

# DESIGN AND ANALYSIS OF ALGORITHMS (DAA)

- QUICK SORT
- MATRIX MULTIPLICATION
- CLOSEST PAIR OF POINTS

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# COMPARISON SORTING

## ● Assumptions

1. No knowledge of the keys or numbers we are sorting on.
2. Each key supports a comparison interface or operator.
3. Sorting entire records, as opposed to numbers, is an implementation detail.
4. Each key is unique (just for convenience).

## COMPARISON SORTING

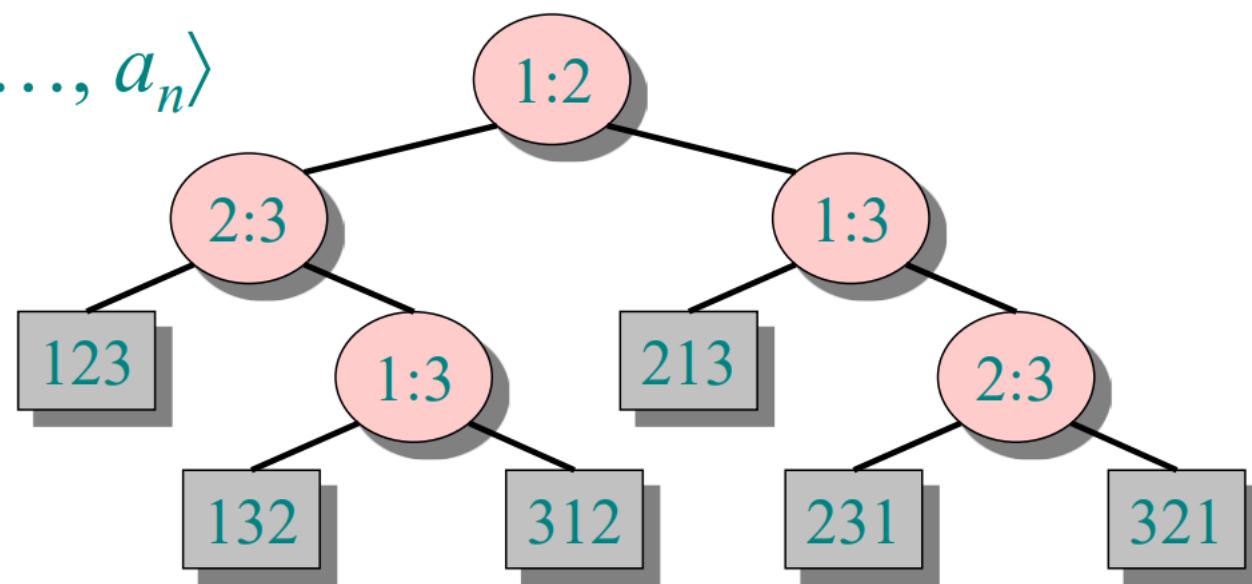
- Given a set of  $n$  values, there can be  $n!$  permutations of these values.
- So if we look at the behavior of the sorting algorithm over all possible  $n!$  inputs we can determine the worst-case complexity of the algorithm.

# DECISION TREE MODEL

- Decision tree model
  - Full binary tree
    - A **proper binary tree** (or **2-tree**) is a tree in which every node other than the leaves has two children
  - Internal node represents a comparison.
    - Ignore control, movement, and all other operations, just see comparison
  - Each leaf represents one possible result (a permutation of the elements in sorted order).
  - The height of the tree (i.e., longest path) is the lower bound.

## DECISION-TREE EXAMPLE

Sort  $\langle a_1, a_2, \dots, a_n \rangle$

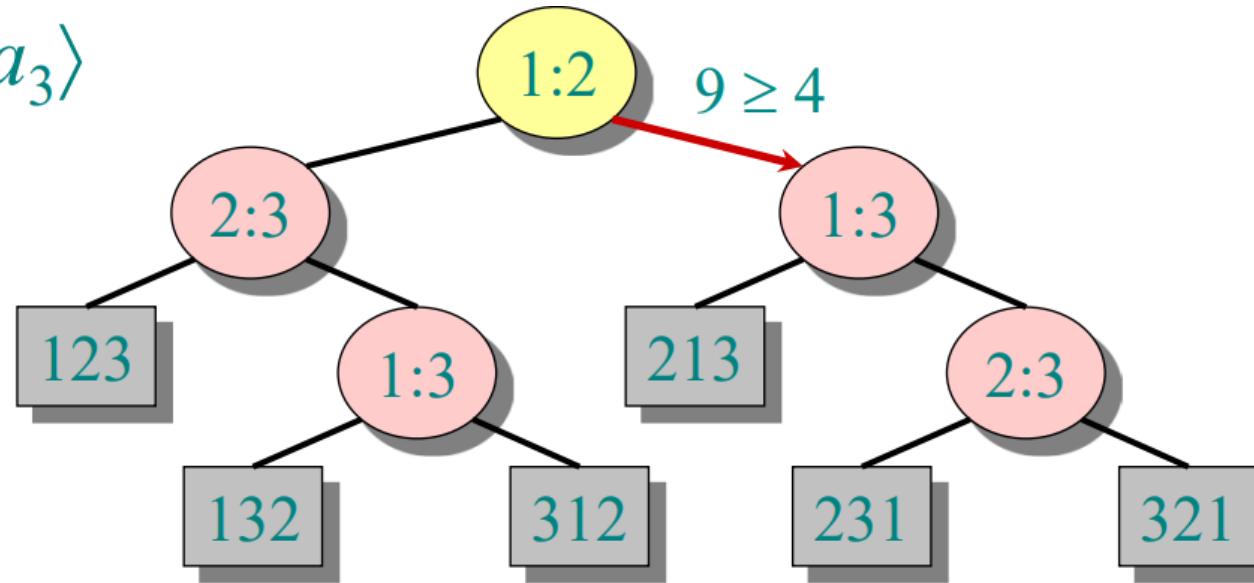


Each internal node is labeled  $i:j$  for  $i, j \in \{1, 2, \dots, n\}$ .

- The left subtree shows subsequent comparisons if  $a_i \leq a_j$ .
- The right subtree shows subsequent comparisons if  $a_i \geq a_j$ .

## DECISION-TREE EXAMPLE

Sort  $\langle a_1, a_2, a_3 \rangle$   
 $= \langle 9, 4, 6 \rangle$ :

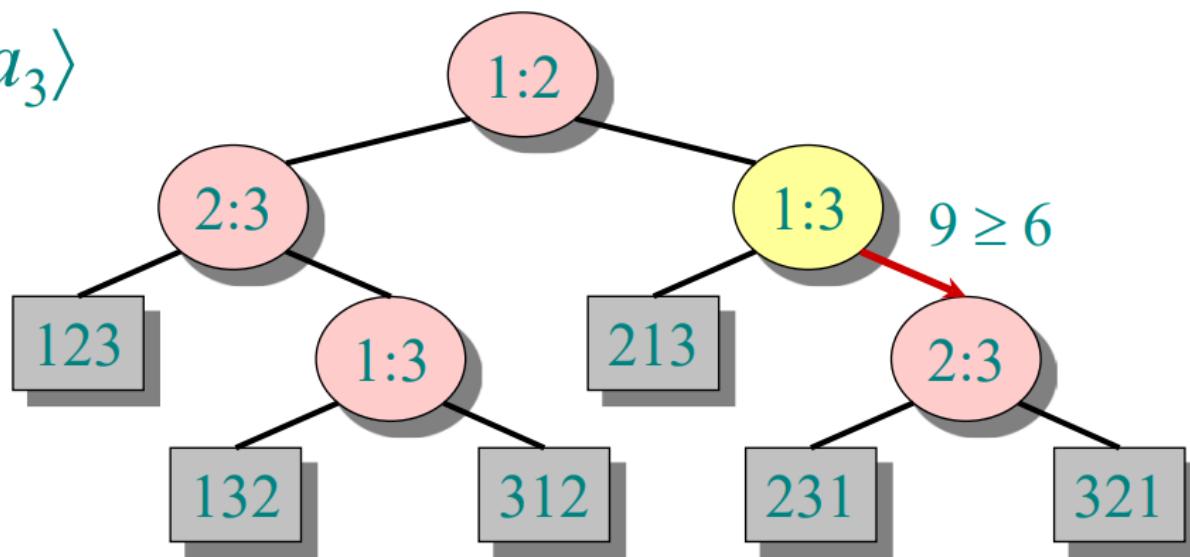


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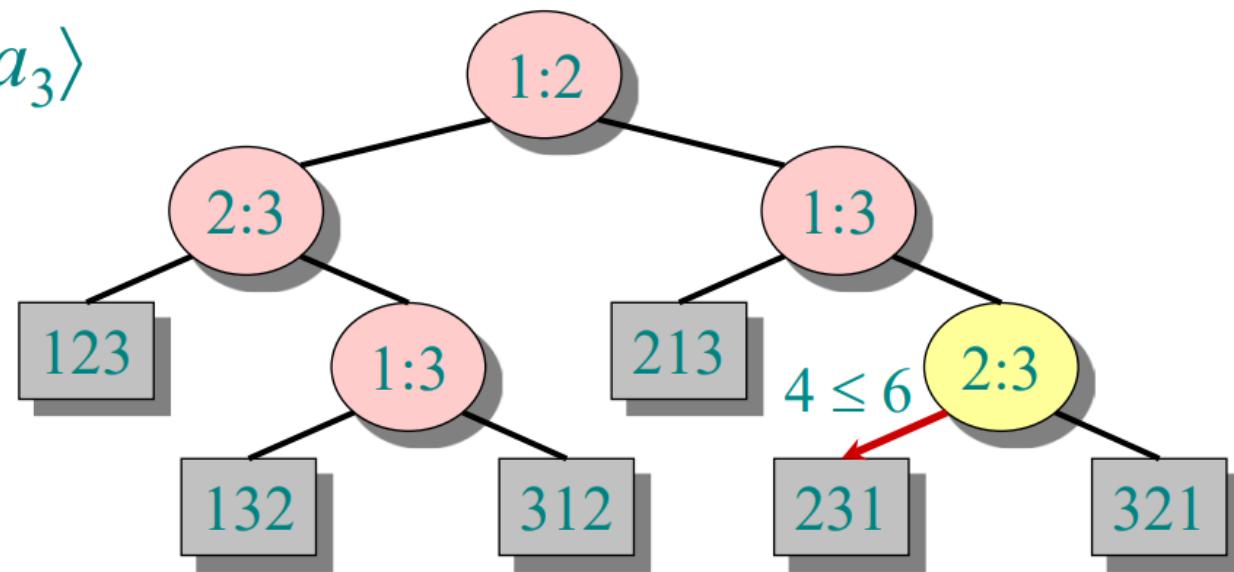


