

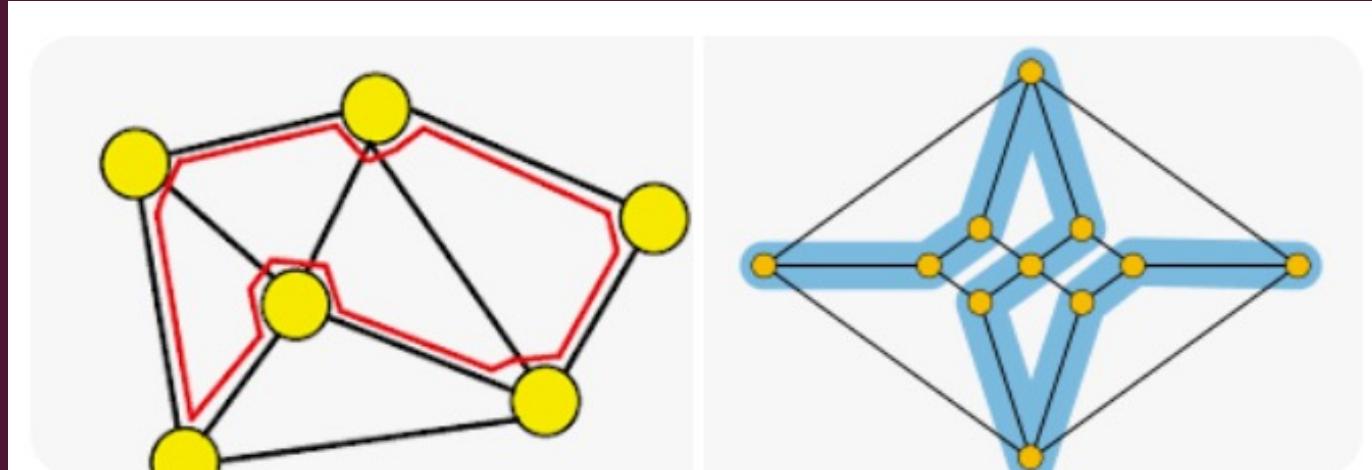
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# NP COMPLETE PROBLEMS

## REDUCTIONS

# REDUCTION OF 3-SAT TO HAMILTONIAN CYCLE (HC) PROBLEM

– a simple cycle including all the vertices of the graph (start and end vertex are same)



## 3-SAT AND HC

- For a 3-SAT expression containing  $n$  variables, there are  $2^n$  possible assignments
- We model these  $2^n$  possible truth assignments using a graph with  $2^n$  different Hamiltonian cycles

# CONSTRUCTION OF PATHS

Construct  $n$  paths  $P_1, P_2, \dots, P_n$  corresponding to the  $n$  variables.

Each path  $P_i$  should consist of  $2k$  nodes  $(v_{i,1}, v_{i,2}, \dots, v_{i,2k})$  where  $k$  is the number of clauses in the expression.

For example, consider the following boolean expression with 4 variables:

$x_1, x_2, x_3, x_4$

Expression:  $(x_1 + x_2 + \overline{x_3}) \cdot (\overline{x_2} + x_3 + x_4) \cdot (x_1 + \overline{x_2} + x_4)$

## CONSTRUCTION OF PATHS

# CONSTRUCTION OF PATHS

We construct 4 paths with 6 nodes each

$P_1$  with nodes  $v_{1,1}, v_{1,2}, v_{1,3}, v_{1,4}, v_{1,5}, v_{1,6}$

$P_2$  with nodes  $v_{2,1}, v_{2,2}, v_{2,3}, v_{2,4}, v_{2,5}, v_{2,6}$

$P_3$  with nodes  $v_{3,1}, v_{3,2}, v_{3,3}, v_{3,4}, v_{3,5}, v_{3,6}$

$P_4$  with nodes  $v_{4,1}, v_{4,2}, v_{4,3}, v_{4,4}, v_{4,5}, v_{4,6}$

For example, consider the following boolean expression with 4 variables:

$x_1, x_2, x_3, x_4$

Expression:  $(x_1 + x_2 + \overline{x_3}) \cdot (\overline{x_2} + x_3 + x_4) \cdot (x_1 + \overline{x_2} + x_4)$

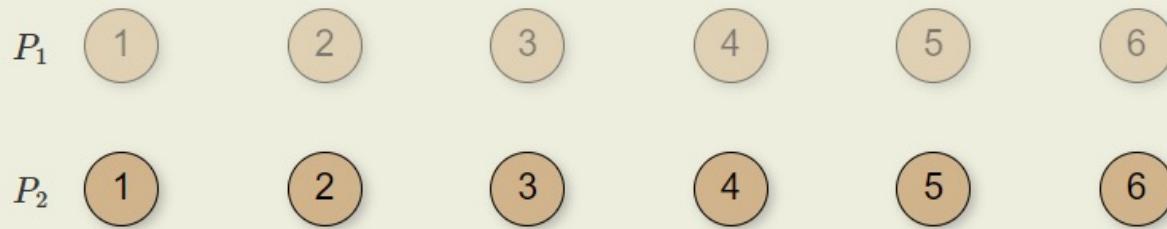
### Step 1a: Adding nodes for the paths

Variables:  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$



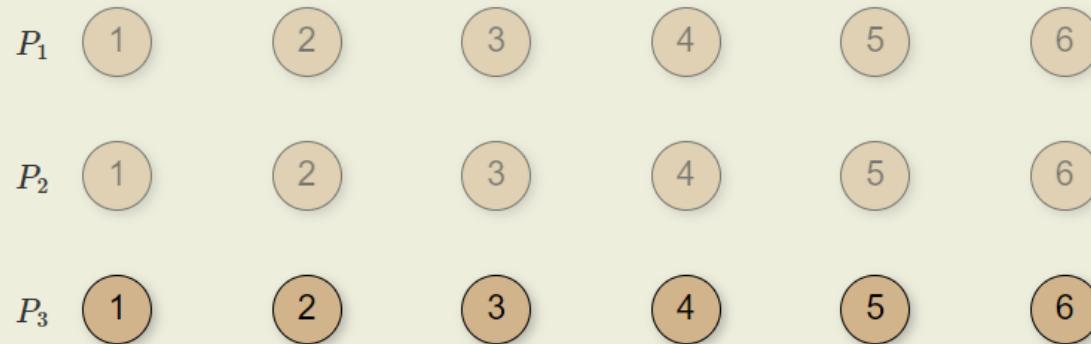
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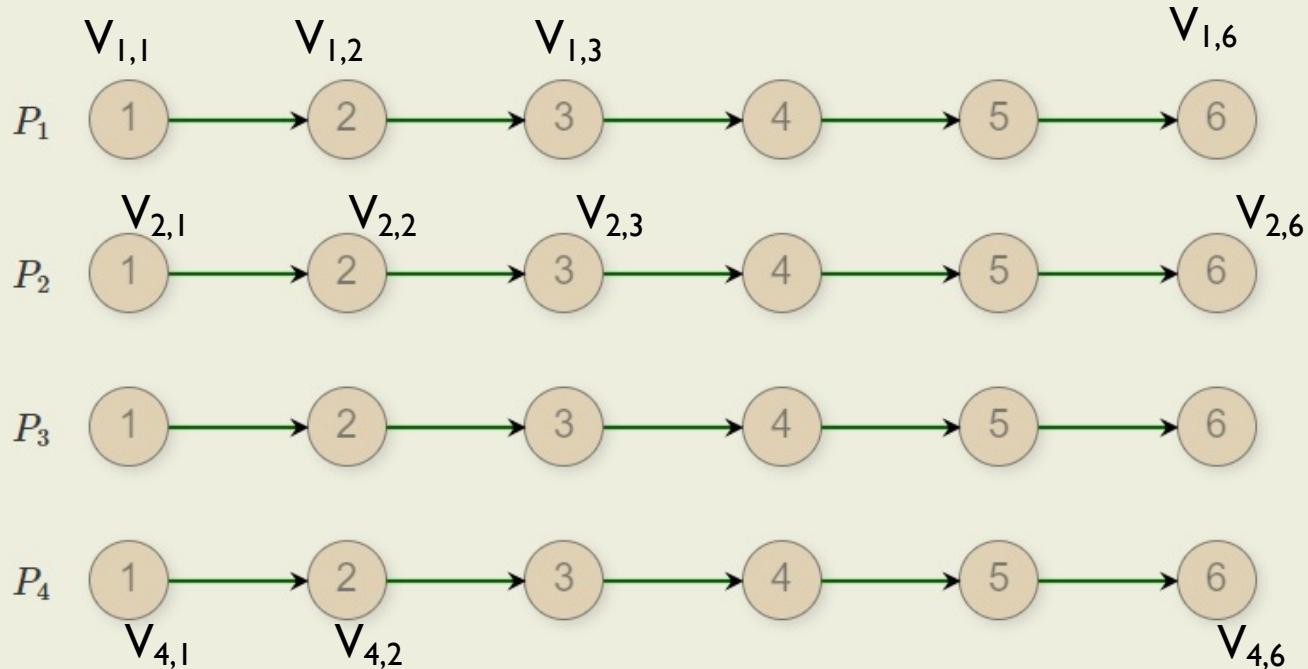
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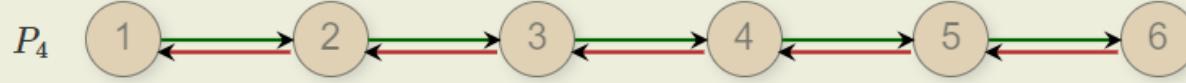
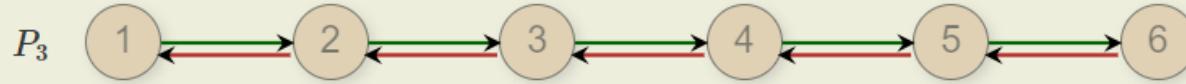
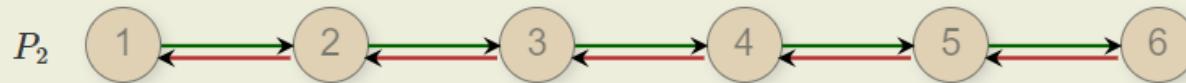
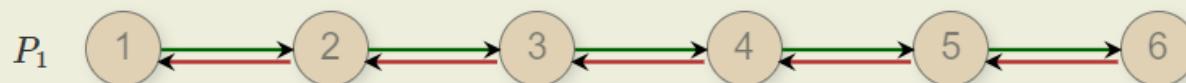
### Step 1b: Adding edges to the paths

Add edges from  $v_{i,j-1}$  to  $v_{i,j}$  (i.e. left to right) on  $P_i$  to correspond to the assignment  $x_i = \text{True}$



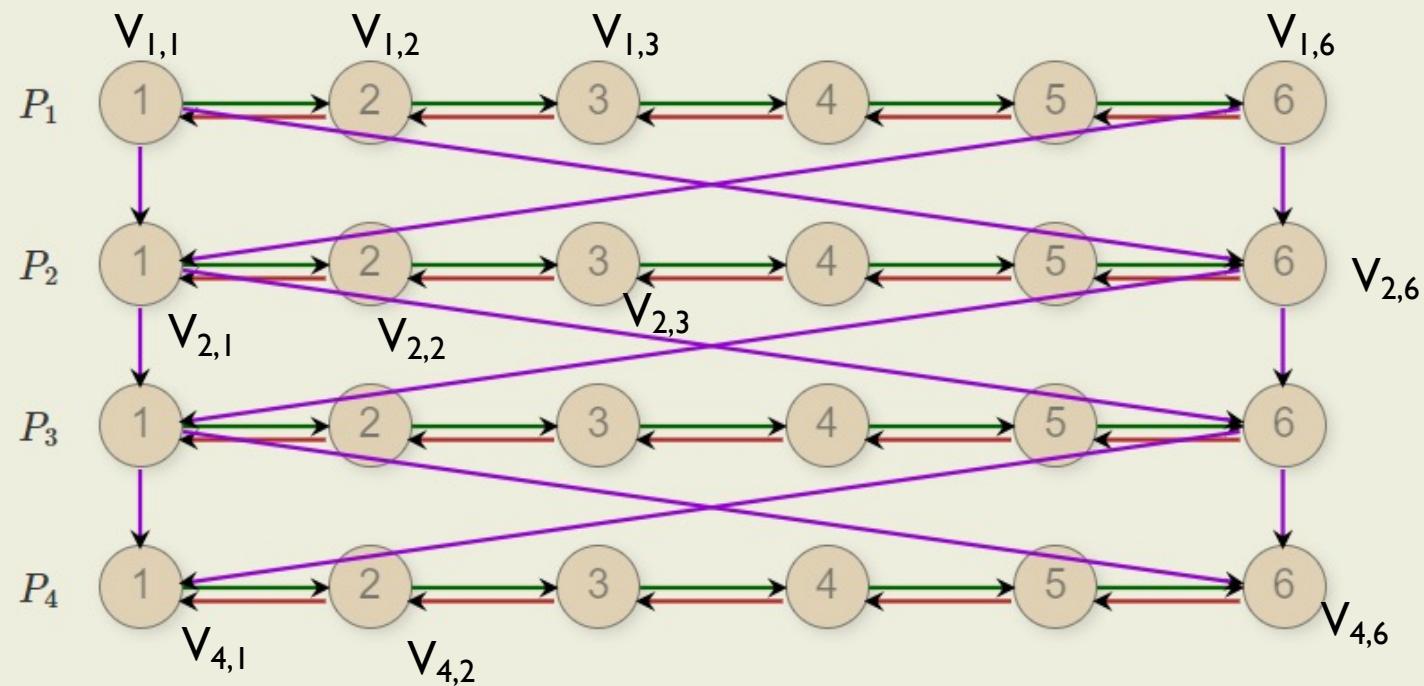
### Step 1b: Adding edges to the paths

Add edges from  $v_{i,j}$  to  $v_{i,j-1}$  (i.e. right to left) on  $P_i$  to correspond to the assignment  $x_i = \text{False}$

