



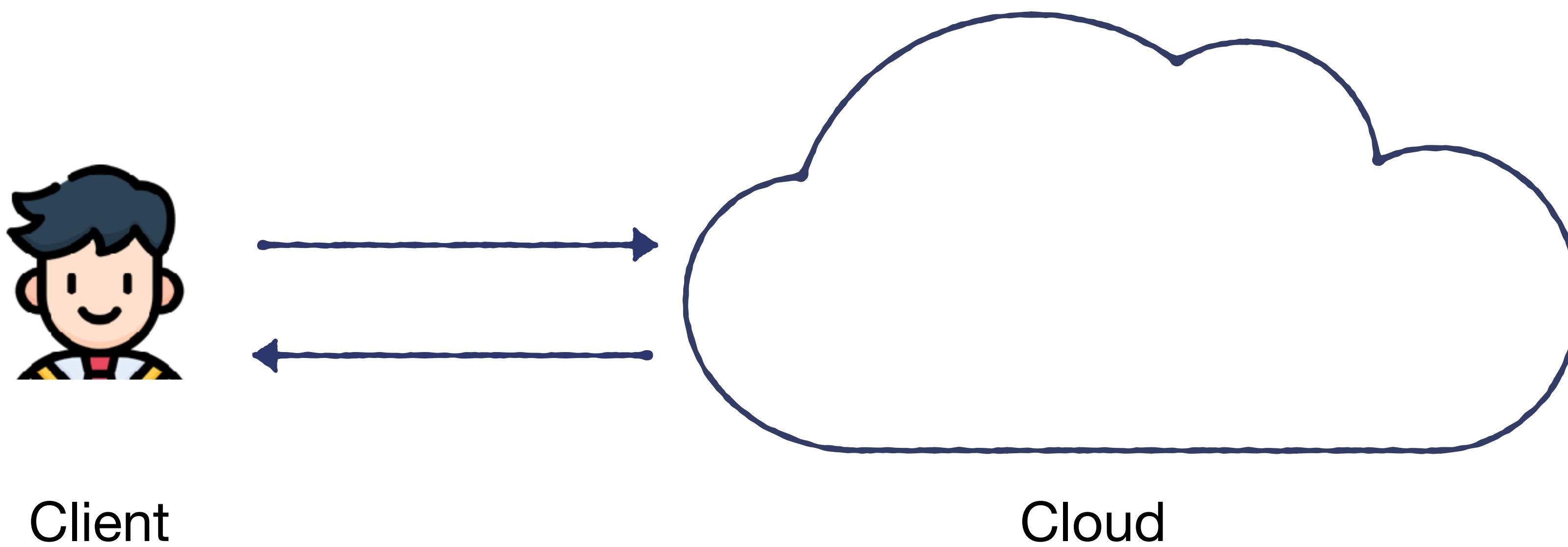
# A non-comparison oblivious sort and its application to private $k$ -NN

**Sofiane Azogagh, Marc-Olivier Killijian and Félix Larose-Gervais**

# **Introduction and context**

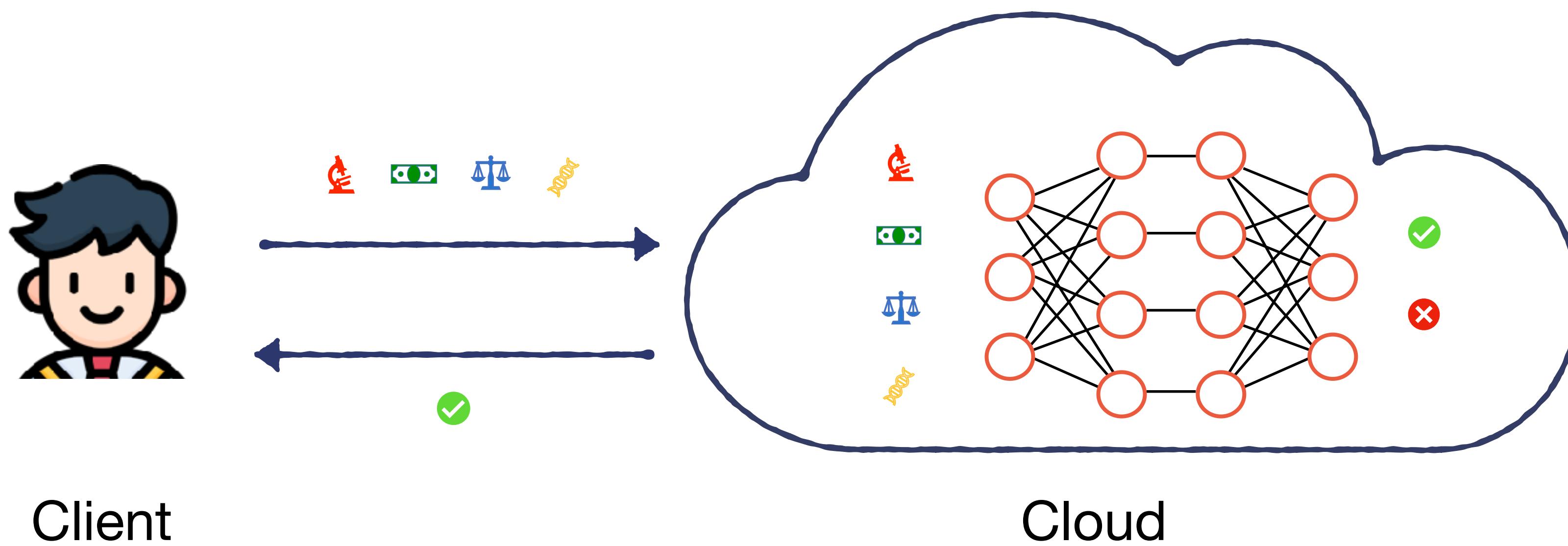
# Context and security model

## Outsourcing the computation



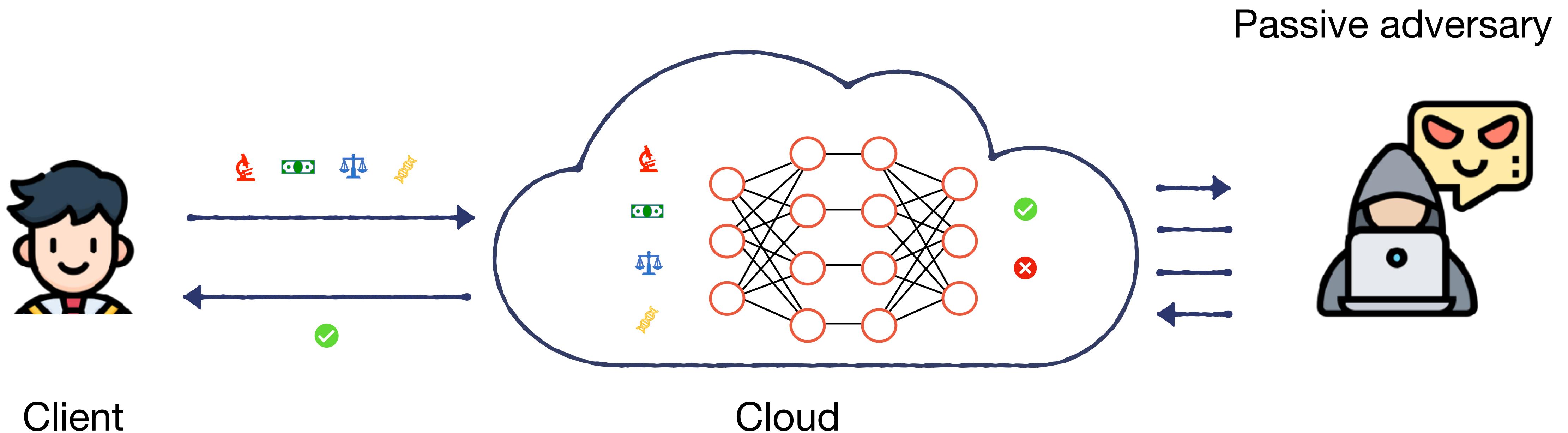
# Context and security model

## Outsourcing the computation



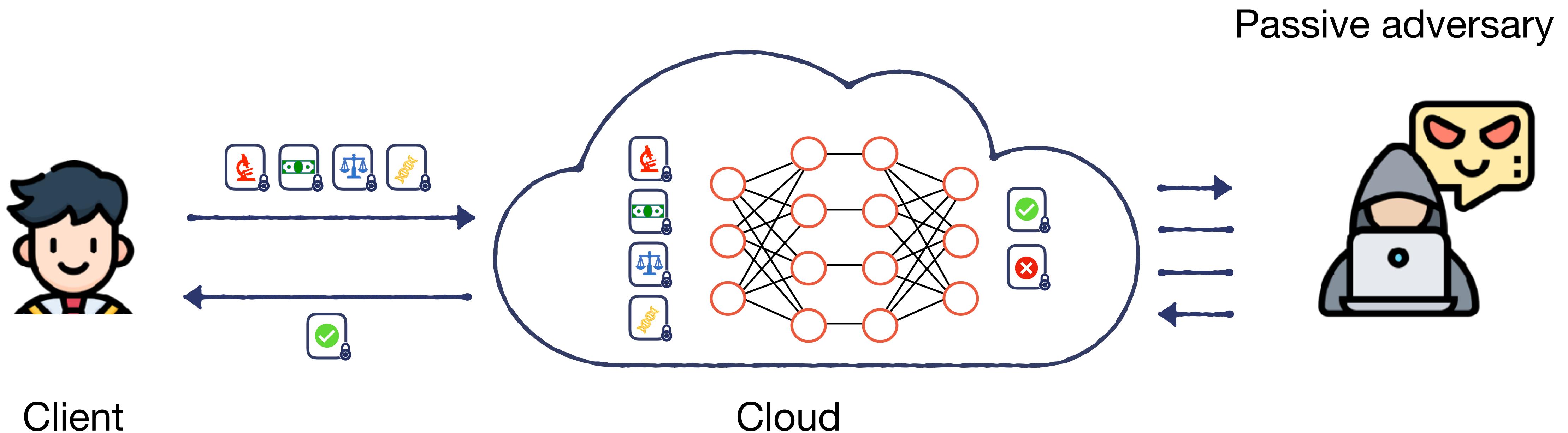
# Context and security model

## Outsourcing the computation



# Context and security model

## Outsourcing the computation



# Oblivious algorithm

Just a definition



```
1  if a > b:  
2      max = a  
3  else:  
4      max = b
```

Non oblivious



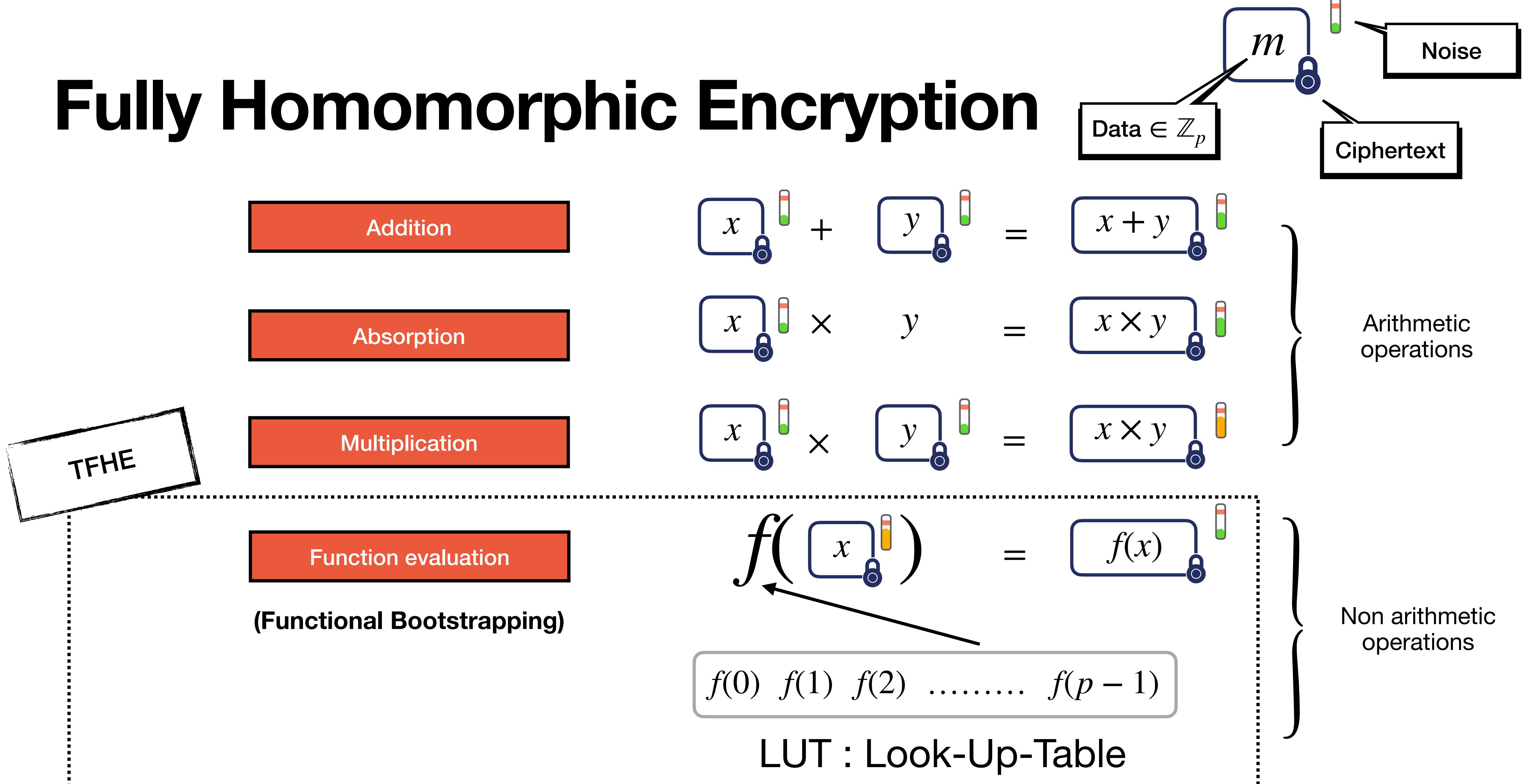
```
1  s = (a>b)  
2  max = a*s + b*(1-s)
```

Oblivious

Oblivious algorithms are algorithms whose **access patterns** (e.g., which memory addresses they touch) and **control flow** (e.g., which branch they take) are **independent of the input data values**.

# Fully Homomorphic Encryption

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# **TFHE cryptosystem**

## **Different types of ciphertexts**

# TFHE cryptosystem

## Different types of ciphertexts

LWE ciphertext



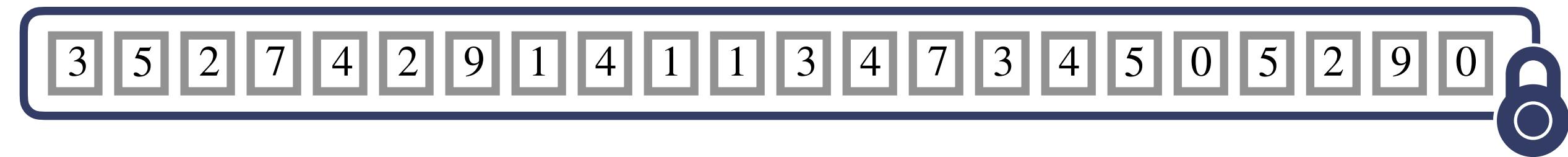
# TFHE cryptosystem

## Different types of ciphertexts

LWE ciphertext



RLWE ciphertext



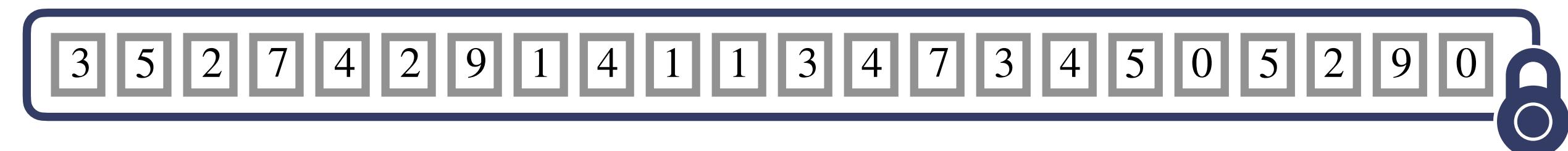
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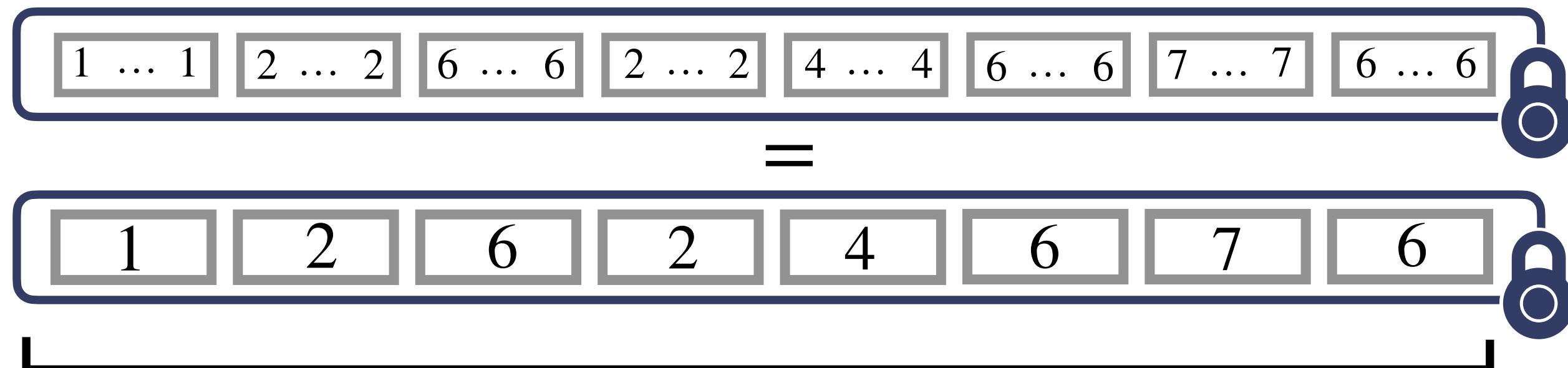
LWE ciphertext



RLWE ciphertext



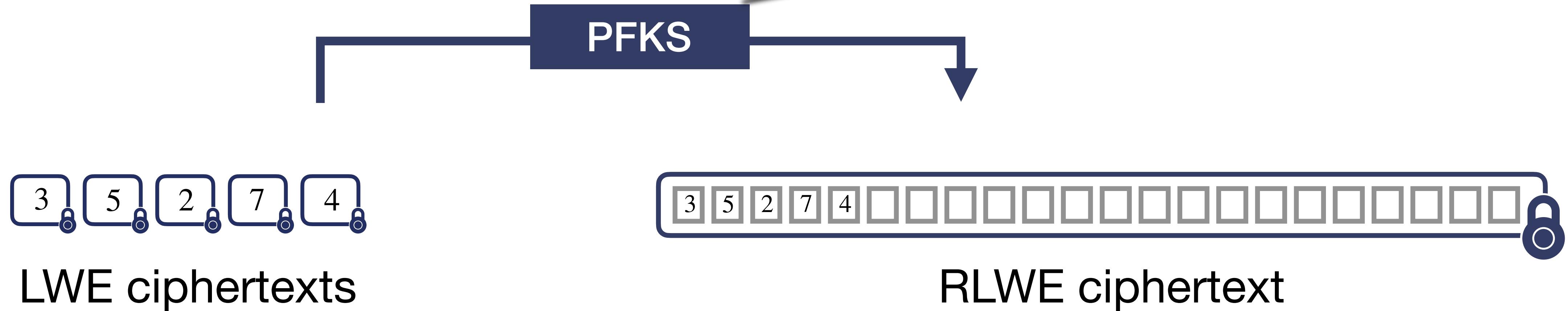
LUT ciphertext



$p$  elements of  $\mathbb{Z}_p$

# TFHE cryptosystem

## Different types of ciphertexts



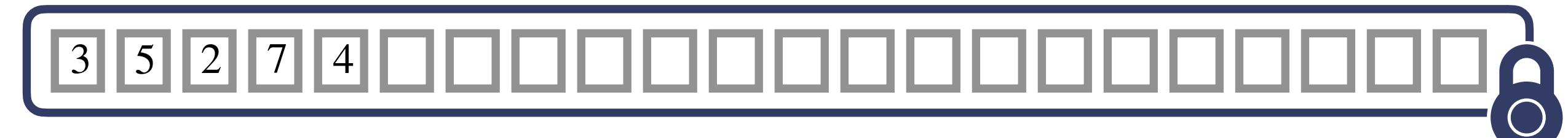
# TFHE cryptosystem

## Different types of ciphertexts

Public Functional Key Switch  
or  
Packing Key Switch



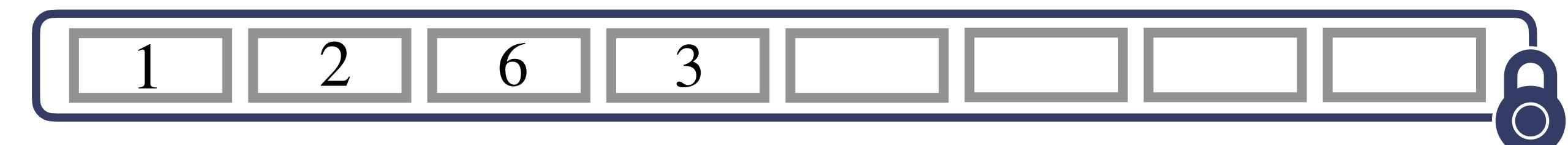
LWE ciphertexts



RLWE ciphertext



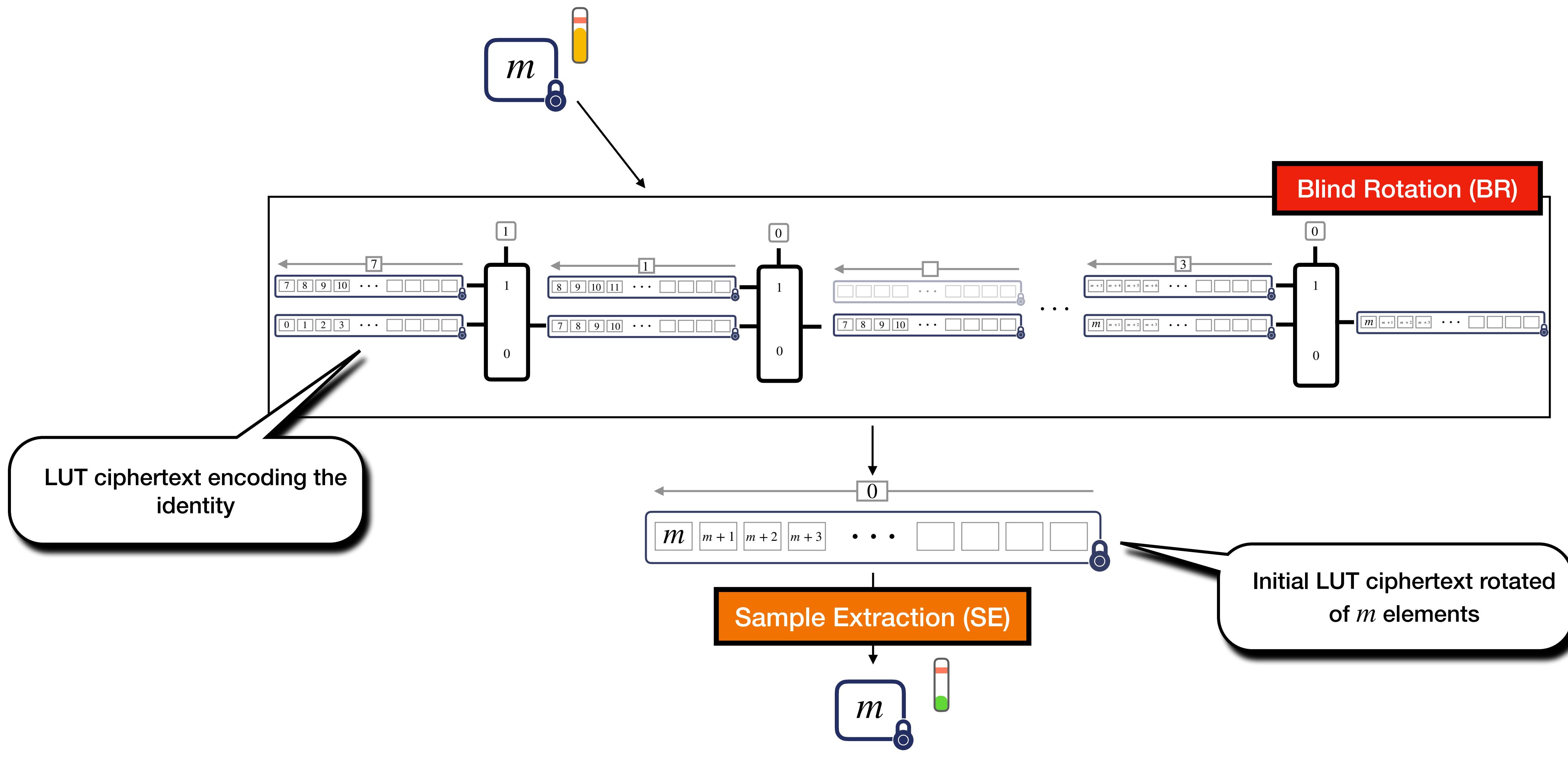
LWE ciphertexts with redundancy



LUT ciphertext

# TFHE cryptosystem

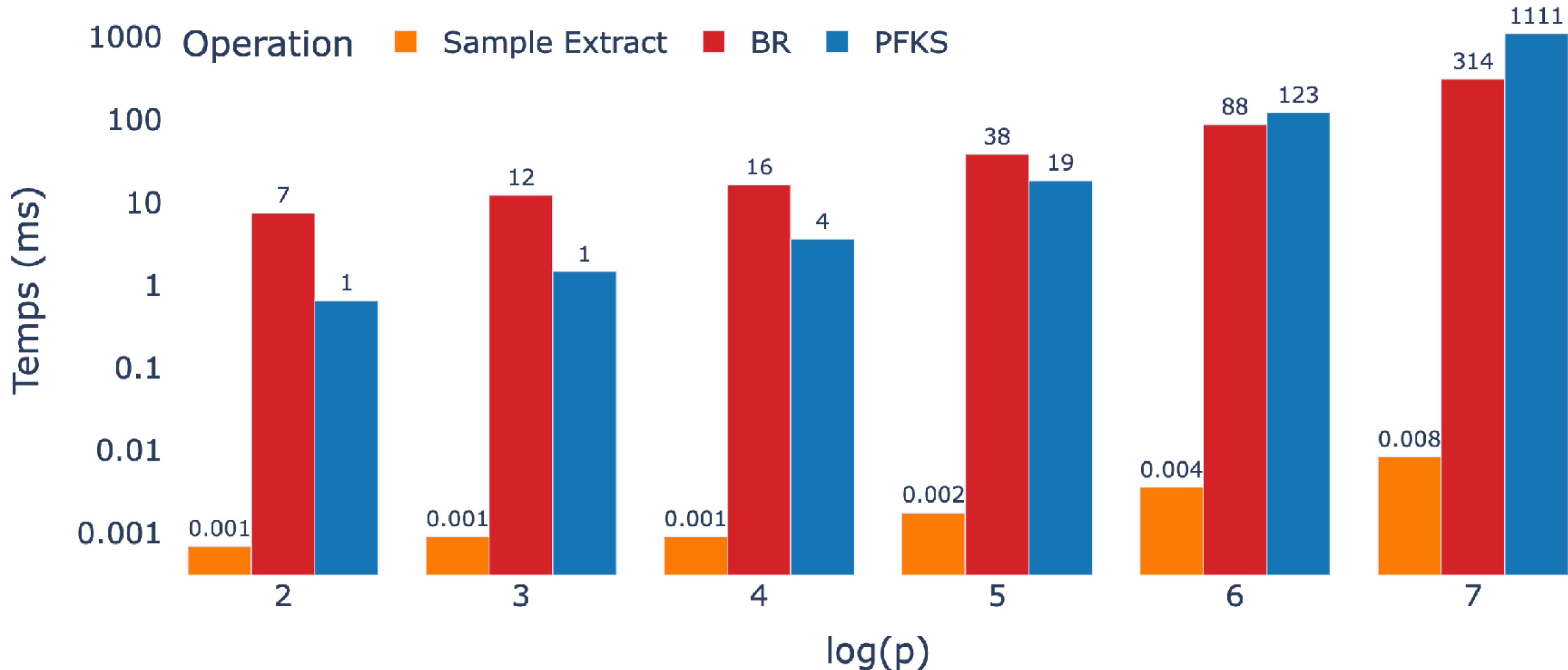
## High level view of the bootstrapping procedure



# TFHE cryptosystem



ZAMA  
TFHE-rs





# RevoLUT : Rust Efficient Versatile Oblivious Look-Up-Table

# RevoLUT library

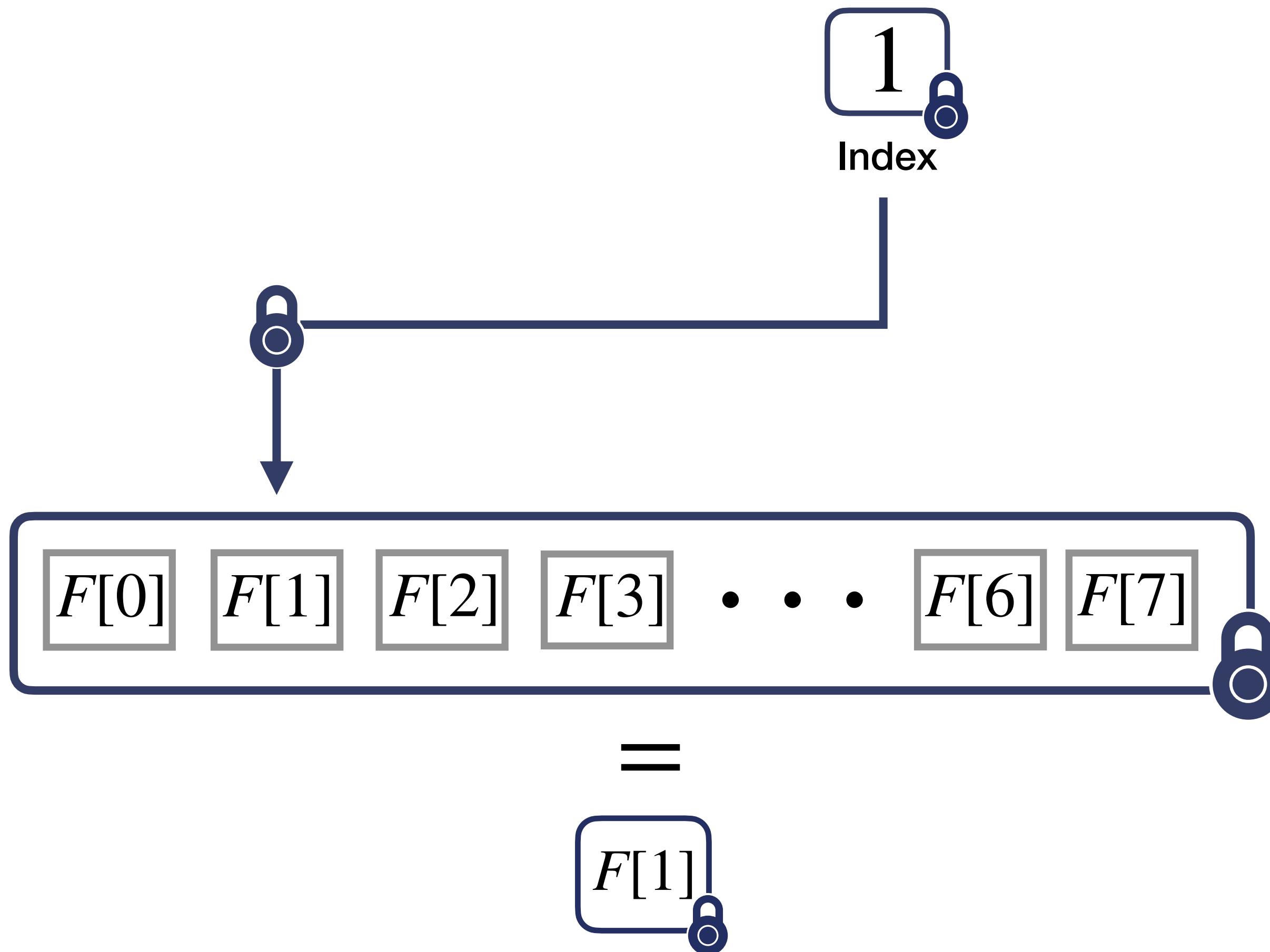
## How to blindly read in an encrypted array ?



sofianeazogagh/revoLUT

Blind Array Access

$$t_{BAA} = 1 \times BR$$



# RevoLUT library

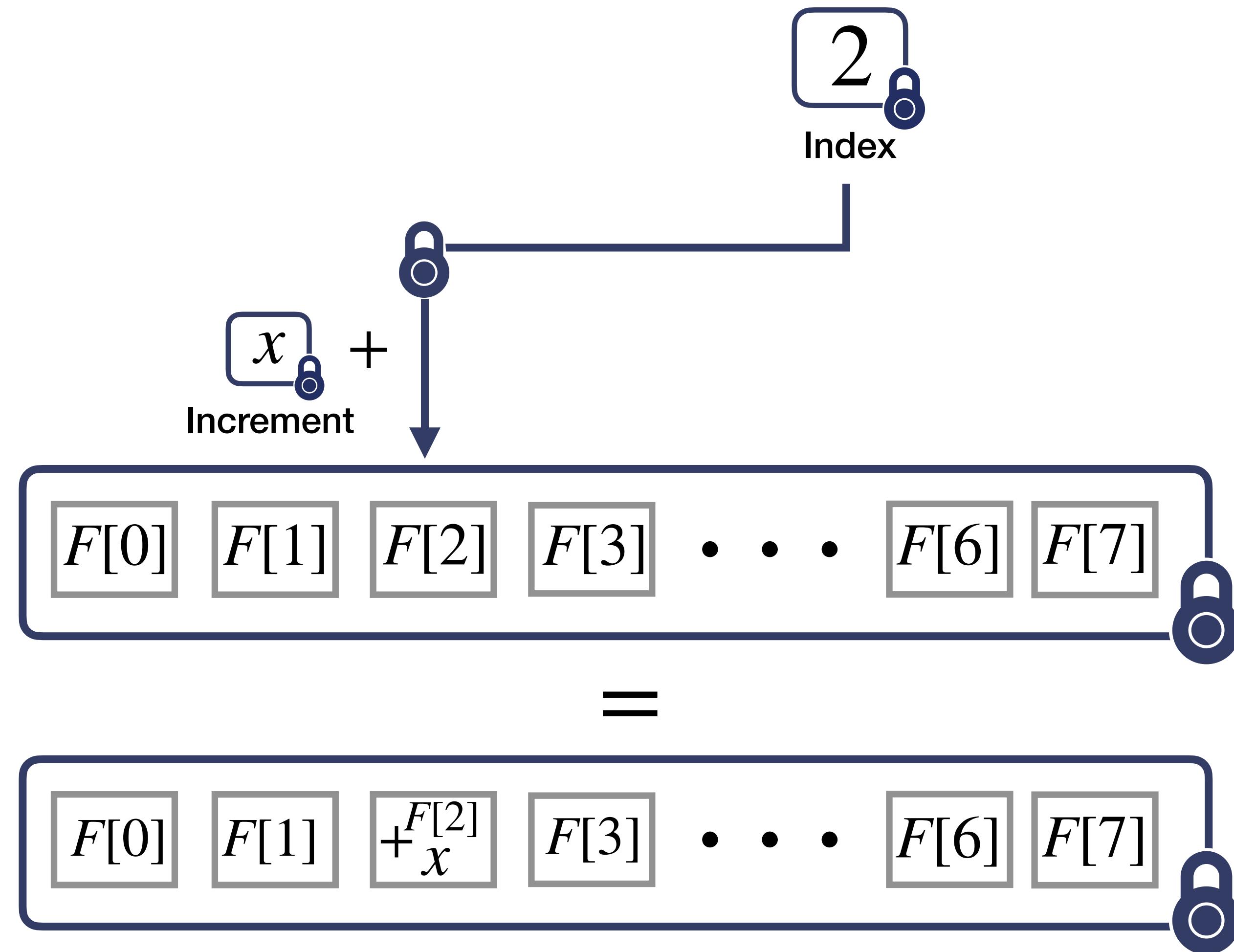
## How to blindly write in an encrypted array ?



sofianeazogagh/revoLUT

Blind Array Add

$$t_{BAAdd} = 1 \times BR + 1 \times PFKS$$



# RevoLUT library

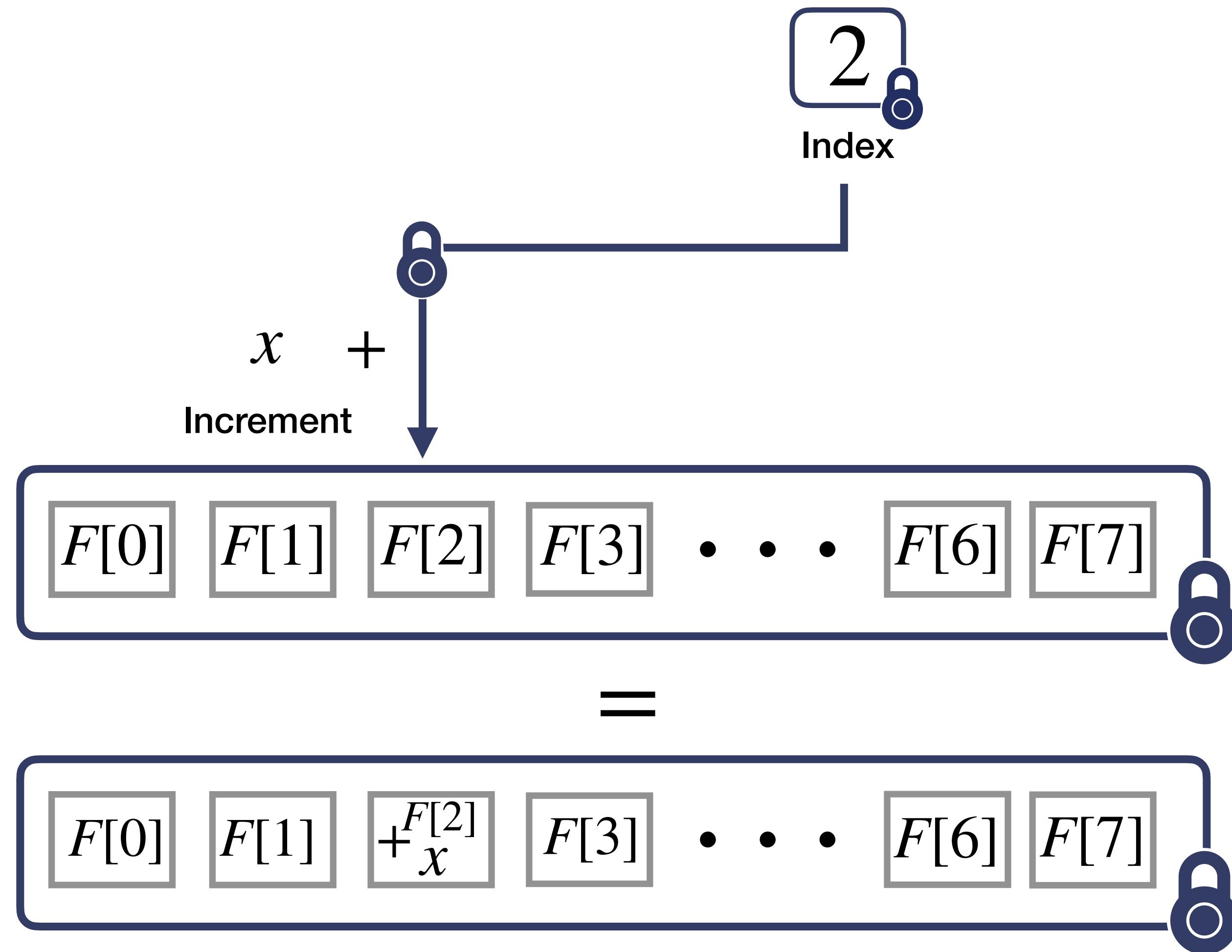
## How to blindly write in an encrypted array ?



sofianeazogagh/revoLUT

Blind Array Add

$$t_{BAAdd} = 1 \times BR$$



# **Blind Counting Sort (BCS)**

# **Counting Sort**

## **How it works in clear ?**

# Counting Sort

How it works in clear ?

