the increased importance of research quality assessment, debates have arisen about issues such as whether there can be quality criteria that apply to all forms of research. As we will see, especially in Chapter 17, there has been a clear position among some methodologists that a ‘horses for courses’ approach is required whereby the application of quality criteria needs to take into account the kind of investigation to which they are being applied.

Data analysis

Data analysis is a stage that incorporates several elements. At the most obvious level, it might be taken to mean the application of statistical techniques to the data that have been collected. However, quite aside from the fact that by no means all data are amenable to quantitative data analysis and that, even when some data might be appropriate to such analysis, alternative approaches are sometimes taken, there are other things going on when data are being analysed. For a start, the raw data have to be *managed*. This means that the researcher has to check the data to establish whether there are any obvious flaws. For example, if we take the kind of research like that conducted by Jones et al. (2010) on senior management early retirees, the interviews are usually audio-recorded and then subsequently transcribed. The researcher needs to be alert to possible hearing mistakes that might affect the meaning of people’s replies. The preparation of the data for **transcription** enables the researcher to introduce the transcripts into a computer software program of the kind discussed in Chapter 25. In the case of the research by Jones et al., once the transcripts

had been incorporated within the software, the authors say they conducted a **thematic analysis**. This means that they examined the data to extract core themes that could be distinguished both between and within transcripts. One of the main elements of the identification of themes was through **coding** each transcript. With the analysis of qualitative data of these kinds, coding is a process whereby the data are broken down into their component parts and those parts are then given labels. The analyst then searches for recurrences of these sequences of coded **text** within and across cases and also for links between different **codes**. Thus, there is a lot going on in this process: the data are being managed, in that the transcripts are being made more manageable than they would be if the researcher just kept listening and relistening to the recordings; the researcher is making sense of the data through coding the transcripts; and the data are being interpreted—that is, the researcher is seeking to link the process of making sense of the data with the research questions that provided the starting point, as well as with the literature relating to retirement and also with the theoretical ideas the authors use to illuminate the issue.

The data analysis stage is fundamentally about *data reduction*—that is, it is concerned with reducing the large corpus of information that the researcher has gathered so that he or she can make sense of it. Unless the researcher reduces the amount of data collected—for example, in the case of quantitative data by producing tables or averages and in the case of qualitative data by grouping textual material into categories like themes—it is more or less impossible to interpret the material.

A further issue to bear in mind with data analysis is that it can refer to the analysis of either primary or secondary data. With primary data analysis, the researcher or researchers who were responsible for collecting the data conduct the analysis, as was the case with both the Zimdars et al. (2009) and Jones et al. (2010) studies referred to in this chapter. Secondary data analysis occurs when someone else analyses such data. Nowadays, researchers who work in universities are encouraged to deposit their data in archives, which then allow others to analyse the data they collected. Given the time and cost of most social research, this is a sensible thing to do, as it increases the likely payoff of an investigation, and it may be that a researcher conducting secondary analysis can explore the research questions in which he or she is interested without having to go through the time-consuming and lengthy process of having to collect primary data. **Secondary analysis** is discussed in Chapters 14 and 24. However, the distinction between primary and secondary

analysis is not a perfect one. In Key concept 14.1, I present an example of a secondary analysis of data in which I was involved. For me, it was a primary analysis of the data, as I had not been involved in the data collection, whereas for my co-authors, all of whom had been involved in the data collection, it was a secondary analysis.

Table 1.1

Stages in the research process in relation to two studies

Stage	Description of stage	Example (Zimdars et al. 2009)*	Example (Jones et al. 2010)
Literature review	A critical examination of existing research relating to the phenomena of interest and of relevant theoretical ideas.	Literature concerning social stratification as it relates to educational access and concerning the notion of cultural capital.	Literature concerning retirement and the notion of the 'quasi-subject' in second modernity.
Concepts and theories	The ideas that drive the research process and that shed light on the interpretation of the resulting findings. These findings contribute to the ideas.	Academic attainment; cultural capital; social background.	Early retirement; quasi-subject; discourse; lifestyle.
Research questions	A question that provides an explicit statement of what it is the researcher wants to know about.	<ol style="list-style-type: none"> 1. How do Oxford applicants vary in their cultural participation and cultural knowledge, according to parents' education, social class, gender and ethnicity? 2. Does cultural capital predict acceptance to Oxford? 3. If so, does its effect remain once we control for examination performance? 4. Is cultural capital more important for admission to the arts and humanities faculties than to the sciences? 5. To what extent does cultural capital mediate the effect of social class, parents' education, private schooling, ethnicity and gender? (Zimdars et al. 2009: 653) 	'to what extent do our respondents construct a new balance of activities? Do respondents construct new discourses of everyday life? Does the move by respondents into leisure retirement create new tensions in other parts of their lives?' (Jones et al. 2010: 105)
Sampling cases	The selection of cases (in this case people) who are relevant to the research questions.	'A representative sample of 1,700 applicants with British qualifications who applied to Oxford during the 2002 admissions cycle' (Zimdars et al. 2009: 653).	Sample of twenty early retirees obtained initially through databases of organizations working with retired people.
Data collection	Gathering data from the sample so that the research questions can be answered.	Questionnaire survey. Data obtained on degree attainment of each applicant. Also, interviews with admissions tutors and observation of admissions meetings.	Semi-structured interviews.
Data analysis	The management, analysis, and interpretation of the data.	Statistical analysis of the questionnaire data. Thematic analysis of interview transcripts.	Thematic analysis of interview transcripts.
Writing up	Dissemination of the research and its findings.	The research was written up as a doctoral thesis and as articles, including Zimdars et al. (2009). Main sections in Zimdars et al. (2009): <ul style="list-style-type: none"> • Introduction • Operationalization • Research questions • Data and methods • Discussion • Appendix 	Research written up as an article in Jones et al. (2010). Main sections: <ul style="list-style-type: none"> • Introduction • Background • Methods • Findings • Discussion • Conclusion

* Zimdars (2007) consulted for further information.

Writing up

It could be argued that the finest piece of research would be useless if it was not disseminated to others. We do research so that it can be written up, thereby allowing others to read what we have done and concluded.

It might also be argued that writing up should not be part of the subject matter for a book on social research methods. However, since dissemination is so important to the researcher, it is right for it to be included, and the final chapter of this book (Chapter 29) is devoted to this issue.

There are slightly different ways in which social research tends to get written up, and these vary somewhat according to the different styles of doing research. For example, more structured kinds of research like that presented in Research in focus 1.1 are sometimes written up differently from more open-ended research of the sort represented by the Jones et al. (2010) article. However, there are some core ingredients that most dissertations, theses, and research articles will include. These are:

- *Introduction.* Here the research area and its significance are outlined. The research questions are also likely to be introduced here.

- *Literature review.* What is already known about the research area is sketched out and examined critically.
- *Research methods.* Here the research methods employed (sampling, methods of data collection, methods of data analysis) are presented and justified.
- *Results.* The findings are presented.
- *Discussion.* The findings are discussed in relation to their implications for the literature and for the research questions previously introduced.
- *Conclusion.* The significance of the research is reinforced for the reader.

These elements are discussed in much greater detail in Chapter 29. They are not an exhaustive list, because writing conventions differ in various ways, but these are recurring elements of the final written outputs. Table 1.1 summarizes the seven elements of the research process examined in this section.

The messiness of social research

There is one final point I want to register before you read further. It is to alert you to the fact that social research is often a lot less smooth than the accounts of the research process you read in books like this. The purpose of this book is to provide an overview of the research process that also provides advice on how it should be done. In fact, research is full of false starts, blind alleys, mistakes, and enforced changes to research plans. However, in a book like this it is impossible to cover all such contingencies, in large part because many of them are one-off events and almost impossible to anticipate. We know that research can get messy from the confessional accounts of the research process that have been written over the years (e.g. the contributors to P. Hammond 1964; Bell and Newby 1977; Bryman 1988b; Townsend and Burgess 2009a; Streiner and Sidani 2010). If social research is messy, why do we invariably not get a sense of that when we read reports of research in books and academic journal articles? Of course, research often does go relatively smoothly and, in spite of minor hiccoughs, proceeds roughly according to plan. However, it is also the case that what we read in reports of research are often relatively sanitized accounts of how the research was produced, without a sense of the sometimes difficult problems the researcher(s) had to overcome. This is not to say that when social researchers write accounts of

their studies they deceive us, but rather that the accounts of the findings and how they were arrived at tend to follow an implicit template that emphasizes some aspects of the research process but not others. They tend to emphasize how the specific findings presented in the report were arrived at and to use standard methodological terminology of the kind presented in this book to express the underlying process. Research reports typically display the various elements discussed in the previous section—the relevant literature is reviewed, the key concepts and theories are discussed, the research questions are presented, the sampling procedures and methods of data collection are explained and justified, the findings are presented and discussed, and some conclusions are drawn. The vicissitudes of research tend not to feature within this template. This tendency is not unique to *social research*: in Chapter 22 a study of how scientists present and discuss their work will be presented, and this shows that here too certain core aspects of the production of 'findings' tend to be omitted from the written account (Gilbert and Mulkay 1984).

It is also the case that, regardless of the various ways in which research can be knocked off its path, this book can deal only with generalities. It cannot cover every eventuality, so that it is quite possible that when conducting an investigation you will find that these generalities

do not fit perfectly with the circumstances in which you find yourself. It is important to be aware of that possibility and not to interpret any slight departures you have to make from the advice provided in this book as a problem with your skills and understanding. It could even be argued that, in the light of the different ways in which social researchers can be stymied in their research plans, a book on research methods, outlining how research is and should be conducted, is of little value. Needless to say, I would not subscribe to such a view. Many years ago, I was involved in several studies of construction projects. One of the recurring themes in the findings was the different ways that construction projects could be knocked off their course: unpredictable weather, sudden shortages of key supplies, illness, accidents, previously reliable subcontractors letting the project manager down, clients changing their minds or being unavailable at key points, sudden changes in health and safety regulation, poor quality supplies, poor quality work, early excavation

revealing unanticipated problems—any of these could produce significant interruptions to even the best-planned construction project. But never was it suggested that the principles of construction and of construction management should be abandoned. Without such principles, project managers would be at an even greater loss to know how to proceed. Much the same is true of research projects. There are plenty of things that can go wrong. As Townsend and Burgess (2009b) write in the introduction to their collection of ‘research stories you won’t read in textbooks’, two of the recurring themes from the accounts they collected are the need for flexibility and the need for perseverance. However, at the same time it is crucial to have an appreciation of the methodological principles and the many debates and controversies that surround them, and these are outlined in the next twenty-eight chapters. These principles provide a road map for the journey ahead.

Key points

- Social research and social research methods are embedded in wider contextual factors. They are not practised in a vacuum.
- Social research practice comprises elements that are common to all or at least most forms of social research. These include: conducting a literature review; concepts and theories; research questions; sampling of cases; data collection; data analysis; and a writing-up of the research finding.
- Attention to these steps is what distinguishes academic social research from other kinds of social research.
- Although we can attempt to formulate general principles for conducting social research, we have to recognize that things do not always go entirely to plan.

