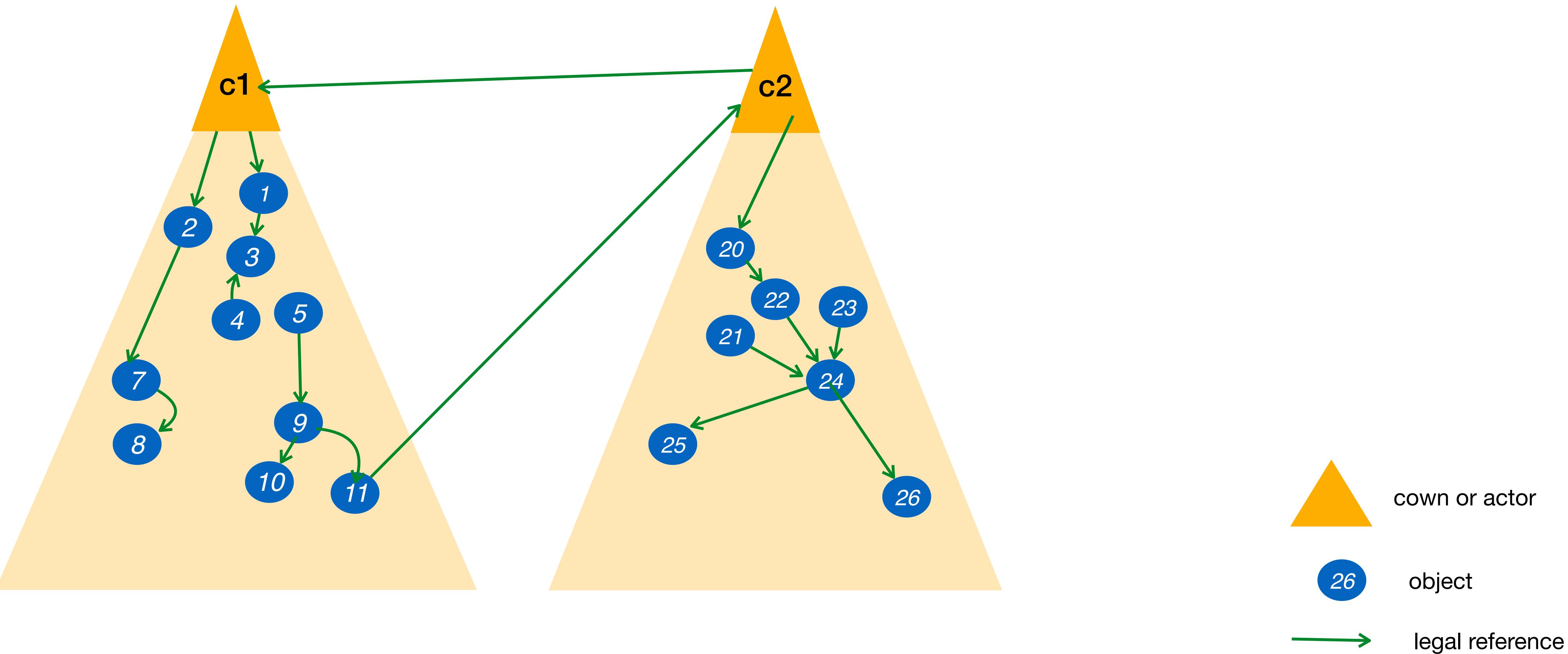


# **Putting Separation to work**

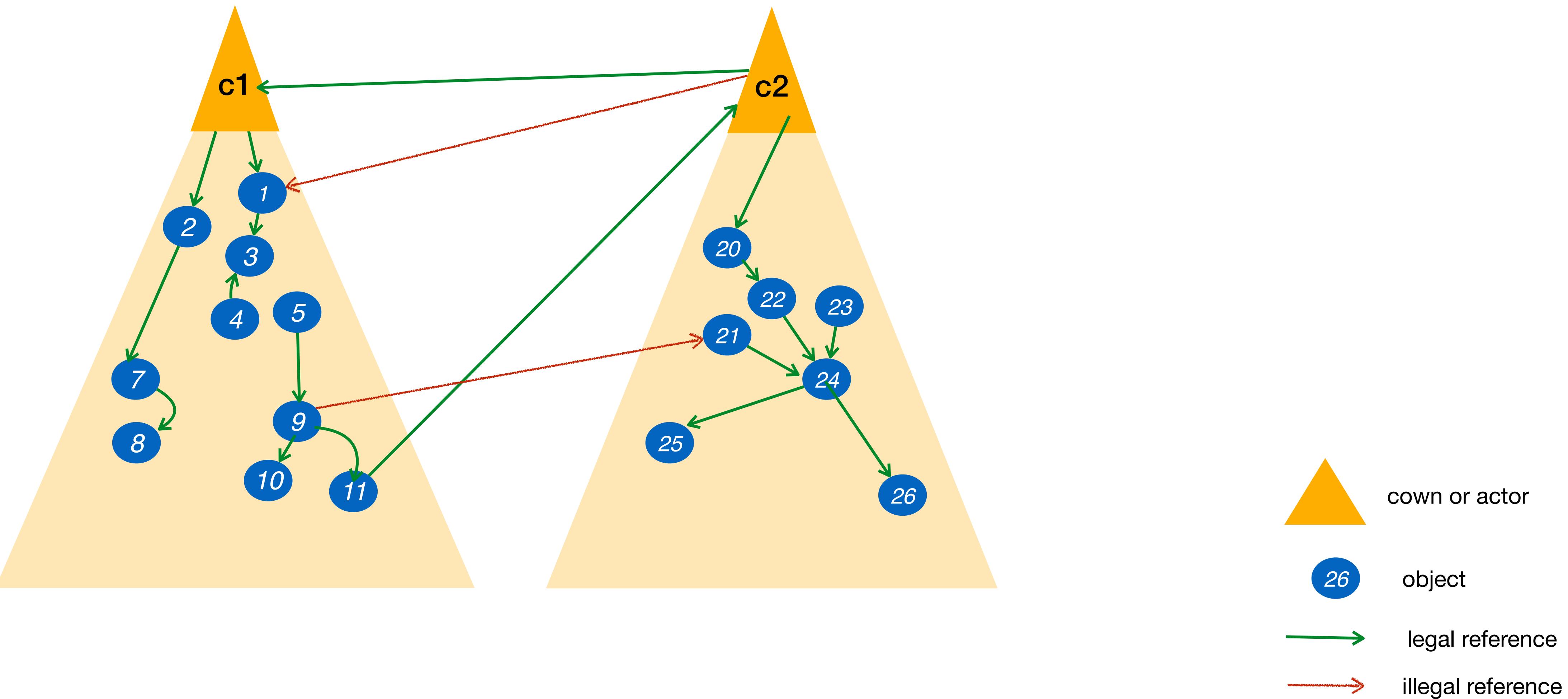
## **In Pony and in Verona**

**Sophia Drossopoulou and Tobias Wrigstad, PLISS 2023**

# Separation



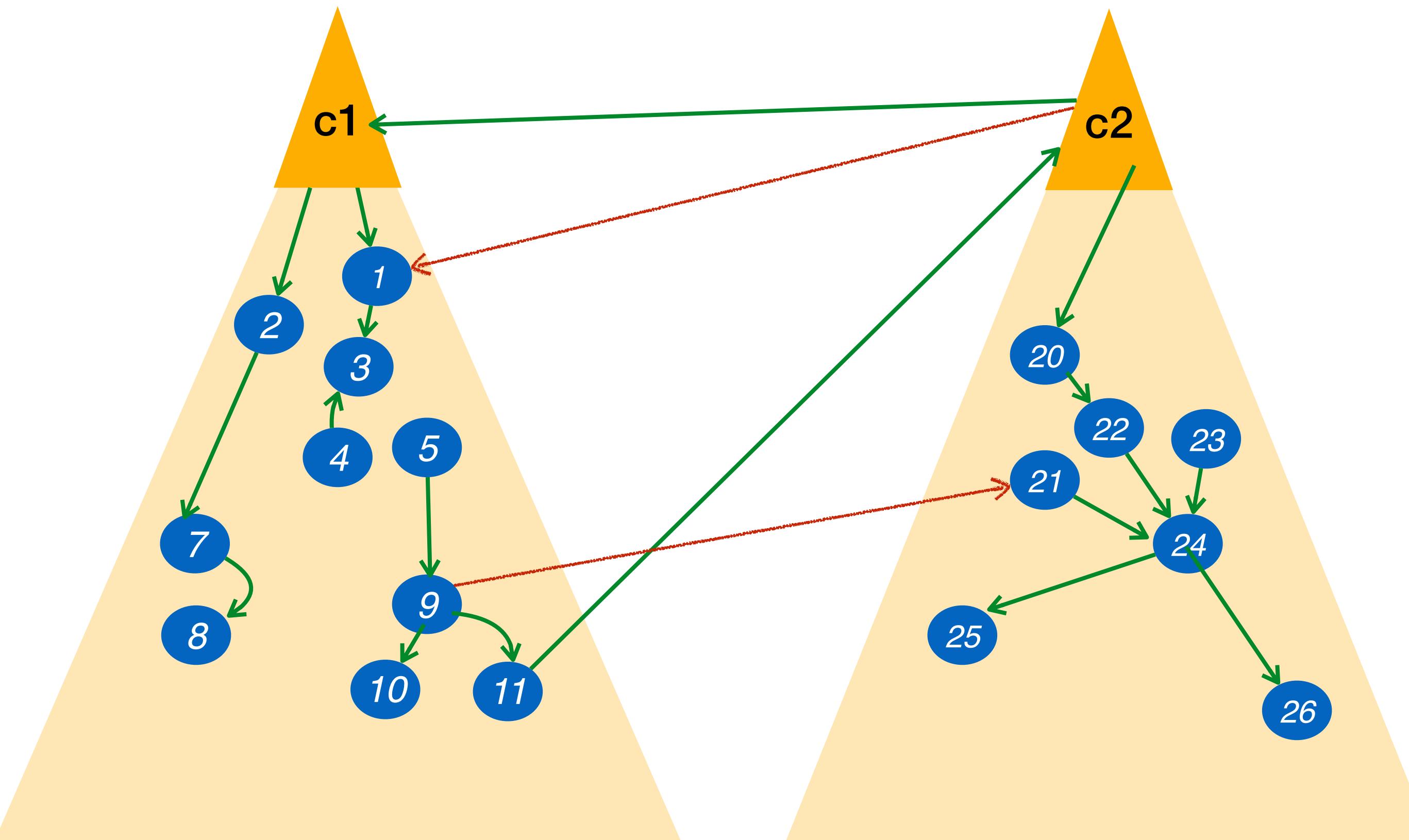
# Separation



# Separation

...

# and its remit



- Data-race freedom
- Share state safely without copy
- Garbage collection
- Causality



crown or actor



object

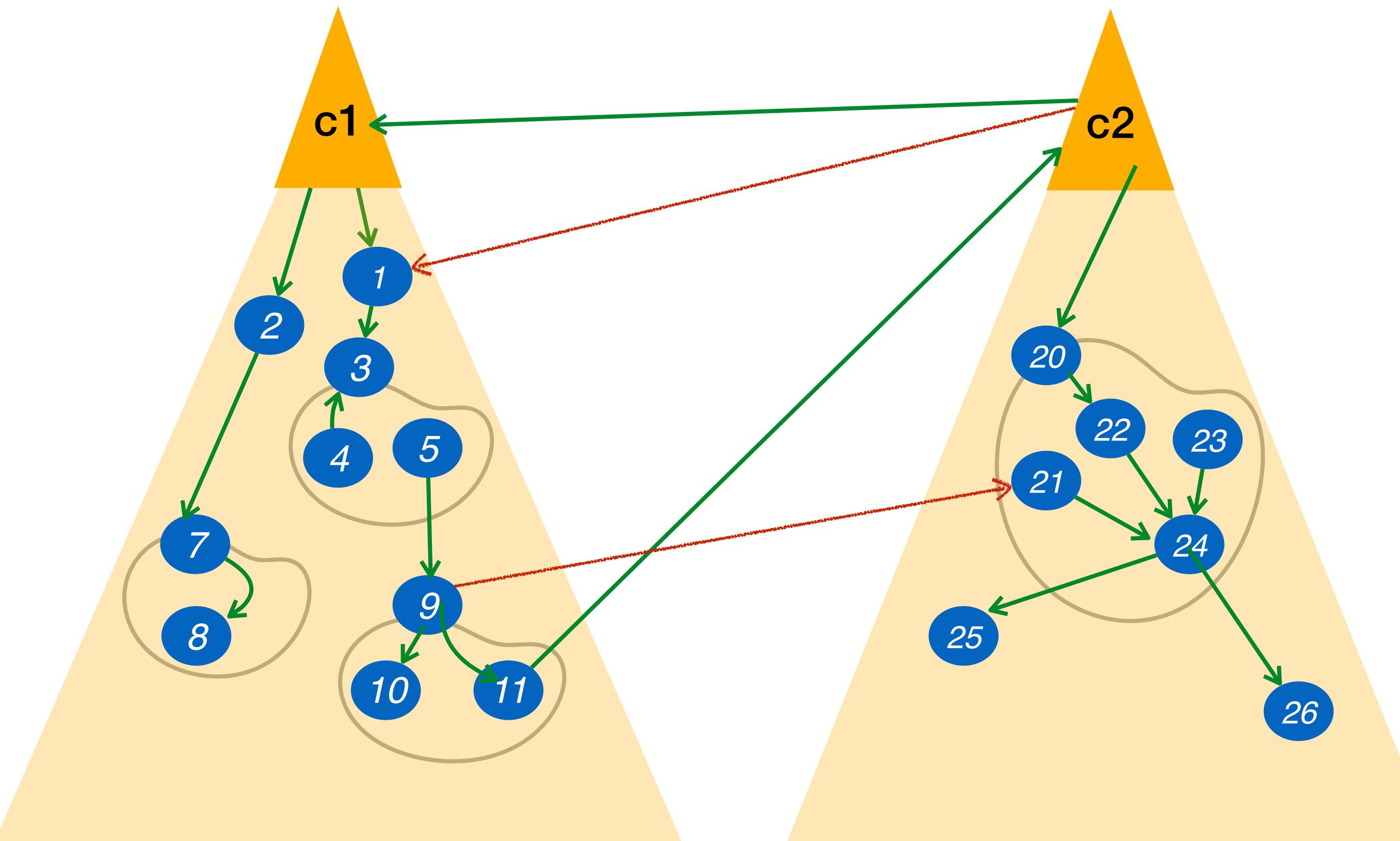


legal reference

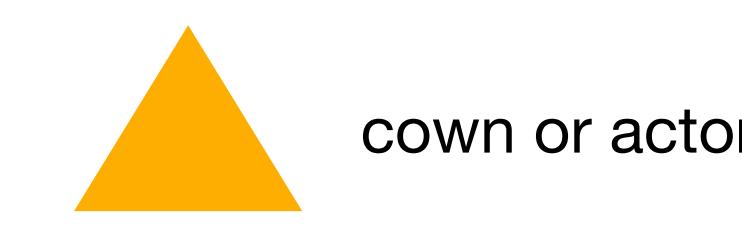


illegal reference

# Finer-grained Separation – with Regions



- Data-race freedom
- Share state safely without copy
- Garbage collection
- Causality

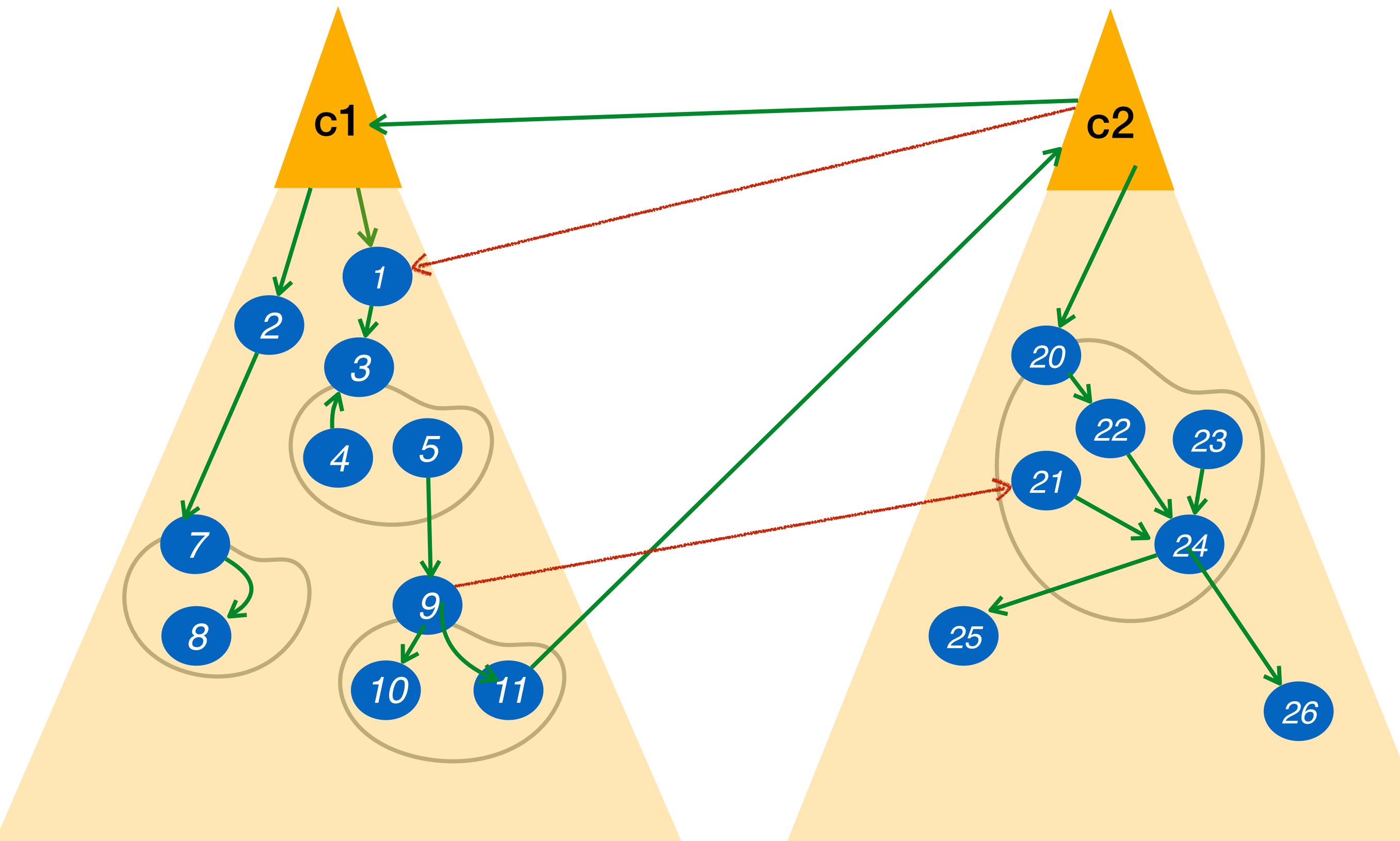


object

legal reference

illegal reference

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crown or actor

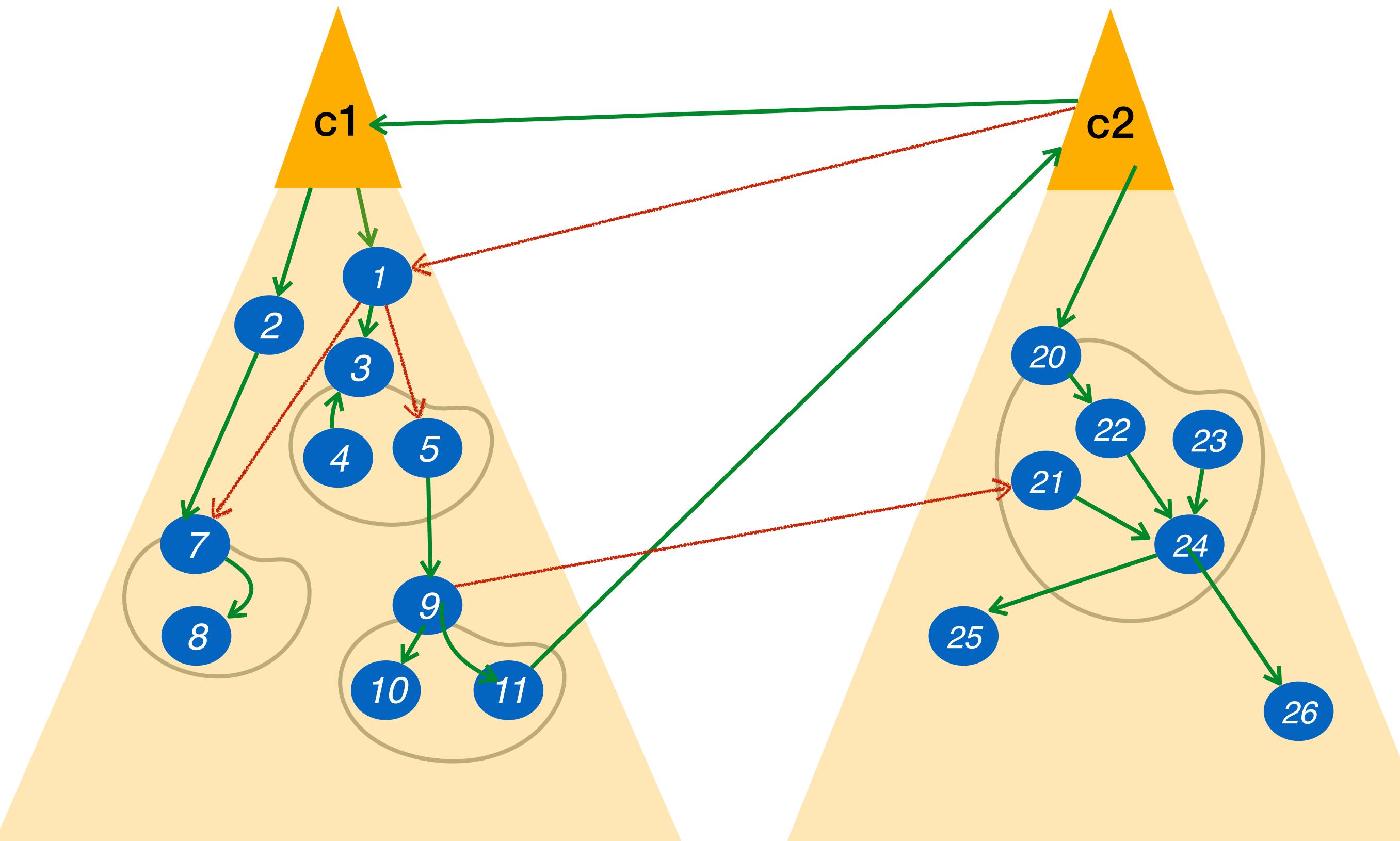
object

legal reference

illegal reference

Region

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- Data-race freedom
- Share state safely without copy

- Garbage collection

- Causality



cow or actor



object



legal reference

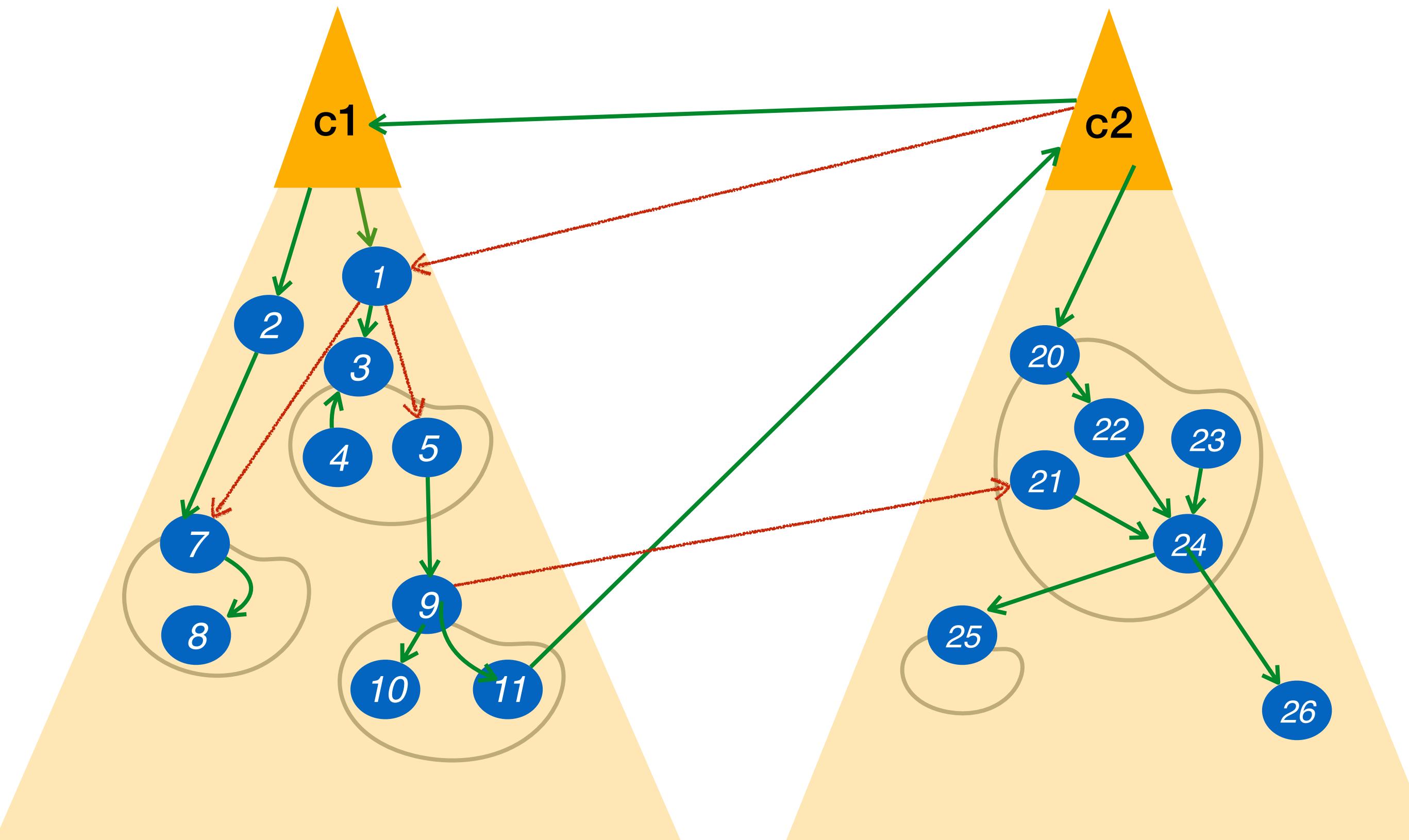


illegal reference



Region

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- Data-race freedom
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cown or actor

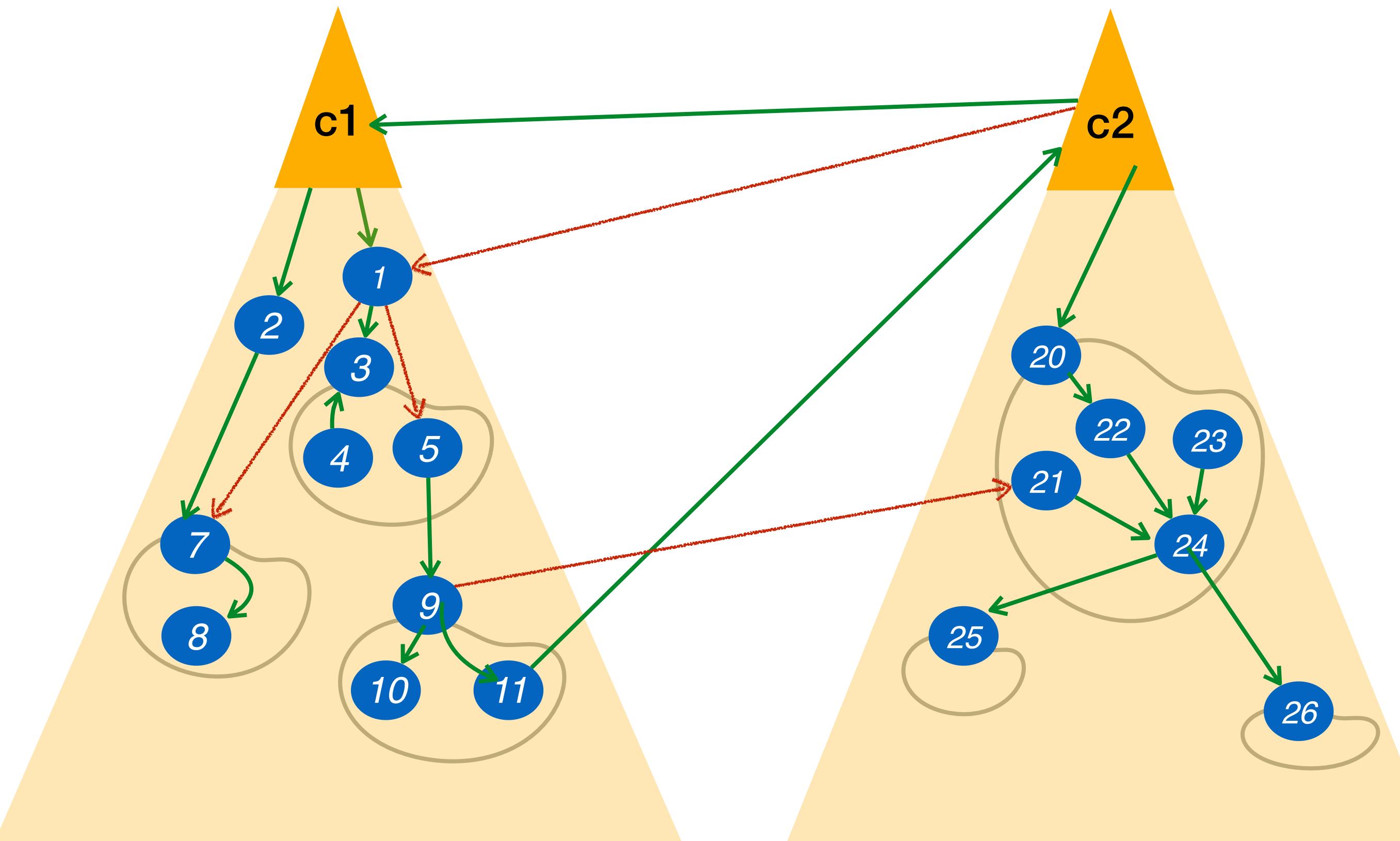
object

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illegal reference

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crown or actor



object



legal reference

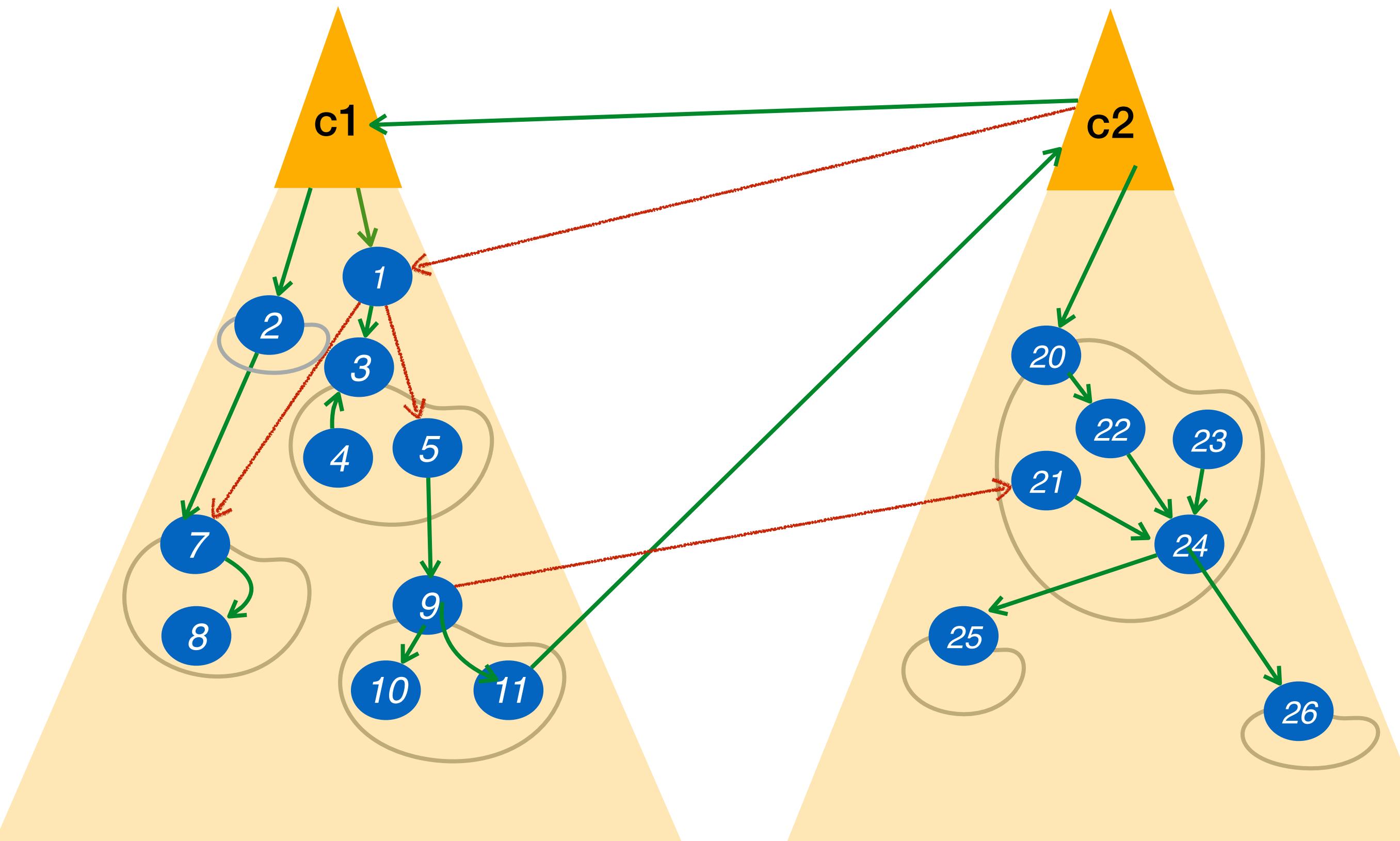


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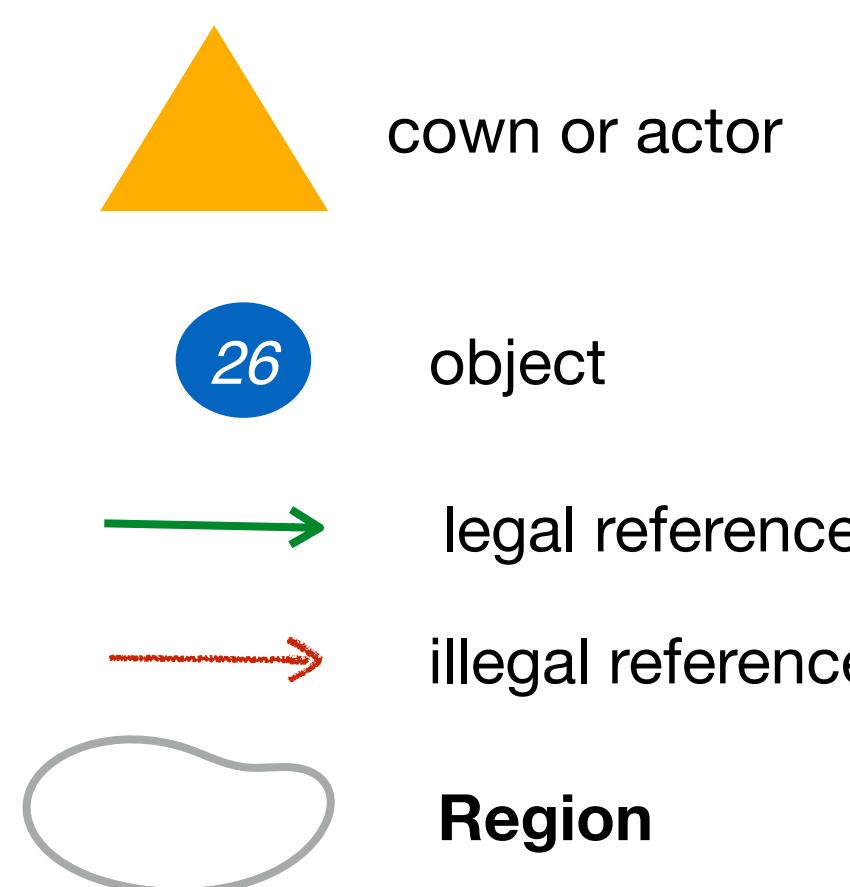


Region

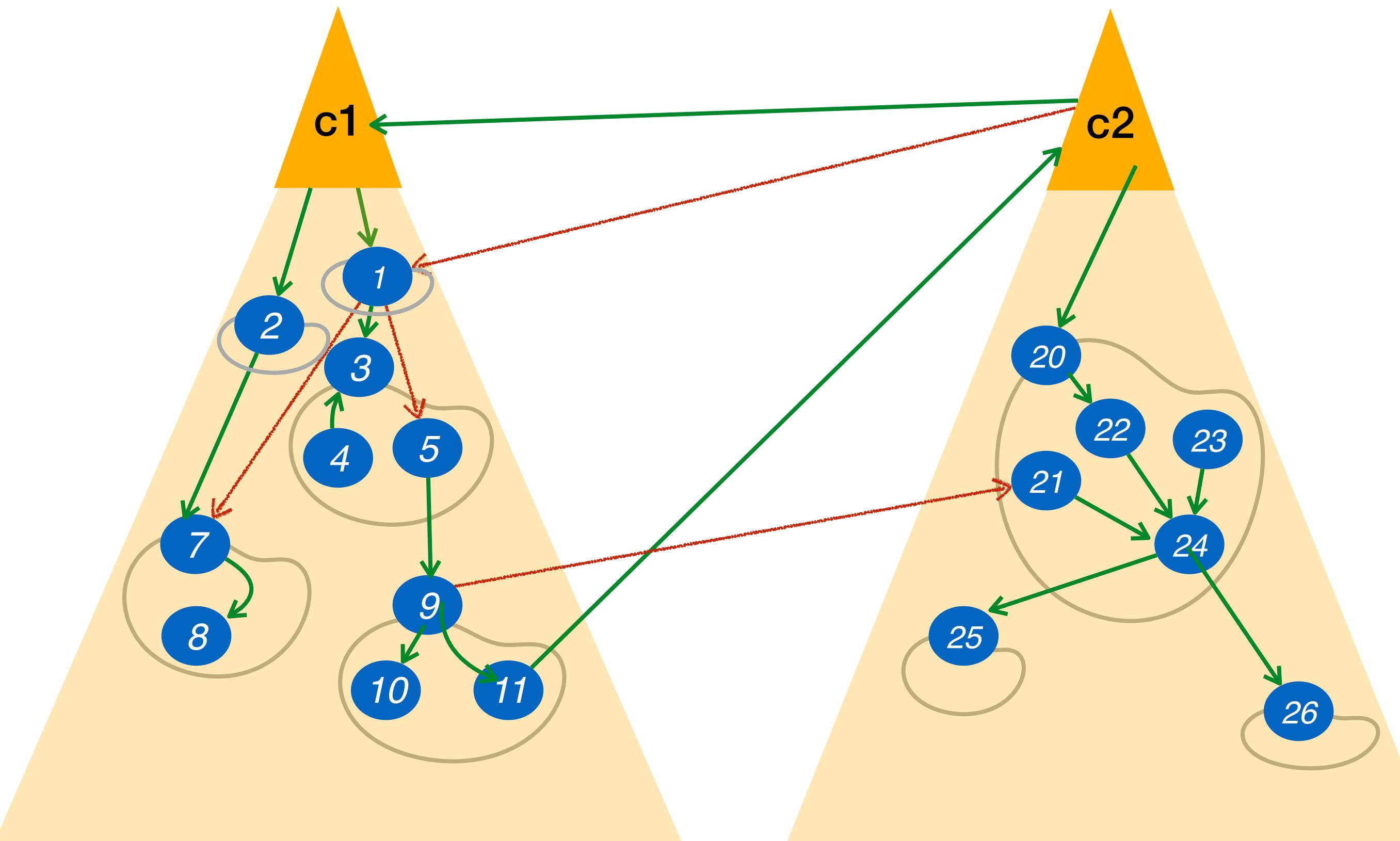
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- Data-race freedom
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- Garbage collection

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cow or actor



object



legal reference



illegal reference



Region

# Actor-based programming (Pony)

## Summary

- actor ~ active object (state)
- actors send asynchronous messages to other actors (**behaviours**)
- messages stored in queues; when scheduled, actor executes first behaviour from its message queue
- actors send synchronous messages to objects, or to themselves (**functions**)

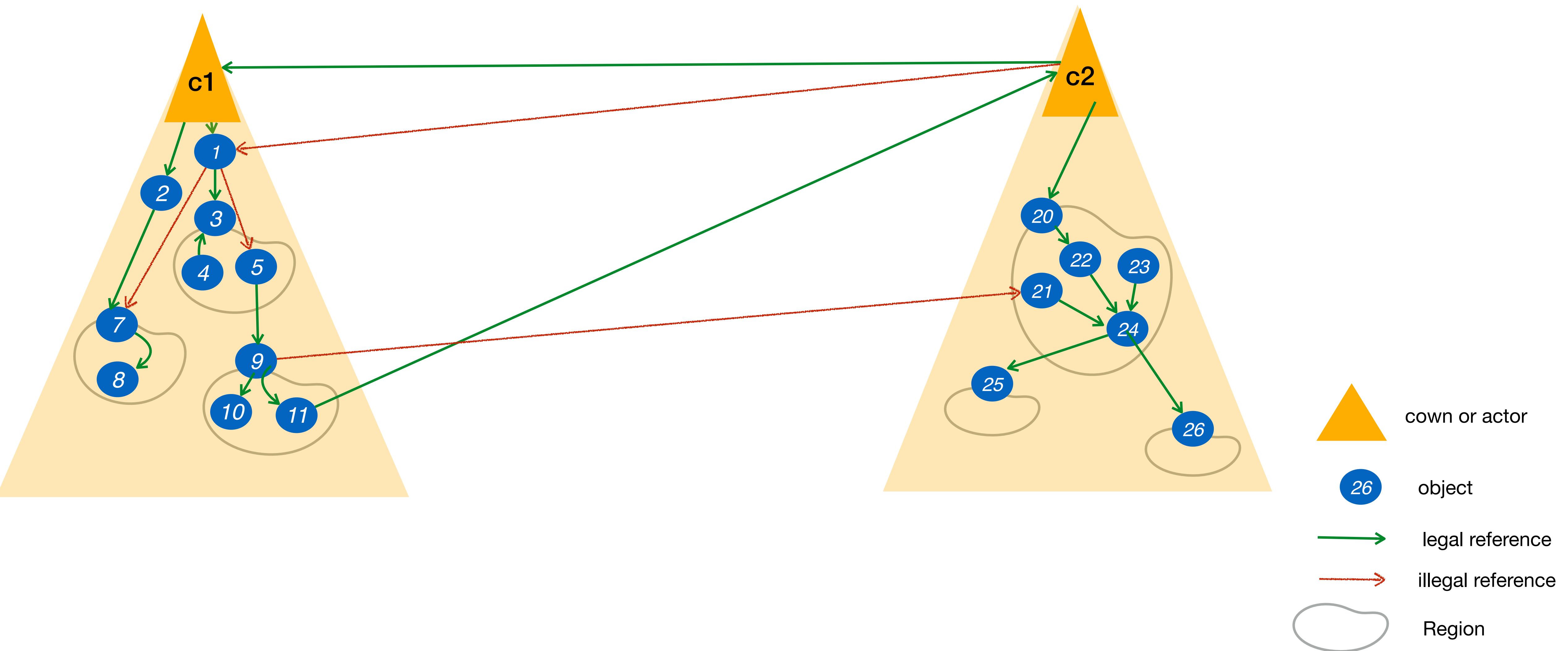
# Behaviour oriented programming (Verona)

