

# **CSE 5526: Introduction to Neural Networks**

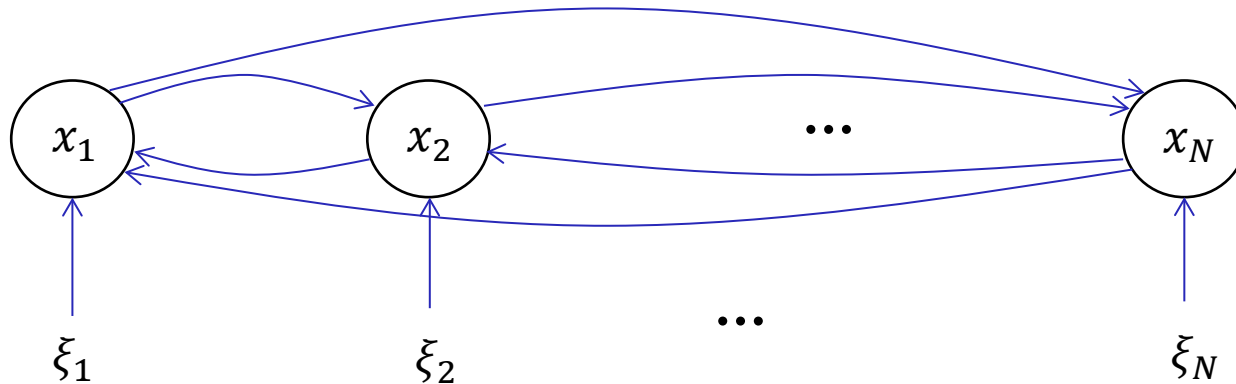
## **Hopfield Network for Associative Memory**

# The basic task

- Store a set of fundamental memories  $\{\xi_1, \xi_2, \dots, \xi_M\}$  so that, when presented a new pattern  $\mathbf{x}$ , the system outputs one of the stored memories that is most similar to  $\mathbf{x}$ 
  - Such a system is called content-addressable memory

# Architecture

- The Hopfield net consists of  $N$  McCulloch-Pitts neurons, recurrently connected among themselves



# Definition

- Each neuron is defined as

$$x_j = \varphi(v_j)$$

$$\text{where } v_j = \sum_{i=1}^N w_{ji}x_i + b_j$$

$$\text{and } \varphi(v) = \begin{cases} 1 & \text{if } v \geq 0 \\ -1 & \text{otherwise} \end{cases}$$

- Without loss of generality, let  $b_j = 0$

## Storage phase

- To store fundamental memories, the Hopfield model uses the outer-product rule, a form of Hebbian learning:

$$w_{ji} = \frac{1}{N} \sum_{\mu=1}^M \xi_{\mu,j} \xi_{\mu,i}$$

- Hence  $w_{ji} = w_{ij}$ , i.e.,  $\mathbf{w} = \mathbf{w}^T$ , so the weight matrix is symmetric

# Retrieval phase

- The Hopfield model sets the initial state of the net to the input pattern. It adopts asynchronous (serial) update, which updates one neuron at a time

# Hamming distance

- Hamming distance between two binary/bipolar patterns is the number of differing bits
  - Example (see blackboard)

## One memory case

- Let the input  $\mathbf{x}$  be the same as the single memory  $\xi$

$$\begin{aligned}x_j &= \varphi \left( \sum_i w_{ji} x_i \right) \\&= \varphi \left( \frac{1}{N} \sum_i \xi_j \xi_i \xi_i \right) \\&= \varphi(\xi_j) \\&= \xi_j\end{aligned}$$

Therefore the memory is stable



## One memory case (cont.)

- Actually for any input pattern, as long as the Hamming distance between  $\mathbf{x}$  and  $\boldsymbol{\xi}$  is less than  $N/2$ , the net converges to  $\boldsymbol{\xi}$ . Otherwise it converges to  $-\boldsymbol{\xi}$ 
  - Think about it

