

# Fine-Grained Activity Detection in the Kitchen with UWB

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# Chapter 1

## Introduction

This thesis project investigates how to detect fine-grained action within the meal preparation activity of daily living (ADL) in the home without the use of privacy-intruding cameras. ADLs are common activities that an individual performs inside their homes. These include walking around, eating, dressing, personal hygiene, toileting, transportation, meal preparation, house cleaning, and managing medication. The meal preparation ADL was chosen as the main focus because cooking is a uniquely enjoyable activity while being procedurally dense. Meal preparation can include the following actions: opening the fridge, retrieving ingredients, cutting vegetables, and assembling the ingredients. Monitoring these actions may be used as part of a health monitoring program by enabling the assessment of the presence, duration, and correctness of each individual step in a goal-orientated activity. Missing or incorrect steps can be indicative of forgetfulness, and steps that take a long time can be indicative of low-efficacy or struggle that clinicians can address. Information obtained through the monitoring of the cooking task may help guide interventions and track the effectiveness of interventions in clinical populations such as people with dementia, and frailty. A further and more in-depth review of the background will be done in Chapter 2.

It is hypothesized that the combination of context, such as accurate indoor localization (down to 30 cm), and inertial data can accurate and reliable classification of fine-grained ADLs. The system used for indoor localization is the Pozyx Creator Kit which provides a wrist mounted wearable that can obtain data at a maximum of 60 Hz [1]. This data includes position relative to a floorplan, and inertial data from a BNO055 which outputs 3D Acceleration, 3D angular velocity, 3D Linear Acceleration, and the Heading, Pitch

and Roll.

Prior to any experiments related to classification of these cooking actions, the optimal configuration of the system that provides reliable position data had to be investigated. Chapter 3 details the different attempts at changing the configuration of the Pozyx Creator Kit to obtain the most reliable positioning data in X, Y, and Z at a satisfactory sampling rate.

# Chapter 2

## Literature Review

### 2.1 Overview

In 2014, over 6 million Canadians (15.6% of the population) were 65 years old or older. The number of older adults (65+) continues to increase; and by 2030, Statistics Canada expects there to be over 9.5 million adults over the age of 65, comprising 23% of Canadians [2]. Frequently, older adults who wish to age-in-place or in the comfort of their own home must be able to perform their activities of daily living (ADLs) while bearing multiple diseases and syndromes that come with age, such as frailty, impaired cognition, gait and balance problems [3]. These ADLs may include cooking, bathing, getting into and out of bed, and toileting all of which require complex coordination of the older adult's cognitive, physical, visual, and perceptual abilities (a complete list may be found in Table 2.1). Deficits in any of the categories mentioned can impair the older adult's ability to go about their day. However, it is typically only after an incident or hospitalization that an older adult is assessed for their ability to perform ADLs [4].

The current system presents an opportunity for proactive and preventative medicine through the use of in-home monitoring. Data obtained through monitoring can be used to track functional decline. With this information, older adults, along with their clinician, can plan early interventions and prevent future incidents and hospitalization.

Table 2.1: Complete list of Basic ADLs and Instrumental ADLs. Adapted from [5].

<b>Category</b>	<b>Name</b>	<b>Description</b>
Basic ADLs	Ambulating	Ability to move from one position to another and get around the house.
	Feeding	The ability to feed oneself.
	Personal Hygiene	The ability to maintain hygiene for oneself. Includes dental, nails and hair hygiene.
	Continence	The ability to put on clothes, shoes, pants, etc.
	Toileting	The ability to use the toilet appropriately and clean oneself after.
Instrumental ADLs	Transportation and Shopping	Ability to arrange transportation for oneself or drive. Also, the ability to procure groceries, clothing and any other daily necessities.
	Managing Finances	Ability to manage assets and pay the bills.
	Meal preparation	End-to-end ability to put a meal onto the table. Involves both purchasing of groceries, cooking, plating and bringing to the dining table.
	Housecleaning and home maintenance	Cleaning kitchen after eating and keeping living areas reasonably clean and tidy. The ability to maintain the home and arrange repairs if needed.
	Managing communication with other	The ability to use phone and mail to communicate with others.
	Managing Medication	Ability to obtain medications and take them as directed.

To better understand the role of in-home monitoring, the diseases and conditions that older adults may face as they age must be understood. Accordingly, the next sections will be organized as follows: first, an overview of the disease or condition including its definition, prevalence and symptoms will be presented; next, current assessment tools for ADLs will be discussed; finally, current attempts at in-home monitoring for ADLs will be investigated as well as what data and how the data is being used.

## **2.2 Background for Diseases and Conditions in Aging**

This section reviews the current literature on the disease and conditions associated that affect the ability to perform ADLs as older adults age. In terms of functionally being able to perform one's ADLs, both physical and cognitive ability are required. Peter et al., in their exploration of ADLs, mention frailty and dementia as conditions limiting ADL performance [5]. In addition to physical and cognitive abilities, sensory decline with hearing, vision and vestibular function (associated with dizziness) occur as one ages [6]. Several chronic conditions may also be present in older adults such as cardiovascular disease including heart failure and ischemic heart disease; diabetes mellitus; osteoarthritis; and osteoporosis [6].

### **2.2.1 Frailty**

Frailty is a syndrome present in 20-50% of the middle and older aged population (ages 50+ years) [7] and is associated with ageing and co-morbidities, but not caused by them [8]. Individuals with frailty are at “higher risk for adverse health outcomes such as illnesses, hospitalization, disability and mortality”. To define frailty, there are 2 models: the frailty phenotype and the frailty index [9]. The frailty phenotype (also known as the Fried’s Definition of Cardiovascular Health Study) defines frailty as meeting three out of five of the following criteria: “weakness, slowness, low level of physical activity, self-reported exhaustion and unintentional weight loss,” whereas the frailty index uses a comprehensive geriatric assessment to determine cumulative deficits [9].

## **2.2.2 Dementia**

Worldwide, 47 million people live with dementia, and the number is only expected to increase. By 2050, it is projected that 131 million individuals will be living with dementia [10]. In the US, the prevalence of dementia in older adults above the age of 68 is 15% [10]. Dementia is an umbrella term describing a gradual decline in cognitive abilities in several domains to the point of impairing social or occupational function [10]. It involves a slow onset and gradual loss of memory, typically paired with the inability to retain new information and ability to perform daily activities [10]. Prior to diagnosing dementia, there is typically a long history of cognitive decline combined with mention of cognitive decline from close friends and family [10]. When diagnosing dementia, a common tool that is used is the Mini Mental State Examination (MMSE): a paper test that lists several simple tasks such as spelling a word backwards, and asking about the day of the week, etc. [11].

## **2.2.3 Hearing Loss**

The prevalence of hearing loss increases with age and at around 85 years or older, about half of all older adults experience hearing impairments [12]. Hearing loss is associated with a decreased quality of life and impairs speech processing. Reduced conversational ability may lead to social isolation which is associated with depression and cognitive decline [6].

## **2.2.4 Vision Loss**

Similar to hearing loss, the prevalence of vision loss increases with age. In the UK, it was found that 23% of older adults ages 85-89 had severe vision loss and this increases to 37% for older adults older than 90 years [13]. Older adults with vision loss are reported to have slower walking speeds and have difficulty doing physical activities [14]. Vision loss can also "predict cognitive decline and risk of dementia" [14].

## **2.2.5 Dizziness**

In 2000, Tinetti et al., found that 24% of their sample American population over age 72 experience dizziness [15]. The cause of dizziness in the older pop-

ulation is multifactorial, but the most common cause is peripheral vestibular dysfunction (the part of the ear responsible for balance) [16]. As one ages, the number of vestibular hair cells and neurons decrease which impairs the older adult's ability to sense changes in orientation [16]. As a result, their balance and postural stability deteriorates and they are at higher risk of falling [16].

### **2.2.6 Heart Failure**

Heart Failure affects at least 26 million people worldwide and the number of people affected continues to grow [17]. The American College of Cardiology (ACC) Foundation and American Heart Association (AHA) define Heart failure (HF) as “a complex clinical syndrome that results from any structural or functional impairment of ventricular filling or ejection of blood [18].” There are two types of HF: HF with reduced ejection fraction and HF with preserved ejection fraction. HF with reduced ejection fraction occurs at an ejection fraction  $\leq 40\%$  and HF with preserved ejection fraction occurs at an ejection fraction  $\geq 50\%$  [18]. Though patients with HF present with a variety symptoms, some include shortness of breath, lethargy, fatigue, reduced exercise tolerance, wheezing, and ankle swelling [19].

### **2.2.7 Ischemic Heart Disease**

Ischemic heart disease (IHD) is also called coronary heart disease (CHD) or coronary artery disease (CAD) [20] and between the periods of 2003 to 2006 it was estimated that 17.6 million or 7.9% of Americans age 20 or older had CAD [20]. Similar to the other conditions, prevalence of IHD increases with age: in 2017 the National Health and Nutrition Examination Survey reported a prevalence of 30.6% in men and 21.7% in women over the age of 80 [21]. IHD manifests due to a condition called atherosclerosis which is a buildup of plaque in the blood vessels [20]. Atherosclerosis reduces the diameter of the blood vessel which reduces the supply of blood to an organ. The condition when an organ doesn't get enough blood and oxygen is referred to as ischemia. In the case of Ischemic Heart Disease, the heart does not receive enough blood and oxygen [20]. As a result, IHD may cause symptoms such as fatigue and angina pectoris which is the discomfort that is felt when the heart muscle does not get enough oxygen [20].

## **2.2.8 Diabetes Mellitus**

Diabetes occurs when the body cannot control its blood sugar levels [22]. People who have diabetes are at risk of damaging blood vessels in their eyes, kidneys and nerves [22]. Diabetes mellitus can come in two main subtypes: type 1 diabetes mellitus (T1DM) or type 2 diabetes mellitus (T2DM) [22]. T1DM occurs due to the destruction of insulin producing beta-cells from an autoimmune process, while T2DM appears when cells develop a resistance to insulin and fail to use insulin that is being produced. The full extent of T2DM occurs when a cell's resistance to insulin overtakes the body's ability to produce insulin [22]. Diabetes affects 1 in 11 adults. 90% of adults have T2DM and the remaining 10% have T1DM. Onset for people with T1DM gradually increases from birth and peaks at ages 4 to 6 years and again from 10 to 14 years [22]. Signs and symptoms of diabetes include being overweight/obese, blurry vision, yeast infections, numbness, and neuropathic pain [22]. T1DM is diagnosed based on a history of "fasting glucose over 126 mg/dL, random glucose over 200 mg/dL, or hemoglobin A1C exceeding (HbA1C) 6.5%" [22]. The early stages of T2DM uses fasting glucose and HbA1C as well, but Sapra and Bhandari do not provide a specific level. A precursor to T2DM, prediabetes can be diagnosed with a glucose level of 100 to 125 mg/dL or a 2-hour post-oral glucose tolerance test (testing blood sugar levels one hour after ingesting 75 g of glucose dissolved into 250 ml to 300 ml of water [23]) glucose level of 140 to 200 mg/dL [22].

## **2.2.9 Osteoarthritis**

Osteoarthritis affects approximately 27 million Americans [24] and onsets in a third of adults during the typical working age of 45-64 years [25]. There are about 315 million visits to the doctor, and 744 000 hospital admissions per year in the US for osteoarthritis. These figures add up to a total of 68 million days off work [26]. Osteoarthritis is the most common type of joint disorder. It is a disease characterized by the mechanical destruction and failure of a synovial joint [27]. In order of the most common location, the knee ranks first, followed by the hand and the hip [27]. High-risk factors or factors that greatly increase the chance of having osteoarthritis include obesity and previous joint injury [26]. Osteoarthritis typically presents as debilitating pain, and is accompanied by stiffness, reduced range of motion, joint instability, swelling, muscle weakness, and fatigue [27]. Clinical diagnosis is based on the

symptoms that the patient presents with such as pain, and functional limitations [27]. Additionally, diagnostic criteria such as those from the American College of Rheumatology may also be used [27, 28].

### **2.2.10 Osteoporosis**

Osteoporosis is characterized by a reduction in bone mass and structural deterioration inside of the bone [29]. It is estimated that over 200 million people have osteoporosis and incidence increases with age [30]. Over the age of 80, it is estimated that 70% of people have osteoporosis [30]. This condition leads to an increased risk of bone fractures at all bone locations, with hip and vertebral fractures being historically associated with osteoporosis [29]. Typically, there is a long "latent period," where osteoporosis goes undetected, before it manifests clinically as a vertebral, rib or hip fracture [31]. One of the earliest symptoms of osteoporosis occurs as a result vertebral compression fractures [31]. The individual may experience acute back pain at rest or during activity such as bending, standing from a seated position and lifting an object [31]. Individuals with hip fractures experience pain and an inability to bear weight which leads to reduced functional status and quality of life [29]. In 2010, 2.7 million hip fractures occurred worldwide [29] and as one ages, the incidence of hip fractures increases exponentially [29].

