

Compilation

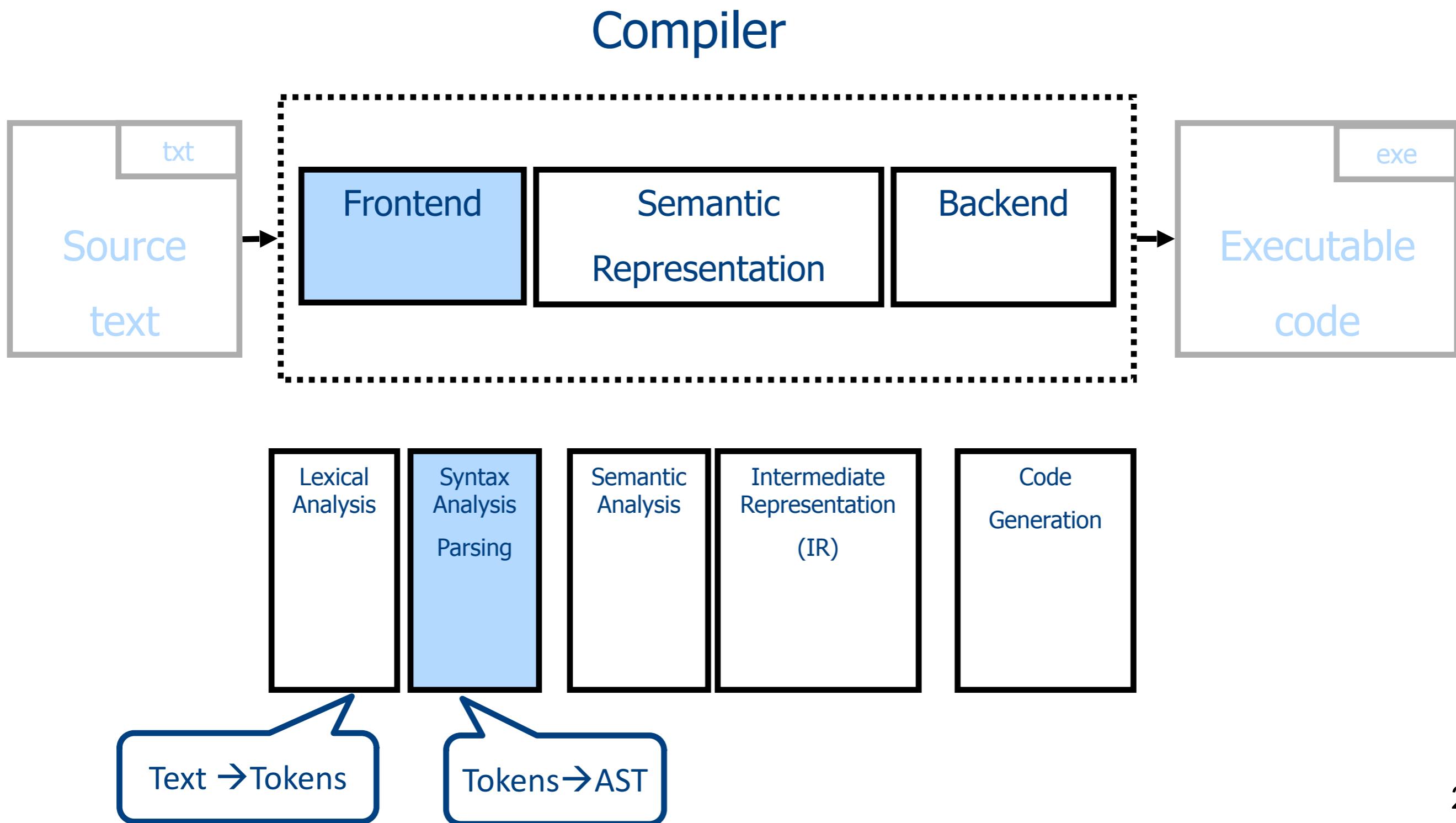
0368-3133

Lecture 3b:

Syntax Analysis:

Bottom-Up Parsing

Conceptual Structure of a Compiler



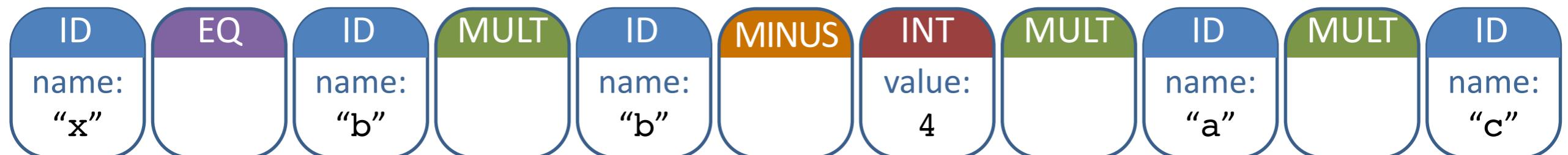
Lexing: from Characters to Tokens

txt

```
x = b*b - 4*a*c
```

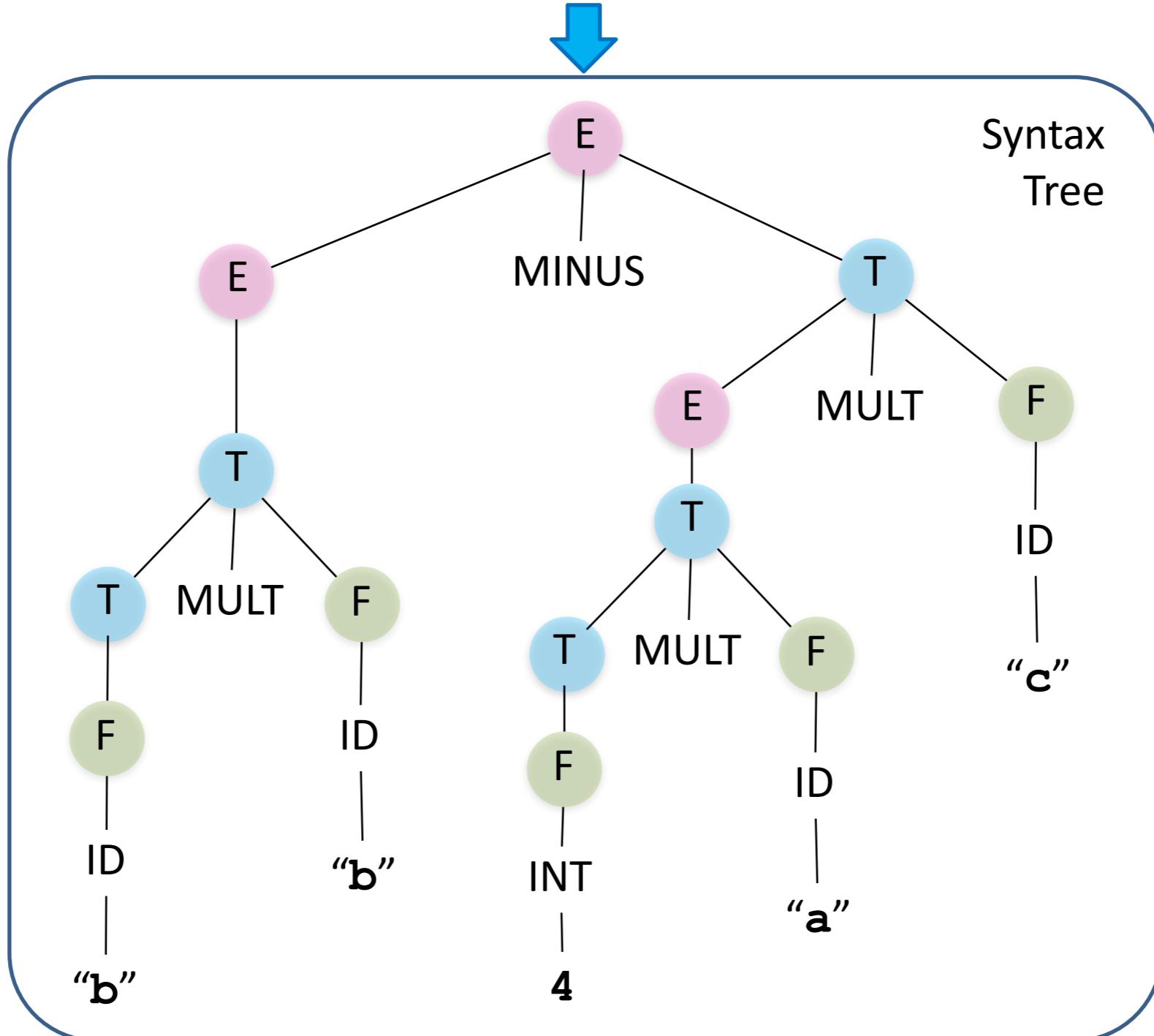


Token Stream



Parsing: from Tokens to Syntax Tree

`<ID,"b"> <MULT> <ID,"b"> <MINUS> <INT,4> <MULT> <ID,"a"> <MULT> <ID,"c">`



Broad kinds of parsers

- Top-Down parsers ($LL(k)$ grammars)
 - Construct parse tree in a top-down manner
 - Find the **leftmost** derivation
 - Bottom-Up parsers ($LR(k)$ grammars)
 - Construct parse tree in a bottom-up manner
 - Find the **rightmost** derivation (in a reverse order)
 - Parsers for **arbitrary** grammars
 - Earley's method ($O(n^3)$) for ambiguous grammars. $O(n^2)$ for unambiguous grammars), CYK method ($O(n^3)$)
 - Usually, not used in practice (though might change)
- Efficient
 $(O(n = |input|))$

Efficient Parsers

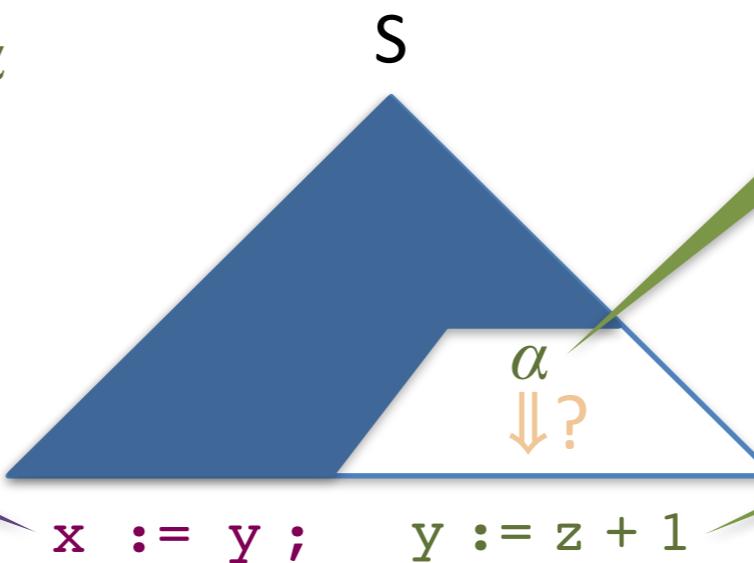
- Top-down (predictive)

Sentential form: $x := y ; \alpha$

already read...

suffix of a sentential
form predicted to derive
the suffix of the input

...to be read

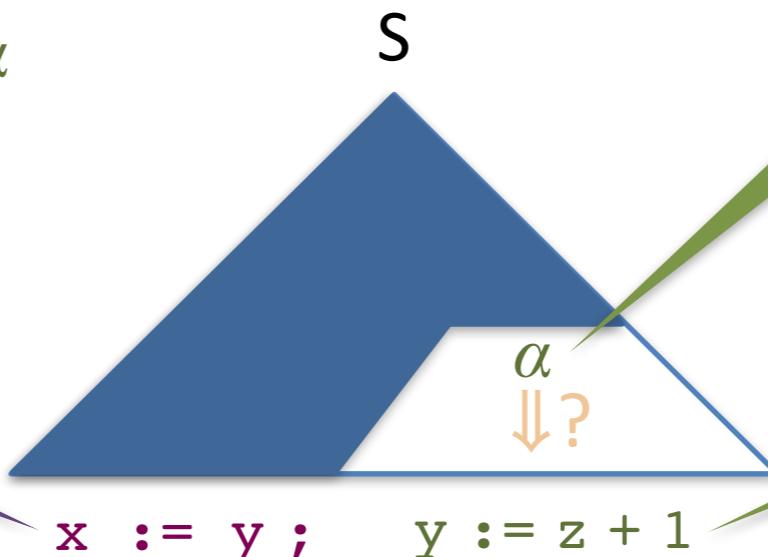


Efficient Parsers

- Top-down (predictive)

Sentential form: $x := y ; \alpha$

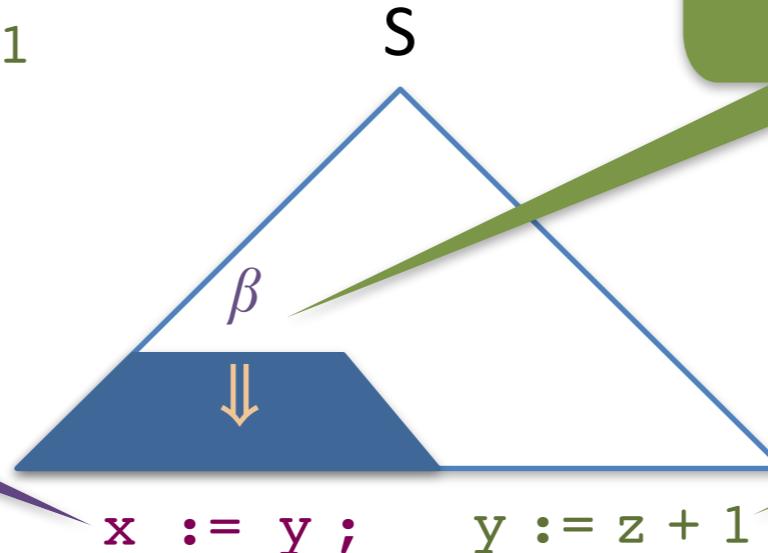
already read...



- Bottom-up (shift-reduce)

Sentential form? $\beta y := z + 1$

already read...



suffix of a sentential form predicted to derive the suffix of the input

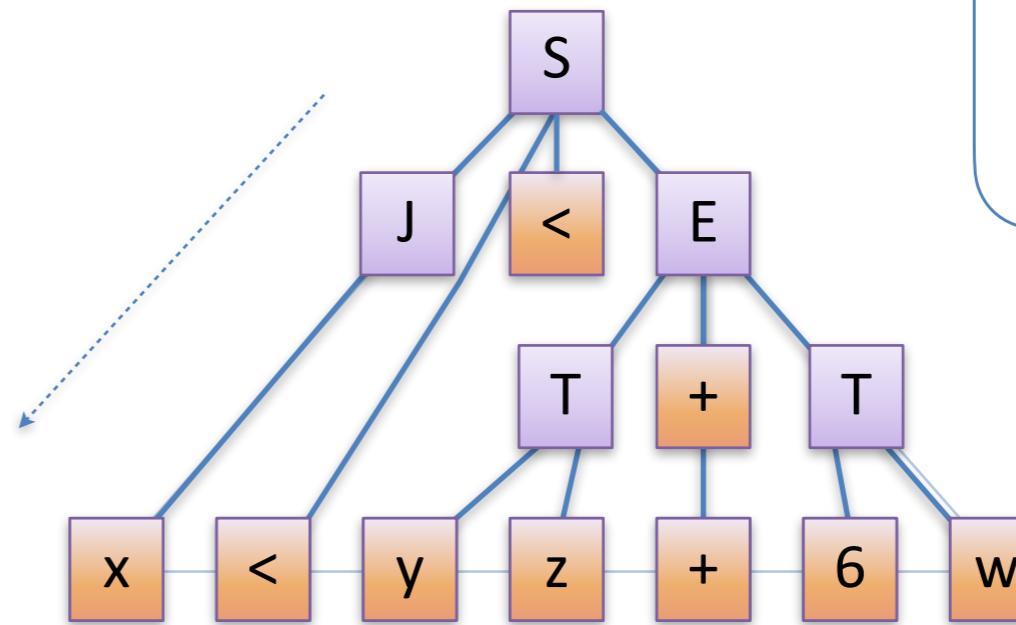
...to be read

prefix of a potential sentential form deriving the prefix of the input

...to be read

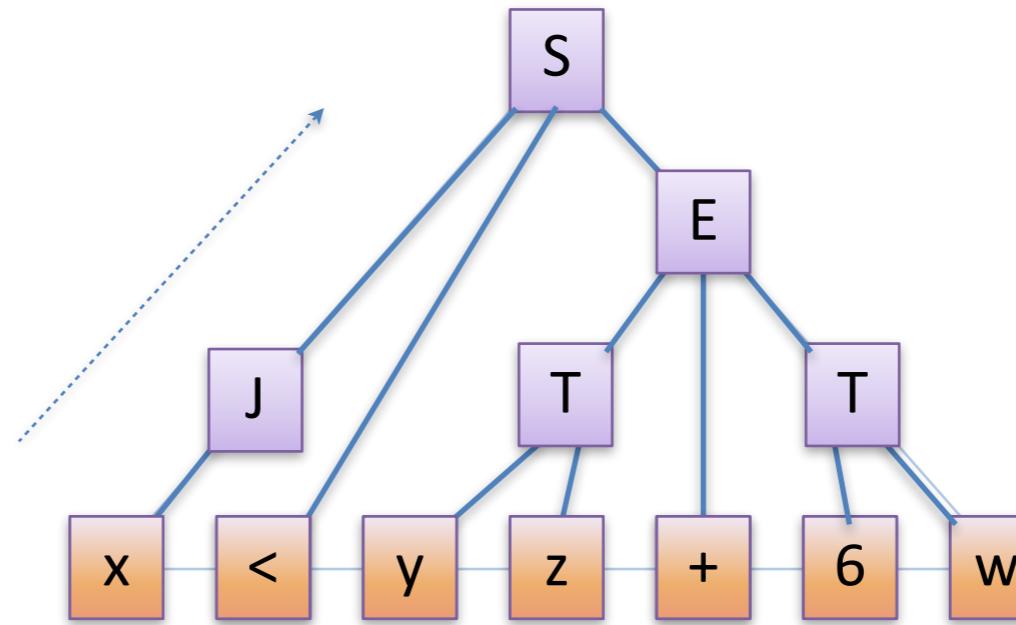
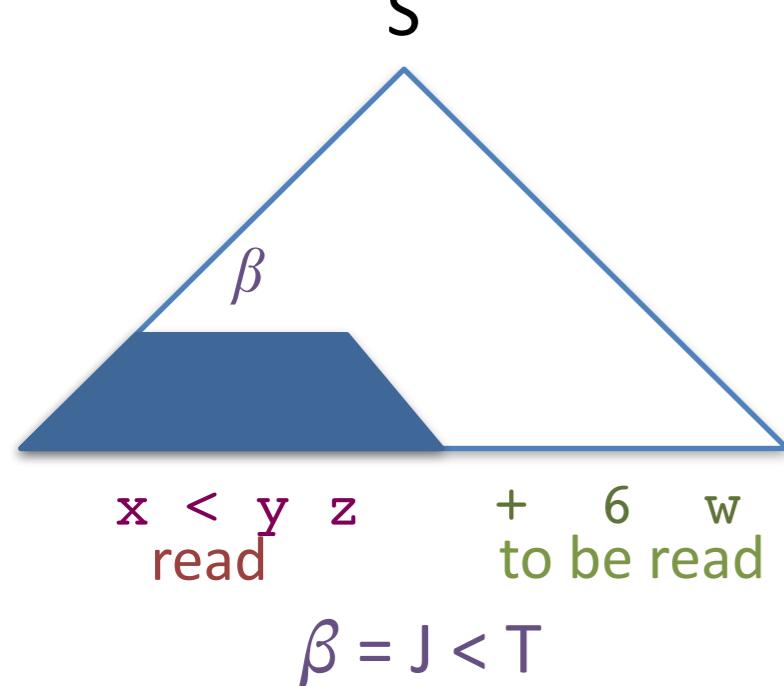
Efficient Parsers – Illustrated