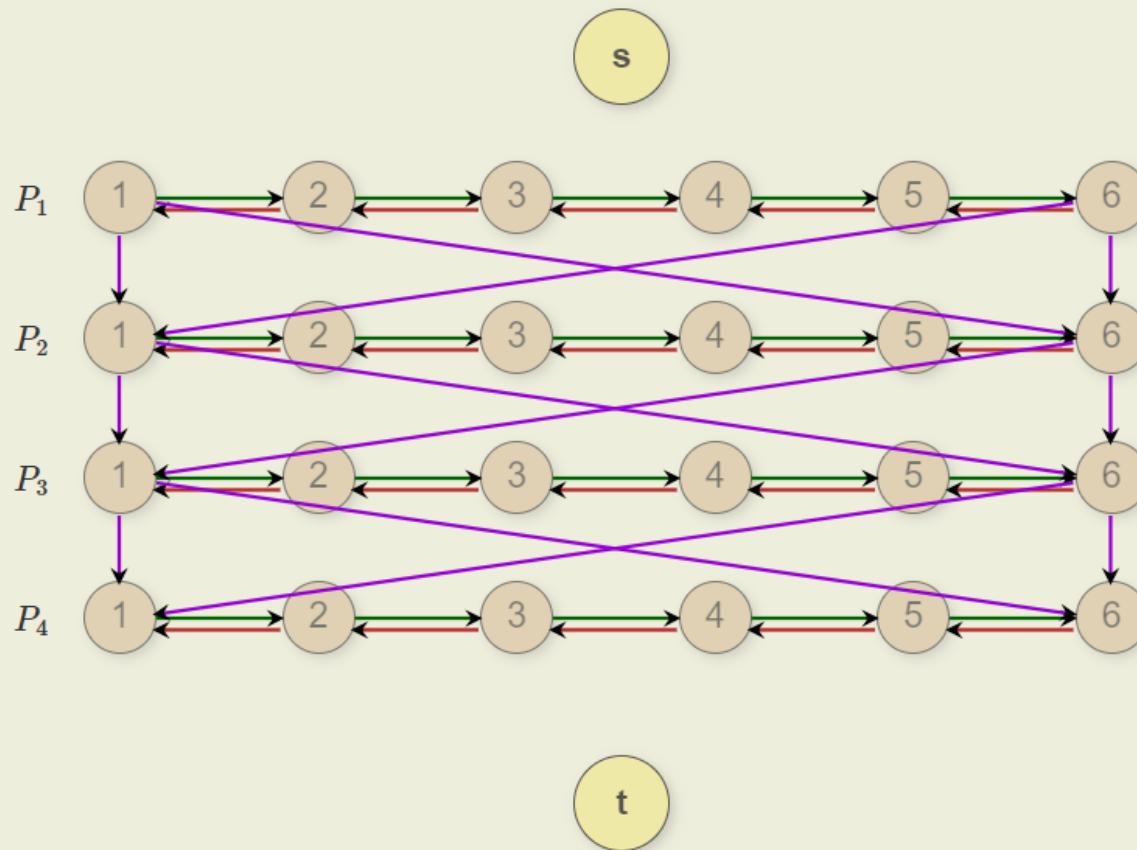


## Step 2: Inter-connecting the paths

Add edges from  $v_{i,1}$  and  $v_{i,6}$  to  $v_{i+1,1}$  and  $v_{i+1,6}$

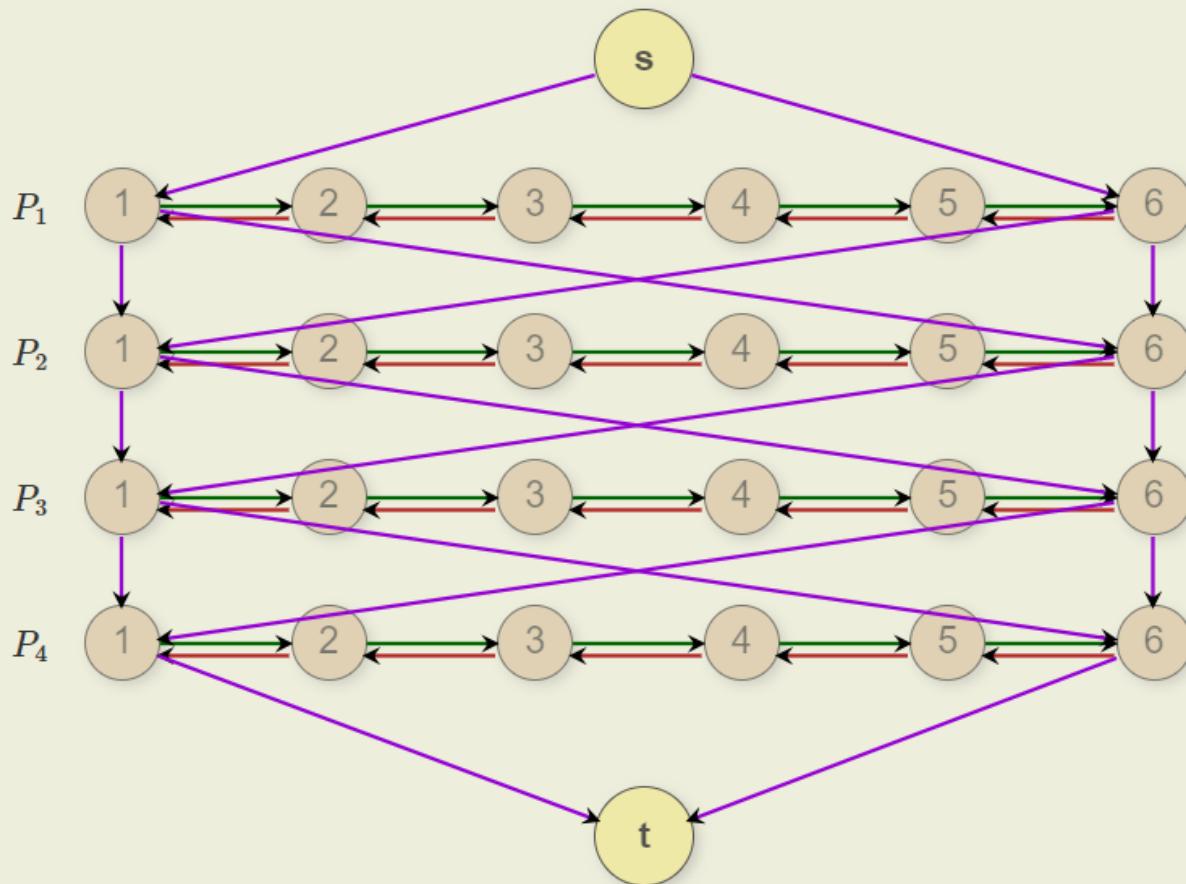


### Step 3: Adding source and target nodes



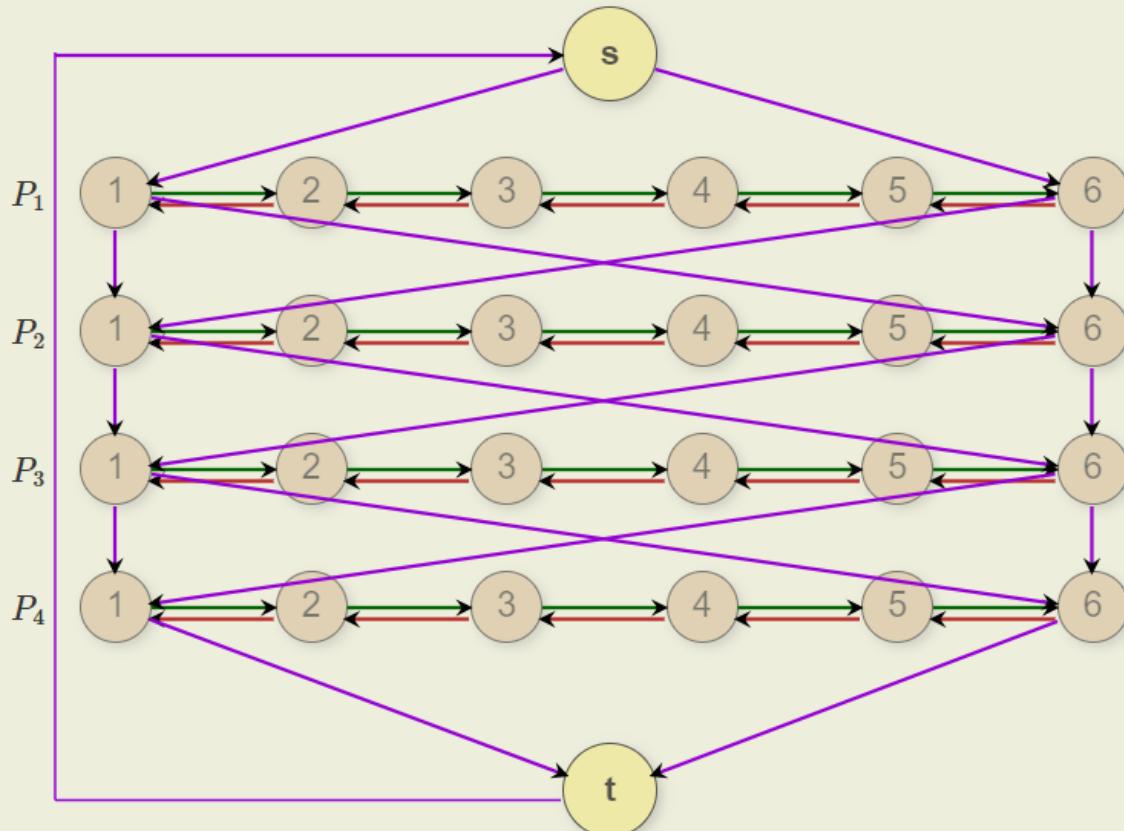
#### Step 4: Connecting source and target nodes to the paths

Add edges from 's' to  $v_{1,1}$  and  $v_{1,6}$  and from  $v_{4,1}$  and  $v_{4,6}$  to 't'



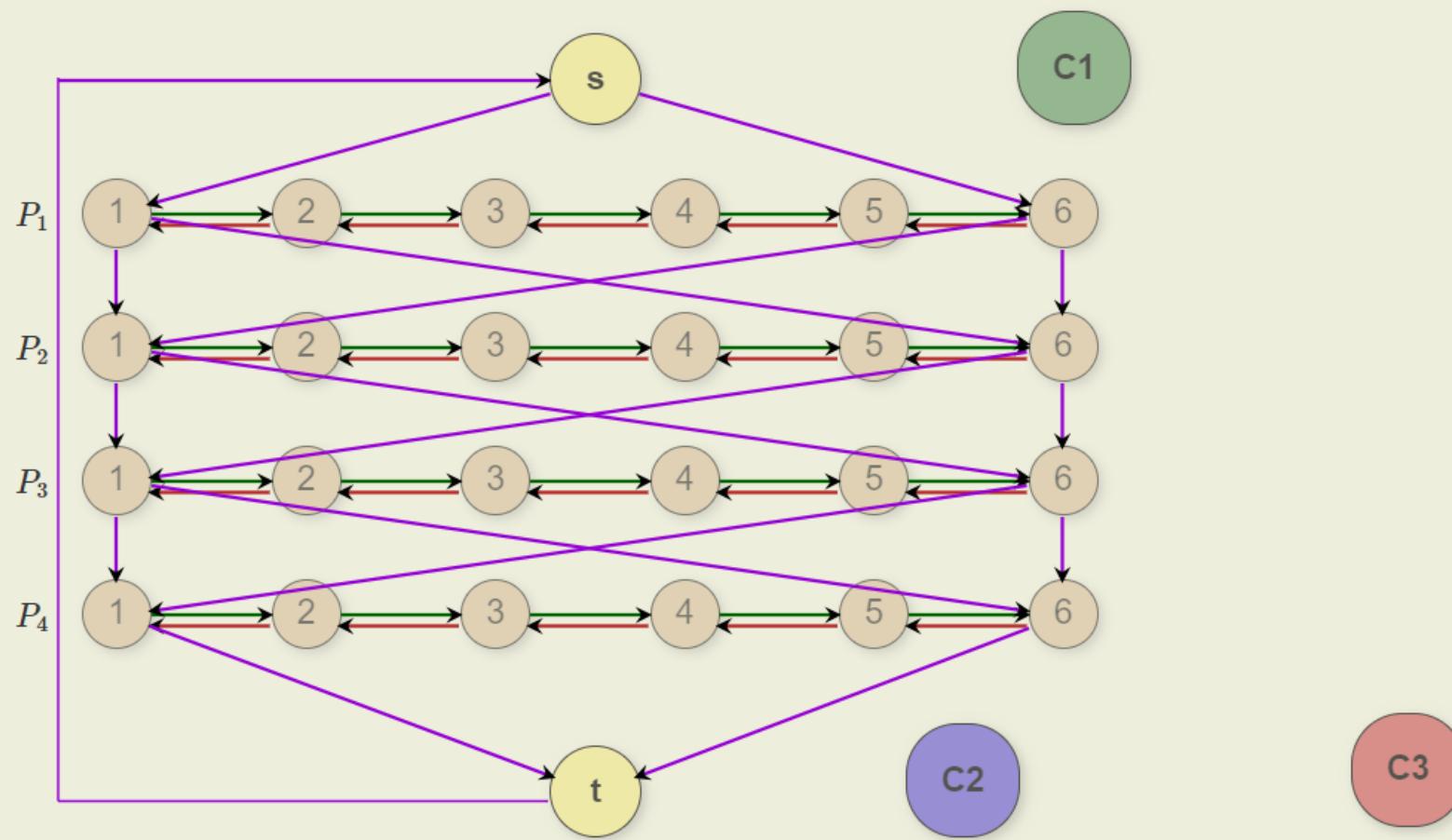
## Step 5: Adding a backpath from target to source

Being the only path from target to source, this path will always be present in any Hamiltonian Cycle of the graph.



## Step 6: Adding nodes corresponding to clauses

3-CNF expression:  $(x_1 + x_2 + \overline{x_3}) . (\overline{x_2} + x_3 + x_4) . (x_1 + \overline{x_2} + x_4)$ .



## **Step 7: Connecting clauses to the paths**

If a clause  $C_j$  contains the variable  $x_i$ ,

1. Connect  $C_j$  to  $v_{i,2j-1}$  and  $v_{i,2j}$
2. The direction of the path connecting  $C_j, v_{i,2j-1}$  and  $v_{i,2j}$  should be:

### Step 7: Connecting clauses to the paths

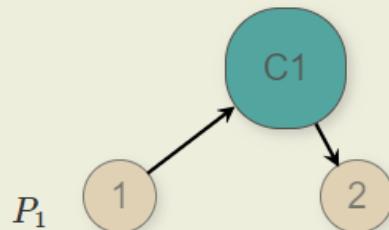
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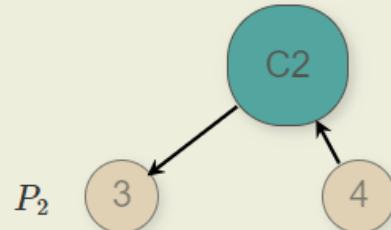
a. left to right if  $C_j$  contains  $x_i$

For example :  $C_1 = (x_1 + x_2 + \overline{x_3})$  contains  $x_1$ . So  $C_1$  should be connected as:



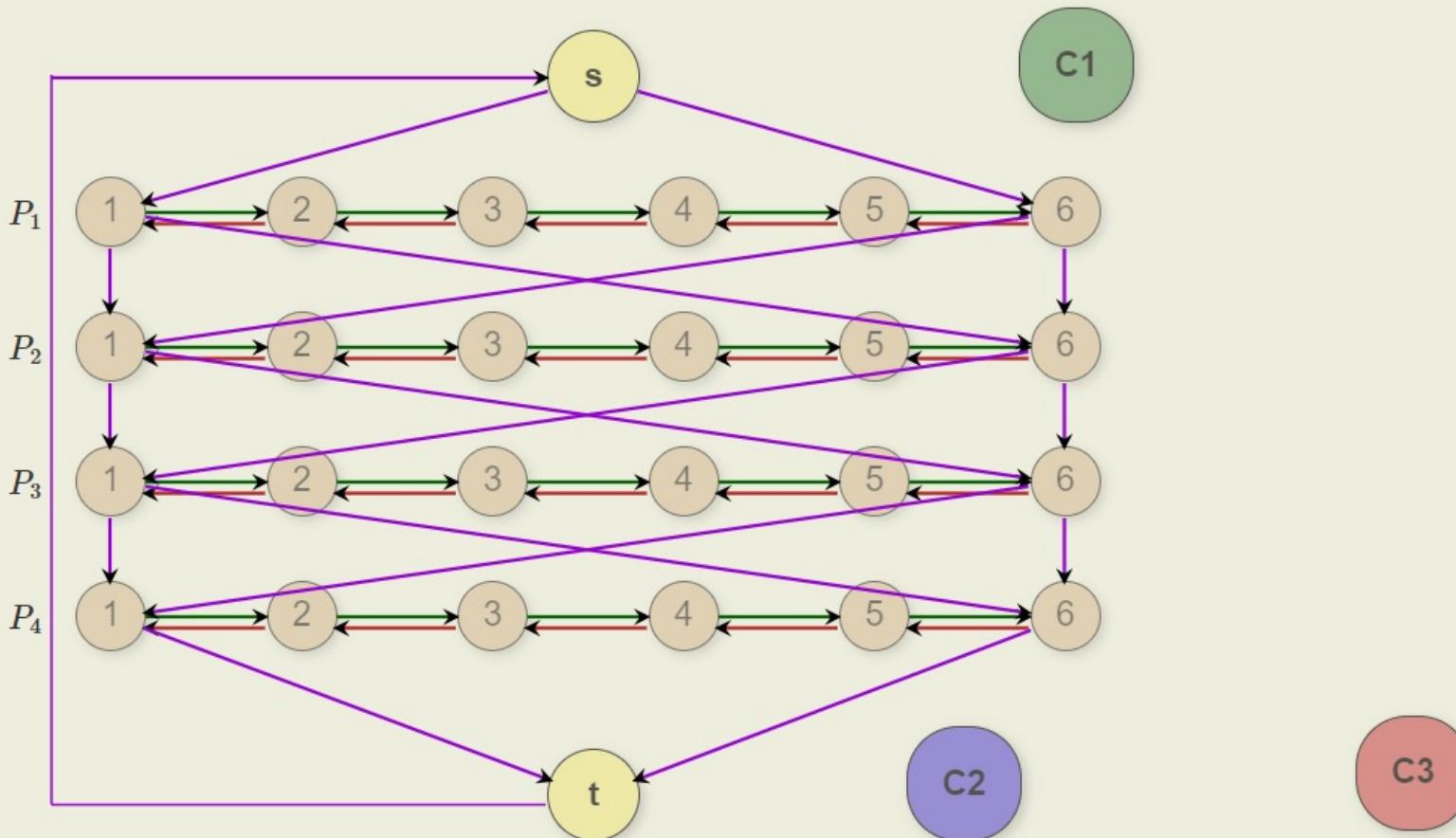
b. right to left if  $C_j$  contains  $\overline{x}_i$