$\mathcal{O}(n + p)$

number of items

items  $\in \mathbb{Z}_p$

# Counting Sort

How it works in clear ?

$\mathcal{O}(n + p)$

number of items

items  $\in \mathbb{Z}_p$

$$n = 8 \quad p = 6$$

3	2	5	2	4	1	5	1
---	---	---	---	---	---	---	---

Unsorted array A

# Counting Sort

How it works in clear ?

1) Count the occurrences :  $\mathcal{O}(n)$

0	1	2	3	4	5
0	2	2	1	1	2

number of items

$\mathcal{O}(n + p)$

items  $\in \mathbb{Z}_p$

$$n = 8 \quad p = 6$$

3	2	5	2	4	1	5	1
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Unsorted array A

2) Build the prefix sum :  $\mathcal{O}(p)$

0	1	2	3	4	5
0	2	4	5	6	8

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Unsorted array A

2) Build the prefix sum :  $\mathcal{O}(p)$

0	1	2	3	4	5
0	2	4	5	6	8

Destination range :

- $[0,2]$  should be filled of 1s
- $[2,4]$  should be filled of 2s
- $[4,5]$  should be filled of 3s
- $[5,6]$  should be filled of 4s
- $[6,8]$  should be filled of 5s

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0	1	2	3	4	5
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$$n = 8 \quad p = 6$$

3	2	5	2	4	1	5	1
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Unsorted array A

2) Build the prefix sum :  $\mathcal{O}(p)$

0	1	2	3	4	5
0	2	4	5	6	8

3) Rebuild the sorted array :  $\mathcal{O}(n)$

0	1	2	3	4	5	6	7

Sorted array

number of items

$\mathcal{O}(n + p)$

items  $\in \mathbb{Z}_p$

Destination range :

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0	1	2	3	4	5
0	2	2	1	1	2

$$n = 8 \quad p = 6$$

3	2	5	2	4	1	5	1
---	---	---	---	---	---	---	---

2) Build the prefix sum :  $\mathcal{O}(p)$

0	1	2	3	4	5
0	2	4	5	6	8

3) Rebuild the sorted array :  $\mathcal{O}(n)$

0	1	2	3	4	5	6	7
1	1	2	2	3	4	5	5

Sorted array

number of items

$\mathcal{O}(n + p)$

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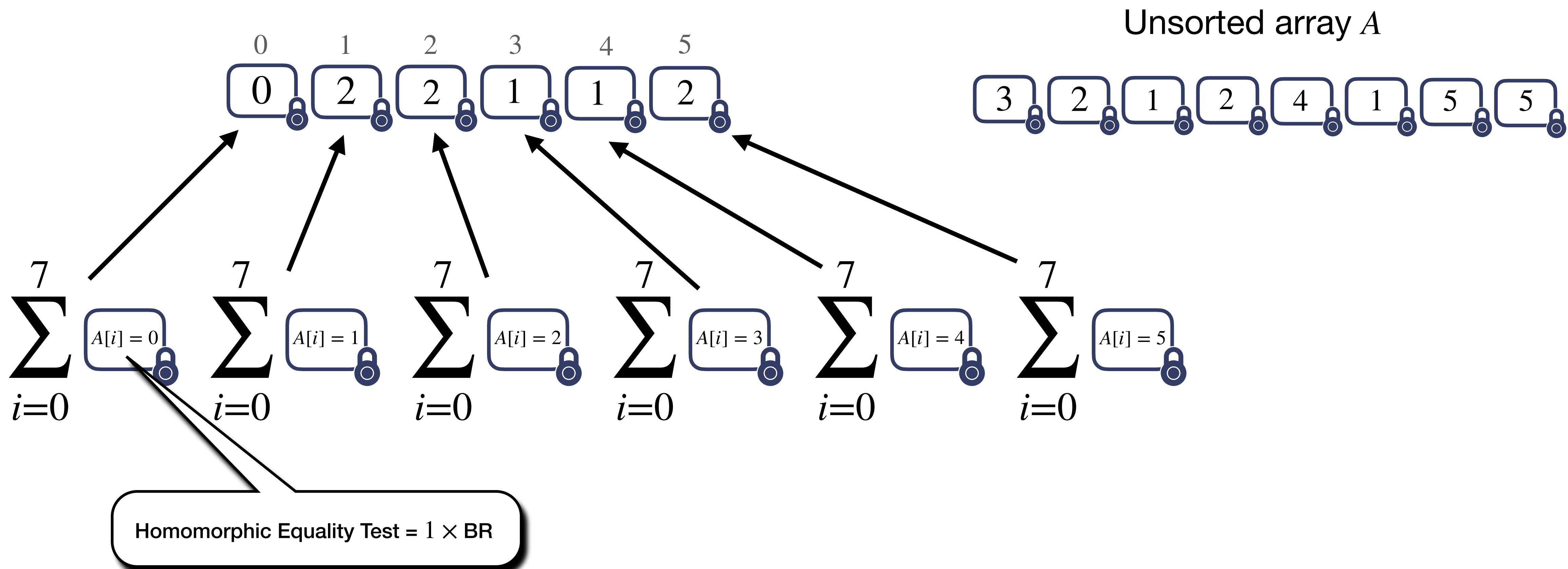
# **Counting Sort**

**The challenge of bringing it to the encrypted world**

# Counting Sort

The challenge of bringing it to the encrypted world

Count the occurrences :  $\mathcal{O}(n^2)$

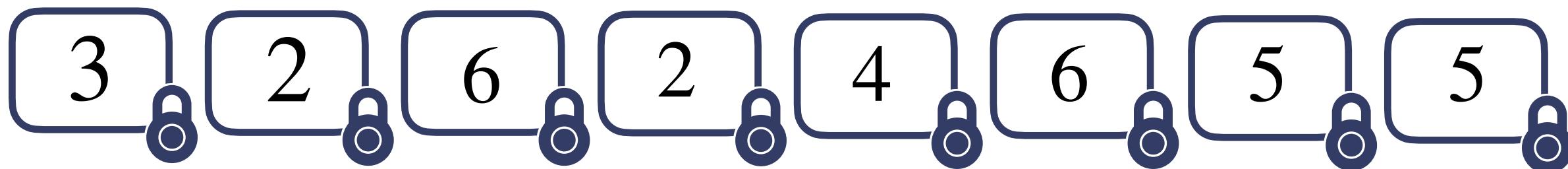


# **Blind Counting Sort (BCS)**

**How to blindly count occurrences ?**

# Blind Counting Sort (BCS)

## How to blindly count occurrences ?

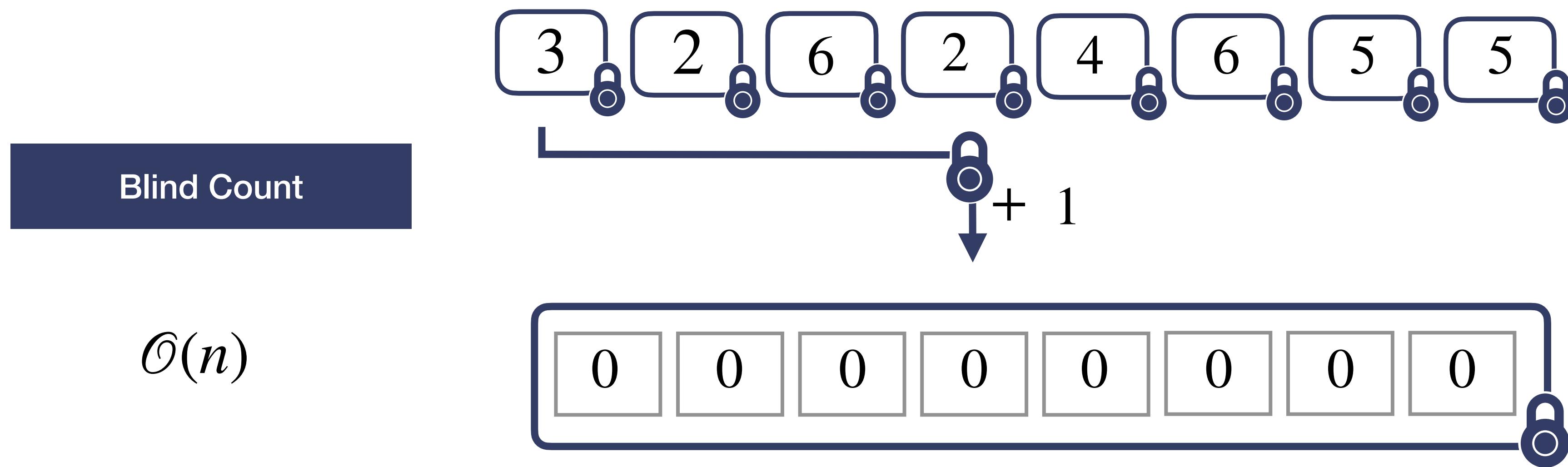


Blind Count

$\mathcal{O}(n)$

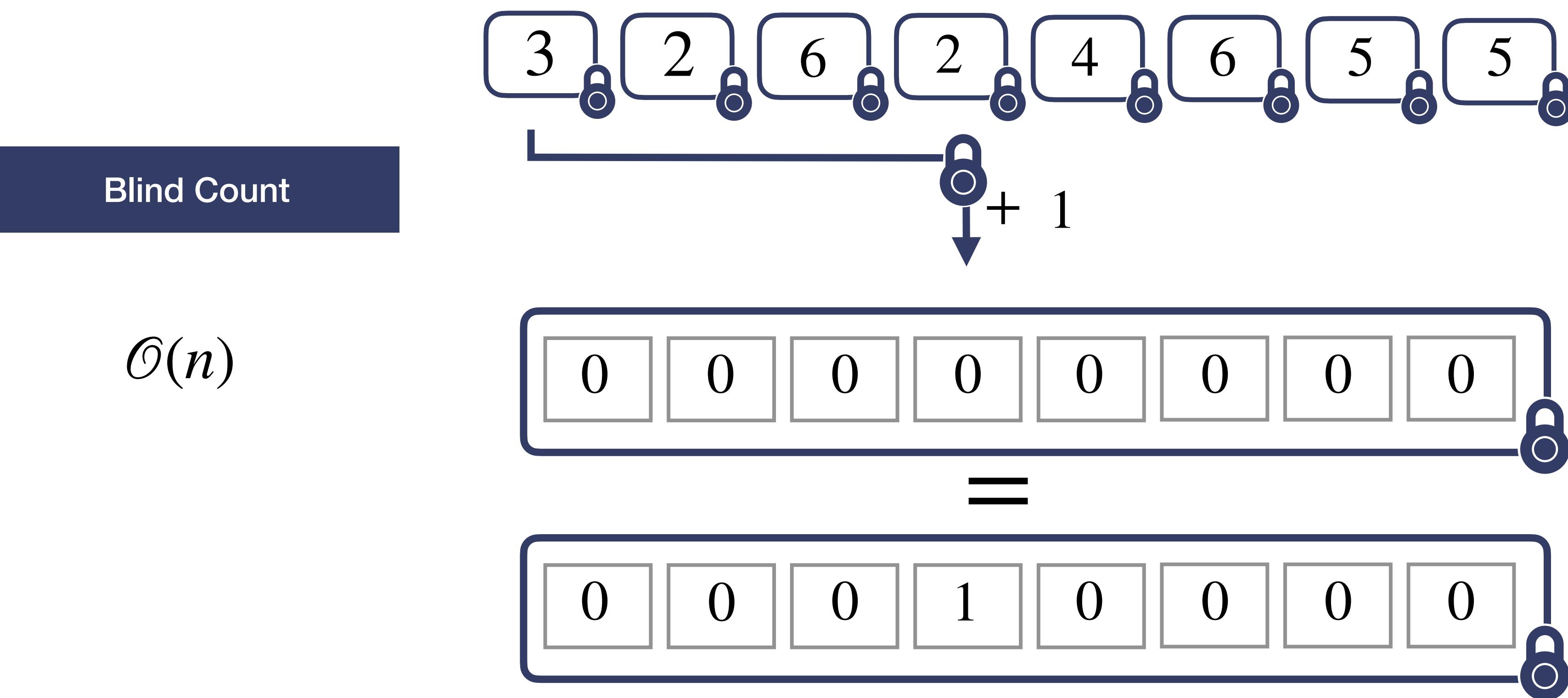
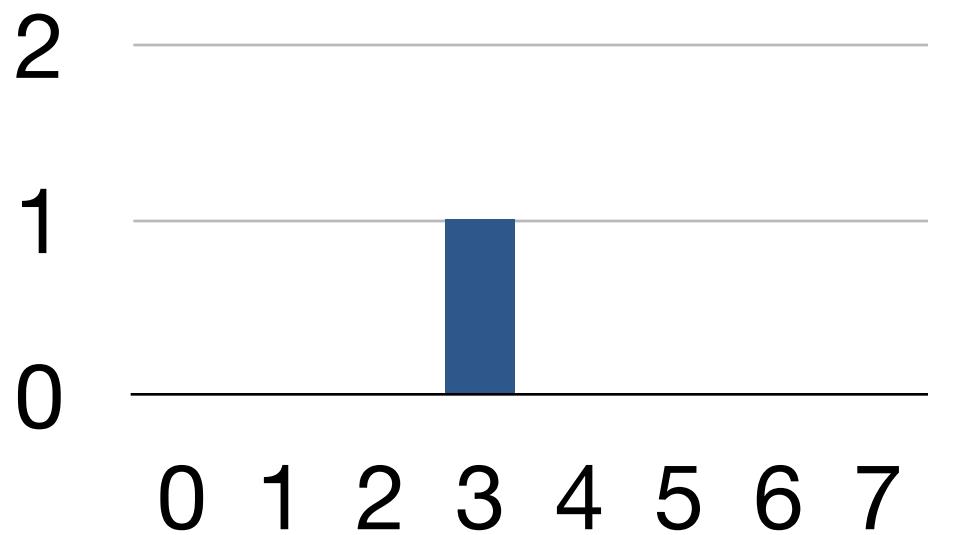
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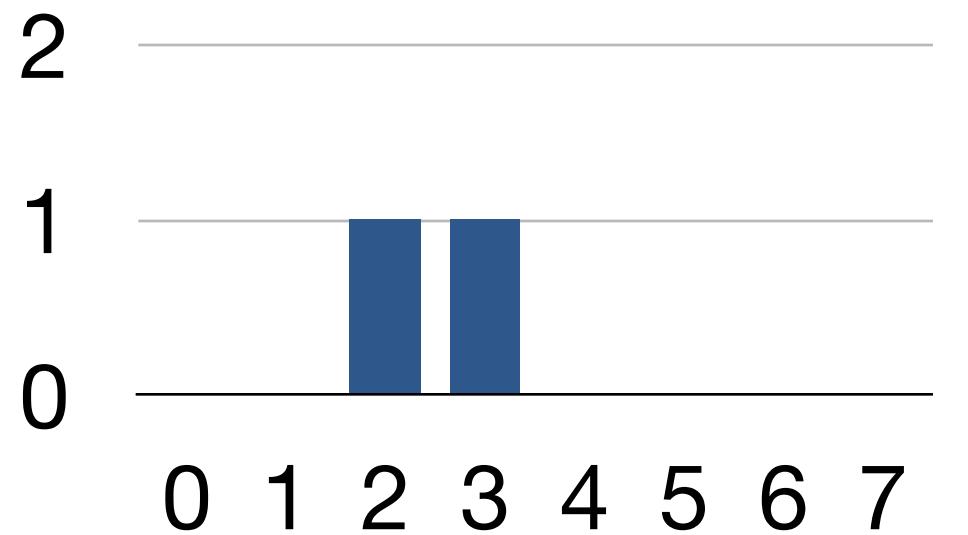
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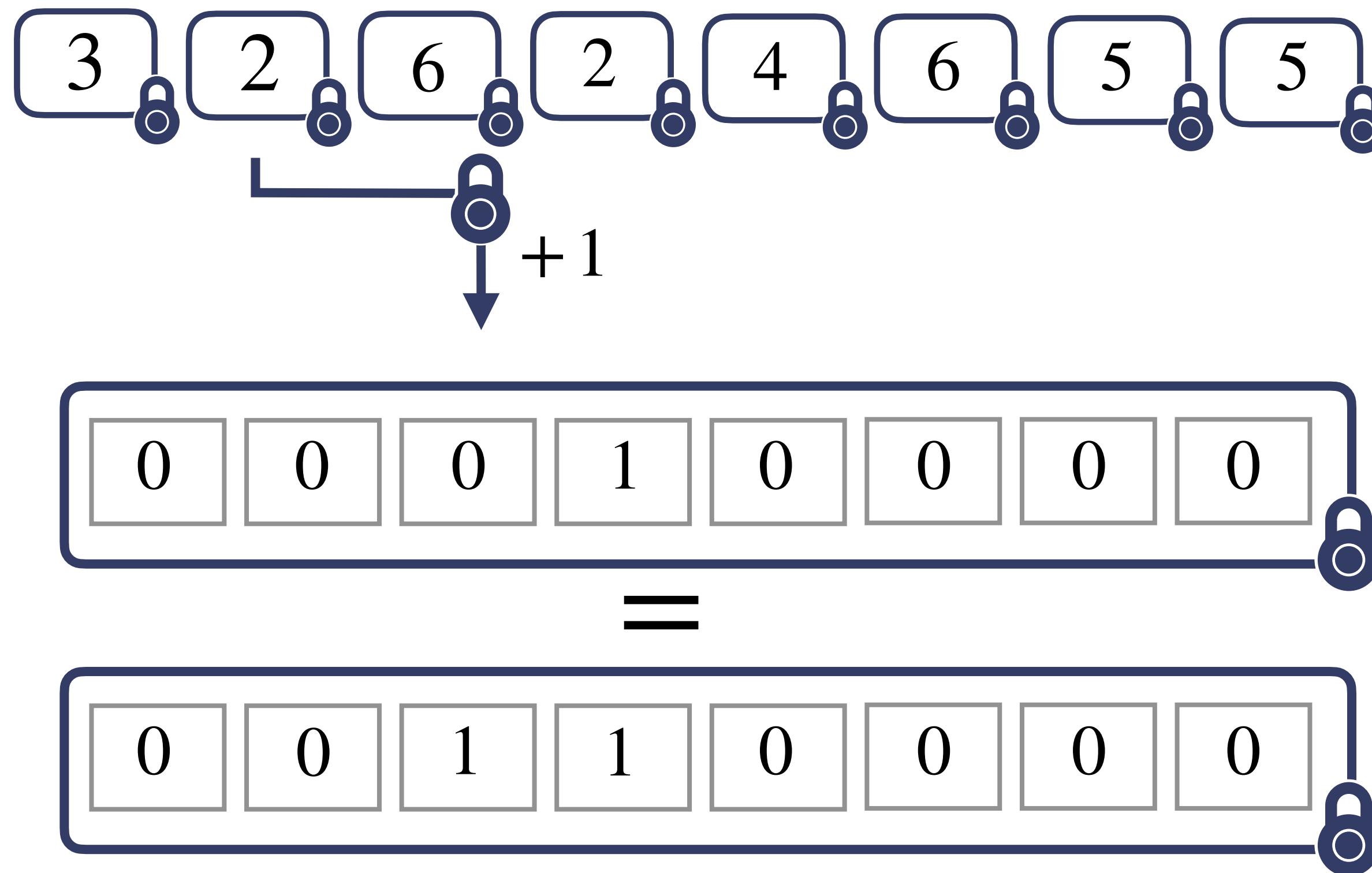


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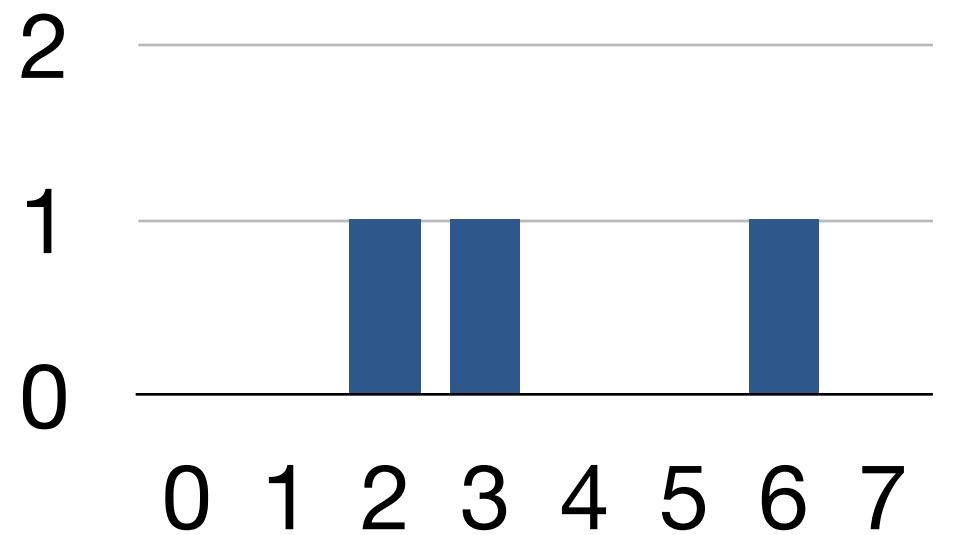


Blind Count

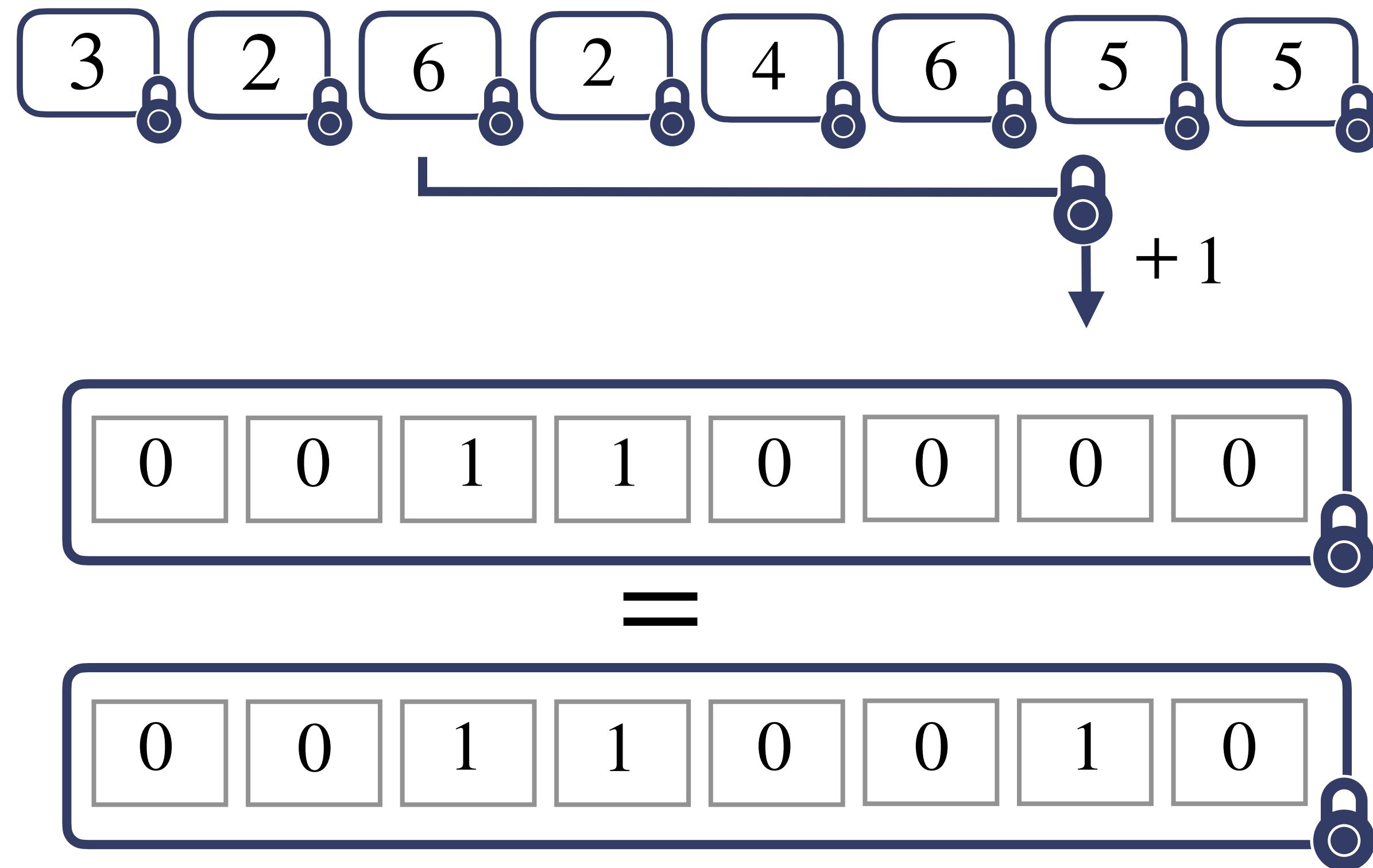


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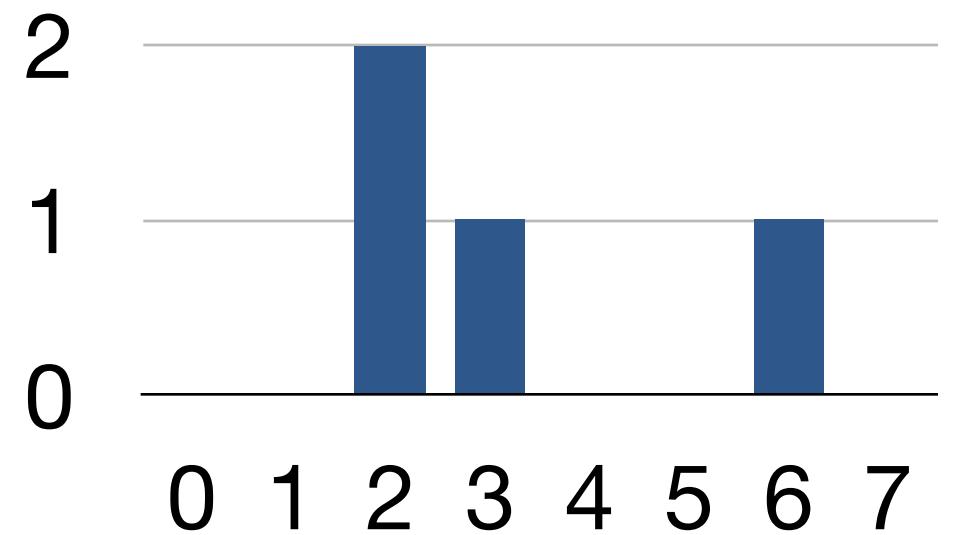


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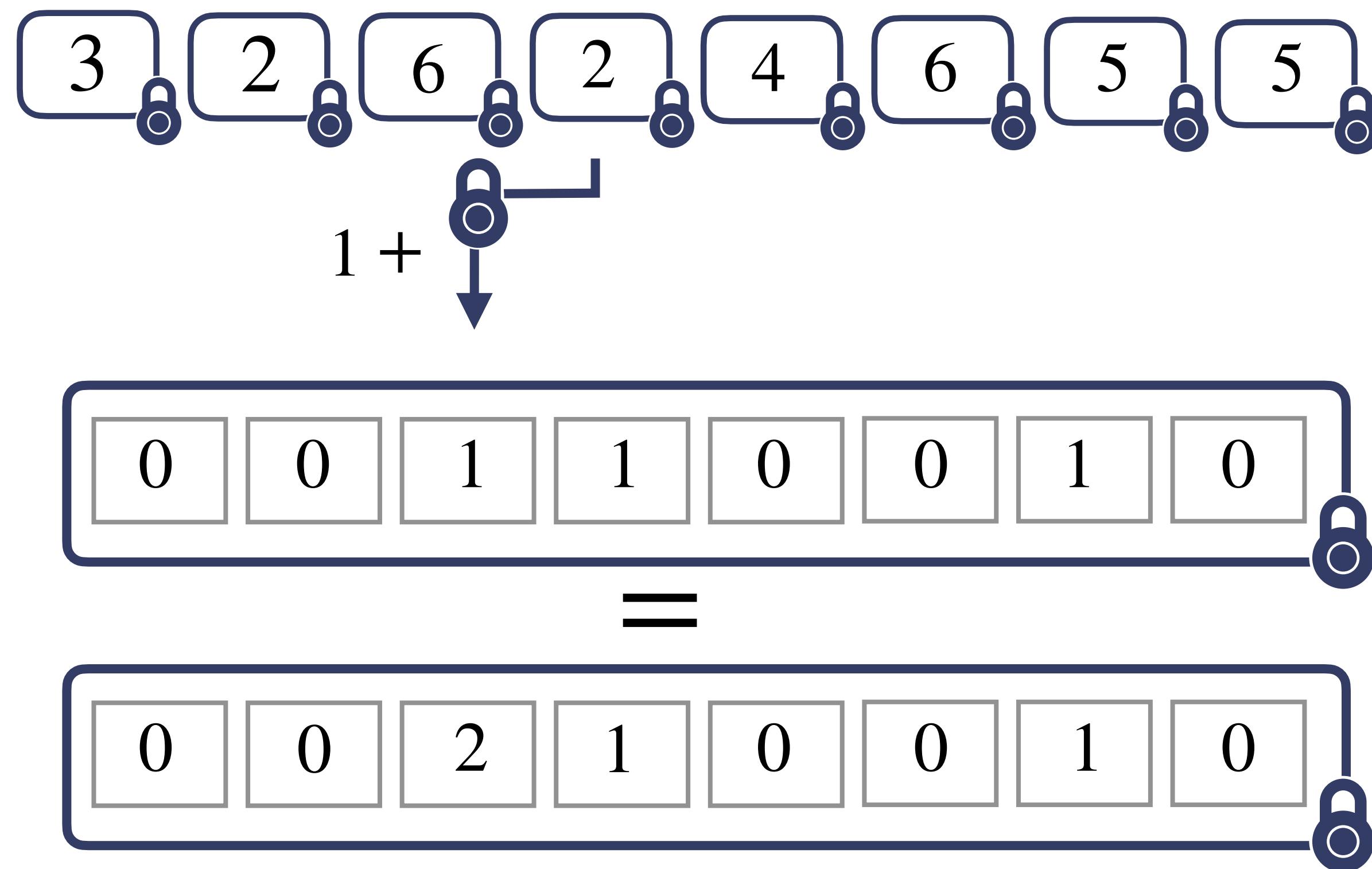


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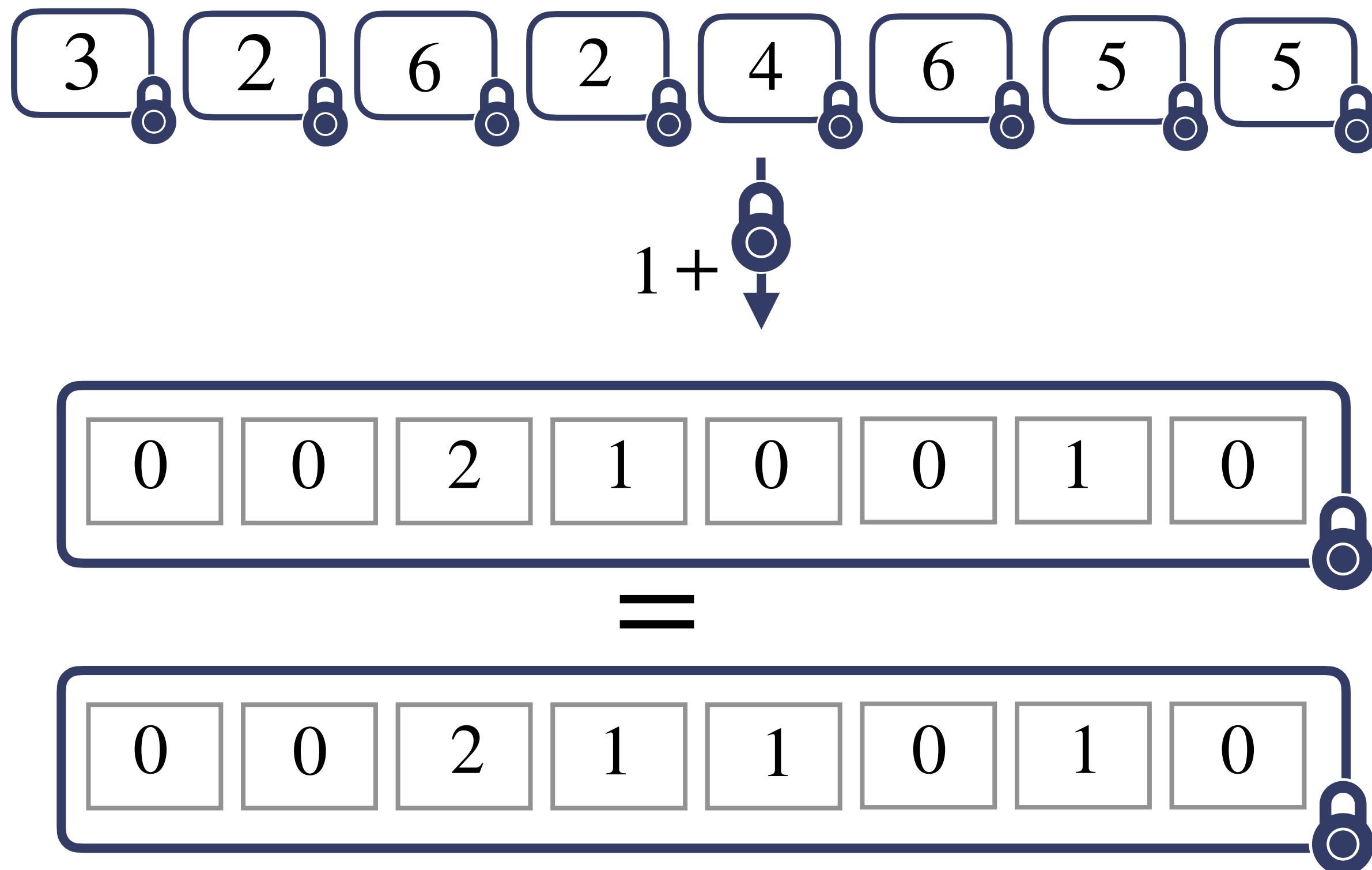
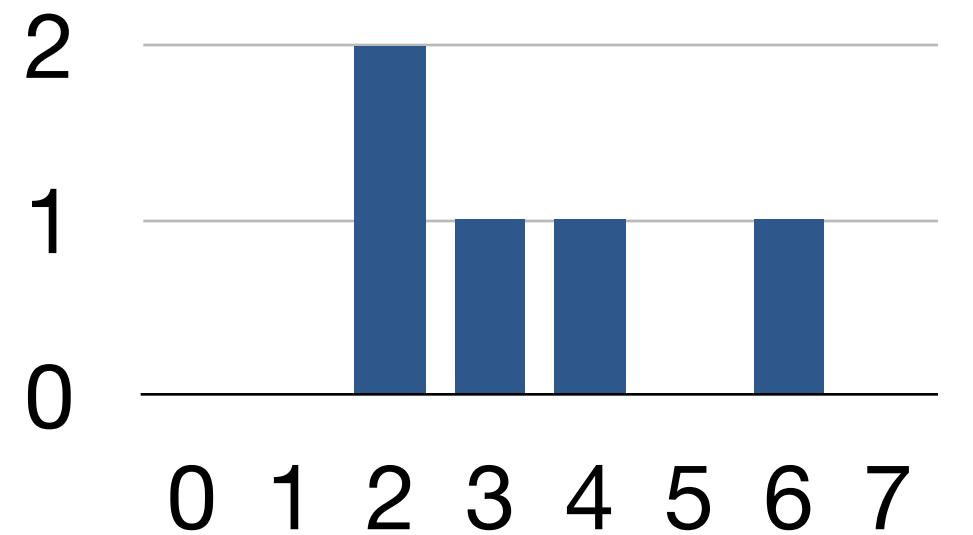


