

```
In [40]: # Please do not change this cell because some hidden tests might depend on
import os

# Otter grader does not handle ! commands well, so we define and use our
# own function to execute shell commands.
def shell(commands, warn=True):
    """Executes the string `commands` as a sequence of shell commands.

    Prints the result to stdout and returns the exit status.
    Provides a printed warning on non-zero exit status unless `warn`
    flag is unset.
    """
    file = os.popen(commands)
    print (file.read().rstrip('\n'))
    exit_status = file.close()
    if warn and exit_status != None:
        print(f"Completed with errors. Exit status: {exit_status}\n")
    return exit_status

shell("""
ls requirements.txt >/dev/null 2>&1
if [ ! $? = 0 ]; then
    rm -rf .tmp
    git clone https://github.com/cs187-2021/project4.git .tmp
    mv .tmp/requirements.txt ./
    rm -rf .tmp
fi
pip install -q -r requirements.txt
""")
```

```
In [41]: # Initialize Otter
import otter
grader = otter.Notebook()
```

```
%%latex
\newcommand{\vect}[1]{\mathbf{#1}}
\newcommand{\cnt}[1]{\sharp(#1)}
\newcommand{\argmax}[1]{\underset{#1}{\operatorname{argmax}}}
\newcommand{\softmax}{\operatorname{softmax}}
\newcommand{\Prob}{\Pr}
\newcommand{\given}{\,|\,}
```

CS187

Project 4: Semantic Interpretation – Question Answering

The goal of semantic parsing is to convert natural language utterances to a meaning representation such as a *logical form* expression or a *SQL query*. In the previous project segment, you built a parsing system to reconstruct parse trees from the natural-language queries in the ATIS dataset. However, that only solves an intermediary task, not the end-user task of obtaining answers to the queries.

In this final project segment, you will go further, building a semantic parsing system to convert English queries to SQL queries, so that by consulting a database you will be able to answer those questions. You will implement both a rule-based approach and an end-to-end sequence-to-sequence (seq2seq) approach. Both algorithms come with their pros and cons, and by the end of this segment you should have a basic understanding of the characteristics of the two approaches.

Goals

1. Build a semantic parsing algorithm to convert text to SQL queries based on the syntactic parse trees from the last project.
2. Build an attention-based end-to-end seq2seq system to convert text to SQL.
3. Improve the attention-based end-to-end seq2seq system with self-attention to convert text to SQL.
4. Discuss the pros and cons of the rule-based system and the end-to-end system.
5. (Optional) Use the state-of-the-art pretrained transformers for text-to-SQL conversion.

This will be an extremely challenging project, so we recommend that you start early.

Setup

```
In [42]: import copy
import datetime
import math
import re
import sys
import warnings

import wget
import nltk
import sqlite3
import torch
import torch.nn as nn
import torchtext.legacy as tt

from cryptography.fernet import Fernet
from func_timeout import func_set_timeout
from torch.nn.utils.rnn import pack_padded_sequence as pack
from torch.nn.utils.rnn import pad_packed_sequence as unpack
from tqdm import tqdm
from transformers import BartTokenizer, BartForConditionalGeneration
```

```
In [43]: # Set random seeds
seed = 1234
torch.manual_seed(seed)
# Set timeout for executing SQL
TIMEOUT = 3 # seconds

# GPU check: Set runtime type to use GPU where available
device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
print (device)
```

cpu

```
In [44]: ## Download needed scripts and data
os.makedirs('data', exist_ok=True)
os.makedirs('scripts', exist_ok=True)
source_url = "https://raw.githubusercontent.com/nlp-course/data/master"


# Grammar to augment for this segment
if not os.path.isfile('data/grammar'):
    wget.download(f"{source_url}/ATIS/grammar_distrib4.crypt", out="data/")

# Decrypt the grammar file
key = b'bfksTY2BJ5VKKK9xZb1PDDLgKdu7KCDFYfVePSEfGY='
fernet = Fernet(key)
with open('./data/grammar_distrib4.crypt', 'rb') as f:
    restored = Fernet(key).decrypt(f.read())
with open('./data/grammar', 'wb') as f:
    f.write(restored)

# Download scripts and ATIS database
wget.download(f"{source_url}/scripts/trees/transform.py", out="scripts/")
wget.download(f"{source_url}/ATIS/atis_sqlite.db", out="data/")
```

100%

```
[.....]
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```



```
Out[44]: 'data//atis_sqlite (1).db'
```

```
In [45]: # Import downloaded scripts for parsing augmented grammars
sys.path.insert(1, './scripts')
import transform as xform
```

Semantically augmented grammars

In the first part of this project segment, you'll be implementing a rule-based system for semantic interpretation of sentences. Before jumping into using such a system on the ATIS dataset – we'll get to that soon enough – let's first work with some trivial examples to get things going.

The fundamental idea of rule-based semantic interpretation is the rule of compositionality, that *the meaning of a constituent is a function of the meanings of its immediate subconstituents and the syntactic rule that combined them*. This leads to an infrastructure for specifying semantic

interpretation in which each syntactic rule in a grammar (in our case, a context-free grammar) is associated with a semantic rule that applies to the meanings associated with the elements on the right-hand side of the rule.

Example: arithmetic expressions

As a first example, let's consider an augmented grammar for arithmetic expressions, familiar from lab 3-1. We again use the function `xform.parse_augmented_grammar` to parse the augmented grammar. You can read more about it in the file `scripts/transform.py`.

```
In [46]: arithmetic_grammar, arithmetic_augmentations = xform.parse_augmented_grammar(
    """
    ## Sample grammar for arithmetic expressions

    S -> NUM                                : lambda Num: Num
      | S OP S                              : lambda S1, Op, S2: Op(S1, S2)

    OP -> ADD                               : lambda Op: Op
      | SUB
      | MULT
      | DIV

    NUM -> 'zero'                          : lambda: 0
      | 'one'                              : lambda: 1
      | 'two'                              : lambda: 2
      | 'three'                            : lambda: 3
      | 'four'                             : lambda: 4
      | 'five'                             : lambda: 5
      | 'six'                              : lambda: 6
      | 'seven'                            : lambda: 7
      | 'eight'                            : lambda: 8
      | 'nine'                             : lambda: 9
      | 'ten'                              : lambda: 10

    ADD -> 'plus' | 'added' 'to'           : lambda: lambda x, y: x + y
    SUB -> 'minus'                         : lambda: lambda x, y: x - y
    MULT -> 'times' | 'multiplied' 'by'    : lambda: lambda x, y: x * y
    DIV -> 'divided' 'by'                  : lambda: lambda x, y: x / y
    """
)
```

Recall that in this grammar specification format, rules that are not explicitly provided with an augmentation (like all the `OP` rules after the first `OP -> ADD`) are associated with the textually most recent one (`lambda Op: Op`).

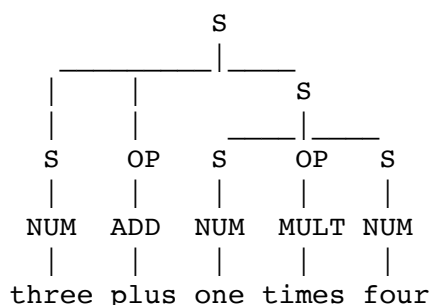
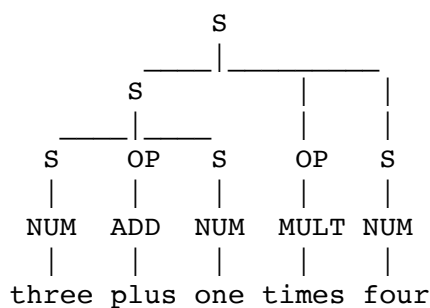
The `parse_augmented_grammar` function returns both an NLTK grammar and a dictionary that maps from productions in the grammar to their associated augmentations. Let's examine the returned grammar.

```
In [47]: for production in arithmetic_grammar.productions():
          print(f"{repr(production):25} {arithmetic_augmentations[production]}")
```

S -> NUM	<function <lambda> at 0x7ffb0513ddc0>
S -> S OP S	<function <lambda> at 0x7ffb0513dc10>
OP -> ADD	<function <lambda> at 0x7ffb04fd3040>
OP -> SUB	<function <lambda> at 0x7ffb04fd3dc0>
OP -> MULT	<function <lambda> at 0x7ffb04fd3e50>
OP -> DIV	<function <lambda> at 0x7ffb04fd3ee0>
NUM -> 'zero'	<function <lambda> at 0x7ffb04fd3f70>
NUM -> 'one'	<function <lambda> at 0x7ffb05212040>
NUM -> 'two'	<function <lambda> at 0x7ffb052120d0>
NUM -> 'three'	<function <lambda> at 0x7ffb05212160>
NUM -> 'four'	<function <lambda> at 0x7ffb052121f0>
NUM -> 'five'	<function <lambda> at 0x7ffb05212280>
NUM -> 'six'	<function <lambda> at 0x7ffb05212310>
NUM -> 'seven'	<function <lambda> at 0x7ffb052123a0>
NUM -> 'eight'	<function <lambda> at 0x7ffb05212430>
NUM -> 'nine'	<function <lambda> at 0x7ffb052124c0>
NUM -> 'ten'	<function <lambda> at 0x7ffb05212550>
ADD -> 'plus'	<function <lambda> at 0x7ffb052125e0>
ADD -> 'added' 'to'	<function <lambda> at 0x7ffb05212670>
SUB -> 'minus'	<function <lambda> at 0x7ffb05212700>
MULT -> 'times'	<function <lambda> at 0x7ffb05212790>
MULT -> 'multiplied' 'by'	<function <lambda> at 0x7ffb05212820>
DIV -> 'divided' 'by'	<function <lambda> at 0x7ffb052128b0>

We can parse with the grammar using one of the built-in NLTK parsers.

