Assignment 1

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ESCE 526 Artificial Intelligence

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1

1.1 Number of states visited with simple heuristic

Game A:

Cutoff depth	3	4	5	6
Minmax	77,445	1,276,689	21,335,620	
α-β pruning	4129	48,203	694,652	
Improvement	$\times$18.76	$\times$26.49	$\times$30.72	?

Game B:

Cutoff depth	3	4	5	6
Minmax	98,345	1,704,319	29,770,996	
α-β pruning	6421	96,884	1,683,194	
Improvement	$\times$15.32	$\times$17.59	$\times$17.69	?

Game C:

Cutoff depth	3	4	5	6
Minmax	69,954	1,237,535	22,191,032	
α-β pruning	3763	51,098	840,633	
Improvement	$\times$18.59	$\times$24.22	$\times$26.40	?

In my code I consider the children of the current state to be on cutoff level 0; their children, i.e., the grandchildren of the current state, are on level 1, and so on.[1] The heuristic evaluation of the node is returned when the depth reaches the limit given by the `--cutoff` argument.

1.2 Does state generation order matter?

My evaluation function iterates through the successor states in the order they were generated: left-to-right, top-to-bottom, with the directions generated in the (arbitrary) order north-east-south-west. I considered the first move in game A and ran[2] alpha-beta pruning five times with a cutoff depth of 3, shuffling the list of successor states randomly every time one is generated. (Since the minmax algorithm does not prune the game tree at all, the order in which it evaluates successors is irrelevant.) Alpha-beta pruning with the non-shuffled evaluation order visited 4129 states, as per the table above.

I found that evaluation order *did* matter, though not impressively so. The sample runs visited 4480, 4338, 4324, 4114, and 4376 states, respectively, for an average of 4326 states, which is 4.77% more than the non-shuffled case. The maximum deviations were 8.5% more and 0.36% fewer states visited than the original order. I also tried evaluating states in the reverse order; the difference was negligble. This suggests that there is no significant benefit to be gained by shuffling; in fact, we see that more states were visited with alternative evaluation orders than with the original sequence. I don't know if this is a coincidence or if the order I picked is somehow optimal.

2

2.1 Choice of evaluation function

$$s = \sum_{i=2}^{4} n_i \cdot 10^i$$

[1] If the current state's children should instead be regarded as being on level 1, the values in the tables above should be shifted one column to the right.

[2] The commands given were `python ass1.py --input starta.txt --cutoff 3 --alg ab --count --shuffle`. See the appendix for details on usage.

2.2 Number of states visited with advanced heuristic

2.3 Tradeoff between evaluation function and game tree depth

My evaluation function is not particularly efficient. It does

A Appendix: Source code

A.1 Usage

All arguments are optional:

- `-i` or `--input`: Specify an input file to be used as the initial game state. A plain-text file following the notation used in the assignment is expected. Defaults to the example illustrated in the "Introduction" part of the assignment text.
- `-u` or `--human`: The computer should play against a human adversary, not just against itself. The user will be prompted for input when it is their turn to play.
- `-c` or `--cutoff`: Specify a cutoff depth. Defaults to 3.
- `-a` or `--alg`: Specify which of the minmax or alpha-beta pruning algorithms is to be used. May take values `mm` or `ab`. Defaults to alpha-beta pruning.
- `-l` or `--log`: A log file should be written on exit.
- `-k` or `--count`: Count the number of states visited. Used for problem 1.1.
- `-s` or `--shuffle`: Shuffle the list of successor states before evaluating them. Used for problem 1.2.