Blind Count



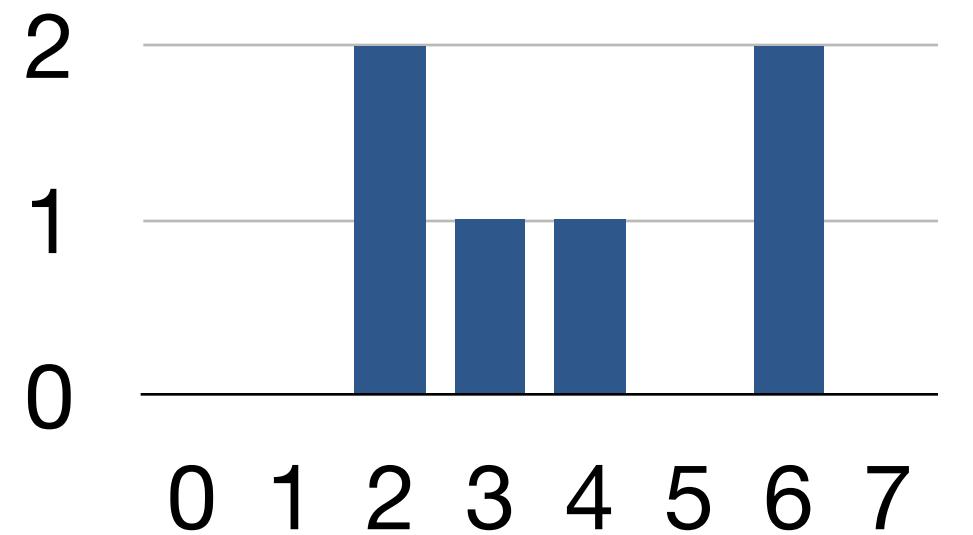
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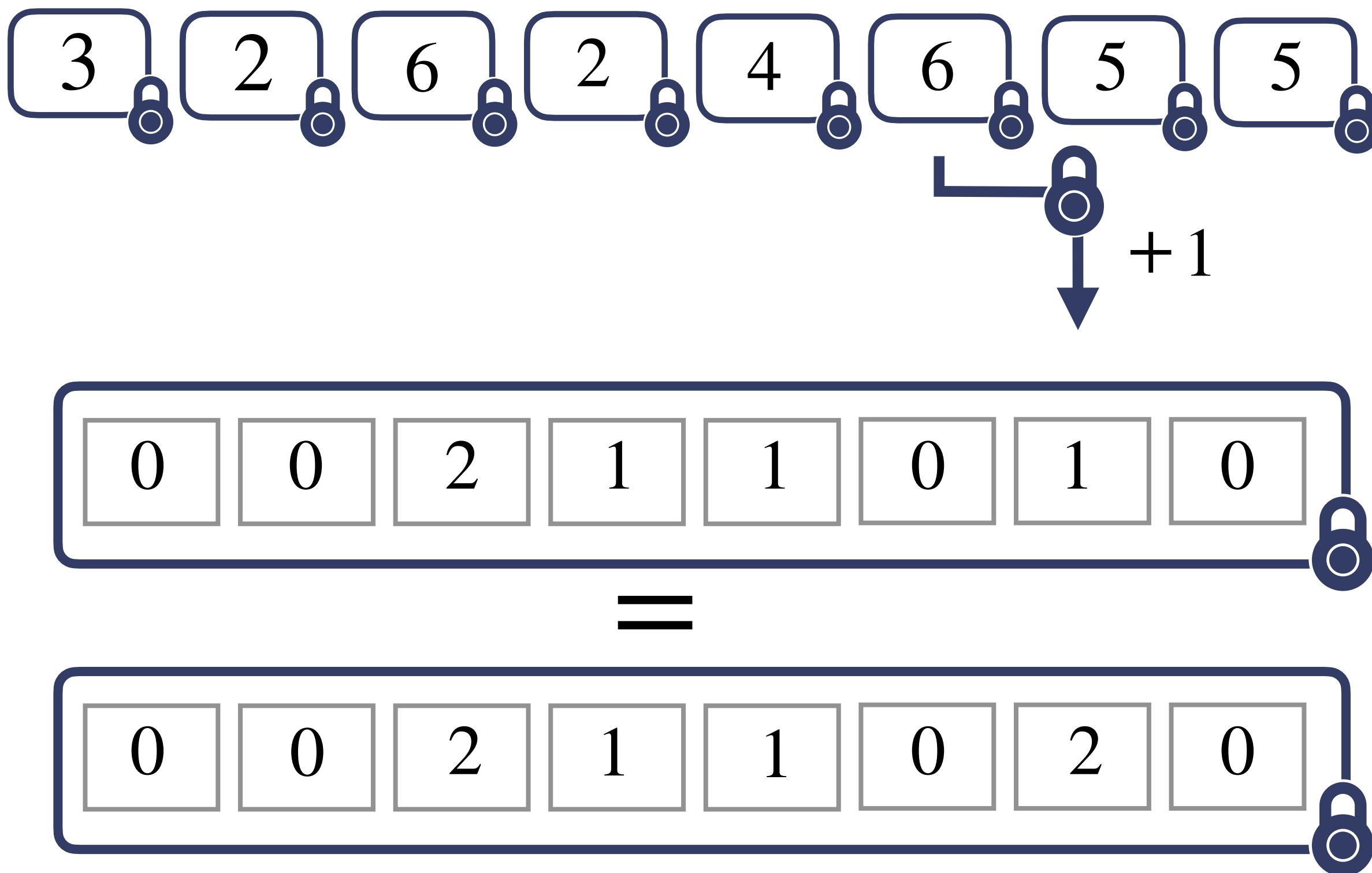


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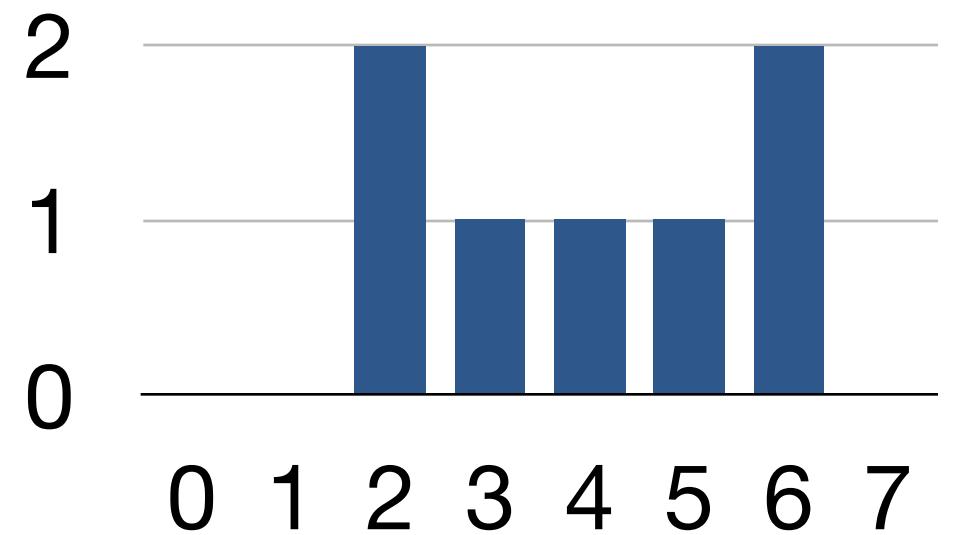


Blind Count

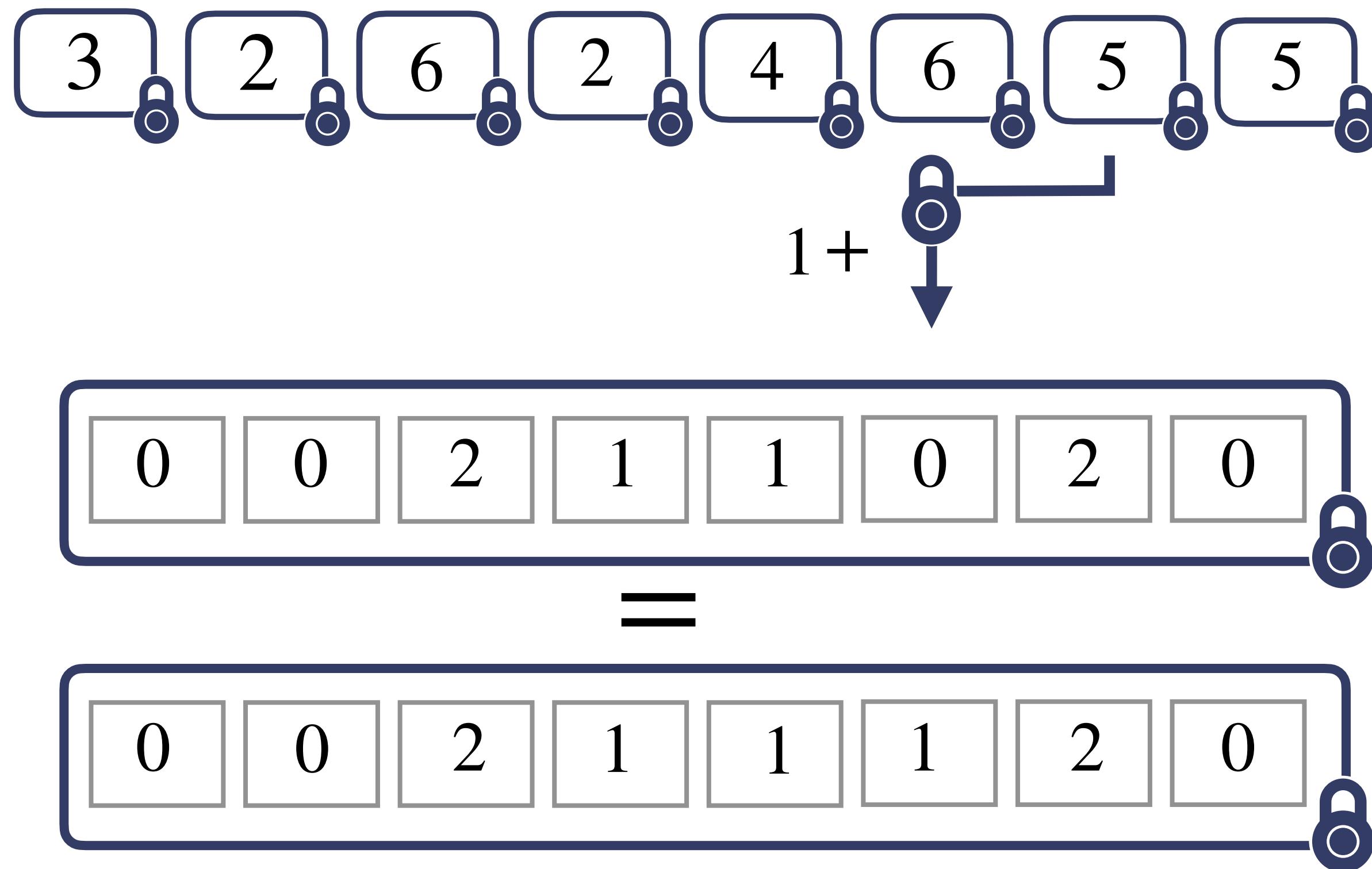


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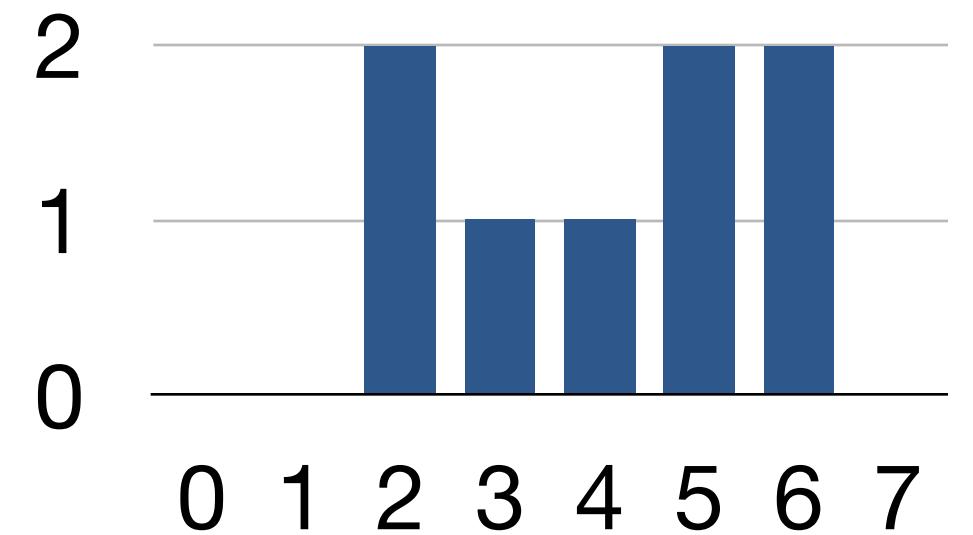


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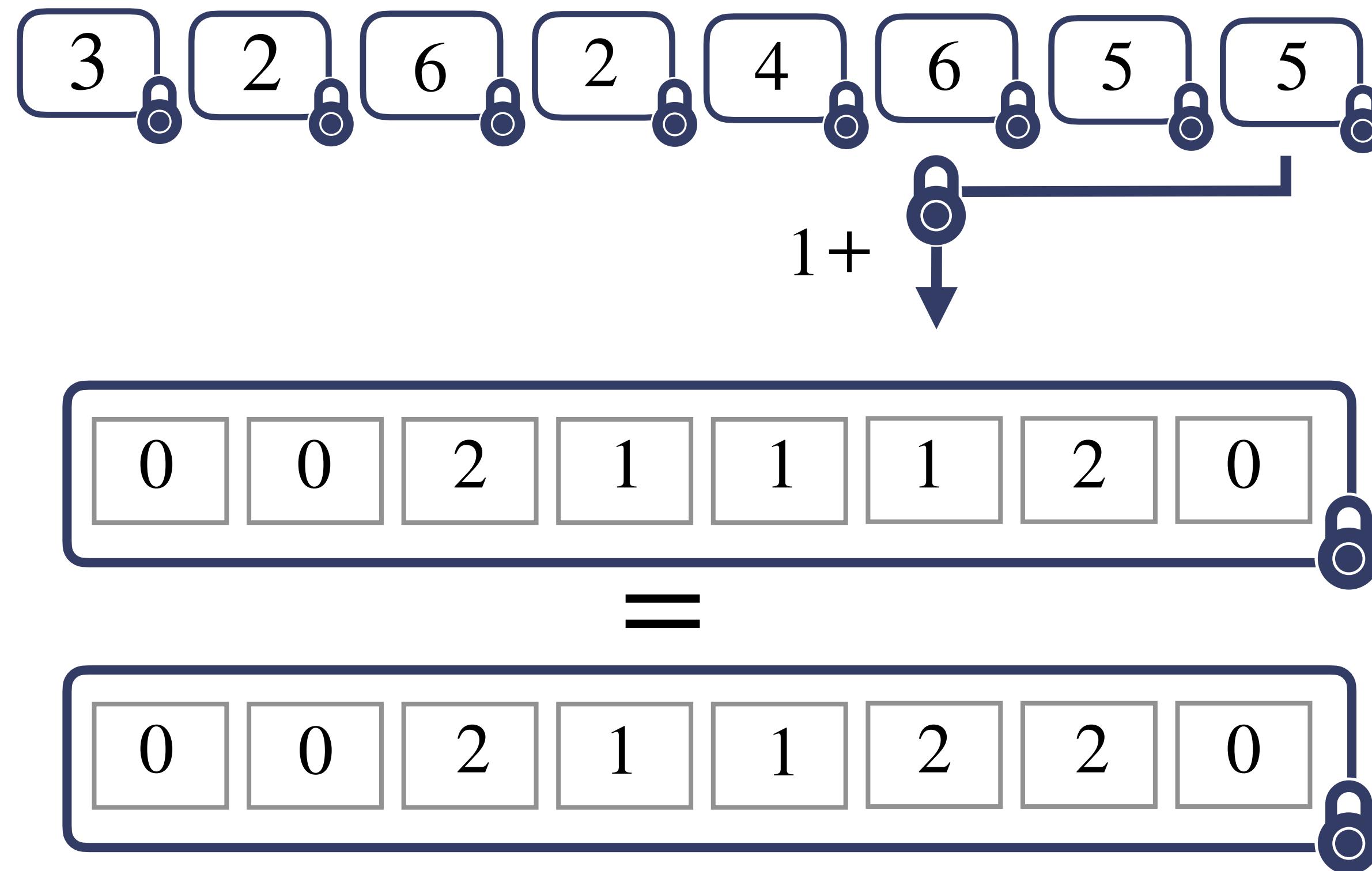


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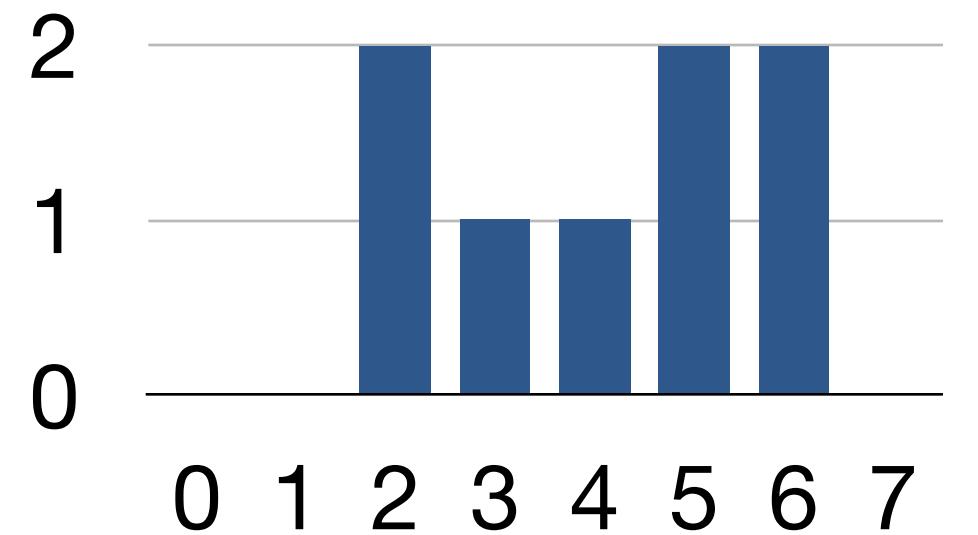


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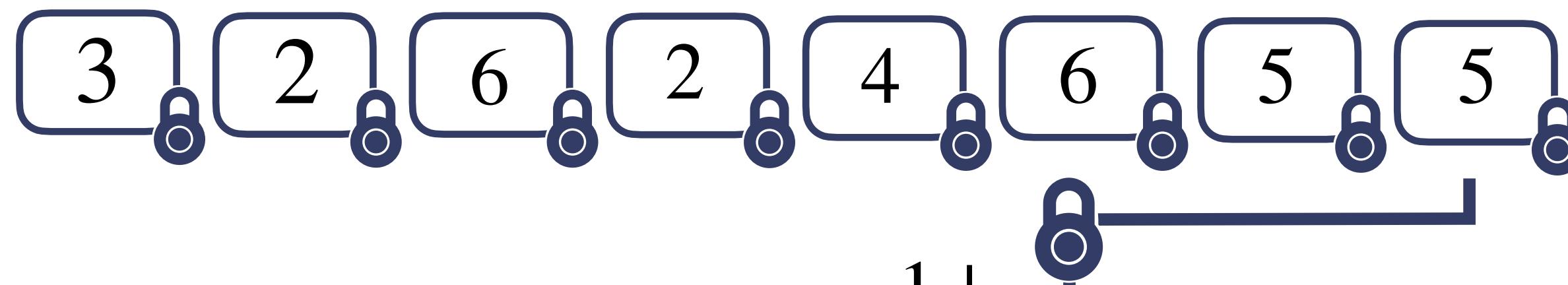


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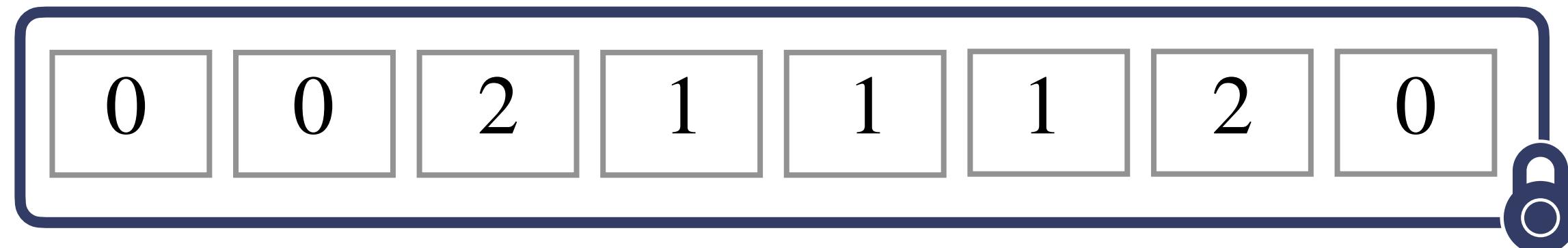
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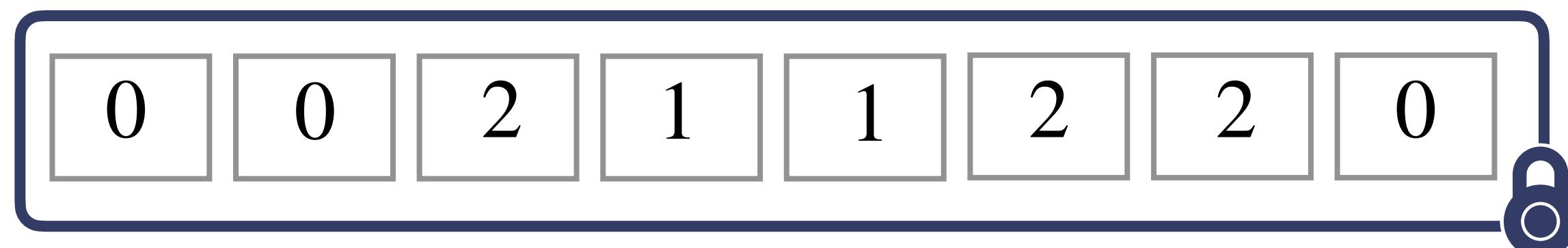
Blind Count



$\mathcal{O}(n)$



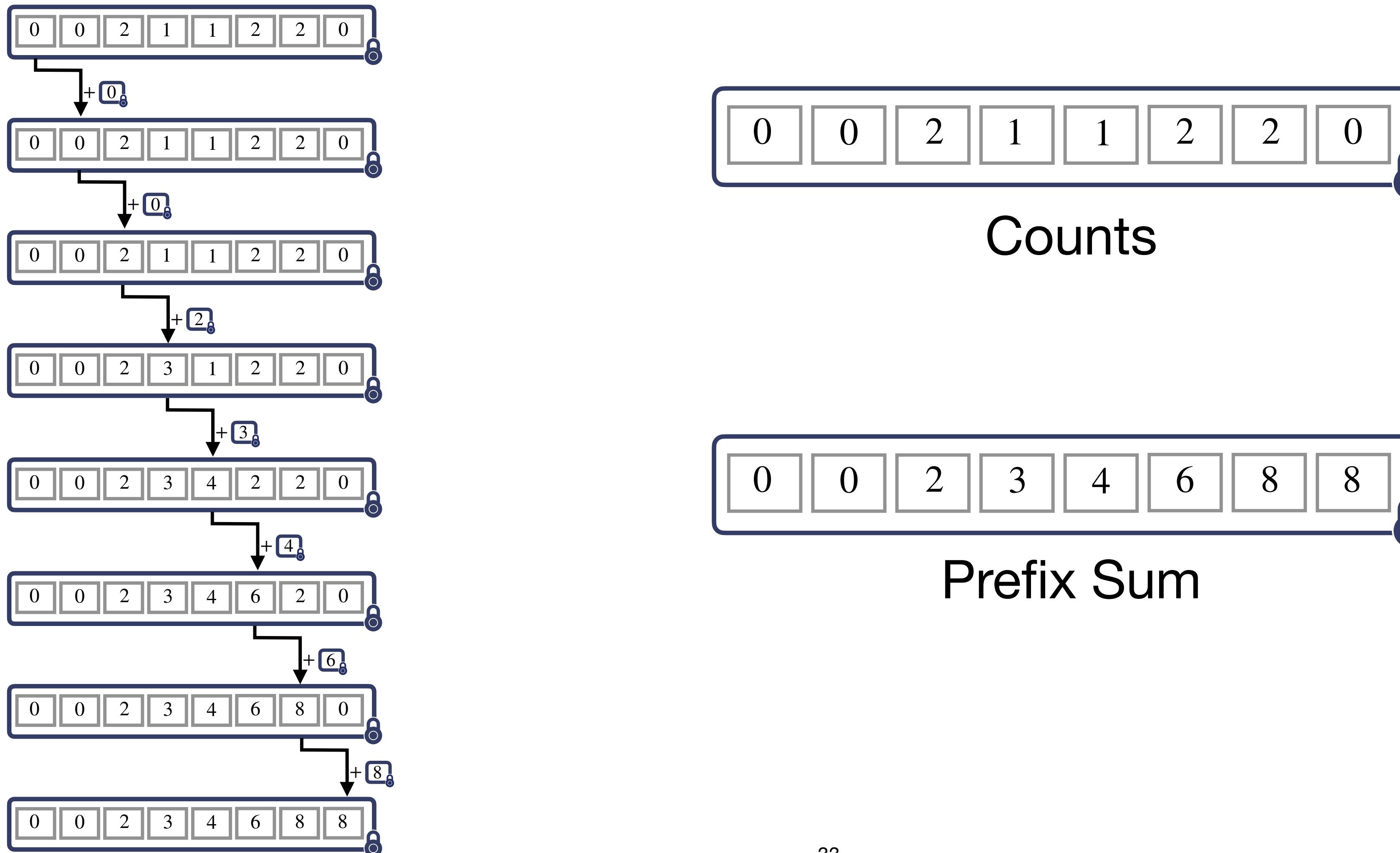
$n \times \text{BR}$



Counts

# Blind Counting Sort (BCS)

## Build a Prefix Sum

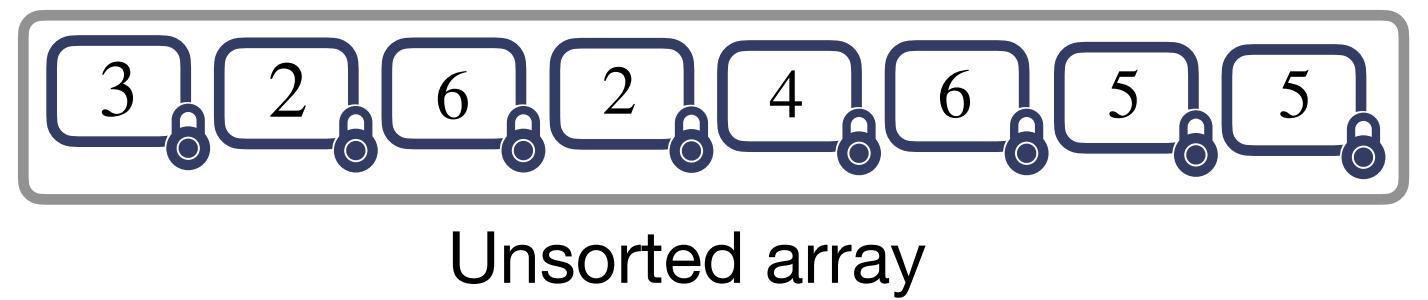


# **Blind Counting Sort (BCS)**

**Build the sorted array**

# Blind Counting Sort (BCS)

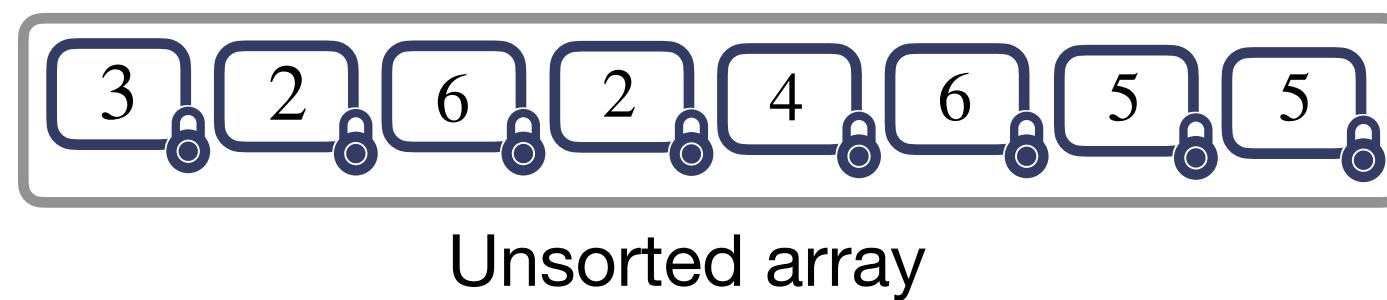
Build the sorted array



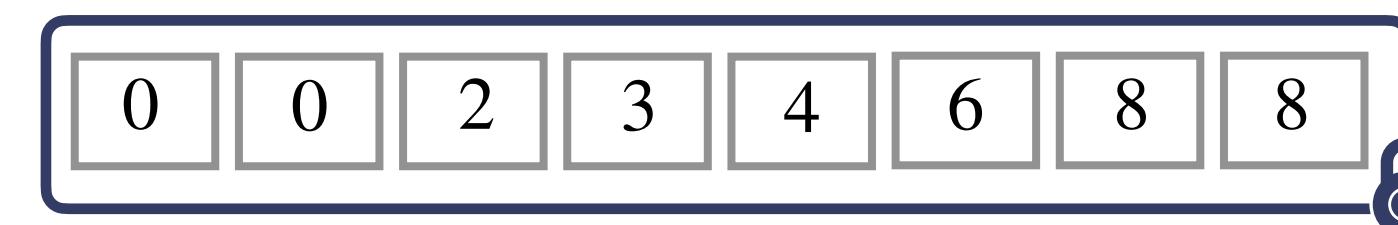
Unsorted array

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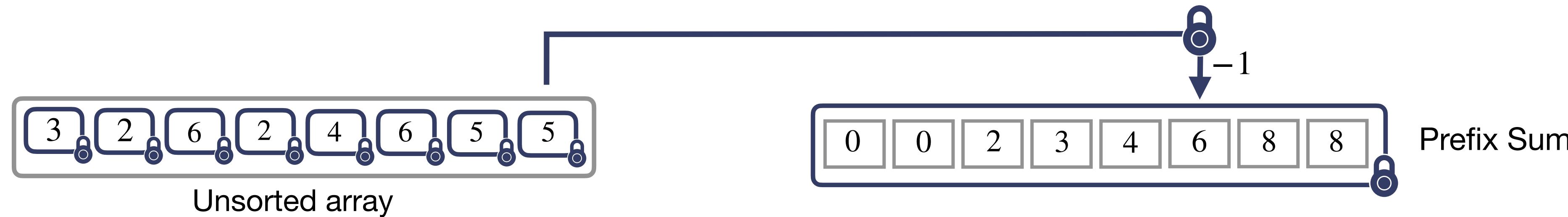
Unsorted array



