

#### 3:00 pm - Public Meeting - Ford Alumni Center, Room 403

- 1. Convene
  - Call to order (Chair)
  - Roll call (Secretary)
  - Introductory comments and agenda review (Chair)
- 2. Approval of May and June 2014 ASAC meeting minutes (Chair) (Action)
- 3. Public Comment
- 4. Report on July 29 campus visit (Chair, Chapa)
- 5. Discussion and review of the UO Mission Statement (Chair) (Action)
- 6. Overview program review (Assistant Vice Provost)
- 7. Report on incoming students (Vice-President for Enrollment Management)
- 8. Overview of the Student Conduct Code and review of temporary changes to the code (President, Vice-President for Student Life) (Action)
- 9. Adjourn

### Agenda Item #1

There are no materials for this section

Agenda Item #2

# **Board of Trustees of the University of Oregon Academic and Student Affairs Committee**



Meeting Minutes, May 29, 2014

#### **Academic and Student Affairs Committee Members**

Rudy Chapa	Present
Ann Curry	Absent
Sam Dotters-Katz	Present
Allyn Ford	Present
Mary Wilcox, Chair	Present
Kurt Willcox	Present

#### **Non-Committee Board Members**

Chuck Lillis Present

The Board of Trustees of the University of Oregon's, Academic and Student Affairs Committee (ASAC) met in the Ford Alumni Center on the UO campus on May 29, 2014. Below is a summary of committee discussions and actions. The meeting was called to order by ASAC Chair Mary Wilcox. Trustee Wilcox discussed the agenda for the meeting.

#### **Public Comments**

The committee heard comments from four individuals, three of whom addressed issues related to the Graduate Teaching Fellows Federation (GTFF) contract and one who spoke to the issue of sexual violence on campus.

#### **Mission Statement**

Provost Scott Coltrane and Interim Vice President for Research Brad Shelton, presented information on the campus mission statement revision process, including the website set up for sharing information about mission statements, providing mission statement examples from AAU peers and the other public institutions in the OUS system, and collecting feedback on what the campus community feels the UO mission statement should represent. Coltrane also confirmed the timeline for submission to HECC and suggested the Board approve the new mission statement in September.

An initial draft of the revised mission statement was presented for discussion. Comments and questions were offered.

The question was raised about how modifications would be made to the draft and returned to the committee for final review and approval. Tim Gleason, Special Assistant to the Provost, Barbara Altmann, Senior Vice Provost for Academic Affairs, and Provost Coltrane will make refinements and present a new draft at the June 11 ASAC meeting. Committee members felt it important to continue a

process for gathering campus feedback. They also discussed the various purposes for the mission statement and asked that these purposes be included with the draft that is posted for further comment on the Provost Office website.

#### Framework/Work Plan

Committee Chair Wilcox emphasized the importance of creating a comprehensive plan for the framework and work plan for the Academic and Student Affairs Committee. This plan should be formulated in support of the Board's goals and overall strategic plan. She talked about reviewing policies as a next logical activity. There are three categories of policies in the Academic and Student Affairs portfolio: academic affairs, student affairs, and enrollment management. In each of these three areas, there are likely one or two things that will be identified as needing attention first. Policies will be evaluated in the context of those needing to be adopted "as is," deleted, or revised, as well as how the policies ultimately will be prioritized. The committee's work plan will reflect this plan of evaluation, but the entire framework is dependent on the Board's goals. Coltrane discussed that admissions and residential life are two such areas of priority, and will be introduced at the June meeting.

The discussion turned to the need for strategic guidance from the Board as a whole. Lillis mentioned that work is being done in this area and will be discussed at a later date. Wilcox suggested that the board retreat in the fall could be used for a strategic planning session. Coltrane mentioned that the academic plan will be the focus of strategic planning in the Provost's Office.

Provost Coltrane also provided an update on some important administrative searches that are in process. The interviewing is complete for Secretary of the University finalists and a decision should be made very soon. The search for potential candidates for Sam Dotters-Katz's student position on the Board is also nearing its finish. Ten interviews have been completed and three nominations were submitted to the Governor.

#### **Future Meeting Schedule**

Wilcox recommended that the committee plan to meet the day before each full board meeting with the next meeting scheduled for June 11, 2014 from 3:00-5:00pm. She noted that scheduling this May meeting in between board meetings made attendance more difficult for members. The meeting in September will be on the 10<sup>th</sup> with time and location to be determined later.

The discussion ended with the suggestion that the framework/work plan be drafted in conjunction with the Board's strategic plan. It was also suggested that the committee consider scheduling a retreat to accomplish this.

# **Board of Trustees of the University of Oregon Academic and Student Affairs Committee**



Meeting Minutes, June 11, 2014

#### **Academic and Student Affairs Committee Members**

Rudy Chapa	Present
Ann Curry	Absent
Sam Dotters-Katz	Present
Allyn Ford	Present
Mary Wilcox, Chair	Present
Kurt Willcox	Present

The University of Oregon Board of Trustees, Academic and Student Affairs Committee met in the Ford Alumni Center on the UO campus on June 11, 2014. Below is a summary of committee discussions and actions. The meeting was called to order by ASAC Chair Mary Wilcox.

#### **Public Comments**

No public comments were presented.

#### **Mission Statement Revision Update**

Scott Coltrane informed the committee that the Mission Statement website has been reformatted. Coltrane also discussed that the Mission Statement is now divided into three segments: purpose, vision, and values; and, each segment allows viewers to submit comments. The new draft was posted to the website today.

Brad Shelton, Interim Vice-President for Research commented that students hadn't been included in the process and that needed to be corrected. Shelton also commented that the new draft was shorter and had a greater emphasis on research. He felt that each segment could now be used separately. Barbara Altmann, Senior Vice-Provost for Academic Affairs, said they listened to the feedback provided at the last ASAC meeting and believe that students are more prominent in the new draft. Their goal was to make it more aspirational, concise, and segmented.

Trustee Ford, who is from the Umpqua Valley, felt that there may be a more appropriate term to use rather than the Willamette Valley so that all of Oregon is included. Additional discussion centered on the need to capture the uniqueness of the University of Oregon and embody what it means to be a Duck.

Coltrane encouraged the committee members to submit text through the website so that concrete suggestions can be captured.

#### **ASAC Framework and Work Plan**

Guests were invited to provide the committee with information about the University during this segment of the meeting.

Altmann discussed the contract bargaining agreement process between the University and United Academics. The CBA committee has a good working relationship between members and has been meeting at least one hour each week on a continuous basis. The two-year contract was ratified on October 8, 2013. Negotiations for the next contract will begin in December of this year.

Altmann reported that faculty in all units have had the opportunity to be involved in the policy-making process. Foundation documents are completed and now in place. Every policy that has been received was fully vetted. Assignment of responsibilities will be addressed soon and feedback will be requested from faculty in the fall. Altmann reported that through the process, two basic principles have been maintained: 1) the Provost retains the right to make final academic decisions; 2) discussions need to be made at the local level.

A positive result from the agreement was that the reclassification of career and adjunct instructors was made clearer. They looked at more than four hundred positions and three hundred of those positions were reclassified and there are now less adjunct. This results in a stronger movement through the ranks for non-tenured faculty. The first NTTF celebration will be held tonight honoring their contributions.

Robin Holmes, Vice President for Student Affairs, gave an overview of her division. She informed the committee that her division will be changing its title to Student Life on July 1. The division has a staff of seven hundred and is the largest student employer on campus. They have just completed the second five-year strategic plan with one of the goals to create exceptional experiences for every student. The division has four priorities: 1) student support; 2) residential campus; 3) wellness and community; and 4) innovation. Holmes reported that her organization is working to build more collaboration with parents, making them partners.

A change coming to the campus is a new requirement that freshman reside on campus their first year. The committee had questions regarding the cost to the students. Holmes stated that she has comparison information and is happy to share it. Roger Thompson, Vice-President for Enrollment added that UO students have one of the lowest debt ratios and highest retention rates. Fifty one percent of students graduate with no debt and twenty five percent have a debt of twenty thousand or less. There was a brief discussion about funding and Trustee Curry urged the committee to begin looking for nontraditional funding sources for students.

Thompson gave an overview of his division. He informed the committee that the University of Oregon has students from all fifty states and over one hundred countries. He said that the academic quality has increased along with more diversity and Pell eligibility. He also stated that in ten years there will be six to seven thousand less high school students in Oregon. The high school population will become more diverse with Latinos as the fastest growing population. The decline in the high school population

creates challenges for the university. It will make it more important to understand the communities and their values. A different message will need to be crafted for state and for out-of-state prospective students. There are some misconceptions about UO and sciences/technology that need to be worked on. Thompson sees the need to communicate on a global scale. There was a brief discussion on academic priorities and the need for a global plan. Wilcox commented that the Board of Trustees will prioritize the areas needing attention and it will be the committee's responsibility to decide how to get there. Ford asked Thompson about the rules of engagement or competition with other Oregon schools for students. Thompson discussed the difficulty with the perceived "turf" ownership. Oregon institutions tend to feel a strong geographical ownership of potential students, but UO must compete for those students. He feels that it's important to begin making contact with students in middle school.

Sam Dotters-Katz voiced his concern about the decline in enrollment for the Law School. Shelton mentioned that the three hardest hit academic areas recently have been law, architecture, and MBA programs. It was requested that ASAC receive data regarding the Law School's enrollment.

Wilcox ended the meeting, speaking briefly about the September retreat.

Agenda Item #3

There are no materials for this section

Agenda Item #4

### **Campus Visit Schedule**

### July 29, 2014

### Mary Wilcox, Rudy Chapa, Ginevra Ralph

9:00 am – 10:00 am	Scott Coltrane and Margaret Mahoney 202 Johnson Hall
10:00 am – 11:00 am	Academic Analytics, 203 Johnson Hall Brad Shelton, Interim Vice President of Research Moira Kiltie, Senior Assistant Vice President of Research JP Monroe, Director, Institutional Research Claire Matese, Assistant Direct, Institutional Research
11:00 am – 1:00 pm	Lunch, Excelsior Michael Gottfredson, President Scott Coltrane, Senior Vice President and Provost Brad Shelton, Interim Vice President of Research
1:00 pm – 2:00 pm	Accreditation, 208 Johnson Hall Ken Doxsee, Associate Vice Provost Dave Hubin, Senior Assistant to the President
2:00 pm – 3:00 pm	<b>Evaluation of Faculty for Hiring and Promotion</b> , 206 Johnson Hall Doug Blandy, Senior Vice Provost
3:00 pm – 4:00 pm	<b>External Program Review Metrics and Process,</b> 216 Johnson Hall Ruth Keele, Assistant Vice Provost
4:00 pm – 5:00 pm	College of Arts and Sciences Resource & Evaluation Processes, 109 Friendly Hall Andrew Marcus, Interim Dean Judith Baskin, Associate Dean of Humanities Bruce Blonigen, Associate Dean of Social Sciences Hal Sadofsky, Associate Dean of Natural Sciences

Agenda Item #5

#### Board of Trustees of the University of Oregon Overview of the Draft Mission Statement

Throughout the last several months, the University of Oregon has engaged in an inclusive process to update and refine its mission statement – the guiding document that articulates the UO's purpose and direction. The University must submit its mission statement to the Higher Education Coordinating Commission (HECC) for its consideration and approval.

