CS307

Database Principles

Stéphane Faroult sfaroult@roughsea.com

朱悦铭 Zhu Yueming zhuym@sustc.edu.cn

UNPREDICTABLE

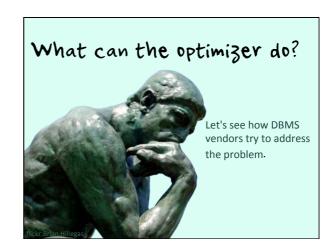
is worse than

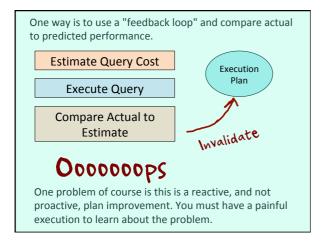
SLOW

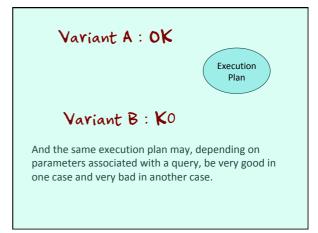
We have seen that because bind variables are checked when parsing and their values used to decide the execution plan, it can lead to plan instability when a query has aged out of the cache and is reparsed with different parameters.

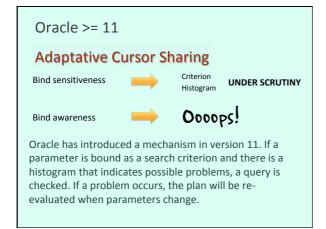
This is why some DBA may try (when the DBMS allows it) to "attach" an execution plan known to be OK to a query. This is a short-term fix.

"Attach" execution plan to query









SQL Server

"Recompile" directive in SQL statement

SQL Server has a slightly cruder mechanism, a directive that you can add to a problem query that asks for it to be recompiled at each execution.

ORACLE'

Dynamic sampling 0 ... 2 ... 10

Occurs during hard-parse

When set to 3 and above tries to validate guesses

An interesting, and often effective, feature in Oracle is "dynamic sampling" which basically asks the optimizer to guess less and check data a bit more.

A Developer perspective

Full control of the application

Let's now see performance from the perspective of the developer. The developer CAN change the application. One problem is that, if DBAs are often seasoned professionals, in many cases developers are the cheapest beginners that could be found.

Because too many applications are written carelessly, a lot of time is devoted to optimizing.

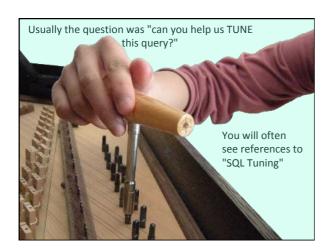
OPTIMIZING

Getting the best out of a given situation.

There is only so much than you can do. If the design is bad, you may be able to improve things here and there, but not necessarily enough.

(OR REWRITING) AN SQL QUERY

I have been asked umpteen time to help with SQL.

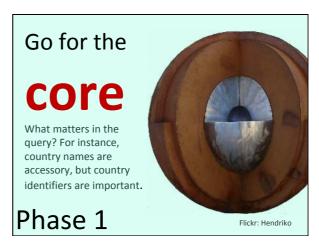


Whenever you are asked to tune a query, expect a full plate of spaghetti SQL, with multiple subqueries and 42 joins. If it were well written, there wouldn't be any problem in the first place.

The toughest part of the job is getting a sense of what obvious beginners were trying to achieve.

What the ♠ @ ♣ #§!! are they trying to do?





Remove what doesn't



the result set

What is really important is what determines the magnitude of the number of rows returned by the query. When you rewrite a big, ugly query, first get rid of everything that changes nothing or little to the final number of rows returned.

Joins to tables without any col = constantcondition

When you join to tables to which no direct criteria are applied, are these joins really important?

foreign key or **NOT** foreign key



If there is a foreign key, probably not: you know that for every row you'll find a match in the other table, so the join won't affect the number of rows returned.