Prefix Sum

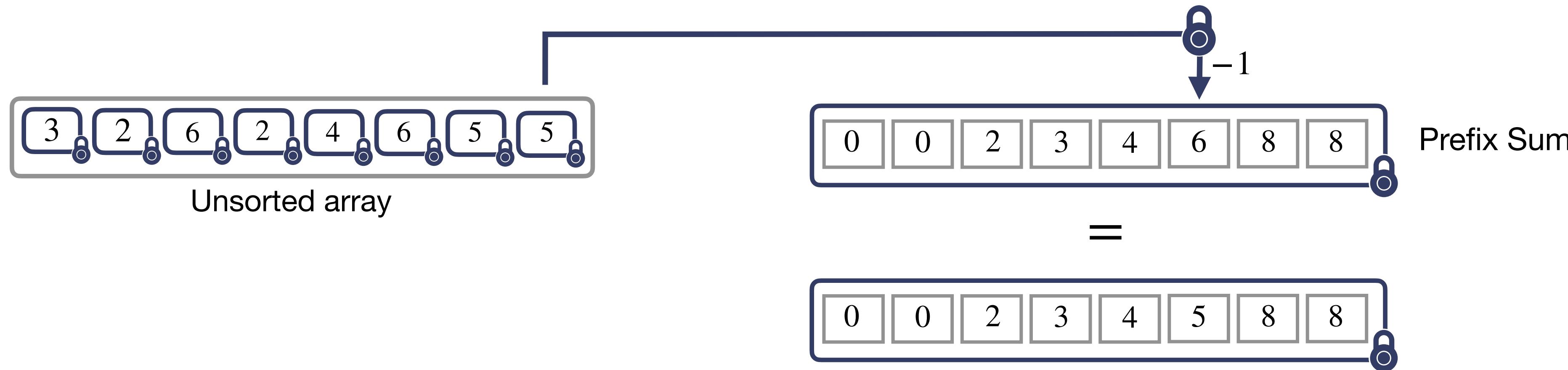
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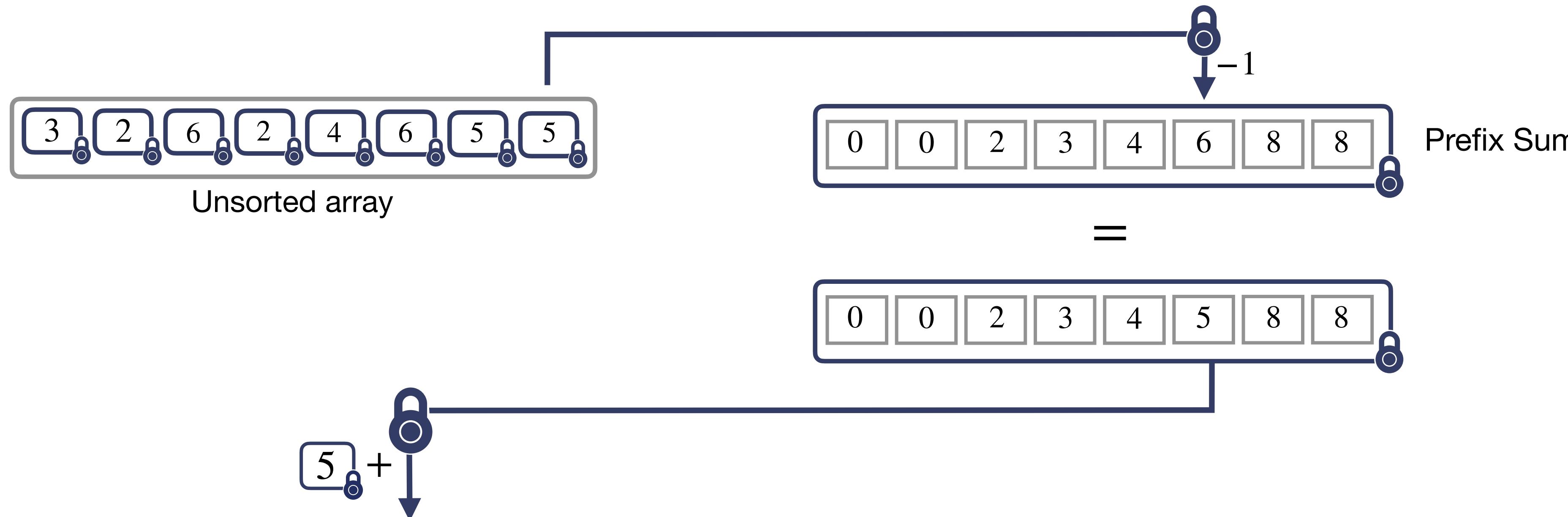
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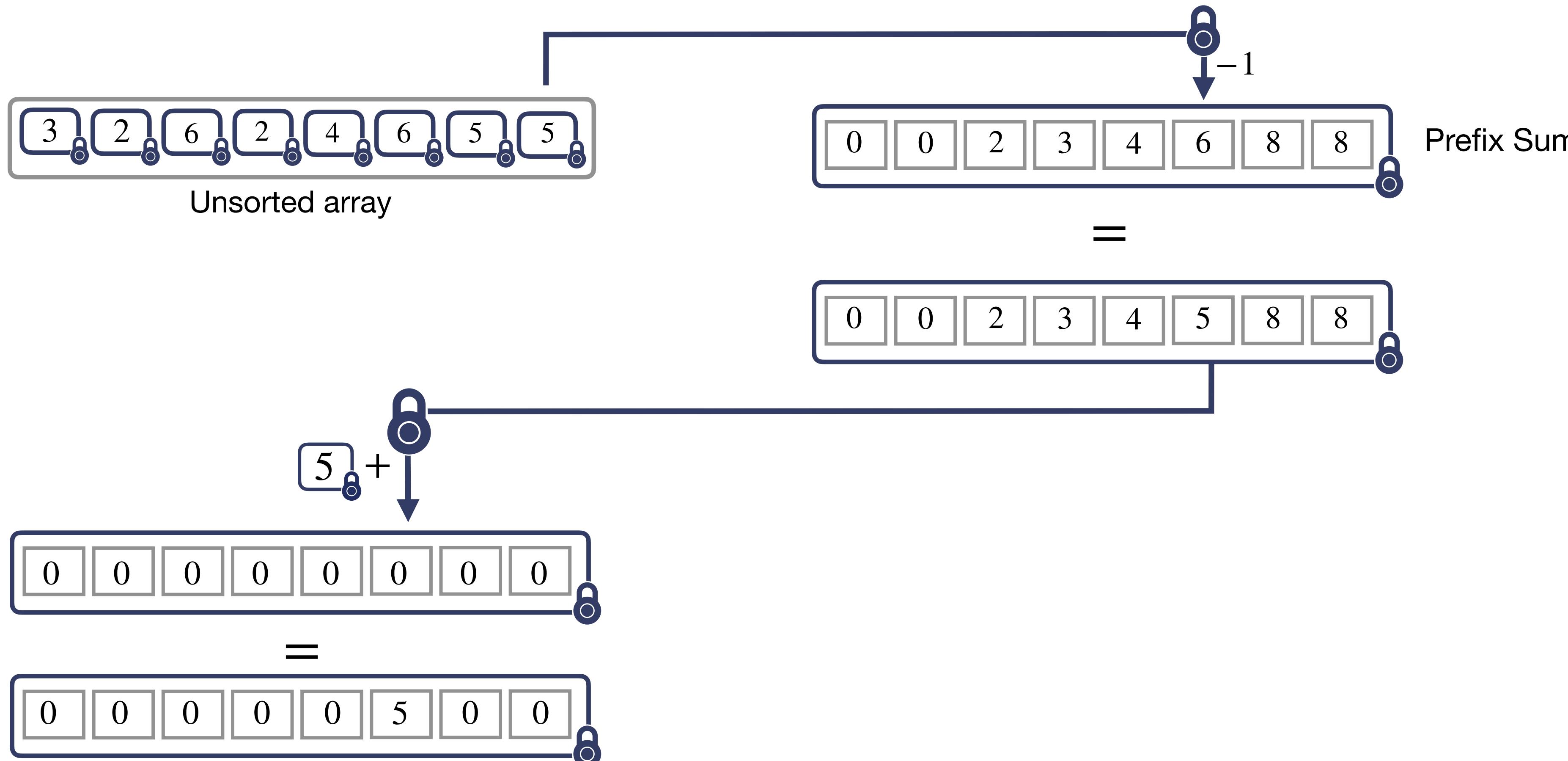
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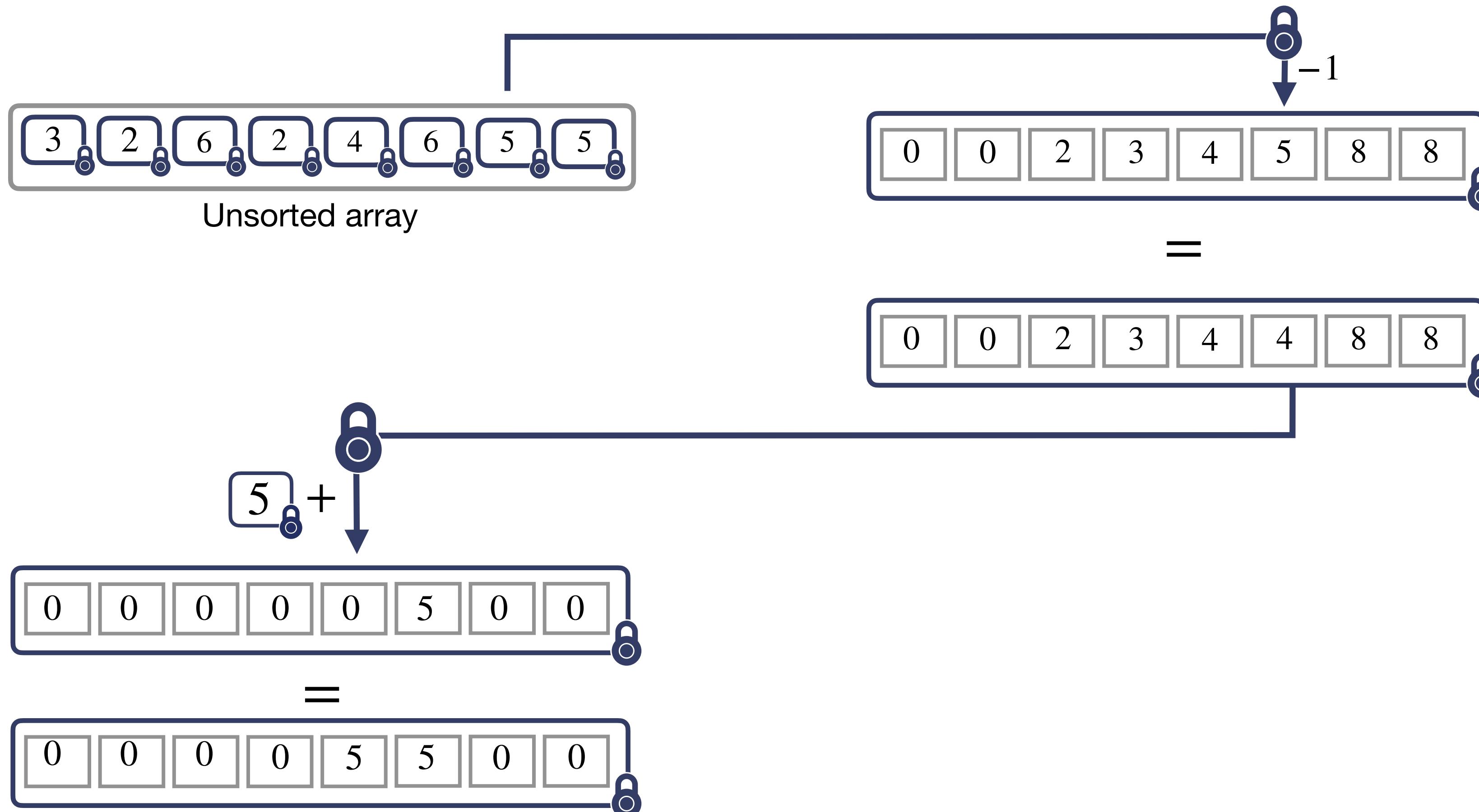
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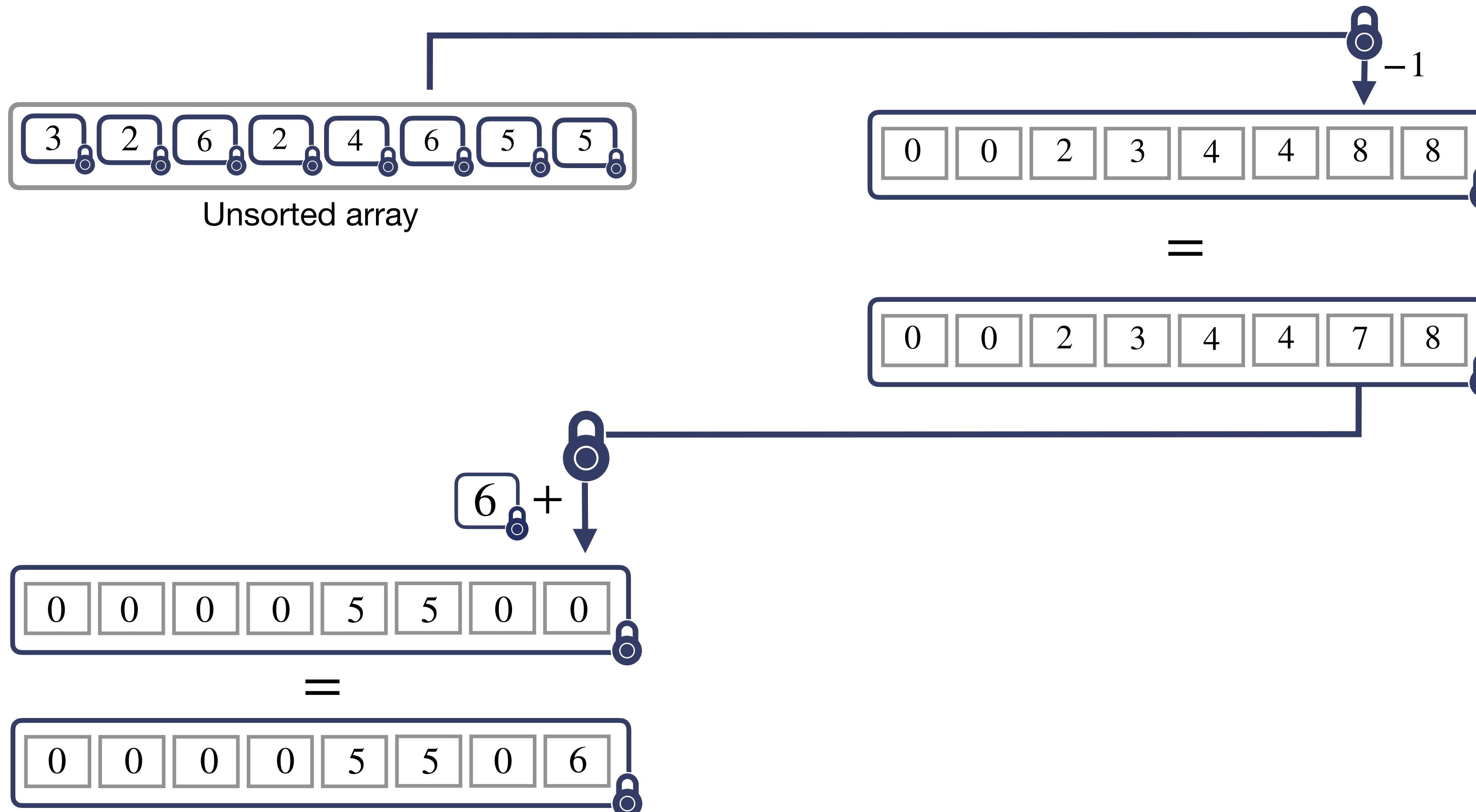
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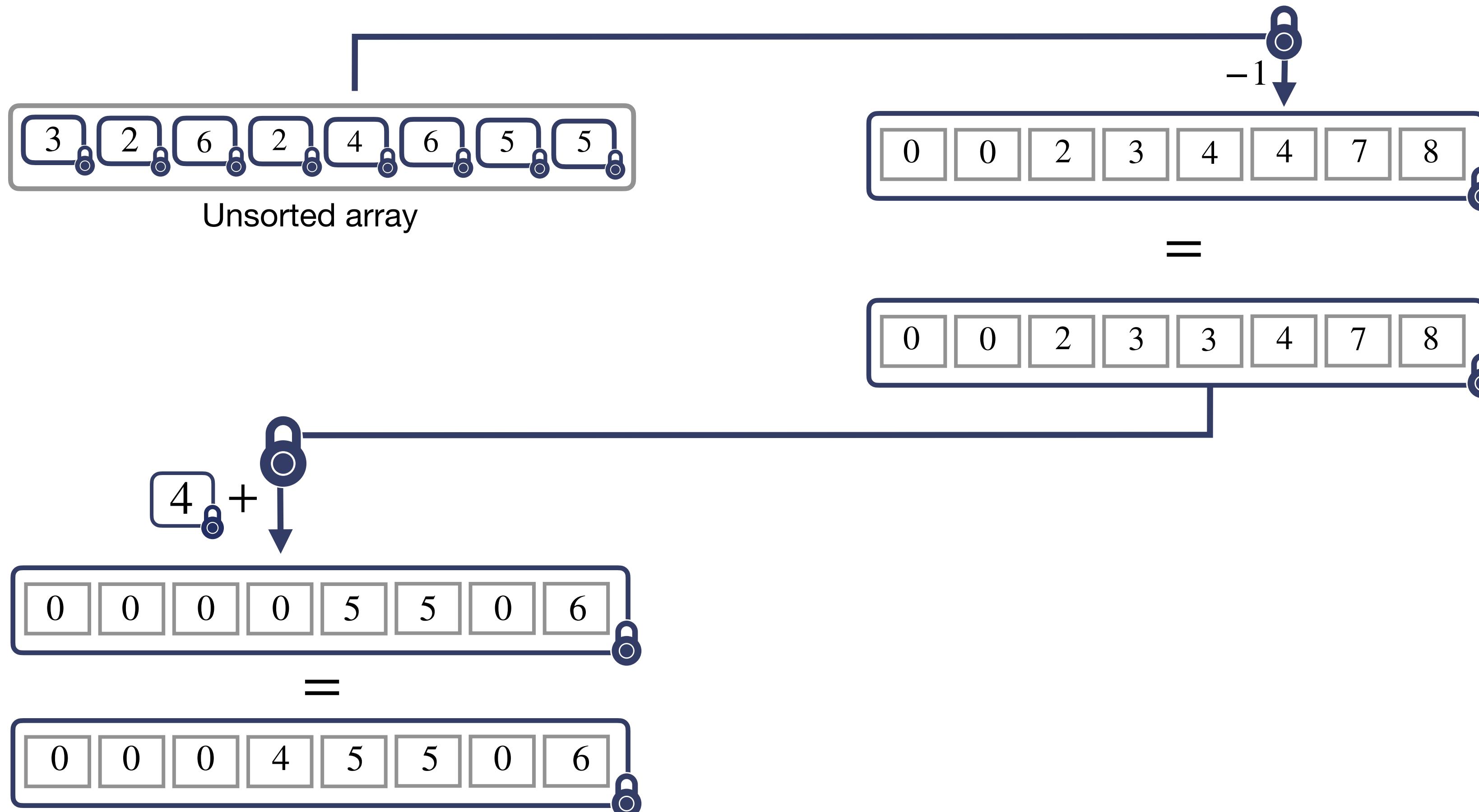
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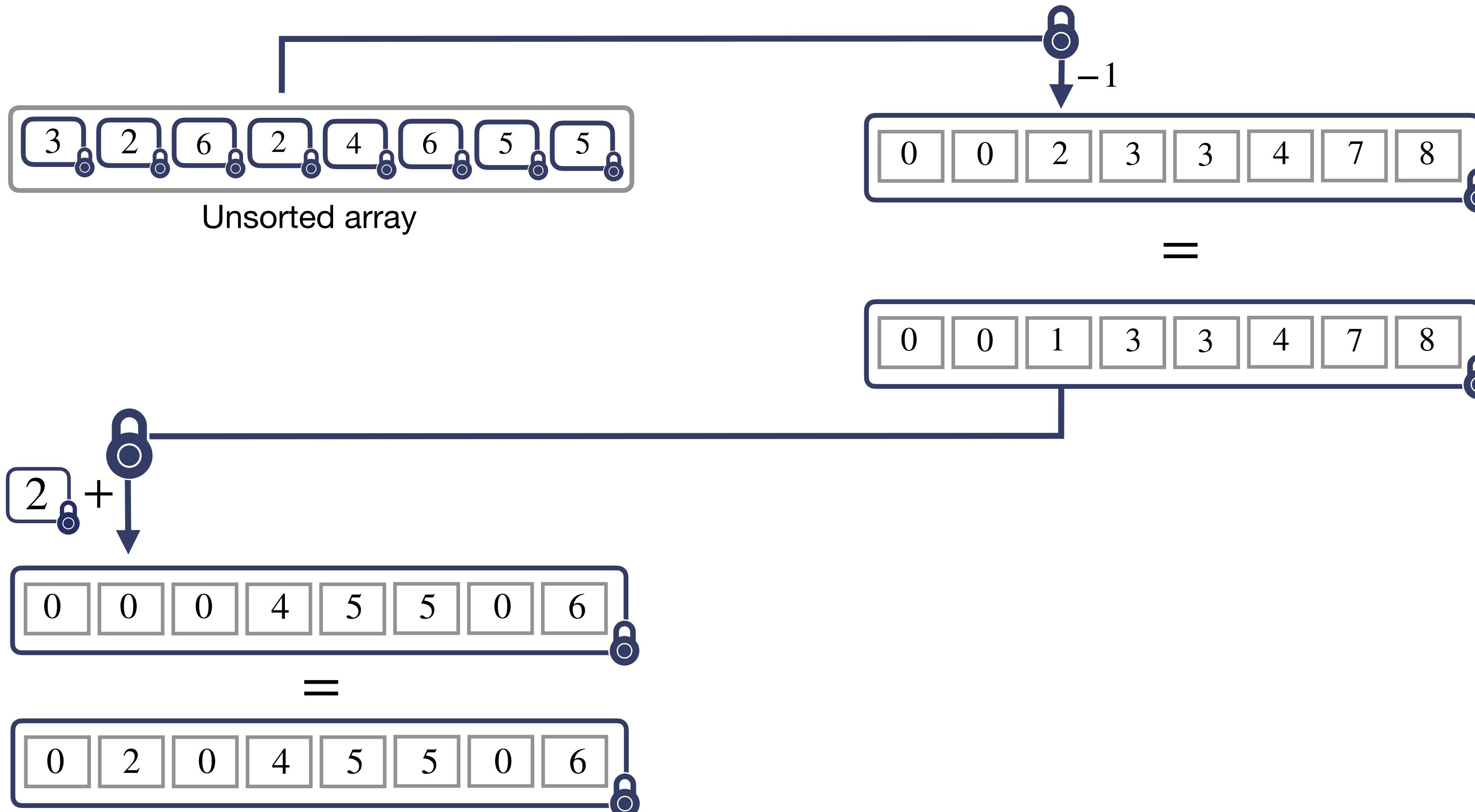
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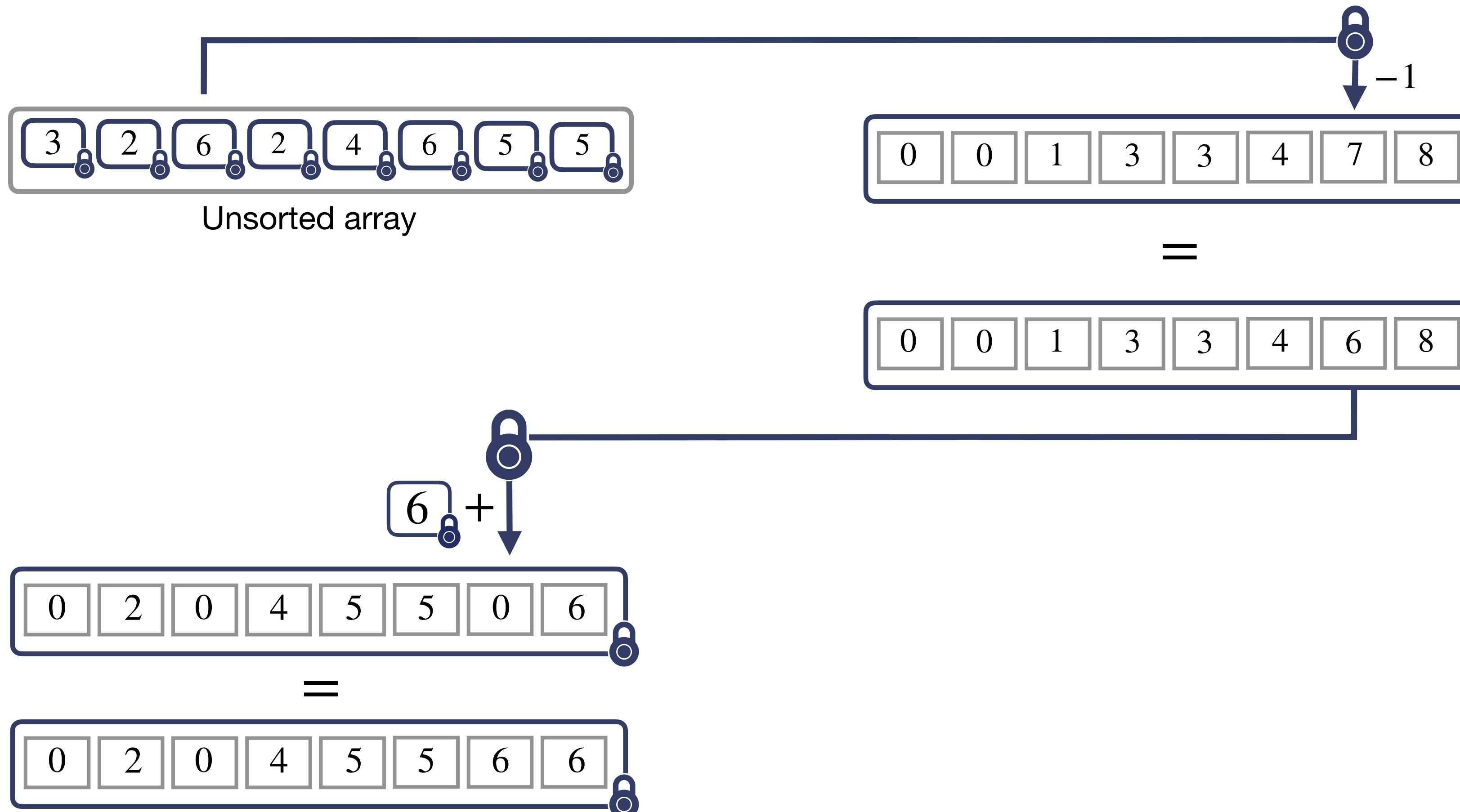
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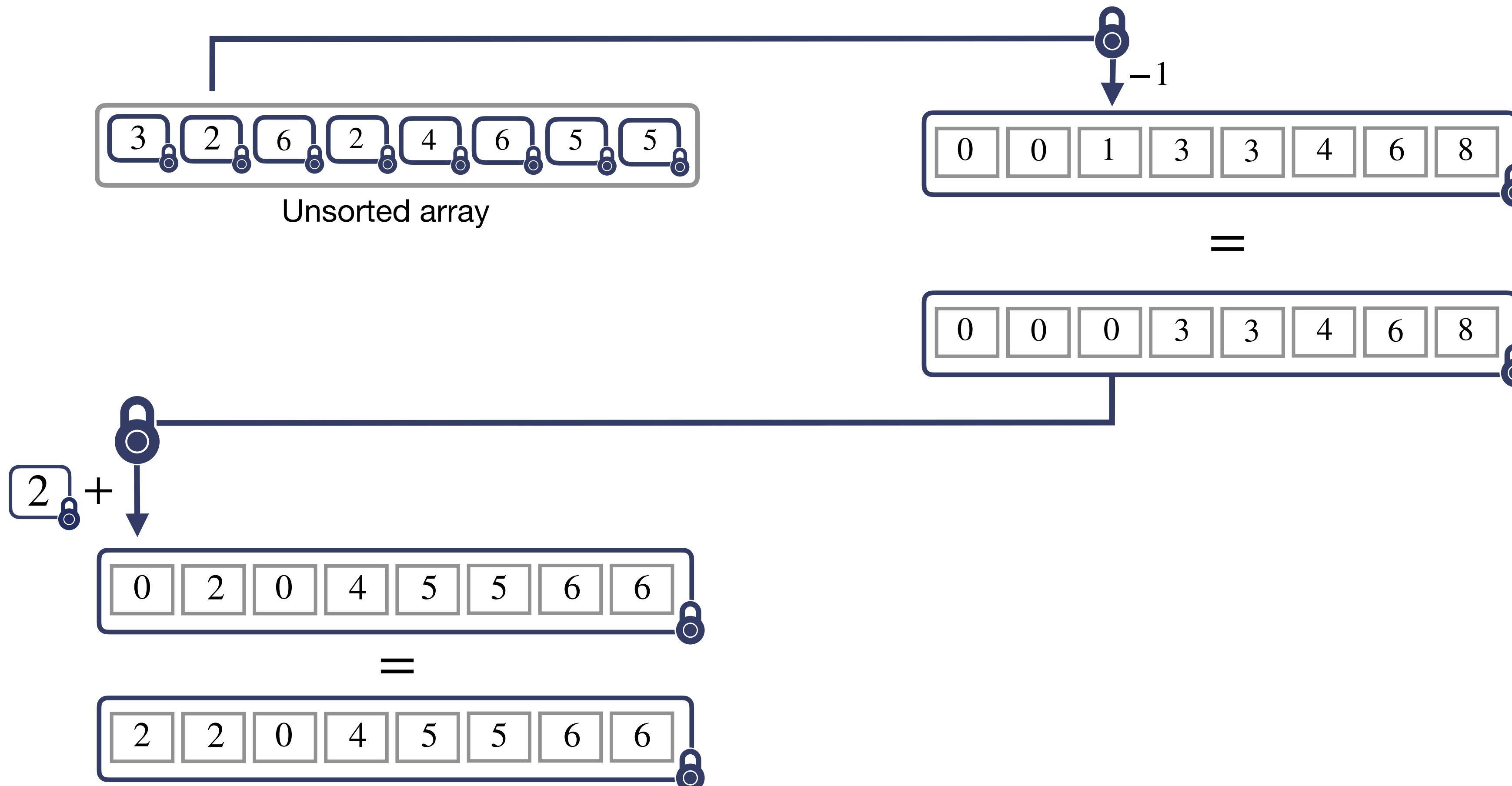
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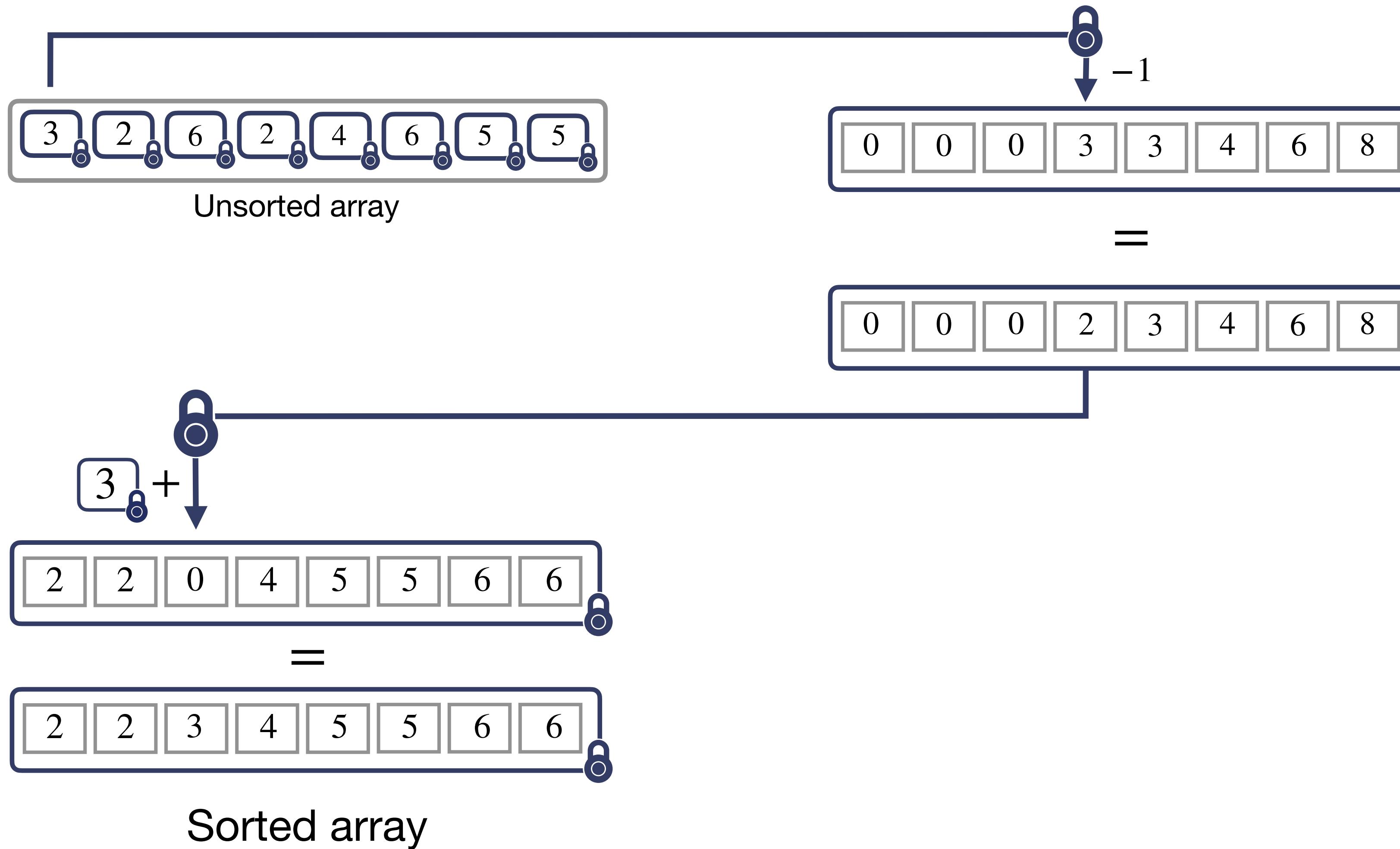
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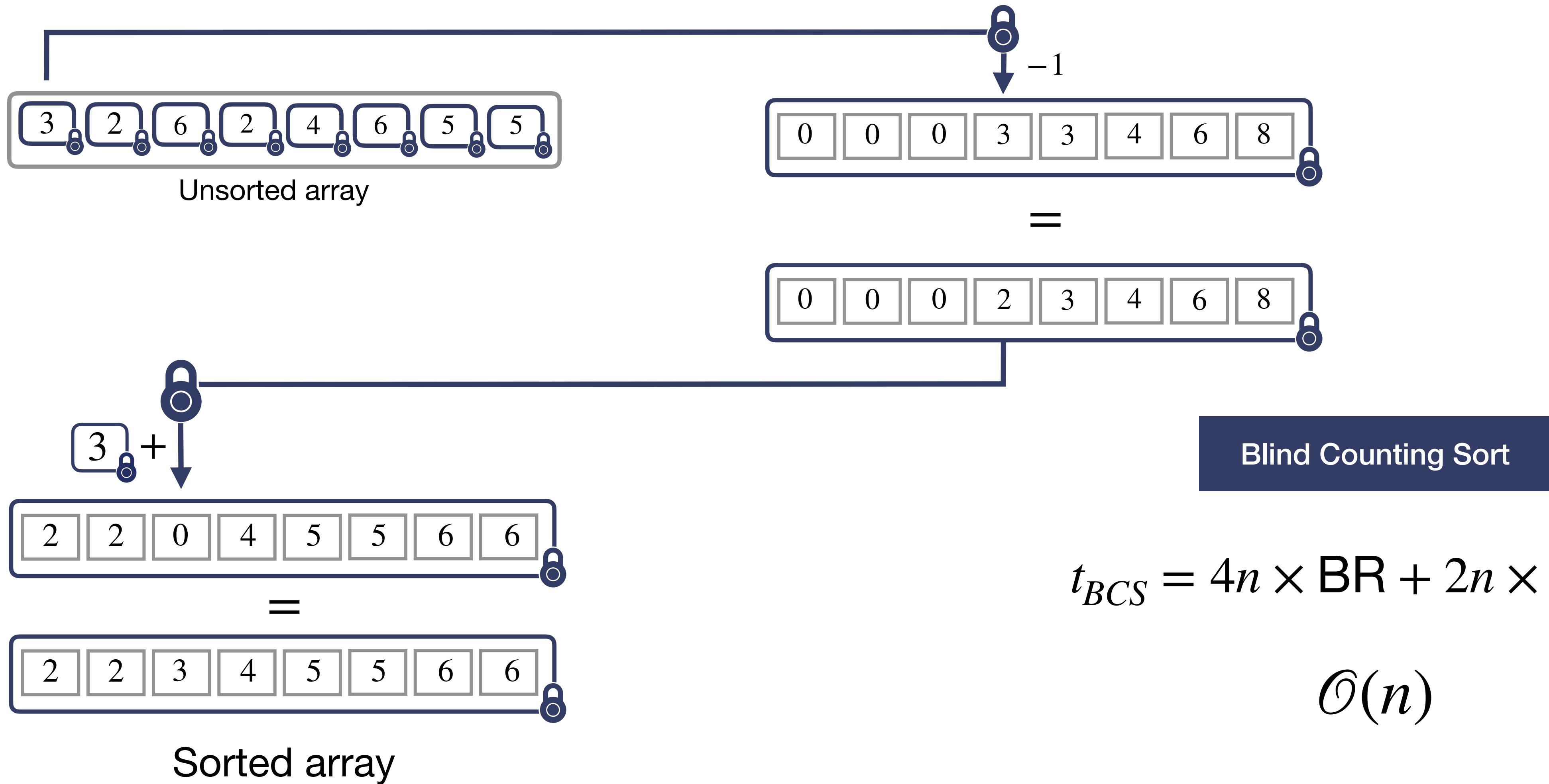
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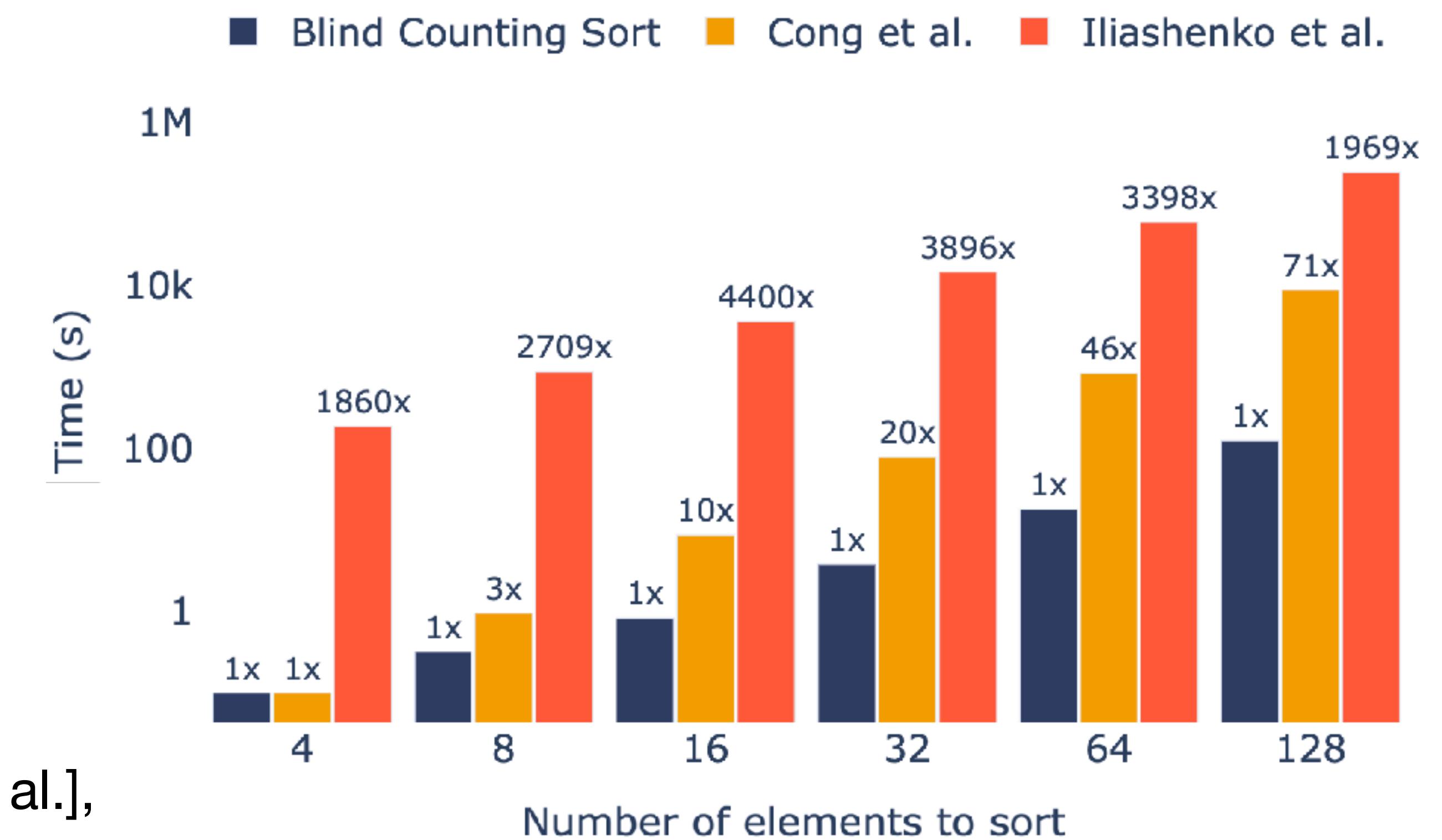
## Comparison with the SOA

Iliashenko-Zucca. :

- Direct Sort ( $\mathcal{O}(n^2)$ )
- BGV scheme (SIMD compatible)
- Faster comparison

Cong et al. :

- Batcher Sort ( $\mathcal{O}(n \log(n))$ )
- TFHE scheme
- Build on the comparison method by [Zuber et al.], which needs **an extra bit of precision**.



