

2d (0,2) dualities from 4d

Based on work with Jiaqun Jiang and Jiahao Zheng [[arXiv:2407.17350](#)]

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Basics of 2d (0,2) superymmetric theories

- **Supersymmetry:** Two right-moving supercharges Q_+ , \tilde{Q}_+ with algebra

$$\{Q_+, \tilde{Q}_+\} = 2P_{++}.$$

There are no left-moving supercharges.

- **Multiplets:**

- **Chiral multiplet:** (ϕ, ψ_+) with constraint $\bar{D}_+ \Phi = 0$.
- **Fermi multiplet:** (ψ_-, F) satisfying $\bar{D}_+ \Gamma = E(\Phi)$.
- **Vector multiplet:** gauge field (A_μ, λ_-) in the adjoint representation.

- **Interactions:**

- **E -term:** holomorphic constraint in Fermi multiplet, $E_a(\Phi)$.
- **J -term:** superpotential-like interaction, $\int d\theta^+ \Gamma_a J^a(\Phi)$.
- Supersymmetry requires $\sum_a E_a J^a = 0$.

- **Key features:**

- Right-moving supersymmetry \Rightarrow heterotic structure.
- Left- and right-moving central charges (c_L, c_R) can differ.
- Rich IR dynamics and dualities: chiral algebras, mirror symmetry, modular forms, etc.

Tools to study 2d (0,2) theories

Elliptic genus

$$\mathcal{I}^{(0,2)_{\text{R}}}(q, z) = \text{Tr}_{\text{R}}(-1)^F q^{H_L} \prod_a z_a^{f_a}$$

Chiral

Fermi

Vector

$$\mathcal{I}_{\text{chi}}^{(0,2)_{\text{NS}}}(\tau, u) = \prod_{w \in \lambda} \frac{\eta(q)}{\vartheta_4(q^{\frac{r-1}{2}} z^w)}$$

$$\mathcal{I}_{\text{fer}}^{(0,2)_{\text{NS}}}(\tau, u) = \prod_{w \in \lambda} \frac{\vartheta_4(q^{\frac{r}{2}} z^w)}{\eta(q)}$$

$$\mathcal{I}_{\text{vec}}^{(0,2)_{\text{R|NS}}}(q, z) = \frac{\eta(q)^{2 \text{rk} G}}{|W_G|} \prod_{\alpha \in \Delta} i \frac{\vartheta_1(z^\alpha)}{\eta(q)}.$$

$$\mathcal{I}^{(0,2)_{\text{R|NS}}} = \int_{\text{JK}} \prod_{\text{gauge}} \frac{dz}{2\pi i z} \mathcal{I}_{\text{vec}}^{(0,2)_{\text{R|NS}}}(q, z) \prod_{\text{matter}} \mathcal{I}_{\text{chi}}^{(0,2)_{\text{R|NS}}}(q, z) \mathcal{I}_{\text{fer}}^{(0,2)_{\text{R|NS}}}(q, z)$$

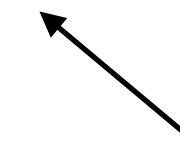
't Hooft anomaly: $\text{Tr } \gamma^3 f^a f^b = k_F \delta^{ab}$

central charge: $c_R = 3 \text{Tr}(\gamma^3 R^2)$

$U(1)_R$ -charge: **c-extremization**

1. The energy spectrum ≥ 0

2. normalizable (compact) vacuum



This assumption fails in the theory of our class

Gravitational anomaly: $c_R - c_L = \text{Tr}(\gamma^3)$

2d (0,2) triality

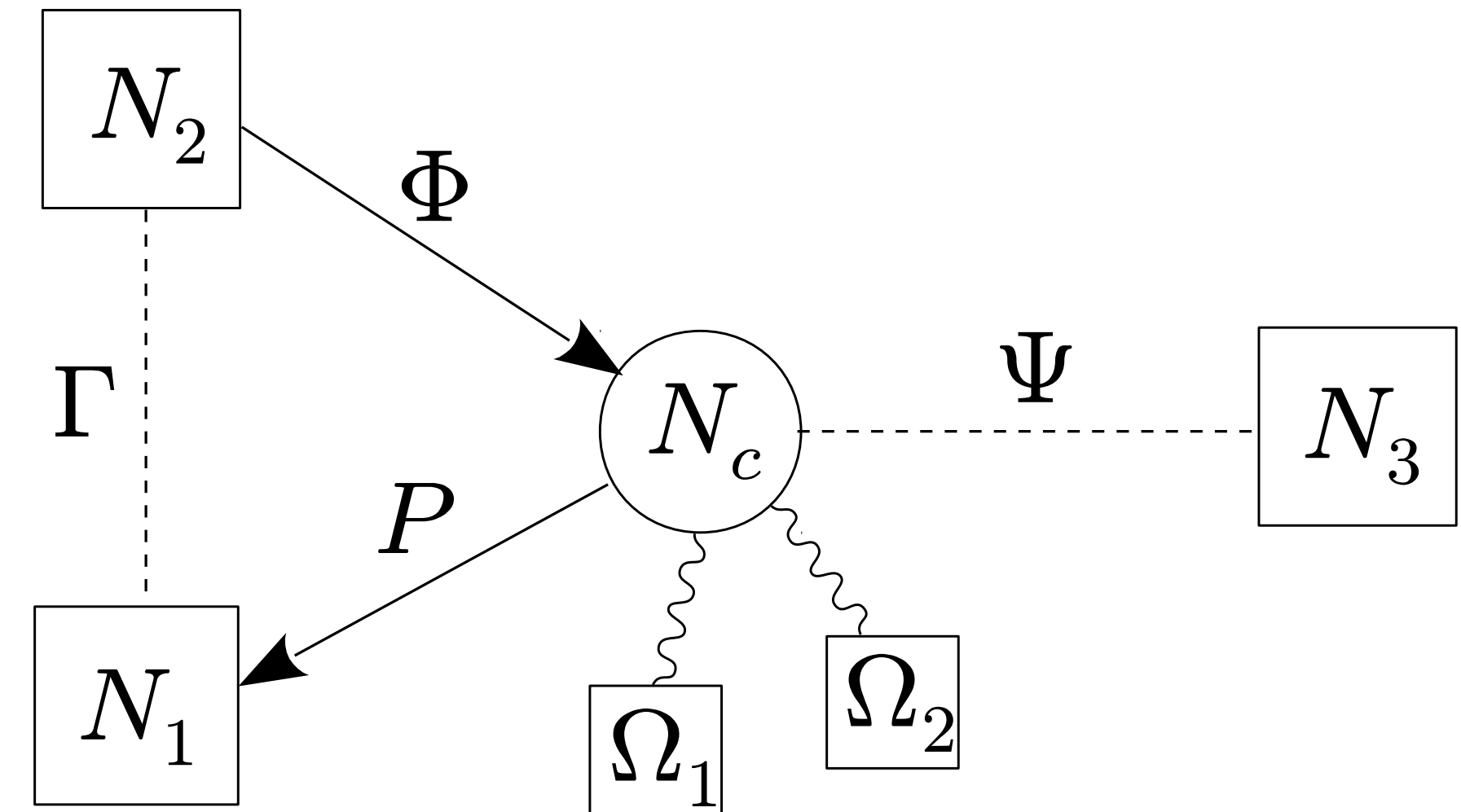
Gadde-Gukov-Putrov

- **Triality**: IR equivalence of three 2d $\mathcal{N} = (0, 2)$ gauge theories
- Gauge group is a **unitary gauge group**
- **Matter contents**

	Φ	Ψ	P	Γ	Ω
$U(N_c)$	\square	$\overline{\square}$	$\overline{\square}$	$\mathbf{1}$	\det
$SU(N_1)$	$\mathbf{1}$	$\mathbf{1}$	\square	$\overline{\square}$	$\mathbf{1}$
$SU(N_2)$	$\overline{\square}$	$\mathbf{1}$	$\mathbf{1}$	\square	$\mathbf{1}$
$SU(N_3)$	$\mathbf{1}$	$\mathbf{1}$	\square	$\mathbf{1}$	$\mathbf{1}$
$SU(2)$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	\square

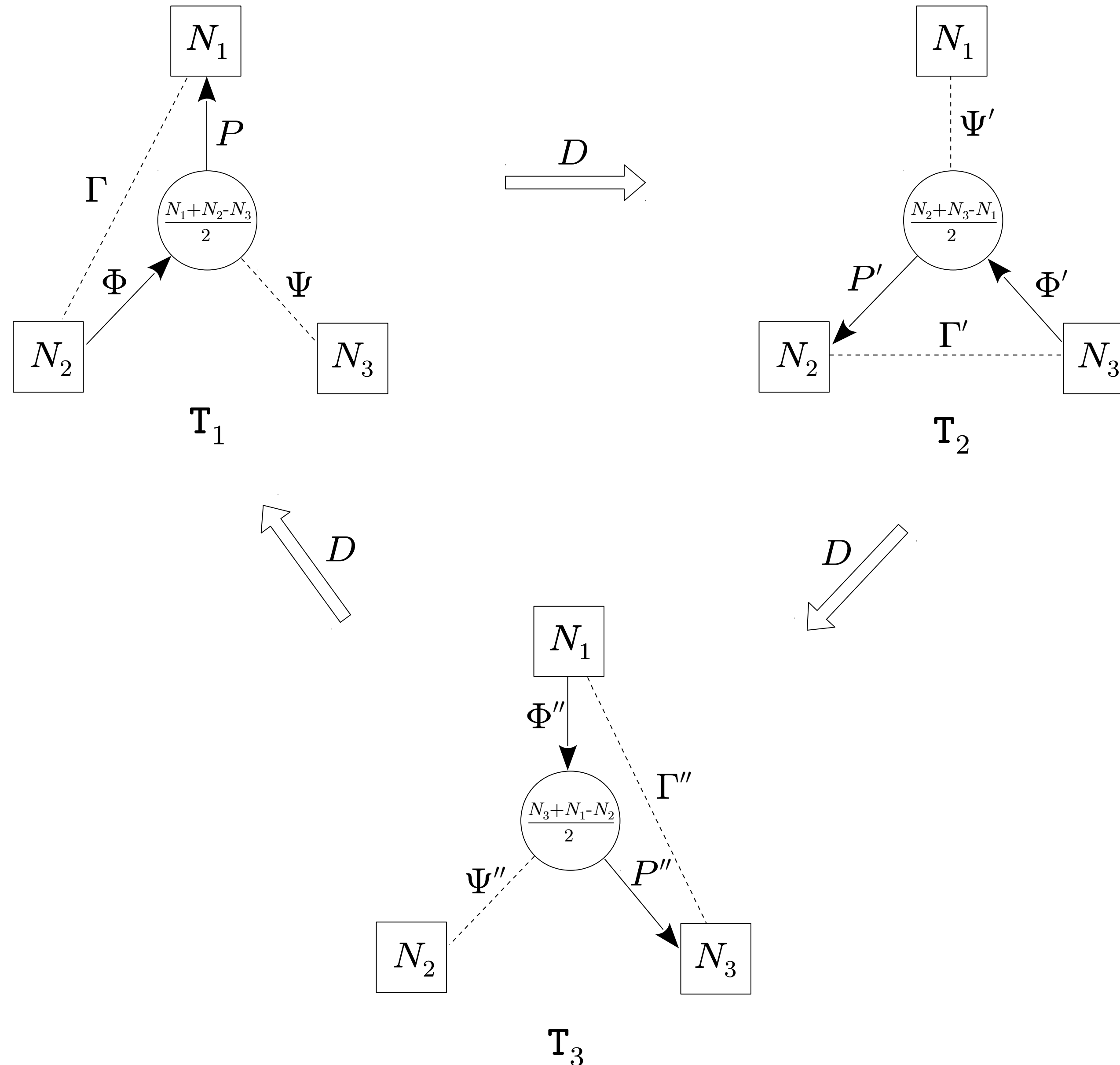
$$N_c = (N_1 + N_2 - N_3) / 2$$

- **J-term**: $\mathcal{L}_J = \int d\theta^+ \text{Tr}(\Gamma \Phi P) \big|_{\bar{\theta}^+ = 0}$
- **Triality** under **permutation** of (N_1, N_2, N_3)



