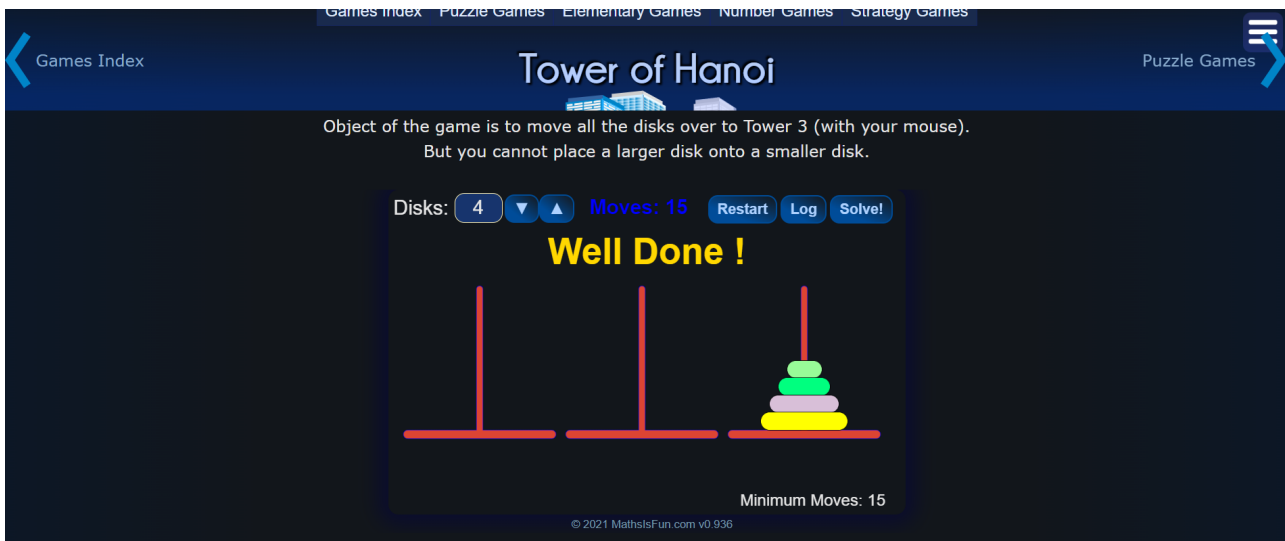


Problem Set 3

Question 1

(a)



(b) Let $T(n)$ be the minimum possible number of moves required to solve the game when there are n disks. For example, $T(2) = 3$ and $T(3) = 7$.

Clearly explain why $T(4) = 15$, showing it is possible to solve this game in exactly 15 moves and proving why it is impossible to solve this game in 14 (or fewer) moves.

(c) Find a recurrence relation for $T(n)$ and clearly and carefully explain why that recurrence relation holds. Then solve the recurrence relation using any method of your choice to determine a formula for $T(n)$ that is true for all integers $n \geq 1$.

NOTE :

I will provide the solution of both C (finding the recurrence relation) and B since both C and B are interdependent on each other. You can prove B using the solution for C.

Let's quantify what the Tower of Hanoi problem states before we move to this problem:

Goal:

Move all disks from a *Start* index peg to *End* index peg.

Rules:

1. You can only move one disk at a time.
2. A larger disk cannot be placed on top of a smaller disk.
3. You can use an intermediate peg (in addition to the source and destination pegs) to achieve the goal.

According to the problem $T(N)$ is the minimum number of moves required to solve Tower of Hanoi for N disks.

To prove $T(4) = 15$, we can prove $T(N)$ for all positive N and then substitute the value of N as 4.

However, to carry on with this proof, we need to devise an algorithm. Hence, let's look at the cases with disks = 1, 2 and 3 first as our base cases.

$T(N)$ where $N=1$, can be solved by $1 \rightarrow 3$.

$T(N)$ where $N=2$ can be solved by $1 \rightarrow 2, 1 \rightarrow 3, 2 \rightarrow 3$. This notation represents the order of moving the topmost disk on the stack from the first numbered peg to the second numbered peg after the arrow \rightarrow .

For $T(N)$, $N=3$ can be solved: $1 \rightarrow 3, 1 \rightarrow 2, 3 \rightarrow 2, 1 \rightarrow 3, 2 \rightarrow 1, 2 \rightarrow 3, 1 \rightarrow 3$. Here we get the total number of steps as 7.

We can observe a pattern here. The total number seems to be equal to $2^N - 1$, and the problem can be solved in this manner :

1. Move $N - 1$ disks from start to the extra peg, using the destination peg as a temporary peg for the extra peg. In this case, peg 2 is the extra peg and peg 3 is the end or destination peg ($T(N - 1)$ moves).
2. Move the largest disk from source to destination (+1 move).
3. Now move $N - 1$ disks from extra peg to destination peg, using the start peg as a temporary peg ($T(N - 1)$ moves).

