DYNAMIC PROGRAMMING

MORE PROBLEMS

KNAPSACK PROBLEM

- We have n items with weights and values:

Item:					
Weight:	6	2	4	3	11
Value:	20	8	14	13	35

- And we have a knapsack:
  - it can only carry so much weight:

Capacity: 10

Capacity: 10

Item:					
Weight:	6	2	4	3	11
Value:	20	8	14	13	35

- Unbounded Knapsack:
  - Suppose I have infinite copies of all items.
  - What's the most valuable way to fill the knapsack?

Total weight: 10
Total value: 42

- 0/1 Knapsack:
  - Suppose I have only one copy of each item.
  - What's the most valuable way to fill the knapsack?

Total weight: 9
Total value: 35

SOME NOTATION

Item:					
Weight:	w_1	w_2	w_3	$\cdots$	w_n
Value:	v_1	v_2	v_3		v_n

Capacity: W

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
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- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.

OPTIMAL SUBSTRUCTURE

- Sub-problems:
  - Unbounded Knapsack with a smaller knapsack.
  - K[x] = value you can fit in a knapsack of capacity x

First solve the problem for small knapsacks

Then larger knapsacks

Then larger knapsacks

OPTIMAL SUBSTRUCTURE

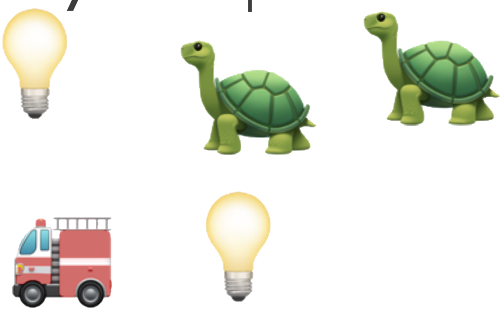
- Suppose this is an optimal solution for capacity x:

Say that the optimal solution contains at least one copy of item i.

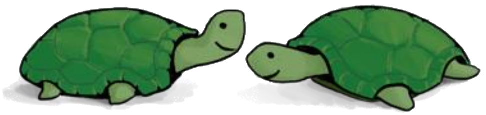
Weight w_i
Value v_i

Capacity x
Value V

- Then this is optimal for capacity x - w_i:



Why?



Capacity x – w_i
Value V - v_i

OPTIMAL SUBSTRUCTURE

- Suppose this is an optimal solution for capacity x:

Say that the optimal solution contains at least one copy of item i.

Weight w_i
Value v_i

Capacity x
Value V

- Then this is optimal for capacity x - w_i:

If I could do better than the second solution, then adding a turtle to that improvement would improve the first solution.

Capacity x – w_i
Value V - v_i

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.

RECURSIVE RELATIONSHIP

- Let K[x] be the optimal value for capacity x.

$K[x] = \max_i \{$  $+$  $\}$

The maximum is over all i so that $w_i \leq x$.

Optimal way to fill the smaller knapsack

The value of item i.

$$K[x] = \max_i \{ K[x - w_i] + v_i \}$$

- (And K[x] = 0 if the maximum is empty).
  - That is, if there are no i so that $w_i \leq x$

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up like a reasonable person.

LET'S WRITE A BOTTOM-UP DP ALGORITHM

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - **for** x = 1, …,W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{ K[x], K[x - w_i] + v_i \}$
  - **return** K[W]

Running time: O(nW)

K[x] = $\max_i$ {  +  }

= $\max_i$ { K[x – w_i] + v_i }

CAN WE DO BETTER?

- Writing down W takes log(W) bits.
- Writing down all n weights takes at most nlog(W) bits.
- Input size: nlog(W).
  - Maybe we could have an algorithm that runs in time O(nlog(W)) instead of O(nW)?
  - Or even $O(\ n^{1000000} \log^{1000000}(W)\ )$?

- Open problem!
  - (But probably the answer is **no**…otherwise P = NP)

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.

LET'S WRITE A BOTTOM-UP DP ALGORITHM

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{ K[x], K[x - w_i] + v_i \}$
  - **return** K[W]

K[x] = max$_i$ {  +  }

= max$_i$ { K[x – w$_i$] + v$_i$ }

LET'S WRITE A BOTTOM-UP DP ALGORITHM

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

K[x] = $\max_i$ {  +  }

= $\max_i$ { K[x – w_i] + v_i }

EXAMPLE

	0	1	2	3	4
K	0				
ITEMS					

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x\ - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1			
ITEMS					

ITEMS[1] = ITEMS[0] + 

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x\ - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1	2		
ITEMS					

ITEMS[2] = ITEMS[1] + 

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1	4		
ITEMS					

ITEMS[2] = ITEMS[0] + 

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x\ - w_i] + v_i\ \}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1	4	5	
ITEMS		🐢	💡	💡 🐢	

ITEMS[3] = ITEMS[2] + 🐢

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1	4	6	
ITEMS					

ITEMS[3] = ITEMS[0] + 

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

	0	1	2	3	4
K	0	1	4	6	7
ITEMS		🐢	💡	🍉	🍉 🐢

ITEMS[4] = ITEMS[3] + 🐢

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

EXAMPLE

	0	1	2	3	4
K	0	1	4	6	8
ITEMS		🐢	💡	🍉	💡 💡

ITEMS[4] = ITEMS[2] + 💡

- UnboundedKnapsack(W, n, weights, values):
  - K[0] = 0
  - ITEMS[0] = ∅
  - **for** x = 1, …, W:
    - K[x] = 0
    - **for** i = 1, …, n:
      - **if** $w_i \leq x$:
        - $K[x] = \max\{\, K[x], K[x - w_i] + v_i \,\}$
        - If K[x] was updated:
          - ITEMS[x] = ITEMS[x – w_i] ∪ { item i }
  - **return** ITEMS[W]

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 4

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

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- **Step 5:** If needed, code this up.

WHAT HAVE WE LEARNED?

- We can solve unbounded knapsack in time O(nW).
  - If there are n items and our knapsack has capacity W.

- We again went through the steps to create DP solution:
  - We kept a one-dimensional table, creating smaller problems by making the knapsack smaller.

Capacity: 10

Item:

Item:					
Weight:	6	2	4	3	11
Value:	20	8	14	13	35

- Unbounded Knapsack:
  - Suppose I have infinite copies of all of the items.
  - What's the most valuable way to fill the knapsack?

Total weight: 10
Total value: 42

- 0/1 Knapsack:
  - Suppose I have only one copy of each item.
  - What's the most valuable way to fill the knapsack?

Total weight: 9
Total value: 35

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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- **Step 5:** If needed, code this up

OPTIMAL SUBSTRUCTURE: TRY 1

- Sub-problems:
  - Unbounded Knapsack with a smaller knapsack.

First solve the problem for small knapsacks

Then larger knapsacks

Then larger knapsacks

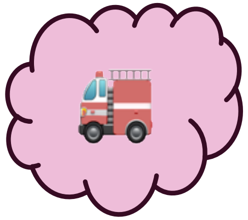
THIS WON'T QUITE WORK…

- We are only allowed **one copy of each item**.
- The sub-problem needs to "know" what items we've used and what we haven't.