The current draft language of the mission statement is below and is also included in the associated resolution as Exhibit A. This resolution is marked as a "draft" because final alterations to the mission statement may be made just prior to the Academic and Student Affairs Committee meeting based on public input. For example, see the last sentence under "The Process" section.

Below is an overview of the process and next steps as it relates to the Board's consideration of this draft.

#### The Process

The effort to redraft UO's mission statement began posting material about mission statements generally as well as posting the mission statements of other Oregon public universities and of peer public AAU universities. Three public input meetings were held, and a call went out to the campus community to provide general input via the web on a page dedicated to the mission statement revision.

Many took advantage of these opportunities to share thoughts, ideas and comments. Feedback was converted into a "word cloud", a tool used to highlight recurring, key themes. This word cloud was housed on the website for all to see. The Provost's Office worked with feedback provided by the UO community. They formulated a first draft, gathered input at the June ASAC meeting,



and then posted a revised second draft online for public and discussion. That input was coupled with indepth discussions with academic leadership, and a subsequent draft emerged, which was posted on the website on August 22, again with the ability for public input and comment.

This latest draft was (and still is) posted on the website for final public input, and is the version currently used in the enclosed draft resolution. A final draft will take into consideration all final comments and will be presented to the Board of Trustees for consideration.

Based on recent feedback from trustees about this latest draft, the President and Provost are considering the addition of the following overarching statement to the beginning of the document:

MISSION: The University of Oregon is a preeminent public residential research university dedicated to the discovery and preservation of knowledge and the education of the next generation of leaders in Oregon, the nation and the world.

#### **Next Steps**

The Board's Academic and Student Affairs Committee will vet the current draft on Sept. 10; if approved, it will go to the full Board the next day. The Board will submit an approved draft to the HECC, which is likely to review later this year. The Board of Trustees will then consider it for full adoption at its December meeting, pending any possible suggestions by the HECC.

#### 2014 Revised Mission Statement (Current Draft)

#### **Preamble**

This mission statement communicates who we are, what we stand for, and where we are going. For those who teach, work, and learn here, this statement informs decision making, helps build unity and focus, and inspires performance. These beliefs define us and encourage broad engagement.

#### Vision – What is our aspiration?

Leading, defining, enriching.

#### Lead

We aspire to lead as a preeminent public residential research university encompassing the humanities and arts, the natural and social sciences, and the professions.

#### Define

We seek definition through the recognition of the quality of our schools and colleges, the aspirations, passions, and success of our students, and our place in Oregon, the West, on the Pacific Rim, and the world.

#### • Enrich

We are motivated by a strong desire to explore and enrich the human condition and transform lives through collaboration, teaching, mentoring, research, creative activity, and service.

#### Purpose – What do we want to accomplish?

Pursue excellence, educate, and create a better way.

#### • Pursue excellence

We pursue excellence in all we do, in education, creative expression, and the preservation, generation dissemination, and application of knowledge.

#### • Educate leaders

We believe in educating the whole person, and in educating transformational leaders and informed participants in the global community.

#### Create a better way

Our teaching, research and service enhance the social, cultural, physical and economic wellbeing of Oregon, the nation, and the world.

#### Values - What guides us?

#### • <u>Inspiration</u>

We strive to inspire students, each other, and the community.

#### • **Engagement**

We model and teach agile, visionary thinking in a comprehensive range of fields to foster informed engagement.

#### <u>Progressiveness</u>

We embrace both the traditions of our campus and the challenges of the future.

#### Inclusion

We are committed to welcoming, embracing and providing opportunity for all.

#### Community

We work diligently to foster a university that prizes integrity, equity, and sustainability in a safe and respectful environment.

#### **Current Mission Statement (Pre-Changes)**

Our Mission
SERVING THE STATE, NATION AND WORLD SINCE 1876

The University of Oregon is a comprehensive research university that serves its students and the people of Oregon, the nation, and the world through the creation and transfer of knowledge in the liberal arts, the natural and social sciences, and the professions. It is the Association of American Universities' flagship institution of the Oregon University System.

The university is a community of scholars dedicated to the highest standards of academic inquiry, learning, and service. Recognizing that knowledge is the fundamental wealth of civilization, the university strives to enrich the public that sustains it through:

- a commitment to undergraduate education, with a goal of helping the individual learn to question critically, think logically, communicate clearly, act creatively, and live ethically
- a commitment to graduate education to develop creators and innovators who will generate new knowledge and shape experience for the benefit of humanity
- a recognition that research, both basic and applied, is essential to the intellectual health of the university, as well as to the enrichment of the lives of Oregonians, by energizing the state's economic, cultural, and political structure
- the establishment of a framework for lifelong learning that leads to productive careers and to the enduring joy of inquiry
- the integration of teaching, research, and service as mutually enriching enterprises that together accomplish the university's mission and support its spirit of community
- the acceptance of the challenge of an evolving social, political, and technological environment by welcoming and guiding change rather than reacting to it
- a dedication to the principles of equality of opportunity and freedom from unfair discrimination for all members of the university community and an acceptance of true diversity as an affirmation of individual identity within a welcoming community
- a commitment to international awareness and understanding, and to the development of a faculty and student body that are capable of participating effectively in a global society
- the conviction that freedom of thought and expression is the bedrock principle on which university activity is based
- the cultivation of an attitude toward citizenship that fosters a caring, supportive atmosphere on campus and the wise exercise of civic responsibilities and individual judgment throughout life
- a continuing commitment to affordable public higher education

# Academic and Student Affairs Committee Board of Trustees of the University of Oregon

Resolution: Adoption of a Revised University Mission Statement

Whereas, the University of Oregon benefits from having a well-written and thoughtful mission statement that articulates its purpose and direction;

Whereas, the University of Oregon has engaged the campus community and the public to draft an updated mission statement that carries the school into the future;

Whereas, an updated mission statement was crafted after web-based public input, public meetings, and in depth discussions with academic leadership;

Whereas, ORS 352.089(2) requires the Board of Trustees to adopt a mission statement for the University of Oregon and forward that statement to the Higher Education Coordinating Commission for the Commission's review; and

Whereas, the Board's Policy on Committees authorizes the Academic and Student Affairs Committee to refer matters to the full Board of Trustees as a seconded motion;

Now, therefore, the Academic and Student Affairs Committee of the Board of Trustees of the University of Oregon hereby refers the revised mission statement attached hereto as Exhibit A to the Board of Trustees as a seconded motion, recommending its adoption and submission to the Higher Education Coordinating Commission.

Trustee	Yes	No
Chapa, Rudy	·	
Coltrane, Scott		
Curry, Ann		
Ford, Allyn		
Wilcox, Mary - Chair		
Willcox, Kurt		-
[Student Position Vacant]		

Dated:	of	, 2014
Initials:		

## \*MISSION STATEMENT LANGUAGE SUBJECT TO CHANGE\* Exhibit A

#### **UO Draft Mission Statement for Submission to the HECC**

#### Preamble

This mission statement communicates who we are, what we stand for, and where we are going. For those who teach, work, and learn here, this statement informs decision making, helps build unity and focus, and inspires performance. These beliefs define us and encourage broad engagement.

#### Vision - What is our aspiration?

Leading, defining, enriching.

Lead

We aspire to lead as a preeminent public residential research university encompassing the humanities and arts, the natural and social sciences, and the professions.

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We seek definition through the recognition of the quality of our schools and colleges, the aspirations, passions, and success of our students, and our place in Oregon, the West, on the Pacific Rim, and the world.

• Enrich

We are motivated by a strong desire to explore and enrich the human condition and transform lives through collaboration, teaching, mentoring, research, creative activity, and service.

#### Purpose - What do we want to accomplish?

Pursue excellence, educate, and create a better way.

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We pursue excellence in all we do, in education, creative expression, and the preservation, generation dissemination, and application of knowledge.

• Educate leaders

We believe in educating the whole person, and in educating transformational leaders and informed participants in the global community.

• Create a better way

Our teaching, research and service enhance the social, cultural, physical and economic wellbeing of Oregon, the nation, and the world.

#### Values - What guides us?

• Inspiration

We strive to inspire students, each other, and the community.

Engagement

We model and teach agile, visionary thinking in a comprehensive range of fields to foster informed engagement.

• Progressiveness

We embrace both the traditions of our campus and the challenges of the future.

• Inclusion

We are committed to welcoming, embracing and providing opportunity for all.

Community

We work diligently to foster a university that prizes integrity, equity, and sustainability in a safe and respectful environment.

Academic and Student Affairs Committee, Board of Trustees of the University of Oregon Resolution Adoption of a Revised University Mission Statement September 10, 2014

Agenda Item #6

**Biography** 



Ruth Keele is the Assistant Vice Provost for Academic Affairs. She manages the review and approval of new academic programs and directs implementation of the CourseLeaf curriculum management system. Ms. Keele is closely involved with the development of faculty-related policies, procedures, and information resources, and with faculty recognition. In addition, she staffs the faculty grievance appeal committees and serves as liaison to the Museum of Natural and Cultural History. Ms. Keele worked previously in Strategic Programs and Planning for the Oregon University System.

Agenda Item #7

There are no materials for this section

Agenda Item #8

## THE UNIVERSITY OF OREGON STUDENT CONDUCT CODE

#### Revised and Effective October 2006

#### 571-021-0100 - Mission

- (1) The primary mission of the Student Conduct Code is to set forth the community standards and procedures necessary to maintain and protect an environment conducive to learning and in keeping with the educational objectives of the University of Oregon. Founded upon the principle of freedom of thought and expression, an environment conducive to learning is one that preserves the freedom to learn -- where academic standards are strictly upheld and where the rights, safety, dignity and worth of every individual are respected.
- (2) Learning is a process defined by the exchange of ideas and the advancement of knowledge. As such, learning entails a community of scholars united by their participation in, and commitment to, intellectual exchange. The University is, first and foremost such a community. Learning also involves reflecting on decisions and improving decision-making in the future. By establishing the standards of this community, the Student Conduct Code serves not just as a disciplinary system, but also as a part of the educational system. Hence, a corollary mission of the Student Conduct Code is to teach students to live and act responsibly in a community setting, with respect for the rights of other students and members of that community, and for the property, common resources, code of conduct, and laws associated with that community, and to encourage the development of good decision-making and personal integrity.
- (3) Students are simultaneously members of the University community and the broader community (e.g. city, state, nation, and world). The Student Conduct Code, and the processes of its administration and enforcement, is directed specifically toward maintaining the standards of the University community. Within its jurisdiction the University may impose disciplinary sanctions against students or student organizations when their conduct materially interferes with the educational objectives of the University or university community member.

