

# Example of the Research Process:

## Rethinking WAR for Starting Pitchers

Suppose I want to start a sports analytics research project.

But, I don't have any ideas right now.

A fantastic way to get started is to simply read about something you're interested about.

Perhaps you were listening to a podcast on which someone mentioned that Roger Clemens has the most career Wins Above Replacement (WAR) of all time, 133.7, according to FanGraphs.

You may have also heard that Pedro Martinez in 1999 has the highest single season WAR of all time, 11.6, according to FanGraphs.

You may think that WAR is a really cool concept, and it makes some intuitive sense

over why it seems like a nice way to evaluate pitchers, and more generally, all players.

Wins Above Replacement — Replace a player with a replacement-level player (e.g. the best guy you could get on waivers), how many fewer wins would the team have, assuming average teammates and opponents?

Historical WAR — how many wins above replacement did a player have last season?

Predictive WAR — how many wins above replacement will a player have next season?

These 2 ideas are often conflated, but they should be separated.

Implementation take a player's observed performance, ignoring/adjusting for things that he is not responsible for, and map that to Wins

Say you don't know the math behind WAR, although you are curious to learn. So, you **Read**.

The most widely used/accepted public WAR implementations are from FanGraphs and Baseball Reference.

FanGraphs WAR for pitchers:

<https://library.fangraphs.com/war/calculating-war-pitchers/>

Baseball Reference WAR for pitchers:

[https://www.baseball-reference.com/about/war\\_explained\\_pitch.shtml](https://www.baseball-reference.com/about/war_explained_pitch.shtml)

When you Read about WAR for pitchers, a few things catch your eye:

\* WAR involves mapping a pitcher's performance  
(e.g., FIP for FanGraphs  
xRA for Baseball Reference)  
to Wins

$$\text{ifFIP} = \frac{13 \cdot \text{HR} + 3 \cdot (\text{BB} + \text{HBP}) - 2 \cdot (\text{K} + \text{IFFB})}{\text{IP}} + c$$

### Fielding Independent Pitching (with Infield Flies!)

The first thing you need to do to calculate a pitcher's WAR is to calculate their FIP. Unfortunately for those of you playing along at home, you can't simply take the pitcher's FIP from their player page because we treat **infield fly balls (IFFB) as strikeouts for the purposes of WAR** but not for the general FIP calculation found on the player's page. We'll call this ifFIP to avoid confusion. Here is the formula:

$$\text{ifFIP} = ((13 * \text{HR}) + (3 * (\text{BB} + \text{HBP})) - (2 * (\text{K} + \text{IFFB}))) / \text{IP} + \text{ifFIP constant}$$

This is the traditional FIP formula, but with IFFB added in as strikeouts. However, keep in mind that you also need to calculate a special ifFIP constant and can't just grab "cFIP" from our guts page.

$$\text{ifFIP Constant} = \lg \text{ERA} - (((13 * \lg \text{HR}) + (3 * (\lg \text{BB} + \lg \text{HBP})) - (2 * (\lg \text{K} + \lg \text{IFFB}))) / \lg \text{IP})$$

$xRA$  = expected runs allowed  
= ignoring the ordering e.g. 1B, out, out, 1B, HR, out  
v.s. HR, 1B, 1B, out, out, out

and just using the events 1 HR, 2 1B, 3 out,  
what is the expected runs allowed of the inning?

- \* there are a series of convoluted adjustments on top of the base metric
  - (e.g., league adjustment  
team defense adjustment)
- \* WAR involves mapping a pitcher's performance averaged over the entire season into wins
  - if FIP: divides by IP
  - $xRA$ : cumulative seasonal  $xRA$

Thoughts: averaging pitcher performance over the course of a season  
Seems weird

Let's explore some implications of this modeling assumption.

Ex

game	1	2	3	4	5	6	total
earned runs	0	10	1	2	1	1	15
innings pitched	9	4	6	7	8	7	41

Table 1: Max Scherzer's performance over six games prior to the 2014 All Star break.

4 dominant performances  $\rightarrow \geq 4$  wins

$$\frac{15 \text{ Runs}}{41 \text{ IP}} \times 9 \frac{\text{innings}}{\text{game}} = \frac{3.66 \text{ Runs}}{\text{Complete game}}$$

$3.66 \frac{\text{Runs}}{\text{Complete game}} \approx 0.55 \frac{\text{Win probability}}{\text{Complete game}}$

$\rightarrow \approx 3.30 \text{ wins}$

over 6 games

Big difference b/t  $\geq 4$  and 3.3 wins !

