Folding left and right over Peano numbers*

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Abstract

This functional pearl illustrates the analogue of fold-left and fold-right for Peano numbers, i.e., natural numbers in base 1, and shows that unlike for lists, these two functionals are equivalent. For Peano numbers, replacing fold-right by fold-left and inlining fold-left therefore makes it straightforward to calculate tail-recursive functions with an accumulator out of non-tail recursive functions that were obtained via tupling or that use Kleene's insight. In combination with Ohori and Sasano's lightweight fusion, this equivalence provides assistance for inter-deriving non-tail-recursive functions and tail recursive functions with an accumulator, generically. The difference between primitive recursion and primitive iteration – namely to have or not to have access to the value to which the induction hypothesis applies – prevents the generalization of this equivalence from primitive iteration to primitive recursion.

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1 Folding left and right over lists

The functionals fold_left_list and fold_right_list are virtually as old as functional programming since Strachey discovered them in the early 1960's [5,14]. Today these two functionals provide a convenient toolset to verify whether a list-processing function is structurally recursive—if it can be expressed with either of these two functionals, it is structurally recursive—and if so how to abstract and instantiate its base case and its induction step (Section 1.1), in the presence or in the absence of an accumulator (Section 1.2).

1.1 Abstraction and instantiation

Prototypically, fold_left_list and fold_right_list abstract the primitive-iterative programming pattern over lists, in the presence and in the absence of an accumulator:

```
Definition fold_left_list (V W : Type) (n : W) (c : V -> W -> W) (vs : list V) : W :=
   let fix loop vs a :=
    match vs with
   | nil => a
   | v :: vs' => loop vs' (c v a)
   end
   in loop vs n.

Definition fold_right_list (V W : Type) (n : W) (c : V -> W -> W) (vs : list V) : W :=
   let fix visit vs :=
   match vs with
   | nil => n
   | v :: vs' => c v (visit vs')
   end
   in visit vs.
```

These two definitions are expressed in Gallina, the total functional programming language of the Coq proof assistant [1]. (The entirety of this pearl is formalized in Coq.)

For example, consider the standard powerset function that maps the representation of a set as the list of its elements (in any order and without repetition) to the representation of its powerset:

This powerset function is listless [15] in that all the lists it constructs are part of the result (in other words, it creates no intermediate, transitory lists). It is also structurally recursive and thus can be expressed using two instances of fold_right_list, yielding du Feu's definition [8]:

Conversely, inlining fold_right_list in this definition yields the standard version of powerset above.

1.2 Inequivalence in general

As first pointed out by Strachey [14], <code>fold_left_list</code> and <code>fold_right_list</code> are not equivalent in general, witness, e.g., Bird and Wadler's duality theorems [2]. That said, the order in the given lists may not matter, in which case either functional can be used. For example, if a given list represents a set and the order of elements in this list does not matter, one can replace each call to <code>fold_right_list</code> by a call to <code>fold_left_list</code> in du Feu's definition of the powerset function. Inlining <code>fold_left_list</code> yields the following definition where the inner instance of <code>fold_left_list</code> is defined locally to the outer one:

Since inner is tail-recursive, we can relocate the recursive call to outer to its base case, using lightweight fusion [13]. The result is a tail-recursive version with an accumulator that one might be hard pressed to write by hand in the first place:

2 Folding left and right over Peano numbers

The functionals fold_left_nat and fold_right_nat are the analogues of fold_left_list and fold_right_list over Peano numbers, i.e., natural numbers in base 1. They too provide a convenient toolset to verify whether a numerical function is structurally recursive—if it can be expressed with either of these two functionals, it is structurally recursive—and if so how to abstract and instantiate its base case and its induction step (Section 2.1), in the presence or in the absence of an accumulator (Section 2.2).

