CS-663 Assignment 4 Q6

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6

What will happen if you test your system on images of people which were not part of the training set? (i.e. the last 8 people from the ORL database). What mechanism will you use to report the fact that there is no matching identity? Work this out carefully and explain briefly in your report. Write code to test whatever you propose on all the 32 remaining images (i.e. 8 people times 4 images per person), as also the entire test set containing 6 images each of the first 32 people. How many false positives/negatives did you get? [15 points] myTestUnknown.py

```
import numpy as np
1
    import matplotlib.pyplot as plt
2
    import cv2
3
    import os
4
    import sys
5
6
    def find_mean(array):
         """Find the mean of train data
         : param\ array\ :\ the\ train\ image\ matrix
9
10
         :output mean : the mean image vector
11
12
         mean = np.mean(array,axis=0)
13
         return mean
14
     def im2double(im):
15
         """Normalizes the image to be in range 0-1
16
         :param im : input image
17
         :output out : min-max normalized output
18
         11 11 11
19
         min_val = np.min(im)
20
21
         max_val = np.max(im)
         out = (im.astype('float') - min_val) / (max_val - min_val)
22
23
24
         return out
25
    def read_train_images_ORL(file_path, subjects, images_per_subject):
26
         """Reads the ORL train image data and returns a stacked array
27
         :param file_path: the folder path of ORL dataset
28
         :param subjects: the number of subjects under training consideration
29
         :param images_per_subject: the number of images to consider for each
30
                                      test subject
31
         :output image_array: stacked array output
32
33
34
         image_array = []
35
         for i in range(1,subjects+1):
             folder_path = os.path.join(file_path,"s"+str(i))
36
             #print(folder_path)
37
             for j in range(1,images_per_subject+1):
38
                 filepath = os.path.join(folder_path,str(j)+".pgm")
39
                 im = cv2.imread(filepath,0)
40
```

```
image_array.append(im2double(im).ravel())
41
42
43
          return np.array(image_array)
44
     {\tt def} \ \ {\tt read\_test\_images\_ORL} ({\tt file\_path}, {\tt subjects}, {\tt images\_per\_subject}, {\tt starting\_index}):
45
          """Reads the ORL test image data and returns a stacked array
46
          : param\ file\_path:\ the\ folder\ path\ of\ \mathit{ORL}\ dataset
47
          :param subjects: the number of subjects under test
48
          :param images_per_subject: the number of images to consider for each
49
                                        test subject
50
          :param starting_index: starting image number for test images
51
          :output image_array: stacked array output
52
          test_image_array_last = []
55
          test_image_labels_last = []
56
57
58
          for i in range(1,subjects+1):
59
              folder_path = os.path.join(file_path, "s"+str(i))
60
              for j in range(starting_index,starting_index+images_per_subject):
61
                  filepath = os.path.join(folder_path,str(j)+".pgm")
62
                  im = cv2.imread(filepath,0)
63
64
                  test_image_array_last.append(im2double(im).ravel())
                  test_image_labels_last.append("s"+str(i))
65
66
67
          for i in range(subjects+1,41):
              folder_path = os.path.join(file_path, "s"+str(i))
68
              for j in range(1,11):
69
                  filepath = os.path.join(folder_path,str(j)+".pgm")
70
                  im = cv2.imread(filepath.0)
71
                  test_image_array_last.append(im2double(im).ravel())
72
                  test_image_labels_last.append("s"+str(i))
73
74
75
          test_image_array_last = np.array(test_image_array_last)
76
77
          return test_image_array_last
78
79
     def subtract_mean(mean,train,test):
          """Subtracts the mean of the training data from both the
80
          train and the test stacked array
81
          :param mean: the mean of the training data
82
          :param train: the train images stack array
83
          :param test: the test images stack array
84
          :output train_mean: mean subtracted train stack
85
86