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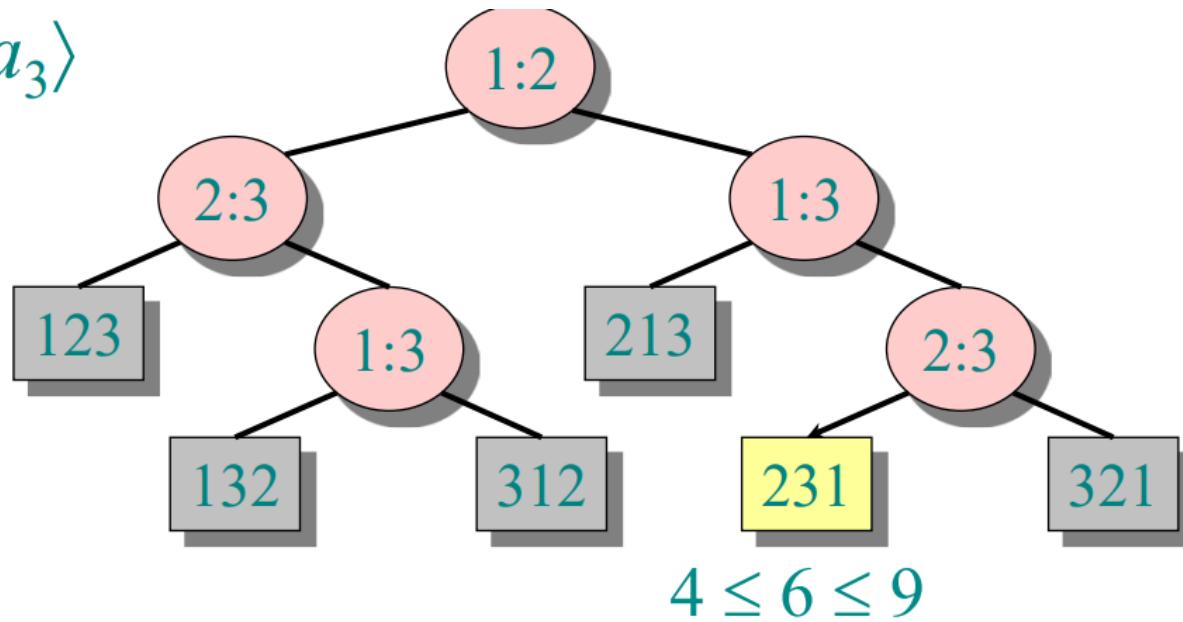


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## DECISION-TREE EXAMPLE

Sort  $\langle a_1, a_2, a_3 \rangle$   
 $= \langle 9, 4, 6 \rangle$ :



Each leaf contains a permutation  $\langle \pi(1), \pi(2), \dots, \pi(n) \rangle$  to indicate that the ordering  $a_{\pi(1)} \leq a_{\pi(2)} \leq \dots \leq a_{\pi(n)}$  has been established.

## LOWER BOUND FOR DECISION TREE SORTING

**Theorem.** Any decision tree that can sort  $n$  elements must have height  $\Omega(n \lg n)$ .

*Proof.* The tree must contain  $\geq n!$  leaves, since there are  $n!$  possible permutations. A height- $h$  binary tree has  $\leq 2^h$  leaves. Thus,  $n! \leq 2^h$ .

$$\begin{aligned}\therefore h &\geq \lg(n!) && (\lg \text{ is mono. increasing}) \\ &\geq \lg ((n/e)^n) && (\text{Stirling's formula}) \\ &= n \lg n - n \lg e \\ &= \Omega(n \lg n).\end{aligned}$$
 □

## QUICK SORT

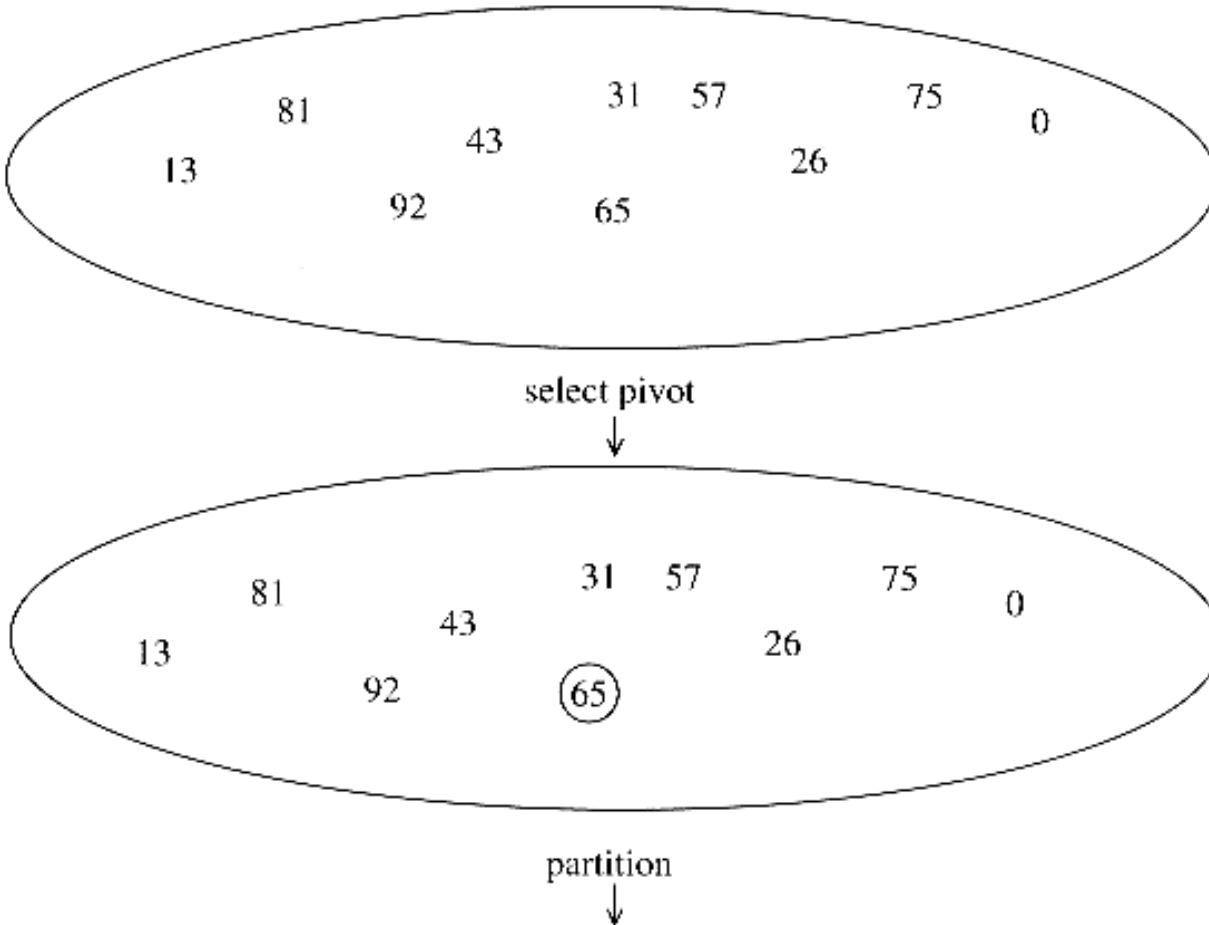
- **Fastest** known sorting algorithm in practice
- Average case:  $O(N \log N)$
- Worst case:  $O(N^2)$ 
  - But the worst case can be made exponentially unlikely.
- Another divide-and-conquer recursive algorithm, like merge sort.