Example: `python ass1.py --input file.txt --alg ab --human --log`

A.2 Listing

I've expunged all logging statements and other debugging aids for the sake of readability.

```
1  #!/usr/bin/env python
2
3  import string, copy, time, logging, argparse, random
4
5  # debug < info < warning < error < critical?
6  logging.basicConfig(level=logging.DEBUG)
7
8  #withhuman = False # human vs. computer, or computer against itself
9  #fancy = False # simple or fancy heuristic
10
11 # tuples of (dy, dx) for all directions
```

```
12 directions = {
13     "N": (-1, 0),
14     "E": (0, 1),
15     "S": (1, 0),
16     "W": (0, -1)
17 }
18
19 # used for counting states, problem 1.1
20 statesvisited = 0
21
22 class Node:
23     def __init__(self, board, player, command):
24         self.board = board
25         self.player = player
26         self.value = fancyheuristic(board, player) if fancy else
               simpleheuristic(board, player)
27         self.command = command # the move made to generate this state
28
29 class Black:
30     def __init__(self):
31         self.piece = "X"
32
33 class White:
34     def __init__(self):
35         self.piece = "O"
36
37 def successors(board, player):
38     logging.debug("Generating successors for player = " +
           player.__class__.__name__ + ", board = " + str(board))
39     succs = []
40     for y, line in enumerate(board):
41         for x, char in enumerate(line):
42             if char == player.piece:
43                 # try all possible moves: xyN, xyE, xyS, xyW
44                 for cmd in (str(x + 1) + str(y + 1) + d for d in directions):
45                     try:
46                         candidate = move(cmd, board, player)
47                         succs.append(Node(candidate, player, cmd))
48                     except (ValueError, IndexError) as e:
49                         # ValueError: attempted move was illegal, e.g. trying
                               to move to an occupied square
```

```
50                          # IndexError: try to move outside of the board
51                          continue
52      logging.debug("There were " + str(len(succs)) + " successors")
53      if args.shuffle:
54          random.shuffle(succs)
55      return succs
56
57  def alphabeta(player, node, depth, alpha, beta):
58      if countingstates:
59          global statesvisited
60          statesvisited += 1
61      succs = successors(node.board, player)
62      otherplayer = black if player is white else black
63      logging.info("Inside alphabeta on node " + str(hash(node)) + " obtained
            by " + node.command)
64      logging.info(str(hash(node)) + " looks like\n" + prettyprint(node.board))
65      logging.info(str(hash(node)) + " has depth = " + str(depth) + ", children
            = " + str(len(succs)))
66      logging.debug("They are (" + player.__class__.__name__ + "): ")
67      logging.debug("\n".join([c.command + " -> node " + str(hash(c)) for c in
            succs]))
68      # cut off if we are too deep down
69      if depth == cutoff or len(succs) == 0:
70          logging.info("Bottom reached, return utility " + str(node.value) + "
                from " + str(hash(node)))
71          return node.value
72      # return immediately if we win by making this move
73      elif winner(node.board) is player:
74          return float("inf")
75      elif player is white: #maxplayer, arbitrary
76          logging.debug("State is \n" + prettyprint(node.board))
77          for childnode in succs:
78              logging.debug("Entering examination of child " +
                    str(hash(childnode)) + " by " + childnode.command + " from "
                    + str(hash(node)))
79              alpha = max(alpha, alphabeta(otherplayer, childnode, depth + 1,
                    alpha, beta))
80              if alpha >= beta:
81                  logging.info("Pruning: returning beta = " + str(beta) + "
                        from " + str(hash(childnode)))
82                  return beta
```

```
83          logging.info("No pruning: returning alpha = " + str(alpha) + " from "
                + str(hash(node)))
84          return alpha
85      else: #black minplayer
86          logging.debug("State is \n" + prettyprint(node.board))
87          for childnode in succs:
88              logging.debug("Entering examination of child " +
                    str(hash(childnode)) + " by " + childnode.command + " from "
                    + str(hash(node)))
89              beta = min(beta, alphabeta(otherplayer, childnode, depth + 1,
                    alpha, beta))
90              if alpha >= beta:
91                  logging.info("Pruning: returning alpha = " + str(alpha) + "
                        from " + str(hash(childnode)))
92                  return alpha
93          logging.info("No pruning: returning beta = " + str(beta) + " from " +
                str(hash(node)))
94          return beta
95
96  def minmax(player, node, depth):
97      if countingstates:
98          global statesvisited
99          statesvisited += 1