#### 571-021-0105 - Definitions

For purposes of the Student Conduct Code, OAR 571-021-0100 et seq.:

- (1) "Academic Misconduct" means the violation of university policies involving academic integrity. Examples include, but are not limited to:
  - (a) Intentional tampering with grades, resubmitting assignments for more than one class without the permission of the professor; and
  - (b) Intentionally taking part in obtaining or distributing any part of a test that has not been administered:

- (c) Cheating, as defined in OAR 571-021-0105(3);
- (d) Plagiarism, as defined in OAR 571-021-0105(26);
- (e) Knowing furnishing false information to a University Official; and
- (f) Fabrication, as defined in OAR 571-021-0105(14).
- (2) "Accused Student" means any student accused of violating the Student Conduct Code.
- (3) "Cheating" means any act of deception by which a student misrepresents or misleadingly demonstrates that he or she has mastered information on an academic exercise that he or she has not mastered. Examples include but are not limited to:
  - (a) Giving or receiving unauthorized help in an academic exercise;
  - (b) Use of sources or resources beyond those authorized by the instructor in writing papers, preparing reports, solving problems, or carrying out other assignments;
  - (c) Acquisition, without permission, of tests or other academic material belonging to a member of the University faculty or staff; and
  - (d) Engaging in any behavior specifically prohibited by a faculty member in the course syllabus or class discussion.
- (4) "Community Standards Administrator" means the University official, as designated on a case-by-case basis by the Director of Student Conduct and Community Standards, authorized to impose sanctions upon any student found to have violated the Student Conduct Code.
- (5) "Community Standards Committee" means the Committee established pursuant to OAR 571-021-0110, comprised of persons appointed by the President with the responsibility for formulating, approving or recommending changes related to the Student Conduct Program.
- (6) "Community Standards Hearing Board" means the board established pursuant to OAR 571-021-0160, comprised of persons authorized by the Community Standards Committee to determine if a student has violated the Student Conduct Code and to recommend sanctions when a violation has occurred.
- (7) "Complainant" means any person who submits a complaint alleging that a student violated the Student Conduct Code. The Complainant need not be a person who was the target or victim of the alleged violation.
- (8) "Contacting" has its common meaning. It includes, but is not limited to, communicating with or remaining in the physical presence of the other person.

#### University of Oregon Student Conduct Code

- (9) "Contact of a Sexual Nature" for purposes of Sexual Misconduct in the Student Conduct Code means the touching of the genitalia, anus, buttocks or breasts of a person or causing such person to touch the genitalia, anus, buttocks or breasts of another.
- (10) "Contempt" means disregard of, or disobedience to, the rules or orders of any tribunal under this Code or an interruption of its proceedings by disorderly behavior or insolent language in a way or place that disturbs the proceedings or ignores the authority of the tribunal.
- (11) "Director of Student Conduct and Community Standards" is the person designated by the University Senate and University President or designee to be responsible for the administration of the Student Code.
- (12) "Drug" means a controlled substance or its immediate precursor classified in Schedules I through V under the federal Controlled Substances Act, 21 U.S.C. 811 to 812 or as defined in ORS 475.005 or modified in ORS 475.035.
- (13) "Explicit Consent" for purposes of Sexual Misconduct in the Student Conduct Code means voluntary, non-coerced and clear communication indicating a willingness to engage in a particular act. "Explicit consent" includes an affirmative verbal response or voluntary acts unmistakable in their meaning.
- (14) "Fabrication" means the intentional use of information that the author has invented when he or she states or implies otherwise, or the falsification of research or other findings with the intent to deceive.
- (15) "Faculty Member" means a person hired by the University to conduct classroom, research or teaching activities or who is otherwise considered by the University to be a member of its faculty, including officers of instruction, officers of research and officers of administration.
- (16) "Gambling" means an activity in which a person stakes or risks something of value upon the outcome of a contest of chance or a future contingent event not under the control or influence of the person, upon an agreement or understanding that the person or someone else will receive something of value in the event of a certain outcome. "Gambling" does not include those activities expressly excluded by ORS 167.117.

#### (17) "Harassment" means

- (a) Intentionally subjecting a person to offensive physical contact;
- (b) Unreasonable insults, gestures, or abusive words, in the immediate presence, and directed to, another person that may reasonably cause emotional distress or provoke a violent response (including but not limited to electronic mail, conventional mail and telephone) except to the extent such insults, gestures or abusive words are protected expression; or
- (c) Other types of prohibited discrimination, discriminatory harassment, and sexual harassment as defined by law.

- (18) "Hazing" means any initiation rites, on or off campus, involving any intentional action or situation that a reasonable person would foresee as causing mental or physical discomfort, embarrassment, or ridicule. Individual acceptance of or acquiescence to any activity that occurs during an initiation rite does not affect a determination of whether the activity constitutes hazing. Activities and situations that may occur as part of hazing include, but are not limited to:
  - (a) Sleep deprivation or causing excessive fatigue;
  - (b) Physical or psychological shock;
  - (c) Public stunts or jokes;
  - (d) Compelled ingestion of any substance;
  - (e) Degrading or humiliating games or activities;
  - (f) Activities that have an adverse effect on academic progress;
  - (g) Forced servitude;
  - (h) Activities which are not consistent with the parent organization's rules and regulations; or
  - (i) Other activities which violate Federal, State, or local laws.
- (19) "Institution" means the University of Oregon and all of its undergraduate, graduate and professional schools, divisions, activities and programs and may be used interchangeably with "University."
- (20) "May" is used in the permissive sense.
- (21) "Mental Disorder" for purposes of Sexual Misconduct in the Student Conduct Code means that a person suffers from a mental disease or disorder that renders that person incapable of appraising the nature of the conduct of another person.
- (22) "Mental Incapacitation" for purposes of Sexual Misconduct in the Student Conduct Code means that a person is rendered incapable of appraising or controlling one's own conduct at the time of the alleged offense because of the influence of a controlled or intoxicating substance or because of any act committed upon the person without consent.
- (23) "Member of the University Community" includes any person who is a student, faculty member, University official or any person employed by the University.

#### University of Oregon Student Conduct Code

- (24) "Penetration" for purposes of Sexual Misconduct in the Student Conduct Code means any degree of insertion, however slight, of the penis or any object into the vagina or anus, or the penis into the mouth.
- (25) "Physical Helplessness" for purposes of Sexual Misconduct in the Student Conduct Code means that a person is unconscious or for any other reason is physically unable to communicate unwillingness to engage in an act.
- (26) "Plagiarism" means using the ideas or writings of another as one's own. It includes, but is not limited to:
  - (a) The use, by paraphrase or direct quotation, of the published or unpublished work of another person without full and clear acknowledgement; and
  - (b) The unacknowledged use of materials prepared by another person or agency engaged in the selling of term papers or other academic materials.
- (27) "Policy" means the written regulations of the University. Examples of where written policies may be found include, but are not limited to:
  - (a) The Student Conduct Code:
  - (b) Residence Life Contract;
  - (c) Information posted by the University on its web pages;
  - (d) Computer Acceptable Use Policy;
  - (e) Living Group Alcohol policy;
  - (f) Greek Social Policy;
  - (g) Graduate/Undergraduate Catalog;
  - (h) Student Handbook; and
  - (i) University and Oregon University System Oregon Administrative Rules.
- (28) "President" means the University President.
- (29) "Shall" and "will" are used in the imperative sense.
- (30) "Sexual Misconduct" means:
  - (a) Unwanted Penetration is Penetration of another person, or causing the Penetration of another person, when one:

- (A) Does not first obtain Explicit Consent from that person; or
- (B) Knows or should have known the person was incapable of consent by reason of Mental Disorder, Mental Incapacitation, or Physical Helplessness.
- (b) Nonconsensual personal contact occurs when a student subjects another person to contact of a sexual nature when a reasonable person would know that such contact would cause emotional distress:
  - (A) Without having first obtained Explicit Consent; or
  - (B) When he or she knows or should have known the person was incapable of consent by reason of Mental Disorder, Mental Incapacitation, or Physical Helplessness.
- (c) Sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature that interferes with work or academic performance because it has created an intimidating, hostile, or degrading environment and would have such an effect on a reasonable person of the alleged complainant's status when the conduct is unwelcome and sufficiently severe or pervasive that it deprives that person of benefits of the University's educational environment.
- (31) "Student" means any person who has student status pursuant to OAR 571-021-0115.
- (32) "Student Organization" means any group of University of Oregon students meeting criteria for group recognition established by the University.
- (33) "University" means the University of Oregon and all of its undergraduate, graduate and professional schools, divisions, activities and programs and may be used interchangeably with "institution."
- (34) "University Appeals Board" means the person or persons authorized by this Code pursuant to OAR 571-021-0165 to consider an appeal from a determination by Community Standards Hearings Panel that a student has violated the Student Code.
- (35) "University Official" means a person having assigned University responsibilities who is performing their University assignment.
- (36) "University Premises" includes all land, buildings or grounds owned, leased, operated, controlled or supervised by the University including adjacent sidewalks and streets.
- (37) "University Sponsored Activity" means any activity, including activities sponsored or organized by recognized student organizations, on or off University premises that is directly initiated or supervised by the University.

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- (38) "Unwanted Contact" means repeated or persistent contact or attempts to contact another person when the contacting person knows or should know that the contact is unwanted by the other person; and
  - (a) The contact would cause a reasonable person fear of physical harm; or
  - (b) The contacting person knows or should know that the contact substantially impairs the other person's ability to perform the activities of daily life.

#### 571-021-0110 - Delegations and Authority

Pursuant to ORS 352.010, the faculty is responsible for student discipline. The faculty of the University delegates authority for administering this Code and the Student Conduct Program as provided below:

- (1) The Director of Student Conduct and Community Standards shall develop policies for the administration of the student conduct system and procedural rules for the conduct of Community Standards Hearing Board hearings that are consistent with provisions of the Student Conduct Code.
  - (a) The Director of Student Conduct and Community Standards and the Community Standards Committee may authorize a Community Standards Administrator to serve simultaneously as a Community Standards Administrator and as a member of the Community Standards Hearing Board. The Director may authorize the same Community Standards Administrator to impose sanctions in all cases.
  - (b) Consistent with OAR 571-021-0205(1)(d), the Vice President for Student Affairs or designee may serve ad hoc in place of the Director of Student Conduct and Community Standards.
- (2) The Community Standards Committee shall be responsible for formulating or approving, prior to implementation, regulations and enforcement procedures pertaining to student conduct matters at the University of Oregon, and recommending to the faculty policy or administrative changes in any aspect of the Student Conduct Program.
  - (a) The Committee shall be appointed by the President and shall consist of four faculty members to be recommended by the Committee on Committees and four student members to be recommended by the ASUO. Faculty and student members shall serve staggered, two-year terms and may be reappointed, up to three consecutive terms, or a maximum of six consecutive years. The President may appoint temporary members to assure full Committee membership during summer session or at such other times as are necessary.