## Summary

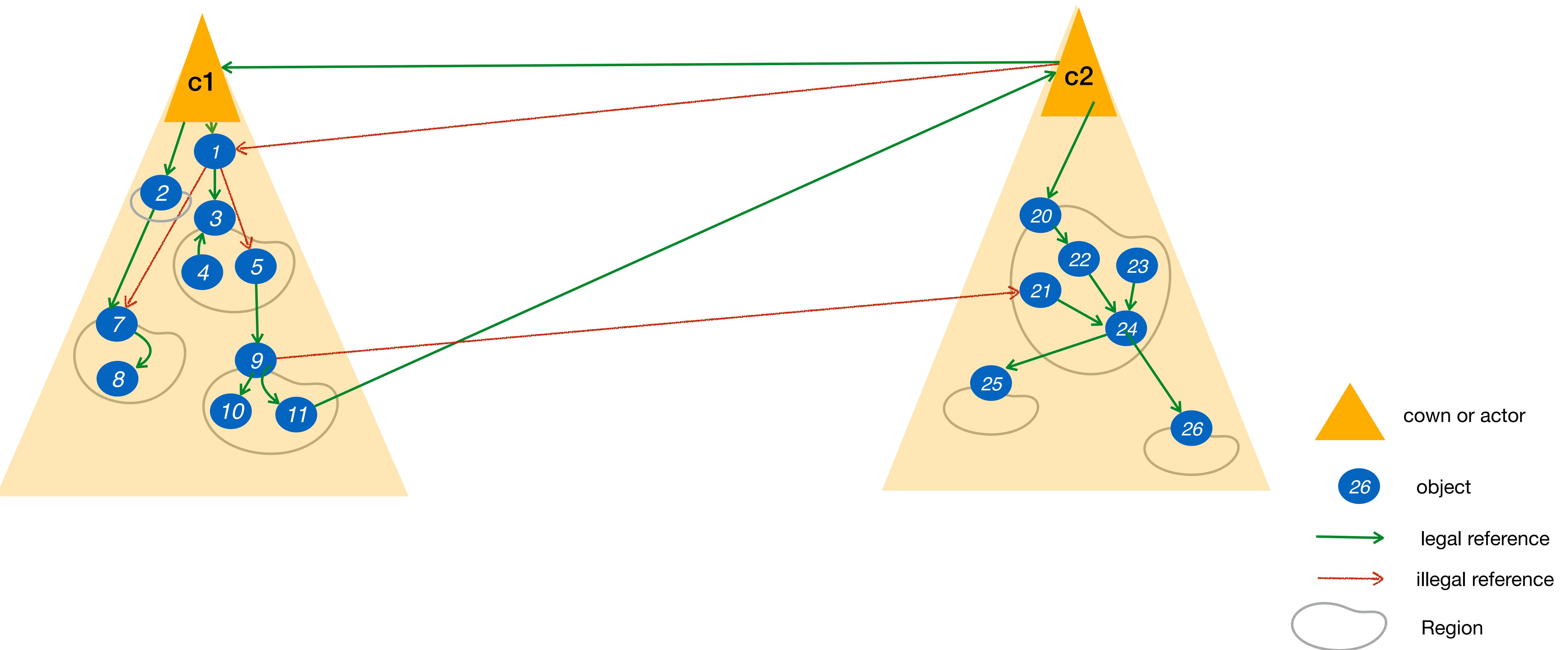
- Cowns protect separated state
- Behaviours require cows;
  - acquire them atomically at the beginning of execution
  - release them atomically at the end of execution
- During its execution, behaviour has (unique) access to the state protected by the cows it has acquired

# **Data race freedom**

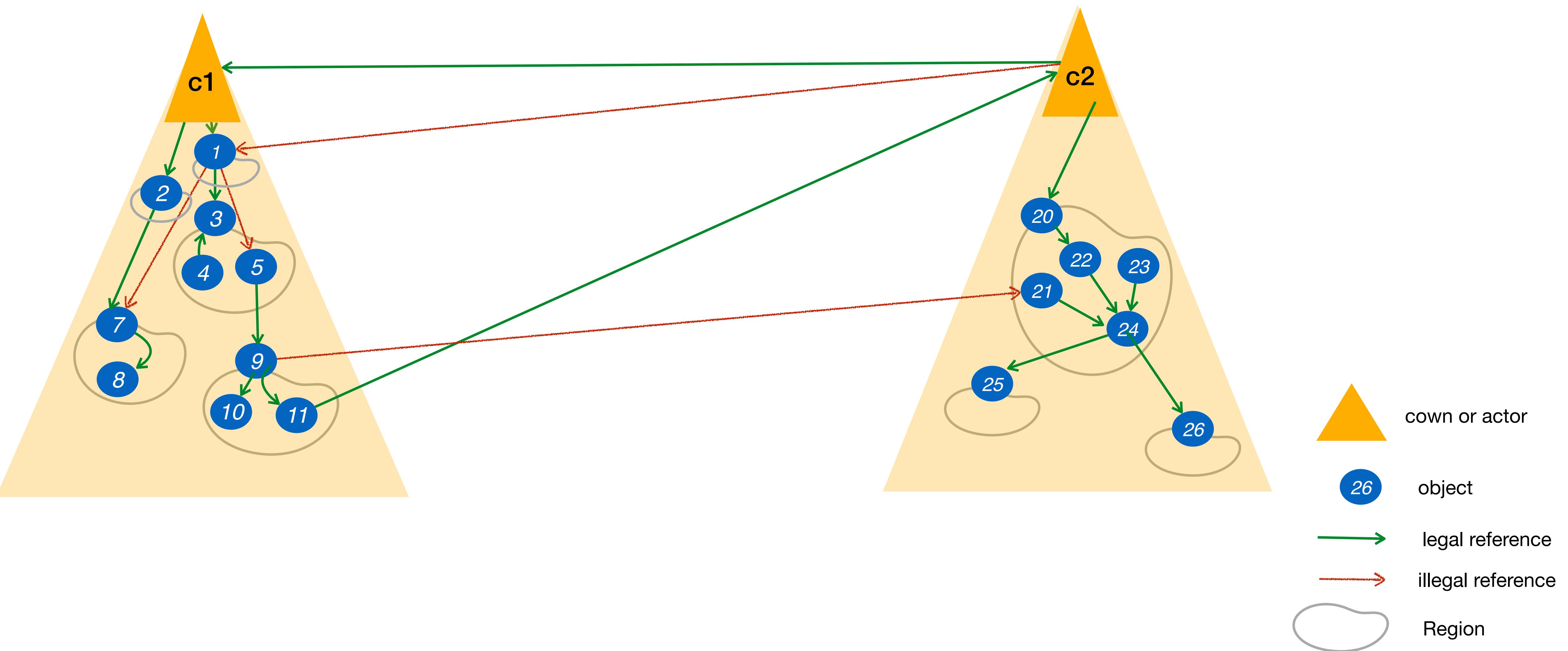
# Data-race freedom Actors/Behaviours (and more later)



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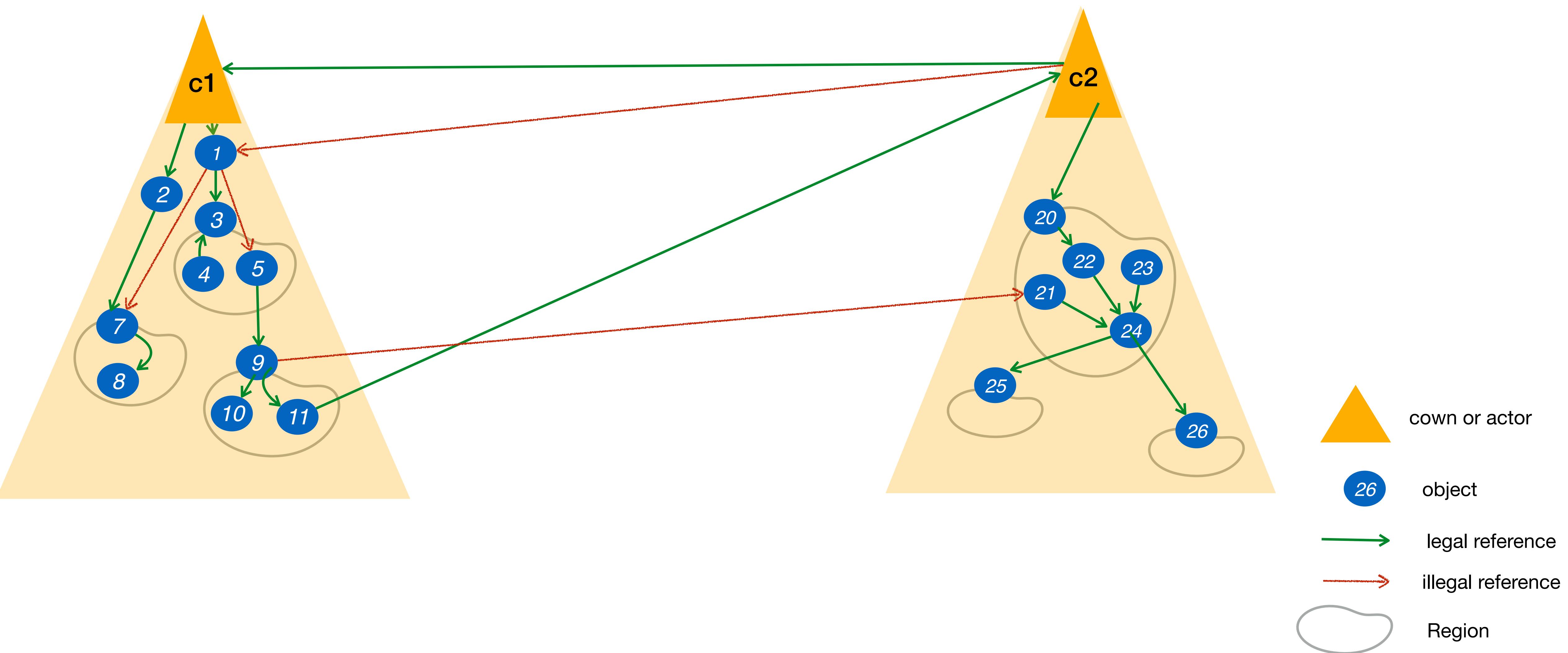


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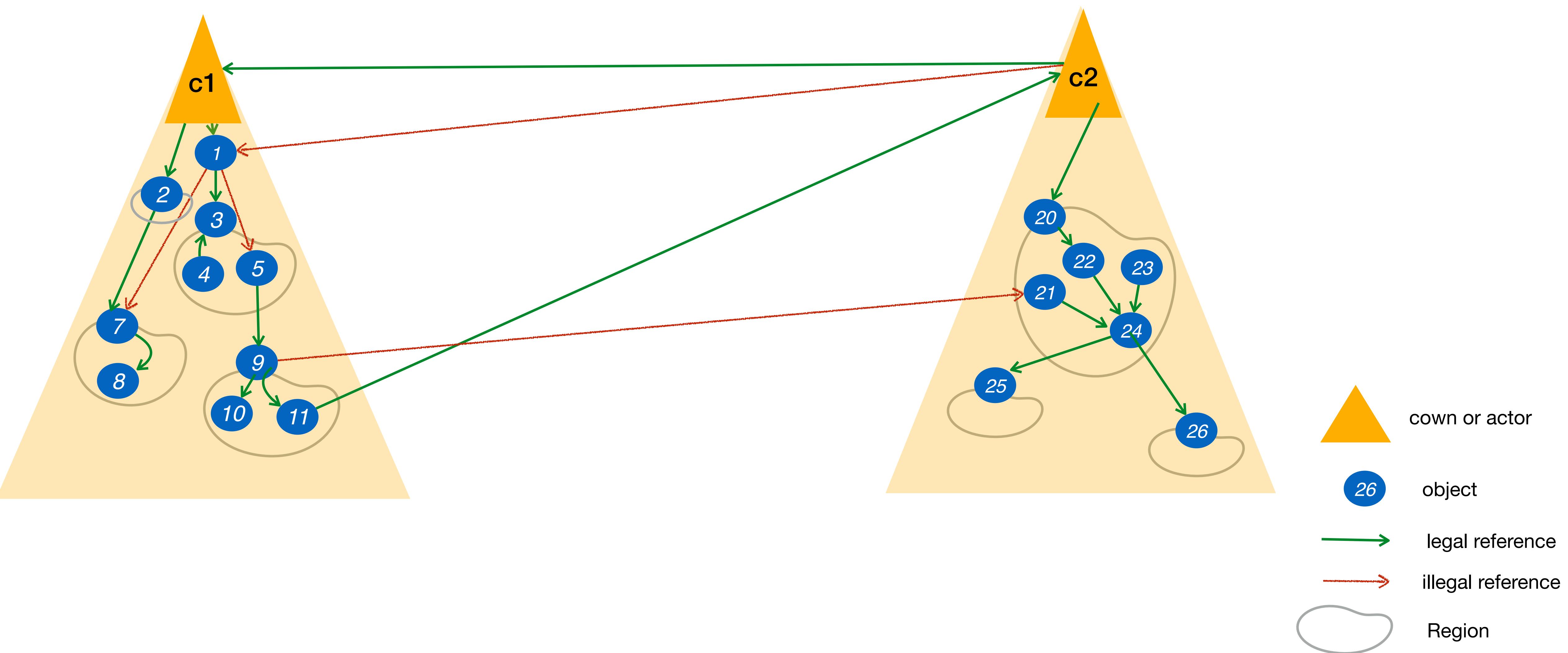


**Safe share of state without copy**

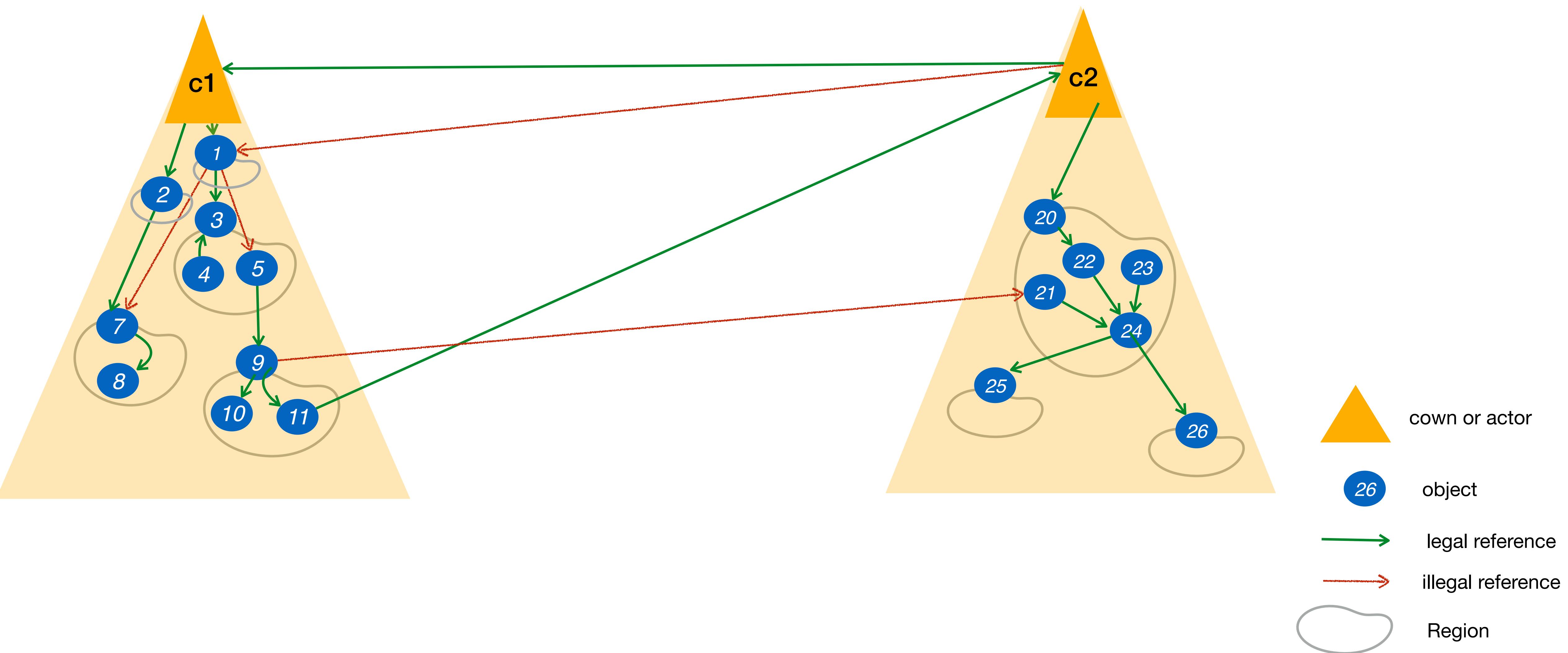
# Pony actors share state safely - 1



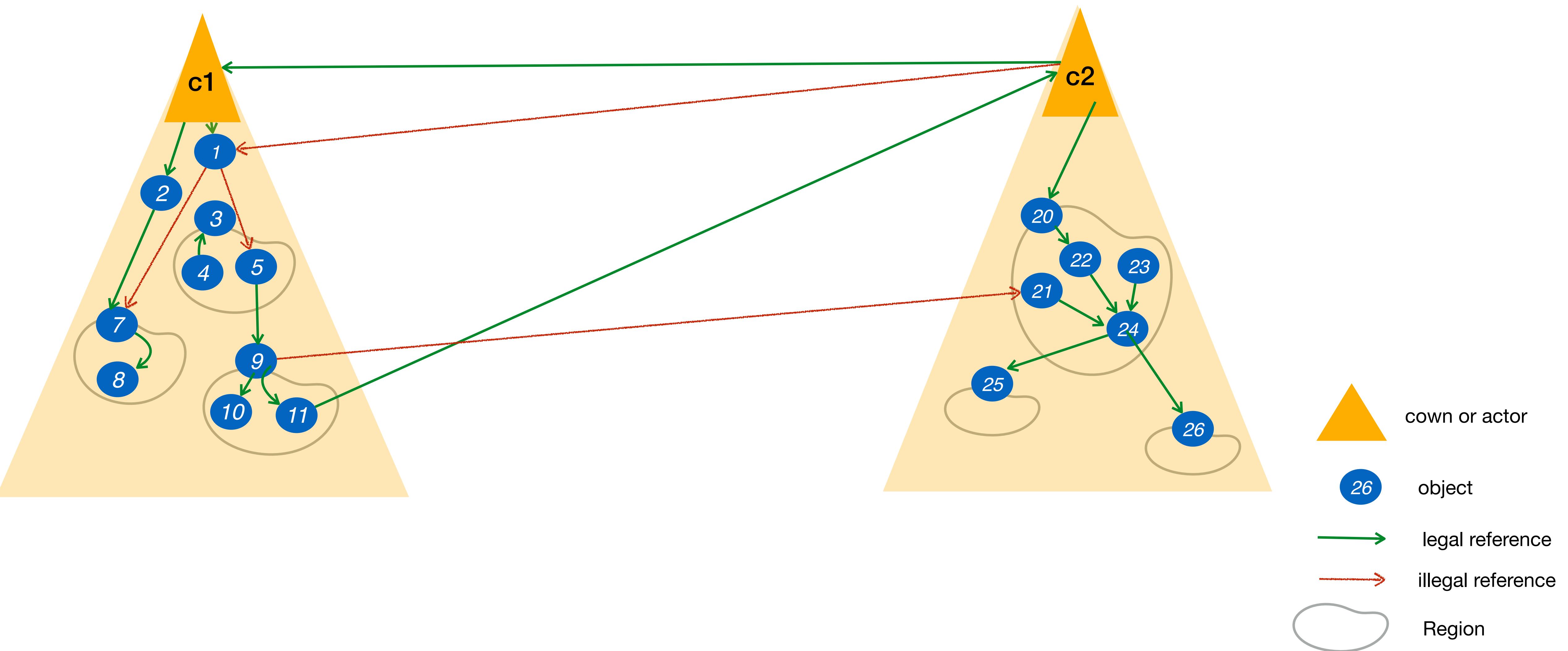
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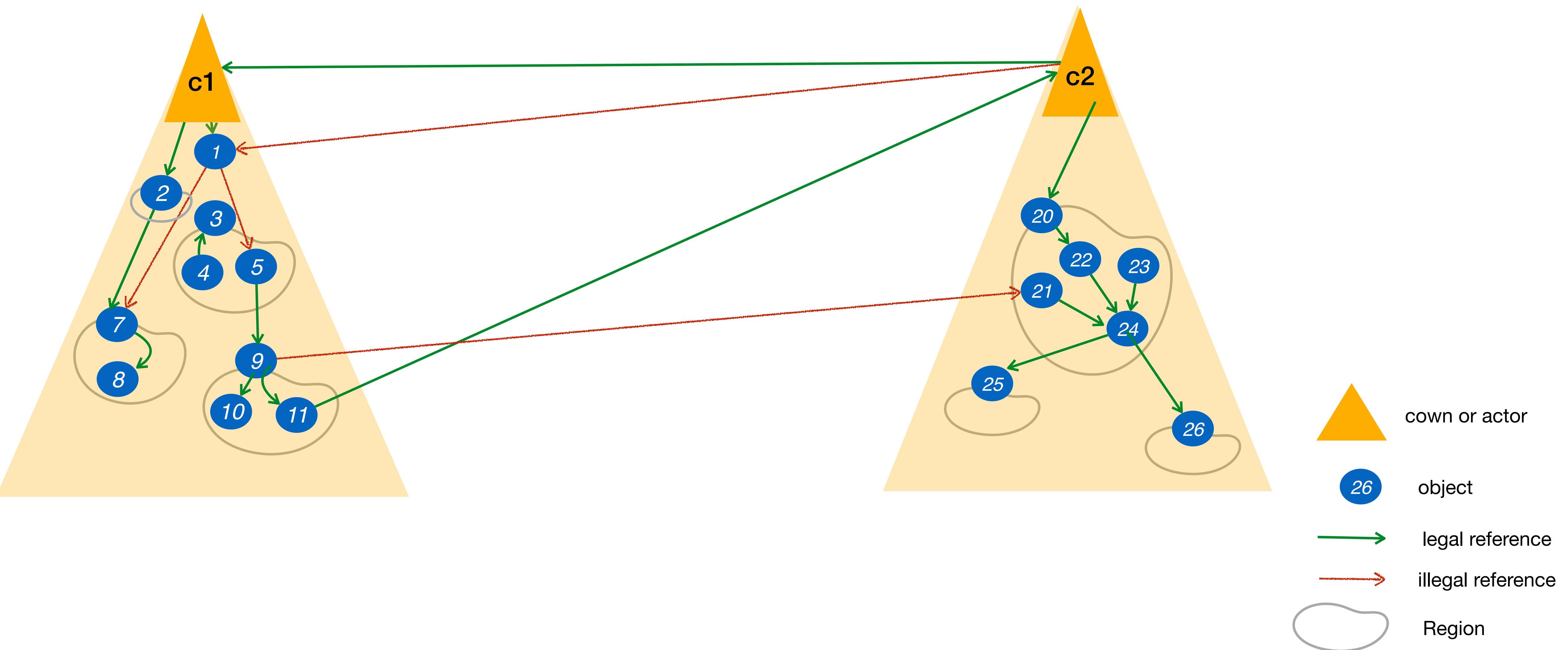
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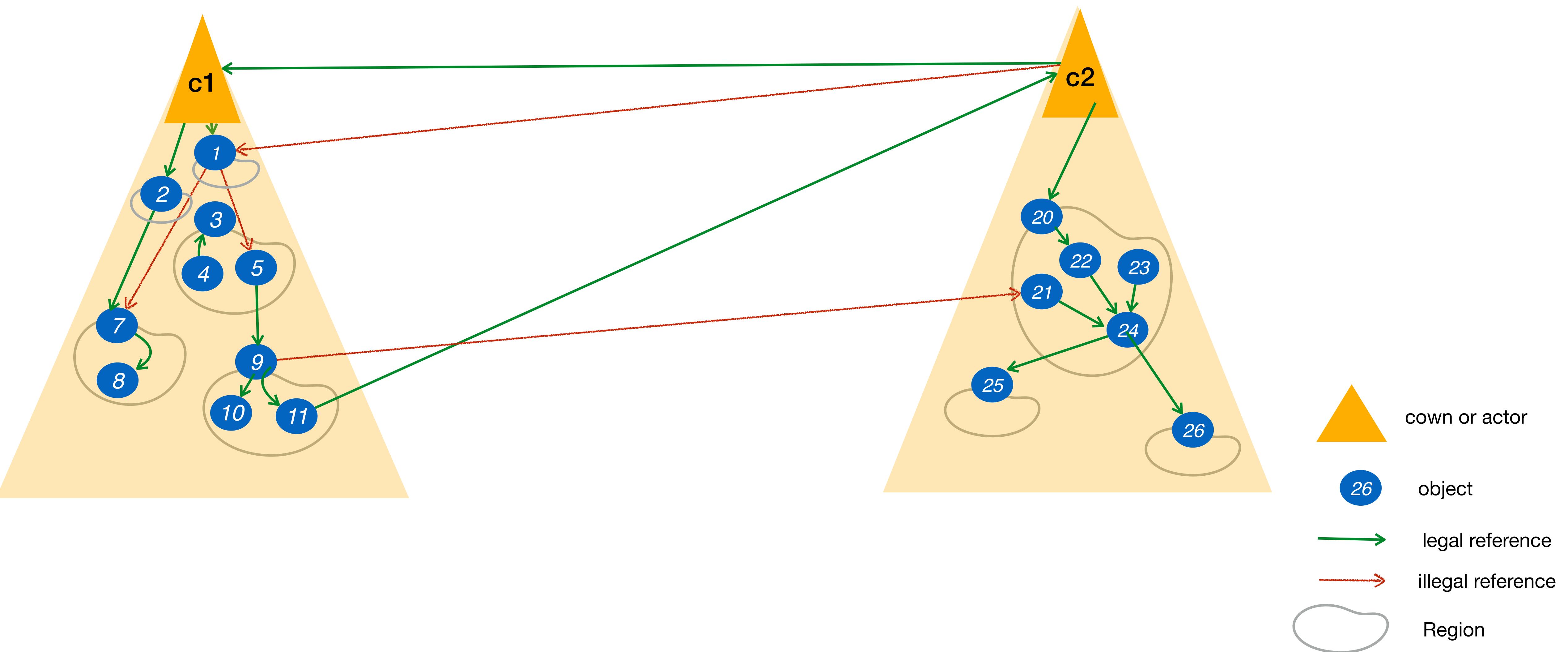
# Pony actors share state safely - 2