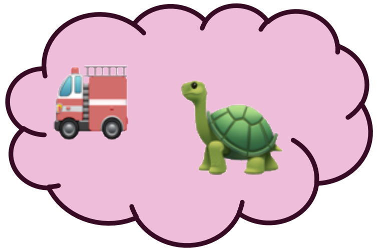
OPTIMAL SUBSTRUCTURE: TRY 2

- Sub-problems:
  - 0/1 Knapsack with fewer items.

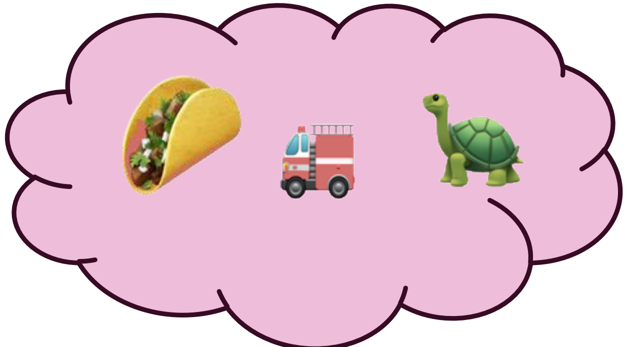
First solve the problem with few items



Then more items



Then yet more items

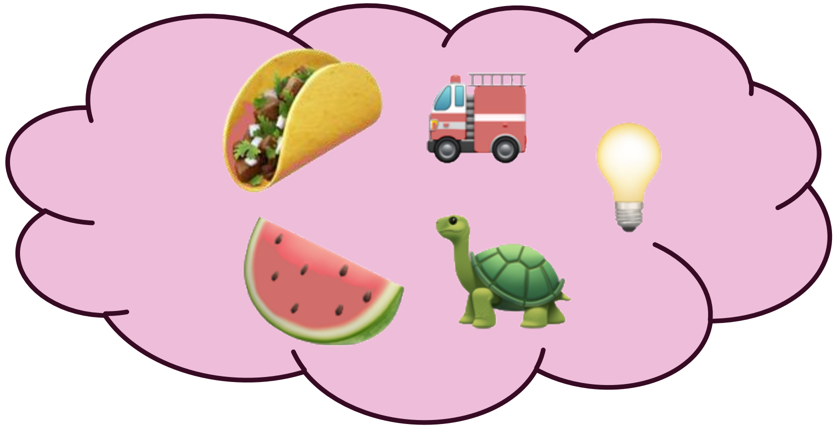


We'll still increase the size of the knapsacks.

(We'll keep a two-dimensional table).

OUR SUB-PROBLEMS:

- Indexed by x and j



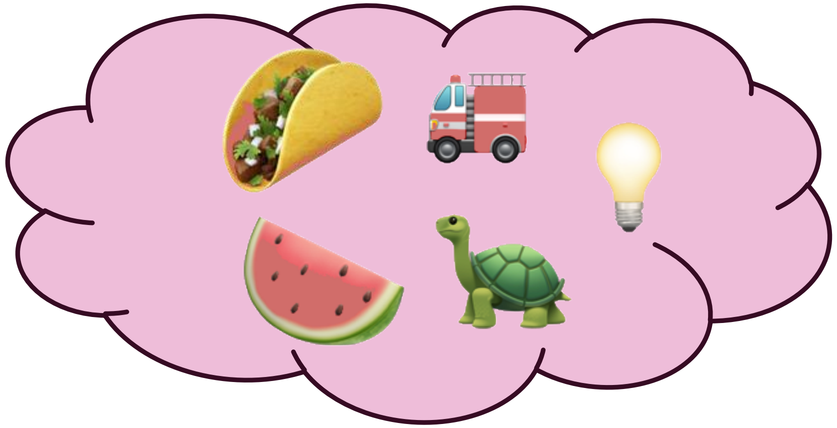
First j items

Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

RELATIONSHIP BETWEEN SUB-PROBLEMS

- Want to write K[x,j] in terms of smaller sub-problems.



First j items

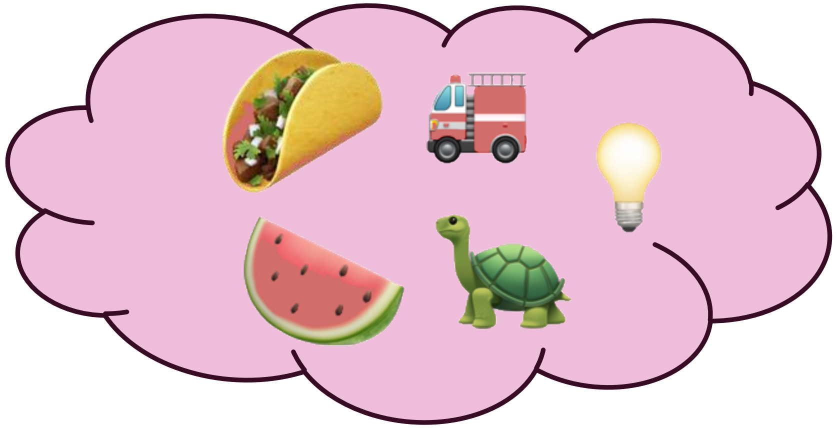
Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

TWO CASES


item j

- Case 1: Optimal solution for j items does not use item j.
- Case 2: Optimal solution for j items does use item j.


First j items

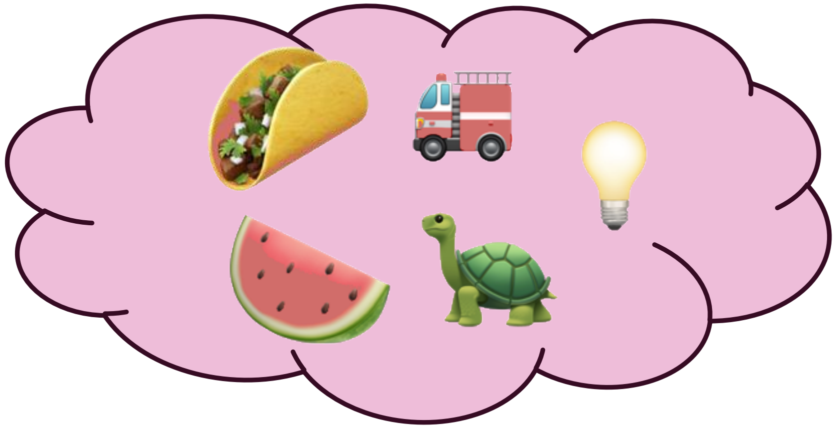

Capacity x

K[x,j] = optimal solution for a knapsack of size x using only the first j items.

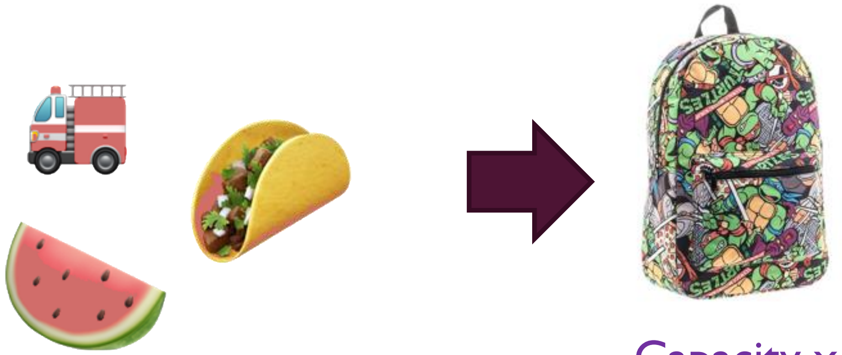
TWO CASES

item j

- **Case 1**: Optimal solution for j items does not use item j.