```
In [48]: arithmetic_parser = nltk.parse.BottomUpChartParser(arithmetic_grammar)
pareses = [p for p in arithmetic_parser.parse('three plus one times four').sp
for parse in pareses:
    parse.pretty_print()]
```



Now let's turn to the augmentations. They can be arbitrary Python functions applied to the semantic representations associated with the right-hand-side nonterminals, returning the semantic representation of the left-hand side. To interpret the semantic representation of the entire sentence (at the root of the parse tree), we can use the following pseudo-code:

```
to interpret a tree:
    interpret each of the nonterminal-rooted subtrees
    find the augmentation associated with the root production of the
    tree
    (it should be a function of as many arguments as there are nonterminals on the right-hand side)
    return the result of applying the augmentation to the subtree values
```

(The base case of this recursion occurs when the number of nonterminal-rooted subtrees is zero, that is, a rule all of whose right-hand side elements are terminals.)

Suppose we had such a function, call it `interpret`. How would it operate on, for instance, the tree `(S (S (NUM three)) (OP (ADD plus)) (S (NUM one)))`?

```
interpret (S (S (NUM three)) (OP (ADD plus)) (S (NUM one)))
  |->interpret (S (NUM three))
  |   |->interpret (NUM three)
  |   |   |->(no subconstituents to evaluate)
  |   |   |->apply the augmentation for the rule NUM -> three to the empty set of values
  |   |   (lambda: 3) () ==> 3
  |   |   \==> 3
  |   |->apply the augmentation for the rule S -> NUM to the value 3
  |   (lambda NUM: NUM)(3) ==> 3
  |   \==> 3
  |->interpret (OP (ADD plus))
  |   |...
  |   \==> lambda x, y: x + y
  |->interpret (S (NUM one))
  |   |...
  |   \==> 1
  |->apply the augmentation for the rule S -> S OP S to the values 3, (lambda x, y: x + y), and 1
  |   (lambda S1, Op, S2: Op(S1, S2))(3, (lambda x, y: x + y), 1) ==> 4
  \==> 4
```

Thus, the string "three plus one" is semantically interpreted as the value 4.

We provide the `interpret` function to carry out this recursive process, copied over from lab 4-2:

```
In [49]: def interpret(tree, augmentations):  
    syntactic_rule = tree.productions()[0]  
    semantic_rule = augmentations[syntactic_rule]  
    child_meanings = [interpret(child, augmentations)  
                       for child in tree  
                       if isinstance(child, nltk.Tree)]  
    return semantic_rule(*child_meanings)
```

Now we should be able to evaluate the arithmetic example from above.

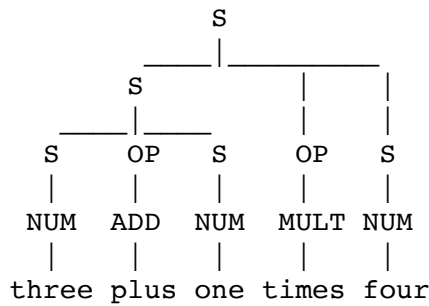
```
In [50]: interpret(parses[0], arithmetic_augmentations)
```

```
Out[50]: 16
```

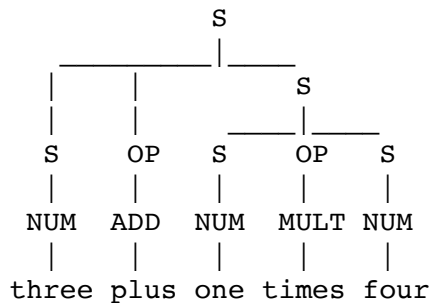
And we can even write a function that parses and interprets a string. We'll have it evaluate each of the possible parses and print the results.

```
In [51]: def parse_and_interpret(string, grammar, augmentations):  
    parser = nltk.parse.BottomUpChartParser(grammar)  
    parses = parser.parse(string.split())  
    for parse in parses:  
        parse.pretty_print()  
        print(parse, "==>", interpret(parse, augmentations))
```

```
In [52]: parse_and_interpret("three plus one times four", arithmetic_grammar, arithm
```



```
(S
  (S (S (NUM three)) (OP (ADD plus)) (S (NUM one)))
  (OP (MULT times))
  (S (NUM four))) ==> 16
```



```
(S
  (S (NUM three))
  (OP (ADD plus))
  (S (S (NUM one)) (OP (MULT times)) (S (NUM four)))) ==> 7
```

Since the string is syntactically ambiguous according to the grammar, it is semantically ambiguous as well.

Some grammar specification conveniences

Before going on, it will be useful to have a few more conveniences in writing augmentations for rules. First, since the augmentations are arbitrary Python expressions, they can be built from and make use of other functions. For instance, you'll notice that many of the augmentations at the leaves of the tree took no arguments and returned a constant. We can define a function `constant` that returns a function that ignores its arguments and returns a particular value.

```
In [53]: def constant(value):
          """Return `value`, ignoring any arguments"""
          return lambda *args: value
```

Similarly, several of the augmentations are functions that just return their first argument. Again, we can define a generic form `first` of such a function:


```
In [54]: def first(*args):
          """Return the value of the first (and perhaps only) subconstituent,
          ignoring any others"""
          return args[0]
```

We can now rewrite the grammar above to take advantage of these shortcuts.

In the call to `parse_augmented_grammar` below, we pass in the global environment, extracted via a `globals()` function call, via the named argument `globals`. This allows the `parse_augmented_grammar` function to make use of the global bindings for `constant`, `first`, and the like when evaluating the augmentation expressions to their values. You can check out the code in `transform.py` to see how the passed in `globals` bindings are used. To help understand what's going on, see what happens if you don't include the `globals=globals()`.

```
In [55]: arithmetic_grammar_2, arithmetic_augmentations_2 = xform.parse_augmented_gr
          """
          ## Sample grammar for arithmetic expressions

          S -> NUM                                : first
              | S OP S                            : lambda S1, Op, S2: Op(S1, S2)

          OP -> ADD                                : first
              | SUB
              | MULT
              | DIV

          NUM -> 'zero'                            : constant(0)
              | 'one'                              : constant(1)
              | 'two'                              : constant(2)
              | 'three'                            : constant(3)
              | 'four'                             : constant(4)
              | 'five'                             : constant(5)
              | 'six'                              : constant(6)
              | 'seven'                            : constant(7)
              | 'eight'                            : constant(8)
              | 'nine'                             : constant(9)
              | 'ten'                              : constant(10)

          ADD -> 'plus' | 'added' 'to'             : constant(lambda x, y: x + y)
          SUB -> 'minus'                           : constant(lambda x, y: x - y)
          MULT -> 'times' | 'multiplied' 'by'      : constant(lambda x, y: x * y)
          DIV -> 'divided' 'by'                    : constant(lambda x, y: x / y)
          """,
          globals=globals())
```

Finally, it might make our lives easier to write a template of augmentations whose instantiation depends on the right-hand side of the rule.

We use a reserved keyword `_RHS` to denote the right-hand side of the syntactic rule, which will be replaced by a **list** of the right-hand-side strings. For example, an augmentation `numeric_template(_RHS)` would be as if written as `numeric_template(['zero'])` when the rule is `NUM -> 'zero'`, and `numeric_template(['one'])` when the rule is `NUM -> 'one'`. The details of how this works can be found at [scripts/transform.py](https://github.com/nlp-course/data/blob/master/scripts/trees/transform.py). (<https://github.com/nlp-course/data/blob/master/scripts/trees/transform.py>).

This would allow us to use a single template function, for example,

```
In [56]: def numeric_template(rhs):
          """Ignore the subphrase meanings and lookup the first right-hand-side sym
          as a number"""
          return constant({'zero':0, 'one':1, 'two':2, 'three':3, 'four':4, 'five':
                           'six':6, 'seven':7, 'eight':8, 'nine':9, 'ten':10}[rhs[0]])
```

and then further simplify the grammar specification:

```
In [57]: arithmetic_grammar_3, arithmetic_augmentations_3 = xform.parse_augmented_gr
          """
          ## Sample grammar for arithmetic expressions

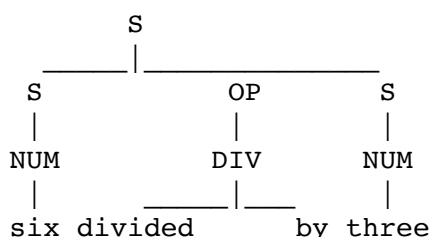
          S -> NUM                                : first
              | S OP S                            : lambda S1, Op, S2: Op(S1, S2)

          OP -> ADD                                : first
              | SUB
              | MULT
              | DIV

          NUM -> 'zero' | 'one' | 'two'           : numeric_template(_RHS)
                  | 'three' | 'four' | 'five'
                  | 'six' | 'seven' | 'eight'
                  | 'nine' | 'ten'

          ADD -> 'plus' | 'added' 'to'            : constant(lambda x, y: x + y)
          SUB -> 'minus'                          : constant(lambda x, y: x - y)
          MULT -> 'times' | 'multiplied' 'by'     : constant(lambda x, y: x * y)
          DIV -> 'divided' 'by'                   : constant(lambda x, y: x / y)
          """,
          globals=globals())
```

```
In [58]: parse_and_interpret("six divided by three", arithmetic_grammar_3, arithmeti
```



```
(S (S (NUM six)) (OP (DIV divided by)) (S (NUM three))) ==> 2.0
```

Example: *Green Eggs and Ham* revisited

This stuff is tricky, so it's useful to see more examples before jumping in the deep end. In this simple GEaH fragment grammar, we use a larger set of auxiliary functions to build the augmentations.