# Multiple memories

- The stability (alignment) condition for any memory  $\xi_{\vartheta}$  is

$$\varphi(v_j) = \xi_{\vartheta,j}$$

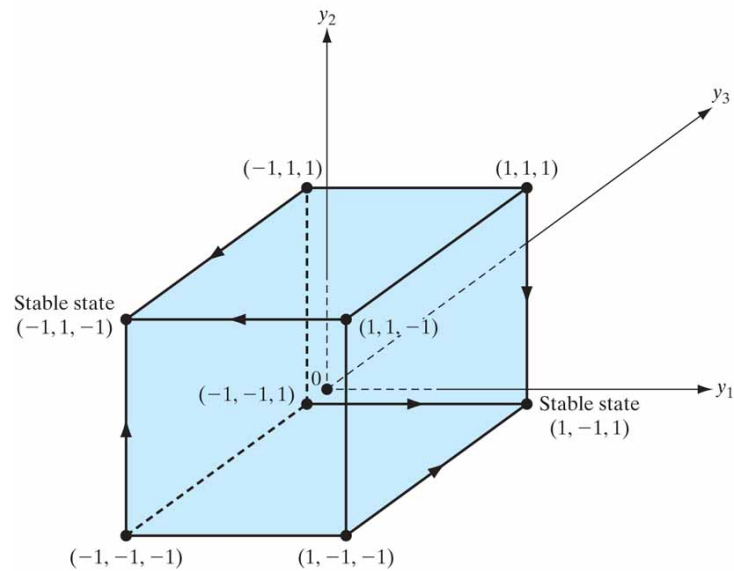
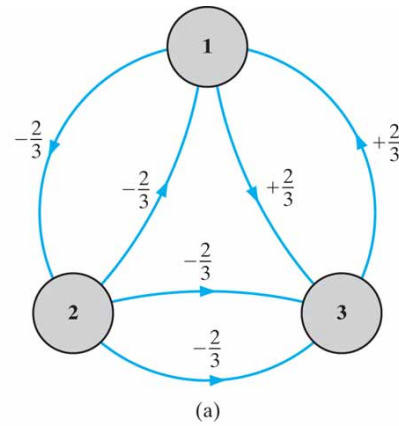
where

$$\begin{aligned} v_j &= \sum_i w_{ji} \xi_{\vartheta,i} = \frac{1}{N} \sum_i \sum_{\mu} \xi_{\mu,j} \xi_{\mu,i} \xi_{\vartheta,i} \\ &= \xi_{\vartheta,j} + \underbrace{\frac{1}{N} \sum_i \sum_{\mu \neq \vartheta} \xi_{\mu,j} \xi_{\mu,i} \xi_{\vartheta,i}}_{\text{crosstalk}} \end{aligned}$$

## Multiple memories (cont.)

- If  $|\text{crosstalk}| < N$ , the memory system is stable. In general, fewer memories are more likely stable
- Example 2 in book (see blackboard)

# Example (cont.)



# Memory capacity

- Define

$$C_j^{\vartheta} = -\xi_{\vartheta,j} \sum_i \sum_{\mu \neq \vartheta} \xi_{\mu,j} \xi_{\mu,i} \xi_{\vartheta,i}$$

$$\begin{aligned} C_j^{\vartheta} < 0 &\Rightarrow \text{stable} \\ 0 \leq C_j^{\vartheta} < N &\Rightarrow \text{stable} \\ C_j^{\vartheta} > N &\Rightarrow \text{unstable} \end{aligned}$$

- What if  $C_j^{\vartheta} = N$ ?