For example :  $C_2 = (\overline{x_2} + x_3 + x_4)$  contains  $\overline{x_2}$ . So  $C_2$  should be connected as:



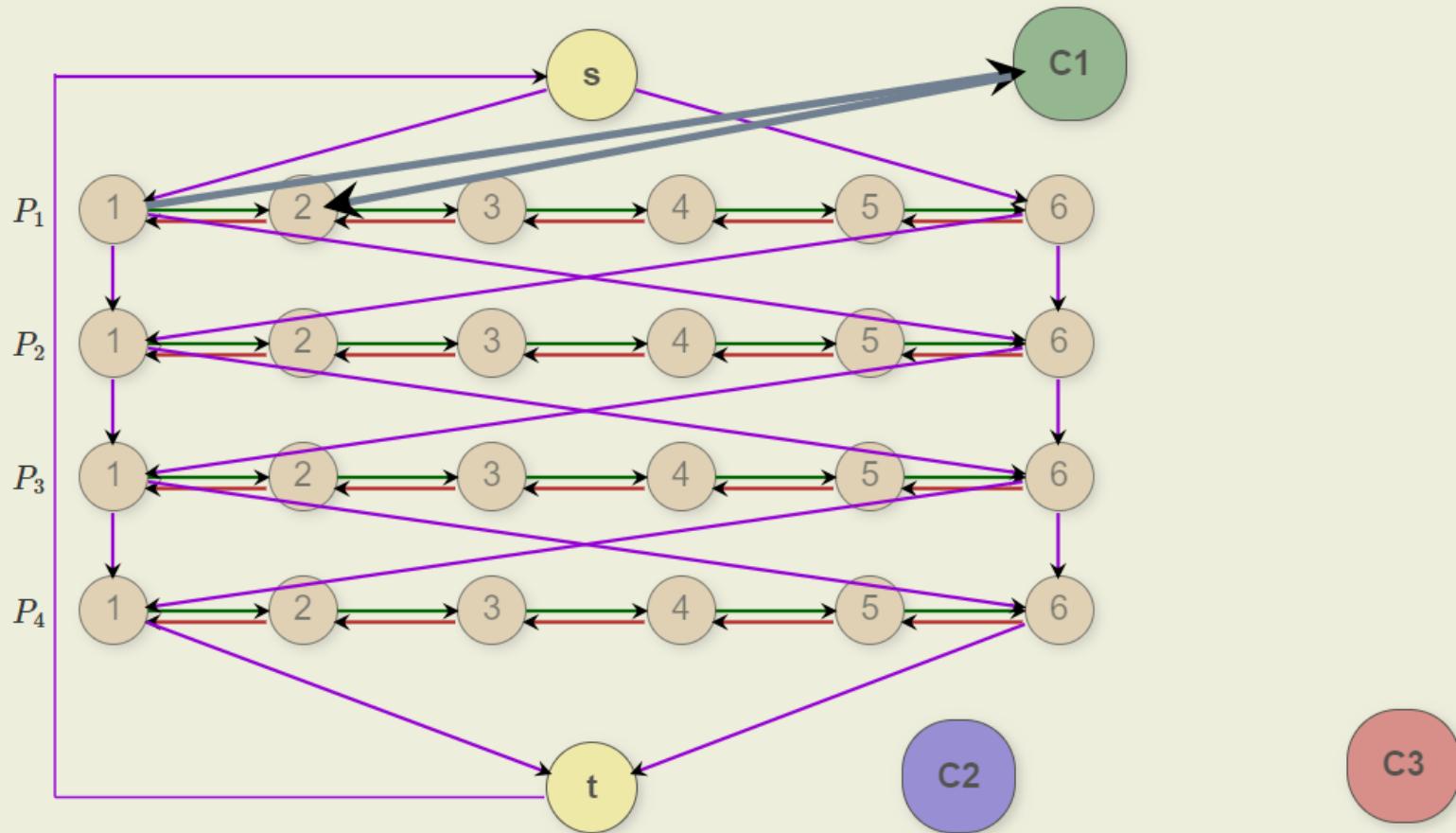
## Step7: Connecting clauses to the paths

3-CNF expression:  $(x_1 + x_2 + \overline{x_3}) . (\overline{x_2} + x_3 + x_4) . (x_1 + \overline{x_2} + x_4)$ .