- (b) The Director of Residence Life or designee, the Director of Student Conduct and Community Standards and the Director of the Office of Student Advocacy shall be non-voting, ex-officio members of the Community Standards Committee.
- (3) Sub-delegation of Authority to Minor Tribunals and hearing officers.
  - (a) With the consent of the President of the University, the Community Standards Committee may sub-delegate jurisdiction to handle violations of the Student Conduct Code to University officials, committees or minor tribunals. In all instances such sub-delegation shall be defined by the Committee in terms of specific jurisdiction, enforceable regulations, and maximum disciplinary sanctions that may be imposed.
  - (b) Subject to approval by the President, the Community Standards Committee sub-delegates to the Interfraternity Council, Panhellenic Council, Club Sports Executive Committee, and Residence Hall Association the authority to formulate:
    - (A) Regulations governing the conduct of their respective organization members;
    - (B) Hearing procedures and administrative practices to be followed by their respective tribunals; (C) Disciplinary sanctions exclusive of expulsion, suspension, eviction or negative notation on transcript appropriate to the enforcement of their respective regulations; and
    - (D) Procedures for publication and notification to affected students of such regulations, hearing procedures and disciplinary sanctions.
  - (c) All such regulations, hearing procedures, and disciplinary sanctions shall be reduced to writing and approved by the Community Standards Committee prior to implementation.
  - (d) The authority granted to minor tribunals and their respective governing bodies is conditional and may be withdrawn at any time by the Community Standards Committee when a minor tribunal is either unable or unwilling to assume its responsibilities as part of the University's Student Conduct Program.

#### 571-021-0115 - Jurisdiction

- (1) Jurisdiction over types of actions and events. The Student Conduct Code applies to actions by Students that materially interfere with:
  - (a) An educational opportunity of a University community member;
  - (b) The health and safety of a University community member or campus visitor;
  - (c) The maintenance or protection of University property or personal property located on campus;

- (d) University record keeping;
- (e) University living accommodations and other services; or
- (f) University sponsorship or supervision of non-classroom activities such as lectures, concerts, athletic events and social functions.
- (2) Jurisdictional boundaries.
  - (a) On-Campus. The Student Conduct Code routinely applies to actions which occur on University Premises or at a University Sponsored Activity.
  - (b) Off-Campus. The University shall have discretion to extend jurisdiction over conduct that occurs other than on University Premises or at a University Sponsored Activity. In determining whether or not to extend jurisdiction, the University will consider its ability to gather information, including testimony of witnesses. The University may extend jurisdiction if the alleged conduct:
    - (A) Adversely and significantly affects the learning environment;
    - (B) Would have violated the Student Conduct Code if the conduct had occurred on campus; and
    - (C) Involved violence or produced a reasonable fear of physical harm; or
    - (D) Involved academic work or any records, documents, or identifications of the University.
- (3) Student Status. An individual's status as a "student" is established by:
  - (a) An application for admission, housing, financial aid, or any other service provided by the University which requires student status;
  - (b) Registration for one or more credit hours; or
  - (c) Enrollment in a special non-credit program approved by the University.
- (4) Jurisdiction over non-enrolled students. Jurisdiction is maintained between periods of enrollment unless the accused individual's official record in the Office of the Registrar shows a complete withdrawal prior to the expiration of the published deadline for registration for the succeeding period of enrollment. For students enrolled in the spring term, jurisdiction is maintained until the expiration on the published deadline for registration for the succeeding fall term. Complaints of academic dishonesty or fraudulently obtaining a degree may be filed at any time, whether or not the student is currently enrolled or registered.

- (5) In all cases except academic dishonesty or fraudulently obtaining a degree, the University must file disciplinary complaints under the Student Conduct Code within six months of:
  - (a) The University's discovery of the student's or student organization's involvement in the alleged violation; and no later than
  - (b) The student's last date of enrollment or registration, or an organization's recognition.
- (6) Allegations of academic dishonesty or fraudulently obtaining a degree may be considered at any time regardless when the alleged misconduct occurred.
- (7) Students may be accountable both to civil and criminal authorities and to the University for behavior that constitute violations of the law and the Student Conduct Code. Since the action of civil and criminal authorities is independent from University action, the University may decide whether to initiate or consider an alleged violation of the Student Conduct Code while criminal charges are pending or before they are filed or after they are resolved.

#### 571-021-0120 - Violations of Community Standards by Individual Students

The following conduct violates the community standards that are essential to the core educational mission of the University of Oregon and subjects a Student or Student Organization to sanctions under the Student Conduct Code:

- (1) Standards Relative to Academic and Personal Integrity. Integrity is a bedrock value of the University community and includes respect for open and honest intellectual exchange as well as respect for University records and for the Student Conduct Code itself. The following conduct violates standards of academic integrity:
  - (a) Cheating as defined in OAR 571-021-0105(3);
  - (b) Fabrication as defined in OAR 571-021-0105(14);
  - (c) Plagiarism as defined in OAR 571-021-0105(26);
  - (d) Academic misconduct as defined in OAR 571-021-0105(1);
  - (e) Intentionally furnishing false information to a University Official;
  - (f) Forgery, alteration or unauthorized use of University documents, records, keys student identification, keycards or services;
  - (g) Creation or distribution of false identification;
  - (h) Failure to comply with the terms of any sanction imposed in accordance with the Student Conduct Code; or

- (i) Contempt of adjudicative proceedings including impairing or interrupting the due course of proceedings in the presence of any tribunal created under this Code. Adjudication of contempt and imposition of sanctions may be imposed summarily consistent with OAR 571-021-0240.
- (2) Standards Relative to Respect for Property and for Shared University Resources. The following conduct violates standards of respect for property and shared University resources:
  - (a) Engaging in behavior that could reasonably be foreseen to cause disruption of, obstruction of, or interference with the process of instruction, research, administration, student discipline, or any other service or activity provided or sponsored by the University;
  - (b) Damage, destruction, theft, or unauthorized use of property located on the University campus or property owned or controlled by the University;
  - (c) Unauthorized entry into or use of University property or University-recognized living units, facilities, residence halls, equipment, or resources;
  - (d) Disorderly conduct (including that resulting from the use of alcohol), unreasonable noise, or conduct that results in unreasonable annoyance;
  - (e) Failure to comply with the reasonable directions of public officials acting in performance of their duties on University Premises or at a University Sponsored Activity when such conduct poses a danger to personal safety or property or obstructs or impairs educational or other Institutional activities;
  - (f) Violation of University Policy on the acceptable use of computing resources.

    Unacceptable uses of computing resources include, but are not limited to:
    - (A) Use of electronic forums to violate other sections of the Student Conduct Code;
    - (B) Sharing of accounts or computer lab passes;
    - (C) Violation of electronic privacy;
    - (D) Interference with computer use or operations;
    - (E) Commercial or illegal use of electronic or computer resources;
    - (F) Violation of copyright law; or
    - (G) Threats, abuse or Harassment, as defined in OAR 571-021-0105(), conduct made or transmitted via electronic forums or electronic mail.

- (3) Standards Relative to the Rights of Individuals and to the Welfare of the University Community. An environment conducive to learning is one where the rights, safety, dignity and worth of every individual are respected. The following conduct endangers such an environment, and threatens the welfare of the University community as a whole:
  - (a) Physical contact that endangers, threatens, or harms the health or safety of any person or behavior that causes a reasonable person to fear such contact;
  - (b) Hazing, as defined in OAR 571-021-0105(18);
  - (c) Possession, use, or threatened use of a weapon, ammunition, or any object or substance used as a weapon on University Premises or at a University Sponsored Activity unless expressly authorized by law or University Policy. A concealed weapons permit does not constitute authorization;
  - (d) Unauthorized possession, use, or threatened use of dangerous chemical or biological substances or explosives;
  - (e) Tampering with fire-fighting equipment, turning in a false alarm, or engaging in conduct that constitutes a significant fire hazard;
  - (f) Harassment, as defined in OAR 571-021-0105(17), because of another person's race, ethnicity, color, gender, gender identification, national origin, age, religion, marital status, disability, veteran status, sexual orientation, or for other reasons, including but not limited to harassment prohibited by University Policy.
  - (g) Unwanted Contact, as defined in OAR 571-021-0105(38);
  - (h) Sexual Misconduct. A mission of the Student Conduct Code is to encourage good decision-making, personal integrity, and interpersonal behavior that is cooperative rather than coercive and that respects the rights of others. Sexual misconduct violates these values, and is committed when a student engages in sexual behavior described in OAR 571-021-0105(30).
    - (A) A complaint alleging Sexual Misconduct may be filed whenever Sexual Misconduct:
      - (i) Materially interferes with another person's academic performance or participation in a University Sponsored Activity, or performance of University employment;
      - (ii) Is committed on University Premises or at a University Sponsored Activity; or
      - (iii) Demonstrates reasonable threat to the health or safety of a Member of the University Community or the alleged student survivor.
    - (B) Sexual gratification or pleasure of any party involved is not relevant to a determination of whether Sexual Misconduct occurred.

- (C) A violation of provisions of the alcohol or drug policy in the Student Conduct Code does not affect a person's ability to file a complaint regarding another person's Sexual Misconduct on the same occasion.
- (D) Consent to one form of sexual activity does not automatically operate as consent to any other form sexual activity. A "no" always means that consent is not present, whereas a "yes" to one act at one time does not mean "yes" to other acts or to the same act at other times. Voluntarily making oneself incapacitated does not mean one is giving consent to any form of sexual activity.
- (j) Prohibited alcohol use, which includes:
  - (A) Possession or consumption of alcohol by those under 21 years of age on University Premises or at a University Sponsored Activity;
  - (B) Furnishing of alcohol to a person under 21 years of age; or
  - (C) Consumption of an alcoholic beverage by a person at least 21 years of age or furnishing of an alcoholic beverage by or to a person at least 21 years of age, except in such areas and at such times as the University authorizes.
- (k) Prohibited drug use, which includes:
  - (A) Manufacture, processing, distribution, or cultivation of a Drug, including but not limited to marijuana or narcotics, on University Premises or at a University Sponsored Activity, except as expressly permitted by law;
  - (B) Sale of a Drug, including but not limited to marijuana or narcotics, on University Premises or at a University Sponsored Activity; or
  - (C) Possession of a Drug, including but not limited to marijuana or narcotics, on University Premises or at a University Sponsored Activity except as expressly permitted by law.
- (l) Lewd or indecent conduct on University Premises or at a University Sponsored Activity. Lewd or indecent conduct includes, but is not limited to, any unauthorized use of electronic or other devices to make an audio or video record that would be an invasion of privacy pursuant to ORS 163.700. This includes, but is not limited to, surreptitiously taking pictures of another person in a gym, locker room, or restroom.
- (m) Gambling, as defined and prohibited in ORS 167.108 to 167.164 except as authorized by ORS 464.270 to 464.530.

#### 571-021-0125 - Violations of Community Standards by Student Organizations

When members of a Student Organization act together in a way that violates University Student Conduct Code, the Student Organization is expected to hold its members responsible for those violations.

