

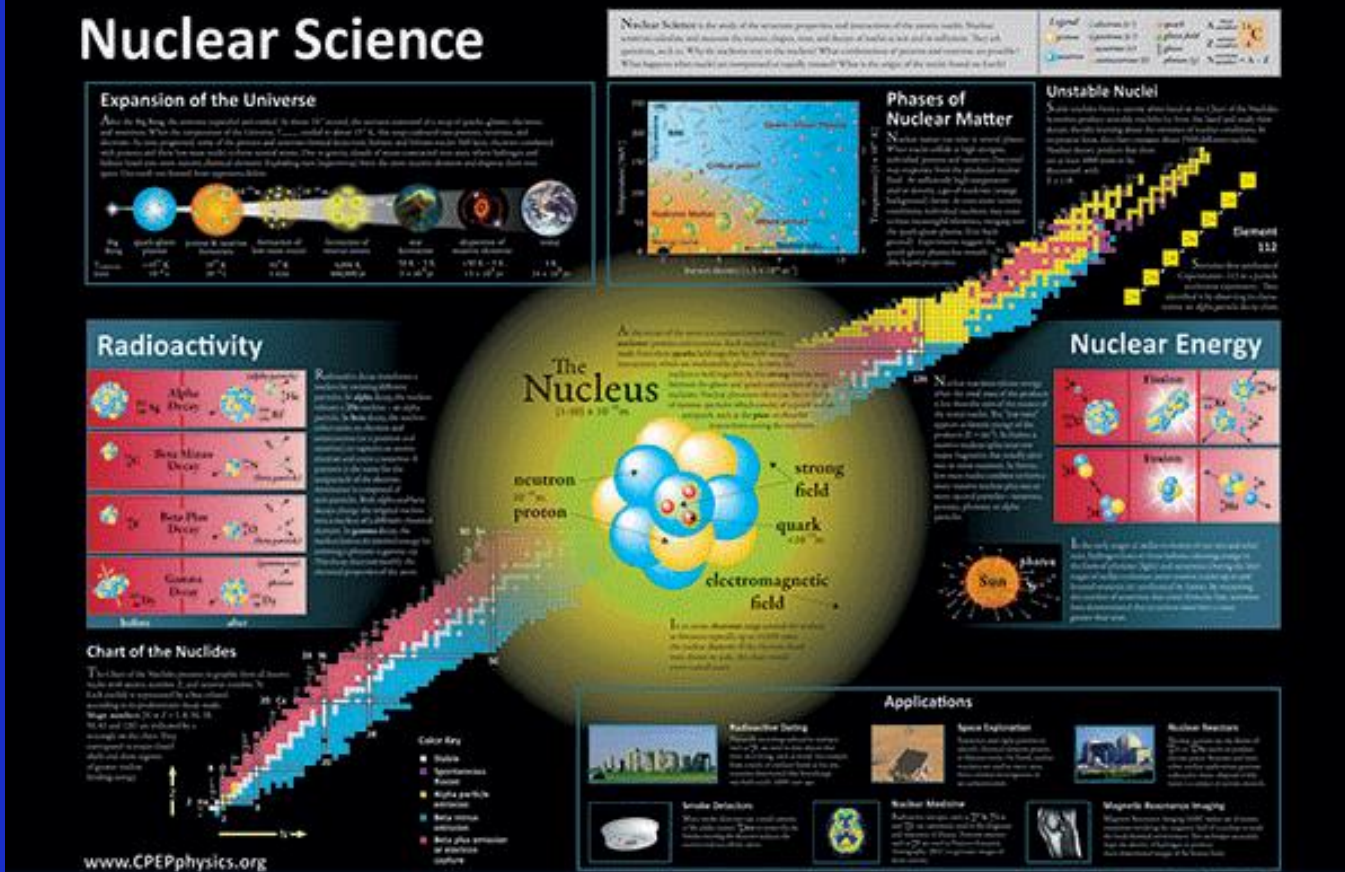
# Light Nuclei Properties with *ab initio* No-Core Shell Model

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第三届“粤港澳”核物理论坛  
深圳  
2024. 11. 15-18



# Outline

- No-Core Shell Model
- Sum rules with NCSM
- Monopole transition form factor

# ***Ab initio* No-Core Shell Model**

# What is *ab initio* in nuclear physics?

Few-Body Syst (2023) 64:77  
<https://doi.org/10.1007/s00601-023-01857-2>

R. Machleidt

## What is *ab initio*?

Nuclear structure theory at its basic level is not about fitting data to get “good” results. Fundamental nuclear structure theory is about answering the question:

*Do the same nuclear forces that explain free-space scattering experiments also explain the properties of finite nuclei and nuclear matter when applied in nuclear many-body theory?*

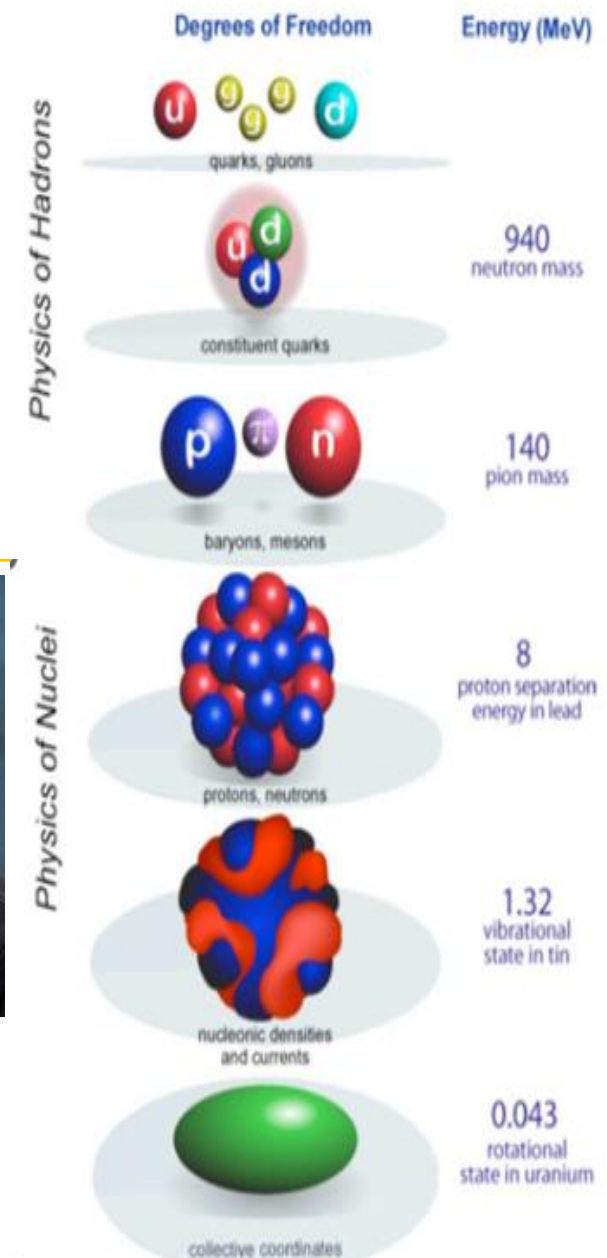


## What is *ab initio* in nuclear

A. Ekström<sup>1\*</sup>, C. Forssén<sup>1</sup>, G. Hagen<sup>2,3</sup>, G. R. Jansen<sup>4</sup>  
and T. Papenbrock<sup>2,3</sup>

<sup>1</sup>Department of Physics, Chalmers University of Technology, Göteborg, Sweden, <sup>2</sup>Ridge National Laboratory, Oak Ridge, TN, United States, <sup>3</sup>Department of Physics, University of Tennessee, Knoxville, TN, United States, <sup>4</sup>National Center for Computational Sciences, Oak Ridge National Laboratory, Oak Ridge, TN, United States

*Ab initio* has been used as a label in nuclear theory for over two decades. Its meaning has evolved and broadened over the years. We present our interpretation, briefly review its historical use, and discuss its present-day relation to theoretical uncertainty quantification.