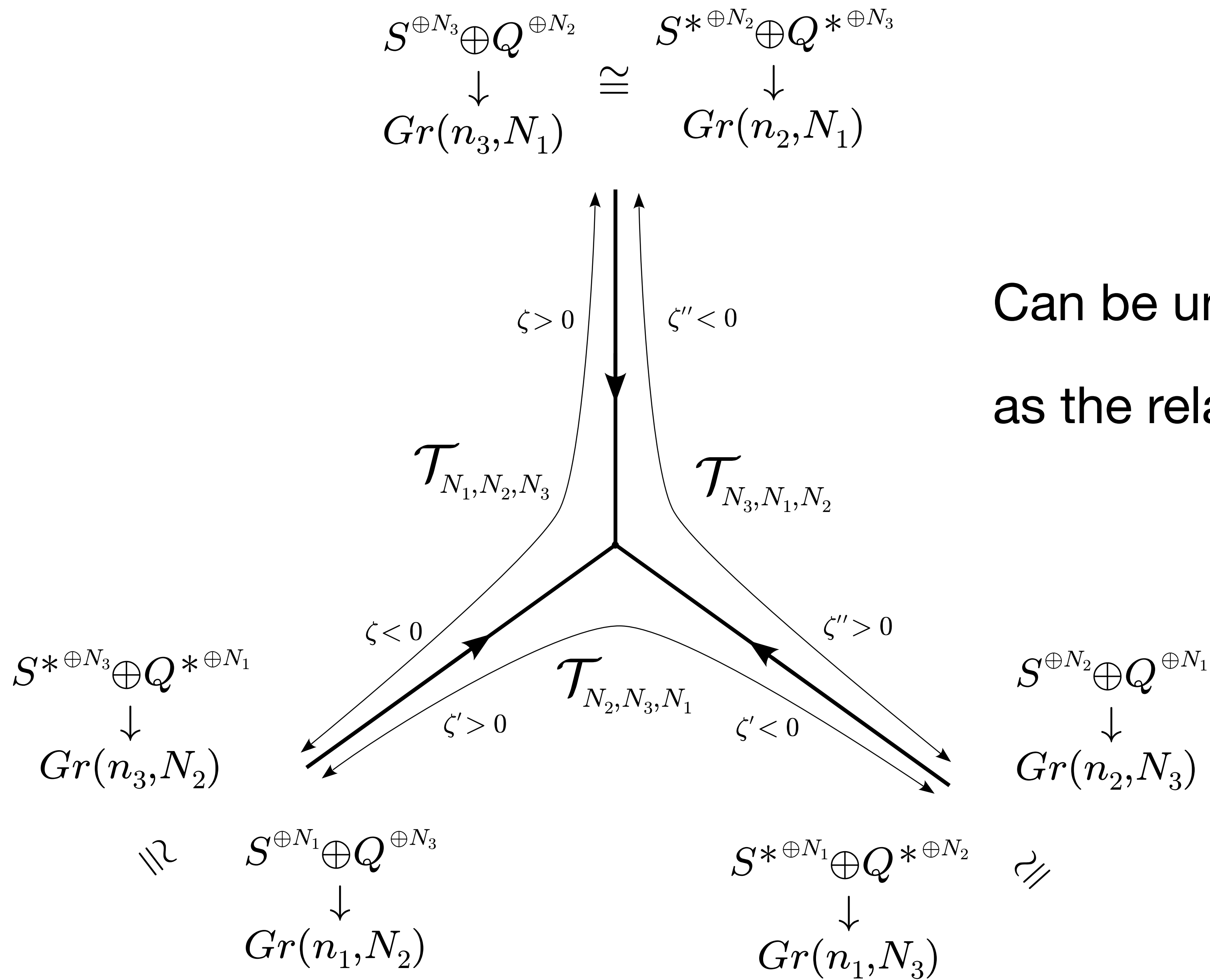
2d (0,2) triality

Gadde-Gukov-Putrov



2d (0,2) triality

Gadde-Gukov-Putrov



Can be understood
as the relation of the IR geometry

Twisted compactification from 4d $\mathcal{N}=1$ to 2d (0,2)

Closset

Gadde-Razamat-Willet

- Start with a 4d $\mathcal{N} = 1$ supersymmetric gauge theory on

$$M_4 = \mathbb{R}^{1,1} \times S^2.$$

- To preserve supersymmetry on the curved space S^2 , perform a **topological twist**. The spin connection on S^2 is twisted by the $U(1)_R$ symmetry:

$$U(1)_{\text{twist}} = U(1)_{\text{spin}}(S^2) + U(1)_R.$$

- The $U(1)_R$ charge must be an **integer** to define the twist globally on S^2 .
- After **reduction** (shrinking S^2), we obtain a 2d $\mathcal{N} = (0, 2)$ theory on $\mathbb{R}^{1,1}$.
- The **field content** depends on the R -charges:

$$4\text{d } \mathcal{N} = 1 \text{ vector multiplet} \longrightarrow 2\text{d } (0,2) \text{ vector multiplet}$$

$$4\text{d } \mathcal{N} = 1 \text{ chiral multiplet} \longrightarrow \begin{cases} (1-r) \text{ (0,2) chiral for } r < 1, \\ (r-1) \text{ (0,2) Fermi for } r > 1. \end{cases}$$

Twisted compactification from 4d N=2 to 2d (0,2)

Gadde-Razamat-Willet
Cecotti-Song-Yan-Vafa
N-Pan-Zheng

- 4d $\mathcal{N} = 2$ SCFTs have $SU(2)_R \times U(1)_r$ symmetry.
- We take the diagonal subgroup of $U(1)_R \times U(1)_r$ and twist it with $U(1)_{\text{spin}}(S^2)$ to obtain a 2d (0,2) theory.
- To perform a well-defined compactification on S^2 , we further twist by a $U(1)_f$ flavor symmetry.

		Spacetime		R-sym		Flavor		U(1) ^(0,2)
		SU(2) ₁	SU(2) ₂	SU(2) _R	U(1) _r	U(1) _f	U(1) _{T²}	
SUSY	Q_-^1	$-\frac{1}{2}$	0	$\frac{1}{2}$	1	0	-1	0
	Q_+^1	$\frac{1}{2}$	0	$\frac{1}{2}$	1	0	1	2
	Q_-^2	$-\frac{1}{2}$	0	$-\frac{1}{2}$	1	0	-1	-1
	Q_+^2	$\frac{1}{2}$	0	$-\frac{1}{2}$	1	0	1	1
	\tilde{Q}_-^1	0	$-\frac{1}{2}$	$\frac{1}{2}$	-1	0	-1	1
	\tilde{Q}_+^1	0	$\frac{1}{2}$	$\frac{1}{2}$	-1	0	1	-1
	\tilde{Q}_-^2	0	$-\frac{1}{2}$	$-\frac{1}{2}$	-1	0	-1	0
	\tilde{Q}_+^2	0	$\frac{1}{2}$	$-\frac{1}{2}$	-1	0	1	-2
1/2-Hypers	q	0	0	$\frac{1}{2}$	0	1	0	0
	\tilde{q}	0	0	$\frac{1}{2}$	0	-1	0	1
Adj	Φ	0	0	0	2	0	0	1

Twisted compactification from 4d $\mathcal{N}=2$ to 2d (0,2)

Gadde-Razamat-Willet

Cecotti-Song-Yan-Vafa

N-Pan-Zheng

- 4d $\mathcal{N}=2$ SCFTs have $SU(2)_R \times U(1)_r$ symmetry.
- We take the diagonal subgroup of $U(1)_R \times U(1)_r$ and twist it with $U(1)_{\text{spin}}(S^2)$ to obtain a 2d (0,2) theory.
- To perform a well-defined **compactification** on S^2 , we further twist by a $U(1)_f$ **flavor symmetry**.
- Under this twisted compactification:

4d $\mathcal{N}=2$ vector multiplet \longrightarrow 2d (0,2) vector multiplet,

4d $\mathcal{N}=2$ hypermultiplet \longrightarrow 2d (0,2) chiral multiplet.

- Vanishing of the 4d β -function is equivalent to the **gauge anomaly-free condition** in 2d:

$$\beta = 0 \quad \longleftrightarrow \quad \text{Tr}(\gamma_3 G^2) = 0 .$$

- The **integrand** of the 2d (0,2) **elliptic genus** matches that of the **Schur index** of the original 4d $\mathcal{N}=2$ SCFT.
- The **(0,2) reduction** of 4d $\mathcal{N}=2$ SCFTs leads to 2d $\mathcal{N}=(0,2)$ gauge theories **dual to Landau–Ginzburg models**.

Reduction of Seiberg duality

Gadde-Razamat-Willet

Electric side

	$SU(N_c)$	$SU(N_f)$	$SU(N_f)$	$U(1)_B$	$U(1)_R$	
q	\square	$\overline{\square}$	1	1	$\frac{N_f - N_c}{N_f}$	$\longrightarrow r_a$
\tilde{q}	$\overline{\square}$	1	\square	-1	$\frac{N_f - N_c}{N_f}$	$\longrightarrow \tilde{r}_a$
λ	adj	1	1	0	1	

- Mixed gauge anomaly (4d) \rightarrow constraint for R -charges:

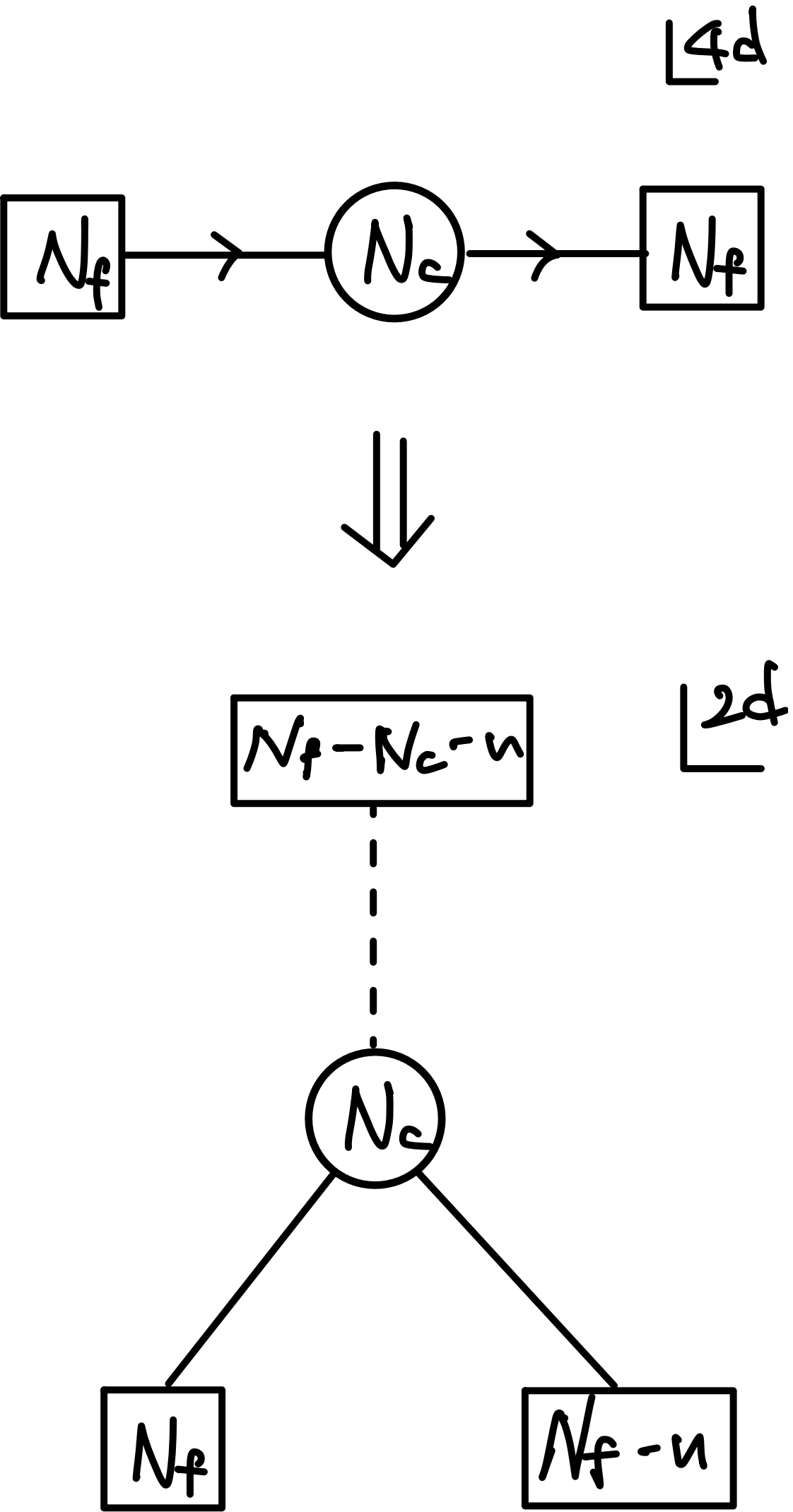
$$\text{Tr}(RGG) = \sum_{a=1}^{N_f} (r_a - 1) T_{\square} + \sum_{a=1}^{N_f} (\tilde{r}_a - 1) T_{\overline{\square}} + 1 \cdot T_{\text{adj}} = 0.$$

$$\Rightarrow \sum_{a=1}^{N_f} (r_a + \tilde{r}_a) = 2(N_f - N_c).$$

- reassign the R -charges

$$r_a = (0, \dots, 0)$$

$$\tilde{r}_a = (\underbrace{2, \dots, 2}_{N_f - N_c - n}, \underbrace{1, \dots, 1}_{2n}, \underbrace{0, \dots, 0}_{N_c - n})$$



Reduction of Seiberg duality

Gadde-Razamat-Willet

Magnetic side

	$SU(N_f - N_c)$	$SU(N_f)$	$SU(N_f)$	$U(1)_B$	$U(1)_R$	
\tilde{Q}	$\overline{\square}$	1	\square	$\frac{-N_c}{N_f - N_c}$	$\frac{N_c}{N_f}$	$\rightarrow \tilde{r}_a$
Q	\square	$\overline{\square}$	1	$\frac{N_c}{N_f - N_c}$	$\frac{N_c}{N_f}$	$\rightarrow r_a$
Λ	adj	1	1	0	1	
M	1	\square	$\overline{\square}$	0	$2\frac{N_f - N_c}{N_c}$	$\rightarrow 2 - r_a - \tilde{r}_a$

- Mixed gauge anomaly (4d) \rightarrow constraint for R -charges:

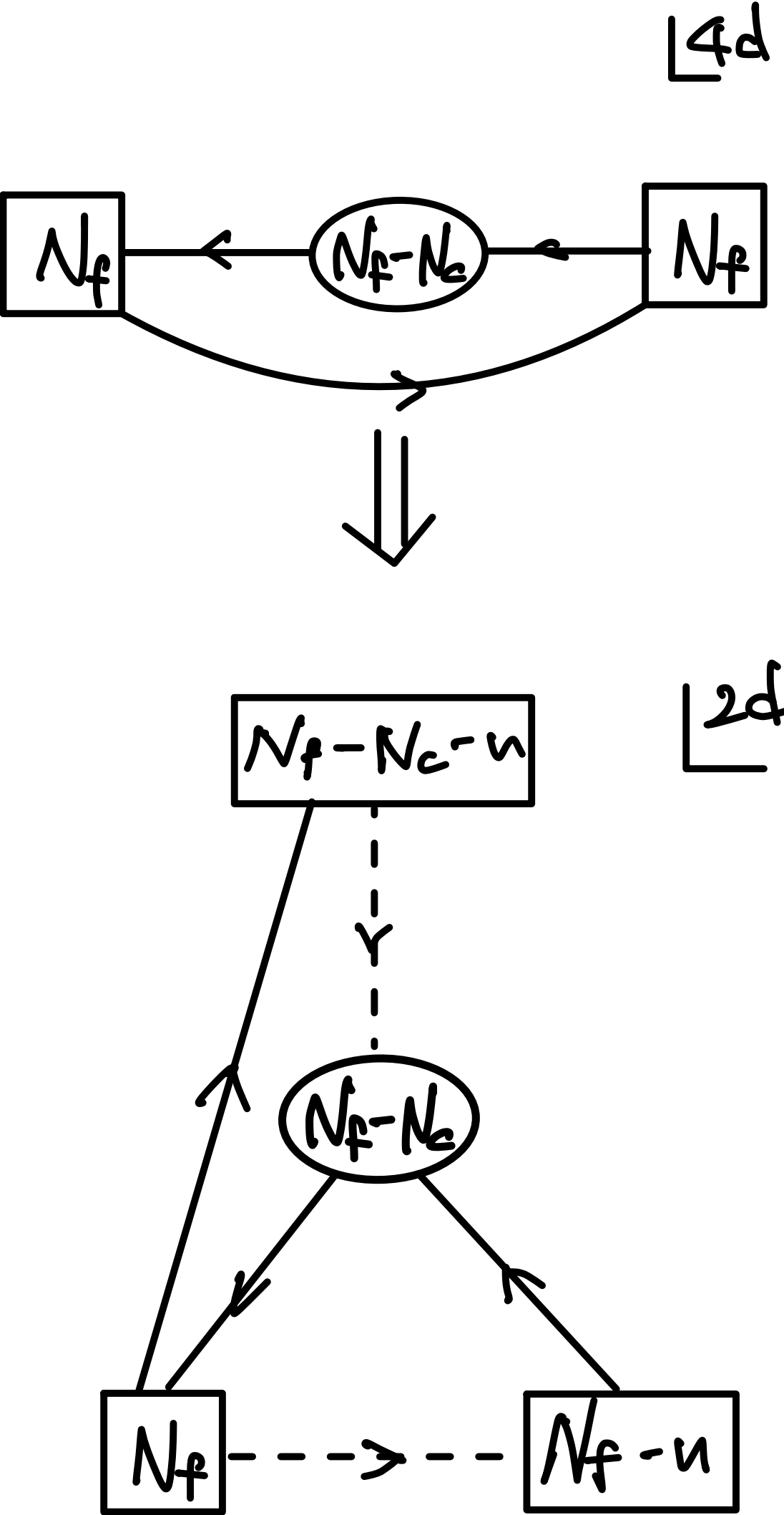
$$\text{Tr}(RGG) = \sum_{a=1}^{N_f} (r_a - 1) T_{\square} + \sum_{a=1}^{N_f} (\tilde{r}_a - 1) T_{\overline{\square}} + 1 \cdot T_{\text{adj}} = 0.$$

$$\Rightarrow \sum_{a=1}^{N_f} (r_a + \tilde{r}_a) = 2N_c.$$

- reassign the R -charges

$$\tilde{r}_a = (0, \dots, 0)$$

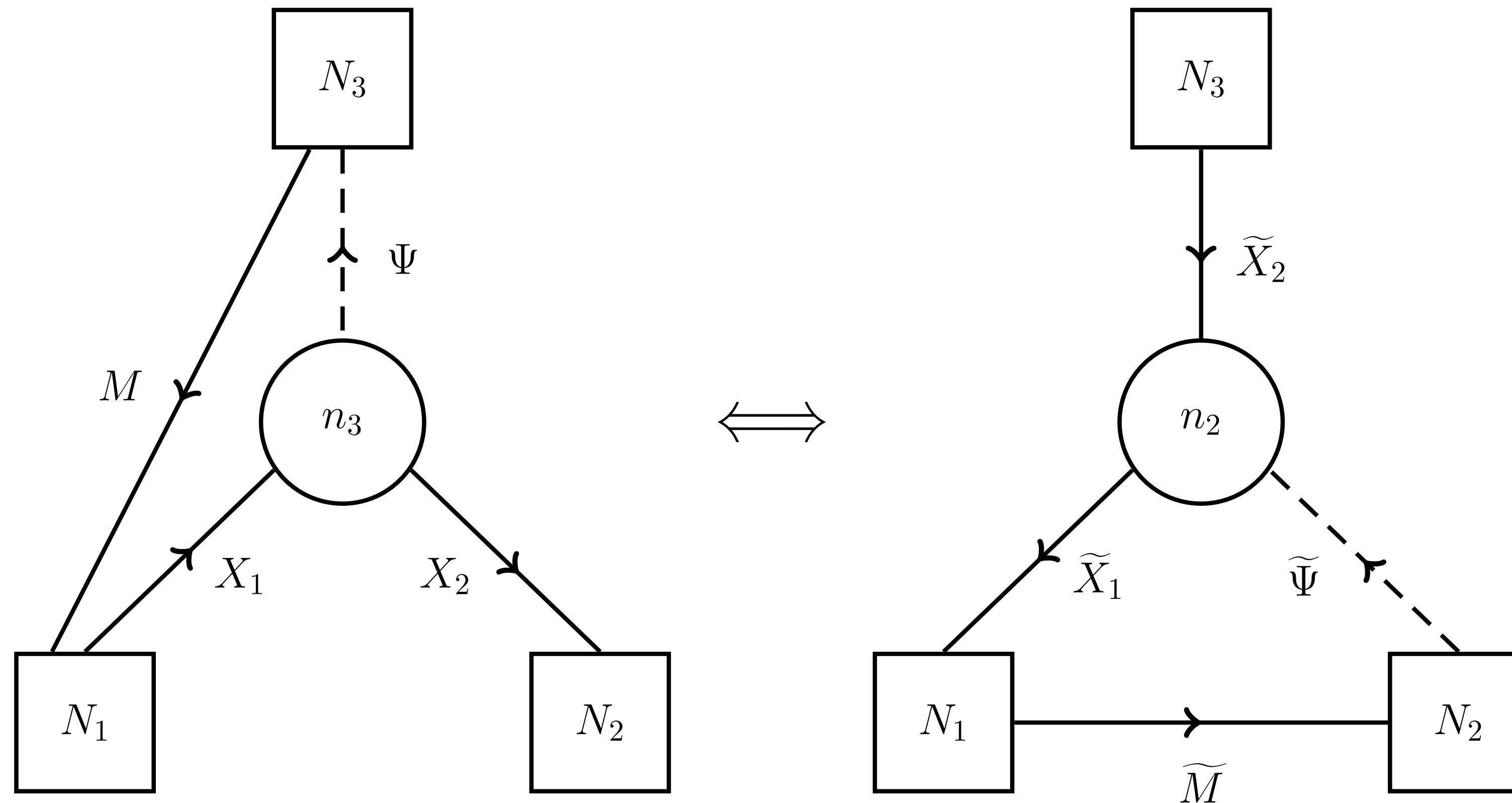
$$r_a = (\underbrace{2, \dots, 2}_{N_c - n}, \underbrace{1, \dots, 1}_{2n}, \underbrace{0, \dots, 0}_{N_f - N_c - n})$$



Reduction of Seiberg duality

Gadde-Razamat-Willet

Swapping Fermi Meson and relabelling, we obtain 2d (0,2) duality



$$n_3 = \frac{N_1 + N_2 - N_3}{2} \quad \text{and} \quad n_2 = \frac{N_1 + N_3 - N_2}{2} \quad (N_1 \geq N_2 + N_3)$$

Unitary vs Special Unitary

- The **rank condition** $N_1 \geq N_2 + N_3$ for $SU(N_c)$ theory is **incompatible** with the triality constraint $N_i + N_{i+1} \geq N_{i+2}$
- The **Fayet–Iliopoulos (FI) term**, essential in the $U(N_c)$ case for connecting different geometric phases, **cannot be introduced** for $SU(N_c)$.
- As a result, the two geometries **cannot be smoothly connected** through an $SU(N_c)$ gauge theory.
- This explains why the triality that holds for $U(N_c)$ **fails when naively replacing the gauge group by $SU(N_c)$** .
- With the SU gauge groups, the dual pair flows to the non-linear sigma model with the same target manifold

$$\begin{array}{ccc}
 \det(S) \oplus S^{\oplus N_2} \oplus Q^{\oplus N_3} & & \det(Q^*) \oplus Q^{*\oplus N_2} \oplus S^{*\oplus N_3} \\
 \downarrow & \cong & \downarrow \\
 \text{Gr}(n_3, N_1) & & \text{Gr}(n_2, N_1)
 \end{array}$$

Unitary vs Special Unitary

- All chiral multiplets parametrize some **non-compact** directions, violating a key assumption underlying **c-extremization**.
- A **non-holomorphic current** appears for the flavor symmetry associated with these **non-compact** directions. Such a current **cannot mix** with the **R-symmetry current**, breaking a fundamental requirement of the **c-extremization** principle.
- Consequently, the naive application of **c-extremization** is **invalid** in this context. In such cases, the chiral multiplets have $r = 0$ and the Fermi multiplets have $r = 1$.
- This is in contrast to the **2d (0, 2) triality**: due to the compactness of the Grassmannian target space and the presence of the J -term superpotential constraint, **c-extremization** must be applied.
- The **central charges** for the dual theories are given by

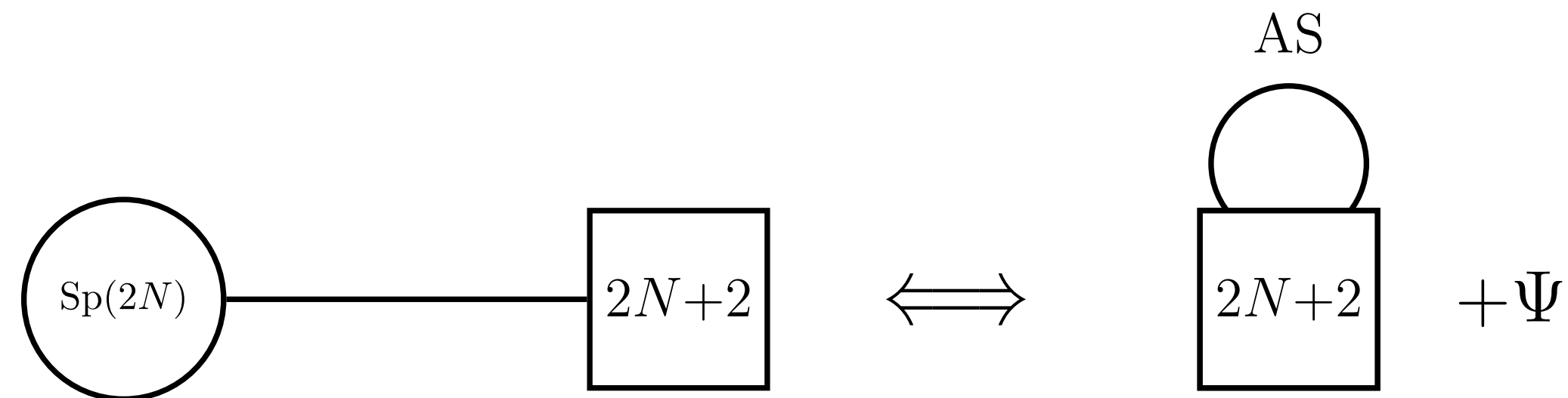
$$c_L = 2(n_3^2 + N_1 N_3 + 1), \quad c_R = 3(n_3^2 + N_1 N_3 + 1) .$$

- The **elliptic genera** also match between the dual theories.

(0,2) Seiberg-like duality to new triality

Gadde-Razamat-Willett
Sacchi

- The twisted compactification on S^2 is applied to 4d $\mathcal{N} = 1$ dualities with $\mathrm{Sp}(2N)$ gauge groups.
- It leads to a 2d $\mathcal{N} = (0, 2)$ duality between $\mathrm{Sp}(2N)$ SQCD and a Landau–Ginzburg model



(0,2) Seiberg-like duality to new triality

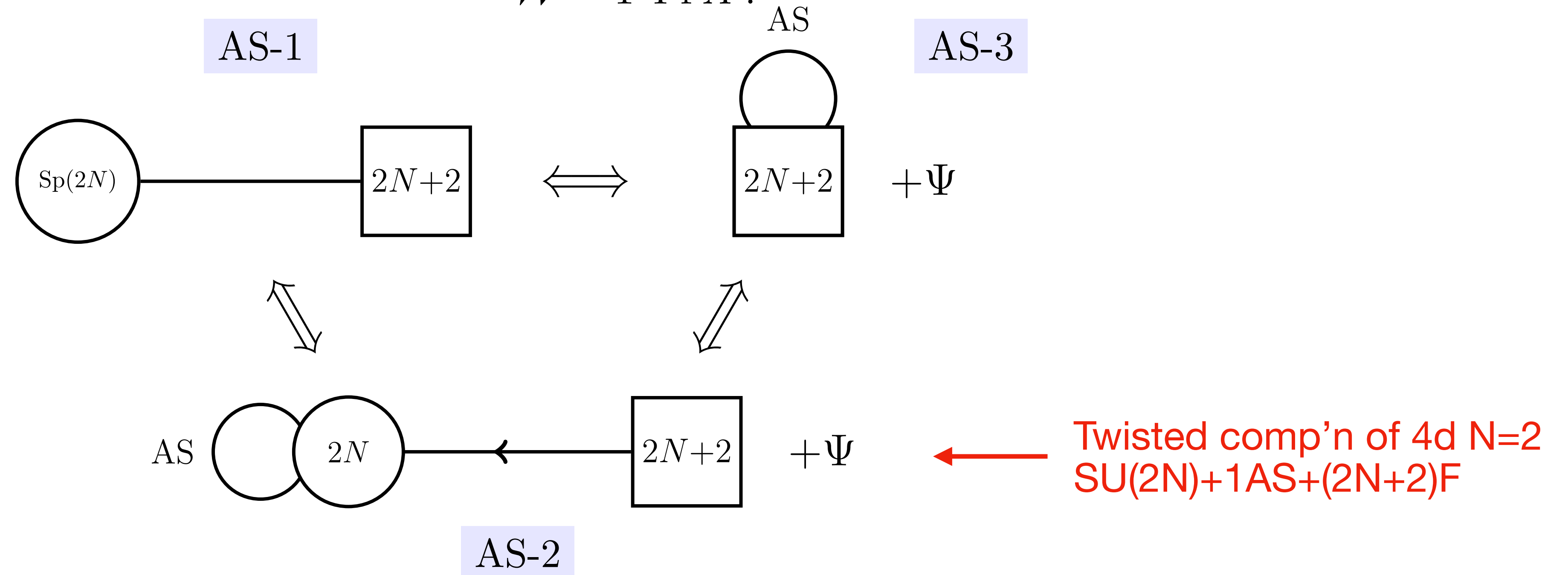
AS-1. $Sp(2N)$ gauge theory with $2N + 2$ fundamental chirals Z and no superpotential.