- Top-down (predictive)



```
S → J < E  
J → id  
E → T + T  
T → id id | num id
```

- Bottom-up (shift-reduce)



Terminology: Reductions

- The opposite of **derivation** is called *reduction*
 - Let $A \rightarrow \alpha$ be a production rule
 - Derivation (step): $\beta A \mu \Rightarrow \beta \alpha \mu$
 - Reduction (step): $\beta \alpha \mu \rightarrow \beta A \mu$
- A *reduction* is a sequence of reduction steps

Bottom-Up Parsing

- Goal: Build a parse tree*
 - Report error if the input is not a legal program
- How:
 - Scan input left-to-right
 - Construct subtrees once all their leaves have been scanned
 - Constructing the first left-most subtree whose children have been constructed
 - Reduces the input to the start symbol
 - Finds a rightmost derivation (in reverse order)

* Actually, we want to construct an abstract syntax tree (AST) ; we'll get to it later

Rightmost Derivation

x := z;
y := x + z

$S \rightarrow S; S \mid id := E$
 $E \rightarrow id \mid num \mid E + E$

S
 $S ; S$
 $S ; id := E$
 $S ; id := E + E$
 $S ; id := E + id$
 $S ; id := id + id$
 $id := E ; id := id + id$
 $id := id ; id := id + id$

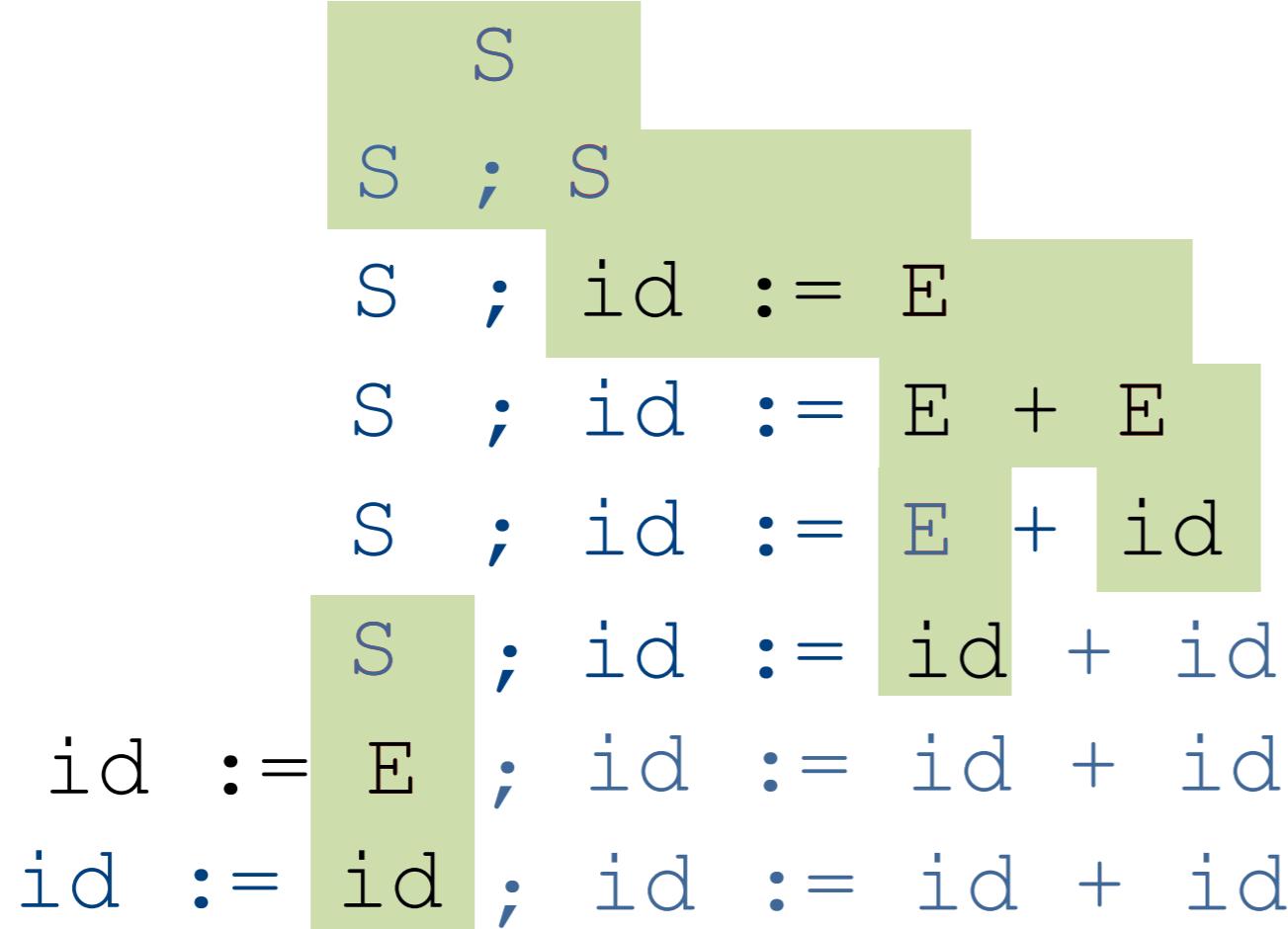
$S \rightarrow S; S$
 $S \rightarrow id := E$
 $E \rightarrow E + E$
 $E \rightarrow id$
 $E \rightarrow id$
 $S \rightarrow id := E$
 $E \rightarrow id$

<id,"x"> ASS <id,"z"> ; <id,"y"> ASS <id,"x"> PLUS <id,"z">

A Reduction in the reverse order of a Rightmost Derivation

```
x := z;  
y := x + z
```

$S \rightarrow S; S \mid id := E$
 $E \rightarrow id \mid num \mid E + E$



$S \rightarrow S; S$
 $S \rightarrow id := E$
 $E \rightarrow E + E$
 $E \rightarrow id$
 $E \rightarrow id$
 $S \rightarrow id := E$
 $E \rightarrow id$

<id,"x"> ASS <id,"z"> ; <id,"y"> ASS <id,"x"> PLUS <id,"z">

Reduction Example

$$E \rightarrow E * B \mid E + B \mid B$$
$$B \rightarrow 0 \mid 1$$

- Let us number the rules:

(1) $E \rightarrow E * B$

(2) $E \rightarrow E + B$

(3) $E \rightarrow B$

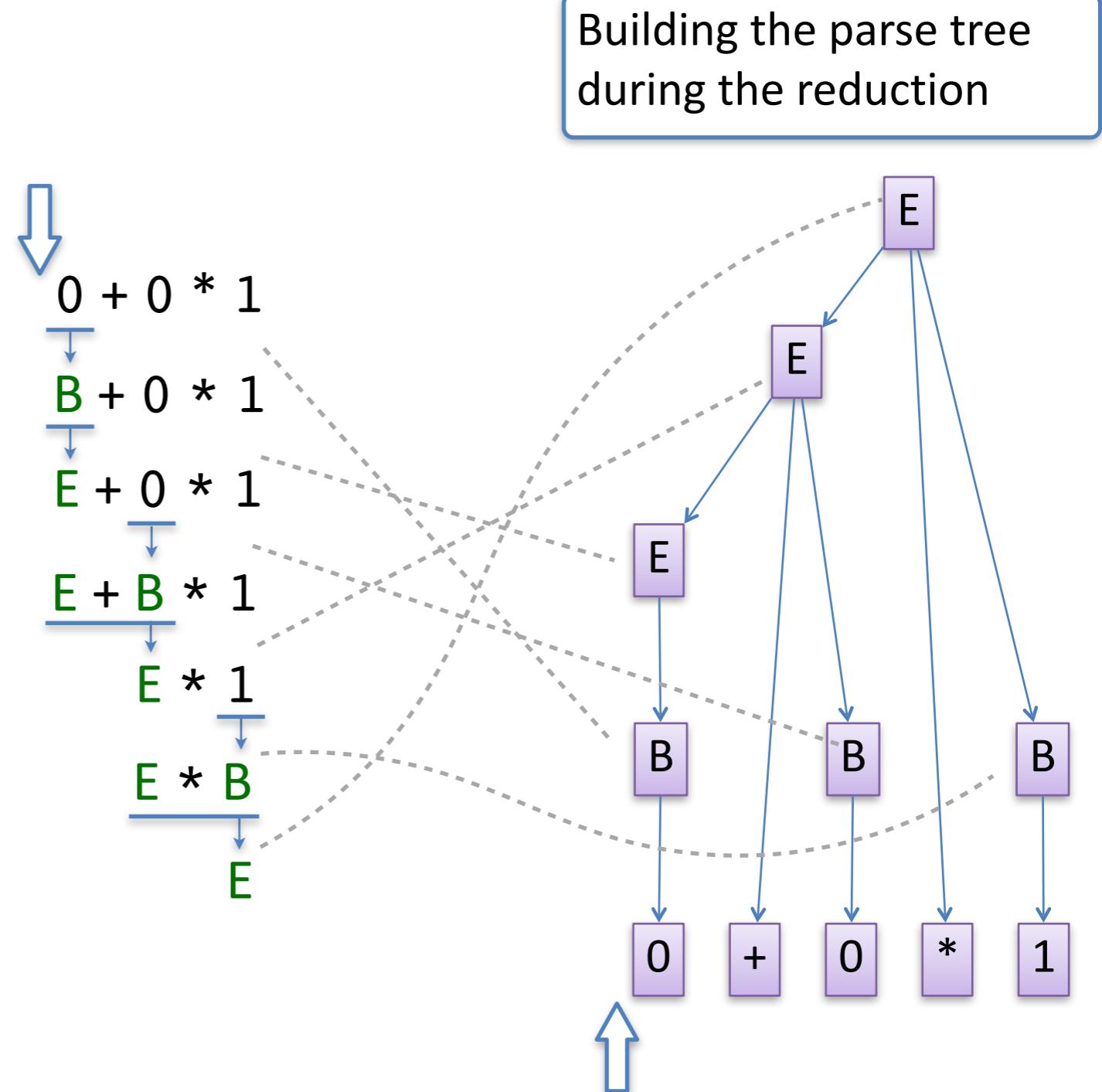
(4) $B \rightarrow 0$

(5) $B \rightarrow 1$

Goal: Reduce the Input to the Start Symbol

$E \rightarrow E * B \mid E + B \mid B$
 $B \rightarrow 0 \mid 1$

Rightmost derivation



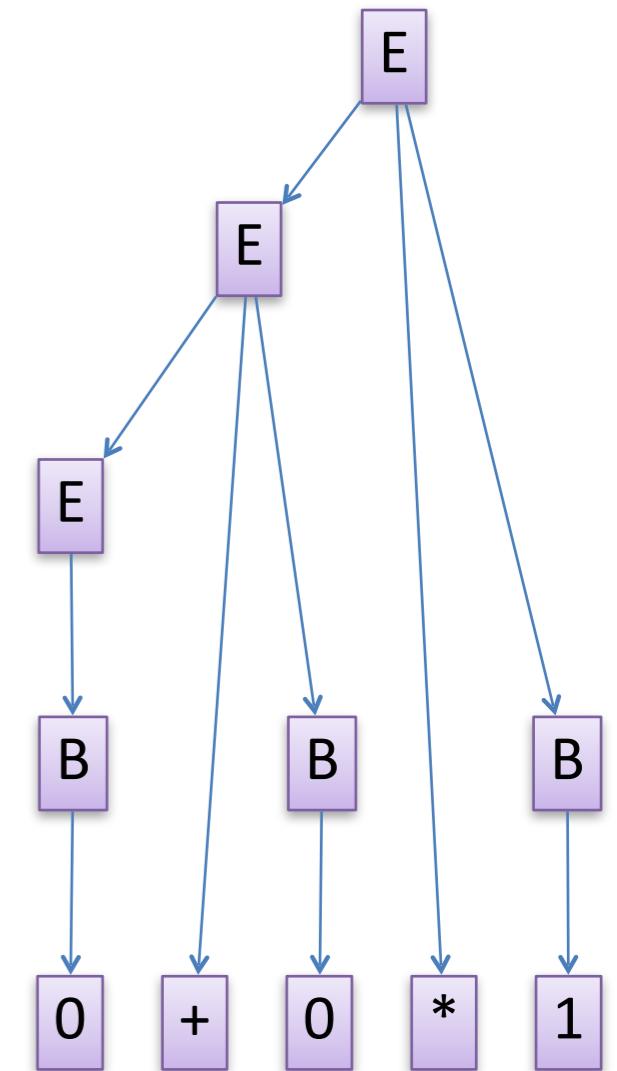
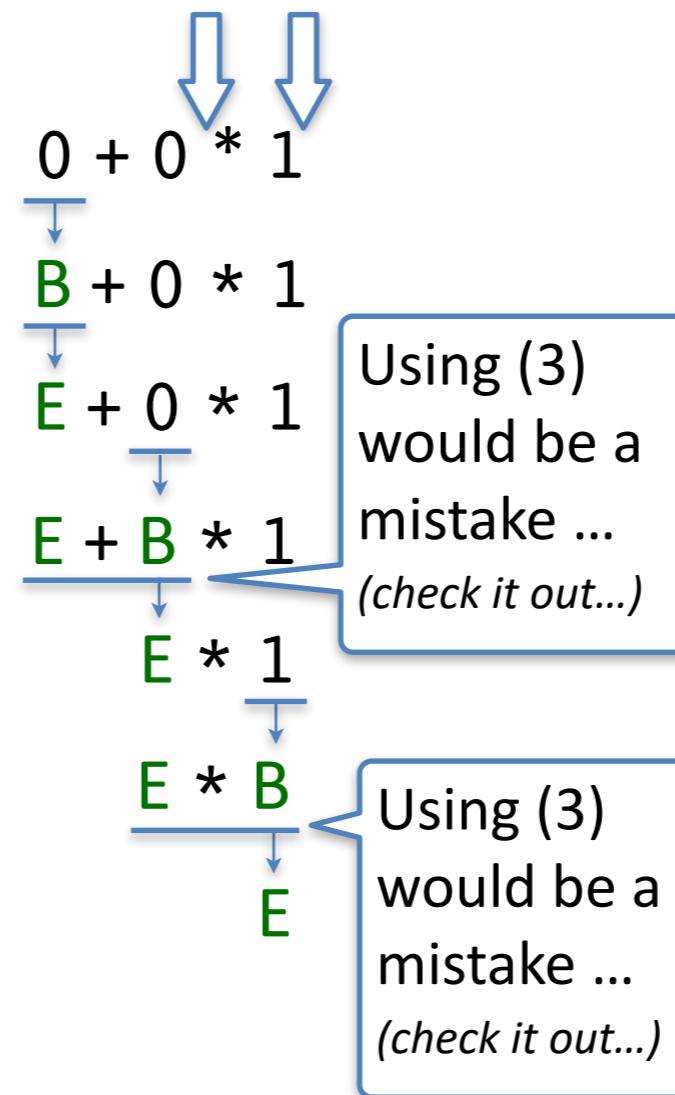
Let $A \rightarrow \alpha$ be a production rule

- Derivation: $\beta A \mu \Rightarrow \beta \alpha \mu$
- Reduction: $\beta \alpha \mu \Rightarrow \beta A \mu$

Goal: Reduce the Input to the Start Symbol

$E \rightarrow E * B \mid E + B \mid B$
 $B \rightarrow 0 \mid 1$

Rightmost derivation



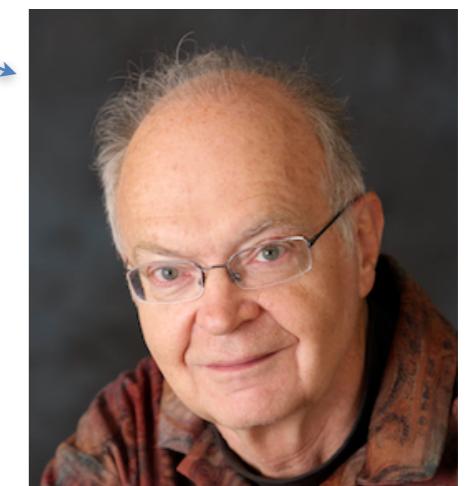
Let $A \rightarrow \alpha$ be a production rule

- Derivation: $\beta A \mu \Rightarrow \beta \alpha \mu$
- Reduction: $\beta \alpha \mu \rightarrow \beta A \mu$

LR(k) Grammars

- A grammar is in the class LR(k) when it can be derived via:
 - ▶ Bottom-up analysis
 - ▶ Scanning the input from **left to right** (L)
 - ▶ Producing the **rightmost derivation** (R)
 - In reverse order
 - ▶ With **lookahead** of k tokens (k)
- A language is said to be LR(k) if it has an LR(k) grammar

Donald Knuth



How does the Magic Work?