### OPEN ACCESS

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# Non-relativistic *ab initio* approaches

## ➤ Few-body methods

- Faddeev Equation for  $A=3$  system
  - typically in momentum space
- Faddeev-Yakuboski Equations for  $A=4$  system
  - can nowadays be pushed to  $A=5$  and 6 (Lazauskas)
- Hyperspherical Harmonics  $A=6$

## ➤ Many-body methods

- Variational Monte-Carlo ( $A \leq 12$ )
- Green's Function Monte-Carlo ( $A \leq 12$ )
- Configuration Interaction (CI) methods (NCSM ( $A \leq 20$ ), Coupled Cluster ( $A \leq 100$ ))
- Nuclear Lattice Simulations ( $A \leq 40$ )

## ➤ Supercomputer Era

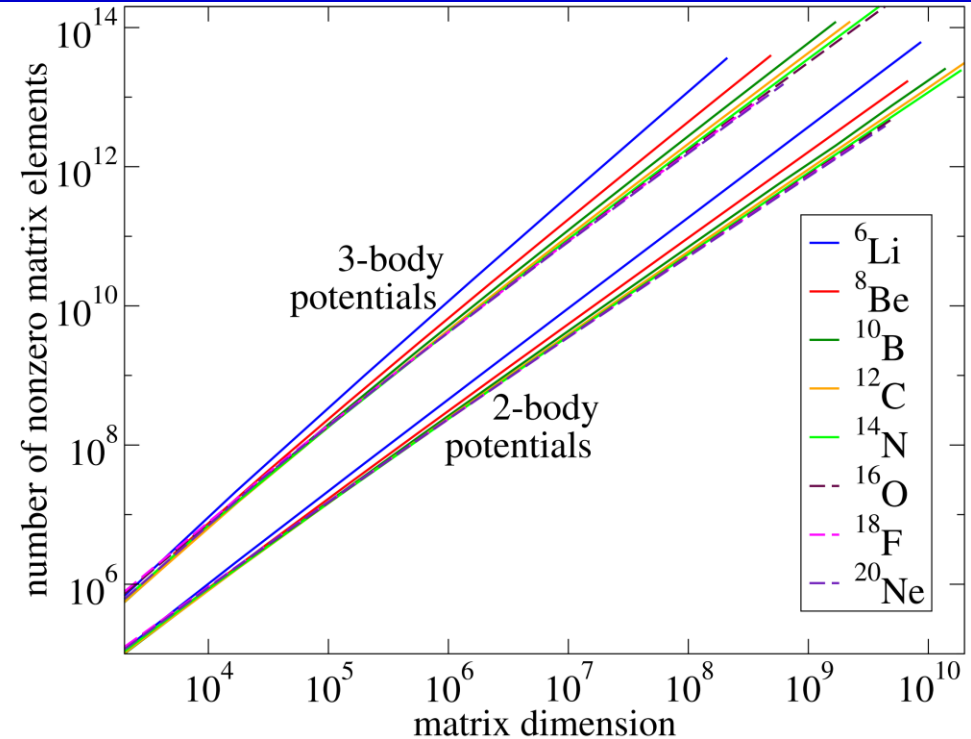
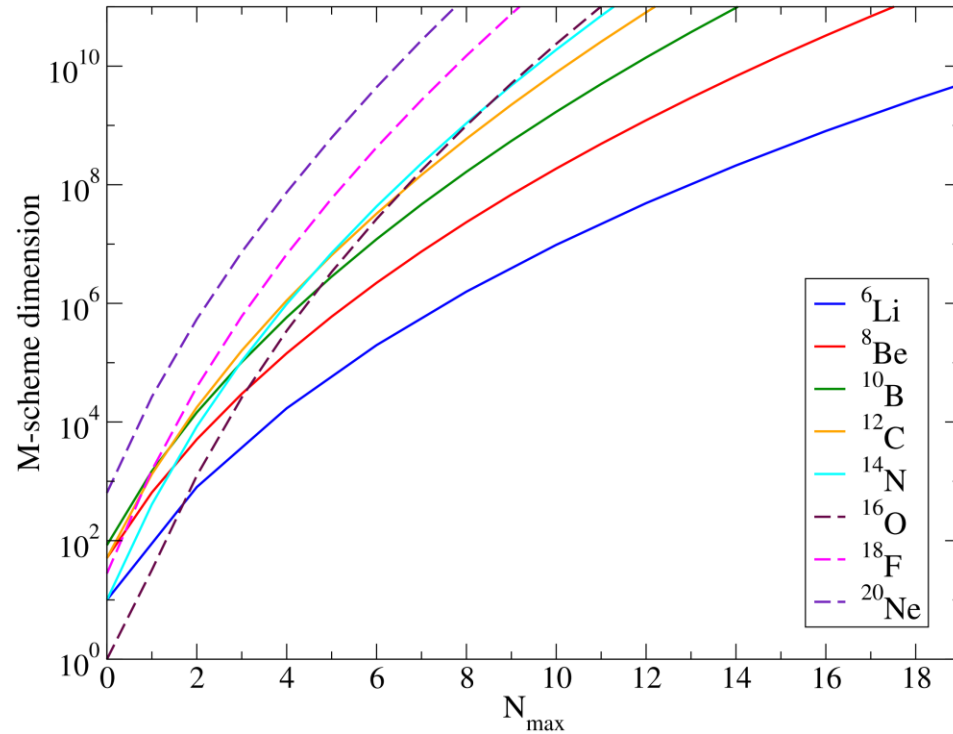
## ➤ Quantum computing Era?

# No-Core Shell model

$$\hat{\mathbf{H}}_{\text{rel}} = \hat{\mathbf{T}}_{\text{rel}} + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$

- Expand wavefunction in basis states  $|\Psi\rangle = \sum a_i |\Phi_i\rangle$
- Express Hamiltonian in basis  $\langle \Phi_j | \hat{\mathbf{H}} | \Phi_i \rangle = H_{ij}$
- Diagonalize Hamiltonian matrix  $H_{ij}$
- No-Core: All  $A$  nucleons are treated equally
- Complete basis: exact results (Caveat: complete basis is infinite dimensional)
- In practice:
  - Truncate basis
  - Study behavior of observables as function of truncation
- Computational challenge:
  - Construct large ( $10^{10} \times 10^{10}$ ) sparse symmetric matrix
  - Obtain lowest eigenvalues & -vectors corresponding to low-lying spectrum and eigenstates

# Main Challenges

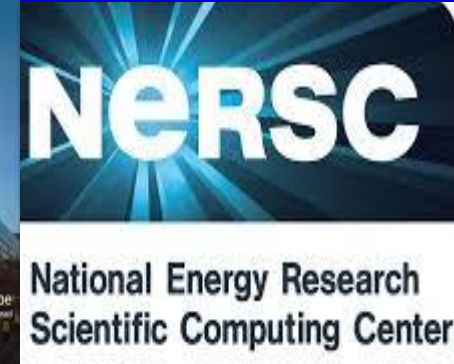


- Increase of basis space dimension with  $A$  and  $N_{\max}$ 
  - Need calculations up to at least  $N_{\max}=8$ , preferably  $N_{\max}=10$  for meaningful extrapolation and numerical error estimates
- More relevant measure for computational needs
  - Number of nonzero matrix elements

# Main Challenge

- 2x [AMD EPYC 7763](#) (Milan) CPUs
- 64 cores per CPU
- AVX2 instruction set
- 512 GB of DDR4 memory total
- 204.8 GB/s memory bandwidth per CPU
- 1x [HPE Slingshot 11](#) NIC
- PCIe 4.0 NIC-CPU connection
- 39.2 GFlops per core
- 2.51 TFlops per socket
- 4 NUMA domains per socket (NPS=4)

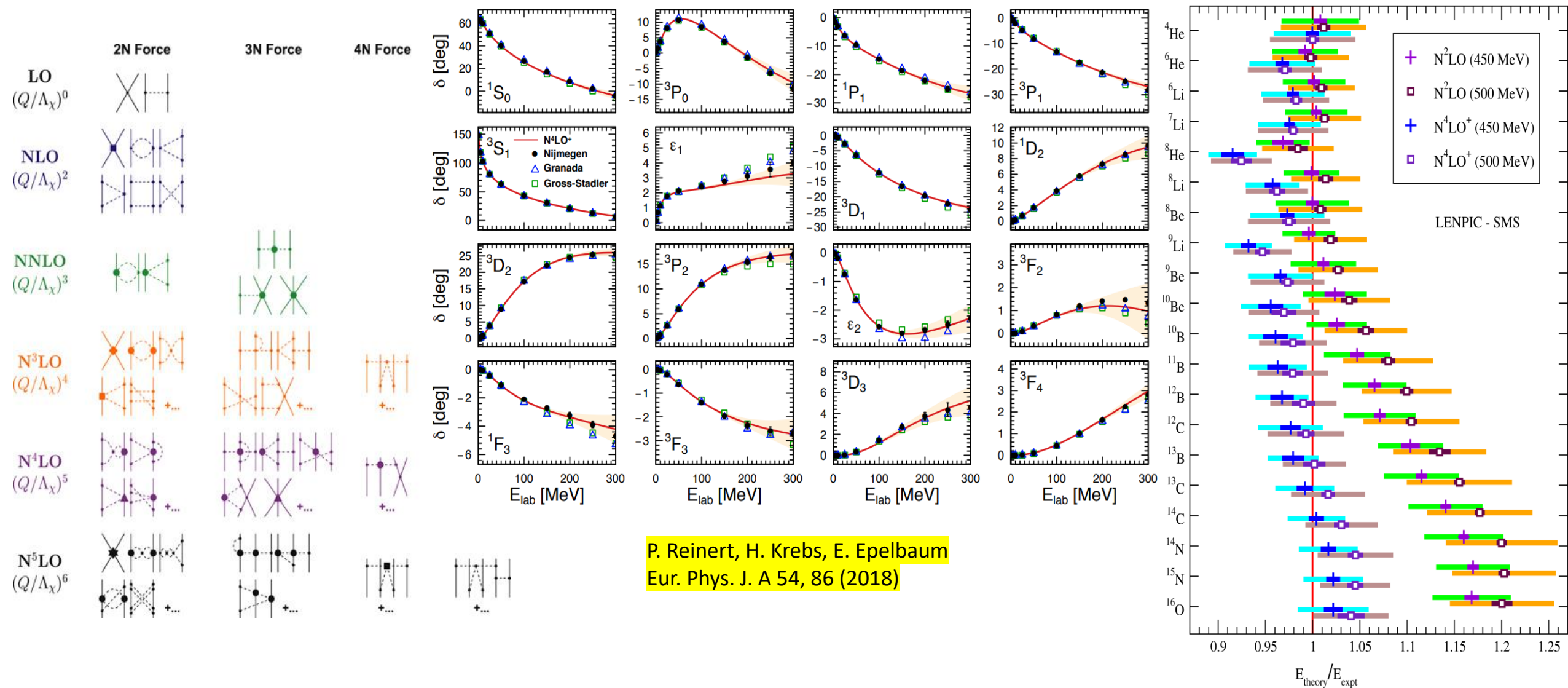
Partition	# of nodes	CPU	GPU
GPU	1536	1x <a href="#">AMD EPYC 7763</a>	4x <a href="#">NVIDIA A100</a> (40GB)
	256	1x <a href="#">AMD EPYC 7763</a>	4x <a href="#">NVIDIA A100</a> (80GB)
<u>CPU</u>	<u>3072</u>	2x <a href="#">AMD EPYC 7763</a>	-
Login	40	1x <a href="#">AMD EPYC 7713</a>	1x <a href="#">NVIDIA A100</a> (40GB)