## Memory capacity (cont.)

- Consider random memories where each element takes +1 or -1 with equal probability (prob.). We measure

$$P_{\text{error}} = \text{Prob}(C_j^{\vartheta} > N)$$

- To compute capacity  $M_{\text{max}}$ , decide on an error criterion
- For random patterns,  $C_j^{\vartheta}$  is proportional to a sum of  $N(M - 1)$  random numbers of +1 or -1. For large  $NM$ , it can be approximated by a Gaussian distribution (central limit theorem) with zero mean and variance  $\sigma^2 = NM$

## Memory capacity (cont.)

- So

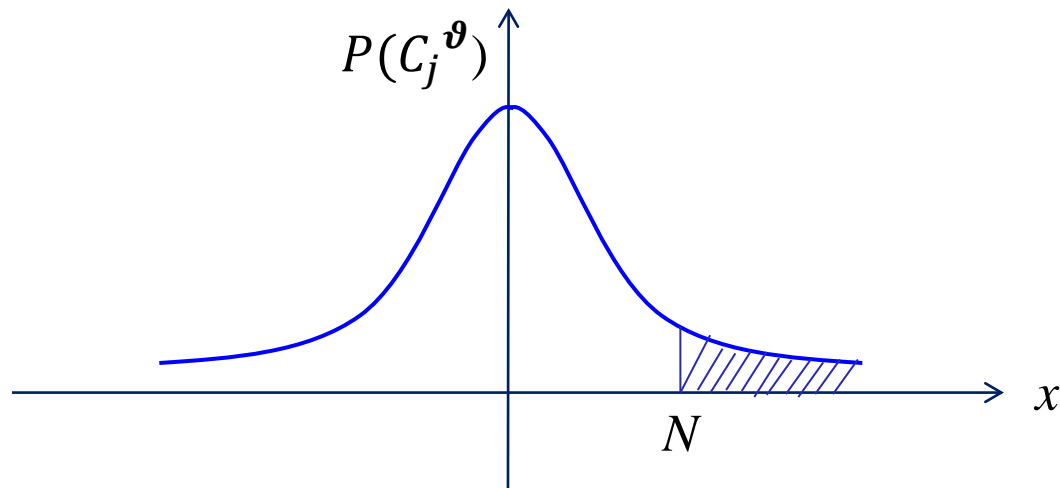
$$P_{\text{error}} = \frac{1}{\sqrt{2\pi}\sigma} \int_N^{\infty} \exp\left(-\frac{x^2}{2\sigma^2}\right) dx$$

$$= \frac{1}{2} - \frac{1}{\sqrt{2\pi}\sigma} \int_0^N \exp\left(-\frac{x^2}{2\sigma^2}\right) dx$$

$$\begin{aligned} (x = \sqrt{2}\sigma\mu) \quad &= \frac{1}{2} \left( 1 - \underbrace{\frac{2}{\sqrt{\pi}} \int_0^{\sqrt{N/(2M)}} \exp(-\mu^2) d\mu}_{\text{error function}} \right) \end{aligned}$$

# Memory capacity (cont.)

- Error probability plot





## Memory capacity (cont.)

$P_{\text{error}}$	$M_{\text{max}}/N$
0.001	0.105
0.0036	0.138
0.01	0.185
0.05	0.37
0.1	0.61

- So  $P_{\text{error}} < 0.01 \Rightarrow M_{\text{max}} = 0.185N$ , an upper bound

## Memory capacity (cont.)

- What if 1% flips occur? Avalanche effect?
- Real upper bound:  $0.138N$  to prevent the avalanche effect

## Memory capacity (cont.)

- The above analysis is for one bit. If we want perfect retrieval for  $\xi^v$  with probability of 0.99:

$$(1 - P_{\text{error}})^N > 0.99$$

- Approximately  $P_{\text{error}} < \frac{0.01}{N}$
- For this case

$$M_{\text{max}} = \frac{N}{2 \log N}$$

## Memory capacity (cont.)

- But real patterns are not random (they could be encoded) and the capacity is worse for correlated patterns
- At one favorable extreme, if memories are orthogonal

