

# How many neurons does it take to classify a lightbulb?

Charles Zheng

Stanford University

December 14, 2015

(Joint work with Yuval Benjamini)

## *Background and motivation*

- Review of information theory
- Study of neural coding

## *Problems*

- Estimating mutual information between stimulus and response.
- Can we use machine learning methods to estimate MI?

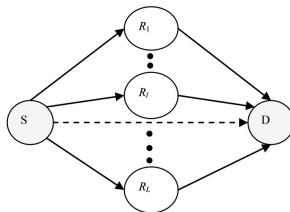
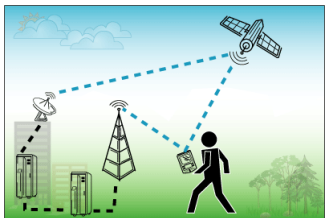
## *Methods*

- Setup
- Gaussian example
- Using Fano's inequality
- Using low-SNR universality

## *Results*

# Information theory

The complexity of modern communications system is made possible by Shannon's theory of information.



A information-processing network can be analyzed in terms of interactions between its components (which are viewed as random variables.)

Image credit CartouChé, Aziz et al. 2011

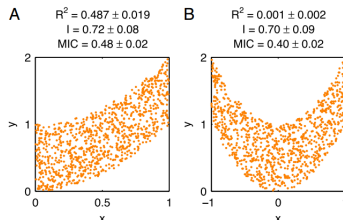
# Entropy and mutual information

$X$  and  $Y$  have joint density  $p(x, y)$  with respect to  $\mu$ .

Quantity	Definition	Linear analogue
Entropy	$H(X) = - \int (\log p(x)) p(x) \mu_X(dx)$	$\text{Var}(X)$
Conditional entropy	$H(X Y) = \mathbf{E}[H(X Y)]$	$\mathbf{E}[\text{Var}(X Y)]$
Mutual information	$I(X; Y) = H(X) - H(X Y)$	$\text{Cor}(X, Y)$

The above definition includes both *differential* entropy and *discrete* entropy.  
Information theorists tend to use log base 2, we will use natural logs in this talk.

# Properties of mutual information



- Nonnegative:  $I(X; Y) \geq 0$
- Symmetric:  $I(X; Y) = I(Y; X)$
- Bijection-invariant:  $I(\phi(X); \psi(Y)) = I(\psi(Y); \phi(X))$ .
- Additivity. If  $(X_1, Y_1) \perp (X_2, Y_2)$ , then

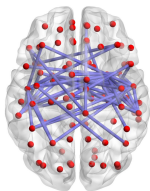
$$I((X_1, X_2); (Y_1, Y_2)) = I(X_1; Y_1) + I(X_2; Y_2)$$

- Relation to KL divergence  $\mathbb{D}$ .

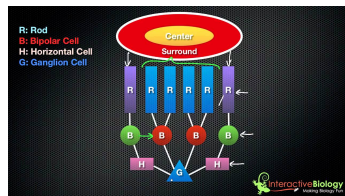
$$\mathbb{D}(p(x, y) || p(x)p(y)) = I(X; Y)$$

# Studying the neural code

The brain is the *most complex* information processing system we know!



Neural network inferred from data  
(Hong et al.)

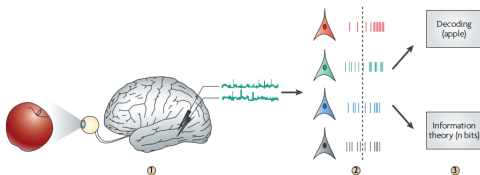


Organization of human retina

How do neurons encode, process, and decode sensory information?