- Computationally demanding => needs new algorithms & high-performance computers
- Requires convergence assessments and extrapolation tools to retain predictive power
- Current limit  $10^{14}$  (Perlmutter):  
 $^{12}\text{C}$  ( $N_{\text{max}}=12$ ),  $^6\text{He}$  ( $N_{\text{max}}=22$ )
- Achievable for nuclei up to  $A \sim 20$  with largest computers available



# LENPIC chiral EFT $NN$ potential up to $N^4\text{LO}+$



P. Reinert, H. Krebs, E. Epelbaum  
Eur. Phys. J. A 54, 86 (2018)

LENPIC Collaboration,  
Phys. Rev. C 106, 064002 (2022)

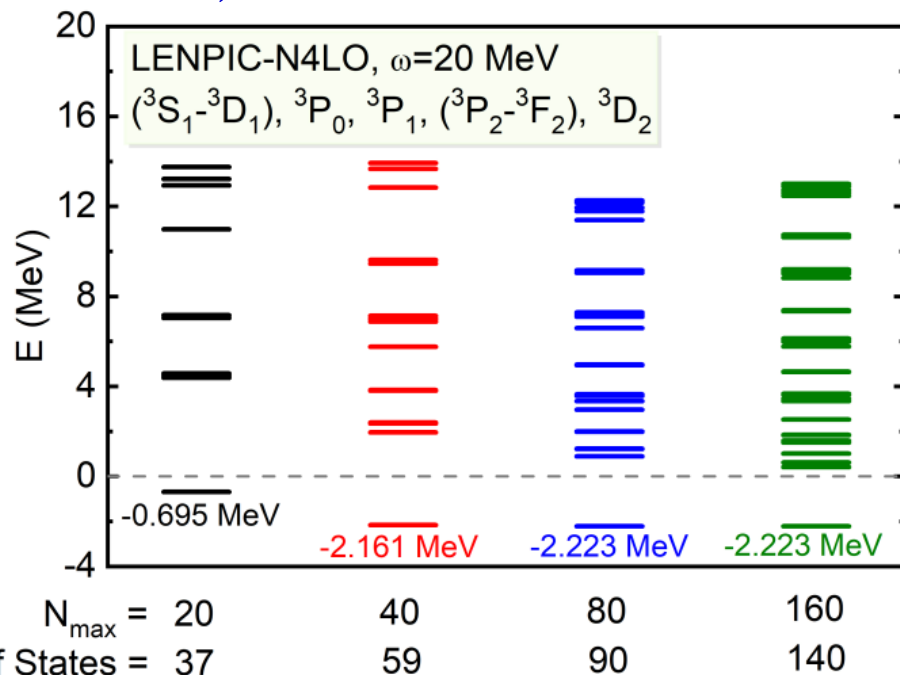
**Sum rules with NCSM**

# Sum rules with NCSM

$$I_M = \sum_{\mu}^M |\langle \mu | \hat{O} | i \rangle|^2 g(\omega_{\mu})$$

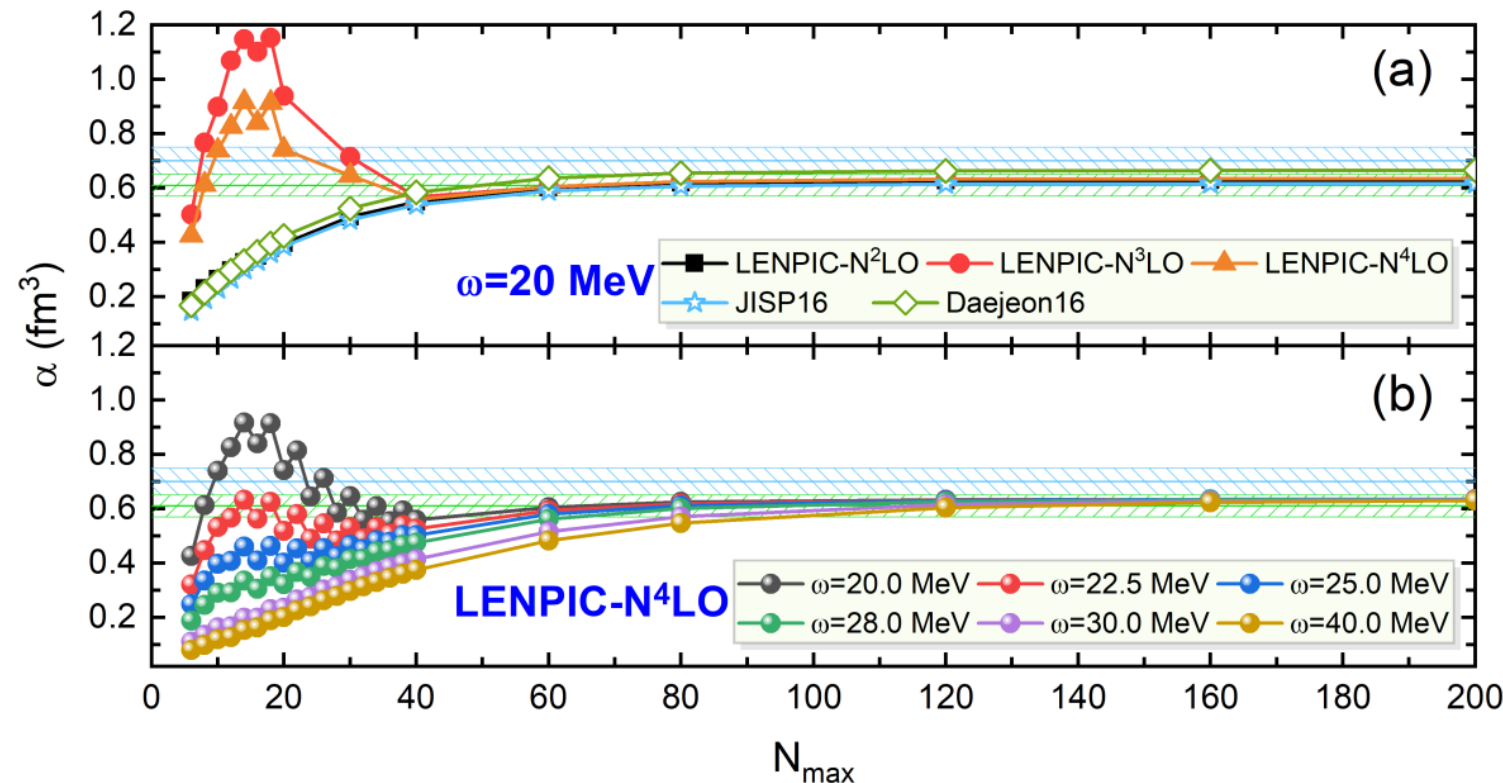
$$\alpha_E = \frac{8\pi}{9} \sum_k \frac{B(E1; J_0 \rightarrow J_k)}{E_k - E_0} = \frac{1}{2\pi^2} \int_{\omega_{\text{th}}}^{\infty} d\omega \frac{\sigma_{\gamma}^{\text{ud}}(\omega)}{\omega^2}$$

- Sum rule: response of nuclei to external field probe
- Direct techniques: only available for deuteron
- Indirect techniques: Lorentz integral transform, Lanczos sum rule method
- $A > 2$ , direct calculation?