No other condition select ... from TA, TB on TB where .. and TA.C = TB.C If C is a foreign key for A that references B, TB DOESN'T belong to the core of the query If some values of C in TA ARE NOT in TB TB BELONGS to the core of the query In that case the join filters rows.

```
select distinct
cons.id,
coalesce(cons.definite_code, cons.provisional_code) dc,
cons.name,
cons.supplier_code,
cons.registration_date,
col.rco_name

from weighing w
    inner join production prod
        on prod.id = w.id
        inner join process_status prst
        on prst.prst_id = prod.prst_id
    left outer join composition comp
        on comp.formula_id = w.formula_id
    inner join constituent cons
        on cons.id = w.id
    left water join cons_color col
        on col.rcolor_id = cons.rcolor_id
    vere prod.usr_id = :userid
    nd prst.prst_code = 'PENDING'

Real life example. Two search criteria on two
distinct tables, joined to each other..
```

```
select distinct

cons.id,
coalesce(cons.definite_code, cons.provisional_code) dc,
cons.name,
cons.supplier_code
cons.registrativ_date,
col.reg
from wrighting w
inner join production prod
on prod.id = w.id
inner join process status prst
on prst.prst_id = prod.prst_id
left outer join composition comp
on comp.formula_id = w.formula_id
inner join constituent cons
on cons.id = w.id
left outer join cons_color col
on col.rcolor_id = cons.rcolor_id
where prod.usr_id = :userid
and prst.prst_code = 'PENDING'

Most returned values from one table, joined to the
others through "w".
```

```
select distinct
        cons.id,
        coalesce(cons.definite code,
                  cons.provisional_code) dc,
        cons.name
        cons.supplier code,
        cons.registration_datefrom weighing w
     inner join production prod
    on prod.id = w.id
            inner join process_status prst
     on prst.prst_id = prod.prst_id left outer join composition comp
              on comp.formula_id = w.formula_id
     inner join constituent cons
              on cons.id = w.id
where prod.usr_id = :userid
and prst.prst_code = 'PENDING'
 As a first step we'll consider this to be the core of the
query.
```

Optimize the core of the query

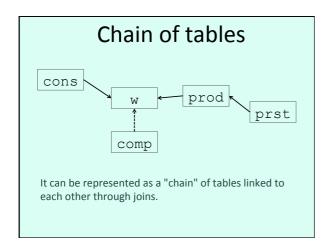
Now let's try to retrieve all the rows as fast as possible.

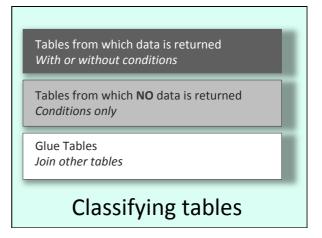
Phase 2

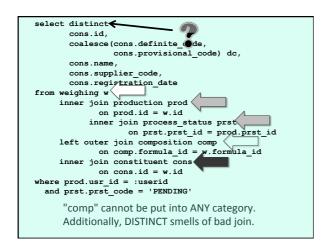
```
select distinct
    cons.id,
    coalesce(cons.definite_code,
        cons.provisional_code) dc,
    cons.name,
    cons.supplier_code,
    cons.registration_date

from weighing w
    inner join production prod
    on prd.id=w.id
    inner join process status prst
    on prst.prst_id = prod.prst_id
    left outer join compsition comp
    on comp.formul id = w.formula_id
    inner join constituent cons
    on cons.id = w.id|

where prod.usr_id = :userid
    and prst.prst_code = 'PENDING'
This is how tables are related (dashed line means outer
join)
```

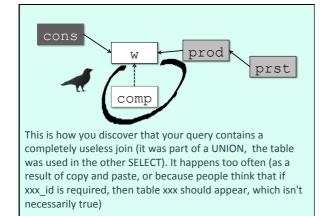






Check outer (external) joins NULL cannot be equal NULL cannot be different outer.column = something without or outer.column is null but here we have no condition whatsoever on "comp"!!

OUTER JOIN should be INNER JOIN



Tables from which data is returned
With or without conditions

Keep as is if it belongs to the core

Tables from which **NO** data is returned *Conditions only*

Turn into a subquery

Subqueries (especially IN () that takes care of duplicates) are a good way to get rid of DISTINCT, which should always be a black flag at the top of a big query. You may decide later which type of subquery you need and whether you should turn them into joins but they are a great way to make a query more legible.

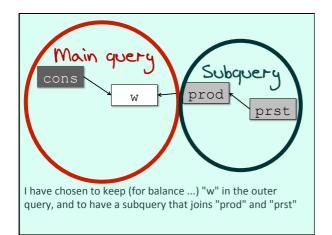
Glue Tables

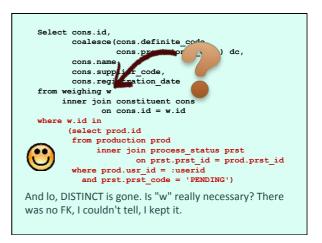
Join other tables

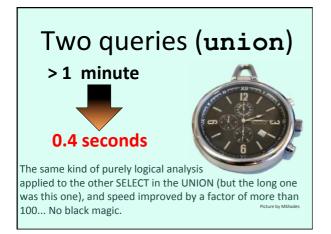
Useless at the end of a chain

Main query or subquery

A "glue" table that is glued to one useful table and nothing else can be safely disposed of, as we did with "comp". Remaining glue tables that link outer query tables to inner query tables can indifferently appear in the inner or outer query.