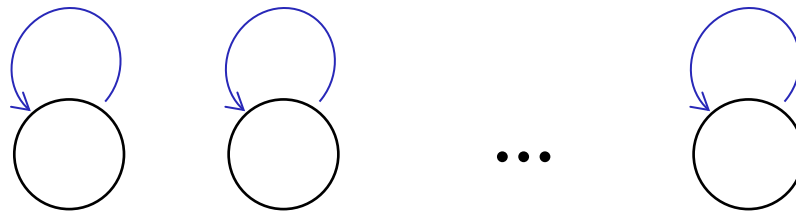
$$\sum_i \xi_{\mu,i} \xi_{\vartheta,i} = 0 \quad \text{for } \vartheta \neq \mu$$

then  $C_j^{\vartheta} = 0$  and  $M_{\max} = N$

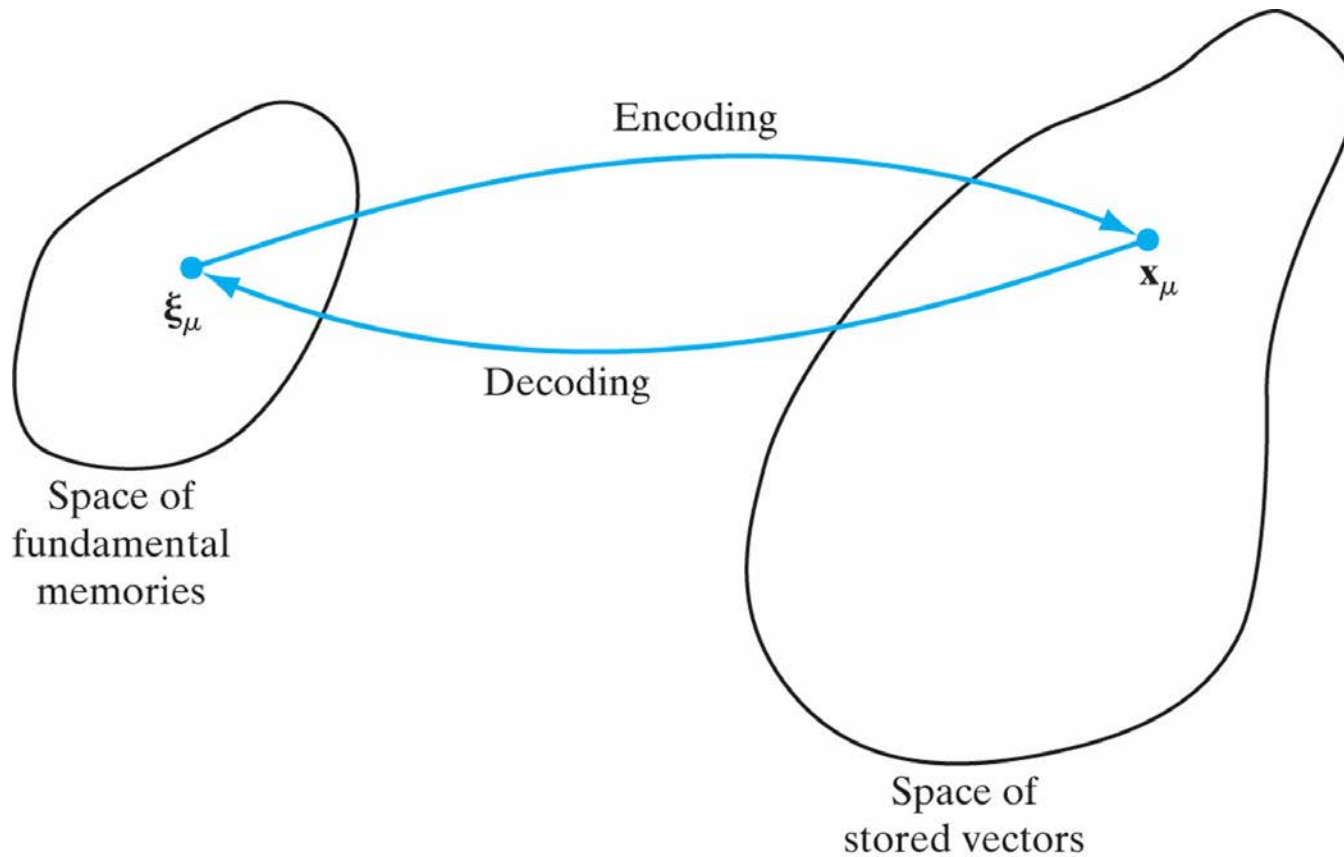
- This is the maximum number of orthogonal patterns