```
In [59]: def forward(F, A):
    """Forward application: Return the application of the first
        argument to the second"""
    return F(A)

def backward(A, F):
    """Backward application: Return the application of the second
        argument to the first"""
    return F(A)

def second(*args):
    """Return the value of the second subconstituent, ignoring any others"""
    return args[1]

def ignore(*args):
    """Return `None`, ignoring everything about the constituent. (Good as a
        placeholder until a better augmentation can be devised.)"""
    return None
```

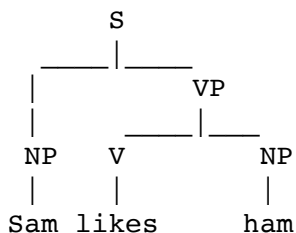
Using these, we can build and test the grammar.

```
In [60]: geah_grammar_spec = """
    ## Productions
    S -> NP VP           : backward
    VP -> V NP           : forward

    ## Lexicon
    V -> 'likes'         : constant(lambda Object: lambda Subject: f"like({S
    NP -> 'Sam' | 'sam'   : constant(_RHS[0])
    NP -> 'ham'
    NP -> 'eggs'
    """
```

```
In [61]: geah_grammar, geah_augmentations = xform.parse_augmented_grammar(geah_gramm
    globals=gl
```

```
In [62]: parse_and_interpret("Sam likes ham", geah_grammar, geah_augmentations)
```



(S (NP Sam) (VP (V likes) (NP ham))) ==> like(Sam, ham)

Semantics of ATIS queries

Now you're in a good position to understand and add augmentations to a more comprehensive grammar, say, one that parses ATIS queries and generates SQL queries.

In preparation for that, we need to load the ATIS data, both NL and SQL queries.

Loading and preprocessing the corpus

To simplify things a bit, we'll only consider ATIS queries whose question type (remember that from project segment 1?) is `flight_id`. We download training, development, and test splits for this subset of the ATIS corpus, including corresponding SQL queries.

```
In [63]: # Acquire the datasets - training, development, and test splits of the
# ATIS queries and corresponding SQL queries
wget.download(f"{source_url}/ATIS/test_flightid.nl", out="data/")
wget.download(f"{source_url}/ATIS/test_flightid.sql", out="data/")
wget.download(f"{source_url}/ATIS/dev_flightid.nl", out="data/")
wget.download(f"{source_url}/ATIS/dev_flightid.sql", out="data/")
wget.download(f"{source_url}/ATIS/train_flightid.nl", out="data/")
wget.download(f"{source_url}/ATIS/train_flightid.sql", out="data/")

100%
[.....]
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```

```
Out[63]: 'data//train_flightid (1).sql'
```

Let's take a look at the data: the NL queries are in `.nl` files, and the SQL queries are in `.sql` files.

```
In [64]: shell("head -1 data/dev_flightid.nl")
shell("head -1 data/dev_flightid.sql")

what flights are available tomorrow from denver to philadelphia
SELECT DISTINCT flight_1.flight_id FROM flight flight_1 , airport_service
airport_service_1 , city city_1 , airport_service airport_service_2 , cit
y city_2 , days days_1 , date_day date_day_1 WHERE flight_1.from_airport
= airport_service_1.airport_code AND airport_service_1.city_code = city_
1.city_code AND city_1.city_name = 'DENVER' AND ( flight_1.to_airport = a
irport_service_2.airport_code AND airport_service_2.city_code = city_2.ci
ty_code AND city_2.city_name = 'PHILADELPHIA' AND flight_1.flight_days =
days_1.days_code AND days_1.day_name = date_day_1.day_name AND date_day_
1.year = 1991 AND date_day_1.month_number = 1 AND date_day_1.day_number =
20 )
```

Corpus preprocessing

We'll use `torchtext` to process the data. We use two `Field`s: `SRC` for the questions, and `TGT` for the SQL queries. We'll use the tokenizer from project segment 3.

```
In [65]: ## Tokenizer
tokenizer = nltk.tokenize.RegexpTokenizer('\d+|st\.|[\w-]+|\$[\d\.]+|\S+')
def tokenize(string):
    return tokenizer.tokenize(string.lower())

## Demonstrating the tokenizer
## Note especially the handling of "11pm" and hyphenated words.
print(tokenize("Are there any first-class flights from St. Louis at 11pm fo

['are', 'there', 'any', 'first-class', 'flights', 'from', 'st.', 'louis',
'at', '11', 'pm', 'for', 'less', 'than', '$3.50', '?']
```

```
In [66]: SRC = tt.data.Field(include_lengths=True,           # include lengths
                             batch_first=False,           # batches will be max_len
                             tokenize=tokenize,           # use our tokenizer
                             )
TGT = tt.data.Field(include_lengths=False,                 # batches will be max_len
                    batch_first=False,                     # use split to tokenize
                    tokenize=lambda x: x.split(),          # prepend <bos>
                    init_token="<bos>",                    # append <eos>
                    eos_token="<eos>")
fields = [('src', SRC), ('tgt', TGT)]
```

Note that we specified `batch_first=False` (as in lab 4-4), so that the returned batched tensors would be of size `max_length x batch_size`, which facilitates `seq2seq` implementation.

Now, we load the data using `torchtext`. We use the `TranslationDataset` class here because our task is essentially a translation task: "translating" questions into the corresponding SQL queries. Therefore, we also refer to the questions as the *source* side (`SRC`) and the SQL queries as the *target* side (`TGT`).

```
In [67]: # Make splits for data
train_data, val_data, test_data = tt.datasets.TranslationDataset.splits(
    ('_flightid.nl', '_flightid.sql'), fields, path='./data/',
    train='train', validation='dev', test='test')

MIN_FREQ = 3
SRC.build_vocab(train_data.src, min_freq=MIN_FREQ)
TGT.build_vocab(train_data.tgt, min_freq=MIN_FREQ)

print (f"Size of English vocab: {len(SRC.vocab)}")
print (f"Most common English words: {SRC.vocab.freqs.most_common(10)}\n")

print (f"Size of SQL vocab: {len(TGT.vocab)}")
print (f"Most common SQL words: {TGT.vocab.freqs.most_common(10)}\n")

print (f"Index for start of sequence token: {TGT.vocab.stoi[TGT.init_token]}")
print (f"Index for end of sequence token: {TGT.vocab.stoi[TGT.eos_token]}")

Size of English vocab: 421
Most common English words: [('to', 3478), ('from', 3019), ('flights', 209
4), ('the', 1550), ('on', 1230), ('me', 973), ('flight', 972), ('show', 8
45), ('what', 833), ('boston', 813)]

Size of SQL vocab: 392
Most common SQL words: [('=', 38876), ('AND', 36564), (',', 22772), ('air
port_service', 8314), ('city', 8313), ('(', 6432), (')', 6432), ('flight_
1.flight_id', 4536), ('flight', 4221), ('SELECT', 4178)]

Index for start of sequence token: 2
Index for end of sequence token: 3
```

Next, we batch our data to facilitate processing on a GPU. Batching is a bit tricky because the source and target will typically be of different lengths. Fortunately, `torchtext` allows us to pass in a `sort_key` function. By sorting on length, we can minimize the amount of padding on the source side, but since there is still some padding, we need to handle them with `pack` (https://pytorch.org/docs/stable/generated/torch.nn.utils.rnn.pack_padded_sequence.html#torch.nn.utils.rnn.pack_padded_sequence) and `unpack` ([https://pytorch.org/docs/stable/generated/torch.nn.utils.rnn.pad_packed_sequence.html](https://pytorch.org/docs/stable/generated/torch.nn.utils.rnn.pad_packed_sequence.html#torch.nn.utils.rnn.pad_packed_sequence)) later on in the seq2seq part (as in lab 4-5).

```
In [68]: BATCH_SIZE = 16 # batch size for training/validation
TEST_BATCH_SIZE = 1 # batch size for test, we use 1 to make beam search imp

train_iter, val_iter = tt.data.BucketIterator.splits((train_data, val_data),
                                                    batch_size=BATCH_SIZE,
                                                    device=device,
                                                    repeat=False,
                                                    sort_key=lambda x: len
                                                    sort_within_batch=True

test_iter = tt.data.BucketIterator(test_data,
                                   batch_size=TEST_BATCH_SIZE,
                                   device=device,
                                   repeat=False,
                                   sort=False,
                                   train=False)
```