Ex Would you rather have pitcher A or pitcher B?

A: 5 Runs in each game

B: Alternates b/t 10 and 0 Runs  
in each complete game

All else the same, existing WAR methodologies value these 2 pitchers the exact same.

Would rather have pitcher B though...

Ex Pitcher A: alternates between allowing 7 and 0  
Runs per complete game

Pitcher B: alternates between allowing 14 and 0  
Runs per complete game

Existing WAR:  $A \sim 3.5$  runs/game  
 $B \sim 7$  runs/game

$$A > B$$

"Real" WAR: Both A and B win half of their games.

$$A \approx B$$

"You can only lose a game once"

"Not all runs have the same value"

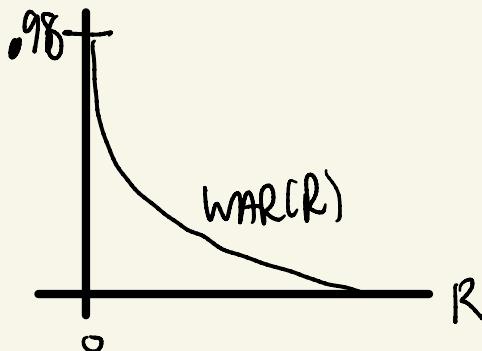
→ the 8<sup>th</sup> run allowed in a game is "worth" less than the 1<sup>st</sup>

→ the marginal difference in win probability between allowing the 7<sup>th</sup> vs. 8<sup>th</sup> run is less than the marginal difference in game WAR between allowing

the 1<sup>st</sup> and 2<sup>nd</sup> Run,  
since you're essentially already  
lost the game

→ if  $R \mapsto \text{WAR}(R)$  is game WAR  
as a function of Runs allowed,  
then  $\text{WAR}(R) - \text{WAR}(R-1)$   
gets smaller as  $R$  gets bigger

→  $R \mapsto \text{WAR}(R)$  should be convex



Problem : Averaging pitcher performance over the course of the season is clearly wrong

- it ignores the game-by-game variance in pitcher performance
- $R \mapsto \text{WAR}(R)$  should be convex  
(i.e., not all Runs should have the same value)
- a win is the fundamental result of a game,  
not a season

**Goal:** Fix this problem.

Make one incremental improvement [Research].

→ calculate historical WAR in each individual game  
seasonal) WAR is the sum of game WAR.

Task Game WAR for starting pitchers

How to do this?

English → Math

(TM)

{  
WAR    WAR = wins above Replacement  
Wins     $W =$  How many wins did Scherzer  
contribute in this game?  
Above Replacement  $W_{Rep} =$  How many wins a  
replacement-level pitcher  
would've contributed  
 $WAR = W - W_{Rep}$

One step at a time

Begin with Wins  $W$

Wins How many wins did Scherzer contribute in this game?

Math: win probability

Pitcher valuation: we only want to judge Scherzer using things he's responsible for

Scherzer's game performance:	Runs Allowed	R	because winning a game is defined by Runs
	exit inning	I	
	exit base-state	S	
	exit outs	O	

Confounders, e.g.

Variables that affect his performance:

PARK

opposing team's batting quality

his team's fielding quality

contextual

variables that affect the win probability

league (NL vs. AL), season

Variables that don't affect his performance

and so we shouldn't judge him with:

his team's batters/opposing team's defense

## Start Simple

Begin with the easiest version of the task.  
Then, iterate on top of that.

\* Begin just with Scherzer's observed performance.  
Adjust for confounders later.

Task given Scherzer's performance,  
what's his team's win probability  
when he exits the game?

Context-neutral: assume league-average offenses, defenses,  
ignore his own team's runs scored

Start simple: assume he finishes the  
inning, so ignore (S, O)

Model the function

$$f = f(I, R) =$$

assuming both teams have  
league-average offenses,  
compute the probability a team  
wins a game after giving up R  
runs through I complete innings

Since  $f(I, R)$  can be visualized as a 2D grid,  
we name our WAR Grid **WAR**.

This is the simplest version of the question, and it is nontrivial.