What about

Hints?

The approach that is advocated here is based on the understanding of the functional aspect of a query, and focusing on a logical data search. Many self-styled experts take another approach, consider that the optimizer had it wrong (often true) and prefer telling the optimizer what to do using "hints".

Hint: special directive to tell the optimizer what to do

Those "hints" exist with all DRMS products and were mostly introduced when query optimizers were young; they were a convenient way to override optimizer bugs.



SELECT p.Name, pr.ProductReviewID FROM Production.Product AS p LEFT OUTER HASH JOIN

Production.ProductEeview AS pr ON p.ProductID = pr.ProductID ORDER BY ProductReviewID DESC;

For instance you can tell to SQL Server which join algorithm it should use.

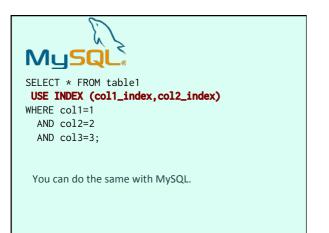


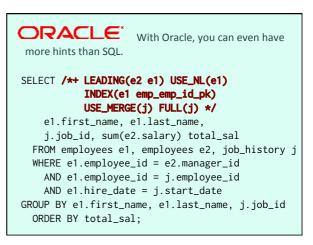
SELECT c.LastName, c.FirstName, e.Title FROM HumanResources.Employee AS e

WITH (NOLOCK, INDEX (PK_Employee_EmployeeID))

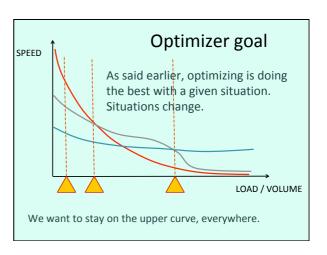
JOIN Person.Contact AS c
 ON e.ContactID = c.ContactID
WHERE e.ManagerID = 3;

Or which index it should use.



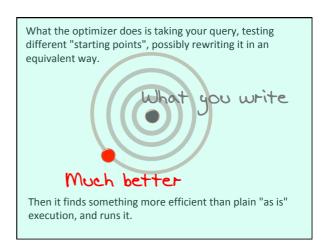


/*+ Hints suck */ Hints are based on the assumption: 1) That you know better than the optimizer (in fact, it has far more information than you have about data and its storage) 2) That what is best now always will be.



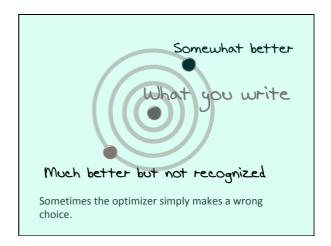
I don't care about execution plans I care about correctness and SPEED

Whether the DBMS is scanning, using indexes, nested loops, hash joins, is irrelevant as long as it's fast.



Of course sometimes the optimizer can be spectacularly off.

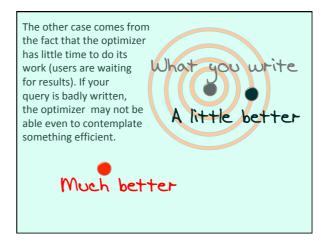
Two things can go wrong



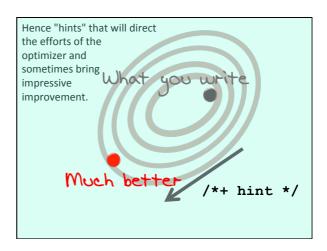
99.99% of cases: Statistics issue

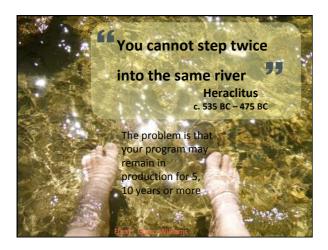
In most cases, it failed to make a correct cardinality estimate (how many rows were returned by a step), for reasons we have seen.

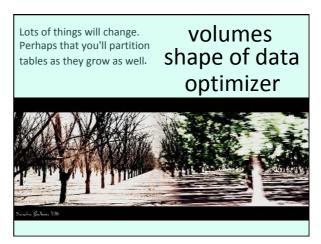
Feeding it better information will help.