100     logging.debug("Inside minmax on node " + str(hash(node)) + " depth = " +
            str(depth))
101     minplayer = black # arbitrary
102     if depth == cutoff or not successors(node.board, player):
103         logging.debug("Bottom reached, return utility " + str(node.value))
104         if node.value > 0:
105             logging.debug("Win found:\n" + prettyprint(node.board))
106         return node.value
107     elif node.player is minplayer:
108         logging.debug("Recursive minmax: player " + str(player) + ", depth =
                " + str(depth) + ", node = " + str(hash(node)))
109         return min(minmax(player, child, depth + 1) for child in
                successors(node.board, player))
110     else:
111         logging.debug("Recursive minmax: player " + str(player) + ", depth =
                " + str(depth) + ", node = " + str(hash(node)))
112         return max(minmax(player, child, depth + 1) for child in
                successors(node.board, player))
```

```
113
114 def prettyprint(board):
115     b = "\n".join(",".join(map(str, row)) for row in board)
116     return b.replace("None", " ")
117
118 def horizontal(board, n):
119     # check if any consecutive n entries in a row are X-es or O-s
120     for line in board:
121         for i, char in enumerate(line):
122             if line[i : i + n] == ["O"] * n:
123                 return white
124             elif line[i : i + n] == ["X"] * n:
125                 return black
126
127 def vertical(board, n):
128     # equivalent to the horizontal winner in the transposed matrix
129     return horizontal(map(list, zip(*board)), n)
130
131 def diagonal(board, n):
132     # all downward diagonals must start in the upper-left 4x4 submatrix
133     # similarly, all upward diagonals must start in the lower-left 4x4
        submatrix
134     # somewhat inelegant, but it works
135     for i in range(n):
136         for j in range(n):
137             if all(board[i + k][j + k] == "O" for k in range(n)) or
                all(board[6 - i - k][j + k] == "O" for k in range(n)):
138                 return white
139             elif all(board[i + k][j + k] == "X" for k in range(n)) or
                all(board[6 - i - k][j + k] == "X" for k in range(n)):
140                 return black
141
142 def winner(board):
143     # indicate the winner (if any) in the given board state
144     return horizontal(board, 4) or vertical(board, 4) or diagonal(board, 4)
145
146 def closeness(board, player):
147     pass
148 def simpleheuristic(board, player):
149     otherplayer = white if player is black else black
150     if winner(board) is player:
```

```
151         return 1
152     elif winner(board) is otherplayer:
153         return -1
154     else:
155         return 0
156 
157 def fancyheuristic(board, player):
158     otherplayer = white if player is black else white
159     score = 0
160     for i in [4, 3, 2]:
161         n = 0
162         if horizontal(board, i) is player or vertical(board, i) is player or
                diagonal(board, i) is player:
163             n += 1
164         if horizontal(board, i) is otherplayer or vertical(board, i) is
                otherplayer or diagonal(board, i) is otherplayer:
165             n -= 1
166         score += (10 ** i) * n
167 #   score = 10 ** inarow(board, player) - 0.5 * 10 ** inarow(board,
        otherplayer)
168     #if
169     return score
170 
171 def parseboard(boardstring):
172     # build a matrix from a string describing the board layout
173     boardstring = string.replace(boardstring, ",", "")
174     board, line = [], []
175     for char in boardstring:
176         if char == " ":
177             line.append(None)
178         elif char == "\n":
179             board.append(line)
180             line = []
181         else:
182             line.append(char)
183     if line:
184         board.append(line) # last line, if there is no newline at the end
185     return board
186 
187 
188 def move(command, board, player):
```

```
189     # takes indices and a direction, e.g. "43W" or "26N"
190     x, y, d = tuple(command)
191     # the board is a zero-indexed array, adjust accordingly
192     x, y = int(x) - 1, int(y) - 1
193     dy, dx = directions[d.upper()]
194     # does the piece fall within the bounds?
195     if ((0 <= x + dx <= 7) and (0 <= y + dy <= 7)
196     # and is it our piece?
197     and board[y][x] == player.piece
198     # and is the destination square empty?
199     and not board[y + dy][x + dx]):
200         # then it's okay
201         # we don't want to update in place
202         successor = copy.deepcopy(board)
203         successor[y + dy][x + dx] = successor[y][x]
204         successor[y][x] = None
205         return successor
206     else:
207         raise ValueError#("The move " + command + " is not legal")
208
209
210 parser = argparse.ArgumentParser()
211 parser.add_argument("-c", "--cutoff", help="Cutoff depth")
212 parser.add_argument("-i", "--input", help="Input game board")