- Main idea: Delay decision on which rule to reduce with next to the last possible minute

How does the Magic Work? (by Example)

$$S \rightarrow A \mid C$$
$$A \rightarrow BC \mid BB \mid BbC \mid CB$$
$$B \rightarrow bb$$
$$C \rightarrow cc$$

Regular Language!

$$L = \{ \quad ? \quad \}$$

Is the grammar LL(1)?

Is the grammar LL(k) for some k ?

How does the Magic Work? (by Example)

```
S → A | C  
A → BC | BB | BbC | CB  
B → bb  
C → cc
```

Rightmost derivation

$w = \text{bbbcc}$

$S \Rightarrow$

$A \Rightarrow$

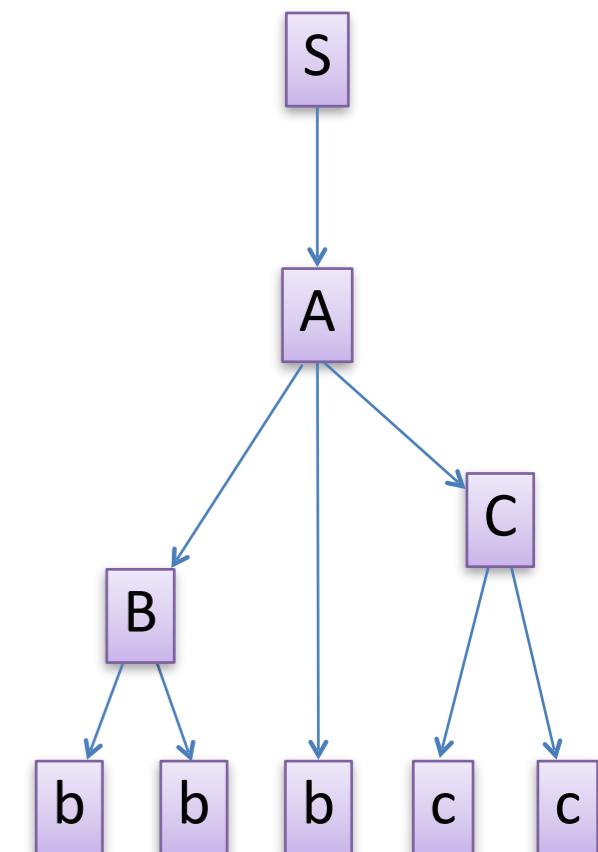
$BbC \Rightarrow$

$Bbcc \Rightarrow$

bbbcc

*desired
reduction*

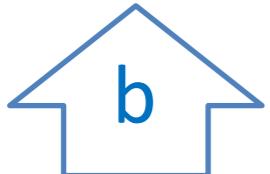
Parse tree



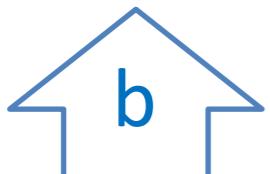
How does the Magic Work? (by Example)

B

B ← bb



B ← b_b



S ← A | C
A ← BC | BB | BbC | CB
B ← bb C ← cc



S → A | C
A → BC | BB | BbC | CB
B → bb
C → cc

B
b b b c c
↑

C How does the Magic Work?

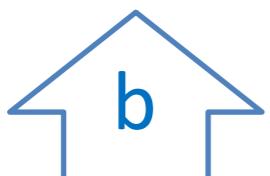
$C \leftarrow cc$



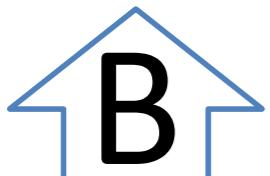
$C \leftarrow cc$



$B \leftarrow bb \quad A \leftarrow BbC \quad C \leftarrow cc$



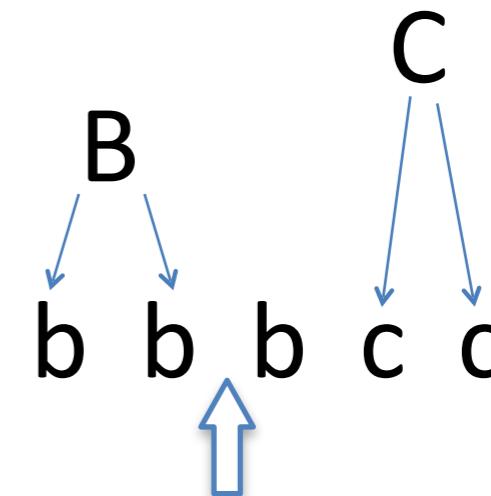
$A \leftarrow BC \mid BB \mid BbC$
 $C \leftarrow cc \quad B \leftarrow bb$



$S \leftarrow A \mid C$
 $A \leftarrow BC \mid BB \mid BbC \mid CB$
 $B \leftarrow bb \quad C \leftarrow cc$



$S \rightarrow A \mid C$
 $A \rightarrow BC \mid BB \mid BbC \mid CB$
 $B \rightarrow bb$
 $C \rightarrow cc$



How does the Magic Work? (by Example)

A

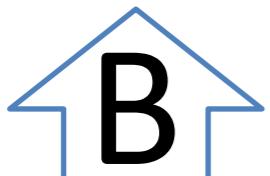
$A \leftarrow BbC$



$B \leftarrow bb$ $A \leftarrow BbC$ $C \leftarrow cc$



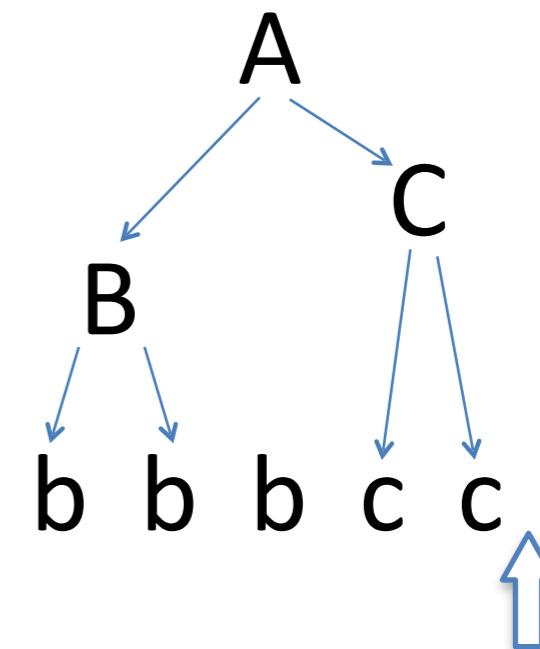
$A \leftarrow BC$ | BB | BbC
 $C \leftarrow cc$ $B \leftarrow bb$



$S \leftarrow A$ | C
 $A \leftarrow BC$ | BB | BbC | CB
 $B \leftarrow bb$ $C \leftarrow cc$

S

$S \rightarrow A$ | C
 $A \rightarrow BC$ | BB | BbC | CB
 $B \rightarrow bb$
 $C \rightarrow cc$



How does the Magic Work? (by Example)

BTW. what
data structure
did we use?

$S \rightarrow A \mid C$
 $A \rightarrow BC \mid BB \mid BbC \mid CB$
 $B \rightarrow bb$
 $C \rightarrow cc$

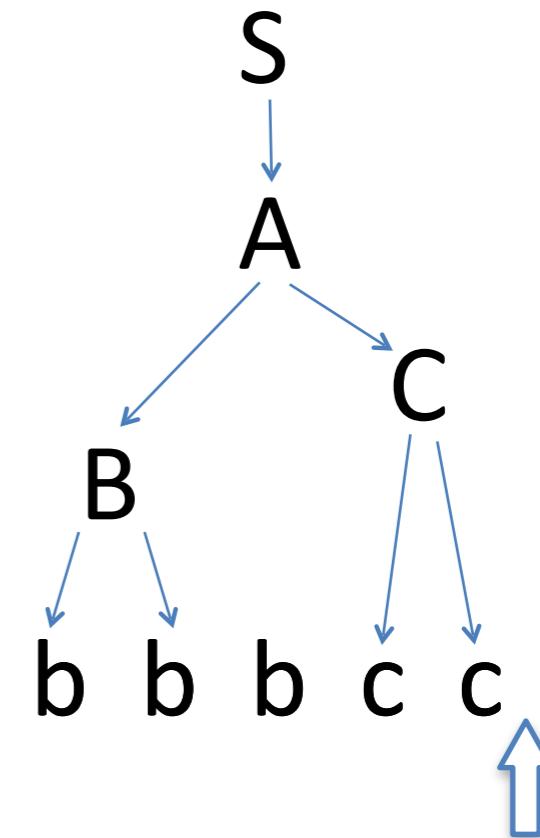
S

$S \rightarrow A$



$S \leftarrow A \mid C$
 $A \leftarrow BC \mid BB \mid BbC \mid CB$
 $B \leftarrow bb \quad C \leftarrow cc$

S



Shift & Reduce (LR) Parsers

The parser maintains a **stack** of grammar symbols and states

In each step, we either

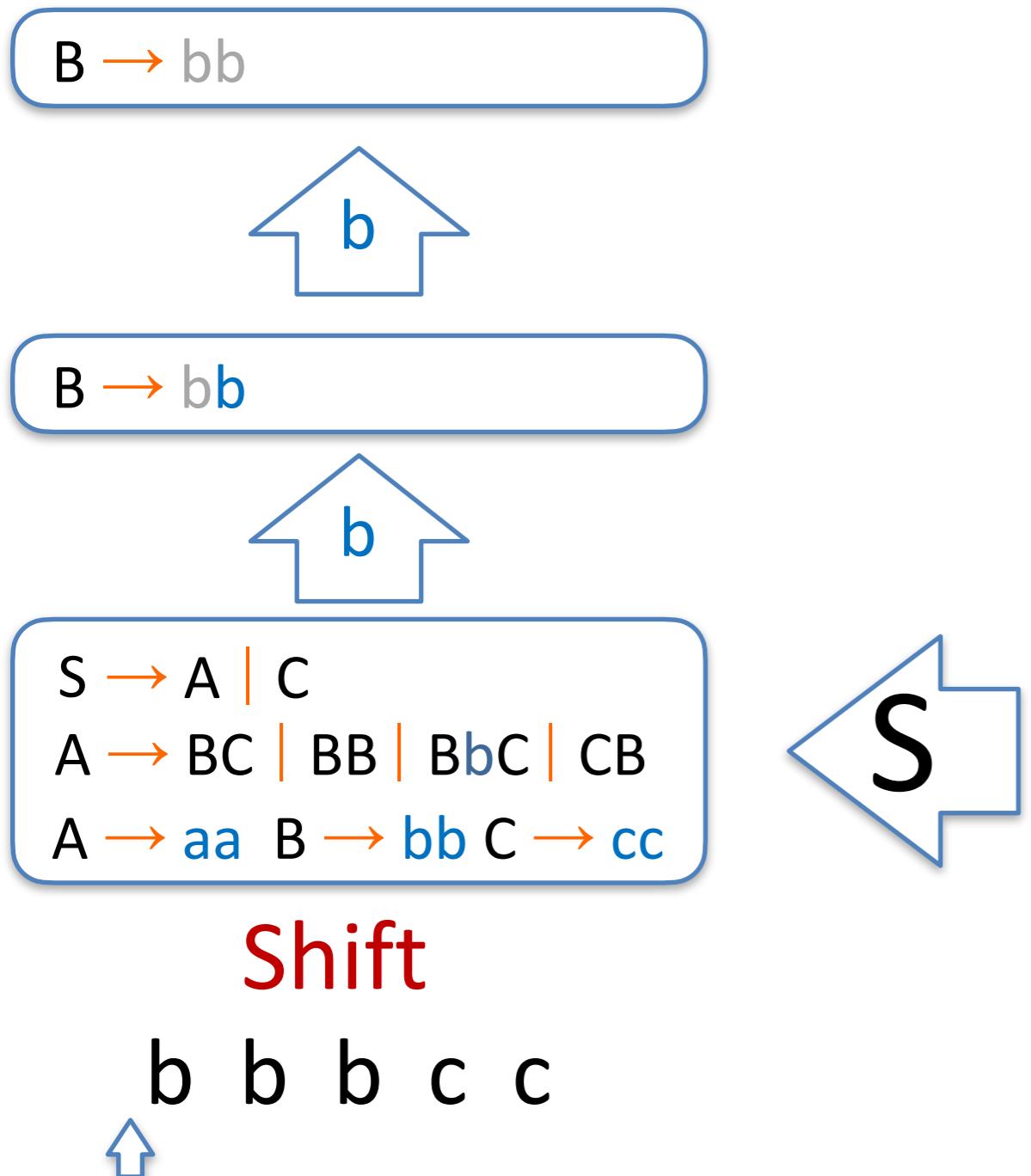
shift moving a symbol from the input to the stack, and
compute and push a new state

or

reduce popping the symbols and states pertaining to the right-hand side of the discovered (reduced) rule,
push the non-terminal at the left-hand side of the rule, and
compute and push a new state

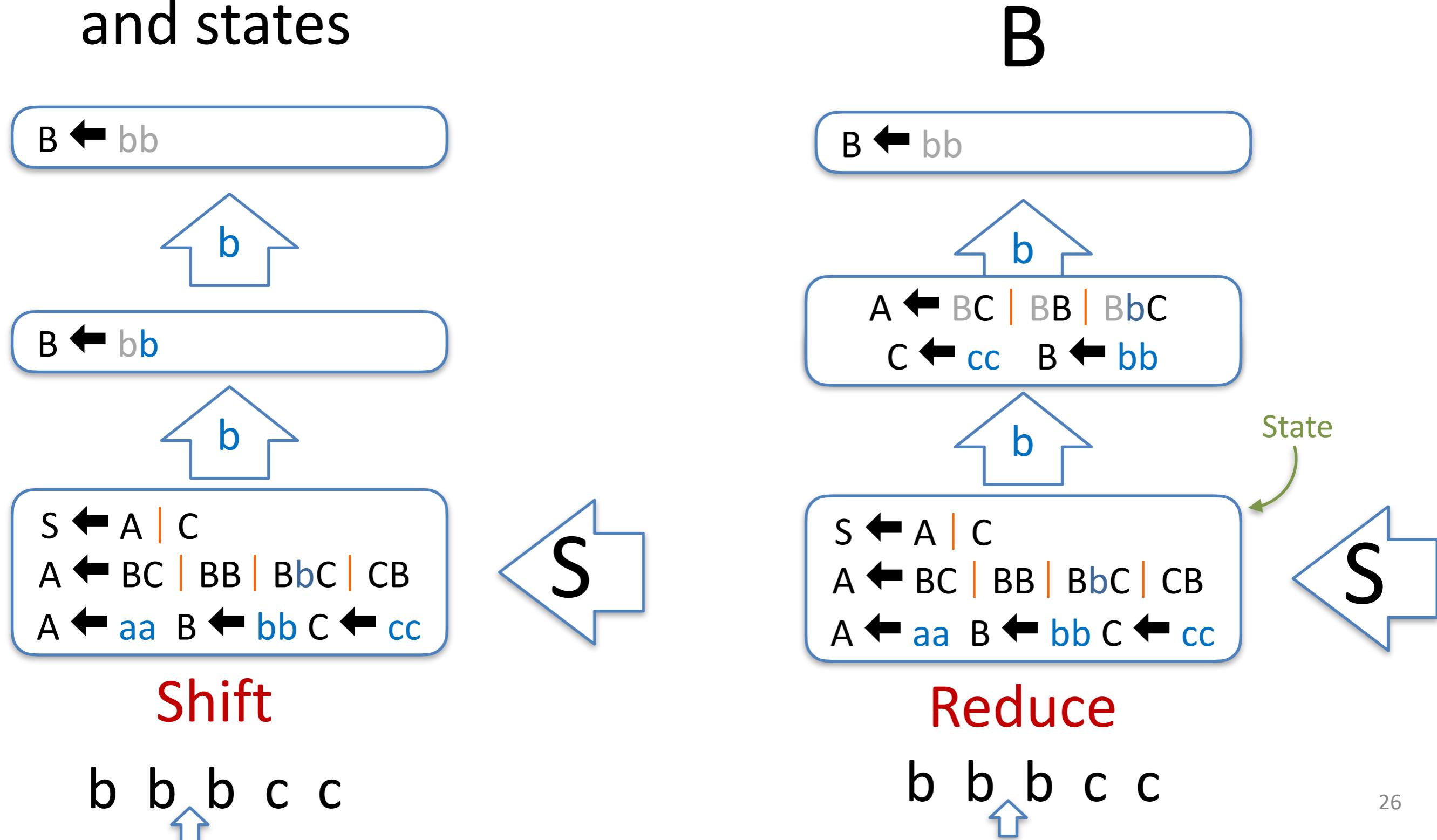
Shift & Reduce (LR) Parsers

The parser maintains a stack of grammar symbols and states



Shift & Reduce (LR) Parsers

The parser maintains a stack of grammar symbols and states



Important Bottom-Up LR-Parsers

~ Does an LL(0) parser make sense?