AS-2. $SU(2N)$ gauge theory with one anti-symmetric chiral X and $2N+2$ fundamental chirals Y . Additionally, there is a neutral Fermi multiplet Ψ , forming a **superpotential**

$$\mathcal{W} = \Psi \text{ Pf } X .$$

AS-3. **LG model** of one Fermi Ψ and $(N + 1)(2N + 1)$ chirals, forming an anti-symmetric $(2N + 2) \times (2N + 2)$ matrix A with a **superpotential**

$$\mathcal{W} = \Psi \text{ Pf } A .$$



(0,2) Seiberg-like duality to new triality

Sym-1. $SO(N)$ gauge theory with $N - 2$ fundamental chirals Y . Additionally, there is a gauge neutral chiral X and Fermi Ψ , forming a **superpotential**

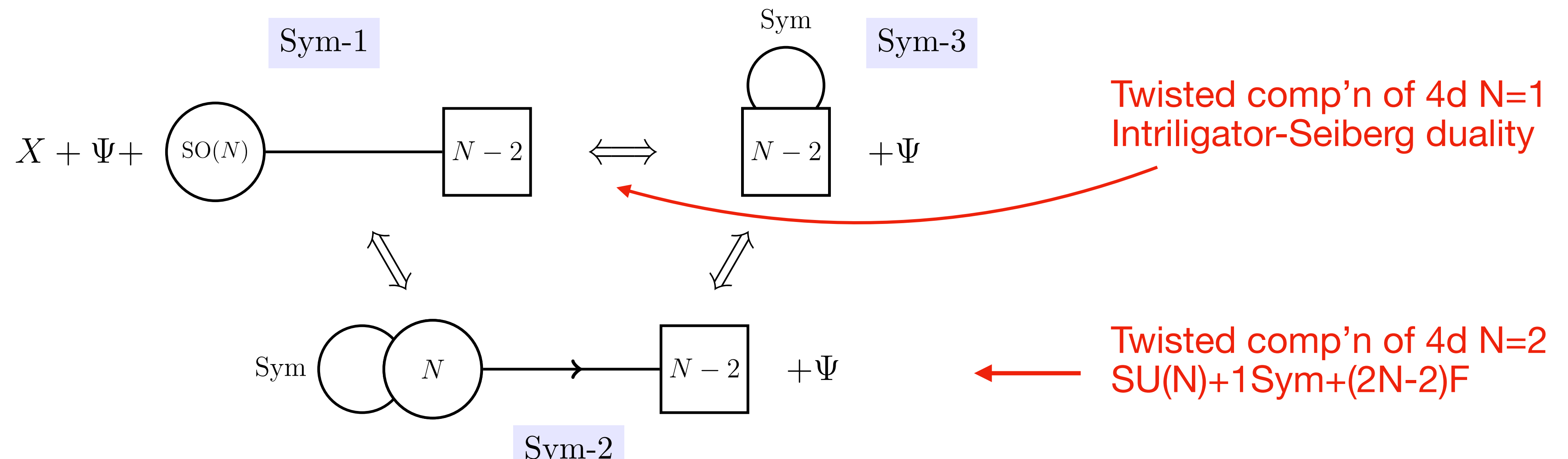
$$\mathcal{W} = \Psi(X^2 + \det A) , \quad A_{ij} := \eta^{\alpha\beta} Y_{\alpha,i} Y_{\beta,j} .$$

Sym-2. $SU(N)$ gauge theory with one symmetric chiral Z and $N - 2$ fundamental chirals W . Furthermore, there is a neutral Fermi multiplet Ψ , which forms a **superpotential**

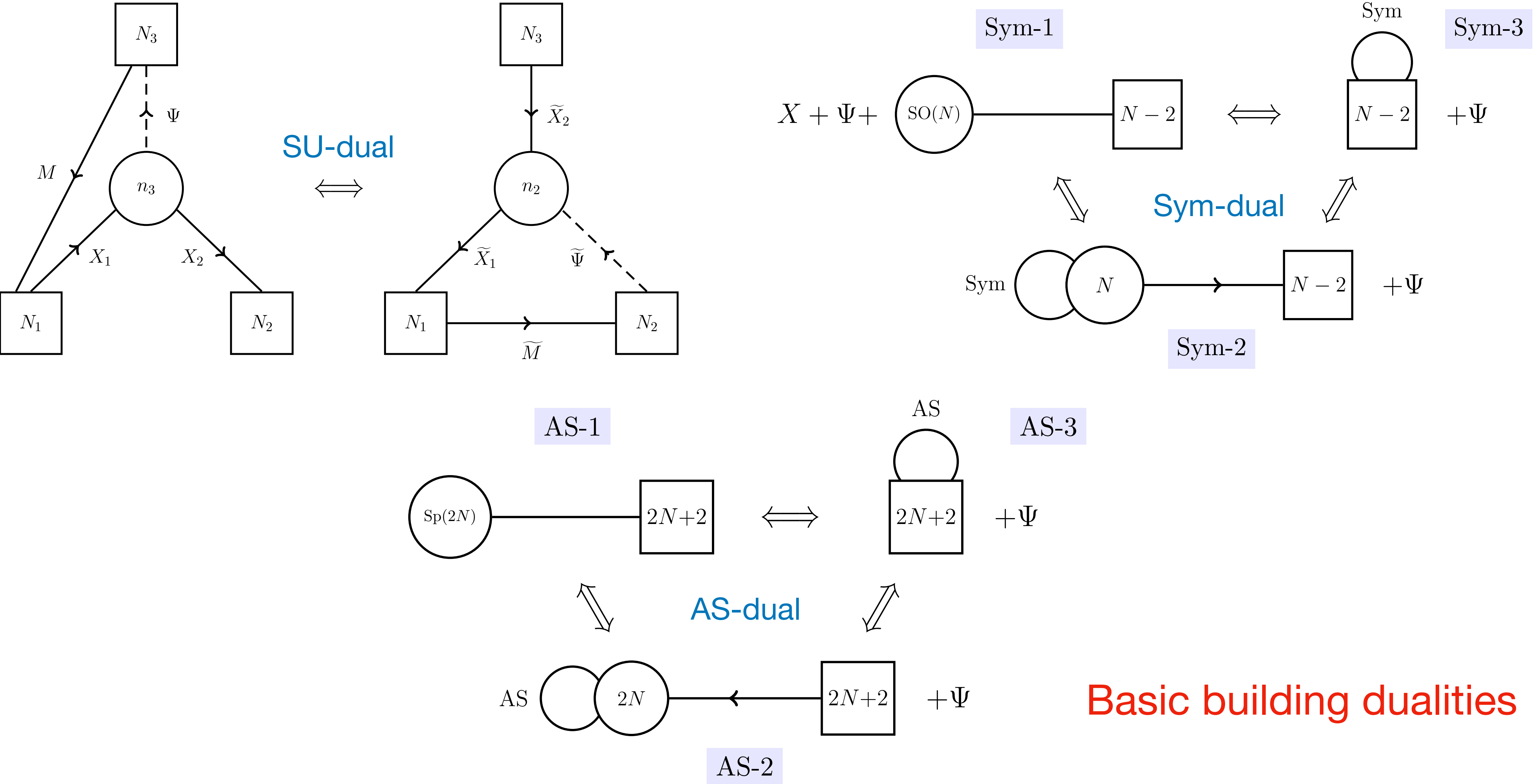
$$\mathcal{W} = \Psi \det A , \quad A_{ij} = Z_{\alpha\beta} W_i^\alpha W_j^\beta .$$

Sym-3. **LG model** of one Fermi Ψ and $(N - 2)(N - 1)/2$ chirals, forming a symmetric $(N - 2) \times (N - 2)$ matrix A with a **superpotential**