- (1) When a potential violation of the Student Conduct Code by a Student Organization comes to the University's attention, the Office of Student Conduct and Community Standards may review the incident to determine the appropriate process for resolution. Generally, the University will expect a Student Organization to hold itself accountable for the acts of its members when those acts are related to the Student Organization's activities.
  - (a) The Student Organization or its governing body will notify the Office of Student Conduct and Community Standards and keep it informed at all stages of the process.
  - (b) The University, through the Office of Student Conduct and Community Standards, reserves the right to take immediate jurisdiction at its discretion. The student organization or governing body may still hold its members accountable in the situation, but must do so in conjunction with the Office of Student Conduct and Community Standards.
- (2) If sufficient action is not taken in a timely manner by the student organization to correct a violation of University standards, individuals may file grievances with the appropriate governing body, or, if none exists, with the Office of Student Conduct and Community Standards.
- (3) If, in the judgment of the Vice President of Student Affairs, sufficient action is not taken in a timely manner by the governing body, the case will be referred to the Office of Student Conduct and Community Standards.
- (4) In deciding whether the group is responsible for the violation, the University will consider whether the following factors are present:
  - (a) The violation arises out of a group-sponsored, organized, financed, or endorsed event;
  - (b) The organization provides the impetus for the violation;
  - (c) The violation occurs on the premises owned or operated by the group;
  - (d) A group leader has knowledge of the violation being likely to occur before it occurs and fails to take corrective action; or
  - (e) A pattern of individual violations is found to have existed without proper and appropriate group control, remedy, or sanction.

#### 571-021-0130 - Sanctions

The University utilizes an educational sanctioning model; hearing officers or panels will make every attempt to provide an educational sanction that will help a student to make better choices in the future. The educational sanction applied will become progressively more demanding if the student repeats violations, demonstrating that learning has not taken place. An accumulation of a variety of violations may result in severe sanctions such as suspension, expulsion or negative notation on a transcript. Academic dishonesty and violations affecting the health, safety and well being of the community are deemed the most severe and may result, upon the first violation, in a negative notation being placed on a transcript, suspension, or expulsion.

#### (1) Forms of Sanctions

- (a) Expulsion. Student status is severed permanently. A Student who has been expelled from the University shall not be permitted to participate in any University Sponsored Activity or allowed to reside on University Premises.
- (b) Suspension.
  - (A) Individual Suspension. Student status is severed for a specified period. A student who has been suspended from the University shall not be permitted to participate in any University Sponsored Activity or allowed to reside on University Premises during the period the student is suspended.
  - (B) Group Suspension. A Student Organization loses University recognition and all privileges associated with such recognition for a specified period. Imposition of this sanction against the ASUO or a recognized Student Organization requires approval by the Vice President for Student Affairs.
- (c) Negative Notation on Transcript. Entry of the fact of violation on the Student's permanent academic record as the sole or an additional sanction may be imposed at the discretion of the hearing officer or panel. After the expiration of the period of time, if any, set by the hearing officer or panel, the notation shall be removed upon the request of the Student or former Student.
- (d) Revocation of Degree. An academic degree previously awarded by the University may be revoked if it was obtained by fraud or a significant part of the work submitted in fulfillment of, and indispensable to, the requirements for such degree constitutes Plagiarism. The Academic Requirements Committee may, up on appeal of a University graduate subjected to degree revocation, stipulate the requirements for obtaining a degree.
- (e) Grade Penalty. A Student admitting Academic Misconduct or found responsible for Academic Misconduct is subject to a grade penalty as determined by the instructor in the course in which the violation occurred.

- (f) Disciplinary Probation. In lieu of another sanction, a period of probation may be imposed during which any violations of the Student Conduct Code will result in more serious sanctions than might be otherwise imposed. A Student or Student Organization on probation may or may not lose designated privileges during the period of probation. During the time on probation, a Student or Student Organization may, by demonstrating good conduct, avoid additional sanctions. Imposition of this sanction against the ASUO or an ASUO-recognized group requires approval by the Vice President for Student Affairs.
- (g) Restitution. The Student or Student Organization is required to replace or restore damaged, stolen, or misappropriated property.
- (h) Educational Activity. The Student or Student Organization is required to complete a project or activity designed to help the Student or Student Organization understand why the behavior was inappropriate and encourage future compliance with the Student Conduct Code. The educational activity is designed to correspond to the severity and nature of the violation and to clarify the impact of that behavior on Members of the University Community. Educational activities may include, but are not limited to, assessments of substance abuse and other behaviors, community service, workshops, papers and similar assignments.
- (i) Loss of Privileges. The Student or Student Organization is denied specified privileges normally associated with Student Status or recognized Student Organization status, such as participation in or sponsorship of University activities, use of University facilities or services, or living in University-owned or supervised housing. Imposition of this sanction against the ASUO an ASUO-recognized group requires approval by the Vice President of Student Affairs.
- (j) Conduct Reprimand. The Student or Student Organization is given written notice that the conduct engaged in is inconsistent with University standards and expectations and informed that future violations of the Student Conduct Code may result in the imposition of more serious sanctions.
- (k) Suspended Sanction. The execution of any sanction authorized under the Student Conduct Code may be suspended. When suspending a sanction, a time limit for the suspension period shall be designated, and subsequent violations of the Student Conduct Code that will terminate the suspension and result in the imposition of the original sanction shall be specified. In the absence of any such violation, the original sanction shall be deemed completed at the end of the suspension period.
- (2) Medical Leave. Actions taken pursuant to University policies on medical leave shall not be deemed disciplinary sanctions within the meaning of the Student Conduct Code.
- (3) Failure to complete a sanction will be handled pursuant to OAR 571-021-0240(3).

## 571-021-0140 - Student Rights

Procedural fairness is basic to the proper enforcement of all University regulations. Accordingly, no disciplinary action shall be initiated or sanction imposed against a Student or Student Organization until they have been notified in writing of the complaints against them and their rights under this Code, and given the opportunity to be heard.

- (1) Regulations and disciplinary sanctions affecting the conduct of all Students shall be based on general principles of equal treatment.
- (2) The Director of Student Conduct and Community Standards shall insure that the best interests of Students and Student Organizations are served, regardless of whether disciplinary action is taken, by making full use of appropriate medical, counseling and other professional services at the University, or if necessary by making referrals to community resources. For purposes of this Division, the Director may authorize another staff member to carry out any of the Director's responsibilities unless expressly prohibited from doing so.
- (3) Students shall have an opportunity to participate in the formulation of all regulations and policies pertaining to the Student Conduct Code at the University of Oregon.
- (4) All University regulations and policies pertaining to student discipline shall be published, distributed, or posted in such a manner as to furnish adequate notice of their contents to Students or Student Organizations.
- (5) Students accused of violations of the Student Conduct Code can expect the following procedural protections:
  - (a) To be informed of the complaint and alleged misconduct upon which the complaint is based;
  - (b) To request that the Director of Community Standards resolve the case in an administrative disciplinary conference or to request a panel hearing.
  - (c) To be allowed reasonable time to prepare for the hearing or conference.
  - (d) To be informed of the information upon which a complaint is based and accorded an opportunity to offer a relevant response;
  - (e) To call and confront relevant witnesses;
  - (f) To be assured of confidentiality, in accordance with the terms of the federal Family Educational Rights and Privacy Act and Oregon law.
  - (g) To request that any person conducting a disciplinary conference or serving as a hearings board member or hearing officer be disqualified on the ground of personal bias.

- (h) To be considered not responsible for the alleged conduct until proven responsible by a preponderance of the information. If expulsion is a possibility, the standard of proof must be clear and convincing information.
- (i) To have an adviser of their choice present at the hearing provided that advisor's schedule does not unreasonably delay the hearing. The hearings panel shall determine what constitutes an "unreasonable" delay.

## 571-021-0150 - Administration of the Conduct System

- (1) Disciplinary Records and Files. Case referrals will result in the development of a disciplinary file in the name of the accused student. If the Student is found not responsible for the complaints, the disciplinary file will become void.
  - (a) Voided files will be so marked and shall not result in a disciplinary record. Voided files will normally be destroyed after one year. Where a Student files a conduct complaint against another Student, a file shall be created for both Students.
  - (b) Disciplinary records may be voided by the Director of Conduct and Community Standards for good cause, upon written petition from the student. Factors to be considered in review of such petitions shall include:
    - (A) The conduct of the Student subsequent to the violation; and
    - (B) The nature of the violation and the severity of any damage, injury, or harm resulting from it.

### (2) Student Conduct Reports.

- (a) The Community Standards Committee shall require from University officials, hearings boards, referees, committees and tribunals periodic written reports of the disposition of all student conduct cases dealt with under their jurisdiction. The Committee shall examine such reports for consistency with existing policies and, when necessary, review the reports with the appropriate officials or tribunals.
- (b) At the end of each academic year, the Committee shall submit to the President, University Senate, Deans, Department Heads, the ASUO President, and the Office of Student Advocacy, a written report covering the entire Student Conduct Program, including an evaluation of the existing rules, policies, and enforcement procedures. This report shall also detail all Code revisions approved during the previous year and shall be available to any person upon request.
- (3) Director of Student Conduct and Community Standards.

- (a) The President of the University shall designate a Director of Student Conduct and Community Standards who shall have primary responsibility for administering the Student Conduct Program and coordinating the activities of all University officials, hearing officers, referees, committees, or tribunals that are concerned with the Community Standards Program.
- (b) The Director shall be responsible to the Community Standards Committee for maintaining complete records pertaining to the activities of the Community Standards Program. Those records shall include a summary of the business of the Community Standards Committee and a report of the disposition of each disciplinary case handled by any person or group authorized to impose disciplinary sanctions in the name of the University. For record keeping purposes, the Director may prescribe reporting procedures to be followed, in addition to those in paragraph (2) above by those authorized to impose disciplinary sanctions.
- (c) The Director shall serve as non-voting Secretary of the Community Standards Committee and as advisor to all individuals and groups authorized to impose disciplinary sanctions. The Director shall serve as a non-voting, ex-officio member of the Residence Hall Governance Committee and of the residence hall Peer Judicial Board.
- (d) The Director shall be responsible for gathering and presenting to the Community Standards Committee the reports required by this code.
- (4) Student Conduct Code Adoption, Amendment and Revision.
  - a) Code establishment. Upon approval by the University Senate and adoption as an Oregon Administrative Rule, this Student Conduct Code becomes effective and supersedes all previous regulations and policies pertaining to student discipline at the University of Oregon.
  - (b) Code Amendment. This Code may be amended by the faculty except that the sections on delegation to minor tribunals may also be amended by the Community Standards Committee. Amendments to this Code are effective when adopted as Oregon Administrative Rules.
  - (c) Code Revision. This Code shall be continuously reviewed in its entirety to make sure it is consistent with best practices.
  - (d) This Code is adopted as Oregon Administrative Rules. The provisions contained in these rules take precedence over any other versions of the Student Conduct Code regardless of where promulgated.