## **2.3 Traditional Assessment of ADLs**

The traditional assessment of ADLs often involves a pen and paper test. Some tools may require a trained clinician to ask the patient to perform some tasks related to the ADL and observe their performance [32]. Based on the criteria outlined in the assessment tool, a score is assigned and helps the clinician later identify deficits and plan care accordingly [33]. Other tools may require the clinician to check patient medical records, use direct observation or interview the patient directly to assign scores [34].

Pashmdarfard and Azad in their systematic review of ADL assessment tools identified 8 tools assessing Basic ADLs and 5 tools assessing Instrumental ADLs [32]. The Basic ADLs assessment tools are as follows:

- Barthel index
- Katz Index of Independence in ADLs

- Functional Independence Measure
- ADL Profile
- ADL Questionnaire
- Australian Therapy Outcome Measures
- Melbourn Low-Vision ADL Index
- Self-Assessment Parkinson's disease (PD) Disability Scale

And the Instrumental ADL assessment tools are as follows:

- Frenchay Activities Index
- ADL Profile Instrumental
- Lawton Instrumental ADL Scale
- Performance Assessment of Self-Care Skills
- Texas Functional Living Scale

### 2.3.1 Barthel index

There are 10 activities related to Basic ADLs that are assessed by the Barthel Index: bowels, bladder, grooming, toilet use, feeding, transfer, mobility, dressing, stairs, and bathing [35]. Total possible scores range from 0-20 with 20 being highly functional and 0 indicating high disability. Scores can be obtained through self-report, by proxy (reports from someone who is familiar with the individual), or through observation. A version of the Barthel Index used at Alberta Health Services is provided in Figure 2.1.



**Barthel Index of Activities of Daily Living**

**Instructions:** Choose the scoring point for the statement that most closely corresponds to the patient's current level of ability for each of the following 10 items. Record actual, not potential, functioning. Information can be obtained from the patient's self-report, from a separate party who is familiar with the patient's abilities (such as a relative), or from observation. Refer to the Guidelines section on the following page for detailed information on interpretation.

**The Barthel Index**

<b>Bowels</b> 0 = incontinent (or needs to be given enemas) 1 = occasional accident (once/week) 2 = continent <i>Patient's Score:</i> _____	<b>Transfer</b> 0 = unable – no sitting balance 1 = major help (one or two people, physical), can sit 2 = minor help (verbal or physical) 3 = independent <i>Patient's Score:</i> _____
<b>Bladder</b> 0 = incontinent, or catheterized and unable to manage 1 = occasional accident (max once per 24 hours) 2 = continent (for over 7 days) <i>Patient's Score:</i> _____	<b>Mobility</b> 0 = immobile 1 = wheelchair independent, including corners, etc. 2 = walks with help of one person (verbal or physical) 3 = independent (but may use any aid, e.g., stick) <i>Patient's Score:</i> _____
<b>Grooming</b> 0 = needs help with personal care 1 = independent face/hair/teeth/shaving (implements provided) <i>Patient's Score:</i> _____	<b>Dressing</b> 0 = dependent 1 = needs help, but can do about half unaided 2 = independent (including buttons, zips, laces, etc.) <i>Patient's Score:</i> _____
<b>Toilet Use</b> 0 = dependent 1 = needs some help, but can do something alone 2 = independent (on and off, dressing, wiping) <i>Patient's Score:</i> _____	<b>Stairs</b> 0 = unable 1 = needs help (verbal, physical, carrying aid) 2 = independent up and down <i>Patient's Score:</i> _____
<b>Feeding</b> 0 = unable 1 = needs help cutting, spreading butter, etc. 2 = independent (food provided within reach) <i>Patient's Score:</i> _____	<b>Bathing</b> 0 = dependent 1 = independent (or in shower) <i>Patient's Score:</i> _____

**Total Score:**\_\_\_\_\_

**Scoring:** Sum the patient's scores for each item. Total possible scores range from 0 – 20, with lower scores indicating increased disability. If used to measure improvement after rehabilitation, changes of more than two points in the total score reflect a probable genuine change, and change on one item from fully dependent to independent is also likely to be reliable.

Figure 2.1: Alberta Health Services (AHS) adaptation of the Barthel Index [35]

### 2.3.2 Katz Index of Independence in ADLs

The Katz Index evaluates 6 categories for Basic ADLs: bathing, dressing, toileting, transfers, continence, and feeding for older adults. Though the Katz Index is binary (either independent or dependent), the evaluator is given 3 choices for each category and must select one for each: independence, intermediate dependence and full dependence [36]. Figure 2.2a shows the evaluation form a clinician would use. Adequacy or overall performance in the 6 functions is given as a grade (A, B, C, D, E, F, and other) based on the type of dependence. The description of these grades may be found in Figure 2.2b. The results of this assessment can be used to describe functional level at the onset of an illness such as hip fracture [36]. It may also be used to compare treatment effectiveness between a control and treatment group, acts as a guide for hospital admittance, as well as guide progress during therapy [36].

Name.....	Date of evaluation.....	
For each area of functioning listed below, check description that applies. (The word "assistance" means supervision, direction or personal assistance.)		
<b>Bathing—either sponge bath, tub bath, or shower.</b>		
<input type="checkbox"/> Receives no assistance (gets in and out of bed or self if tub is usual means of bathing)	<input type="checkbox"/> Receives assistance in bathing only one part of the body (such as back or a leg)	<input type="checkbox"/> Receives assistance in bathing more than one part of the body (or not bathed)
<b>Dressing—gets clothes from closet and drawers—including underclothes, outer garments and using fasteners (including braces if worn)</b>		
<input type="checkbox"/> Gets clothes and gets completely dressed without assistance	<input type="checkbox"/> Gets clothes and gets dressed without assistance except for assistance in tying shoes	<input type="checkbox"/> Receives assistance in getting clothes or stays partly or completely undressed
<b>Toileting—going to the "toilet room" for bowel and urine elimination; cleaning self after elimination, and arranging clothes</b>		
<input type="checkbox"/> Goes to "toilet room," cleans self, and arranges clothes without assistance; may use object for support such as cane, walker, chair, hair chair and may manage night bedpan or commode, emptying same in morning)	<input type="checkbox"/> Receives assistance in going to "toilet room" or in cleaning clothes after elimination or in use of night bedpan or commode	<input type="checkbox"/> Doesn't go to room termed "toilet" for the elimination process
<b>Transfer—</b>		
<input type="checkbox"/> Moves in and out of bed as well as in and out of chair with assistance (may be using object for support such as cane or walker)	<input type="checkbox"/> Moves in or out of bed or chair with assistance	<input type="checkbox"/> Doesn't get out of bed
<b>Continence—</b>		
<input type="checkbox"/> Controls urination and bowel movement completely by self	<input type="checkbox"/> Has occasional "accidents"	<input type="checkbox"/> Supervision helps keep urine or bowel control; catheter is used, or is incontinent
<b>Feeding—</b>		
<input type="checkbox"/> Feeds self without assistance	<input type="checkbox"/> Feeds self except for getting assistance in cutting meat or buttering bread	<input type="checkbox"/> Receives assistance in feeding or is fed partially or completely by tube or intravenous fluids

The Index of Independence in Activities of Daily Living is based on an evaluation of the functional independence of patients in bathing, dressing, going to toilet, transferring, continence, and feeding. Specific definitions of functional independence and dependence appear below the index.

A — Independent in feeding, continence, transferring, going to toilet, dressing, and bathing.  
B — Independent in all but one of these functions.  
C — Independent in all but bathing and one additional function.  
D — Independent in all but bathing, dressing, and one additional function.  
E — Independent in all but bathing, dressing, going to toilet, and one additional function.  
F — Independent in all but bathing, dressing, going to toilet, transferring, and one additional function.  
G — Dependent in all six functions.  
Other — Dependent in at least two functions, but not classifiable as C, D, E, or F.

(a) Evaluation form from the Katz Index of Independence in ADLs [36]

(b) Grades for determining adequacy outlined in [36]

Figure 2.2: The Katz Index of Independence in ADLs [36]

### **2.3.3 Functional Independence Measure**

The Functional Independence Measure (FIM) is frequently used for patients who have experienced stroke [37]. FIM is based off of the Barthel Index and contains 18 items that tests independence in "self-care activities, mobility, locomotion, communication, sphincter control, and cognition" [37]. Each item is rated from 1 (total assistance) to 7 (independent) for a total score that ranges from 18 to 126—a higher score indicates higher independence [37]. If the level of dependence can not be evaluated, then the lowest score of 1 is given [37]. Unfortunately, the FIM is proprietary and cannot be reproduced here [38].

### 2.3.4 ADL Profile

The ADL Profile was created to assess ADL function in head injured people whose deficits lie mostly with "complex home and community activities" [39]. Accordingly, 10 domains were chosen for assessment: personal hygiene, dressing, feeding, health care, meal preparation and home management, and use of public services, transportation, financial management and time management [39]. Tasks are devised for each domain and the clinician would observe the patient performing the task. Following the completion of a task the clinician would rate the patient on a 3-point ordinal scale with 0 indicating dependence and 2 indicating independence based on their ability to perform the 10 operations common to all of the tasks [39]. See Figure 2.3 for an example of a score sheet for the ADL Profile.

OPERATION		Vigilance, attention learning disposition	Reception, analysis storage of information	Anticipation and goal formulation	Planning	Carrying out and verification	Simple movement	Kinesthesia	Optic-Spatial organization	Dynamic organization	Complex praxis
Y	YES										
N	NO										
-	non applicable										
HYGIENE											
1 completes personal hygiene											
<input type="checkbox"/> sink		<input type="checkbox"/> bath	<input type="checkbox"/> shower								
2 performs grooming		<input type="checkbox"/> teeth									
<input type="checkbox"/> underarms		<input type="checkbox"/> shave	<input type="checkbox"/> nails								
3 performs toileting (care of perineum, toilet use ...)		<input type="checkbox"/> hair									
DRESSING											
4 puts on/remove indoor clothing and shoes											
5 puts on/remove outdoor clothing and footwear											
6 puts on/remove aids/devices/ accessories											

Figure 2.3: Example of a score sheet for some tasks in the ADL profile [39]. Columns show the operations for each of the tasks.

Since Dutil et al's 1990 paper on the ADL profile, several other sources seem to have indicated that there have been some updates to the ADL Profile. Notably, the scoring system has expanded to a 4-point ordinal scale with 0 being independent and 3 being completely dependent [32, 40]. Furthermore the assessment includes a questionnaire for 3 items that are assessed through

a semi-structured interview with the person or their caregiver(s) [32, 40].

### 2.3.5 ADL Questionnaire

The ADL Questionnaire was developed for individuals with Alzheimer's Disease. It is applicable to a wide range of dementia syndromes and can be used to track functional decline over time [41]. It assess six areas: "self-care, household care, employment and recreation, shopping and money, travel, and communication" [41]. The primary caregiver assigns a score from 0 (no problem) to 3 (unable to perform) for each areas by comparing current level of ability to the level of ability before the onset of dementia" [41]. Impairment is then calculated by formula 2.1 (Note that all scores with "9" are excluded because either the patient has never done the activity or the caregiver doesn't know):

$$\text{Functional Impairment} = \frac{\text{Sum of all ratings}}{3 * \text{total number of items rated}} * 100\% \quad (2.1)$$

Impairment is rated by ranges: 0-33% indicates none to mild impairment, 34-66% indicates moderate impairment and > 66% indicates severe impairment. An excerpt of the questionnaire is provided in Figure 2.4

---

**APPENDIX.** Activities of Daily Living Questionnaire (ADLQ)

*Instructions: circle one number for each item*

---

1. Self-care activities

A. Eating

- 0 = No problem
- 1 = Independent, but slow or some spills
- 2 = Needs help to cut or pour; spills often
- 3 = Must be fed most foods
- 9 = Don't know

B. Dressing

- 0 = No problem
- 1 = Independent, but slow or clumsy
- 2 = Wrong sequence, forgets items
- 3 = Needs help with dressing
- 9 = Don't know

C. Bathing

- 0 = No problem
- 1 = Bathes self, but needs to be reminded
- 2 = Bathes self with assistance
- 3 = Must be bathed by others
- 9 = Don't know

D. Elimination

- 0 = Goes to the bathroom independently
- 1 = Goes to the bathroom when reminded; some accidents
- 2 = Needs assistance for elimination
- 3 = Has no control over either bowel or bladder
- 9 = Don't know

E. Taking pills or medicine

- 0 = Remembers without help
  - 1 = Remembers if dose is kept in a special place
  - 2 = Needs spoken or written reminders
  - 3 = Must be given medicine by others
  - 9 = Does not take regular pills or medicine **OR** Don't know
- 

Figure 2.4: Excerpt of the questions asked in the ADL questionnaire [41]

### **2.3.6 Australian Therapy Outcome Measures**

The Australian Therapy Outcome Measures (AuTOM) is based on the UK Therapy Outcome Measures [42] and is used for evaluating therapy outcome measures across speech pathology, occupational therapy and physiotherapy. A core scale was developed with categories of impairment of structure or function, activity limitations, participation restriction and well-being each rated from 0 (most impairment) to 5 (no impairment). ADLs seem to reside in the occupational therapy domain which have 12 domains [42]:

1. learning and applying knowledge
2. self-care
3. functional walking and mobility
4. domestic life: inside house
5. upper limb use
6. domestic life: outside house
7. carrying out daily life tasks and routines
8. interpersonal interactions and relationships
9. transfers
10. work, employment and education
11. using transport
12. community life, recreation, leisure and play

### **2.3.7 Melbourn Low-Vision ADL Index**

The Melbourn Low-Vision ADL Index was created to address the need to assess the ADL performance of individuals with vision impairment [43]. The index contains both task-based observational rating as well as questionnaire self-reported rating. For both ratings a scale of 0 (unsatisfactory) to 4 (satisfactory) was used. For the task-based observational rating, standardized descriptions at each score were developed to reduce the variability. Performance was evaluated based on speed, accuracy and independence and it was suggested that the evaluator time the task to better assess speed of execution [43]. The list of tasks is shown in Table 2.2.