2.1 Abstraction and instantiation

Each of fold_left_nat and fold_right_nat abstract the primitive-iterative programming pattern over Peano numbers, in the presence and in the absence of an accumulator:

```
Definition fold_left_nat (V : Type) (z : V) (s : V -> V) (n : nat) : V :=
  let fix loop n a :=
    match n with
         => a
    10
    | S n' => loop n' (s a)
    end
  in loop n z.
Definition fold_right_nat (V : Type) (z : V) (s : V -> V) (n : nat) : V :=
  let fix visit n :=
    match n with
    10
          => z
    | S n' => s (visit n')
    end
  in visit n.
```

These two definitions are particularly simple to write in Gallina where natural numbers are represented as Peano numbers, i.e., are either O or the successor S of a natural number.

For example, the addition of two natural numbers can be defined tail recursively with an accumulator or non-tail recursively with no accumulator:

```
Definition add_acc (n m : nat) : nat :=
 let fix loop n a :=
    match n with
    | S n' => loop n' (S a)
    end
  in loop n m.
Definition add (n m : nat) : nat :=
  let fix visit n :=
    match n with
         => m
    | S n' => S (visit n')
    end
  in visit n.
   The first definition is an instance of fold_left_nat and the second of fold_right_nat:
Definition add_left (n m : nat) : nat :=
  fold_left_nat nat m S n.
Definition add_right (n m : nat) : nat :=
  fold_right_nat nat m S n.
```

Inlining fold_left_nat and fold_right_nat in the definitions of add_left and add_right yields the definitions of add_acc and add.

For another example, the evenness of a natural number can be defined tail recursively with an accumulator or non-tail recursively with no accumulator:

```
Definition evenp_acc (n : nat) : bool :=
  let fix loop n a :=
    match n with
    10
         => a
    | S n' => loop n' (neg a)
    end
  in loop n true.
Definition evenp (n : nat) : bool :=
  let fix visit n :=
    match n with
    | 0 => true
    | S n' => neg (visit n')
    end
  in visit n.
Theorem soundness_of_evenp :
  forall n : nat, even p = true \rightarrow exists m : nat, m = 2 * n.
Theorem completeness_of_evenp :
  forall n : nat, evenp (2 * n) = true.
   The first definition is an instance of fold_left_nat and the second of fold_right_nat:
Definition evenp_left (n : nat) : bool :=
  fold_left_nat bool true neg n.
Definition evenp_right (n : nat) : bool :=
  fold_right_nat bool true neg n.
```

Inlining fold_left_nat and fold_right_nat in the definitions of evenp_left and evenp_right yields the definitions of evenp_acc and evenp.

2.2 Equivalence in general

As it happens, fold_left_nat and fold_right_nat are equivalent in general:

```
Theorem equivalence_of_left_fold_nat_and_right_fold_nat :
  forall (V : Type) (z : V) (s : V -> V) (n : nat),
    fold_left_nat V z s n = fold_right_nat V z s n.
```

Therefore add_left and add_right are formally equivalent, and as a corollary, add_acc and add are formally equivalent too. By the same token, evenp_left and evenp_right are formally equivalent, and as a corollary, evenp_acc and evenp are formally equivalent too.

This equivalence theorem hinges on either of the following master lemmas:

```
Lemma about_fold_left_nat :
  forall (V : Type) (z : V) (s : V -> V) (n : nat),
    fold_left_nat V (s z) s n = s (fold_left_nat V z s n).

Lemma about_fold_right_nat :
  forall (V : Type) (z : V) (s : V -> V) (n : nat),
    fold_right_nat V (s z) s n = s (fold_right_nat V z s n).
```

N.B.: The analogue of about_fold_right_nat holds for lists but not the list analogue of about_fold_left_nat.

The intuition here is that applying fold_left_nat z s or fold_right_nat z s to a number n yields the same result as applying the n-fold composition of s to z:

$$\underbrace{\mathtt{s}(\mathtt{s}(\ldots\mathtt{s}(\mathtt{z})\ldots))}_n$$

In this light, fold_left_nat accumulates the result of applying s iteratively whereas fold_right_nat applies s recursively. In both cases, s is applied n times.

Since fold_right_nat is the functional counterpart of Church numerals [4], the theorem sheds some light on the oft-mentioned [7] equivalence of the two definitions of the Church successor function, $\lambda n.\lambda z.\lambda s.s.(n\ z\ s)$ and $\lambda n.\lambda z.\lambda s.n.(s\ z)\ s.$ (Whether z comes before or after s in a Church numeral, like whether z comes before or after s in the definition of fold_left_nat and fold_right_nat, is a matter of taste. In practice, one tends to use the same order as in the definition of the corresponding data type. And in an induction proof, one tends to consider the base case(s) before the inductive step(s).)