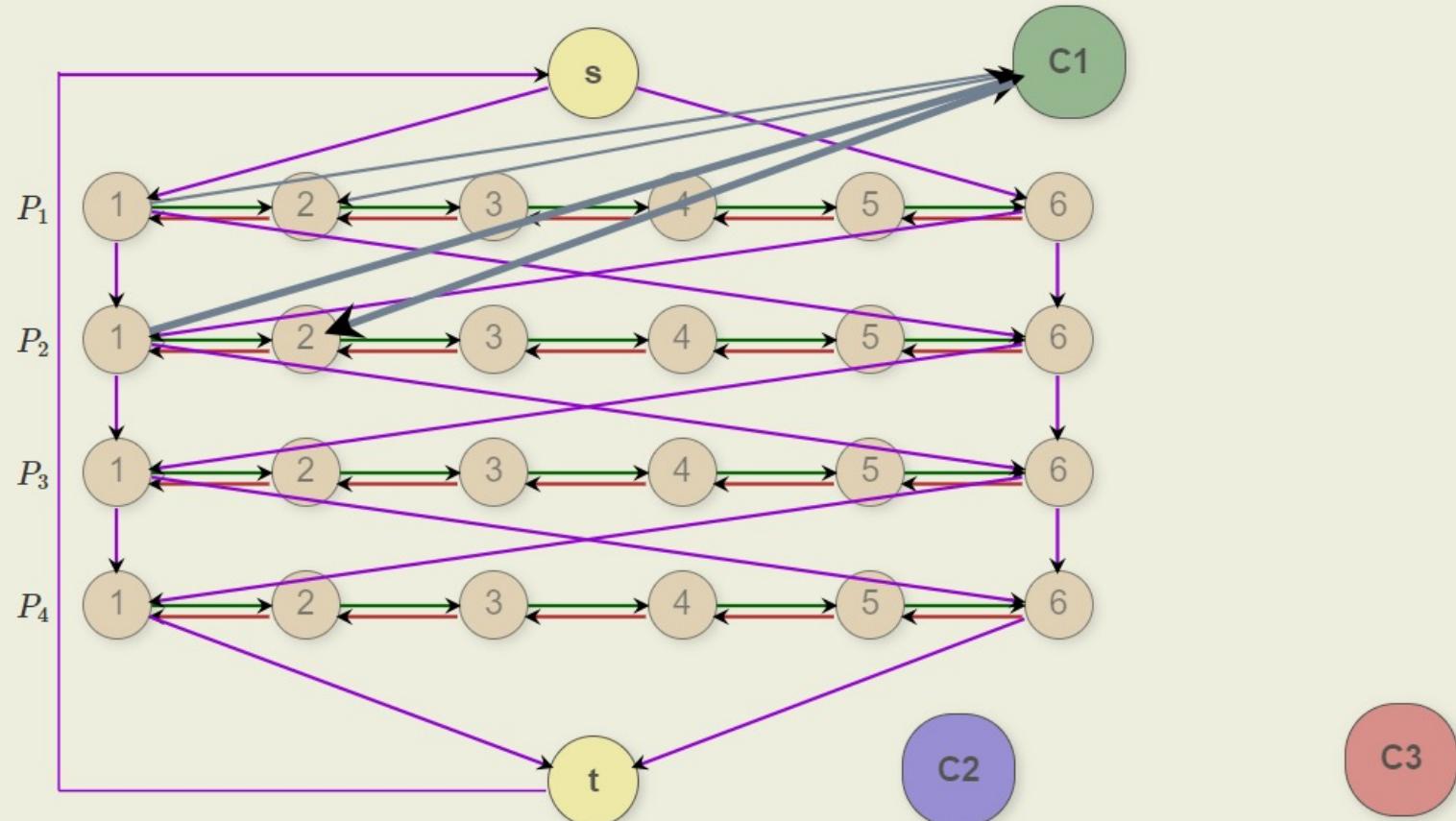
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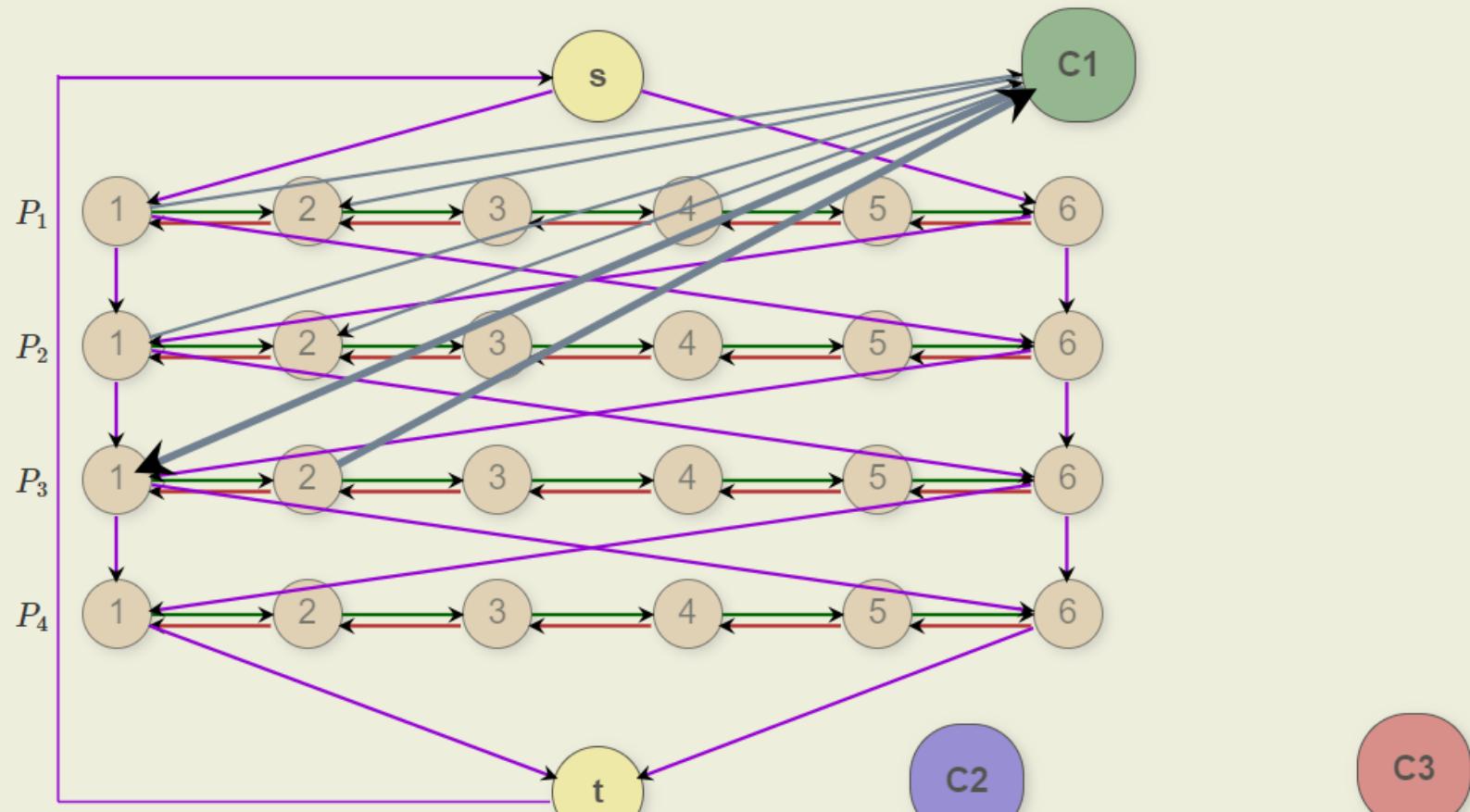
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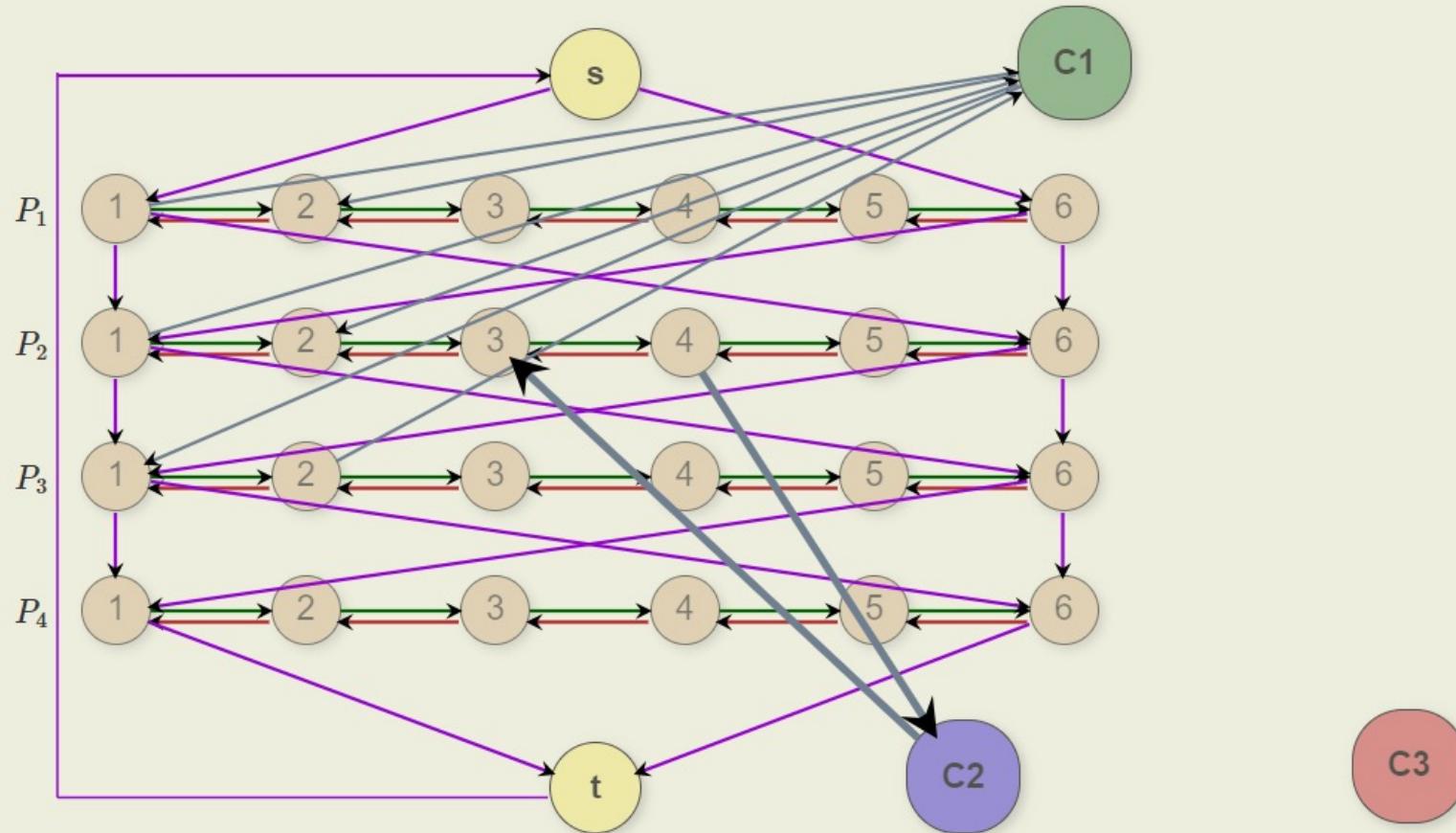
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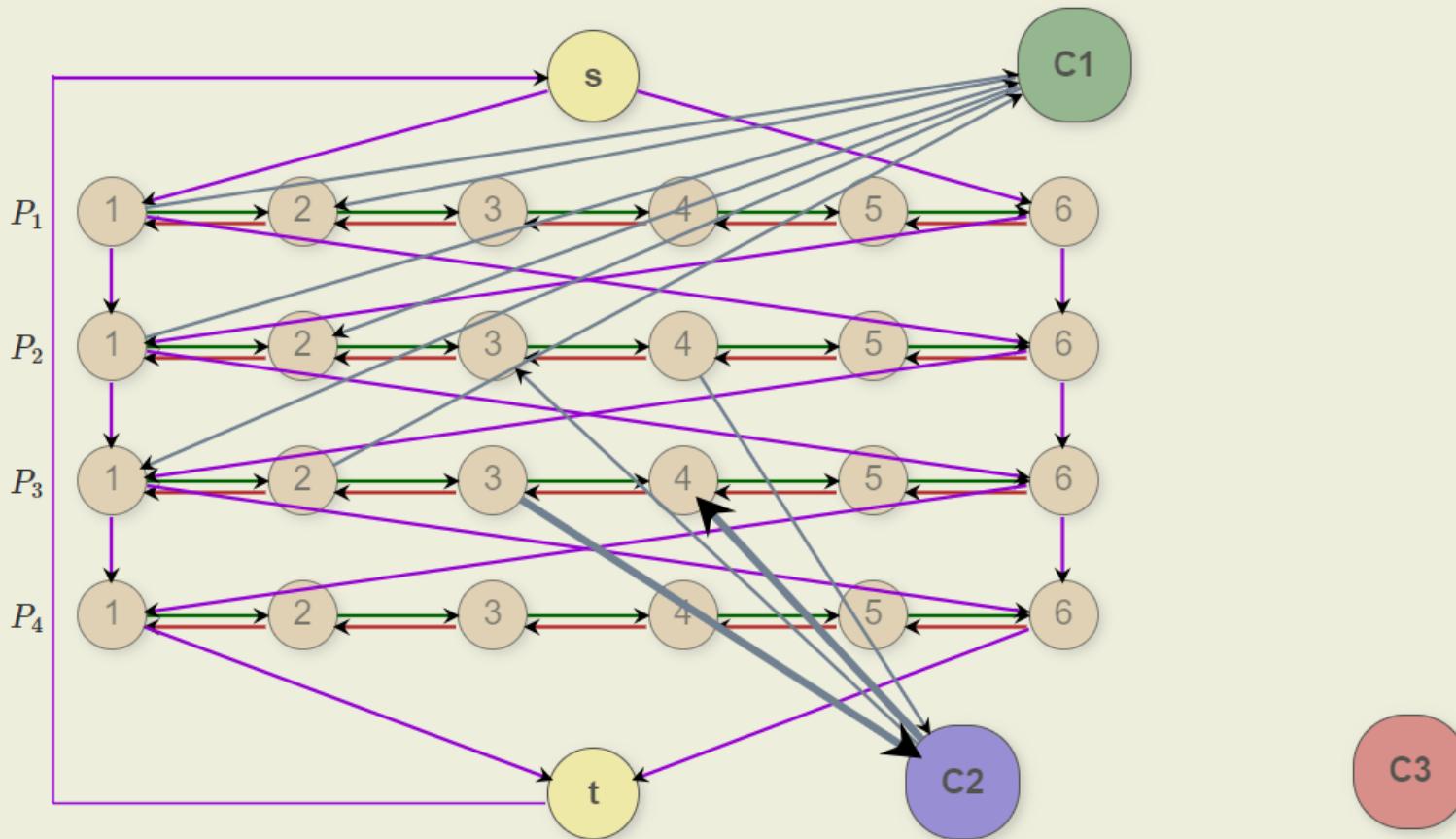
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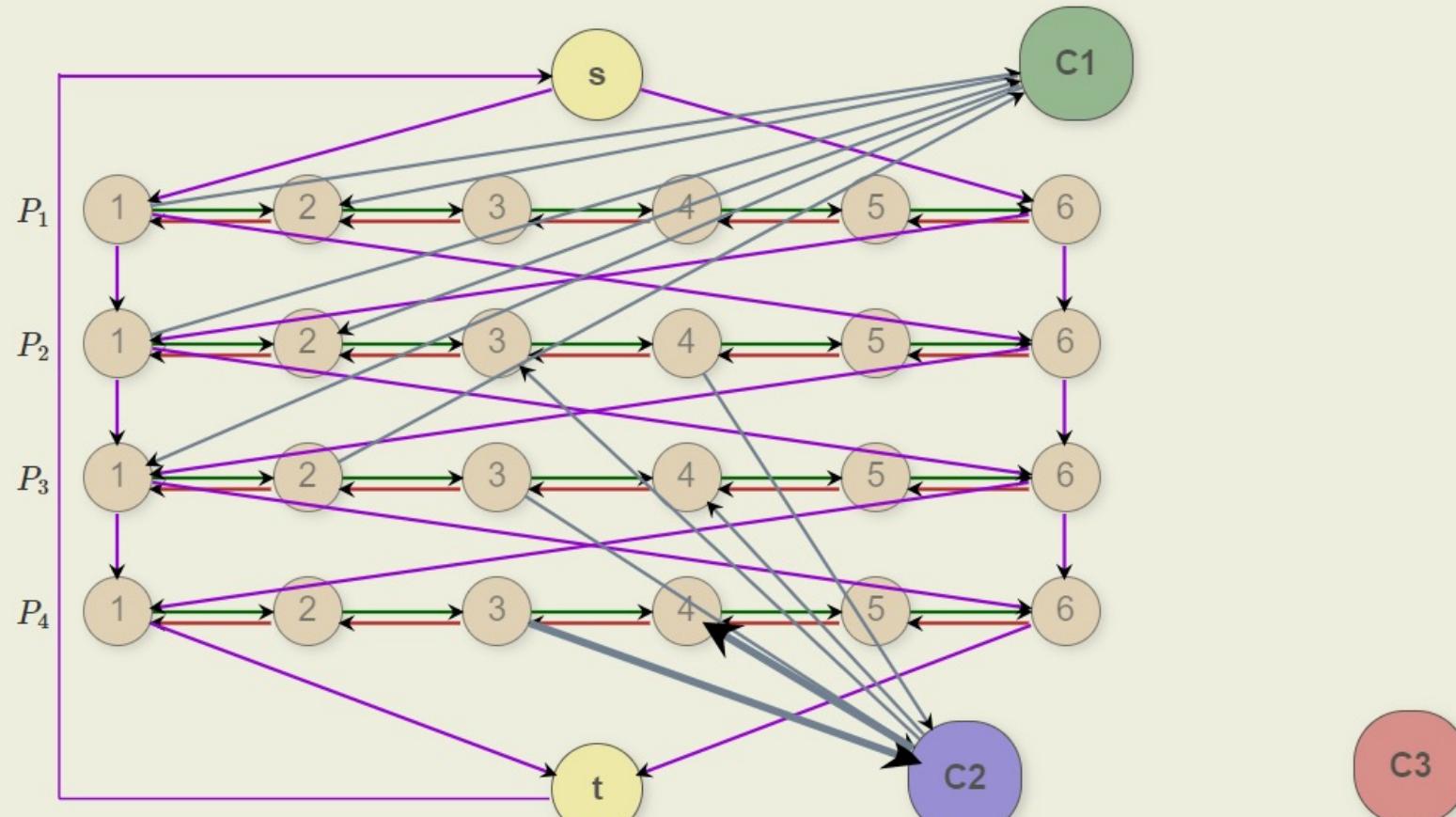
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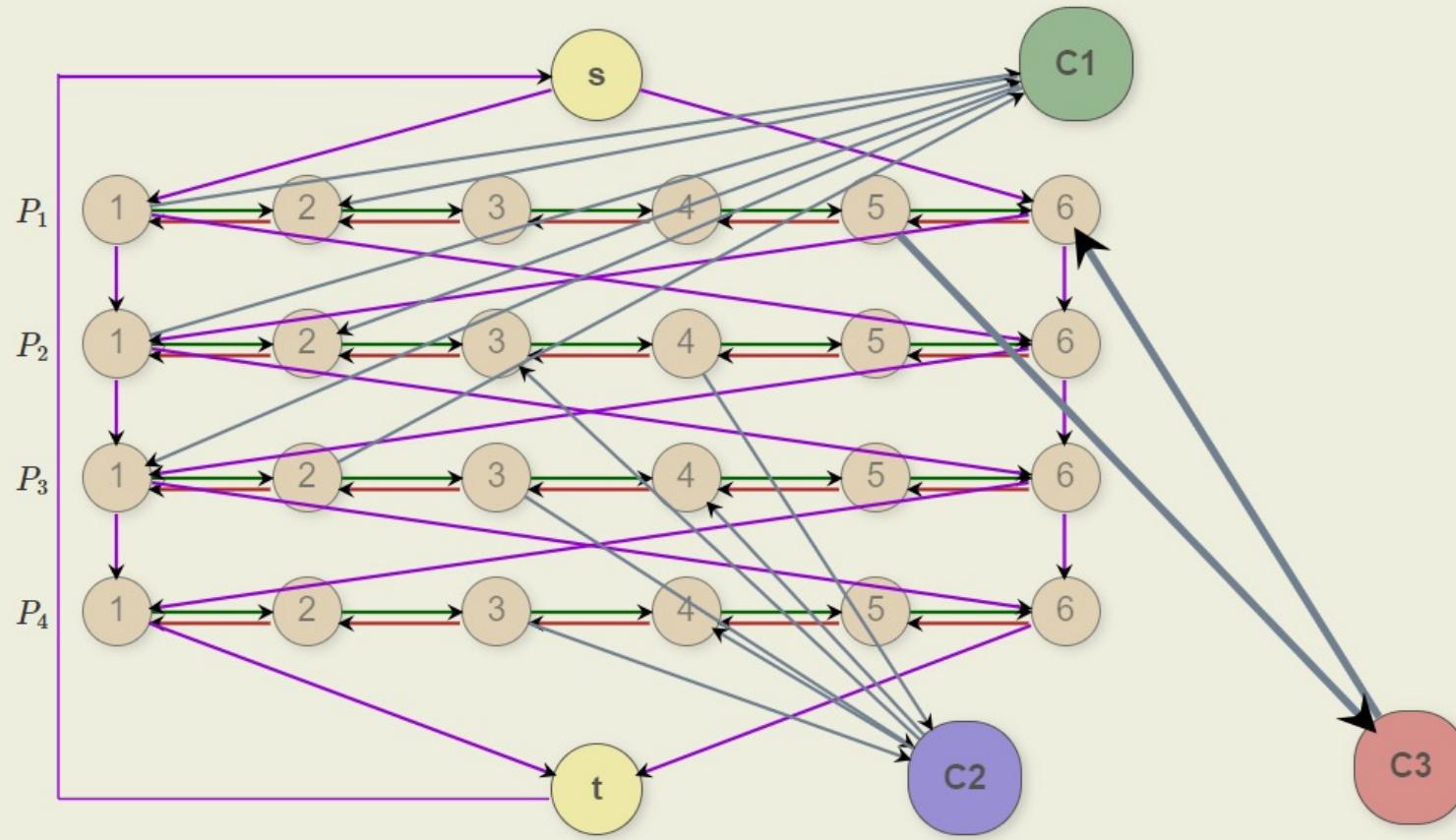
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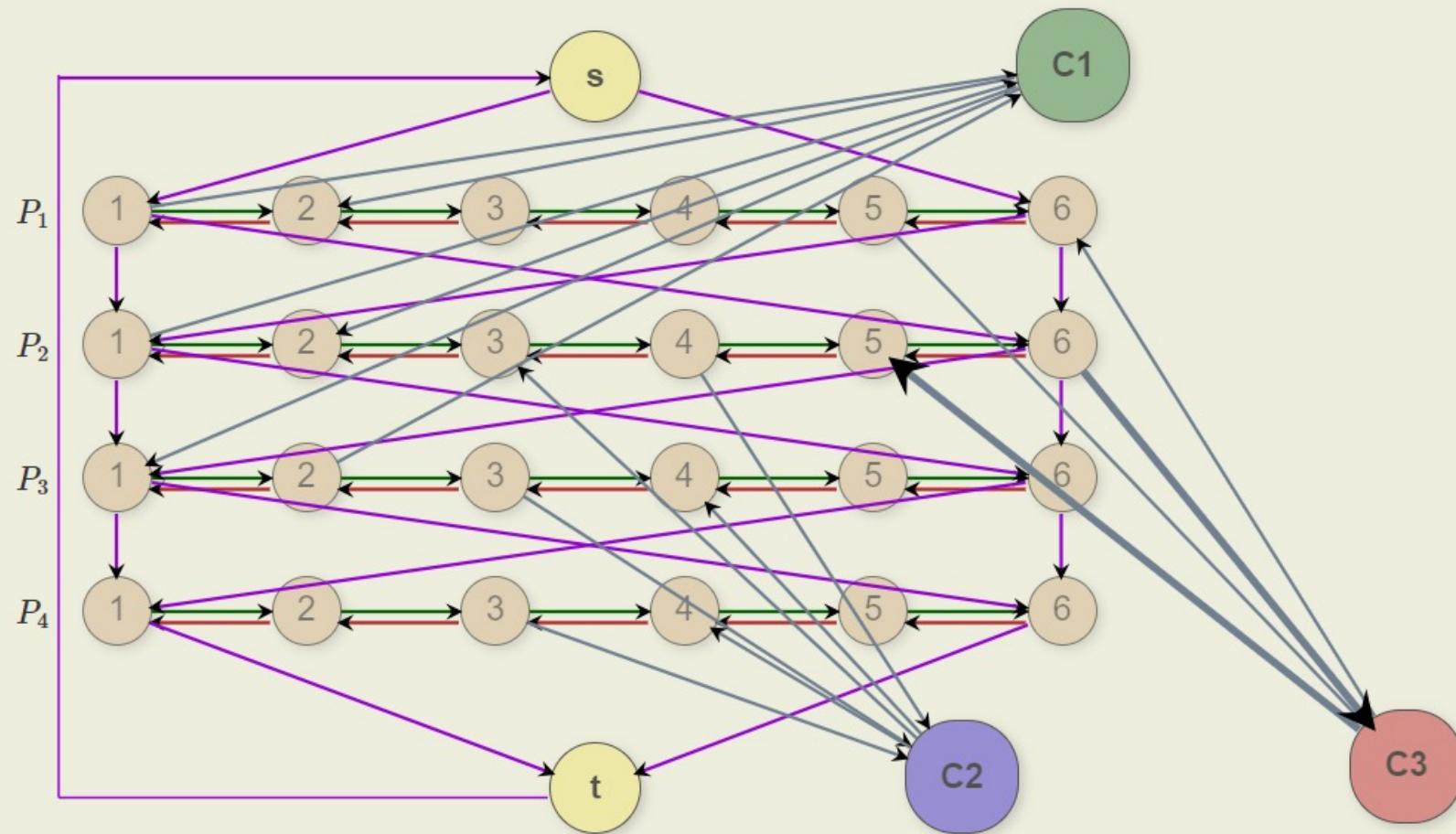
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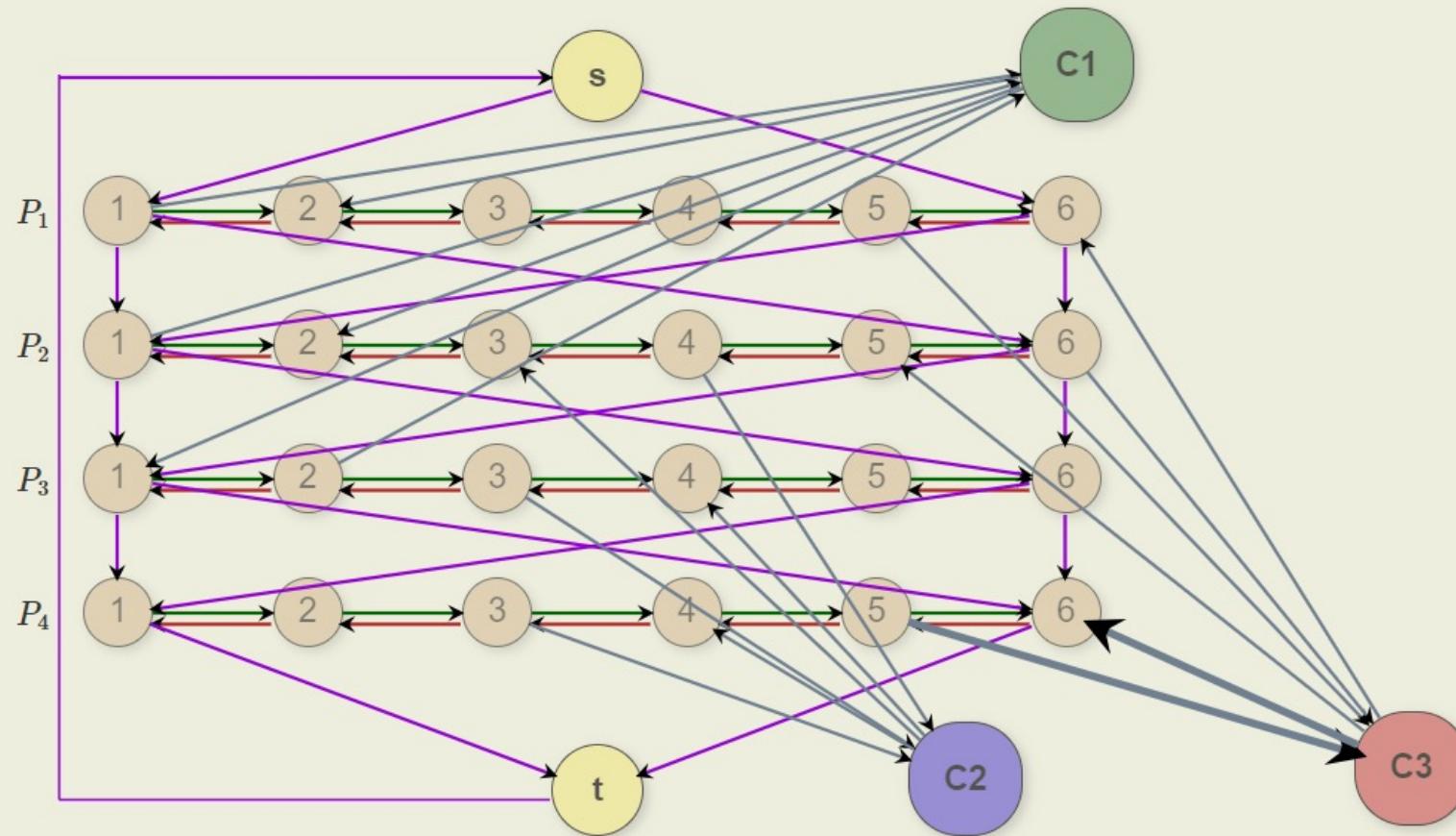
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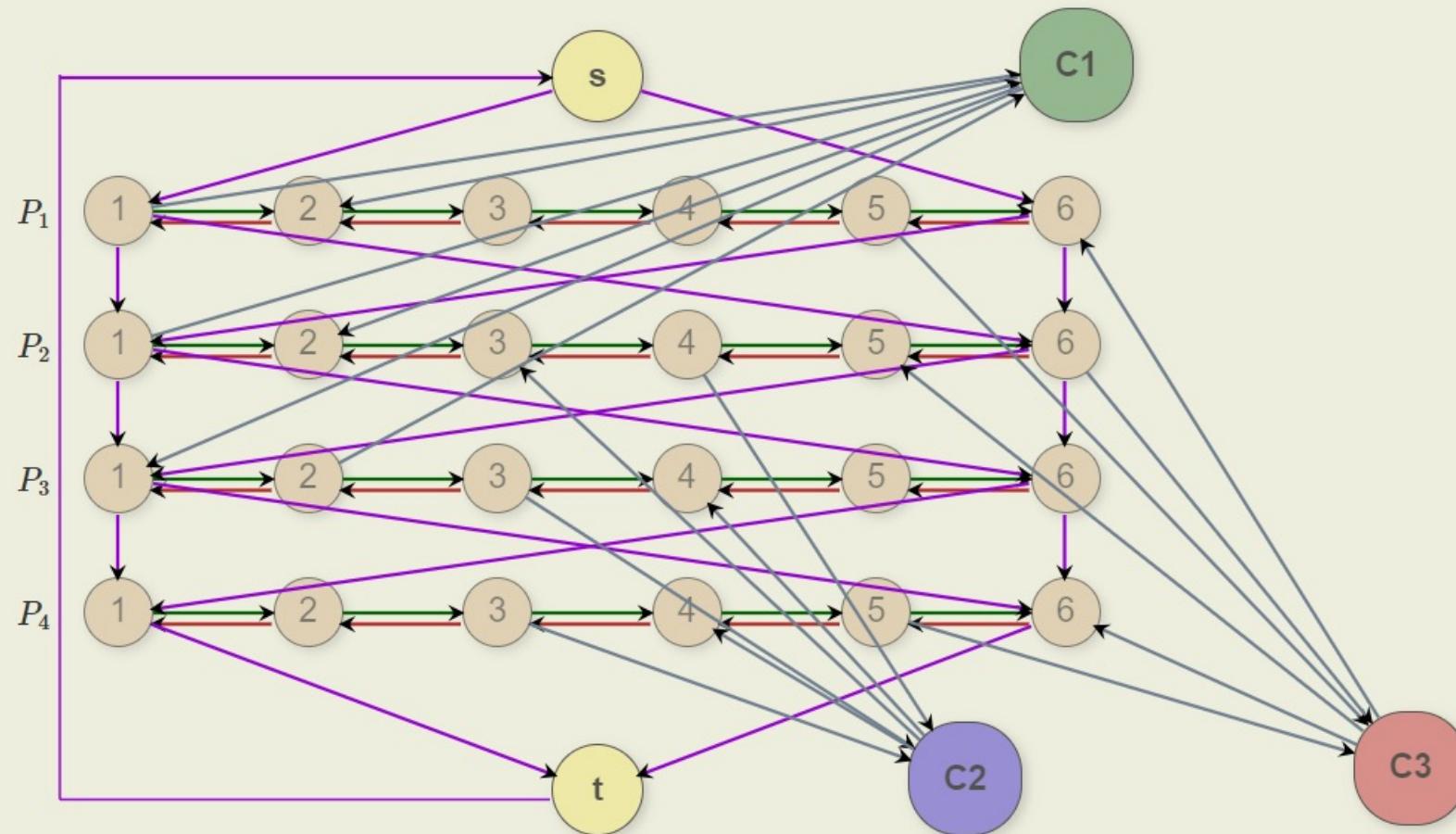
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## INSIGHTS ABOUT THE CONSTRUCTED GRAPH