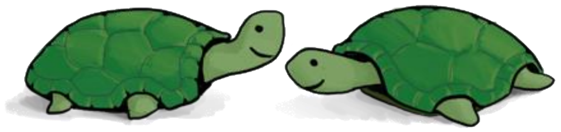


First j items



Capacity x
Value V
Use only the first j items

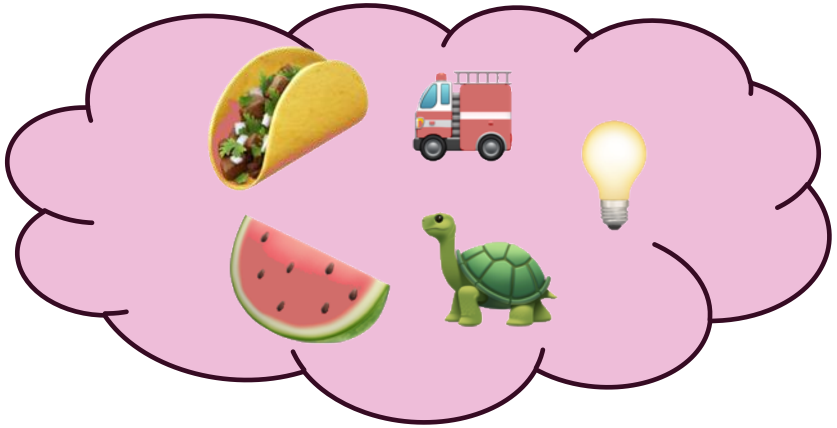
What lower-indexed problem should we solve to solve this problem?

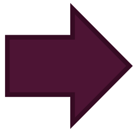


TWO CASES

item j

- **Case 1**: Optimal solution for j items does not use item j.

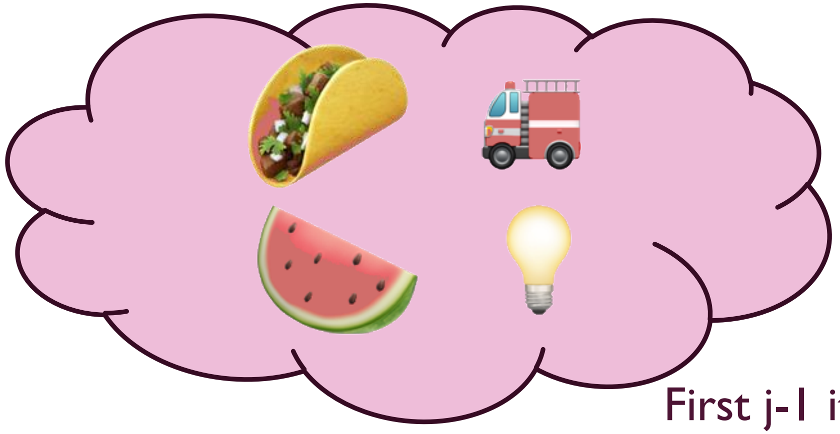


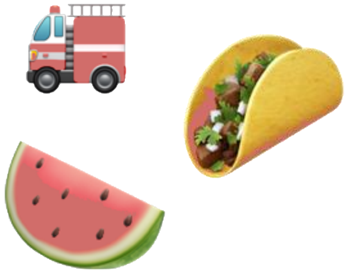


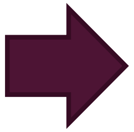
First j items

Capacity x
Value V
Use only the first j items

- Then this is an optimal solution for j-1 items:







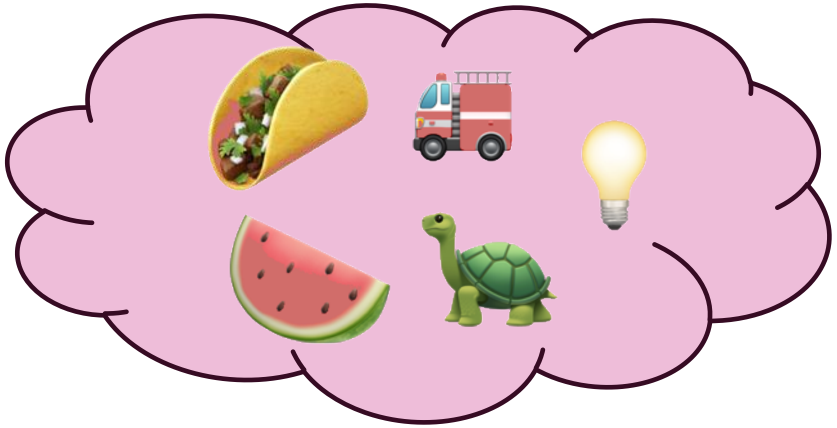
First j-1 items

Capacity x
Value V
Use only the first j-1 items.

TWO CASES

item j

- **Case 2**: Optimal solution for j items uses item j.



First j items

Weight w_j

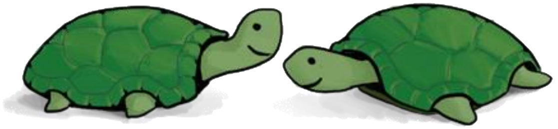
Value v_j

Capacity x

Value V

Use only the first j items

What lower-indexed problem should we solve to solve this problem?



TWO CASES

item j

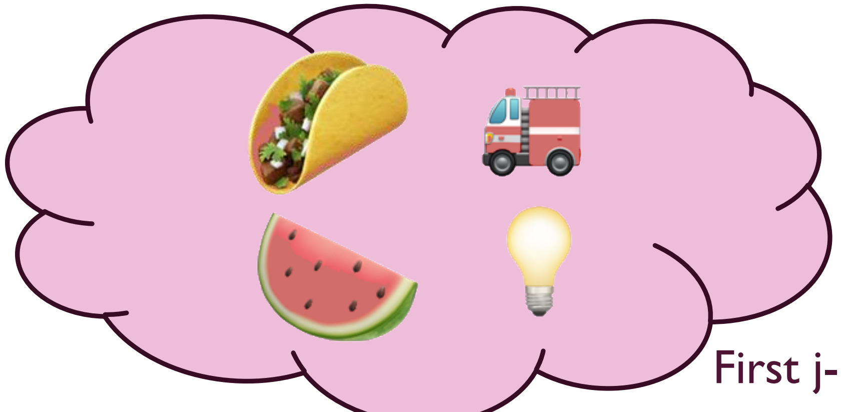
- Case 2: Optimal solution for j items uses item j.

First j items

Weight w_j
Value v_j

Capacity x
Value V
Use only the first j items

- Then this is an optimal solution for j-1 items and a smaller knapsack:



First j-1 items

Capacity $x - w_j$
Value $V - v_j$
Use only the first j-1 items.

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

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- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up

RECURSIVE RELATIONSHIP

- Let K[x,j] be the optimal value for:
  - capacity x,
  - with j items.

$$K[x,j] = \max\{ \underbrace{K[x, j-1]}_{\text{Case 1}} , \underbrace{K[x - w_j, j-1] + v_j}_{\text{Case 2}} \}$$

- (And K[x,0] = 0 and K[0,j] = 0).

