# Lesson 4: Constructing a Knowledge Graph from Text Documents

**Note:** This notebook takes about 30 seconds to be ready to use. Please wait until the "Kernel starting, please wait..." message clears from the top of the notebook before running any cells. You may start the video while you wait.

### Import packages and set up Neo4j

```
In [1]:
            from dotenv import load_dotenv
            import os
            # Common data processing
            import json
            import textwrap
            # Langchain
            from langchain_community.graphs import Neo4jGraph
            from langchain community.vectorstores import Neo4jVector
            from langchain_openai import OpenAIEmbeddings
            from langchain.text_splitter import RecursiveCharacterTextSplitter
            from langchain.chains import RetrievalQAWithSourcesChain
            from langchain_openai import ChatOpenAI
            # Warning control
            import warnings
            warnings.filterwarnings("ignore")
```

```
In [2]:
         # Load from environment
            load dotenv('.env', override=True)
            NEO4J URI = os.getenv('NEO4J URI')
            NEO4J USERNAME = os.getenv('NEO4J USERNAME')
            NEO4J_PASSWORD = os.getenv('NEO4J_PASSWORD')
            NEO4J_DATABASE = os.getenv('NEO4J_DATABASE') or 'neo4j'
            OPENAI_API_KEY = os.getenv('OPENAI_API_KEY')
            # Note the code below is unique to this course environment, and not
            # standard part of Neo4j's integration with OpenAI. Remove if runnir
            # in your own environment.
            OPENAI_ENDPOINT = os.getenv('OPENAI_BASE_URL') + '/embeddings'
            # Global constants
            VECTOR_INDEX_NAME = 'form_10k_chunks'
            VECTOR NODE LABEL = 'Chunk'
            VECTOR SOURCE PROPERTY = 'text'
            VECTOR EMBEDDING PROPERTY = 'textEmbedding'
```

## Take a look at a Form 10-K json file

 Publicly traded companies are required to fill a form 10-K each year with the Securities and Exchange Commision (SEC)

- You can search these filings using the SEC's EDGAR database (https://www.sec.gov/edgar/search/)
- For the next few lessons, you'll work with a single 10-K form for a company called <u>NetApp</u> (https://www.netapp.com/)

```
| first file name = "./data/form10k/0000950170-23-027948.json"
 In [3]:
 In [4]:
             first_file_as_object = json.load(open(first_file_name))
             type(first_file_as_object)
 In [5]:
dict
 In [6]:  ▶ | for k,v in first_file_as_object.items():
                 print(k, type(v))
item1 <class 'str'>
item1a <class 'str'>
item7 <class 'str'>
item7a <class 'str'>
cik <class 'str'>
cusip6 <class 'str'>
cusip <class 'list'>
names <class 'list'>
source <class 'str'>
             item1_text = first_file_as_object['item1']
 In [7]:
 In [8]:
          | item1 text[0:1500]
```

'>Item 1. \nBusiness\n\n\nOverview\n\n\nNetApp, Inc. (NetApp, we, us or the Company) is a global cloud-led, data-centric software company. We wer e incorporated in 1992 and are headquartered in San Jose, California. Bui lding on more than three decades of innovation, we give customers the fre edom to manage applications and data across hybrid multicloud environment s. Our portfolio of cloud services, and storage infrastructure, powered b y intelligent data management software, enables applications to run faste r, more reliably, and more securely, all at a lower cost.\n\n\our opport unity is defined by the durable megatrends of data-driven digital and clo ud transformations. NetApp helps organizations meet the complexities crea ted by rapid data and cloud growth, multi-cloud management, and the adopt ion of next-generation technologies, such as AI, Kubernetes, and modern d atabases. Our modern approach to hybrid, multicloud infrastructure and da ta management, which we term 'evolved cloud', provides customers the abil ity to leverage data across their entire estate with simplicity, securit y, and sustainability which increases our relevance and value to our cust omers.\n\nIn an evolved cloud state, the cloud is fully integrated into an organization's architecture and operations. Data centers and clouds ar e seamlessly united and hybrid multicloud operations are simplified, with consistency and observability across environments. The key benefits NetAp p brings to an organization's hybrid multicloud envir'

## Split Form 10-K sections into chunks

Set up text splitter using LangChain

```
In [9]:
          text_splitter = RecursiveCharacterTextSplitter(
                 chunk size = 2000,
                 chunk_overlap = 200,
                 length function = len,
                 is_separator_regex = False,
             item1_text_chunks = text_splitter.split_text(item1_text)
In [10]:
In [11]:
             type(item1_text_chunks)
list
             len(item1_text_chunks)
In [12]:
254
             item1 text chunks[0]
In [13]:
```