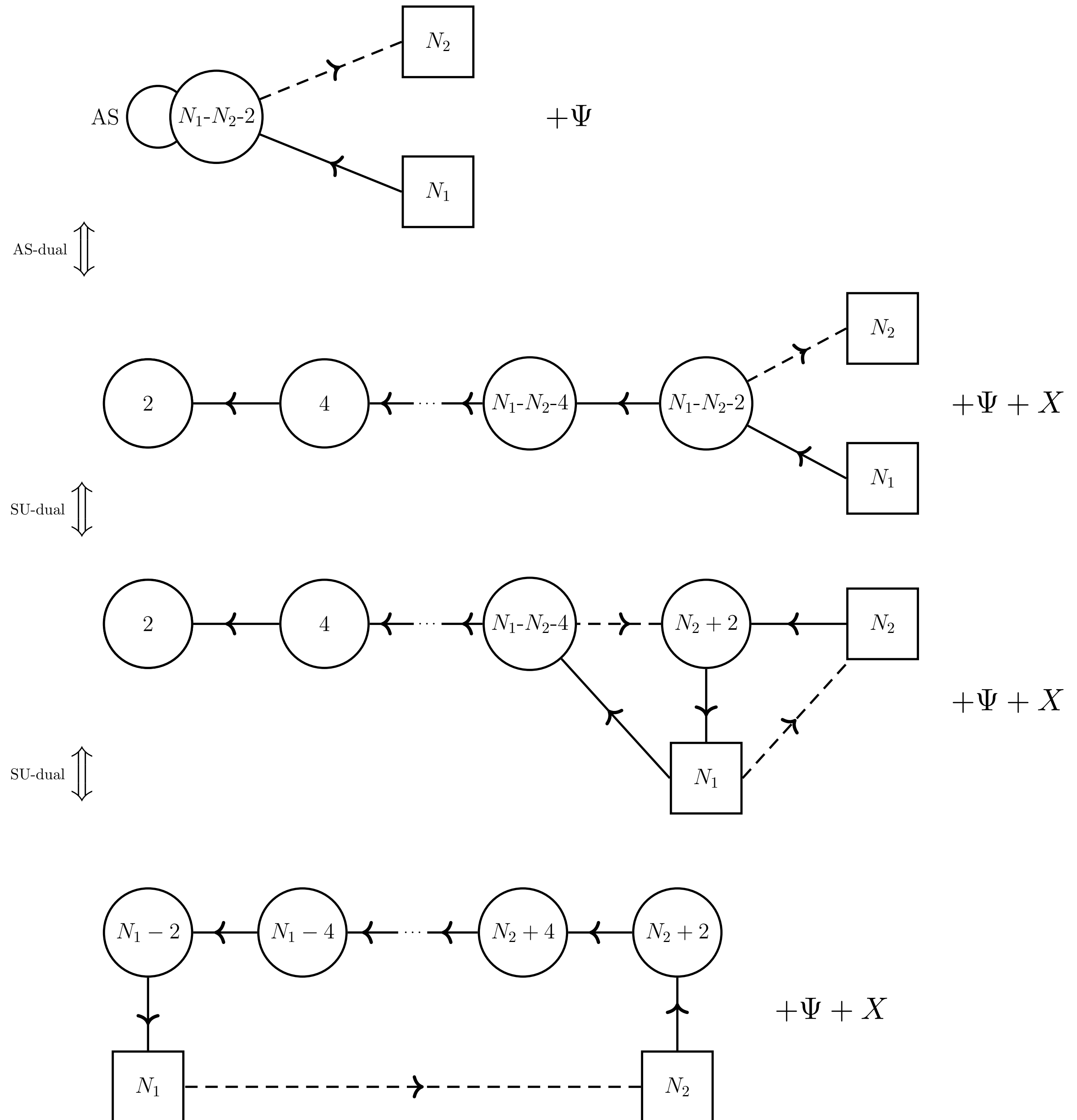
$$\mathcal{W} = \Psi \det A .$$



Towards dualities from dualities

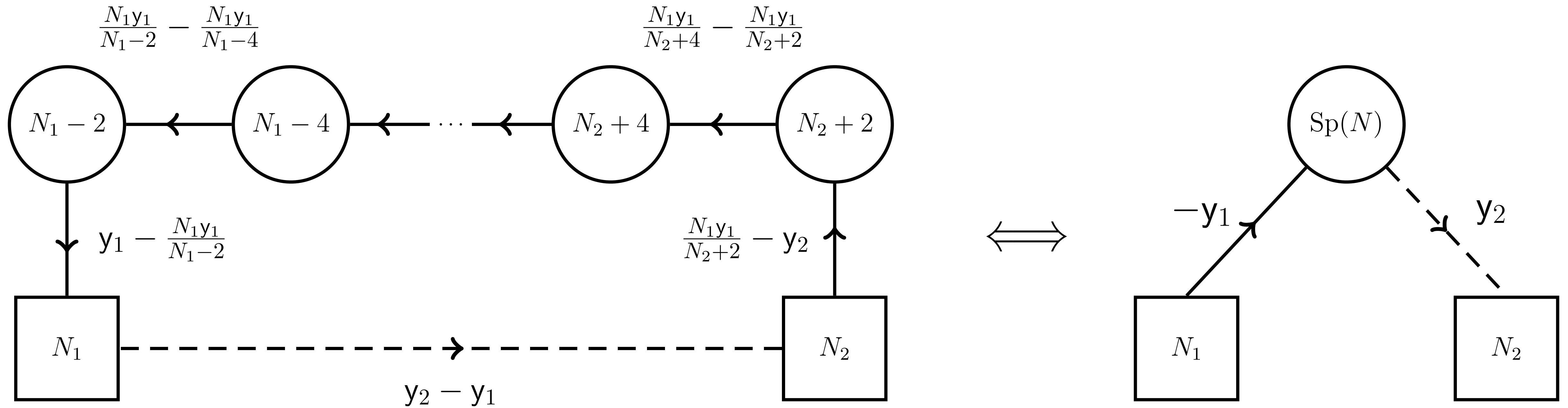


A chain of dualities



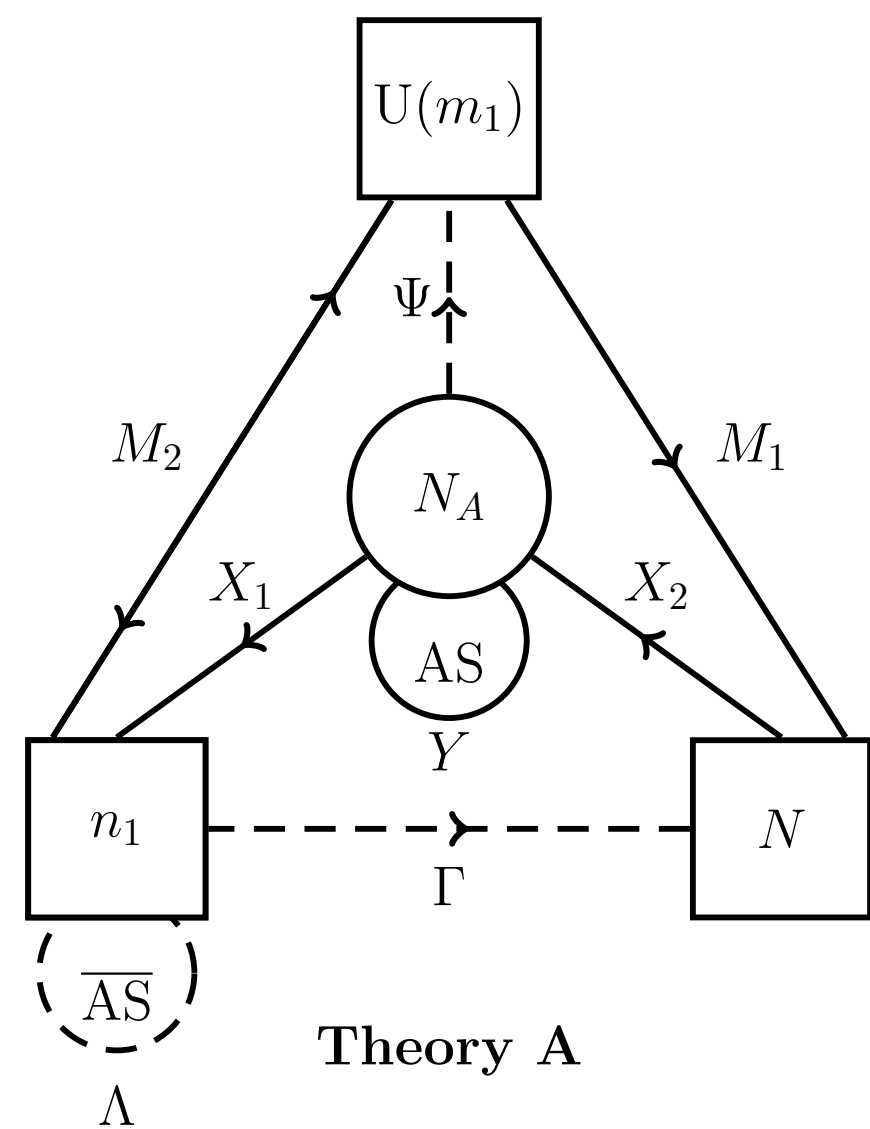
Duality between SU quiver gauge theory and Sp gauge theory