- LR(0) – simplest, explains basic ideas
- SLR(1) – simple, explains lookahead
- LR(1) – complicated, very powerful, expensive
- LALR(1) – complicated, powerful enough, used by automatic tools

The lookahead is different from the one in LL(k) grammars:

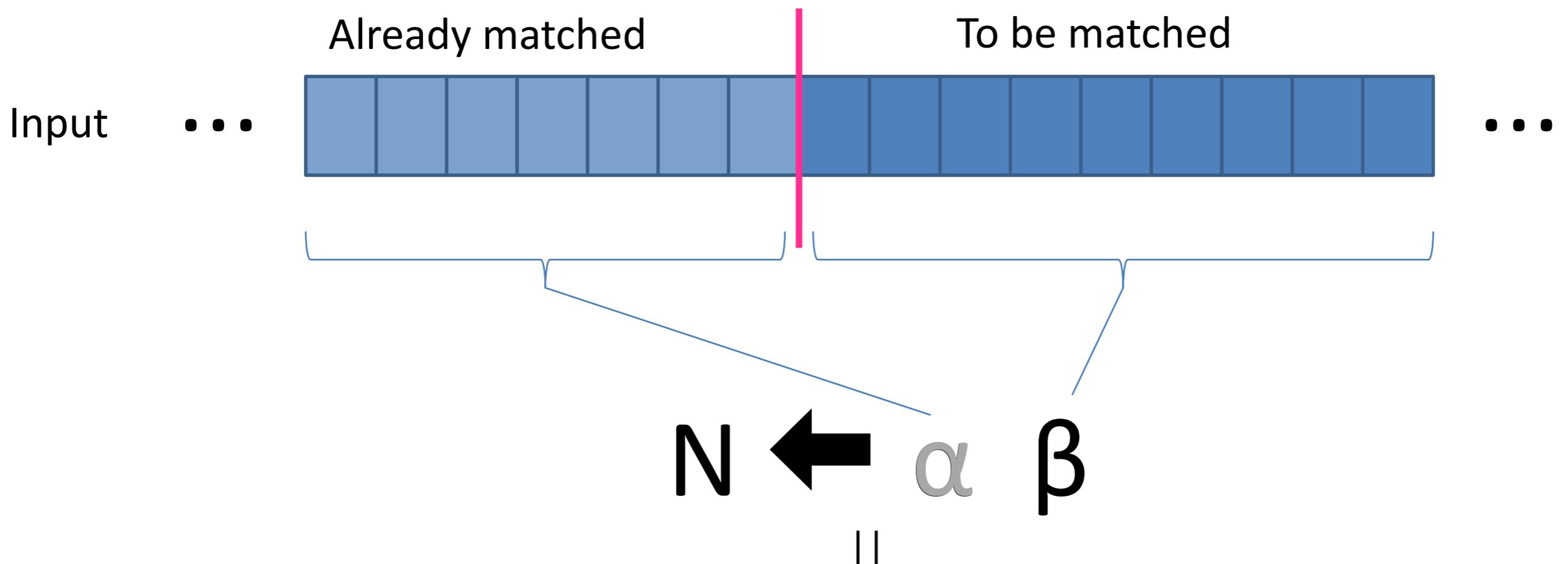
LL(k): k = number of tokens we look ahead to do a prediction

LR(k): k = number of tokens we look ahead when we do a reduce operation

LR(0)

"LR(0) Item"

For a production rule $N \rightarrow \alpha \beta$ in the grammar,



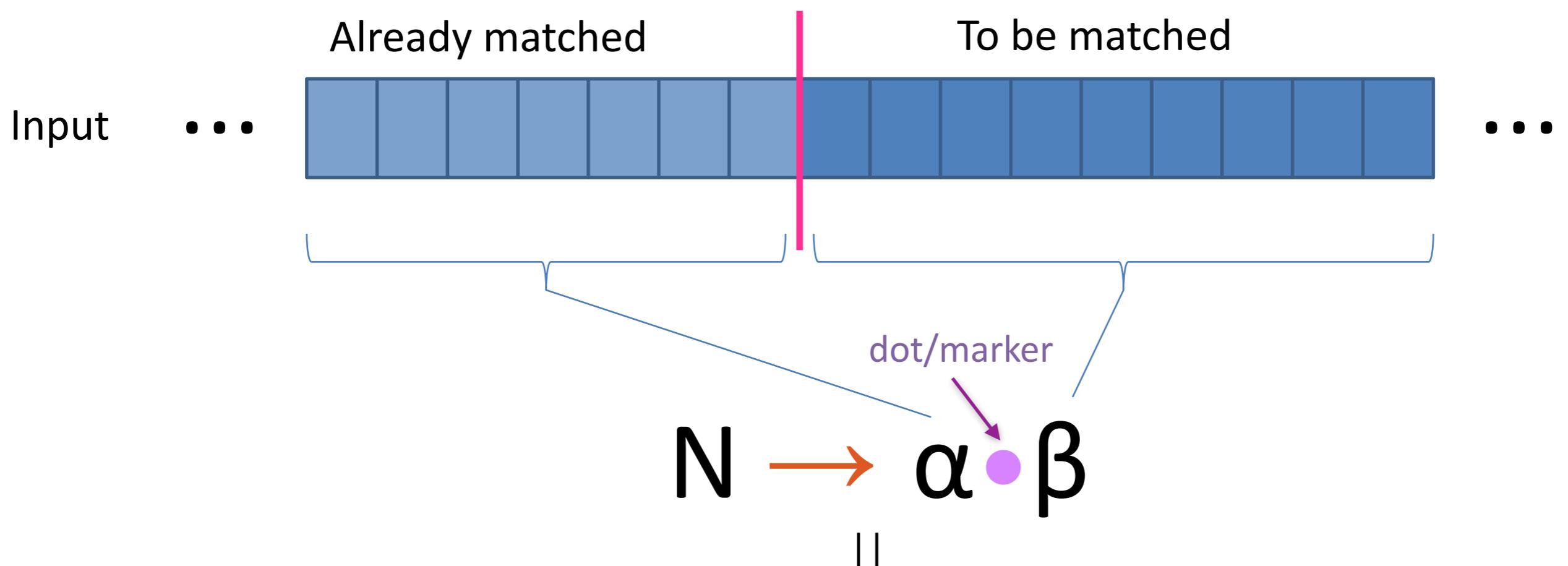
So far we've matched α , expecting to see β

LR(0) Item

For a production rule

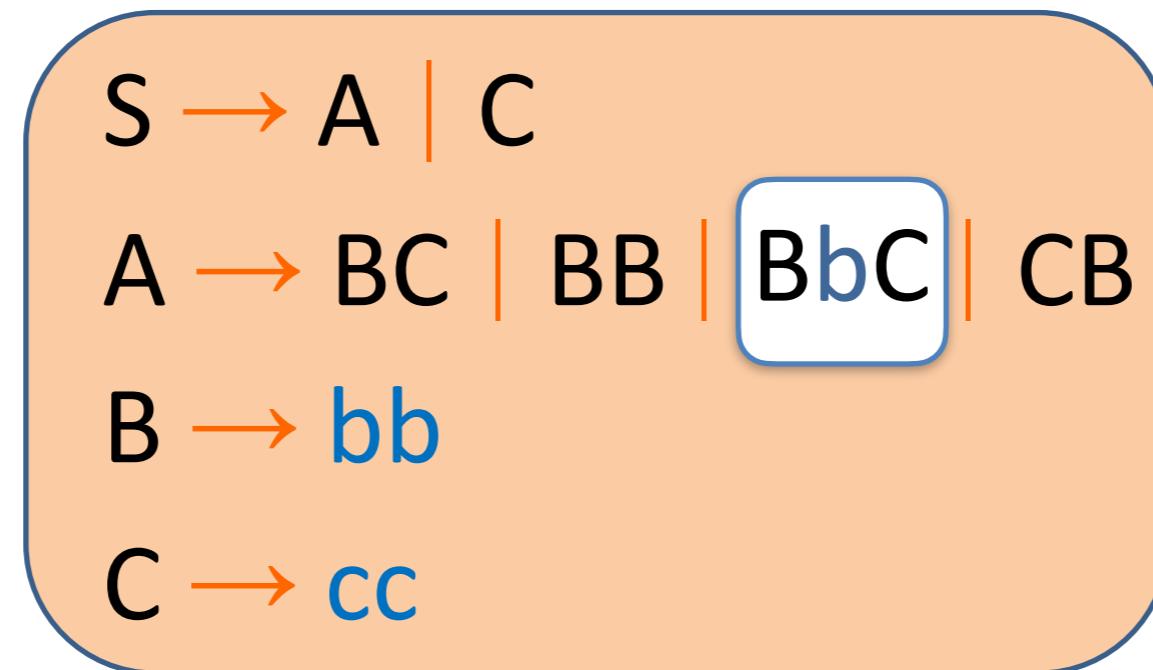
$$N \rightarrow \alpha \beta$$

in the grammar,



So far we've matched α , expecting to see β

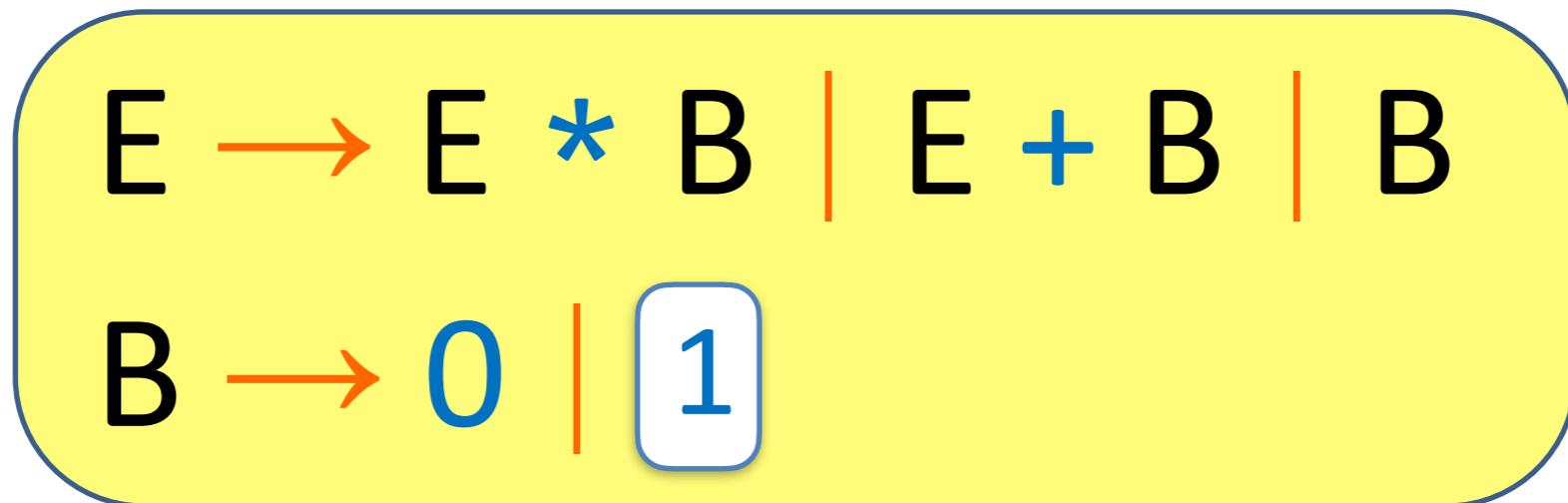
LR(0) Item



$A \rightarrow \bullet BbC$ $A \rightarrow B \bullet bC$ $A \rightarrow Bb \bullet C$ Shift Item

$A \rightarrow BbC \bullet$ Reduce Item

LR(0) Item



?

Shift Item

?

Reduce Item

LR(0) Item

$$Z \rightarrow E \$$$
$$E \rightarrow T \mid E + T$$
$$T \rightarrow i \mid (E)$$

?

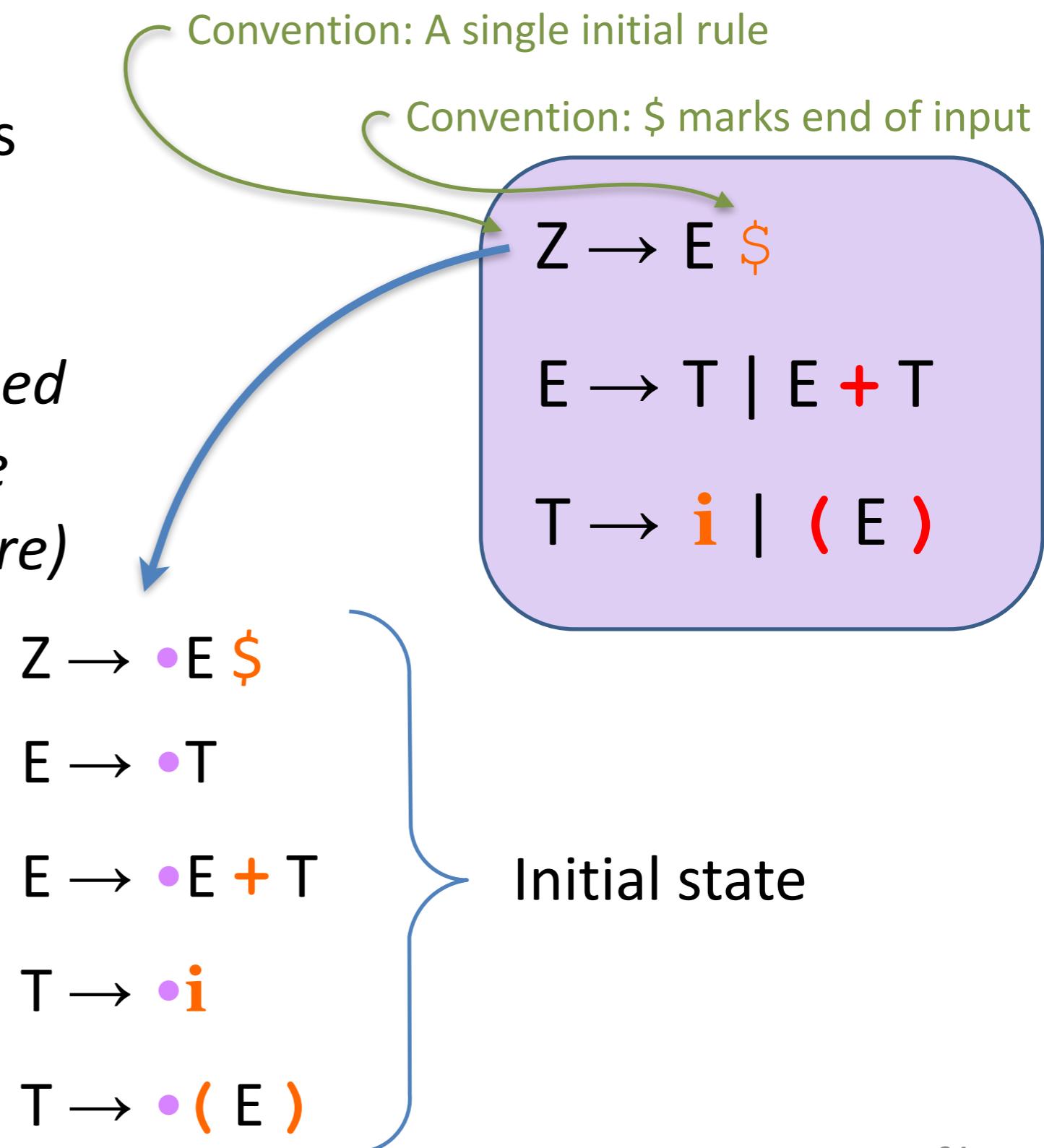
Shift Item

?