Questions for review

What is meant by ‘social research’?

- What is distinctive about academic social research?

Why do social research?

- If you were about to embark on a research project now or in the near future, what would be the focus of it and why?

The context of social research methods

- What are the main factors that impinge on social research and the implementation of social research methods identified in the chapter? Can you think of any that have not been touched on?

Elements of the process of social research

- Why is a literature review important when conducting research?
- What role do concepts and theories play in the process of doing social research?
- Why are researchers encouraged to specify their research questions? What kinds of research questions are there?
- Why do researchers need to sample? Why is it important for them to outline the principles that underpin their sampling choices?
- Outline one or two factors that might affect a researcher’s choice of data-collection instrument.
- What are the main differences between the kinds of data analysed by Zimdars et al. (2009) and Jones et al. (2010)?
- How might you structure the report of the findings of a project that you conducted?

The messiness of social research

- If research does not always go according to plan, why should we bother with methodological principles at all?



Online Resource Centre

<http://www.oxfordtextbooks.co.uk/orc/brymansrm4e/>

Visit the Online Resource Centre that accompanies this book to enrich your understanding of social research strategies. Consult web links, test yourself using multiple choice questions, and gain further guidance and inspiration from the Student Researcher’s Toolkit.

2

Social research strategies

Chapter outline

Introduction	19
Theory and research	
What type of theory?	20
Deductive and inductive theory	21
Epistemological considerations	
A natural science epistemology: positivism	24
Interpretivism	27
Ontological considerations	
Objectivism	27
Constructionism	28
Relationship to social research	32
Research strategy: quantitative and qualitative research	
Influences on the conduct of social research	
Values	35
Practical considerations	39
Key points	
Questions for review	



Chapter guide

The chief aim of this chapter is to show that a variety of considerations enter into the process of doing social research. The distinction that is commonly drawn among writers on and practitioners of social research between *quantitative research* and *qualitative research* is explored in relation to these considerations. This chapter explores:

- the nature of the relationship between theory and research, in particular whether theory guides research (known as a *deductive approach*) or whether theory is an outcome of research (known as an *inductive approach*);
- *epistemological* issues—that is, ones to do with what is regarded as appropriate knowledge about the social world; one of the most crucial aspects is the question of whether or not a natural science model of the research process is suitable for the study of the social world;
- *ontological* issues—that is, ones to do with whether the social world is regarded as something external to social actors or as something that people are in the process of fashioning;
- the ways in which these issues relate to the widely used distinction in the social sciences between two types of *research strategy*: quantitative and qualitative research; there is also a preliminary discussion, which will be followed up in Chapter 27, that suggests that, while quantitative and qualitative research represent different approaches to social research, we should be wary of driving a wedge between them;
- the ways in which *values* and *practical issues* also impinge on the social research process.

Introduction

This book is about social research. It attempts to equip people who have some knowledge of the social sciences with an appreciation of how social research should be conducted and what it entails. The latter project involves situating social research in the context of sociology, which in turn means attending to the question of its role in the overall enterprise of the discipline. It would be much easier to ‘cut to the chase’ and explore the nature of methods of social research and provide advice on how best to choose between and implement them. After all, many people might expect a book with the title of the present one to be concerned mainly with the ways in which the different methods in the social researcher’s arsenal can be employed.

But the practice of social research does not exist in a bubble, hermetically sealed off from the social sciences and the various intellectual allegiances that their practitioners hold. Two points are of particular relevance here.

First, methods of social research are closely tied to different visions of how social reality should be studied. Methods are not simply neutral tools: they are linked

with the ways in which social scientists envision the connection between different viewpoints about the nature of social reality and how it should be examined. However, it is possible to overstate this point. While methods are not neutral, they are not entirely suffused with intellectual inclinations either. Secondly, there is the question of how research methods and practice connect with the wider social scientific enterprise. Research data are invariably collected in relation to something. The ‘something’ may be a burning social problem or, more usually, a theory.

This is not to suggest that research is entirely dictated by theoretical concerns. One sometimes finds simple ‘fact-finding’ exercises published. Fenton et al. (1998) conducted a quantitative content analysis of social research reported in the British mass media. They examined national and regional newspapers, television and radio, and also magazines. They admit that one of the main reasons for conducting the research was to establish the amount and types of research that are represented. Sometimes, such exercises are motivated by

a concern about a pressing social problem. McKeganey and Barnard (1996) conducted qualitative research involving observation and interviews with prostitutes and their clients in Glasgow. One factor that seems to have prompted this research was the concern about the role of prostitutes in spreading HIV infection (McKeganey and Barnard 1996: 3). Another scenario occurs when research is done on a topic when a specific opportunity arises. The interest of Westergaard et al. (1989) in the effects of redundancy seems to have been profoundly motivated by the opportunity that arose when a Sheffield steel company, which was close to their institutional base at the University of Sheffield, made a large number of people redundant. The firm's management approached the authors a year after the redundancies to conduct research on what had happened to the individuals who had been made redundant. The authors conducted **social survey research** using a structured interview approach on most of those made redundant. Of course, the authors were influenced by theories about and previous research on unemployment, but the specific impetus for

the research on the effects of redundancy was not planned. Yet another stimulus for research can arise out of personal experiences. Lofland and Lofland (1995) note that many research publications emerge out of the researcher's personal biography, such as Zukin's (1982) interest in loft living arising out of her living in a loft in New York City. Another example is O'Reilly's (2000) investigation of British expatriates living on the Costa del Sol in Spain, which stemmed from her and her partner's dream of moving to the area themselves, which in fact they eventually did. Certainly, my own interest in Disney theme parks can be traced back to a visit to Disney World in Florida in 1991 (Bryman 1995, 1999), while my interest in the representation of social science research in the mass media (Fenton et al. 1998) can almost certainly be attributed to a difficult encounter with the press reported in Haslam and Bryman (1994).

By and large, however, research data achieve significance in sociology when viewed in relation to theoretical concerns. This raises the issue of the nature of the relationship between theory and research.



Student experience Personal experience as a basis for research interests

For her research, Isabella Robbins was interested in the ways in which mothers frame decisions regarding vaccinations for their children. This topic had a particular significance for her. She writes:

As the mother of three children I have encountered some tough decisions regarding responsibility towards my children. Reading sociology, as a mature student, gave me the tools to help understand my world and to contextualize some of the dilemmas I had faced. In particular, I had experienced a difficult decision regarding the vaccination status of my children.



To read more about Isabella's research experiences, go to the Online Resource Centre that accompanies this book at: www.oxfordtextbooks.co.uk/orc/brymansrm4e/



Theory and research

Characterizing the nature of the link between theory and research is by no means a straightforward matter. There are several issues at stake here, but two stand out in particular. First, there is the question of what form of theory one is talking about. Secondly, there is the matter of whether data are collected to test or to build theories.

Theory is important to the social researcher because it provides a backdrop and rationale for the research that is being conducted. It also provides a framework within which social phenomena can be understood and the research findings can be interpreted.

What type of theory?

The term 'theory' is used in a variety of different ways, but its most common meaning is as an explanation of observed regularities—for example, why sufferers of schizophrenia are more likely to come from working-class than middle-class backgrounds, or why work alienation varies by technology. But such theories tend not to be the stuff of courses in sociological theory, which typically focus much more on theories with a higher level of abstraction. Examples of such theories include structural-functionalism, **symbolic interactionism**, critical theory, poststructuralism, structuration theory, and so on. What we see here is a distinction between theories of the former type, which are often called *theories of the middle range* (Merton 1967), and *grand theories*, which operate at a more abstract and general level. According to Merton, grand theories offer few indications to researchers as to how they might guide or influence the collection of empirical evidence. So, if someone wanted to test a theory or to draw an inference from it that could be tested, the level of abstractness is likely to be so great



Research in focus 2.1 Grand theory and social research

Butler and Robson (2001) used Bourdieu's concept of social capital as a means of understanding gentrification of areas of London. While the term 'social capital' has acquired an everyday usage, Butler and Robson follow Bourdieu's theoretical use of it, which draws attention to the social connectedness and the interpersonal resources that those with social capital can draw on to pursue their goals. While the term has attracted the interest of social policy researchers and others concerned with social exclusion, its use in relation to the middle class has been less prominent, according to Butler and Robson. Bourdieu's treatment implies that those with social capital cultivate significant social connections and then draw upon those connections as resources for their goals. Butler and Robson conducted semi-structured interviews with 'gentrifiers' in each of three inner London areas. Responding to a tendency to view gentrification in rather unitary terms, the authors selected the three areas to examine what they refer to as the 'variability' of the process. To that end, the areas were selected to reflect variation in two factors: the length of time over which gentrification had been occurring and the middle-class groupings to which each of the areas appealed. The selection of areas in terms of these criteria was aided by **census** data. Of the three areas, Telegraph Hill was the strongest in terms of social capital. According to the authors, this is revealed in 'its higher levels of voluntary co-operation and sense of geographically focused unity' (Butler and Robson 2001: 2159). It is the recourse to these networks of sociality that accounts for the successful gentrification of Telegraph Hill. Battersea, one of the other two areas, entails a contrasting impetus for gentrification in Bourdieu's terms. Here, economic capital was more significant for gentrification than the social capital that was important in Telegraph Hill. The role of economic capital in Battersea can be seen in the 'competitive access to an increasingly desirable and expensive stock of housing and an exclusive circuit of schooling centred on private provision' (Butler and Robson 2001: 2159). In the former, it is sociality that provides the motor for gentrification, whereas in Battersea gentrification is driven by market forces and is only partially influenced by patterns of social connectedness. This study is an interesting example of the way in which a relatively high-level theoretical notion—social capital and its kindred concept of economic capital—associated with a social theorist can be employed to illuminate research questions concerning the dynamics of modern urban living.

By and large, then, it is not grand theory that typically guides social research. Middle-range theories are much more likely to be the focus of empirical enquiry. In fact, Merton formulated the idea as a means of bridging what he saw as a growing gulf between theory (in the sense of grand theory) and empirical findings. This is not to say that there were no middle-range theories before he wrote: there definitely were, but what Merton did was to seek to clarify what is meant by 'theory' when social scientists write about the relationship between theory and research.

Middle-range theories, unlike grand ones, operate in a limited domain, whether it is juvenile delinquency, racial prejudice, educational attainment, or the labour process

(see Research in focus 2.2). They vary somewhat in their range of application. For example, labelling theory represents a middle-range theory in the sociology of deviance. Its exponents sought to understand deviance in terms of the causes and effects of the societal reaction to deviation. It was held to be applicable to a variety of different forms of deviance, including crime and mental illness. By contrast, Cloward and Ohlin's (1960) differential association theory was formulated specifically in connection with juvenile delinquency, and in subsequent years this tended to be its focus. Middle-range theories, then, fall somewhere between grand theories and empirical findings. They represent attempts to understand and explain a limited aspect of social life.