### **2.3.8 Self-Assessment PD Disability Scale**

The Self-Assessment PD Disability Scale is a self-report scale that bases its assessment on the degree of PD's interference on the individual's daily life [44]—and not on the "amplitude of tremors, or speed of foot tapping" [44]. Accordingly, the individual themselves should know PD's degree of interference on their daily life. There are a total of 24 items on the questionnaire with 11 assessing gross mobility and 13 assessing fine coordination. The individual is asked to rate themselves on a 5-point scale with 1 indicating no interference and 5 indicating maximum interference from PD [44]. The total score ranges from 24 to 120 with higher scores indicating higher disability [44]. The full list of items can be found in Table 2.3.

Table 2.2: Observational and questionnaire items in the Melbourn Low-Vision ADL Index [43]

<b>Observational</b>	<b>Questionnaire</b>
Reading newspaper print	Eating
Reading newspaper headlines	Bathing
Reading a letter with typed print	Dressing
Using a telephone book	Grooming
Reading an account	Mobility
Reading a medicine label	Housework
Reading packet labels	Shopping
Recognizing faces	Preparing meals
Using a telephone	Managing medication
Writing a check	
Identifying coins	
Pouring	
Naming colors	
Buttoning a shirt	
Threading a sewing needle	
Telling the time: wrist watch	
Telling the time: wall clock	
Reading a digital display	

Table 2.3: Items for the Self-Assessment PD Disability Scale [44]

<b>Gross Mobility</b>	<b>Fine Coordination</b>
Getting out of bed	Brushing teeth
Getting out of chair	Washing
Walking around home	Opening tins
Walking outside, eg. to shops	Pouring milk from bottle
Traveling by public transport	Making cup of tea
Walking up stairs	Holding cup and saucer
Walking downstairs	Washing and drying dishes
Getting into bath	Using knife and fork
Getting out of bath	Inserting electrical plug
Getting undressed	Dialing telephone
Picking up object from floor	Holding and reading newspaper Writing letter Getting dressed

### 2.3.9 Frenchay Activities Index

The Frenchay Activities Index (FAI) was created with 2 goals: first to "provide accurate information for pre-morbid lifestyle for individuals with stroke" and second "record changes in activities following stroke, at specific intervals" [45]. Through factor analysis, 3 factors were identified: "domestic chores", "leisure/work" and "outdoor activities" [45]. The assessment is questionnaire-based and includes 15 items that represent these 3 factors. Each item is given a score of 1 to 4 with 4 indicating more activity. The questionnaire is show in Figure 2.5.

<i>Activities Index</i>	
<i>During previous 3 months</i>	
<i>Activity</i>	<i>Code</i>
Preparing main meals	1 = Never
Washing-up	2 = Under once weekly 3 = 1-2 times a week 4 = Most days
Washing clothes	1 = Never
Light housework	2 = 1-2 times in 3 months
Heavy housework	3 = 3-12 times in 3 months
Local shopping	4 = At least weekly
Social outings	
Walking outdoors over 15 min	
Pursuing active interest in hobby	
Driving a car/travel on bus	
<i>During previous 6 months</i>	
Outings/car rides	1 = Never 2 = 1-2 times in 6 months 3 = 3-12 times in 6 months 4 = At least weekly
Gardening	1 = None
Household and/or car maintenance	2 = Light 3 = Moderate 4 = All necessary
Reading books	1 = None 2 = 1 in 6 months 3 = Less than 1 a fortnight 4 = Over 1 a fortnight
Gainful work	1 = None 2 = Up to 10 h/week 3 = 10-30 h/week 4 = Over 30 h/week
Total _____; Factor 1 _____; Factor 2 _____; Factor 3 _____	

Figure 2.5: The questionnaire used in the Frenchay Activities Index.

### **2.3.10 ADL Profile Instrumental**

The ADL Profile Instrumental v2 is an updated version of the ADL Profile designed specifically to assess Instrumental ADLs in patients with Traumatic Brain Injury [40]. The scoring system uses a 5-level ordinal scale to finely rate independence [40]. Rather than a separate task for each category of evaluation, a single scenario was given to the patient to assess a total of 8 categories: 6 related to the goal of preparing a meal including "dressing to go outdoors, going to the grocery store, shopping for food, preparing a hot meal for guests, having a meal with guests, and cleaning up after the meal" and 2 related to complex single tasks including "obtaining information, making a budget" [40]. In total, there are 29 scores for 5 tasks with 4 operations and 3 tasks with 3 operations [40]. The scenario prompt involved the following script followed by observation of the patient performing the task:

*"We would like to know how you manage in your everyday activities, that is, activities that you generally do inside and outside of your home. More specifically, we would like to know, following your accident, if any changes have occurred in your ability to carry out your everyday tasks. Without knowing it, you invited my assistant and me to have lunch with you. Please get ready to receive us. We will assume any incurred expenses for a maximum of \$20. Can you tell me in your own words what I have just explained to you? Would you agree to do this? Can you now tell me in your own words what you are going to do?" [40]*

### **2.3.11 Lawton Instrumental ADL Scale**

The Lawton Instrumental ADL Scale was made for older adults and assesses 8 items: telephoning, shopping, food preparation, housekeeping, laundering, use of transportation, use of medicine, and financial behavior [46]. Unlike some of the other scales, there is a distinction in the scoring system between males and females. Males are rated on a 5-point scale whereas females are rated on a 8-point scale. Each item assessed has score of 1 for being able to or somewhat able to perform the task (see Figure 2.6), a score of 0 would indicate that the older adult is unable to perform the task. Women are assessed on all 8 items whereas men are assess on a subset of the items since the "list of representative activities is smaller" [46]. The list for men include telephoning, shopping, use of transportation, use of medicine, and financial behavior. The Lawton IADL Scale provides a snapshot of what services or treatments the older adult needs (or does not need) and can be re-applied at periodic intervals to update treatment goals [46].

	Male			Female			Male			Female		
	Score	% Correct	% Error	Score	% Correct	% Error	Score	% Correct	% Error	Score	% Correct	% Error
	64	5.2	A. Ability to use telephone	68	4.8		E. Laundry			41	6.0	
1			1. Operates telephone on own initiative—looks up and dials numbers, etc.	1			1. Does personal laundry completely.			1		
1			2. Dials a few well-known numbers.	1			2. Launder small items—rinses socks, stockings, etc.			1		
1			3. Answers telephone but does not dial.	1			3. All laundry must be done by others.			0		
0			4. Does not use telephone at all.	0			F. Mode of Transportation			30	10.0	
15	5.2	B. Shopping		15	3.0	1	1. Travels independently on public transportation or drives own car.			1		
1		1. Takes care of all shopping needs independently.	1			1	2. Arranges own travel via taxi, but does not otherwise use public transportation.			1		
0		2. Shops independently for small purchases.	0			0	3. Travels on public transportation when assisted or accompanied by another.			1		
0		3. Needs to be accompanied on any shopping trip.	0			0	4. Travel limited to taxi or automobile with assistance of another.			0		
0		4. Completely unable to shop.	0			35	4.1	G. Responsibility for own Medications		38	9.5	
		C. Food Preparation		20	2.4	0	1. Is responsible for taking medication in correct dosages at correct time.			1		
		1. Plans, prepares and serves adequate meals independently.	1			0	2. Takes responsibility if medication is prepared in advance in separate dosages.			0		
		2. Prepares adequate meals if supplied with ingredients.	0			1	3. Is not capable of dispensing own medication.			0		
		3. Heats and serves prepared meals, or prepares meals but does not maintain adequate diet.	0			54	5.2	H. Ability to Handle Finances.		52	10.0	
		4. Needs to have meals prepared and served.	0			1	1. Manages financial matters independently (budgets, writes checks, pays rent, bills, goes to bank), collects and keeps track of income.			1		
		D. Housekeeping		51	7.1	0	2	2. Manages day-to-day purchases, but needs help with banking, major purchases, etc.		1		
		1. Maintains house alone or with occasional assistance (e.g., "heavy work-domestic help").	1			0	3. Incapable of handling money.			0		
		2. Performs light daily tasks such as dish-washing, bedmaking.	1									
		3. Performs light daily tasks but cannot maintain acceptable level of cleanliness.	1									
		4. Needs help with all home maintenance tasks.	1									
		5. Does not participate in any housekeeping tasks.	0									

Rep. = .96 N = 97

Rep. = .93 N = 168

Rep. = .96 N = 97

Rep. = .93 N = 168

Figure 2.6: The Lawton Instrumental ADL Scale, the blank "score" column shows the items that males are not assessed on [46].

### **2.3.12 Performance Assessment of Self-Care Skills**

The Performance Assessment of Self-Care Skills (PASS) is a performance-based observational tool in which the clinician asks the patient to perform the required task for each of the ADLs assessed and evaluates their performance [32]. PASS assesses an individual's ability to perform ADLs by judging 3 parameters: independence, safety and adequacy. There are concrete guidelines and identifiers mentioned for scoring each parameter in Performance Assessment of Self-Care Skills [47]. For instance, the safety category has a maximum score of 3. At a score of 3, there are no risks observed; at a score of 2, there are minor risks observed, but no assistance is needed; at a score of 1, there are obvious risks to safety and assistance is required to complete a task; Finally, at a score of 0, there are risks to safety of such severity that the task had to be stopped. PASS is used around the world; has been translated to multiple languages including Spanish, Hebrew and Mandarin; has a test-retest reliability of 89%-90%; and an inter-observer agreement of 96%-97% [48] making it a reliable tool for assessing ADLs. However, as much as the PASS is reliable and comprehensive, it is also time-consuming and may be strenuous for the older adults [32].

### **2.3.13 Texas Functional Living Scale**

The Texas Functional Living Scale is a performance-based assessment tool that was created to evaluate the IADL ability of individuals with cognitive impairment. 15 to 20 minutes are required to evaluate 21 items that target 5 functional areas: Dressing, Time, Money, Communication and Memory [49]. The minimum score for each item is 0, and the maximum score varies depending on the item. Altogether, the maximum score attainable is 52, with "higher scores indicating better performance" [49]. Examples of items on the Texas Functional Living Scale is shown in Figure 2.7.

### **2.3.14 Summary**

Several key assessment tools for BADLs and IADLs have been discussed. Table 2.4 summarizes the category of ADL it assesses, what condition it is used for primarily, method of assessment as well as the length of assessment.

Domain	Points	Task
Dressing	5	1. Puts on jacket 2. Ties shoelaces
Time	15	1. States time on clock 2. Calculates time interval on clock 3. Sets clock 4. Locates current date on calendar 5. Reads calendar
Money	12	1. Counts money 2. Pays specified amount 3. Makes change 4. Writes sample check
Communication	12	1. Addresses envelope 2. Calls home 3. Looks up designated telephone number 4. Knows emergency telephone number 5. Describes how to make peanut butter and jelly sandwich
Memory	8	1. Takes out three pills from bottle when timer sounds 2. Recalls payee of check 3. Recalls amount of check
Total	52	

Figure 2.7: An example of some items on The Texas Functional Living Scale.

Table 2.4: Summary of the ADL Assessment tools discussed.