[Iliashenko-Zucca.] : Faster homomorphic comparison operations for BGV and BFV, PoPETs, 2021(3), 246–264.

[Cong et al.] : Revisiting Oblivious Top-k Selection with Applications to Secure k-NN Classification, SAC 2024, LNCS 15516.

[Zuber et al.] : Efficient and accurate homomorphic comparisons, WHAC 2022, pp. 35–46.

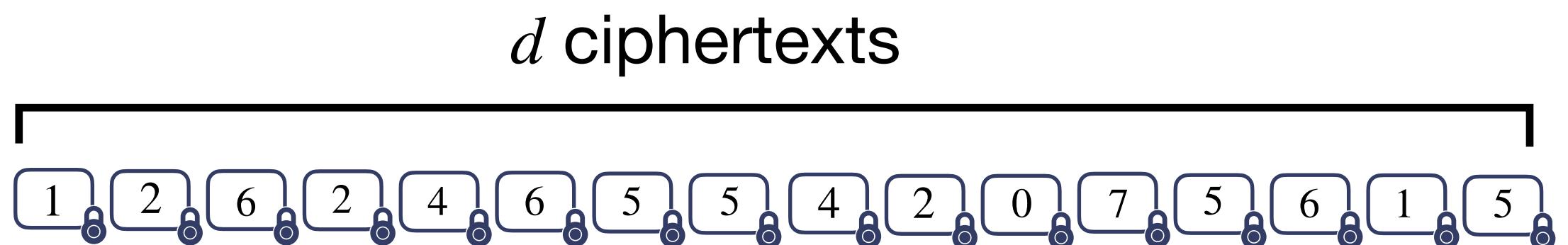
# Blind Top- $k$

# **Blind Top- $k$**

## **BCS used in a tournament**

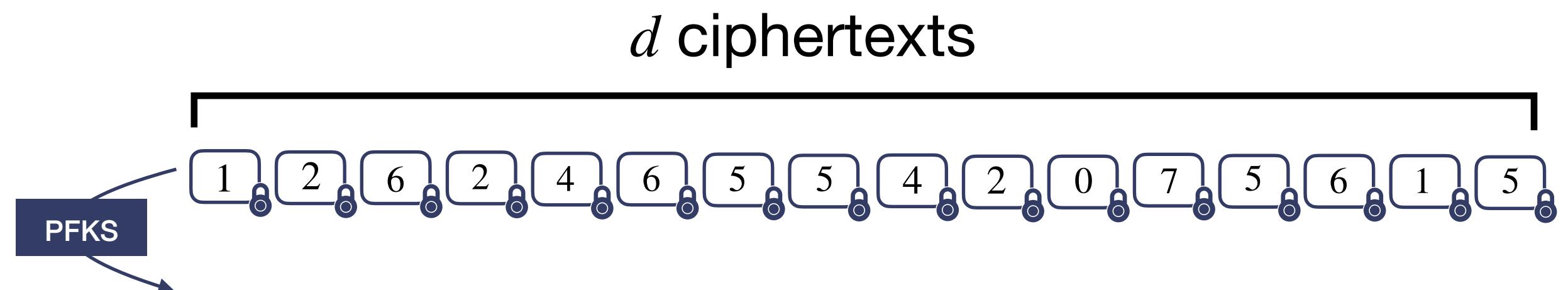
# Blind Top- $k$ BCS used in a tournament

$k = 3$



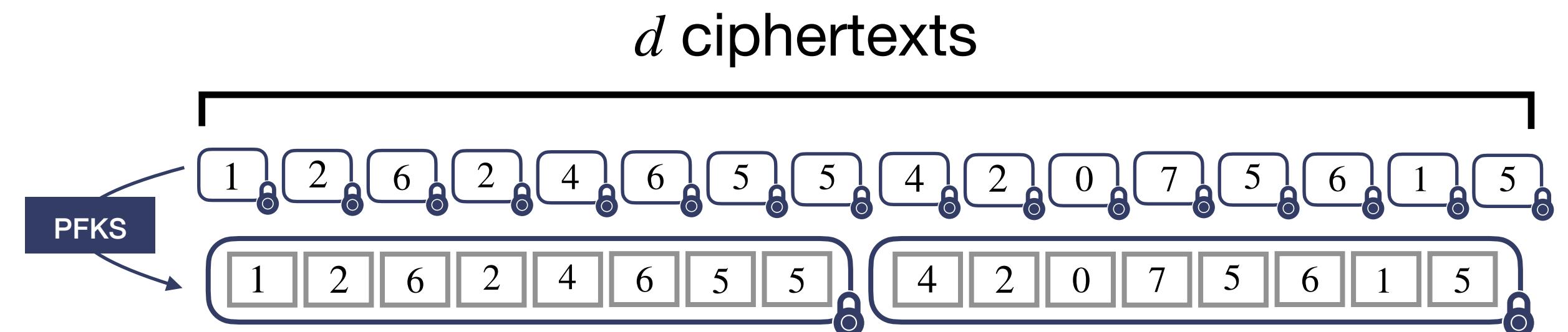
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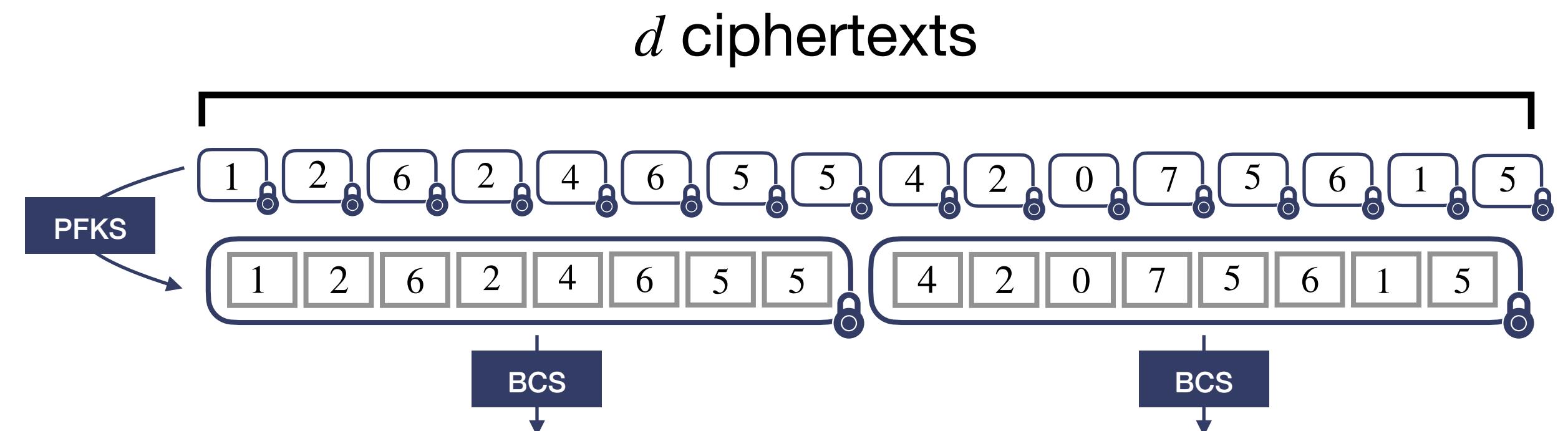
# Blind Top- $k$ BCS used in a tournament

$k = 3$



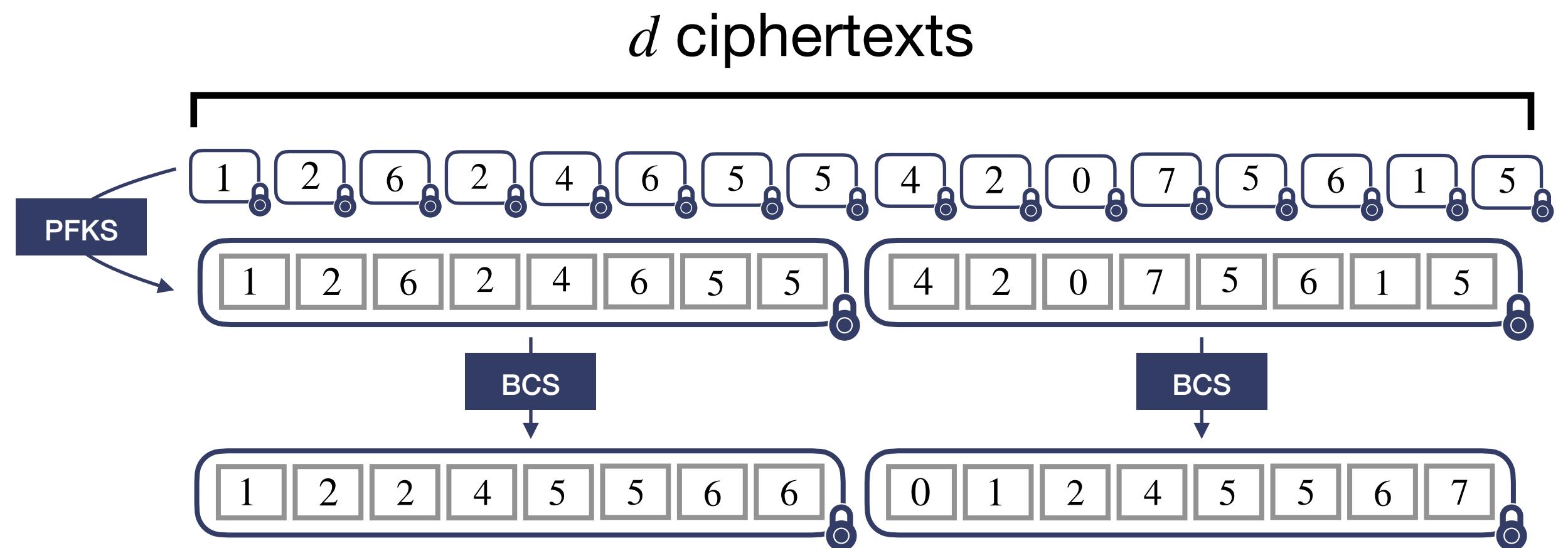
# Blind Top- $k$ BCS used in a tournament

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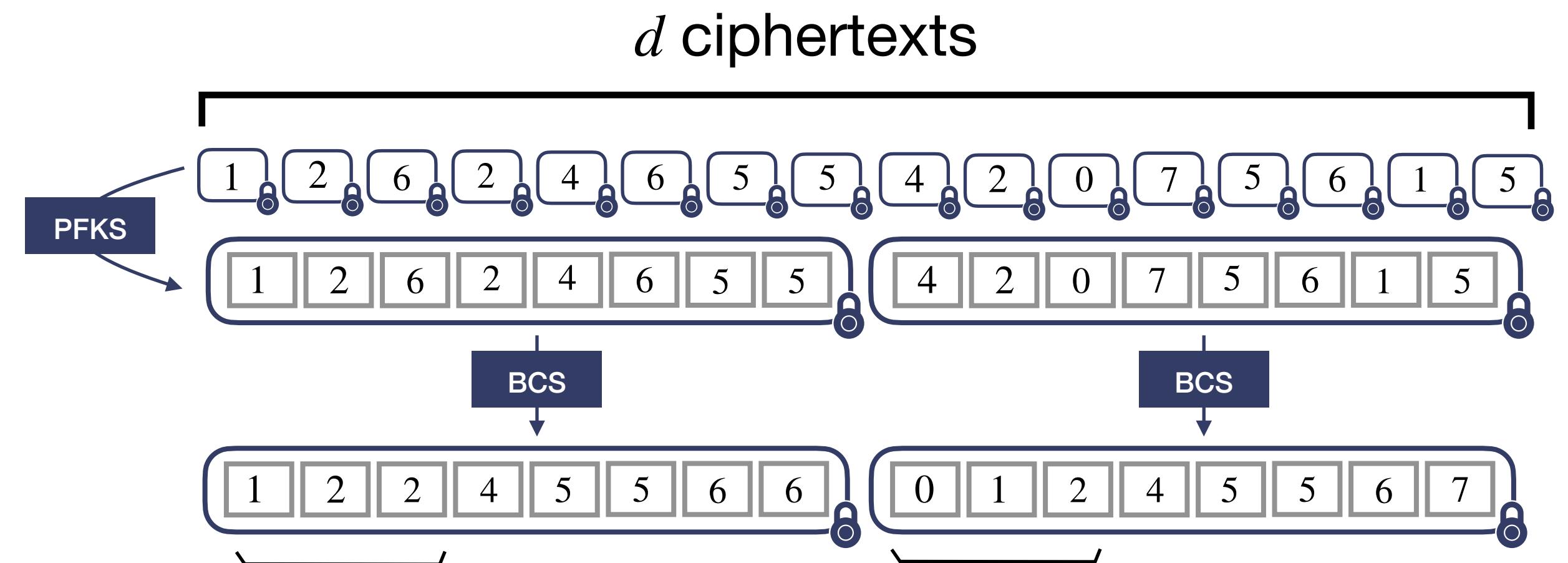
# Blind Top- $k$ BCS used in a tournament

$k = 3$



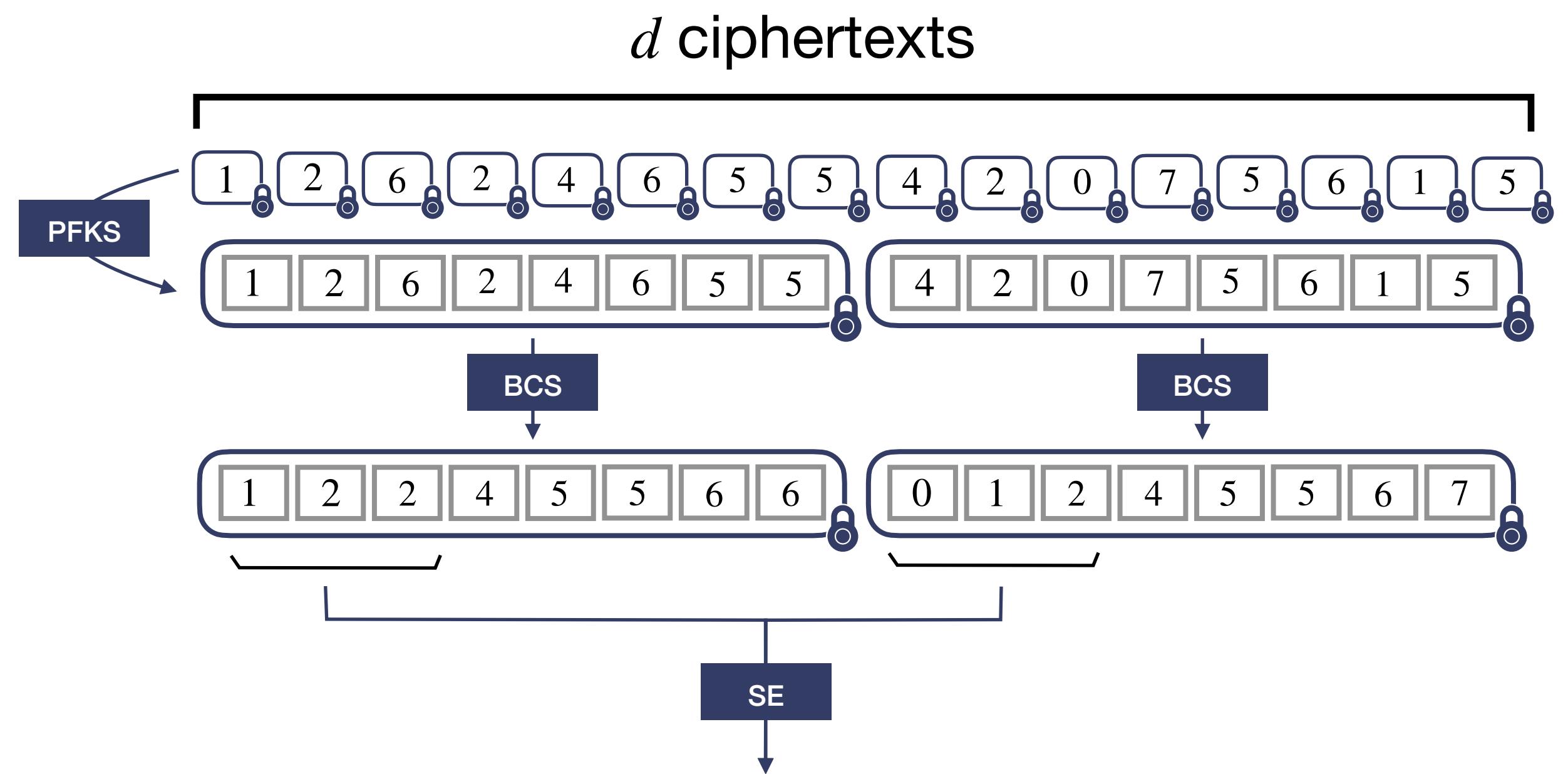
# Blind Top- $k$ BCS used in a tournament

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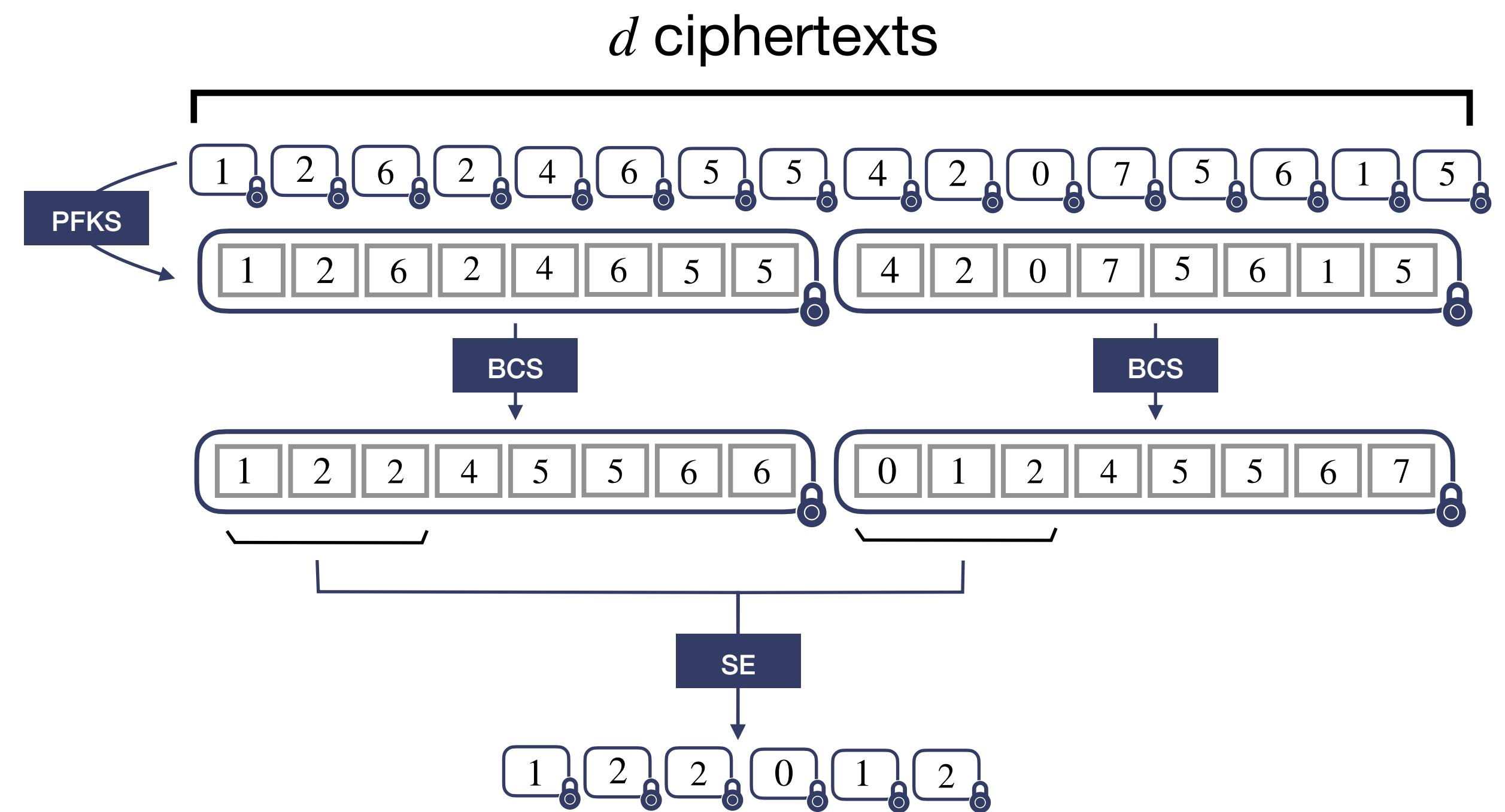
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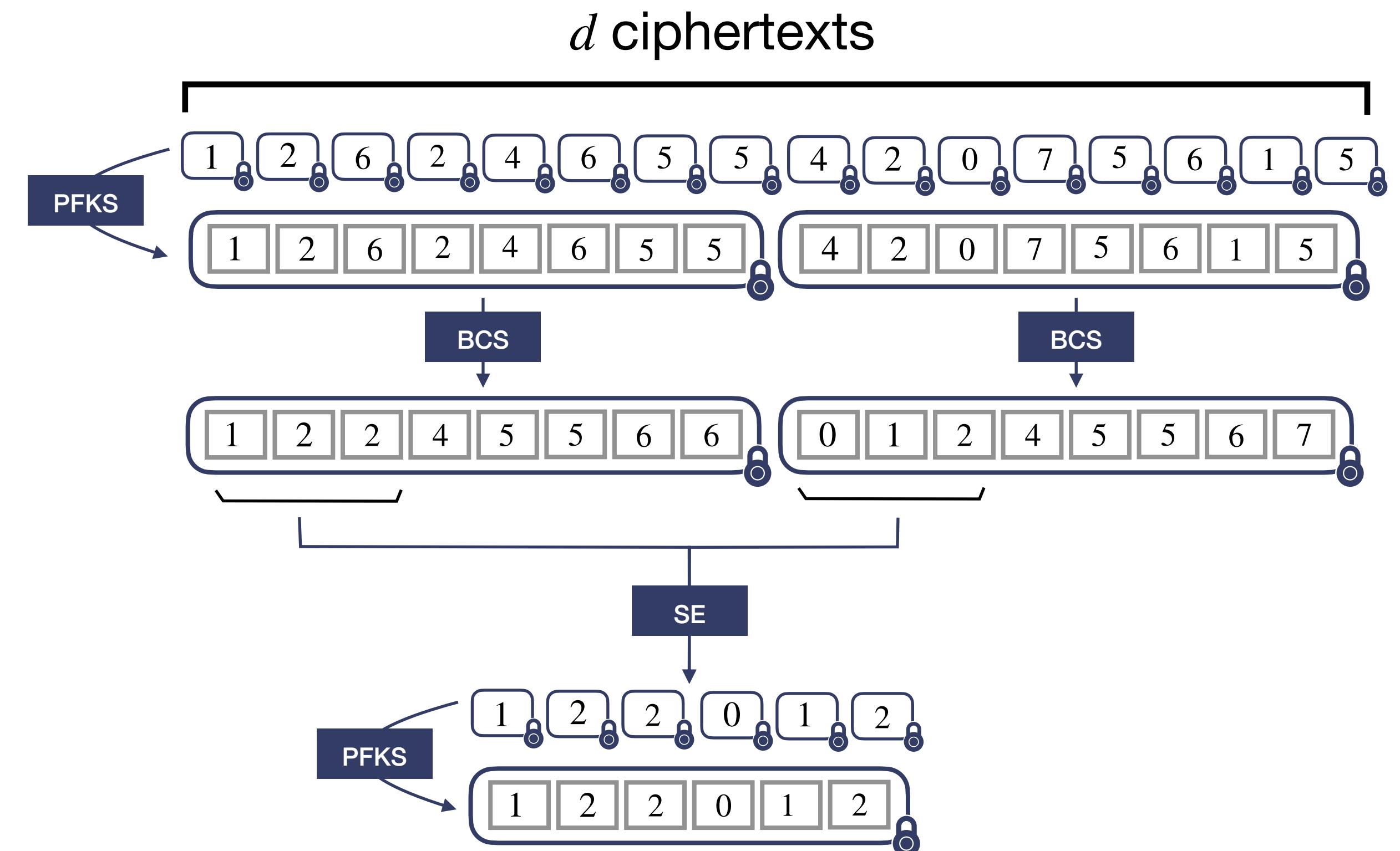
# Blind Top- $k$ BCS used in a tournament

$k = 3$



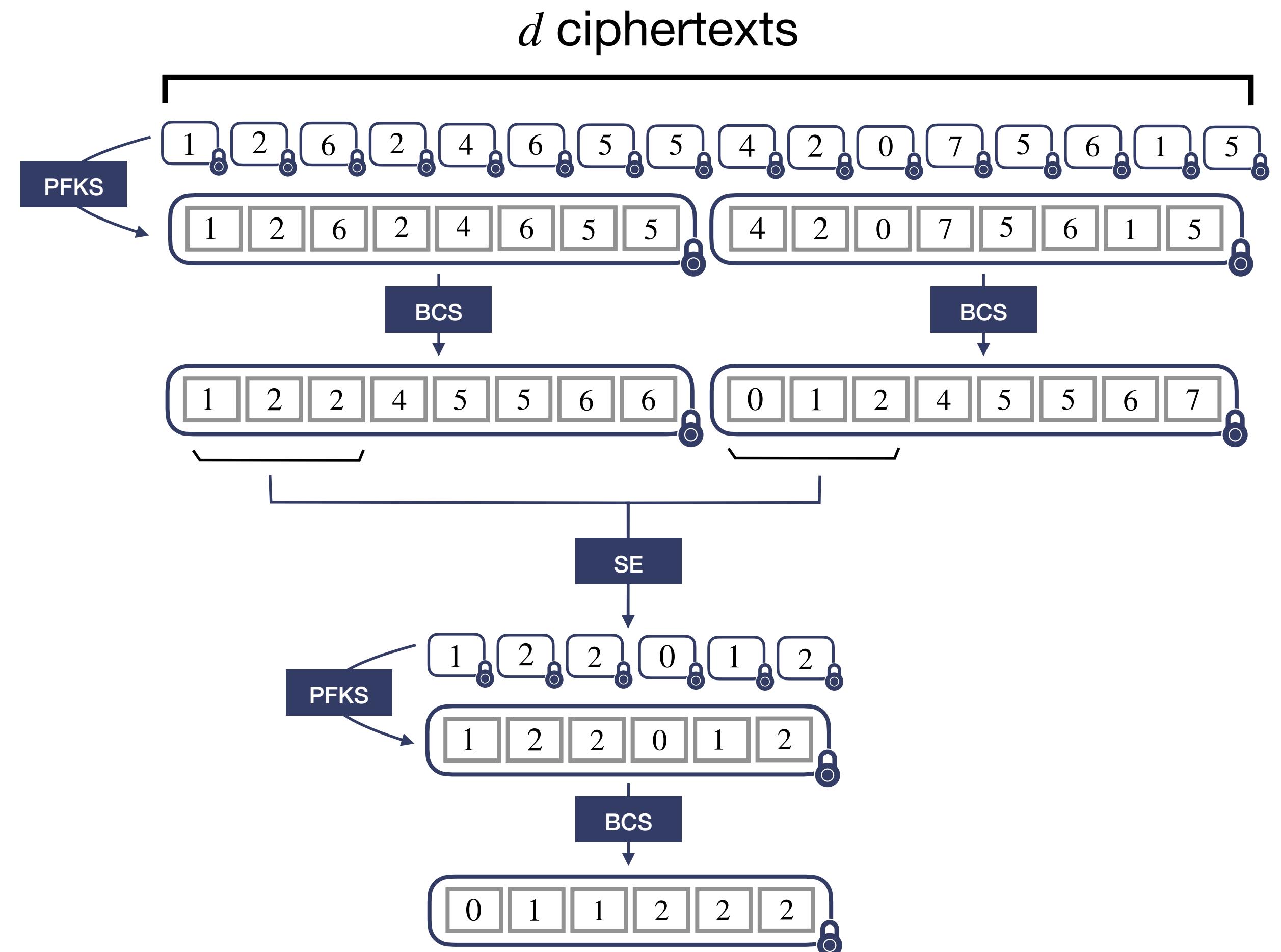
# Blind Top- $k$ BCS used in a tournament

$k = 3$



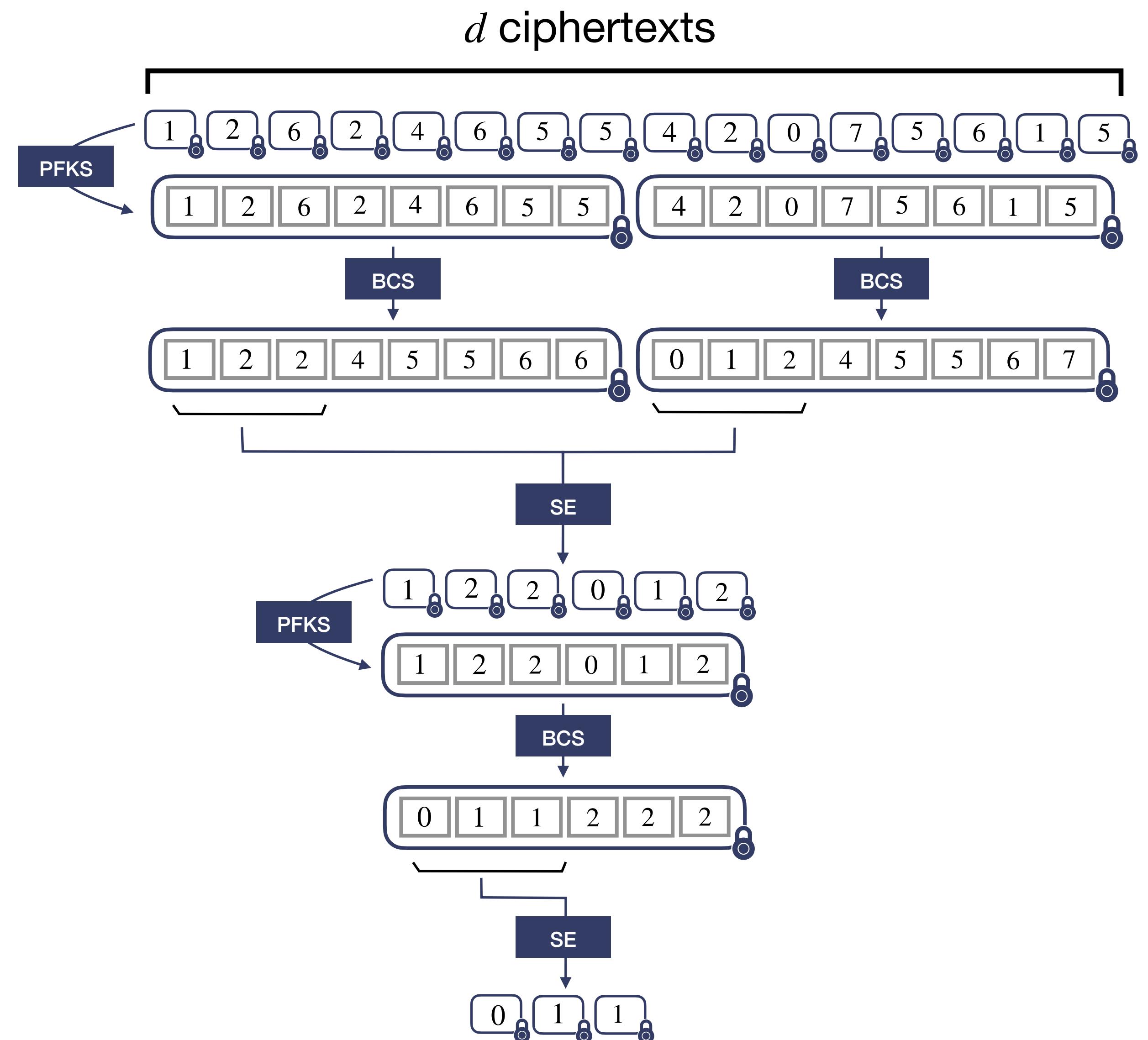
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# Blind Top- $k$ BCS used in a tournament

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# Blind Top- $k$ BCS used in a tournament

Blind Top- $k$

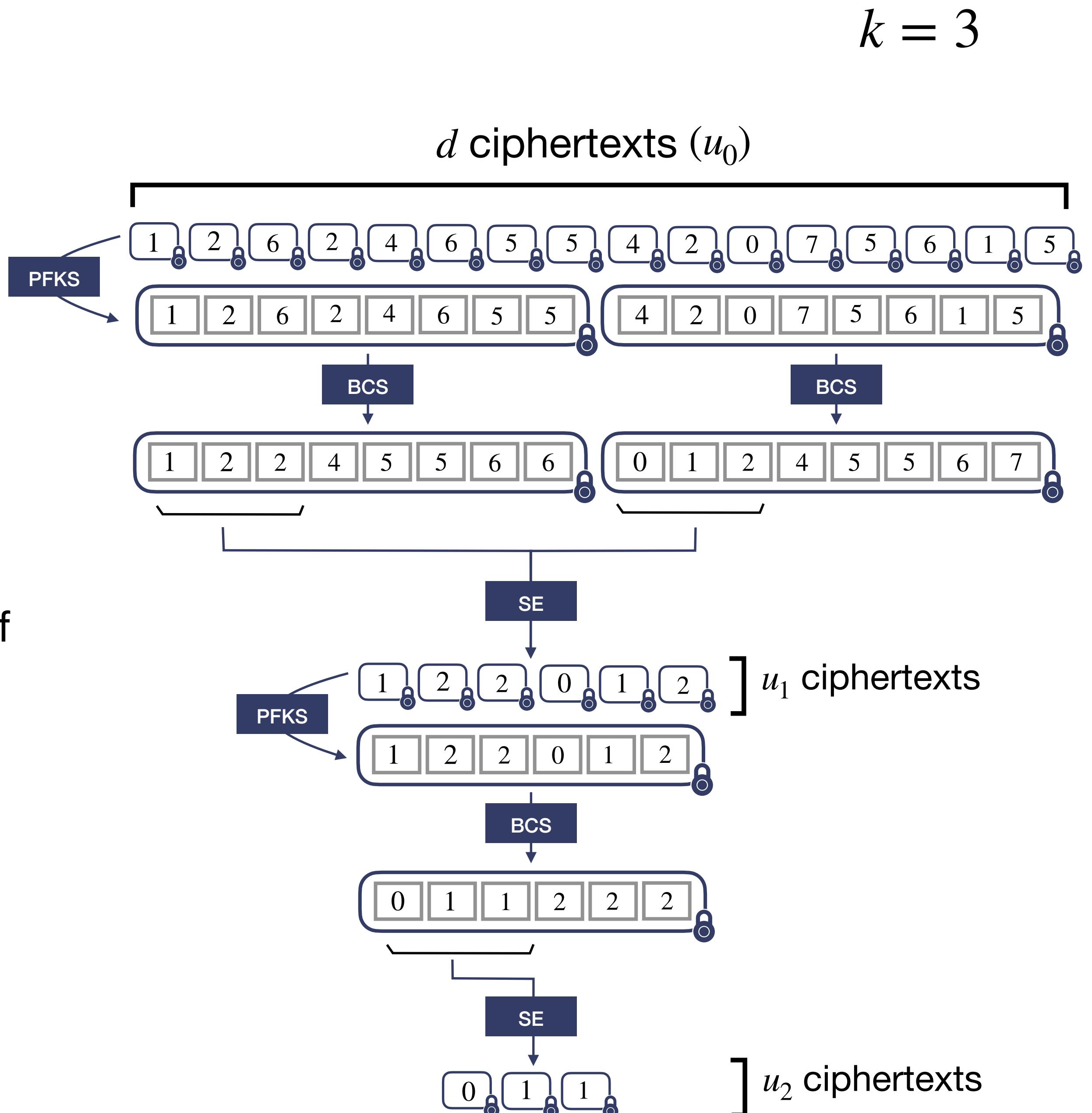
Total number of calls to BCS :

$$U = \left( \sum_{i=0}^{r-1} \left\lfloor \frac{u_i}{p} \right\rfloor \right) + 1$$

where  $r$  is the number of rounds and  $u_i$  the number of ciphertexts remaining after the  $i$ -th round.