Total number of moves = $2T(N - 1) + 1$.

Using the recurrence relation and starting from $T(1)$, we can calculate $T(2)$, $T(3)$, $T(4)$, and so on:

- $T(1) = 1$
- $T(2) = 2 * T(1) + 1 = 2 * 1 + 1 = 3$
- $T(3) = 2 * T(2) + 1 = 2 * 3 + 1 = 7$
- $T(4) = 2 * T(3) + 1 = 2 * 7 + 1 = 15$

In general, $T(N) = 2T(N - 1) + 1$ for any positive integer k .

Let's try to build an argument using substitution.

$$T(N) = 2T(N - 1) + 1$$

$$T(N - 1) = 2T(N - 2) + 1$$

$$T(N - 2) = 2T(N - 3) + 1$$

$$T(N) = 2(2(2T(N - 3) + 1) + 1) + 1 \Rightarrow 2^3T(N - 3) + 2 * 2 + 2 + 1$$

at the k^{th} value-

$$T(N) = 2^kT(N - k) + 2^{k-1} + 2^{k-2} + 2^{k-3} + \dots + 2^1 + 2^0$$

to calculate the value of k , we can look at our base cases :

$$T(1) = 1 \rightarrow N - k = 1 \Rightarrow k = N - 1$$

substituting this value into the equation.

$$T(N) = 2^{N-1}T(N - (N - 1)) + 2^{N-1-1} + 2^{N-1-2} + \dots + 2^1 + 2^0$$

$$T(N) = 2^{N-1}T(1) + 2^{N-2} + 2^{N-3} + \dots + 2^1 + 2^0$$

$$T(N) = 2^{N-1} + 2^{N-2} + \dots + 2^3 + 2^2 + 2^1 + 2^0$$

$$T(N) = 2^N - 1$$

Hence from the proof, we can conclude for sure that when $N = 4$, $T(N) = 15$ and no less since this is proved for the minimum number of moves.

(d) Substitute $n = \log(m)$ into your recurrence relation for $T(n)$ above, and use the Master Theorem to prove that $T(n) = \Theta(2^n)$. Briefly explain how and why your formula in part (c) is indeed $\Theta(2^n)$.

Let's substitute $n = \log(m)$ in our recurrence relation $T(n) = 2T(n - 1) + 1$.

\therefore The relation becomes : $T(\log(m)) = 2T(\log(m) - 1) + 1$

Since $\log_2(2) = 1$

$$\begin{aligned} T(\log(m)) &= 2T(\log(m) - \log(2)) + 1 \\ &\Rightarrow 2T(\log(m/2)) + 1 \end{aligned}$$

we can substitute $T(\log(m))$ to be a function $f(m)$

$$f(m) = 2(f(m/2)) + 1$$

Applying masters theorem on the last equation we have $a = 2$, $b = 1$.

According to Case 1 of master theorem, $f(m) = O(m^{\log(2)}) = O(m)$

Now, we assumed $n = \log(m)$ initially. Hence $m = n^2$

$$\Rightarrow T(n) = \Theta(n^2)$$

We can further prove that $T(n) = \Theta(n^2)$ using the argument in part (c), where I use iterative substitution to arrive at the answer.

Credits to **Hakshay Sundar** for pointing me in the right direction with this question when I was stuck on using a transformation function incorrectly.