Research in focus 2.2 Labour process theory: a middle-range theory

In the sociology of work, labour process theory can be regarded as a middle-range theory. The publication of *Labor and Monopoly Capital* (Braverman 1974) inaugurated a stream of thinking and research around the idea of the labour process and in particular on the degree to which there has been an inexorable trend towards increasing control over the manual worker and the deskilling of manual labour. A conference volume of much of this work was published as *Labour Process Theory* (Knights and Willmott 1990). P. Thompson (1989) describes the theory as having four elements: the principle that the labour process entails the extraction of surplus value; the need for capitalist enterprises constantly to transform production processes; the quest for control over labour; and the essential conflict between capital and labour. Labour process theory has been the focus of considerable empirical research (e.g. Knights et al. 1985).

Even the grand/middle-range distinction does not entirely clarify the issues involved in asking the deceptively simple question of 'what is theory?' This is because the term 'theory' is frequently used in a manner that means little more than the background literature in an area of social enquiry. To a certain extent, this point can be taken to apply to fact-finding exercises such as those referred to above. The analysis of the representation of social research in the media by Fenton et al. (1998) was undertaken against a background of similar analyses in the USA and of studies of the representation of natural science research in the media in several different countries. In many cases, the relevant background literature relating to a topic fuels the focus of an article or book and thereby acts as the equivalent of a theory, as with the research referred to in Research in focus 2.3. The literature in a certain domain acts as the spur to an enquiry. The literature acts as an impetus in a number of ways: the researcher may seek to resolve an inconsistency between different findings or between different interpretations of findings; the researcher may have spotted a neglected

aspect of a topic; certain ideas may not previously have been tested a great deal; the researcher may feel that existing approaches being used for research on a topic are deficient, and so provides an alternative approach; and so on.

Social scientists are sometimes prone to being somewhat dismissive of research that has no obvious connections with theory—in either the grand or the middle-range senses of the term. Such research is often dismissed as naive empiricism (see Key concept 2.1). It would be harsh, not to say inaccurate, to brand as naive empiricism the numerous studies in which the publications-as-theory strategy is employed, simply because their authors have not been preoccupied with theory. Such research is conditioned by and directed towards research questions that arise out of an interrogation of the literature. The data collection and analysis are subsequently geared to the illumination or resolution of the research issue or problem that has been identified at the outset. The literature acts as a proxy for theory. In many instances, theory is latent or implicit in the literature.



Research in focus 2.3 Background literature as theory: emotional labour and hairstylists

One component of R. S. Cohen's (2010) mixed methods study of hairstylists' relationships with their clients was a postal questionnaire survey of all salons and barbers' shops in a northern city in England. Of the 328 enterprises contacted, 40 per cent replied to the questionnaire. The goal of the research was to examine how far the giving of emotional favours was affected by the nature of the relationship with the client in terms of whether the worker was an owner or a paid employee. Her survey data show that owners are more likely to stay late for clients and to try to find a space for them between clients who have been booked in. Hochschild's (1983) book, in which she first coined the term 'emotional labour', and the many studies that have taken up this concept form the starting point of Cohen's research. The significance of this work is evident from Cohen's two opening sentences:

Since Hochschild (1983) first suggested that interactive service workers carry out emotional labour in the course of their work, this proposition has become widely accepted. However the relationship of emotional labour, and client-worker social interactions more generally, to the structural relations of employment has received surprisingly little attention . . . (R. S. Cohen 2010: 197)

Thus, the literature on emotional labour forms the background to the study and the main impetus for the interpretation of the findings, some of which are gleaned from qualitative data deriving from semi-structured interviews with some owners and employees. For the latter, interactions with clients are much more likely to take the form of what Hochschild (1983) called 'surface acting', a superficial form of emotional labour and emotional engagement with the client.



Key concept 2.1 What is empiricism?

The term 'empiricism' is used in a number of different ways, but two stand out. First, it is used to denote a general approach to the study of reality that suggests that only knowledge gained through experience and the senses is acceptable. In other words, this position means that ideas must be subjected to the rigours of testing before they can be considered knowledge. The second meaning of the term is related to this and refers to a belief that the accumulation of 'facts' is a legitimate goal in its own right. It is this second meaning that is sometimes referred to as 'naïve empiricism'.

Indeed, research that appears to have the characteristics of the fact-finding exercise should not be prematurely dismissed as naïve empiricism either. McKeganey and Barnard's (1996) research on prostitutes and their clients is a case in point. On the face of it, even if one strips away the concern with HIV infection, the research could be construed as naïve empiricism and perhaps of a rather prurient kind. However, this again would be a harsh and probably inaccurate judgement. For example, the authors relate their research findings to the literature

reporting other investigations of prostitutes in a number of different countries. They also illuminate their findings by drawing on ideas that are very much part of the sociologist's conceptual tool kit. One example is Goffman's (1963) notion of 'stigma' and the way in which the stigmatized individual seeks to manage a spoiled identity; another is Hochschild's (1983) concept of 'emotional labour', a term she coined to denote the way in which airline flight attendants need to express positive emotions as part of the requirements for their jobs. In doing so,

they contrive a demeanour of friendliness when dealing with passengers, some of whom may be extremely difficult (see also Research in focus 2.3).

It is not possible to tell from McKeaganey and Barnard's (1996) report whether the concepts of stigma and emotional labour influenced their data collection. However, raising this question invites consideration of another question: in so far as any piece of research is linked to theory, what was the role of that theory? Up to this point, I have tended to write as though theory is something that guides and influences the collection and analysis of data. In other words, research is done in order to answer questions posed by theoretical considerations. But an alternative position is to view theory as something that occurs after the collection and analysis of some or all of the data associated with a project. We begin to see here the significance of a second factor in considering the relationship between theory and research—whether we are referring to deductive or inductive theory.

Deductive and inductive theory

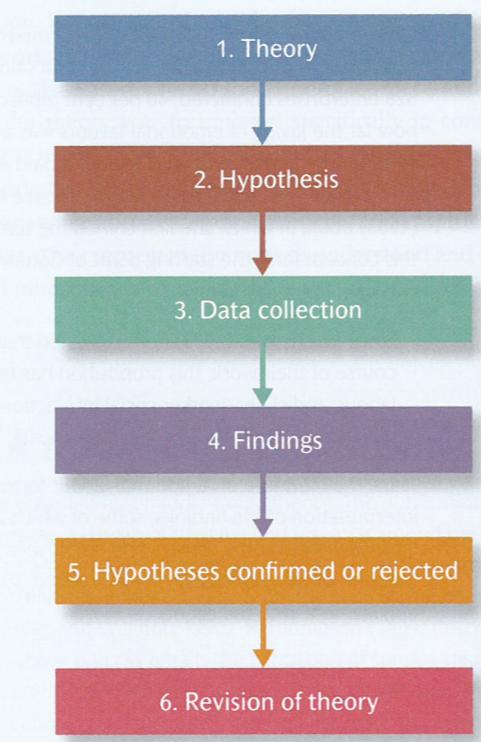
Deductive theory represents the commonest view of the nature of the relationship between theory and social research. The researcher, on the basis of what is known about in a particular domain and of theoretical considerations in relation to that domain, deduces a hypothesis (or hypotheses) that must then be subjected to empirical scrutiny. Embedded within the hypothesis will be concepts that will need to be translated into researchable entities. The social scientist must both skillfully deduce a hypothesis and then translate it into operational terms. This means that the social scientist needs to specify how data can be collected in relation to the concepts that make up the hypothesis.

This view of the role of theory in relation to research is very much the kind of role that Merton had in mind in connection with middle-range theory, which, he argued, 'is principally used in sociology to guide empirical inquiry' (Merton 1967: 39). Theory and the hypothesis deduced from it come first and drive the process of gathering data (see Research in focus 2.4 for an example of a deductive approach to the relationship between theory and data). The sequence can be depicted as one in which the steps outlined in Figure 2.1 take place.

The last step involves a movement that is in the opposite direction from **deduction**—it involves **induction**, as the researcher infers the implications of his or her findings for the theory that prompted the whole exercise. The findings are fed back into the stock of theory and the

Figure 2.1

The process of deduction



research findings associated with a certain domain of enquiry. This can be seen in the case of the final reflections of Butler and Robson's (2001—see Research in focus 2.1) study of gentrification in three areas of London when they write:

Each of the three groups has played on its strengths, where it has them. Gentrification, given this, cannot in any sense be considered to be a unitary phenomenon, but needs to be examined in each case according to its own logic and outcomes. The concept of social capital, when used as an integrated part of an extended conceptual framework for the apprehension of all forms of middle-class capital relations, can thus play an important part in discriminating between differing types of social phenomena. (Butler and Robson 2001: 2160)

In these final reflections they show how their findings and the interpretations of those findings can be fed back into both the stock of knowledge concerning gentrification in cities and, in the third of the three sentences, the concept of social capital and its uses.

However, while this element of inductiveness undoubtedly exists in the approach outlined, it is typically deemed to be predominantly deductive in orientation. Moreover, it is important to bear in mind that, when this deductive approach, which is usually associated with quantitative research, is put into operation, it often does not follow the sequence outlined in its pure form. As previously noted, 'theory' may be little more than the literature on a certain topic in the form of the accumulated knowledge gleaned from books and articles. Also, even when theory or theories can be discerned, explicit hypotheses are not always deduced from them in the way that Kelley and De Graaf (1997) did in Research in focus 2.4. A further point to bear in mind is that the deductive

process appears very linear—one step follows the other in a clear, logical sequence. However, there are many instances where this is not the case: a researcher's view of the theory or literature may have changed as a result of the analysis of the collected data; new theoretical ideas or findings may be published by others before the researcher has generated his or her findings; or, the relevance of a set of data for a theory may become apparent *after* the data have been collected.