Name	Type	Condition	Method	Length
Barthel Index	BADL	N/A	Observation/Self Questionnaire	2-5 min [50]
Katz Index of Independence in ADLs	BADL	Older Adults or Chronically Ill	Observation Questionnaire	<5 min [51]
Functional Independence Measure	BADL	Stroke	Observation Questionnaire	30 min [52]
ADL Profile	BADL	Head Injured	Performance	30-60 min [53]
ADL Questionnaire	BADL	Alzheimer	Questionnaire	5-10 min [41]
Australian Therapy Outcome Measures	BADL	N/A	Observation Questionnaire	<5 min [54]
Melbourne Low-Vision ADL Index	BADL	Visually Impaired	Performance	20 min [43]
Self-Assessment PD Disability Scale	BADL	Parkinson's	Self Questionnaire	5 min [55]
Frenchay Activities Index	IADL	Stroke	Questionnaire	5 min [56]
ADL Profile Instrumental	IADL	Head Injured	Performance	3 hours [40]
Lawton Instrumental ADL Scale	IADL	Older Adults	Self Questionnaire	10-15 min [57]
Performance of Self-Care Skills	IADL & BADL	Older Adults	Performance	3 hours [58]
Texas Functional Living Scale	IADL	Cognitive Impairment	Performance	15-20 min [59]

## **2.4 In-Home Monitoring of ADLs**

Although traditional pen-and-paper assessment of ADLs can provide information regarding the current ability of an individual to perform ADLs, all only provide snapshots of their current ability and further tracking requires the patient to be present for the assessment. Furthermore, most involve an evaluator training period to ensure evaluator reliability, ratings are subjective, and performance-based evaluations are lengthy [58, 52, 40, 59]. There are also issues with measurement of functional performance in a clinical setting as opposed to an at-home setting that may skew observations. For example, it may be easier to stand-up from a firm higher chair in clinical settings than a soft sofa found at home [60]. In light of these challenges, this section will explore recent advances in monitoring technology for the evaluation of ADL ability. There will be a focus on the type of technology used, the parameters or metrics evaluated, the ADL it is used for and the criteria for assessing function. Information will be drawn primarily from two systematic reviews: Camp et al's "Technology Used to Recognize Activities of Daily Living in Community-Dwelling Older Adults" [60] and Gadey et al.'s "Technologies for monitoring activities of daily living in older adults: a systematic review" [61].

## **2.5 Camp et al's Tech Used to Recognize ADL in Community-Dwelling Older Adults**

### **2.5.1 Overview**

Camp et al.'s search identified a total of "21 [distinct] technologies, including six wearable sensors, 13 environmental sensors, and two types of camera" [60]. The full list can be found in Table 2.6. These technologies were able to detect a total of 14 different ADLs, but no single technology system was able to detect all 14 [60]. Table 2.7 summarizes the ADLs detected as well as their level of granularity. There were 39 systems in total identified and most used the environment sensors. Only 3 out of the 39 systems used wearables. However, these wearable systems were able to recognize granular activities within "feeding" such as drinking and using cutlery that was not included in the other [60].

Though the intent of the SR was to review technology that is able to

detect if an ADL action has been completed and not test if the individual is functionally able to perform the ADL, there may be some correlations with assessment scales that may be drawn. For example, the activities that technologies in Camp et al.'s review detect are present in the Barthel Index: bathing, dressing, feeding, grooming, mobility, stairs, toileting, and transfer. Sub-activities or finer-grained activities in the Barthel Index, such as activities to define feeding (cutting, or spreading butter), were not included as activities in these systems. According to Camp et al., there are few technologies that are able to recognize fine-skills such as cutlery use which have a "large influence on more general activities such as feeding, and subsequently on overall health" [60]. There also may be concerns with privacy which prevent these technologies from advancing deeper into exploring the detection of these sub-activities. For example, humidity and sound sensors used to detect bathing, a sub-activity of grooming, may pose a privacy risk [60].

The systems identified recognize ADLs by using a series of interactions with the environment sensors and/or wearable sensors. For example, "feeding" can be inferred by activity in the motion sensors in the kitchen/dining room, fridge door sensors, and power sensors attached to a microwave [60]. A full list of these interactions and accompanying ADL can be found in Table. Though these systems can recognize ADLs and is sufficient for the aim of monitoring functional decline by monitoring a decrease in ADL actions, Camp et al. suggest that methods to "directly [assess] ADL performance" should be considered as it will allow for identification of "exactly where an individual is having difficulty without the reliance on [time-consuming] traditional tests" [60].

Table 2.6: Types of technologies identified in Camp et al's SR [60].

<b>Category</b>	<b>Technology</b>
Environment	Accelerometer
	Barometer
	Door Contact
	Force/Pressure
	Grid-Eye (Infrared array sensor)
	Humidity
	Hydro
	Light
	Motion
	Power Consumption
Wearable	Sound
	Temperature
	RFID Tag
	Wearable Accelerometer
	Wearable Altimeter
	Wearable Barometer
Camera	Wearable Gyroscope
	Wearable Light
Camera	Wearable Temperature
	Depth Camera
Camera	Video Camera

Table 2.7: Types of ADLs detected [60]. For Feeding there was separation in some studies between eating, meal preparation, drinking, and type of meal (breakfast, lunch, and dinner). For Grooming, some studies specified brushing teeth, showering, shaving, and hair styling. Finally for Social Interaction, most studies used the "front door usage," but some specified the time away from home, and the number of visits [60].

<b>ADL Detected</b>
Bed Usage
Dressing
Feeding
Grooming
Household
Medicine
Mobility
Recreation
Sleep
Social Interaction
Stair Usage
Toileting
Transferring
TV Usage

Table 2.8: How to detect each ADL based on the technology found in [60]

<b>ADL Detected</b>	<b>Method</b>
Bed Usage	Pressure sensors or accelerometers attached to the bed
Dressing	Room activity and door sensors attached to specific drawers/wardrobes
Feeding	Room activity, appliance use, or door sensors attached to cupboards within the kitchen area. Wearable sensor data
Grooming	Room activity, changes in temperature/humidity, water usage or specific door usage
Household	Water usage, room activity or appliance usage
Medicine	Door sensors attached to medicine cabinets
Mobility	Room activity or Wearable sensors
Recreation	Room activity or power consumption
Sleep	Room presence (but inactivity), typically the living room & bedroom
Social Interaction	Door sensors attached to the main property entrance
Stair Usage	Combined wearable sensors
Toileting	Room activity, specific door usage, water usage or accelerometers attached to the flush mechanism
Transferring	Room activity or pressure sensors or Wearable sensors
TV Usage	Power consumption/smart switch

## **2.6 Gadey et al's Tech for Monitoring ADL in Older Adults**

### **2.6.1 Overview**

### **2.6.2 Specifics**

## **2.7 Narrowing Down**

There is an abundance of assessments that may be used to pinpoint problems with the patient’s ADLs. One of these assessments is the Performance Assessment of Self-Care Skills (PASS) where patients are asked to perform select activities and are assessed by their healthcare provider on their ability to perform each task.

Of the ADLs that PASS assesses, cooking was identified to be critical in terms of sustaining a healthy lifestyle in older adults [62] but fraught with risks [63]. Older adults also cook frequently, with 53% of older adults ages 65-80 reported to cook at home 6-7 days a week [64]. Despite the health benefits and enjoyment gained from cooking, cooking is a dangerous ADL and older adults with comorbidities may have difficulty cooking safely (older adults with cognitive impairments may forget about a stovetop or oven that is on, improper knife use can result in injury and unsafe kitchen environments may exacerbate injury from falls or increase the risk of falls); the kitchen is in second place for the location of most domestic accidents [63]. PASS assesses the independence, safety and adequacy of the cooking ADL by splitting the cooking task into categories of oven use, stovetop use, use of sharp utensils, and cleanup after meal preparation each with a list of its own subtasks [47]. The concrete classification framework provided by PASS allows for Smart Home (SH) interventions through monitoring and assessment with internet of things (IoT) devices.

Devices that have been used in literature cover a wide range of sensors. Logan and Healy used a modified form of AdaBoost with simple linear weak learners to distinguish meal preparation and eating through accelerometer, video capture, and audio capture data [65]. Sarma et al. used Long short-term memory (LSTM) to determine various ADLs from datasets containing motion sensors, door closure sensors and temperature sensors data [66]. Chibaudel et al. detected cooking by noting the physical location of the

participant and their refrigerator usage through door sensors placed in the refrigerator and motion sensors in the kitchen [67]. Yordanova et al. used Decision Trees (DT), Computational Causal Behavior Models (CCBM), and Hidden Markov Models (HMM) to process data from the full-scale SPHERE Smart Home system consisting of temperature sensors, humidity sensors, luminosity and motion sensors, water and electricity usage sensors, cameras, and door contact sensors to classify the preparation of a wide range of recipes as "cooking" [68].

Although there have been many studies involving the detection of the cooking ADL, few studies assess the quality of cooking and provide feedback to the user. The closest attempts at assessing quality involve quantifying the number of departures from a given task. Cook and Schmitter-Edgecombe used motion sensors, analog sensors for water and stove usage, open/shut sensors for the status of cabinets, and load sensors for the absent/present status of items to assess the quality of ADLs including meal preparation. If a correct sequence of tasks was done and if the task was done efficiently, then an activity is considered as normal. Any significant departures from this "correct sequence" and normal time required to complete each step was only left with a tag of "anomalous" [69]. There is no further information with respect to how much of an anomaly the error was or what to do about it. Similarly, in Menghi et al.'s study, errors were identified in a series of tasks, but nothing was done to evaluate the severity of the error and no feedback was given to the user [67]. There is no impact on the user because errors were only identified. The user does not receive feedback about what areas need improvement and how to improve, because there was no evaluation using standardized assessments such as PASS.

The literature cites using AI techniques on data collected from IoT devices, from which two issues arise: a huge amount of data will be necessary to produce reliable classification models [70]; and, to facilitate collection of these large datasets, data from various IoT device vendors must be easily extractable. To solve these two issues, this project will be part of a recent joint initiative between several Universities across Canada gave rise to the Program to Accelerate Technologies for Homecare (PATH) which will unify SH devices and their various communication protocols (including Bluetooth, Zigbee, and Wi-fi) into a plug-and-play cloud-based system. As the platform matures, PATH will collect data from both home-like labs and at least 350 homes across Canada by partnering with companies in the SH industry. Collecting from this many sources will lead to a huge database of real-world data

that will be used to develop and improve AI algorithms for use in monitoring and detection of abnormalities present in the home or the user [71].

The potential to rapidly collect data on various cooking scenarios provided from PATH, along with concrete classifiable items assessing the quality of cooking provided by the PASS framework can be used to create a novel and robust cooking quality assessment SH system that doubles as a tool for ensuring the safety of older adults in the kitchen and automation of cooking functional assessments for clinicians.

**Objective and Methodology:** The research project proposes the development of a cooking-focused SH monitoring system on the PATH platform for older adults to ensure that cooking is done safely and to automate cooking assessments for clinicians. This will involve selecting and testing commercially available devices to testing the entire system on patients at the Independent Living Suite (ILS) in the Glenrose Rehabilitation Hospital (GRH). To create a system that is easy to use for older adults and clinicians, they will be consulted at every step in the process. The research project can be broken down into 5 phases:

1. Research the usability and acceptability of SH devices among older adults with a focus on how the usability and acceptability may be affected by the design of the devices. A UTAUT2 focus group study involving older adults (65+), care providers and clinicians will be conducted to obtain feedback for usability and acceptability;
2. Research common data analytic tools and frameworks that are relevant for monitoring cooking through the PASS's criteria. Keywords from the sub tasks outlined in PASS + “Machine Learning, Detection, Assessment” will be searched on the academic databases Scopus, PubMed, Cinahl, IEEE Explore, ISI Web of Sciences, and ACM Digital Library; and on the general web on StackOverflow, TowardsDataScience and TowardsAI;
3. Select and validate commercially available SH devices tailored to the preferences from the usability study and clinical relevance from the literature review. This step involves searching for previous validation of the devices on Scopus, PubMed, Cinahl, IEEE Explore, ISI Web of Sciences, and ACM Digital Library along with any necessary validation of the devices in-lab by comparing to gold standards such as ECG for Heart Rate;
4. Apply data analytics methodologies from the literature review to devices selected to assess cooking safety and function. The bulk of these algorithms will be written in Python and any other additional languages deemed necessary from the literature review will be used;

5. Conduct a clinical pilot-study at the ILS with real-world participants to determine the sensitivity and specificity of devices when detecting the cooking activity and evaluate if users are cooking safely. The developed system will be installed into one living suite at the ILS and a single user will be monitored for the remaining duration of research project. Key outcomes investigated throughout the pilot-study involve cooking ADL detection F-score, cooking safety evaluation compared to clinicians' judgement and effectiveness of automated SH interventions by comparing independence, safety and adequacy scores before and after.

The outcomes of this research project are four-fold: the development of a SH cooking safety system, an automated cooking assessment tool for clinicians, testing and further development of the PATH system, and contribution of data to the PATH system for other researchers.

# Chapter 3

## System Tuning at the Independent Living Suite

### 3.1 System Tuning Review

The Pozyx Creator Kit comes with anchors and several tags. Anchors are mounted on the walls and are used to position the tags. Multiple tags may be positioned at the same time. The Pozyx Creator kit uses ultrawideband (UWB) signals with the two-way ranging protocol to localize the tag. The tag is mounted on custom 3D printed wearables which the participant can wear as a wrist-watch or a necklace. Through trial-and-error and consultation with the Pozyx Creator Documentation [72, 73] it was determined that the accuracy of the system depends on factors listed below:

- Number of anchors
- Position of anchors

These variables were modified to achieve satisfactory actual position error and standard deviation below the expected error of 30 cm for UWB systems. The protocol for obtaining data and evaluating the actual position error and standard deviation is described in the next section.