          :output test_mean: mean subtracted test stack
87
         train_mean = train-mean
88
89
          test_mean = test-mean
90
91
          return train_mean, test_mean
92
     def normalize_vecs(array):
93
          """Normalizes the Unitary vector matrix
94
          :param array: the unitary matrix of vectors from svd
95
          :output array: the normalized array
96
97
          _,c = array.shape
98
          for i in range(c):
              array[:,i] = array[:,i]/(np.sqrt(np.sum(np.square(array[:,i]))))
101
102
          return array
103
     def calculate_svd(array):
104
          """Calculates svd of the train stack
105
```

```
106
          :param array: the train stack
          :output \mathit{U}: the left unitary matrix of the \mathit{svd} output
107
108
         U,sigma,V_T = np.linalg.svd(array.T,full_matrices=False)
109
          U = normalize vecs(U)
110
          return U
111
112
113
     def calculate_alpha(V,train,test):
          \hbox{\it """} {\it Calculates the reconstruction matrix alpha for train and test images}
          : param\ \textit{V: the unitary matrix from decomposition of train stack}
117
          :param train: the train stack
          : param\ test:\ the\ test\ stack
118
119
          alpha_train = np.matmul(V.T,train.T)
120
          alpha_test = np.matmul(V.T,test.T)
121
122
          return alpha_train, alpha_test
123
124
125
     def shiftLbyn(arr, n=0):
126
         return arr[n::] + arr[:n:]
127
128
129
     def calculate_mean(array):
130
         mean = np.mean(array,axis=0)
131
          return mean
132
     def normalize_vector(U):
133
          _,c = U.shape
134
          for i in range(c):
135
              U[:,i] = U[:,i]/(np.sqrt(np.sum(np.square(U[:,i]))))
136
          return U
137
138
139
     def cross_validate(array,num):
140
          r,_ = array.shape
          error = np.zeros(r//num)
141
          for i in range(0,r,num):
142
              max_error = 0
143
              squared_error = 0
144
              for j in range(6):
145
                  indices = shiftLbyn(list(range(6)),j)
146
                  train_indices = indices[:-1]
147
                  test_index = indices[-1]
148
                  train_images = []
151
                  for k in train_indices:
152
                       train_images.append(array[i+k,:])
153
                  train_images = np.array(train_images)
                  mean = calculate_mean(train_images)
154
                  train_images = train_images - mean
155
                  test_image = np.array(array[i+test_index,:])
156
                  test_image = test_image - mean
157
158
159
                  U,sigma,V_T = np.linalg.svd(train_images.T,full_matrices=False)
160
                   #print(U.shape)
161
                  U = normalize_vector(U)
                  alpha_svd =np.matmul(U.T,train_images.T)
164
                   #print("Shape of alpha ",alpha_svd.shape)
165
                  alpha_svd_test = np.matmul(U.T,test_image.T)
166
                   #print("Shape of alpha_test ",alpha_svd_test.shape)
167
168
169
                  squared_error += np.sum(np.square(alpha_svd[:,3:]- alpha_svd_test[3:]))
170
```

```
171
              error[i//num] = squared_error/6
172
173
174
          return error
176
177
178
179
     def calculate_and_plot_prediction_rates(train, test, alpha_train, alpha_test, ks, \
                                                dataset, method, threshold_error, light=False):
180
          """Calculates the prediction rates and plots according to the ks supplied
181
          :param train: the train stack
182
          :param test: the test stack
183
          :param alpha_train: the reconstruction coefficient matrix for the train images
184
          :param\ alpha\_test\colon\ the\ reconstruction\ coefficient\ matrix\ for\ test\ images
185
          :param ks: the list of k (#of coefficients of reconstruction) to consider
186
187
          : param\ dataset:\ the\ name\ of\ the\ dataset\ under\ consideration ({\it YALE\ or\ ORL})
          :param method: the method used to calculate the unitary matrix (eig or svd)
188
          : param\ light:\ whether\ to\ discard\ the\ lighting\ effects\ of\ the\ image
189
190
                         (default: False: means not to discard)
191
          false_positive = 0
192
          true_positive = 0
193
          false_negative = 0
194