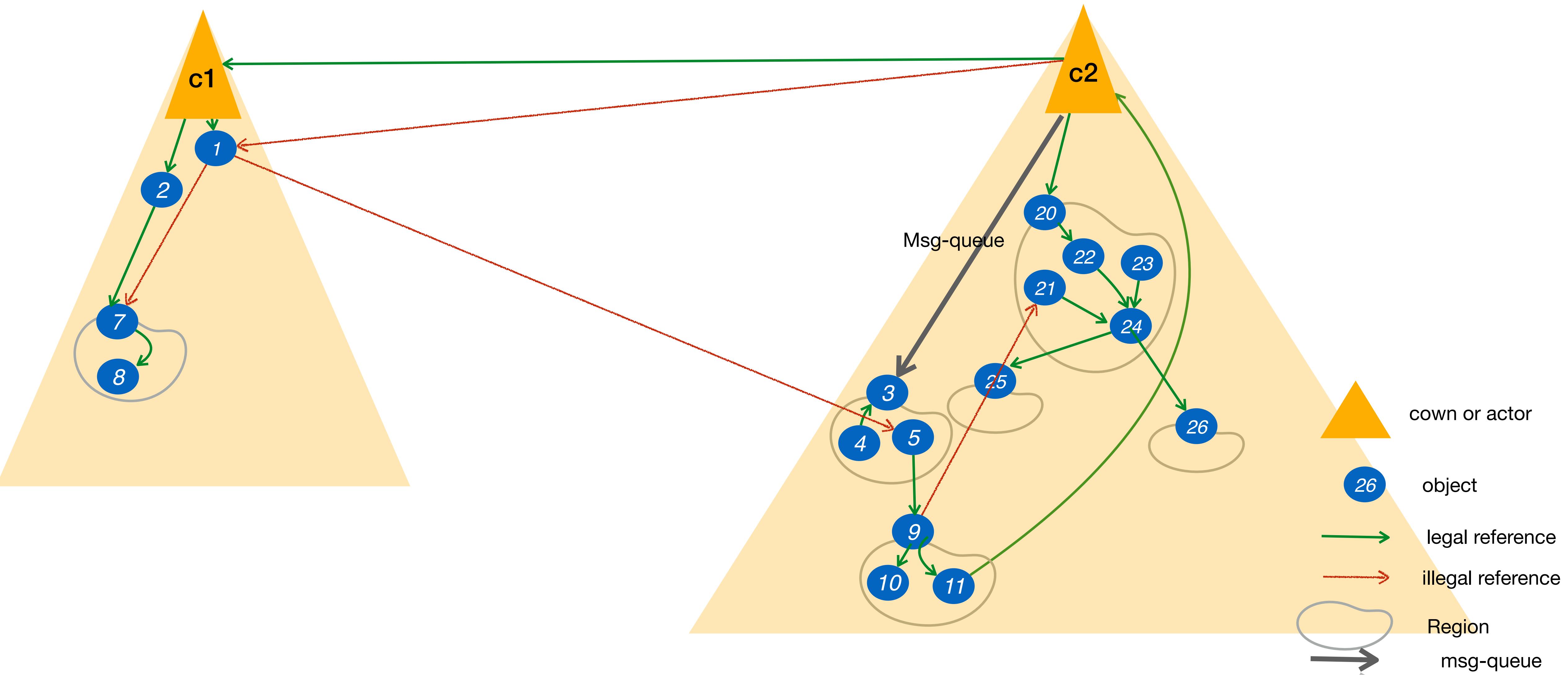
# Pony actors share state safely - 2



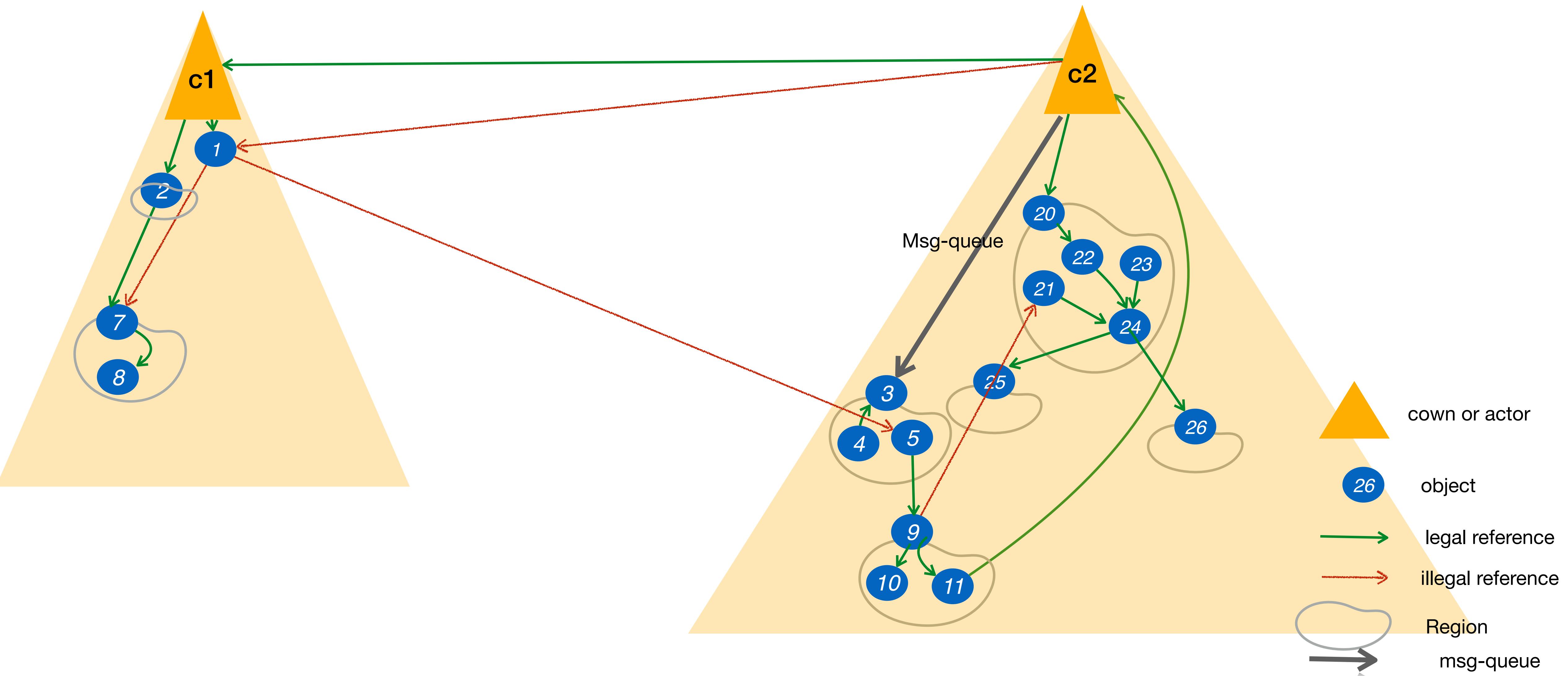
# Pony actors share state safely - 2



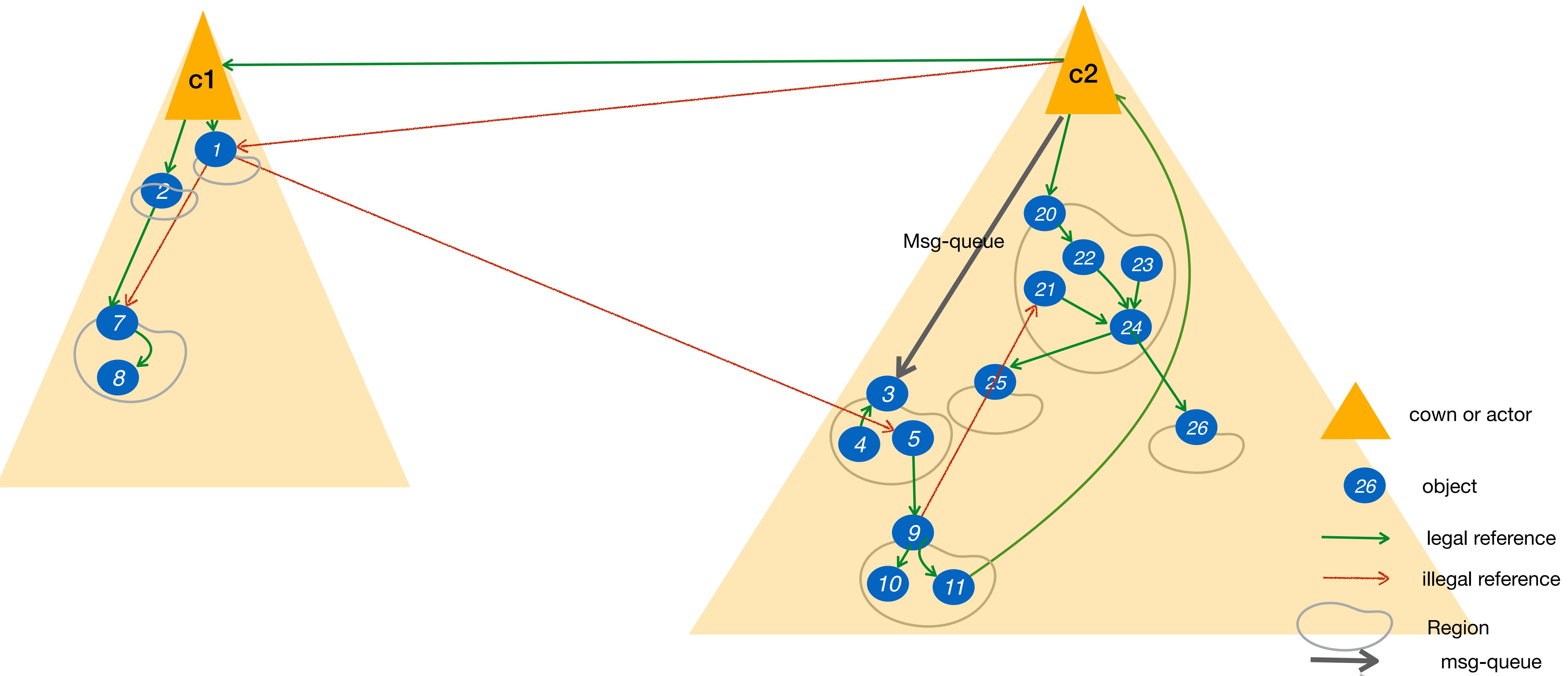
# Pony actors share state safely - 3



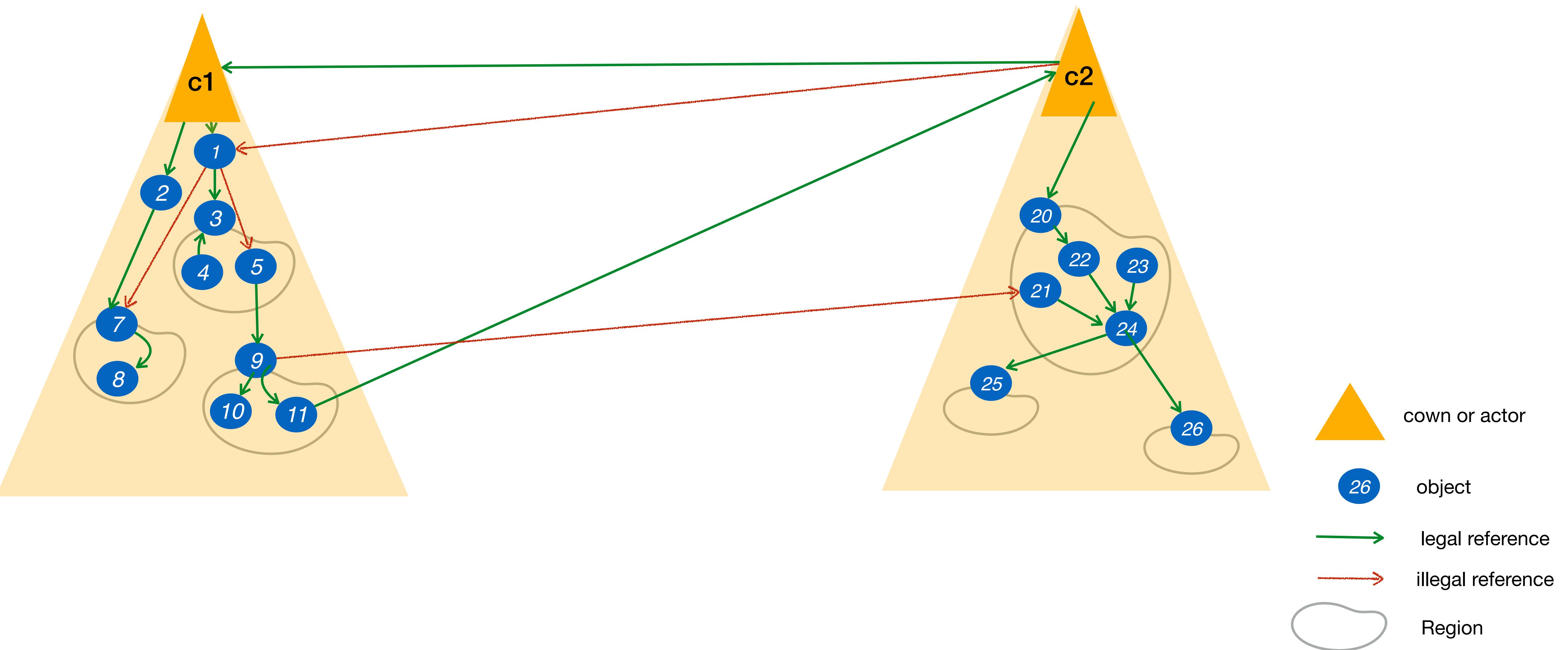
# Pony actors share state safely - 3



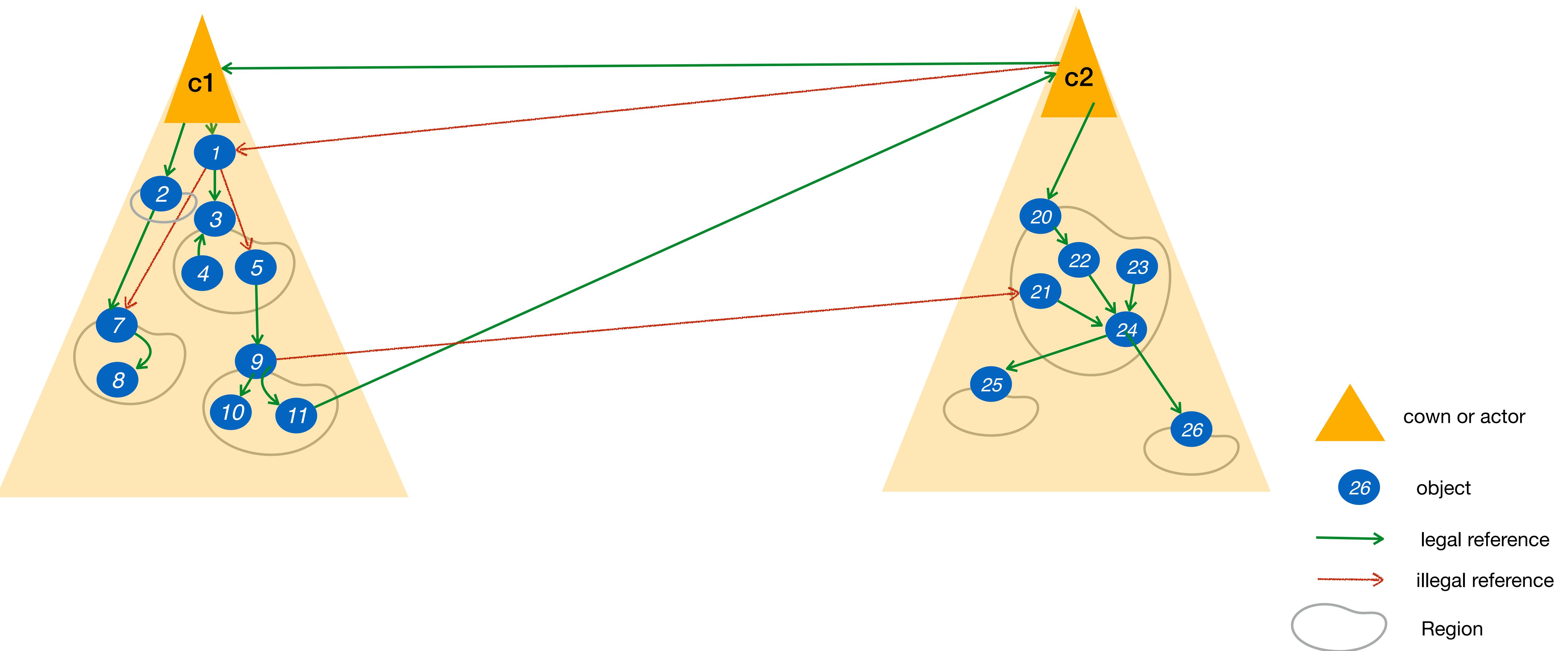
# Pony actors share state safely - 3



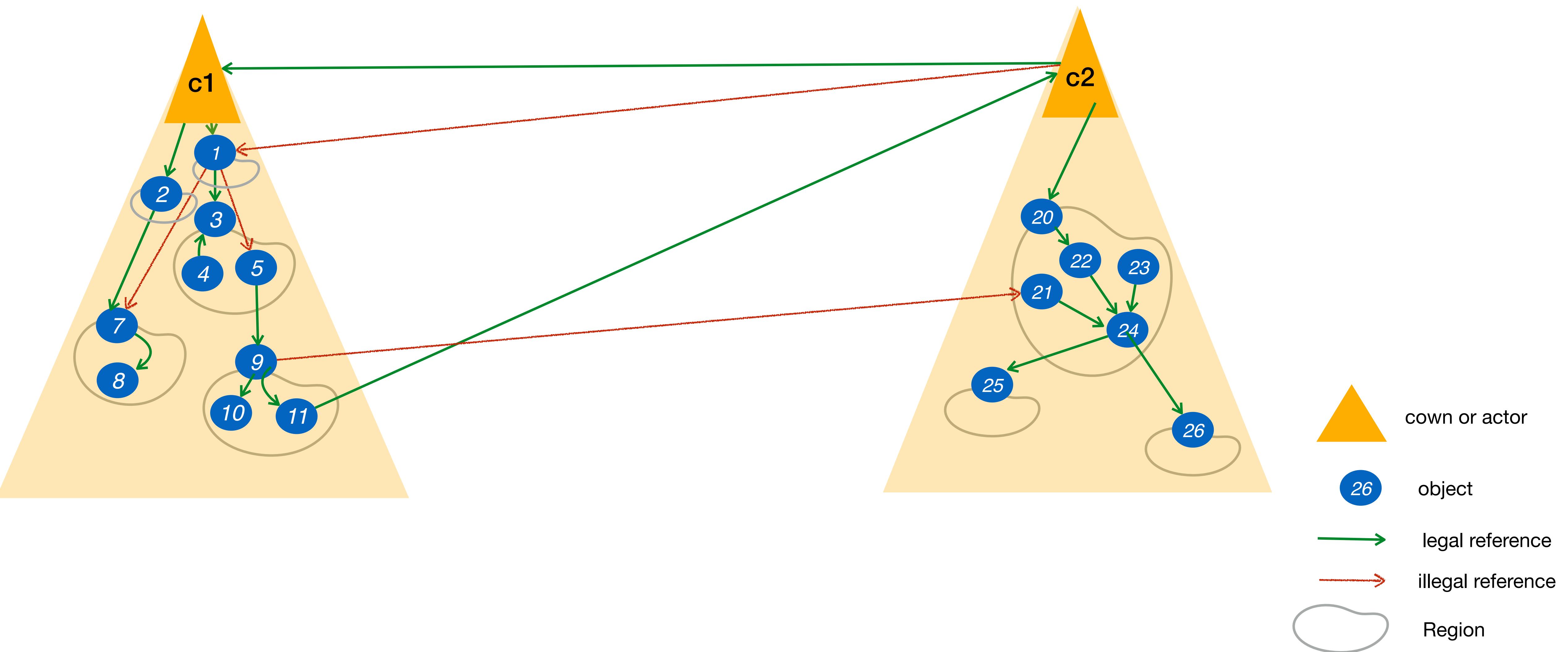
# Cowns share state safely - 1



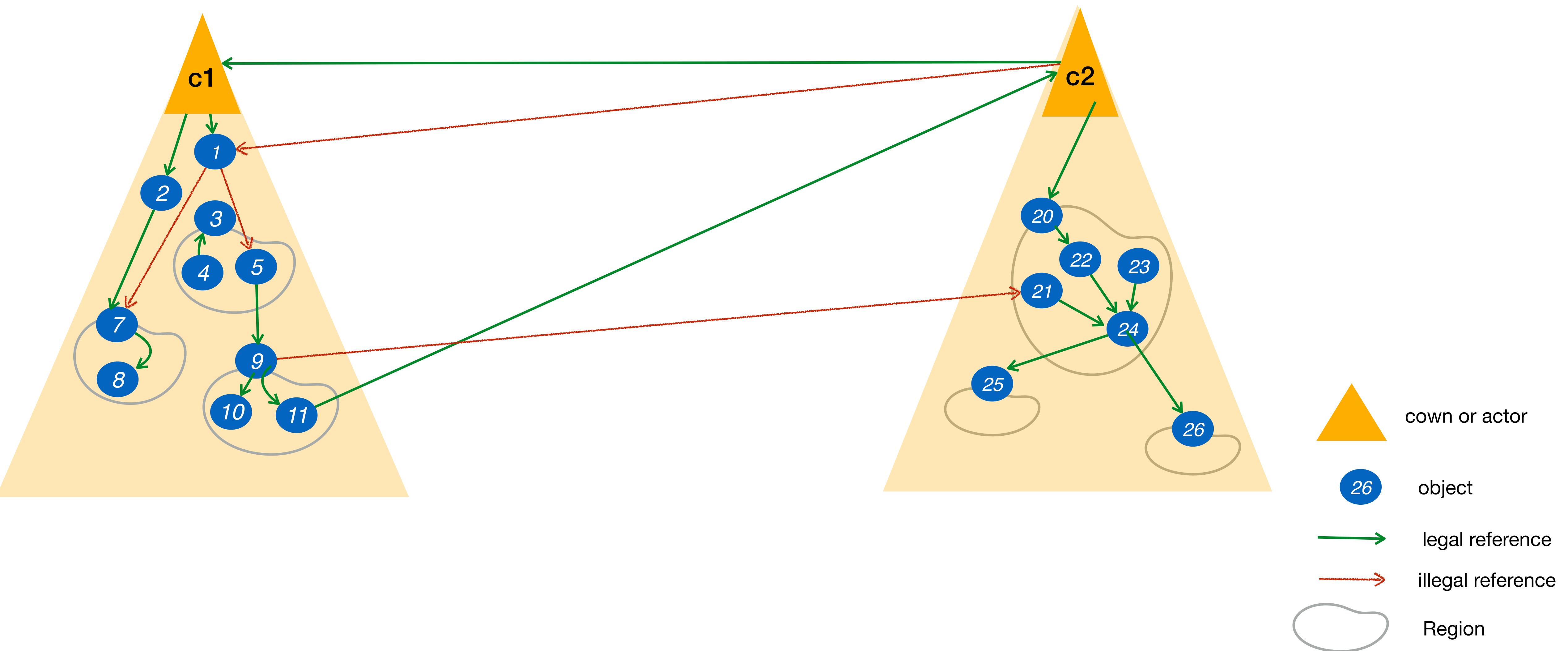
# Cowns share state safely - 1



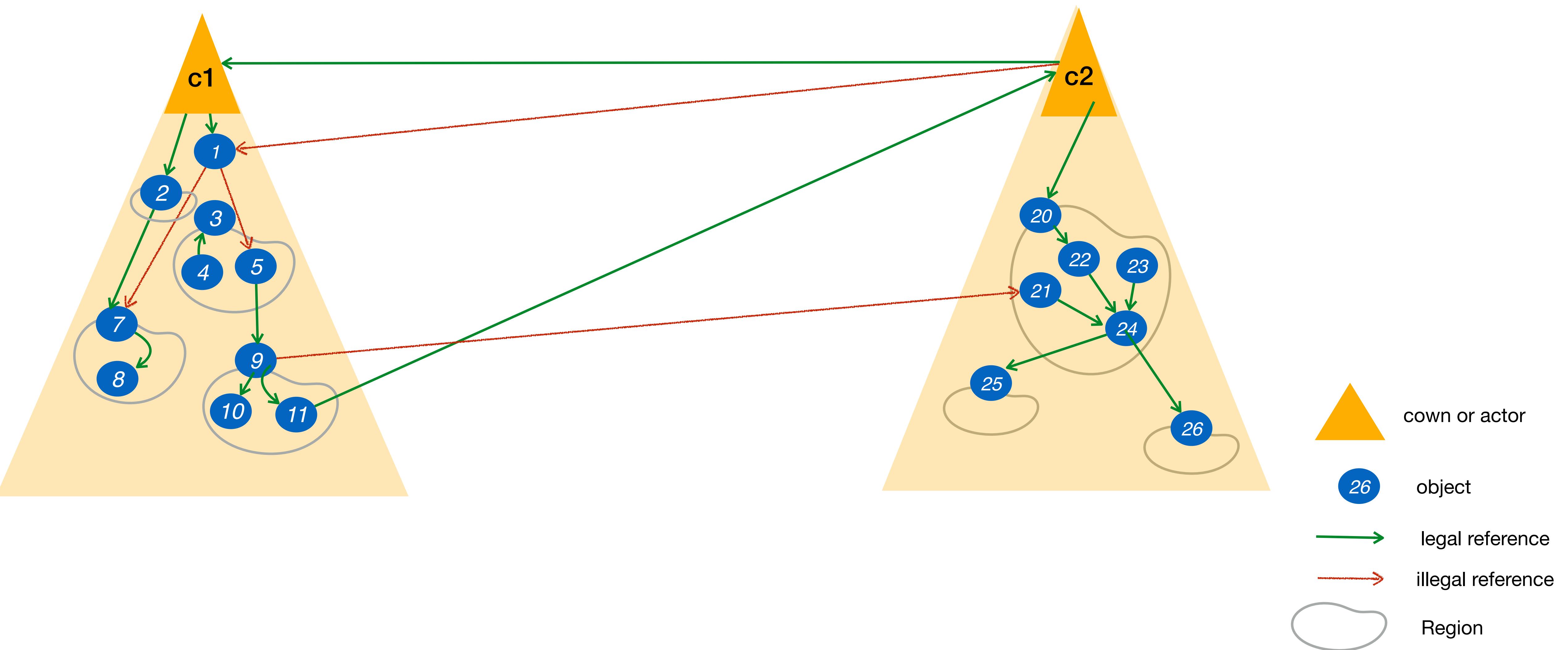
# Cowns share state safely - 1



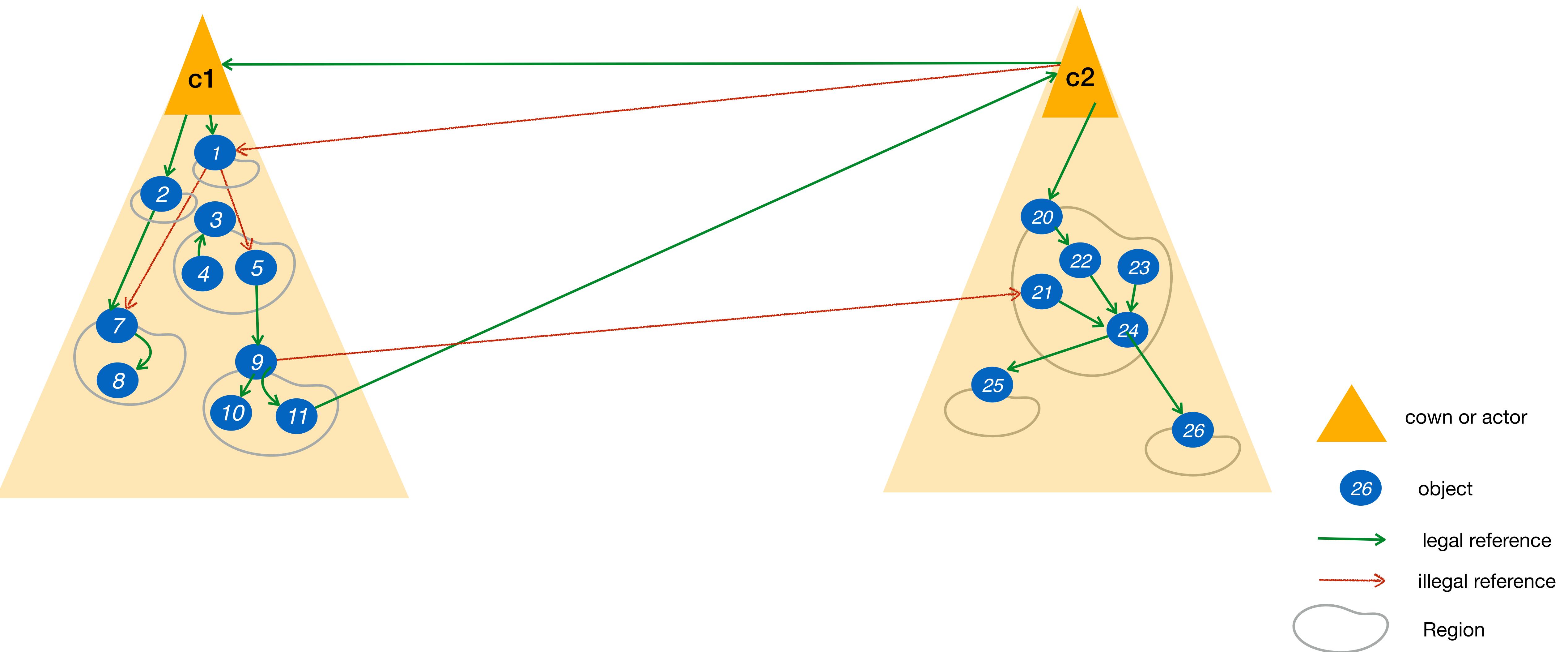
# Cowns share state safely - 2



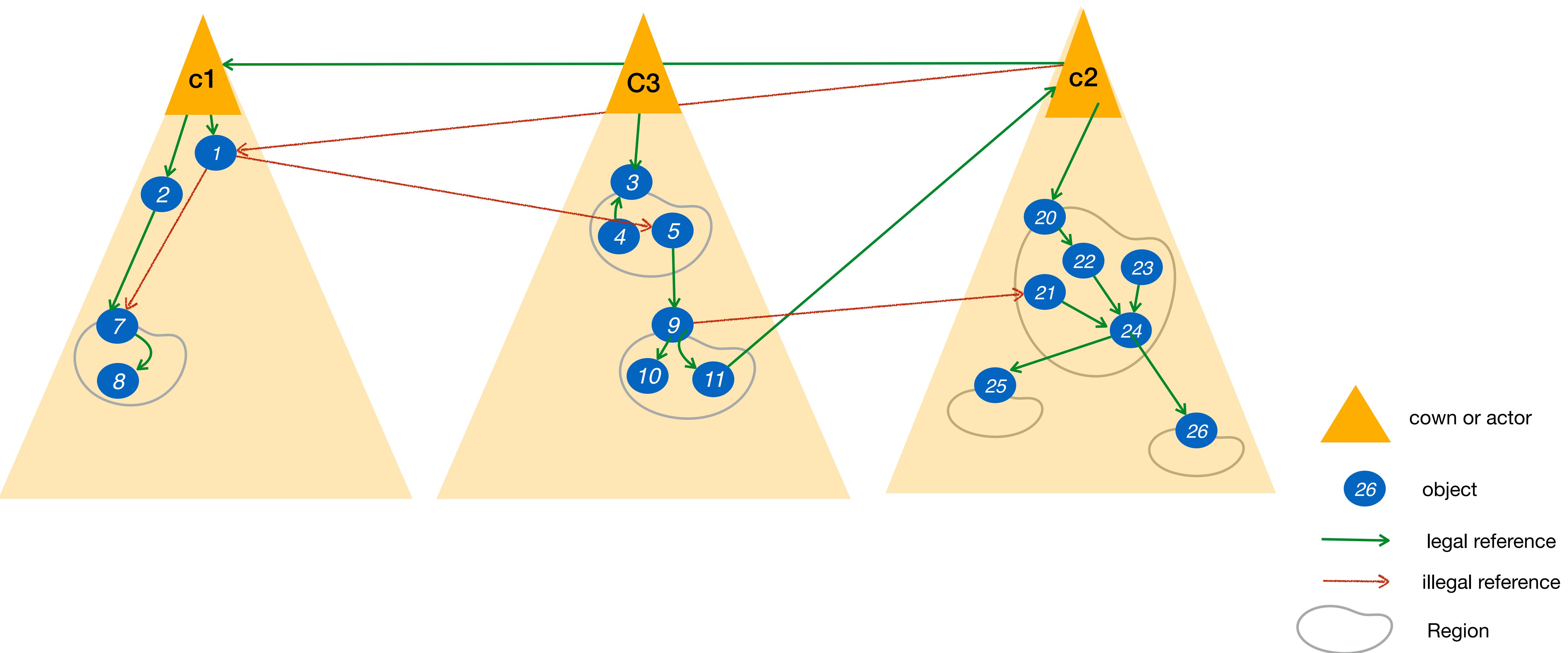
# Cowns share state safely - 2



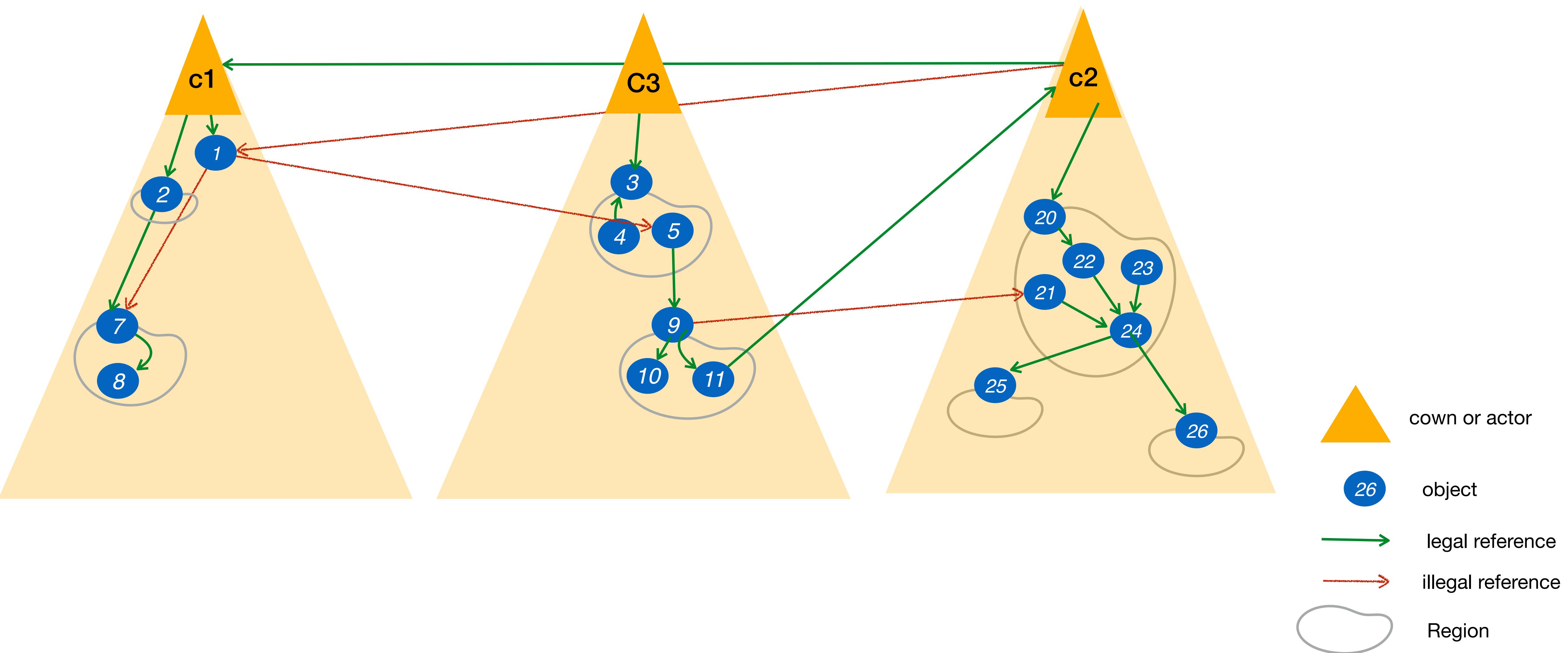
# Cowns share state safely - 2



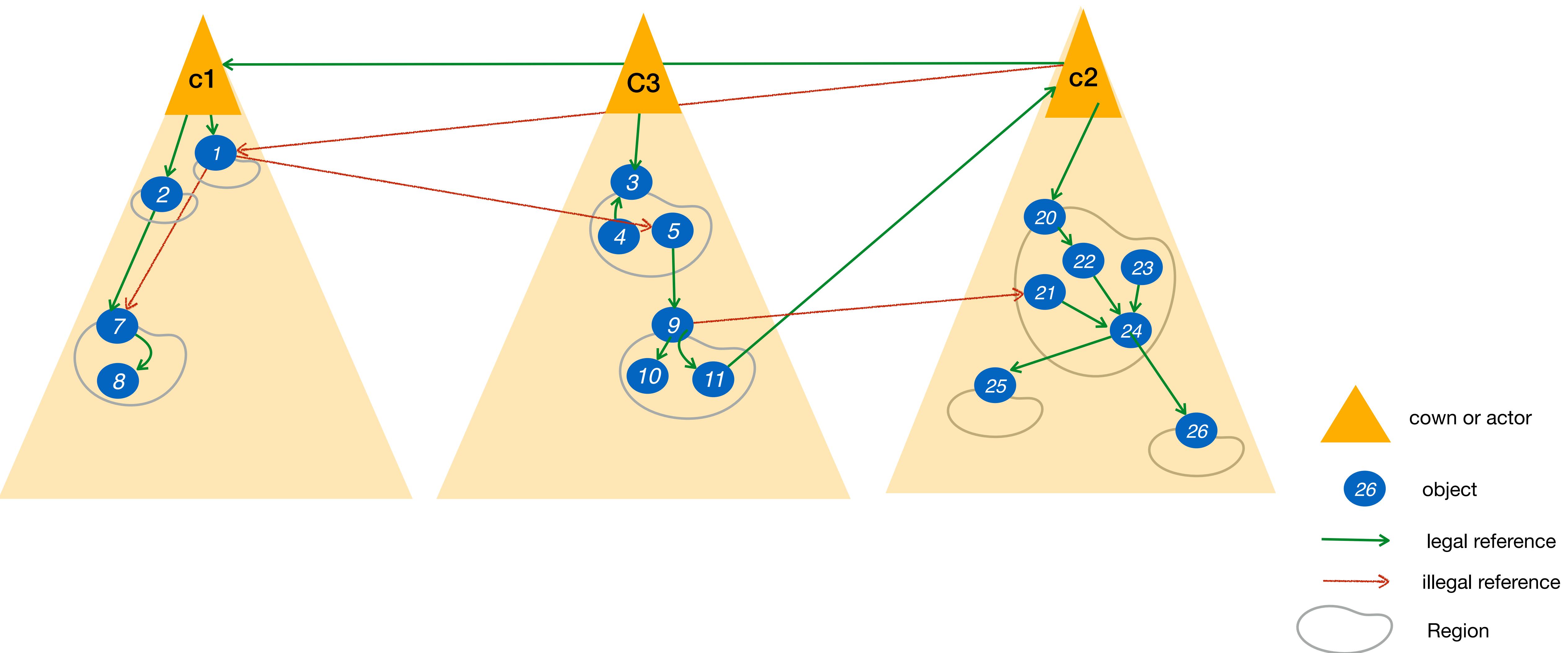
# Cows share state safely - 3



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# Cows share state safely - 3



# **Garbage Collection**

# MAC: Multicore Actor Collector

## GC for actors (Pony)



### Fully Concurrent Garbage Collection of Actors on Many-Core Machines

Sylvan Clebsch and Sophia Drossopoulou  
Department of Computing, Imperial College, London  
[{sc5511, scd}@doc.ic.ac.uk](mailto:{sc5511, scd}@doc.ic.ac.uk)



# What is a dead actor?

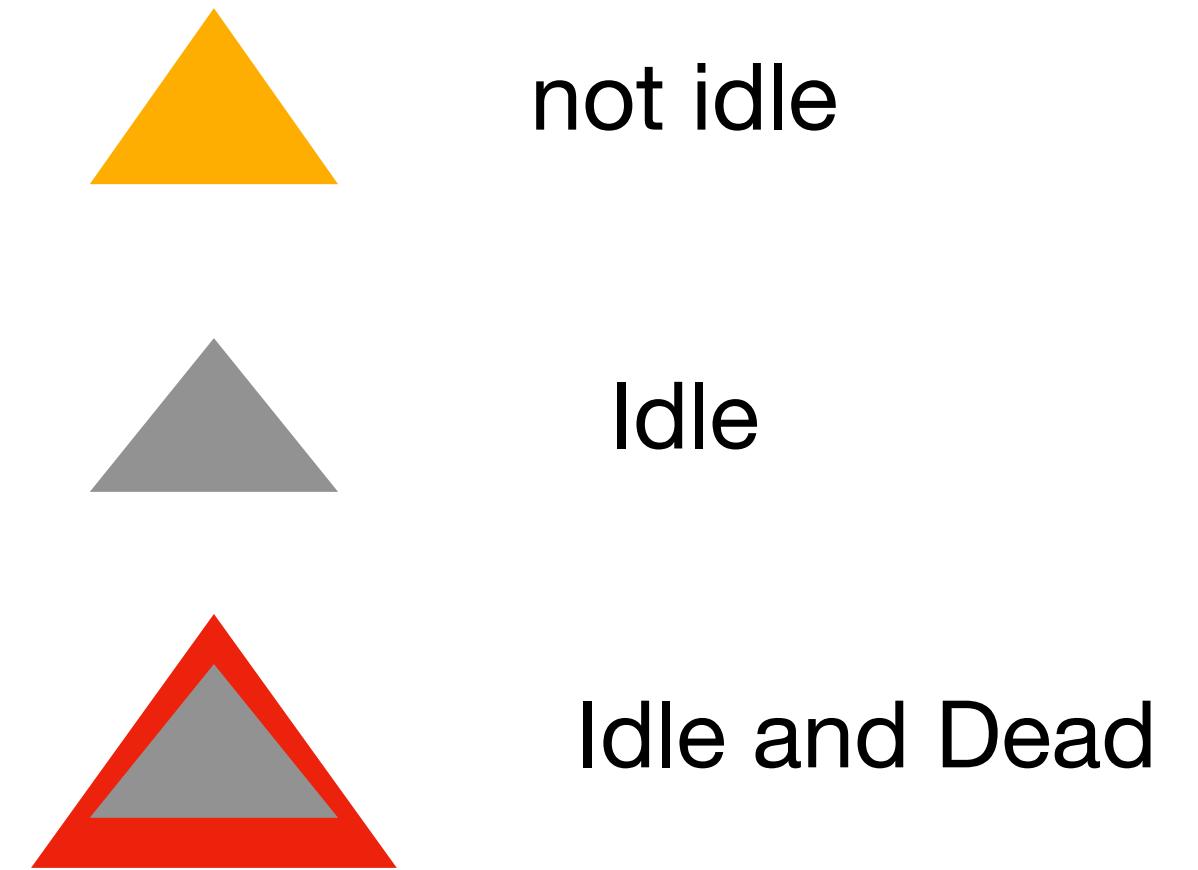
$\text{Idle}(a)$  iff (  $a$  is not executing a behaviour)  $\wedge$  (  $a.\text{MsgQueue}$  is empty )

$\text{Dead}(a)$  iff  $\text{Idle}(a) \wedge \forall a'. [ a \in a'.\text{heap} \Rightarrow \text{Dead}(a') ] \wedge \forall a'. [ a \notin a'.\text{MsgQueue} ]$