1. Any Hamiltonian Cycle in the constructed graph ( $G$ ) traverses  $P_i$  either from right-to-left or left-to-right. This is because any path entering a node  $v_{i,j}$  has to exit from  $v_{i,j+1}$  either immediately or via one clause-node in between, in order to maintain Hamiltonian property  
Similarly all paths entering at  $v_{i,j-1}$  has to exit from  $v_{i,j}$ .

2. Since each path  $P_i$  can be traversed in 2 possible ways and we have  $n$  paths mapping to  $n$  variables, there can be  $2^n$  Hamiltonian cycles in the graph  $G - \{C_1, C_2 \dots C_k\}$ .  
Each one of this  $2^n$  Hamiltonian cycles corresponds to a particular assignment for variables  $x_1, x_2 \dots x_n$ .

**3. This graph can be constructed in polynomial time.**

**1. If there exists a Hamiltonian cycle  $H$  in the graph  $G$ ,**

If  $H$  traverses  $P_i$  from left to right, assign  $x_i = \text{True}$

If  $H$  traverses  $P_i$  from right to left, assign  $x_i = \text{False}$

Since  $H$  visits each clause node  $C_j$ , at least one of  $P_i$  was traversed in the right direction relative to the node  $C_j$

**The assignment obtained here satisfies the given 3 CNF.**

## 3-SAT AND HAMILTONIAN CYCLE

## 2. If there exists a satisfying assignment for the 3 CNF,

Select the path that traverses  $P_i$  from left-to-right if  $x_i = \text{True}$  or right-to-left if  $x_i = \text{False}$

Include the clauses in the path wherever possible.

Connect the source to  $P_1, P_n$  to target and  $P_i$  to  $P_{i+1}$  appropriately so as to maintain the continuity of the path

Connect the target to source to complete the cycle

Since the assignment is such that every clause is satisfied, all the clause-nodes are included in the path.

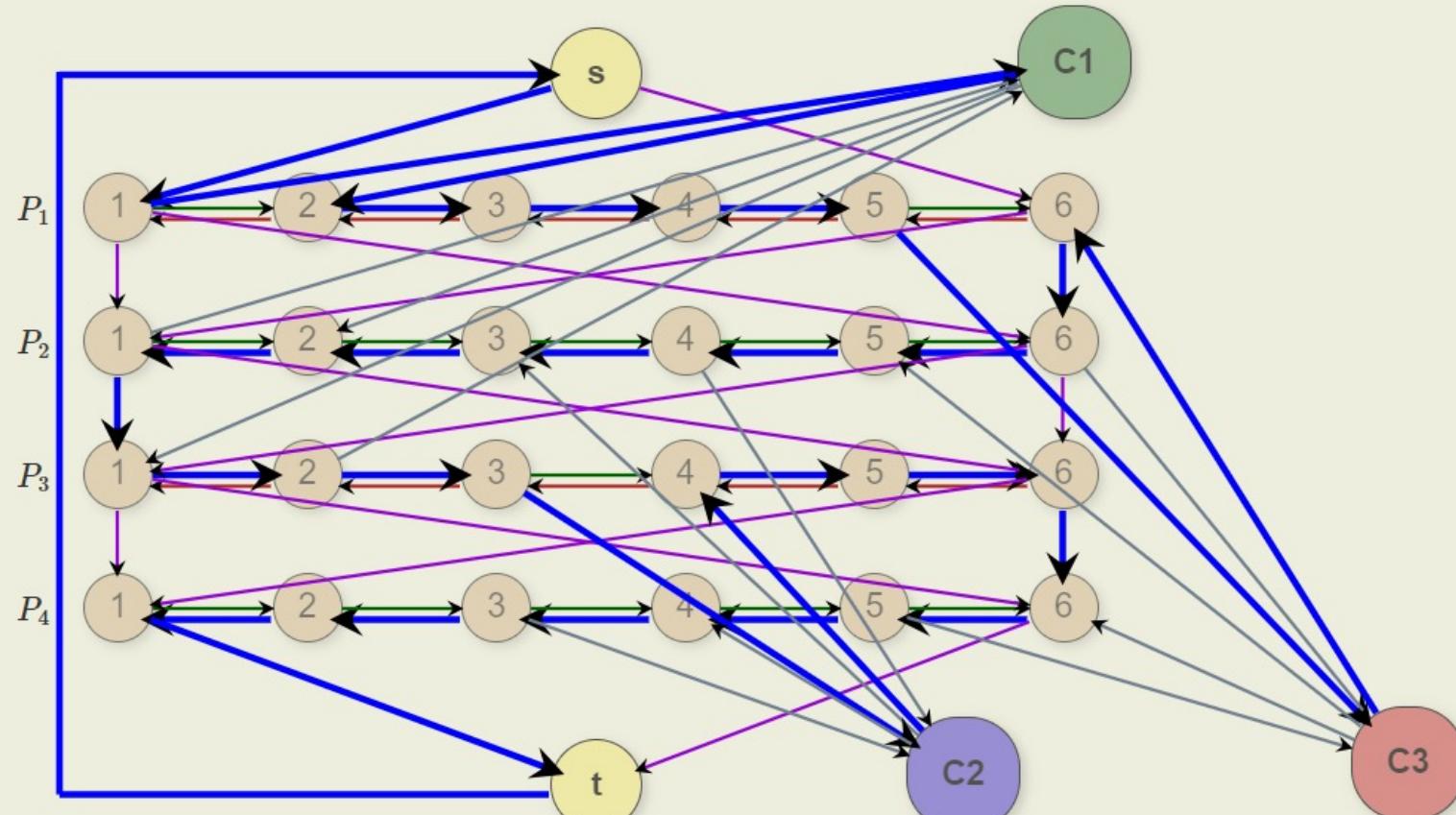
The  $P_i$  nodes and source and target are all included and since the path traverses unidirectional, no node is repeated twice

**The path obtained is a Hamiltonian Cycle**

# 3-SAT AND HAMILTONIAN CYCLE

## Hamiltonian Cycle in the constructed graph

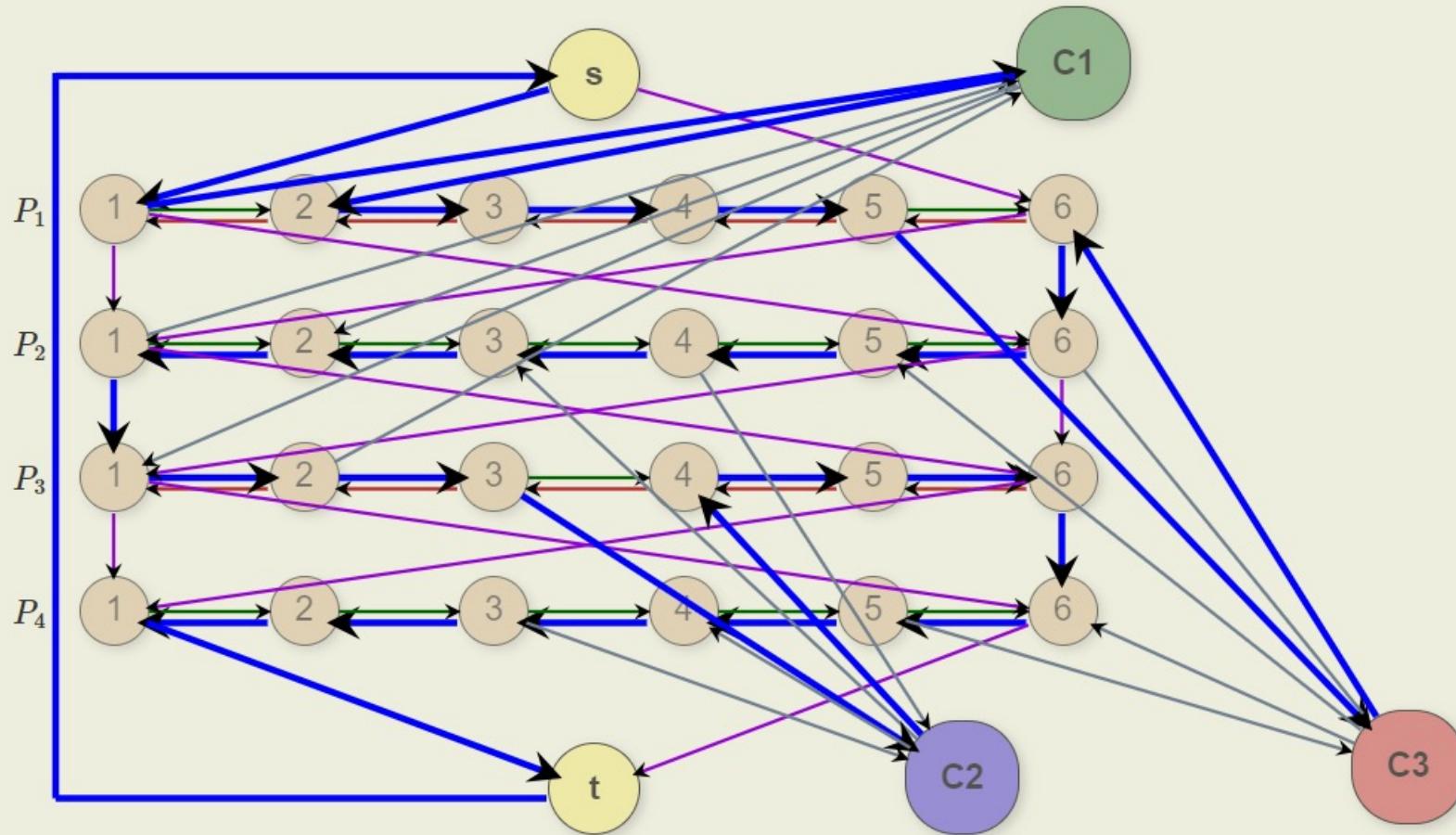
The graph  $G$  has a Hamiltonian cycle



## Assignment for 3-SAT

From the Hamiltonian cycle below the assignment is :

$x_1 = \text{True}$ ,  $x_2 = \text{False}$ ,  $x_3 = \text{True}$ ,  $x_4 = \text{False}$



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# REDUCTION TO HC TO TRAVELLING SALESMAN PROBLEM (TSP)

Given a complete graph with edge weights, determine the shortest tour that includes all of the vertices (visit each vertex exactly once, and get back to the starting point)

## REDUCTION TO HC TO TSP

To reduce the Hamiltonian Cycle Problem to the Traveling Salesman problem for a given graph  $G = (V, E)$ , complete the graph  $G$ , by adding edges between all pairs of vertices that were not connected in  $G$ .

Let the new graph be  $G' = (V', E')$  where  $V' = V$  and  $E' = \{(u, v)\}$  for any  $u, v \in V'$ .

For edges in  $G'$  that were also present in  $G$ , we assign a weight 0.