213 parser.add_argument("-u", "--human", help="Play with a human opponent",
        action="store_true")
214 parser.add_argument("-a", "--alg", choices=["mm", "ab"], help="Minmax or
        alpha-beta algorithm")
215 parser.add_argument("-l", "--log", help="Write a game log on exit",
        action="store_true")
216 parser.add_argument("-s", "--shuffle", help="Shuffle successor list",
        action="store_true")
217 parser.add_argument("-k", "--count", help="Count states visited",
        action="store_true")
218 parser.add_argument("-f", "--fancy", help="Fancy heuristic function",
        action="store_true")
219 args = parser.parse_args()
220
221 cutoff = int(args.cutoff) if args.cutoff else 3
222 useab = not (args.alg == "mm")
223 logthegame = args.log
```

```
countingstates = args.count
fancy = args.fancy

if args.input:
    with open(args.input, "r") as inputfile:
        initstr = inputfile.read()
    board = parseboard(initstr)
else:
    board = [
        ["O", None, None, None, None, None, "X"],
        ["X", None, None, None, None, None, "O"],
        ["O", None, None, None, None, None, "X"],
        ["X", None, None, None, None, None, "O"],
        ["O", None, None, None, None, None, "X"],
        ["X", None, None, None, None, None, "O"],
        ["O", None, None, None, None, None, "X"]
    ]

white = White()
black = Black()
human = white if args.human else None
computer = black
currentplayer = white

log = ["Initial state:"]
movenumber = 1

t = time.time()

while winner(board) is None:
    playername = currentplayer.__class__.__name__
    p = prettyprint(board)
    print p
    print "\nMove #%s:" % movenumber
    print "It's %s's turn." % playername
    if logthegame:
        log.append(p)
        log.append("\nMove #%s:" % movenumber)
        log.append("It's %s's turn." % playername)
    cmd = ""
    try:
```

```
265         if currentplayer is human:
266             print "Possible moves:"
267             for s in successors(board, currentplayer):
268                 print s.command
269             cmd = raw_input()
270         else: #let the computer play against itself
271             succs = successors(board, currentplayer)
272             # take the first move, pick something better later on if we can
                find it
273             bestmove = succs[0].command
274             bestutility = 0
275             if useab: #alphabeta
276                 logging.warning("Player " + playername + " thinking about
                    what to do.")
277                 logging.warning("Using alphabeta with cutoff " + str(cutoff))
278                 for succboard in succs:
279                     #init with alpha = -inf, beta = inf
280                     u = alphabeta(currentplayer, succboard, 0, float("-inf"),
                        float("inf"))
281                     if u > bestutility:
282                         bestutility = u
283                         bestmove = succboard.command
284             else: #minmax
285                 logging.warning("Player " + playername + " thinking about
                    what to do.")
286                 logging.warning("Using minmax with cutoff " + str(cutoff))
287                 for succboard in succs:
288                     u = minmax(currentplayer, succboard, 0)
289                     if u > bestutility:
290                         logging.critical("Utility improved: " + str(u) + "
                            from " + succboard.command)
291                         bestutility = u
292                         bestmove = succboard.command
293             cmd = bestmove
294             print "The computer makes the move", cmd
295             print "Thinking took", time.time() - t, "seconds"
296             if logging:
297                 log.append("Thinking took " + str(time.time() - t) + "
                    seconds")
298
299         board = move(cmd, board, currentplayer)
```

```
300
301         if countingstates:
302             print statesvisited
303             raise Exception("Counting states, stopping here")
304         if logthegame:
305             log.append("%s plays %s" % (playername, cmd))
306 
307         currentplayer = white if currentplayer is black else black
308         playername = currentplayer.__class__.__name__
309         movenumber += 1
310     #except ValueError:
311     #   print "Illegal move."
312         #raise
313     except KeyboardInterrupt:
314         if logthegame:
315             log.append("Game cancelled.")
316         logging.critical("Game cancelled.")
317         break
318 
319 # post-game cleanup
320 print prettyprint(board)
321 
322 if winner(board):
323     s = "%s won the match" % winner(board).__class__.__name__
324     print s
325     if logthegame:
326         log.append(s)
327 else:
328     print "It's a draw"
329     if logthegame:
330         log.append("It's a draw")
331 
332 if logthegame:
333     log.append(prettyprint(board))
334     logname = time.strftime("./connect4-%H-%M-%S.log")
335     with open(logname, "w+") as logfile:
336         logfile.write("\n".join(log))
```