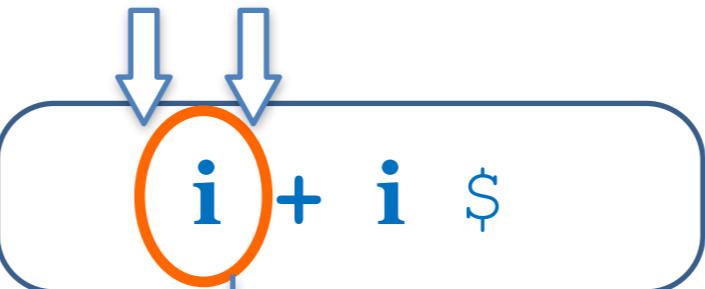
Reduce Item

Example: Parsing with LR(0) Items

- ▶ LR(0) state = set of LR(0) items
- ▶ LR(0) item = a derivation rule with a marker
 - ▶ Separates already determined symbols (past) from the one we hope to determine (future)



input



Shift



$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$

No need to actually
push states with reduce
items to the stack

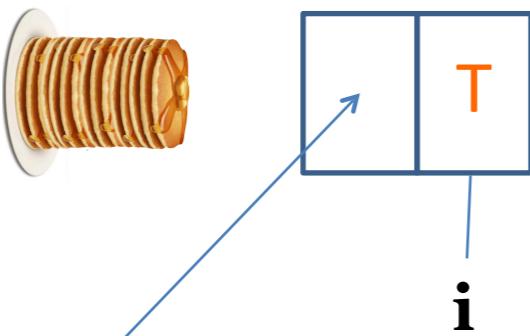
$Z \rightarrow \bullet E \$$
 $E \rightarrow \bullet T$
 $E \rightarrow \bullet E + T$
 $T \rightarrow \bullet i$ **circled in orange**
 $T \rightarrow \bullet (E)$

$T \rightarrow i \bullet$

Reduce item!

input

i + i \$



i

Z → •E \$

E → •T

E → •E + T

T → •i

T → •(E)

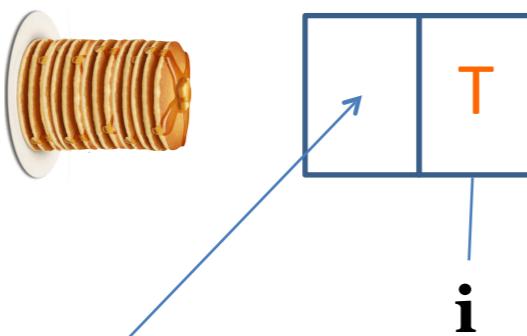
Z → E \$

E → T | E + T

T → i | (E)

input

i + i \$



Z → E \$
E → T | E + T
T → i | (E)

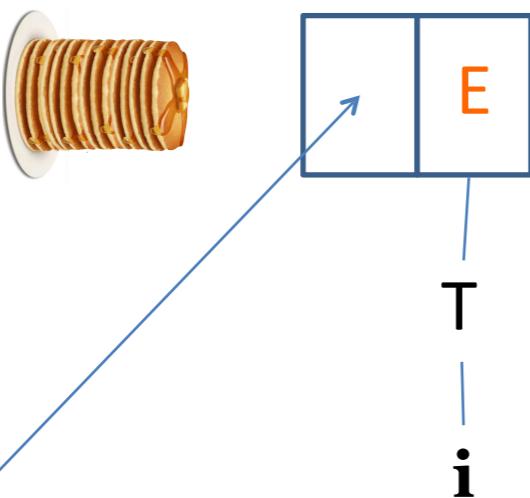
Z → • E \$
E → • T
E → • E + T
T → • i
T → • (E)

E → T •

Reduce item!

input

i + i \$



$$Z \rightarrow \bullet E \$$$

$$E \rightarrow \bullet T$$

$$E \rightarrow \bullet E + T$$

$$T \rightarrow \bullet i$$

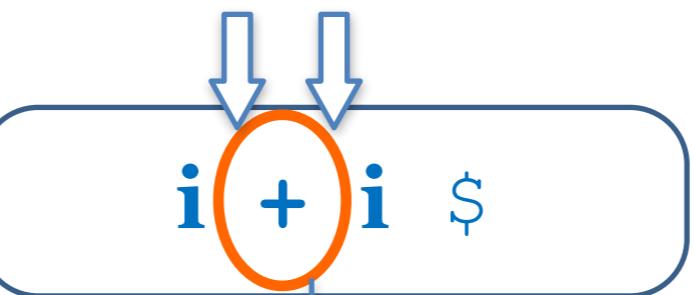
$$T \rightarrow \bullet (E)$$

$$Z \rightarrow E \$$$

$$E \rightarrow T \mid E + T$$

$$T \rightarrow i \mid (E)$$

input



Shift



T
|
i

$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$

$Z \rightarrow \bullet E \$$

$E \rightarrow \bullet T$

$E \rightarrow \bullet E + T$

$T \rightarrow \bullet i$

$T \rightarrow \bullet (E)$

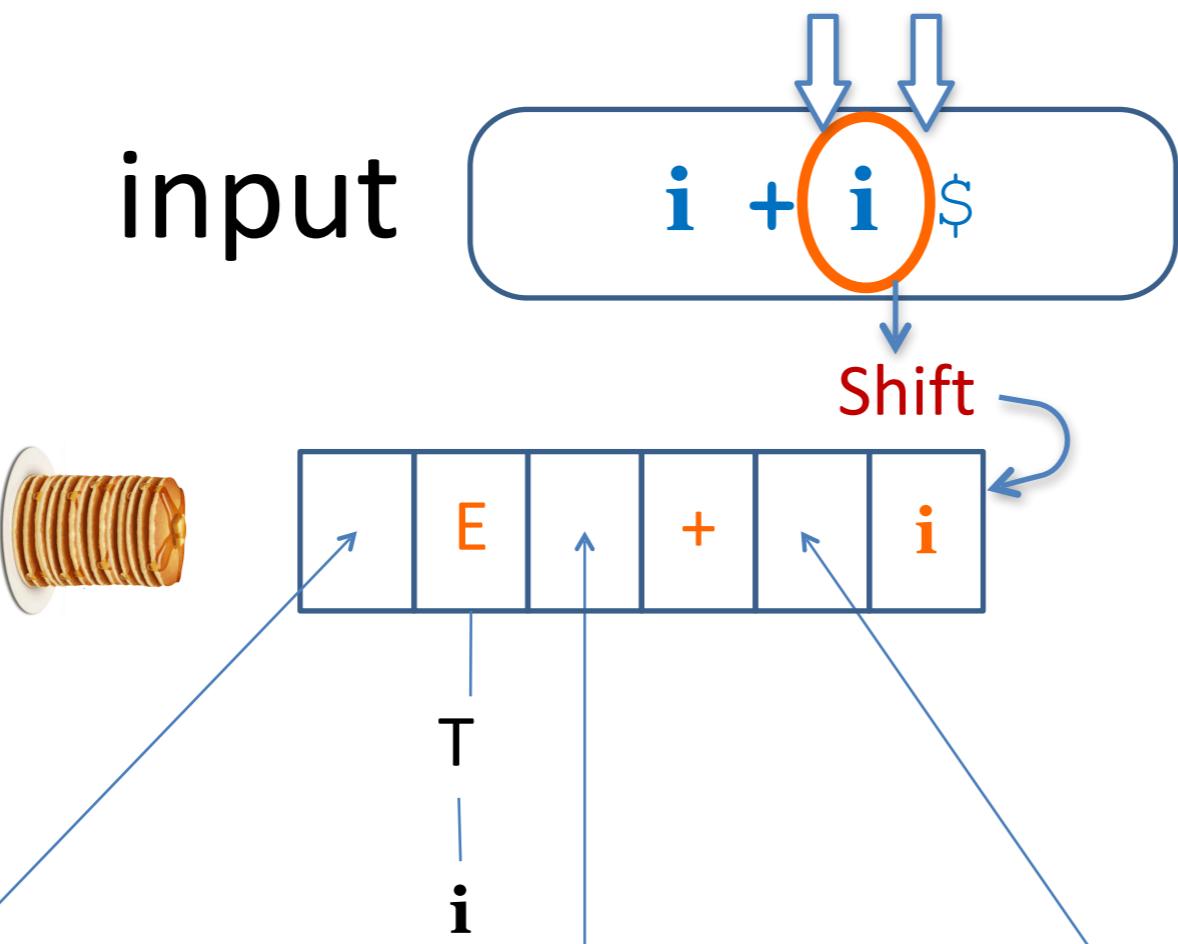
$Z \rightarrow E \bullet \$$

X

$E \rightarrow E \bullet + T$

✓

input



$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$

$Z \rightarrow \cdot E \$$
 $E \rightarrow \cdot T$
 $E \rightarrow \cdot E + T$
 $T \rightarrow \cdot i$
 $T \rightarrow \cdot (E)$

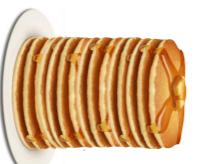
$Z \rightarrow E \cdot \$$
 $E \rightarrow E \cdot + T$

$E \rightarrow E + \cdot T \quad \times$

 $T \rightarrow \cdot i \quad \checkmark$
 $T \rightarrow \cdot (E) \quad \times$

input

i + i \$



T
|
i

Z \rightarrow E \$
E \rightarrow T | E + T
T \rightarrow i | (E)

Reduce item!

Z \rightarrow •E \$
E \rightarrow •T
E \rightarrow •E + T
T \rightarrow •i
T \rightarrow •(E)

Z \rightarrow E •\$
E \rightarrow E •+ T

E \rightarrow E + •T

T \rightarrow •i
T \rightarrow •(E)

T \rightarrow i •

input

i + i \$



T
—
i

Z → •E \$
E → •T
E → •E + T
T → •i
T → •(E)

Z → E •\$
E → E •+ T

E → E + •T ✓
T → •i X
T → •(E) X

Z → E \$
E → T | E + T
T → i | (E)

input

i + i \$



T
i

Z \rightarrow •E \$
E \rightarrow •T
E \rightarrow •E + T
T \rightarrow •i
T \rightarrow •(E)

Z \rightarrow E •\$
E \rightarrow E •+ T

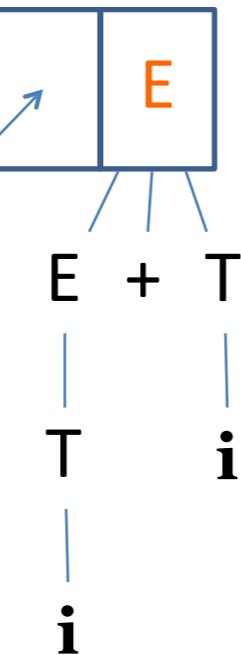
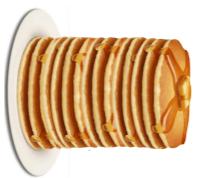
E \rightarrow E + •T
T \rightarrow •i
T \rightarrow •(E)

Z \rightarrow E \$
E \rightarrow T | E + T
T \rightarrow i | (E)

Reduce item!

input

i + i \$



Z → E \$
E → T | E + T
T → i | (E)

Z → •E \$

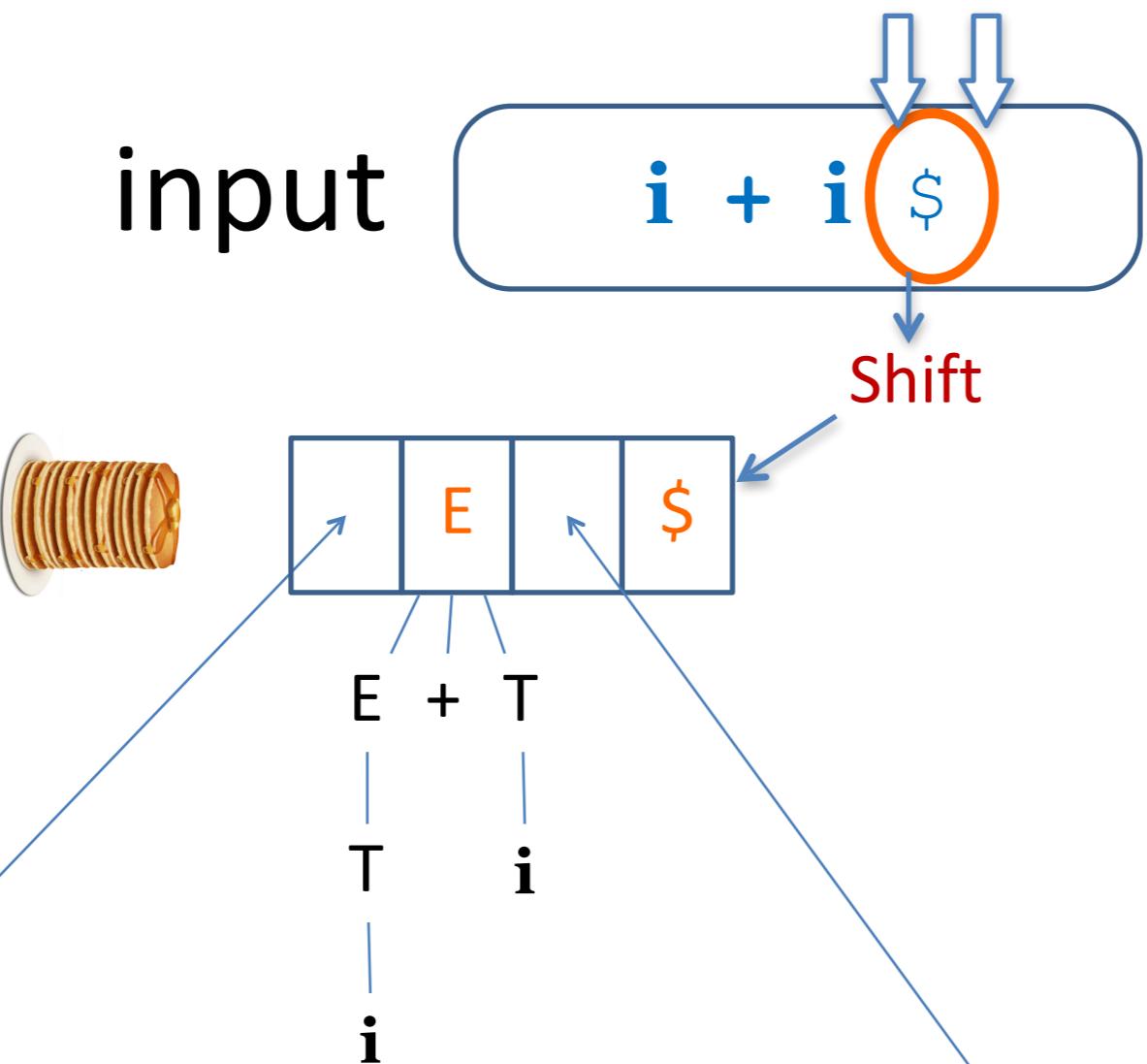
E → •T

E → •E + T

T → •i

T → •(E)

input



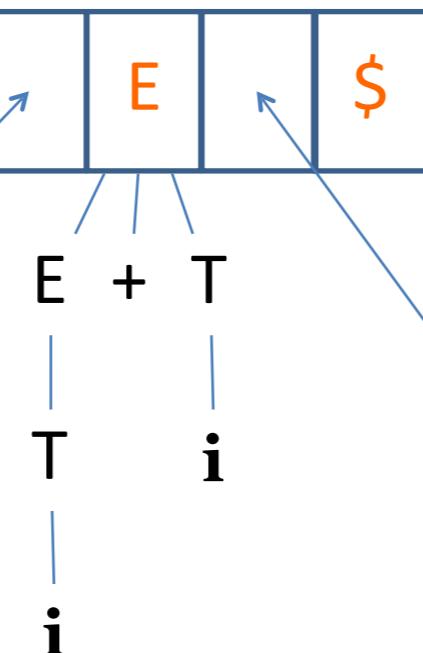
$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$

$Z \rightarrow \cdot E \$$
 $E \rightarrow \cdot T$
 $E \rightarrow \cdot E + T$
 $T \rightarrow \cdot i$
 $T \rightarrow \cdot (E)$

$Z \rightarrow E \cdot \$$
 $E \rightarrow E \cdot + T$
 \times

input

i + i \$



Z → E \$
E → T | E + T
T → i | (E)

Z → •E \$
E → •T
E → •E + T
T → •i
T → •(E)

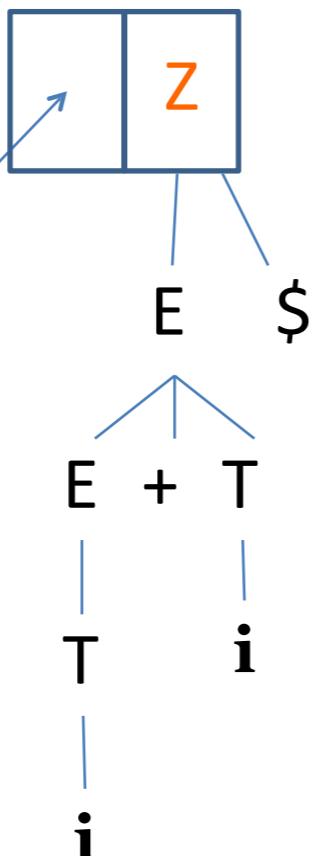
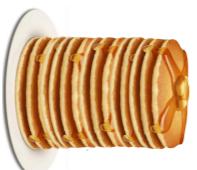
Z → E •\$
E → E •+ T

Z → E \$ •

Reduce item!

