# **Data Structures HW4 Report: Analysis of Sorting Algorithms**

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## < Explanation of Sorting Algorithms >

#### **Bubble Sort**

In bubble sort, the array of numbers is divided into an unsorted section and a sorted section. The unsorted section is on the left-hand side (front of the array) and initially consists of the entire array, while the sorted section is on the right-hand side (end of the array) and is initially empty. We iterate through the integer array starting from the leftmost element (index 0), comparing two adjacent elements at a time. If the element on the left is greater than the element on the right, the two elements are swapped. After each iteration, the index for the last element of the unsorted array is reduced by 1 because the newly sorted element should be excluded from the comparisons in the future iterations. Finally, to increase the efficiency of bubble sort, I declared a Boolean variable that records whether any swapping has occurred during each iteration through the integer array. If an iteration did not have any swapping event, then the array is sorted.

#### **Insertion Sort**

Unlike bubble sort, insertion sort places the sorted section on the left-hand side and the unsorted section on the right-hand side. In each iteration, the leftmost element in the unsorted section is added to the sorted section in the right spot. Iteration begins with the second element (index 1) because the first element is considered to be already sorted, and the element to be inserted (declared as insertionItem) is compared with the left adjacent elements in the sorted section one by one. If insertionItem is less than the left adjacent element and we have not reached the front of the array, the left element is shifted to the right. If insertionItem is greater than the left adjacent element or it reaches the front the array, it is inserted into that current position. After each iteration, the number of sorted elements increases by 1, so the index for the last element of the sorted array and the maximum number of comparisons increments after each iteration.

#### **Heap Sort**

We first converts the original array into a heap by calling BuildHeap(), in which we iterate through each internal node and percolate down so that each parent node consists only of child nodes that are smaller. After the array is heapified, we iterate through the array starting from the last element and call deleteMax(), which swaps the values of the current node with the root node because it the root node is guaranteed to carry the maximum value. The element swapped into the root node is

then percolated down to its appropriate position. Note that there are two percolate down functions in my code (percolateDownBuildHeap() and percolateDownHeapSort()) because when percolating down during sorting, we need to adjust the index of the last element to exclude the already sorted elements. The algorithm logic of the two functions is the same, however.

### Merge Sort

I created the more efficient version of Merge Sort, which uses a clone of the original array (line 192~195) which we will alternate back and forth during the sorting process. In switchingMergeSort(), we calculate the the middle index of the array and recursively call switchingMergeSort() on the two divides halves of the unsorted array. This recursion continues until each subarray contains a single element. Then, the 2 subarrays are merged into a sorted array by calling switchingMerge(). In switchingMerge(), the array passed in as C is used just for reference, and the array passed in as D the final array that will carry the sorted array. We declare two integers i and j that each point to the first element of each subarray within the assistant array C. These two elements are compared, and the smaller one is inserted into array D. If either subarray is exhausted, then no more comparisons are needed, and the remaining elements in the other subarray are inserted one by one to the array D. Note that the "subarrays" mentioned here are not two separate arrays, instead the integers i and j both point to elements in array C that would correspond to two different subarrays in a normal Merge Sort. This version of Merge Sort is more efficient because it alternates back and forth between the original array A and cloned array B, eliminating the need to copy the sorted array from a temporary array to the original array during each merge step.

# **Quick Sort**

In DoQuickSort(), a "pivot element" in the integer array is first selected, which is used as a standard for comparison. On the initial call of DoQuickSort(), the pivot element is usually set as the last element of the original array. The array is then partitioned based on this pivot element by calling Partition(), where each of the remaining elements in the array are compared to the pivot element and placed to the left if its value is smaller, and to the right if its value is greater. After partitioning is done, the function returns the index of the pivot element, and DoQuickSort() is called recursively on the two partitioned arrays on the left and right of the pivot element.

#### **Radix Sort**

In Radix Sort, elements are sorted by comparing the place values of the elements starting from the least significant digit to the most significant digit. For this, we first need to find the element with the greatest value because we need to know how many significant places to iterate through.