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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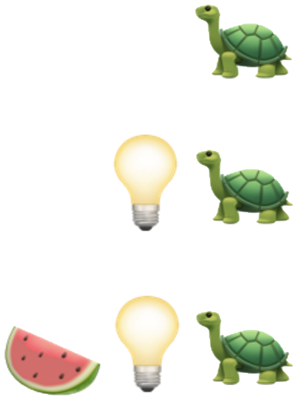
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up

BOTTOM-UP DP ALGORITHM

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1] <u>Case 1</u>
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j } <u>Case 2</u>
  - **return** K[W,n]

Running time O(nW)

EXAMPLE



	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0			
j=2	0			
j=3	0			

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

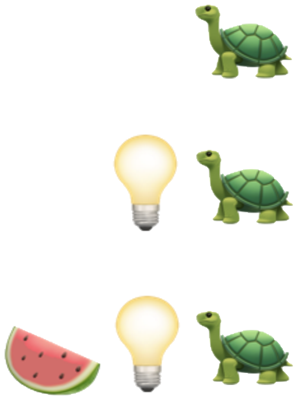
current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE



	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	0		
j=2	0			
j=3	0			

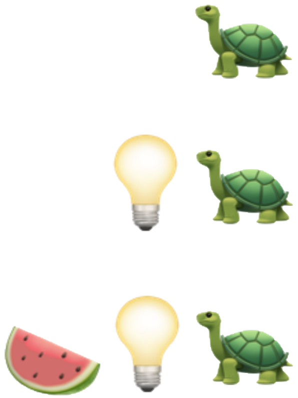
- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:				
Weight:	1	2	3	
Value:	1	4	6	Capacity: 3

EXAMPLE



	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1		
j=2	0			
j=3	0			

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1		
j=2	0	1		
j=3	0			

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j],
          K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:				
Weight:	1	2	3	
Value:	1	4	6	Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1		
j=2	0	1		
j=3	0	1		

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

Item:	turtle	light bulb	watermelon
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

		x=0	x=1	x=2	x=3
	j=0	0	0	0	0
🐢	j=1	0	1 🐢	0	
💡 🐢	j=2	0	1 🐢		
🍉 💡 🐢	j=3	0	1 🐢		

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[$x - w_j$, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	
j=2	0	1		
j=3	0	1		

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:	turtle	lightbulb	watermelon	
Weight:	1	2	3	
Value:	1	4	6	Capacity: 3

EXAMPLE

		x=0	x=1	x=2	x=3
	j=0	0	0	0	0
🐢	j=1	0	1 🐢	1 🐢	
💡 🐢	j=2	0	1 🐢	1 🐢	
🍉 💡 🐢	j=3	0	1 🐢		

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:	🐢	💡	🍉	
Weight:	1	2	3	
Value:	1	4	6	Capacity: 3

EXAMPLE

		x=0	x=1	x=2	x=3
	j=0	0	0	0	0
🐢	j=1	0	1 🐢	1 🐢	
💡 🐢	j=2	0	1 🐢	4 💡	
🍉 💡 🐢	j=3	0	1 🐢		

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	
j=2	0	1	4	
j=3	0	1	4	

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

		x=0	x=1	x=2	x=3
	j=0	0	0	0	0
🐢	j=1	0	1 🐢	1 🐢	0
💡 🐢	j=2	0	1 🐢	4 💡	
🍉 💡 🐢	j=3	0	1 🐢	4 💡	

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	
j=3	0	1	4	

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], $K[x - w_j, j-1] + v_j$ }
  - **return** K[W,n]

current entry

relevant previous entry

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	1
j=3	0	1	4	

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	5
j=3	0	1	4	

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

current entry

relevant previous entry

Item:				
Weight:	1	2	3	
Value:	1	4	6	Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	5
j=3	0	1	4	5

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

Item:			
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

EXAMPLE

	x=0	x=1	x=2	x=3
j=0	0	0	0	0
j=1	0	1	1	1
j=2	0	1	4	5
j=3	0	1	4	6

current entry

relevant previous entry

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

Item:				
Weight:	1	2	3	
Value:	1	4	6	

Capacity: 3

EXAMPLE

- Zero-One-Knapsack(W, n, w, v):
  - K[x,0] = 0 for all x = 0,…,W
  - K[0,i] = 0 for all i = 0,…,n
  - **for** x = 1,…,W:
    - **for** j = 1,…,n:
      - K[x,j] = K[x, j-1]
      - **if** $w_j \leq x$:
        - K[x,j] = max{ K[x,j], K[x – w_j, j-1] + v_j }
  - **return** K[W,n]

		x=0	x=1	x=2	x=3
	j=0	0	0	0	0
🐢	j=1	0	1 🐢	1 🐢	1 🐢
💡 🐢	j=2	0	1 🐢	4 💡	5 🐢 💡
🍉 💡 🐢	j=3	0	1 🐢	4 💡	6 🍉

So the optimal solution is to put one watermelon in your knapsack!

current entry

relevant previous entry

Item:	🐢	💡	🍉
Weight:	1	2	3
Value:	1	4	6

Capacity: 3

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
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- **Step 5:** If needed, code this up

WHAT HAVE WE LEARNED?

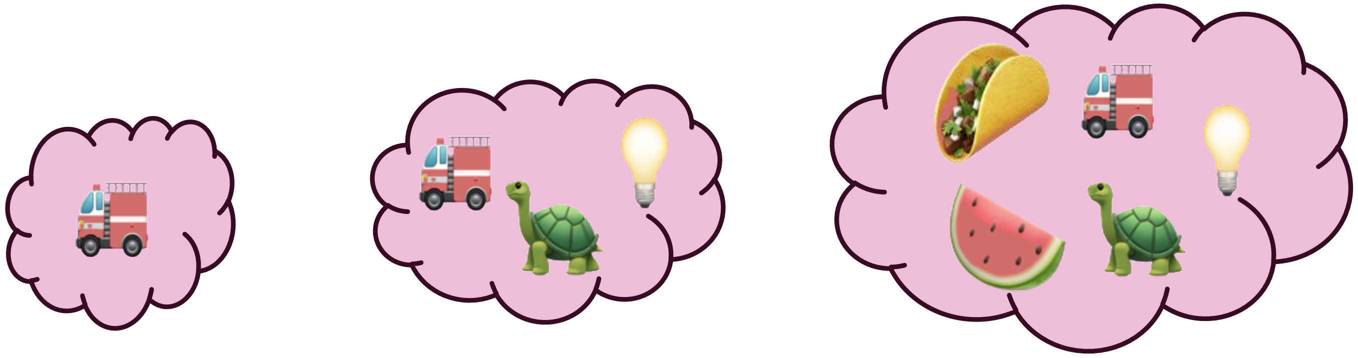
- We can solve 0/1 knapsack in time O(nW).
  - If there are n items and our knapsack has capacity W.

- We again went through the steps to create DP solution:
  - We kept a two-dimensional table, creating smaller problems by restricting the set of allowable items.

QUESTION

- How did we know which substructure to use in which variant of knapsack?

vs.