input

i + i \$



$$Z \rightarrow \bullet E \$$$

$$E \rightarrow \bullet T$$

$$E \rightarrow \bullet E + T$$

$$T \rightarrow \bullet i$$

$$T \rightarrow \bullet (E)$$

$$Z \rightarrow E \$$$

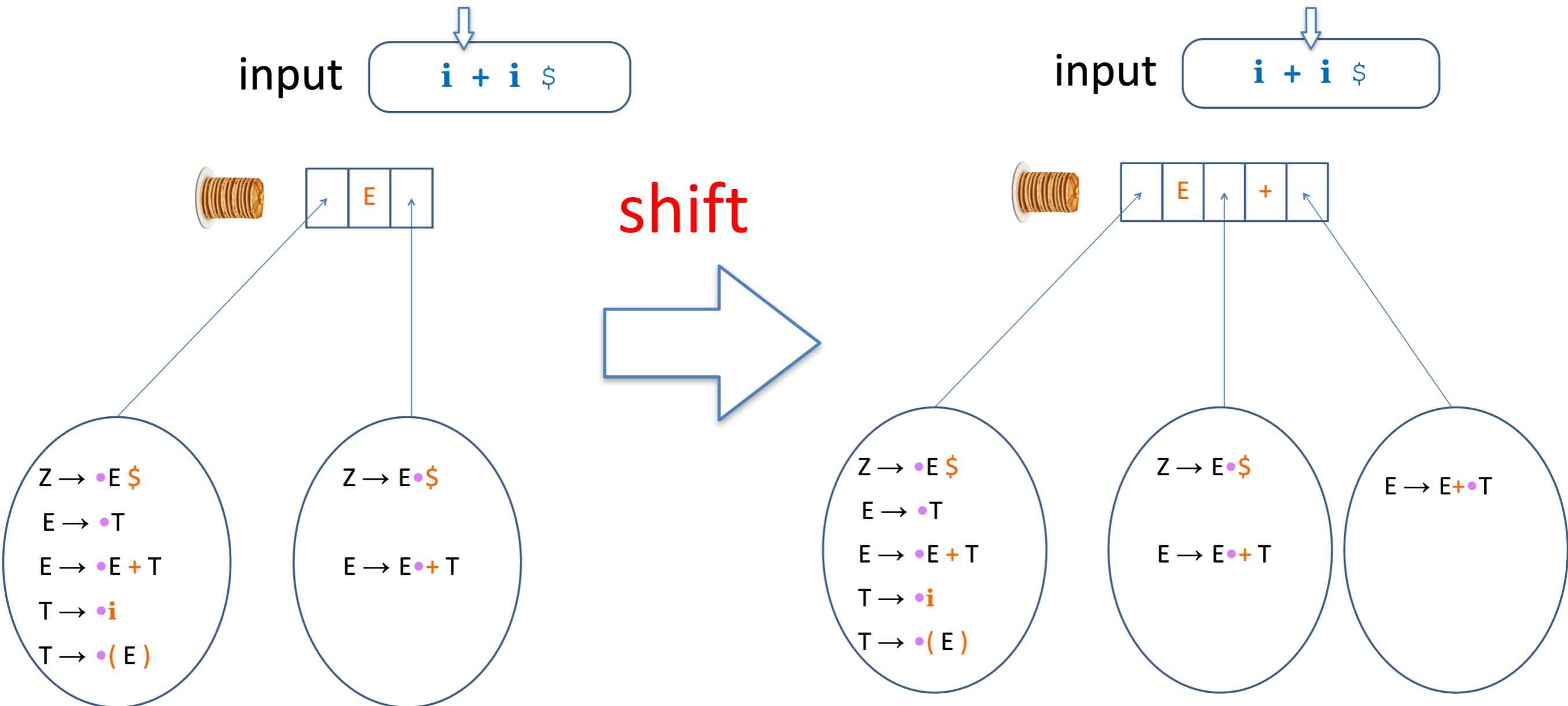
$$E \rightarrow T \mid E + T$$

$$T \rightarrow i \mid (E)$$

Reducing the initial rule
means accept

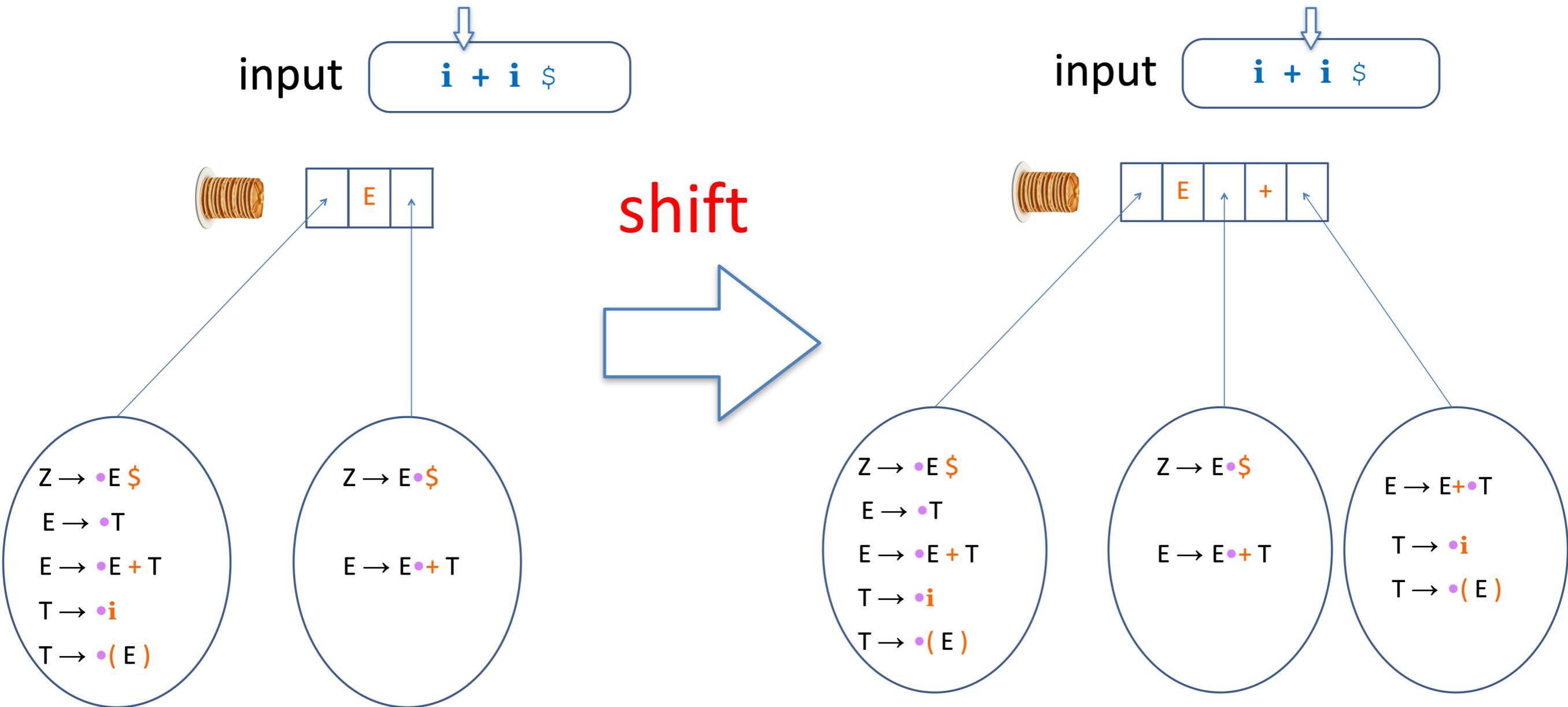
Computing State Transitions

- Do we need to compute the state transitions during parsing?
 - Or can we do it ahead?



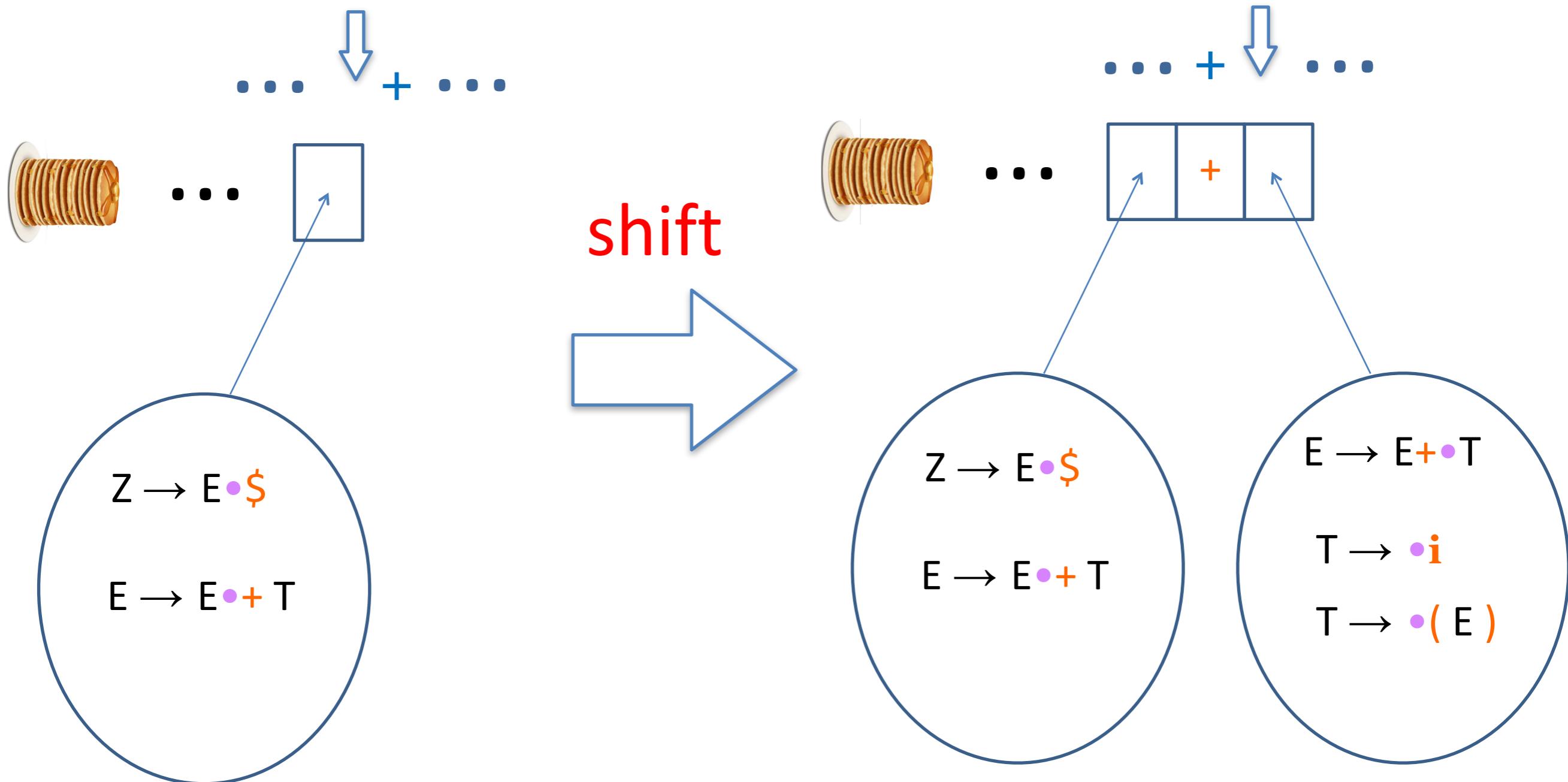
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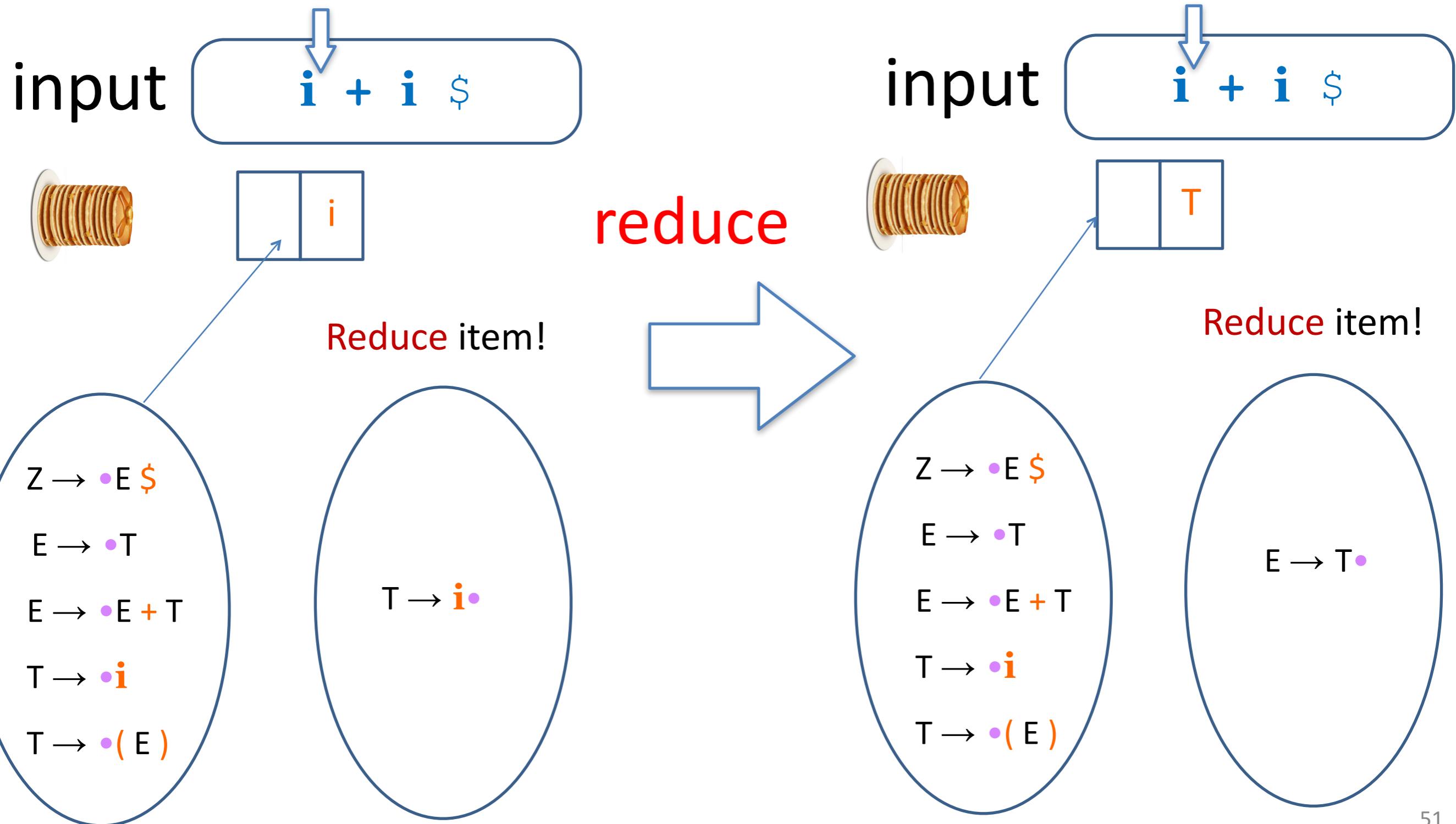
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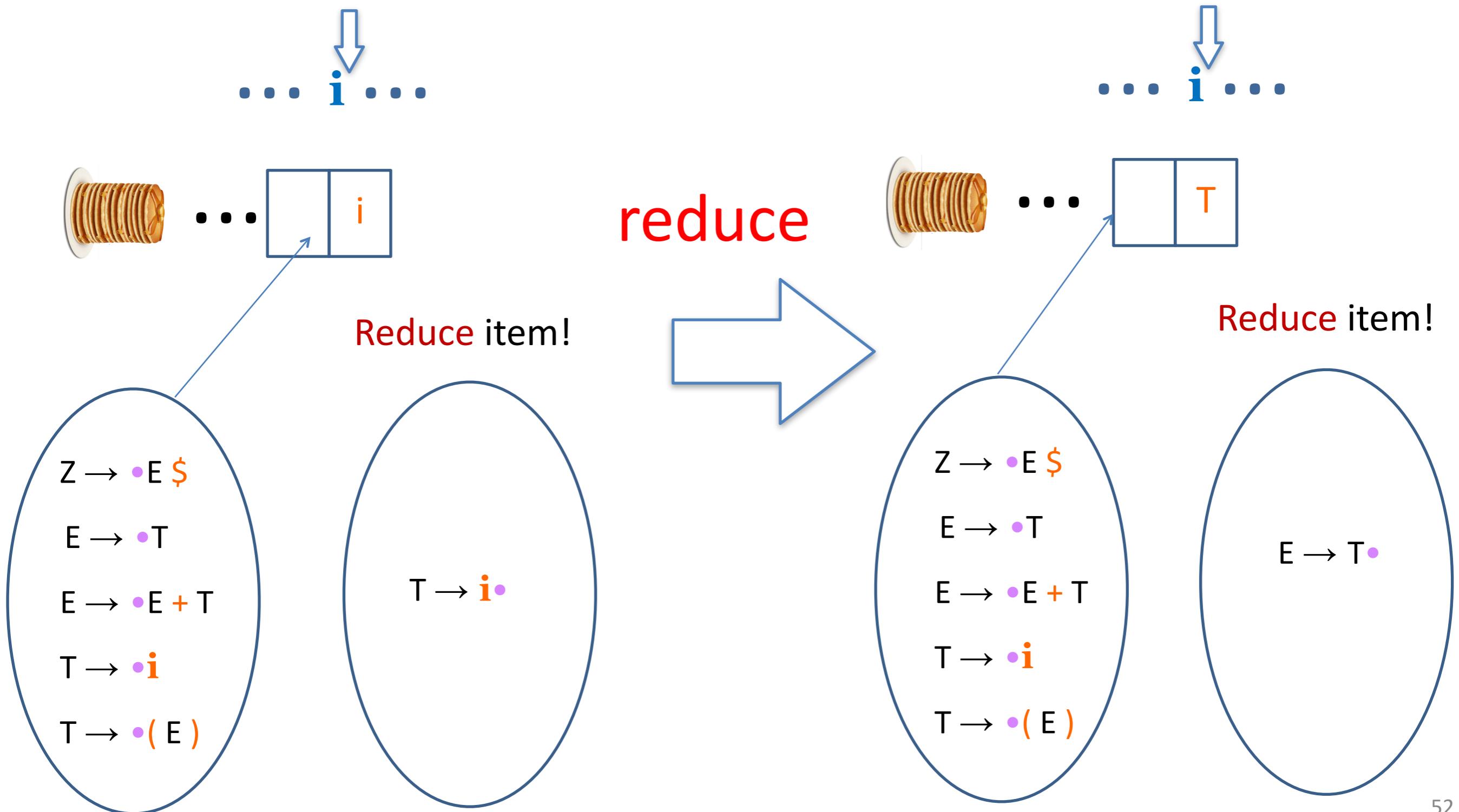
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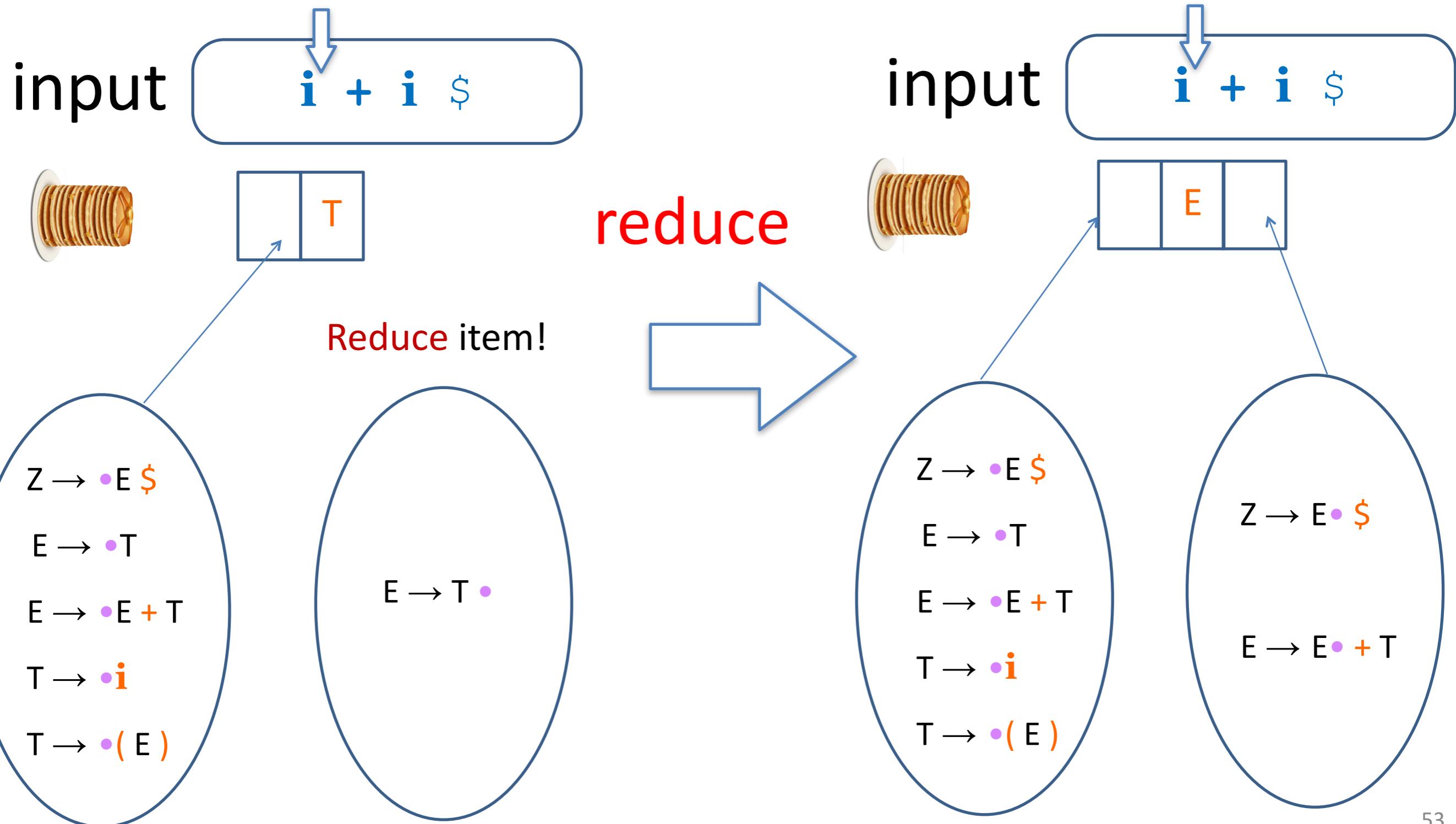
Computing State Transitions