This may all seem rather surprising and confusing. There is a certain logic to the idea of developing theories and then testing them. In everyday contexts, we commonly think of theories as things that are quite illuminating but that need to be tested before they can be considered valid or useful. In point of fact, however, while the process of deduction outlined in Figure 2.1 does undoubtedly occur, it is better considered as a general orientation to the link between theory and research. As a general orientation, its broad contours may

Research in focus 2.4 A deductive study

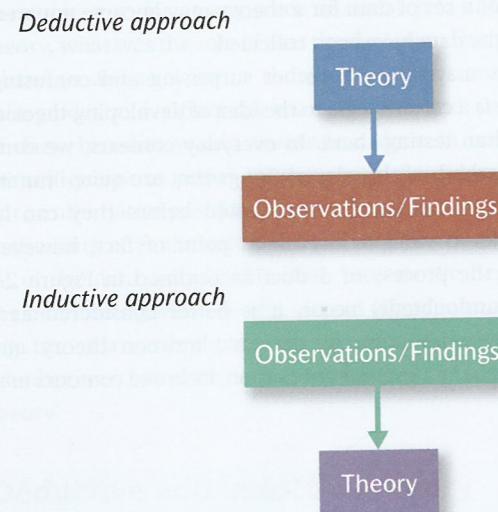
Kelley and De Graaf (1997) show that a number of studies have examined the factors that have an impact upon individuals' religious beliefs, such as parents, schools, and friends, but they also argue that there are good grounds for thinking that the nation into which one is born will be an important cross-cultural factor. These reflections constitute what they refer to as the 'theory' that guided their research and from which the following hypothesis was derived: 'People born into religious nations will, in proportion to the orthodoxy of their fellow-citizens, acquire more orthodox beliefs than otherwise similar people born into secular nations' (Kelley and De Graaf 1997: 641). There are two central concepts in this hypothesis that would need to be measured: national religiosity (whether it is religious or secular) and individual religious orthodoxy. The authors hypothesized further that the religious orientation of the individual's family (whether devout or secular) would affect the nature of the relationship between national religiosity and religious orthodoxy.

To test the hypotheses, a secondary analysis of data deriving from survey research based on large samples from fifteen nations was conducted. UK readers will be interested to know that the British and Northern Irish (and Irish Republic) data were derived from the British Social Attitudes survey for 1991 (Jowell et al. 1992). Religious orthodoxy was measured by four survey questions concerned with religious belief. The questions asked about (1) whether the person believed in God, (2) his or her past beliefs about God, (3) how close the individual felt to God, and (4) whether he or she felt that God cares about everyone. To measure national religiosity, the fifteen nations were classified into one of five categories ascending from secular to religious. The classification was undertaken according to 'an unweighted average of parental church attendance . . . and religious belief in the nation as a whole' (Kelley and De Graaf 1997: 647). Family religious orientation was measured on a scale of five levels of parental church attendance. The hypotheses were broadly confirmed and the authors conclude that the 'religious environment of a nation has a major impact on the beliefs of its citizens' (Kelley and De Graaf 1997: 654). Some of the implications of the findings for theories about international differences in religiosity are then outlined.

This study demonstrates the process whereby hypotheses are deduced from existing theory and these then guide the process of data collection so that they can be tested.

Figure 2.2

Deductive and inductive approaches to the relationship between theory and research



frequently be discernible in social research, but it is also the case that we often find departures from it. However, in some research no attempt is made to follow the sequence outlined in Figure 2.1. Some researchers prefer an approach to the relationship between theory and research that is primarily *inductive*. With an inductive stance, theory is the *outcome* of research. In other words, the process of induction involves drawing generalizable inferences out of observations. Figure 2.2 attempts to capture the essence of the difference between inductivism and deductivism.

However, just as deduction entails an element of induction, the inductive process is likely to entail a modicum of deduction. Once the phase of theoretical reflection on a set of data has been carried out, the researcher may want to collect further data in order to establish the conditions in which a theory will and will not hold. Such a general strategy is often called *iterative*: it involves a weaving back and forth between data and theory. It is particularly evident in **grounded theory**, which will be examined in Chapter 24, but in the meantime the basic point is to note that induction represents an alternative strategy for linking theory and research, although it contains a deductive element too.

However, as with ‘theory’ in connection with the deductive approach to the relationship between theory and research, we have to be cautious about the use of the term in the context of the inductive strategy too. While some researchers undoubtedly develop theories, equally it is necessary to be aware that very often what one ends up with can be little more than empirical generalizations of the kind Merton (1967) wrote about. Research in focus 2.5 is an example of research that can be classified as inductive in the sense that it develops a theory out of interview data deriving from men suffering from chronic illness concerning what determines successful coping mechanisms for males afflicted with such a condition. In fact, the analytic strategy adopted by the author (Charmaz 1997) was grounded theory, and it is certainly the case that many of the most prominent examples of inductive research derive from this tradition (see the other chapters in Strauss and Corbin 1997b, from which Charmaz’s example was taken).

Charmaz’s (1997) research is an interesting illustration of an inductive approach. Two points are particularly worth noting about it. First, as previously noted, it uses a grounded theory approach to the analysis of data and to the generation of theory. This approach, which was first outlined by Glaser and Strauss (1967), is often regarded as especially strong in terms of generating theories out of data. This contrasts with the nature of

many supposedly inductive studies, which generate interesting and illuminating findings but whose theoretical significance is not entirely clear. They provide insightful empirical generalizations, but little theory. Secondly, in much the same way that the deductive strategy is associated with a quantitative research approach, an inductive strategy of linking data and theory is typically associated with a qualitative research approach. It is not a coincidence that Charmaz’s (1997) research referred to in Research in focus 2.5 is based on in-depth, semi-structured interviews that produced qualitative data in the form of respondents’ detailed answers to her questions. However, as will be shown below, this characterization of the inductive strategy as associated with qualitative research is not entirely straightforward: not only does much qualitative research not generate theory, but also theory is often used at the very least as a background to qualitative investigations.

It is useful to think of the relationship between theory and research in terms of deductive and inductive strategies. However, as the previous discussion has implied, the issues are not as clear-cut as they are sometimes presented. To a large extent, deductive and inductive strategies are possibly better thought of as tendencies rather than as a hard-and-fast distinction. But these are not the only issues that impinge on the conduct of social research.



Research in focus 2.5 An inductive study

Charmaz (1991, 1997) has been concerned to examine a number of aspects of the experiences of people with chronic illness. One phase of her research entailed the examination specifically of men with such a condition. In one of her reports (Charmaz 1997), she discusses the results of her research into twenty men suffering from chronic illness. The bulk of her data derives from semi-structured interviews. In order to bring out the distinctiveness of men’s responses, she compared the findings relating to men with a parallel study of women with chronic illness. She argues that a key component of men’s responses is that of a strategy of *preserving self*. Although the experience of chronic illness invariably necessitates a change of lifestyle that itself occasions a change in personal identity, the men sought to preserve their sense of self by drawing on ‘essential qualities, attributes, and identities of [the] past self’ (Charmaz 1997: 49). By contrast, women were less reliant in their strategies of preserving self on the recapturing of past identities. She relates her theoretical reflections of her data to her male respondents’ notions of masculine identity. Her emphasis on the idea of preserving self allows her to assess the factors that lie behind whether a man with chronic illness will ‘reconstruct a positive identity or sink into depression’ (Charmaz 1997: 57). If they were unable to have access to actions that would allow their sense of past self to be extended into the future (for example, through work), the probability of their sinking into depression was enhanced.

In this study, the inductive nature of the relationship between theory and research can be seen in the way that Charmaz’s theoretical ideas (such as the notion of ‘preserving self’) derive from her data rather than being formed before she had collected her data.



Epistemological considerations

An epistemological issue concerns the question of what is (or should be) regarded as acceptable knowledge in a discipline. A particularly central issue in this context is the question of whether the social world can and should be studied according to the same principles, procedures, and ethos as the natural sciences. The position that affirms the importance of imitating the natural sciences is invariably associated with an epistemological position known as positivism (see Key concept 2.2).

A natural science epistemology: positivism

The doctrine of **positivism** is extremely difficult to pin down and therefore to outline in a precise manner, because it is used in a number of different ways by authors. For some writers, it is a descriptive category—one that

describes a philosophical position that can be discerned in research—though there are still disagreements about what it comprises; for others, it is a pejorative term used to describe crude and often superficial data collection.

It is possible to see in the five principles in Key concept 2.2 a link with some of the points that have already been raised about the relationship between theory and research. For example, positivism entails elements of both a deductive approach (principle 2) and an inductive strategy (principle 3). Also, a fairly sharp distinction is drawn between theory and research. The role of research is to test theories and to provide material for the development of laws. But either of these connections between theory and research carries with it the implication that it is possible to collect observations in a manner that is not influenced by pre-existing theories. Moreover, theoretical terms that are not directly amenable to observation



Key concept 2.2 What is positivism?

Positivism is an epistemological position that advocates the application of the methods of the natural sciences to the study of social reality and beyond. But the term stretches beyond this principle, though the constituent elements vary between authors. However, positivism is also taken to entail the following principles:

1. Only phenomena and hence knowledge confirmed by the senses can genuinely be warranted as knowledge (the principle of *phenomenalism*).
2. The purpose of theory is to generate hypotheses that can be tested and that will thereby allow explanations of laws to be assessed (the principle of *deductivism*).
3. Knowledge is arrived at through the gathering of facts that provide the basis for laws (the principle of *inductivism*).
4. Science must (and presumably can) be conducted in a way that is value free (that is, *objective*).
5. There is a clear distinction between scientific statements and normative statements and a belief that the former are the true domain of the scientist. This last principle is implied by the first because the truth or otherwise of normative statements cannot be confirmed by the senses.

are not considered genuinely scientific; they must be susceptible to the rigours of observation. All this carries with it the implication of greater epistemological status being given to observation than to theory.

It should be noted that it is a mistake to treat positivism as synonymous with science and the scientific. In fact, philosophers of science and of the social sciences differ quite sharply over how best to characterize scientific practice, and since the early 1960s there has been a drift away from viewing it in positivist terms. Thus, when writers complain about the limitations of positivism, it is not entirely clear whether they mean the philosophical term or a scientific approach more generally. **Realism** (in particular, **critical realism**), for example, is another philosophical position that purports to provide an account of the nature of scientific practice (see Key concept 2.3).

The crux of the epistemological considerations that form the central thrust of this section is the rejection by some writers and traditions of the application of the canons of the natural sciences to the study of social reality. A difficulty here is that it is not easy to disentangle the natural science model from positivism as the butt of their criticisms. In other words, it is not always clear whether they are inveighing against the application of a general natural scientific approach or of positivism in particular. There is a long-standing debate about the appropriateness of the natural science model for the study of society, but, since the account that is offered of that model tends to have largely positivist overtones, it would seem that it is positivism that is the focus of attention rather than

other accounts of scientific practice (such as critical realism—see Key concept 2.3).

Interpretivism

Interpretivism is a term given to a contrasting epistemology to positivism (see Key concept 2.4). The term subsumes the views of writers who have been critical of the application of the scientific model to the study of the social world and who have been influenced by different intellectual traditions, which are outlined below. They share a view that the subject matter of the social sciences—people and their institutions—is fundamentally different from that of the natural sciences. The study of the social world therefore requires a different logic of research procedure, one that reflects the distinctiveness of humans as against the natural order. Von Wright (1971) has depicted the epistemological clash as being between positivism and **hermeneutics** (a term that is drawn from theology and that, when imported into the social sciences, is concerned with the theory and method of the interpretation of human action). This clash reflects a division between an emphasis on the *explanation* of human behaviour that is the chief ingredient of the positivist approach to the social sciences and the *understanding* of human behaviour. The latter is concerned with the empathic understanding of human action rather than with the forces that are deemed to act on it. This contrast reflects long-standing debates that precede the emergence of the modern social sciences but find their expression in



Key concept 2.3 What is realism?