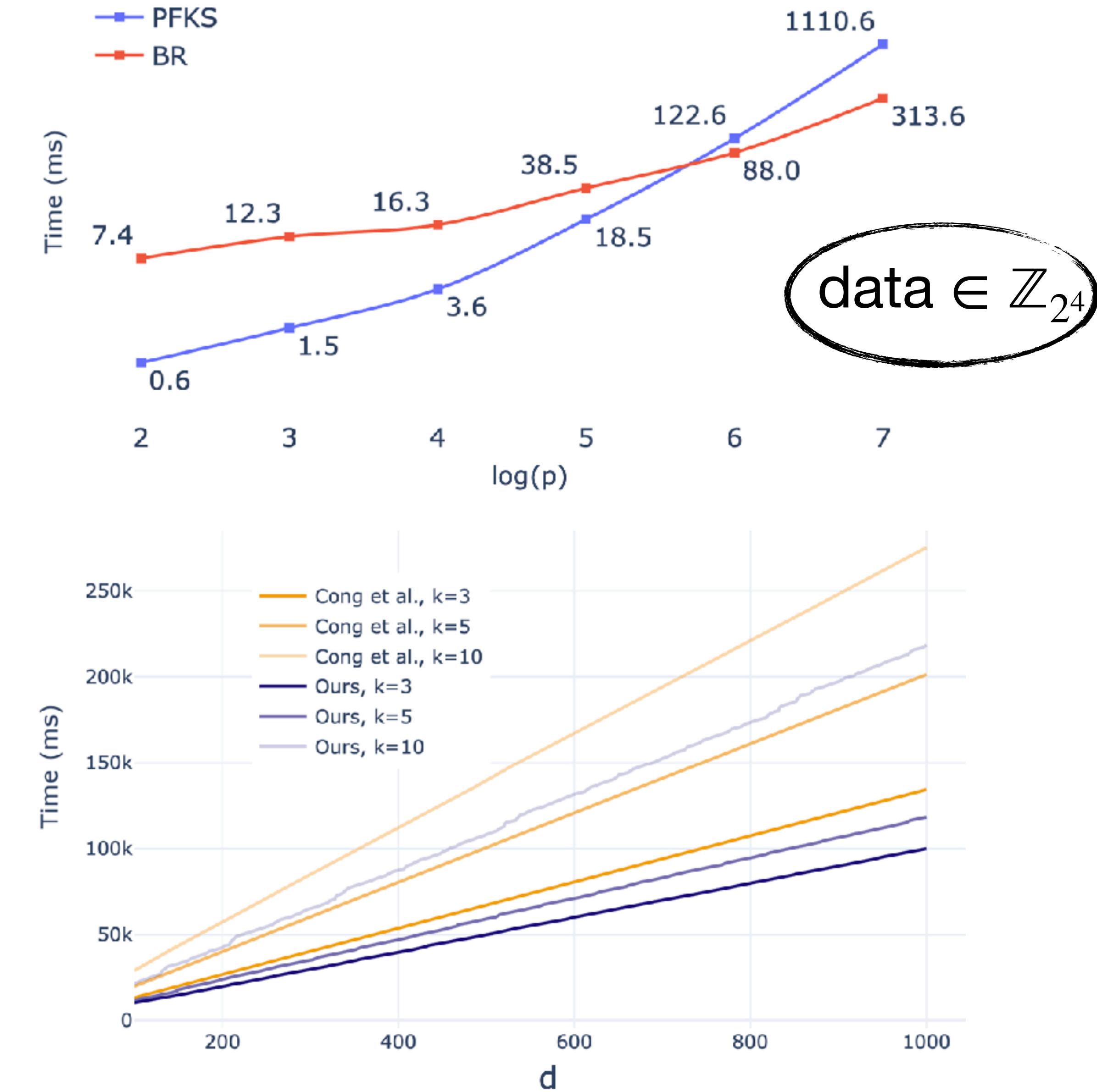
Therefore, focusing on BR and PFKS, we have :

$$t_{BlindTopk} = 4pU \times \text{BR} + (2pU + r) \times \text{PFKS}$$



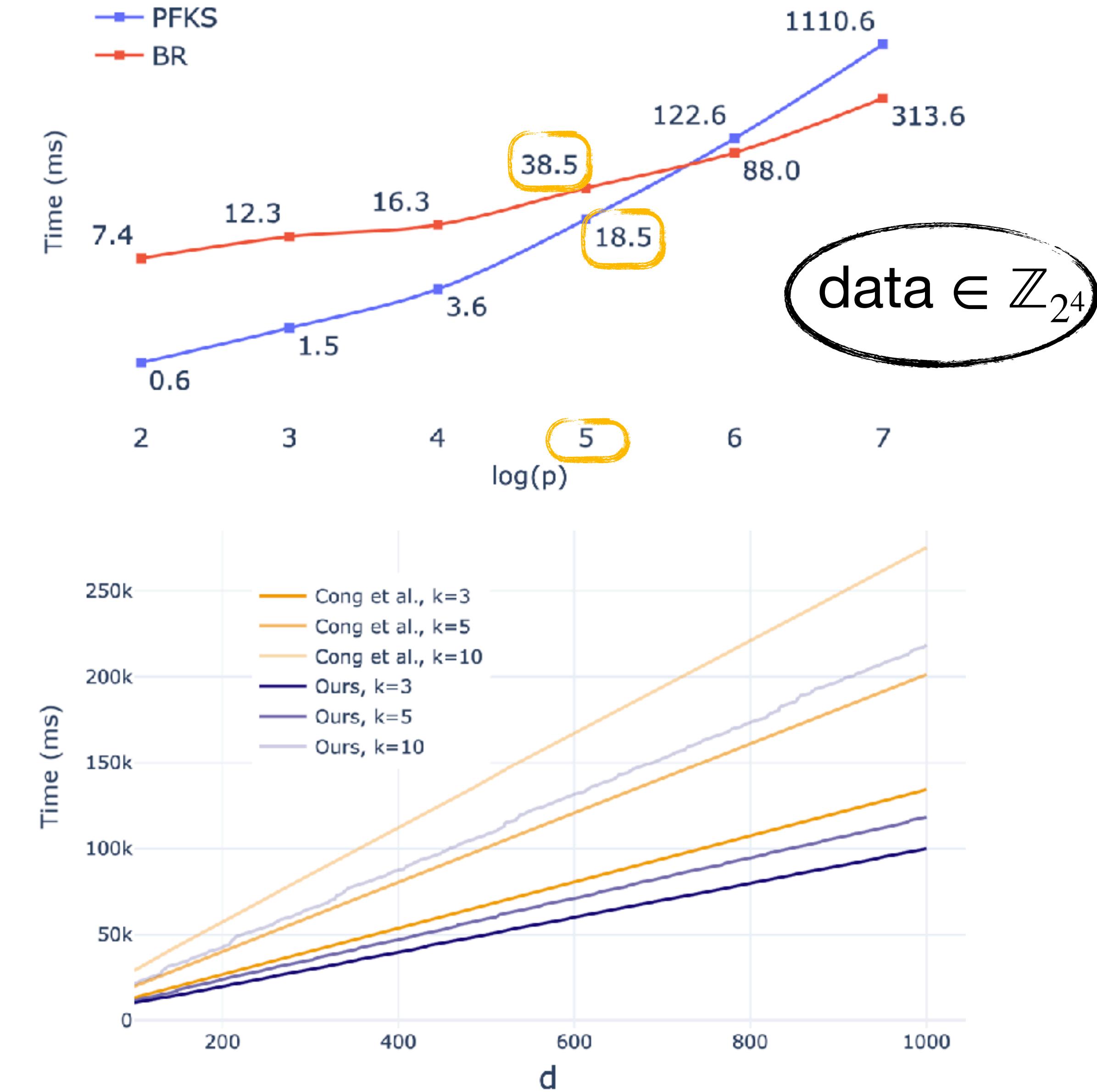
# Blind Top- $k$ Comparison with the SOA

$k$	$d$	Cong et al.		Ours	
		BR	PFKS	BR	PFKS
3	40	186	372	190	148
	175	862	1724	995	668
	269	1332	2664	1565	1022
	457	2272	4544	2775	1794
	1000	4986	9972	6060	3846
5	40	250	500	210	156
	175	1196	2392	1215	810
	269	1856	3712	1860	1212
	457	3172	6344	3200	2054
	1000	6970	13940	7225	4582



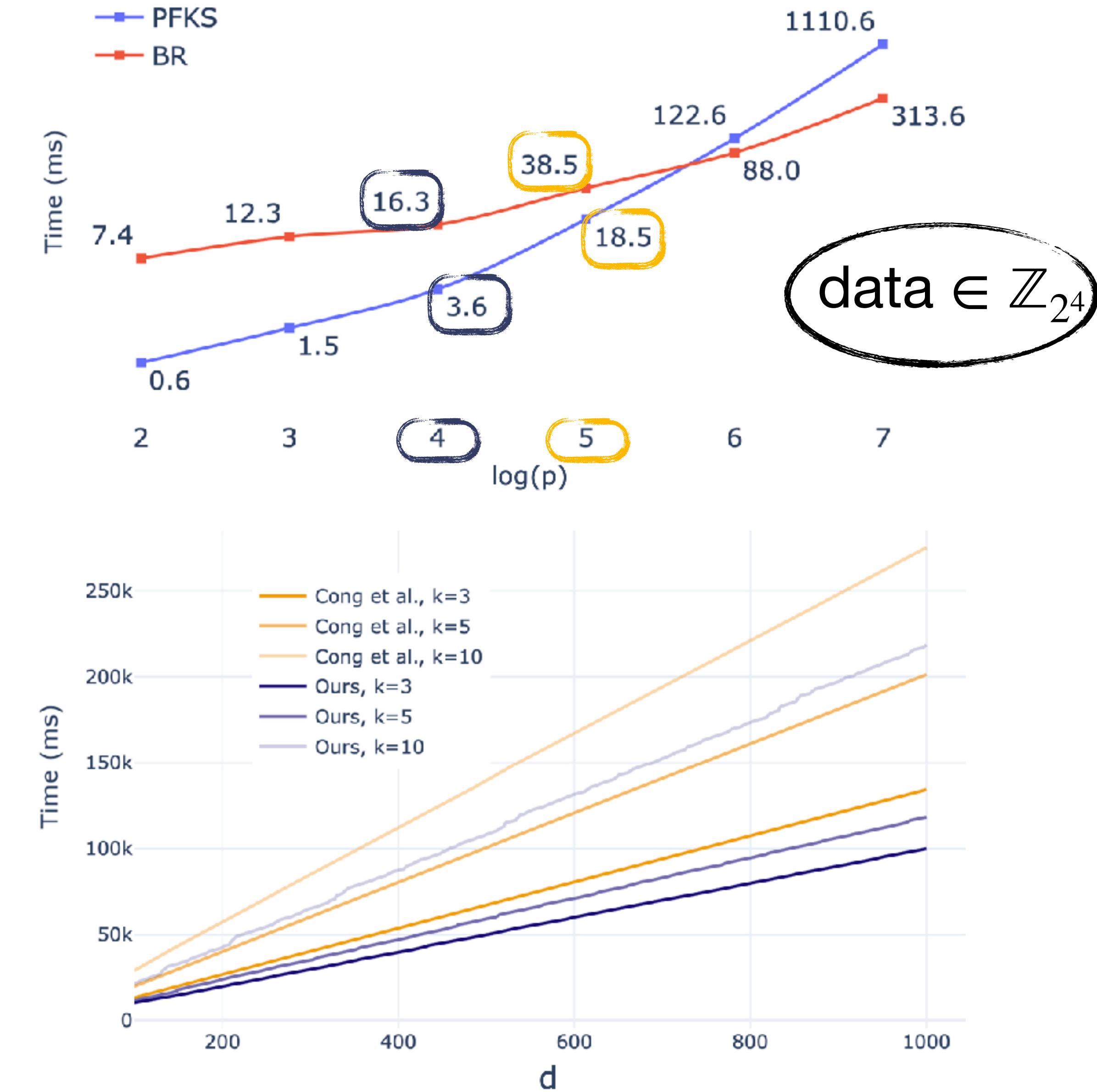
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# Private $k$ -Nearest Neighbours

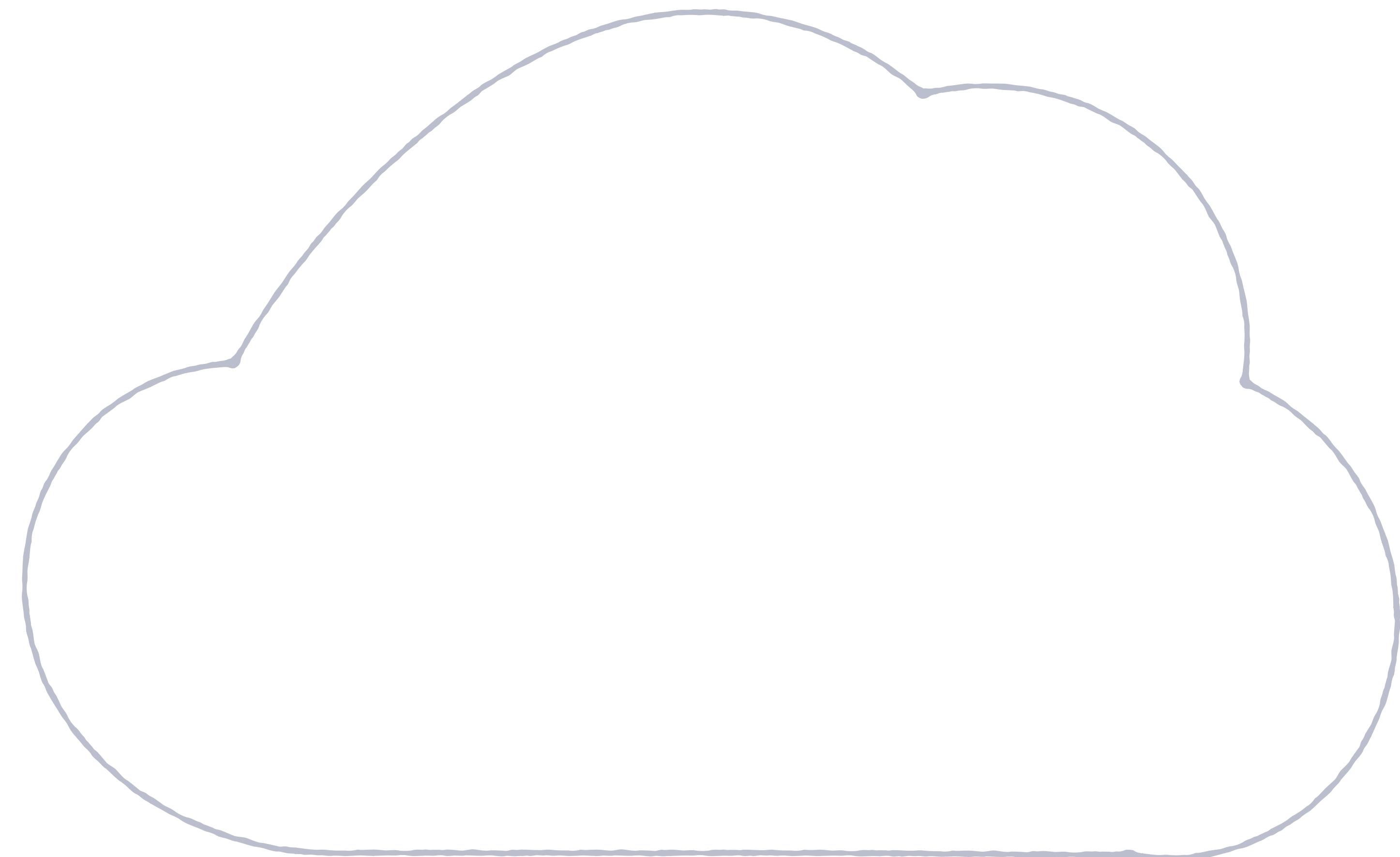
# **Private $k$ -Nearest Neighbours**

## **How it works in clear ?**

# Private $k$ -Nearest Neighbours

How it works in clear ?

Client



Cloud

# Private $k$ -Nearest Neighbours

How it works in clear ?

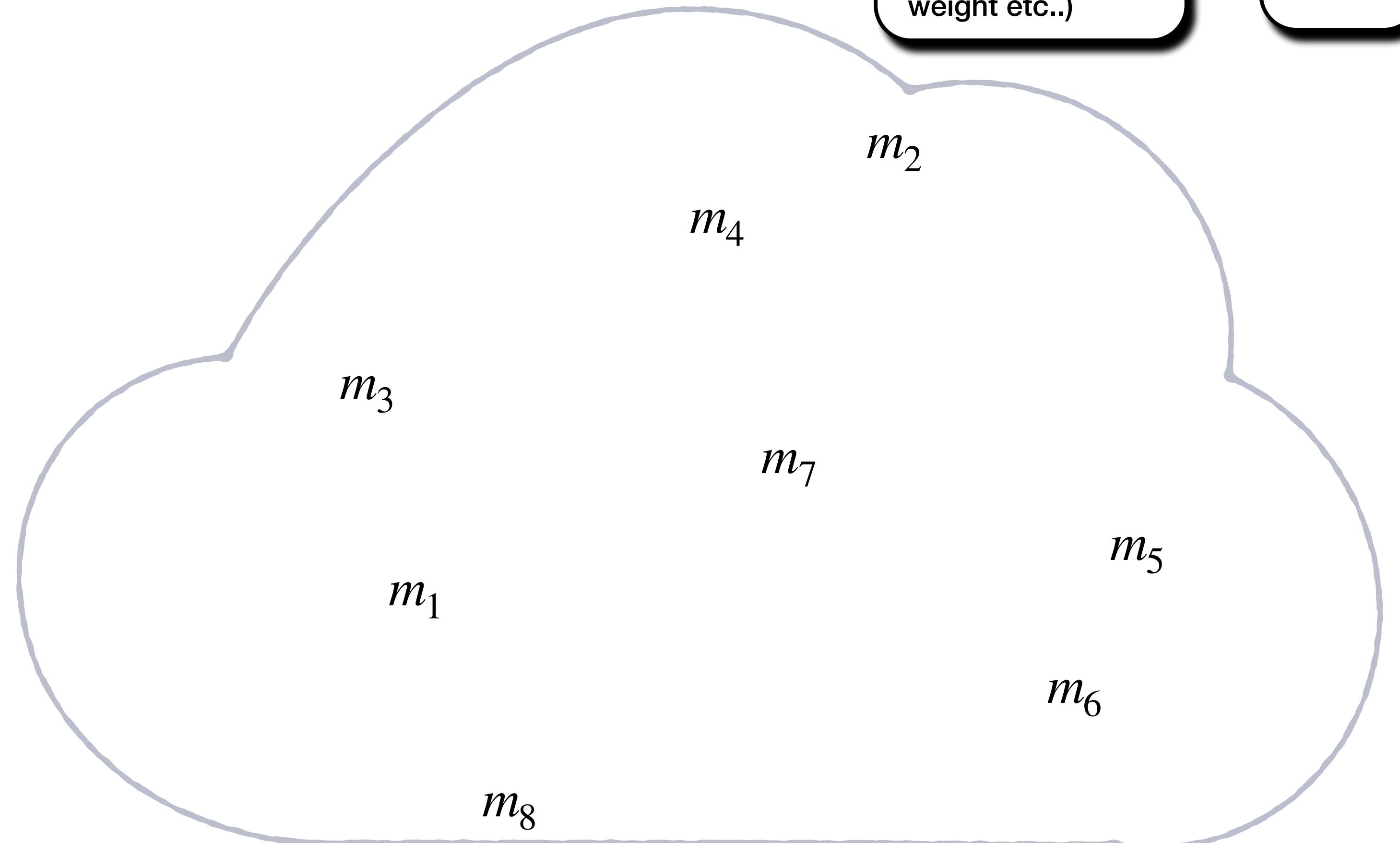
Client



$$m_i = (f_i, \ell_i)$$

Features (e.g age,  
weight etc..)

Label



Cloud

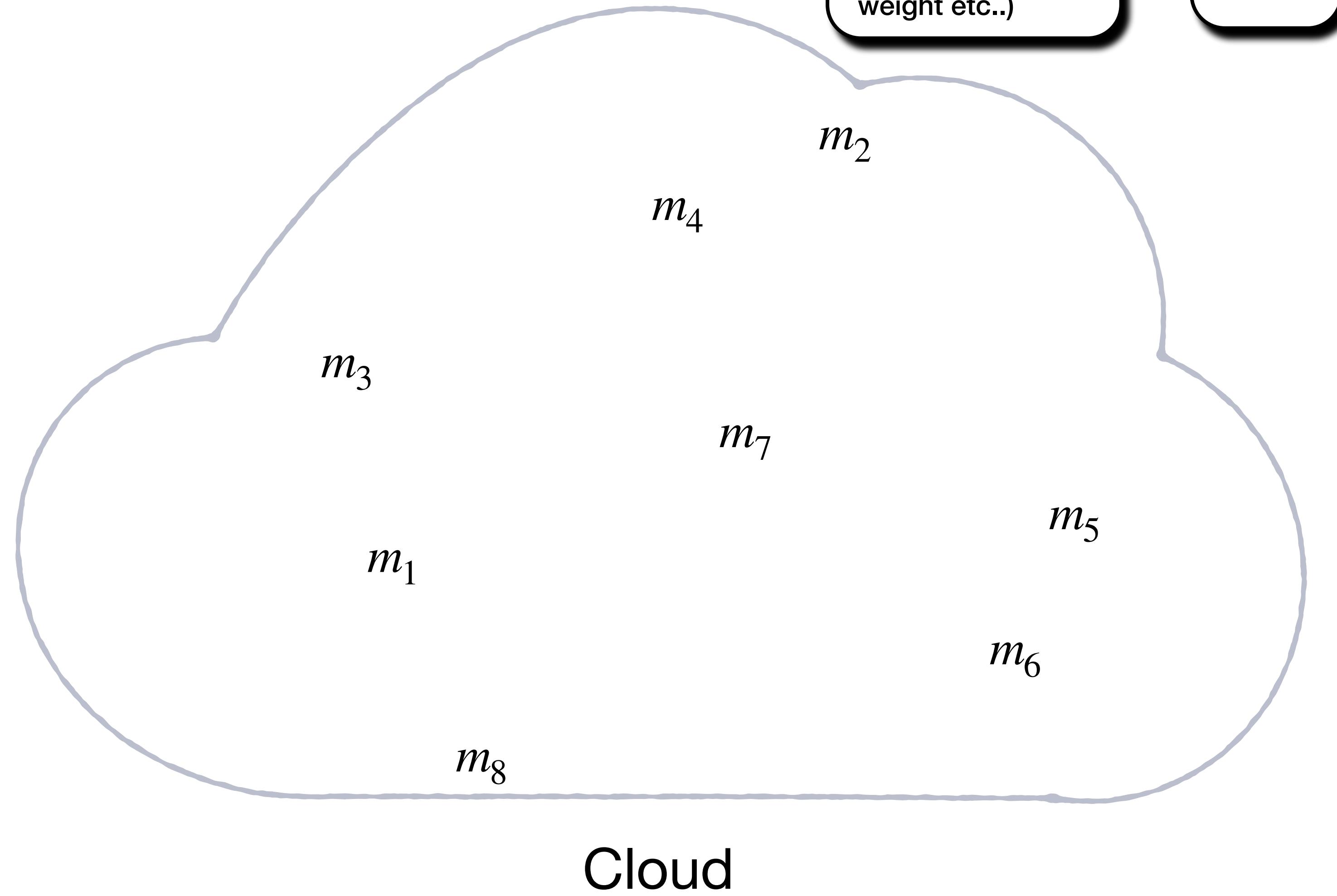
# Private $k$ -Nearest Neighbours

How it works in clear ?

Client



$x$



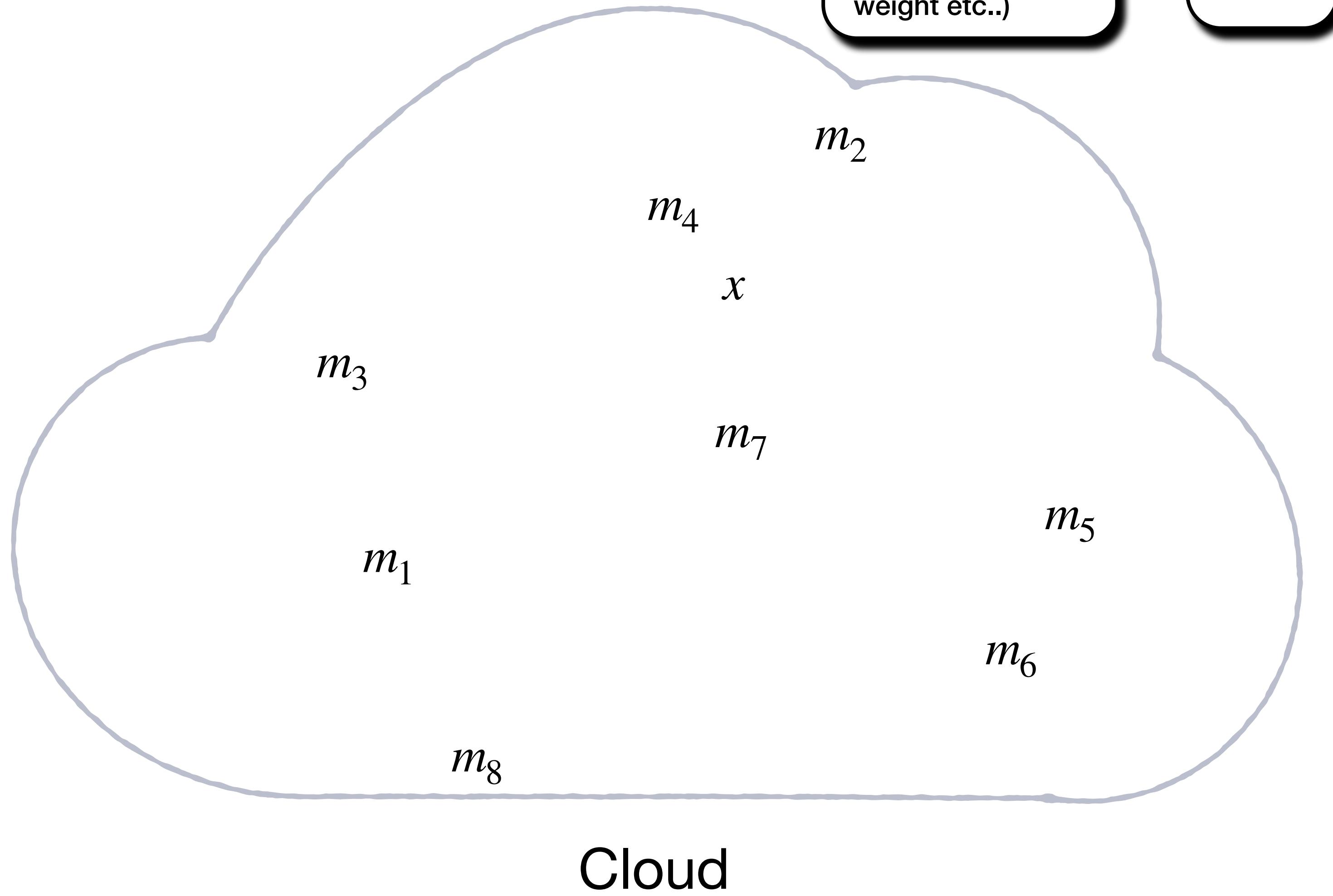
# Private $k$ -Nearest Neighbours

How it works in clear ?

Client



$x$



# Private $k$ -Nearest Neighbours

How it works in clear ?

$$m_i = (f_i, \ell_i)$$

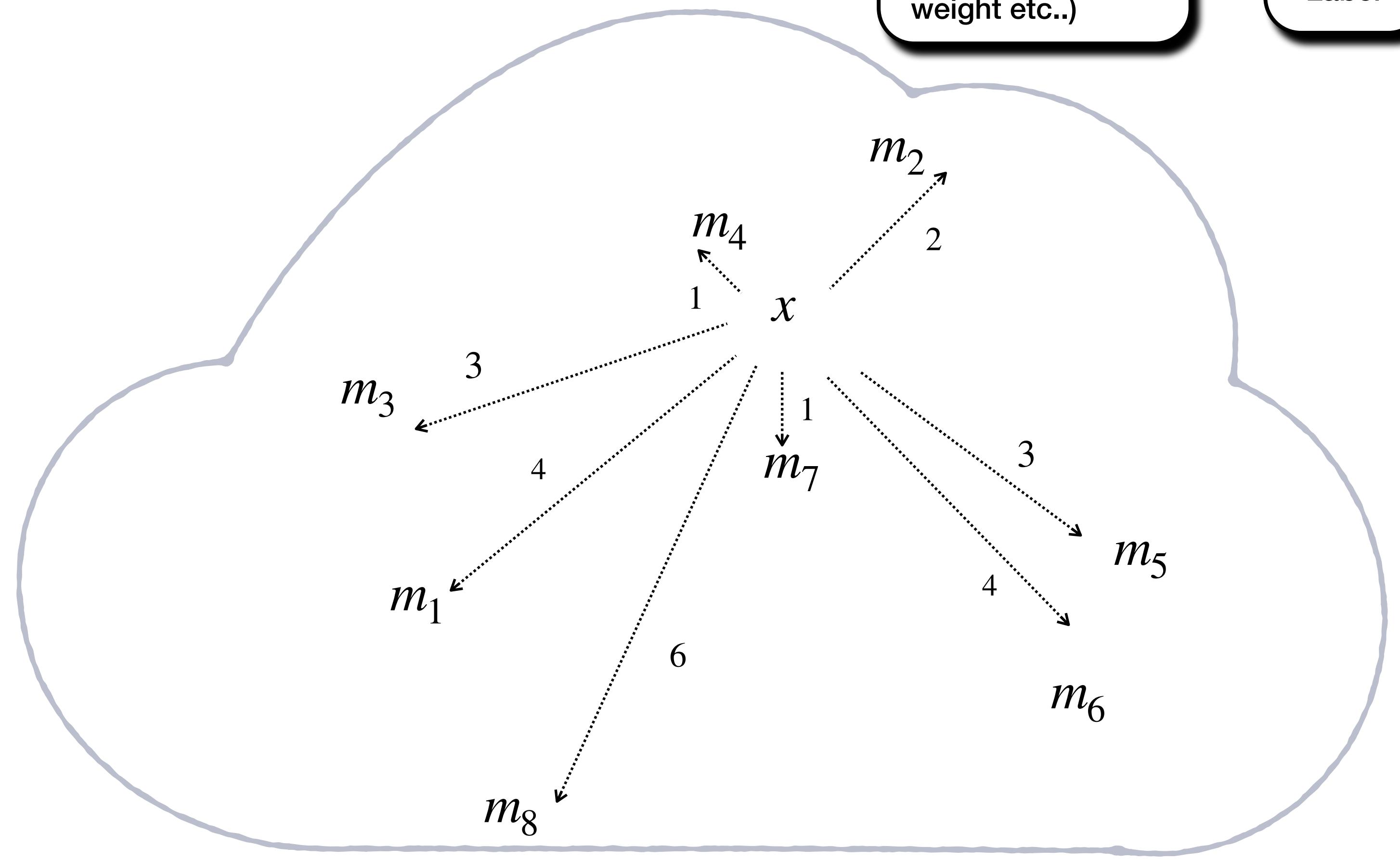
Features (e.g age,  
weight etc..)

Label

Client



$$x \rightarrow$$



Cloud

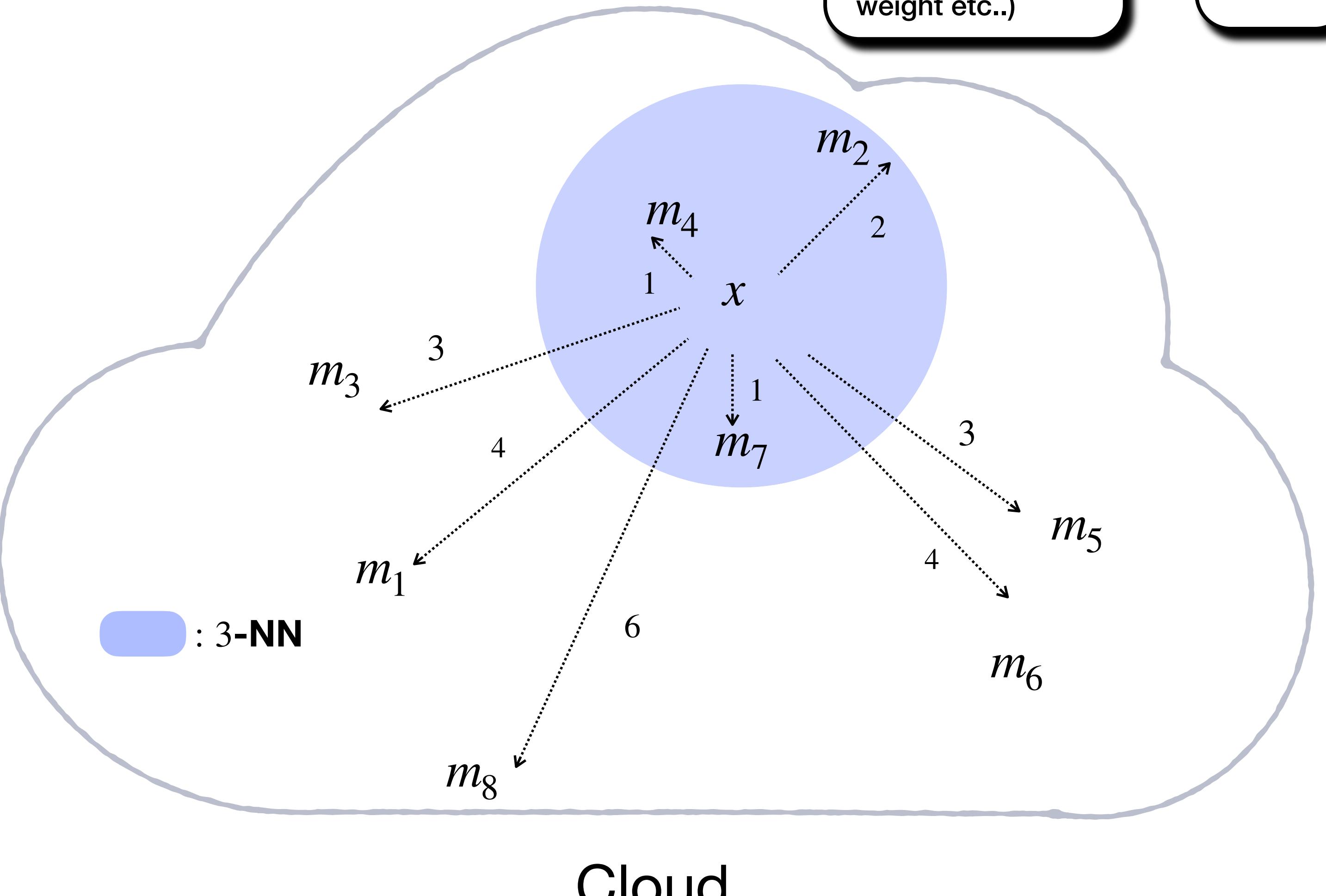
# Private $k$ -Nearest Neighbours

How it works in clear ?

Client



$x$



Cloud

# Private $k$ -Nearest Neighbours

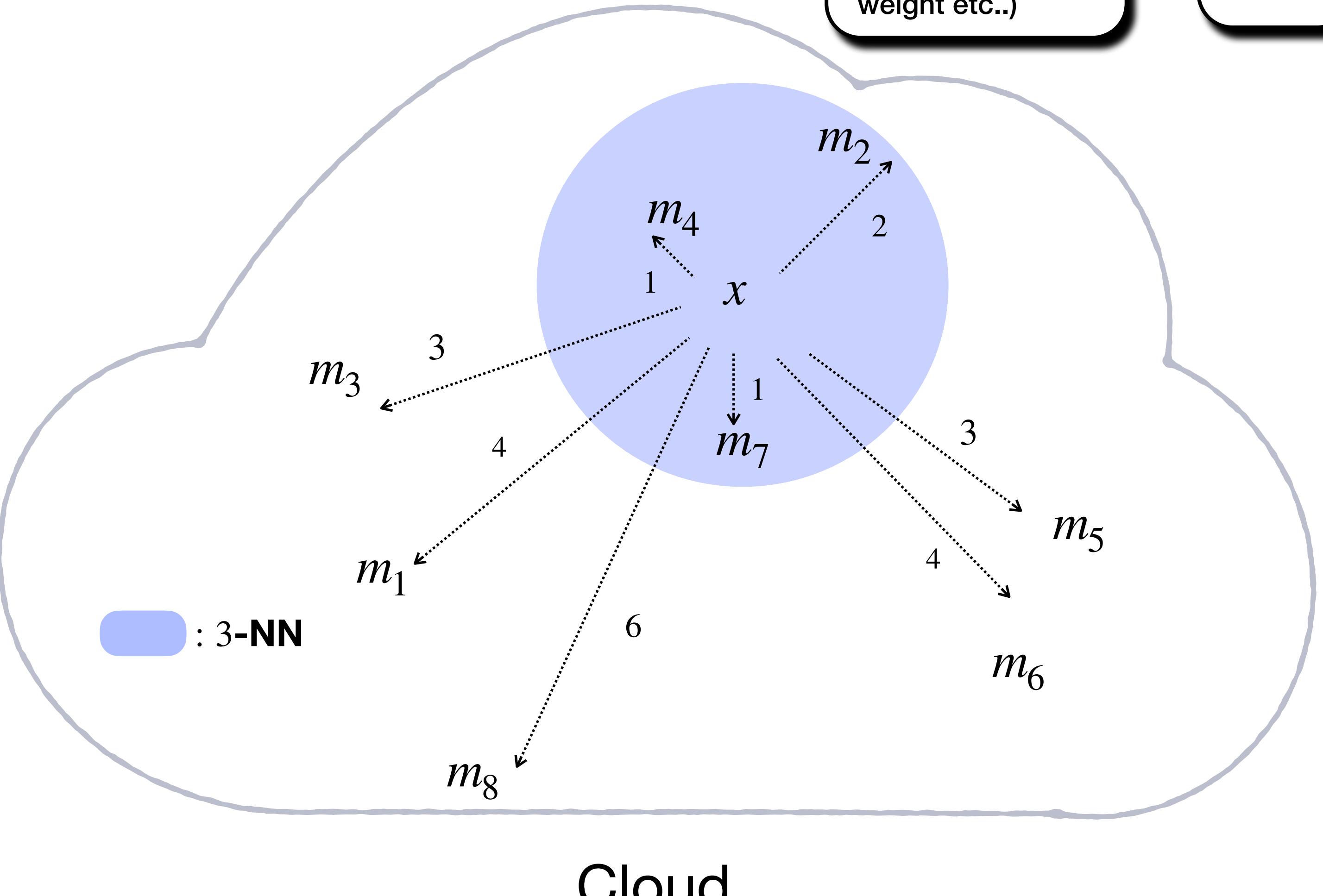
How it works in clear ?