### 3.2 Methodology

This protocol tests the X, Y, and Z positional accuracy of the Pozyx Creator system in the Independent Living Suite (ILS) at the Glenrose Rehabilitation

Hospital by having a participant stand at a specific location in each room. Permanent appliances or furniture such as the stove or dining table were used as much as possible to ensure that the experiment is repeatable.

### 3.2.1 Setup

Masking tape was used to mark the locations where the participant should place their feet. The following procedure was followed to place the tape:

1. Using a measuring tape, measure 1 meter out from the middle of the appliance or furniture and place a 20 cm piece of tape centered on, perpendicular to and underneath the measuring tape (the tips of the participant's toes should be 1 meter away from the appliance).
2. Place parallel tape on the sides of the tape placed in Step 1 to constrain the feet to a box. (The participant should have their toes on the tape perpendicular to the measuring tape and usually facing the appliance or furniture). Figure 3.1 outlines some examples of tape placements.

Following the tape placement guidelines outlined at the beginning of this section, tape was placed at or near the following locations. Refer to the AUTOCAD floor plan for the location of the rooms (Figure 3.2):

- The Hallway between Living Room and Kitchen facing the Dining Table.
- The Living Room facing the Desk.
- The Bedroom facing the bed.
- The Hallway between the bedroom and the bathroom, facing away from the wall.
- Bathroom facing the toilet.
- Kitchen facing the stove.



Figure 3.1: Box tape placement at the stove, fridge, and dining table. Participant's toes and sides of feet should touch the tape.

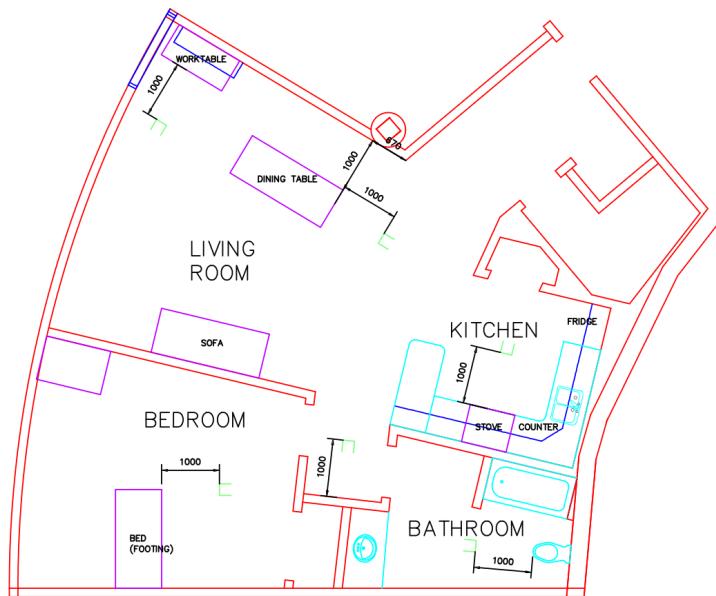


Figure 3.2: Floor plan of the ILS. Positions where the participant stood 1 meter from appliances or furniture are marked in the green "open" box on the floorplan.

### 3.2.2 Protocol

A stopwatch python script was created with predetermined labels and used as the ground truth for positions. A single participant wore the tag on a 3D printed necklace mount (Figure 3.3). The measuring tape was used to measure the height from the ground and height when squatting. For this participant, the standing height was **144cm** and the squatting height was **68.5cm**.



Figure 3.3: The Pozyx tag mounted in a custom 3D printed necklace mount.

The protocol had the following steps:

1. At the first location (Hallway Between Living Room and Kitchen) stand still for 10 seconds
2. Squat still for 10 seconds.

3. Move to next position.
4. Repeat steps 1-3 until all of the positions have been reached.
5. Finally return to the first position (Hallway Between Living Room and Kitchen)

There were 3 trials for each configuration. Following the guidelines from the Pozyx Creator Setup [73] anchors were staggered at heights of 1.4m and 2.4m (ceiling height) for 4, 5, 6, 8, and 10 anchors. A configuration where anchors were all low (10cm) were tested for 8 anchors and configurations where anchors were all high (2.4m) were tested for 8 and 9 anchors.

### 3.3 Results

Trials for each configuration were aggregated, transition periods were removed, data of interest was time normalized and the error and standard deviation of each location while standing and squatting were calculated. An as-built AUTOCAD file of the ILS was used to obtain the real position and used in the calculation of the error between measured versus the actual position. The results of the experiment are summarized in the heatmap tables (Figures 3.4, 3.5 and 3.6) with a minimum darkness set at 30cm and a maximum darkness set at 60cm.

	X Position Error at Each Location (cm)							
	POS_X_A4	POS_X_A5	POS_X_A6	POS_X_A8	POS_X_A10	POS_X_A8H	POS_X_A8L	POS_X_A9H
Go Hallway between kitchen and living	40.1	41.5	42.2	47.9	33.0	11.8	3.5	28.0
Go Hallway between kitchen and living(sit)	18.6	11.7	7.4	44.2	20.6	29.2	21.9	41.4
Living Room	50.4	57.8	48.3	7.7	34.5	20.0	31.3	41.8
Living Room(sit)	44.6	30.2	20.0	28.8	23.7	5.2	6.5	11.0
bathroom	49.1	39.8	33.0	65.3	15.4	111.9	120.6	52.0
bathroom(sit)	29.2	19.6	22.9	23.2	39.0	64.5	24.6	61.1
bedroom	24.1	2.5	39.4	58.3	55.8	99.8	78.3	49.2
bedroom(sit)	26.9	12.8	4.1	103.8	45.9	53.5	27.0	37.5
hallway between bedroom and bathroom	2.7	14.9	5.3	5.4	7.6	6.6	3.7	36.1
hallway between bedroom and bathroom(sit)	2.8	13.9	26.5	0.1	3.4	1.7	51.3	22.2
kitchen	23.7	26.8	18.5	30.1	31.5	37.3	29.1	7.7
kitchen(sit)	8.2	22.2	8.8	41.9	31.2	43.7	44.1	28.0

(a)

	X Standard Deviation at Each Location (cm)							
	POS_X_A4	POS_X_A5	POS_X_A6	POS_X_A8	POS_X_A10	POS_X_A8H	POS_X_A8L	POS_X_A9H
Go Hallway between kitchen and living	105.9	56.5	62.1	39.2	42.2	43.8	29.1	28.2
Go Hallway between kitchen and living(sit)	9.8	19.2	12.7	24.5	13.7	12.3	20.0	15.6
Living Room	5.4	7.9	6.4	18.7	19.1	17.3	12.0	12.9
Living Room(sit)	11.6	24.9	19.2	18.0	20.2	17.4	16.2	13.2
bathroom	44.4	27.3	19.6	82.5	43.4	65.3	90.6	39.1
bathroom(sit)	13.8	12.6	9.7	68.0	39.7	44.5	80.2	23.1
bedroom	17.7	17.3	17.6	21.0	21.6	36.3	30.5	24.7
bedroom(sit)	7.9	20.7	28.1	28.3	14.6	29.5	29.1	14.4
hallway between bedroom and bathroom	10.2	12.5	6.9	24.6	18.1	16.0	13.6	19.3
hallway between bedroom and bathroom(sit)	14.6	4.8	10.8	13.0	13.4	10.1	12.3	12.9
kitchen	16.7	7.8	10.3	5.1	5.0	7.9	7.9	7.8
kitchen(sit)	20.7	6.6	16.4	14.4	9.6	8.5	9.7	5.1

(b)

Figure 3.4: The positional error in X (a) and the standard deviation in X (b) at each location and body position

	Y Position Error at Each Location (cm)							
	POS_Y_A4	POS_Y_A5	POS_Y_A6	POS_Y_A8	POS_Y_A10	POS_Y_A8H	POS_Y_A8L	POS_Y_A9H
Go Hallway between kitchen and living	20.5	28.7	38.8	95.4	62.3	58.2	8.6	28.5
Go Hallway between kitchen and living(sit)	46.5	58.2	57.9	65.5	49.5	63.4	31.7	42.7
Living Room	11.6	6.2	3.2	62.3	63.5	47.1	37.4	38.0
Living Room(sit)	7.8	0.7	3.7	47.8	35.6	25.8	32.7	15.1
bathroom	27.9	0.4	7.8	44.4	56.1	47.3	10.3	38.2
bathroom(sit)	54.7	39.2	37.7	53.7	29.7	5.1	15.1	1.0
bedroom	22.8	14.5	9.2	39.5	16.1	71.7	58.4	5.7
bedroom(sit)	20.2	5.3	33.9	60.9	5.0	34.6	1.8	8.7
hallway between bedroom and bathroom	4.6	7.8	24.8	7.1	9.4	23.5	2.0	19.4
hallway between bedroom and bathroom(sit)	56.2	67.0	44.4	31.0	7.7	28.3	8.8	13.8
kitchen	16.8	2.8	10.8	28.5	33.7	39.0	38.0	31.3
kitchen(sit)	17.5	4.0	9.0	21.9	31.1	15.5	28.6	22.2

(a)

	Y Standard Deviation at Each Location (cm)							
	POS_Y_A4	POS_Y_A5	POS_Y_A6	POS_Y_A8	POS_Y_A10	POS_Y_A8H	POS_Y_A8L	POS_Y_A9H
Go Hallway between kitchen and living	103.7	53.5	62.1	115.5	79.0	137.9	25.8	24.7
Go Hallway between kitchen and living(sit)	10.3	13.7	10.7	23.6	17.8	21.1	27.6	16.0
Living Room	5.7	8.3	4.8	13.7	16.1	17.4	20.8	14.2
Living Room(sit)	11.1	14.7	11.0	21.3	12.2	16.3	13.1	7.5
bathroom	35.1	25.1	21.9	50.8	24.8	36.2	39.8	23.4
bathroom(sit)	29.8	42.1	29.3	19.1	23.4	20.3	38.9	17.8
bedroom	15.6	17.7	14.2	18.9	12.2	18.4	23.7	9.5
bedroom(sit)	9.5	12.4	14.3	24.9	14.9	16.8	17.5	6.2
hallway between bedroom and bathroom	15.2	9.0	12.2	23.0	13.6	13.4	9.5	13.0
hallway between bedroom and bathroom(sit)	12.7	10.5	16.7	8.0	12.9	12.3	12.1	8.2
kitchen	17.6	9.1	6.2	9.9	7.1	14.0	9.0	9.8
kitchen(sit)	24.4	16.0	17.2	15.3	11.0	12.7	16.1	5.9

(b)

Figure 3.5: The positional error in Y (a) and the standard deviation in Y (b) at each location and body position

	Z Position Error at Each Location (cm)							
	POS_Z_A4	POS_Z_A5	POS_Z_A6	POS_Z_A8	POS_Z_A10	POS_Z_A8H	POS_Z_A8L	POS_Z_A9H
Go Hallway between kitchen and living	132.3	56.9	47.9	180.1	87.6	7.5	58.2	3.2
Go Hallway between kitchen and living(sit)	137.0	207.8	184.0	232.3	104.7	7.6	22.8	16.0
Living Room	121.5	36.7	41.7	99.4	88.5	58.1	54.8	57.0
Living Room(sit)	79.1	177.1	197.1	224.9	16.7	30.8	97.0	17.2
bathroom	84.0	66.7	114.7	64.2	27.3	43.6	147.6	80.3
bathroom(sit)	43.2	10.2	11.2	211.1	66.4	26.3	4.6	66.2
bedroom	57.5	65.8	121.6	192.9	233.5	155.3	234.6	37.1
bedroom(sit)	39.7	1.3	54.8	305.5	3.5	80.9	142.5	20.6
hallway between bedroom and bathroom	74.0	103.4	4.1	208.3	48.6	30.8	72.3	71.7
hallway between bedroom and bathroom(sit)	33.4	26.2	59.1	84.2	2.8	61.1	54.4	65.2
kitchen	70.5	117.0	40.5	0.1	38.7	33.5	73.8	31.5
kitchen(sit)	119.0	3.9	25.9	216.1	70.6	38.5	139.6	20.5

(a)

	Z Standard Deviation at Each Location (cm)							
	POS_Z_A4	POS_Z_A5	POS_Z_A6	POS_Z_A8	POS_Z_A10	POS_Z_A8H	POS_Z_A8L	POS_Z_A9H
Go Hallway between kitchen and living	93.9	80.0	89.6	68.1	69.9	58.7	110.3	33.8
Go Hallway between kitchen and living(sit)	84.8	110.0	123.2	151.2	108.1	21.0	77.3	9.0
Living Room	46.7	36.1	23.5	92.1	25.6	20.0	144.2	17.1
Living Room(sit)	53.1	118.1	112.8	107.5	106.7	13.2	87.2	22.0
bathroom	89.9	105.2	69.6	99.9	77.3	79.4	151.9	40.7
bathroom(sit)	107.7	18.3	35.4	90.0	115.6	119.0	93.6	63.7
bedroom	65.4	109.7	67.8	142.2	58.5	24.2	246.9	20.0
bedroom(sit)	15.1	28.4	66.1	154.6	130.0	25.6	144.2	12.1
hallway between bedroom and bathroom	37.2	50.0	21.1	51.5	98.9	31.1	150.1	30.2
hallway between bedroom and bathroom(sit)	104.2	13.2	24.7	176.0	112.5	14.1	57.9	15.7
kitchen	75.2	62.0	64.5	61.8	13.2	24.4	26.3	17.0
kitchen(sit)	149.3	46.5	95.5	172.8	119.5	15.9	42.7	7.2

(b)

Figure 3.6: The positional error in Z (a) and the standard deviation in Z (b) at each location and body position

## **3.4 Discussion**

### **3.4.1 X Position**

Visually, the heatmap of error in the X position shows different spots where the system struggled to obtain the location based on the AUTOCAD as-builts depending on the configuration selected. For 4, 5 and 6 anchors, the errors seemed to be larger in the living room and the hallway between the kitchen and the living room. 8, 10, 8 (L)ow, 8 (H)igh, and 9H anchors seemed to struggle most around the bathroom and bedroom area. The standard deviation in X position seems to follow a similar pattern where 4, 5 and 6 anchors have higher standard deviation in hallway between the kitchen and living area and 8, 10, 8 (L)ow, 8 (H)igh, and 9H seems to struggle the most in the bathroom. Out of all of the configurations the 9H configuration has the most locations where the standard deviation is acceptable.