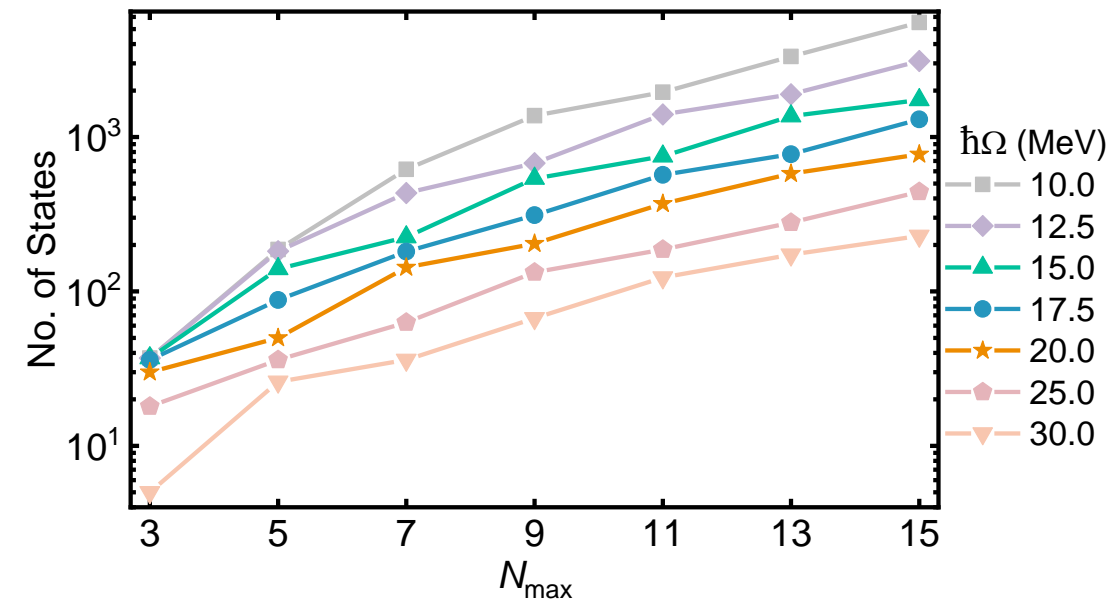
P. Yin *et al*, *J.Phys.G* 49, 125102 (2022)

P. Yin *et al*, [arXiv:2208.00267 [nucl-th]]

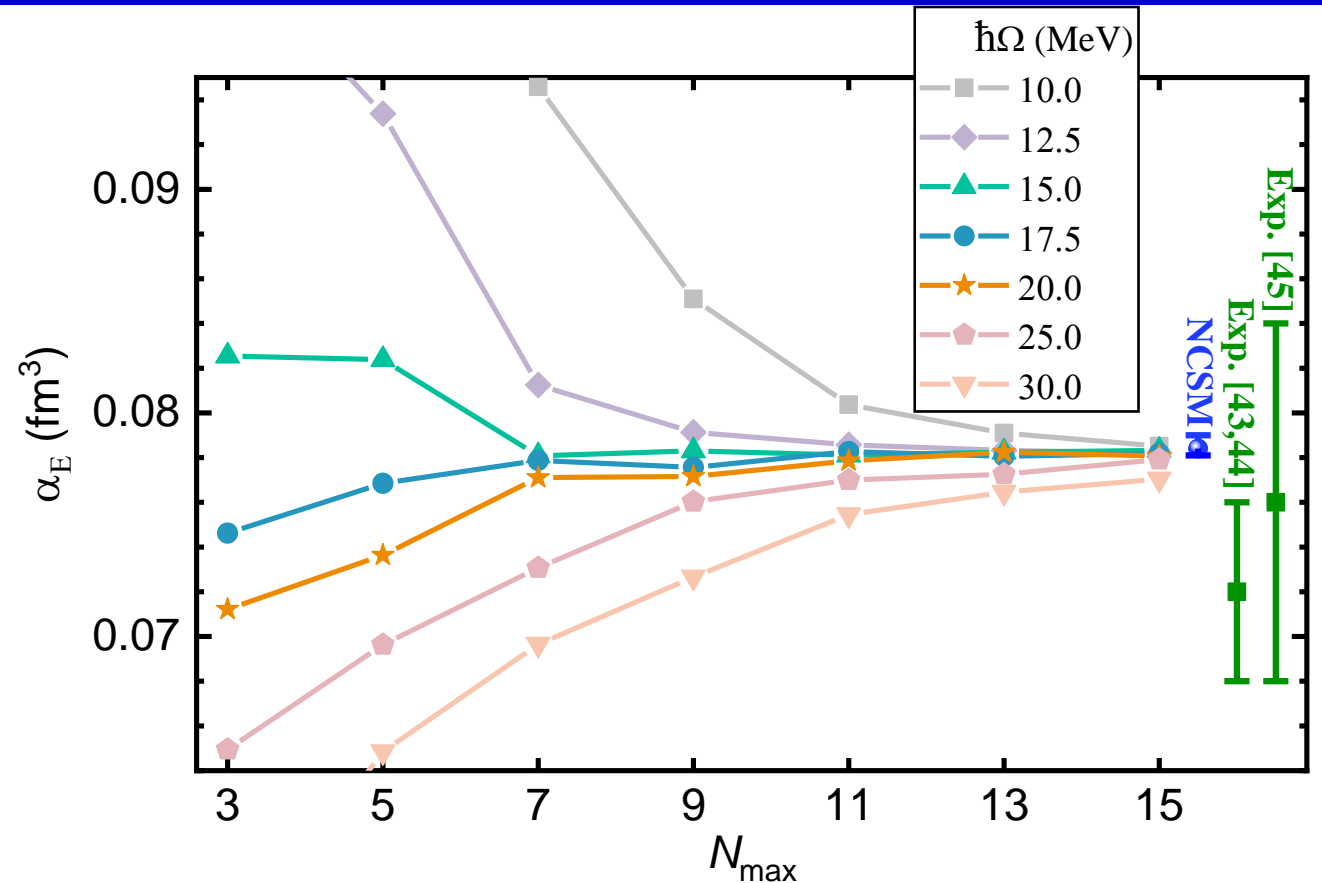


# Sum rules with NCSM

- $^4\text{He}$ : polarizability  $\alpha_E = \frac{8\pi}{9} \sum_k \frac{B(E1; J_0 \rightarrow J_k)}{E_k - E_0}$
- $E1$  selection rule:  $1^-$  states
- Retain only  $1^-$  states
  - M-scheme with  $M=1$  ( $1^-, 2^-, \dots$ )
  - Lagrangian multiplier  $H_{J^2} = \lambda (\mathbf{J}^2 - 2)$



- Up through 100 MeV
- $N_{\max}=15$ ,  $\hbar\Omega=10$  MeV  
5522  $1^-$  states  
30 GPU nodes, 16800 Lanczos iterations, 21 hours



- Robust convergence with respect to  $N_{\max}$
- Uncertainty due to  $N_{\max}$  truncation and energy truncation