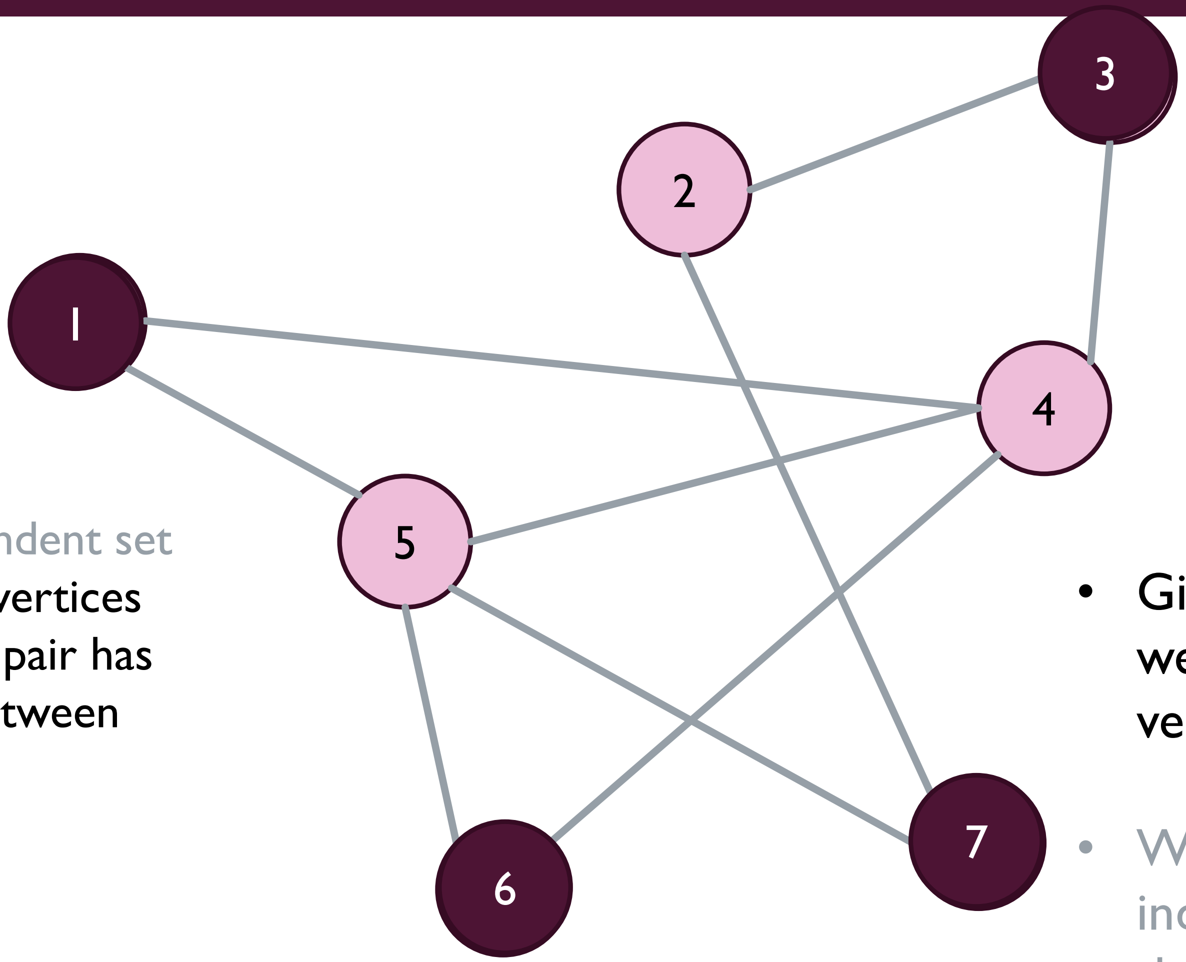
Answer in retrospect:

This one made sense for unbounded knapsack because it doesn't have any memory of what items have been used.

In 0/1 knapsack, we can only use each item once, so it makes sense to leave out one item at a time.

Operational Answer: try some stuff, see what works!

EXAMPLE 3: INDEPENDENT SET



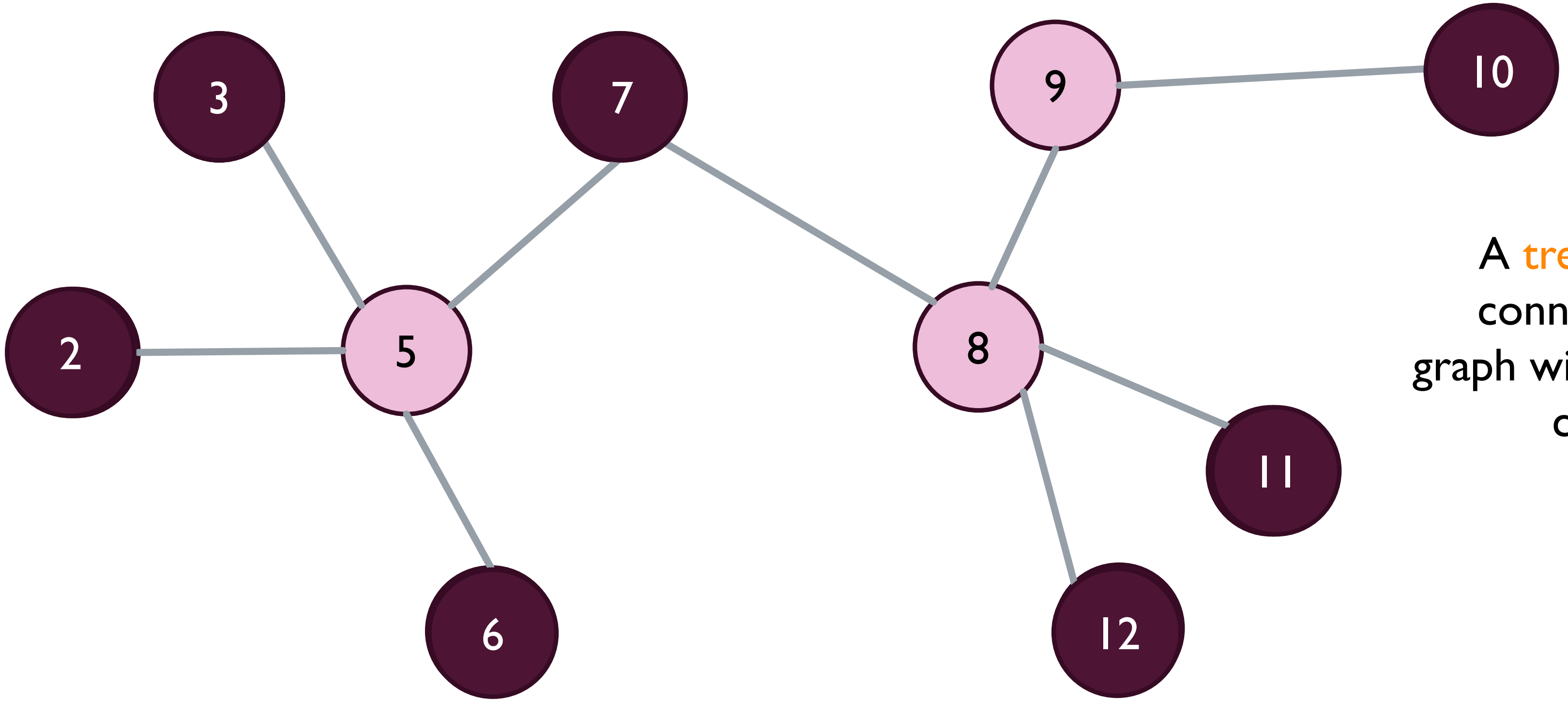
An independent set is a set of vertices so that no pair has an edge between them.