Realism shares two features with positivism: a belief that the natural and the social sciences can and should apply the same kinds of approach to the collection of data and to explanation, and a commitment to the view that there is an external reality to which scientists direct their attention (in other words, there is a reality that is separate from our descriptions of it). There are two major forms of realism:

- *Empirical realism* simply asserts that, through the use of appropriate methods, reality can be understood. This version of realism is sometimes referred to as *naive realism* to reflect the fact that it is often assumed by realists that there is a perfect (or at least very close) correspondence between reality and the term used to describe it. As such, it ‘fails to recognise that there are enduring structures and generative mechanisms underlying and producing observable phenomena and events’ and is therefore ‘superficial’ (Bhaskar 1989: 2). This is perhaps the most common meaning of the term. When writers employ the term ‘realism’ in a general way, it is invariably this meaning to which they are referring.
- *Critical realism* is a specific form of realism whose manifesto is to recognize the reality of the natural order and the events and discourses of the social world and holds that ‘we will only be able to understand—and so change—the social world if we identify the structures at work that generate those events and discourses. . . These structures are not spontaneously apparent in the observable pattern of events; they can only be identified through the practical and theoretical work of the social sciences’ (Bhaskar 1989: 2).

Critical realism implies two things. First, it implies that, whereas positivists take the view that the scientist's conceptualization of reality actually directly reflects that reality, realists argue that the scientist's conceptualization is simply a way of knowing that reality. As Bhaskar (1975: 250) has put it: ‘Science, then, is the systematic attempt to express in thought the structures and ways of acting of things that exist and act independently of thought.’ Critical realists acknowledge and accept that the categories they employ to understand reality are likely to be provisional. Thus, unlike naive realists, critical realists recognize that there is a distinction between the objects that are the focus of their enquiries and the terms they use to describe, account for, and understand them. Secondly, by implication, critical realists unlike positivists are perfectly content to admit into their explanations theoretical terms that are not directly amenable to observation. As a result, hypothetical entities that account for regularities in the natural or social orders (the ‘generative mechanisms’ to which Bhaskar refers) are perfectly admissible for realists, but not for positivists. Generative mechanisms entail the entities and processes that are constitutive of the phenomenon of interest. For critical realists, it is acceptable that generative mechanisms are not directly observable, since they can be admitted into theoretical accounts on the grounds that their effects are observable. Also crucial to a critical realist understanding is the identification of the context that interacts with the generative mechanism to produce an observed regularity in the social world. An appreciation of context is crucial to critical realist explanations because it serves to shed light on the conditions that promote or impede the operation of the causal mechanism. What makes critical realism *critical* is that the identification of generative mechanisms offers the prospect of introducing changes that can transform the status quo. A further point to note about critical realism is that the form of reasoning involved in the identification of generative causal mechanisms is neither inductive nor deductive. It is referred to by Blaikie (2004) as **retroductive** reasoning, which entails making an inference about the causal mechanism that lies behind and is responsible for regularities that are observed in the social world. Research in focus 26.1 provides an example of research using a critical realist approach. This example can be read profitably at this stage even though it is in a much later chapter.

such notions as the advocacy by Max Weber (1864–1920) of an approach referred to in his native German as *Verstehen* (which means understanding). Weber (1947: 88) described sociology as a ‘science which attempts the

interpretive understanding of social action in order to arrive at a causal explanation of its course and effects’. Weber’s definition seems to embrace both explanation and understanding here, but the crucial point is that the



Key concept 2.4 What is interpretivism?

Interpretivism is a term that usually denotes an alternative to the positivist orthodoxy that has held sway for decades. It is predicated upon the view that a strategy is required that respects the differences between people and the objects of the natural sciences and therefore requires the social scientist to grasp the subjective meaning of social action. Its intellectual heritage includes: Weber's notion of *Verstehen*; the hermeneutic–phenomenological tradition; and symbolic interactionism.

task of 'causal explanation' is undertaken with reference to the 'interpretive understanding of social action' rather than to external forces that have no meaning for those involved in that social action.

One of the main intellectual traditions that has been responsible for the anti-positivist position has been **phenomenology**, a philosophy that is concerned with the question of how individuals make sense of the world around them and how in particular the philosopher should bracket out preconceptions in his or her grasp of that world. The initial application of phenomenological ideas to the social sciences is attributed to the work of Alfred Schutz (1899–1959), whose work did not come to the notice of most English-speaking social scientists until the translation from German of his major writings in the 1960s, some twenty or more years after they had been written. His work was profoundly influenced by Weber's concept of *Verstehen*, as well as by phenomenological philosophers, like Husserl. Schutz's position is well captured in the following passage, which has been quoted on numerous occasions:

The world of nature as explored by the natural scientist does not 'mean' anything to molecules, atoms and electrons. But the observational field of the social scientist—social reality—has a specific meaning and relevance structure for the beings living, acting, and thinking within it. By a series of common-sense constructs they have pre-selected and pre-interpreted this world which they experience as the reality of their daily lives. It is these thought objects of theirs which determine their behaviour by motivating it. The thought objects constructed by the social scientist, in order to grasp this social reality, have to be founded upon the thought objects constructed by the common-sense thinking of men [and women!], living their daily life within the social world. (Schutz 1962: 59)

Two points are particularly noteworthy in this quotation. First, it asserts that there is a fundamental difference between the subject matter of the natural sciences and the social sciences and that an epistemology is required that will reflect and capitalize upon that difference. The fundamental difference resides in the fact that social reality has a meaning for human beings and therefore human action is meaningful—that is, it has a meaning for them and they act on the basis of the meanings that they attribute to their acts and to the acts of others. This leads to the second point—namely, that it is the job of the social scientist to gain access to people's 'common-sense thinking' and hence to interpret their actions and their social world from their point of view. It is this particular feature that social scientists claiming allegiance to phenomenology have typically emphasized. In the words of the authors of a research methods text whose approach is described as phenomenological: 'The phenomenologist views human behavior . . . as a product of how people interpret the world. . . . In order to grasp the meanings of a person's behavior, the phenomenologist attempts to see things from that person's point of view' (Bogdan and Taylor 1975: 13–14; emphasis in original).

In this exposition of *Verstehen* and phenomenology, it has been necessary to skate over some complex issues. In particular, Weber's examination of *Verstehen* is far more complex than the above commentary suggests, because the empathetic understanding that seems to be implied above was not the way in which he applied it (Bauman 1978), while the question of what is and is not a genuinely phenomenological approach to the social sciences is a matter of some dispute (Heap and Roth 1973). However, the similarity in the writings of the hermeneutic–phenomenological tradition and of the *Verstehen* approach, with their emphasis upon social action as being meaningful to actors and therefore needing to be interpreted from their point of view, coupled with the rejection of positivism, contributed to a stream of thought often referred to as interpretivism (e.g. J. A. Hughes 1990).

Verstehen and the hermeneutic–phenomenological tradition do not exhaust the intellectual influences on interpretivism. The theoretical tradition in sociology known as *symbolic interactionism* has also been regarded by many writers as a further influence. Again, the case is not clear-cut. The implications for empirical research of the ideas of the founders of symbolic interactionism, in particular George Herbert Mead (1863–1931), whose discussion of the way in which our notion of self emerges through an appreciation of how others see us, have been hotly debated. There was a school of research, known as the Iowa school, that drew heavily on Mead's concepts and ideas, but proceeded in a direction that most people would prefer to depict as largely positivist in tone (Meltzer et al. 1975). Moreover, some writers have argued that Mead's approach is far more consistent with a natural science approach than has typically been recognized (McPhail and Rexroat 1979). However, the general tendency has been to view symbolic interactionism as occupying similar intellectual space to the hermeneutic–phenomenological tradition and so as broadly interpretative in approach. This tendency is largely the product of the writings of Herbert Blumer, a student of Mead's who acted as his mentor's spokesman and interpreter, and his followers (Hammersley 1989; R. Collins 1994). Not only did Blumer coin the term symbolic interaction; he also provided a gloss on Mead's writings that has decidedly interpretative overtones. Symbolic interactionists argue that interaction takes place in such a way that the individual is continually interpreting the symbolic meaning of his or her environment (which includes the actions of others) and acts on the basis of this imputed meaning. In research terms, according to Blumer (1962: 188), 'the position of symbolic interaction requires the student to catch the process of interpretation through which [actors] construct their actions', a statement that brings out clearly his views of the research implications of symbolic interactionism and of Mead's thought.

It should be appreciated that the parallelism between symbolic interactionism and the hermeneutic–phenomenological tradition should not be exaggerated. The two are united in their antipathy for positivism and have in common an interpretative stance. However, symbolic interactionism is, at least in the hands of Blumer and the many writers and researchers who have followed in his wake, a type of social theory that has distinctive epistemological implications; the hermeneutic–phenomenological tradition, by contrast, is best thought of as a general epistemological approach in its own right. Blumer may have been influenced by the hermeneutic–

phenomenological tradition, but there is no concrete evidence of this. There are other intellectual currents that have affinities with the interpretative stance, such as the working-through of the ramifications of the works of the philosopher Ludwig Wittgenstein (Winch 1958), but the hermeneutic–phenomenological, *Verstehen*, and symbolic interactionist traditions can be considered major influences.

Taking an interpretative stance can mean that the researcher may come up with surprising findings, or at least findings that appear surprising if a largely external stance is taken—that is, a position from outside the particular social context being studied. Research in focus 2.6 provides an interesting example of this possibility.

Of course, as the example in Research in focus 2.6 suggests, when the social scientist adopts an interpretative stance, he or she is not simply laying bare how members of a social group interpret the world around them. The social scientist will almost certainly be aiming to place the interpretations that have been elicited into a social scientific frame. There is a double interpretation going on: the researcher is providing an interpretation of others' interpretations. Indeed, there is a third level of interpretation going on, because the researcher's interpretations have to be further interpreted in terms of the concepts, theories, and literature of a discipline. Thus, taking the example in Research in focus 2.6, Foster's (1995) suggestion that Riverside is not perceived as a high crime area by residents is her interpretation of her subjects' interpretations. She then had the additional job of placing her interesting findings into a social scientific frame, which she accomplished by relating them to existing concepts and discussions in criminology of such things as informal social control, neighbourhood watch schemes, and the role of housing as a possible cause of criminal activity.