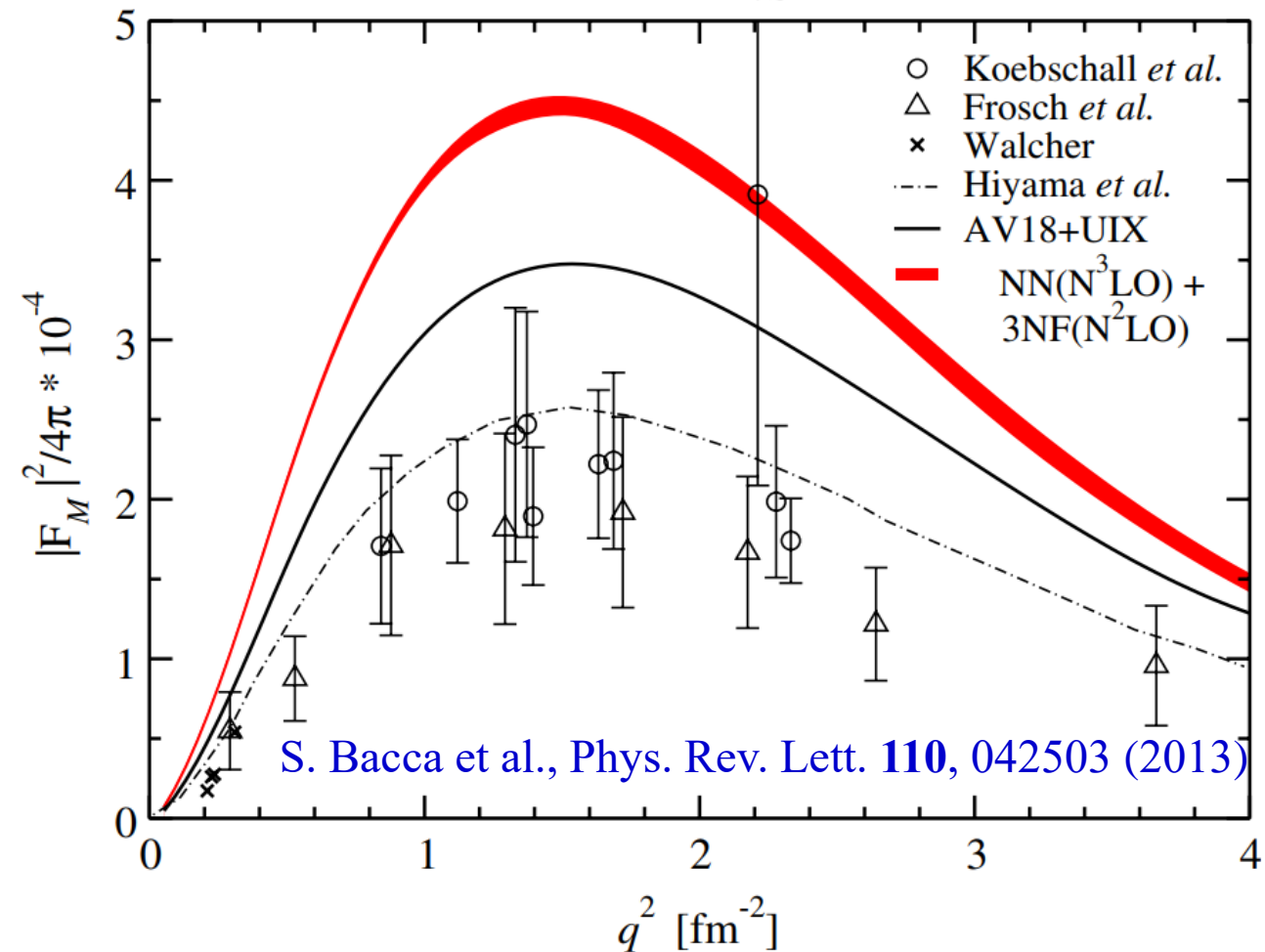
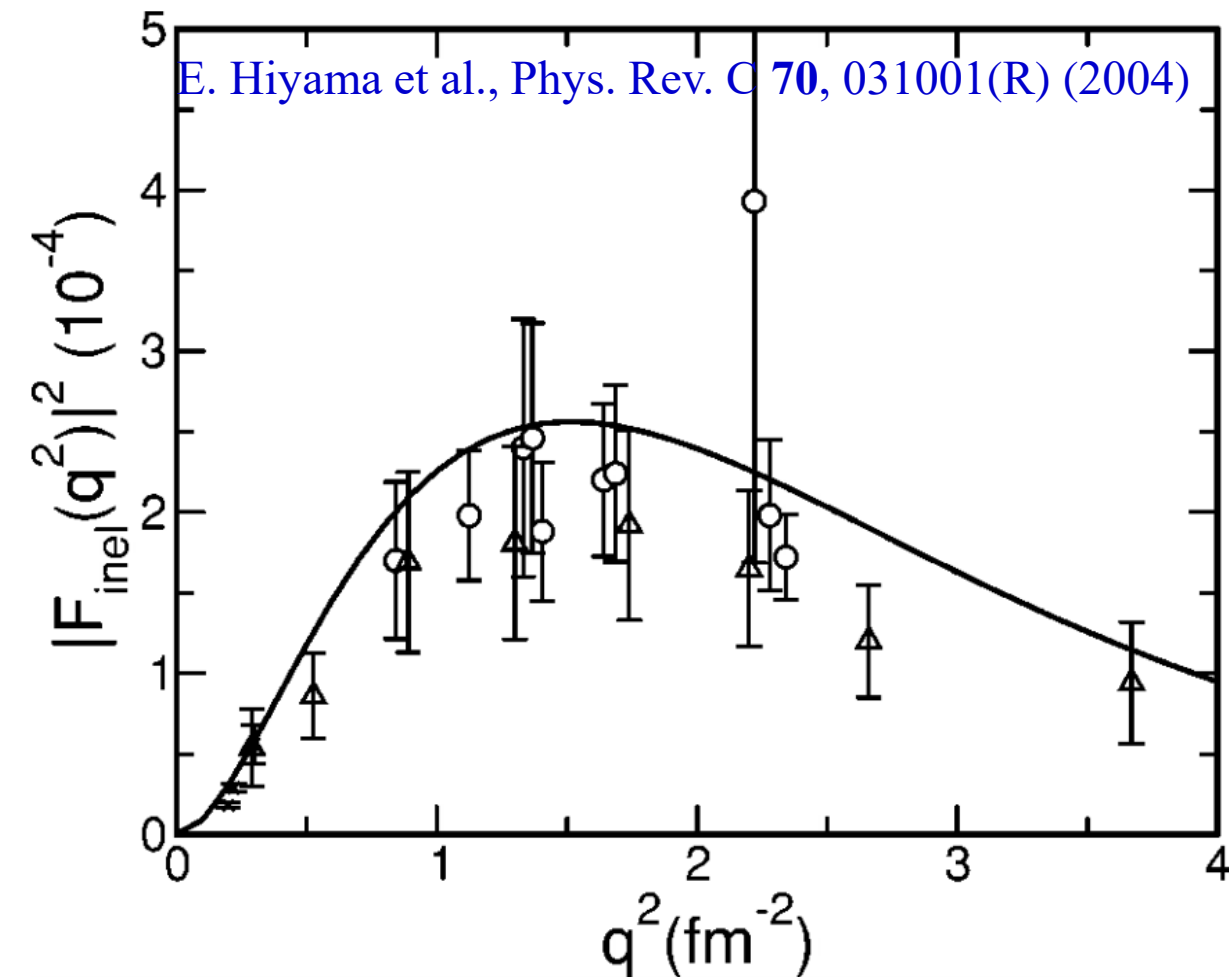
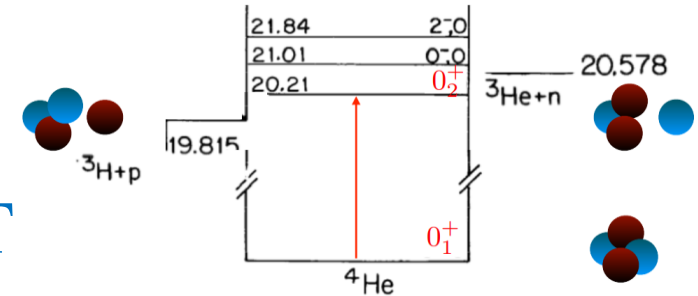
P. Yin, A. M. Shirokov, P. Maris, P. J. Fasano, M. A. Caprio, H. Li, W. Zuo, J. P. Vary, Phys. Lett. B **855**, 138857 (2024)

# **Monopole form factor with NCSM**



# Monopole transition form factor of $^4\text{He}$

- Ground state: benchmark with few-body methods
- Hiyama: Gaussian expansion, simplified force
- Bacca: HH+LIT, realistic  $NN+3N$  from chiral EFT