          true_negative = 0
195
          for counter, ele in enumerate(alpha_test.T):
196
              errors = np.sum(np.square(alpha_train.T[:,3:]
197
                       -alpha_test.T[counter][3:alpha_train.shape[0]]),axis=1)
              index = np.argmin(errors)
              if counter//4<=31:
                  if counter//4 != index//6:
201
                      false_negative += 1
202
                  elif counter//4 == index//6:
203
                      true_positive += 1
204
              else:
205
                  if errors[index] <= threshold_error[index//6]:</pre>
206
207
                      false_positive += 1
                  else:
208
                      true_negative += 1
210
          print("FP : {} :: FN : {} :: TP : {} :: TN : {}".
211
212
                  format(false_positive,false_negative,true_positive,true_negative))
213
214
215
     def ORL_data(path, subjects, train_images_per_subject, test_images_per_subject, ks):
216
          """The operation pipeline for the training and testing in ORL Dataset
217
          :param path: path to ORL dataset
218
          :param subjects: the number of subjects under training consideration
219
          :param train_images_per_subject: the number of images to consider for each
                                        train subject
          : param\ test\_images\_per\_subject\colon\ the\ number\ of\ images\ to\ consider\ for\ each
                                        test subject
223
          :param ks: the list of k (#of coefficients of reconstruction) to consider
224
225
          train_images = read_train_images_ORL(path,subjects,train_images_per_subject)
226
          threshold_error = cross_validate(train_images,train_images_per_subject)
227
          test_images = read_test_images_ORL
228
              (path, subjects, test_images_per_subject
229
                  ,starting_index=train_images_per_subject+1)
230
          train_mean = find_mean(train_images)
231
232
          train_mean_subtracted,
              test_mean_subtracted = subtract_mean(train_mean, train_images, test_images)
233
          eigen_vectors = calculate_svd(train_mean_subtracted)
234
          alpha_eig_train, alpha_eig_test, ks,dataset="ORL",method="eig")
235
```

```
unitary_vectors = calculate_svd(train_mean_subtracted)
237
        alpha_svd_train, alpha_svd_test = calculate_alpha(unitary_vectors, train_mean_subtracted,
           test_mean_subtracted)
238
239
        240
                   alpha_svd_train, alpha_svd_test, ks,dataset="ORL",
241
                  method="svd",threshold_error=threshold_error)
242
243
     if __name__=="__main__":
244
        ORL_PATH = "../../ORL"
245
        ORL_k = [192]
246
        print("For ORL Dataset :")
        print("ks :",ORL_k)
        ORL_data(ORL_PATH,subjects=32,train_images_per_subject=6,test_images_per_subject=4,ks=ORL_k)
```

What will happen if you test your system on images of people which were not part of the training set?

1. In such a case, whichever train image has the least "distance" from the image being tested will get matched. And since we know, that the test image is not a part of the database, the output of the system will always be incorrect.

What mechanism will you use to report the fact that there is no matching identity

- 1. The mechanism which we have used in this assignment uses the concept of cross validation.
- 2. We take all 6 train images from first 32 people. We calculate the alpha (reconstruction coefficients) values by taking 5 of these as training set and then find the distance of the 6th image from the calculated values.
- 3. This step is repeated for all the 6 images of a person by taking one of them as the test image at a time.
- 4. Thus we have 6 different distance values. The mean of all these 6 values is set as the threshold for declaring a test image being identified as this particular subject.
- 5. This process is repeated to find individual threshold values for all the 32 subjects which are taken in the training dataset.
- 6. For testing, now a test image will be declared as an image of a particular subject only if the error or the "distance" is less than the threshold value which has been calculated for that particular subject.

How many falsepositives/negatives did you get

```
For ORL Dataset : False Negative : 15 :: True Positive : 113 :: True Negative : 22
```