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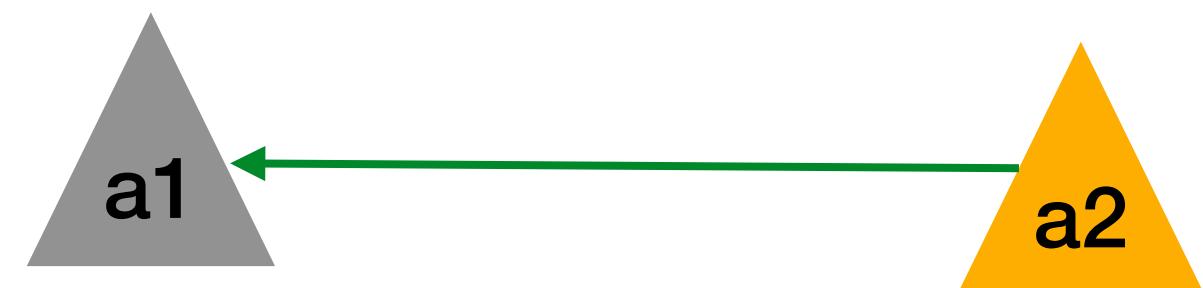
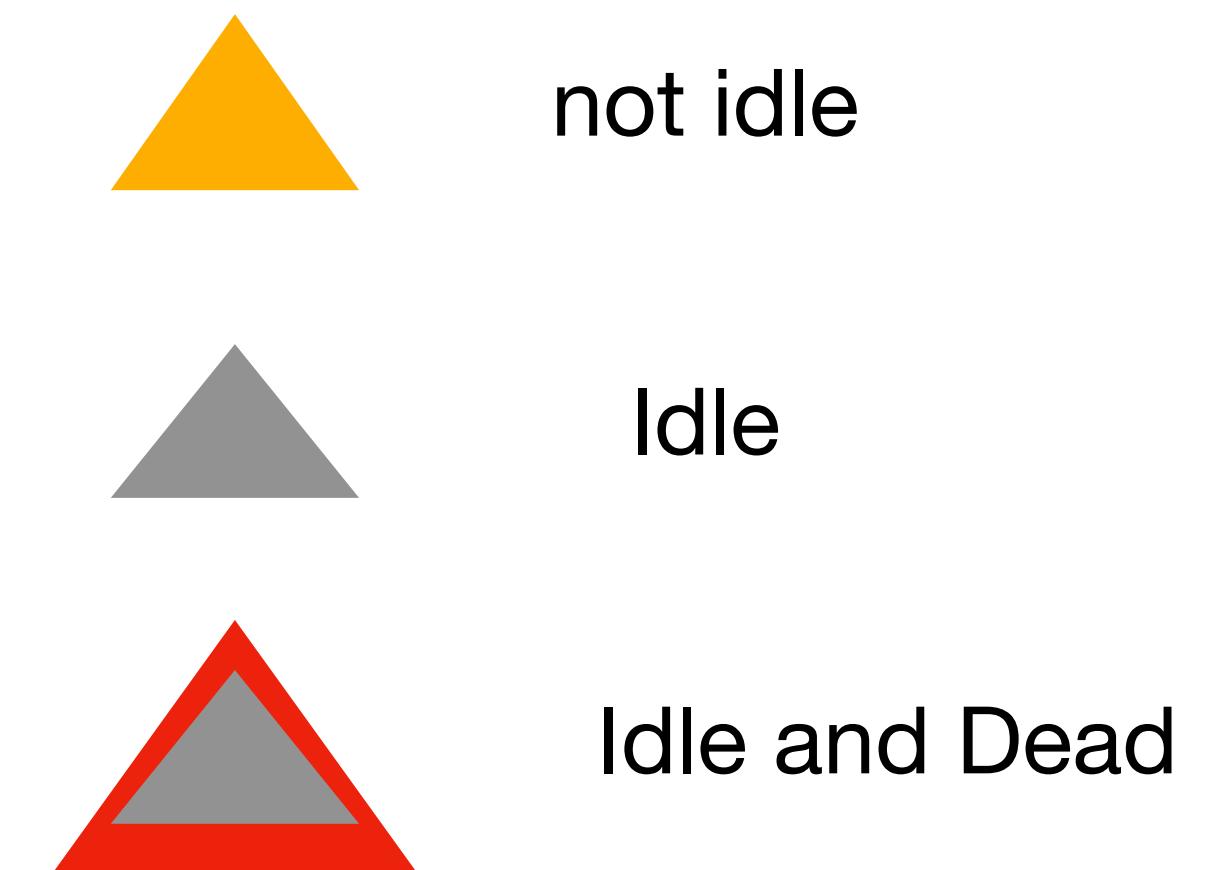
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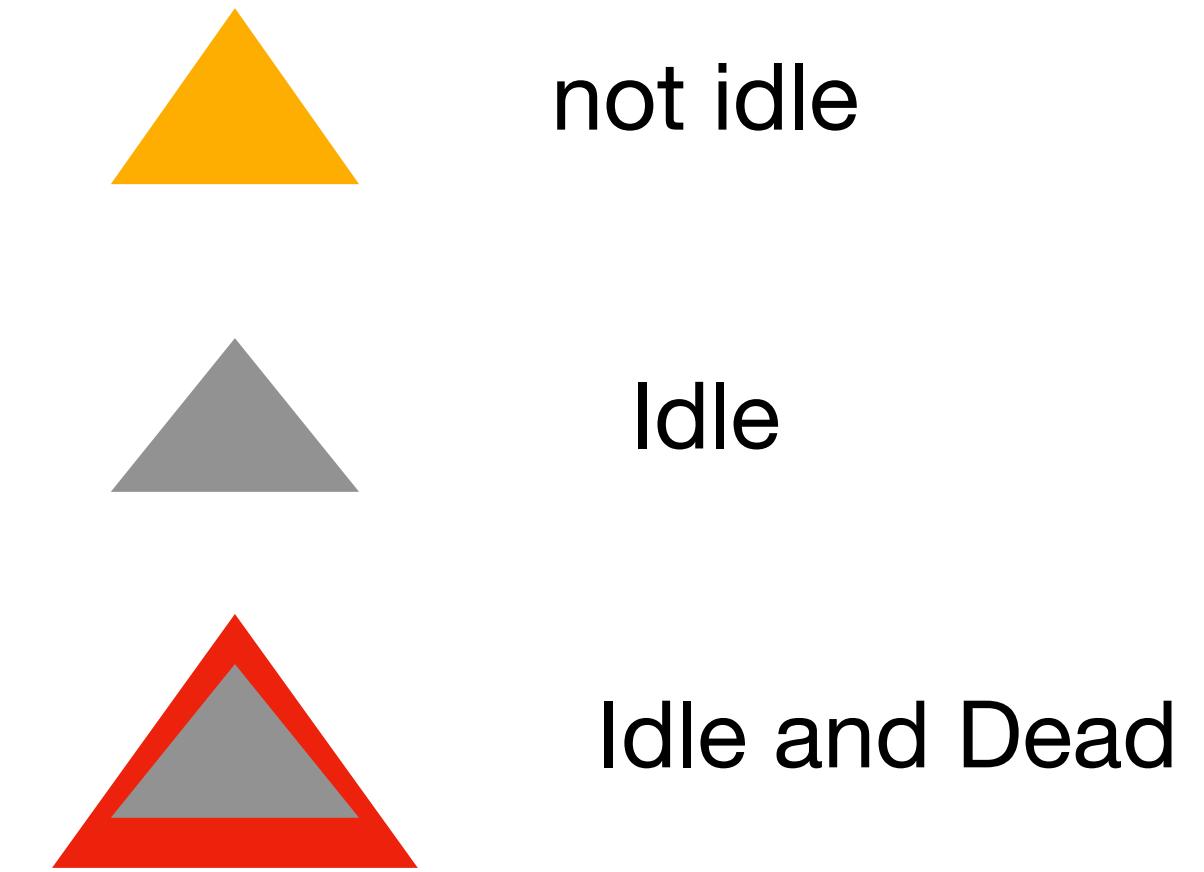
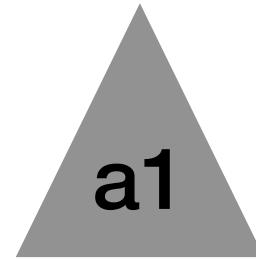
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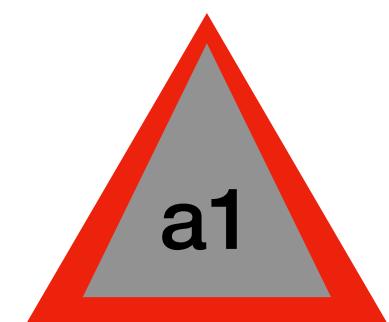
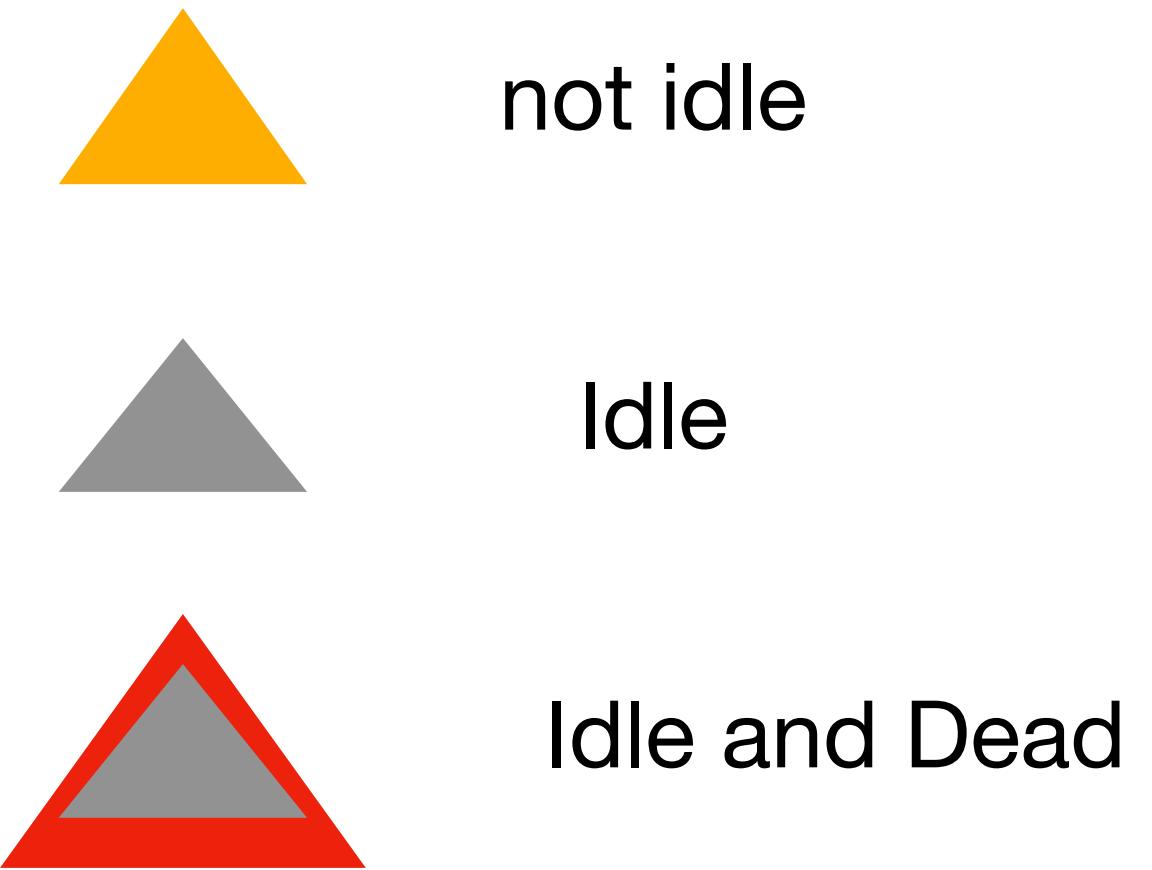
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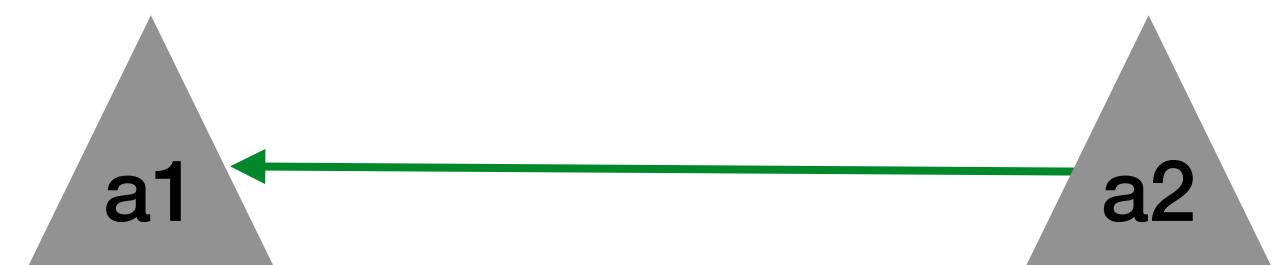
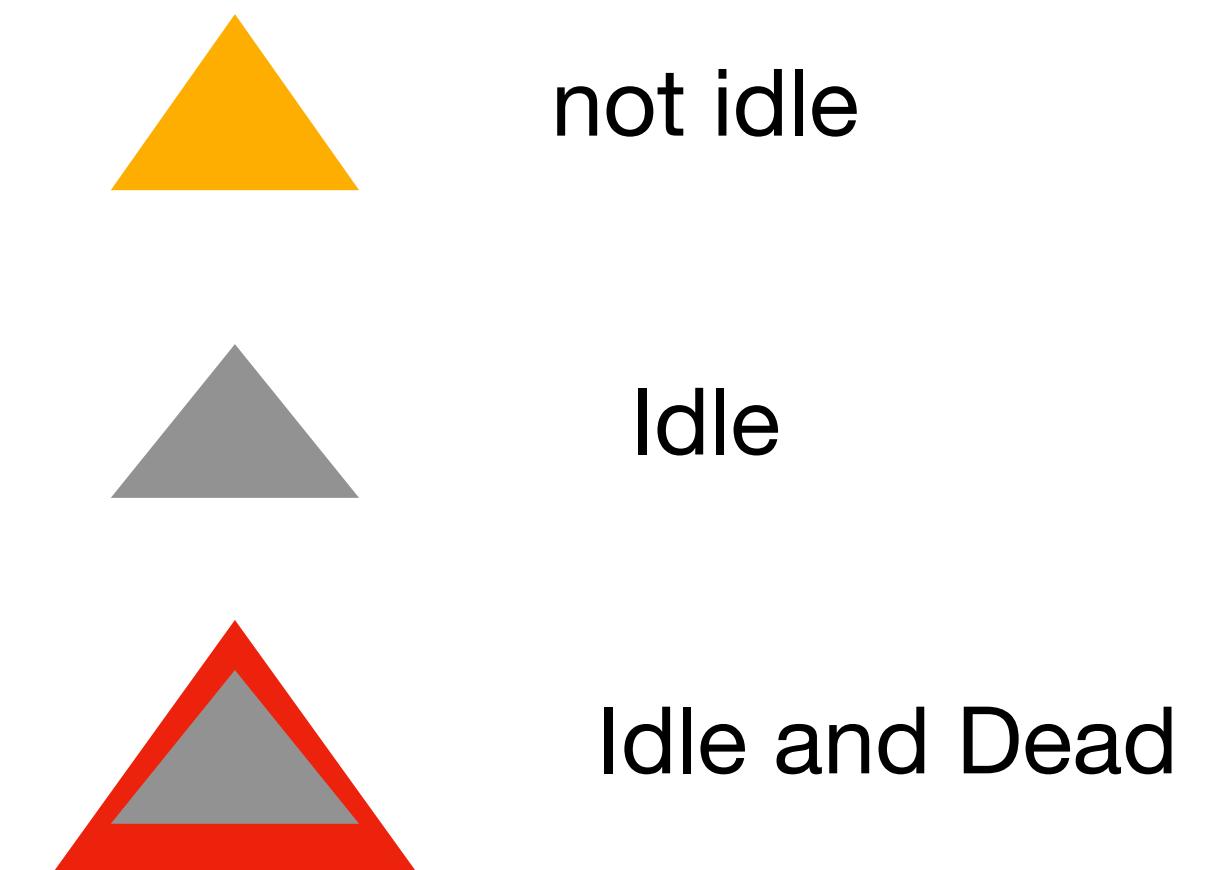
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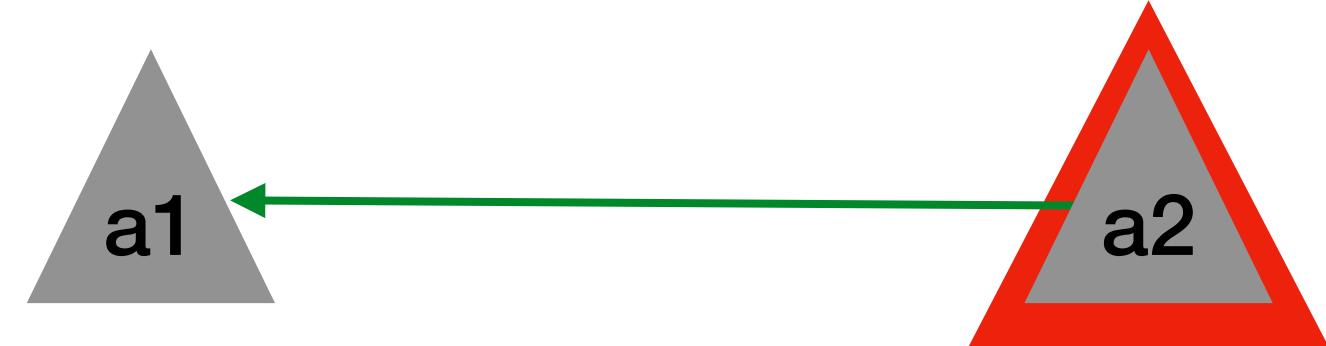
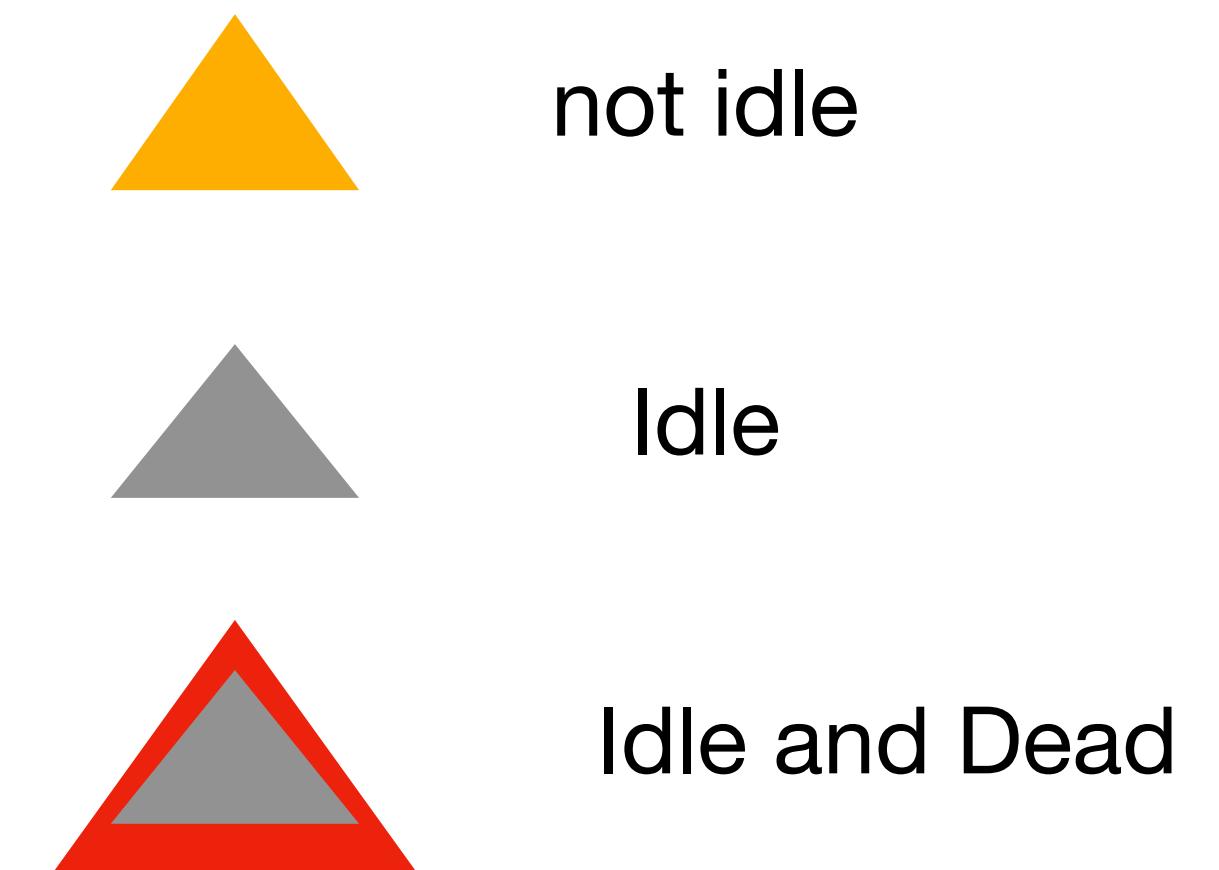
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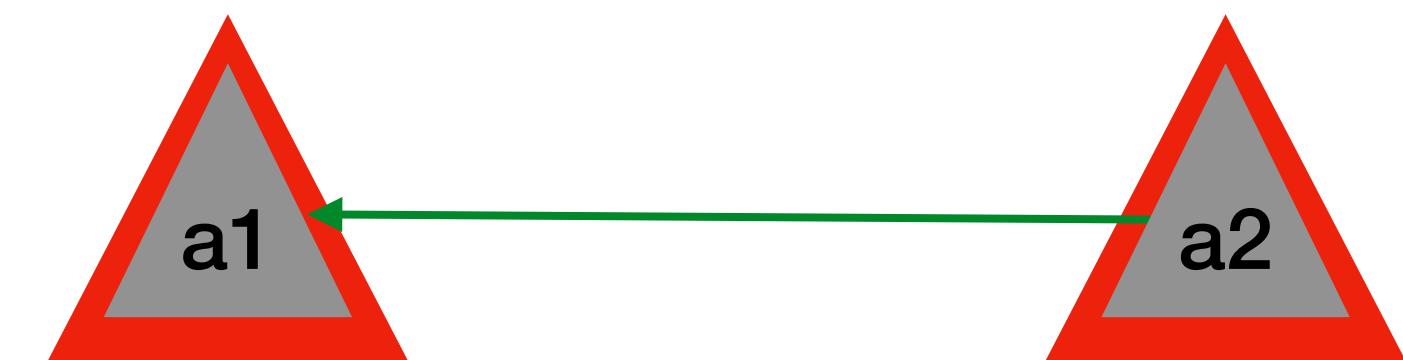
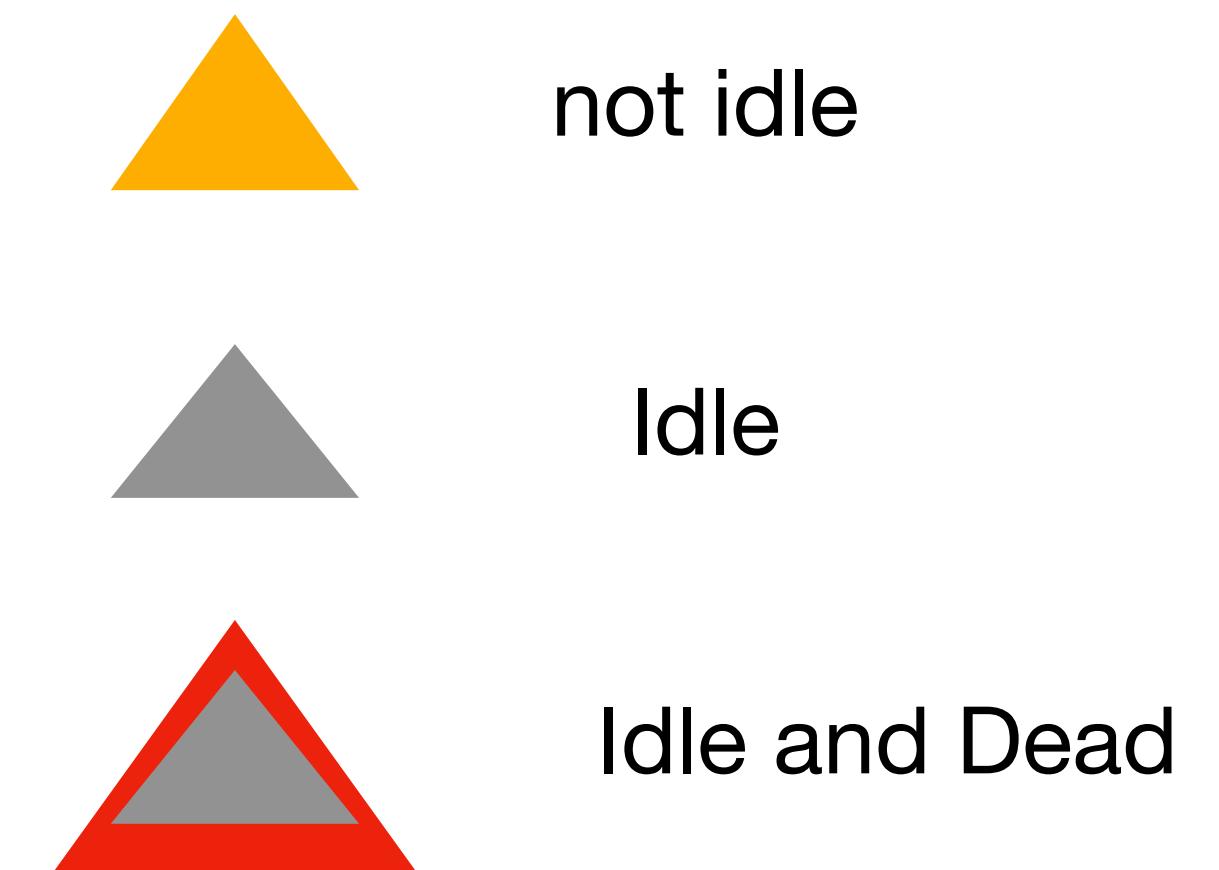
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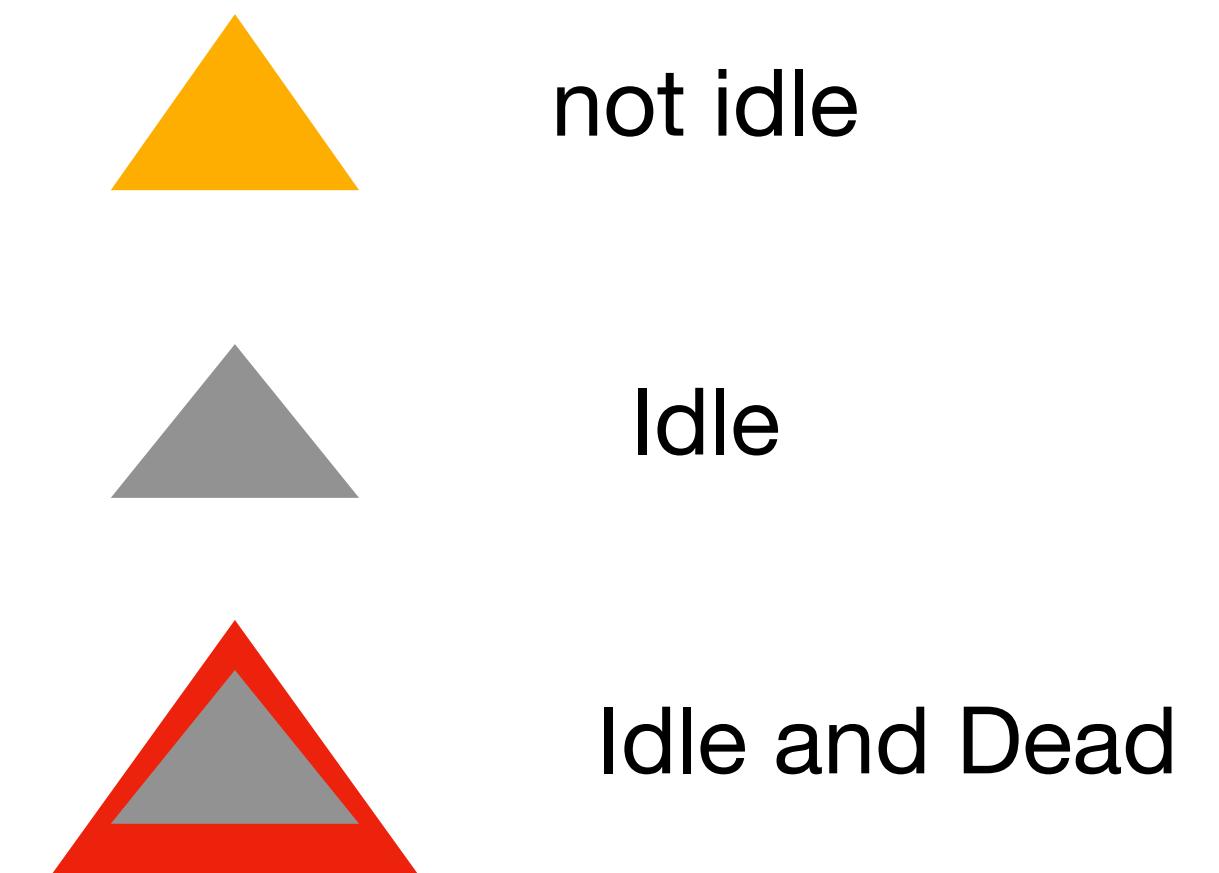
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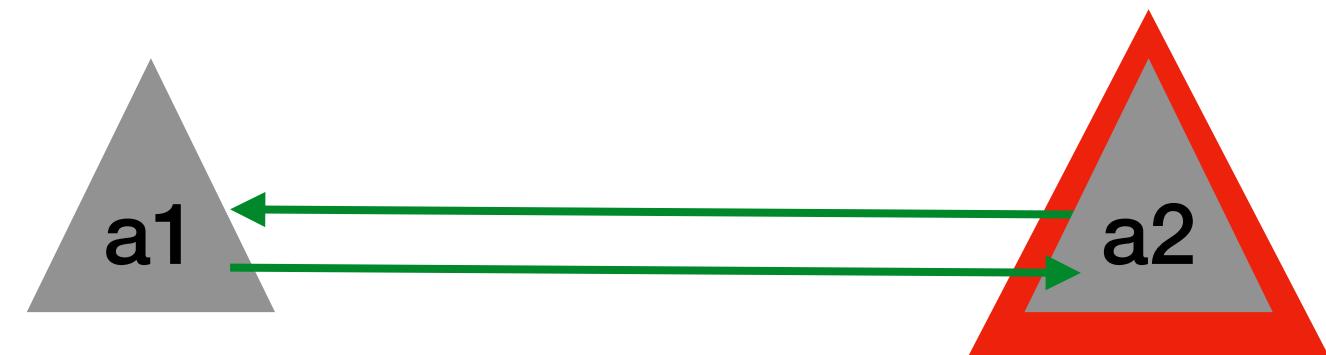
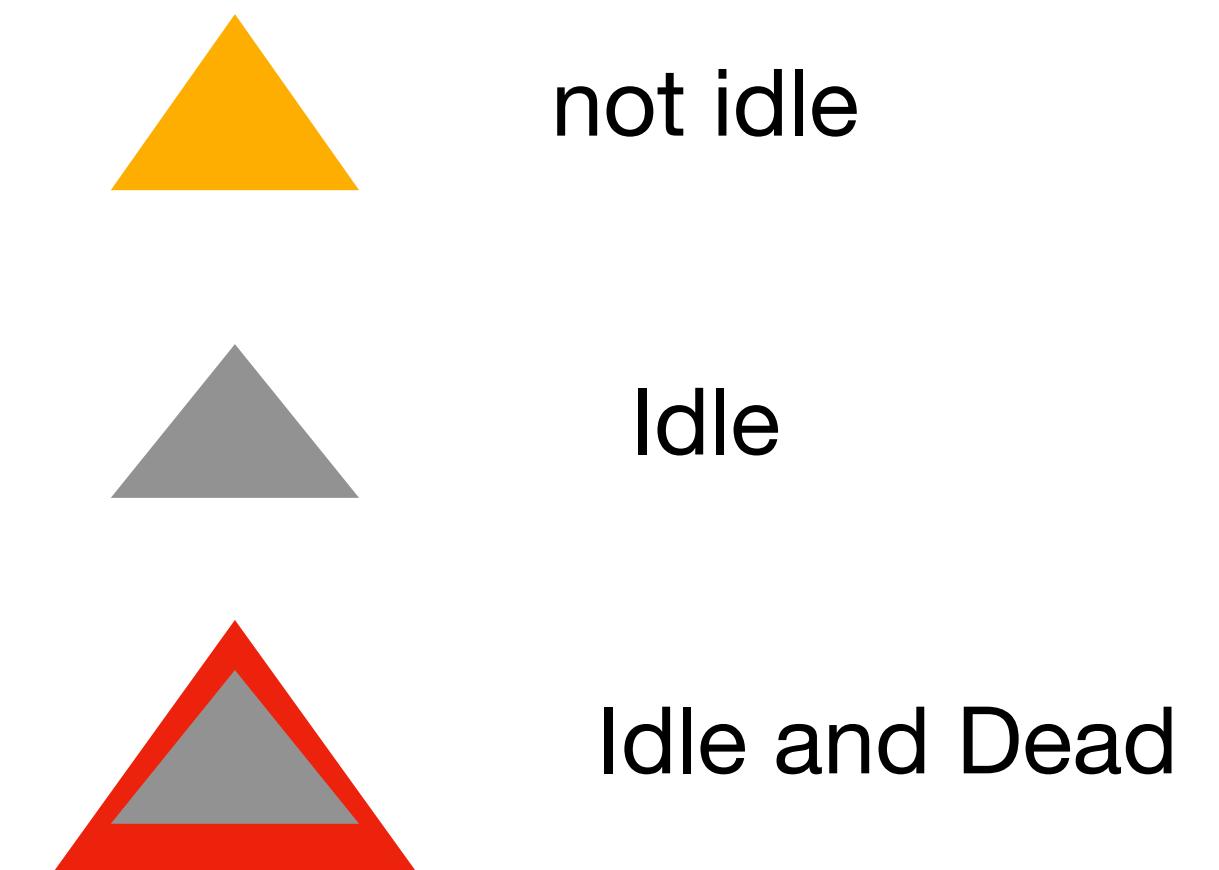
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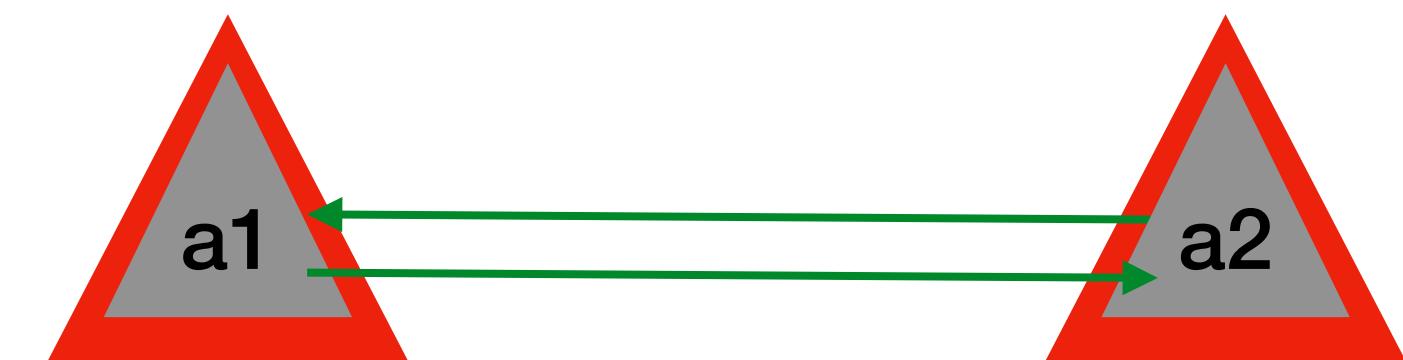
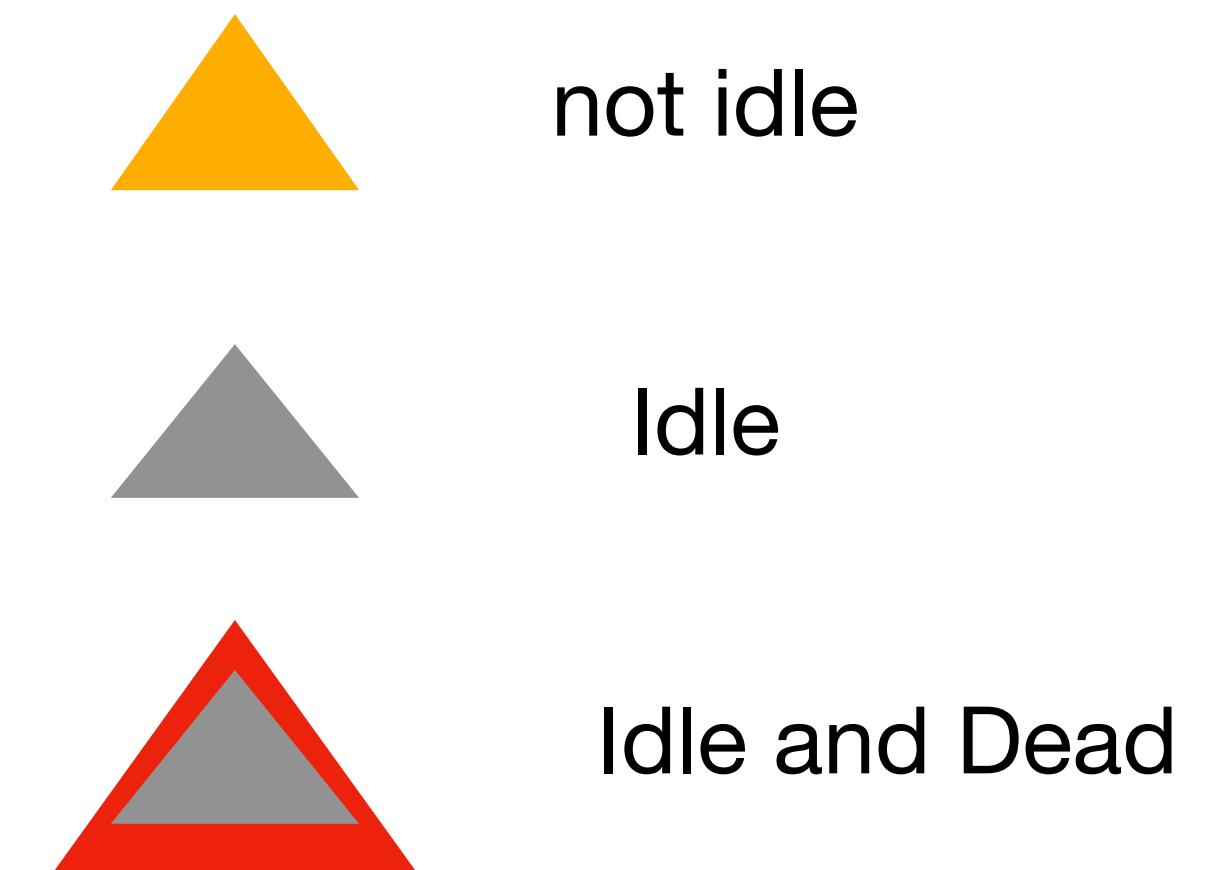
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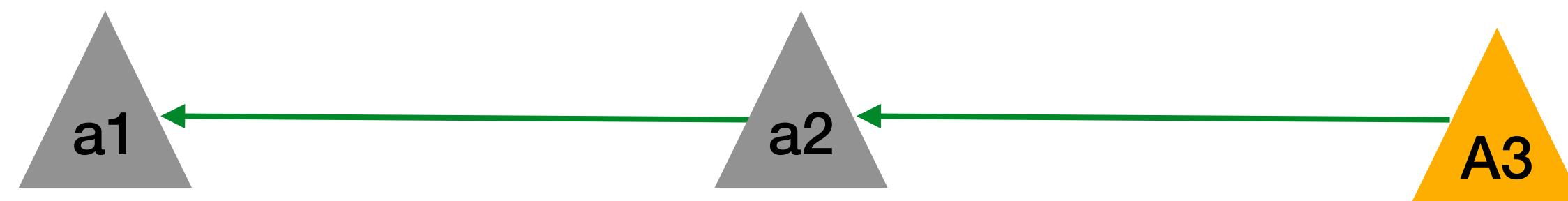
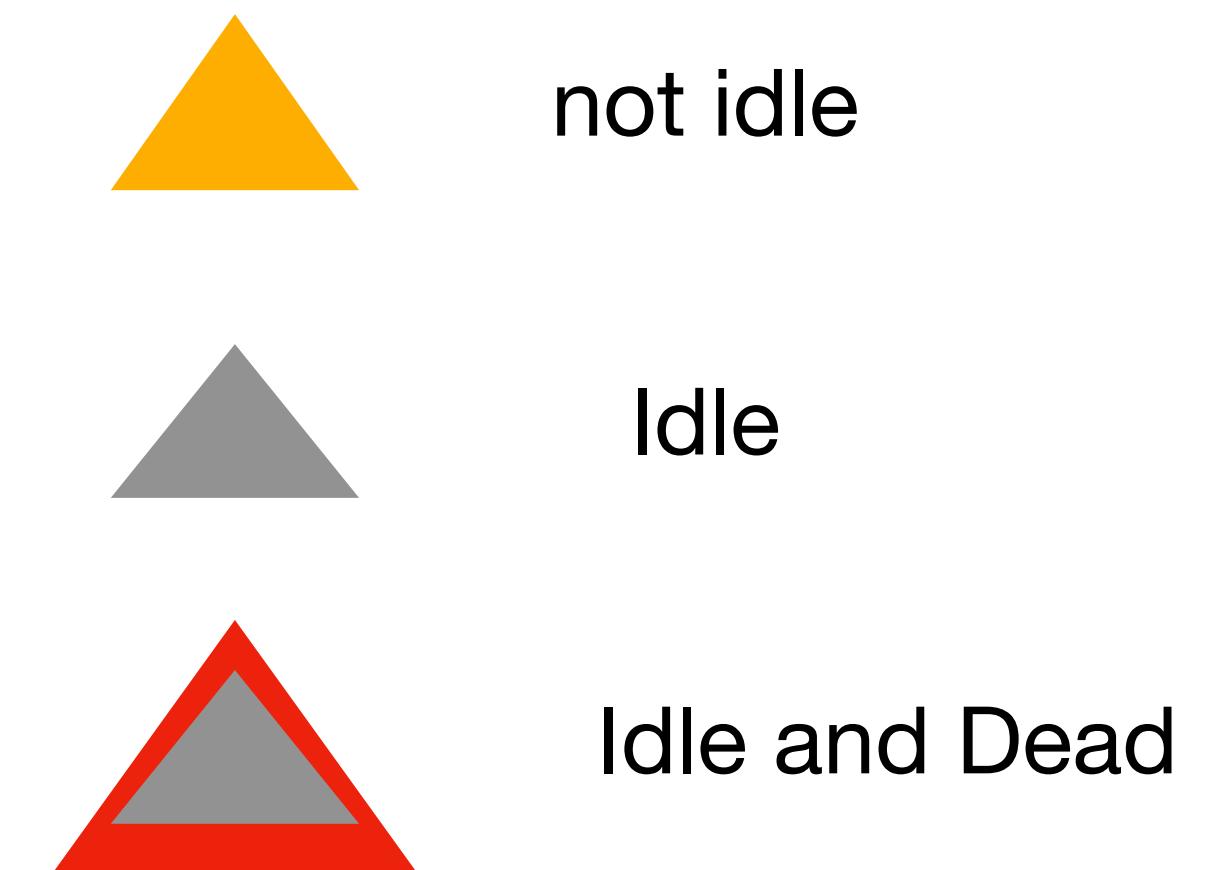
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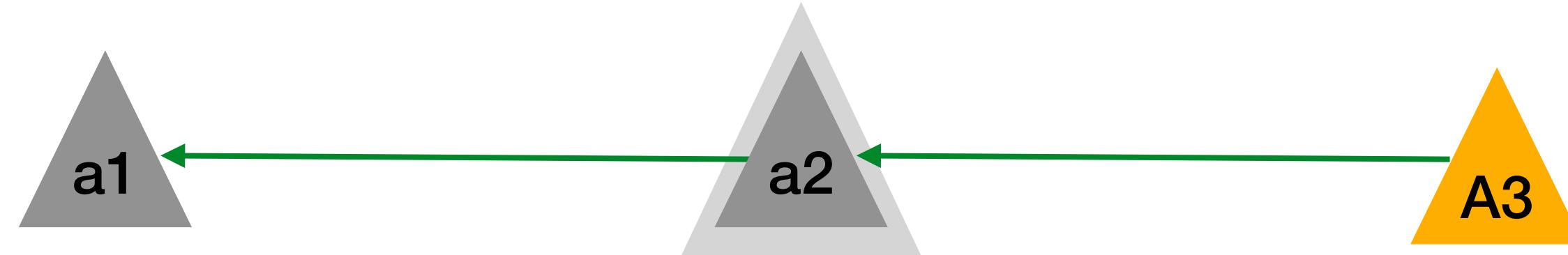
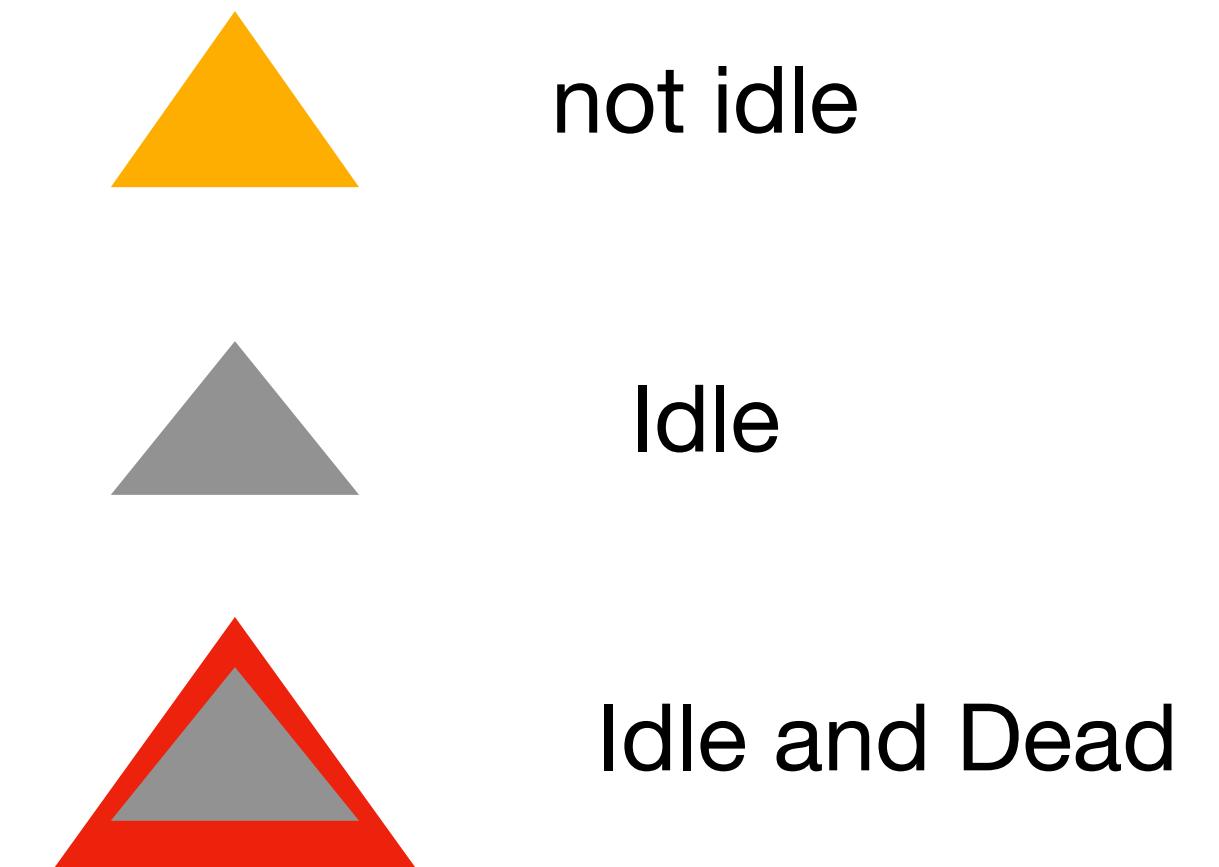
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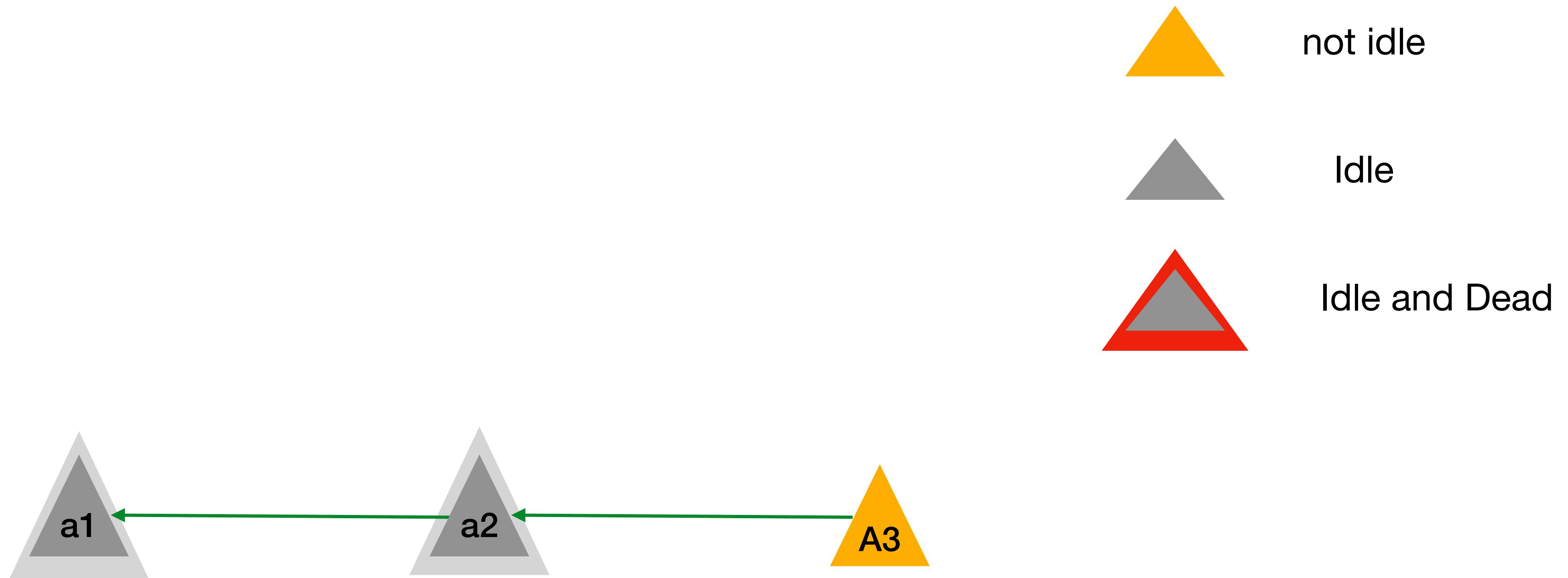
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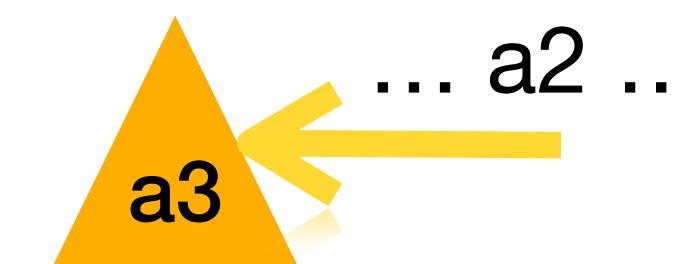
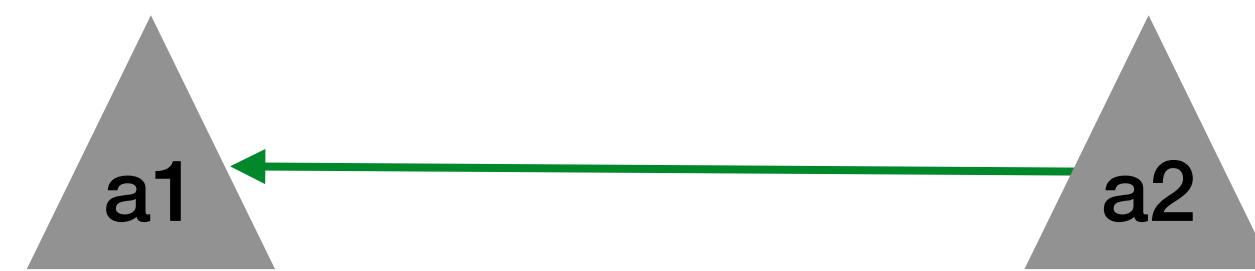
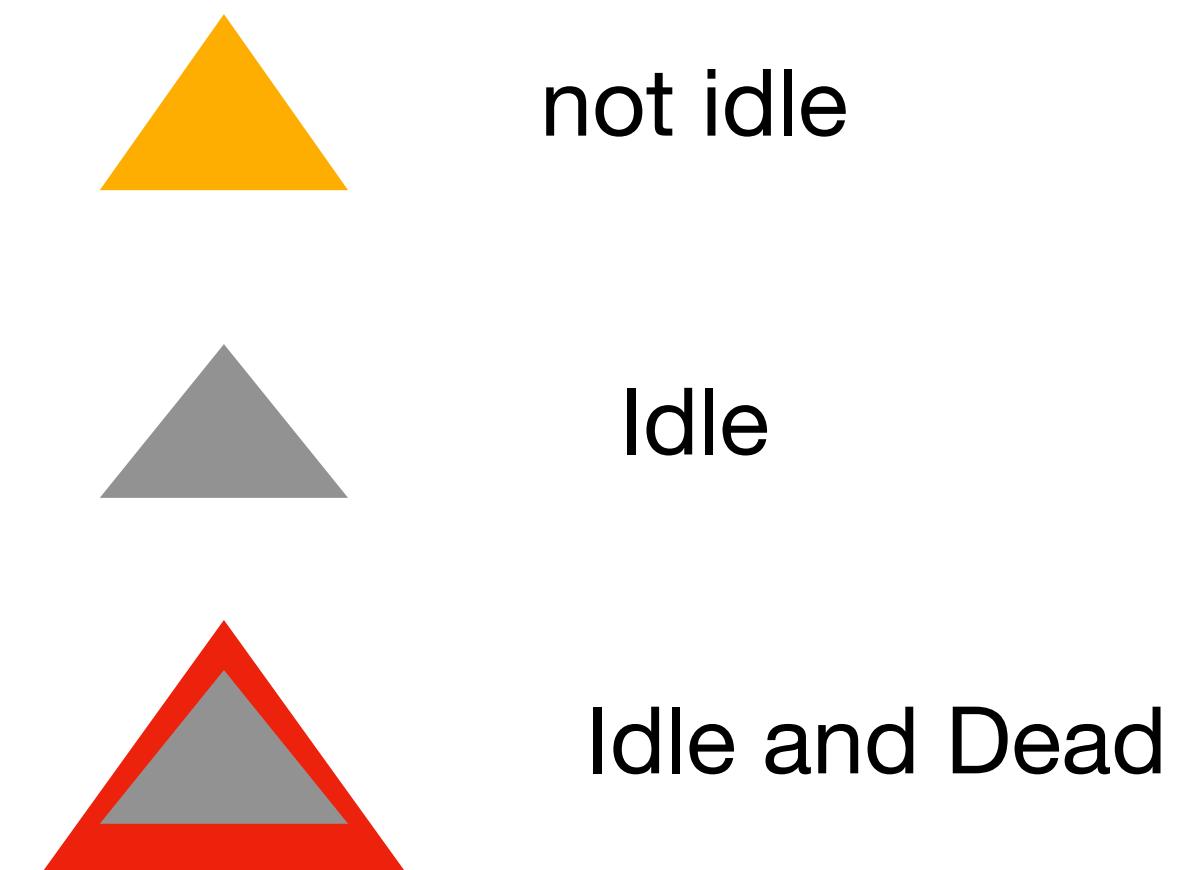
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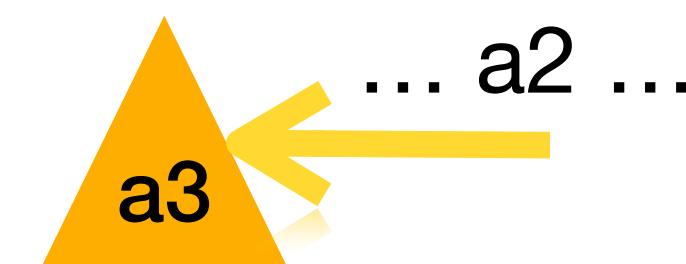
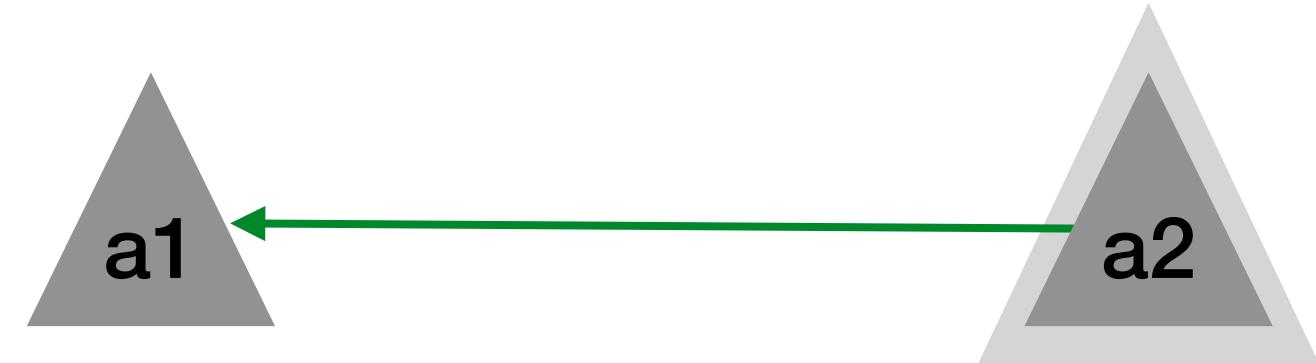
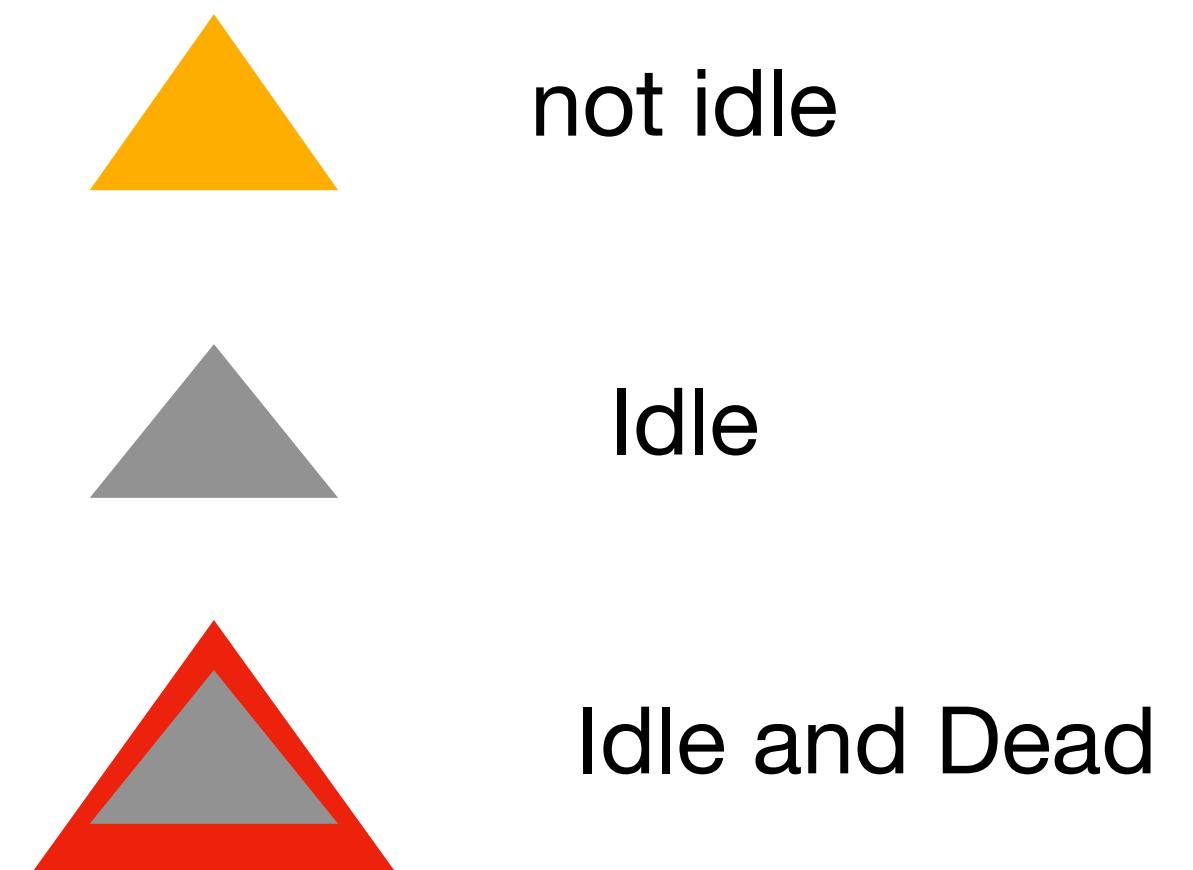
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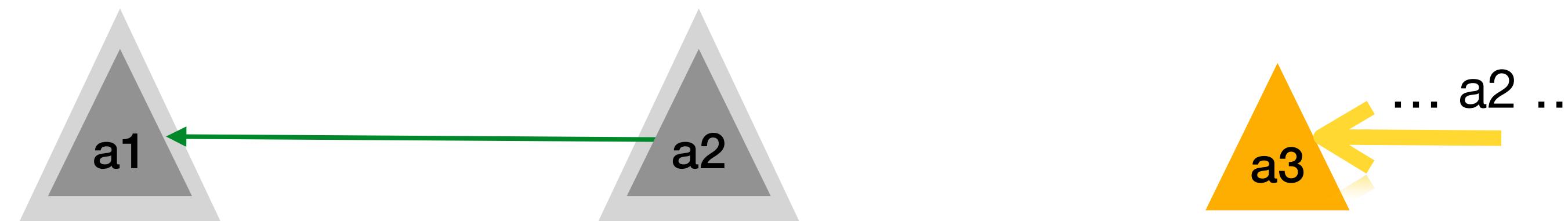
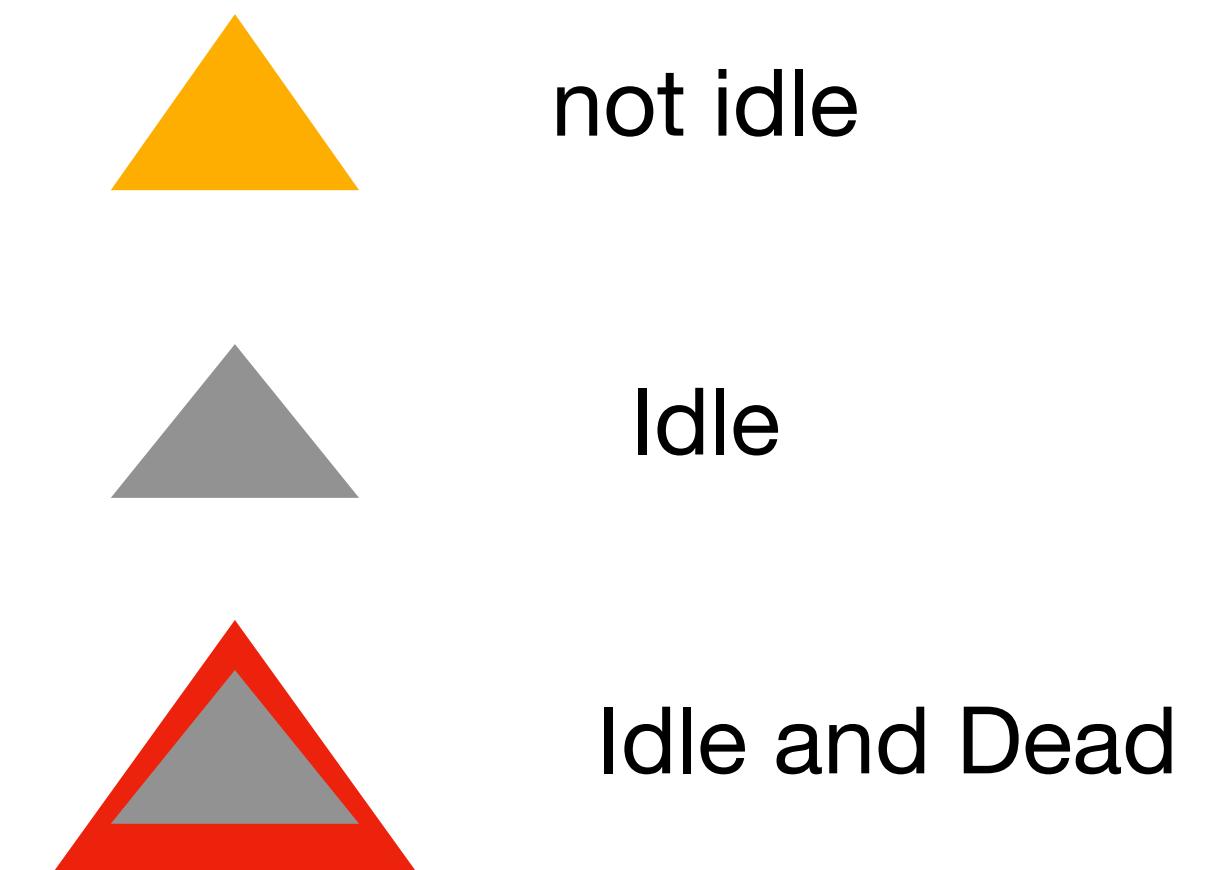
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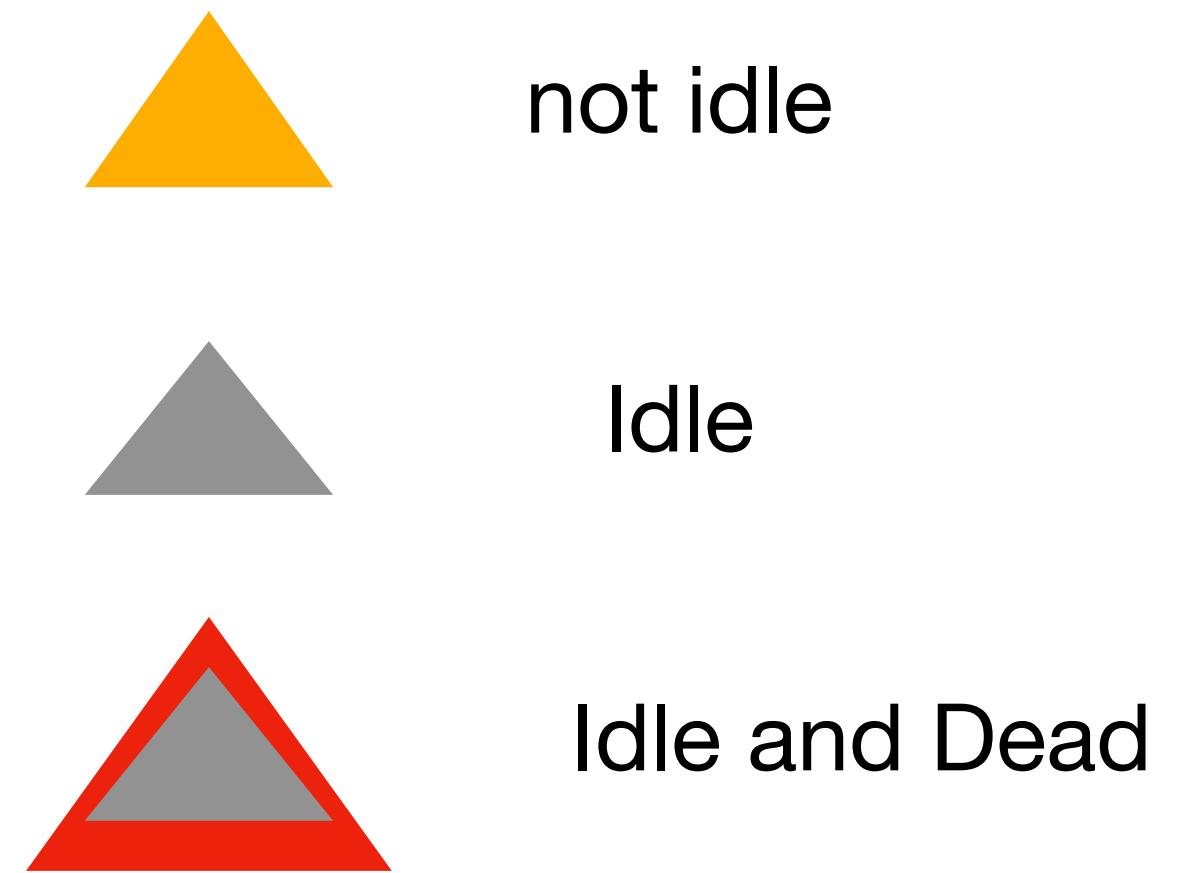
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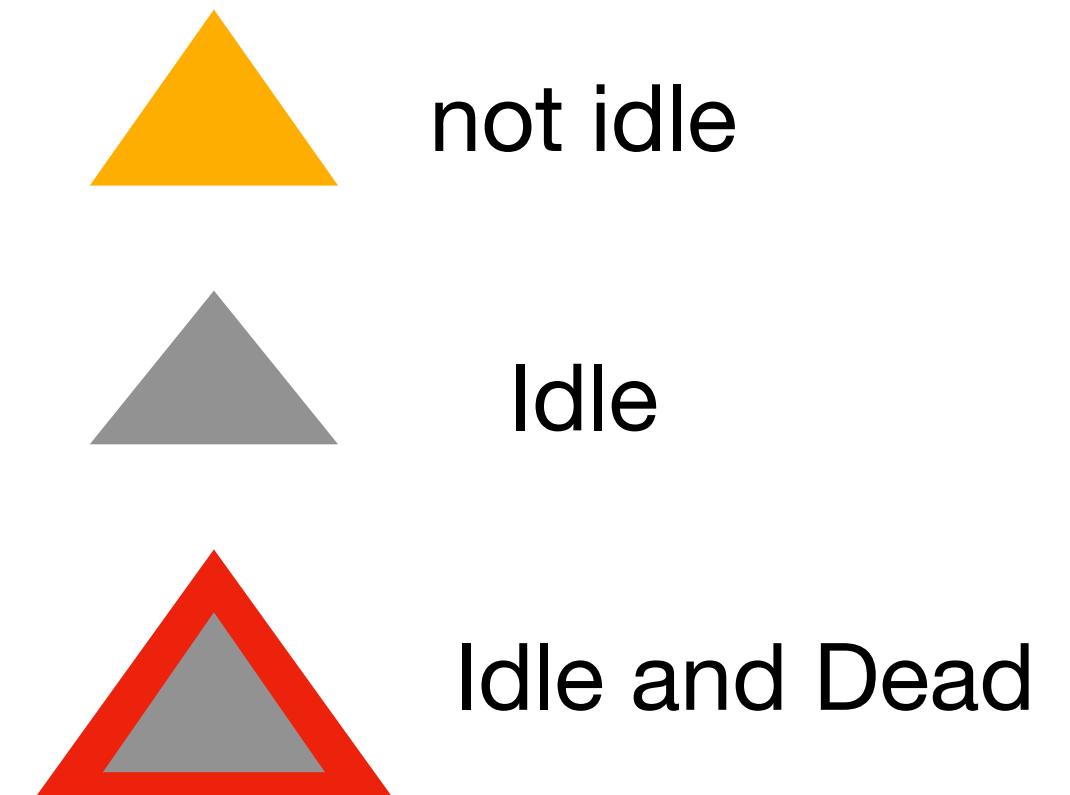
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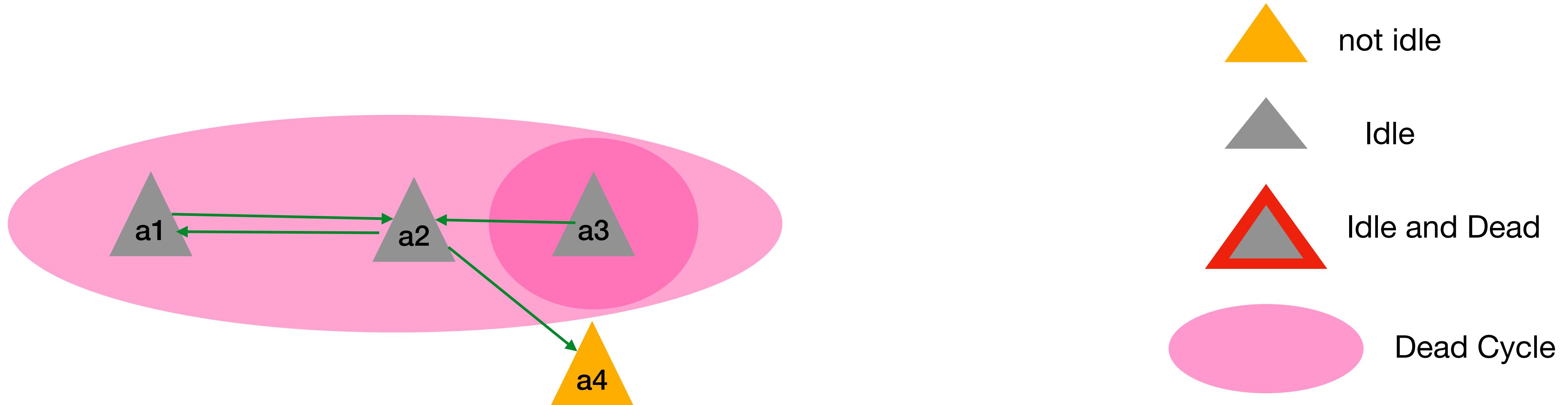