For other edges we assign weight 1

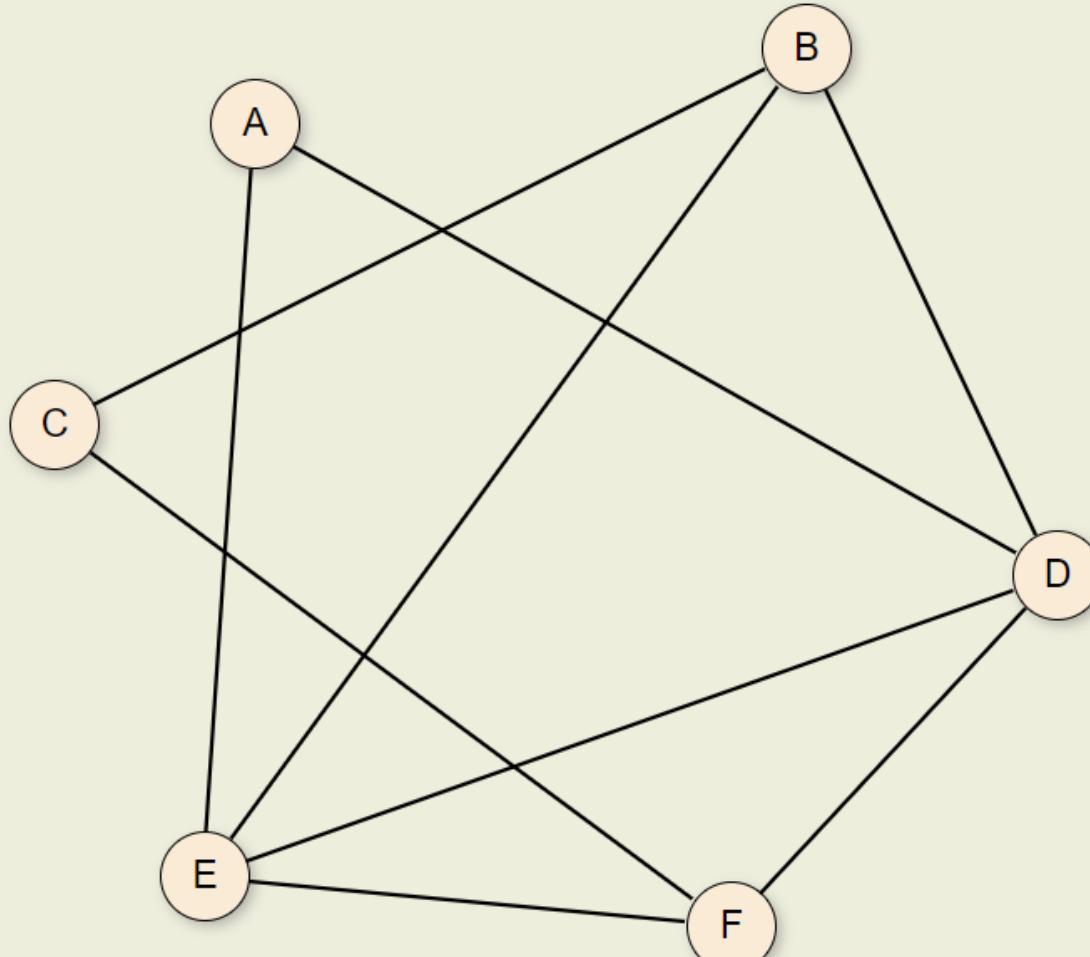
that is,  $\forall e = (u, v) \in E'$ ,

$$W(e) = 0, \text{ if } (u, v) \in E$$

$$W(e) = 1, \text{ if } (u, v) \notin E$$

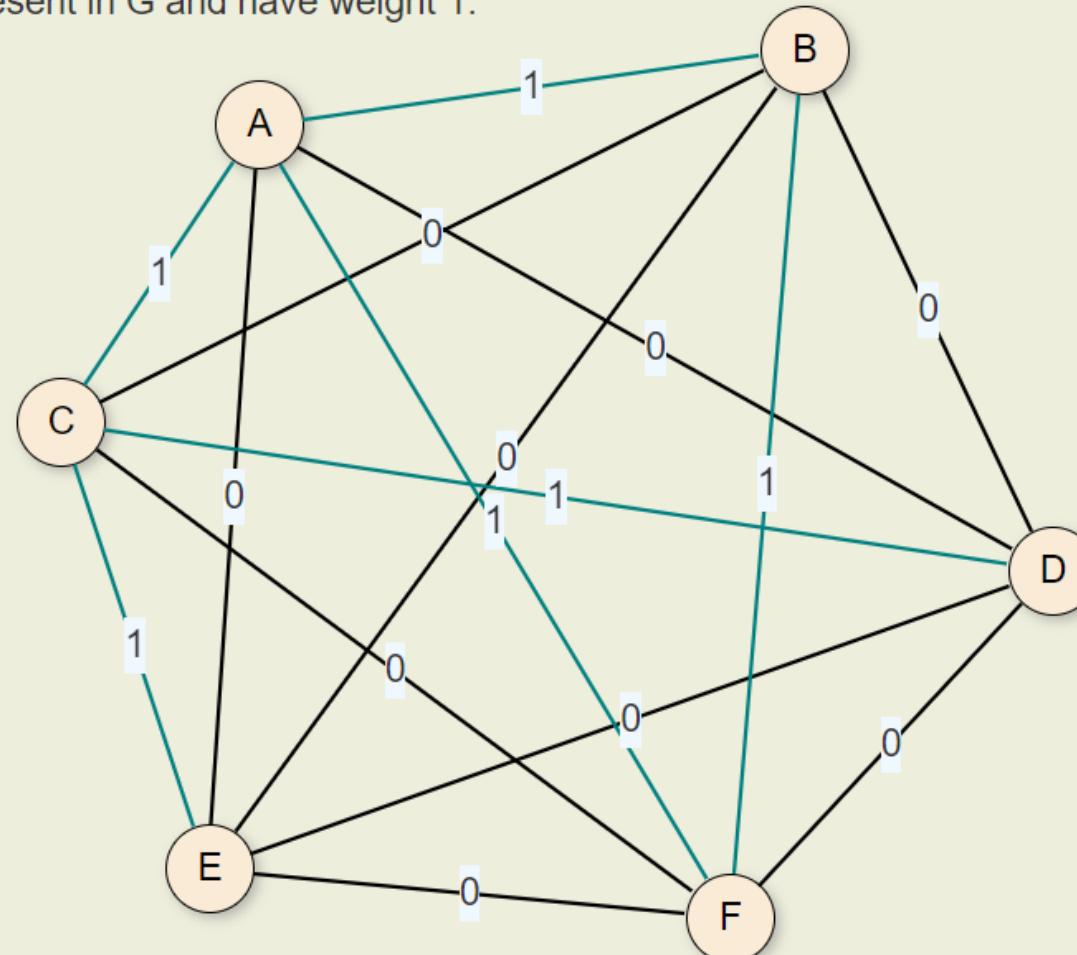
Note: Construction of the complimentary graph can be done in polynomial time

Let this graph  $G$  be an input to the Hamiltonian Cycle problem



The constructed graph  $G'$  is as below.

The blue edges were not present in  $G$  and have weight 1.



## **Hamiltonian Cycle problem reduced to an instance of Traveling Salesman Problem**

The graph  $G$  has a Hamiltonian Cycle if and only if there exists a cycle in  $G'$  passing through all vertices exactly once, and that has a length  $\leq 0$  (i.e. has a solution for the instance of Traveling Salesman Problem where  $k = 0$ )

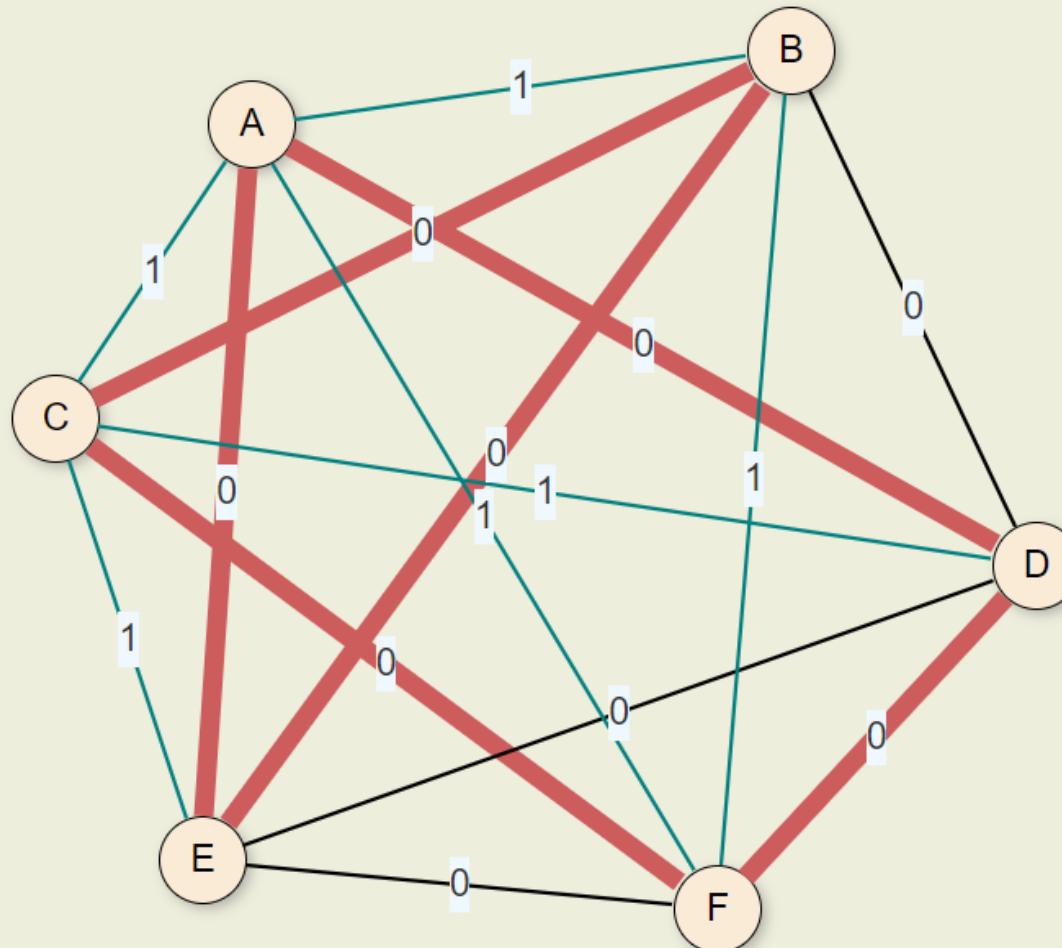
1. If there is a cycle that passes through all vertex exactly once, and has length  $\leq 0$  in graph  $G'$ , the cycle contains only edges that were originally present in graph  $G$ . (The new edges in  $G'$  have weight 1 and hence can not be part of a cycle of length  $\leq 0$ .

Hence there exist a Hamiltonian cycle in  $G$

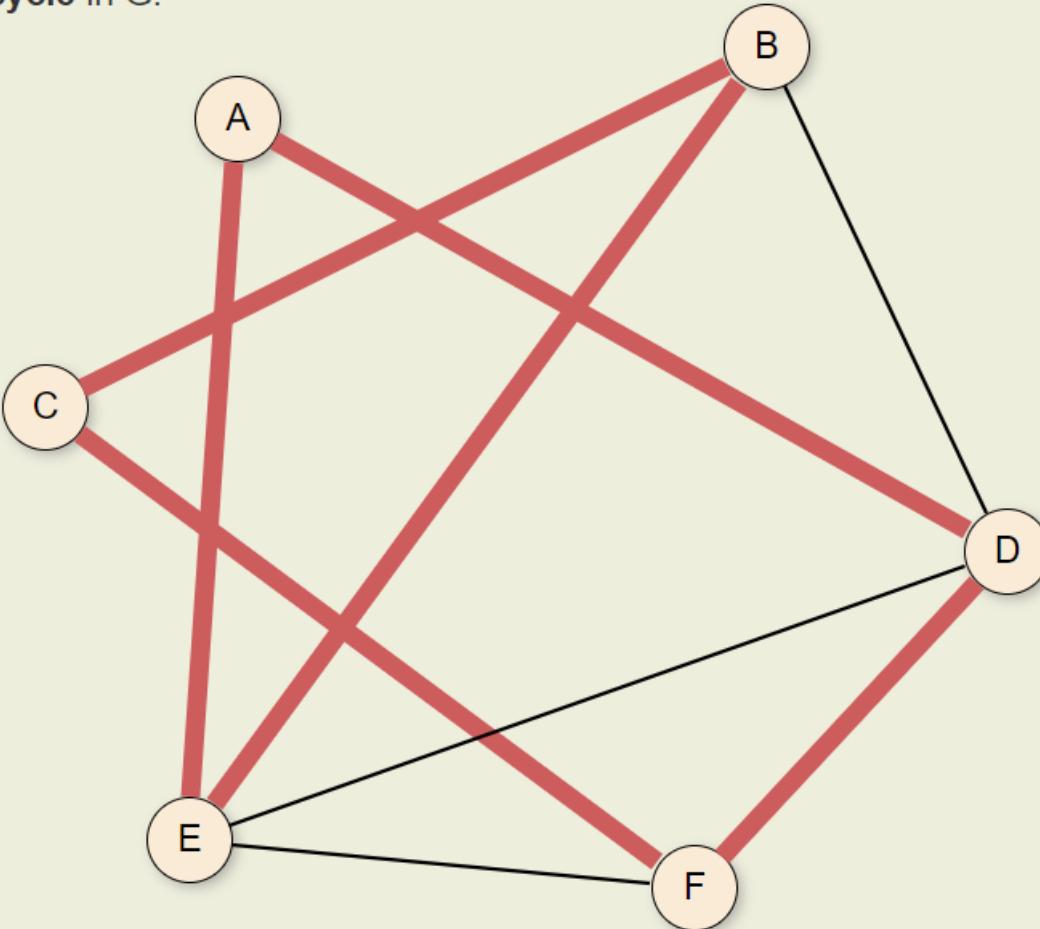
2. If there exists a Hamiltonian Cycle in the graph  $G$ , it forms a cycle in  $G'$  with length = 0, since all weights of all the edges is 0.

Hence there exists a solution for Traveling Salesman Problem in  $G'$  with length  $\leq 0$

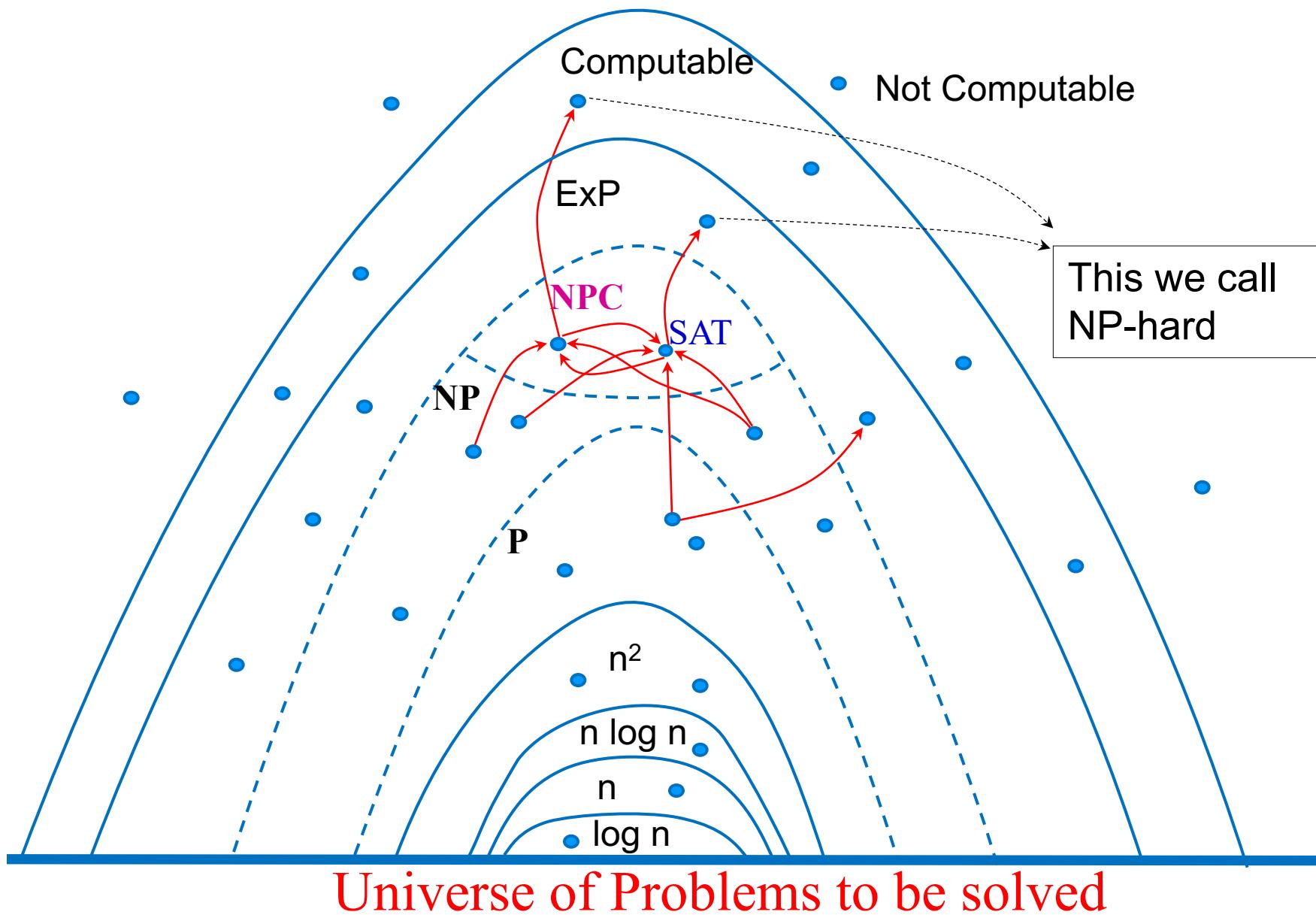
$G'$  has a cycle passing through all vertices exactly once with length  $\leq 0$



$G'$  has a cycle passing through all vertices exactly once with length  $\leq 0$   
This cycle is a **Hamiltonian cycle** in  $G$ .