## QUICK SORT: MAIN IDEA

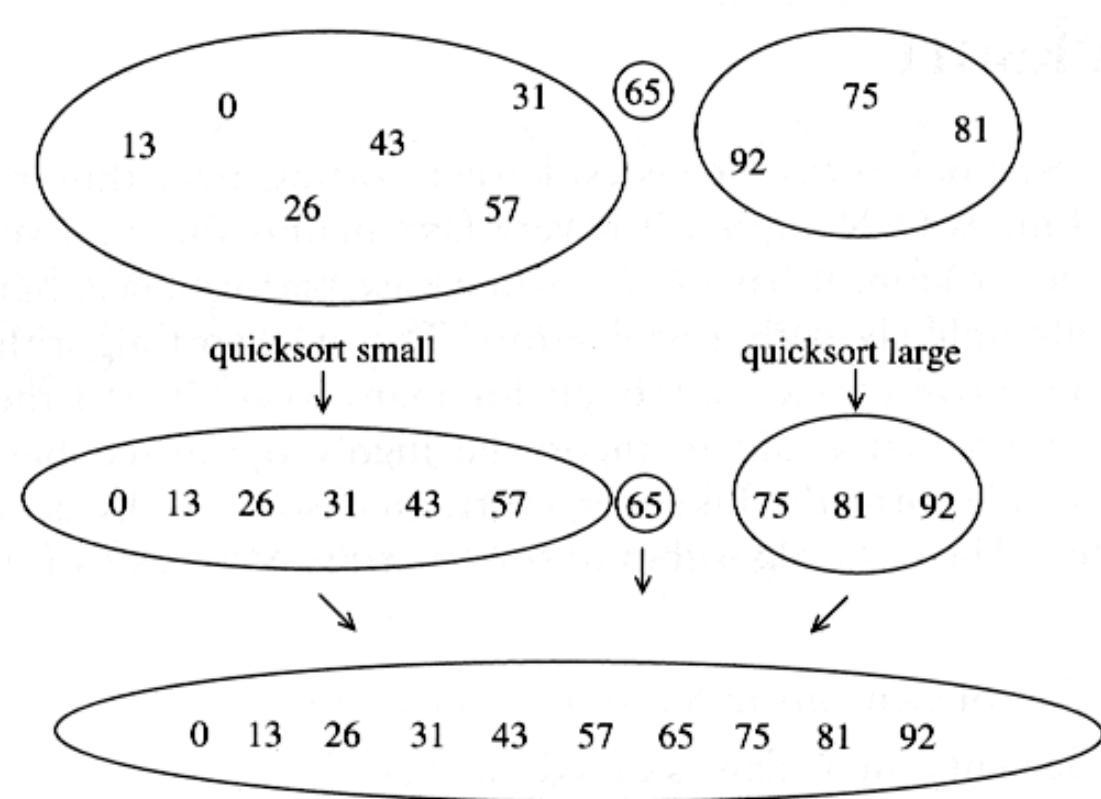
1. If the number of elements in  $S$  is 0 or 1, then return (base case).
2. Pick any element  $v$  in  $S$  (called the pivot).
3. Partition the elements in  $S$  except  $v$  into two disjoint groups:
  1.  $S_1 = \{x \in S - \{v\} \mid x \leq v\}$
  2.  $S_2 = \{x \in S - \{v\} \mid x \geq v\}$
4. Return  $\{\text{QuickSort}(S_1) + v + \text{QuickSort}(S_2)\}$

- Follows the **divide-and-conquer** paradigm.
- **Divide:** Partition (separate) the array  $A[p..r]$  into two (possibly empty) subarrays  $A[p..q-1]$  and  $A[q+1..r]$ .
  - Each element in  $A[p..q-1] < A[q]$ .
  - $A[q] <$  each element in  $A[q+1..r]$ .
  - Index  $q$  is computed as part of the partitioning procedure.
- **Conquer:** Sort the two subarrays by recursive calls to quicksort.
- **Combine:** The subarrays are sorted in place – no work is needed to combine them.
- How do the divide and combine steps of quicksort compare with those of merge sort?

## QUICK SORT: EXAMPLE



## EXAMPLE OF QUICK SORT...



# QUICK SORT

Quicksort(A, p, r)

```
if p < r then  
    q := Partition(A, p, r);  
    Quicksort(A, p, q - 1);  
    Quicksort(A, q + 1, r)
```

Partition(A, p, r)

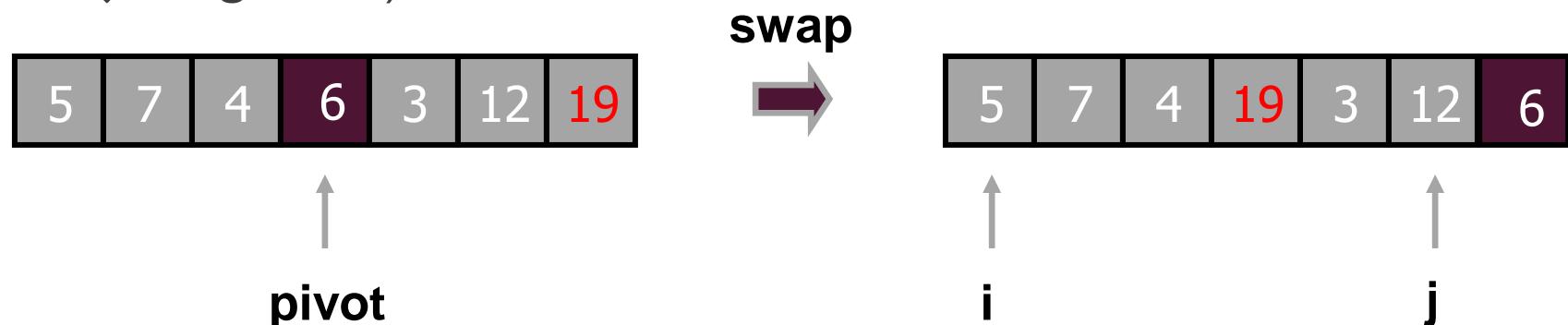
```
x, i := A[r], p - 1;  
for j := p to r - 1 do  
    if A[j] ≤ x then  
        i := i + 1;  
        A[i] ↔ A[j]  
    A[i + 1] ↔ A[r];  
return i + 1
```

## ISSUES TO CONSIDER

- How to pick the pivot?
  - Many methods (discussed later)
- How to partition?
  - Several methods exist.
  - The one we consider is known to give good results and to be easy and efficient.
  - We discuss the partition strategy first.

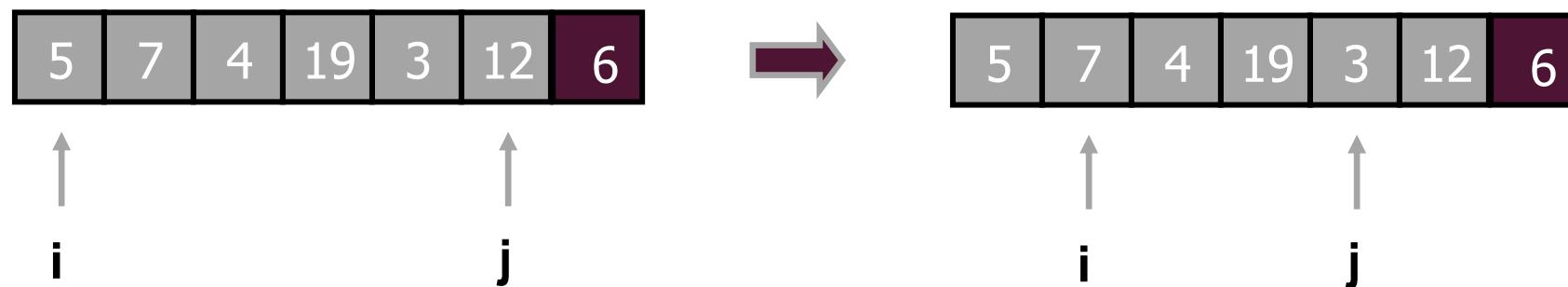
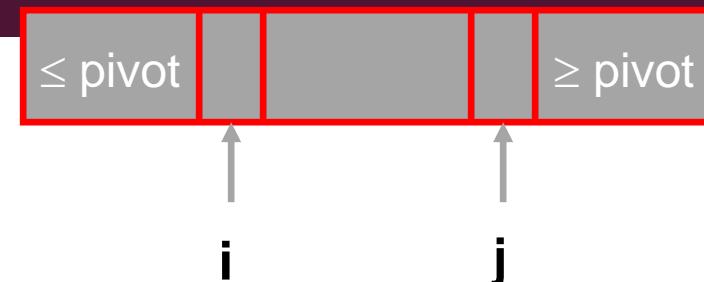
## PARTITIONING STRATEGY

- For now, assume that  $\text{pivot} = A[(\text{left}+\text{right})/2]$ .
- We want to partition array  $A[\text{left} .. \text{right}]$ .
- First, get the pivot element out of the way by swapping it with the last element (swap pivot and  $A[\text{right}]$ ).
- Let  $i$  start at the first element and  $j$  start at the next-to-last element ( $i = \text{left}$ ,  $j = \text{right} - 1$ )