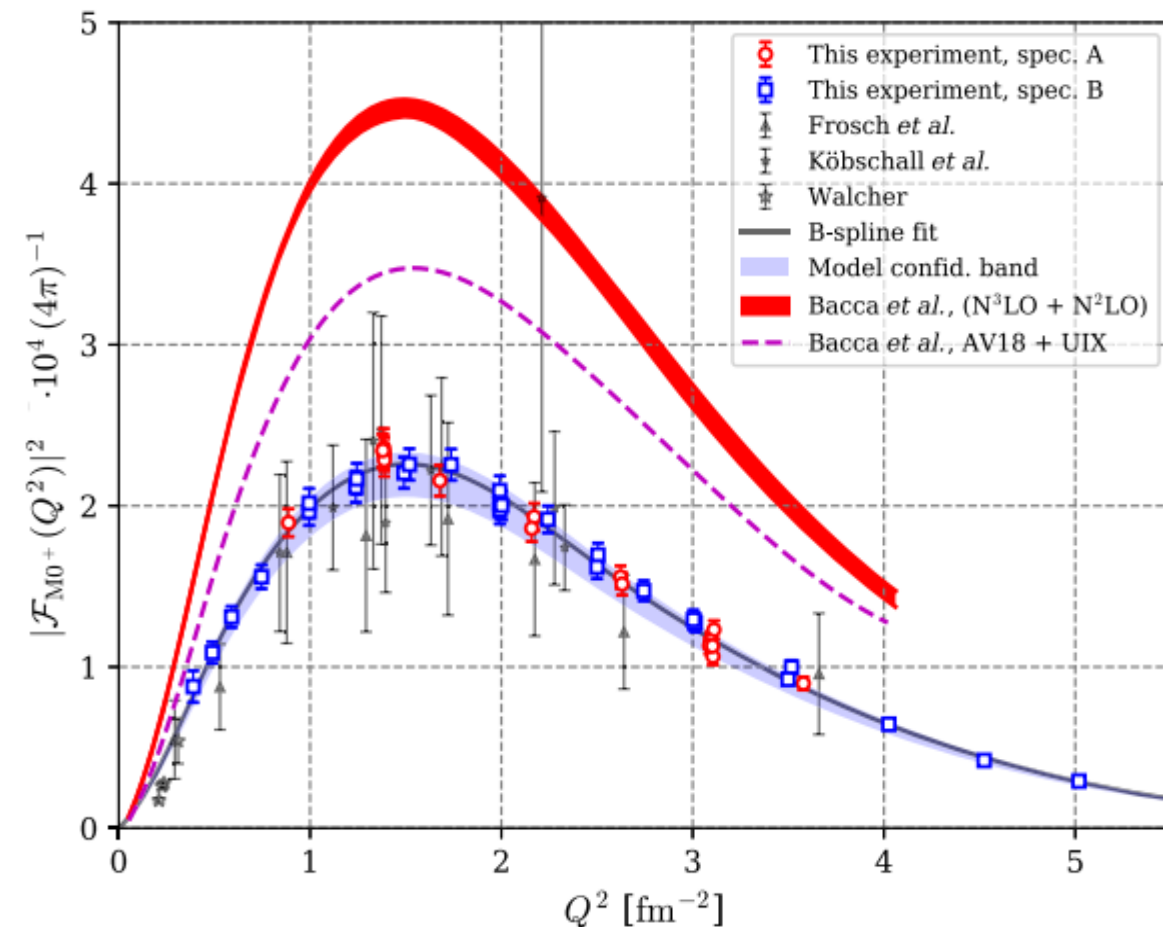


# New experiment Mainz Status quo in 2023

Problem with chiral EFT  
becomes stronger

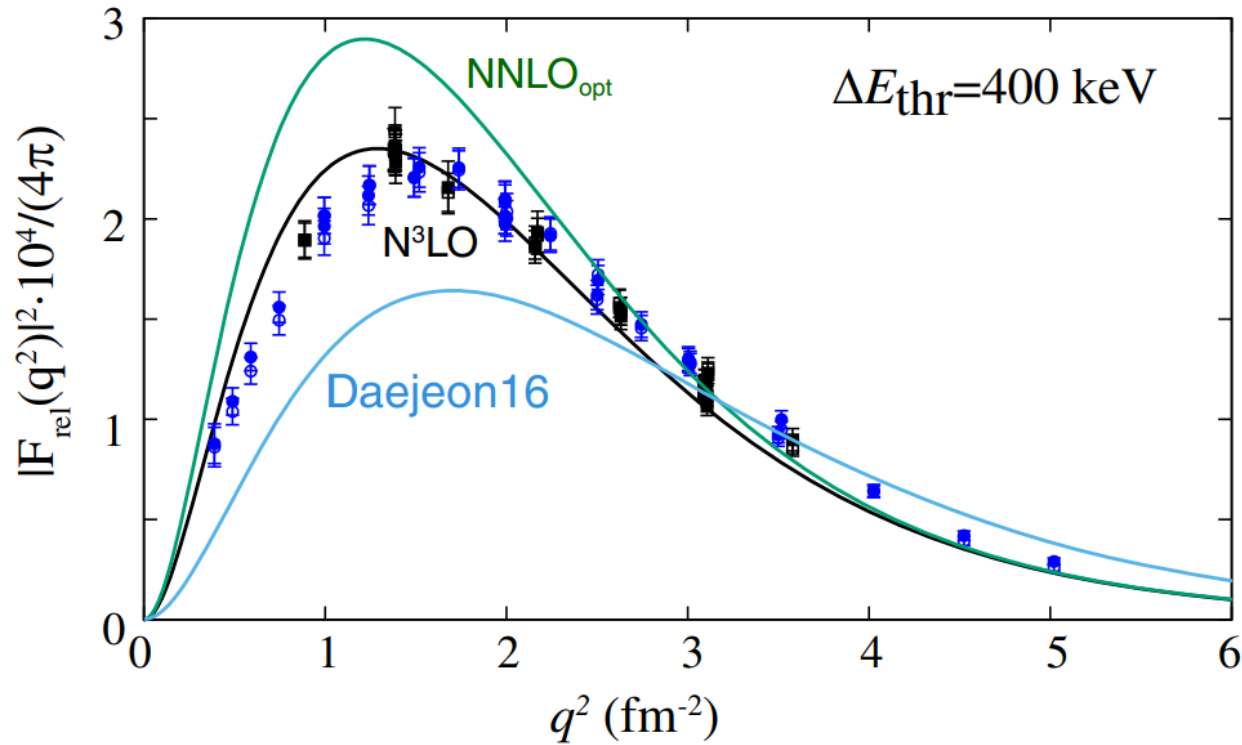
## Measurement of the $\alpha$ -Particle Monopole Transition Form Factor Challenges Theory: A Low-Energy Puzzle for Nuclear Forces?

S. Kegel<sup>1</sup>, P. Achenbach<sup>1</sup>, S. Bacca<sup>1,2</sup>, N. Barnea<sup>3</sup>, J. Beričič<sup>4</sup>, D. Bosnar<sup>5</sup>, L. Correa<sup>6,1</sup>, M. O. Distler<sup>1</sup>, A. Esser<sup>1</sup>, H. Fonvieille<sup>6</sup>, I. Frišić<sup>5</sup>, M. Heilig<sup>1</sup>, P. Herrmann<sup>1</sup>, M. Hoek<sup>1</sup>, P. Klag<sup>1</sup>, T. Kolar<sup>7,4</sup>, W. Leidemann<sup>8,9</sup>, H. Merkel<sup>1</sup>, M. Mihovilović<sup>1,4</sup>, J. Müller<sup>1</sup>, U. Müller<sup>1</sup>, G. Orlandini<sup>8,9</sup>, J. Pochodzalla<sup>1</sup>, B. S. Schlimme<sup>1</sup>, M. Schoth<sup>1</sup>, F. Schulz<sup>1</sup>, C. Sfienti<sup>1,\*</sup>, S. Širca<sup>7,4</sup>, R. Spreckels<sup>1</sup>, Y. Stöttinger<sup>1</sup>, M. Thiel<sup>1</sup>, A. Tyukin<sup>1</sup>, T. Walcher<sup>1</sup> and A. Weber<sup>1</sup>



# Description of the Proton-Decaying $0_2^+$ Resonance of the $\alpha$ Particle

N. Michel<sup>1,2,3</sup>, W. Nazarewicz<sup>1,4</sup>, and M. Płoszajczak<sup>3</sup>



$$|F(q^2)|^2 = \left| \frac{4\pi}{Z} \int \rho_{\text{tr}}(r) j_0(qr) r^2 dr \right|^2 f_p^2(q^2)$$

$$\rho_{\text{tr}}(r) = \langle 0_1^+ | \hat{\rho}(\vec{r}) | 0_2^+ \rangle$$

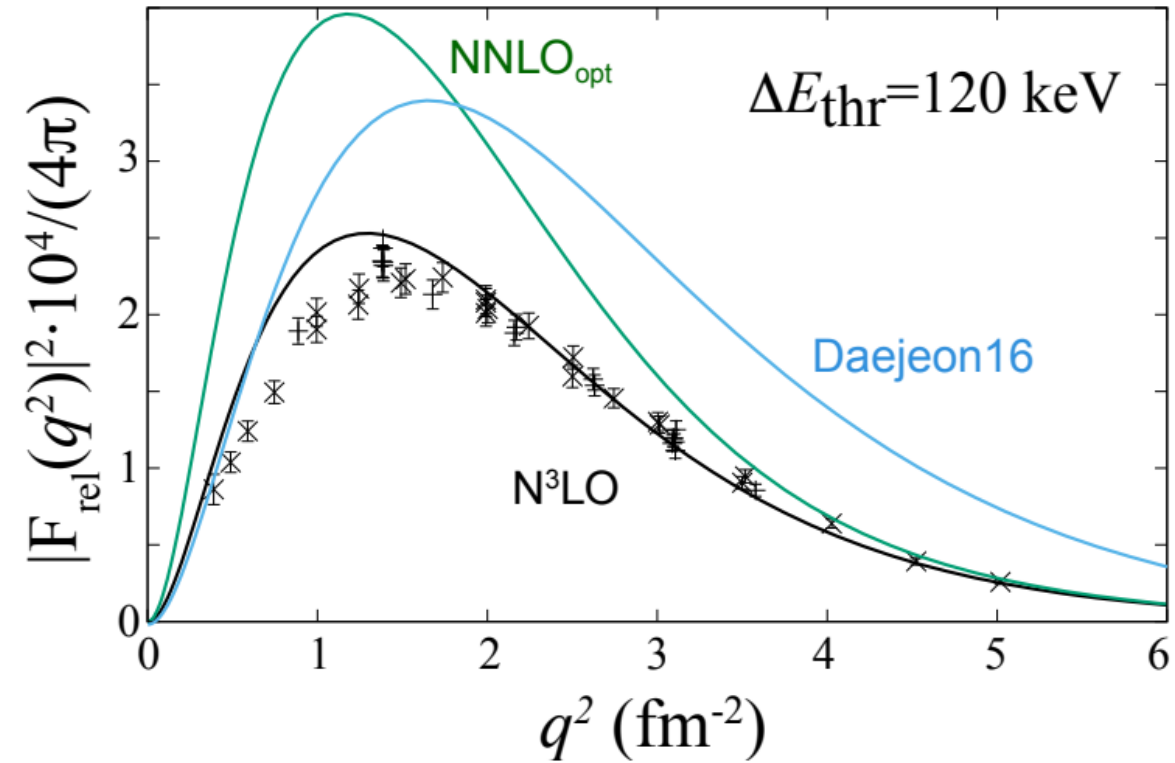
- NCGSM-CC with  $V_{\text{lowk}}$
- $E_r = 400 \text{ keV}$ , fit to Exp

Erratum: Description of the proton-decaying  $0_2^+$  resonance of the  $\alpha$  particle  
[Phys. Rev. Lett. **131**, 242502 (2023)]

Phys. Rev. Lett.