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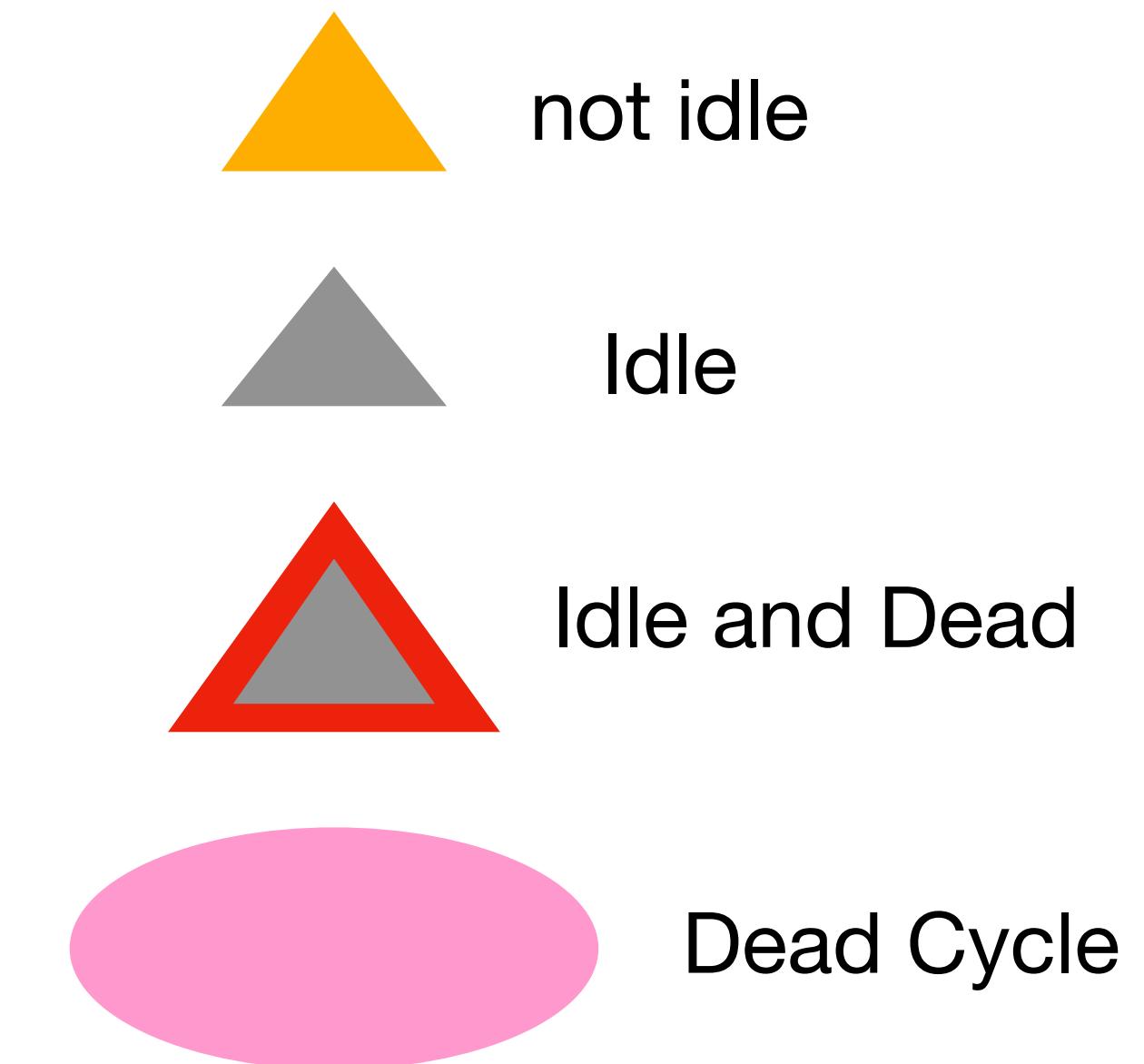
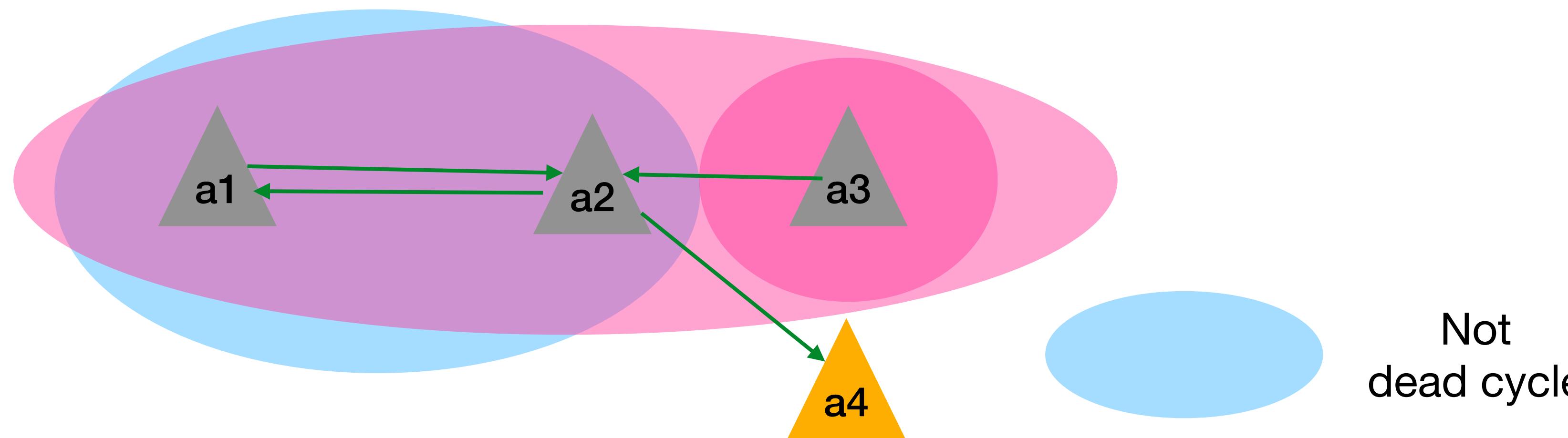


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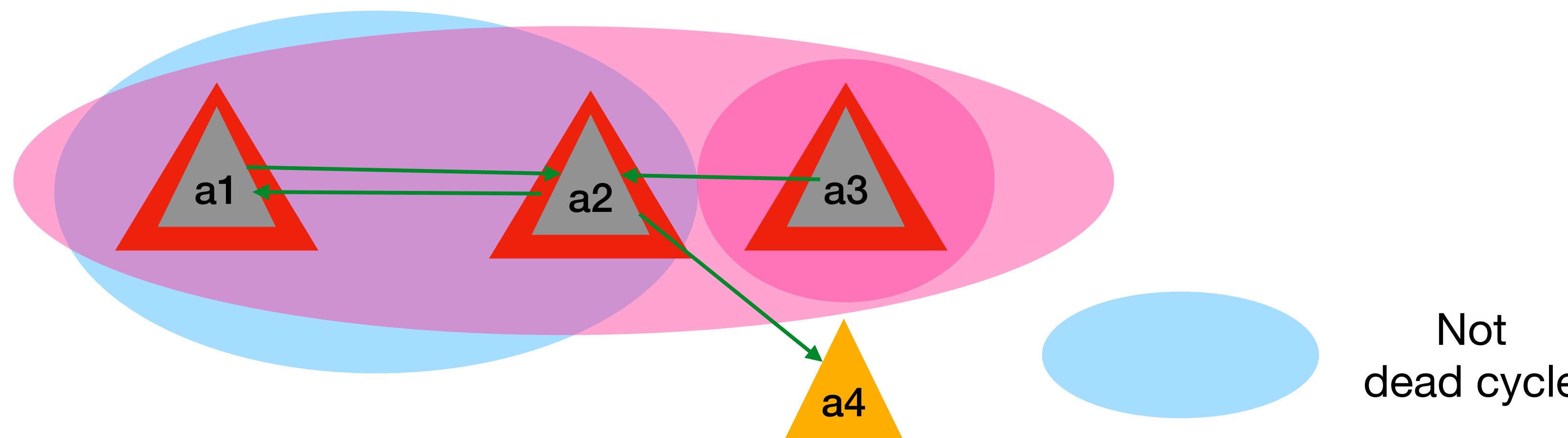


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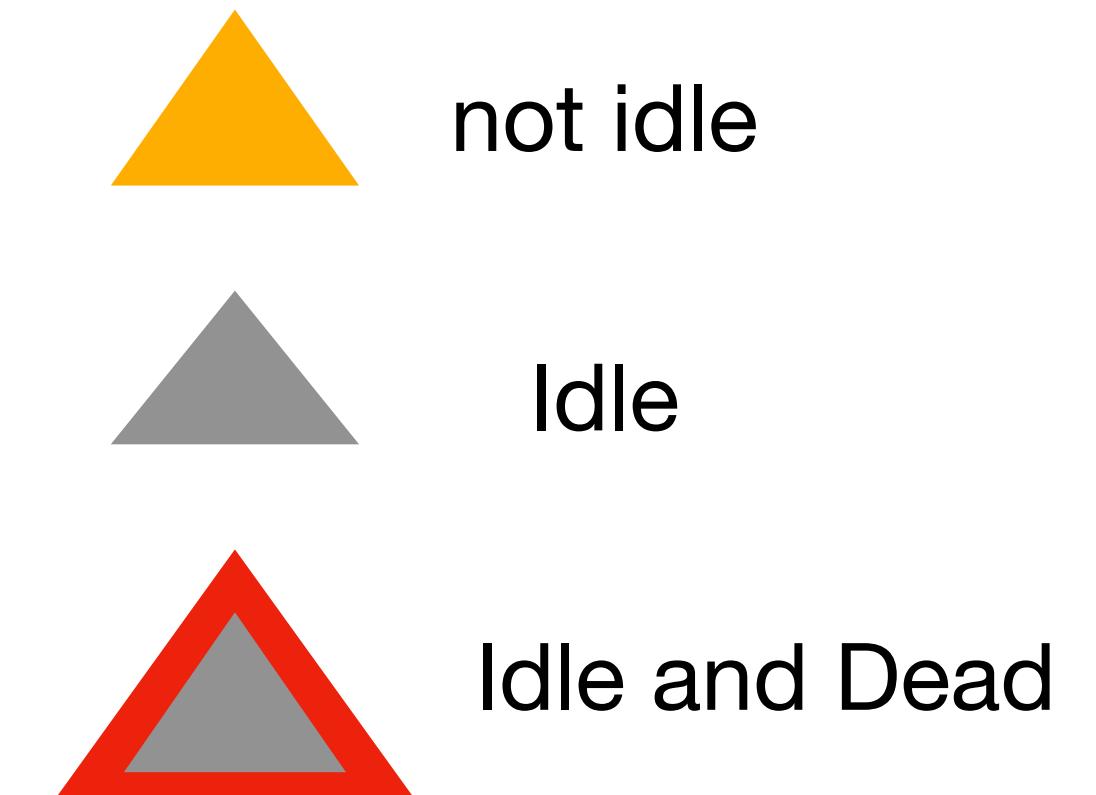
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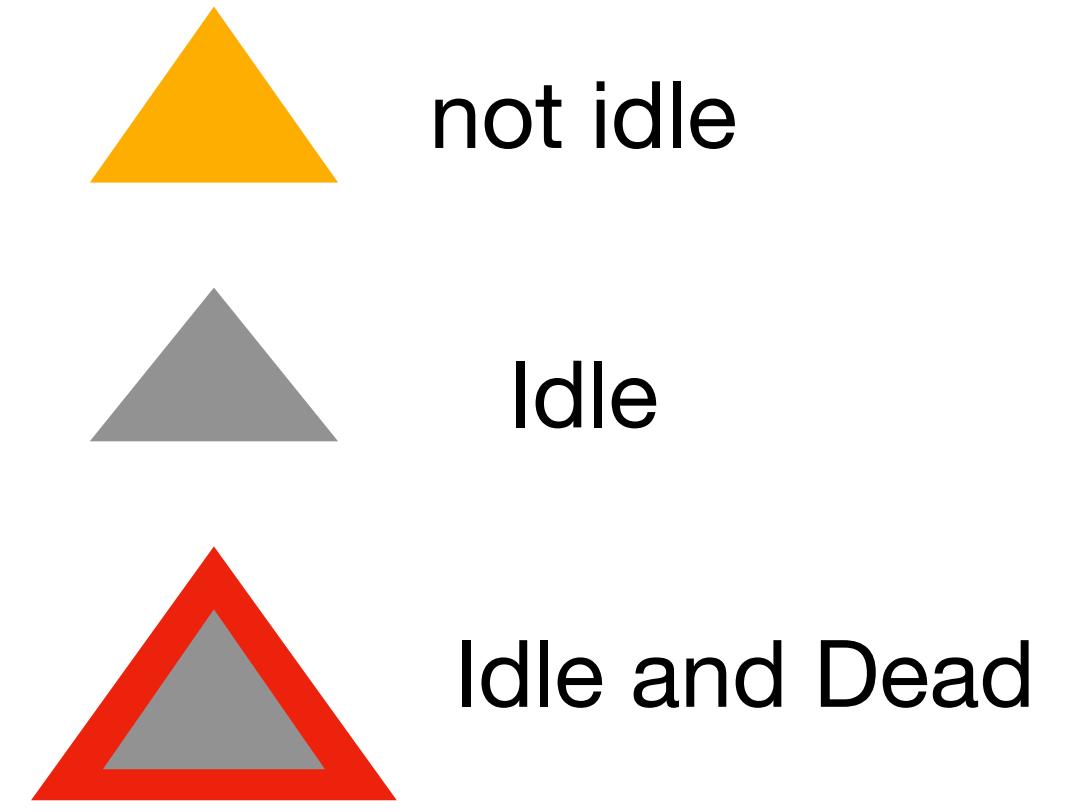
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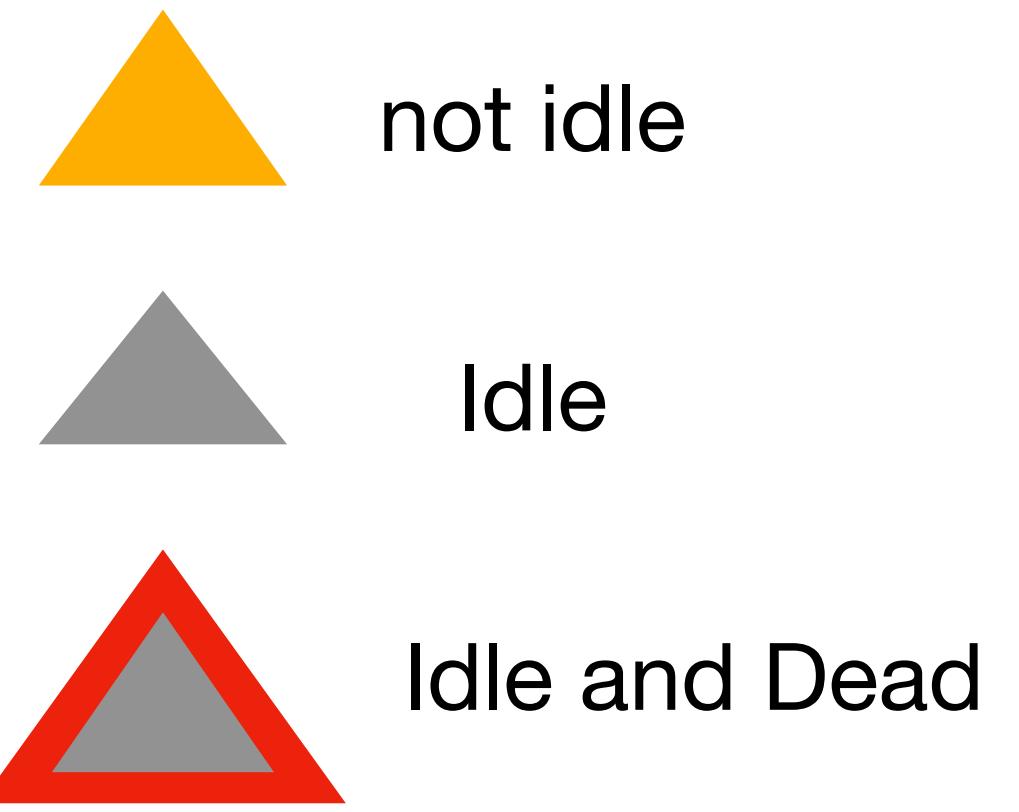
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Actors carry a RC-field of type INT such that

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Is it easier now?

# Searching for DeadCycle'

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- 1) Complete ... in INV
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# Searching for DeadCycle'

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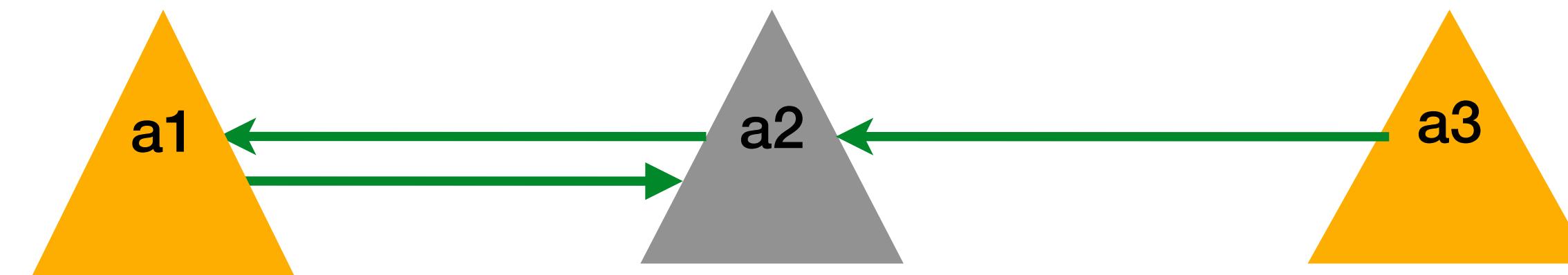
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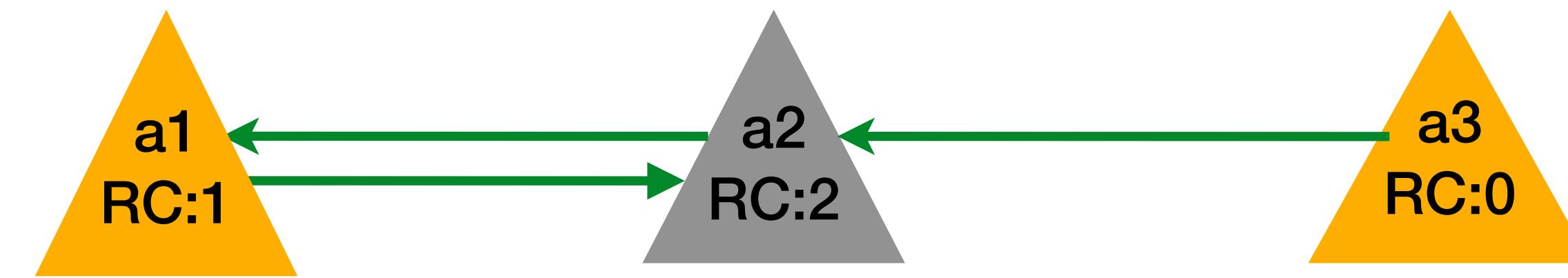
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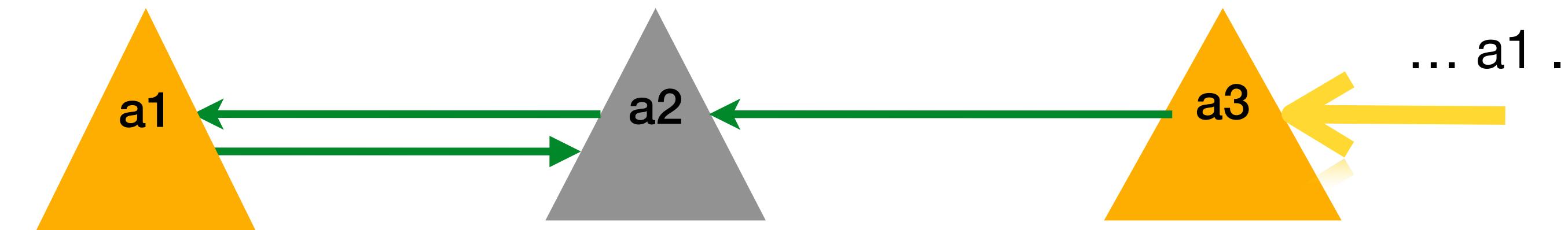
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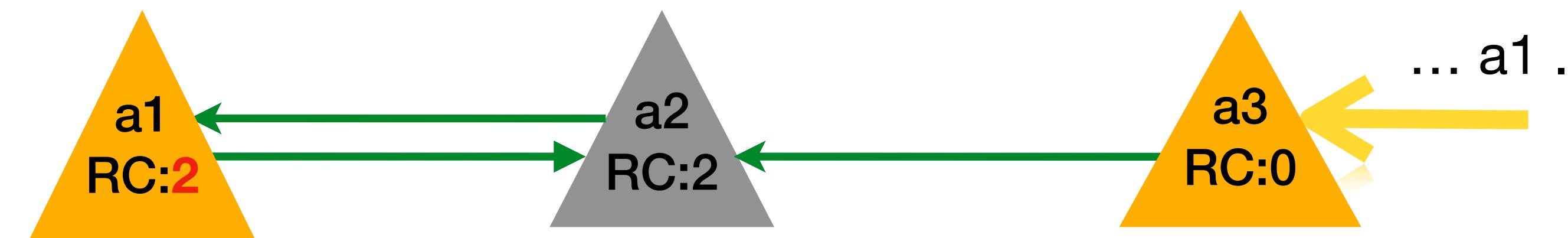
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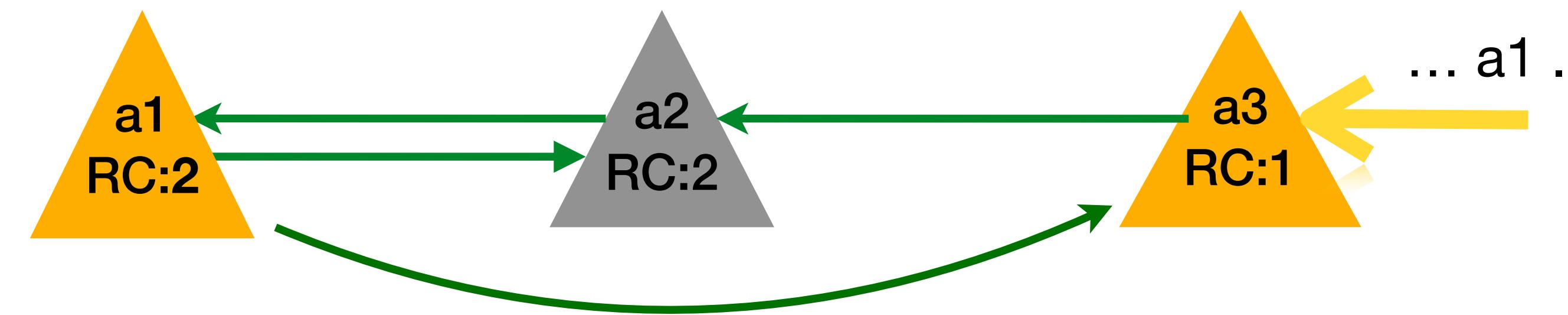
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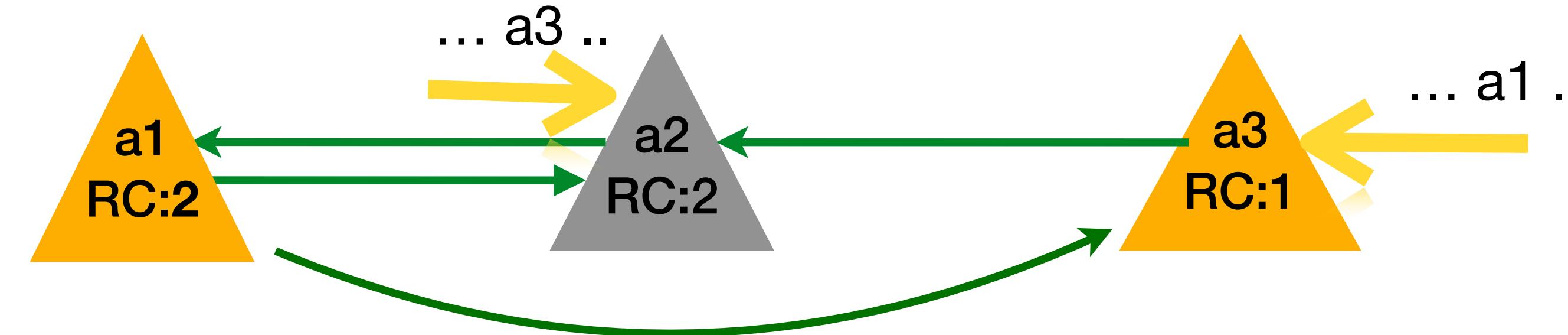
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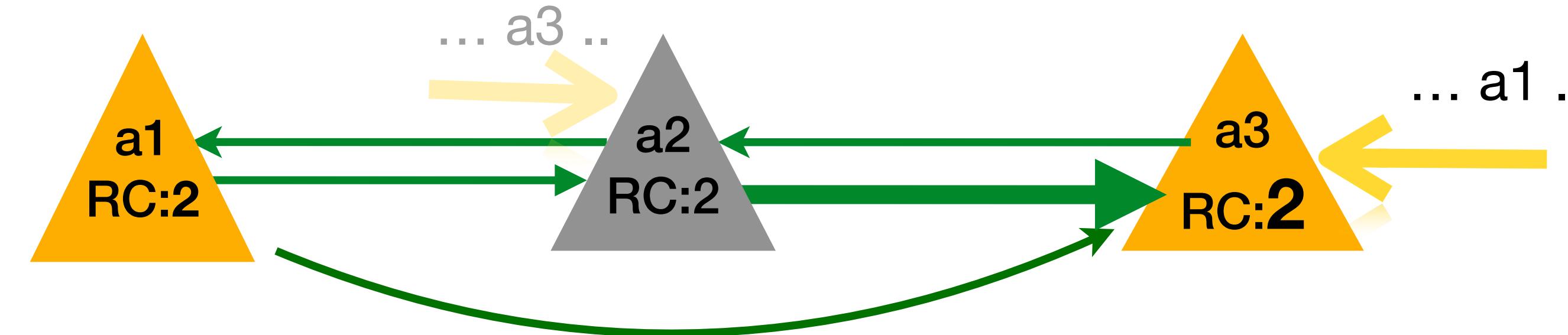
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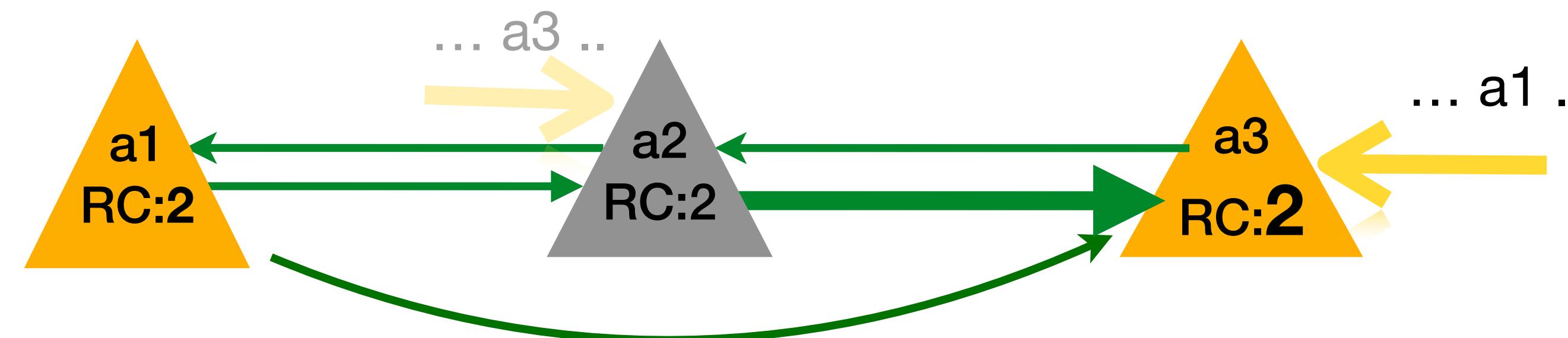


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when  $a_2$  reads the message, then  $a_2$  **will have a reference to  $a_3$** , the RC of  $a_3$  should become 2