### **3.4.2 Y Position**

For 4, 5, and 6 anchors, the error seems to increase in the seated position meaning that there may be some dependence on the Z position. This occurs in the hallway between the kitchen and the living room, the bathroom and the hallway between the bedroom and bathroom. There seems to be a large struggle for 8, 10 and 8H anchors to pinpoint the Y position in the hallway between the kitchen and the living, the living room and the bathroom. The 8L anchor configuration struggled when in the bedroom, but was overall within or near the acceptable threshold of 30cm. 9H anchors overall seemed to be the best at determining the Y position with mild errors at the hallway between the kitchen and the living room, the living room and the bathroom.

In terms of standard deviation, anchor configurations 4, 5, 6, 8, 8H, and 10 had trouble at a height of 144cm, but otherwise had low standard deviation. 8L had minor issues regarding standard deviation in the bathroom but was otherwise low. The 9H configuration seemed to yield the lowest standard deviations in the Y Position.

### **3.4.3 Z Position**

The Z position at many of the locations and all configurations seem to deviate from the measured heights and have high error. Only the 8H and 9H

configurations have acceptable standard deviations for most of the rooms (there is still some struggle in the bathroom). Considering the inaccuracies in the Z positioning, it is recommended that the Z not be used as a absolute source of truth for height. Rather Z position should be used relative to another reference tag with the 9H configuration. For example, a necklace tag may be combined a wrist tag. When standing, the position of the wrist may be compared with the position of the necklace to determine if the wrist is above, below or at chest height.

### 3.4.4 Overall

The 9H configuration seems to provide the most reliable data when observing the standard deviations of the X, Y, and Z positions. With this configuration, each room had around 4 anchors surrounding it Figure 3.7

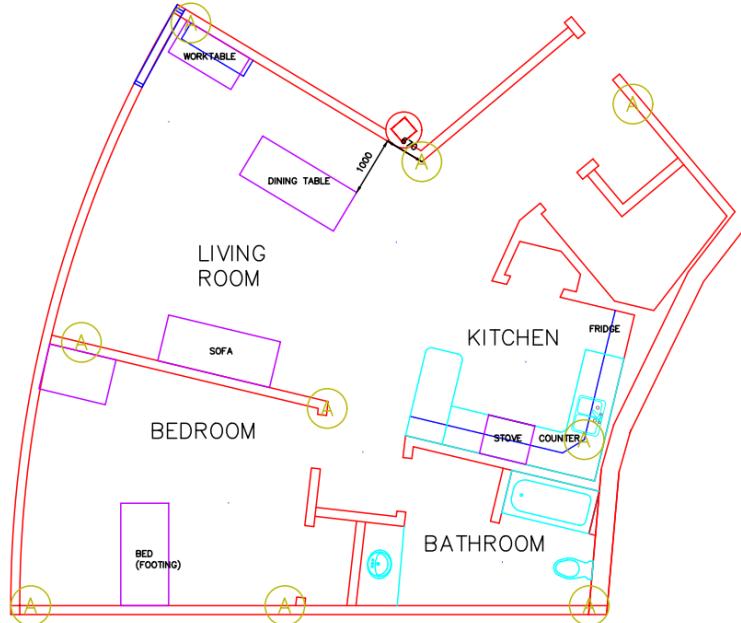


Figure 3.7: ILS Floorplan with the 9 anchors all high.

Though the inaccuracies in the hallway, living room, bathroom and bedroom may prevent the 9H configuration from using heuristics for classification

at these locations, the inherent repeatability evident in the low standard deviation in each axes of the position can make the position data from the 9H configuration a candidate for machine-learning based classification.

## Chapter 4

# Pilot Testing of Detecting Activities to make a Sandwich

Continuing from the findings in Chapter 3, the 9H anchor configuration was used to perform a preliminary classification of activities in the kitchen. Steps performed in making a sandwich were broken down and organized into Setup, Preparation, Cooking, and Finishing steps, Figure 4.1.

From the actions shown in Figure 4.1, actions with distinct location or patterns were selected as classes for classification. OPENFRIDGE, OPENFREEZER, and GETPLATE were selected as classes from the Setup category, washing hands/vegetables/fruits/using the kitchen sink were grouped into a WASHHANDS category, and SLICETOMATO were selected as a class. Finally, All intermediary transitions or motionless segments were grouped into a UNDEFINED category. Single trials were performed to collect data for each of these classes.

## Assumption

Making a Club Sandwich  
(turkey, bacon, cheese, lettuce, tomato)

<https://www.foodnetwork.com/recipes/food-network-kitchen/classic-club-sandwich-recipe-2117730>

- Making sandwich will be at the table,

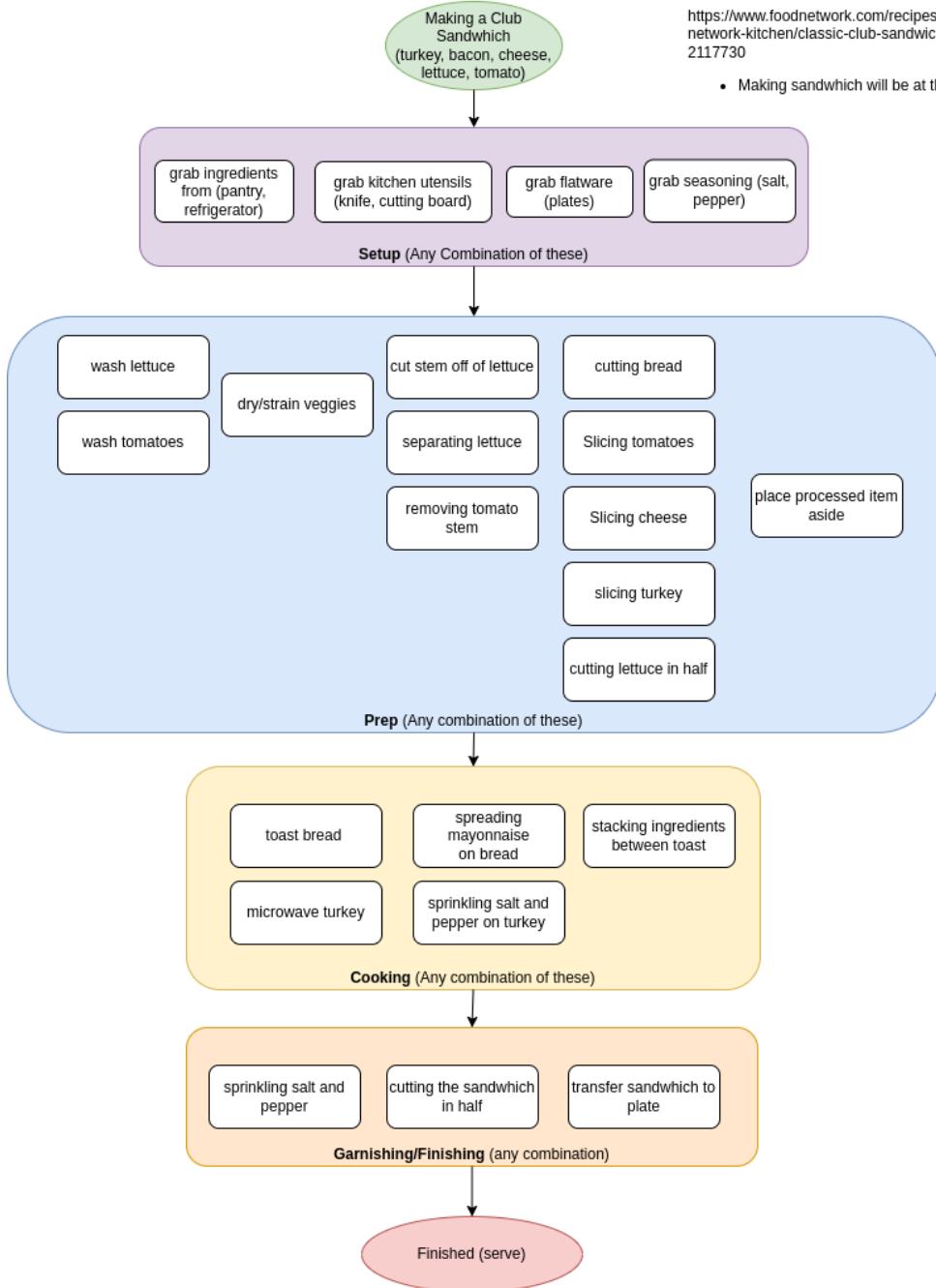


Figure 4.1: Task decomposition of making a sandwich.

## 4.1 Experimental Protocol

### 4.1.1 Setup

Several points were enforced to ensure that the training dataset captures the variation in action sufficiently when classifying data from right-handed individuals.

- Pozyx Tag is mounted on the right wrist (Figure 4.2).
- Initial position for each of the single trials are not marked. Participant will be able to choose a location from which they can perform the action comfortably without moving their feet.
- An action starts when the individual contacts the appliance or furniture. For SLICETOMATO the action starts when an individual starts slicing the tomato and ends when they stop slicing the tomato. Motions such as picking up the knife and getting in position to slice were considered transitions and labelled as UNDEFINED.

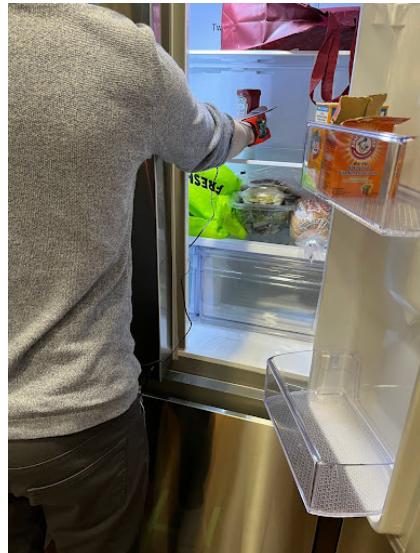


Figure 4.2: Pozyx tag mounted on the wrist. The participant is performing the OPENFRIDGE task

### 4.1.2 Data Collection

Custom Python stopwatch scripts were created to accurately label periods of transitions (quiet standing + getting into position for the action) and the action. An example of the data collected is shown in Figure 4.3. For each action there is a quiet standing period at the beginning and end. OPENFRIDGE, OPENFREEZER, OPENPLATE, WASHHANDS each had 5 repetitions for each trial. SLICETOMATO contained 3 slices to conserve the amount of tomato. Each action had a total of 5 trials.



Figure 4.3: Labelled position data of the OPENFRIDGE action. Note that the "quiet standing" periods do not consist entirely of quiet standing, but also include traces of transitions from getting into the correct position to perform the action.

Since the Pozyx Tag contained a BNO055 chip, in addition to 3D Position data, the tags were able to capture inertial data including Accelerometer Data, Linear Accelerometer Data, Angular Velocity Data, and the orientation.

Data for each of the actions that relate to making a sandwich were collected from 2 participants.