Let's look at a single batch from one of these iterators.

```
In [69]: batch = next(iter(train_iter))
train_batch_text, train_batch_text_lengths = batch.src
print (f"Size of text batch: {train_batch_text.shape}")
print (f"Third sentence in batch: {train_batch_text[:, 2]}")
print (f"Length of the third sentence in batch: {train_batch_text_lengths[2]}")
print (f"Converted back to string: {' '.join([SRC.vocab.itos[i] for i in tr

train_batch_sql = batch.tgt
print (f"Size of sql batch: {train_batch_sql.shape}")
print (f"Third SQL in batch: {train_batch_sql[:, 2]}")
print (f"Converted back to string: {' '.join([TGT.vocab.itos[i] for i in tr
```

```
Size of text batch: torch.Size([8, 16])
Third sentence in batch: tensor([ 9,  7,  4,  3, 64, 73,  2, 85])
Length of the third sentence in batch: 8
Converted back to string: show me flights from new york to miami
Size of sql batch: torch.Size([72, 16])
Third SQL in batch: tensor([  2, 14, 31, 11, 13, 12, 16,  6,  7,
 22,  6,  8, 23,  6,
      7, 29,  6,  8, 30, 15, 21,  4, 18,  5, 19,  4, 17,
 5,
      20,  4, 116, 122,  5, 24,  4, 25,  5, 26,  4, 27,  5,
 28,
      4, 128,  3,  1,  1,  1,  1,  1,  1,  1,  1,  1,  1,
 1,
      1,  1,  1,  1,  1,  1,  1,  1,  1,  1,  1,  1,
 1,
      1,  1])
Converted back to string: <bos> SELECT DISTINCT flight_1.flight_id FROM f
light flight_1 , airport_service airport_service_1 , city city_1 , airpor
t_service airport_service_2 , city city_2 WHERE flight_1.from_airport = a
irport_service_1.airport_code AND airport_service_1.city_code = city_1.ci
ty_code AND city_1.city_name = 'NEW YORK' AND flight_1.to_airport = airpo
rt_service_2.airport_code AND airport_service_2.city_code = city_2.city_c
ode AND city_2.city_name = 'MIAMI' <eos> <pad> <pad> <pad> <pad> <pad> <p
ad> <pad> <pad> <pad> <pad> <pad> <pad> <pad> <pad> <pad> <pa
d> <pad> <pad> <pad> <pad> <pad> <pad> <pad> <pad> <pad>
```

Alternatively, we can directly iterate over the raw examples:

```
In [70]: for example in train_iter.dataset[:1]:
        train_text_1 = ' '.join(example.src) # detokenized question
        train_sql_1 = ' '.join(example.tgt) # detokenized sql
        print (f"Question: {train_text_1}\n")
        print (f"SQL: {train_sql_1}")
```

Question: list all the flights that arrive at general mitchell international from various cities

SQL: SELECT DISTINCT flight_1.flight_id FROM flight flight_1 , airport airport_1 , airport_service airport_service_1 , city city_1 WHERE flight_1.to_airport = airport_1.airport_code AND airport_1.airport_code = 'MKE' AND flight_1.from_airport = airport_service_1.airport_code AND airport_service_1.city_code = city_1.city_code AND 1 = 1

Establishing a SQL database for evaluating ATIS queries

The output of our systems will be SQL queries. How should we determine if the generated queries are correct? We can't merely compare against the gold SQL queries, since there are many ways to implement a SQL query that answers any given NL query.

Instead, we will execute the queries – both the predicted SQL query and the gold SQL query – on an actual database, and verify that the returned responses are the same. For that purpose, we need a SQL database server to use. We'll set one up here, using the [Python sqlite3 module](https://docs.python.org/3.8/library/sqlite3.html) (<https://docs.python.org/3.8/library/sqlite3.html>).

```
In [71]: @func_set_timeout(TIMEOUT)
        def execute_sql(sql):
            conn = sqlite3.connect('data/atis_sqlite.db') # establish the DB based on
            c = conn.cursor() # build a "cursor"
            c.execute(sql)
            results = list(c.fetchall())
            c.close()
            conn.close()
            return results
```

To run a query, we use the cursor's `execute` function, and retrieve the results with `fetchall`. Let's get all the flights that arrive at General Mitchell International – the query `train_sql_1` above. There's a lot, so we'll just print out the first few.


```
In [72]: predicted_ret = execute_sql(train_sql_1)

print(f"""
Executing: {train_sql_1}

Result: {len(predicted_ret)} entries starting with

{predicted_ret[:10]}
""")
```

Executing: SELECT DISTINCT flight_1.flight_id FROM flight flight_1 , airport airport_1 , airport_service airport_service_1 , city city_1 WHERE flight_1.to_airport = airport_1.airport_code AND airport_1.airport_code = 'MKE' AND flight_1.from_airport = airport_service_1.airport_code AND airport_service_1.city_code = city_1.city_code AND 1 = 1

Result: 534 entries starting with

```
[(107929,), (107930,), (107931,), (107932,), (107933,), (107934,), (107935,), (107936,), (107937,), (107938,)]
```

For your reference, the SQL database we are using has a database schema described at <https://github.com/jkkummerfeld/text2sql-data/blob/master/data/atis-schema.csv> (<https://github.com/jkkummerfeld/text2sql-data/blob/master/data/atis-schema.csv>), and is consistent with the SQL queries provided in the various .sql files loaded above.

Rule-based parsing and interpretation of ATIS queries

First, you will implement a rule-based semantic parser using a grammar like the one you completed in the third project segment. We've placed an initial grammar in the file `data/grammar`. In addition to the helper functions defined above (`constant`, `first`, etc.), it makes use of some other simple functions. We've included those below, but you can (and almost certainly should) augment this set with others that you define as you build out the full set of augmentations.

```

In [93]: def upper(term):
          return ' ' + term.upper() + ' '

def weekday(day):
    return f"flight.flight_days IN (SELECT days.days_code FROM days WHERE day

def month_name(month):
    return {'JANUARY' : 1,
            'FEBRUARY' : 2,
            'MARCH' : 3,
            'APRIL' : 4,
            'MAY' : 5,
            'JUNE' : 6,
            'JULY' : 7,
            'AUGUST' : 8,
            'SEPTEMBER' : 9,
            'OCTOBER' : 10,
            'NOVEMBER' : 11,
            'DECEMBER' : 12}[month.upper()]

def airports_from_airport_name(airport_name):
    return f"(SELECT airport.airport_code FROM airport WHERE airport.airport_

def airports_from_city(city):
    return f"""
        (SELECT airport_service.airport_code FROM airport_service WHERE airport
        (SELECT city.city_code FROM city WHERE city.city_name = {upper(city)})
    """

def null_condition(*args, **kwargs):
    return 1

def depart_around(time):
    return f"""
        flight.departure_time >= {add_delta(miltime(time), -15).strftime('%H%M')}
        AND flight.departure_time <= {add_delta(miltime(time), 15).strftime('%H
    """.strip()

def add_delta(tme, delta):
    # transform to a full datetime first
    return (datetime.datetime.combine(datetime.date.today(), tme) +
            datetime.timedelta(minutes=delta)).time()

def miltime(minutes):
    return datetime.time(hour=int(minutes/100), minute=(minutes % 100))

```

We can build a parser with the augmented grammar:

```

In [94]: atis_grammar, atis_augmentations = xform.read_augmented_grammar('data/gramm
atis_parser = nltk.parse.BottomUpChartParser(atis_grammar)

```

We'll define a function to return a parse tree for a string according to the ATIS grammar (if available).

```
In [95]: def parse_tree(sentence):
          """Parse a sentence and return the parse tree, or None if failure."""
          try:
              parses = list(atis_parser.parse(tokenize(sentence)))
              if len(parses) == 0:
                  return None
              else:
                  return parses[0]
          except:
              return None
```


3. The `P_PLACE` phrase "to" might be associated with a function that maps a SQL query for a list of airports to a SQL condition that holds of flights that go to one of those airports, i.e., `flight.to_airport IN (...)`.
4. The `PP_PLACE` phrase "to boston" might apply the `P_PLACE` meaning to the `TERM_PLACE` meaning, thus generating a SQL condition that holds of flights that go to one of the Boston airports:

```
flight.to_airport IN
(SELECT airport_service.airport_code
 FROM airport_service
 WHERE airport_service.city_code IN
  (SELECT city.city_code
   FROM city
   WHERE city.city_name = "BOSTON"))
```

5. The `PP` phrase "to Boston" can again get its meaning from the `PP_PLACE`.
6. The `TERM_FLIGHT` phrase "flights" might also return a condition on flights, this time the "null condition", represented by the SQL truth value `1`. Ditto for the `N_FLIGHT` phrase "flights".
7. The `N_FLIGHT` phrase "flights to boston" can conjoin the two conditions, yielding the SQL condition

```
flight.to_airport IN
(SELECT airport_service.airport_code
 FROM airport_service
 WHERE airport_service.city_code IN
  (SELECT city.city_code
   FROM city
   WHERE city.city_name = "BOSTON"))
AND 1
```

which can be inherited by the `NOM_FLIGHT` and `NP_FLIGHT` phrases.

8. The `S` phrase "flights to boston" can use the condition provided by the `NP_FLIGHT` phrase to select all flights satisfying the condition with a SQL query like

```
SELECT DISTINCT flight.flight_id
FROM flight
WHERE flight.to_airport IN
  (SELECT airport_service.airport_code
   FROM airport_service
   WHERE airport_service.city_code IN
    (SELECT city.city_code
     FROM city
     WHERE city.city_name = "BOSTON"))
AND 1
```

This SQL query is then taken to be a representation of the meaning for the NL query "flights to boston", and can be executed against the ATIS database to retrieve the requested flights.