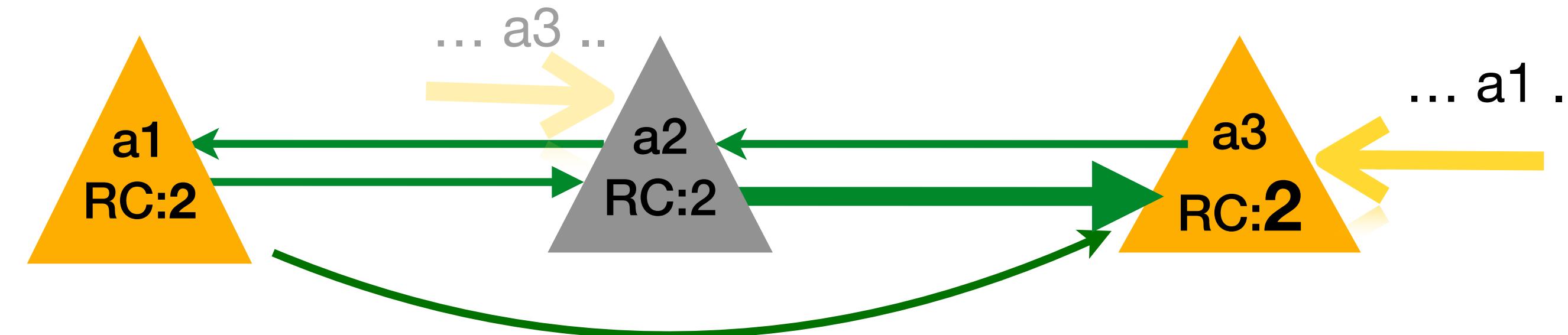


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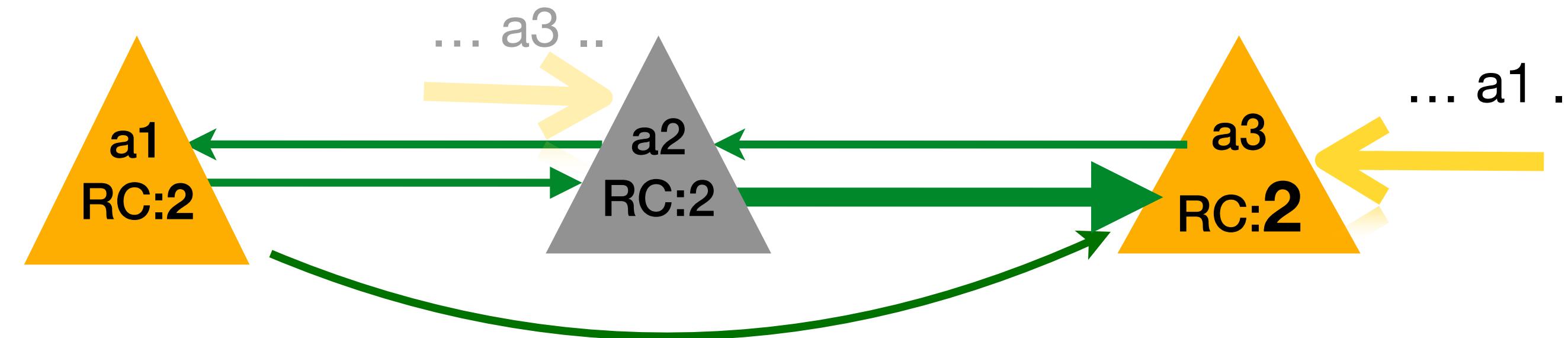
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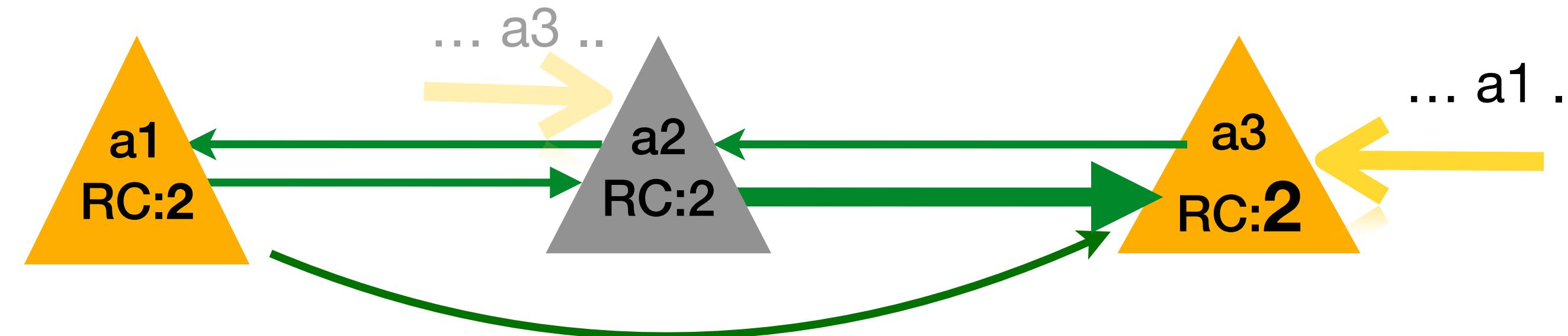
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$a_1$  will send an INC message to  $a_3$

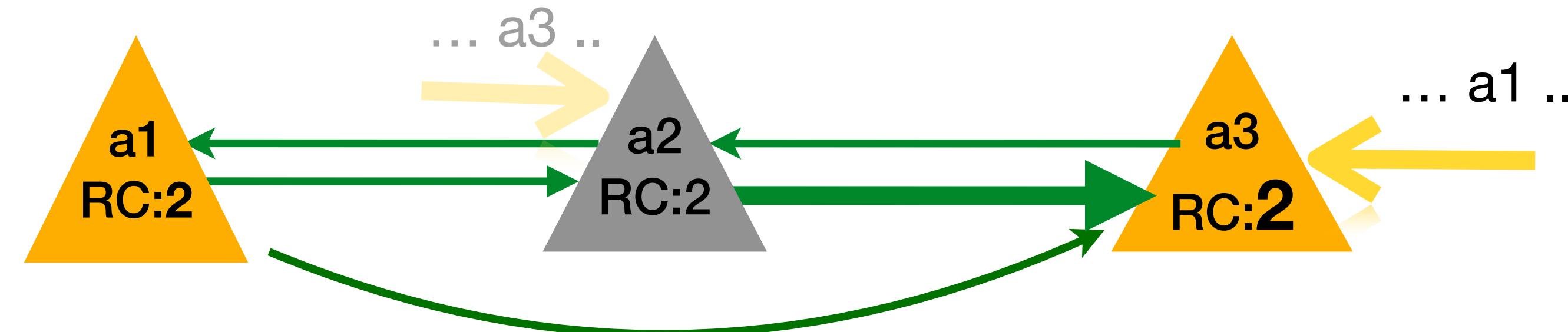
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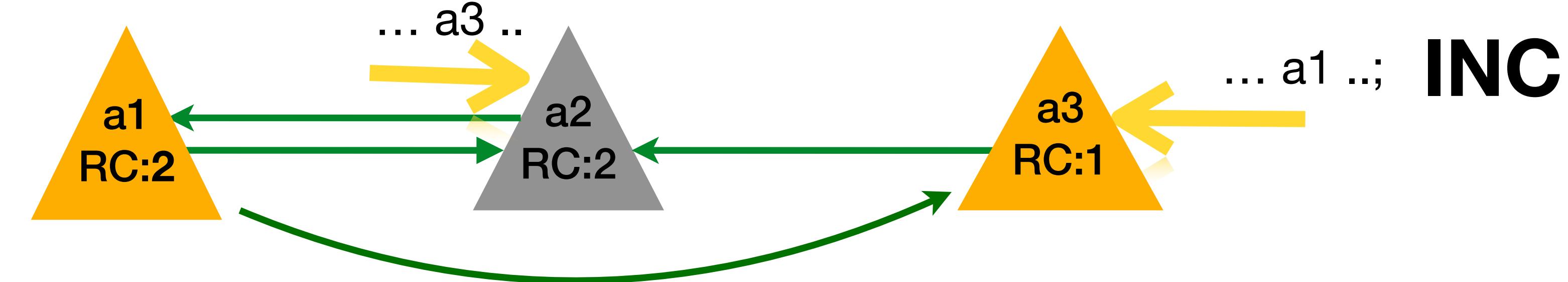
$a_1$  will send an INC message to  $a_3$

INV takes the INC (and DEC messages) into account

# Preserve and Complete INV

$$(\text{INV}) \quad a.\text{RC} + a.\text{INCDEC} = |\{a' \mid a \in a'.\text{heap}\}| + |\{(a', i) \mid a_j \in a'.\text{MsgQueue}[i]\}|$$

Imagine that  $a_1$  sends to  $a_2$  a message ... $a_3$ ...



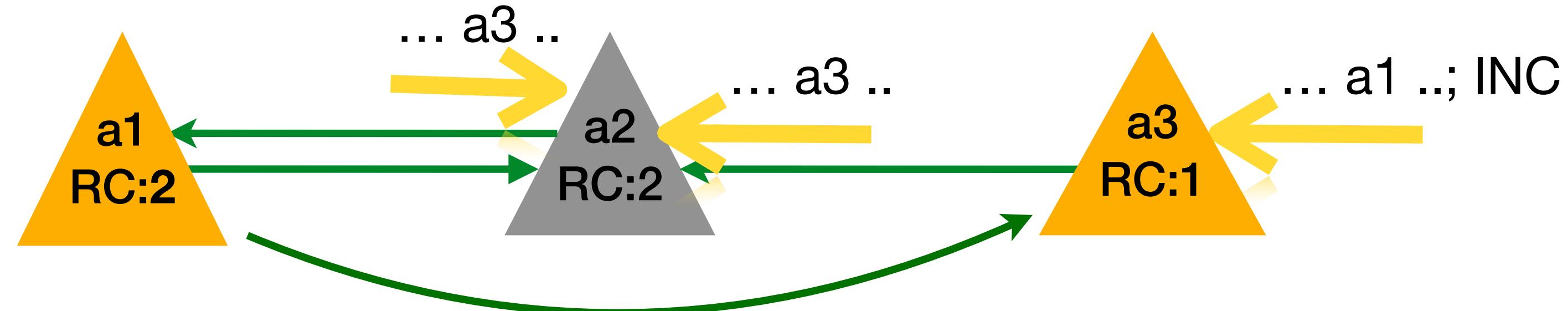
$$a.\text{INCDEC} = |\{k \mid a.\text{MsgQueue}[k] = \text{INC}\}| - |\{k \mid a.\text{MsgQueue}[k] = \text{DEC}\}|$$

# Preserving INV – sending message

(INV)

$$a.RC + a.INCDEC = |\{a' \mid a \in a'.heap\}| + |\{(a', i) \mid a_j \in a'.MsgQueue[i]\}|$$

If a wants to send msg(a') to a"  
then Append(a'.MsqQueue,INC)  
Append(a".MsqQueue,msg(a"))

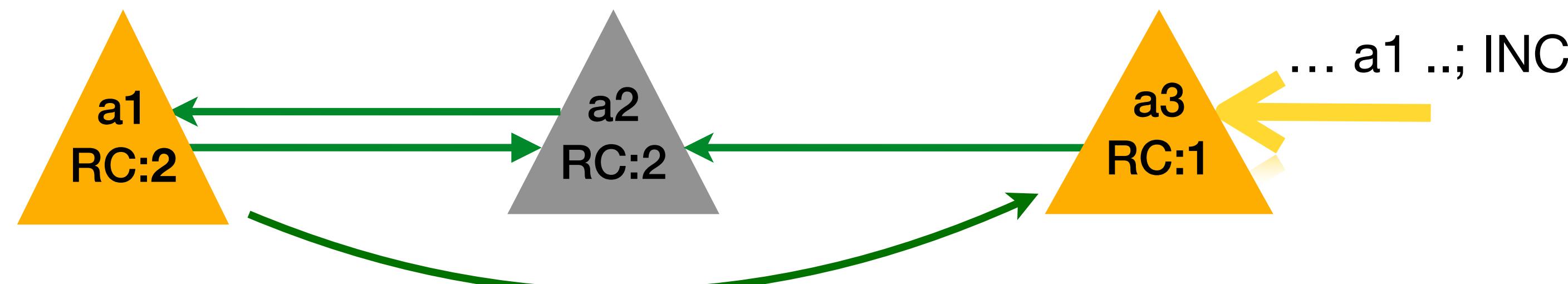


# Preserving INV – receiving message

(INV)

$$a.RC + a.INCDEC = |\{a' \mid a \in a'.heap\}| + |\{(a', i) \mid a_j \in a'.MsgQueue[i]\}|$$

**If**  $\text{Top}(a.\text{MsgQueue}) = \text{msg}(a')$   
**then**  $\text{Pop}(a.\text{MsgQueue});$   
    **if**  $a' \in a.\text{heap}$   
    **then**  $\text{Append}(a'.\text{MsgQueue}, \text{DEC})$

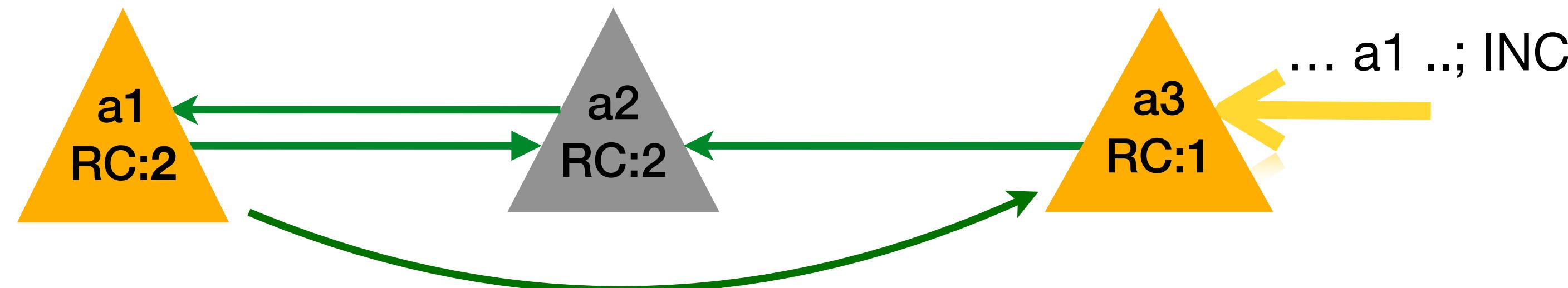


# Preserving INV – receiving INC

(INV)

$$a.RC + a.INCDEC = |\{a' \mid a \in a'.heap\}| + |\{(a', i) \mid a_j \in a'.MsgQueue[i]\}|$$

If  $\text{Top}(a.\text{MsqQueue})=\text{INC}$   
then  $\text{Pop}(a.\text{MsqQueue});$   
 $a.RC := a.RC + 1$

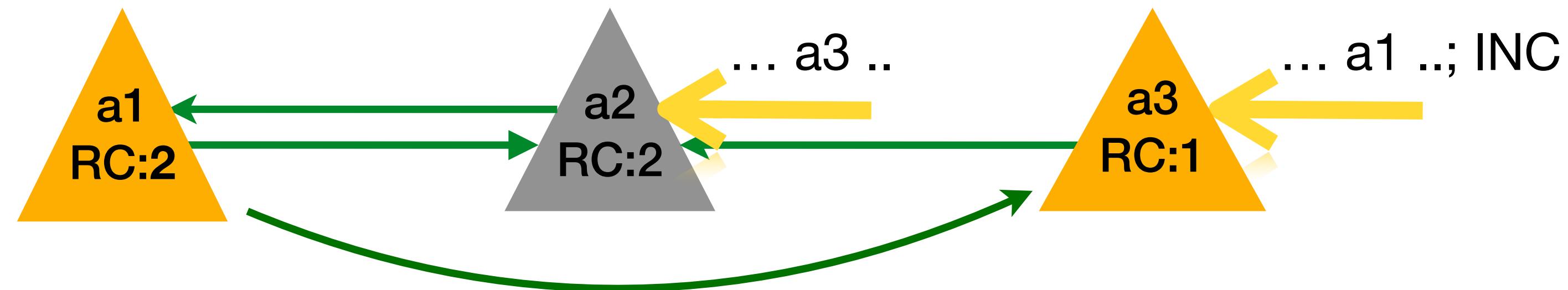


# Preserving INV – receiving DEC

(INV)

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If  $\text{Top}(a.\text{MsqQueue})=\text{DEC}$   
then  $\text{Pop}(a.\text{MsqQueue});$   
 $a.RC := a.RC - 1$

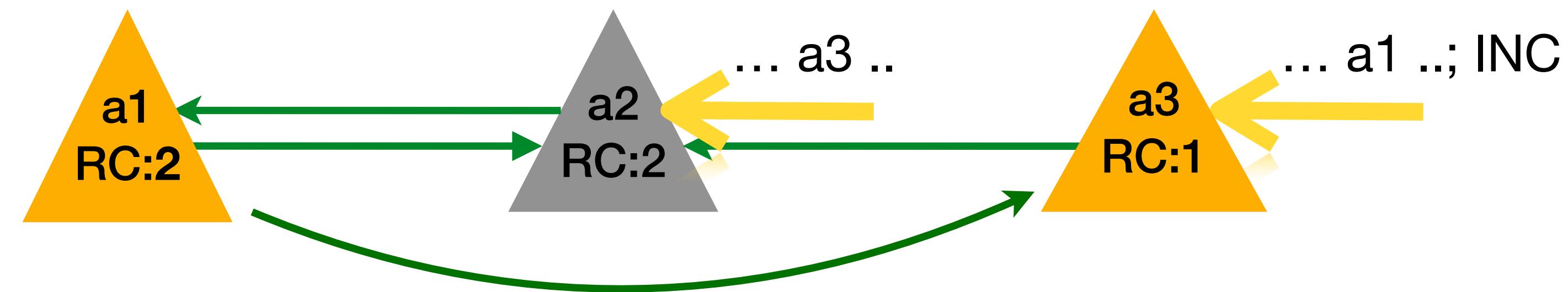


# Preserving INV – local GC

(INV)

$$a.RC + a.INCDEC = |\{a' \mid a \in a'.heap\}| + |\{(a', i) \mid a_j \in a'.MsgQueue[i]\}|$$

If a.heap drops reference to a'  
then Append(a'.MsgQueue, DEC)



# Searching for DeadCycle'

## Lemma

$$\forall j \in [1..n]. [\quad \text{Idle}(a_j) \wedge a_j.\text{RC} = |\{k \mid k \in [1..n] \wedge a_j \in a_k.\text{heap}\}| \implies \text{DeadCycle}'(a_1,.. a_n) ]$$

And where

$$(\text{INV}) \quad a.\text{RC} + a.\text{INCDEC} = |\{a' \mid a \in a'.\text{heap}\}| + |\{(a', i) \mid a_j \in a'.\text{MsgQueue}[i]\}|$$

- 1) Complete ... in INV
- 2) Preserve INV
- 3) Complete the protocol using Lemma
- 4) Take into account that topology / idleness changes concurrently with execution of protocol

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## What remains to do?

- 1) Complete ... in INV
- 2) Preserve INV
- 3) Complete the protocol using Lemma
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Based on the collected information, the CD can determine whether a set forms a DeadCycle'.

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If an actor receives a INC/DEC/application message after having sent BLK, it sends UNBLK to CD, who discards this actor's entry.

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When the CD has found a  $\text{DeadCycle}'(a_1,.. a_n)$ , then it creates a fresh token  $t$ , and sends a  $\text{CONF}(t)$  request to all who respond with  $\text{ACK}(t)$ . When it receives  $\text{ACK}$  from all the actors, it collects  $a_1,.. a_n$

# Reflections on MAC

Is that the whole story?

Is it useful?

Is it sound?

Is it complete?

Does separation play any role?

What are its drawbacks?

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We need to “scan” every application message upon send/receive.

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Is that the whole story?    **No**, some more clever ideas used.

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# Enter eMAC - Sebastian Blessing



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As of last Friday:

- MAC outperforms eMAC when there are a few very large cycles, and
- eMAC outperforms MAC when there are a lot of small cycles.

# eMAC - basic idea

Perception: (ActorId, Number)  
(A,k) : k out of A's direct predecessors are of unknown status

Perceptions: Perception\*

Perceptions: Each actor keeps perception in its state  
Actors notify their successors when their perception “improves”

# eMAC - basic idea

Notice:  $\text{ActorId} \times \text{Number}$

**A : k** actor A has notified that a.RC-k of its immediate predecessors have reported idle

Notification:  $\text{ActorId} \times \text{Notice}^*$

**A, A<sub>1</sub>:k<sub>1</sub>.... A<sub>n</sub>:k<sub>n</sub>** : A sends notices A<sub>1</sub>:k<sub>1</sub> .... A<sub>n</sub>:k<sub>n</sub>

Perception:  $\text{ActorId}^* \times \text{Notice}^*$

**{ A<sub>1</sub>, ... A<sub>n</sub> }, A'<sub>1</sub>:k<sub>1</sub>.... A'<sub>m</sub>:k<sub>m</sub>**

A<sub>1</sub>, ... A<sub>n</sub> are some of the receiver's direct predecessors, and have sent notices to receiver

A'<sub>1</sub>, ... A'<sub>m</sub> are some of the receiver's indirect predecessors, and have each sent notice A'<sub>j</sub>:k<sub>j</sub>

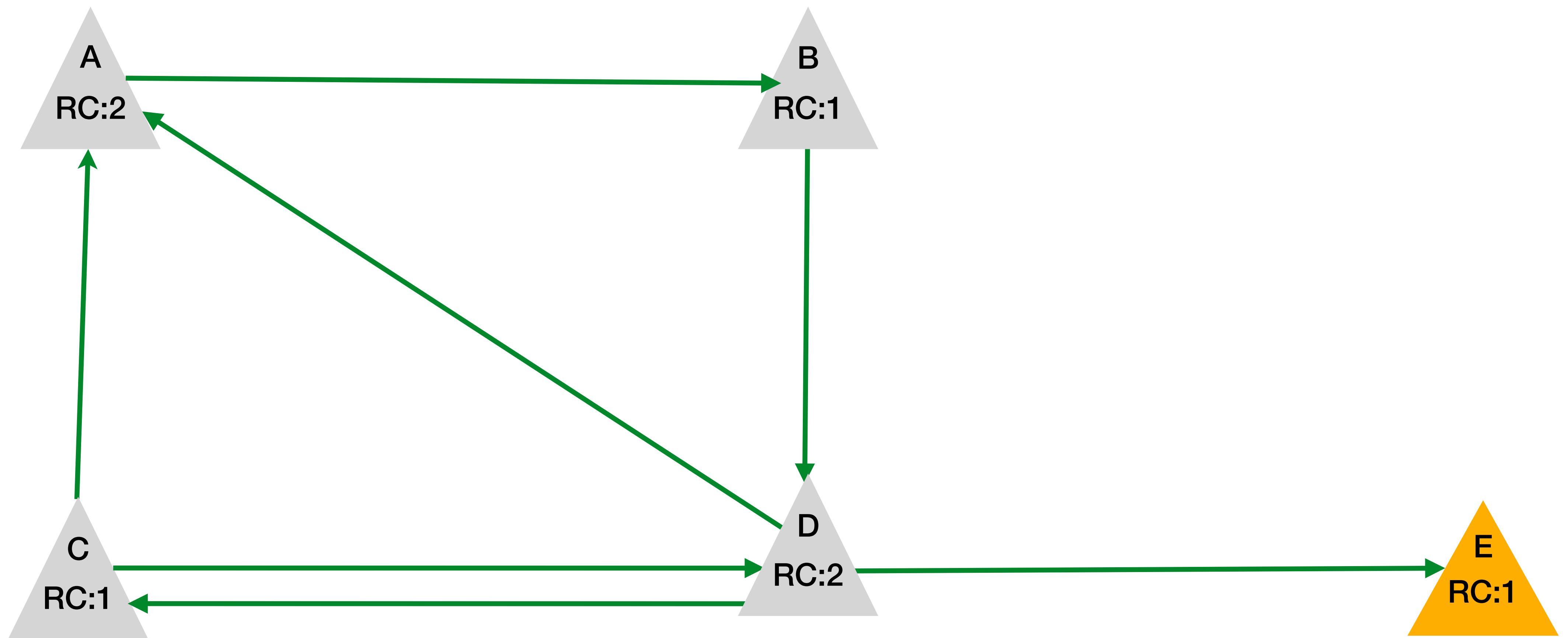
Protocol: Actors keep perception in their state

Actors notifies their immediate successors when they block, or their perception "improves"

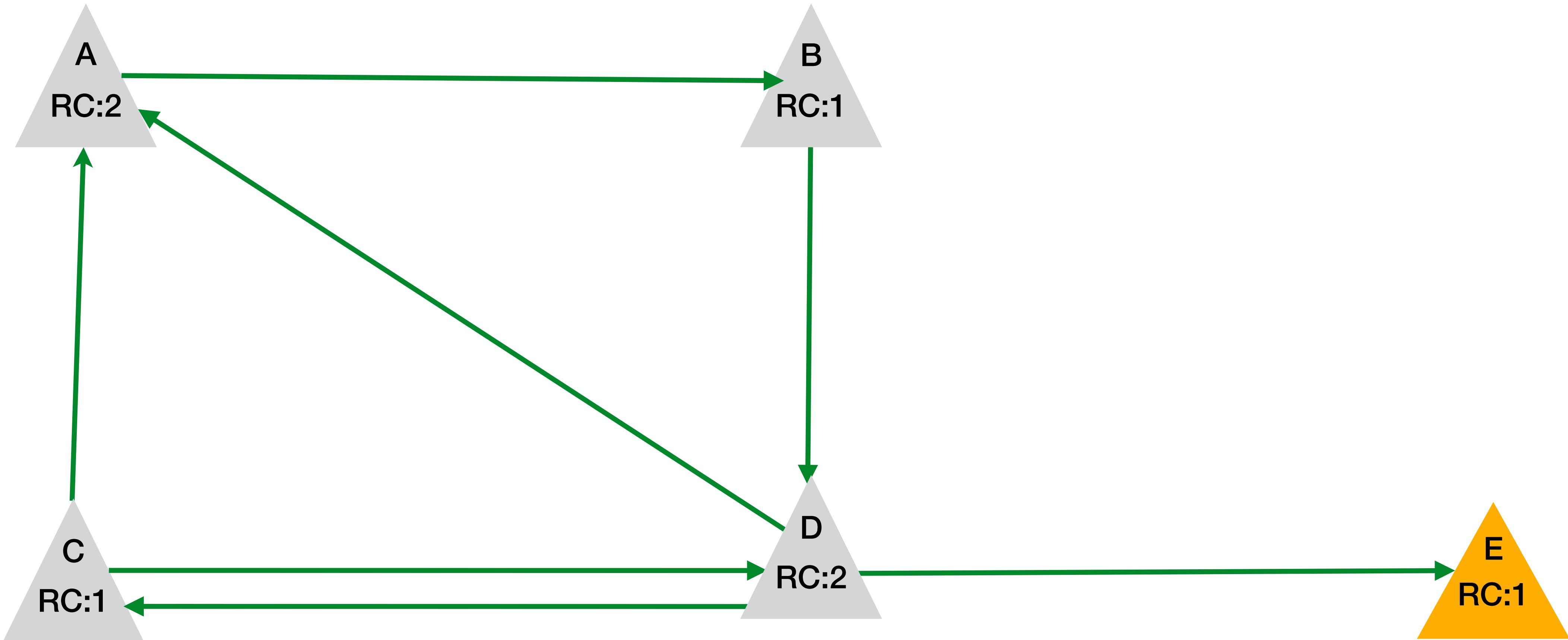
Upon receipt of a notification, actors improve their perception

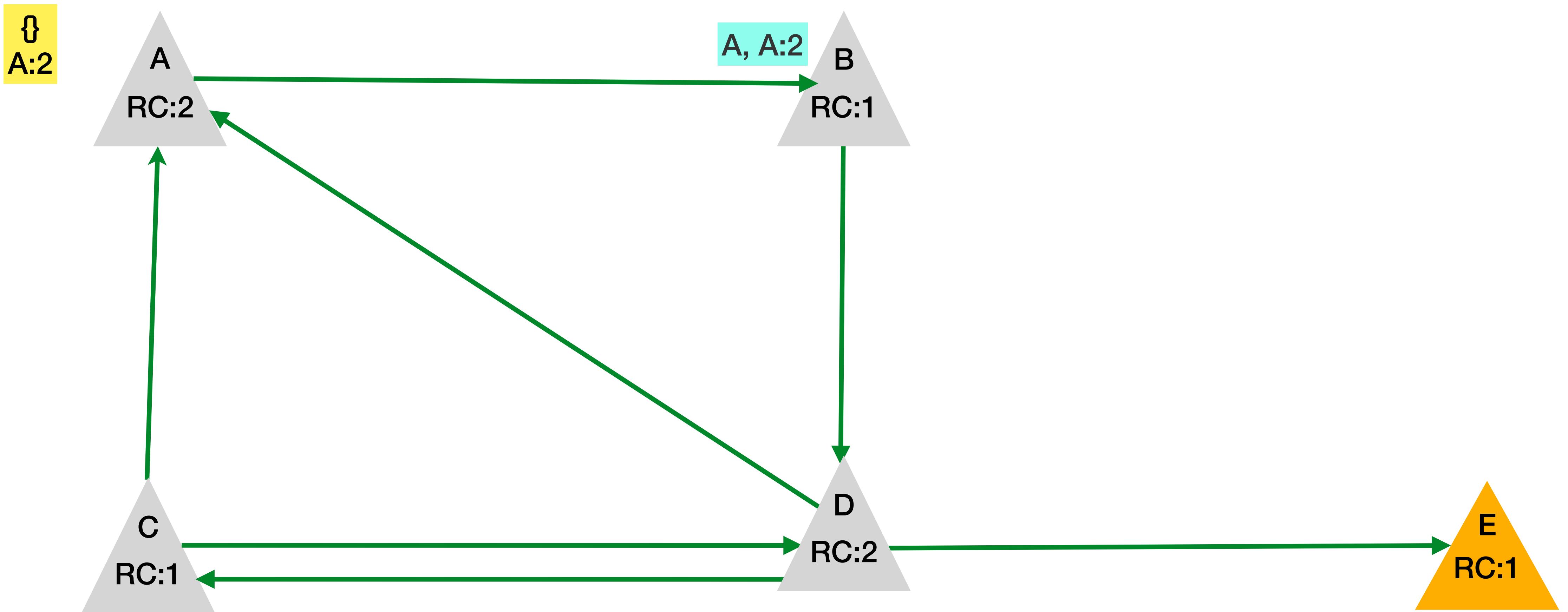
.....

...