N. Michel, W. Nazarewicz, and M. Płoszajczak

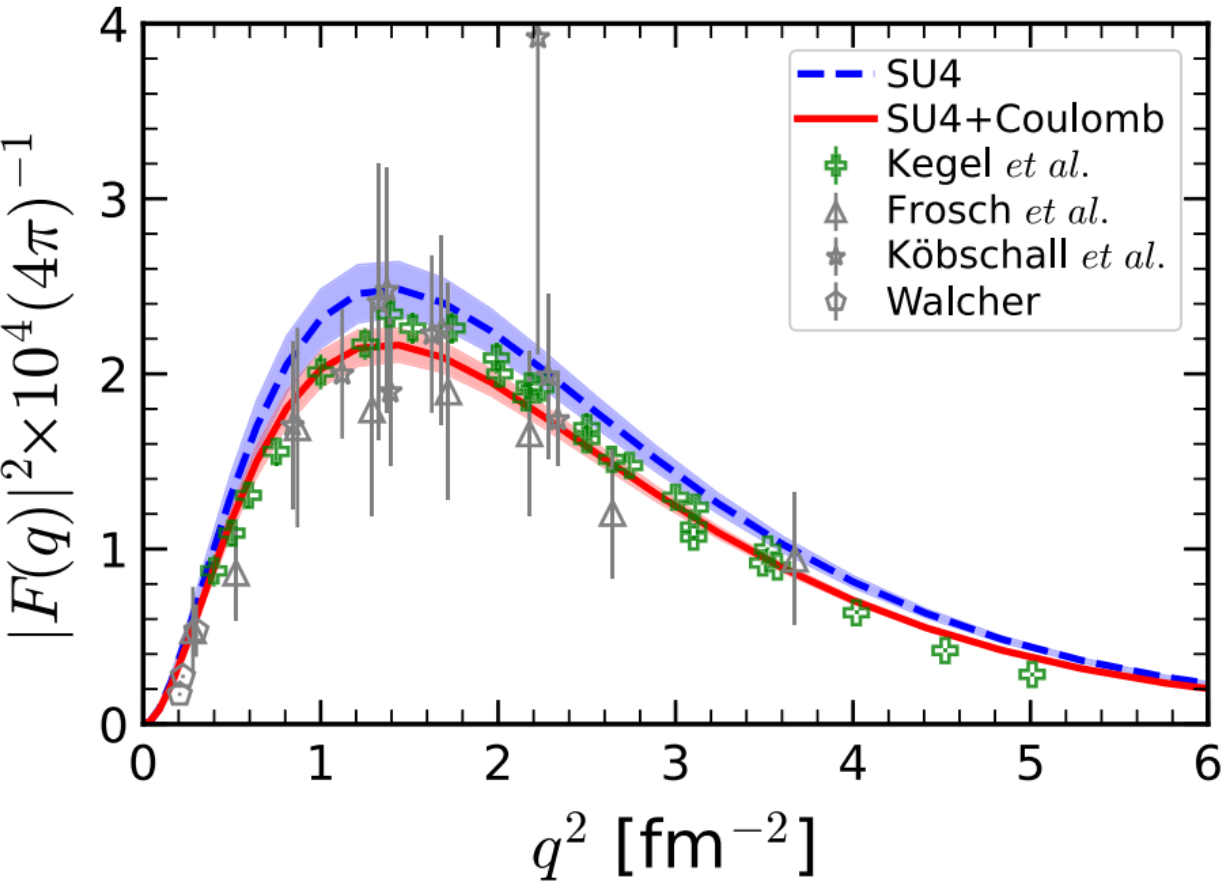
Accepted 28 October 2024



- $E_r = 120 \text{ keV}$ , fit to Exp

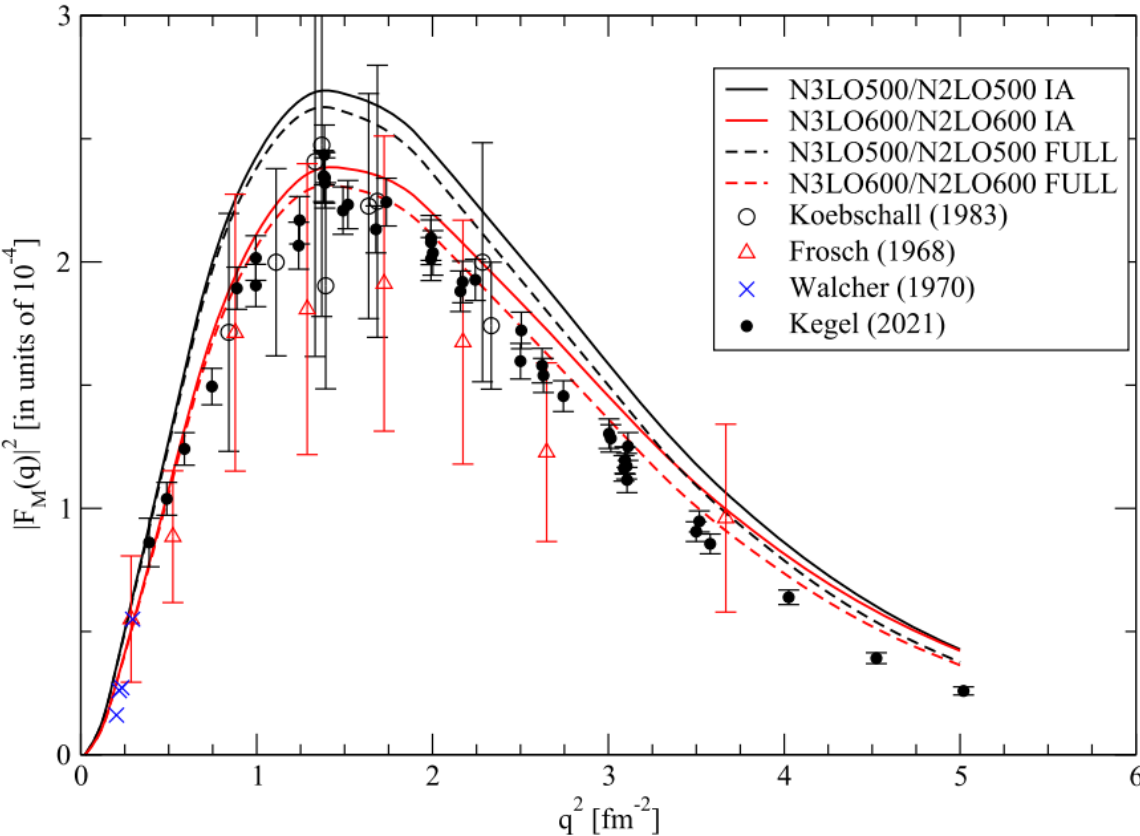
Ab Initio Calculation of the Alpha-Particle Monopole Transition Form Factor

Ulf-G. Meißner<sup>1,2,3</sup> Shihang Shen<sup>4,\*</sup> Serdar Elhatisari<sup>5,1</sup> and Dean Lee<sup>6</sup>



M. Viviani · A. Kievsky · L. E. Marcucci · L. Girlanda

Study of the Alpha-particle Monopole Transition form Factor



- Viviani: HH <sup>3</sup>He+n, <sup>3</sup>H+p, chiral NN+3N
- Two-body current
- First successful calculation with chiral EFT?

# In the news

From Sonia Bacca

PhysiCS ABOUT BROWSE PRESS COLLECTIONS Search article

## Probing the Helium Nucleus beyond its Ground State

Evgeny Epelbaum  
Physics and Astronomy, Ruhr University Bochum, Bochum, Germany

Quantamagazine Physics Mathematics Biology Computer Science Topics Archive

Das Physikportal  
pro-physik.de

## Rätsel um Anregung von $\alpha$ -Teilchen

26.04.2023 - Theoretisch bestimmte und gemessene Werte überein.