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- Set up helper function to chunk all sections of the Form 10-K
- You'll limit the number of chunks in each section to 20 to speed things up

```
chunks_with_metadata = [] # use this to accumlate chunk records
                file_as_object = json.load(open(file)) # open the json file
                for item in ['item1','item1a','item7','item7a']: # pull these ke
                    print(f'Processing {item} from {file}')
                    item_text = file_as_object[item] # grab the text of the item
                    item_text_chunks = text_splitter.split_text(item_text) # spl
                    chunk_seq_id = 0
                    for chunk in item_text_chunks[:20]: # only take the first 20
                        form_id = file[file.rindex('/') + 1:file.rindex('.')] #
                        # finally, construct a record with metadata and the chur
                        chunks_with_metadata.append({
                            'text': chunk,
                            # metadata from Looping...
                            'f10kItem': item,
                            'chunkSeqId': chunk_seq_id,
                            # constructed metadata...
                            'formId': f'{form_id}', # pulled from the filename
                            'chunkId': f'{form_id}-{item}-chunk{chunk_seq_id:04
                            # metadata from file...
                            'names': file_as_object['names'],
                            'cik': file_as_object['cik'],
                            'cusip6': file_as_object['cusip6'],
                            'source': file_as_object['source'],
                        })
                        chunk_seq_id += 1
                    print(f'\tSplit into {chunk_seq_id} chunks')
                return chunks_with_metadata
```

Processing item7a from ./data/form10k/0000950170-23-027948.json Split into 1 chunks

Split into 1 chunks

{'text': '>Item 1. \nBusiness\n\n\nOverview\n\n\nNetApp, Inc. (NetApp, w e, us or the Company) is a global cloud-led, data-centric software compan y. We were incorporated in 1992 and are headquartered in San Jose, Califo rnia. Building on more than three decades of innovation, we give customer s the freedom to manage applications and data across hybrid multicloud en vironments. Our portfolio of cloud services, and storage infrastructure, powered by intelligent data management software, enables applications to run faster, more reliably, and more securely, all at a lower cost.\n\n\0 ur opportunity is defined by the durable megatrends of data-driven digita 1 and cloud transformations. NetApp helps organizations meet the complexi ties created by rapid data and cloud growth, multi-cloud management, and the adoption of next-generation technologies, such as AI, Kubernetes, and modern databases. Our modern approach to hybrid, multicloud infrastructur e and data management, which we term 'evolved cloud', provides customers the ability to leverage data across their entire estate with simplicity, security, and sustainability which increases our relevance and value to o ur customers.\n\nIn an evolved cloud state, the cloud is fully integrat ed into an organization's architecture and operations. Data centers and c louds are seamlessly united and hybrid multicloud operations are simplifi ed, with consistency and observability across environments. The key benef its NetApp brings to an organization's hybrid multicloud environment ar e:\n\n\n•\nOperational simplicity: NetApp's use of open source, open arch itectures and APIs, microservices, and common capabilities and data servi ces facilitate the creation of applications that can run anywhere.\n\n\• \nFlexibility and consistency: NetApp makes moving data and applications between environments seamless through a common storage foundation across on-premises and multicloud environments.',

```
'f10kItem': 'item1',
'chunkSeqId': 0,
'formId': '0000950170-23-027948',
'chunkId': '0000950170-23-027948-item1-chunk0000',
'names': ['Netapp Inc', 'NETAPP INC'],
'cik': '1002047',
'cusip6': '64110D',
'source': 'https://www.sec.gov/Archives/edgar/data/1002047/0000950170230
27948/0000950170-23-027948-index.htm'}
```

{'text': "•\nFlexibility and consistency: NetApp makes moving data and ap plications between environments seamless through a common storage foundat ion across on-premises and multicloud environments.\n\n\n•\nCyber resilie nce: NetApp unifies monitoring, data protection, security, governance, an d compliance for total cyber resilience - with consistency and automation across environments. \n\n\ocontinuous operations: NetApp uses AI-drive n automation for continuous optimization to service applications and stor e stateless and stateful applications at the lowest possible costs.\n\n •\nSustainability: NetApp has industry-leading tools to audit consumptio n, locate waste, and set guardrails to stop overprovisioning.\n\nProduc t, Solutions and Services Portfolio\n \n\nNetApp's portfolio of cloud s ervices and storage infrastructure is powered by intelligent data managem ent software. Our operations are organized into two segments: Hybrid Clou d and Public Cloud.\n\n\n\n\nHybrid Cloud\n\nHybrid Cloud \noffers a portfolio of storage management and infrastructure solutions that help cu stomers recast their traditional data centers into modern data centers wi th the power of the cloud. Our hybrid cloud portfolio is designed to oper ate with public clouds to unlock the potential of hybrid, multi-cloud ope rations. We offer a broad portfolio of cloud-connected all-flash, hybridflash, and object storage systems, powered by intelligent data management software. Hybrid Cloud is composed of software, hardware, and related sup port, as well as professional and other services.\n\nIntelligent data m anagement software",

```
'f10kItem': 'item1',
'chunkSeqId': 1,
'formId': '0000950170-23-027948',
'chunkId': '0000950170-23-027948-item1-chunk0001',
'names': ['Netapp Inc', 'NETAPP INC'],
'cik': '1002047',
'cusip6': '64110D',
'source': 'https://www.sec.gov/Archives/edgar/data/1002047/0000950170230
27948/0000950170-23-027948-index.htm'}
```