- Given a graph with weights on the vertices…
- What is the largest-weight independent set?

ACTUALLY, THIS PROBLEM IS NP-COMPLETE.

SO, WE ARE UNLIKELY TO FIND AN EFFICIENT ALGORITHM.

- But if we also assume that the graph is a tree…



A tree is a connected graph with no cycles.

Problem:

find a maximal independent set in a tree (with vertex weights).

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

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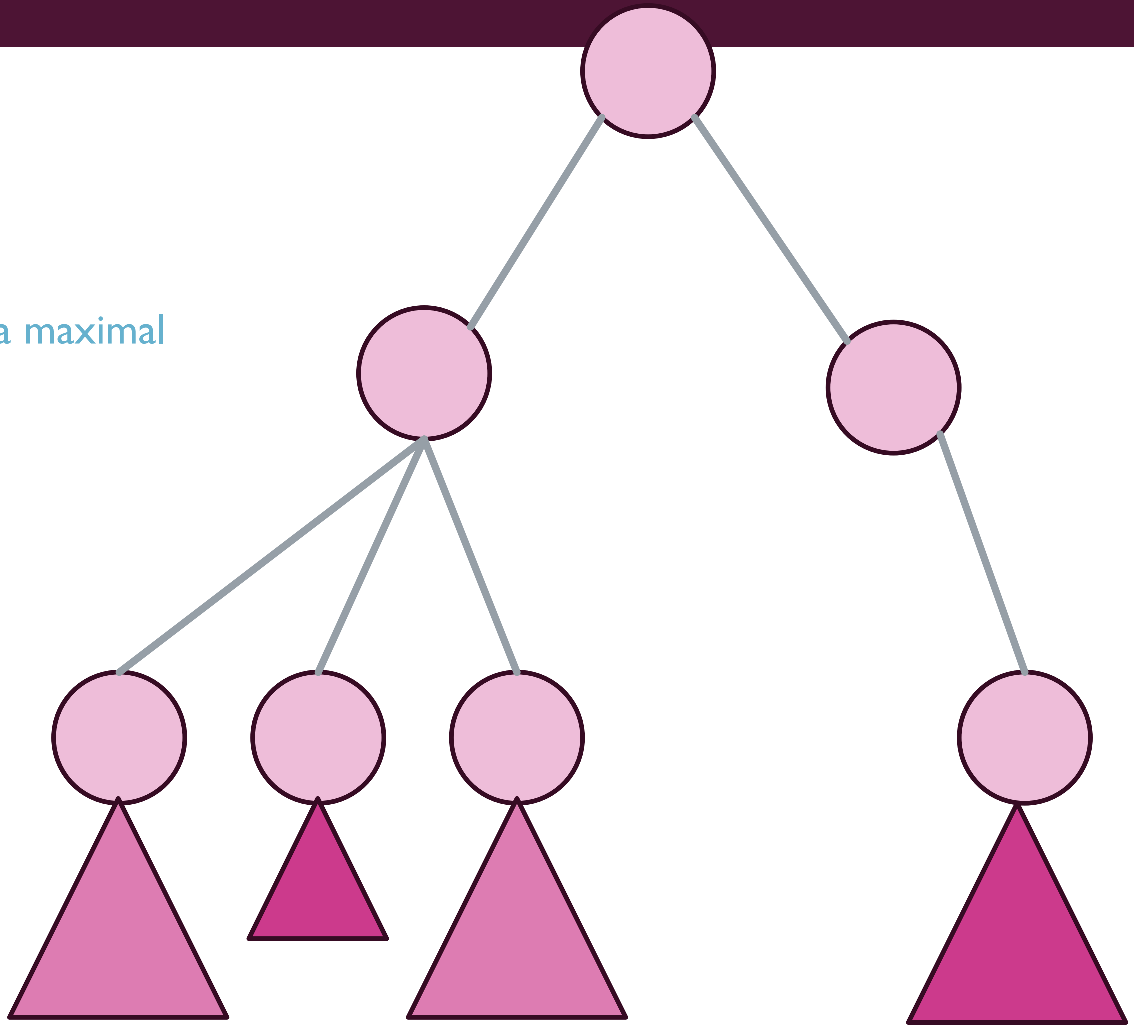
- **Step 5:** If needed, code this up.

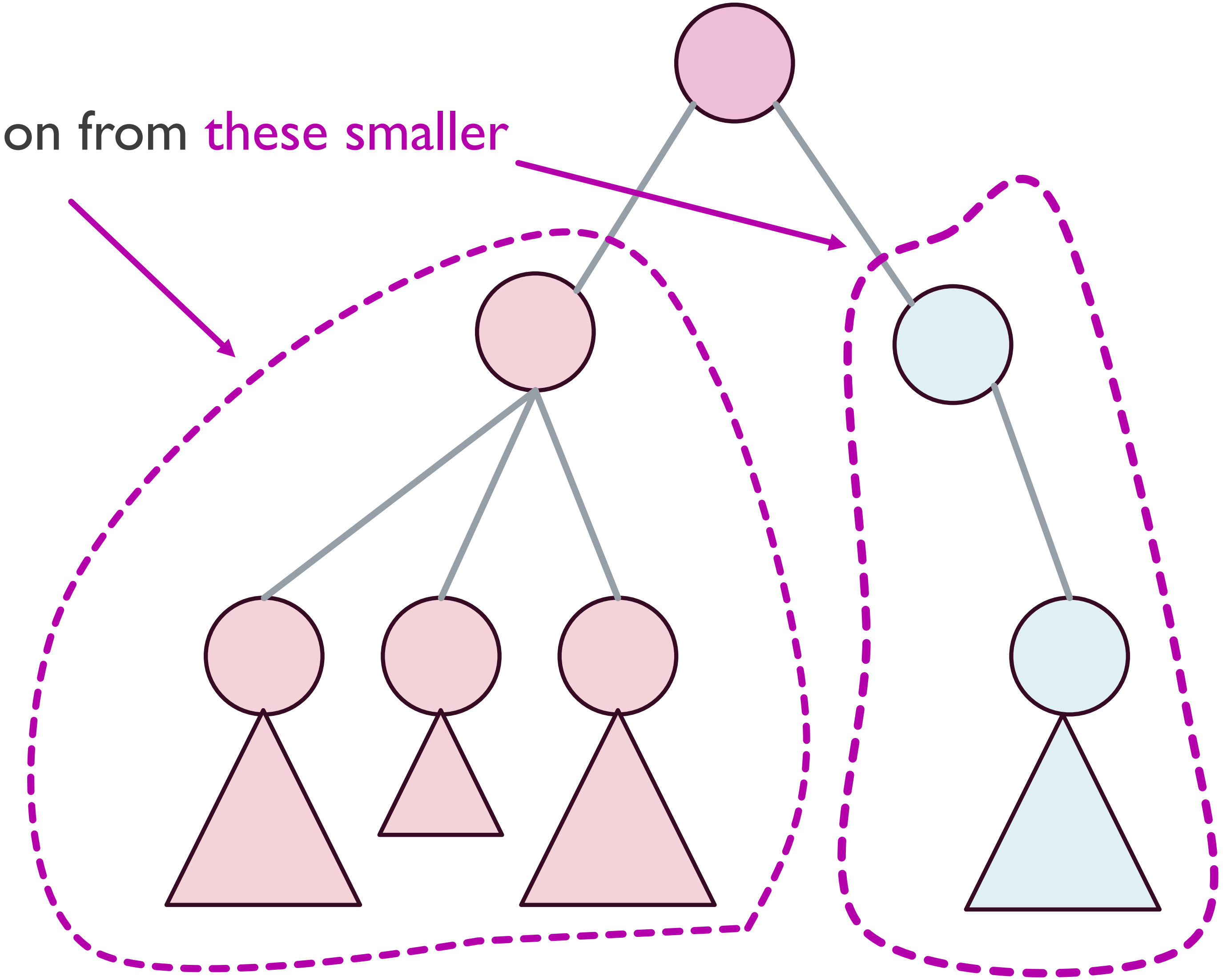
OPTIMAL SUBSTRUCTURE

- **Subtrees** are a natural candidate.
- There are **two cases**:
  1. The root of this tree is **not** in a maximal independent set.
  2. Or it is.