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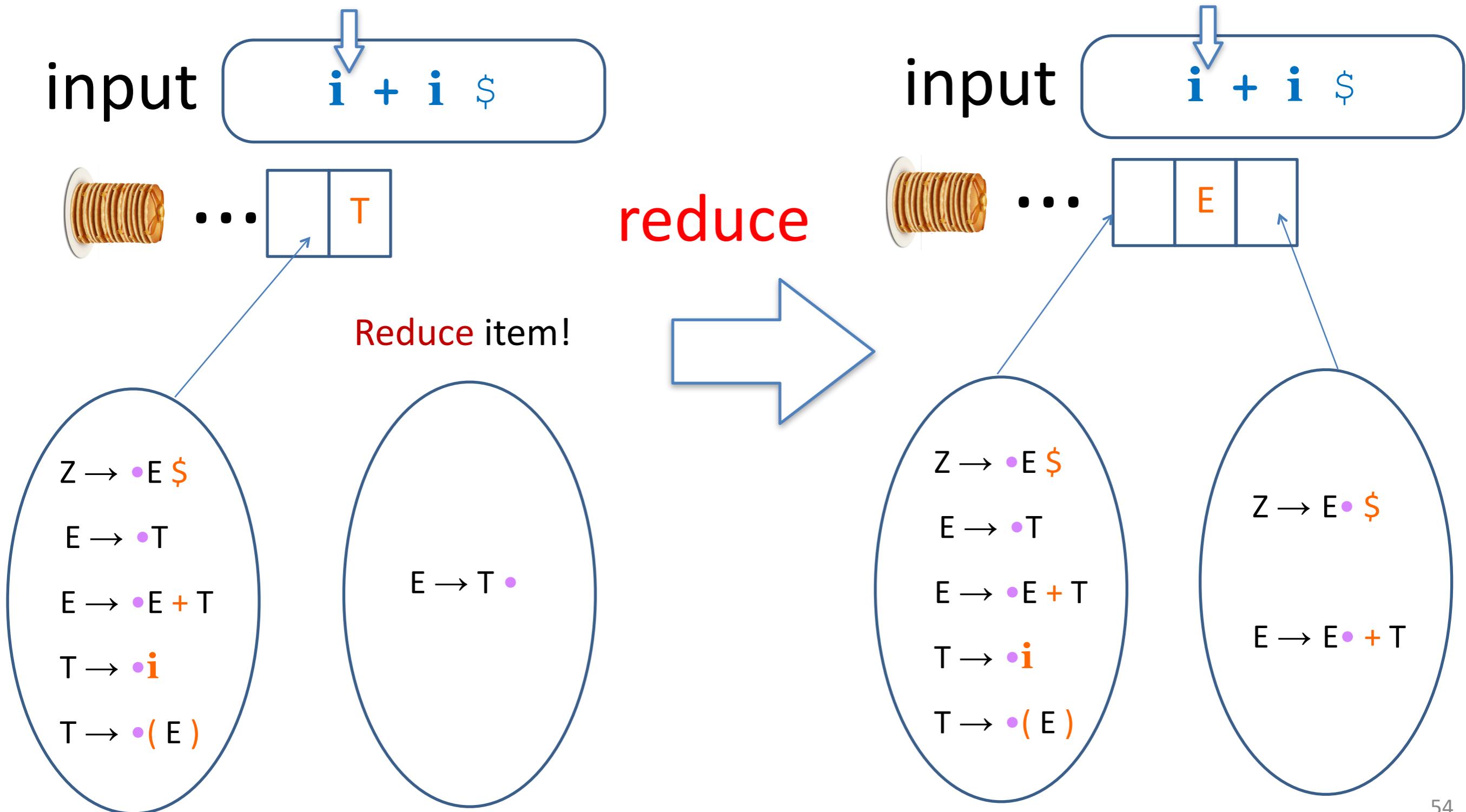
Computing State Transitions

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Computing State Transitions

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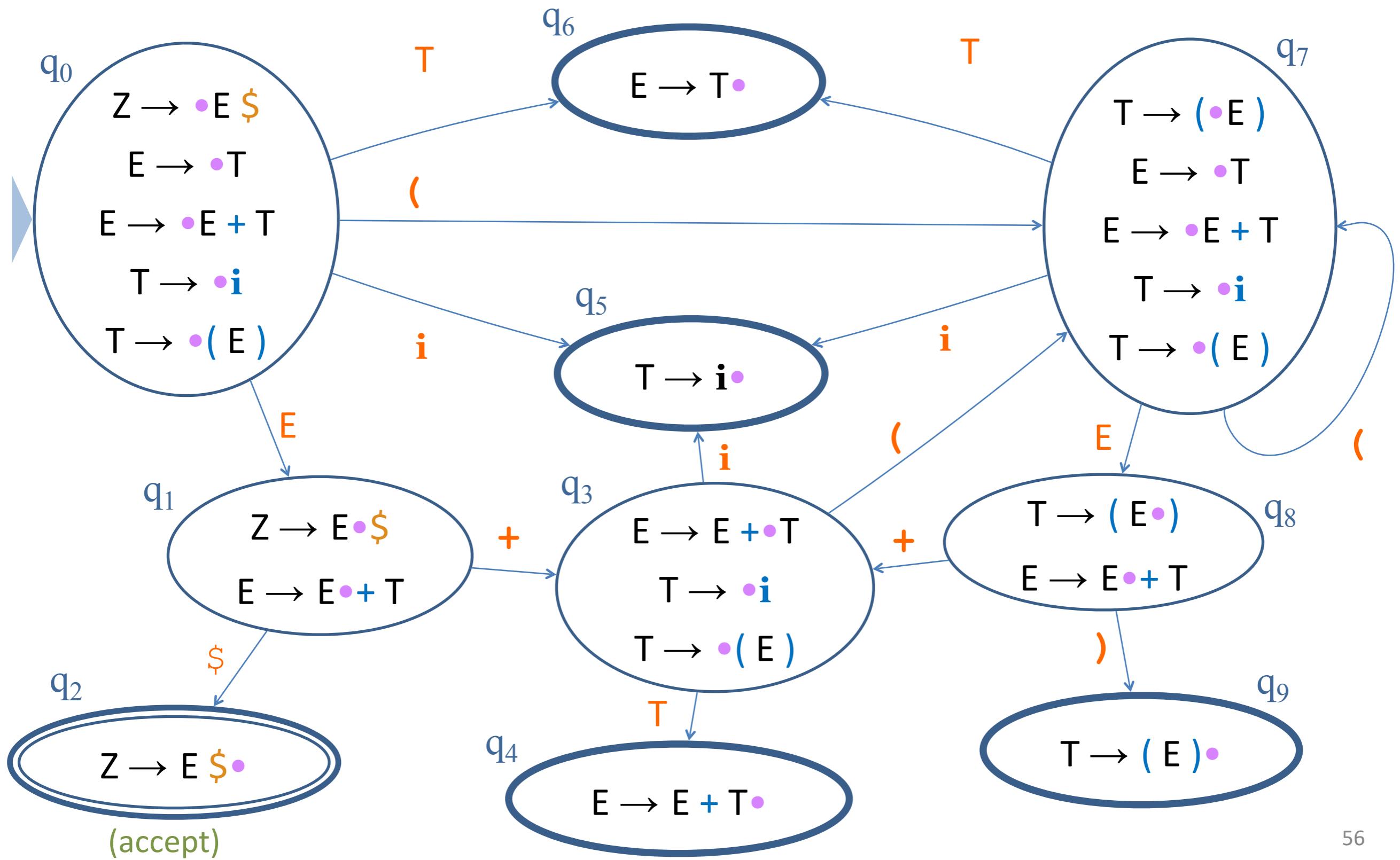


Computing State Transitions

- Do we need to compute the state transitions during parsing?
 - Or can we do it ahead of time?



LR(0) Automaton: All Possible Transitions



How to construct the LR(0) automaton? (A bit more formal)

LR(0) Automaton Construction

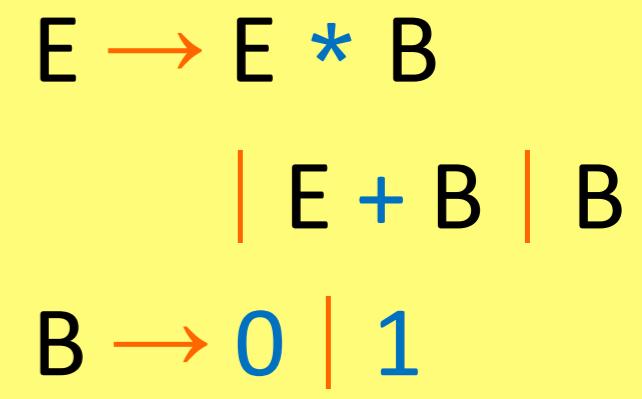
- An LR(0) state consists of several LR(0) items
- For example, if we identified a string that is reduced to E, then we may be in one of the following LR items:

$E \rightarrow E \bullet + B$ or

$E \rightarrow E \bullet * B$

- Therefore one state would be:

$$q = \{E \rightarrow E \bullet + B, E \rightarrow E \bullet * B\}$$



- But if the current state includes $E \rightarrow E + \bullet B$, then we must allow B to be derived too — **Closure!**

Construct the Closure

- Proposition: a **closure set** of LR(0) items has the following property — if the set contains an item of the form

$$A \rightarrow \alpha \bullet B \beta$$

where B is a non terminal, then it must *also* contain an item

$$B \rightarrow \bullet \delta$$

for each *rule* of the form $B \rightarrow \delta$ in the grammar.

- Building the closure set for a given item set is a recursive fixpoint computation, as δ may also begin with a variable

Closure: an example

$$\begin{array}{l} E \rightarrow E * B \mid E + B \mid B \\ B \rightarrow 0 \mid 1 \end{array}$$

grammar

$$C = \{ E \rightarrow E + \bullet B \}$$

set C of LR items

- The closure of the set C is

$$\begin{aligned} \text{clos}(C) = \{ & E \rightarrow E + \bullet B , \\ & B \rightarrow \bullet 0 , \\ & B \rightarrow \bullet 1 \ } \end{aligned}$$

- This will become another parser LR(0) state

Closure: an example

$$\begin{aligned} E &\rightarrow E * B \mid E + B \mid B \\ B &\rightarrow 0 \mid 1 \end{aligned}$$

grammar

$$C = \{ E \rightarrow \bullet E + B \}$$

set C of LR items

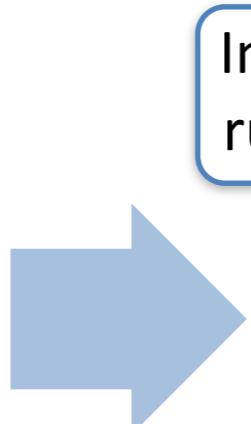
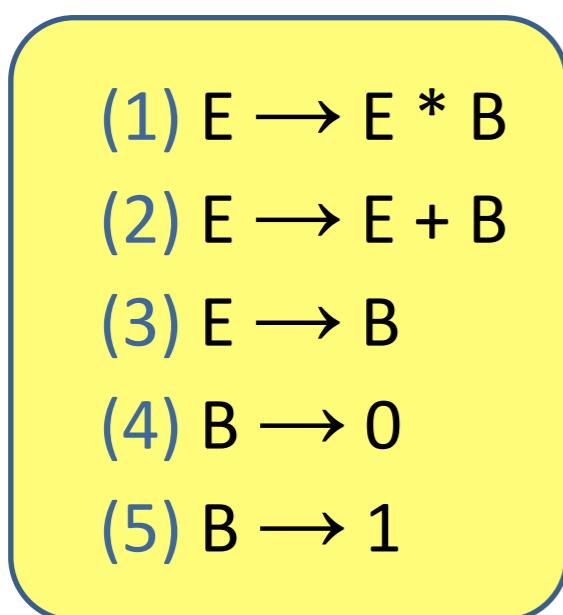
- The closure of the set C is

$$\text{clos}(C) = \{$$

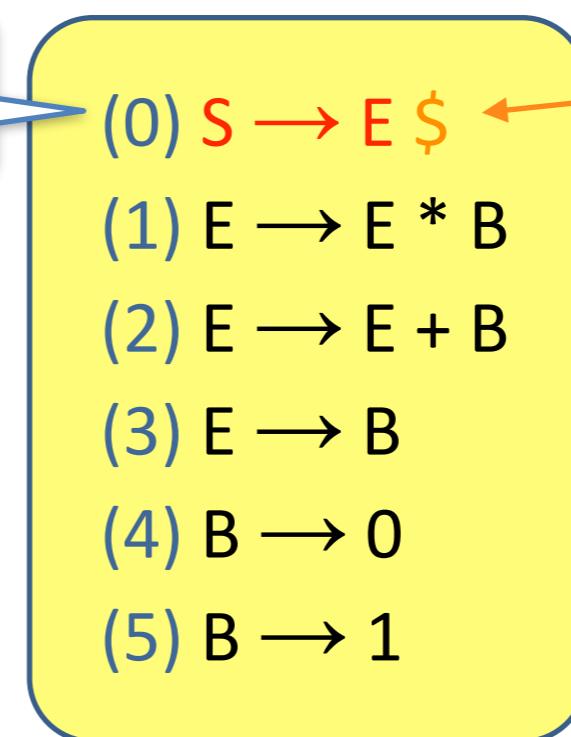
Extended Grammar

- Goal: simple termination condition
 - ▶ Assume that the initial variable only appears in a single rule.
This guarantees that the last reduction can be (easily) detected
 - ▶ Any grammar can be (easily) extended to have such a structure

Example: the grammar



Can be extended into



Initial rule

end-of-input marker

The Initial State

- To build the automaton, we go through all possible states that can be seen during reduction
 - ▶ Each state represents a (closure) set of LR(0) items
- The initial state q_0 is the closure of the initial rule
 - ▶ In our example, the initial rule is $S \rightarrow \bullet E \$$, so:

$$q_0 = \text{clos}(\{S \rightarrow \bullet E \$\}) =$$

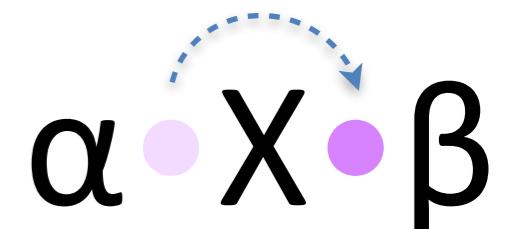
$S \xrightarrow{} E \$$
 $E \xrightarrow{} E * B \mid E + B \mid B$
 $B \xrightarrow{} 0 \mid 1$

{ $S \rightarrow \bullet E \$$, $E \xrightarrow{} \bullet E * B$, $E \xrightarrow{} \bullet E + B$,
 $E \xrightarrow{} \bullet B$, $B \xrightarrow{} \bullet 0$, $B \xrightarrow{} \bullet 1$ }

- Next, we build all possible states that can be reached by following a *single symbol* (token or variable)

The Next States

- For every symbol (terminal or variable) X , and every possible state (closure set) q ,
 1. Find all items in the set of q in which the dot is before an X .
(We denote this set by $q|X$)
 2. Move the dot ahead of the X in all items in $q|X$
 3. Find the closure of the obtained set:
this is the state into which we move from q upon seeing X



- Formally, the **next set** is defined on a set C and next symbol X :
 - ▶ $C|X = \{ (N \rightarrow \alpha \cdot X \beta) \in C \}$
 - ▶ $\text{step}(C,X) = \{ N \rightarrow \alpha X \cdot \beta \mid (N \rightarrow \alpha \cdot X \beta) \in C \}$
 - ▶ $\text{nextSet}(C,X) = \text{clos}(\text{step}(C,X))$