## Memory capacity (cont.)

- But in reality a useful system stores a little less; otherwise the memory is useless as it does not evolve



# Coding illustration



# Energy function (Lyapunov function)

- The existence of an energy (Lyapunov) function for a dynamical system ensures its stability
- The energy function for the Hopfield net is

$$E(\mathbf{x}) = -\frac{1}{2} \sum_i \sum_j w_{ji} x_i x_j$$

- **Theorem:** Given symmetric weights,  $w_{ji} = w_{ij}$ , the energy function does not increase as the Hopfield net evolves

## Energy function (cont.)

- Let  $x_j'$  be the new value of  $x_j$  after an update

$$x_j' = \varphi \left( \sum_i w_{ji} x_i \right)$$

- If  $x_j' = x_j$ ,  $E$  remains the same



## Energy function (cont.)

- In the other case,  $x'_j = -x_j$ :

$$\begin{aligned}
 E(x'_j) - E(x_j) &= -\frac{1}{2} \sum_i \sum_j w_{ji} x_i x'_j + \frac{1}{2} \sum_i \sum_j w_{ji} x_i x_j \\
 \text{since } w_{jj} &= M/N &= -\frac{1}{2} \sum_{i \neq j} \sum_j w_{ji} x_i x'_j + \frac{1}{2} \sum_{i \neq j} \sum_j w_{ji} x_i x_j \\
 \text{since } w_{ij} &= w_{ji} &= -x'_j \sum_{i \neq j} w_{ji} x_i + x_j \sum_{i \neq j} w_{ji} x_i \\
 &= 2x_j \sum_{i \neq j} w_{ji} x_i \\
 &= 2x_j \sum_i w_{ji} x_i - 2w_{jj} < 0
 \end{aligned}$$