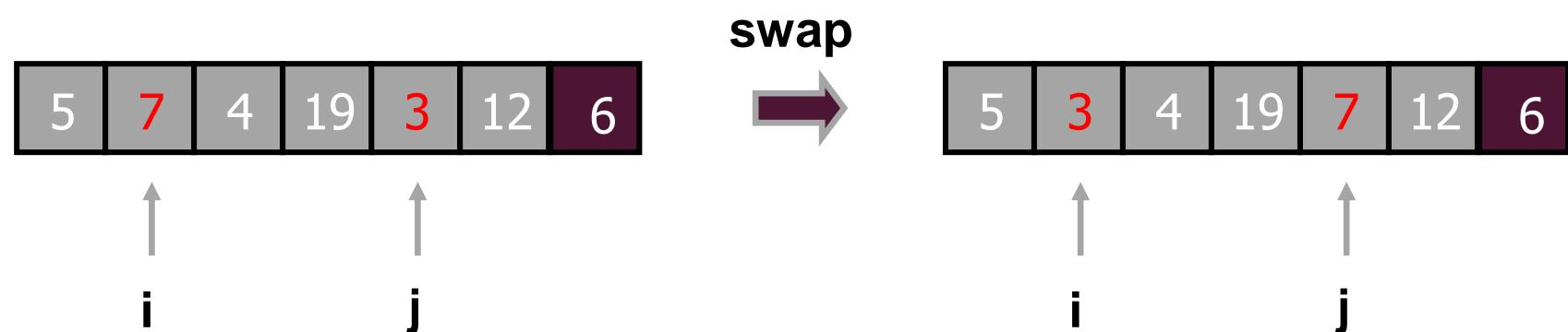
# PARTITIONING STRATEGY

- Want to have
  - $A[k] \leq \text{pivot}$ , for  $k < i$
  - $A[k] \geq \text{pivot}$ , for  $k > j$
- When  $i < j$ 
  - Move  $i$  right, skipping over elements smaller than the pivot
  - Move  $j$  left, skipping over elements greater than the pivot
  - When both  $i$  and  $j$  have stopped
    - $A[i] \geq \text{pivot}$
    - $A[j] \leq \text{pivot} \Rightarrow A[i]$  and  $A[j]$  should now be swapped



## PARTITIONING STRATEGY (2)

- When  $i$  and  $j$  have stopped and  $i$  is to the left of  $j$  (thus legal)
  - Swap  $A[i]$  and  $A[j]$ 
    - The large element is pushed to the right and the small element is pushed to the left
  - After swapping
    - $A[i] \leq \text{pivot}$
    - $A[j] \geq \text{pivot}$
  - Repeat the process until  $i$  and  $j$  cross



## PARTITIONING STRATEGY (3)

- When  $i$  and  $j$  have crossed
  - swap  $A[i]$  and pivot
- Result:
  - $A[k] \leq \text{pivot}$ , for  $k < i$
  - $A[k] \geq \text{pivot}$ , for  $k > i$



$i$        $j$



$i$        $j$

$j$        $i$

swap  $A[i]$  and pivot

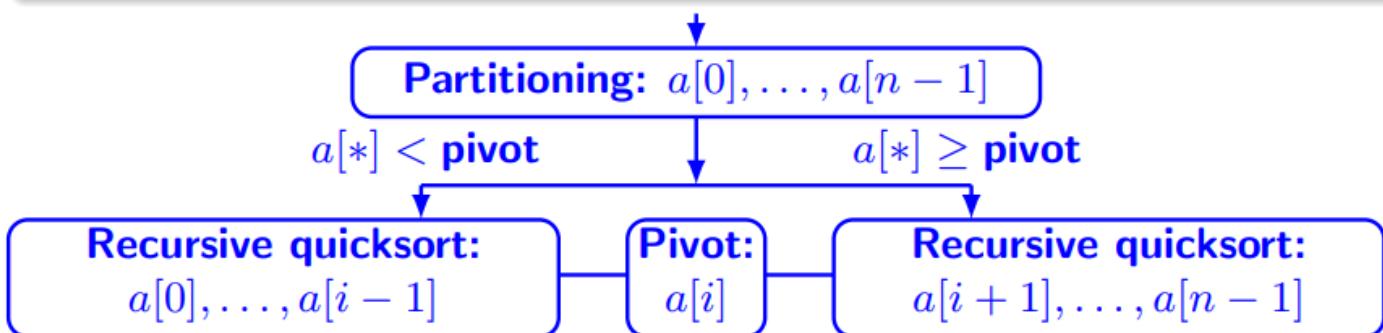


Break!

$j$        $i$

If the size,  $n$ , of the list, is 0 or 1, return the list. Otherwise:

- ① Choose one of the items in the list as a **pivot**.
- ② Next, **partition** the remaining items into two disjoint sublists, such that all items greater than the pivot follow it, and all elements less than the pivot precede it.
- ③ Finally, return the result of quicksort of the “head” sublist, followed by the pivot, followed by the result of quicksort of the “tail” sublist.



# BASIC RECURSIVE QUICKSORT

# QUICKSORT IS CORRECT

*Proof:* by math induction on the size  $n$  of the list.

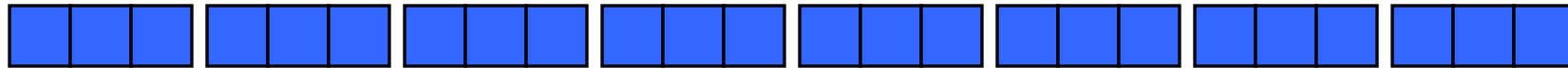
- **Basis.** If  $n = 1$ , the algorithm is correct.
- **Hypothesis.** It is correct on lists of size smaller than  $n$ .
- **Inductive step.** After positioning, the pivot  $p$  at position  $i$ ;  $i = 1, \dots, n - 1$ , splits a list of size  $n$  into the head sublist of size  $i$  and the tail sublist of size  $n - 1 - i$ .
  - Elements of the head sublist are not greater than  $p$ .
  - Elements of the tail sublist are not smaller than  $p$ .
  - By the induction hypothesis, both the head and tail sublists are sorted correctly.
  - Therefore, the whole list of size  $n$  is sorted correctly.

Any implementation specifies what to do with items equal to the pivot.

# ANALYSIS OF QUICKSORT—BEST CASE

- Suppose each partition operation divides the array almost exactly in half
- Then the depth of the recursion is  $\log_2 n$ 
  - Because that's how many times we can halve  $n$
- We note that
  - Each partition is linear over its subarray
  - All the partitions at one level cover the array

# PARTITIONING AT VARIOUS LEVELS



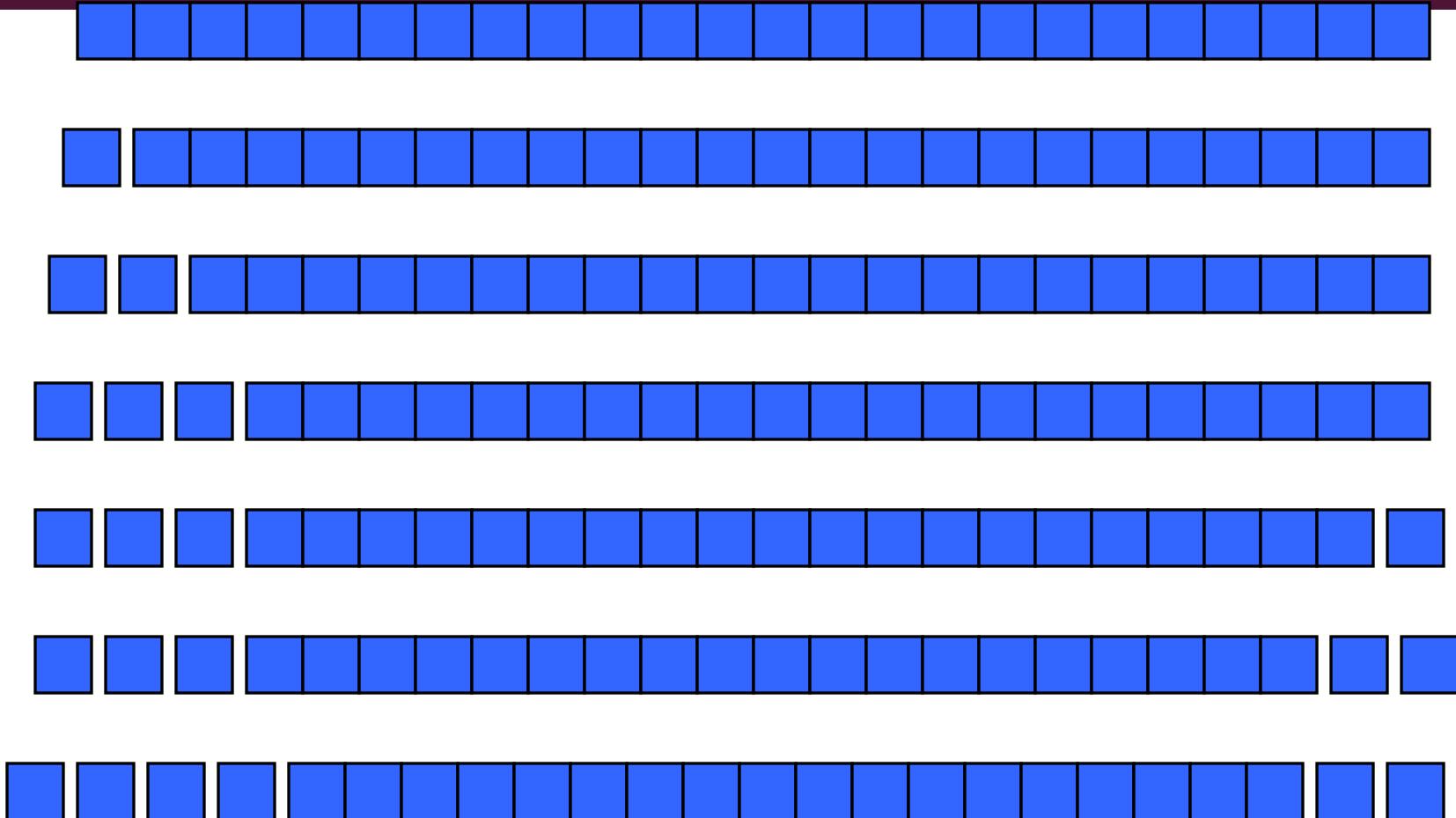
## BEST CASE ANALYSIS

- We cut the array size in half each time
- So the depth of the recursion is  $\log_2 n$
- At each level of the recursion, all the partitions at that level do work that is linear in  $n$
- $O(\log_2 n) * O(n) = O(n \log_2 n)$
- Hence in the best case, quicksort has time complexity  $O(n \log_2 n)$
- What about the worst case?