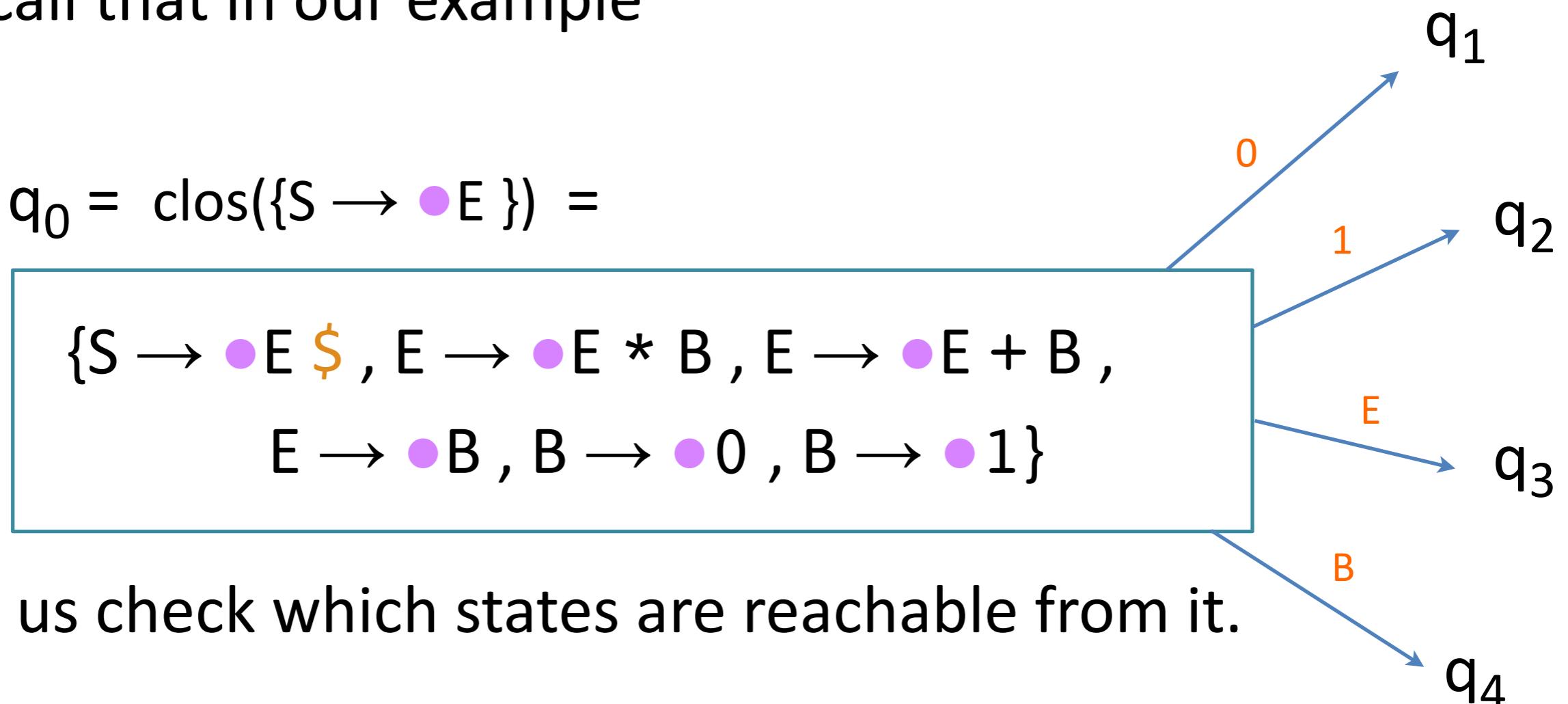
The Next States

S \rightarrow E \$
E \rightarrow E * B | E + B | B
B \rightarrow 0 | 1

Recall that in our example

$$q_0 = \text{clos}(\{S \rightarrow \bullet E\}) =$$

$\{S \rightarrow \bullet E \$, E \rightarrow \bullet E * B, E \rightarrow \bullet E + B,$
 $E \rightarrow \bullet B, B \rightarrow \bullet 0, B \rightarrow \bullet 1\}$



Let us check which states are reachable from it.

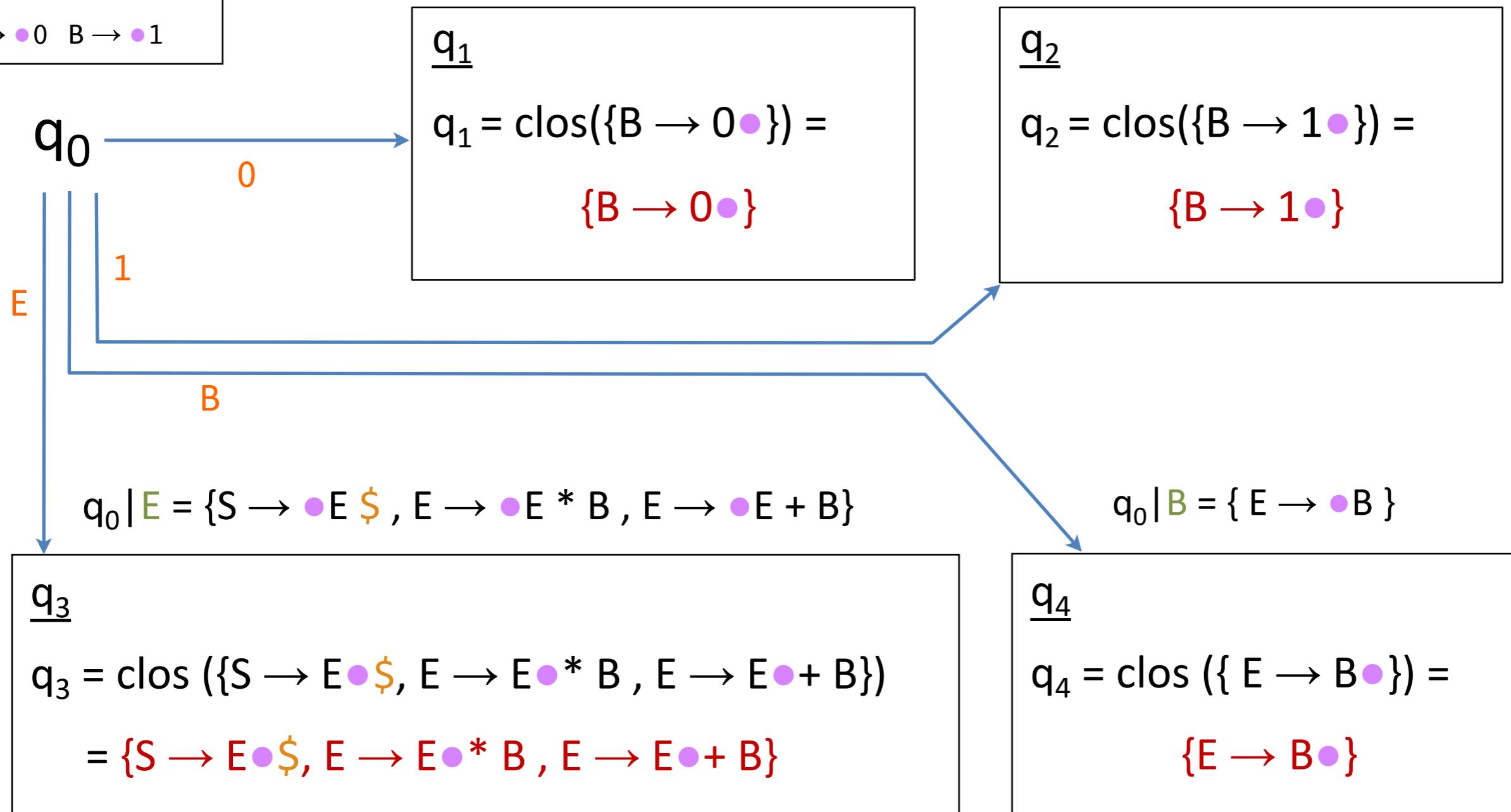
nextSet(q_0, \cdot) in the example

$S \rightarrow \bullet E \$$
 $E \rightarrow \bullet E * B$
 $E \rightarrow \bullet E + B$
 $E \rightarrow \bullet B$
 $B \rightarrow \bullet 0 \quad B \rightarrow \bullet 1$

$S \xrightarrow{\cdot} E \$$
 $E \xrightarrow{\cdot} E * B \quad | \quad E + B \quad | \quad B$
 $B \xrightarrow{\cdot} 0 \quad | \quad 1$

$$q_0 | 0 = \{B \rightarrow \bullet 0\}$$

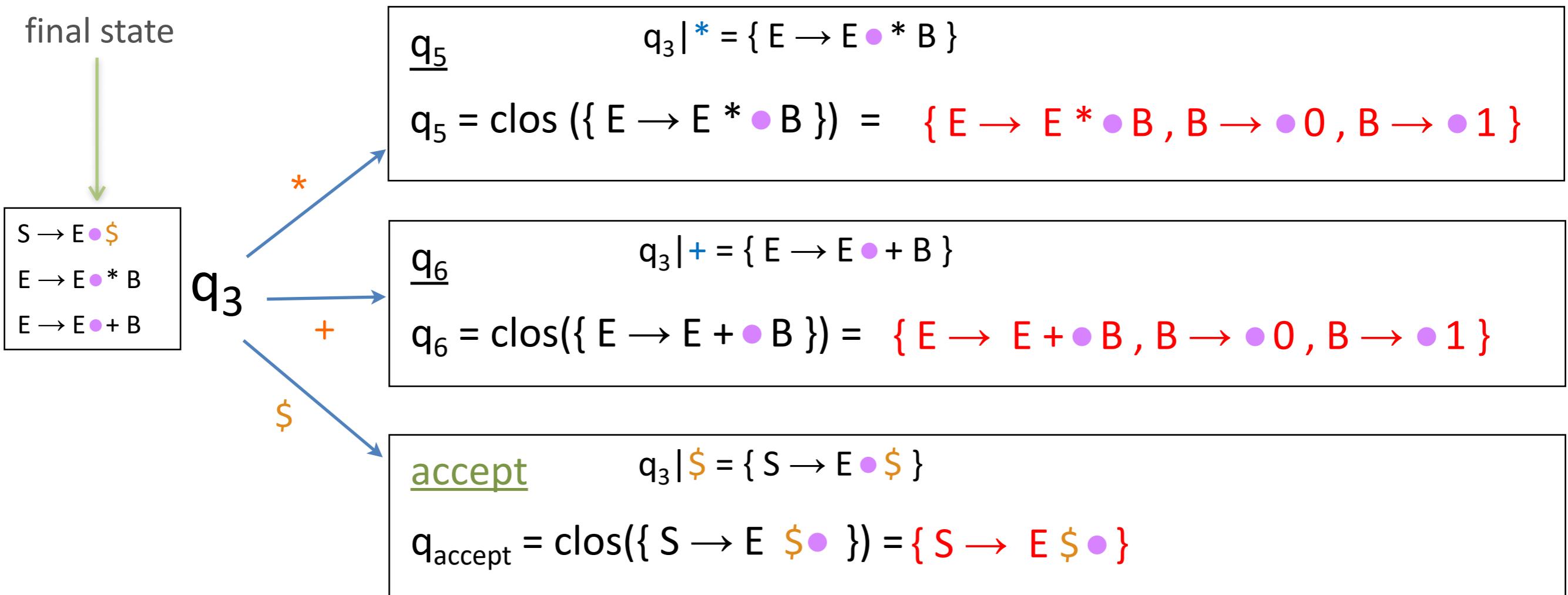
$$q_0 | 1 = \{B \rightarrow \bullet 1\}$$



From these new states there are more reachable states

$S \rightarrow E \$$
 $E \rightarrow E * B \mid E + B \mid B$
 $B \rightarrow 0 \mid 1$

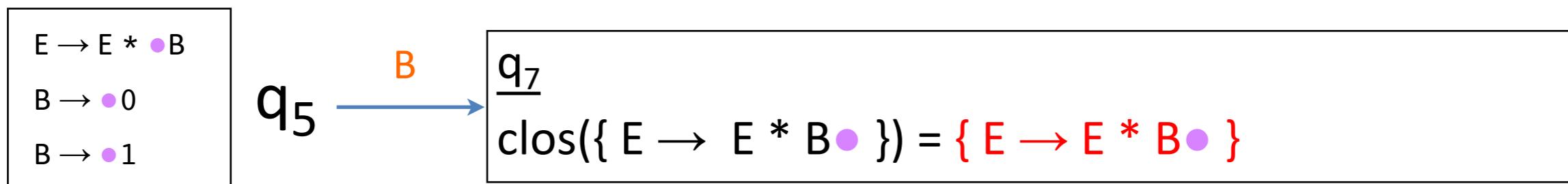
- From q_1, q_2, q_4 , there are no steps because the dot is at the end of every item in their sets.
- From state q_3 we can reach the following three states –



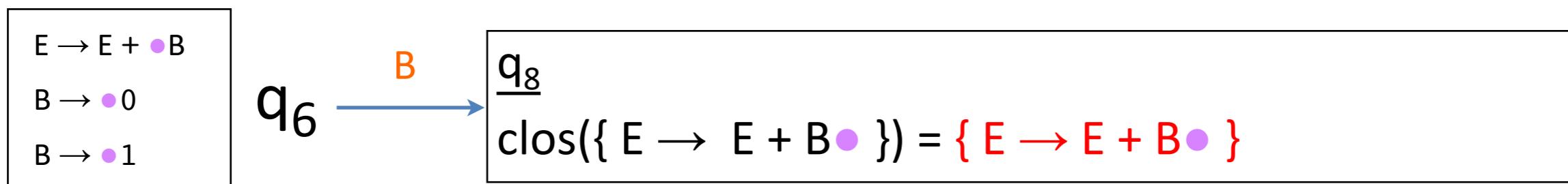
Finally

$S \rightarrow E \$$
$E \rightarrow E * B \mid E + B \mid B$
$B \rightarrow 0 \mid 1$

- From q_5 we can proceed with $X=0$, or $X=1$, or $X=B$.
- For $X=0$ we reach q_1 again; for $X=1$ we reach q_2 .
- For $X=B$ we get q_7 :



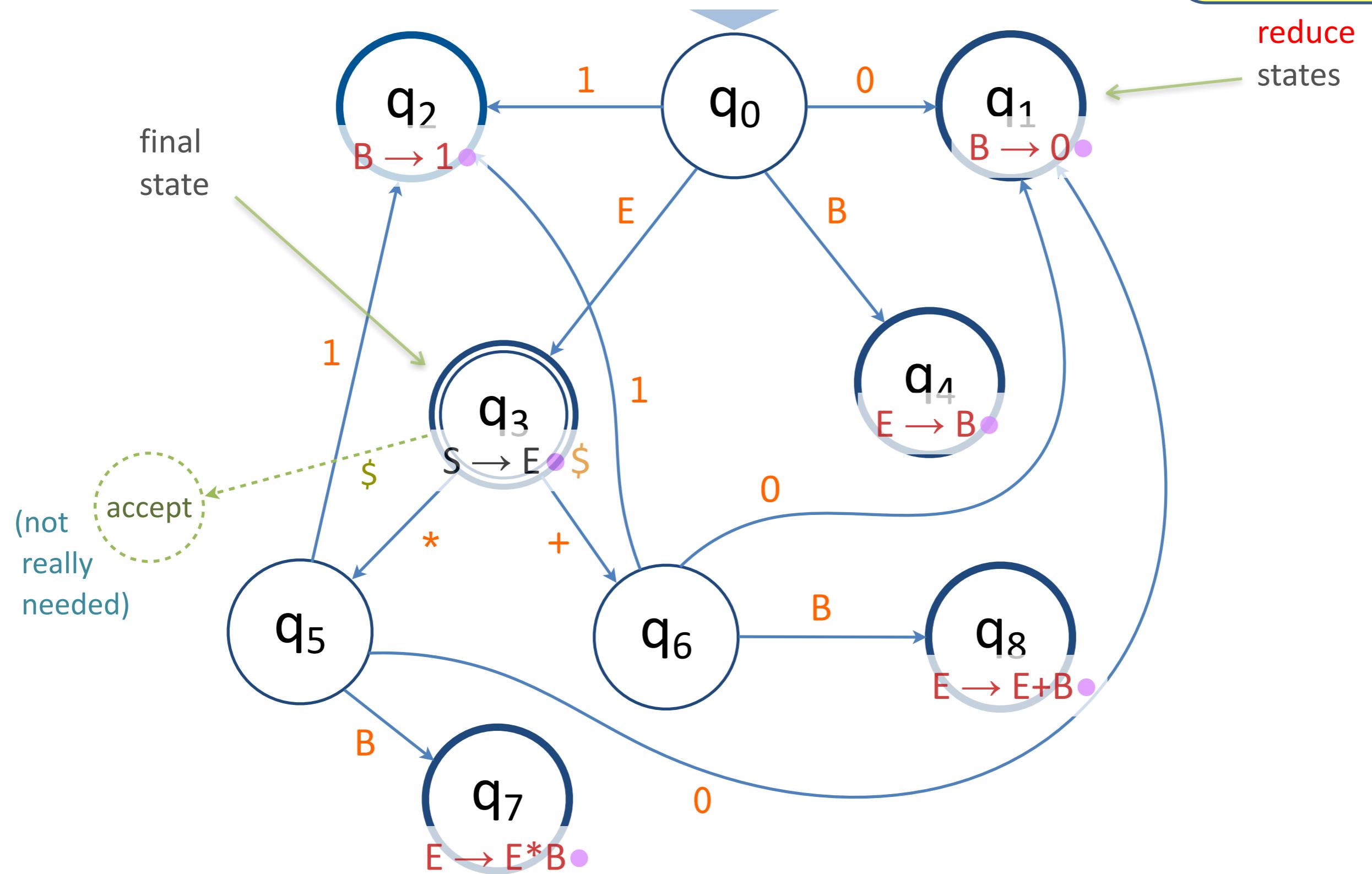
- Similarly, from q_6 with $X=B$ we get q_8 :



- These two states have no further steps. (Why?)

Automaton

$S \rightarrow E \$$
 $E \rightarrow E^* B \mid E + B \mid B$
 $B \rightarrow 0 \mid 1$



Another LR(0) Automaton

$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$