2.3 Application to computing a power of 2

Revisiting the powerset example to compute its cardinality, we can morph the definition of powerset_right in Section 1 from lists to natural numbers to compute powers of 2:

```
Definition exp2_right (n : nat) : nat :=
  fold_right_nat nat 1 (fun exp2_i => fold_right_nat nat exp2_i S exp2_i) n.
```

The third argument of fold_right_nat adds its argument to itself, i.e., it multiplies its argument by 2:

```
Definition times2 := fun n => fold_right_nat nat n S n.
Theorem soundness_and_completeness_of_times2 : forall n : nat, times2 n = 2 * n.
```

The intuition here is that applying $exp2_right$ to a number n yields the same result as applying the n-fold composition of times2 to 1:

$$\underbrace{\mathtt{times2}\big(\mathtt{times2}\big(\ldots\mathtt{times2}\big(1\big)\ldots\big)\big)}_n$$

The equivalence theorem says that this computation can be achieved tail recursively by using fold_left_nat instead of fold_right_nat.

Inlining fold_left_nat yields the morphed counterpart of powerset_left_inlined in Section 1.2:

Since inner is tail-recursive, we can relocate the recursive call to outer to its base case, using lightweight fusion:

2.4 Application to tupled functions: the Fibonacci function

As initiated by Burstall and Darlington [3], one can obtain a linear-time function computing Fibonacci numbers by first defining a function that computes two consecutive such numbers:

```
Definition fibfib (n : nat) : nat * nat :=
  let fix visit n :=
    match n with
           => (0, 1)
    | S n' => let (fib_n', fib_Sn') := visit n' in (fib_Sn', fib_n' + fib_Sn')
    end
  in visit n.
Definition fib (n : nat) : nat :=
  let (fib_n, fib_Sn) := fibfib n in fib_n.
   Since fibfib fits the fold-right pattern, it can be expressed as such:
Definition fibfib_right (n : nat) : nat * nat :=
  fold_right_nat (nat * nat)
                 (0, 1)
                 (fun c => let (fib_i, fib_Si) := c in (fib_Si, fib_i + fib_Si))
Definition fib_right (n : nat) : nat :=
  let (fib_n, fib_Sn) := fibfib_right n in fib_n.
   Since fold_left_nat and fold_right_nat are equivalent, one can replace the other:
Definition fibfib_left (n : nat) : nat * nat :=
  fold_left_nat (nat * nat)
                (0, 1)
                (fun c => let (fib_i, fib_Si) := c in (fib_Si, fib_i + fib_Si))
Definition fib_left (n : nat) : nat :=
  let (fib_n, fib_Sn) := fibfib_left n in fib_n.
   Inlining fold_left_nat, lambda-lifting loop [10], and inlining fibfib_left yields the familiar
iterative definition of the Fibonacci function with a pair of accumulators:
Fixpoint fibfib_left_loop (n : nat) (a : nat * nat) : nat * nat :=
 match n with
  1 0
       => a
```

| S n' => let (fib_i, fib_Si) := a in fibfib_left_loop n' (fib_Si, fib_i + fib_Si)

end.

```
Definition fib_left_inlined_and_lifted (n : nat) : nat :=
let (fib_n, fib_Sn) := fibfib_left_loop n (0, 1) in fib_n.
```

As usual, fibfib_left_loop begs to be inlined and its initial call to be lightweight-fused to become a tail call. Currying then yields the familiar iterative definition of the Fibonacci function with two accumulators:

```
Definition fib_left_inlined_and_fused_and_curried (n : nat) : nat :=
  let fix loop n fib_i fib_Si :=
    match n with
    | 0 => fib_i
    | S n' => loop n' fib_Si (fib_i + fib_Si)
    end
in loop n 0 1.
```

2.5 Application to computing the prefix of a stream

Given a natural number representing a length and a stream, one constructs the prefix of this stream with this length by recursively traversing it at call time and constructing the resulting list at return time:

The equivalence theorem says that this computation can be achieved tail recursively by accumulating a "stream prefixer" and eventually applying it to the given stream.