Client



$x$

$\ell_2, \ell_4, \ell_7$



# Private $k$ -Nearest Neighbours

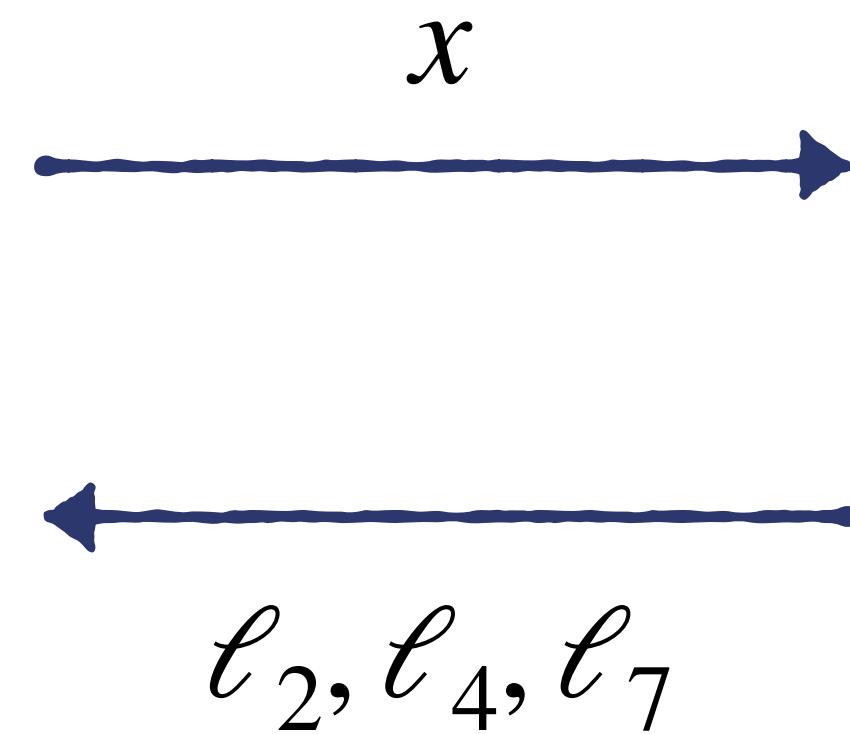
How it works in clear ?

$$m_i = (f_i, \ell_i)$$

Features (e.g age,  
weight etc..)

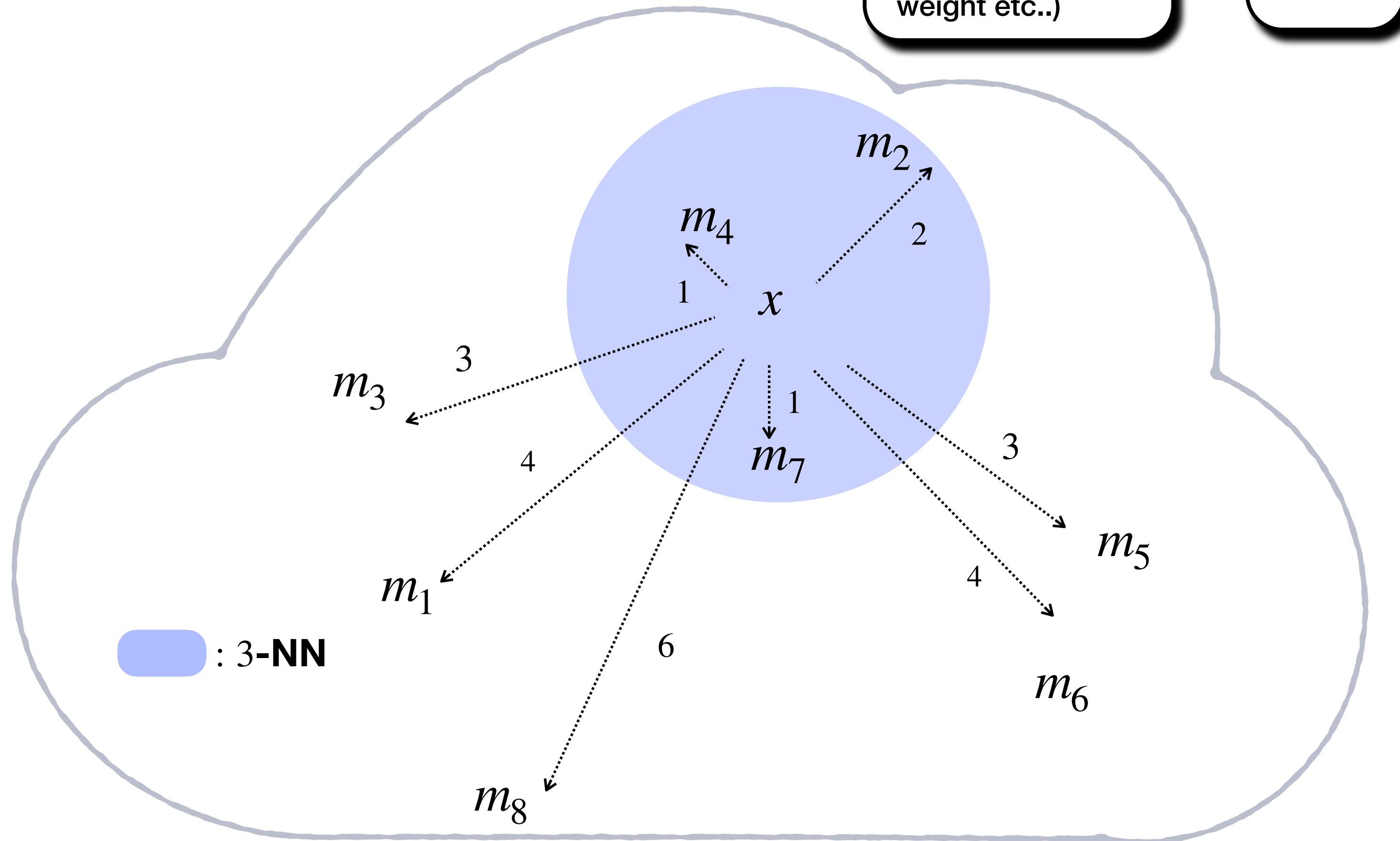
Label

Client



$$r \leftarrow \text{Majority}(\ell_2, \ell_4, \ell_7)$$

Cloud



# Private $k$ -Nearest Neighbours

Datasets used

$$p = 2^4$$

Dataset	Dimension	Message space	Dataset size	$p_{dist}$
Breast Cancer	30	$\mathbb{Z}_2$	569	$2^4$
MNIST	64	$\mathbb{Z}_2$	1797	$2^5$

A precision reduction is needed for the  
MNIST dataset as  $p \leq p_{dist}$

# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

$m_2$

$m_4$

$m_3$

$m_7$

$m_5$

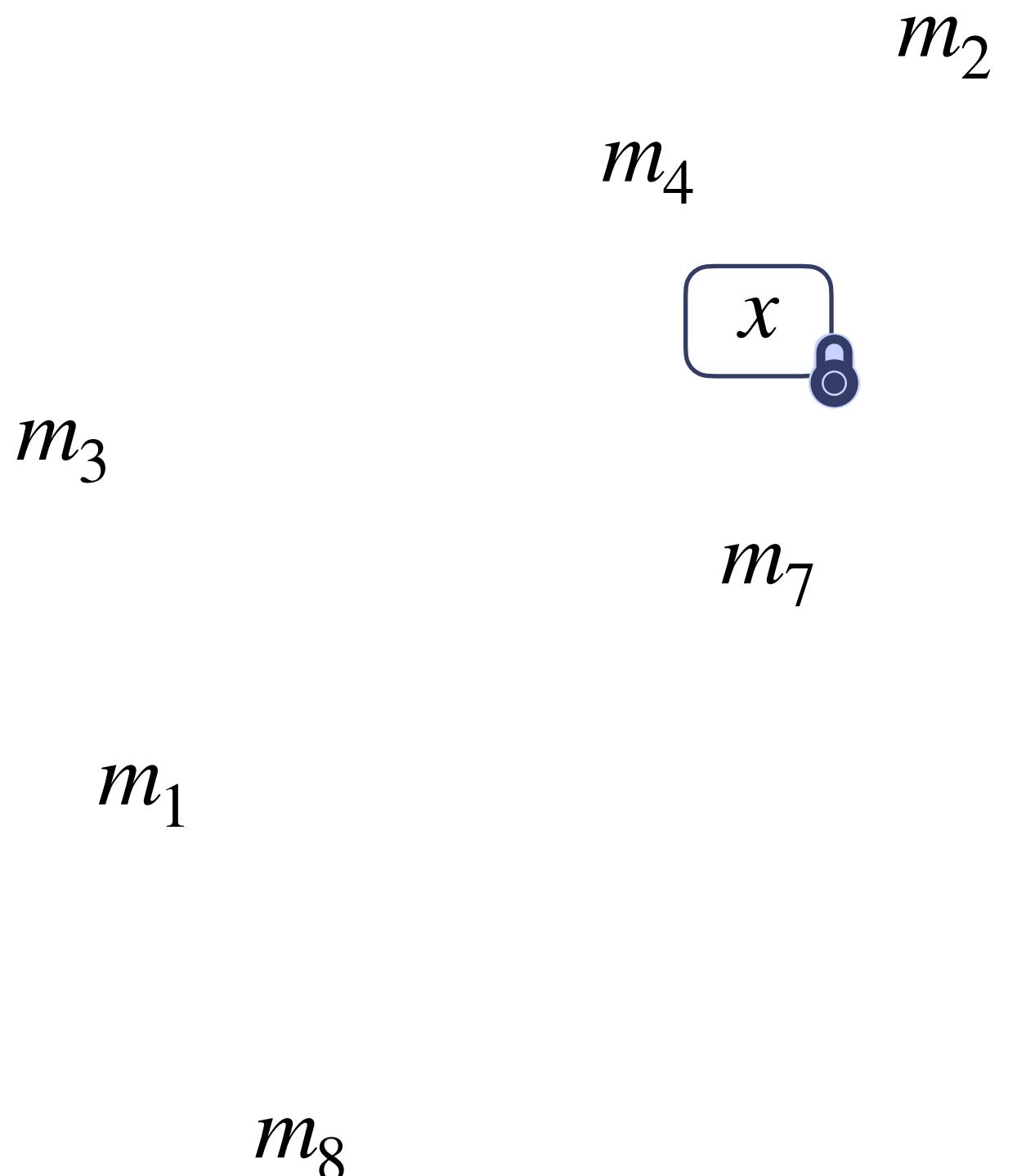
$m_1$

$m_6$

$m_8$

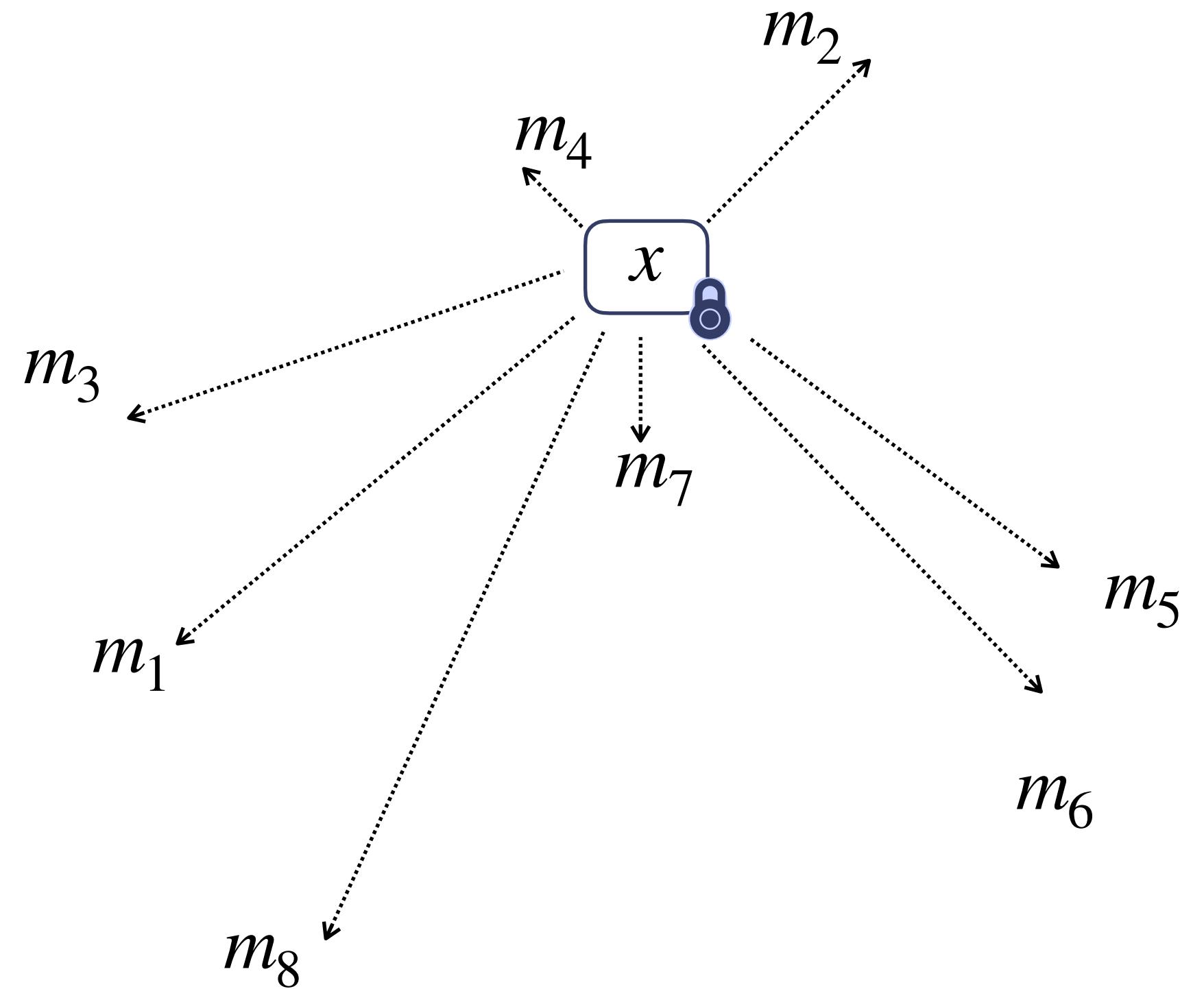
# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$



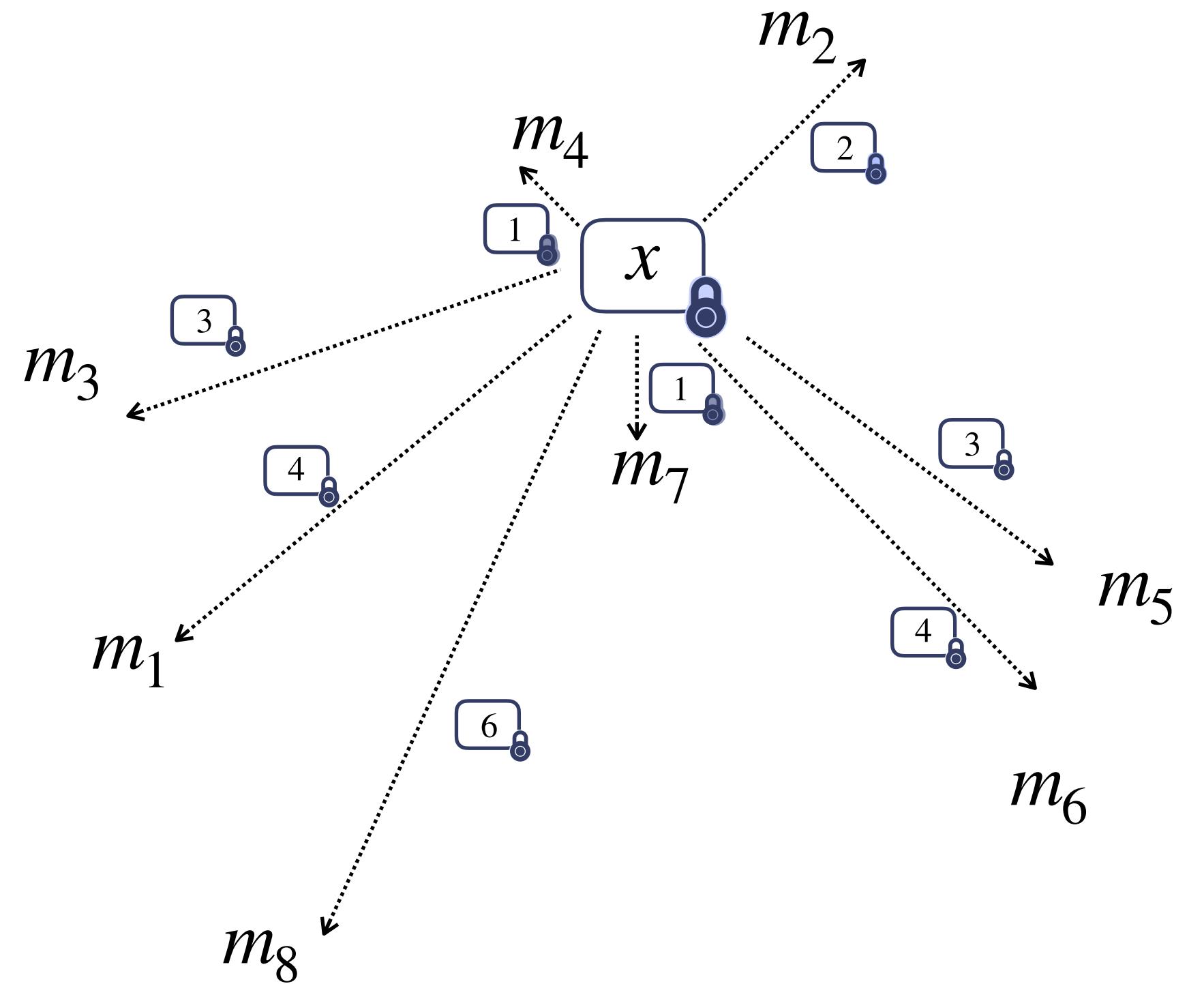
# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$



# Private $k$ -Nearest Neighbours

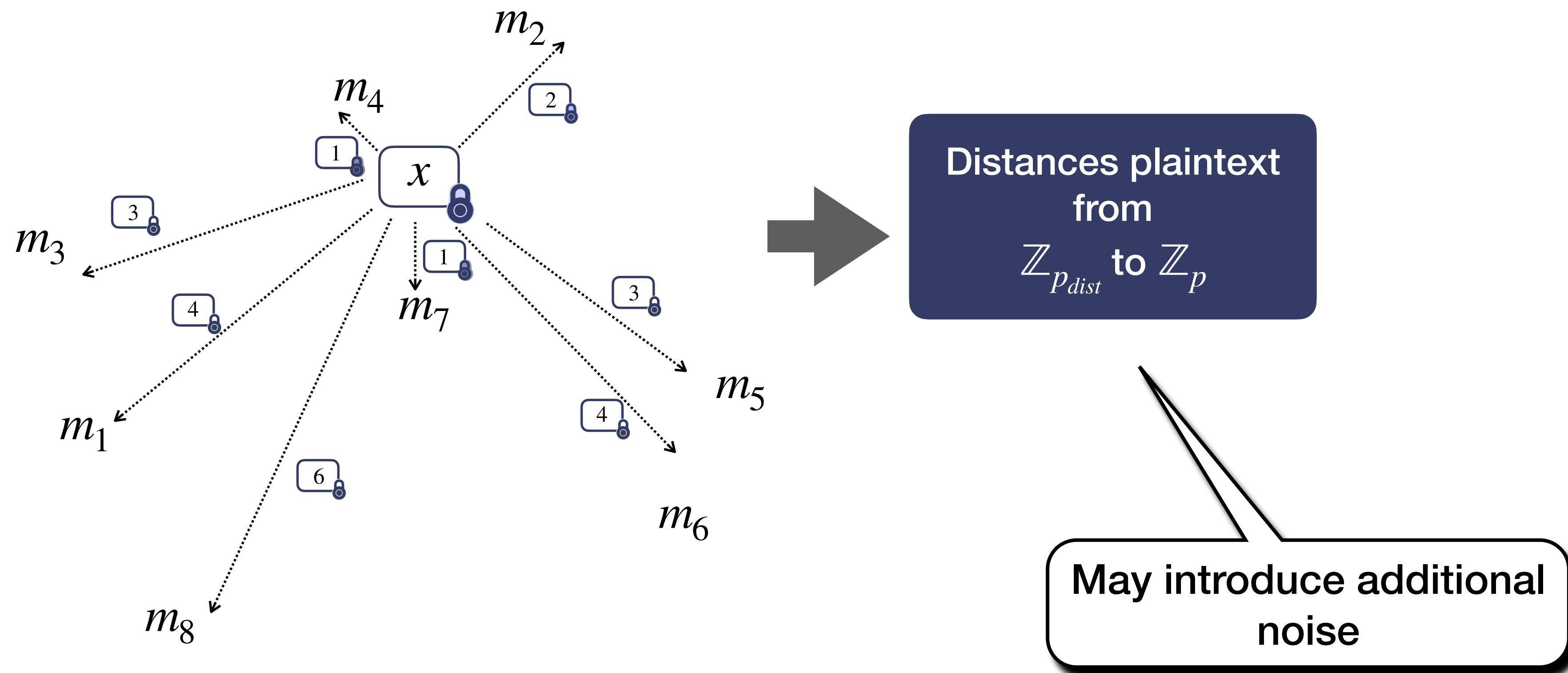
Distances computation in  $\mathbb{Z}_{p_{dist}}$



# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

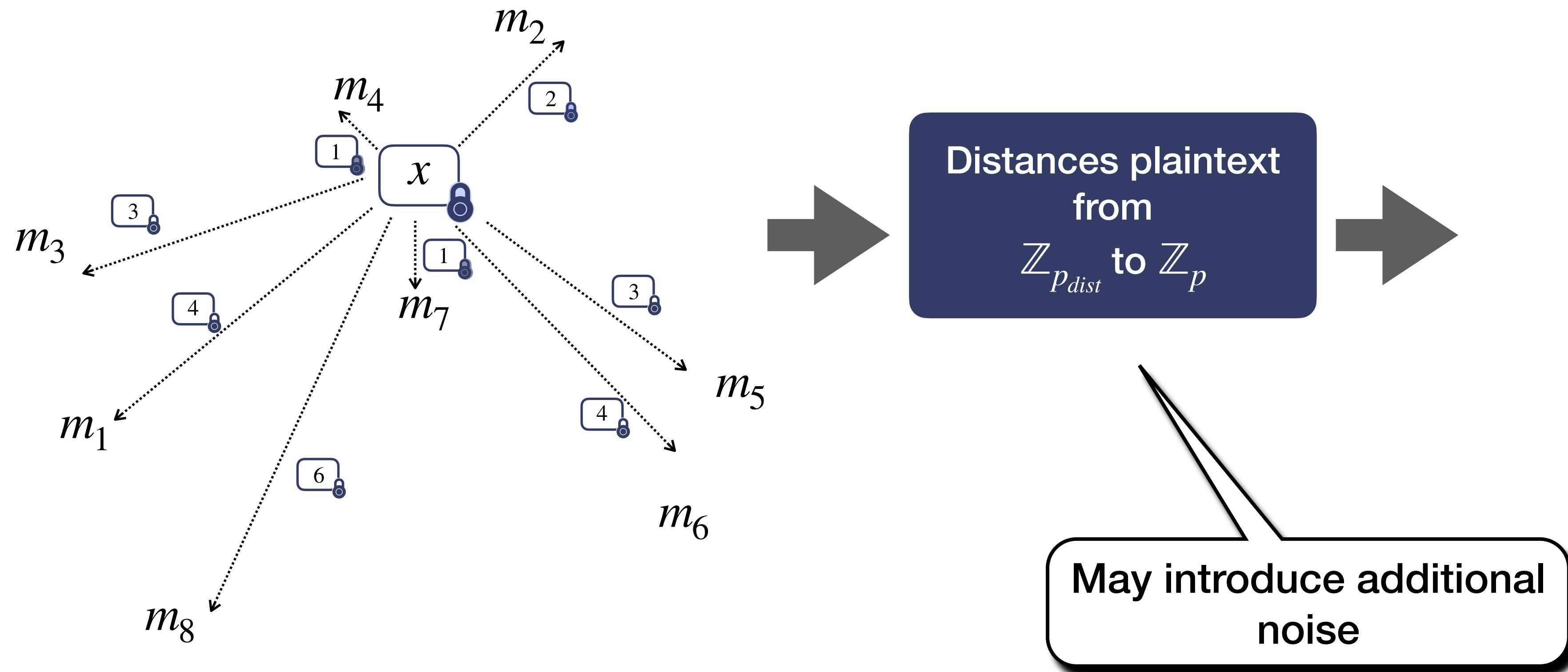


# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$

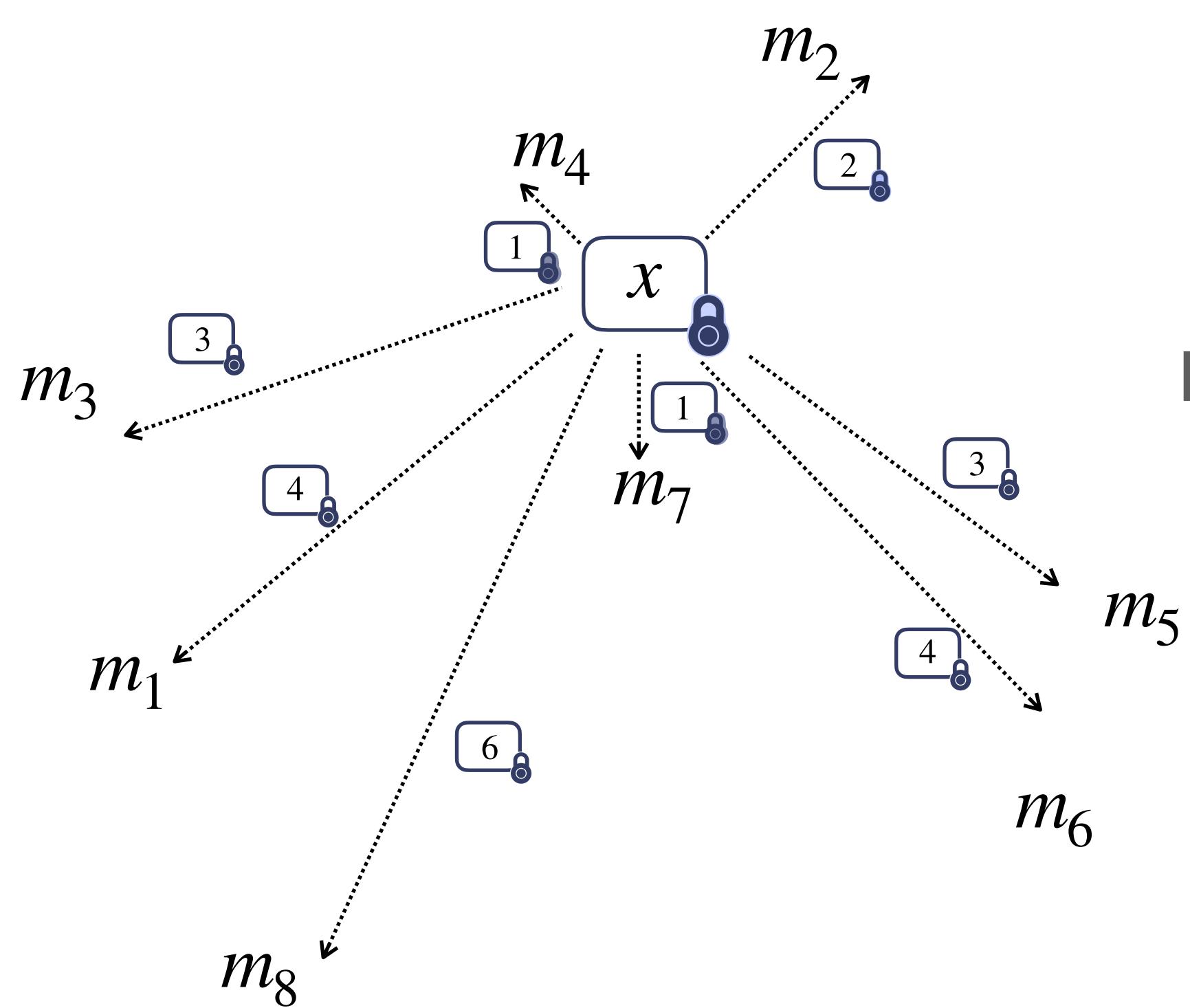


# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$



Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

May introduce additional noise

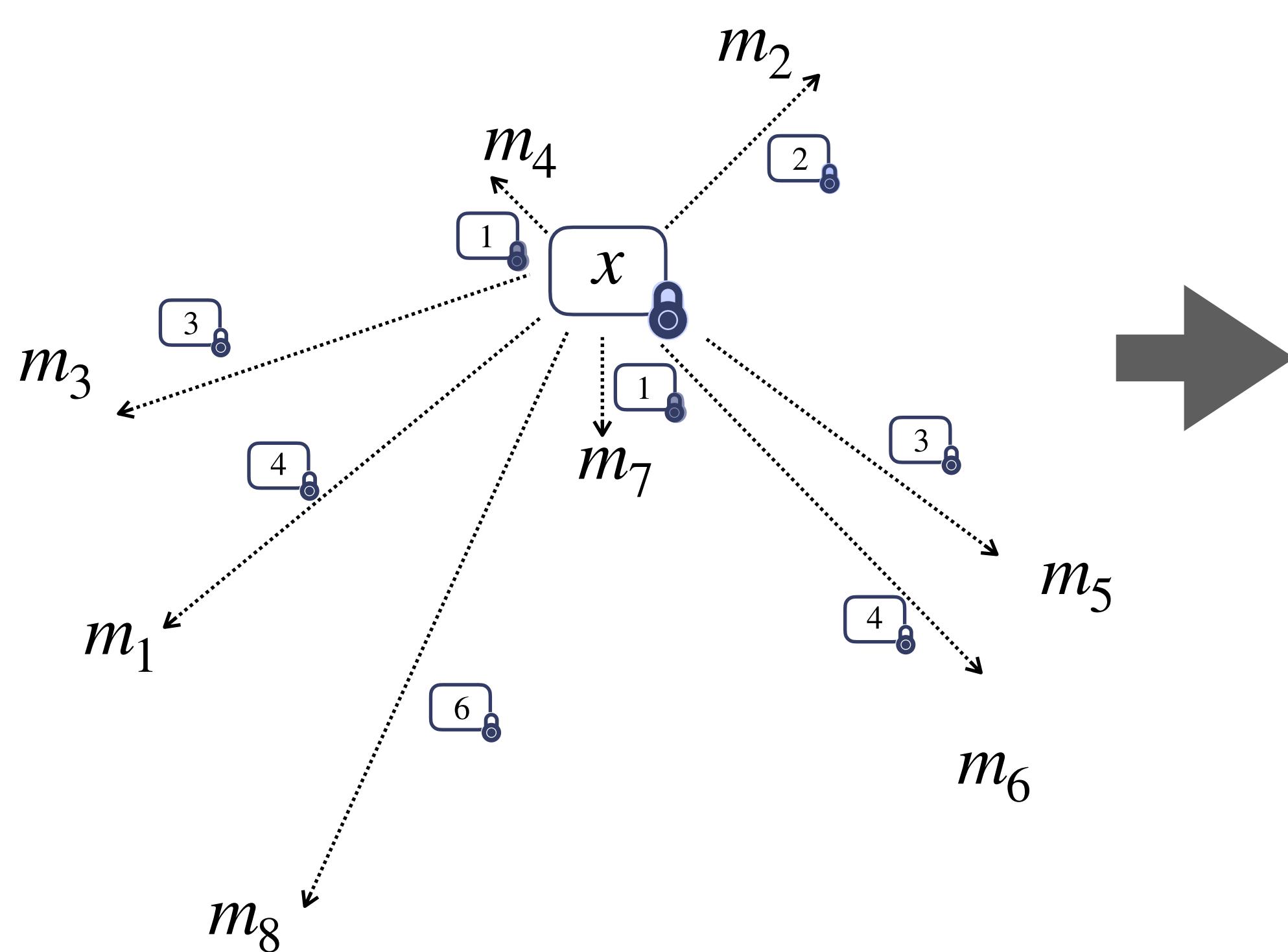
$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$	$m_8$	Distances	Labels
4	2	3	1	3	4	1	6	1	0

# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$



Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$	$m_8$	Distances	Labels
4	2	3	1	3	4	1	6	1	0

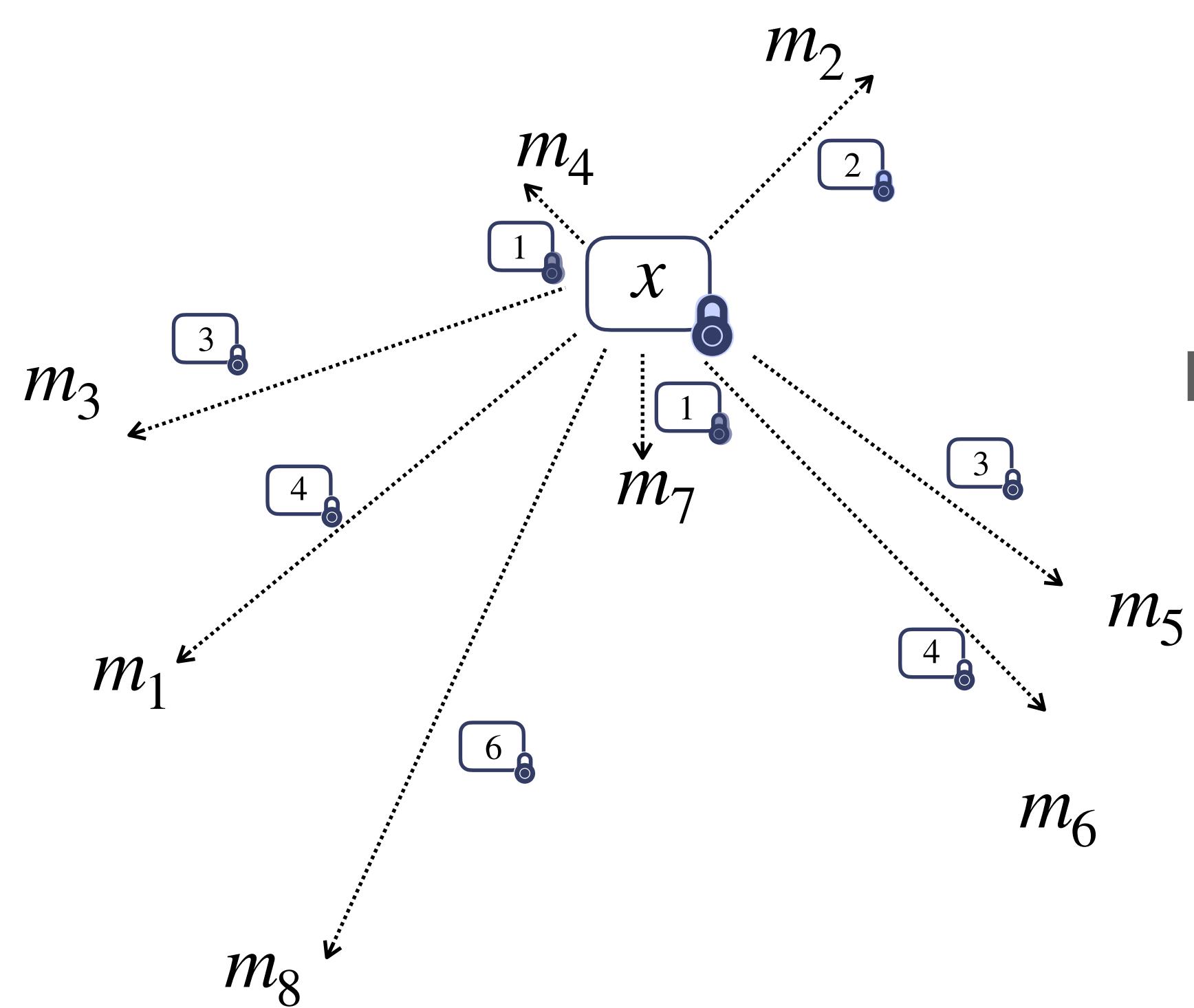
May introduce additional noise

# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$



Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

PKFS

May introduce additional noise

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$	$m_8$	Distances
4	2	3	1	3	4	1	6	Labels