Now, it's your turn to add augmentations to `data/grammar` to make this example work. The augmentations that we have provided for the grammar make use of a set of auxiliary functions that

we defined above. You should feel free to add your own auxiliary functions that you make use of in the grammar.

```
In [98]: #TODO: add augmentations to `data/grammar` to make this example work
atis_grammar, atis_augmentations = xform.read_augmented_grammar('data/gramm
atis_parser = nltk.parse.BottomUpChartParser(atis_grammar)
predicted_sql = interpret(sample_tree, atis_augmentations)
print("Predicted SQL:\n\n", predicted_sql, "\n")
```

Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.to_airpo
rt IN
    (SELECT airport_service.airport_code FROM airport_service WHERE airpo
rt_service.city_code IN
        (SELECT city.city_code FROM city WHERE city.city_name = "BOSTON"))
```

Verification on some examples

With a rule-based semantic parsing system, we can generate SQL queries given questions, and then execute those queries on a SQL database to answer the given questions. To evaluate the performance of the system, we compare the returned results against the results of executing the ground truth queries.

We provide a function `verify` to compare the results from our generated SQL to the ground truth SQL. It should be useful for testing individual queries.

```
In [99]: def verify(predicted_sql, gold_sql, silent=True):
    """
    Compare the correctness of the generated SQL by executing on the
    ATIS database and comparing the returned results.
    Arguments:
        predicted_sql: the predicted SQL query
        gold_sql: the reference SQL query to compare against
        silent: print outputs or not
    Returns: True if the returned results are the same, otherwise False
    """
    # Execute predicted SQL
    try:
        predicted_result = execute_sql(predicted_sql)
    except BaseException as e:
        if not silent:
            print(f"predicted sql exec failed: {e}")
        return False
    if not silent:
        print("Predicted DB result:\n\n", predicted_result[:10], "\n")

    # Execute gold SQL
    try:
        gold_result = execute_sql(gold_sql)
    except BaseException as e:
        if not silent:
            print(f"gold sql exec failed: {e}")
        return False
    if not silent:
        print("Gold DB result:\n\n", gold_result[:10], "\n")

    # Verify correctness
    if gold_result == predicted_result:
        return True
```

Let's try this methodology on a simple example: "flights from phoenix to milwaukee". we provide it along with the gold SQL query.

```
In [100]: def rule_based_trial(sentence, gold_sql):
    print("Sentence: ", sentence, "\n")
    tree = parse_tree(sentence)
    print("Parse:\n\n")
    tree.pretty_print()

    predicted_sql = interpret(tree, atis_augmentations)
    print("Predicted SQL:\n\n", predicted_sql, "\n")

    if verify(predicted_sql, gold_sql, silent=False):
        print('Correct!')
    else:
        print('Incorrect!')
```

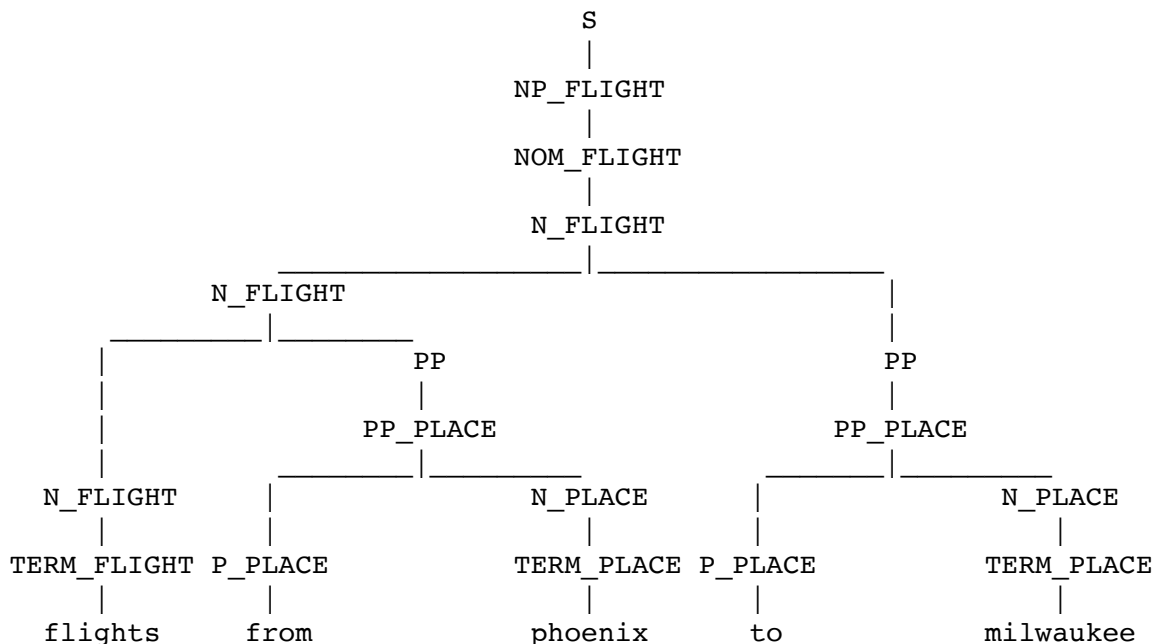
```
In [101]: # Run this cell to reload augmentations after you make changes to `data/gramm
atis_grammar, atis_augmentations = xform.read_augmented_grammar('data/gramm
atis_parser = nltk.parse.BottomUpChartParser(atis_grammar)
```

```
In [102]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 1
example_1 = 'flights from phoenix to milwaukee'
gold_sql_1 = """
SELECT DISTINCT flight_1.flight_id
FROM flight flight_1 ,
      airport_service airport_service_1 ,
      city city_1 ,
      airport_service airport_service_2 ,
      city city_2
WHERE flight_1.from_airport = airport_service_1.airport_code
      AND airport_service_1.city_code = city_1.city_code
      AND city_1.city_name = 'PHOENIX'
      AND flight_1.to_airport = airport_service_2.airport_code
      AND airport_service_2.city_code = city_2.city_code
      AND city_2.city_name = 'MILWAUKEE'
"""

rule_based_trial(example_1, gold_sql_1)
```

Sentence: flights from phoenix to milwaukee

Parse:



Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.from_a
airport IN
  (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
  (SELECT city.city_code FROM city WHERE city.city_name = "PHOENI
X"))
AND flight.to_airport IN
  (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
  (SELECT city.city_code FROM city WHERE city.city_name = "MILWAUKE
```



```
E" ))
```

Predicted DB result:

```
[(108086,), (108087,), (301763,), (301764,), (301765,), (301766,), (302323,), (304881,), (310619,), (310620,)]
```

Gold DB result:

```
[(108086,), (108087,), (301763,), (301764,), (301765,), (301766,), (302323,), (304881,), (310619,), (310620,)]
```

Correct!

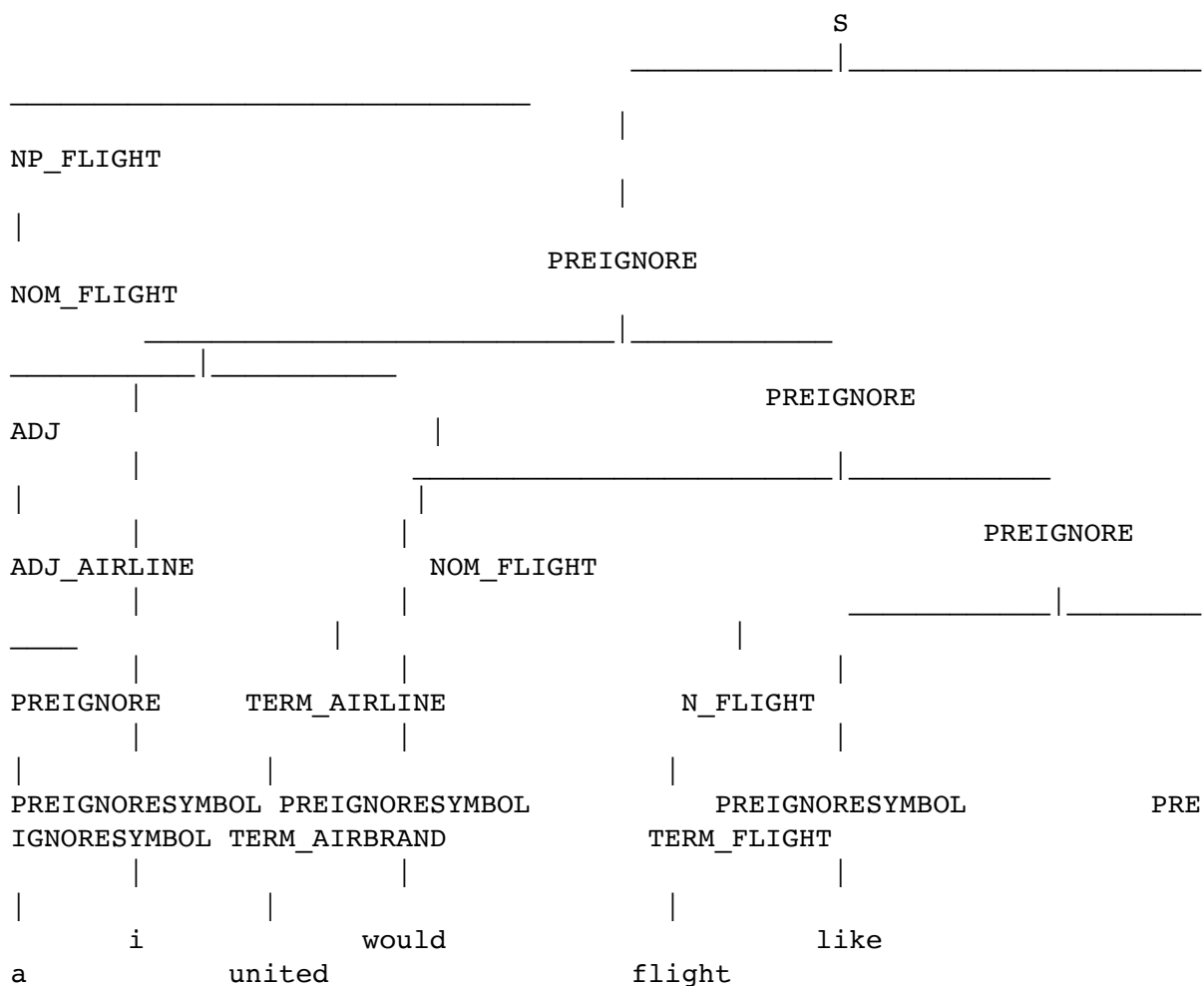
To make development faster, we recommend starting with a few examples before running the full evaluation script. We've taken some examples from the ATIS dataset including the gold SQL queries that they provided. Of course, yours (and those of the project segment solution set) may differ.

```
In [103]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 2
example_2 = 'i would like a united flight'
gold_sql_2 = """
    SELECT DISTINCT flight_1.flight_id
    FROM flight flight_1
    WHERE flight_1.airline_code = 'UA'
    """
```

```
rule based trial(example 2, gold sql 2)
```