## 4.2 Feature Extraction

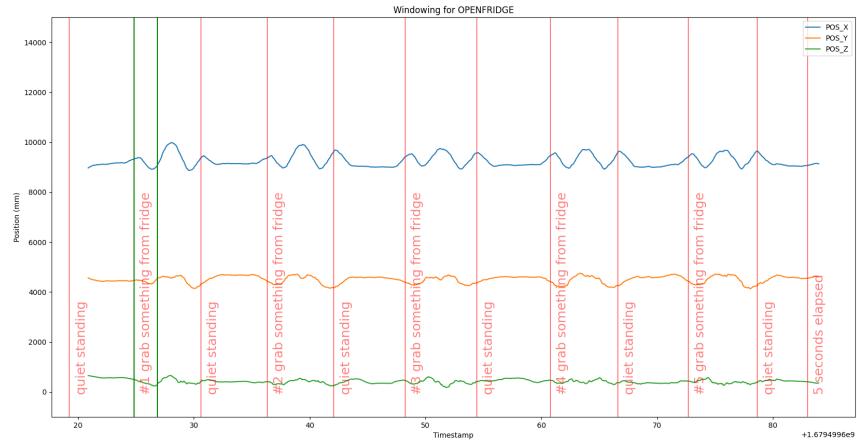
An initial sliding window with a width of 2 seconds and a stride length of 1 second was used to ensure that enough feature vectors could be extracted from the SLICETOMATO dataset. An example of windows taken for the OPENFRIDGE action and UNDEFINED action are shown in Figure 4.4.

From each window, basic statistical measures over the entire window were taken. These measures include the MEAN, MEDIAN, MODE (to 5cm for position), MAX, MIN, and STD of the entire window. From each window of data, there were a total of 3 (axes) \* 5 (types of data) \* 6 (statistical measures) = 90 Features

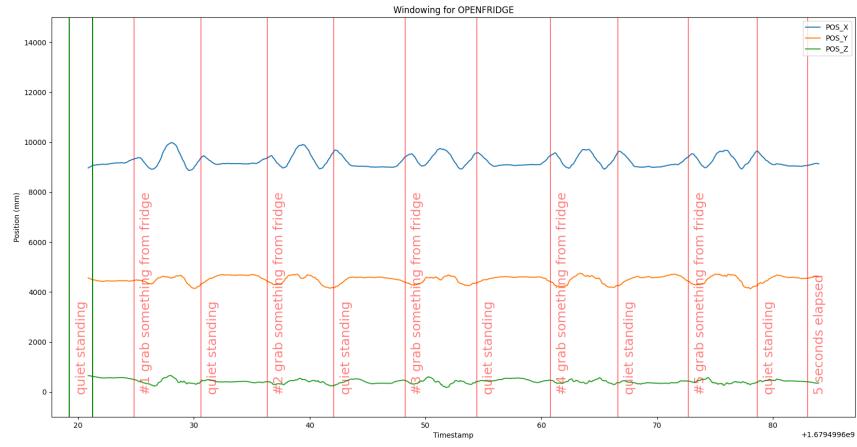
From the entire timeseries dataset, 2773 feature vectors were extracted. Refer to Table 4.1 for the breakdown of counts for each label.

Table 4.1: Count of the occurrences of each action.

Action	Count
UNDEFINED	1518
SLICETOMATO	197
WAHSHANDS	316
OPENFRIDGE	239
OPENFREEZER	203
GETPLATE	300



(a)



(b)

Figure 4.4: Obtaining windows from the OPENFRIDGE dataset. The green vertical lines section off a 2-second window. (a) A window labelled OPENFRIDGE. (b) A window labelled UNDEFINED.

## 4.3 Model Selection

A 60:40 split was used to train and test the model selected. Several models were chosen including Linear Support Vector Machine, Radial Support Vector Machine, K-Nearest Neighbors, Decision Trees and Random Forests. As this was a pilot study in determining the feasibility of classification of the fine-grained actions involved in making a sandwich, rigorous parameter tuning and feature selection were neglected and the defaults from the sklearn Python package were used.

## 4.4 Results

The confusion matrices from each model are output in Figures 4.5-4.10. Total accuracy was reported as well as the sensitivity, specificity, and precision of each class were reported. These measures are calculated as follows:

$$\text{Accuracy} = \frac{\text{All } TP}{N} \quad (4.1)$$

$$\text{Sensitivity} = \frac{TP}{TP + FN} \quad (4.2)$$

$$\text{Precision} = \frac{TP}{TP + FP} \quad (4.3)$$

$$\text{Specificity} = \frac{TN}{TN + FP} \quad (4.4)$$

Where  $N$  is the number of samples  $TP$  are True Positives,  $TN$  are true negatives,  $FP$  are false positives and  $FN$  are false negatives.

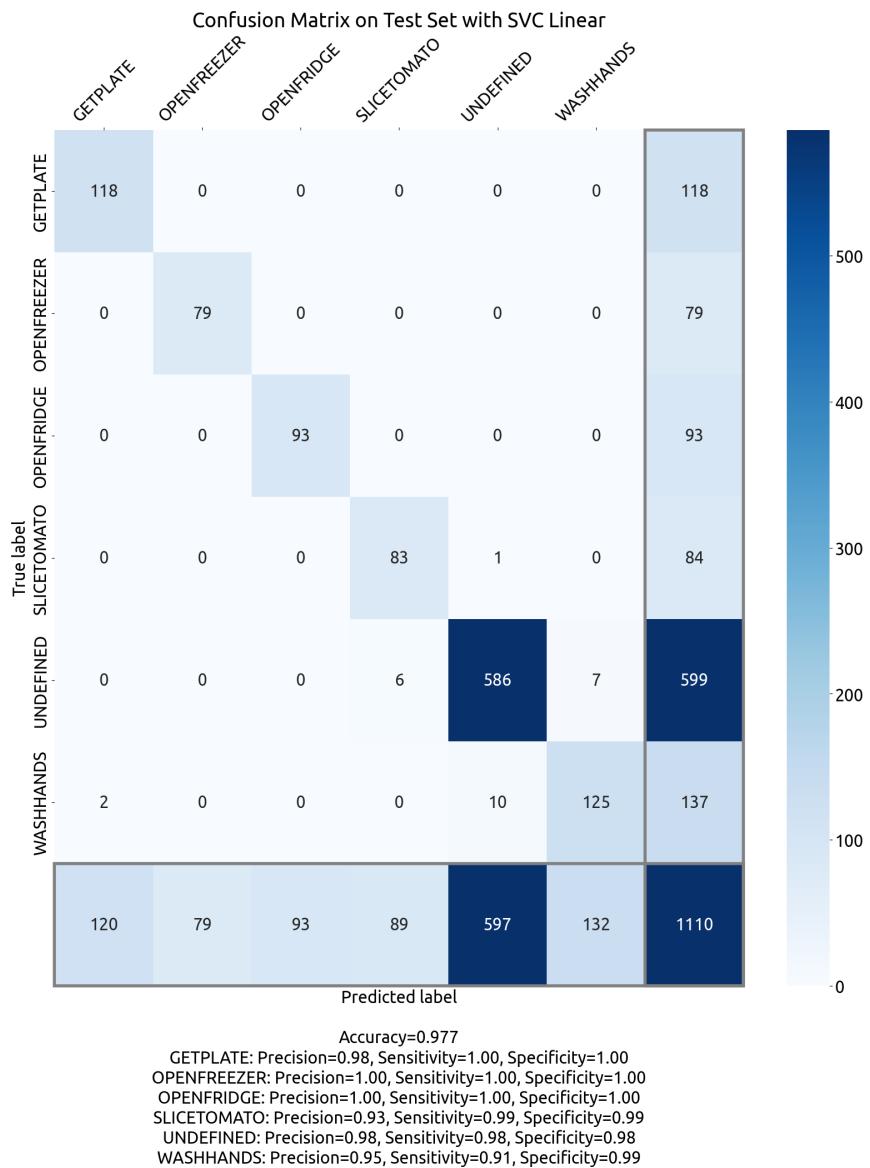


Figure 4.5: Test confusion matrix using the Support Vector Classifier with a Linear Kernel

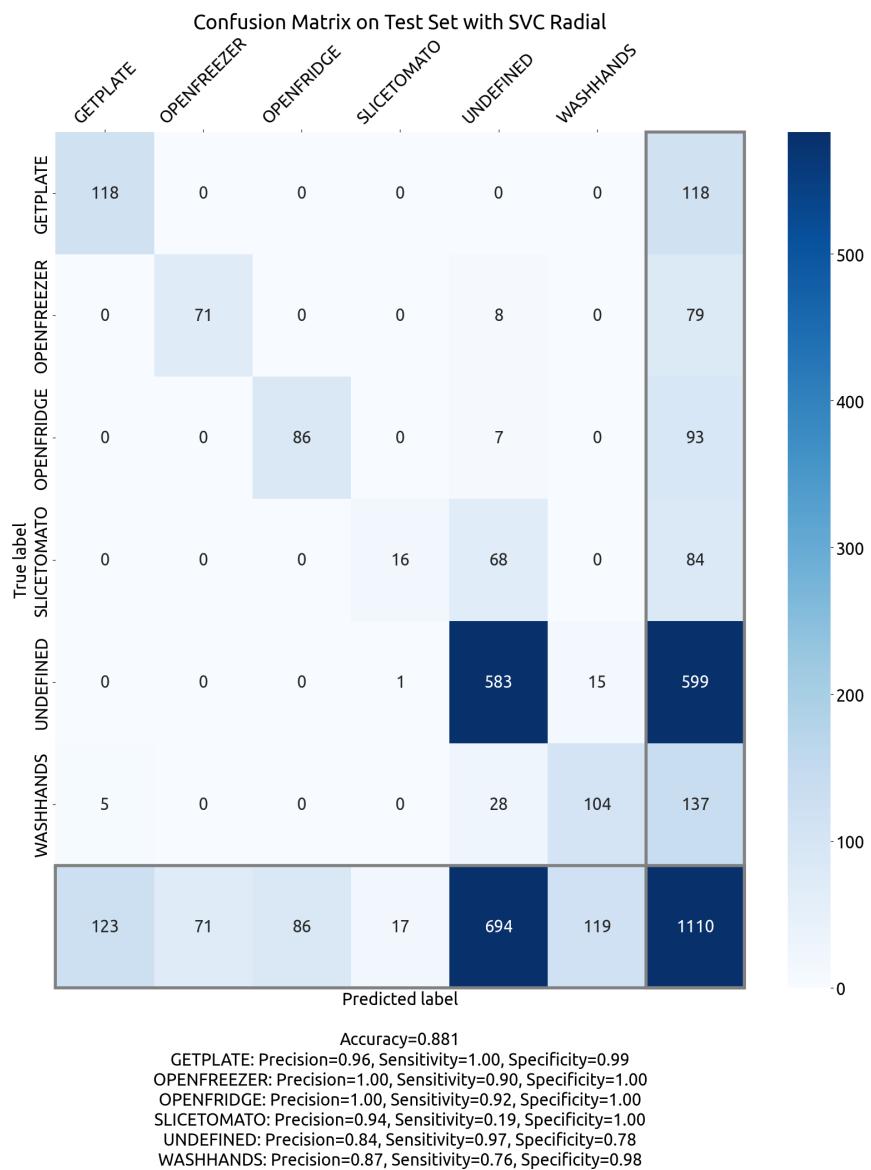


Figure 4.6: Test confusion matrix using the Support Vector Classifier with a Radial Kernel

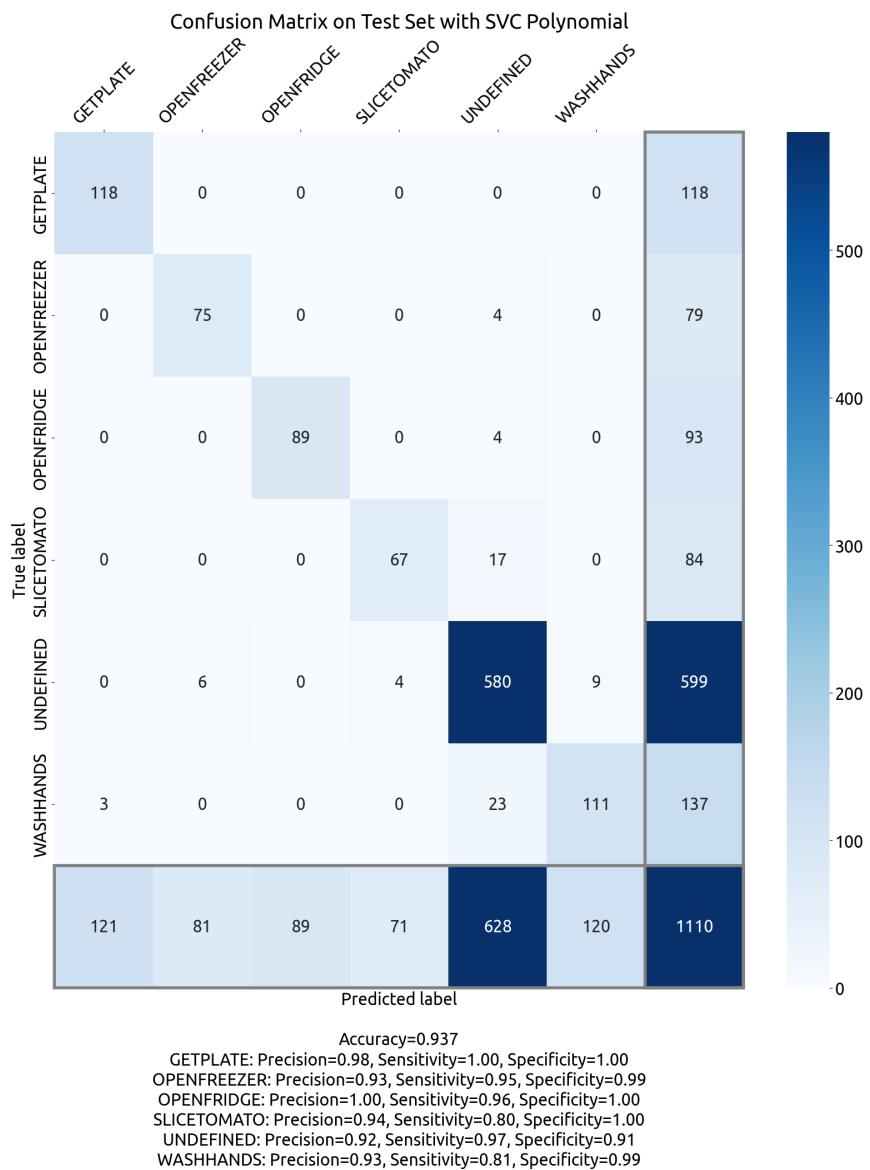


Figure 4.7: Test confusion matrix using the Support Vector Classifier with a Polynomial Kernel