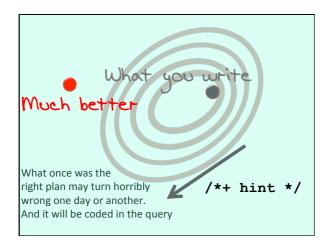


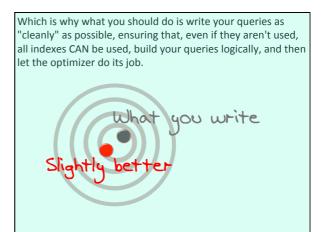














Jonathan Lewis's rules on Oracle hinting

- 1. Don't
- If you must use hints, then assume you've used them incorrectly.
- 3. On every patch or upgrade to Oracle, assume every piece of hinted SQL is going to do the wrong thing ... because of (2) above. You've been lucky so far, but the patch/upgrade lets you discover your mistake.
- 4. Every time you apply some DDL to an object that appears in a piece of hinted SQL assume that the hinted SQL is going to do the wrong thing ... because of (2) above. You've been lucky so far, but the structural change lets you discover your mistake.

Jonathan is a highly respected British Oracle specialist.





Technically speaking, a hint is a comment. A missing index won't make the query fail.

Hint silently ignored

The query will still do as instructed: return one row for which effdt is before today. But will it be the highest effdt before today and the highest effseq value for that effdt?

Wrong result

Or perhaps not. Who knows.

```
from ..., T_JOB J, ...

where ...

and J.effdt = (select max(X.effdt)
from T_JOB X

where X.empid = J.empid
and X.emprcd = J.emprcd
and X.effdt <= SYSDATE)

and J.effseq = (select max(Y.effseq)
from T_JOB Y
where Y.empid = J.empid
and Y.emprcd = J.emprcd
and Y.effdt = J.effdt)

This states exactly what we want; except that two
correlated subqueries, one dependent on the result of
```

the other, kill performances.

```
from ...,

(select ...,

rank() over (partition by empid,
emprod
order by effdt desc,
effseq desc)
rnk

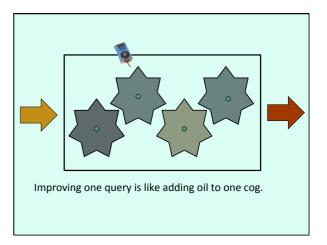
from T_JOB
where effdt <= SYSDATE) J, ...

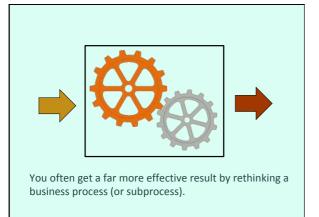
where ...
and J.rnk = 1

But there are other, efficient ways to write it, which
don't rely on side-effects to return the correct result.
```

GOING FARTHER THAN QUERIES

Most database specialists focus on trying to improve queries that seem to be bottlenecks. While it's often a good first step, you can go much further and I'd like to illustrate it.





Example

This example was made up, but based upon a real bank procedure, the purpose of which was to flag big money transactions as suspicious, in order to be able to investigate more and see whether they weren't related to cases of money laundering.

The process is based on thresholds, the amount of which depends on the currency used for the transactions. Suspicious transactions are logged and the amounts converted to a common currency.

```
ACCOUNTS

ACCOUNTID NUMBER

AREAID NUMBER

TRANSACTIONS

TXID NUMBER

TXDATE DATE

MONEY_AMOUNT NUMBER

CURRENCY CHAR(3)

ACCOUNTID NUMBER

LIMITS

CURRENCY CHAR(3)

MAX_AMOUNT NUMBER

EXCHANGE_RATES

AS_OF DATE

CURRENCY CHAR(3)

CURRENCY CHAR(3)

MAY_AMOUNT NUMBER
```

```
Loop on ACCOUNTID values from one AREAID

Search TRANSACTIONS (last 30 days)

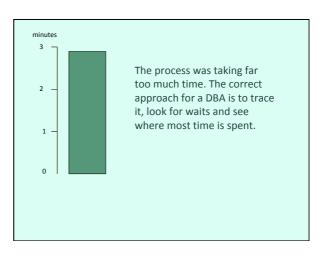
Get MAX_AMOUNT for current CURRENCY

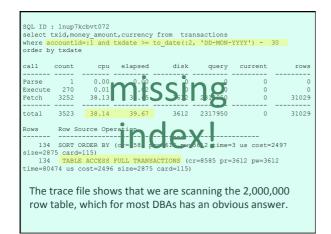
If MONEY_AMOUNT >= MAX_AMOUNT

Convert MONEY_AMOUNT

Insert transaction id and converted amount into LOG_TABLE

This is pseudo-code for the business process.
```