Sentence: i would like a united flight

Parse:



Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE flight.airline_code = "UA" AND 1
```


Predicted DB result:

[(100094,), (100099,), (100145,), (100158,), (100164,), (100167,), (100169,), (100203,), (100204,), (100296,)]

Gold DB result:

```
[(100094,), (100099,), (100145,), (100158,), (100164,), (100167,), (100169,), (100203,), (100204,), (100296,)]
```

Correct!



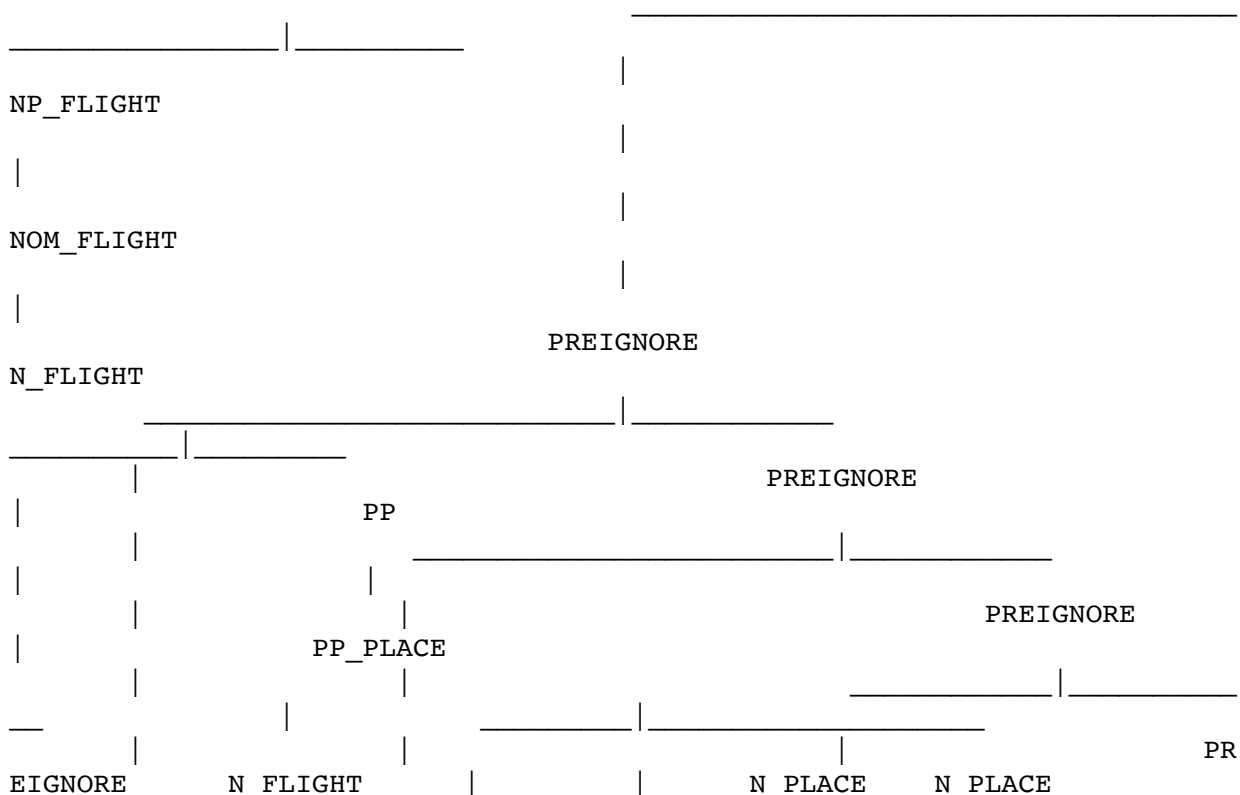
```
In [104]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 3
example_3 = 'i would like a flight between boston and dallas'
gold_sql_3 = """
SELECT DISTINCT flight_1.flight_id
FROM flight flight_1 ,
     airport_service airport_service_1 ,
     city city_1 ,
     airport_service airport_service_2 ,
     city city_2
WHERE flight_1.from_airport = airport_service_1.airport_code
     AND airport_service_1.city_code = city_1.city_code
     AND city_1.city_name = 'BOSTON'
     AND flight_1.to_airport = airport_service_2.airport_code
     AND airport_service_2.city_code = city_2.city_code
     AND city_2.city_name = 'DALLAS'
"" "

# Note that the parse tree might appear wrong: instead of
# `PP_PLACE -> 'between' N_PLACE 'and' N_PLACE`, the tree appears to be
# `PP_PLACE -> 'between' 'and' N_PLACE N_PLACE`. But it's only a visualizat
# error of tree.pretty_print() and you should assume that the production is
# `PP_PLACE -> 'between' N_PLACE 'and' N_PLACE` (you can verify by printing
# all productions).
rule based_trial(example 3, gold sql 3)
```

Sentence: i would like a flight between boston and dallas

Parse:

S



```

      |           |           |           |           |           |
|       |         |           |         |           |         |
PREIGNORESYPOL PREIGNORESYPOL             PREIGNORESYPOL          PREIG
NORESYPOL TERM_FLIGHT              TERM_PLACE TERM_PLACE
      |           |           |           |           |
|       |         |           |         |           |         |
a        i         flight    would     and      boston    dallas

```

Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.from_airport IN
    (SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
        (SELECT city.city_code FROM city WHERE city.city_name = "BOSTON"))
    AND flight.to_airport IN
    (SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
        (SELECT city.city_code FROM city WHERE city.city_name = "DALLAS"))
```

Predicted DB result:

[(103171,),(103172,),(103173,),(103174,),(103175,),(103176,),(103177,),(103178,),(103179,),(103180,)]

Gold DB result:

[(103171,), (103172,), (103173,), (103174,), (103175,), (103176,), (103177,), (103178,), (103179,), (103180,)]