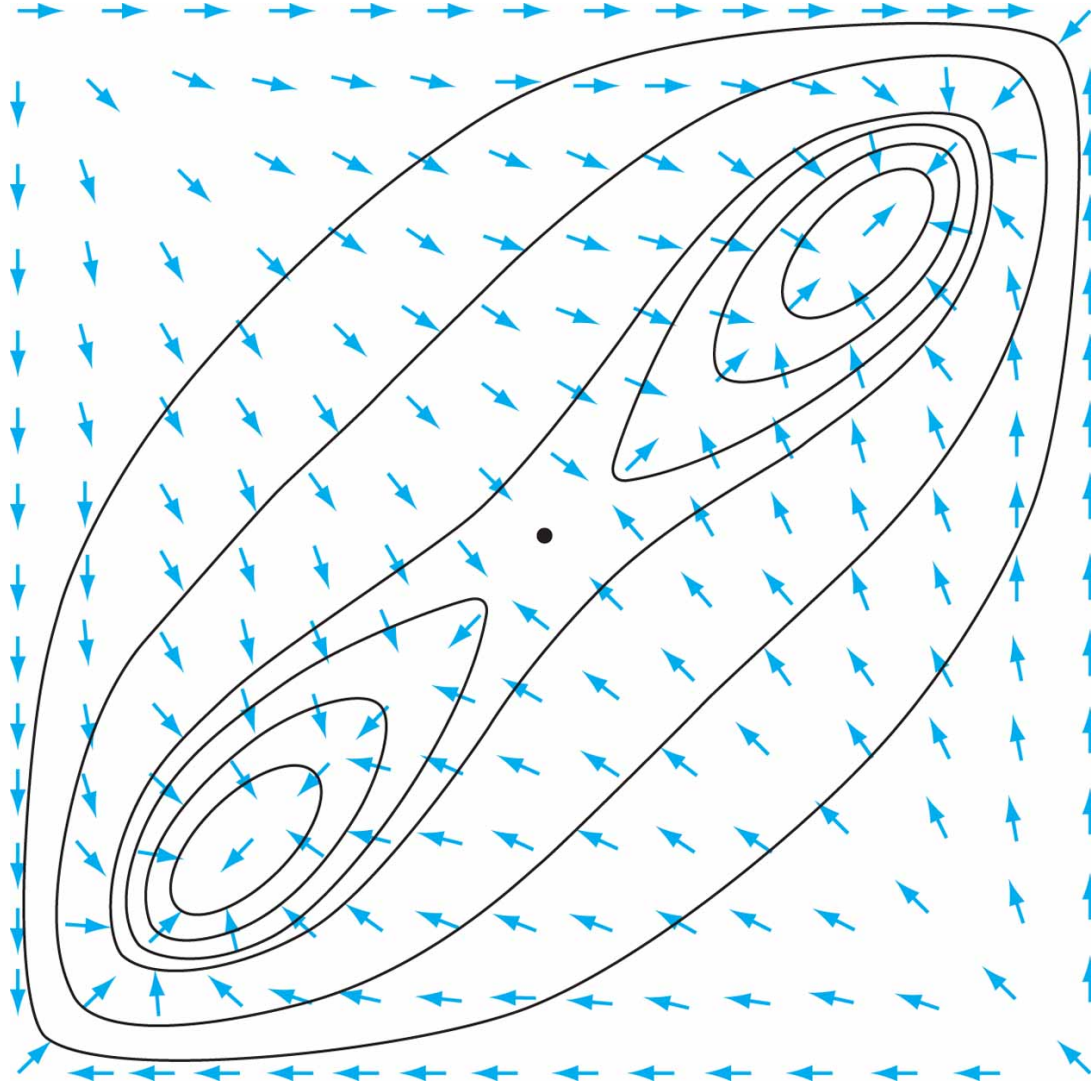


different signs