### Create graph nodes using text chunks

```
In [18]:  Merge_chunk_node_query = """
MERGE(mergedChunk:Chunk {chunkId: $chunkParam.chunkId})
    ON CREATE SET
        mergedChunk.names = $chunkParam.names,
        mergedChunk.formId = $chunkParam.formId,
        mergedChunk.cik = $chunkParam.cik,
        mergedChunk.cusip6 = $chunkParam.cusip6,
        mergedChunk.source = $chunkParam.source,
        mergedChunk.f10kItem = $chunkParam.f10kItem,
        mergedChunk.chunkSeqId = $chunkParam.chunkSeqId,
        mergedChunk.text = $chunkParam.text
        RETURN mergedChunk
"""
```

Set up connection to graph instance using LangChain

· Create a single chunk node for now

```
In [20]:
          ▶ kg.query(merge_chunk_node_query,
                      params={'chunkParam':first_file_chunks[0]})
[{'mergedChunk': {'formId': '0000950170-23-027948',
   'f10kItem': 'item1',
   'names': ['Netapp Inc', 'NETAPP INC'],
   'cik': '1002047',
   'cusip6': '64110D'.
   'source': 'https://www.sec.gov/Archives/edgar/data/1002047/00009501702
3027948/0000950170-23-027948-index.htm',
   'text': '>Item 1. \nBusiness\n\n\nOverview\n\n\nNetApp, Inc. (NetApp,
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\nFlexibility and consistency: NetApp makes moving data and applications
between environments seamless through a common storage foundation across
on-premises and multicloud environments.',
   'chunkId': '0000950170-23-027948-item1-chunk0000',
```

```
'chunkId': '0000950170-23-027948-item1-chunk0000',
'chunkSeqId': 0}}]
```

Create a uniqueness constraint to avoid duplicate chunks

[]

```
▶ kg.query("SHOW INDEXES")
In [22]:
[{'id': 1,
  'name': 'index_343aff4e',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'LOOKUP',
  'entityType': 'NODE',
  'labelsOrTypes': None,
  'properties': None,
  'indexProvider': 'token-lookup-1.0',
  'owningConstraint': None,
  'lastRead': None,
  'readCount': 0},
 {'id': 2,
  'name': 'index_f7700477',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'LOOKUP',
  'entityType': 'RELATIONSHIP',
  'labelsOrTypes': None,
  'properties': None,
  'indexProvider': 'token-lookup-1.0',
  'owningConstraint': None,
  'lastRead': None,
  'readCount': 0},
 {'id': 3,
  'name': 'unique_chunk',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'RANGE',
  'entityType': 'NODE',
  'labelsOrTypes': ['Chunk'],
  'properties': ['chunkId'],
  'indexProvider': 'range-1.0',
  'owningConstraint': 'unique chunk',
  'lastRead': None,
  'readCount': None}]
```

- · Loop through and create nodes for all chunks
- Should create 23 nodes because you set a limit of 20 chunks in the text splitting function above

```
node_count = 0
In [23]:
             for chunk in first_file_chunks:
                 print(f"Creating \cdot:Chunk\ node for chunk ID {chunk['chunkId']}")
                 kg.query(merge_chunk_node_query,
                         params={
                              'chunkParam': chunk
                         })
                 node_count += 1
             print(f"Created {node_count} nodes")
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0000
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0001
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0002
Creating
         `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0003
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0004
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0005
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0006
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0007
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0008
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0009
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0010
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0011
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0012
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0013
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0014
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0015
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0016
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0017
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0018
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1-chunk0019
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item1a-chunk0000
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item7-chunk0000
Creating `:Chunk` node for chunk ID 0000950170-23-027948-item7a-chunk0000
Created 23 nodes
             kg.query("""
In [24]:
                      MATCH (n)
                      RETURN count(n) as nodeCount
[{'nodeCount': 23}]
```

#### Create a vector index

```
kg.query("""
In [25]:
                      CREATE VECTOR INDEX `form 10k chunks` IF NOT EXISTS
                       FOR (c:Chunk) ON (c.textEmbedding)
                       OPTIONS { indexConfig: {
                          `vector.dimensions`: 1536,
                          `vector.similarity_function`: 'cosine'
                      }}
             """)
```