2.6 A first application of Kleene's insight: the predecessor function

Let us revisit Church numerals. When the world was young [4], it was unclear how to lambdadefine the predecessor function until Kleene, while at the dentist [11], anticipated the tupling strategy by computing a pair of Church numbers, one of them the predecessor of the other, when it exists. His insight makes it possible, given a positive number n, to apply n-1 times a given s over a given s, without breaking the abstraction. Here is the fold_right_nat analogue:

The equivalence theorem says that this simulation can be achieved tail recursively with a pair of accumulators.

N.B.: In actuality, Kleene's explanation [11] is not based on pairs, but on triples, which is an artifact of using the λ -I calculus. Our exposition here is aligned with the modern rendition of the story put forward in Goldberg's lecture notes on the λ calculus [7].

2.7 A second application of Kleene's insight: the first suffix of a list

Just as fold_right_nat is the functional counterpart of Church numerals, fold_right_list is the functional counterpart of the Church encoding of lists [4]. And as it turns out, Kleene's insight can be applied, e.g., to computing the first suffix (i.e., the tail) of a list, if this list is non-empty, by constructing a pair of lists, one of them the tail of the other when it exists:

However, due to the inequivalence between fold_right_list and fold_left_list, replacing one by the other yields the first prefix of the given list in reverse order if this list is non-empty.

2.8 A third application of Kleene's insight: the first prefix of a list

Kleene's insight also translates to computing the first prefix of a list, if this list is non-empty, by constructing a pair of difference lists [9], one of them the optional prefix of the other, and eventually applying the second one to the empty list to construct the prefix:

where 'o' is an infix notation for function composition.

Again, due to the inequivalence between fold_left_list and fold_right_list, replacing one by the other yields the first suffix of the given list in reverse order if this list is non-empty.

2.9 A fourth application of Kleene's insight: the factorial function

Let us get back to folding over Peano numbers. In contrast to Gödel's System T, the abstracted induction step does not have access to the current snapshot of the given number in the course of the calls (which is where primitive recursion and primitive iteration differ, as outlined in Appendix A). This lack of access makes it non-obvious to express, e.g., the factorial function since it uses the successive decrements of the given number to construct its result. In his PhD thesis, Goldberg [6] used Kleene's insight to lambda-define the factorial function over Church numerals: he returned a pair containing an index i and the factorial of i. Here is the fold_right_nat analogue:

```
Definition specification_of_the_factorial_function (fac : nat -> nat) : Prop := fac 0 = 1 /\ forall n' : nat, fac (S n') = S n' * fac n'.
```

Theorem factorial_right_satisfies_the_specification_of_the_factorial_function : specification_of_the_factorial_function factorial_right.

The equivalence theorem says that this simulation can be achieved tail recursively with a pair of accumulators, making it clear that Goldberg crystallized Kleene's insight as enumerating the graph of the given function using Church numerals.

2.10 More applications of Kleene's insight: the atoi function, etc.

Likewise, the atoi function that maps a number to a list of its successive predecessors can be simulated by enumerating its graph:

The equivalence theorem says that this simulation can be achieved tail recursively with a pair of accumulators.

In the same spirit, it is simple to list the successive suffixes of a list and the successive difference lists of its prefixes (or directly of its successive prefixes), though there, as in Sections 2.7 and 2.8, this listing is order-sensitive.

3 Left or right?

Why would one prefer recursion over tail recursion with an accumulator, or vice versa? For one point, fold-right-based functions tend to be simpler to reason about and also more amenable to deforestation than fold-left-based ones. On the other hand, tail-recursive functions are famed to be more efficient to implement.

For example, consider the case of the fibfib function in Section 2.4:

• Here is the invariant for its recursive instance:

```
Lemma about_fibfib_right :
  forall n : nat,
   fibfib_right n = (reference_fib n, reference_fib (S n)).
```

where reference_fib is a reference definition of the Fibonacci function.