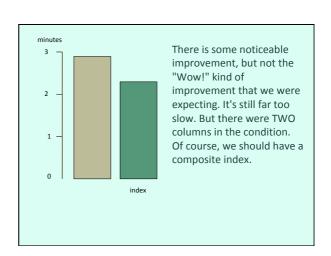


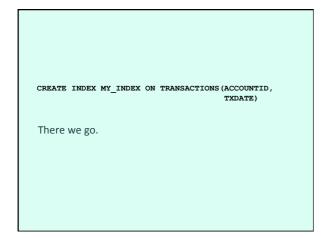


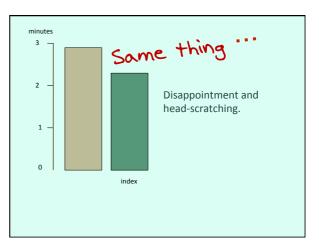
SQL ID : gx2cn564cdsds select max_amount from limits where currency=:1											
	_										
call	count	сри	elapsed	disk	query	current	rows				
Parse	31029	0.74	0.77	0	0	0	0				
Execute	31029	1.61	1.47	0	0	0	0				
Fetch	31029	1.16	1.12	1	61330	0	30301				
total	93087	3.51	3.38	1	61330	0	0301				
Rows Row Source Operation											
1 TABLE ACCESS BY INDEX ROWID LIMITS (cr=2 pr=1 pw=1 time=0 us											
cost=1 size=9 card=1)											
1 INDEX UNIQUE SCAN SYS_C009718 (cr=1 pr=0 pw=0 time=0 us cost=0											
size=0 card=1)(object id 70976)											
The	other s	ignifica	nt query r	epresen	ts only	10% of th	ie				
		0	. ,	•	,						
first one (elapsed time smaller than CPU time is because											
of rounding errors)											
or rounding criois,											

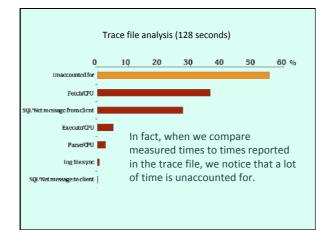
CREATE INDEX MY_INDEX ON TRANSACTIONS (ACCOUNTID)

So let's create the missing index and ready ourselves for an impressive speed improvement.



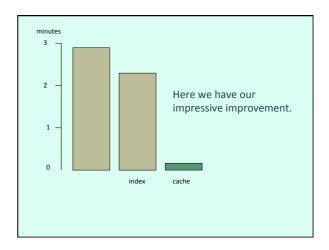






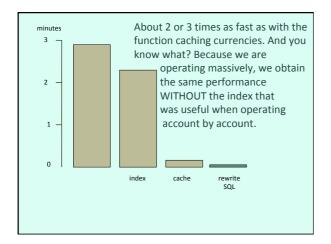
SQL ID : gx2cn564cdsds select max_amount from limits where currency=:1											
call	count	cpu	elapsed	disk	query	current	rows				
Parse	31029	0.74	0.77	0	0	0	0				
Execute	31029	1.61	1.47	0	0	0	0				
					61330	0	30301				
	93087		3.38		61330	0	0301				
Rows Row Source Operation											
1 TABLE ACCESS BY INDEX ROWID LIMITS (cr=2 pr=1 pw=1 time=0 us cost=1 size=9 card=1) 1 INDEX UNIQUE SCAN SYS_C009718 (cr=1 pr=0 pw=0 time=0 us cost=0 size=0 card=1) (object id 70976)											
What should have alerted us is this. There may be 20 currencies at most. Do we need to query limits THAT many times?											

```
Function getLimit(this_currency)
{
    if (this_currency is new) {
        select max_amount
        from limits
        where currency = this_currency;
        store into cache
    }
    return value from cache
}
I have warned you against "look-up functions". This is one.
Let's use a local cache and only run the query when we don't know the limit.
```



join?

But rather than trying to improve the process by by-passing unnecessary queries, what about trying to rethink it globally? In fact, it doesn't need to be procedural. It can be a single SQL statement.