After Higgsing, we obtain the duality

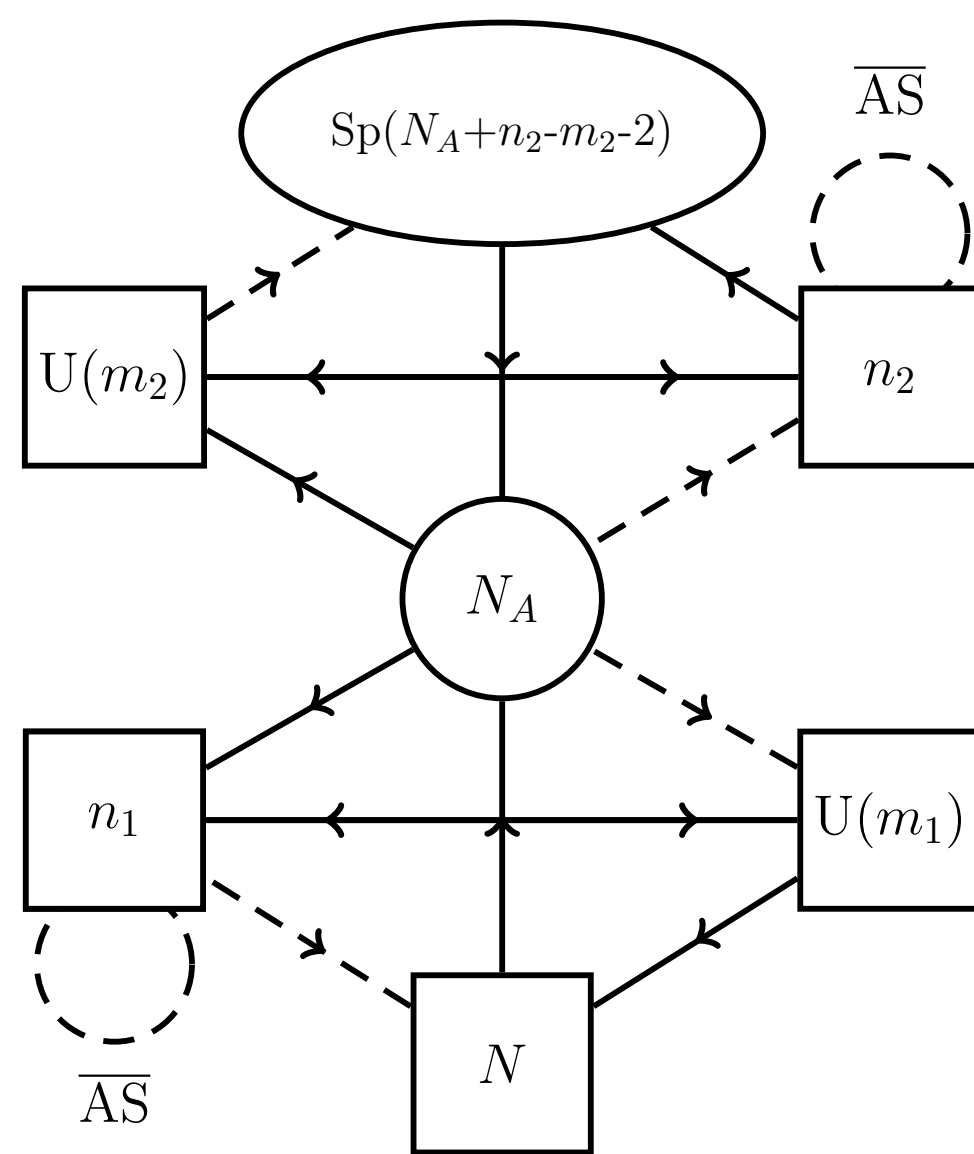


Duality between SU gauge theory with AS matter

$$N_A = N + n_1 - m_1 - 2$$

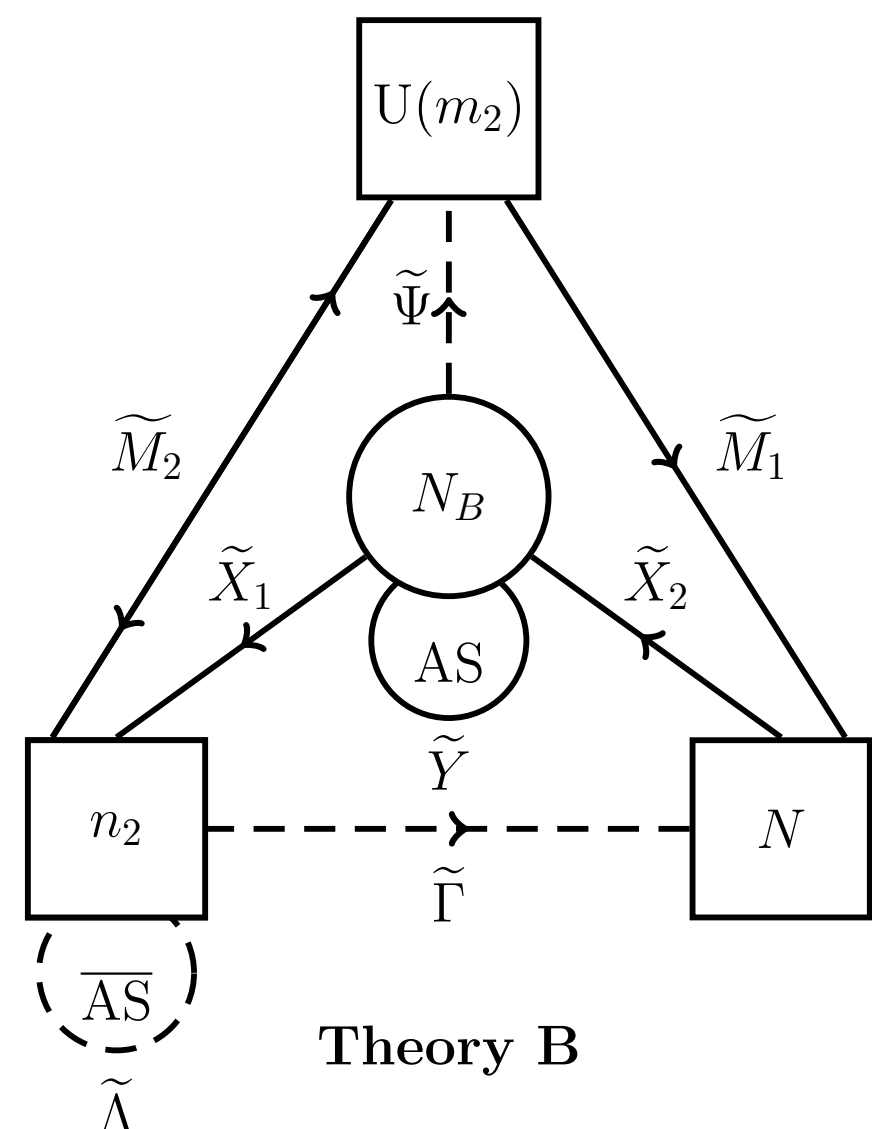


AS-dual

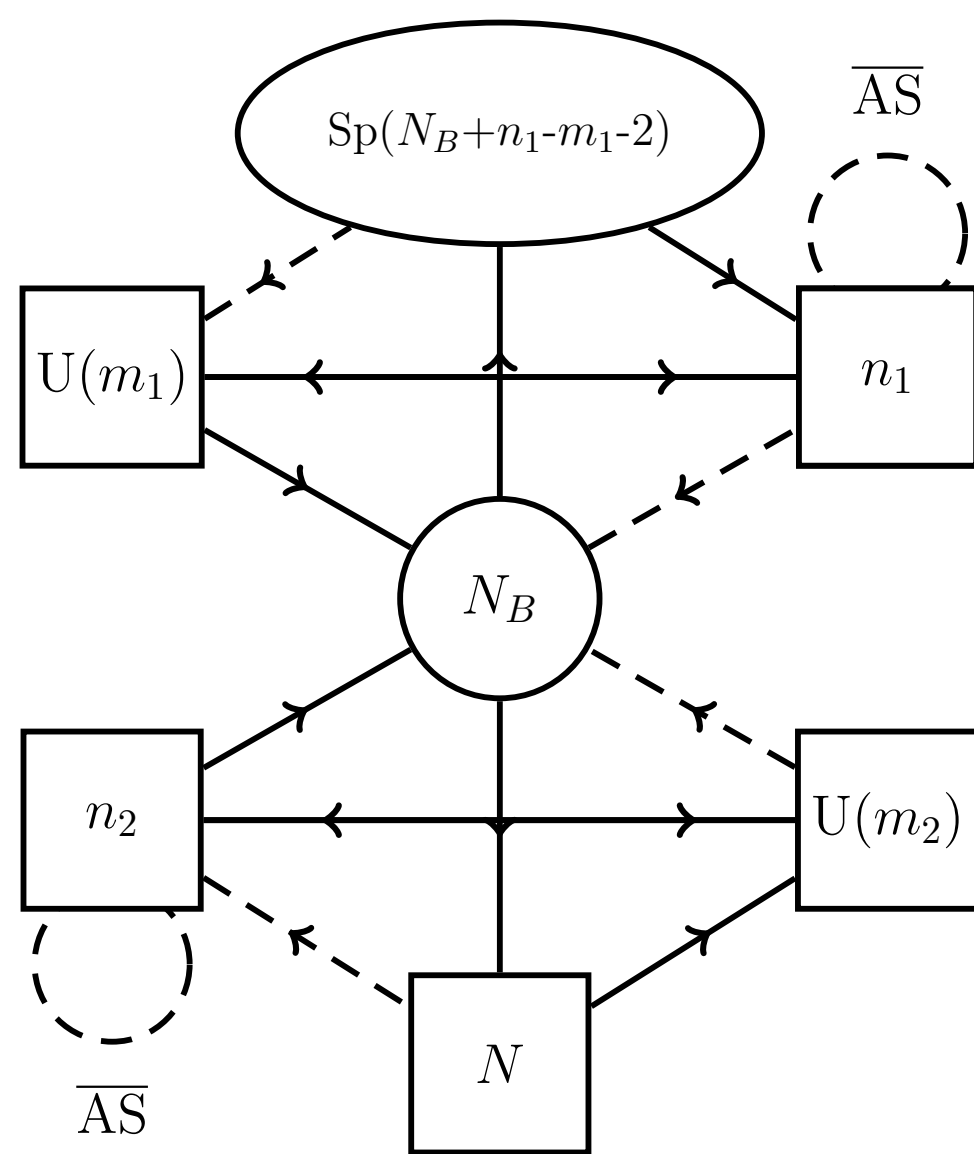


$$m_{1,2} = 0 \text{ or } 1$$

SU-dual



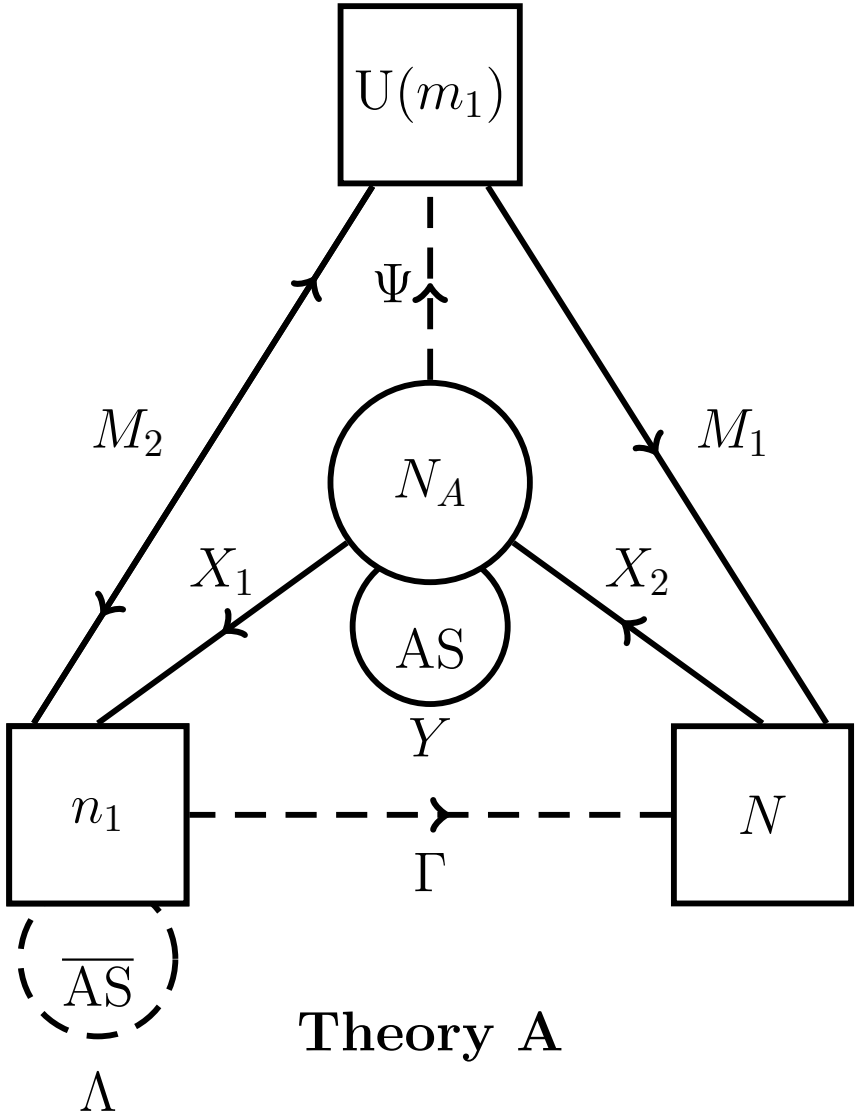
AS-dual



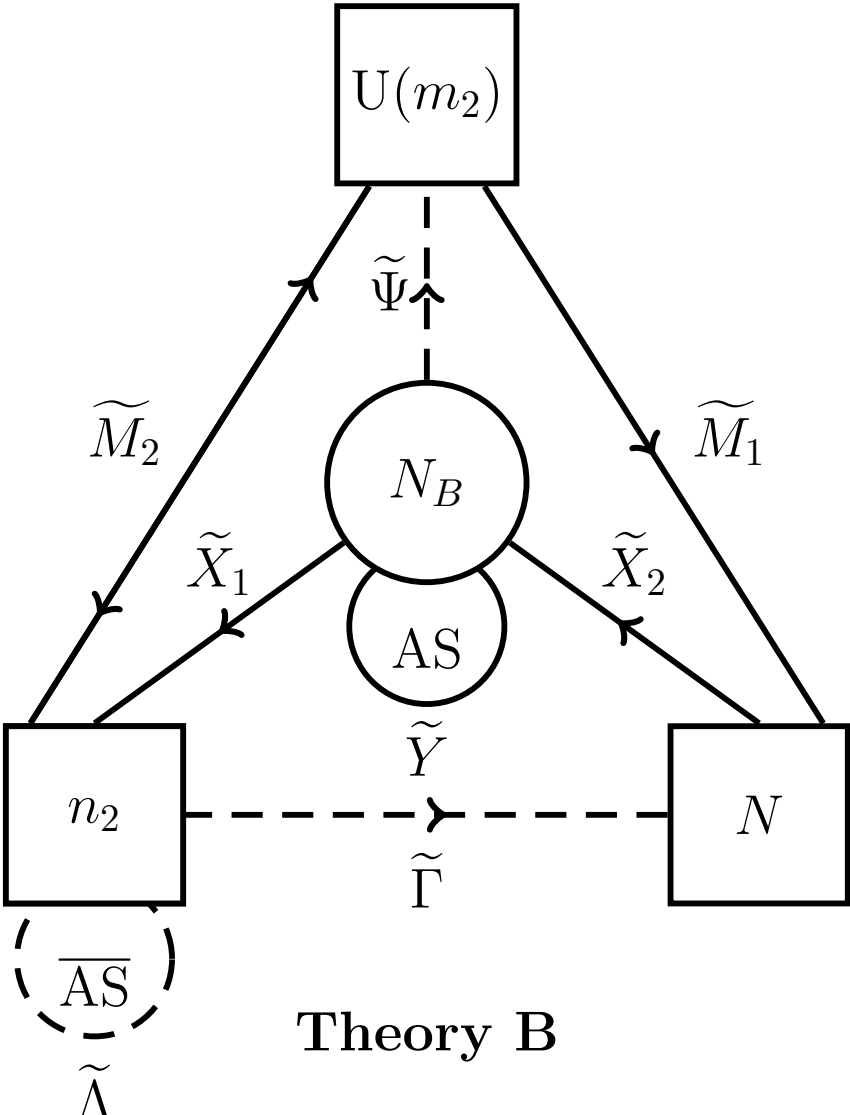
$$N_B = N + n_2 - m_2 - 2$$

Duality between SU gauge theory with AS matter

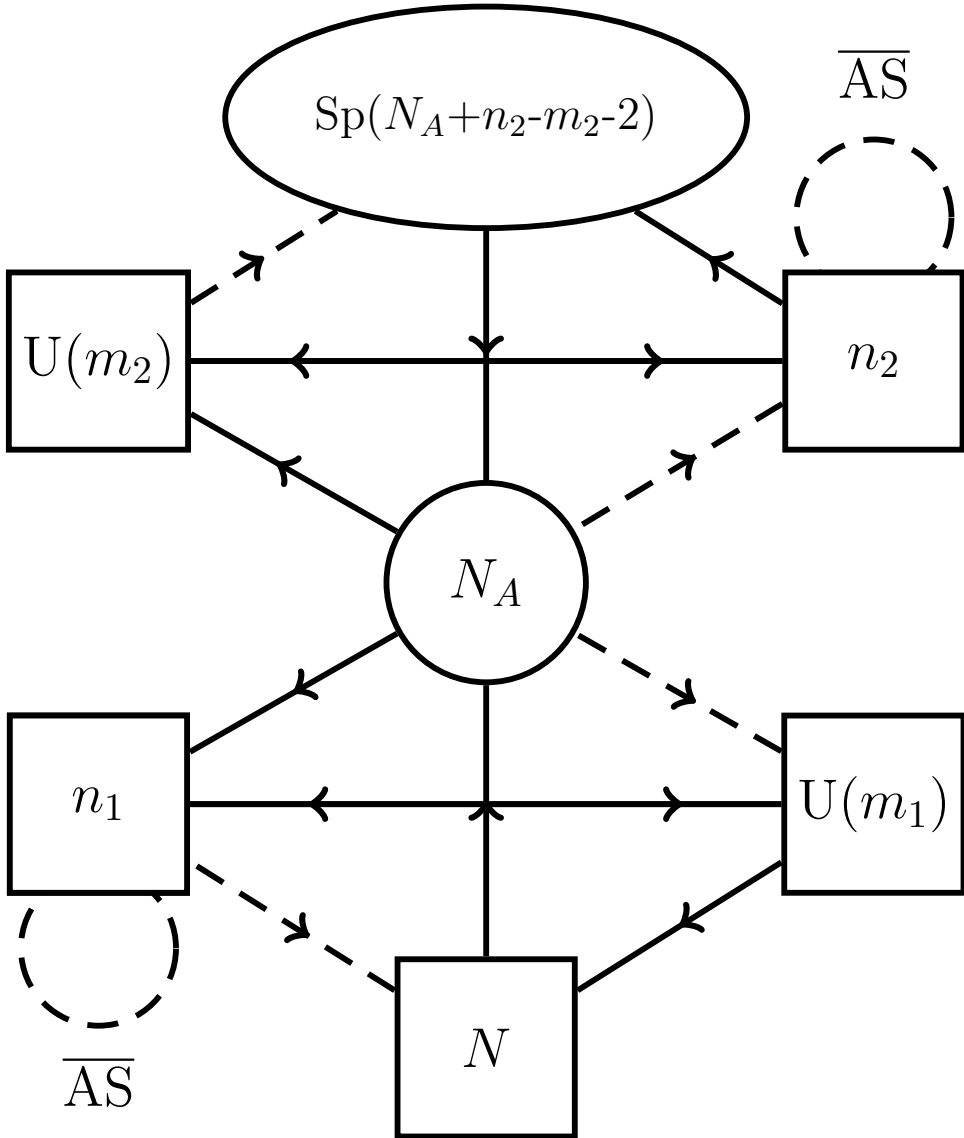
$$N_A = N + n_1 - m_1 - 2$$



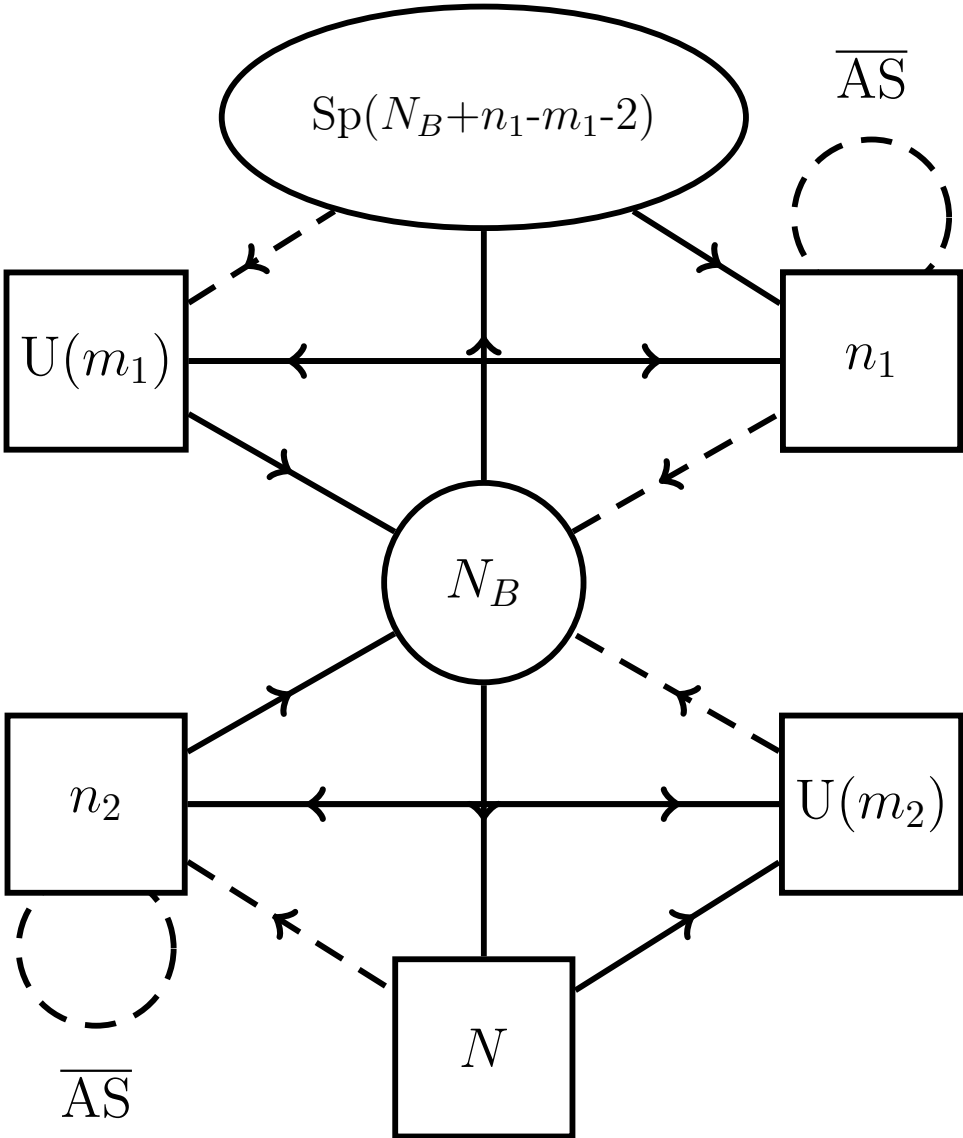
$$N_B = N + n_2 - m_2 - 2$$



Generalization of Amariti-Glorioso-Mantegazza-Morgante-Zanetti

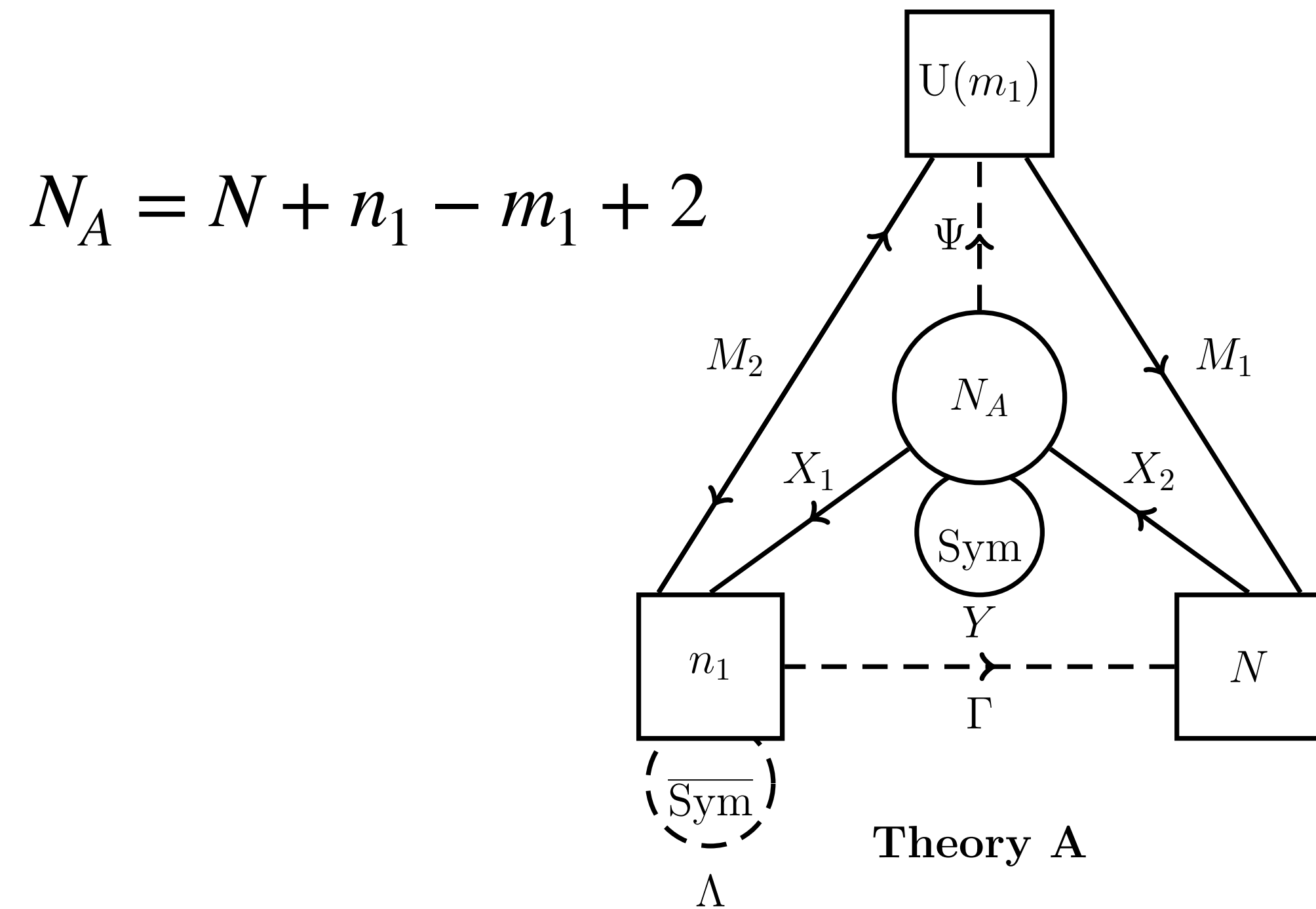


SU-dual

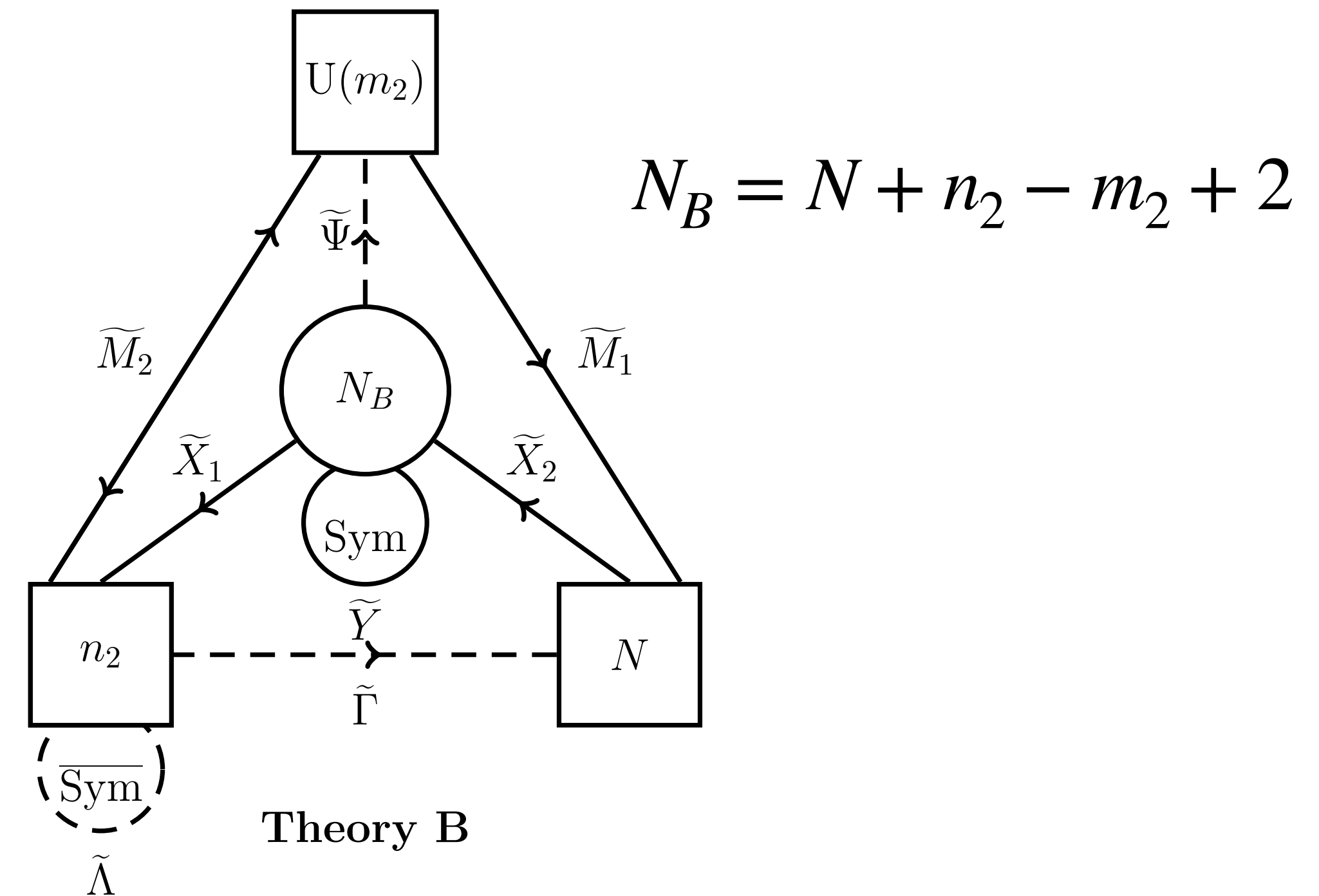


Duality between SU gauge theory with Sym matter

A similar chain of dualities leads to duality with symmetric matter

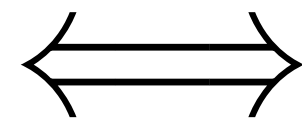
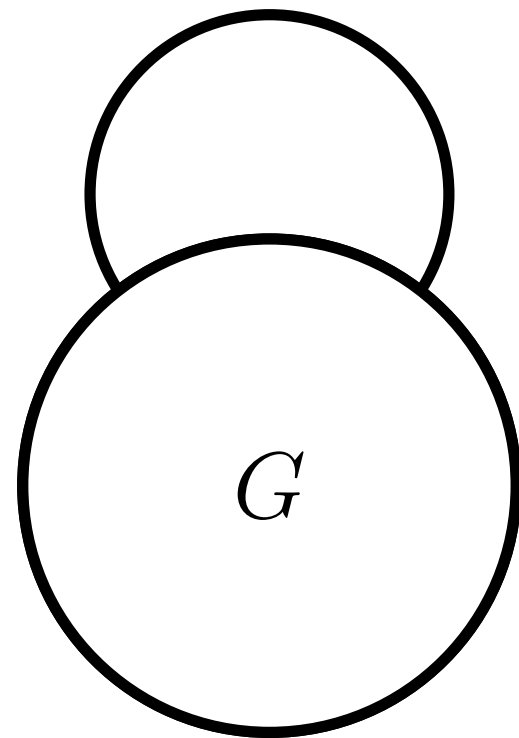


$$m_{1,2} = 0 \text{ or } 1$$