Question 2

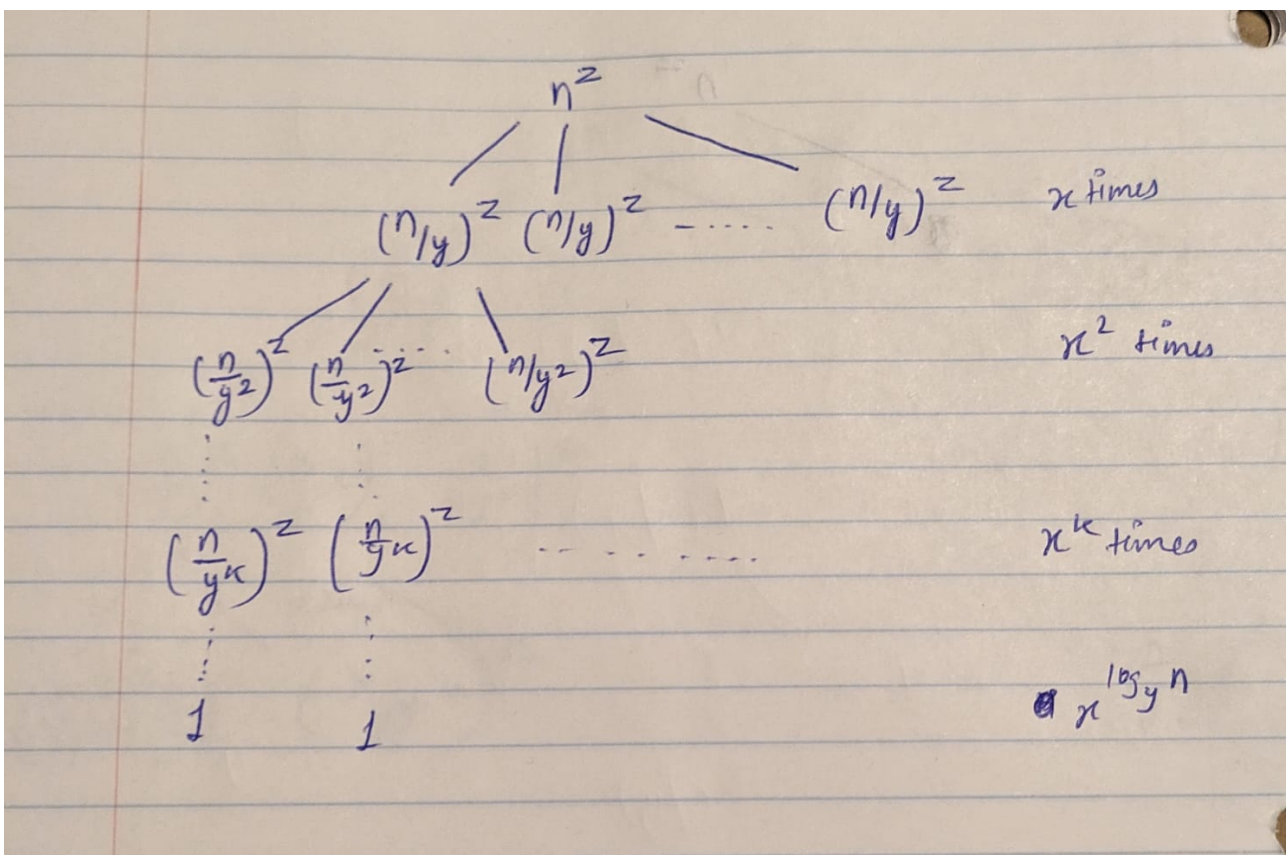
Let x, y, z be real numbers for which $T(1) = 1$ and $T(n) = xT(n/y) + n^z$.

(a) If $z < \log_y(x)$, prove that $T(n) = \Theta(n^{\log_y(x)})$.

(b) If $z = \log_y(x)$, prove that $T(n) = \Theta(n^{\log_y(x)} \log_y n)$.

If $z > \log_y(x)$, prove that $T(n) = \Theta(n^z)$.

Let's try reducing $xT(n/y)$ through a recursion tree.



For the first level, there are x number of nodes and the sum of the nodes is simply the work done, which is $x(n/y)^z$.

For the second level, there are x nodes of each of the previous x nodes on the first level, hence the total is $x^2(n/y^2)^z$. Similarly at the k^{th} level, we have done work $x^k(n/y^k)^z$.

The grand sum will be $x(n/y)^z + x^2(n/y^2)^z + \dots + x^k(n/y^k)^z$. Since the tree branches out exponentially, we know that the total number of levels will be $k = \log_y n$ where all the elements are split to 1.

This sum can be represented as : $\sum_{k=0}^{\log_y n} n^z (x/y^z)^k$.

(a) Here, $z > \log_y x$, we get through geometric progression,

$$n^z (a/b^z)^{\log_y n}$$

This can be simplified to

$$\begin{aligned} n^z (a^{\log_y n} / b^{z \log_y n}) \\ \Rightarrow n^z (n^{\log_y a} / n^z) \\ \Rightarrow n^{\log_y a} \end{aligned}$$

using logarithmic identities.

Hence $T(n) = \Theta(n^{\log_y a})$ for (a)

(b) Here, $z = \log_y x$, hence our summation becomes:

$$\begin{aligned} \sum_{k=0}^{\log_y n} n^z (x/y^z)^k &\Rightarrow \sum_{k=0}^{\log_y n} n^{\log_y x} (x/y^{\log_y x})^k \\ &= \sum_{k=0}^{\log_y n} n^{\log_y x} (1)^k = (1 + \log_y n) n^{\log_y x} = O(\log_y n) n^{\log_y x} \end{aligned}$$

Hence Proved that $T(n) = \Theta(\log_y n) n^{\log_y x}$

(c) Here, $z < \log_y x$, hence through geometric progression our summation assumes the form:

$$\sum_{k=0}^{\log_y n} n^z (x/y^z)^k = (n^z)$$

Hence $T(n) = \Theta(n^z)$

(d) $T(n) = 7T(n/2) + n^2$

Strassen's algorithm takes on the form : $T(n) = 7T(n/2) + n^2$

Here, $x = 7$ and $y = 2$.

Now, $\log_2(7) = 2.807..$

Hence $z = 2 < \log_y x = \log_2(7)$

Hence case (c) applies, so the algorithm is of $T(n) = \Theta(n^2)$ and runs in $O(n^2)$ time.