# Complexity Structure



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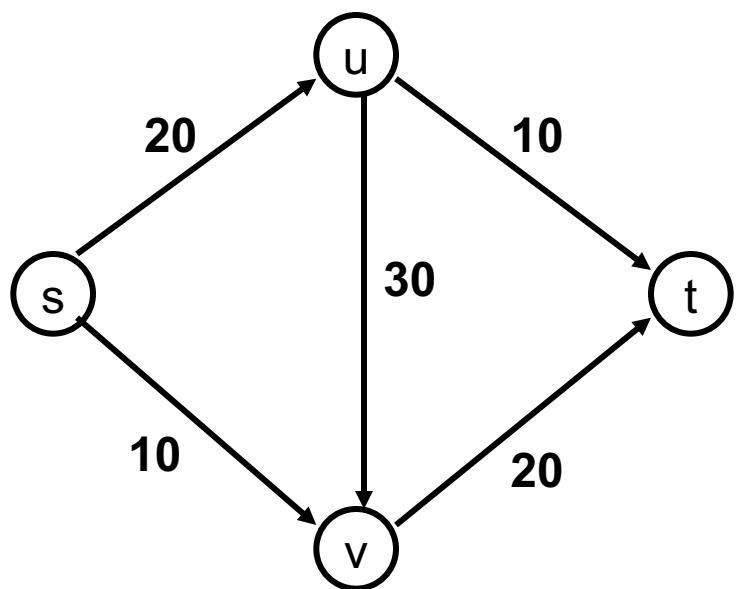
# FLOW NETWORK

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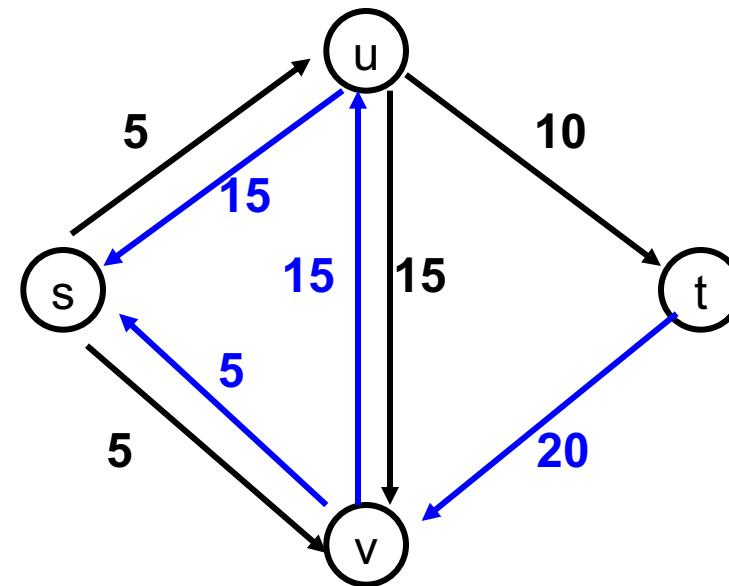
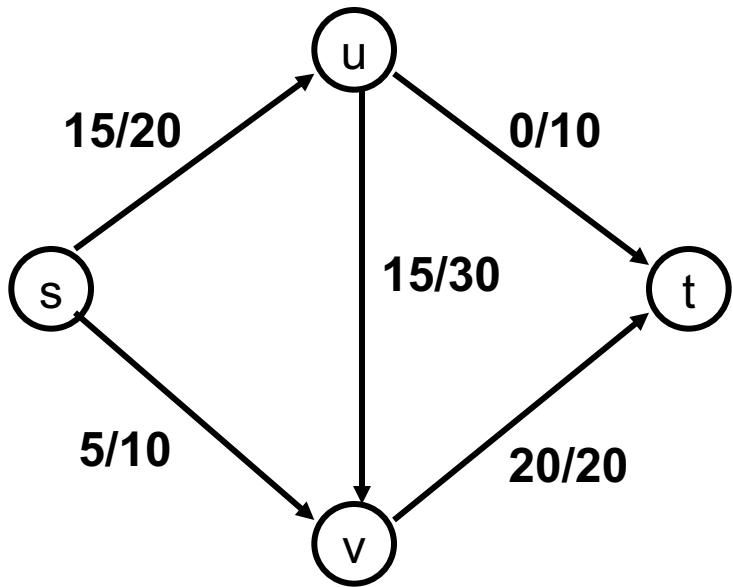
# Network Flow Problems

- Weighted, digraph  $G$ , or network
- May have cost per unit flow for edges
- May have maximum flow per edge
- May have max production rates
- May have required consumption rate per sink

## FLOW EXAMPLE



# FLOW ASSIGNMENT AND THE RESIDUAL GRAPH



# NETWORK FLOW DEFINITIONS

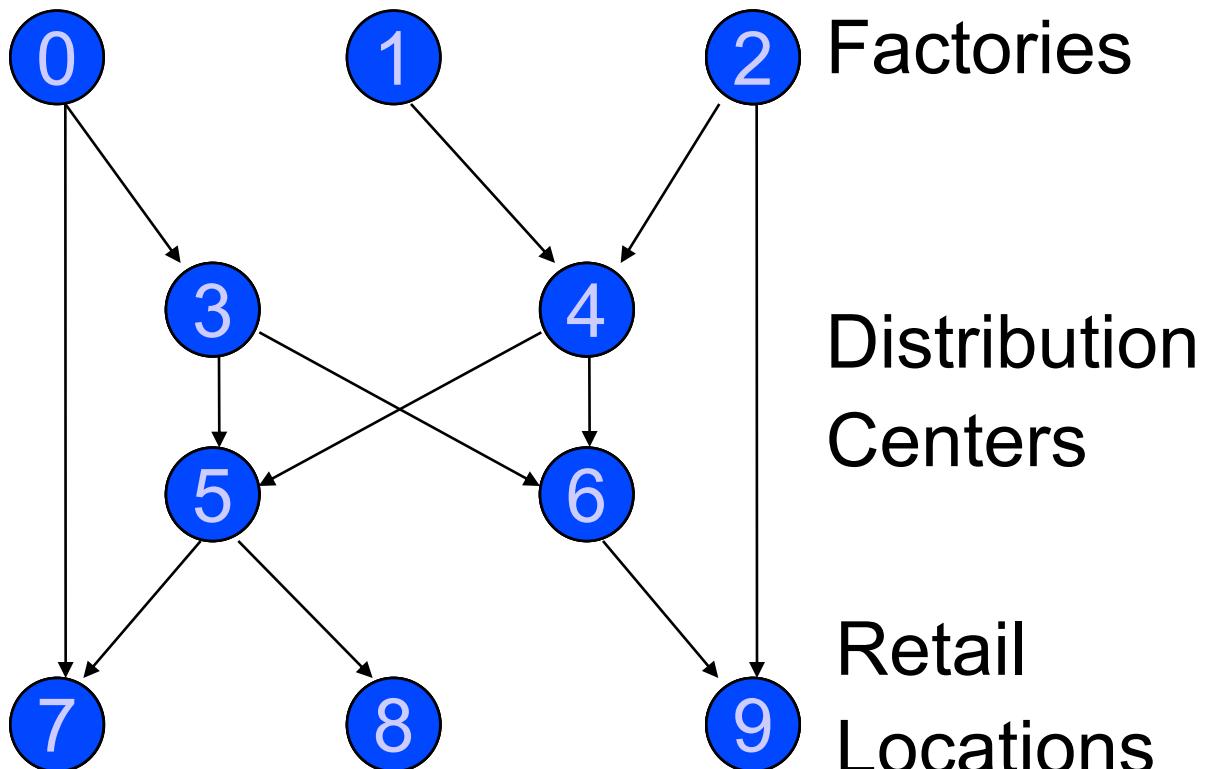
- Flowgraph: Directed graph with distinguished vertices  $s$  (source) and  $t$  (sink)
- Capacities on the edges,  $c(e) \geq 0$
- Problem, assign flows  $f(e)$  to the edges such that:
  - $0 \leq f(e) \leq c(e)$
  - Flow is conserved at vertices other than  $s$  and  $t$ 
    - Flow conservation: flow going into a vertex equals the flow going out
  - The flow leaving the source is as large as possible

---

# Distribution Problems

- Merchandise distribution
  - Sources with production rates
  - Sinks with consumption rates
  - Distribution centers
    - Input rate = output rate
  - Channels with maximum rate and unit cost for distribution

# Merchandise distribution



Factories

Distribution  
Centers

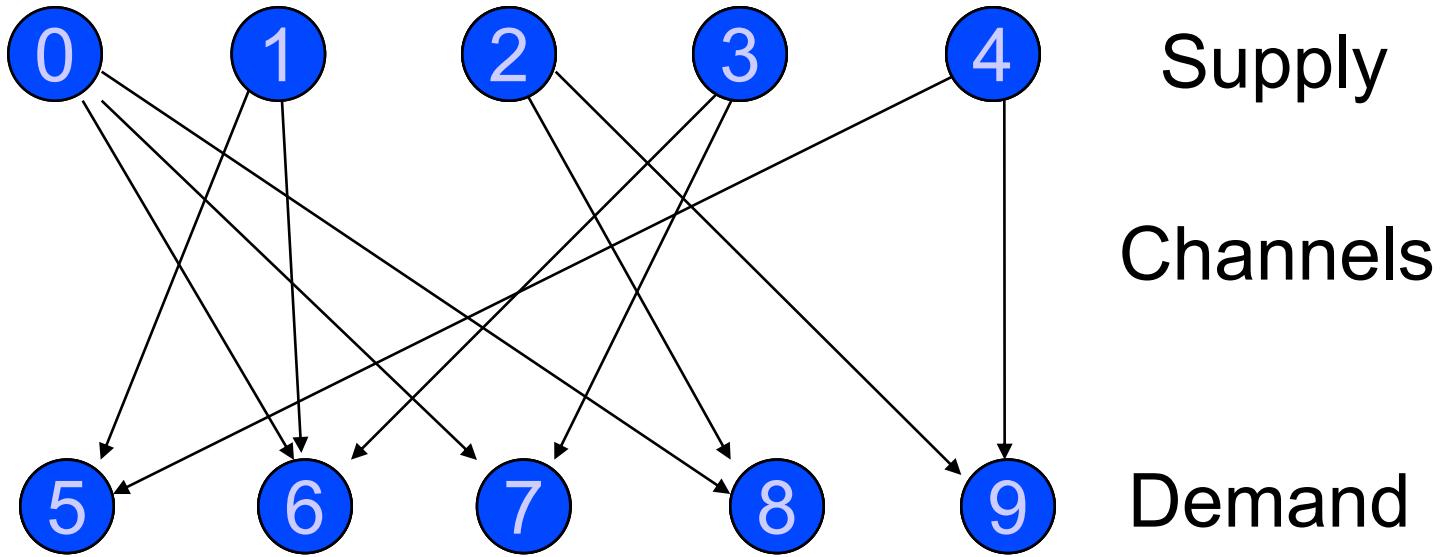
Retail  
Locations

Get product to retail locations cheaply

# Transportation Problems

- Communications
  - Max total data rate between a source and sink
  - Cheapest way to move a given amount of data from s to t
- Traffic flow
  - Minimize evacuation time
  - Minimize total cost

# Transportation Problem



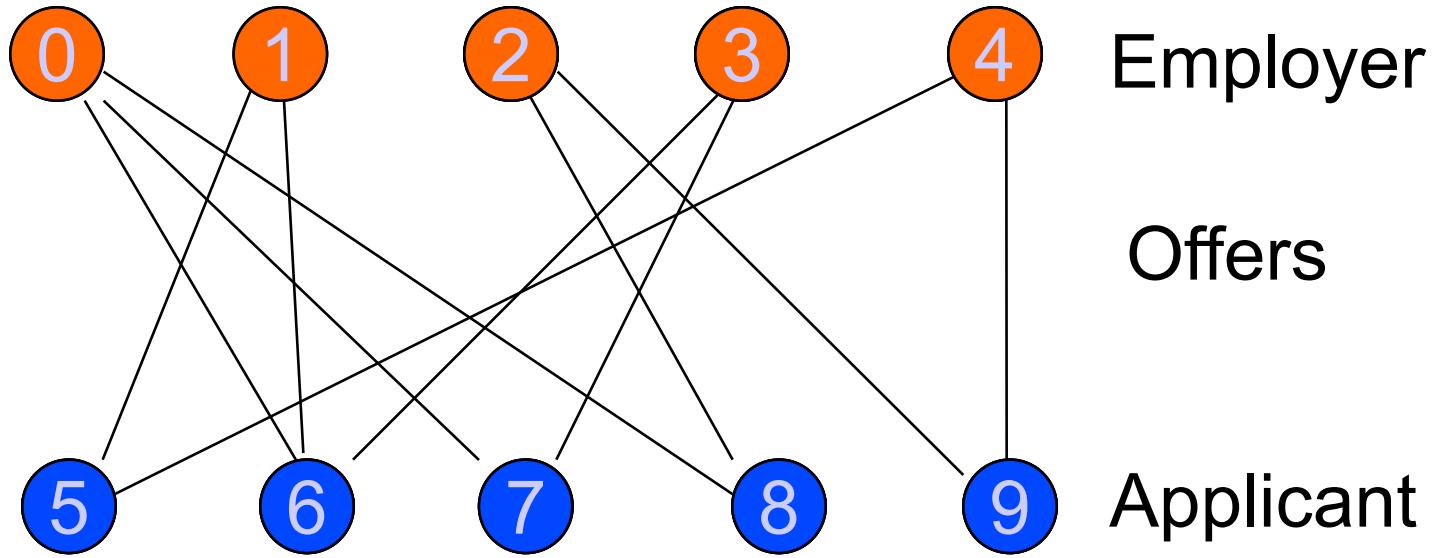
No channel capacity restrictions  
Get product to retail locations cheaply

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# Matching Problems

- Job placement
  - Interviews + job offers
  - Maximize number of placements
- Min-distance point matching
  - Two sets A and B of N points each
  - Find set of N segments matching an element from A with one from B that has lowest cost

# Matching Problem

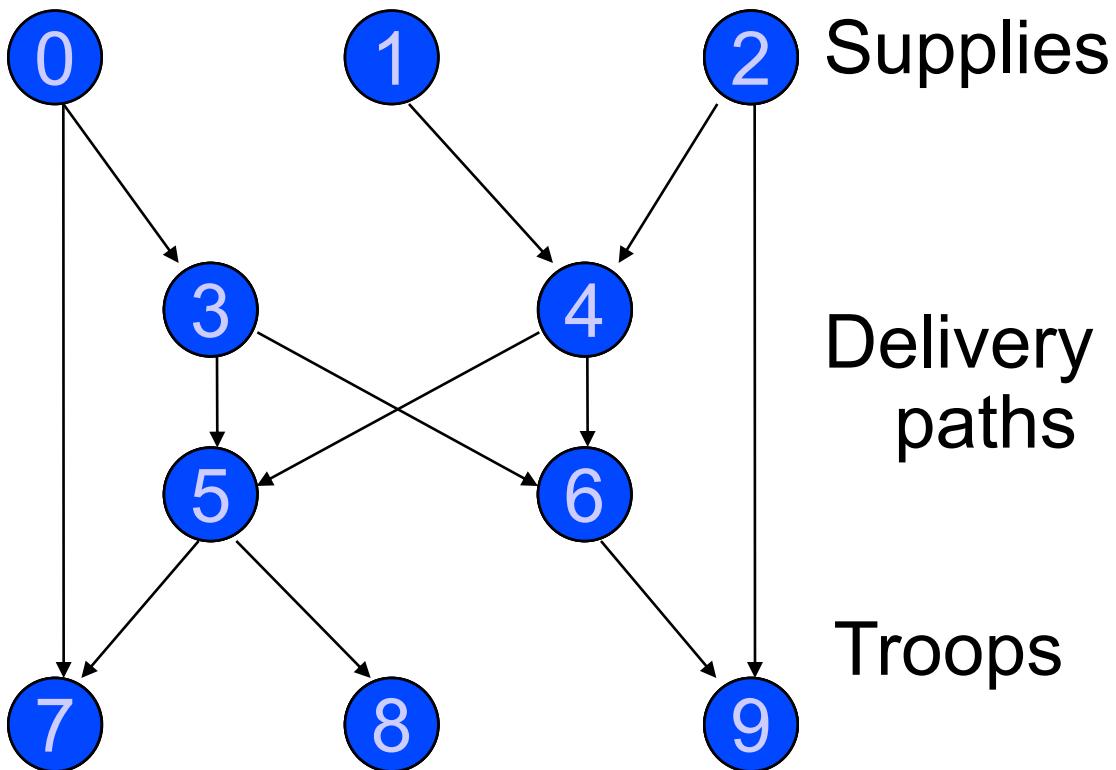


Maximize placements (matching)

# Cut Problems

- Network reliability
  - What is minimum number of lines that must be cut to disconnect two switches?
- Supply line cutting
  - What is the minimum supply line destruction required to ensure no troops get supplies?