Correct!

```
In [105]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 4
example_4 = 'show me the united flights from denver to baltimore'
gold_sql_4 = """
    SELECT DISTINCT flight_1.flight_id
    FROM flight flight_1 ,
         airport_service airport_service_1 ,
         city city_1 ,
         airport_service airport_service_2 ,
         city city_2
    WHERE flight_1.airline_code = 'UA'
         AND ( flight_1.from_airport = airport_service_1.airport_code
              AND airport_service_1.city_code = city_1.city_code
              AND city_1.city_name = 'DENVER'
              AND flight_1.to_airport = airport_service_2.airport_code
              AND airport_service_2.city_code = city_2.city_code
              AND city_2.city_name = 'BALTIMORE' )

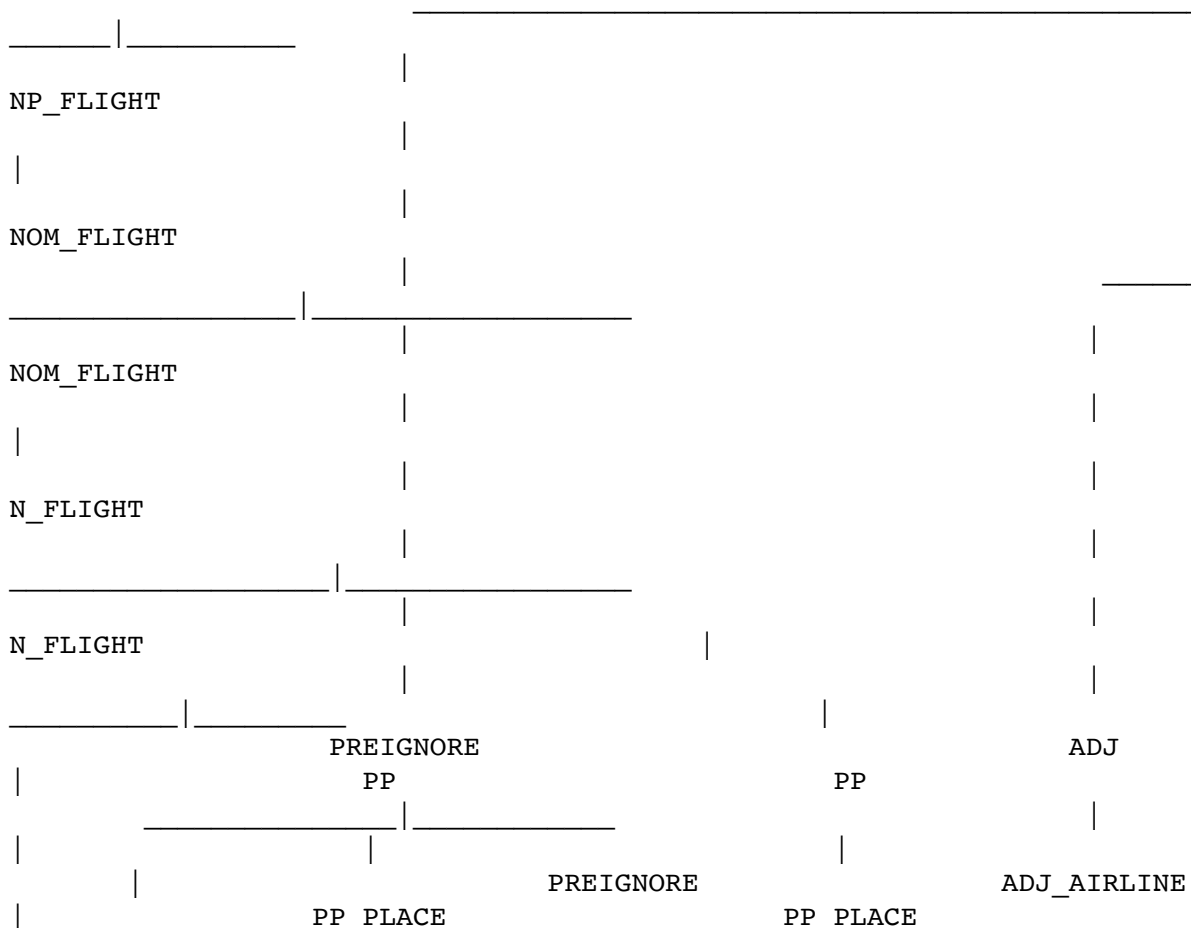
    """

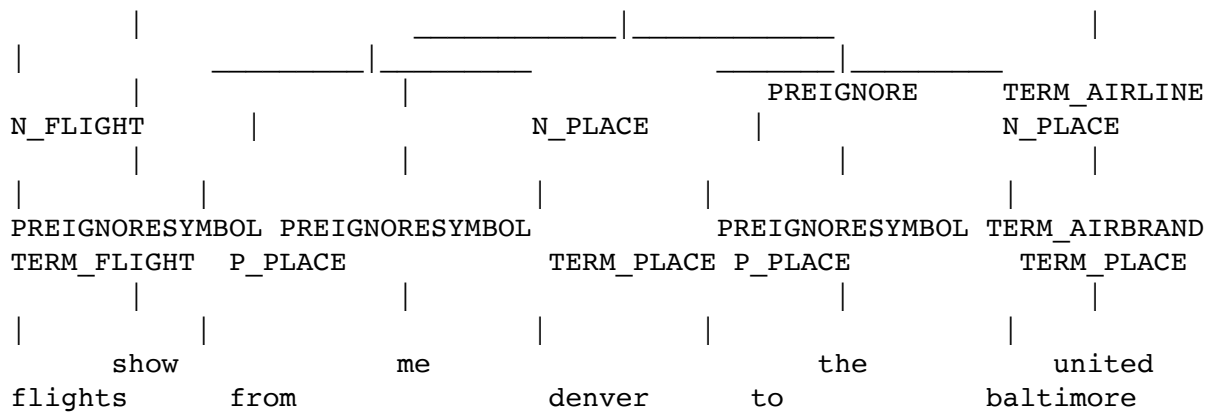
rule based trial(example 4, gold sql 4)
```

Sentence: show me the united flights from denver to baltimore

Parse:

S





Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE flight.airline_code
= "UA" AND 1 AND flight.from_airport IN
    (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
        (SELECT city.city_code FROM city WHERE city.city_name = "DENVE
R"))
    AND flight.to_airport IN
        (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
            (SELECT city.city_code FROM city WHERE city.city_name = "BALTIMOR
E"))
```

Predicted DB result:

$$[(101231,), (101233,), (305983,)]$$

Gold DB result:

```
[(101231,), (101233,), (305983,)]
```

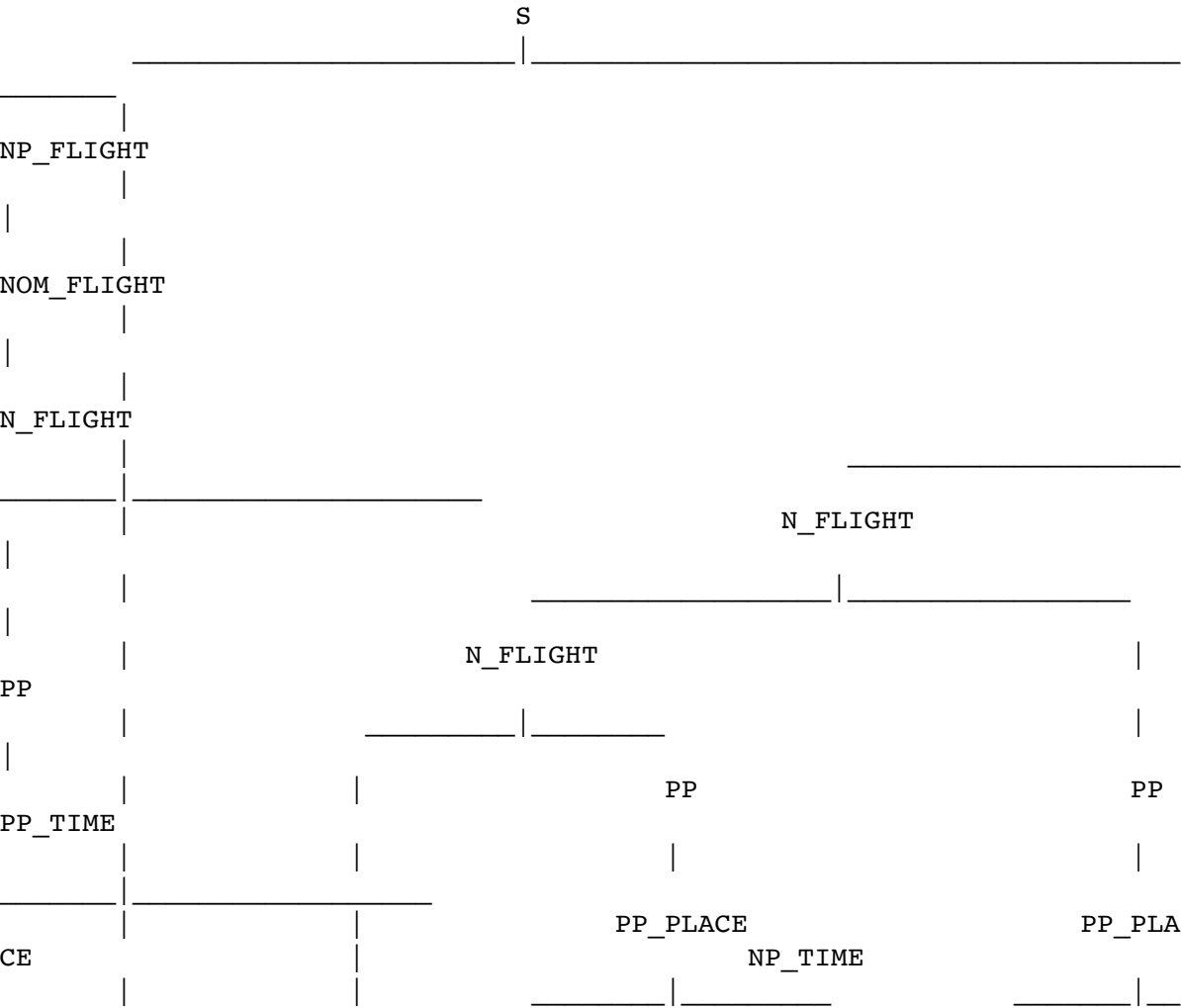
Correct!

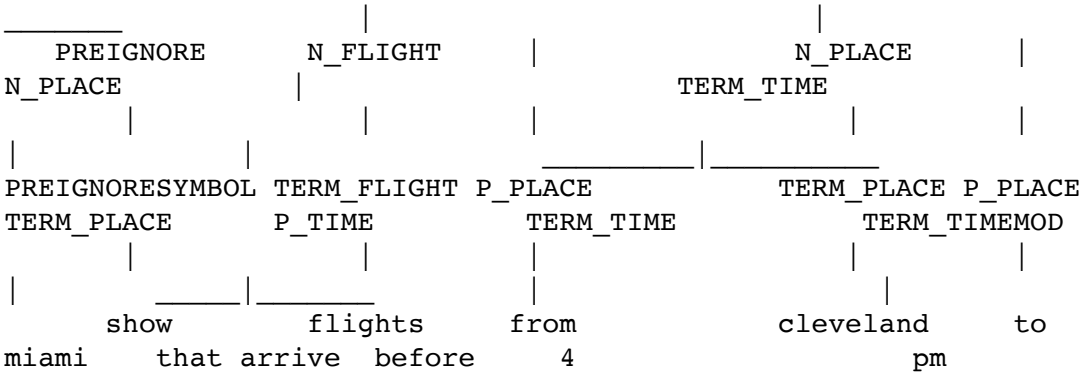
```
In [106]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 5
example_5 = 'show flights from cleveland to miami that arrive before 4pm'
gold_sql_5 = """
SELECT DISTINCT flight_1.flight_id
FROM flight flight_1 ,
      airport_service airport_service_1 ,
      city city_1 ,
      airport_service airport_service_2 ,
      city city_2
WHERE flight_1.from_airport = airport_service_1.airport_code
      AND airport_service_1.city_code = city_1.city_code
      AND city_1.city_name = 'CLEVELAND'
      AND ( flight_1.to_airport = airport_service_2.airport_code
            AND airport_service_2.city_code = city_2.city_code
            AND city_2.city_name = 'MIAMI'
            AND flight_1.arrival_time < 1600 )
""""

rule_based_trial(example_5, gold_sql_5)
```

Sentence: show flights from cleveland to miami that arrive before 4pm

Parse:





Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.from_a
irport IN
  (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
    (SELECT city.city_code FROM city WHERE city.city_name = "CLEVELAN
D"))
AND flight.to_airport IN
  (SELECT airport_service.airport_code FROM airport_service WHERE air
port_service.city_code IN
    (SELECT city.city_code FROM city WHERE city.city_name = "MIAMI"))
AND flight.arrival_time < 1600
```