### 571-021-0160 - University Hearings Board; Student Conduct Hearings Panel

- (1) University Hearings Board Membership. The University Hearings Board (Hearings Board) shall consist of eighteen members, all of whom
  - must be appointed by the University President. The Hearings Board shall consist of:
  - (a) Ten registered students at the University of Oregon that have been recommended to the President by the ASUO. Each student member is appointed for a one-year term and may be reappointed for additional terms;
  - (b) Four University officers of instruction, all of whom the Committee on Committees of the University Senate shall recommend to the President. Two officers of instruction will serve a one-year term, and the other two will serve a two-year term; and
  - (c) Four University officers of administration all of whom the Committee on Committees of the University Senate shall recommend to the President. Two officers of administration will serve a one-year term, and the other two will serve a two-year term.
- (2) Recruitment and selection of student nominees.
  - (a) The Office of Student Conduct and Community Standards and Office of Student Advocacy will take responsibility during spring term for the recruitment and receipt of applications for new student members to the Hearings Board. The Office of Student Conduct and Community Standards and Office of Student Advocacy will ensure that the nominated students are representative of the diversity of the University of Oregon. Particular efforts will be made to recruit law students.
  - (b) A review committee consisting of one member of the Office of Student Conduct and Community Standards, one member of the Office of Student Advocacy, one student from the Residence Hall Association, and two members of the ASUO, one of which will be the University Affairs Director, will review the applications for the Hearings Board. The review committee will make every attempt to ensure that the nominated students are representative of the diversity of the applicant pool.
  - (c) Preference will be given to up to 5 recommended students wishing to return to the Hearings Board for reappointment.
  - (d) Names of nominated students will be forwarded to ASUO for formal nomination to the University President pursuant to (1)(a).
  - (e) New student members of the Hearings Board, once appointed by the President, will be trained by the Office of Student Conduct and Community Standards and the Office of Student Advocacy before the end of the academic year in which they are appointed.
  - (f) New student members shall be ready and available to assume responsibilities for the Hearings Panel at the beginning of the next academic year after they are appointed.

- (3) Student Conduct Hearings Panel (Hearings Panel). Student Conduct Code panel hearings, pursuant to OAR 571-021-0205, are heard by a panel on which officers of instruction, officers of administration and students are represented, drawn from members of the Hearings Board. A Hearings Panel cannot proceed with fewer than four members present.
  - (a) A party may challenge a Hearings Panel member or the chair on the ground of personal bias. Any member who is incapable of rendering a fair and objective decision based solely upon the facts, information and arguments presented during the hearing with no influence based on the member's familiarity with people, facts or the situation arising from outside the hearing is disqualified from hearing the case.
  - (b) If a Hearings Panel member is disqualified, the chair of the Hearings Panel will determine whether to fill the position by appointment of another member of the Board or to proceed with fewer members so long as the Hearings Panel consists of no fewer than four qualified members.

## 571-021-0165 - University Appeals Board

- (1) The University Appeals Board (Appeals Board) is the final appeals body within the Student Conduct Program. As set forth in OAR 571-021-0250, the Appeals Board shall be responsible for reviewing substantive or procedural appeals from the decisions of a Hearings Panel.
- (2) Membership. The Appeals Board shall consist of three faculty members, recommended by the Committee on Committees of the University Senate, and three student members, recommended by the ASUO. Board members shall be appointed by the President and serve for one-year terms. They may be reappointed, but no member may serve for more than two consecutive terms. Temporary members may be appointed to assure full Appeals Board membership during summer session or at such other times as are necessary. The President shall designate one of the members as pro tem chair of the Appeals Board.
- (3) The Appeals Board will elect its permanent chair at its first meeting. A quorum shall consist of two students and two faculty members. The Appeals Board shall establish its own rules of procedure.

## 571-021-0200 - Conduct Procedures

(1) Complaint. Any Member of the University Community may file a complaint against a Student for a violation of the Student Conduct Code. A complaint shall be prepared in writing and directed to the Director of Student Conduct and Community Standards. Any complaint should be submitted as soon as possible after the alleged violation takes place, preferably within one year. Jurisdiction is determined pursuant to OAR 571-021-0115. The longer one waits to file a complaint the less information is likely to be available for the hearing, therefore it is important to file a complaint as soon as possible. Once the Office of

- Community Standards receives a complaint, the Office has six months to send written notice to the accused Student of the complaint.
- (2) Notice. Upon receiving a complaint or notice that a Student may have violated the Student Conduct Code, the Director of Student Conduct and Community Standards shall serve a written notice upon the Student, either by electronic mail or by mailing to the latest address of the Student on file at the Office of the Registrar of the University, or, if necessary, by registered or certified mail or by personal service. Such notice shall inform the student of:
  - (a) The alleged Code violation;
  - (b) The opportunity for the student to meet with the Director for purposes of discussing the options for disposition of the case;
  - (c) The Student's right to assistance. At an administrative conference with the Director, or a hearing by a Hearings Panel or before the Appeals Board, a Student may, but need not represent his or her own interests, or be assisted by someone including but not limited to one of the following representatives:
    - (A) The Office of Student Advocacy;
    - (B) Another Student;
    - (C) A member of the faculty or administration;
    - (D) A member of the Oregon Bar.
  - (d) The requirement to respond within 14 calendar days, excluding breaks between terms or when the student is not registered, to arrange a meeting with the hearing officer. The hearing officer will proceed as provided in (3)(b) if the Student does not arrange to meet or fails to meet with the hearing officer as arranged.

## (3) Response.

- (a) After proper service of written notice as provided in (2), the Student may arrange to meet with the Director for the purpose of selecting an option for the disposition of the case, either through conference with the Director or staff or through hearing by a Hearings Panel pursuant to OAR 571-021-0210.
- (b) If after receiving notice, pursuant to this rule, the Student does not arrange to meet with the Director to select an option for disposition of the case within 14 days, excluding breaks between quarters or when the student is not registered, or if the Student arranges to meet with the Director to select an option to dispose of the case but does not attend such a meeting, the Director of Student Conduct and Community Standards may take any of the actions specified in OAR 571-021-0205 or 571-021-0210 for disposition of the case without consultation with or agreement by the Student.

(c) Immediate Referral to Hearings Panel. If the Director of Student Conduct and Community Standards finds that under the circumstances of the case, an immediate referral to a Hearings Panel would be in the best interest of the University or the best interest of the Student, the Director of Student Conduct and Community Standards may make such referral before service of notice upon the student. In such case, the letter sent to the student shall notify the student of the referral to the Hearings Panel and contain the information required in (2)(c).

## (4) Conference and Hearing Board Referrals

- (a) The Director of Student Conduct and Community Standards or a designee will conduct a preliminary review to determine whether the alleged misconduct might result in negative notation on transcript, expulsion or suspension from the University. Students not subject to suspension, expulsion or negative notation will be entitled to an administrative disciplinary conference with the Director of Student Conduct and Community Standards pursuant to OAR 571-021-0205 or a hearing with a Hearings Panel pursuant to OAR 571-021-0210. Students who are subject to suspension, expulsion or negative notation will be entitled to a hearing before a Hearings Panel pursuant to OAR 571-021-0210.
- (b) Students referred for a hearing by the Director of Student Conduct and Community Standards may elect to have their cases resolved in accordance with OAR 571-021-0210. Such an election must be in writing, affirming that the Student has had an opportunity to consult with an adviser of their choosing, is aware a hearing is being waived and that the full range of sanctions may be imposed, including negative notation on transcript, suspension or expulsion or from the University.
- (5) With the consent of an Accused Student, the Director of Student Conduct and Community Standards may defer proceedings for alleged minor violations of this Code for a period not to exceed ninety days. Pending complaints may be withdrawn thereafter at the discretion of the Director of Student Conduct and Community Standards or designee.

### 571-021-0205 - Administrative Conferences

- (1) Students accused of violations that may result in penalties less severe than suspension, expulsion or negative notation may choose an administrative conference with the Director of Student Conduct and Community Standards, or designee. The following procedural protections are provided to accused students in disciplinary conferences:
  - (a) Reasonable access to the case file prior to and during the conference, except to the extent access to such material is prohibited by law. The case file may contain materials that are considered "education records" pursuant to the Family Educational Rights and Privacy Act (FERPA) of 1974, as amended and personal notes of University staff members and complainants. Access to these materials may be prohibited by law. Otherwise, to the extent allowed by law, copies of the case file will be provided upon request.

- (b) An opportunity to respond to all information provided and to ask the Director or designee hearing the case to contact relevant and necessary witnesses.
- (c) The right to be accompanied and assisted by an adviser
- (d) The right to have the case referred outside the Office of Community Standards if the Student can articulate a reasonable basis from which to conclude that the Office of Community Standards is biased for some reason that would prevent the student from receiving a fair hearing by the office. Such cases are referred to the Vice President for Student Affairs or his or her designee.
- (2) In cases where the Director of Student Conduct and Community Standards concludes that a Student accused of any offense under the Student Conduct Code lacks the mental capacity to respond to the complaint, the Director shall stay the proceeding until such time that the Director concludes that the Student may adequately respond. A stay granted pursuant to this section shall not in any manner preclude a proceeding for medical leave under OAR Chapter 571, Division 23. If the student has been accused of Academic Misconduct, no academic sanction may be imposed during a stay granted pursuant to this section, but the faculty member for the coursework out of which the complaint of Academic Misconduct arose shall request the Registrar to assign a grade of "I" until the disciplinary proceeding has been completed.
- (3) No sanctions shall be imposed against a Student who acknowledges engaging in the specific conduct alleged and who submits a written statement from a Student Health Center psychiatrist or a Counseling Center psychologist stating that, as a result of mental disorder at the time of the offense, the Student did not appreciate the wrongfulness of the conduct or could not conform his or her behavior to the requirements of the Code. The Student may submit any other supplemental information pertinent to his or her mental condition to the Director of Student Conduct and Community Standards. If, based upon all information received, the Director decides that the conduct of the Student resulted from mental disorder, the Director shall seek professional assistance and advice, and, if appropriate and legally authorized, consult with the Student's parent or guardian or take other measures to assure a fair disposition of the case. If the Student has been accused of Academic Misconduct, the faculty member for the coursework in which the Academic Misconduct took place shall assign an appropriate grade.

## 571-021-0210 - University Hearings Panel Hearings

If a matter cannot be resolved by an administrative conference, if selected or required pursuant to OAR 571-021-0200, resolution will be sought through a hearing before a Hearings Panel. As with all other aspects of the Student Conduct Code, the hearing is primarily for educational purposes. The hearing is an information-gathering process not a criminal proceeding, trial, or litigation.

- (1) All complaints shall be presented to the Accused Student in written form. Because the University community values prompt disposition of student conduct matters, a time shall be set for a hearing not less than twenty nor more than thirty calendar days after the Student has been notified. Maximum time limits for scheduling of hearings may be extended at the discretion of the Director of Student Conduct and Community Standards or the Hearings Panel.
- (2) The Hearings Panel will select a chair from among the returning members of the hearings board. The chair shall preside at the hearing. The chair may participate in Hearings Panel deliberations and discussions but shall not vote.
- (3) The University community values personal responsibility and accountability as an important part of its core educational process. In accord with this value, in a hearing the Accused Student and any Complainant are responsible for responding to inquiries from the Hearings Panel. However, an Accused Student and a Complainant, if any, may each be assisted by one advisor as identified in OAR 571-021-0200. The following rules and standards pertain to any such advisor:
  - (a) The advisor may, but need not, be an attorney;
  - (b) A Student should select as an advisor a person whose schedule allows attendance at the scheduled date and time for the hearing because delays will not normally be allowed due to the scheduling conflicts of an advisor;
  - (c) A Student planning to invite an advisor to a hearing must inform both the Director of Student Conduct and Community Standards and the Hearings Panel of this intention at least seven calendar days prior to the hearing. If a matter includes both an Accused Student and a Complainant, the Director shall promptly notify the other Student of the first Student's intent to invite an advisor. The other Student shall be afforded an equal right to invite an advisor even if doing so results in the Director and the Hearings Panel receiving less than seven days prior notice.
  - (d) Advice provided by an advisor may include advising the student how to answer any question posed by the Hearings Panel;
  - (e) In order to preserve the educational tone of the hearing and to avoid an adversarial environment, advisors are generally not permitted to speak or participate directly in any hearing, except in one or more of the following specific ways:
    - (A) An advisor may provide a written opening summary or statement.
    - (B) An advisor may provide an oral closing summary or statement.
    - (C) An advisor may be allotted a limited time-period to ask one or more questions of the Student the advisor is advising and to allow the Student to respond. Questions asked by an advisor are in addition to questions asked by the Hearings Panel.