The aim of this section has been to outline how epistemological considerations—especially those relating to the question of whether a natural science approach, and in particular a positivist one, can supply legitimate knowledge of the social world—are related to research practice. There is a link with the earlier section in that a deductive approach to the relationship between theory and research is typically associated with a positivist position. Key concept 2.2 does try to suggest that inductivism is also a feature of positivism (third principle), but, in the working-through of its implementation in the practice of social research, it is the deductive element (second principle) that tends to be emphasized. Similarly, the third level of interpretation that a researcher engaged in interpretative research must bring into operation is very much



Research in focus 2.6 Interpretivism in practice

Foster (1995) conducted ethnographic research using participant observation and semi-structured interviews in a housing estate in East London, referred to as Riverside. The estate had a high level of crime, as indicated by **official statistics** on crime. However, she found that residents did not perceive the estate to be a high crime area. This perception could be attributed to a number of factors, but a particularly important reason was the existence of 'informal social control'. People expected a certain level of crime, but felt fairly secure because informal social control allowed levels of crime to be contained. Informal social control comprised a number of different aspects. One aspect was that neighbours often looked out for each other. In the words of one of Foster's interviewees: 'If I hear a bang or shouting I go out. If there's aggravation I come in and ring the police. I don't stand for it.' Another aspect of informal social control was that people often felt secure because they knew each other. Another respondent said: 'I don't feel nervous . . . because people do generally know each other. We keep an eye on each other's properties . . . I feel quite safe because you know your neighbours and you know they're there . . . they look out for you' (Foster 1995: 575).

part of the kind of inductive strategy described in the previous section. However, while such interconnections between epistemological issues and research practice exist, it is important not to overstate them, since they represent tendencies rather than definitive points of

correspondence. Thus, particular epistemological principles and research practices do not necessarily go hand in hand in a neat unambiguous manner. This point will be made again on several occasions and will be a special focus of Chapter 26.



Ontological considerations

Questions of social **ontology** are concerned with the nature of social entities. The central point of orientation here is the question of whether social entities can and should be considered objective entities that have a reality external to social actors, or whether they can and should be considered social constructions built up from the perceptions and actions of social actors. These positions are frequently referred to respectively as **objectivism** and **constructionism**. Their differences can be illustrated by reference to two of the most common and central terms in social science—organization and culture.

Objectivism

Objectivism is an ontological position that implies that social phenomena confront us as external facts that are beyond our reach or influence (see Key concept 2.5).

We can discuss organization or *an* organization as a tangible object. It has rules and regulations. It adopts standardized procedures for getting things done. People are appointed to different jobs within a division of

labour. There is a hierarchy. It has a mission statement. And so on. The degree to which these features exist from organization to organization is variable, but in thinking in these terms we are tending to the view that an organization has a reality that is external to the individuals who inhabit it. Moreover, the organization represents a social order in that it exerts pressure on individuals to conform to the requirements of the organization. People learn and apply the rules and regulations. They follow the standardized procedures. They do the jobs to which they are appointed. People tell them what to do and they tell others what to do. They learn and apply the values in the mission statement. If they do not do these things, they may be reprimanded or even fired. The organization is therefore a constraining force that acts on and inhibits its members.

The same can be said of culture. Cultures and subcultures can be viewed as repositories of widely shared values and customs into which people are socialized so that they can function as good citizens or as full participants. Cultures and subcultures constrain us because we



Key concept 2.5 What is objectivism?

Objectivism is an ontological position that asserts that social phenomena and their meanings have an existence that is independent of social actors. It implies that social phenomena and the categories that we use in everyday discourse have an existence that is independent or separate from actors.

internalize their beliefs and values. In the case of both organization and culture, the social entity in question comes across as something external to the actor and as having an almost tangible reality of its own. It has the characteristics of an object and hence of having an objective reality. To a very large extent, these are the 'classic' ways of conceptualizing organization and culture.

Constructionism

However, we can consider an alternative ontological position—**constructionism** (Key concept 2.6). This position challenges the suggestion that categories such as organization and culture are pre-given and therefore confront social actors as external realities that they have no role in fashioning.

Let us take organization first. Strauss et al. (1973), drawing on insights from symbolic interactionism, car-

ried out research in a psychiatric hospital and proposed that it was best conceptualized as a 'negotiated order'. Instead of taking the view that order in organizations is a pre-existing characteristic, they argue that it is worked at. Rules were far less extensive and less rigorously imposed than might be supposed from the classic account of organization. Indeed, Strauss et al. (1973: 308) prefer to refer to them as 'much less like commands, and much more like general understandings'. Precisely because relatively little of the spheres of action of doctors, nurses, and other personnel was prescribed, the social order of the hospital was an outcome of agreed-upon patterns of action that were themselves the products of negotiations between the different parties involved. The social order is in a constant state of change because the hospital is 'a place where numerous agreements are continually being terminated or forgotten, but also as continually being established, renewed, reviewed, revoked, revised. . . . In



Key concept 2.6 What is constructionism?

Constructionism is an ontological position (often also referred to as **constructivism**) that asserts that social phenomena and their meanings are continually being accomplished by social actors. It implies that social phenomena and categories are not only produced through social interaction but that they are in a constant state of revision. In recent years, the term has also come to include the notion that researchers' own accounts of the social world are constructions. In other words, the researcher always presents a specific version of social reality, rather than one that can be regarded as definitive. Knowledge is viewed as indeterminate, a position redolent of **postmodernism** (see Key concept 17.1, which further examines this viewpoint). This sense of constructionism is usually allied to the ontological version of the term. In other words, these are linked meanings. Both meanings are antithetical to **objectivism** (see Key concept 2.5), but the second meaning is also antithetical to **realism** (see Key concept 2.3). The first meaning might be thought of usefully as constructionism in relation to the social world; the second as constructionism in relation to the nature of knowledge of the social world (and indeed the natural world).

Increasingly, the notion of constructionism in relation to the nature of knowledge of the social world is being incorporated into notions of constructionism, but in this book I will be using the term in relation to the first meaning, whereby constructionism is presented as an ontological position in relating to social objects and categories—that is, one that views them as socially constructed.

any pragmatic sense, this is the hospital at the moment: this is its social order' (Strauss et al. 1973: 316–17). The authors argue that a preoccupation with the formal properties of organizations (rules, organizational charts, regulations, roles) tends to neglect the degree to which order in organizations has to be accomplished in everyday interaction, though this is not to say that the formal properties have *no* element of constraint on individual action.

Much the same kind of point can be made about the idea of culture. Instead of seeing culture as an external reality that acts on and constrains people, it can be taken to be an emergent reality in a continuous state of construction and reconstruction. Becker (1982: 521), for example, has suggested that 'people create culture continuously... No set of cultural understandings... provides a perfectly applicable solution to any problem people have to solve in the course of their day, and they therefore must remake those solutions, adapt their understandings to the new situation in the light of what is different about it.' Like Strauss et al., Becker recognizes that the constructionist position cannot be pushed to the extreme: it is necessary to appreciate that culture has a reality that 'persists and antedates the participation of particular people' and shapes their perspectives, but it is not an inert objective reality that possesses only a sense of constraint: it acts as a point of reference but is always in the process of being formed.

Neither the work of Strauss et al. nor that of Becker pushes the constructionist argument to the extreme. Each admits to the pre-existence of their objects of interest (organization and culture respectively). However, in each case we see an intellectual predilection for stressing the active role of individuals in the social construction of social reality. Not all writers adopting a constructionist position are similarly prepared to acknowledge the existence or at least importance of an objective reality. Walsh (1972: 19), for example, has written that 'we cannot take for granted, as the natural scientist does, the availability of a preconstituted world of phenomena for investigation' and must instead 'examine the processes by which the social world is constructed'. Constructionism essentially invites the researcher to consider the ways in which social reality is an ongoing accomplishment of social actors rather than something external to them and that totally constrains them.

Constructionism also suggests that the categories that people employ in helping them to understand the natural and social world are in fact social products. The categories do not have built-in essences; instead, their meaning is constructed in and through interaction. Thus, a category

like 'masculinity' might be treated as a social construction. This notion implies that, rather than being treated as a distinct inert entity, masculinity is construed as something whose meaning is built up during interaction. That meaning is likely to be a highly ephemeral one, in that it will vary by both time and place. This kind of stance frequently displays a concern with the language that is employed to present categories in particular ways. It suggests that the social world and its categories are not external to us, but are built up and constituted in and through interaction. This tendency can be seen particularly in **discourse analysis**, which is examined in Chapter 22. As Potter (1996: 98) observes: 'The world... is constituted in one way or another as people talk it, write it and argue it.' This sense of constructionism is highly antithetical to realism (see Key concept 2.3). Constructionism frequently results in an interest in the representation of social phenomena. Research in focus 2.7 provides an illustration of this idea in relation to the representation of the breast cancer epidemic in the USA.

Constructionism is also frequently used as a term that reflects the indeterminacy of our knowledge of the social world (see Key concept 2.6 and the idea of constructionism in relation to the nature of knowledge of the social world). However, in this book, I will be using the term in connection with the notion that social phenomena and categories are social constructions.

Relationship to social research

Questions of social ontology cannot be divorced from issues concerning the conduct of social research. Ontological assumptions and commitments will feed into the ways in which research questions are formulated and research is carried out. If a research question is formulated in such a way as to suggest that organizations and cultures are objective social entities that act on individuals, the researcher is likely to emphasize the formal properties of organizations or the beliefs and values of members of the culture. Alternatively, if the researcher formulates a research question so that the tenuousness of organization and culture as objective categories is stressed, it is likely that an emphasis will be placed on the active involvement of people in reality construction. In either case, it might be supposed that different approaches to the design of research and the collection of data will be required. Later in the book, Research in focus 20.8 provides an illustration of a study with a strong commitment to a constructionist ontology and its implications for the research process.



Research in focus 2.7 Constructionism in action

Lantz and Booth (1998) have shown that breast cancer can be treated as a social construction. They note that US data show a rise in the incidence of the disease since the early 1980s, which has led to the depiction of the trend as an epidemic. The authors examined a variety of popular magazines using **qualitative content analysis** (see Key concept 13.1 for a brief description of this method). They note that many of the articles draw attention to the lifestyles of modern women, such as delaying first births, diet and alcohol consumption, and having careers. The authors argue that the articles

ascribe blame to individual behaviors by listing a wide array of individual risk factors (many of which are not behaviors of 'traditional' women), and then offering prudent prescriptions for prevention. Women are portrayed as victims of an insidious disease, but also as victims of their own behaviors, many of which are related to the control of their own fertility.... These articles suggest that nontraditional women experience pathological repercussions within their bodies and, in turn, may be responsible for our current epidemic of breast cancer. (Lantz and Booth 1998: 915–16)

This article suggests that, as a social category, the breast cancer epidemic is being represented in popular magazines in a particular way—one that blames the victims and the lifestyles of modern women in particular. This is in spite of the fact that fewer than 20 per cent of cases of breast cancer are in women under the age of 50. Lantz and Booth's study is fairly representative of a constructionist ontology in suggesting that the epidemic is not simply being construed as a social fact but is being ascribed a particular meaning (one that blames the victims of the disease). In this way, the representation of the disease in popular magazines forms an important element in its social construction.