## WORST CASE

- In the worst case, partitioning always divides the size  $n$  array into these three parts:
  - A length one part, containing the pivot itself
  - A length zero part, and
  - A length  $n-1$  part, containing everything else
- We don't recur on the zero-length part
- Recurring on the length  $n-1$  part requires (in the worst case) recurring to depth  $n-1$

# WORST CASE PARTITIONING



## ANALYSIS (2)

- Running time
  - pivot selection: constant time, i.e.  $O(1)$
  - partitioning: linear time, i.e.  $O(N)$
  - running time of the two recursive calls
- $T(N) = T(i) + T(N - i - 1) + cN$ 
  - $i$ : number of elements in  $S_l$
  - $c$  is a constant

## WORST-CASE SCENARIO

- What will be the worst case?
  - The pivot is the smallest element, all the time
  - Partition is always unbalanced

$$T(N) = T(N - 1) + cN$$

$$T(N - 1) = T(N - 2) + c(N - 1)$$

$$T(N - 2) = T(N - 3) + c(N - 2)$$

⋮

$$T(2) = T(1) + c(2)$$

$$T(N) = T(1) + c \sum_{i=2}^N i = O(N^2)$$

## BEST-CASE SCENARIO

- What will be the best case?
  - Partition is perfectly balanced.
  - Pivot is always in the middle (median of the array).
- $T(N) = T(N/2) + T(N/2) + cN = 2T(N/2) + cN$
- This recurrence is similar to the merge sort recurrence.
- The result is  $O(N \log N)$ .

## WORST CASE FOR QUICKSORT

- In the worst case, recursion may be  $n$  levels deep (for an array of size  $n$ )
- But the partitioning work done at each level is still  $n$
- $O(n) * O(n) = O(n^2)$
- So worst case for Quicksort is  $O(n^2)$
- When does this happen?
  - There are many arrangements that *could* make this happen
  - Here are two common cases:
    - When the array is already sorted
    - When the array is *inversely* sorted (sorted in the opposite order)

## TYPICAL CASE FOR QUICKSORT

- If the array is sorted to begin with, Quicksort is terrible:  
 $O(n^2)$
- It is possible to construct other bad cases
- However, Quicksort is usually  $O(n \log_2 n)$
- The constants are so good that Quicksort is generally the faster algorithm.
- Most real-world sorting is done by Quicksort

## PICKING A BETTER PIVOT

- Before, we picked the *first* element of the subarray to use as a pivot
  - If the array is already sorted, this results in  $O(n^2)$  behavior
  - It's no better if we pick the *last* element
- We could do an *optimal* quicksort (guaranteed  $O(n \log n)$ ) if we always picked a pivot value that exactly cuts the array in half
  - Such a value is called a **median**: half of the values in the array are larger, half are smaller
  - The easiest way to find the median is to sort the array and pick the value in the middle (!)

## MEDIAN OF THREE

- Obviously, it doesn't make sense to sort the array in order to find the median to use as a pivot.
- Instead, compare just *three* elements of our (sub)array—the first, the last, and the middle
  - Take the *median* (middle value) of these three as the pivot
  - It's possible (but not easy) to construct cases which will make this technique  $O(n^2)$

## PICKING THE PIVOT (2)

- Use the first element as pivot
  - if the input is random, ok.
  - if the input is presorted (or in reverse order)
    - all the elements go into  $S_2$  (or  $S_1$ ).
    - this happens consistently throughout the recursive calls.
    - results in  $O(N^2)$  behavior (we analyze this case later).
- Choose the pivot randomly
  - generally safe,
  - but random number generation can be expensive and does not reduce the running time of the algorithm.

## PICKING THE PIVOT (3)

- Use the median of the array (ideal pivot)
  - The  $\lceil N/2 \rceil$  th largest element
  - Partitioning always cuts the array into roughly half
  - An **optimal** quick sort ( $O(N \log N)$ )
  - However, hard to find the exact median
- Median-of-three partitioning
  - eliminates the bad case for sorted input.
  - reduces the number of comparisons by 14%.

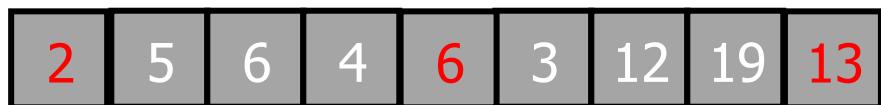
# MEDIAN OF THREE METHOD

- Compare just three elements: the leftmost, rightmost and center
  - Swap these elements if necessary so that
    - $A[\text{left}] = \text{Smallest}$
    - $A[\text{right}] = \text{Largest}$
    - $A[\text{center}] = \text{Median of three}$
  - Pick  $A[\text{center}]$  as the pivot.
  - Swap  $A[\text{center}]$  and  $A[\text{right} - 1]$  so that the pivot is at the second last position

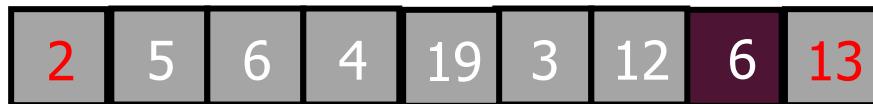
```
int center = ( left + right ) / 2;
if( a[ center ] < a[ left ] )
    swap( a[ left ], a[ center ] );
if( a[ right ] < a[ left ] )
    swap( a[ left ], a[ right ] );
if( a[ right ] < a[ center ] )
    swap( a[ center ], a[ right ] );
```

```
// Place pivot at position right - 1
swap( a[ center ], a[ right - 1 ] );
```

## MEDIAN OF THREE: EXAMPLE



**pivot**



**pivot**

We only need to partition A[ *left* + 1, ..., *right* - 2 ].

## SMALL ARRAYS

- For very small arrays, quick sort does not perform as well as insertion sort
- Do not use quick sort recursively for small arrays
  - Use a sorting algorithm that is efficient for small arrays, such as insertion sort.
- When using quick sort recursively, switch to insertion sort when the sub-arrays have between 5 to 20 elements (10 is usually good).
  - saves about 15% in the running time.
  - avoids taking the median of three when the sub-array has only 1 or 2 elements.

# QUICK SORT FASTER THAN MERGE SORT

- Both quick sort and merge sort take  $O(N \log N)$  in the average case.
- But quick sort is faster in the average case:
  - The inner loop consists of an increment/decrement (by 1, which is fast), a test and a jump.
  - There is no extra juggling as in merge sort.

```
int i = left, j = right - 1;
for( ; ; )
{
    while( a[ ++i ] < pivot ) { }
    while( pivot < a[ --j ] ) { }
    if( i < j )
        swap( a[ i ], a[ j ] );
    else
        break;      inner loop
}
```

# MULTIPLICATION OF TWO N BIT NUMBERS

# Integer Addition

Addition. Given two  $n$ -bit integers  $a$  and  $b$ , compute  $a + b$ .

Grade-school.  $\Theta(n)$  bit operations.

$$\begin{array}{cccccccccc} & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 1 \\ & 1 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ + & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 1 \\ \hline & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \end{array}$$

Remark. Grade-school addition algorithm is optimal.

# Integer Multiplication

Multiplication. Given two  $n$ -bit integers  $a$  and  $b$ , compute  $a \times b$ .

Grade-school.  $\Theta(n^2)$  bit operations.

$$\begin{array}{r} 11010101 \\ \times 01111101 \\ \hline 11010101 \\ 00000000 \\ 110101010 \\ 110101010 \\ 110101010 \\ 00000000 \\ \hline 0110100000001 \end{array}$$

Q. Is grade-school multiplication algorithm optimal?

## Divide-and-Conquer Multiplication: Warmup

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Multiply four  $\frac{1}{2}n$ -bit integers, recursively.
- Add and shift to obtain result.

$$\begin{aligned} a &= 2^{n/2} \cdot a_1 + a_0 \\ b &= 2^{n/2} \cdot b_1 + b_0 \\ ab &= (2^{n/2} \cdot a_1 + a_0)(2^{n/2} \cdot b_1 + b_0) = 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0 \end{aligned}$$

Ex.