{  
A:2





{ }  
A:2

A  
RC:2

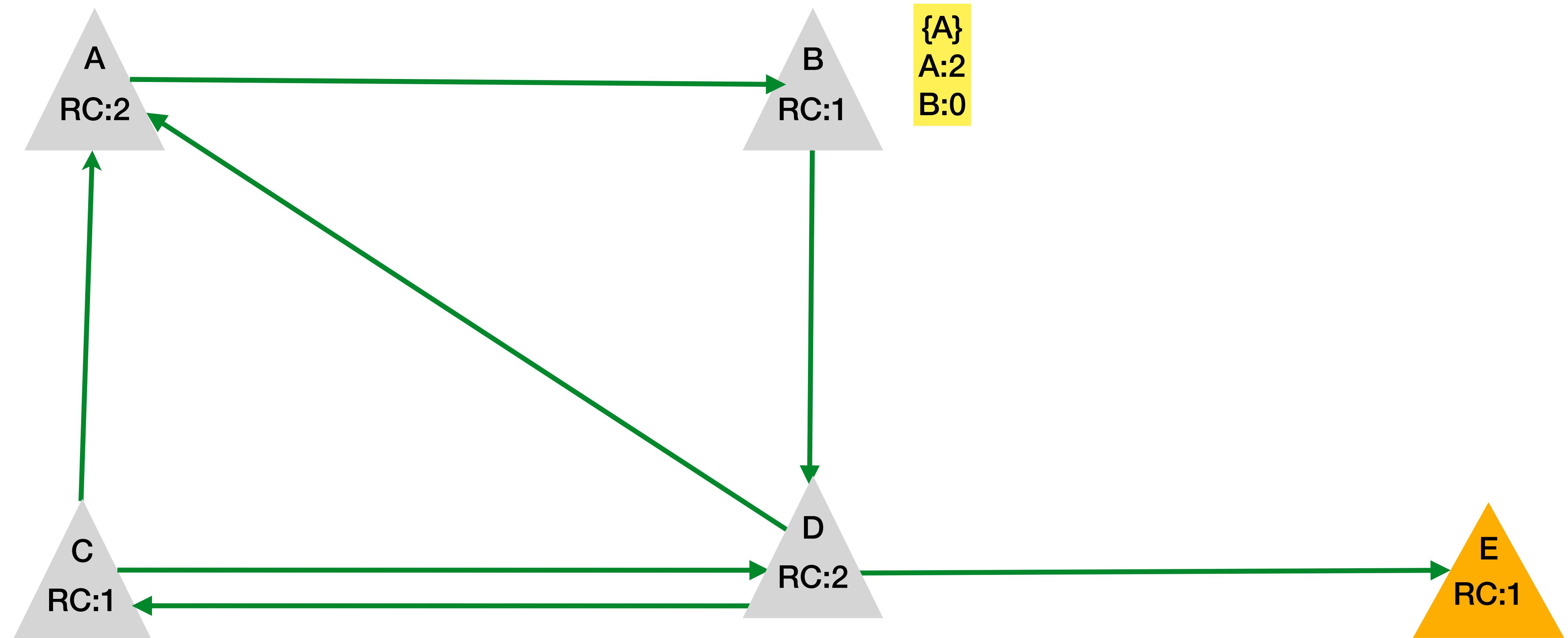
{A}  
A:2  
B:0

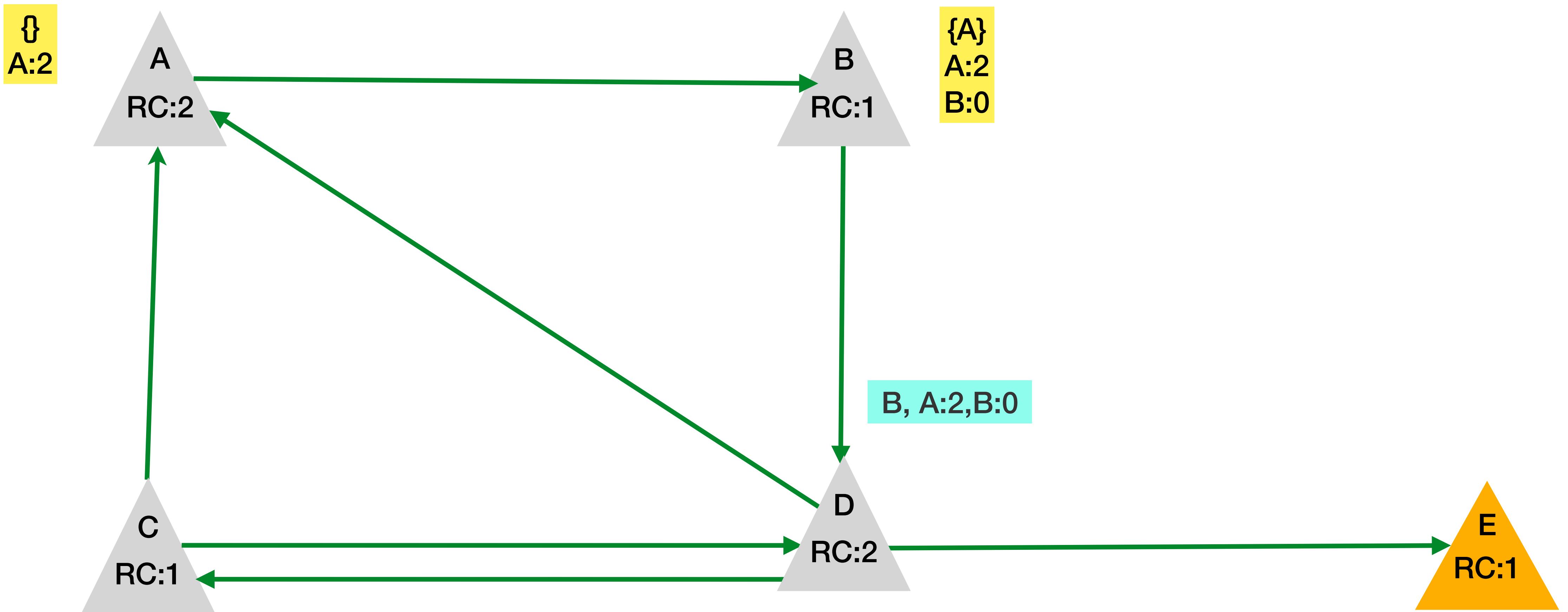
B  
RC:1

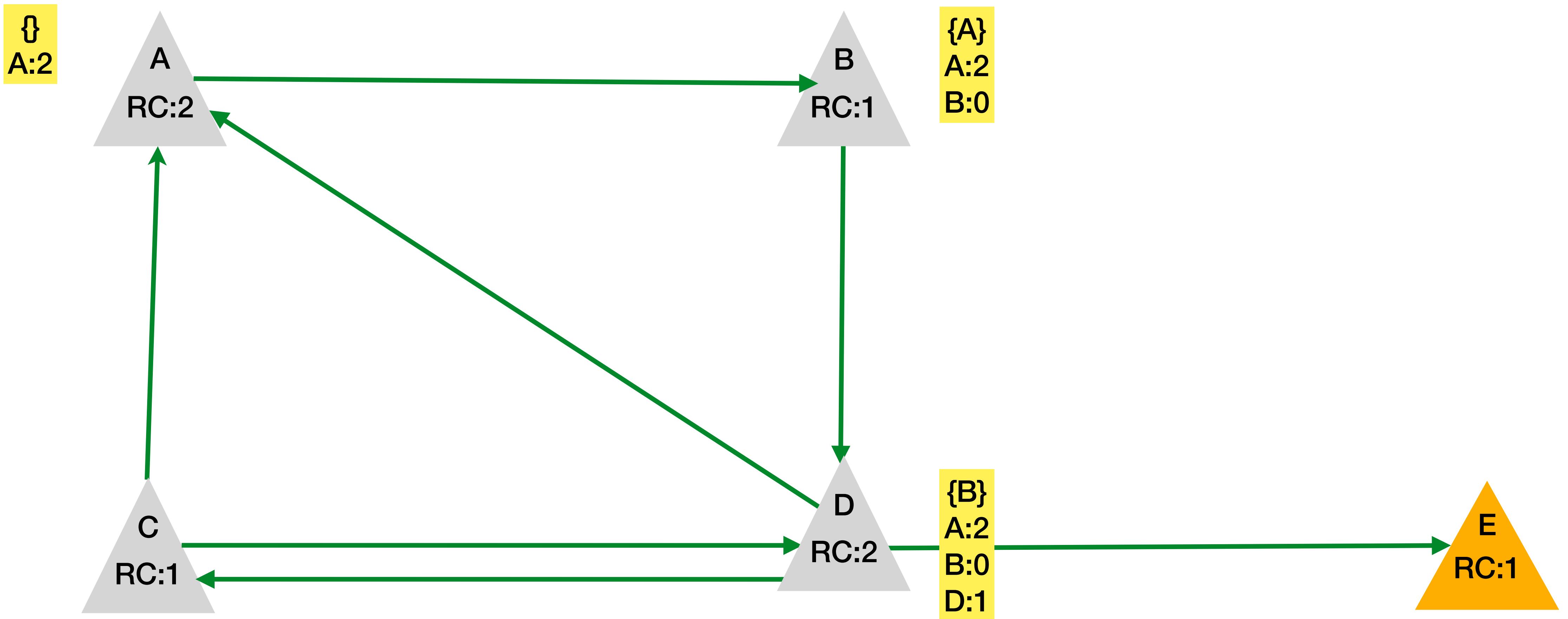
C  
RC:1

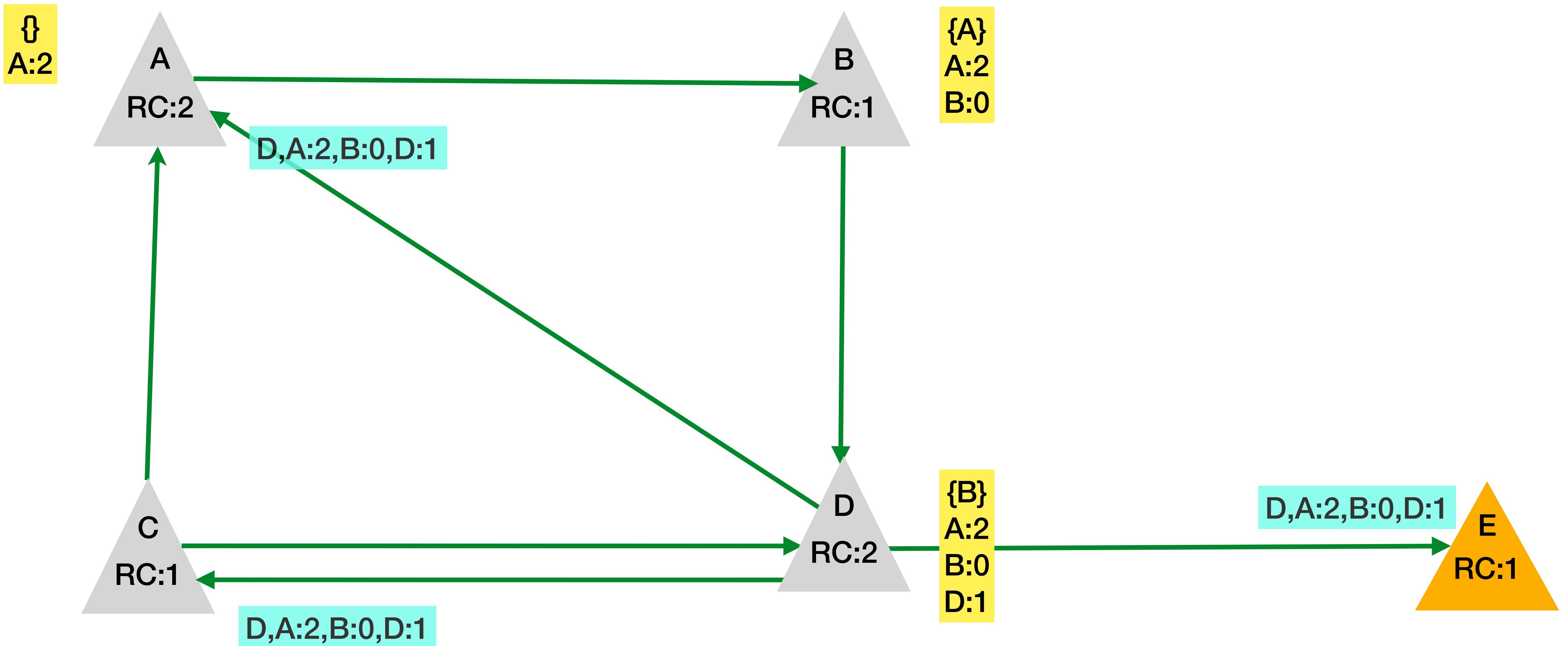
E  
RC:1

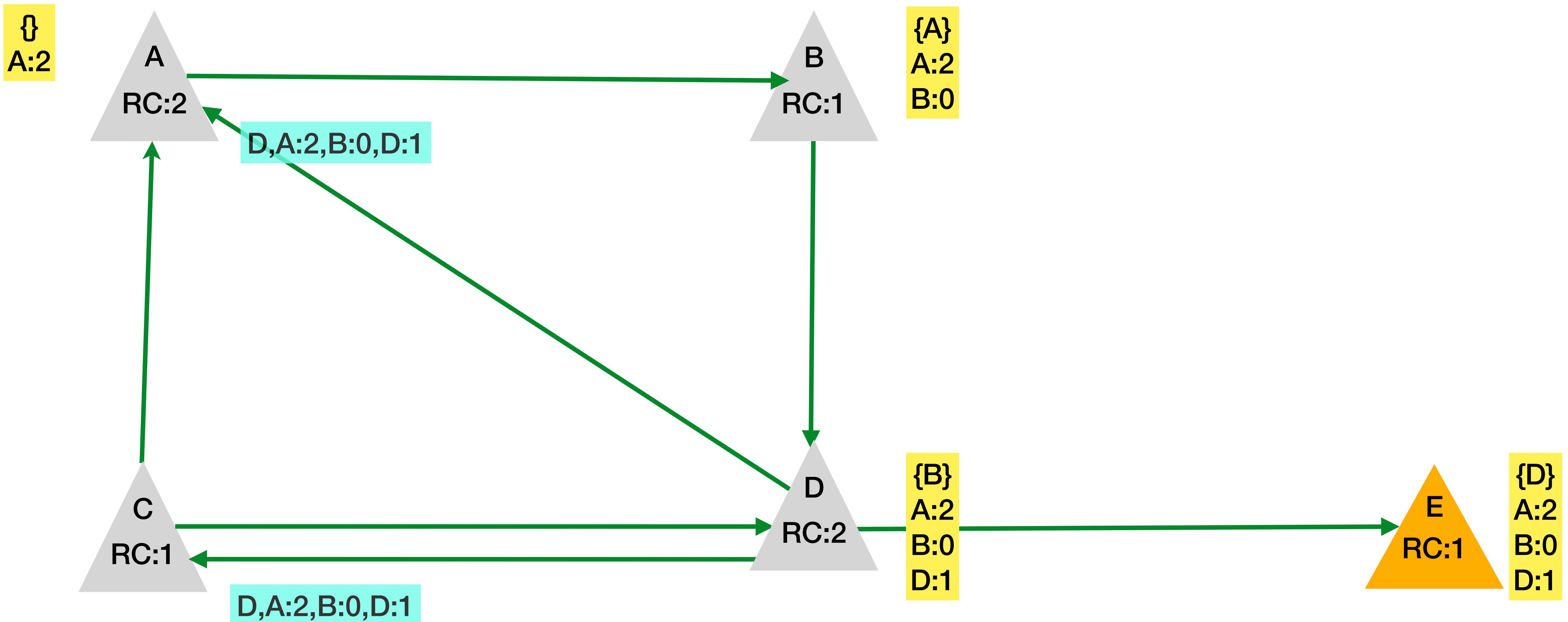
D  
RC:2

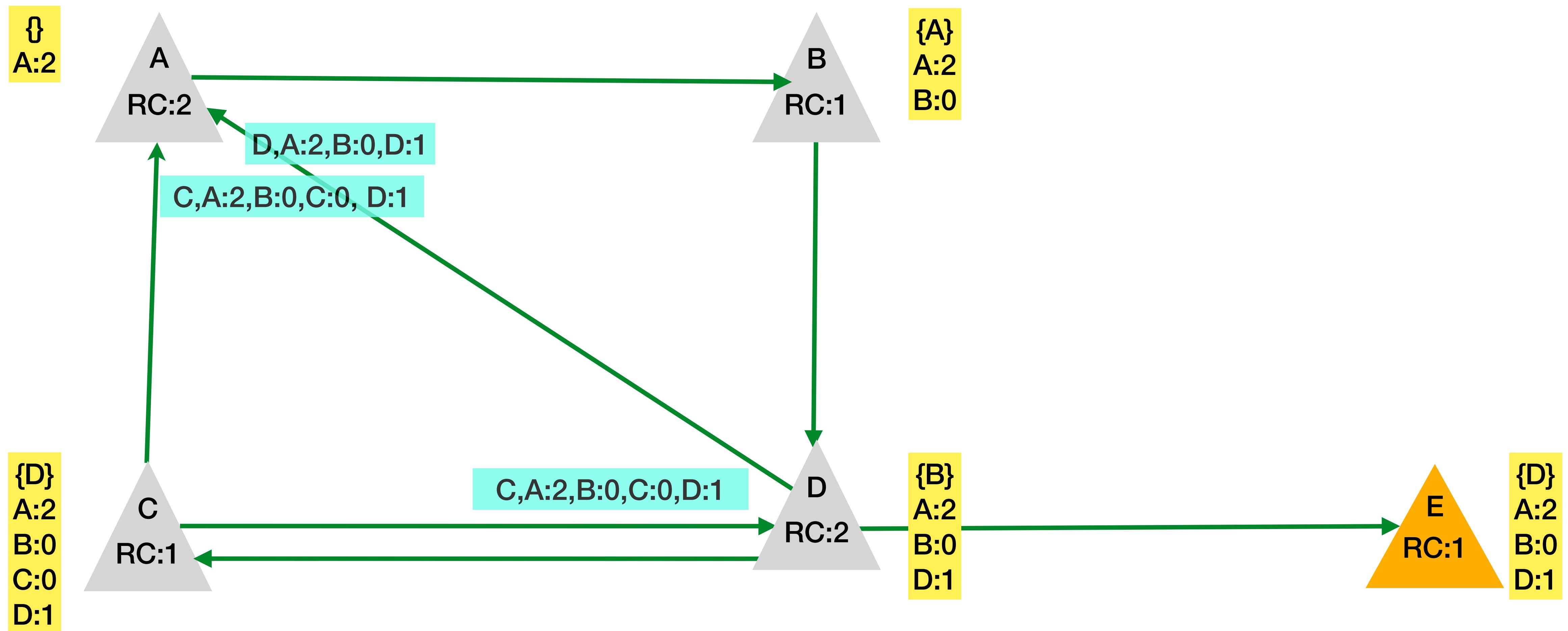


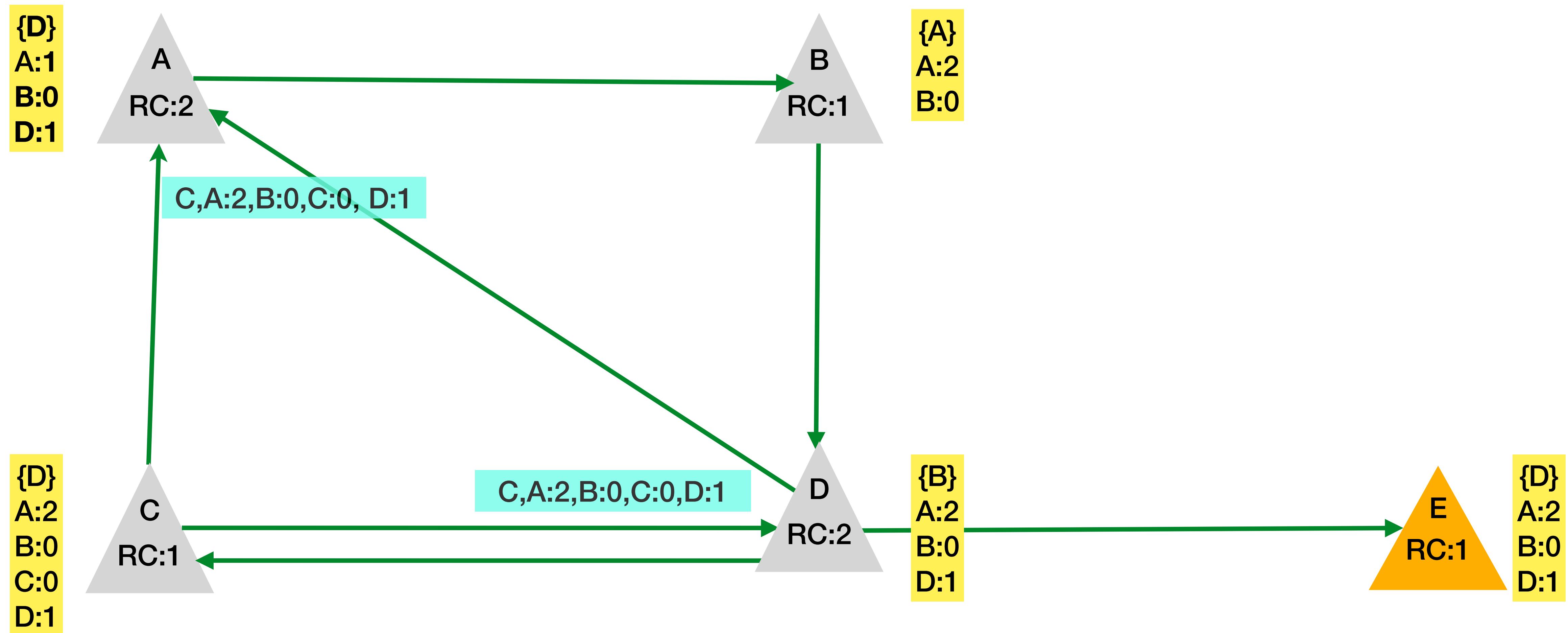


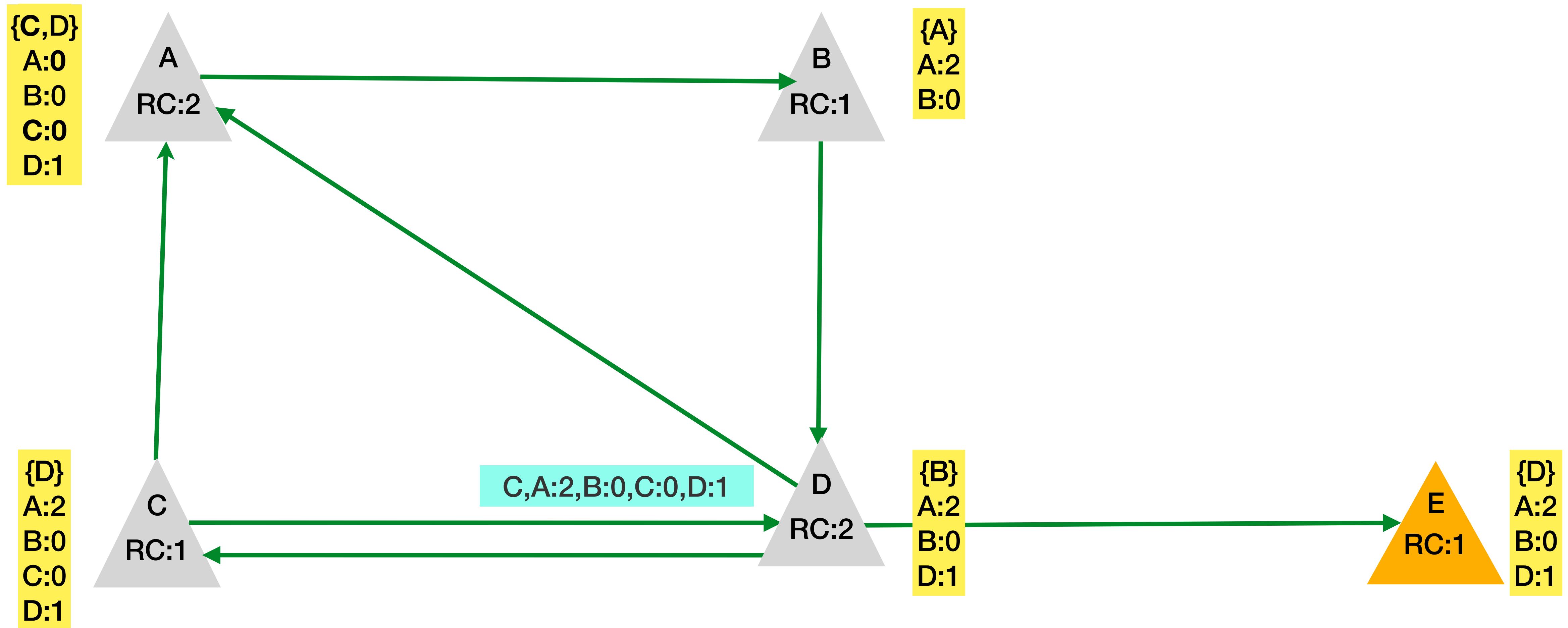


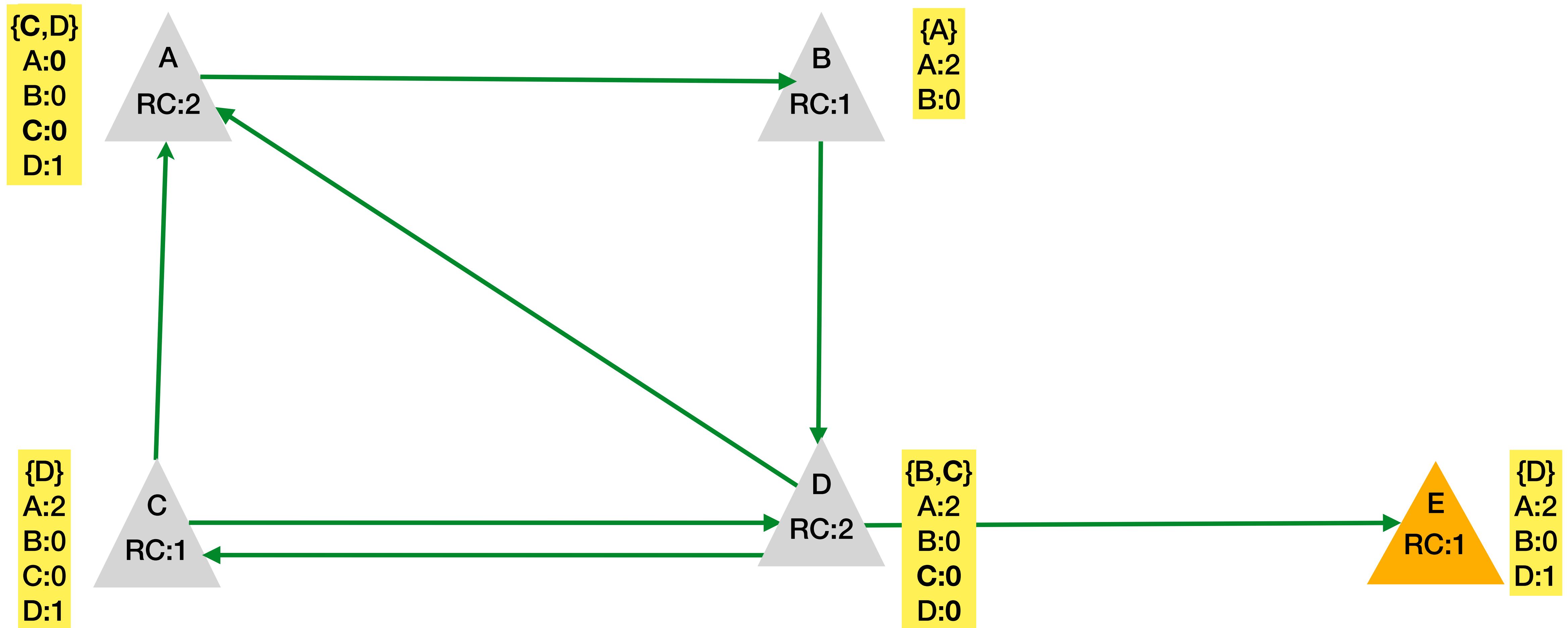




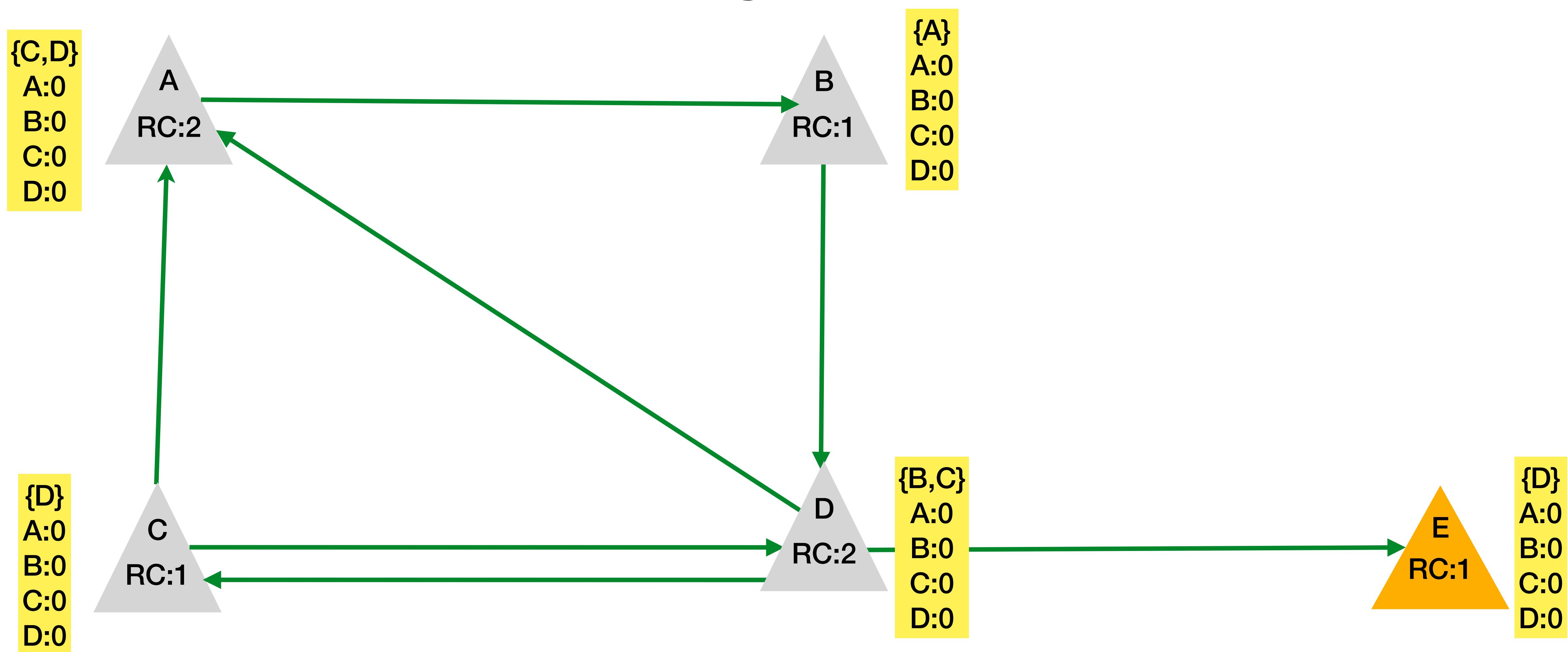




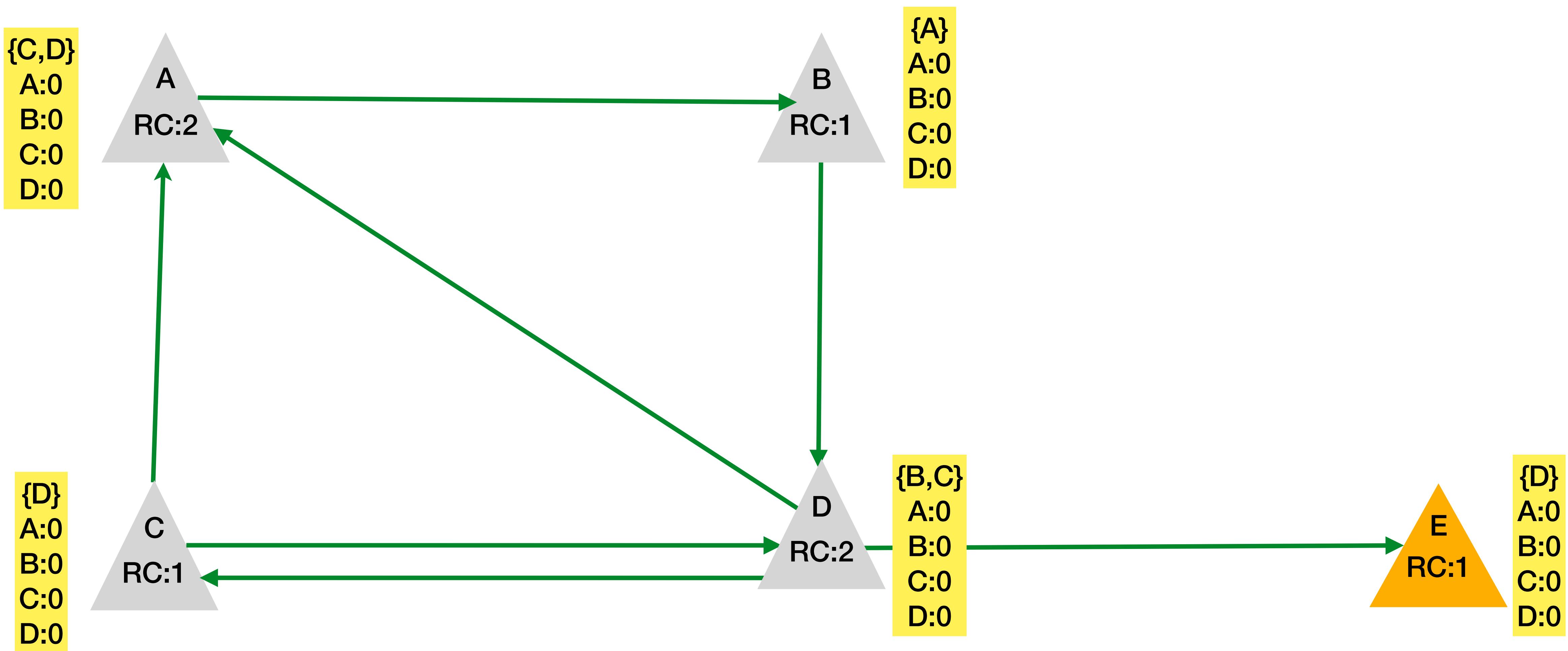




# eventually ....

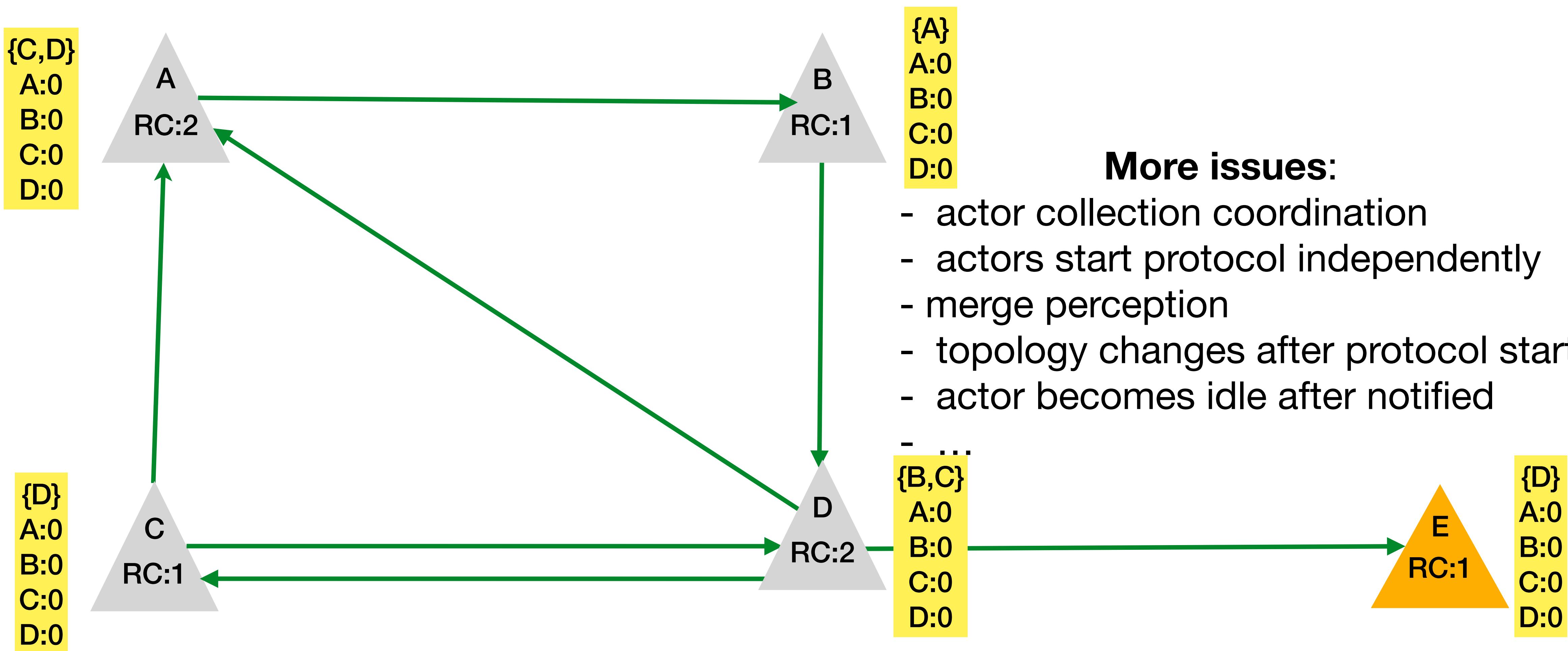


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... and now A, B, C, D can be collected

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Is that the whole story?    **No**, more problems needed solving.

Is it useful?    Yes ...

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More and longer messages

# Garbage Collection for objects (Pony)



Soundness of a Concurrent Collector for Actors

Juliana Franco<sup>1</sup> Sylvan Clebsch<sup>2</sup>  
Sophia Drossopoulou<sup>1</sup> Jan Vitek<sup>3</sup> Tobias Wrigstad<sup>4</sup>

<sup>1</sup> Imperial College, London <sup>2</sup> Microsoft Research Cambridge  
<sup>3</sup> Northeastern University & CVUT <sup>4</sup> Uppsala University, Uppsala

Abstract. ORCA is a garbage collection protocol for actor-based programs. Multiple actors may mutate the heap while the collector is run.

ESOP'18

OOPSLA'17

**Orca: GC and Type System Co-Design for Actor Languages**

SYLVAN CLEBSCH, Microsoft Research Cambridge, United Kingdom

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**Garbage **Collection** in the**  
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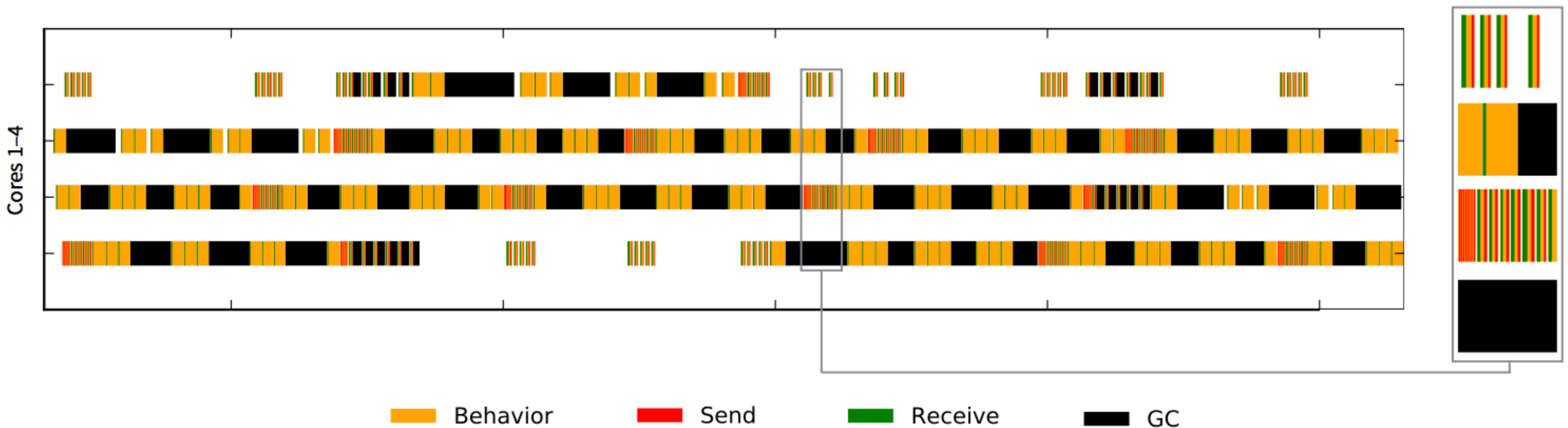
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ORCA is *fully concurrent*  
ie no synchronization, no locks, no barrier, no stop the world step.



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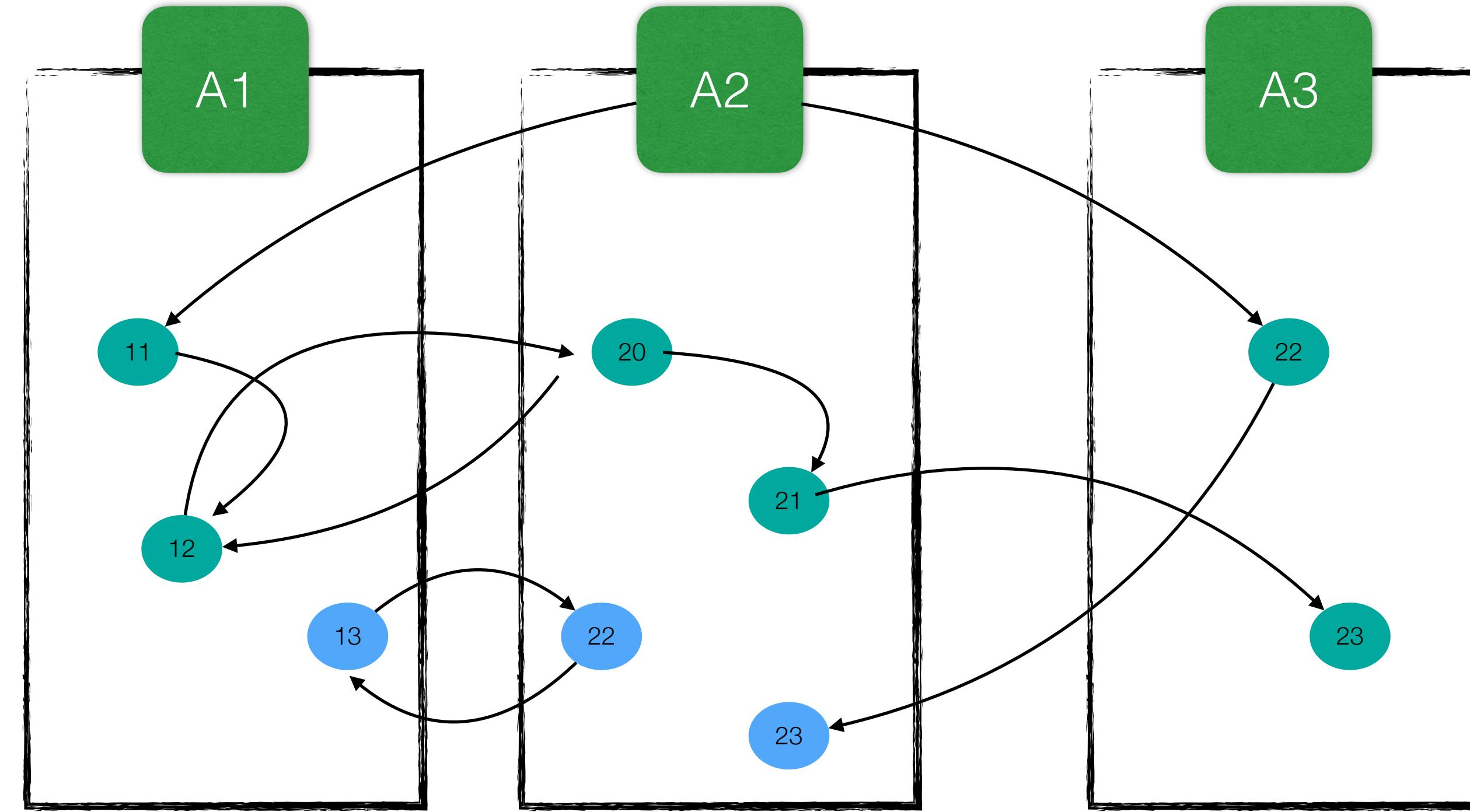
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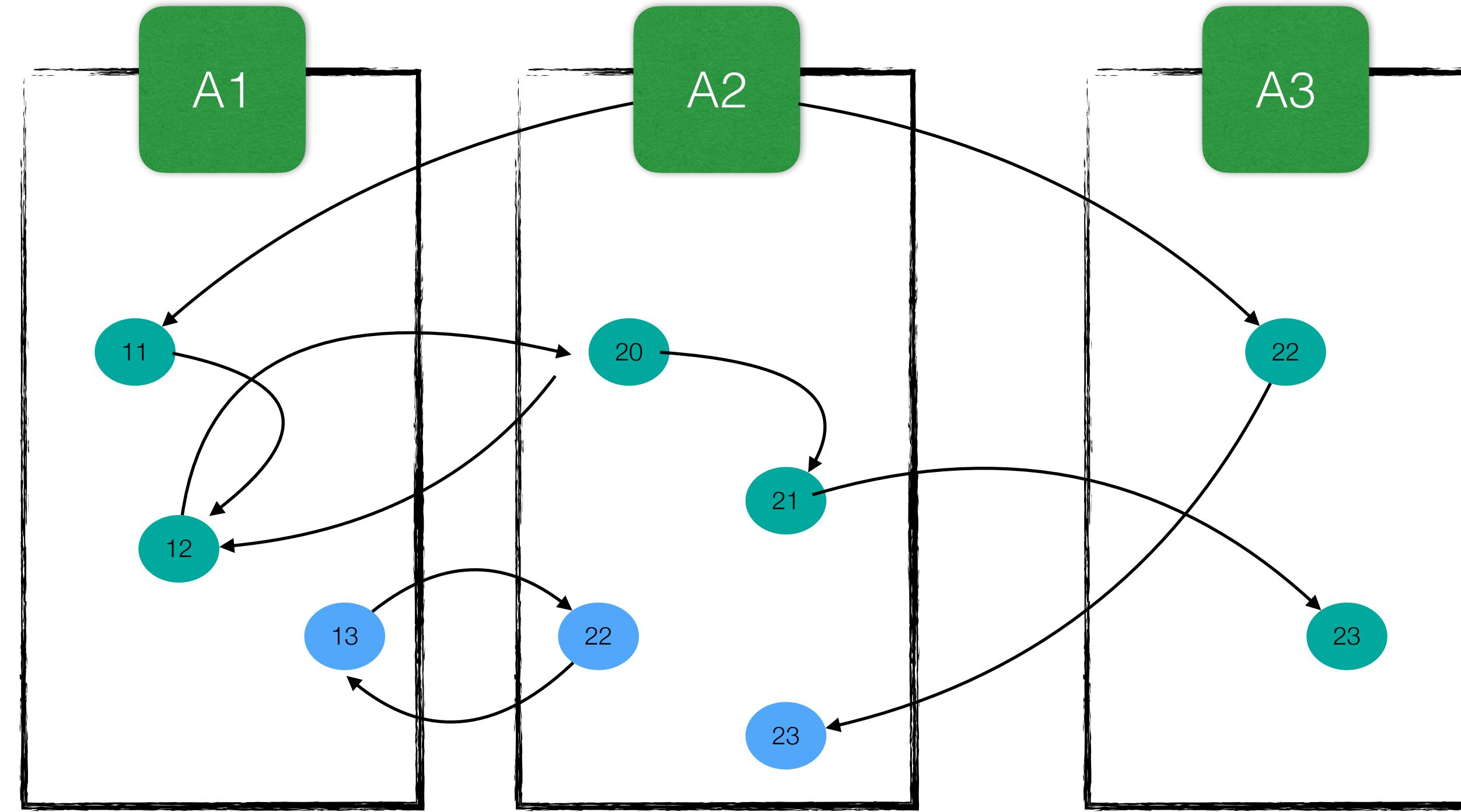
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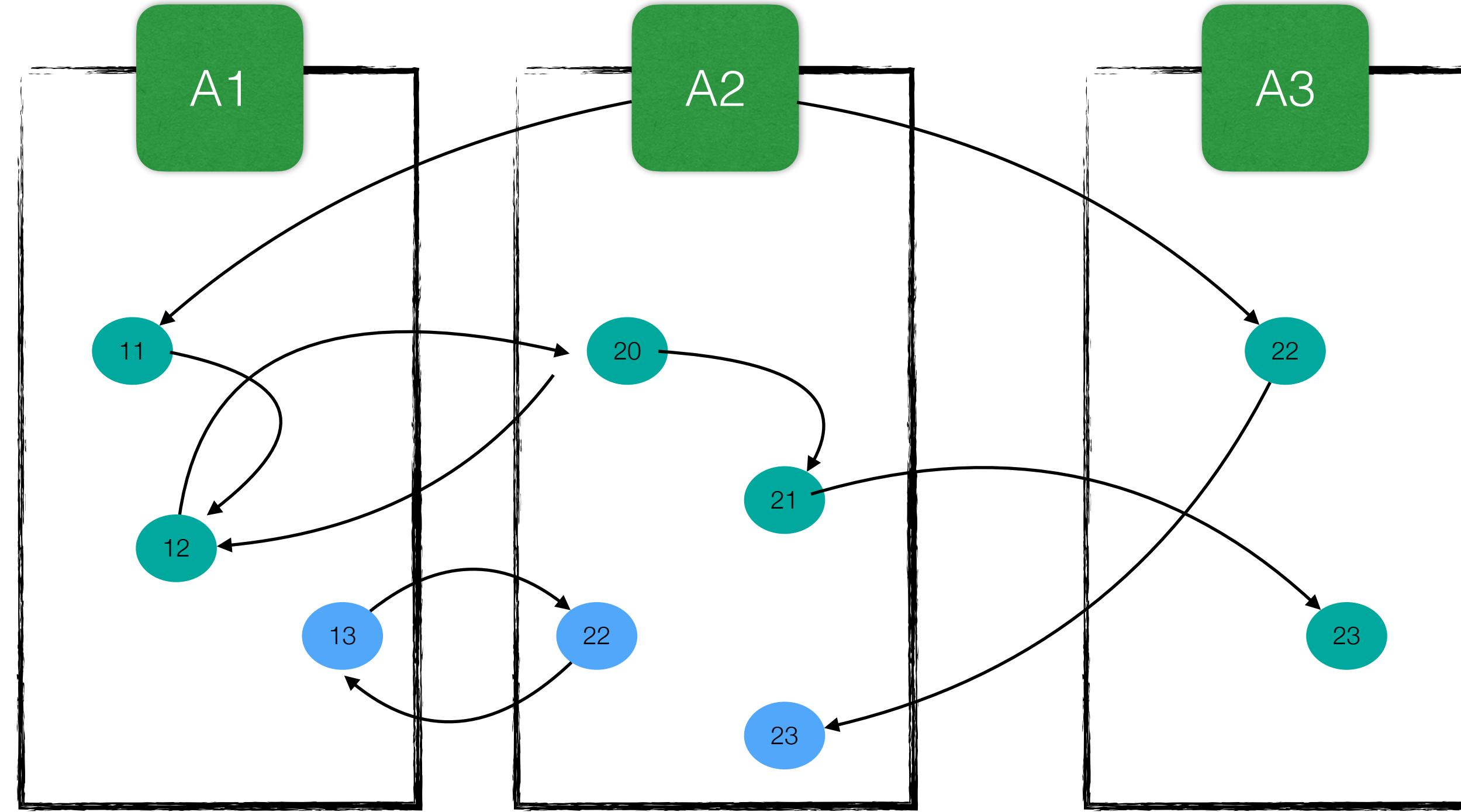
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Tight Connection between Language and Runtime Design



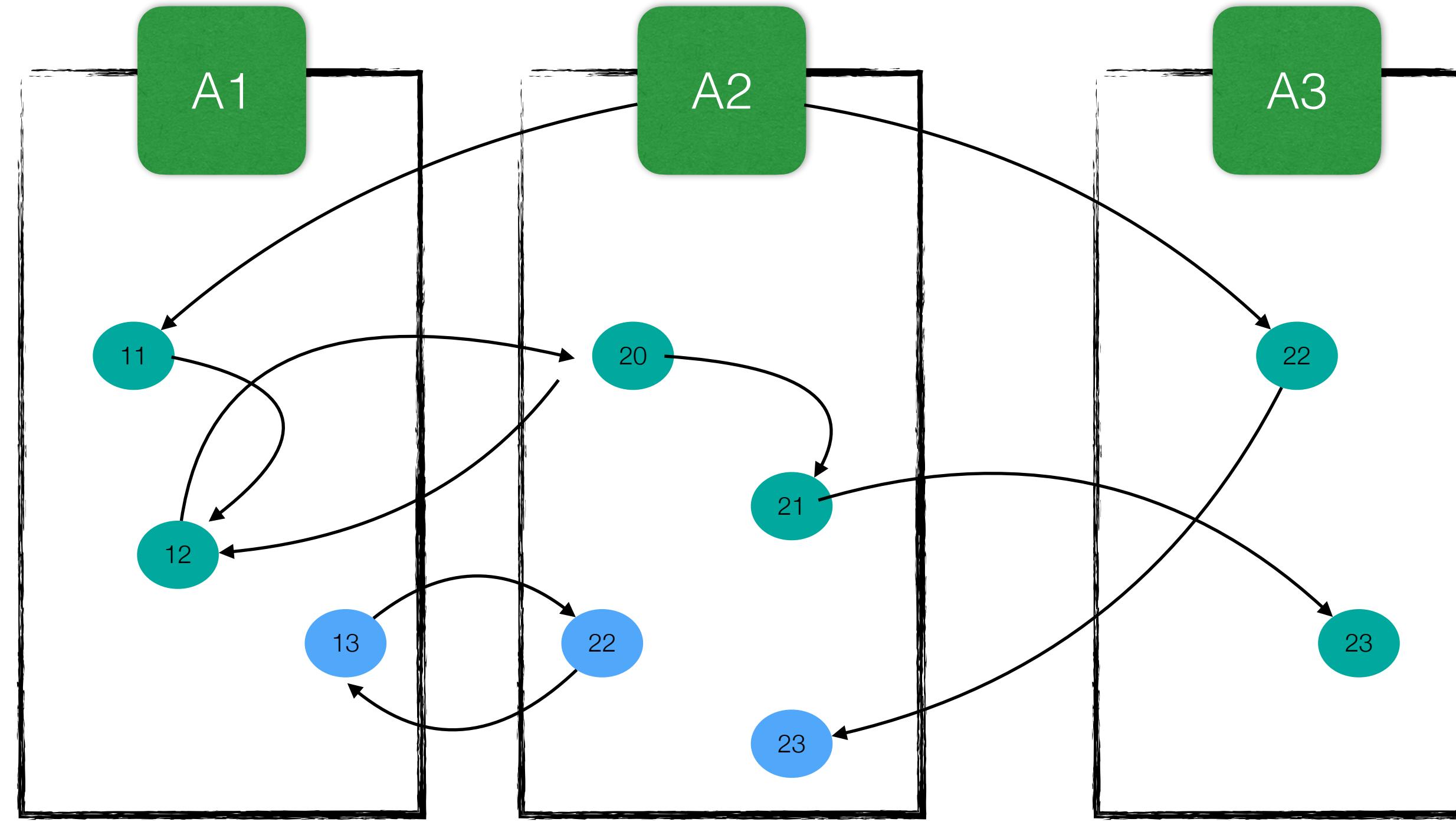


## Challenges



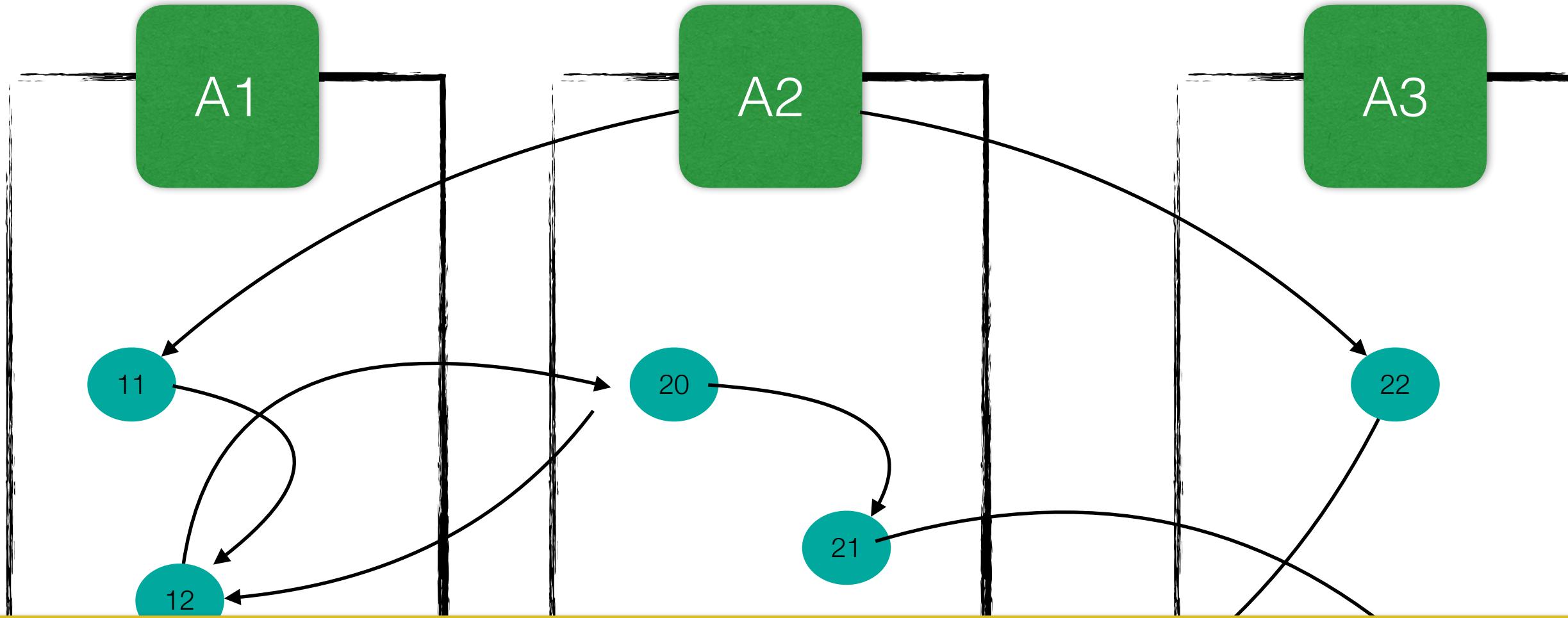
## Challenges

- Owning actor might not have path to its live objects
- Cycles in object graph



## Approach

- Owning actor keeps upper bound on number of actors which have a path to owned object
- Owning actor collects object when this number=0
- Foreign actor keeps count of references to un-owned objects
- Foreign actor informs owning actor when number of references to unowned objects changes (ie upon message send/receive or local tracing)