Research strategy: quantitative and qualitative research

Many writers on methodological issues find it helpful to distinguish between **quantitative research** and **qualitative research**. The status of the distinction is ambiguous, because it is almost simultaneously regarded by some writers as a fundamental contrast and by others as no longer useful or even simply as 'false' (Layder 1993: 110). However, there is little evidence to suggest that the use of the distinction is abating and even considerable evidence of its continued, even growing, currency. The quantitative/qualitative distinction will be employed a great deal in this book, because it represents a useful means of classifying different methods of social research and because it is a helpful umbrella for a range of issues concerned with the practice of social research.

On the face of it, there would seem to be little to the quantitative/qualitative distinction other than the fact that quantitative researchers employ measurement and qualitative researchers do not. It is certainly the case

that there is a predisposition among researchers along these lines, but many writers have suggested that the differences are deeper than the superficial issue of the presence or absence of quantification. For many writers, quantitative and qualitative research differ with respect to their epistemological foundations and in other respects too. Indeed, if we take the areas that have been the focus of the previous three sections—the connection between theory and research, epistemological considerations, and ontological considerations—quantitative and qualitative research can be taken to form two distinctive clusters of research strategy. By a **research strategy**, I simply mean a general orientation to the conduct of social research. Table 2.1 outlines the differences between quantitative and qualitative research in terms of the three areas.

Thus, quantitative research can be construed as a research strategy that emphasizes quantification in the collection and analysis of data and that

Table 2.1**Fundamental differences between quantitative and qualitative research strategies**

	Quantitative	Qualitative
Principal orientation to the role of theory in relation to research	Deductive; testing of theory	Inductive; generation of theory
Epistemological orientation	Natural science model, in particular positivism	Interpretivism
Ontological orientation	Objectivism	Constructionism

- entails a deductive approach to the relationship between theory and research, in which the accent is placed on the testing of theories;
- has incorporated the practices and norms of the natural scientific model and of positivism in particular; and
- embodies a view of social reality as an external, objective reality.

By contrast, qualitative research can be construed as a research strategy that usually emphasizes words rather than quantification in the collection and analysis of data and that

- predominantly emphasizes an inductive approach to the relationship between theory and research, in which the emphasis is placed on the generation of theories;
- has rejected the practices and norms of the natural scientific model and of positivism in particular in preference for an emphasis on the ways in which individuals interpret their social world; and
- embodies a view of social reality as a constantly shifting emergent property of individuals' creation.

There is, in fact, considerably more to the quantitative/qualitative distinction than this contrast. In Chapters 7 and 17 the nature of quantitative and then qualitative research respectively will be outlined in much greater detail, while in Chapters 26 and 27 the contrasting features will be further explored. In particular, a number of distinguishing features flow from the commitment of the quantitative research strategy to a positivist epistemology and from the rejection of that epistemology by practitioners of the qualitative research strategy. In other words, the three contrasts in Table 2.1 are basic, though fundamental, ones.

However, the interconnections between the different features of quantitative and qualitative research are

not as straightforward as Table 2.1 and the previous paragraph imply. While it is useful to contrast the two research strategies, it is necessary to be careful about hammering a wedge between them. It may seem perverse to introduce a basic set of distinctions and then suggest that they are problematic. A recurring theme of this book is that discussing the nature of social research is just as complex as conducting research in the real world. You may discover general tendencies, but they are precisely that—tendencies. In reality, the picture becomes more complicated the more you delve.

For example, it is common to describe qualitative research as concerned with the generation rather than the testing of theories. However, there are examples of studies in which qualitative research has been employed to test rather than to generate theories. For example, Adler and Adler (1985) were concerned to explore the issue of whether participation in athletics in higher education in the USA is associated with higher or lower levels of academic achievement, an issue on which the existing literature was inconsistent. This is an illustration of the use of the existing literature on a topic being employed as a kind of proxy for theory. The first author was a participant observer for four years of a basketball programme in a university, and both authors carried out 'intensive, taped interviews' with players. The authors' findings do lead them to conclude that athletic participation is likely to result in lower academic achievement. This occurs because the programme participants gradually drift from idealistic goals about their academic careers, and a variety of factors lead them to become increasingly detached from academic work. For example, one student is quoted as saying: 'If I was a student like most other students I could do well, but when you play the calibre of ball we do, you just can't be an above-average student. What I strive for now is just to be an average student. . . . You just can't find the time to do all the reading' (Adler and Adler 1985: 247). This study shows how, although

qualitative research is typically associated with generating theories, it can also be employed for testing them.

Moreover, it is striking that, although the Adler and Adler study is broadly interpretivist in epistemological orientation, with its emphasis on how college athletes view their social situation, the findings have objectivist, rather than constructionist, overtones. For example, when the authors describe the students' academic performance as 'determined less by demographic characteristics and high school experiences than by the structure of their college experiences' (Adler and Adler 1985: 249), they are positing a social world that is 'out there' and that has a formal, objective quality. It is an example of qualitative research in the sense that there is no quantification or very little of it, but it does not have *all* the other features outlined in Table 2.1. Similarly, the previously mentioned study by Westergaard et al. (1989) of the effects of redundancy was a quantitative study in the sense of being concerned to measure a wide variety of concepts, but exhibited little evidence of a concern to test theories of unemployment or of a stressful life event like redundancy. Instead, its conclusions revolve around seek-

ing to understand how those made redundant responded to the experience in terms of such things as their job-search methods, their inclination to find jobs, and their political attitudes. As such, it has interpretivist overtones in spite of being an exercise in quantitative research.

The point that is being made in this section is that quantitative and qualitative research represent different research strategies and that each carries with it striking differences in terms of the role of theory, epistemological issues, and ontological concerns. However, the distinction is not a hard-and-fast one: studies that have the broad characteristics of one research strategy may have a characteristic of the other. I will say more about the common features in quantitative and qualitative research in Chapter 26. Not only this, but many writers argue that the two can be combined within an overall research project, and Chapter 27 examines precisely this possibility. In Chapter 27, I will examine what is increasingly referred to as **mixed methods research**. This term is widely used nowadays to refer to research that combines methods associated with both quantitative and qualitative research.



Research in focus 2.8 Mixed methods research—an example

In 2001, Britain was profoundly affected by the Foot and Mouth Disease (FMD), which had a big impact on people's movements. Poortinga et al. (2004) were interested in how far the public trusted the information the government was supplying and how it perceived the risks associated with the disease. Such issues were of interest in part because the researchers felt that the ways in which the public responds to a crisis was an important topic, but also because the issues connect with the influence in recent years of the notion of the 'risk society' (Beck 1992), which has attracted a good deal of sociological attention. At the height of the disease during 2–5 April 2001, the researchers conducted a survey by administering a **self-completion questionnaire** (see Chapter 10) to samples in two contrasting areas: Bude in Cornwall and Norwich in Norfolk. These two areas were chosen because they were very differently affected by FMD. The questionnaire covered the following areas: level of agreement with statements about the outbreak of the disease (for example, 'My main concerns about FMD are to do with the possible impacts on human health'); perceptions of who was to blame; level of agreement with statements about the government's handling of FMD; degrees of trust in various sources of information about the disease; and personal information, such as any connection with the farming or tourist industries. In addition, a qualitative research method—**focus groups** (see Chapter 21)—was employed. In May and June 2001, these groups were convened and members of the groups were asked about the same kinds of issues covered in the questionnaire. Focus group participants were chosen from among those who had indicated in their questionnaire replies that they were willing to be involved in a focus group discussion. Three focus group discussions took place. While the questionnaire data were able to demonstrate the variation in such things as trust in various information sources, the focus groups revealed 'valuable additional information, especially on the reasons, rationalizations and arguments behind people's understanding of the FMD issue' (Poortinga et al. 2004: 86). As a result, the researchers were able to arrive at a more complete account of the FMD crisis than could have been obtained by either a quantitative or a qualitative research approach alone. This and other possible advantages of mixed methods research will be explored further in Chapter 27.



Research in focus 2.9 Mixed methods research—an example

This second example of mixed methods research is probably one of the biggest studies in the UK using the approach—the Cultural Capital and Social Exclusion (CCSE) project. Like the research referred to in Research in Focus 2.1, the CCSE project was profoundly influenced by Bourdieu and in particular by his influential research on cultural capital and its role in the reproduction of social divisions (Bourdieu 1984). While the CCSE project was inspired by Bourdieu's research, at the same time the researchers had some reservations about the methodological approach taken, the theoretical approach, and its relevance beyond the period in which the research was conducted and its milieu (France). The research was designed around three research questions:

- 'What is the nature of cultural capital in Britain? What kinds of social exclusion are generated by the differential distribution of cultural capital across class positions?'
 - 'What are the relationships between economic capital, social capital and cultural capital, in particular how is cultural capital related to other forms of capital?'
 - 'What role does cultural capital play in relation to existing patterns of social exclusion? How can a closer knowledge of this assist in developing cultural policies designed to offset the effects of social exclusion?'
- www.open.ac.uk/socialsciences/cultural-capital-and-social-exclusion/research-questions.php, emphasis removed (accessed 13 August 2010)

Each of these three research questions was broken down into several subquestions. In order to address these research questions, the authors employed three main research methods:

1. Twenty-five focus groups, with each group being made up of a distinctive group of members, for example, Pakistani middle class, supervisors, self-employed.
2. A structured interview survey of a large representative sample of 1,781 respondents within the UK.
3. Semi-structured interviews with 44 individuals from 30 households. The interviewees were sampled from the survey on the basis of socio-demographic and cultural capital characteristics. The interviewers also took notes about the households. In addition, 11 interviews were conducted with 'elite' individuals, because it was felt that these were not sufficiently present in the sample.

Thus, the CCSE project comprised two qualitative research methods (focus groups and semi-structured interviewing) and one quantitative method (a structured interview survey). The mixed methods aspect of this research fulfilled several roles for the researchers. For example, although the focus groups yielded findings that could be linked to the survey ones, they were also used to inform the design of the survey questions. There will be further reference to the utility of the mixed methods approach in Chapter 27, while the components of the CCSE project will be referred to in the interim chapters.

Sources: Silva and Wright (2008); Bennett et al. (2009); Silva et al. (2009); www.open.ac.uk/socialsciences/cultural-capital-and-social-exclusion/project-summary.php (accessed 13 August 2010).

In Research in focus 2.8 and 2.9, I present examples of mixed methods studies. I am presenting them here partly to provide some early insights into the possibility of doing mixed methods research, but also to show how a wedge need not and should not be driven between

quantitative and qualitative research. By contrasting the two approaches, it is easy to see them as incompatible. As the examples in Research in focus 2.8 and 2.9 show, they can be fruitfully combined within a single project. This point will be amplified throughout Chapter 27.

Influences on the conduct of social research

We are beginning to get a picture now that social research is influenced by a variety of factors. Figure 2.3 summarizes the influences that have been examined so far, but has added two more—the impact of values and of practical considerations.