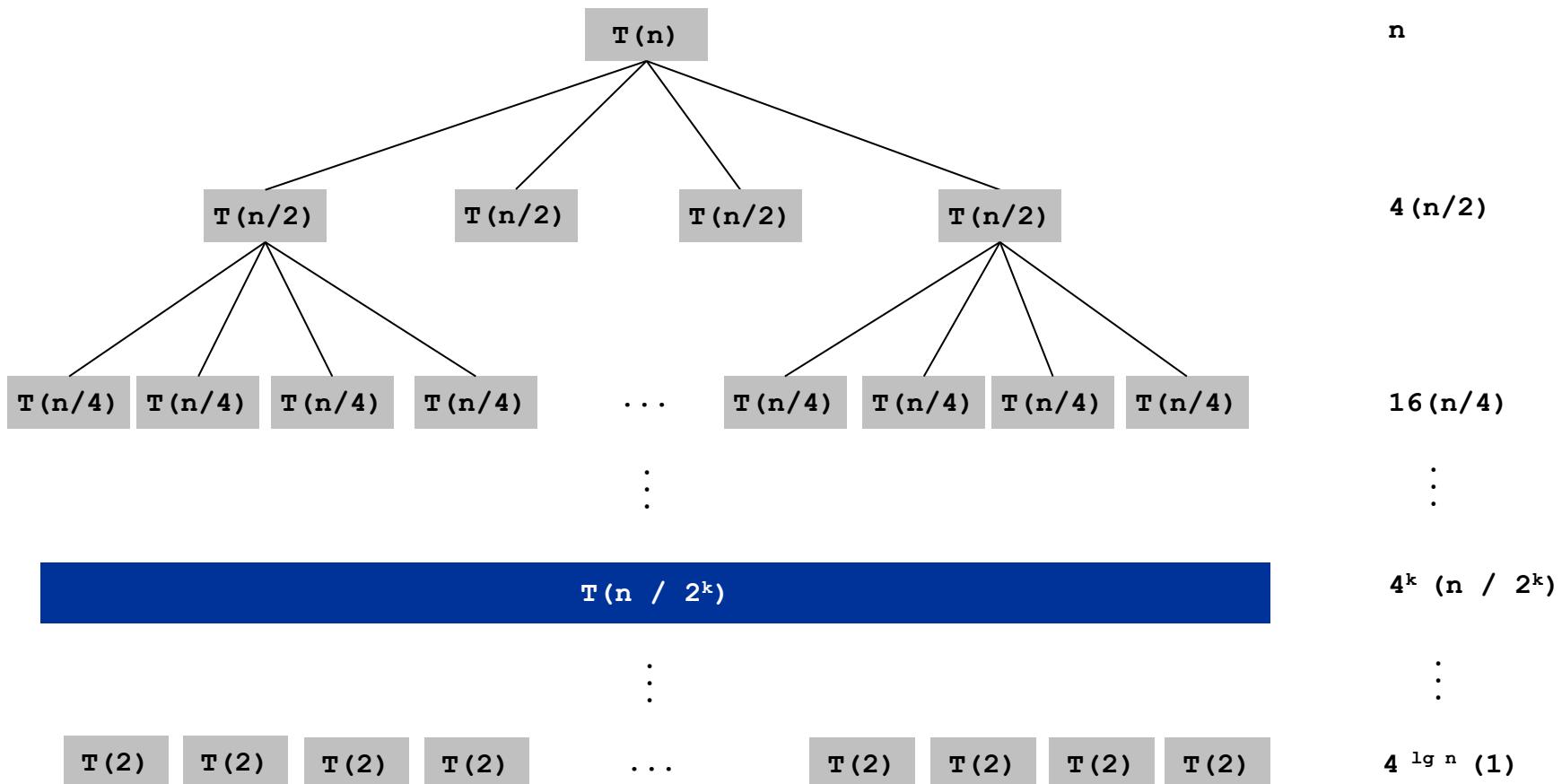
$$a = \underbrace{1000}_{a_1} \underbrace{1101}_{a_0} \quad b = \underbrace{111}_{b_1} \underbrace{00001}_{b_0}$$

$$T(n) = \underbrace{4T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, shift}} \Rightarrow T(n) = \Theta(n^2)$$

# Recursion Tree

$$T(n) = \begin{cases} 0 & \text{if } n=0 \\ 4T(n/2) + n & \text{otherwise} \end{cases}$$

$$T(n) = \sum_{k=0}^{\lg n} n 2^k = n \left( \frac{2^{1+\lg n} - 1}{2-1} \right) = 2n^2 - n$$



# Karatsuba Multiplication

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Add two  $\frac{1}{2}n$  bit integers.
- Multiply **three**  $\frac{1}{2}n$ -bit integers, recursively.
- Add, subtract, and shift to obtain result.

$$\begin{aligned} a &= 2^{n/2} \cdot a_1 + a_0 \\ b &= 2^{n/2} \cdot b_1 + b_0 \\ ab &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0 \\ &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot ((a_1 + a_0)(b_1 + b_0) - a_1 b_1 - a_0 b_0) + a_0 b_0 \end{aligned}$$

1                            2                            1    3    3

# Karatsuba Multiplication

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Add two  $\frac{1}{2}n$  bit integers.
- Multiply **three**  $\frac{1}{2}n$ -bit integers, recursively.
- Add, subtract, and shift to obtain result.

$$\begin{aligned} a &= 2^{n/2} \cdot a_1 + a_0 \\ b &= 2^{n/2} \cdot b_1 + b_0 \\ ab &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0 \\ &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot ((a_1 + a_0)(b_1 + b_0) - a_1 b_1 - a_0 b_0) + a_0 b_0 \end{aligned}$$

1                          2                          1    3    3

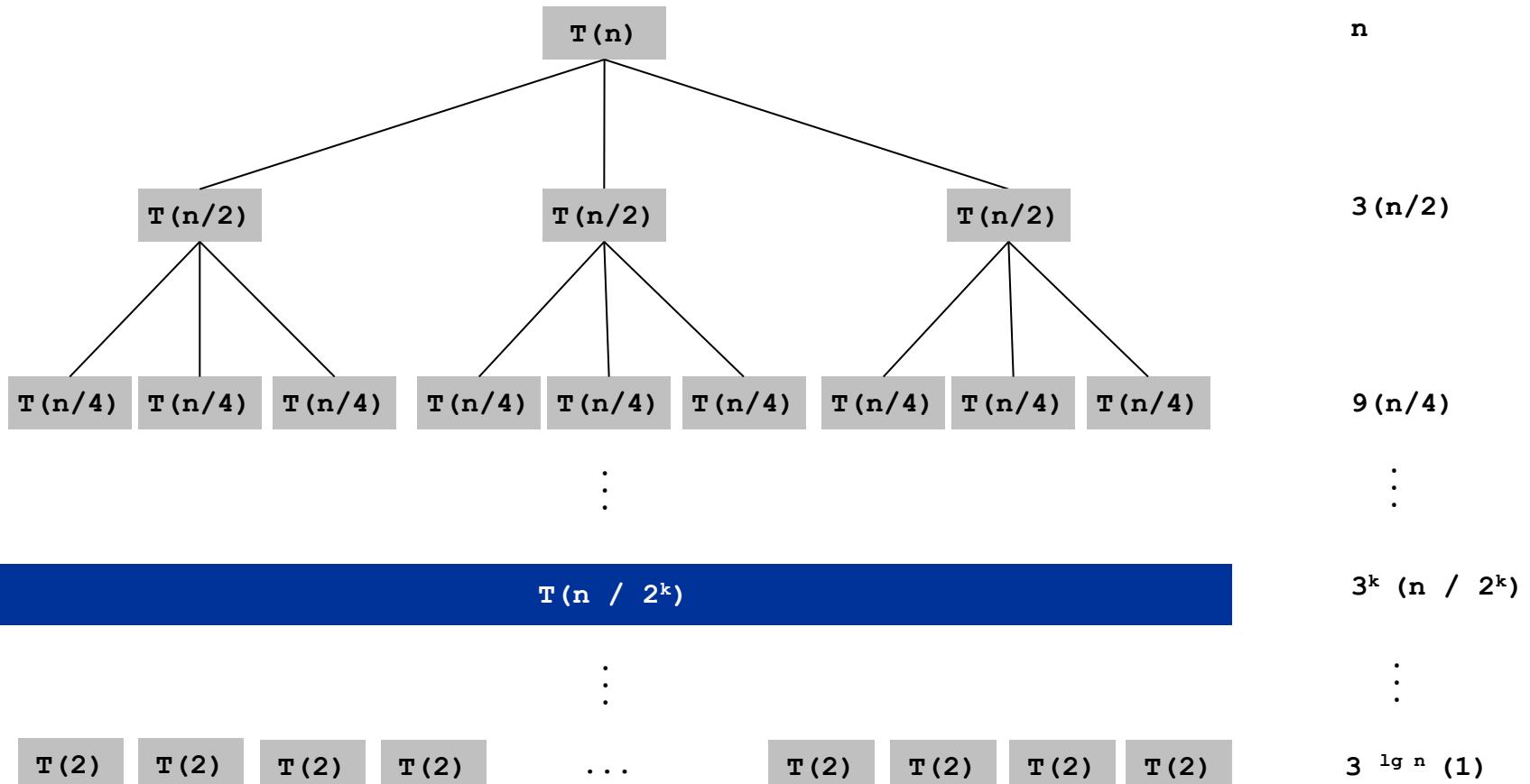
**Theorem.** [Karatsuba-Ofman 1962] Can multiply two  $n$ -bit integers in  $O(n^{1.585})$  bit operations.

$$T(n) \leq \underbrace{T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + T(1+\lceil n/2 \rceil)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}} \Rightarrow T(n) = O(n^{\lg 3}) = O(n^{1.585})$$

# Karatsuba: Recursion Tree

$$T(n) = \begin{cases} 0 & \text{if } n=0 \\ 3T(n/2) + n & \text{otherwise} \end{cases}$$

$$T(n) = \sum_{k=0}^{\lg n} n \left(\frac{3}{2}\right)^k = n \left( \frac{\left(\frac{3}{2}\right)^{\lg n} - 1}{\frac{3}{2} - 1} \right) = 3n^{\lg 3} - 2n$$



# Matrix Multiplication

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# Matrix Multiplication

**Matrix multiplication.** Given two  $n$ -by- $n$  matrices  $A$  and  $B$ , compute  $C = AB$ .

**Grade-school.**  $\Theta(n^3)$  arithmetic operations.

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}$$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$\begin{bmatrix} .59 & .32 & .41 \\ .31 & .36 & .25 \\ .45 & .31 & .42 \end{bmatrix} = \begin{bmatrix} .70 & .20 & .10 \\ .30 & .60 & .10 \\ .50 & .10 & .40 \end{bmatrix} \times \begin{bmatrix} .80 & .30 & .50 \\ .10 & .40 & .10 \\ .10 & .30 & .40 \end{bmatrix}$$

