

# **SAMPLE -TEMPLATE**

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**To**

**Dated: {DATE}**

**The Director,**

**Master Capital Services Limited  
1012, 10th Floor, Arunachal Building,  
19, Barakhamba Road, New Delhi-110001**

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**Sub:- Letter of Acceptance for availing Automated Trading  
Facility (ATF) & Authorization cum Undertaking**

ClientName - Ganpat Kumar Koli

DOB - 1998-05-15

ADDRESS - new dadabadi panchmukhi road Bhilwara Rajasthan India

GENDER - M

ZIP - 311001

EMAIL - nnagar1314@gmail.com

MOBILENO - 8770075264

UCC :  
In reference to my/our request for availing Automated Trading Facility

(ATF), I/We hereby acknowledge the receipt of the ATF (Product/Strategy) Note and Risk Disclosure Document. I/We have understood the risk associated with the Automated Trading Facility (ATF) and agree/ confirm&undertake as follows:

That I/we desire to avail the trading facility on the exchange's platform through ATF, as provided by the member broker, out of my/our own volition and not at the instance of any person including the member broker or its employee(s) or any of its Authorized Person (AP) (including representatives thereof) That ATF (Product/Strategy) Note, Risk Disclosure Document on Automated Trading Facility (ATF) have been provided to me/us by the Member Broker which is attached as Schedule-I to the present letter and which I/we duly acknowledge having signed the same. I/we have read and understood all the contents of these documents and characteristics and functionality of the strategy and I/we am/are satisfied with the features and risk factor of Automated Trading Facility (ATF) as being provided by the Member Broker.

That I/we understand that by availing ATF facility, I/we would not be required to place any specific order at the platform of the Exchange rather all the trades in my/our trading account/UCC would be placed automatically by the system in accordance with the ATF Product/Strategy/Note which has been signed by me/us. As such Clause No 13 of Rights & Obligations of Stock Brokers, Authorised Person and Clients as prescribed by SEBI in case of normal trading relating to placing of orders in writing and/or keeping records in relation to placing of orders shall not be applicable to me/us so long as I/we are enjoying the aforesaid ATF facility.

Signature \_\_\_\_\_

That I/we shall ensure availability of required deposits / funds for margin / settlement obligations, in respect of the trades effected through Automated Trading facility (ATF). I /We shall be responsible for all orders, including any order that may be executed without the

required Margin in my/our account,  
under any circumstances. I /We shall also be responsible for any  
claims/loss/damage arising out of  
any reason including square off of all/some positions due to the non-  
availability/shortage of margin  
with/without any notice to me/us required by the Member Broker and /or  
exchange(s) and /or SEBI  
or any other regulatory authority. I/We agree to have disclaimed and  
waived off any right to disown  
the transactions/order executed by the Member Broker under the plea  
that same have been executed  
without adequate margin.

I/We understand that the Automated Trading (ATF) being offered by  
the Member Broker is  
available on the CTCL terminal which can be operated only through a  
dealer at office of the  
Member Broker/its AP. Therefore, in order to use the automated trading  
facility, I/we hereby give  
our/my consent to map my/our UCC with the dealer(s) of my/our  
introducing AP and of member  
broker and give consent to such dealers, whom my/our UCC is mapped  
in the CTCL terminal(s),  
placing and executing the trades/orders in my /our UCC using the ATF.

Signature \_\_\_\_\_



That I/we hereby duly acknowledge & accept that by using Member Broker's ATF, there can be no guarantee of profit &/or no exception for losses while executing orders using the ATF. All trades are subject to market risks and are to my/our account and the Member Broker is not liable for the same for any reason whatsoever. It is clearly understood and agreed to by me/us that no service/facility in the nature of portfolio management/ advisory services etc. are contemplated under Automated Trading Facility &/or other the agreement(s) between me/us and Member Broker. No person working for or under Member Broker including dealer, relationship manager, or authorized person is permitted to provide any services, facilities except that of order placement in trading system using Automated Trading Facility (ATF) as consented by me/us as above. If I/we accept any portfolio management services/advice/any kind of trading arrangements/ facility

from any person including but not limited to dealer, relationship manager, or authorized person  
then in that event Member Broker shall in no way be responsible for the outcome of such  
services/facilities accepted by me/us and such arrangement if any between me/us and any person  
including the dealer , the relationship manager, or authorized person etc. shall be personal ,  
mutual and private relationship between me/us and such person(s) including dealer, relationship  
manager, or authorized person and I/we shall have no recourse/right against Member Broker  
whatsoever either in law or equity for any such dealings.

That I/we shall be solely responsible for all the losses, damages or other costs and consequences  
arising in any manner to me/us pursuant to the use of the Automated Trading Facility (ATF)  
through dealer of Member Broker/AP and shall not hold the Exchange/Member broker or its AP  
responsible in any manner whatsoever.

That I/We shall also be liable for order(s) placed and executed in my/our trading account with  
above mentioned UCC by using ATF as per the terms and conditions contained in the KYC, Contract  
Between Member Broker & Client, Rights & Obligations of Stock Brokers, Authorised Person &  
Clients, Risk Disclosure Document, Policy & Procedures, Guidance Note-Do's & Don't for trading,  
Standing Instructions/Authorizations and other documents (collectively referred as KYC and  
Other Trading Documents) executed with Member Broker from time to time and any amendments  
thereto, through notification or otherwise, for my/our trading account with Member Broker  
under the above referred UCC. I/we clearly understand that the terms and conditions contained  
in the present letter of acceptance are in addition to KYC and Other Trading Documents and all  
such documents and the present letter of acceptance shall be read together as a whole and in case  
of any conflict, the special conditions contained in the present letter of acceptance shall have the  
precedence over the general terms contained in any other document in relation to any dispute  
where trading has been carried out under ATF facility..

That I/We agree to indemnify Member Broker and keep Member Broker

indemnified against all losses, damages and actions which Member Broker may suffer or face as a consequence of adhering to and carrying out transactions through ATF or otherwise in my/our said UCC.

I/We have read & understood the above mentioned clauses, strategy/product note, risk associated with Automated Trading Facility (ATF) and given my/our acceptance as per my/our own volition. I

am/we are aware that I /we can revoke this undertaking at any time by giving notice in writing by

Registered Post to The Director, Master Capital Services Ltd., 1012, 10th Floor, Arunachal Building, 19,

Barakhamba Road, New Delhi - 110001, subject to clearance/discharge of my/our financial and other

obligations towards the Member broker/its AP arising out of trades in my/our said UCC executed

using ATF till end of the day of receipt of my/our withdrawal letter by Member Broker.

Thanking you,

Yours faithfully

Signature -

Client Name - Ganpat Kumar Koli