Gauge/Landau-Ginzburg duality

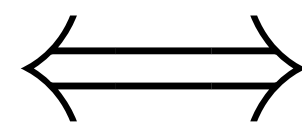
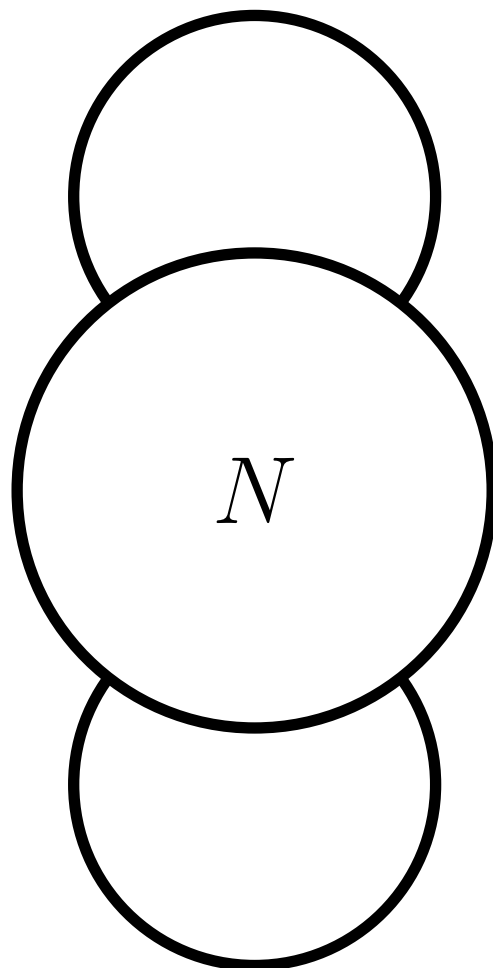
Adj



rk G chiral multiplets corresponding to $\text{Tr}(\phi^i)$

Sym

$+\Psi_1^{(0)} + \Psi_2^{(0)}$
 $+\Psi_3^{(0)} + Z^{(0)}$



$\left(\frac{3N}{2}\right)$ chirals + $\left(\frac{3N}{2} + 1\right)$ Fermi's with superpotential

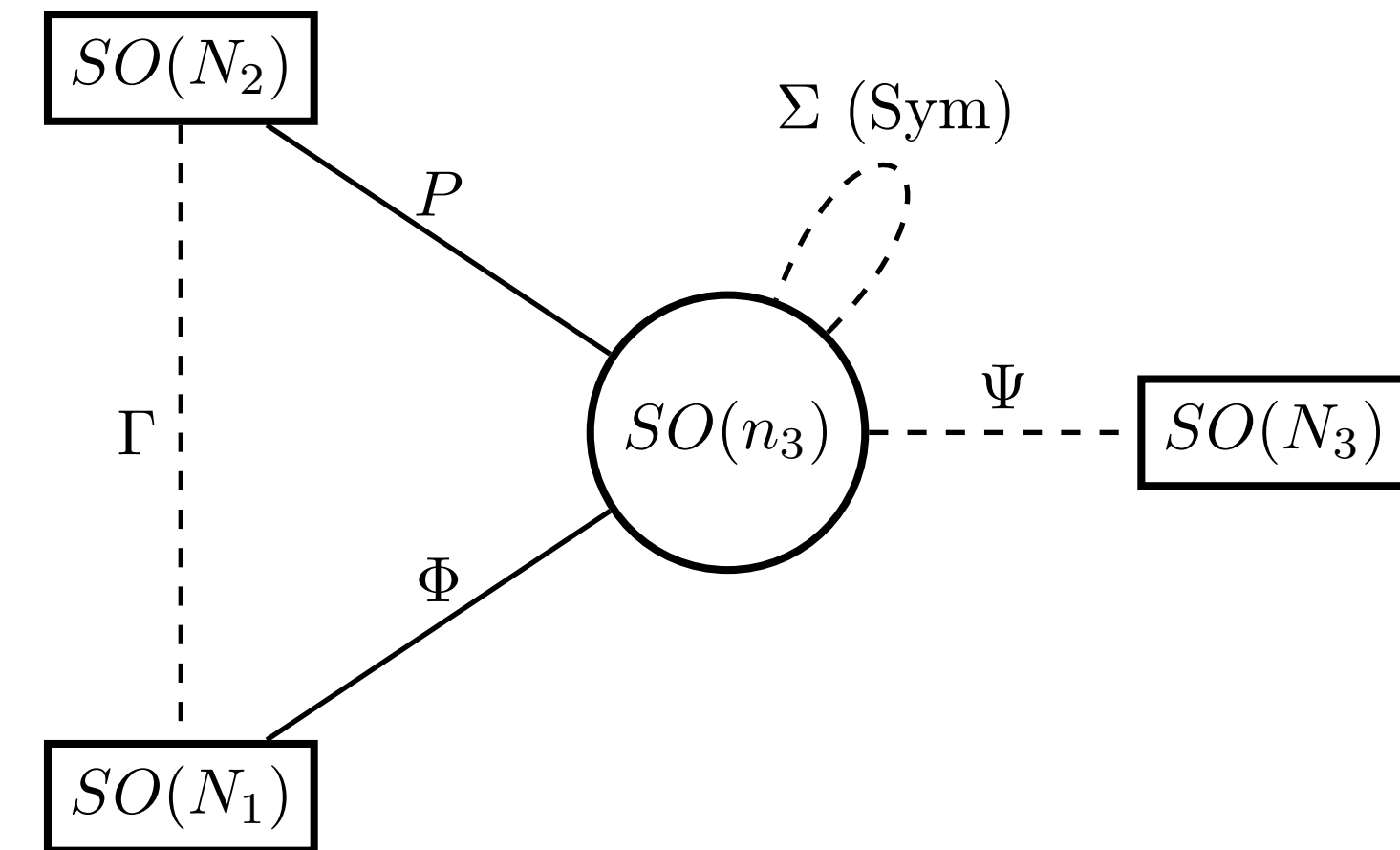
AS

(0,1) triality

Gukov-Putrov-Pei

- Consider a family of 2d $\mathcal{N} = (0, 1)$ gauge theories with $SO(n_3)$ gauge group and $SO(N_1) \times SO(N_2) \times SO(N_3)$ flavor symmetry.
- The matter content consists of scalar and Fermi multiplets in the representations summarized below:

type	symbol	$SO(n_3)$	$SO(N_1)$	$SO(N_2)$	$SO(N_3)$
scalar	Φ	\square	\square	$\mathbf{1}$	$\mathbf{1}$
scalar	P	\square	$\mathbf{1}$	\square	$\mathbf{1}$
Fermi	Ψ	\square	$\mathbf{1}$	$\mathbf{1}$	\square
Fermi	Γ	$\mathbf{1}$	\square	\square	$\mathbf{1}$
Fermi	Σ	Sym	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$