Image credit: Hong et al., Interactive Biology

# Studying the neural code: data



- Let  $\mathcal{X}$  define a class of stimuli (faces, objects, sounds.)
- Stimulus  $\mathbf{X} = (X_1, \dots, X_p)$ , where  $X_i$  are features (e.g. pixels.)
- Present  $\mathbf{X}$  to the subject, record the subject's brain activity using EEG, MEG, fMRI, or calcium imaging.
- Recorded response  $\mathbf{Y} = (Y_1, \dots, Y_q)$ , where  $Y_i$  are single-cell responses, or recorded activities in different brain region.

Image credits: Quiroga et al. (2009)

# Problem statement

Given stimulus-response data  $(\mathbf{X}, \mathbf{Y})$ , can we estimate the mutual information  $I(\mathbf{X}; \mathbf{Y})$ ?

*Why do we care?*

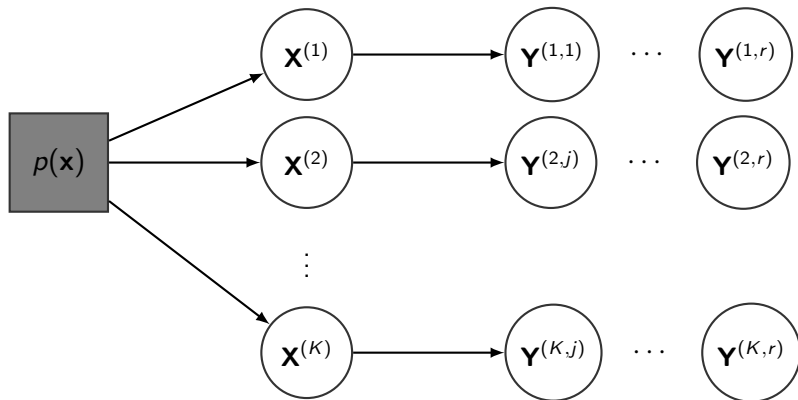
- Selecting the correct model for neural encoding
- Assessing the *efficiency* of the neural code
- Measuring the *redundancy* of a population of neurons

$$r' = \frac{\sum_{i=1}^q I(\mathbf{X}; Y_i) - I(\mathbf{X}; \mathbf{Y})}{\sum_{i=1}^q I(\mathbf{X}; Y_i)}$$



# Experimental setup

- How to make inferences about the population of stimuli in  $\mathcal{X}$  using finitely many examples?
- *Randomization*. Select  $\mathbf{X}^{(1)}, \dots, \mathbf{X}^{(K)}$  randomly from some distribution  $p(\mathbf{x})$  (e.g. an image database). Record  $r$  responses from each stimulus.



# Can we learn $I(\mathbf{X}; \mathbf{Y})$ from such data?

Answer: yes.

- Let  $p^*(\mathbf{x})$  be the uniform distribution over  $\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(K)}$ , and let  $\tilde{\mathbf{X}}$  be a random vector with this distribution.
- Let  $\tilde{\mathbf{Y}}$  have the distribution

$$p^*(\tilde{\mathbf{y}}) = \frac{1}{K} \sum_{i=1}^K p(\mathbf{y}|\mathbf{x}^{(i)})$$

- Then, as  $K \rightarrow \infty$ ,  $I(\tilde{\mathbf{X}}; \tilde{\mathbf{Y}}) \xrightarrow{P} I(\mathbf{X}; \mathbf{Y})$ , where

$$I(\tilde{\mathbf{X}}; \tilde{\mathbf{Y}}) = H(\tilde{\mathbf{Y}}) - \frac{1}{K} \sum_{i=1}^K H(\mathbf{Y}|\mathbf{x}^{(i)})$$

- We can apply nonparametric methods to estimate  $H(\mathbf{Y}|\mathbf{x}^{(i)})$  for  $i = 1, \dots, K$ , and  $H(\tilde{\mathbf{Y}})$ . Plugging those estimates into the above formula gives a *nonparametric* estimate of  $I(\mathbf{X}; \mathbf{Y})$ .

- Cover and Thomas. Elements of information theory.
- Muirhead. Aspects of multivariate statistical theory.
- van der Vaart. Asymptotic statistics.