**Q.** Is grade-school matrix multiplication algorithm optimal?

# Block Matrix Multiplication

$$\begin{matrix}
 & C_{11} \\
 & \swarrow \\
 \left[ \begin{array}{cc|cc}
 152 & 158 & 164 & 170 \\
 504 & 526 & 548 & 570 \\
 \hline
 856 & 894 & 932 & 970 \\
 1208 & 1262 & 1316 & 1370
 \end{array} \right] & = & \left[ \begin{array}{cc|cc}
 0 & 1 & 2 & 3 \\
 4 & 5 & 6 & 7 \\
 \hline
 8 & 9 & 10 & 11 \\
 12 & 13 & 14 & 15
 \end{array} \right] & \times & \left[ \begin{array}{cc|cc}
 16 & 17 & 18 & 19 \\
 20 & 21 & 22 & 23 \\
 \hline
 24 & 25 & 26 & 27 \\
 28 & 29 & 30 & 31
 \end{array} \right] \\
 & & & & \downarrow \\
 & & & & B_{11}
 \end{matrix}$$

$$C_{11} = A_{11} \times B_{11} + A_{12} \times B_{21} = \begin{bmatrix} 0 & 1 \\ 4 & 5 \end{bmatrix} \times \begin{bmatrix} 16 & 17 \\ 20 & 21 \end{bmatrix} + \begin{bmatrix} 2 & 3 \\ 6 & 7 \end{bmatrix} \times \begin{bmatrix} 24 & 25 \\ 28 & 29 \end{bmatrix} = \begin{bmatrix} 152 & 158 \\ 504 & 526 \end{bmatrix}$$

## Strassen's Algorithm for Matrix Multiplication

Let  $A = n \times n$  matrix,  $B : n \times n$

$$\left[ \begin{array}{c|c} a & b \\ \hline c & d \end{array} \right] \times \left[ \begin{array}{c|c} e & f \\ \hline g & h \end{array} \right]$$

$$= \left[ \begin{array}{c|c} ae + bg & af + bh \\ \hline ce + dg & cf + dh \end{array} \right]$$

$$T(n) = 8 T\left(\frac{n}{2}\right) + O(n^2)$$

$$= O(n^3)$$

$$\begin{aligned} P_1 &= a(f-h), & P_2 &= (a+b)h \\ P_3 &= (c+d)e, & P_4 &= d(g-e) \\ P_5 &= (a+d)(e+h) & P_6 &= (b-d)(g+h) \\ P_7 &= (a-c)(e+f) \end{aligned}$$

$$\left[ \begin{array}{c|c} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ \hline P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{array} \right]$$

$$T(n) = \boxed{7} T\left(\frac{n}{2}\right) + O(n^2)$$

$$O(n^{\log_2 7}) = O(n^{2.81})$$

## Matrix Multiplication: Warmup

To multiply two  $n$ -by- $n$  matrices  $A$  and  $B$ :

- Divide: partition  $A$  and  $B$  into  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  blocks.
- Conquer: multiply 8 pairs of  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices, recursively.
- Combine: add appropriate products using 4 matrix additions.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$\begin{aligned} C_{11} &= (A_{11} \times B_{11}) + (A_{12} \times B_{21}) \\ C_{12} &= (A_{11} \times B_{12}) + (A_{12} \times B_{22}) \\ C_{21} &= (A_{21} \times B_{11}) + (A_{22} \times B_{21}) \\ C_{22} &= (A_{21} \times B_{12}) + (A_{22} \times B_{22}) \end{aligned}$$

$$T(n) = \underbrace{8T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, form submatrices}} \Rightarrow T(n) = \Theta(n^3)$$

# Fast Matrix Multiplication

Key idea. multiply 2-by-2 blocks with only 7 multiplications.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$\begin{aligned} C_{11} &= P_5 + P_4 - P_2 + P_6 \\ C_{12} &= P_1 + P_2 \\ C_{21} &= P_3 + P_4 \\ C_{22} &= P_5 + P_1 - P_3 - P_7 \end{aligned}$$

$$\begin{aligned} P_1 &= A_{11} \times (B_{12} - B_{22}) \\ P_2 &= (A_{11} + A_{12}) \times B_{22} \\ P_3 &= (A_{21} + A_{22}) \times B_{11} \\ P_4 &= A_{22} \times (B_{21} - B_{11}) \\ P_5 &= (A_{11} + A_{22}) \times (B_{11} + B_{22}) \\ P_6 &= (A_{12} - A_{22}) \times (B_{21} + B_{22}) \\ P_7 &= (A_{11} - A_{21}) \times (B_{11} + B_{12}) \end{aligned}$$

- 7 multiplications.
- $18 = 8 + 10$  additions and subtractions.

# Fast Matrix Multiplication

To multiply two  $n$ -by- $n$  matrices  $A$  and  $B$ : [Strassen 1969]

- Divide: partition  $A$  and  $B$  into  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  blocks.
- Compute: 14  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices via 10 matrix additions.
- Conquer: multiply 7 pairs of  $\frac{1}{2}n$ -by- $\frac{1}{2}n$  matrices, recursively.
- Combine: 7 products into 4 terms using 8 matrix additions.

Analysis.

- Assume  $n$  is a power of 2.
- $T(n) = \# \text{ arithmetic operations.}$

$$T(n) = \underbrace{7T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, subtract}} \Rightarrow T(n) = \Theta(n^{\log_2 7}) = O(n^{2.81})$$

# CLOSEST PAIR OF POINTS

# CLOSEST POINTS



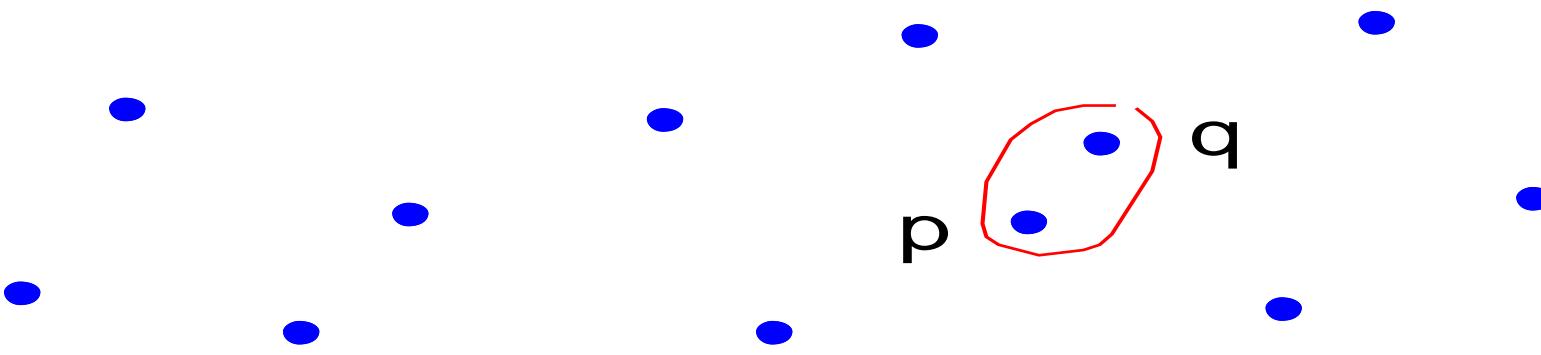
- *A famous algorithmic problem...*

Given a set of points in the plane (cities in India., transistors on a circuit board, computers on a network, etc.) find which pair of points is the closest together.

- Today we will study algorithms and data structures for solving this problem.

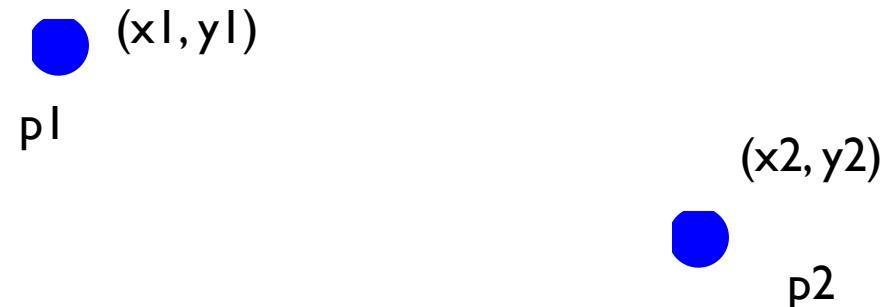
# CLOSEST PAIR FORMAL DEFINITION

Given a set  $P$  of  $N$  points, find  $p, q \in P$  such that the distance  $d(p, q)$  is minimum.