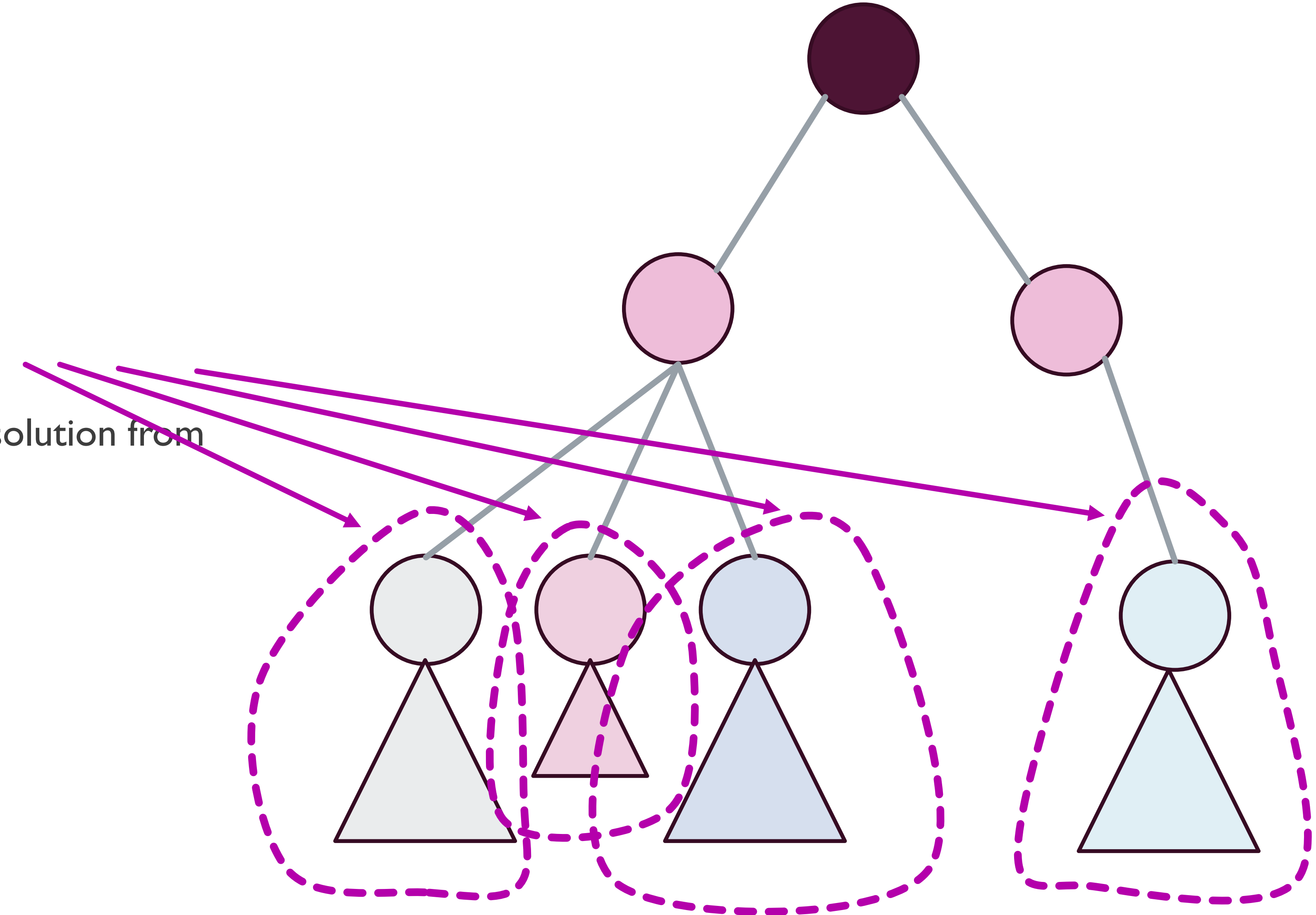


CASE 1:
THE ROOT IS **NOT** IN A MAXIMAL INDEPENDENT SET

- Use the optimal solution from these smaller problems.



CASE 2:
THE ROOT IS IN AN MAXIMAL INDEPENDENT SET



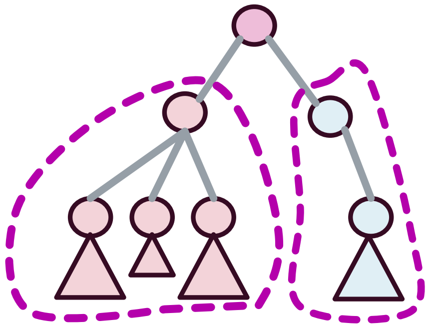
- Then its children can't be.
- Below that, use the optimal solution from these smaller subproblems.

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.

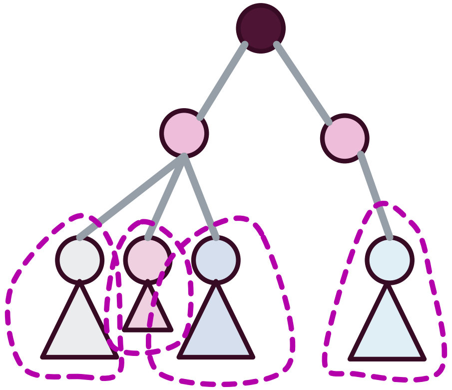
RECURSIVE FORMULATION: TRY 1

- Let A[u] be the weight of a maximal independent set in the tree rooted at u.



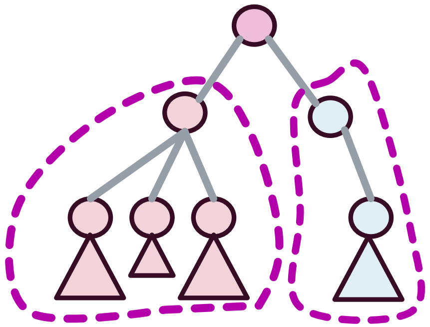
- $A[u] = \max \begin{cases} \sum_{v \in u.\text{children}} A[v] \\ \text{weight}(u) + \sum_{v \in u.\text{grandchildren}} A[v] \end{cases}$

When we implement this, how do we keep track of this term?