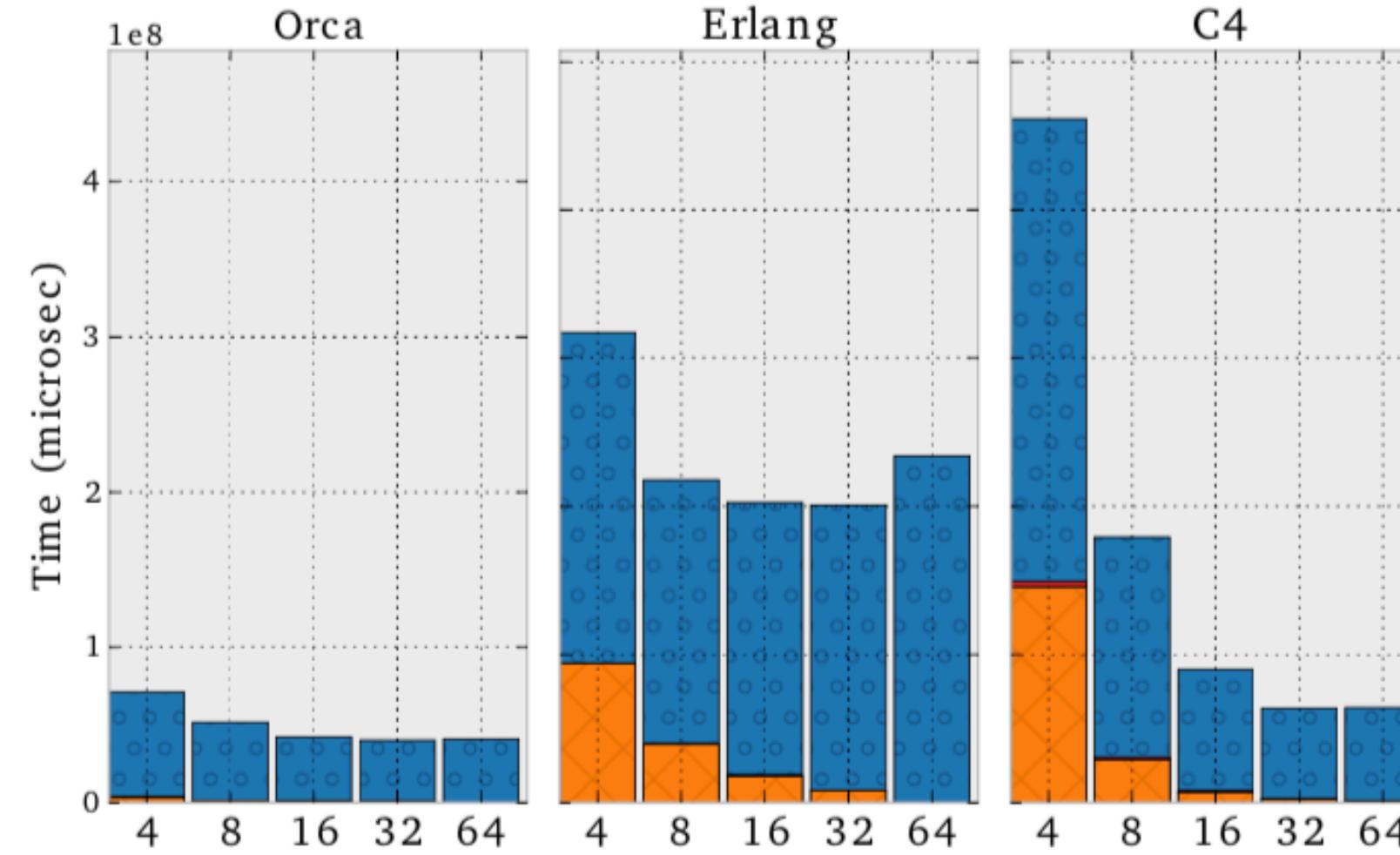
## Properties: Soundness and Completeness

### Approach

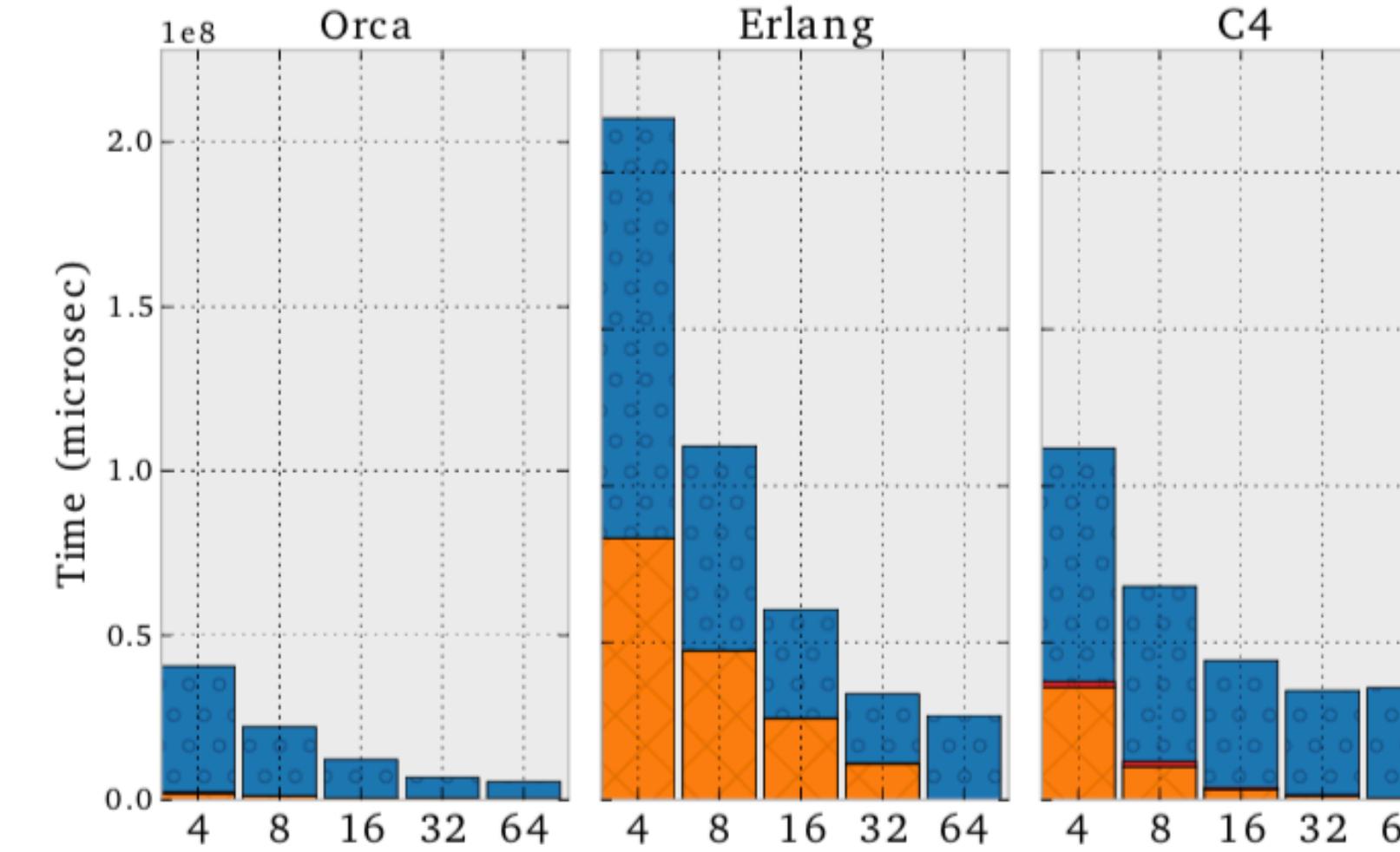
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# Pony vs Erlang vs Java

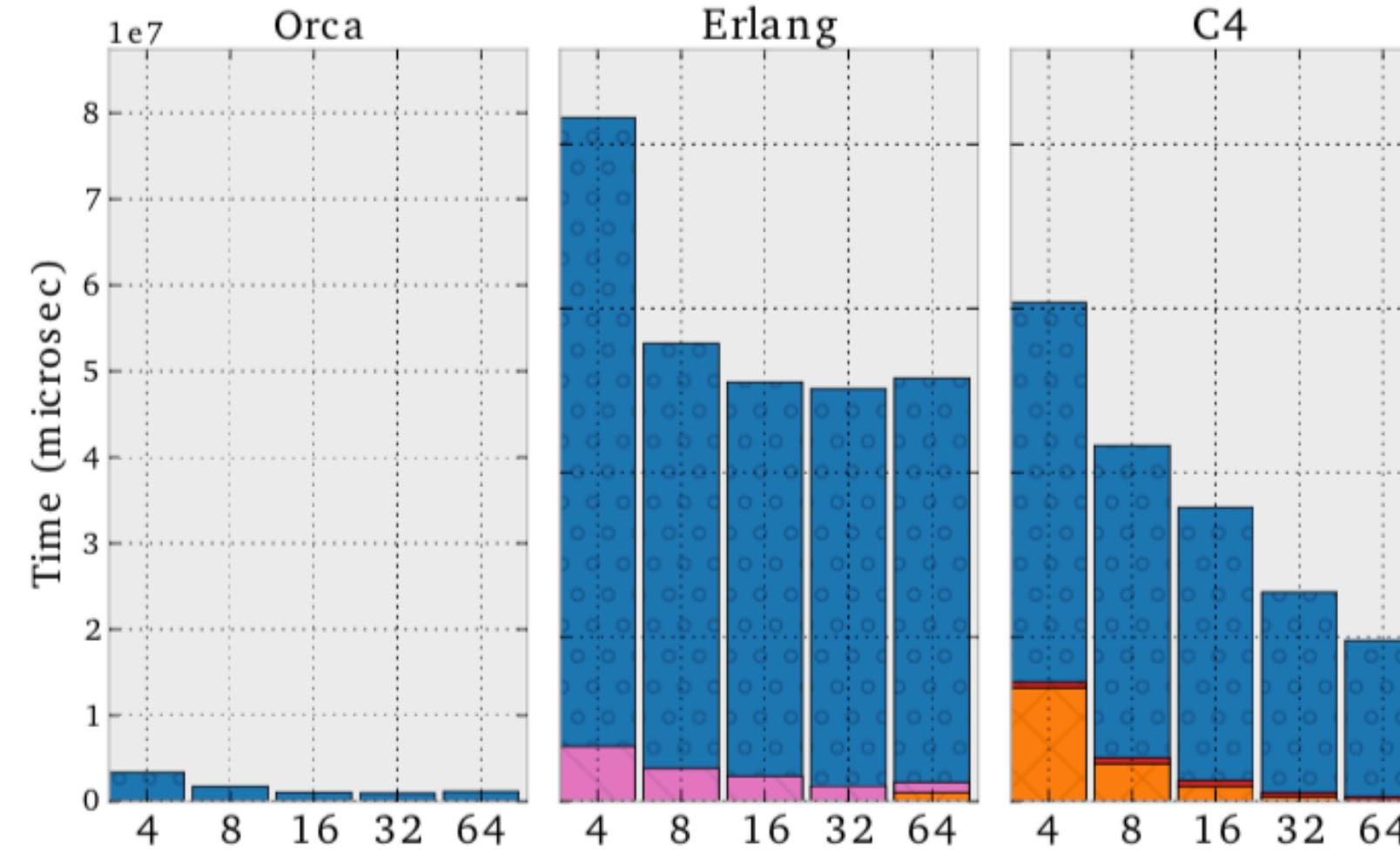
(a) trees



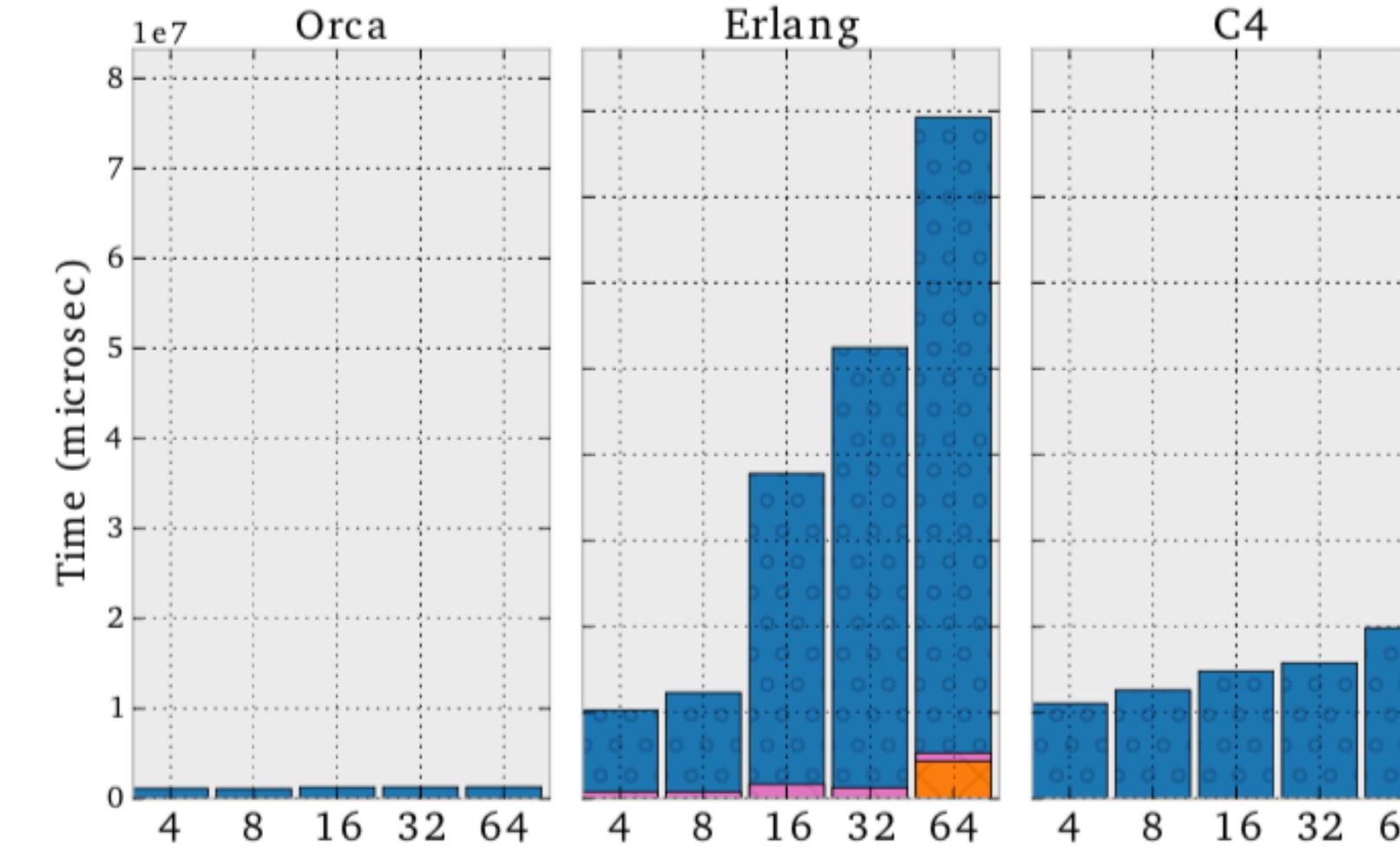
(b) trees'



(c) rings



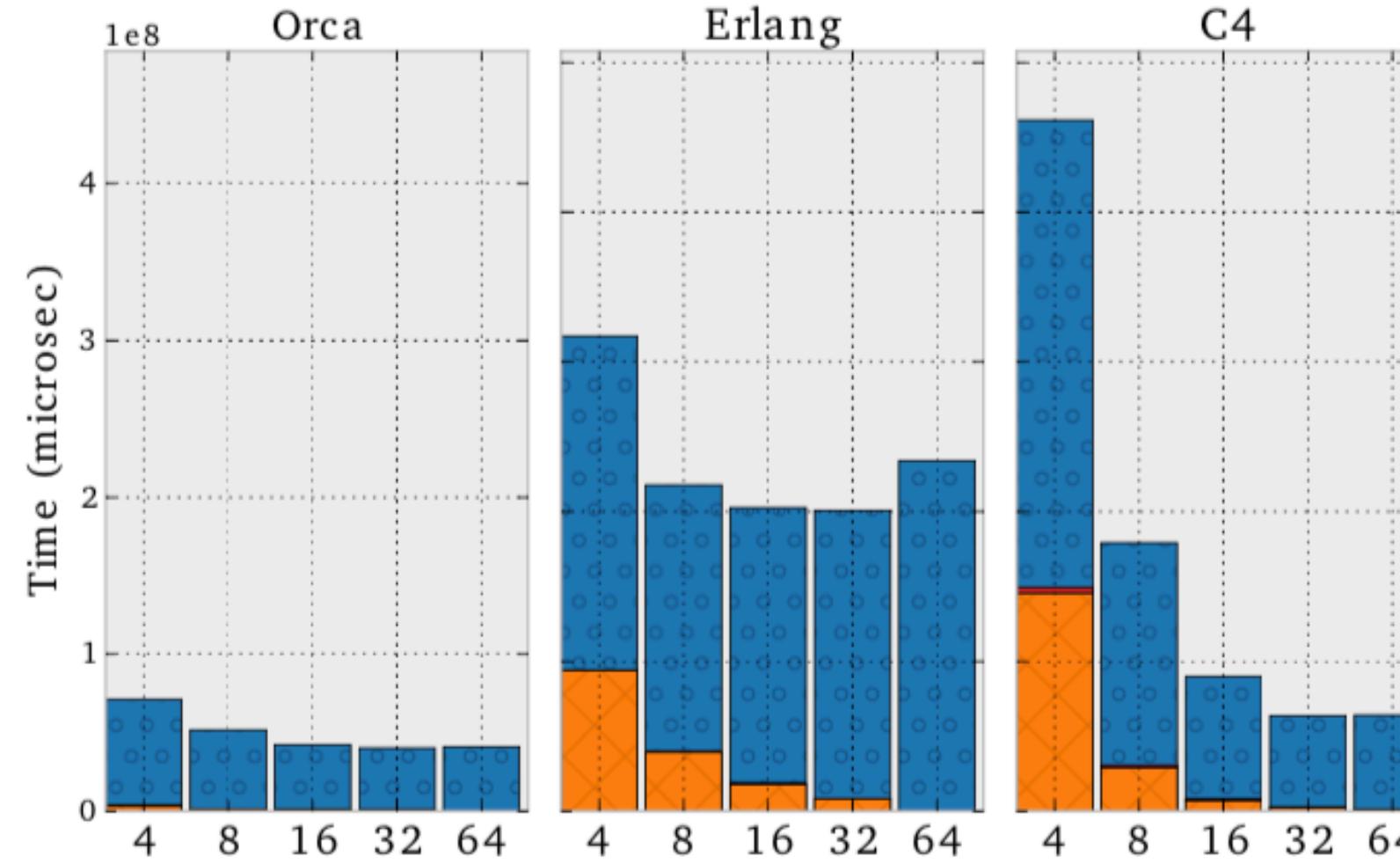
(d) mailbox



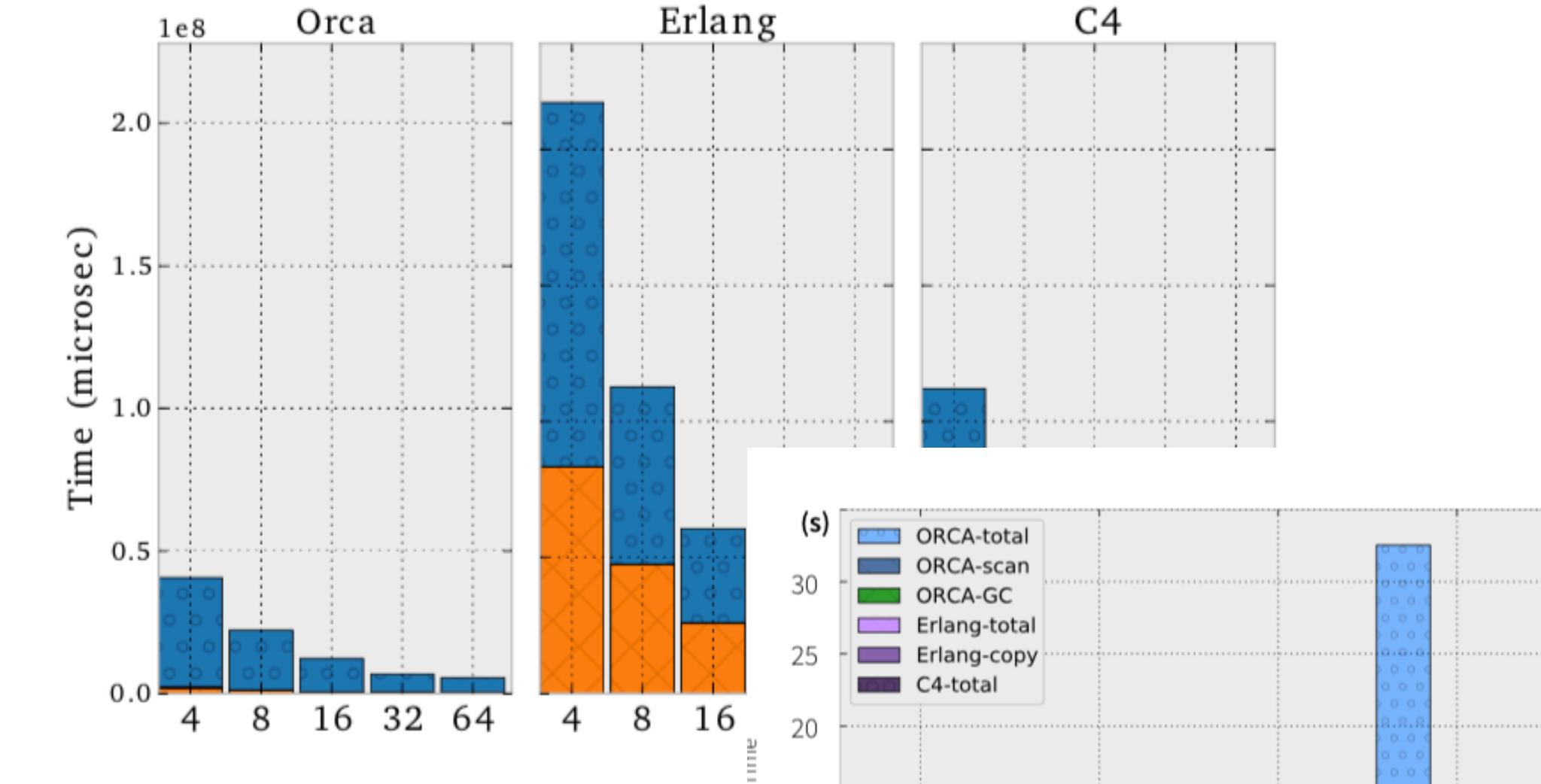
mutator time      mutator overhead      concurrent gc      stw gc

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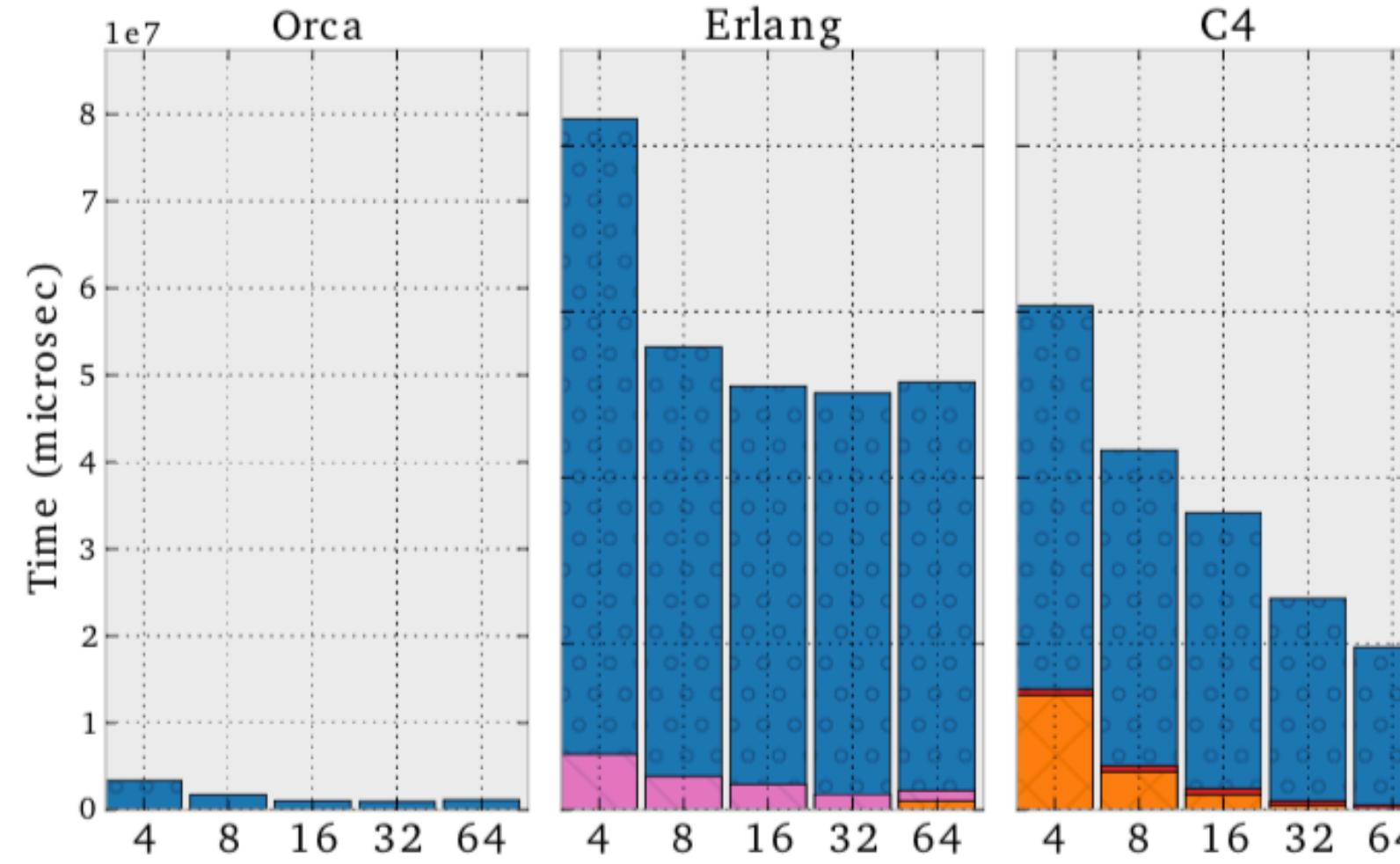
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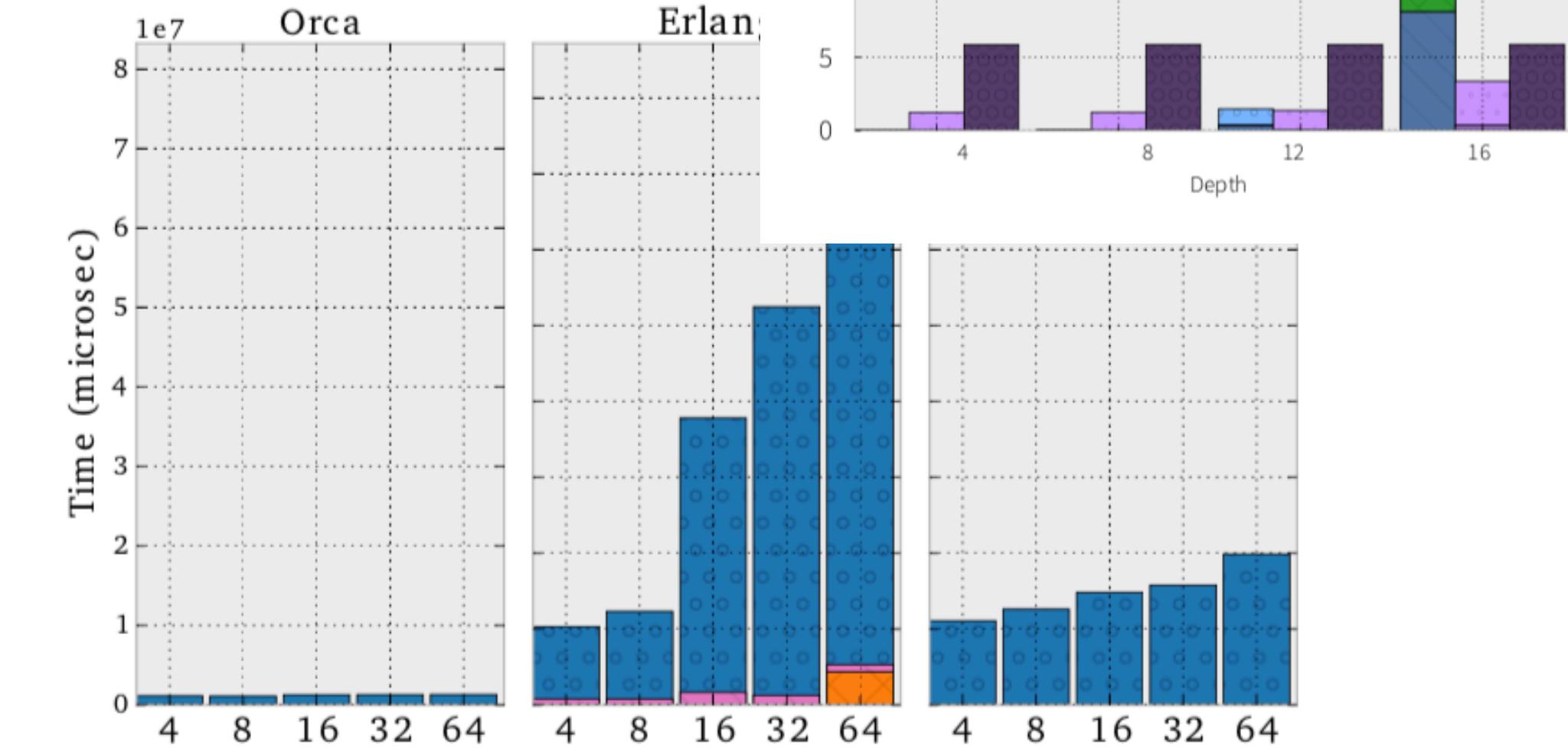
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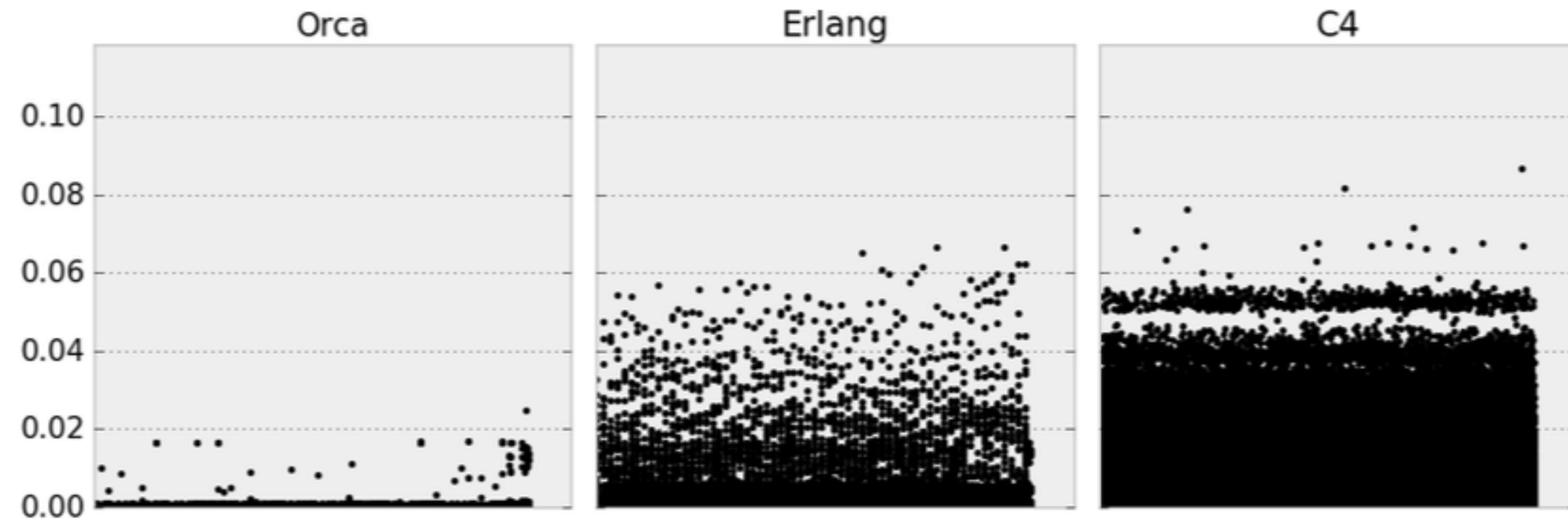


(d) mailb



Legend: mutator time (blue), mutator overhead (pink), concurrent gc (orange), stw gc (red)

# Responsiveness



# Reflections on MAC

Is it useful?

Is it sound?

Is it complete?

What are its advantages/drawbacks?

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Is it useful?      **YES**

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Is it useful?      **YES**

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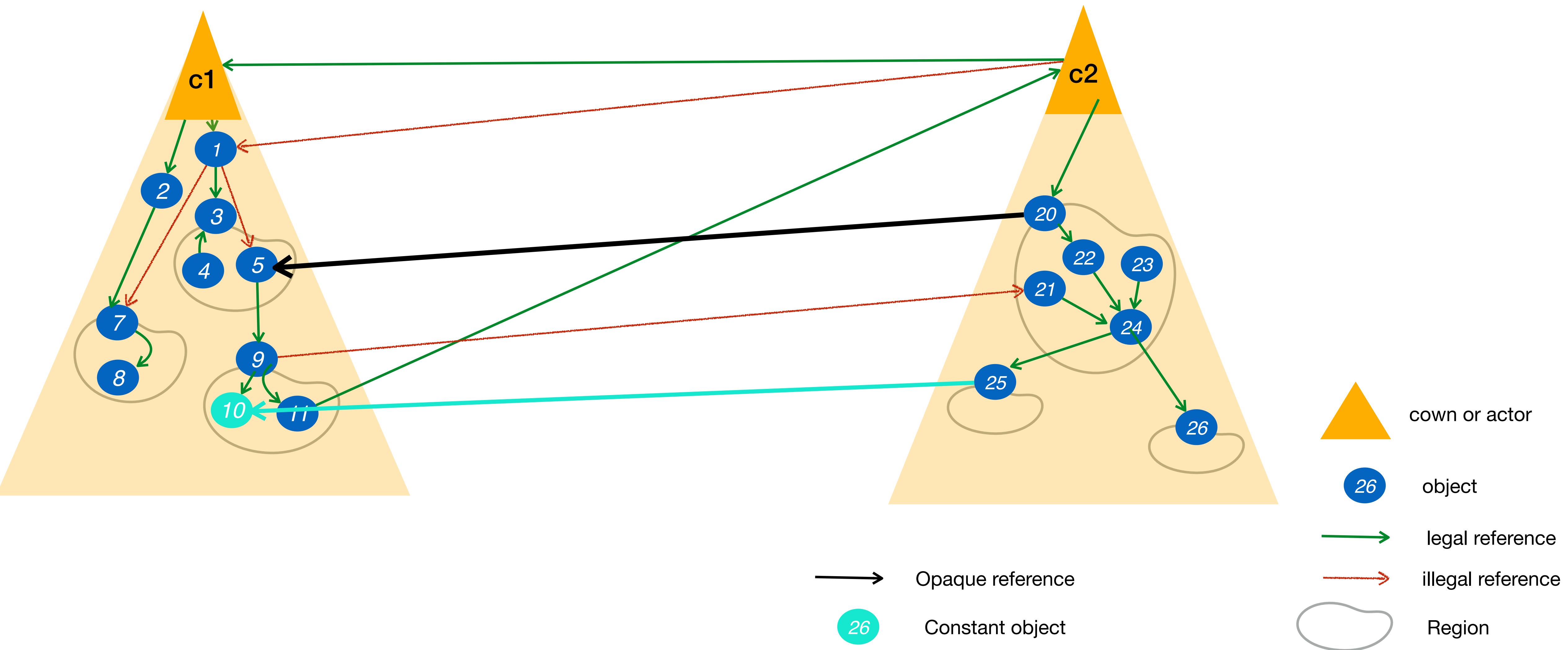
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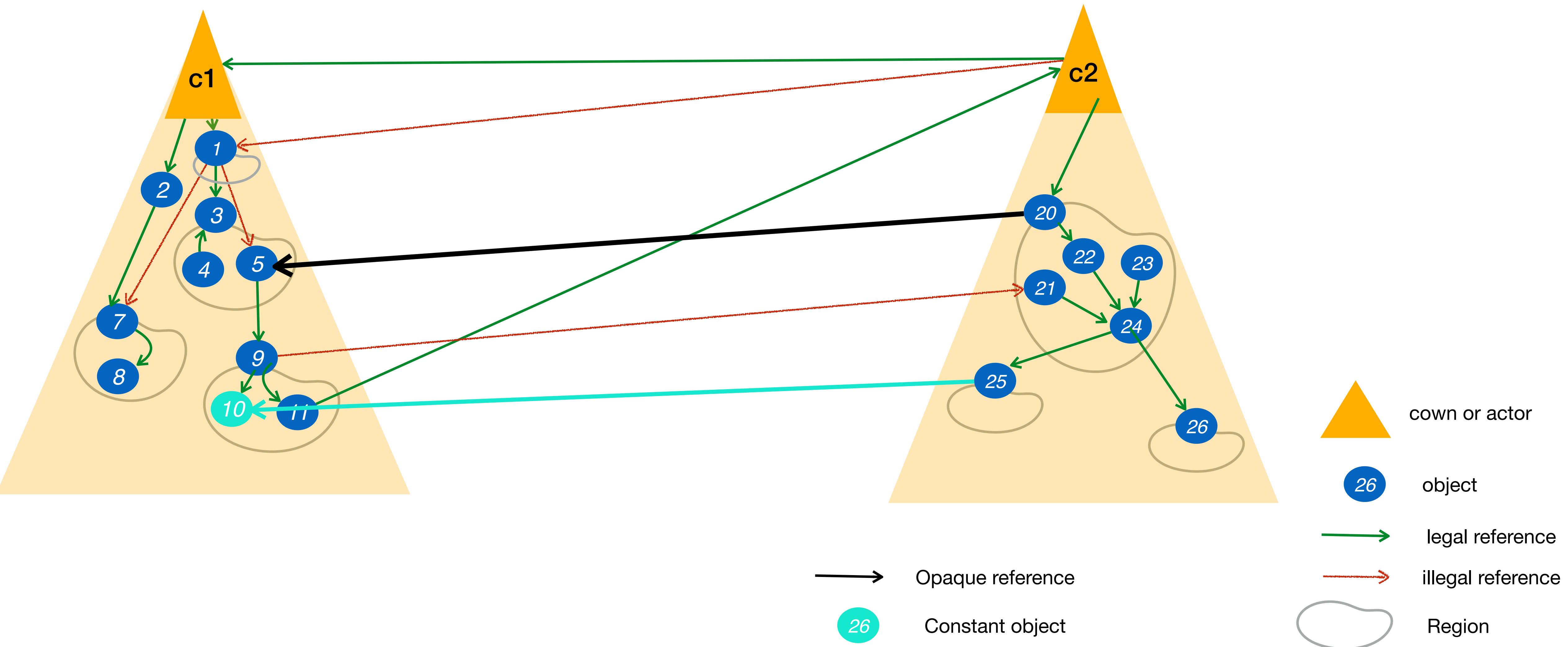
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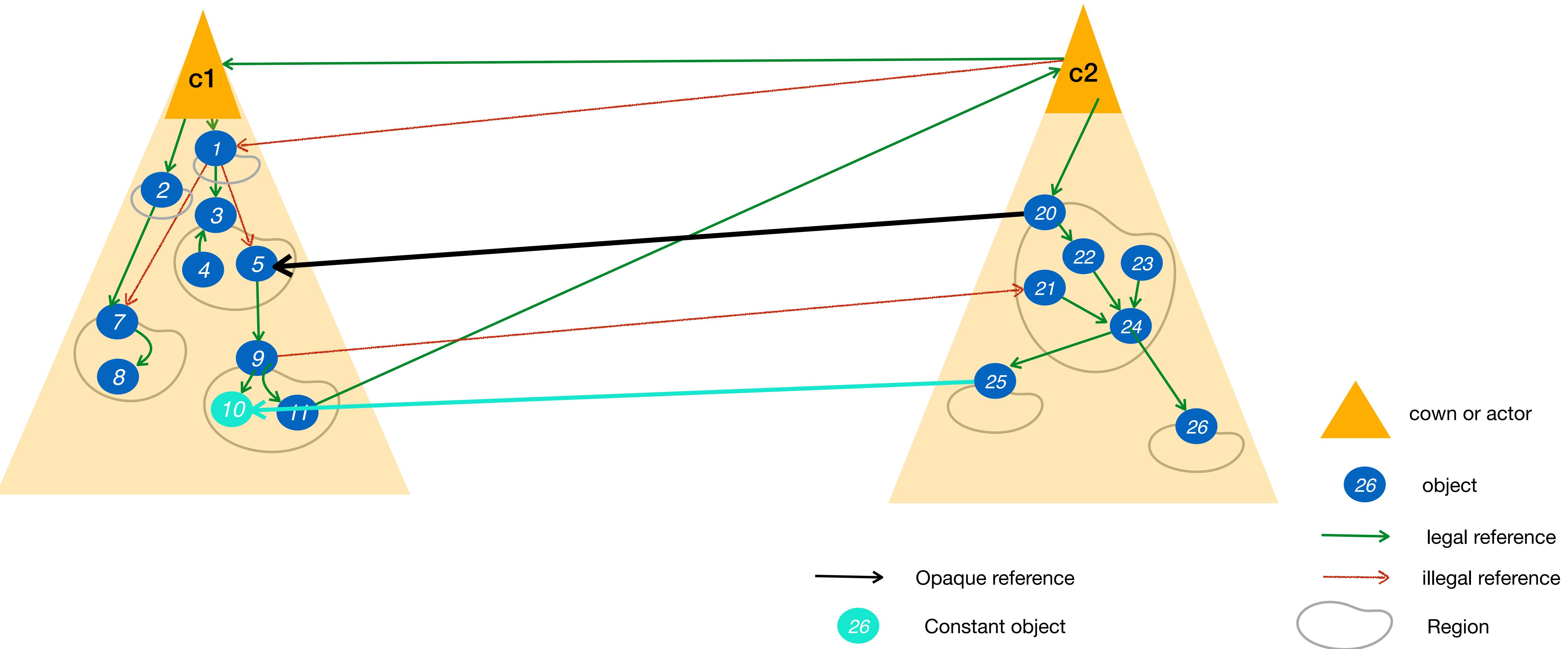
# Pony Actors share state safely - more truth



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# **Types and Garbage Collection**

## **for objects (Verona)**