- (D) An advisor may submit to the Hearings Panel in writing any suggested questions for the Hearings Panel to ask of any other participant who is giving information at the hearing.
- (E) The Hearings Panel may permit advisors to question a person providing information at the hearing, if both Complainant and Accused Student independently so request at the beginning of the hearing.
- (f) The Hearings Panel will automatically add the name of any person filling the advisor function at a hearing to a list of Hearings Panel Advisors who may be available for other students who wish to consult with an advisor.
- (g) An advisor should act in accordance with the standards and values of the University community. If an advisor's conduct in a hearing is judged to merit disqualification, the Hearings Panel has the authority to remove a person's name from the Hearings Panel Advisors list and to disqualify that person from serving as an advisor in future hearings.
- (4) A Student whose ability to present their own information is hindered either by a language barrier, a documented disability or other serious difficulty with public, oral presentation shall have the right to petition the Hearings Panel to permit someone to speak on the Student's behalf at the formal hearing. Such a spokesperson may be a friend or family member, a professionally-trained translator or interpreter or a member of the University community but may not be an attorney or any other professional receiving a fee for representing or advising the student.
- (5) The Hearings Panel, in its sole discretion, has responsibility and authority for deciding the length of time a party is allowed for closing statement or for questioning, if any, by advisors and at what point in the hearing these should occur.
- (6) The Director of Student Conduct and Community Standards or designee shall give an Accused Student notice of the hearing date and the specific complaints against them at least 14 calendar days in advance of the hearing date. Notice shall be by personal delivery or by certified mail to the last address provided by the Accused Student to the university.
- (7) An Accused Student shall be accorded reasonable access to the case file, but shall not have access to material the disclosure of which is prohibited by law. Upon request to the Director of Student Conduct and Community Standards, an Accused Student will be provided copies of the case file, except to the extent prohibited by law. The original case file will be retained in the Office of the Director of Community Standards.
- (8) The chair of the Hearings Panel may require attendance of relevant witnesses after consultation with the Director of Student Conduct and Community Standards. Notice of required attendance must be personally delivered or sent by certified mail. University Students and employees are expected to comply with these requests, unless compliance would result in significant and unavoidable personal hardship, or substantial interference

- with normal University activities, as determined by the chair of the Hearings Panel, in consultation with the Director of Student Conduct and Community Standards. In addition, in any formal hearing, either the University or the Student may request the University General Counsel to issue a subpoena pursuant to ORS 183.445.
- (9) The Hearings Panel will consider an Accused Student who fails to appear after proper notice to have pleaded "not responsible" to the complaints pending against them. A hearing may be conducted without the Accused Student present, if necessary.
- (10) All hearings conducted under the authority of the Student Conduct Code are to be closed unless a student has waived in a signed, written and dated document any restrictions on disclosure of documents, exhibits, written statements, interview notes, photographs, or other materials in the Student Conduct case file or in other education records which could be offered, admitted, identified, described, referred to, or generated in the course of the hearing.
  - (a) A waiver of access to education records shall apply to the entire hearing, unless otherwise agreed to by the University and a student Complainant, if there is one, and the Accused Student.
  - (b) The chair of the Hearings Panel shall close the hearing unless a waiver is provided to the Director of Student Conduct and Community Standards prior to the beginning of the hearing. Only participants in the hearing shall be allowed to attend a closed hearing. Participants include but are not limited to, the Hearings Panel, the Director of Student Conduct and Community Standards, the Accused Student and the Accused Student's advisor, interpreter or translator, and appropriate University officials.
  - (c) With regards to sexual assault as used in 20 U.S.C. § 1092(f)(8)(B)(iv)(I) (2000), a Complainant and an Accused Student are entitled to the same opportunities to have others present during a campus disciplinary proceeding. A Complainant who alleges sexual assault may have an equivalent number of advisors present during the hearing as the Accused Student. If an Accused Student does not have an advisor, a Complainant alleging sexual assault may still have an advisor present during the hearing. A Complainant who alleges sexual assault also has the right to be present during the portion of hearing when information is being presented.
  - (d) Except as otherwise required, the chair of the Hearings Panel may exclude persons from the hearing as necessary to maintain order.
  - (e) The Hearings Panel may, on its own initiative, or at the request of a participant, exclude from an otherwise open hearing a prospective witness or witnesses, other than the Complainant and the Accused Student, during the statements of other witnesses.
- (11) The chair shall exercise control over the proceedings to avoid needless consumption of time and to achieve completion of the hearing in a timely manner. Any person, including an Accused Student or a Complainant, who disrupts a hearing may be excluded by the Chair.

- (12) Procedural questions are subject to final determination by the chair of the Hearings Panel.
- (13) Witnesses.
  - (a) Witnesses shall be required to affirm that their testimony is truthful. Witnesses may be subject to disciplinary sanctions or perjury for knowingly providing a false statement.
  - (b) Questioning witnesses.
    - (A) Accused Students (not their advisors) and Complainants, may ask relevant questions of witnesses.
    - (B) Hearings Panel members may ask questions of the parties and witnesses.
- (14) Information and evidence.
  - (a) The Oregon Evidence Code does not apply except that rules of privilege recognized by Oregon law shall apply.
  - (b) Irrelevant, immaterial or unduly repetitious material shall be excluded. All other information of a type commonly relied upon by reasonably prudent persons in the conduct of their serious affairs shall be admissible.
  - (c) Information may be received in written or oral form.
  - (d) The Hearings Panel may also take judicial notice of matters which would be within the general experience of University students and faculty members.
  - (e) Information in hearing alleging Sexual Misconduct Information about the sexual behavior of a student prior to or subsequent to an alleged

Sexual Misconduct incident is not admissible in a hearing unless the following conditions apply:

- (A) A description of the information regarding specific instances of sexual behavior, whether of the Complainant or of the Accused Student, is submitted to the Hearings Panel chair no fewer than seven days prior to the hearing (unless the information is otherwise deemed essential by the Hearings Panel chair)
- (B) The Student whose sexual behavior is at issue has had an opportunity to provide a written response; and
- (C) The Hearings Panel chair finds that the probative value of the information outweighs the danger of undue prejudice to the student.
- (15) Hearings shall be tape recorded or transcribed.

- (16) Allegations of violations of the Student Conduct Code must be established by a preponderance of evidence except a student may be expelled only based on clear and convincing evidence.
  - (a) The Director shall be responsible for investigating and presenting the case to the Hearings Panel and ensuring that all relevant information is presented on both sides.
  - (b) For all violations of the Student Conduct Code, it is the complaining party's burden to prove the case by a preponderance of information except as stated above.
- (17) A Complainant, an Accused Student, or a witness may identify concerns about personal safety, well-being or fear of confrontation with another hearing participant to the Director of Student Conduct and Community Standards. The Director will determine what, if any, accommodations are appropriate. Examples of accommodations include, but are not limited to, separate facilities, visual screens, telephone or remote video participation or written submissions.
  - (a) If another participant objects to the accommodation, the participant shall submit written objections to the chair of the Hearings Panel. Written objections should include information describing how the accommodations proposed will affect the fairness of the hearing.
  - (b) The Hearings Panel will decide whether to provide the proposed accommodation.
  - (c) The Hearings Panel will not consider a request for accommodation or the granting or denial of an accommodation in concluding if an Accused Student violated the Student Conduct Code.

## 571-021-0215 - Academic Misconduct Procedures

- (1) Notice. Upon the discovery of suspected Academic Misconduct, as defined in OAR 571-021-0100(1), the University Official with responsibility for the academic matter or the faculty member in whose course the incident occurred shall promptly notify the Student of the incident. This notice shall include a discussion of the option of having the case referred directly to the Director of Student Conduct and Community Standards.
- (2) If a Student admits to Academic Misconduct in a course, the faculty member shall impose an appropriate academic sanction up to and including a grade of "N" or "F" and report the incident to the Office of Student Conduct and Community Standards. Written notice of the sanction or resolution without sanction shall be given the Student. If, in the judgment of the faculty member, further disciplinary action is warranted, the report to the Director of Student Conduct and Community Standards shall so indicate. The Student may appeal the academic sanction to the faculty member's department head and, ultimately, to the dean of the college or school in which the incident originated.

- (3) If a Student admits to Academic Misconduct in a situation other than a course, the responsible University Official may determine and implement an appropriate response and report the incident to the Office of Student Conduct and Community Standards. Written notice of the sanction or resolution without sanction shall be given the Student. If, in the judgment of the University Official, further disciplinary action is warranted, the report to the Director of Student Conduct and Community Standards shall so indicate. The Student may appeal the academic sanction to the University Official's department head or director.
- (4) If a faculty member or University Official and a Student cannot agree as to whether Academic Misconduct has occurred, the University Official or faculty member will, not later than fourteen calendar days during which the University is in session after the date the faculty member or University Official notifies the Student, make a written referral of the case to the Office of Student Conduct and Community Standards for resolution. The case will then be conducted in accordance with the procedures established in this Code.
  - (a) If there is a finding that the Student engaged in Academic Misconduct in a class, in addition to sanctions imposed through the regular student conduct procedures, the faculty member will assign an appropriate grade.
  - (b) If there is a finding that the Student did not engage in Academic Misconduct, no academic sanction may be imposed.
- (5) Reporting Academic Misconduct. Regardless of the method of resolution, University Officials, including faculty members are required to file a written report of any Academic Misconduct with the Director of Student Conduct and Community Standards. These reports shall be treated as confidential and maintained consistent with the Student Records Policy, OAR 571-020-0100 et seq.
- (6) Withdrawing from a Course.
  - (a) If a Student's Academic Misconduct in a course results in an academic sanction, the student will not be permitted to drop or withdraw from the course, or to change the course's grading option, and shall be reinstated in the course in if they have dropped or withdrawn.
  - (b) If a Student's Academic Misconduct does not result in an academic sanction, the Student may withdraw from the course or change the course's grading option at the later of:
    - (A) Expiration of the withdrawal deadline for the course;
    - (B) Expiration of the deadline for changing grade options; or
    - (C) Five business days after the student receives notification of the decision or termination of Student Conduct Code proceedings without sanction.

(c) In the event the Student is found not responsible for Academic Misconduct and the Student no longer feels comfortable returning to the class, the Office of Student Conduct and Community Standards will assist the student to attempt to remove the "w" from the transcript.