- The **superpotential** is given by

$$\int d^2x d\theta^+ \left[\sum_{\alpha, \beta=1}^{n_3} \Sigma^{\alpha\beta} \left(A \sum_{i=1}^{N_1} \Phi_i^\alpha \Phi_i^\beta + B \sum_{\ell=1}^{N_2} P_\ell^\alpha P_\ell^\beta - C \delta^{\alpha\beta} \right) + \sum_{i, \ell, \alpha} \Gamma_{i, \ell} \Phi_i^\alpha P_\ell^\alpha \right].$$

- The **(0, 1) triality** is permutation of (N_1, N_2, N_3) . The IR dynamics depends only on the **relative signs** of A, B, C , giving rise to a **triality among three dual descriptions**.

(0,1) elliptic genus

Gukov-Putrov-Pei

J. Yagi

Bao-Yamazaki-Zhou

Jeffrey-Kirwan residue method can be applied to

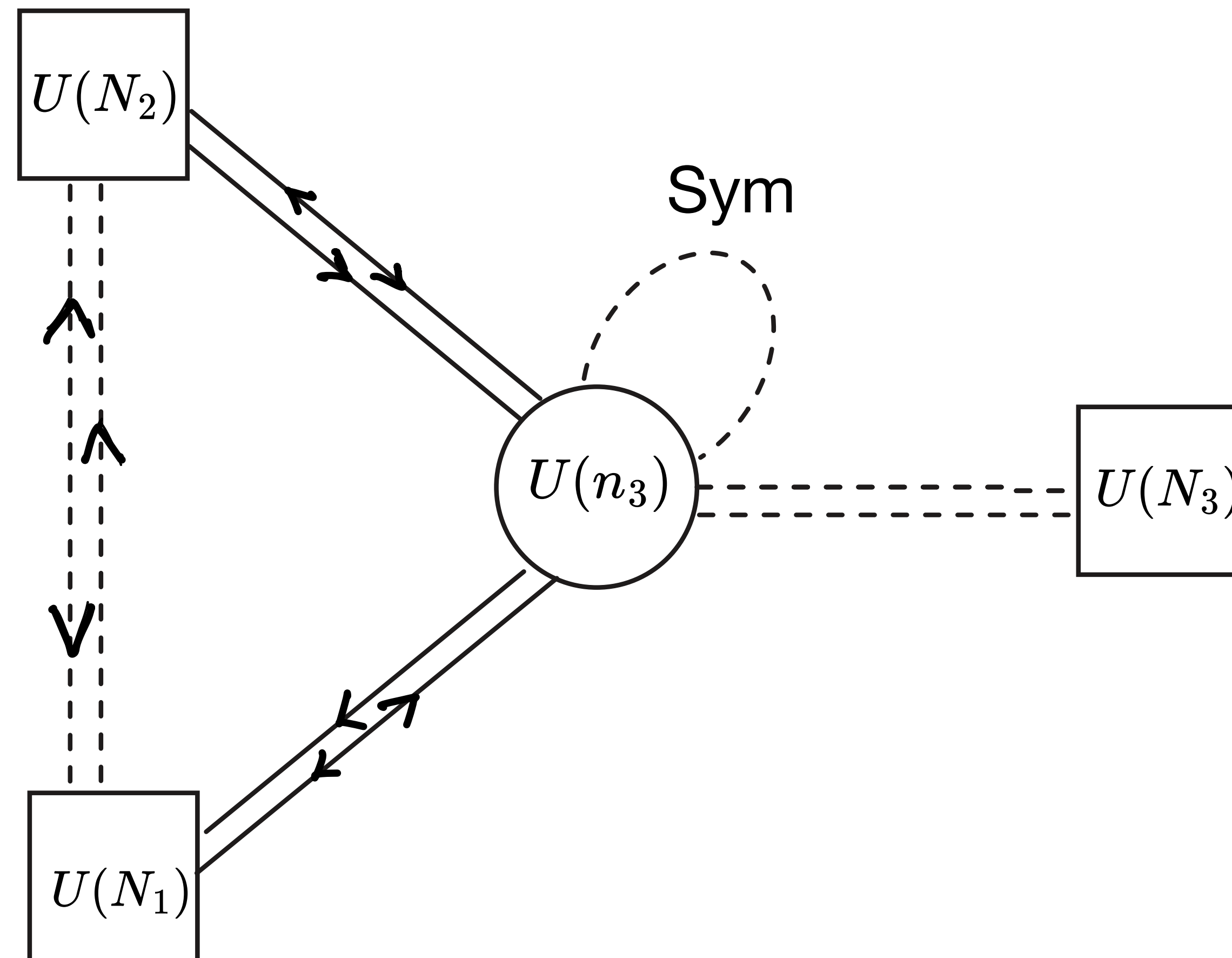
(0,1) elliptic genus

$$I = \frac{1}{2^{n_3/2-1} (n_3/2)!} \sum_{u^*} \text{Res}_{u^*}(\eta) \left(\prod_{\alpha=1}^{n_3/2} du_{\alpha} \right) \left(\frac{2\pi\eta(\tau)^2}{i} \right)^{n_3/2} \left(\prod_{\alpha < \beta}^{n_3/2} \frac{i\theta_1(u_{\alpha} + u_{\beta})}{\eta(\tau)} \frac{i\theta_1(u_{\alpha} - u_{\beta})}{\eta(\tau)} \right) \\ \left(\prod_{\alpha > \beta}^{n_3/2} \frac{i\theta_1(u_{\alpha} + u_{\beta})}{\eta(\tau)} \frac{i\theta_1(u_{\alpha} - u_{\beta})}{\eta(\tau)} \right) \left(\prod_{\alpha=1}^{n_3/2} \frac{i\theta_1(2u_{\alpha})}{\eta(\tau)} \right) \\ \left(\prod_{\alpha=1}^{n_3/2} \prod_{a=1}^{N_3/2} \frac{i\theta_1(u_{\alpha} + \nu_a)}{\eta(\tau)} \frac{i\theta_1(u_{\alpha} - \nu_a)}{\eta(\tau)} \right) \left(\prod_{i=1}^{N_1/2} \prod_{r=1}^{N_2/2} \frac{i\theta_1(\lambda_i - \mu_r)}{\eta(\tau)} \frac{i\theta_1(\lambda_i + \mu_r)}{\eta(\tau)} \right) \\ \left(\prod_{\alpha=1}^{n_3/2} \prod_{i=1}^{N_1/2} \frac{i\eta(\tau)}{\theta_1(u_{\alpha} + \lambda_i)} \frac{i\eta(\tau)}{\theta_1(u_{\alpha} - \lambda_i)} \right) \left(\prod_{\alpha=1}^{n_3/2} \prod_{r=1}^{N_2/2} \frac{i\eta(\tau)}{\theta_1(u_{\alpha} + \mu_r)} \frac{i\eta(\tau)}{\theta_1(u_{\alpha} - \mu_r)} \right).$$

New (0,2) triality

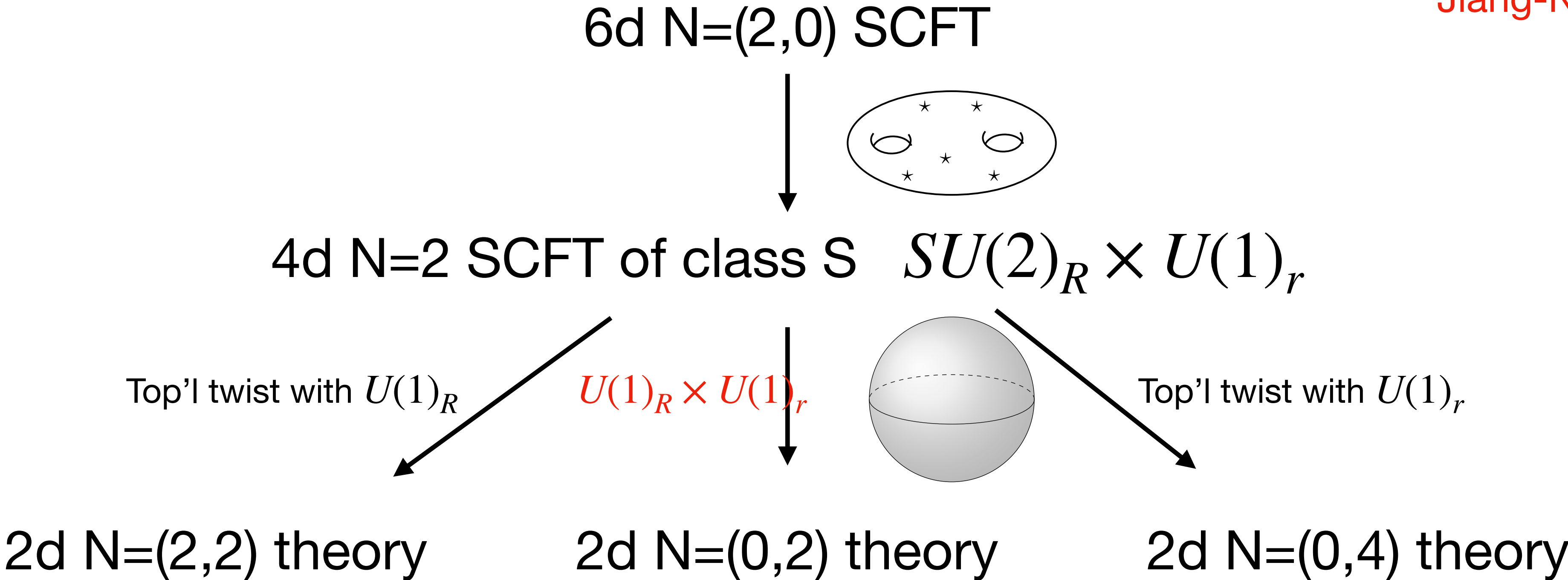
Elliptic genus can be interpreted as that of the following (0,2) theory

The permutation of (N_1, N_2, N_3) leads to a new (0,2) triality



Other stories...

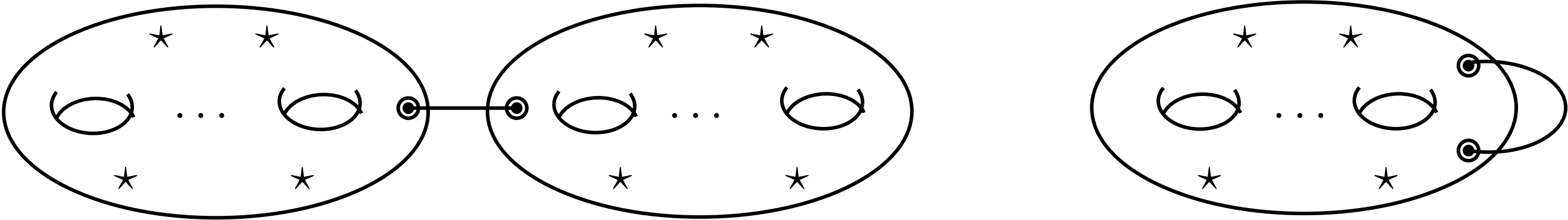
N-Pan-Zheng
Jiang-N-Zheng



EG is surprisingly simple and independent of frames

$$\mathcal{I} = \prod_{i=1}^{g-1} \mathcal{I}_{\text{genus}} \prod_{i=1}^n \mathcal{I}_{\text{puncture}}$$

They exhibit TOFT structure.



Open problems

- It is natural to ask whether the relation between elliptic genera and chiral algebra characters extends to these new $(0, 2)$ reductions.
- **Brane constructions:** Seek string or brane setups realizing the new $(0, 2)$ dualities and trialities; such constructions could clarify their structure and generalizations.
- **Integrable structures:** Explore connections between these dualities and 2d integrable systems.
- **Further studies in 2d supersymmetry:**
 - Discovery of new $\mathcal{N} = (2, 2)$ and $\mathcal{N} = (0, 2)$ non-Abelian dualities
 - Supersymmetry enhancement from $(0, 2)$ to $(2, 2)$
 - Construction of non-Lagrangian 2d theories
 - Supersymmetric boundary conditions in $(2, 2)$ and $(0, 2)$ theories

We hope this work motivates further exploration of the vast landscape of 2d supersymmetric theories.