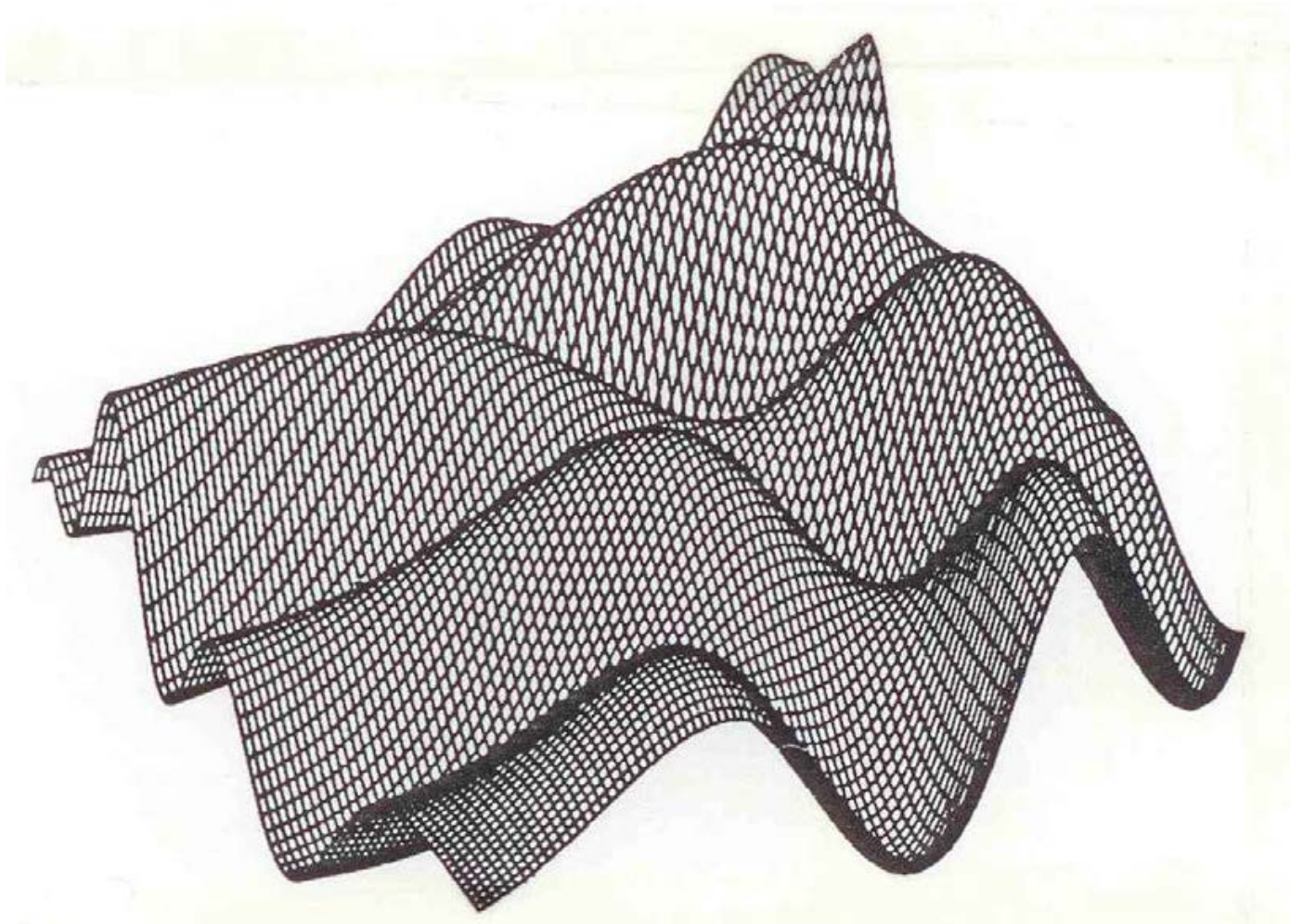
## Energy function (cont.)