# Cut Problem



How few edges must be cut to disrupt delivery  
May have edge weights also

---

# Network Flow Problems

- Generic problems
- Maxflow
  - What is maximum flow between s and t?
- Mincost-flow
  - What is cheapest cost way to achieve a particular flow?

# Network Flow

- **Flow Networks**
  - Maxflow Algorithms
  - Maxflow Reductions
  - Mincost Flows
  - Network Simplex Algorithm

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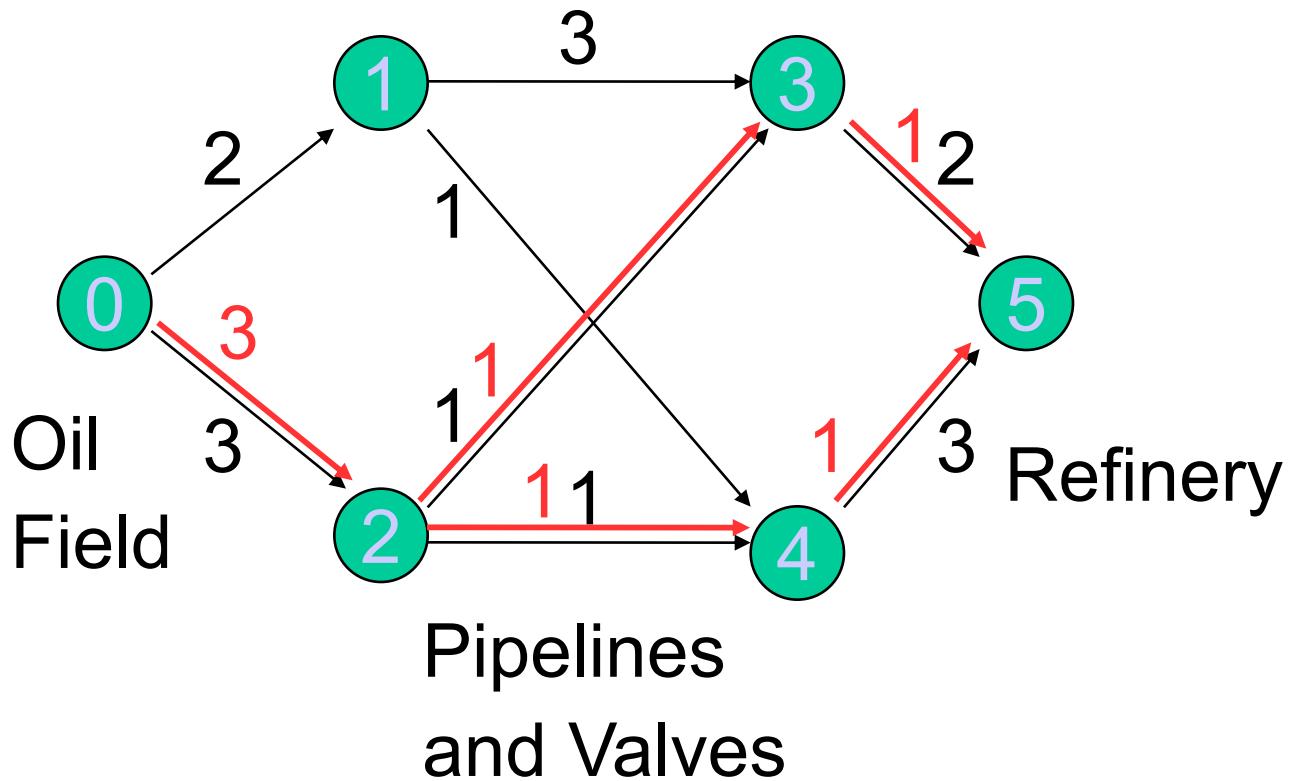
# Flow Networks

- A network with a single source and a single sink is an s-t network

# Flow Networks

- A flow network is an s-t network with positive edge weights, called capacities.
- A flow in a flow network is a set of non-negative edge weights called edge flows satisfying:
  - No edge flow exceeds capacity
  - In flow = out flow for interior nodes

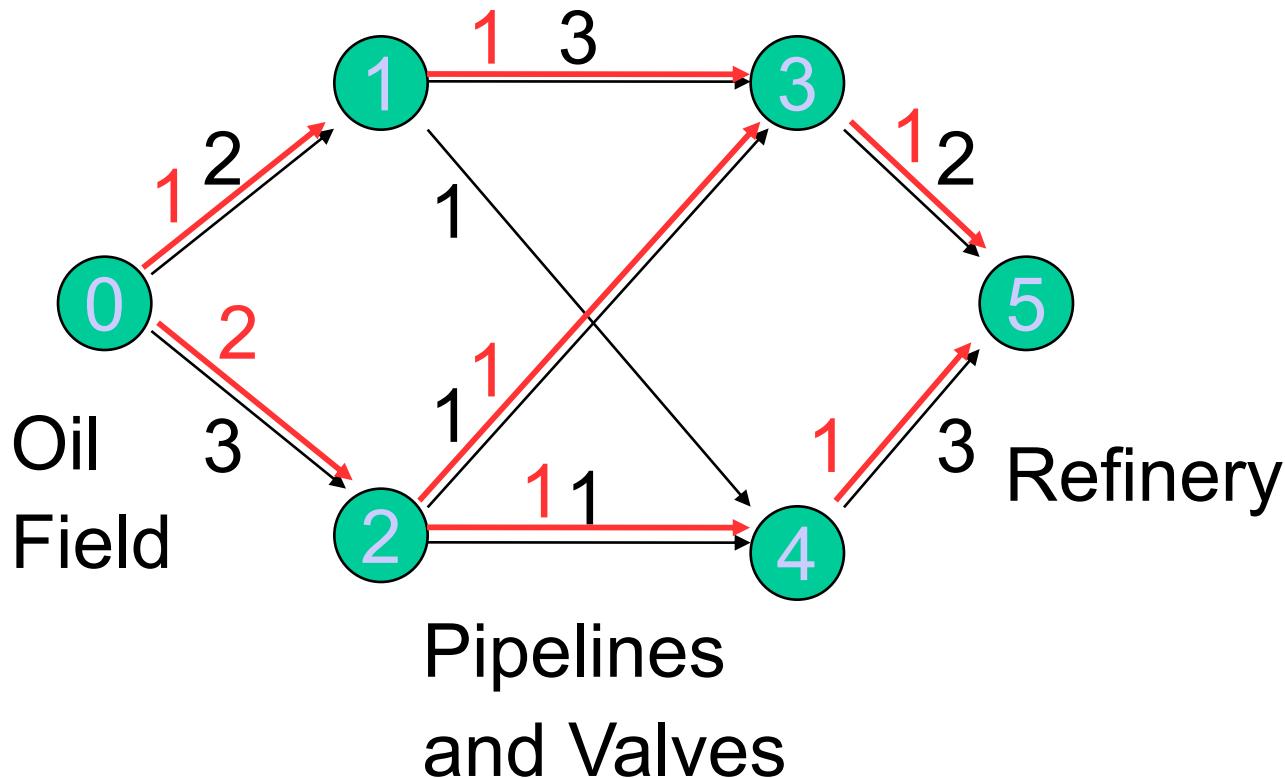
# Flow Network



Maximize flow subject to capacity  
and conservation of flow

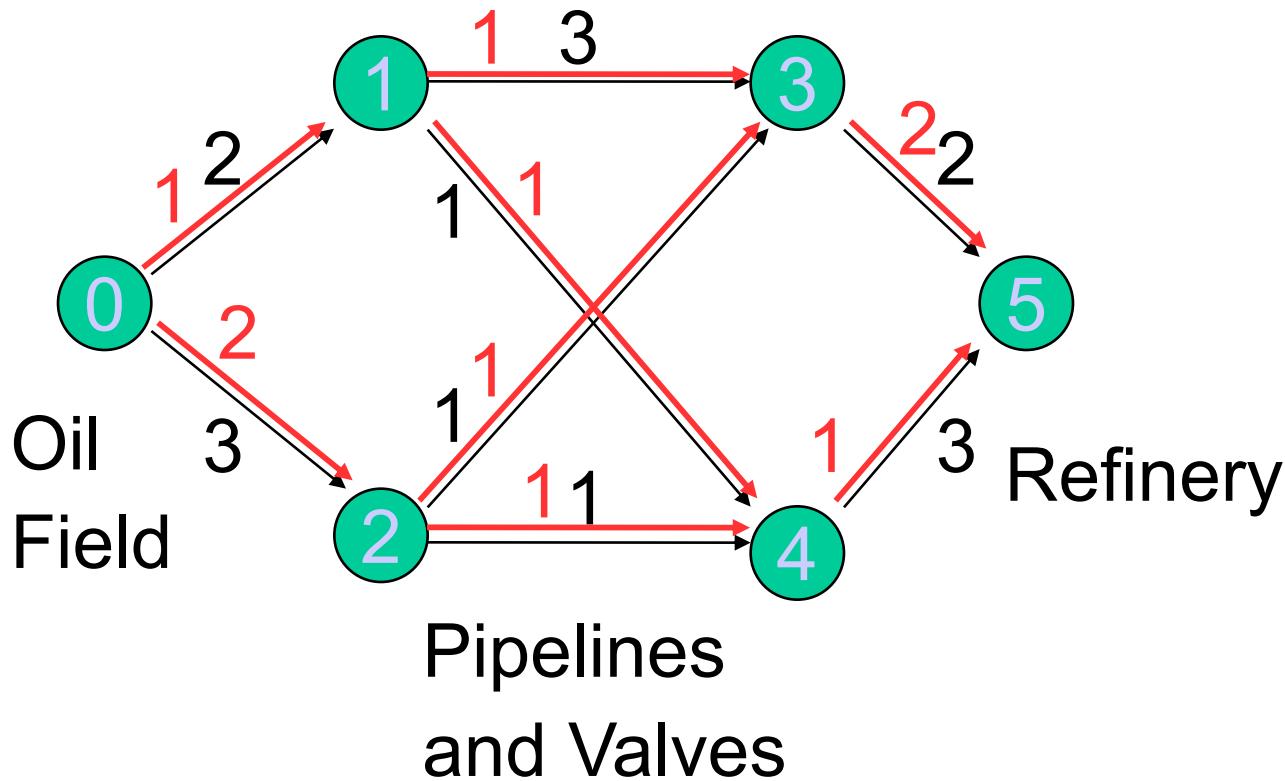
Is this OK?

# Flow Network



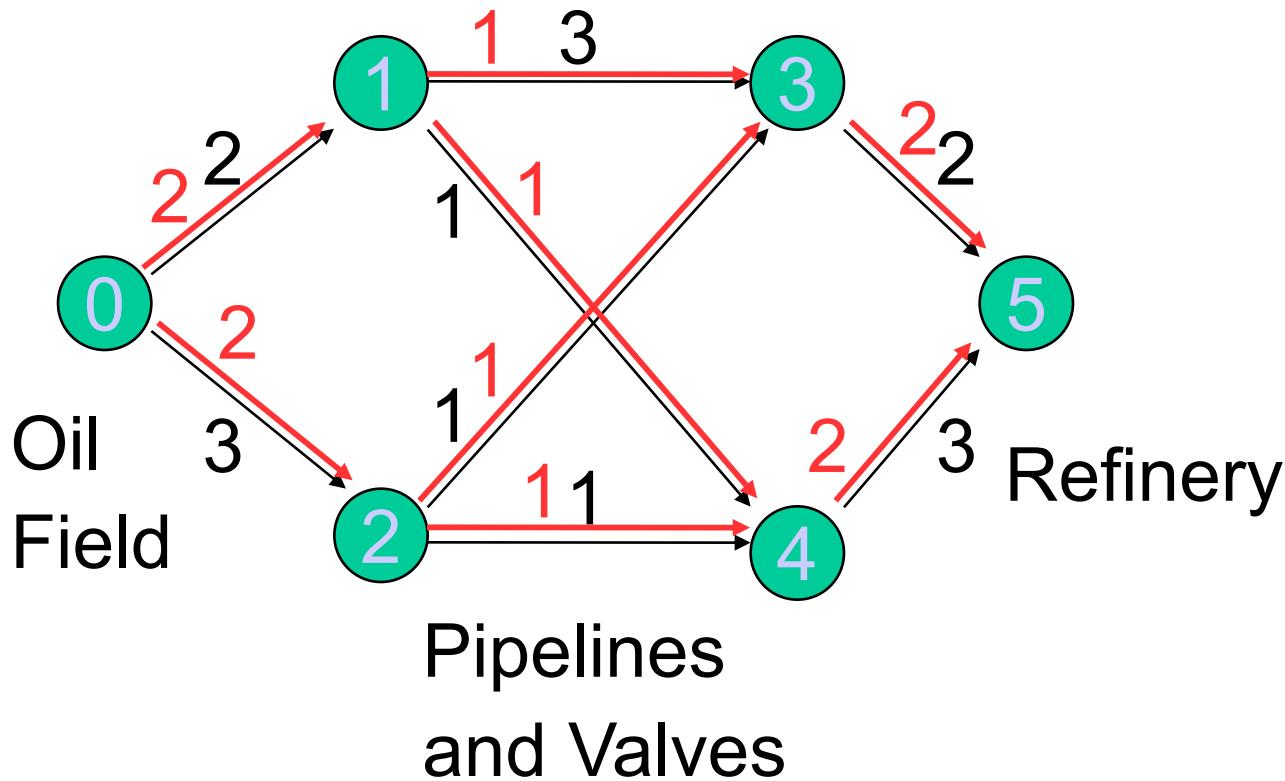
Can we do better?

# Flow Network



Are we done?  
Is this OK?

# Flow Network



Now are we done?

Yep

# Flow Network

- Sum of flows into a node is called *inflow*
- Sum of flows out of a node is called *outflow*
- *Conservation of flow*: except for source and sink, inflow = outflow
- *Feasible* flow = obeys constraints (max flow and conservation of flow)

# Flow Network

- Set outflow from sink to zero
- Set inflow to source to zero
- Outflow of source = inflow of sink
- This is called network's *value*

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# Maximum Flow

- Given an s-t network, find a flow such that no other flow from s to t has a larger value.
- A flow like this is called a *maxflow*.
- Problem of finding one is called the *maxflow problem*.