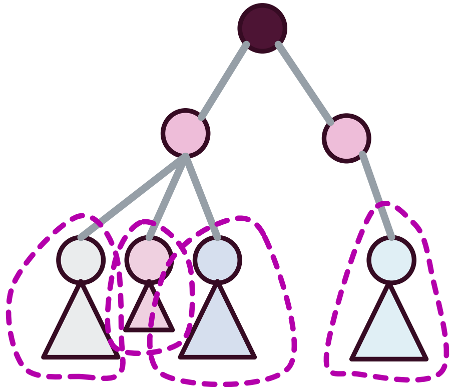


RECURSIVE FORMULATION: TRY 2

- Let A[u] be the weight of a maximal independent set in the tree rooted at u.
- Let B[u] = $\sum_{v \in u.\text{children}} A[v]$



- $A[u] = \max \begin{cases} \sum_{v \in u.\text{children}} A[v] \\ \text{weight}(u) + \sum_{v \in u.\text{children}} B[v] \end{cases}$



RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
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- **Step 5:** If needed, code this up.

A TOP-DOWN DP ALGORITHM

- MIS_subtree(u):
  - **if** u is a leaf:
    - A[u] = weight(u)
    - B[u] = 0
  - **else**:
    - **for** v in u.children:
      - MIS_subtree(v)
    - $A[u] = \max\{ \sum_{v \in u.\text{children}} A[v] , \text{weight}(u) + \sum_{v \in u.\text{children}} B[v] \}$
    - $\text{B}[u] = \sum_{v \in u.\text{children}} A[v]$

Initialize global arrays A, B that we will use in all of the recursive calls.

- MIS(T):
  - MIS_subtree(T.root)
  - **return** A[T.root]

Running time?

- We visit each vertex once, and for every vertex we do O(1) work:
  - Make a recursive call
  - Participate in summations of parent node
- Running time is O(|V|)

WHY IS THIS DIFFERENT FROM DIVIDE-AND-CONQUER?

THAT'S ALWAYS WORKED FOR US WITH TREE PROBLEMS BEFORE…

- MIS_subtree(u):
  - **if** u is a leaf:
    - **return** weight(u)
  - **else**:
    - **return** max{ $\sum_{v \in u.\text{children}} \text{MIS\_subtree}(v)$,

      $\text{weight}(u) + \sum_{v \in u.\text{grandchildren}} \text{MIS\_subtree}(v)$ }

This is exactly the same pseudocode, except we've ditched the table and are just calling MIS_subtree(v) instead of looking up A[v] or B[v].

- MIS(T):
  - **return** MIS_subtree(T.root)

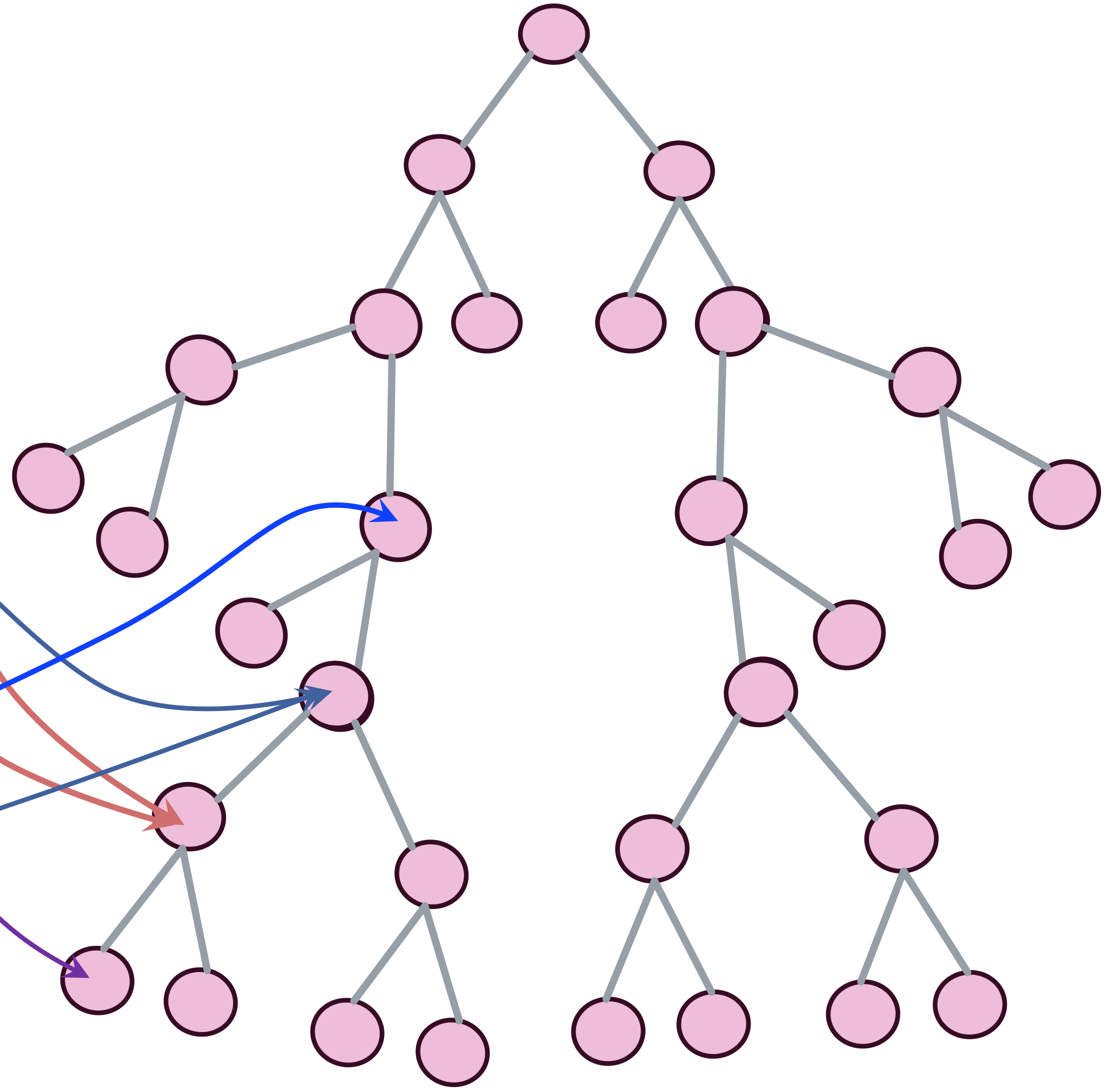
Why is this different from divide-and-conquer?

That's always worked for us with tree problems before…

How often would we ask about the subtree rooted **here?**

Once for **this node** and once for **this one**.

But we then ask about **this node** twice, **here** and **here**.



This will blow up exponentially without using dynamic programming to take advantage of **overlapping subproblems**.

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.

WHAT HAVE WE LEARNED?

- We can find maximal independent sets in trees in time O(|V|) using dynamic programming!

- For this example, it was natural to implement our DP algorithm in a top-down way.

RECAP

- We saw examples of how to come up with dynamic programming algorithms.
  - Longest Common Subsequence
  - Knapsack two ways
  - (If time) maximal independent set in trees.
- There is a **recipe** for dynamic programming algorithms.

RECIPE FOR APPLYING DYNAMIC PROGRAMMING

- **Step 1:** Identify optimal substructure.
- **Step 2:** Find a recursive formulation for the value of the optimal solution.
- **Step 3:** Use dynamic programming to find the value of the optimal solution.
- **Step 4:** If needed, keep track of some additional info so that the algorithm from Step 3 can find the actual solution.
- **Step 5:** If needed, code this up.