- Thus,  $E(\mathbf{x})$  decreases every time  $x_j$  flips. Since  $E$  is bounded, the Hopfield net is always stable
- **Remarks:**
  - Useful concepts from dynamical systems: attractors, basins of attraction, energy (Lyapunov) surface or landscape

# Energy contour map

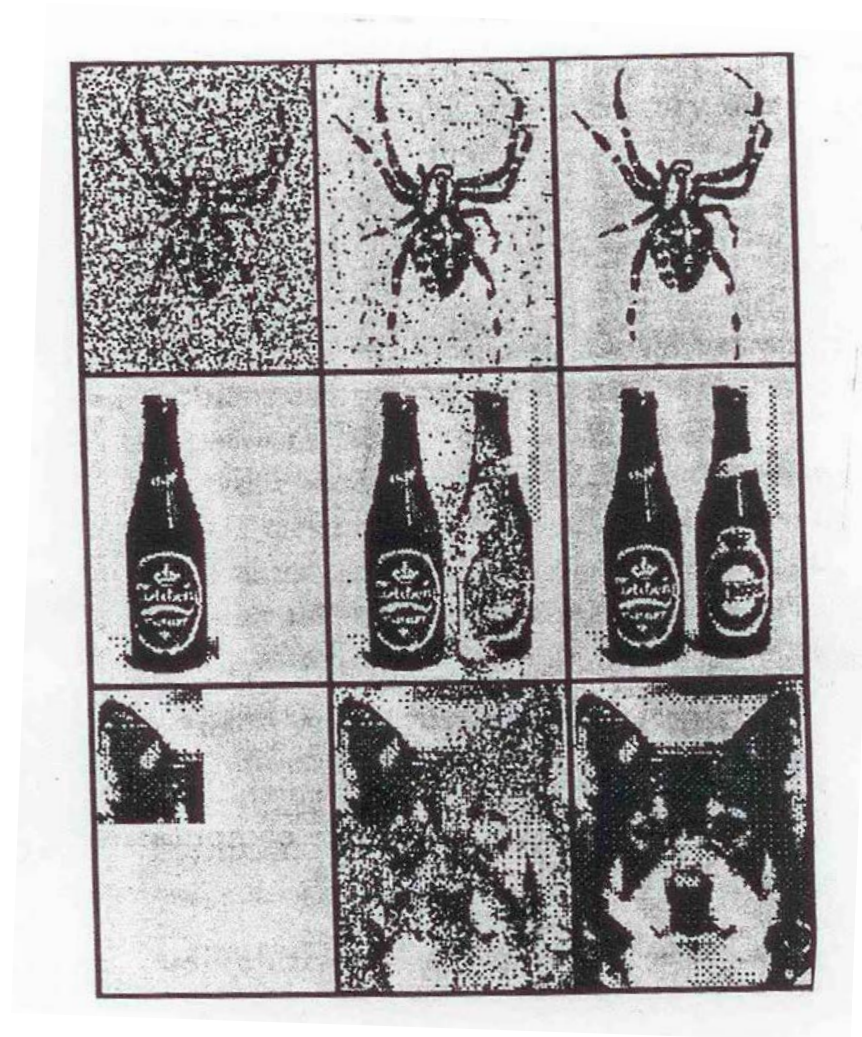


## 2-D energy landscape





# Memory recall illustration



## Remarks (cont.)

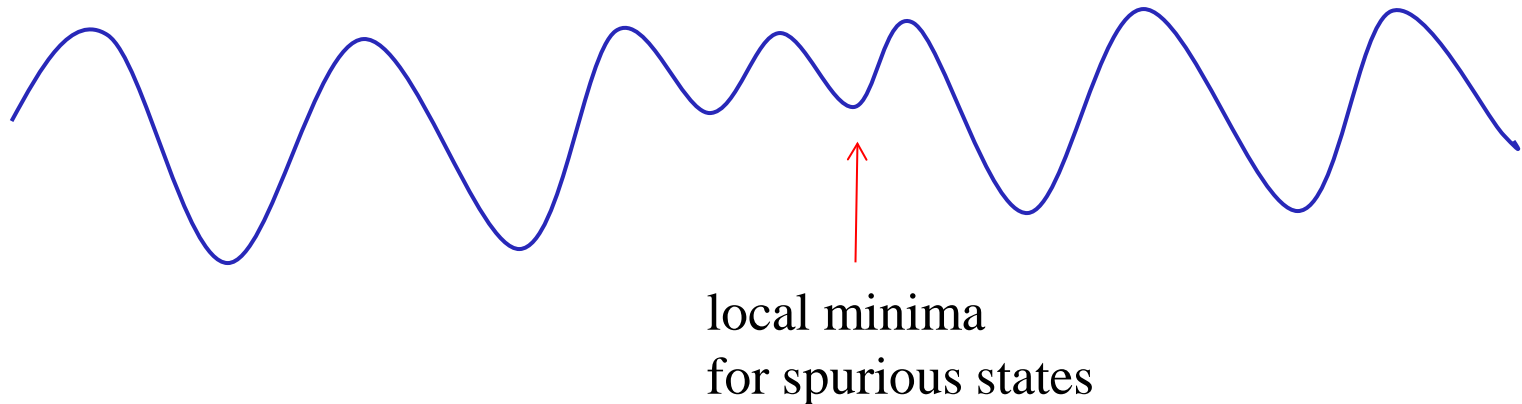
- Bipolar neurons can be extended to continuous-valued neurons by using hyperbolic tangent activation function, and discrete update can be extended to continuous-time dynamics (good for analog VLSI implementation)
- The concept of energy minimization has been applied to optimization problems (neural optimization)

# Spurious states

- Not all local minima (stable states) correspond to fundamental memories. Typically,  $-\xi_\mu$ , linear combination of odd number of memories, or other uncorrelated patterns, can be attractors
  - Such attractors are called spurious states

## Spurious states (cont.)

- Spurious states tend to have smaller basins and occur higher on the energy surface





# Kinds of associative memory

- { Autoassociative (e.g. Hopfield net)  
Heteroassociative: store pairs of  $\langle \mathbf{x}_\mu, \mathbf{y}_\mu \rangle$  explicitly