• And here is the invariant for its tail-recursive counterpart:

```
Lemma about_fibfib_left_loop :
  forall i j : nat,
   fibfib_left_loop i (reference_fib j, reference_fib (S j)) =
      (reference_fib (i + j), reference_fib (S (i + j))).
```

As one can see, the first invariant is simpler than the second, which illustrates the relevance of theorems about folding left and right.

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A Primitive iteration and primitive recursion

As pointed out in Section 2.9, fold-right functionals embody primitive iteration because the abstracted induction step does not have access to the current snapshot of the given value in the course of the calls. In contrast, a 'parafold-right' functional (i.e., a paramorphism [12]) embodies primitive recursion by enabling the abstracted induction step to have access to this current snapshot:

```
Definition parafold_right_nat (V : Type) (z : V) (s : nat -> V -> V) (n : nat) : V :=
  let fix visit n :=
   match n with
   | 0 => z
   | S n' => s n' (visit n')
   end
  in visit n.
```

For example, applying parafold_right_nat z s to 3 yields s 2 (s 1 (s 0 z)), where s is applied 3 times. It is thus simple to define the atoi function from Section 2.10, i.e., the function that maps a natural number to the decreasing list of its predecessors, without using Kleene's insight:

```
Definition atoi_right_alt (n : nat) : list nat :=
  parafold_right_nat (list nat) nil (fun i c => i :: c) n.
```

This primitive-recursive functional also makes it simple to define, e.g., the predecessor function and the factorial function without using Kleene's insight. It is not equivalent to its parafold-left counterpart for the same reason that fold_right_list is not equivalent to fold_left_list, even though either can be used to define the other:

```
Definition parafold_left_nat (V : Type) (z : V) (s : nat -> V -> V) (n : nat) : V :=
let fix loop n a :=
  match n with
  | 0 => a
  | S n' => loop n' (s n' a)
  end
in loop n z.
```

For example, applying parafold_left_nat z s to 3 yields s 0 (s 1 (s 2 z)), where s is applied 3 times. It is thus simple to define a iota function, i.e., a function that maps a natural number to the increasing list of its predecessors:

```
Definition iota_left (n : nat) : list nat :=
  parafold_left_nat (list nat) nil (fun i c => i :: c) n.
("atoi" is "iota" spelled backwards. These names come from APL.)
```

Programmatically, the word "iteration" in "primitive iteration" might be misleading since "iteration" does not mean "tail recursion" here. For example, the same point applies, e.g., to binary trees—namely to have or not to have access to the value to which the induction hypothesis applies—and binary trees are not traversed tail-recursively when they are processed by a function which is structurally recursive, be it primitive iterative or primitive recursive. Binary trees have no fold-left functionals.

B Primitive recursion in Coq

In Gallina, the type nat pre-exists and was defined as an inductive data type. Therefore parafold_right_nat also pre-exists, under the name of nat_rect:

```
Definition parafold_right_nat_rect (V : Type) (z : V) (s : nat -> V -> V) (n : nat) : V :=
   nat_rect (fun (_ : nat) => V) z s n.
```

As pointed out in Appendix A, parafold_right_nat, and therefore nat_rect, make it simple to define, e.g., the predecessor function and the factorial function without using Kleene's insight. Their soundness is proved by induction:

```
Definition predecessor_rect (n : nat) : option nat :=
  nat_rect (fun (_ : nat) => option nat) None (fun n' _ => Some n') n.
Theorem soundness_of_predecessor_rect :
  forall n n' : nat,
    predecessor_rect n = Some n' -> n = S n'.

Definition factorial_rect (n : nat) : nat :=
    nat_rect (fun (_ : nat) => nat) 1 (fun i fac_i => S i * fac_i) n.
Theorem factorial_rect_satisfies_the_specification_of_the_factorial_function :
    specification_of_the_factorial_function factorial_rect.
```

We notice, though, that in the Init/Nat.v library, iter, the primitive iterator for natural numbers, is defined with nat_rect. This definition seems like an overkill since nat_rect embodies primitive recursion, not primitive iteration. The main result of this pearl suggests instead to reason with fold_right_nat for simplicity and to compute with fold_left_nat for efficiency when iterating over Peano numbers.