Am Mainzer Teilchenbeschleuniger „Mami“ hat die A1-Kollaboration im Rahmen der Anregung eines  $\alpha$ -Teilchens von seinem Grundzustand zum ersten angeregten Zustand die Genauigkeit systematisch vermessen. Die Gegenüberstellung von Experiment und zugehörigen Niederenergie-Theorie zeigt, dass die Anregung von  $\alpha$ -Teilchen durch Kernkräften nicht korrekt beschrieben wird – und wirft damit viele Fragen auf.

LIVESCIENCE

## Scientists tried to solve the mystery of the helium nucleus — and ended up more confused than ever

News By Anna Demming published June 27, 2023

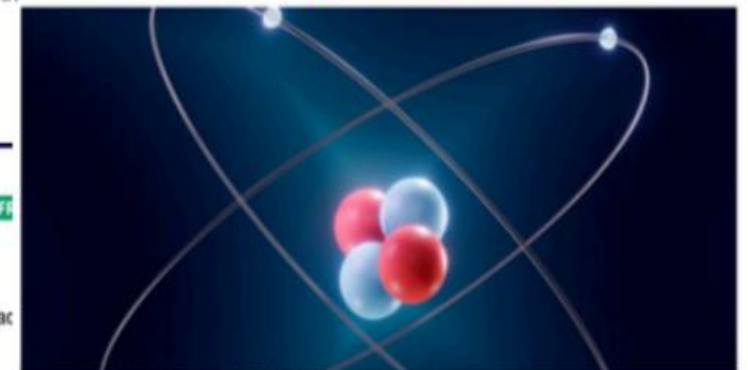
Helium is the simplest element in the periodic table with more than one stable isotope. But its nucleus, which is made of two protons and two neutrons, has long been a mystery. Scientists have tried to understand how the particles are bound together, but they have ended up more confused than ever.

ACCUEIL > PHYSIQUE

## Le mystère du noyau d'hélium : une énigme persistante pour la physique nucléaire

PUBLIÉ LE 28 JUIN 2023 À 18H45 | MODIFIÉ LE 28 JUIN 2023

PAR LAURIE HENRY



NUCLEAR PHYSICS

## A New Experiment Casts Doubt on the Leading Theory of the Nucleus

13 | 1

By measuring inflated helium nuclei, physicists have challenged our understanding of the force that binds protons and neutrons.

SHARE



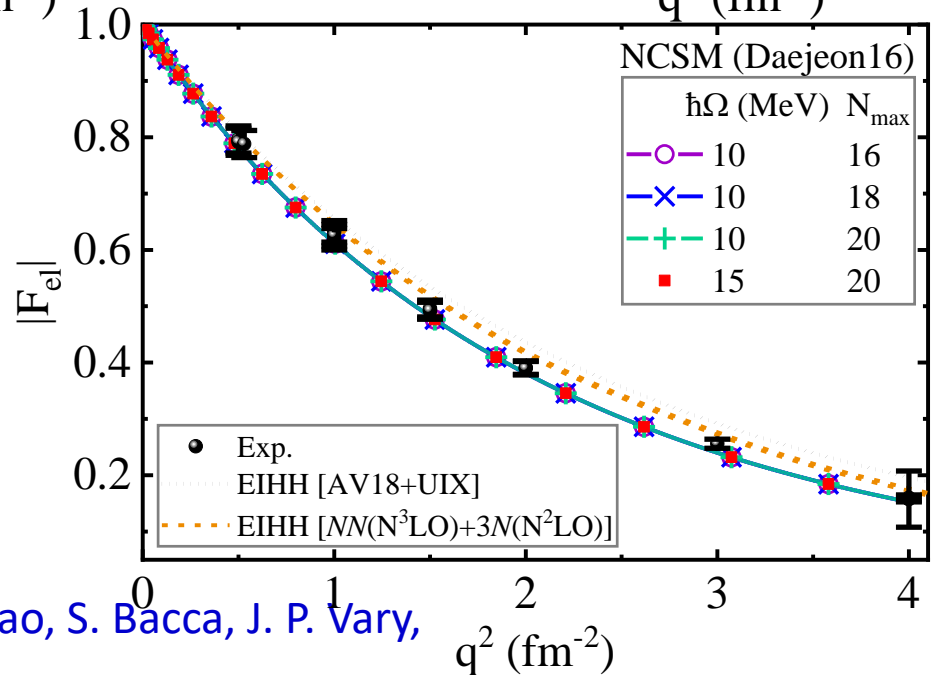
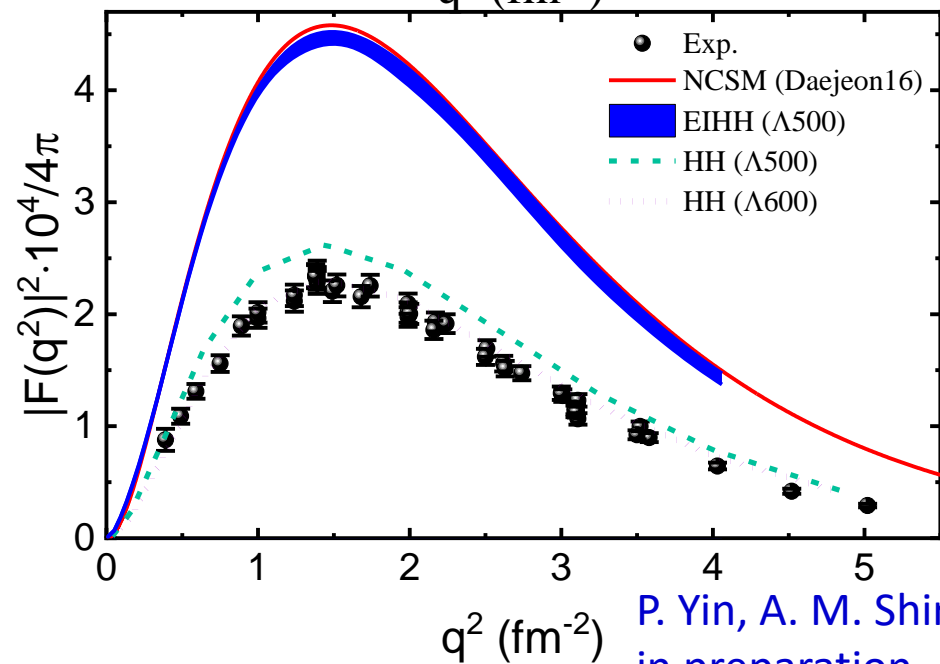
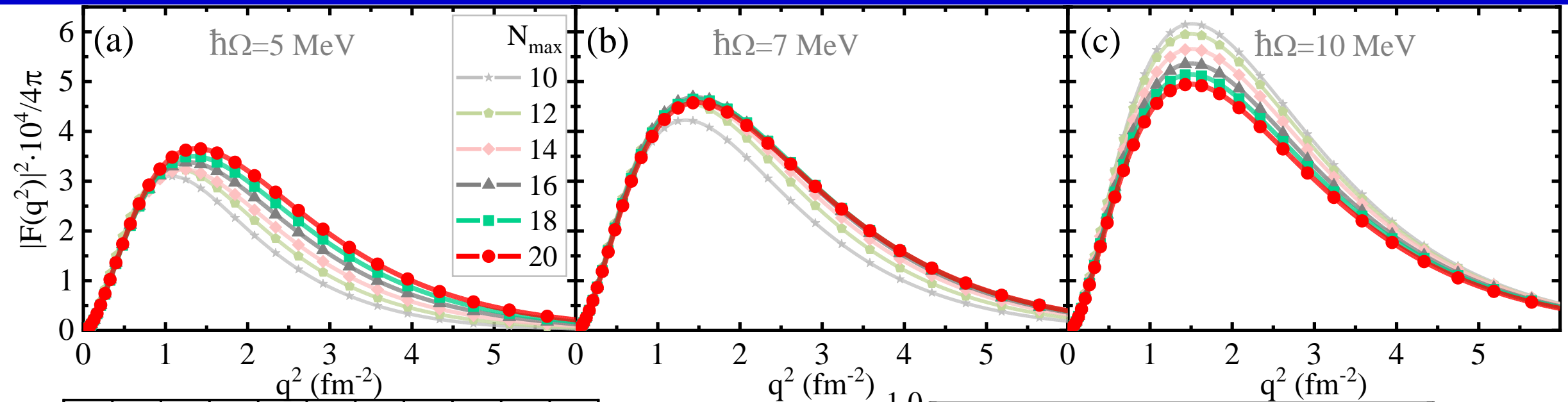
## Theory and experiment disagree on alpha particles

12 May 2023

Electron-scattering experiments on excited helium nuclei open questions about the accuracy of current nuclear models.



# Transition monopole form factor of $^4\text{He}$



P. Yin, A. M. Shirokov, H. Li, X. B. Zhao, S. Bacca, J. P. Vary,  
in preparation

# Summary

- Introduction to *ab initio* NCSM approach
- 
- Sum rules with NCSM
- Transition monopole form factor of  $^4\text{He}$

# Outlook

- Photoabsorption reaction
- Coulomb dissociation of light nuclei
- Two-body current