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$	$m_8$	(Value)
4	2	3	1	3	4	1	6	Value

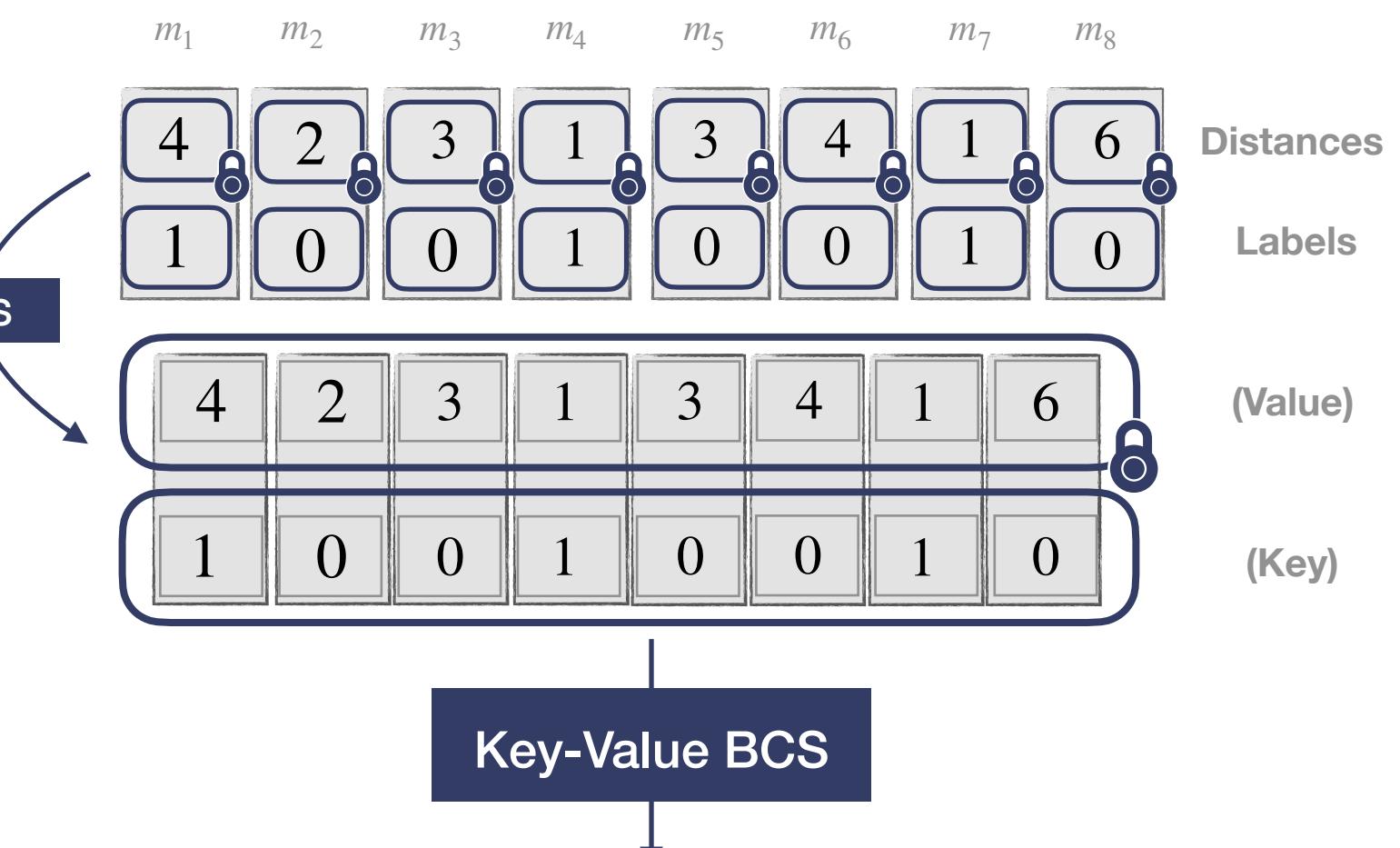
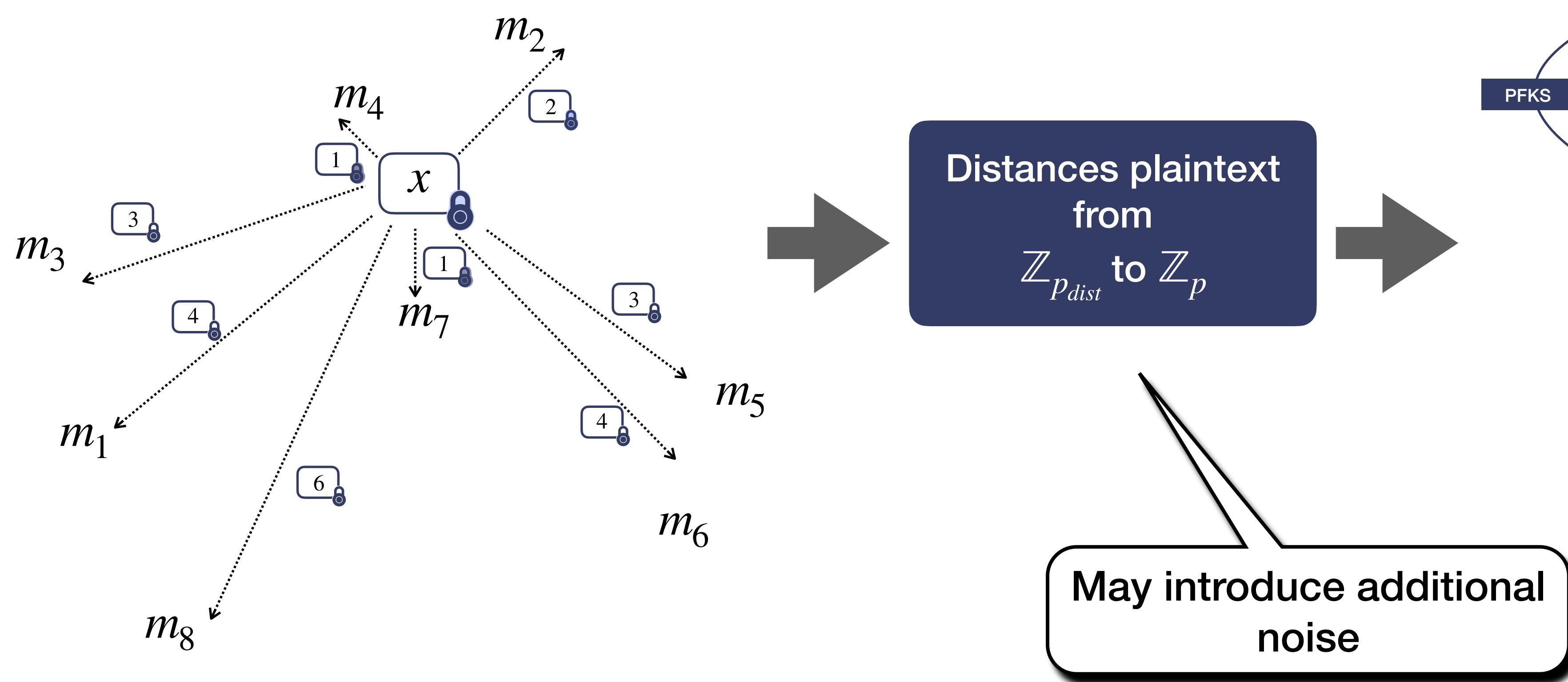
$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$	$m_8$	(Key)
1	0	0	1	0	0	1	0	Key

# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$

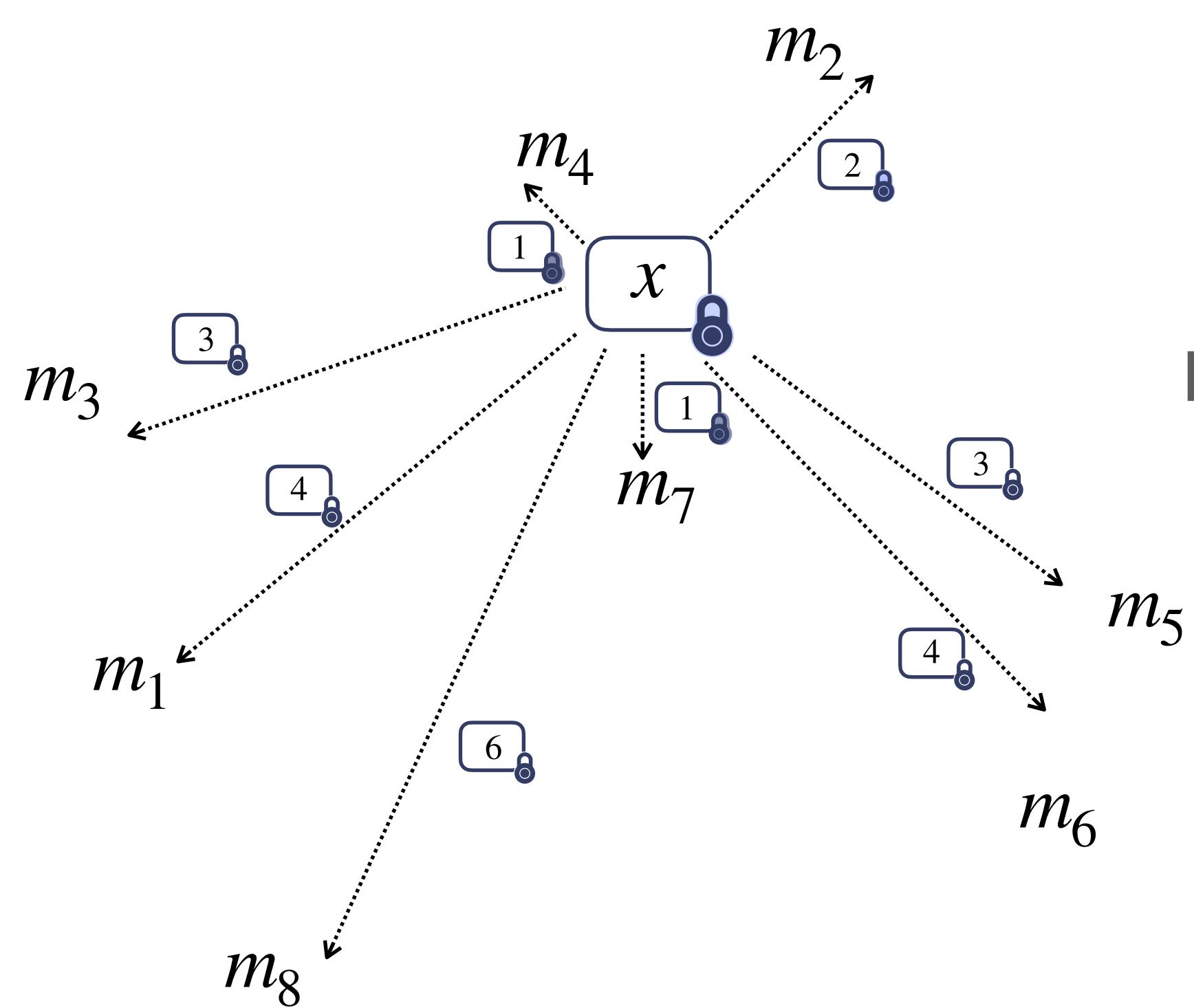


# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

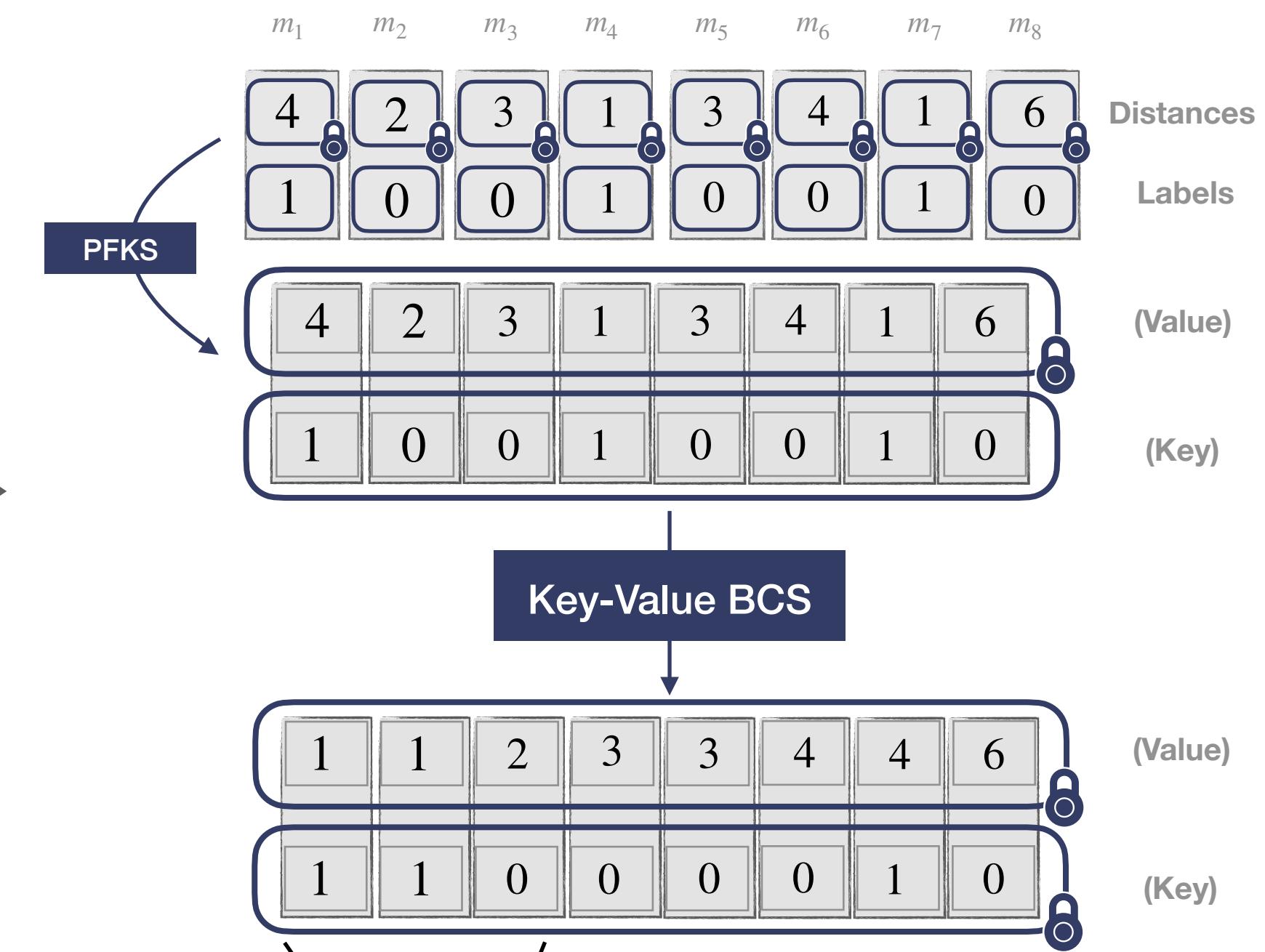
Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$



Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

May introduce additional noise

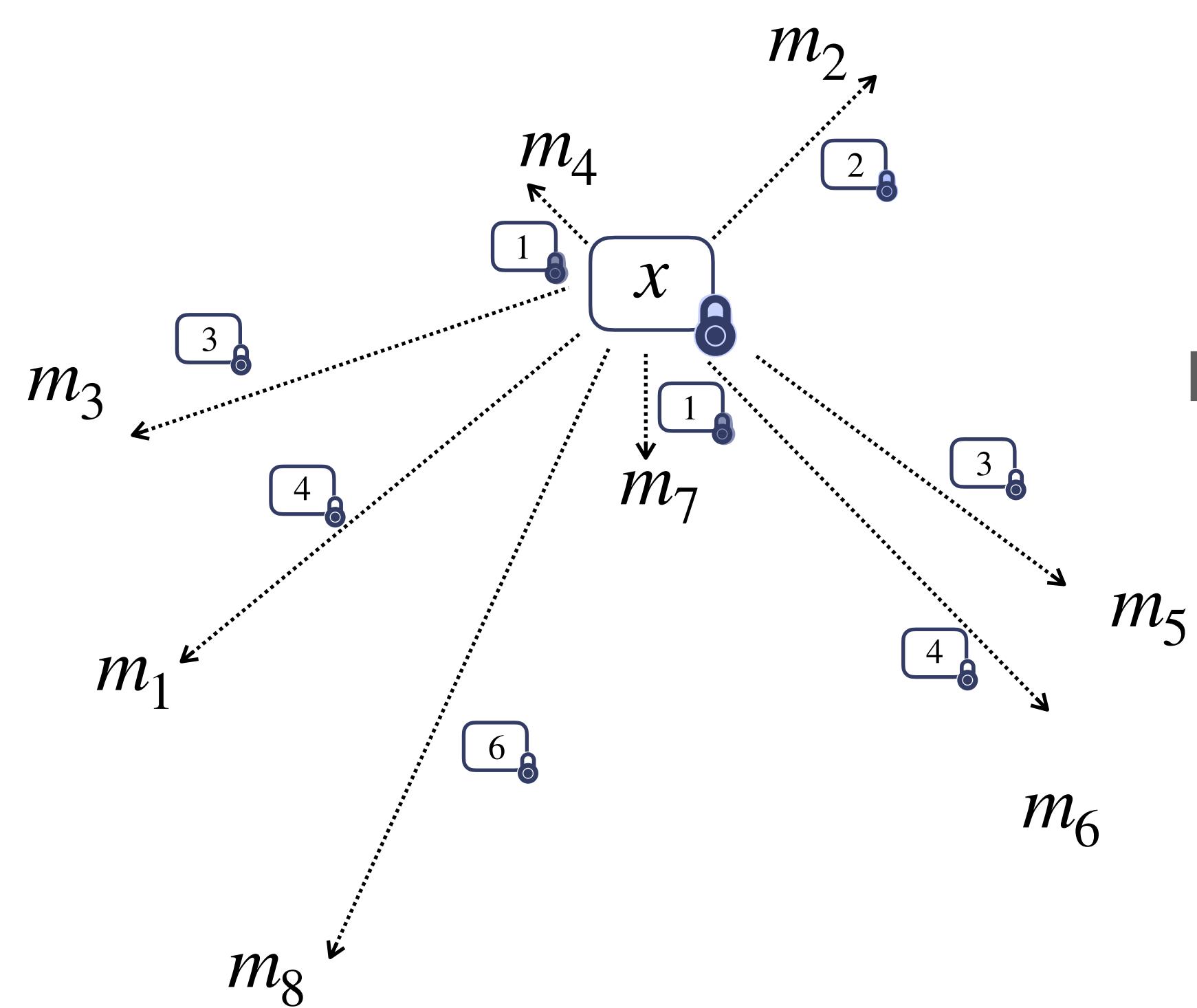


# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

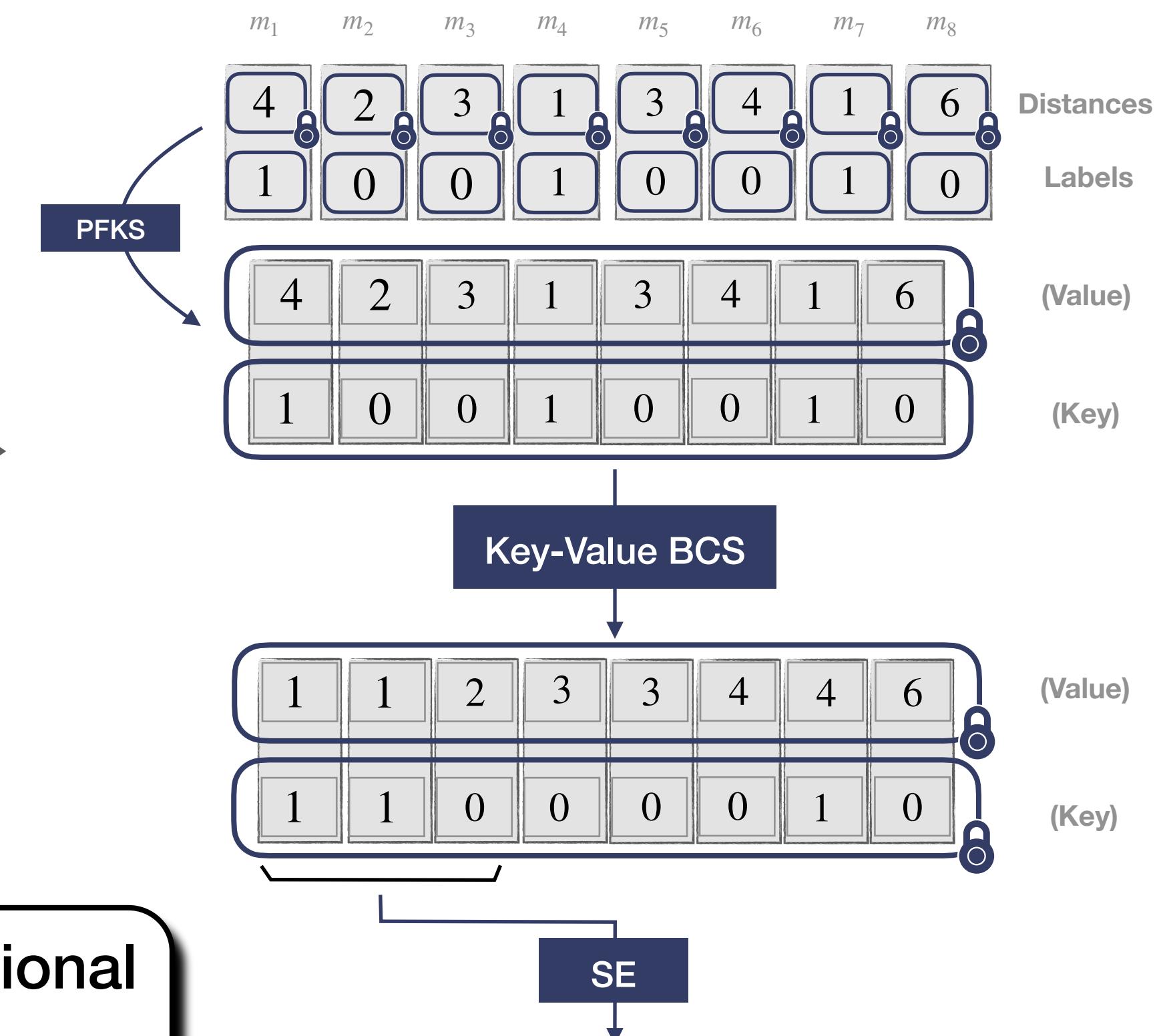
Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$



Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

May introduce additional noise

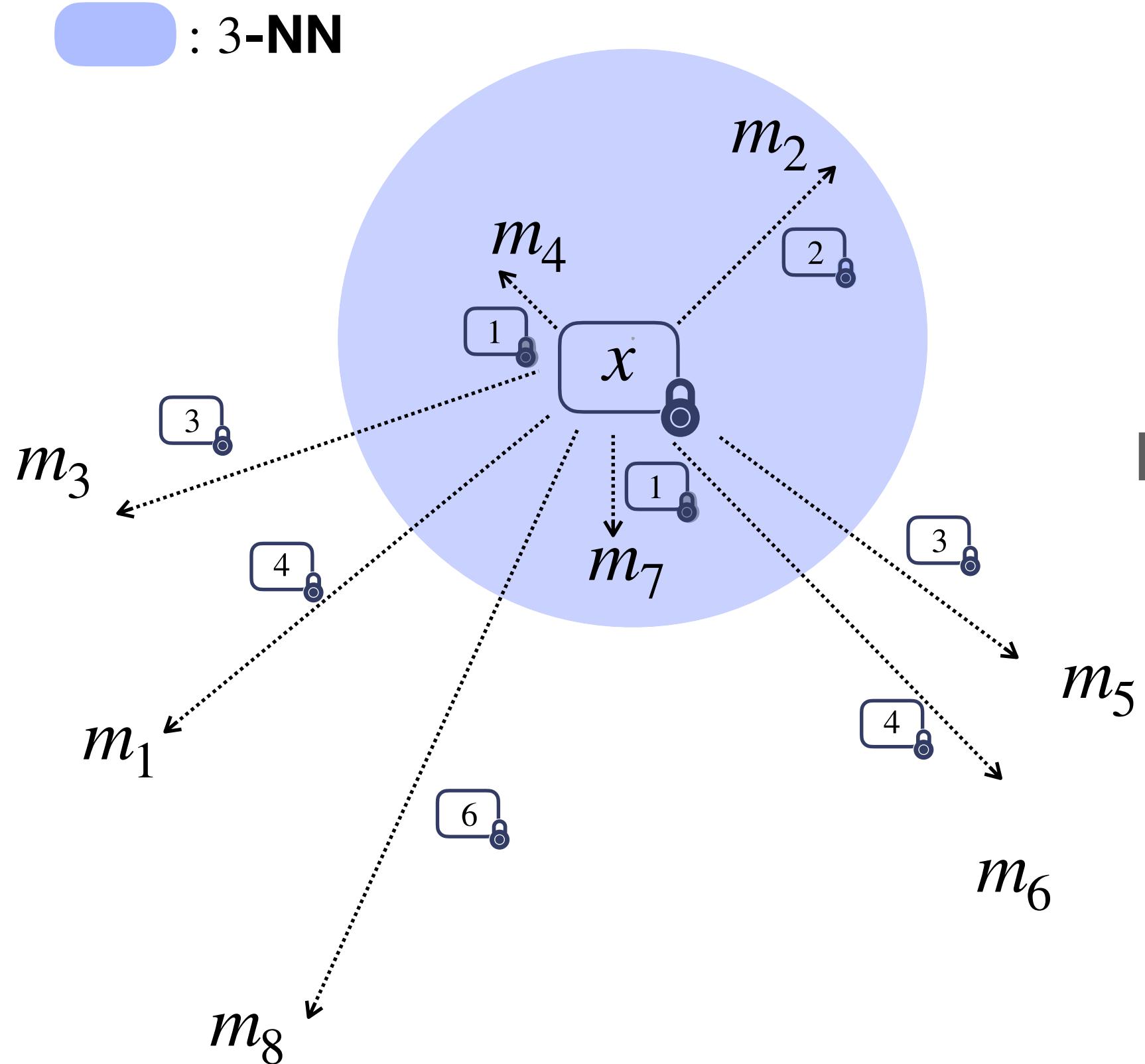


# Private $k$ -Nearest Neighbours

Distances computation in  $\mathbb{Z}_{p_{dist}}$

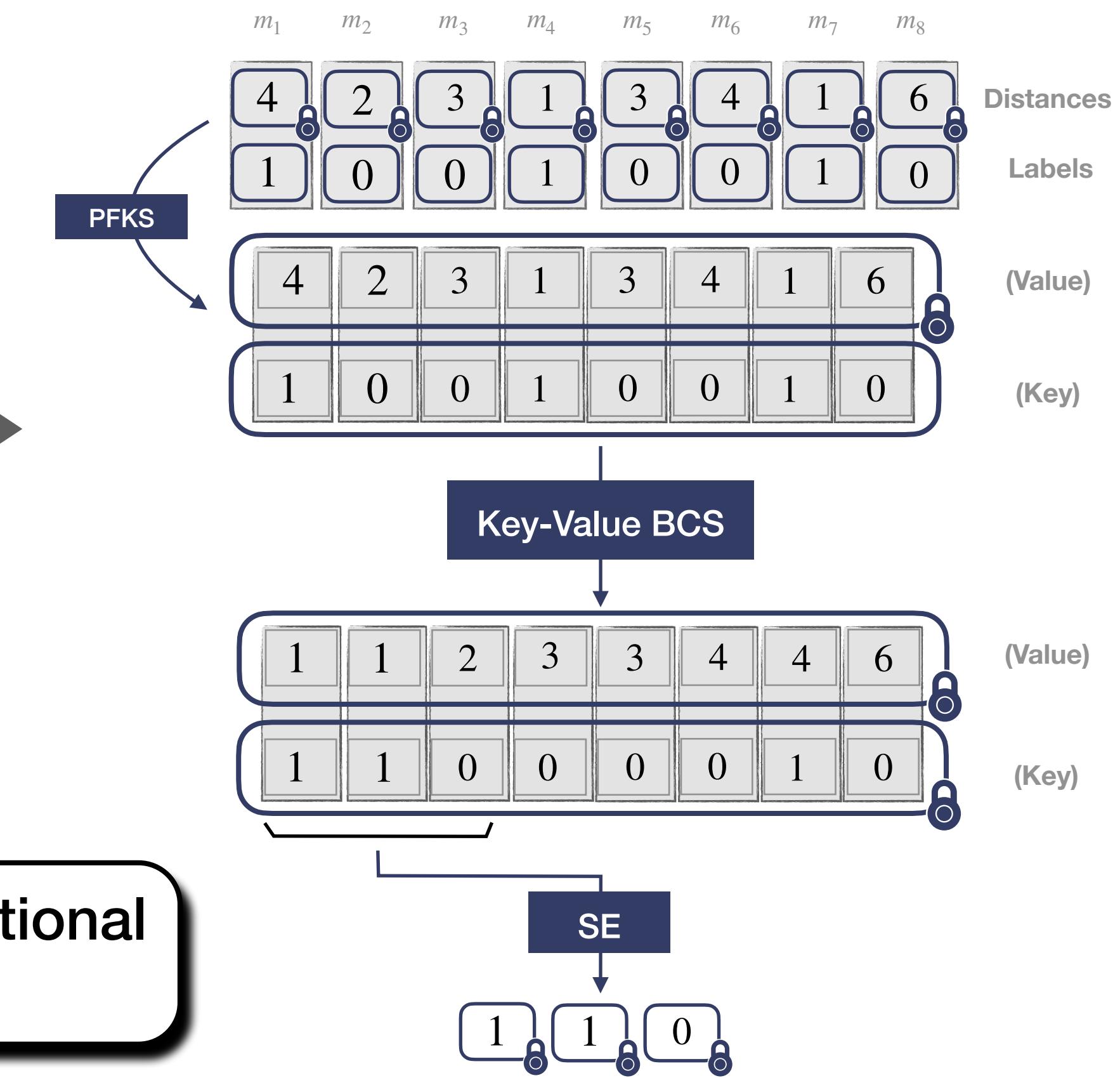
Precision reduction (if needed)

Top- $k$  selection in  $\mathbb{Z}_p$

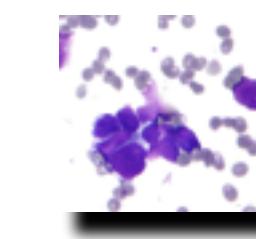


Distances plaintext  
from  
 $\mathbb{Z}_{p_{dist}}$  to  $\mathbb{Z}_p$

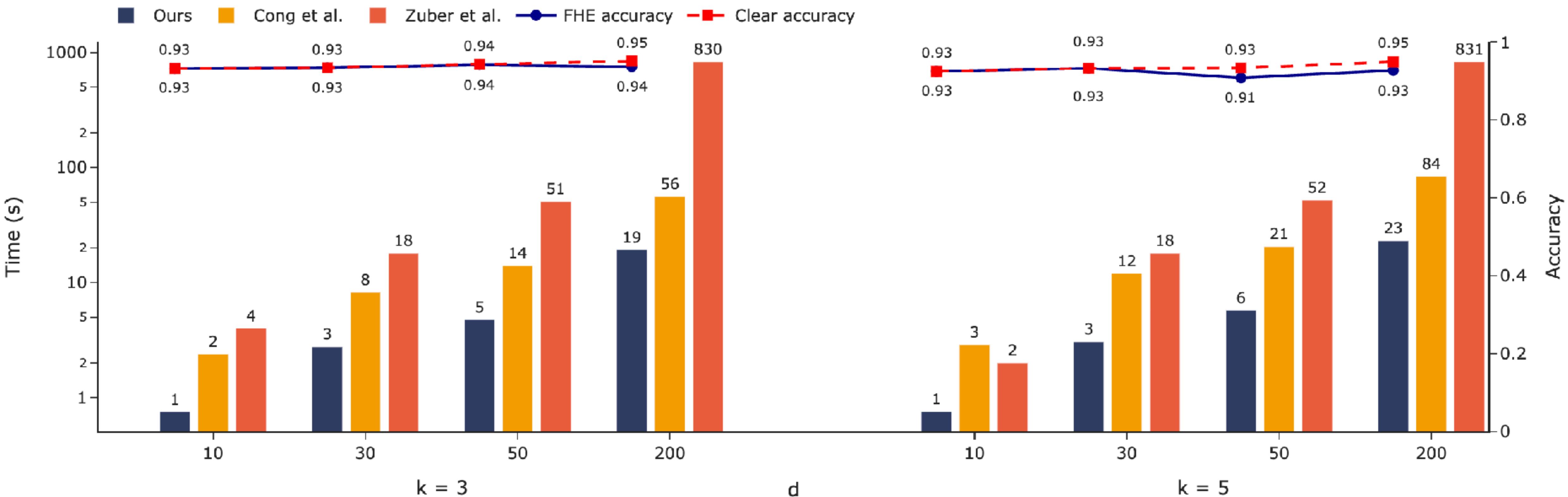
May introduce additional noise



# Private $k$ -Nearest Neighbours Comparison with the SOA



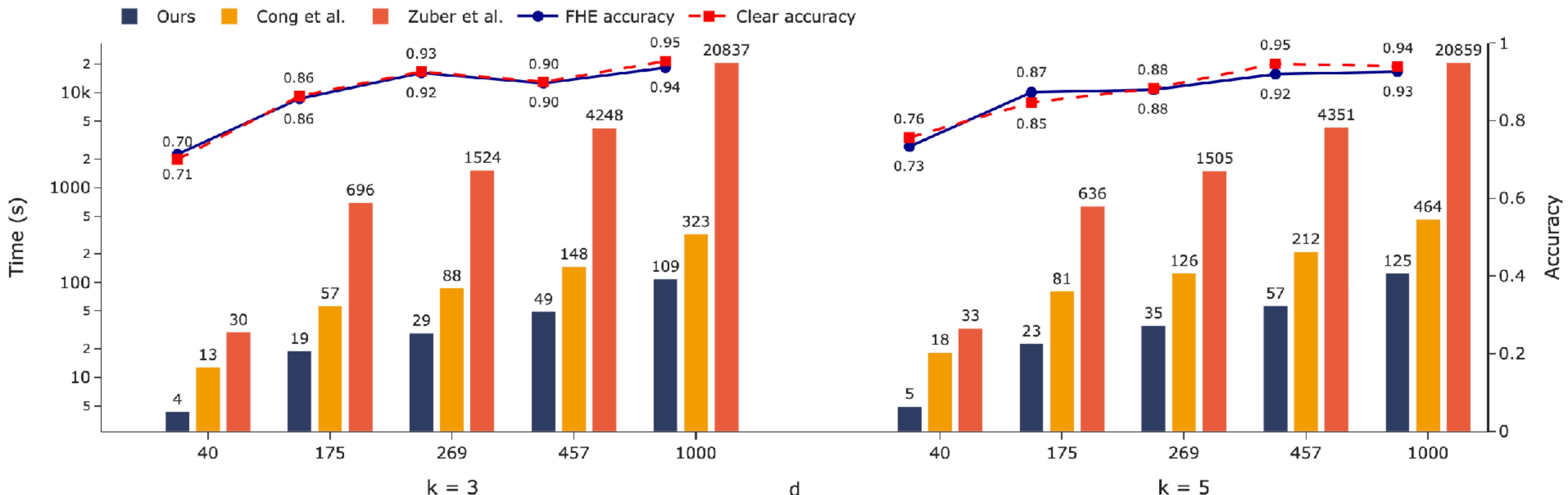
Breast Cancer



[Zuber et al.] : Efficient homomorphic evaluation of k-NN classifiers, PoPETs, 2021(4), Martin Zuber and Renaud Sirdey.

[Cong et al.] : Revisiting Oblivious Top-k Selection with Applications to Secure k-NN Classification, SAC 2024, LNCS 15516.

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[Cong et al.] : Revisiting Oblivious Top-k Selection with Applications to Secure k-NN Classification, SAC 2024, LNCS 15516.

# **Conclusion**

# Conclusion

In this work, we :

- Designed the first **oblivious sorting algorithm** that operates without any comparisons
- Leveraged this sorting algorithm as a subroutine in a tournament-style to construct an **oblivious top- $k$**  algorithm.
- Applied this oblivious top- $k$  mechanism within a **privacy-preserving  $k$ -Nearest Neighbours model**.



Full paper: PoPETs 2025, paper no. 93  
popets-2025-0093.pdf



sofianeazogagh/knn

# Thank you !

Any questions ?



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