[]

```
▶ kg.query("SHOW INDEXES")
In [26]:
[{'id': 5,
  'name': 'form_10k_chunks',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'VECTOR',
  'entityType': 'NODE',
  'labelsOrTypes': ['Chunk'],
  'properties': ['textEmbedding'],
  'indexProvider': 'vector-1.0',
  'owningConstraint': None,
  'lastRead': None,
  'readCount': None},
 {'id': 1,
  'name': 'index_343aff4e',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'LOOKUP',
  'entityType': 'NODE',
  'labelsOrTypes': None,
  'properties': None,
  'indexProvider': 'token-lookup-1.0',
  'owningConstraint': None,
  'lastRead': None,
  'readCount': 0},
 {'id': 2,
  'name': 'index_f7700477',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'LOOKUP',
  'entityType': 'RELATIONSHIP',
  'labelsOrTypes': None,
  'properties': None,
  'indexProvider': 'token-lookup-1.0',
  'owningConstraint': None,
  'lastRead': None,
  'readCount': 0},
 {'id': 3,
  'name': 'unique_chunk',
  'state': 'ONLINE',
  'populationPercent': 100.0,
  'type': 'RANGE',
  'entityType': 'NODE',
  'labelsOrTypes': ['Chunk'],
  'properties': ['chunkId'],
  'indexProvider': 'range-1.0',
  'owningConstraint': 'unique_chunk',
  'lastRead': neo4j.time.DateTime(2024, 3, 18, 9, 51, 10, 234000000, tzin
fo=<UTC>),
  'readCount': 67}]
```

#### Calculate embedding vectors for chunks and populate index

 This query calculates the embedding vector and stores it as a property called textEmbedding on each Chunk node.

[]

```
Node properties are the following:
Chunk {textEmbedding: LIST, f10kItem: STRING, chunkSeqId: INTEGER, text:
STRING, cik: STRING, cusip6: STRING, names: LIST, formId: STRING, source:
STRING, chunkId: STRING}
Relationship properties are the following:
```

The relationships are the following:

### Use similarity search to find relevant chunks

· Setup a help function to perform similarity search using the vector index

· Ask a question!

```
▶ search_results[0]
In [31]:
```

{'score': 0.9358915090560913, 'text': '>Item 1. \nBusiness\n\n\nOverview\n\n\nNetApp, Inc. (NetApp, w e, us or the Company) is a global cloud-led, data-centric software compan y. We were incorporated in 1992 and are headquartered in San Jose, Califo rnia. Building on more than three decades of innovation, we give customer s the freedom to manage applications and data across hybrid multicloud en vironments. Our portfolio of cloud services, and storage infrastructure, powered by intelligent data management software, enables applications to run faster, more reliably, and more securely, all at a lower cost.\n\n\0

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## Set up a LangChain RAG workflow to chat with the form

```
In [32]:
             neo4j_vector_store = Neo4jVector.from_existing_graph(
                 embedding=OpenAIEmbeddings(),
                 url=NEO4J URI,
                 username=NEO4J USERNAME,
                 password=NEO4J_PASSWORD,
                 index name=VECTOR INDEX NAME,
                 node_label=VECTOR_NODE_LABEL,
                 text node properties=[VECTOR SOURCE PROPERTY],
                 embedding node property=VECTOR EMBEDDING PROPERTY,
             )
In [33]:
          ▶ retriever = neo4j vector store.as retriever()
```

- Set up a RetrievalQAWithSourcesChain to carry out question answering
- You can check out the LangChain documentation for this chain <a href="here">here</a> (https://api.python.langchain.com/en/latest/chains/langchain.chains.ga\_with\_sources.retrieval.Retrieva

· Ask a question!

NetApp's primary business is enterprise storage and data management, cloud storage, and cloud operations.

```
In [38]: ▶ prettychain("Where is Netapp headquartered?")
```

Netapp is headquartered in San Jose, California.

NetApp is a global cloud-led, data-centric software company that provides customers with the freedom to manage applications and data across hybrid multicloud environments.

Apple is a global cloud-led, data-centric software company headquartered in San Jose, California, that provides customers with the freedom to manage applications and data across hybrid multicloud environments.

I don't know.

## Ask you own question!

Add your own question to the call to prettychain below to find out more about NetApp

Here is NetApp's website if you want some inspiration: <a href="https://www.netapp.com/">https://www.netapp.com/</a>
 (<a href="https://www.netapp.com/">https://www.netapp.com/</a>)

```
In [42]: ▶ prettychain("""

ADD YOUR OWN QUESTION HERE
""")
```

I don't know.