## 571-021-0220 - Alternative Dispute Resolution

Consistent with the primary mission of the Code to establish community standards and procedures that promote an environment conducive to learning by upholding academic standards and by respecting community members, alternative dispute resolution provides an opportunity for individuals affected by violations or alleged violations of this Code to resolve disciplinary matters among themselves, with or without findings of responsibility. Students who participate in a method of alternative dispute resolution and successfully fulfill their obligations may, upon completion of their obligations, have their student conduct record regarding the matter expunged.

- (1) Mediation. Mediation is encouraged as an alternative means to resolve allegations of Student Conduct Code violations. The Director of Student Conduct and Community Standards will inform Complainants and Accused Students about the availability of mediation resources. The Director, in the exercise of the Director's sole discretion, may, except in cases of alleged Academic Misconduct, decline to process a complaint until the parties make a reasonable attempt to achieve a mediated resolution.
  - (a) To be binding under this Code, any mediated resolution must be approved by the Director of Student Conduct and Community Standards. Any agreement will be enforced by the Office of Student Conduct and Community Standards.
  - (b) Procedures for Alternative Dispute Resolution. Students wishing to pursue mediation shall notify the Director of Student Conduct and Community Standards within fourteen calendar days of receiving written notice of the violation pursuant to OAR 571-021-0200.
  - (c) The Director of Student Conduct and Community Standards may determine if an Accused Student must acknowledge responsibility as a condition of the Director's approval of a mediation option. If the Director requires an Accused Student to acknowledge responsibility as a condition to approving the mediation, the Director will not proceed until the Accused Student has provided the Director with that acknowledgement.
  - (d) The Director of Student Conduct will determine whether others affected by the alleged violation are willing to participate in mediation. Parties agreeing to mediation must sign a waiver allowing the Director to receive information from the mediator regarding the progress of the mediation.
  - (e) Once the necessary parties agree, the Director of Student Conduct and Community Standards will approve a mediator and set a date for a report from the mediator regarding progress. If the Director, in the Director's sole discretion, determines that mediation is

- unlikely to be successful, the Director may inform the necessary parties and initiate other procedures.
- (2) Restorative Justice. Restorative Justice serves primarily as a diversion program for Accused Students who have acknowledged responsibility for a Code violation and who wish to remedy the effects of the violation.
  - (a) The Director of Student Conduct and Community Standards will consider approving Restorative Justice in the following circumstances:
    - (A) The Accused Student acknowledges responsibility for a the Code violation;
    - (B) There are clearly identifiable negative impacts on either individuals or the community resulting from the violation; and
    - (C) The Accused Student and those impacted by the incident agree to participate in Restorative Justice.
  - (b) A Restorative Justice outcome shall not be binding unless approved by the Director of Student Conduct and Community Standards. An agreement reached through Restorative Justice will be enforced by the Office of Student Conduct and Community Standards.
- (3) The Director of Student Conduct and Community Standards may initiate procedures to make a determination of responsibility or, in the Director's discretion, to proceed pursuant to OAR 571-021-0240 if an Accused Student who participates in alternative dispute resolution fails to fulfill an obligation or otherwise fails to comply with the approved resolution.
- (4) Upon timely completion of a student's obligation arising from alternative dispute resolution, a student may provide to the Director of Student Conduct and Community Standards documentation of completion. If the Director of Student Conduct and Community Standards concludes the student fulfilled the student's obligation in a timely fashion, Director of Student Conduct and Community Standards will remove information regarding the violation from the student's record.

## 571-021-0230 - Emergency Action

- (1) The Director of Student Conduct and Community Standards or his or her designee may take emergency action regarding a Student when immediately necessary to secure the health or safety of any persons and there is an alleged violation of the Student Conduct Code.
- (2) Emergency Action includes, but is not limited to:
  - (a) Immediate withdrawal of the Student from the University;

- (b) Restrictions on the Student's presence on University Premises or at University Sponsored Activities.
- (3) The Director of Student Conduct and Community Standards may request that the Student secure a medical and psychological evaluation through the Student Health Center or at another facility at the Student's own expense. The evaluation may be used to determine the appropriateness of withdrawing the emergency action.
- (4) When the emergency action takes place, the Director of Student Conduct and Community Standards or designee will:
  - (a) Inform the Student of the reason for the emergency action;
  - (b) Give the Student the opportunity to explain why emergency action need not be taken;
  - (c) Inform the Student that a preliminary hearing will take place according to Paragraph (5) and that the Student will be informed of its time, place, and date; and
  - (d) Inform the Student of the possible restrictions that may be imposed prior to a panel hearing.
- (5) The preliminary hearing shall take place within two business days of the emergency action. At this hearing the Student shall have a full opportunity to demonstrate to the Director of Conduct and Community Standards that emergency action is not necessary pursuant to Paragraph (1). The Student may be represented by a student advocate or other counsel.
  - (a) Based on the reasonable evaluation of the information presented at the preliminary hearing, the Director of Student Conduct and Community Standards shall notify the Student within 24 hours of the decision to:
    - (A) Dissolve the emergency action and take no further action;
    - (B) Dissolve the emergency action but proceed to a full hearing regarding the Student's conduct pursuant to OAR 571-021-0210 of the Student Conduct Code; or
    - (C) Sustain or modify the emergency action until such time as a Hearings Panel may hold a hearing regarding the Student's conduct.
- (6) An emergency action shall be reviewed by Vice President for Student Affairs or his or her designee at the request of the Student no sooner than the next working day after the preliminary hearing. The review shall provide an opportunity for the Student to explain why an emergency action need no longer be imposed. Subsequent review of the same emergency action may be requested no more frequently than every ten days.
- (7) A Hearings Panel hearing subsequent to an emergency action shall occur no sooner than fourteen days after the emergency action is imposed, and shall be administered pursuant to

- 571-021-0210 of this Code. If the Director for Student Conduct and Community Standards agrees, the Student may waive the fourteen-day notice requirement in order to expedite the hearings process.
- (8) If emergency restrictions on an Student's housing or enrollment are removed, the Student will not be assessed any fees for reinstatement.

# 571-021-0240 - Imposition of Sanctions, Adjudication of Contempt and Failure to Complete Assigned Sanctions

- (1) A University Official, Hearings Panel or Student Organization that determines that an Accused Student violated the Student Conduct Code may impose sanctions authorized by this Code unless otherwise expressly limited. Sanctions are subject to appeal pursuant to OAR 571-021-0250.
- (2) A chair of a Hearings Panel or a University Official responsible for making a determination if a student has violated this Code may declare a Student participant in contempt of adjudicative proceedings pursuant to OAR 571-021-0120(1)(i) and impose sanctions without complying with the procedures otherwise required in this Code. Adjudication of contempt and sanctions imposed are subject to appeal pursuant to OAR 571-021-0250. Imposition of sanction and the circumstances that gave rise to it shall be reported to the Director of Conduct and Community Standards.
- (3) Failure to complete assigned sanctions. The University will use the procedures established by this section to enforce the timely completion of disciplinary sanctions issued under the Student Conduct Code.
  - (a) A Student who is found responsible for a Student Conduct Code violation and who receives a sanction will be informed orally by the Office of Student Conduct and Community Standards of the consequences of failing to complete the sanction. The Office of Student Conduct and Community Standards will also inform the student in writing of the consequences of failing to complete the sanction as part of the decision letter sent to the Student.
  - (b) If a Student fails to complete the disciplinary sanction by the assigned deadline, the Office of Student Conduct and Community Standards will send the Student a letter that states:
    - (A) The Student has five class days after the assigned deadline to provide verification to the Office of Student Conduct and Community Standards that the assigned sanction has been completed or the Student's record ability to register for classes, drop classes, or change grade options will be placed on hold;

- (B) Once the Student's record is on hold, the hold will not be removed until the Office of Student Conduct and Community Standards has received verification that the Student has completed the sanction; and
- (C) The Student is responsible for ensuring that the Office of Student Conduct and Community Standards receives verification of completion of the sanction.
- (c) When a hold is placed on a Student's record, the Office of Student Conduct and Community Standards will inform the Student in a letter that the hold has been placed, the consequences of the hold, and the actions required to have the hold removed.
- (d) The hold will be removed immediately once the Student provides verification of completion of the sanction.
- (e) A Student who is unable to register because the student has not completed a sanction may seek a waiver from the Office of Student Conduct and Community Standards. The Office of Student Conduct and Community Standards will grant a waiver, allowing the student to register, provided the Student agrees the Student's registration may be cancelled immediately and the hold reinstated if the Student has not completed the sanction by a deadline set by the Office of Student Conduct and Community Standards, in its sole discretion.

## 571-021-0250 - Appeals

The University Appeals Board (Appeals Board) is the final appeals body within the Student Conduct Program. As set forth in OAR 571-021-0165 this Appeals Board shall be responsible for reviewing substantive or procedural appeals from the decisions of a Hearings Panel.

- (1) A decision reached by the Hearings Panel may be appealed by the Accused Student or Complainant(s) to the Appeals Board within fourteen calendar days of the decision. Such appeals shall be in writing, state the basis for the appeal and be delivered to the Office of Student Conduct and Community Standards.
  - (a) An Accused Student who does not attend the hearing of the Hearings Panel may appeal only to show with direct information that the Accused Student did not receive notice of the hearing.
  - (b) A Complainant(s) who fails to attend the hearing of the Hearings Panel or fails to present information in a format approved by the Hearings Panel may appeal only to show with direct information that the Complainant did not receive notice of the hearing.
- (2) Except as the Appeals Board determines necessary to explain the basis of new information, an appeal is limited to a review of the verbatim record of the Hearings Panel and supporting documents:

- (a) To determine if the Hearings Panel hearing was conducted fairly in light of the complaint made and information presented and in conformity with procedures required in this Code, giving the Complainant a reasonable opportunity to present information, and giving the Accused Student reasonable notice and an opportunity to prepare and to respond to the allegations. A deviation from procedures required by this Code will not be a basis for sustaining an appeal unless significant prejudice results;
- (b) To determine whether the decision reached regarding the Accused Student was based on substantial information, that is, whether there were facts that, if believed by the Hearings Panel were sufficient to establish that a violation of the Code occurred;
- (c) To determine whether the sanction(s) imposed were commensurate with violation;
- (d) To consider new information sufficient to alter a decision or other relevant facts not brought out in the original hearing only if such information or facts were not known to the person appealing at the time of the hearing.
- (3) No decision of a Hearings Panel may be overruled except through an affirmative vote of a majority of the Appeals Board members present. If the Appeals Board overrules a decision in whole or in part, it may:
  - (a) Modify the decision or sanction; or
  - (b) Remand for further proceeding.
- (4) No appeal shall be allowed unless the party appealing cites specifically to the hearing record and states with specificity the grounds under which the appeal shall be allowed.
- (5) The University Appeals Board decision may be appealed to the extent provided in ORS Chapter 183.
- \*For on-line version of the Student Code of Conduct please see: http://www.uoregon.edu/~stl/programs/student\_judi\_affairs/conduct-code.htm

## Board of Trustees of the University of Oregon Academic and Student Affairs Committee Meeting September 10, 2014

Agenda Item #9

There are no materials for this section