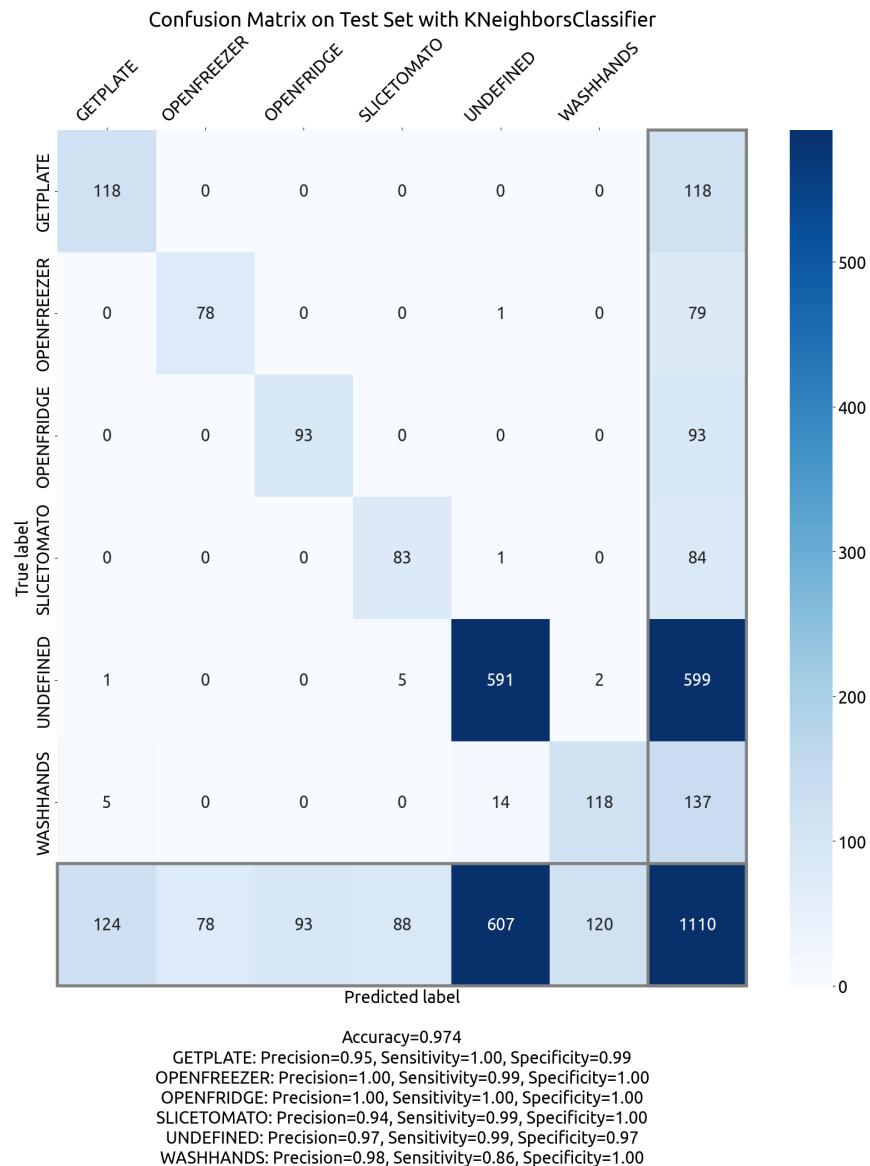


Figure 4.8: Test confusion matrix using the K-Nearest Neighbors Classifier

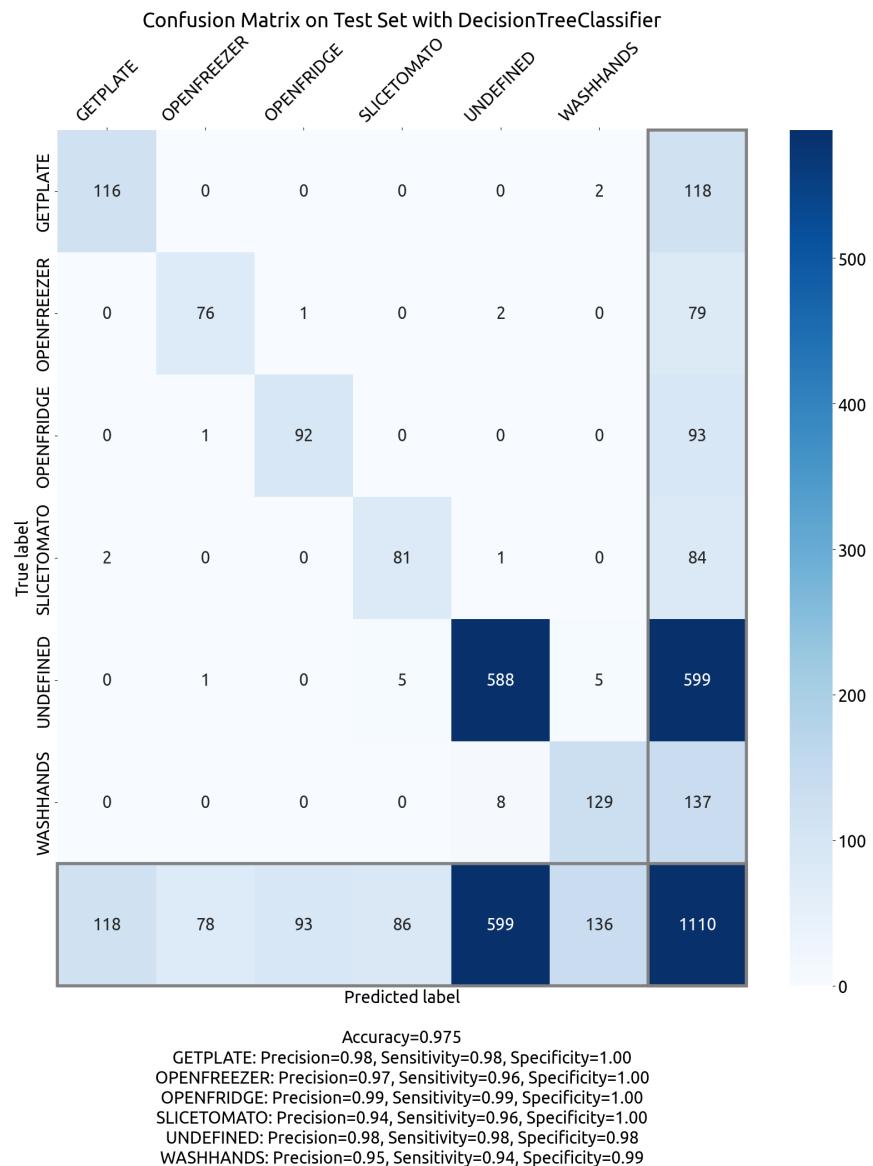


Figure 4.9: Test confusion matrix using the Decision Tree Classifier

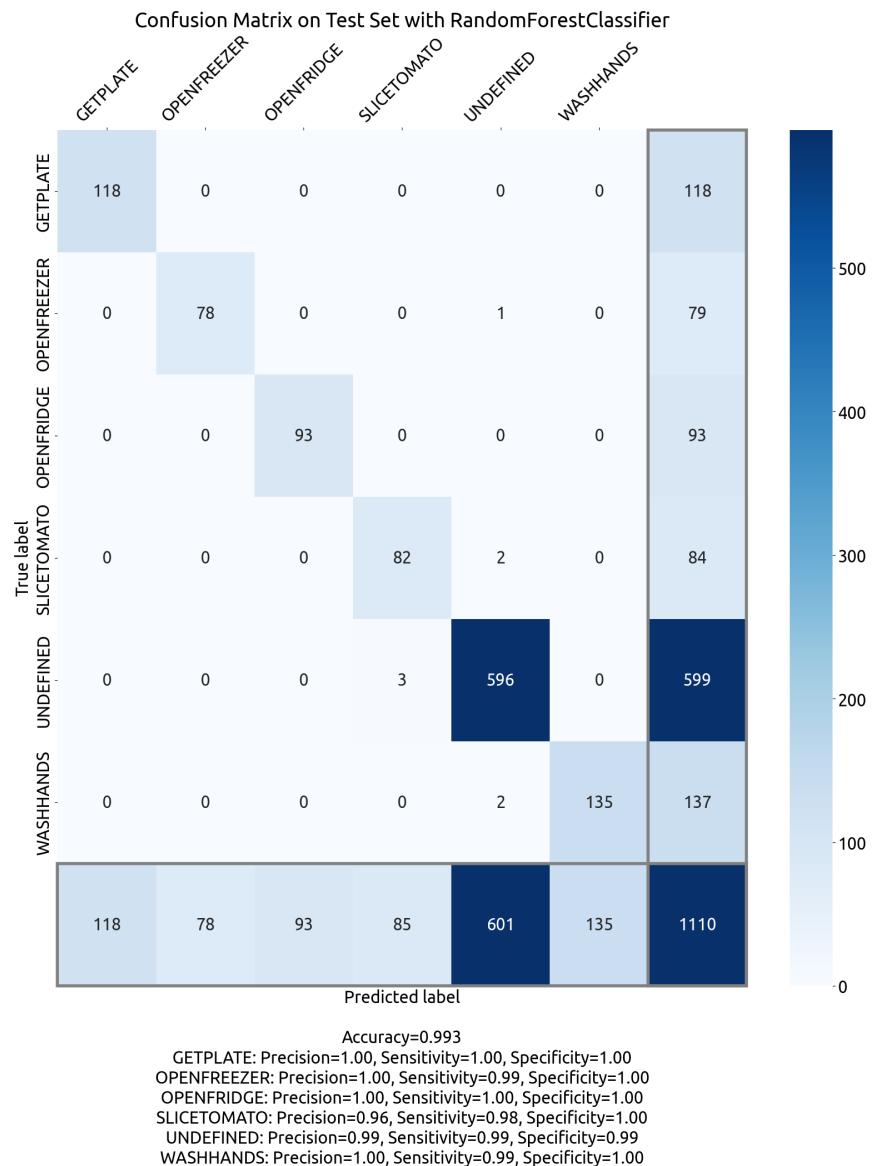


Figure 4.10: Test confusion matrix using the Random Forests Classifier

## 4.5 Discussion

Table 4.2 summarizes the accuracies obtained from each model.

Table 4.2: Accuracy of each Model

Model Name	Accuracy (%)
SVM Linear	97.7
SVM Radial	88.1
SVM Polynomial	93.1
kNN	97.4
Decision Tree	97.5
Random Forests	99.3

With the exception of the Radial SVM, all of the models perform well achieving an accuracy of somewhere in the high 90s. In day-to-day activities, there is a disproportionately higher number of the UNDEFINED class compared to the other "action" classes signifying the presence of class imbalance. If a classifier guesses all UNDEFINED it can obtain an accuracy of  $599/1110 = 54\%$ . Thus, accuracies taken around 54% should be interpreted with caution. Other metrics such as the Sensitivity, Precision and Specificity have been provided to address this class imbalance. Sensitivity is the rate at which the classifier predicts a *TP*, Precision is the fraction of predictions that are actually true, and Specificity is the rate at which the classifier predicts a *TN*. Of all the models, the Random Forests Classifier at the default settings seem to the best in terms of Accuracy and Precision, Sensitivity, and Specificity for all classes.

The performance of these models in the real-time will need to be tested and quantified before any conclusions can be made. A high accuracy is promising, but may also be indicative of overfitting which means that the model will not be able to generalize variation experienced in the real world. In later sections, more fine-grained actions will be considered, models will be more rigorously tuned, and the performance in real-time will be investigated.

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