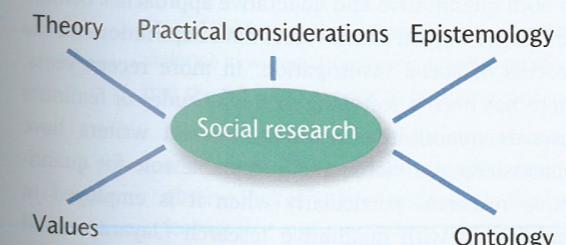
Values

Values reflect either the personal beliefs or the feelings of a researcher. On the face of it, we would expect that social scientists should be value free and objective in their research. After all, one might want to argue that research that simply reflected the personal biases of its practitioners could not be considered valid and scientific because it was bound up with the subjectivities of its practitioners. Such a view is held with less and less frequency among social scientists nowadays. Émile Durkheim (1858–1917) wrote that one of the corollaries of his injunction to treat social facts as things was that all 'preconceptions must be eradicated' (Durkheim 1938: 31). Since values are a form of preconception, his exhortation was at least implicitly to do with suppressing them when conducting research. His position is unlikely to be regarded as credible nowadays, because there is a growing recognition that it is not feasible to keep the values that a researcher holds totally in check. These can intrude at any or all of a number of points in the process of social research:

- choice of research area;
- formulation of research question;
- choice of method;

Figure 2.3

Influences on social research



- formulation of research design and data-collection techniques;
- implementation of data collection;
- analysis of data;
- interpretation of data;
- conclusions.

There are, therefore, numerous points at which bias and the intrusion of values can occur. Values can materialize at any point during the course of research. The researcher may develop an affection or sympathy, which was not necessarily present at the outset of an investigation, for the people being studied. It is quite common, for example, for researchers working within a qualitative research strategy, and in particular when they use participant observation or very intensive interviewing, to develop a close affinity with the people whom they study to the extent that they find it difficult to disentangle their stance as social scientists from their subjects' perspective. This possibility may be exacerbated by the tendency that Becker (1967) identified for sociologists in particular to be very sympathetic to underdog groups. Equally, social scientists may be repelled by the people they study. The social anthropologist Colin Turnbull (1973) reports the results of his research into an African tribe known as the Ik. Turnbull was appalled by what he witnessed: a loveless (and for him unlovable) tribe that left its young and very old to die. While Turnbull was able to point to the conditions that had led to this state of affairs, he was very honest in his disgust for what he witnessed, particularly during the period of his initial sojourn among the tribe. However, that very disgust is a product of Western values about the family, and it is likely, as he acknowledged, that these will have influenced his perception of what he witnessed.

Another position in relation to the whole question of values and bias is to recognize and acknowledge that research cannot be value free but to ensure that there is no untrammelled incursion of values in the research process and to be self-reflective and so exhibit **reflexivity** (see Key concept 17.5) about the part played by such factors. As Turnbull (1973: 13) put it at the beginning of his book on the Ik: 'the reader is entitled to know something of the aims, expectations, hopes and attitudes that the writer brought to the field with him, for these will surely influence not only how he sees things but even

what he sees.' Researchers are increasingly prepared to forewarn readers of their biases and assumptions and how these may have influenced the subsequent findings. There has been a growth since the mid-1970s of collections of inside reports of what doing a piece of research was really like, as against the generalities presented in social research methods textbooks (like this one!). These collections frequently function as 'confessions', an element of which is often the writer's preparedness to be open about his or her personal biases.



Student experience The influence of feminism on research questions

Sarah Hanson is very clear about the influence of feminism on her research and on her research questions in particular.

My research project focused on the representation of women through the front covers of five women's magazines, combining the application of feminist theory with the decoding practices of content analysis. Throughout the project I wanted to understand the nature of women's magazines, the influences they have on women's sense of self and identity and the role the magazines play. I asked: do women's magazines support or destroy women's identity and do they encourage self-respect or self-scrutiny? I wanted to combine theory with fact, focusing on the meanings behind the presentation of images and text.

Similarly, for her research on non-governmental organizations (NGOs) and sex workers in Thailand, Erin Sanders wrote that she 'employed a feminist methodology—and as such attempted to engage with my research participants, particularly the sex workers, as a "friend" rather than as a "researcher"'. She also writes:

I chose to use a feminist methodology because I wanted to eliminate the power imbalance in the research relationship. As there are a number of power issues with a 'White', 'Western' woman interviewing 'Non-White', 'Non-Western' sex workers, I had hoped a feminist methodology... would help redress some of the power issues.



To read more about Sarah and Erin's research experiences, go to the Online Resource Centre that accompanies this book at: www.oxfordtextbooks.co.uk/orc/brymansrm4e/

The significance of feminism in relation to values goes further than this, however. In particular, several feminist social researchers around the early 1980s proposed that the principles and practices associated with quantitative research were incompatible with feminist research on women. For writers like Oakley (1981), quantitative research was bound up with male values of control that can be seen in the general orientation of the research strategy—control of the research subject/respondent and control of the research context and situation. Moreover, the research process was seen as one-way traffic, in which researchers extract information from the people being studied and give little, or more usually nothing, in return. For many feminists, such a strategy bordered on exploitation and was incompatible with feminism's values of sisterhood and non-hierarchical relationships between women. The antipathy towards quantitative research resulted in a preference for qualita-

Still another approach is to argue for consciously value-laden research. This is a position taken by some feminist writers who have argued that only research on women that is intended for women will be consistent with the wider political needs of women. Mies (1993: 68) has argued that in feminist research the 'postulate of *value free research*, of neutrality and indifference towards the research objects, has to be replaced by *conscious partiality*, which is achieved through partial identification with the research objects' (emphases in original).

There are, then, different positions that can be taken up in relation to values and value freedom. Far fewer writers overtly subscribe to the position that the principle of objectivity can be put into practice than in the past. Quantitative researchers sometimes seem to be writing in a way that suggests an aura of objectivity (Mies 1993), but we simply do not know how far they subscribe to such a position. There is a greater awareness today of the limits to objectivity, so that some of the highly confident, not to say naive, pronouncements on the subject, like Durkheim's, have fallen into disfavour. A further way in which values are relevant to the conduct of social research is through adherence to ethical principles or standards. This issue will be followed up in Chapter 6.

Practical considerations

Nor should we neglect the importance and significance of *practical issues* in decisions about how social research should be carried out. There are a number of different dimensions to this issue. For one thing, choices of research strategy, design, or method have to be dovetailed with the specific research question being investigated. If we are interested in teasing out the relative importance of a number of different causes of a social phenomenon, it is quite likely that a quantitative strategy will fit our needs, because, as will be shown in Chapter 7, the assessment of cause is one of its keynotes. Alternatively, if we are interested in the world views of members of a certain social group, a qualitative research strategy that is sensitive to how participants interpret their social world may be the direction to choose. If a researcher is interested in a topic on which no or virtually no research has been done in the past, the quantitative strategy may be difficult to employ, because there is little prior literature

from which to draw leads. A more exploratory stance may be preferable, and, in this connection, qualitative research may serve the researcher's needs better, since it is typically associated with the generation rather than the testing of theory (see Table 2.1) and with a relatively unstructured approach to the research process (see Chapter 17). Another dimension may have to do with the nature of the topic and of the people being investigated. For example, if the researcher needs to engage with individuals or groups involved in illicit activities, such as gang violence (Patrick 1973), drug dealing (P. A. Adler 1985), or the murky underworld of organs-trading (Scheper-Hughes 2004), it is unlikely that a social survey would gain the confidence of the subjects involved or achieve the necessary rapport. In fact, the idea of conducting survey research in such contexts or on such respondents looks rather ridiculous. It is not surprising, therefore, that researchers in these areas have tended to use a qualitative strategy where there is an opportunity to gain the confidence of the subjects of the investigation or even in some cases not reveal their identity as researchers, albeit with ethical dilemmas of the kind discussed in Chapter 6. By contrast, it does not seem likely that the hypothesis in the research described in Research in focus 2.4 could have been tested with a qualitative method like participant observation.

While practical considerations may seem rather mundane and uninteresting compared with the lofty realm inhabited by the philosophical debates surrounding such discussions about epistemology and ontology, they are important ones. All social research is a coming-together of the ideal and the feasible. Because of this, there will be many circumstances in which the nature of the topic or of the subjects of an investigation and the constraints on a researcher loom large in decisions about how best to proceed.



Student experience A practical consideration in the choice of research method

One of the factors that influenced Rebecca Barnes's choice of the semi-structured interview for her study of violence in women's same-sex intimate relationships was that she felt that the topic is a highly sensitive area and that she therefore needed to be able to observe her interviewees' emotional responses.

I felt that, given the sensitivity of the research topic, semi-structured, in-depth interviews would be most appropriate. This gave me the opportunity to elicit women's accounts of abuse in a setting where I was able to observe their emotional responses to the interview and endeavour to minimize any distress or other negative feelings that might result from participating in the research.



To read more about Rebecca's research experiences, go to the Online Resource Centre that accompanies this book at: www.oxfordtextbooks.co.uk/orc/brymansrm4e/



Key points

- Quantitative and qualitative research constitute different approaches to social investigation and carry with them important epistemological and ontological considerations.
- Theory can be depicted as something that precedes research (as in quantitative research) or as something that emerges out of it (as in qualitative research).
- Epistemological considerations loom large in considerations of research strategy. To a large extent, these revolve around the desirability of employing a natural science model (and in particular positivism) versus interpretivism.
- Ontological considerations, concerning objectivism versus constructionism, also constitute important dimensions of the quantitative/qualitative contrast.
- Values may impinge on the research process at different times.
- Practical considerations in decisions about research methods are also important factors.
- Feminist researchers have tended to prefer a qualitative approach, though there is some evidence of a change of viewpoint in this regard.



Questions for review

Theory and research

- If you had to conduct some social research now, what would the topic be and what factors would have influenced your choice? How important was addressing theory in your consideration?
- Outline, using examples of your own, the difference between grand and middle-range theory.
- What are the differences between inductive and deductive theory and why is the distinction important?

Epistemological considerations

- What is meant by each of the following terms: positivism; realism; and interpretivism? Why is it important to understand each of them?
- What are the implications of epistemological considerations for research practice?

Ontological considerations

- What are the main differences between epistemological and ontological considerations?
- What is meant by objectivism and constructionism?
- Which theoretical ideas have been particularly instrumental in the growth of interest in qualitative research?

Research strategy: quantitative and qualitative research

- Outline the main differences between quantitative and qualitative research in terms of: the relationship between theory and data; epistemological considerations; and ontological considerations.
- To what extent is quantitative research solely concerned with testing theories and qualitative research with generating theories?

Influences on the conduct of social research

- What are some of the main influences on social research?



Online Resource Centre

www.oxfordtextbooks.co.uk/orc/brymansrm4e/

Visit the Online Resource Centre that accompanies this book to enrich your understanding of social research strategies. Consult web links, test yourself using multiple choice questions, and gain further guidance and inspiration from the Student Researcher's Toolkit.