- Algorithms for determining the closest pair:
  - brute force  $O(N^2)$
  - divide-and-conquer  $O(N \log N)$
  - plane-sweep  $O(N \log N)$

Compute all the distances  
 $d(p, q)$  and select the minimum  
distance.



$$d(p_1, p_2) = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}$$

**Time Complexity:  $O(N^2)$**

# DIVIDE AND CONQUER

closestpair ( $S$ )

Let  $S = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$

equat || split  $S$  into 2 disjoint non-empty subsets  $S_1$  &  $S_2$

$R_1 = \text{closest pair } (S_1)$

$R_2 = \text{closest pair } (S_2)$

Let  $R = \min(R_1, R_2)$

$R_3 = \text{combine } (S_1, S_2, R)$

return ( $R_3$ )

$\frac{O(1)}{O(n)}$

$f(n)$

$\frac{O(n)}{O(n)}$

$$\left. \begin{matrix} \infty & \dots & \infty \\ \infty & \dots & \infty \\ \dots & \dots & \dots \\ \infty & \dots & \infty \\ S_1 & \infty & \infty \\ \infty & \dots & \infty \\ R_1 & & \end{matrix} \right\} \quad \left. \begin{matrix} \infty & \dots \\ \dots & \dots \\ \dots & \dots \\ \infty & \dots & \infty \\ S_2 & \dots & \dots \\ \dots & \dots & \dots \\ R_2 & & \end{matrix} \right\}$$

$T(n) = T(n_1) + T(n_2) + f(n)$

$= 2T(n/2) + f(n) \leftarrow$

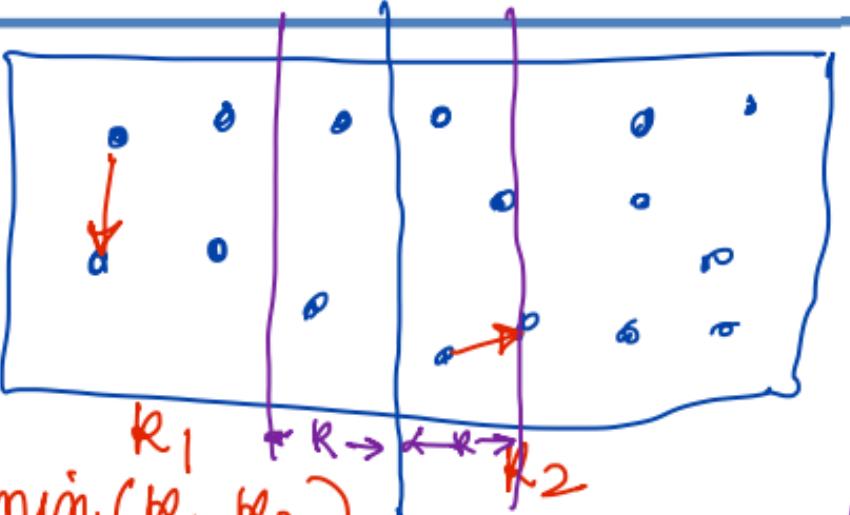
1. divide into 2 equal parts

2. divide randomly [check for avg. case]

Median along  $x$ -axis

→ sorting  $O(n \log n)$

→ Median Finding  $O(n)$



$$R = \min(R_1, R_2)$$

Examine only those points along this  $2R$  strip on the boundary.

$$\mathcal{Z} = \text{strip}(S_1, R) \cup \text{strip}(S_2, R)$$

Sort  $(\mathcal{Z})$  by the y-axis

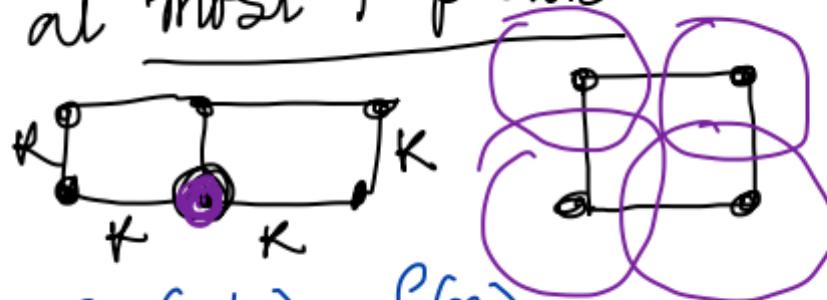
$$\mathcal{Z} = \{q_1, q_2, \dots, q_r\}$$

For each  $q_i$  we need to check which points are there within  $R$  distance and if so find the min

## CLOSEST PAIR OF POINTS: STRIP COMBINE

DOES THE COMPARISON REQUIRE EVERY POINTS IN Z TO BE COMPARED WITH MANY OR  $O(N)$  OTHER POINTS IN Z? **NO**

Theorem: For each  $q_i$ , we need to check at most  $f$  points



$$T(n) = 2T(n/a) + f(n)$$

Case 1:  $f(n) = O(n \log n)$

Case 2: Median finding in  $O(n)$  done once  
X-axis & Y-axis sorting is done once  
globally:  $O(n) \rightarrow f(n) = O(n)$   
 $= O(n \log n)$

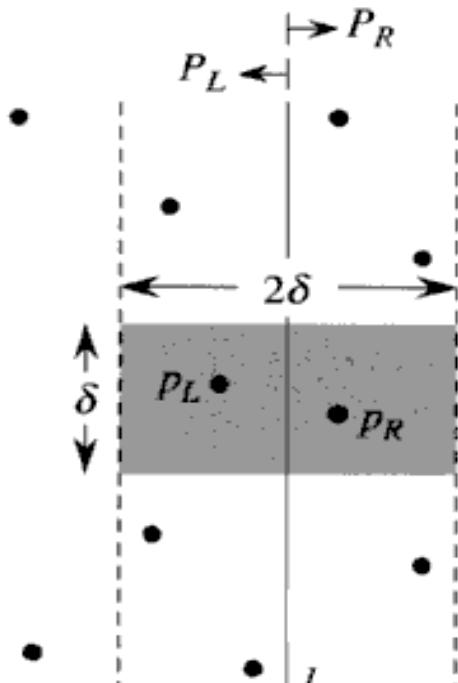
$$O(n \log^{d-1} n)$$

Higher or d-dimensions

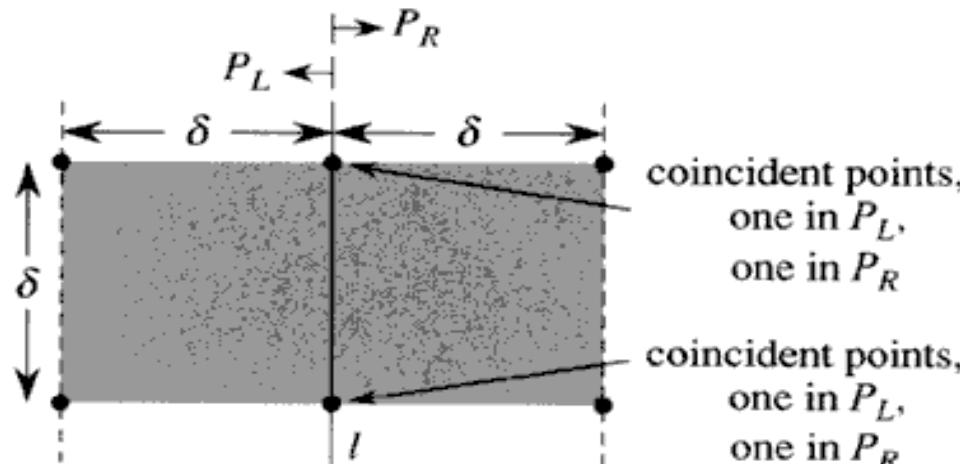
$\delta_L$ : minimum distance of  $P_L$

$\delta_R$ : minimum distance of  $P_R$

$$\delta = \min\{\delta_L, \delta_R\}.$$



(a)



(b)

**Figure 33.11** Key concepts in the proof that the closest-pair algorithm needs to check only 7 points following each point in the array  $Y'$ . (a) If  $p_L \in P_L$  and  $p_R \in P_R$  are less than  $\delta$  units apart, they must reside within a  $\delta \times 2\delta$  rectangle centered at line  $l$ . (b) How 4 points that are pairwise at least  $\delta$  units apart can all reside within a  $\delta \times \delta$  square. On the left are 4 points in  $P_L$ , and on the right are 4 points in  $P_R$ . There can be 8 points in the  $\delta \times 2\delta$  rectangle if the points shown on line  $l$  are actually pairs of coincident points with one point in  $P_L$  and one in  $P_R$ .

- Divide : into two subsets (according to x-coordinate) :  $P_L \leq l \leq P_R$  ( $O(n)$ )
- Conquer: recursively on each half.
  - Get  $\delta_L, \delta_R$
  - $2T(n/2)$ .
- Combine:
  - select closer pair of the above.  $\delta = \min\{\delta_L, \delta_R\}$ ,  $O(1)$
  - Find the smaller pairs, one  $\in P_L$  and the other  $\in P_R$ 
    - Create an array  $Y'$  of points within  $2\delta$  vertical strip, sorted by y-coor.  $O(n \lg n)$  or  $O(n)$ .
    - for each point in  $Y'$ , compare it with its following 7 points.  $O(7n)$ .
      - If a new smaller  $\delta$  is found, then it is new  $\delta$  and the new pair is found.

- $P$ : set of points,  $X$ : sorted by x-coordinate,  $Y$ : sorted by y-coordinate
- Divide  $P$  into  $P_L$  and  $P_R$ ,  $X$  into  $X_L$  and  $X_R$ ,  $Y$  into  $Y_L$  and  $Y_R$ ,
  - $\delta_1 = \text{CLOSEST-PAIR}(P_L, X_L, Y_L)$  and //  $T(n/2)$
  - $\delta_2 = \text{CLOSEST-PAIR}(P_R, X_R, Y_R)$  //  $T(n/2)$
- Combine:
  - $\delta = \min(\delta_1, \delta_2)$
  - compute the minimum distance between the points  $p_l \in P_L$  and  $p_r \in P_R$ . //  $O(n)$ .
    - Form  $Y'$ , which is the points of  $Y$  within  $2\delta$ -wide vertical strip.
    - For each point  $p$  in  $Y'$ , 7 following points for comparison.