The accessory sorting mechanism used for sorting in each significant place is Counting Sort, a stable sorting algorithm that works by counting the number of times that each unique element appears in the array. In this counting array, each unique element of the array to be sorted is used as the index value, under which each count is stored. In this case, the unique elements are values between 0 (inclusive) and 10 (exclusive) because we are comparing elements based on place values. We then iterate through each element of the counting array, reassigning each element as the cumulative sum of all values to the left. Finally, the index of each element in the original array is found in the counting array, through which we can derive the position of the element in the sorted array.

Since we must consider both negative and positive values, I separate the negative elements and positive elements are separated into 2 different arrays, apply Radix Sort on each array individually, then merge them at the end.

# <Time Complexity Comparison & Analysis>

#### **Comparison Between Various Sorting Algorithms**

To compare the time taken (in ms) by various sorting algorithm to sort the same given arrays, the following conditions were commonly applied to all sorting algorithms during the test runs.

- Command given: r 100000 -200000000 200000000
- Number of trials for each sorting algorithm: 20 times (the same 20 arrays were given to each)

	Bubble Sort	Insertion Sort	Heap Sort	Merge Sort	Quick Sort	Radix Sort
Minimum	14354	997	24	47	31	78
Maximum	15872	1159	78	71	68	171
Average	14998.2	1067.3	60	54.8	48.5	114.9
Standard Deviation	416.659	37.92111	10.49311	8.211673	7.990125	23.77726

From the table above, it can be seen that the basic sorting algorithms Bubble Sort and Insertion Sort takes significantly longer time compared to the other sorting algorithms. In the worst case and average case, both Bubble Sort and Insertion Sort have a time complexity of  $\Theta(n^2)$  because they both have a nested looped inside another for loop. However, we can see from my results that the average time taken for Insertion Sort is much shorter than that for Bubble Sort. This is likely because of the difference in time complexity of the best case between the two sorting algorithms. The best-case time complexity for Bubble Sort is  $\Theta(n^2)$  which is the same as the worst and average case, but the best-case time complexity for Insertion Sort is  $\Theta(n)$  which occurs when an already-sorted array is

given. Hence, if some of the test arrays were more sorted, then insertion sort would have been faster than bubble sort, giving a lower average time taken.

The more advanced sorting algorithms Heap Sort, Merge Sort, and Quick Sort have the shortest average amount of time taken in the ascending order of Quick Sort, Merge Sort, and Heap Sort. But the differences are not large enough to just whether one is significantly faster than the other.

In my experiment, Radix Sort took longer time than Heap Sort, Merge Sort, and Quick Sort. In theory, Radix Sort should have an average time complexity of  $\Theta(n)$ , but this was not the case in my experiment, which may be because my code for Radix Sort sorts the negative elements and positive elements separately in two arrays and undergoing a merge step.

## **Quick Sort – Comparison Between Worst Case and Average Case**

The time complexity for Quick Sort in the <u>average case</u> is  $\Theta(nlogn)$ , but the time complexity of the <u>worst case</u> (all identical elements or already-sorted array) is  $\Theta(n^2)$  because in these cases, we get two highly unevenly partitioned subarrays. The following table shows the data comparing the time taken by Quick Sort to sort arrays either with all identical elements or random elements. In both conditions, I inputted an array of  $10^5$  elements and ran 20 trials each.

	All Identical Elements	Random Elements
Minimum	11	0
Maximum	43	16
Average	29.5	4.15
Standard Deviation	6.881134	6.702798

**Heap Sort – Comparison Between Best Case and Average Case** 

The time complexity for Heap Sort in the <u>average case</u> is  $\Theta(nlogn)$ , but the time complexity of the <u>best case</u> (all identical elements) is  $\Theta(n)$  because when all the elements are identical, percolateDown only takes 1 step, hence it is proportional to n. The table below shows a comparison in time taken by Heap Sort in the two different conditions. In both conditions, I inputted an array of  $10^4$  elements and ran 20 trials each.

	All Identical Elements	Random Elements	
Minimum	0	15	
Maximum	16	34	
Average	9.4	29	
Standard Deviation	7.123202	5.089204	