Lessons

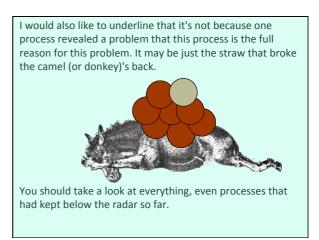
Improving performance is not only adding indexes

Waits are useful for tuning, less so for refactoring

You can choose your approach

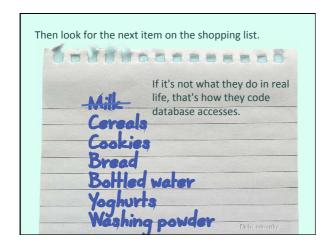
DON'T GET INTO THE WAY OF THE OPTIMIZER

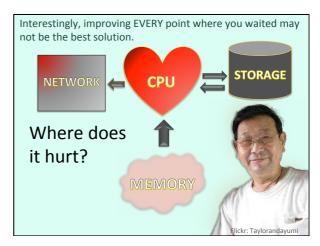




THE PROBLEM The problem of many, many database applications can be explained with an analogy.







A good DBA approach to performance issues



a good developer approach to performance issues.

You shouldn't be short-sighted in your approach and try to understand what the DBMS is getting and what it can do.

Seen by the DBMS

The DBMS only sees individual queries. It has no idea whatsoever about "flows".

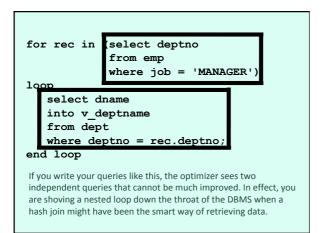


Thousands of active users can be executing queries from the same program simultaneously.

Optimizer



Critically, the scope for the optimizer is ONE statement (and we have seen that optimizing, and getting stable performance, for one statement is hard enough)

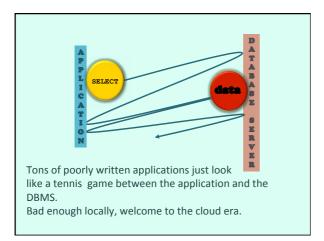


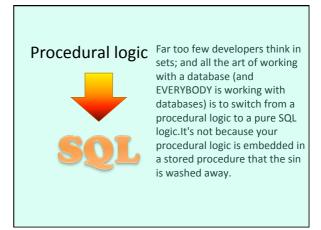
UNTUNABLE queries

This is something that you see very, very often, and that Object Relational Mappers seem to be particularly apt at generating: tons of queries where the only search criterion is the primary key. The problem is, if that primary key is system-generated as it often is, how did you find its value in the first place? You are obviously running (at least) two queries when one could have done the job.

Successive statements

Another common mistake is when people execute in succession many statements that could have been run as one.





```
for rec in (select deptno
from emp
where job = 'MANAGER')

loop
select dname
into v_deptname
from dept
where deptno = rec.deptno;
end loop

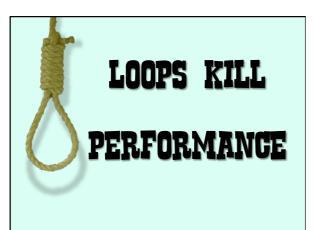
I have given this example earlier. It may be a bit
extreme but when you look carefully enough you meet
the pattern very often. People love cursors. Almost
every database programming course focuses on them.
```

Nested loop

+ context switch / network latency

Is it the **BEST** we can do?

Loops are bad in a database environment. Very bad.



Why loops?

Sometimes there is a justification, or people think that there are justifications, for loops.

Input, output?



Looping for writing to a file or sending data over a network, or in a procedural language that accesses the database is perfectly justified, because you are at the border between a world that knows sets and a world that (in the best of cases) only knows collections.

Transaction & Error Management ?



Some people like to loop over changes to tables so as to be able to commit on a regular basis, and not have too big a transaction, or not have a big load that fails because of one wrong line of input. There may be some justification here, but don't take it for granted. A single transaction may be much faster, and restarting a process that has partly succeeded is always difficult (more than restarting from scratch).

if ... then ...else in the loop? **Try harder**

There are also cases when people loop to be able to implement conditional logic in the loop. Cases when it's required are very rare. Most often, conditions can be reported inside the SQL statement with CASE ... END constructs and UNION ALL queries.

Otherwise ...

No way!

These are about the only cases when the use of loops can (sometimes) be justified.

計利以聽,乃爲之勢,以佐其外。

Sun Tzu (6th century BC)

The Art of War, I,16

While heeding the profit of my counsel, avail yourself also of any helpful circumstances over and beyond the ordinary rules.