Predicted DB result:

[(107698,), (301117,)]

Gold DB result:

[(107698,), (301117,)]

Correct!

```

In [107]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 6
example_6 = 'okay how about a flight on sunday from tampa to charlotte'
gold_sql_6 = """
    SELECT DISTINCT flight_1.flight_id
    FROM flight flight_1 ,
         airport_service airport_service_1 ,
         city city_1 ,
         airport_service airport_service_2 ,
         city city_2 ,
         days days_1 ,
         date_day date_day_1
    WHERE flight_1.from_airport = airport_service_1.airport_code
          AND airport_service_1.city_code = city_1.city_code
          AND city_1.city_name = 'TAMPA'
          AND ( flight_1.to_airport = airport_service_2.airport_code
                AND airport_service_2.city_code = city_2.city_code
                AND city_2.city_name = 'CHARLOTTE'
                AND flight_1.flight_days = days_1.days_code
                AND days_1.day_name = date_day_1.day_name
                AND date_day_1.year = 1991
                AND date_day_1.month_number = 8
                AND date_day_1.day_number = 27 )
    """

# You might notice that the gold answer above used the exact date, which is
# not easily implementable. A more implementable way (generated by the proj
# segment 4 solution code) is:
gold_sql_6b = """
    SELECT DISTINCT flight.flight_id
    FROM flight
    WHERE (((1
            AND flight.flight_days IN (SELECT days.days_code
                                       FROM days
                                       WHERE days.day_name = 'SUNDAY')
            )
          AND flight.from_airport IN (SELECT airport_service.airport_code
                                       FROM airport_service
                                       WHERE airport_service.city_code IN (
            AND flight.to_airport IN (SELECT airport_service.airport_code
                                       FROM airport_service
                                       WHERE airport_service.city_code IN (SEL
            FRO
            WHE
    """

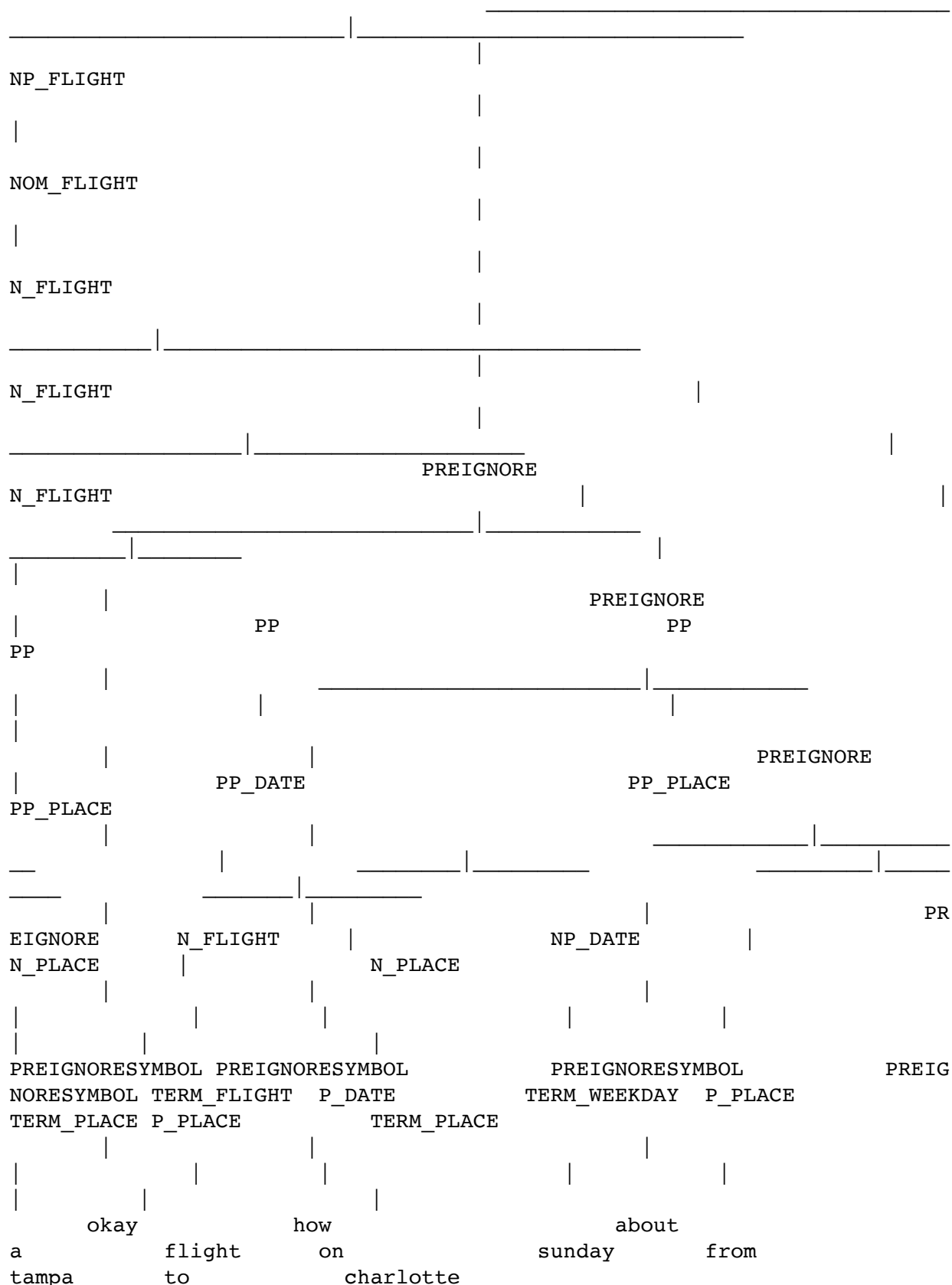
rule_based_trial(example_6, gold_sql_6b)

```

Sentence: okay how about a flight on sunday from tampa to charlotte

Parse:

S



Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.flight_d
ays IN (SELECT days.days_code FROM days WHERE days.day_name = 'SUNDAY') A
ND flight.from airport IN
```

```
(SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
  (SELECT city.city_code FROM city WHERE city.city_name = "TAMPA"))
AND flight.to_airport IN
  (SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
    (SELECT city.city_code FROM city WHERE city.city_name = "CHARLOTTE"))
```

Predicted DB result:

```
[(101860,), (101861,), (101862,), (101863,), (101864,), (101865,), (305231,)]
```

Gold DB result:

```
[(101860,), (101861,), (101862,), (101863,), (101864,), (101865,), (305231,)]
```

Correct!



```

In [108]: #TODO: add augmentations to `data/grammar` to make this example work
# Example 7
example_7 = 'list all flights going from boston to atlanta that leaves befo
gold_sql_7 = """
    SELECT DISTINCT flight_1.flight_id
    FROM flight flight_1 ,
         airport_service airport_service_1 ,
         city city_1 ,
         airport_service airport_service_2 ,
         city city_2 ,
         days days_1 ,
         date_day date_day_1
    WHERE flight_1.from_airport = airport_service_1.airport_code
          AND airport_service_1.city_code = city_1.city_code
          AND city_1.city_name = 'BOSTON'
          AND ( flight_1.to_airport = airport_service_2.airport_code
                AND airport_service_2.city_code = city_2.city_code
                AND city_2.city_name = 'ATLANTA'
                AND ( flight_1.flight_days = days_1.days_code
                      AND days_1.day_name = date_day_1.day_name
                      AND date_day_1.year = 1991
                      AND date_day_1.month_number = 5
                      AND date_day_1.day_number = 24
                      AND flight_1.departure_time < 700 ) )

    """

# Again, the gold answer above used the exact date, as opposed to the
# following approach:
gold_sql_7b = """
    SELECT DISTINCT flight.flight_id
    FROM flight
    WHERE ((1
           AND (((1
                  AND flight.from_airport IN (SELECT airport_service.airpor
                                                FROM airport_service
                                                WHERE airport_service.city_co

                  AND flight.to_airport IN (SELECT airport_service.airport_c
                                                FROM airport_service
                                                WHERE airport_service.city_code

                  AND flight.departure_time <= 0700)
                  AND flight.flight_days IN (SELECT days.days_code
                                                FROM days
                                                WHERE days.day_name = 'THURSDAY')

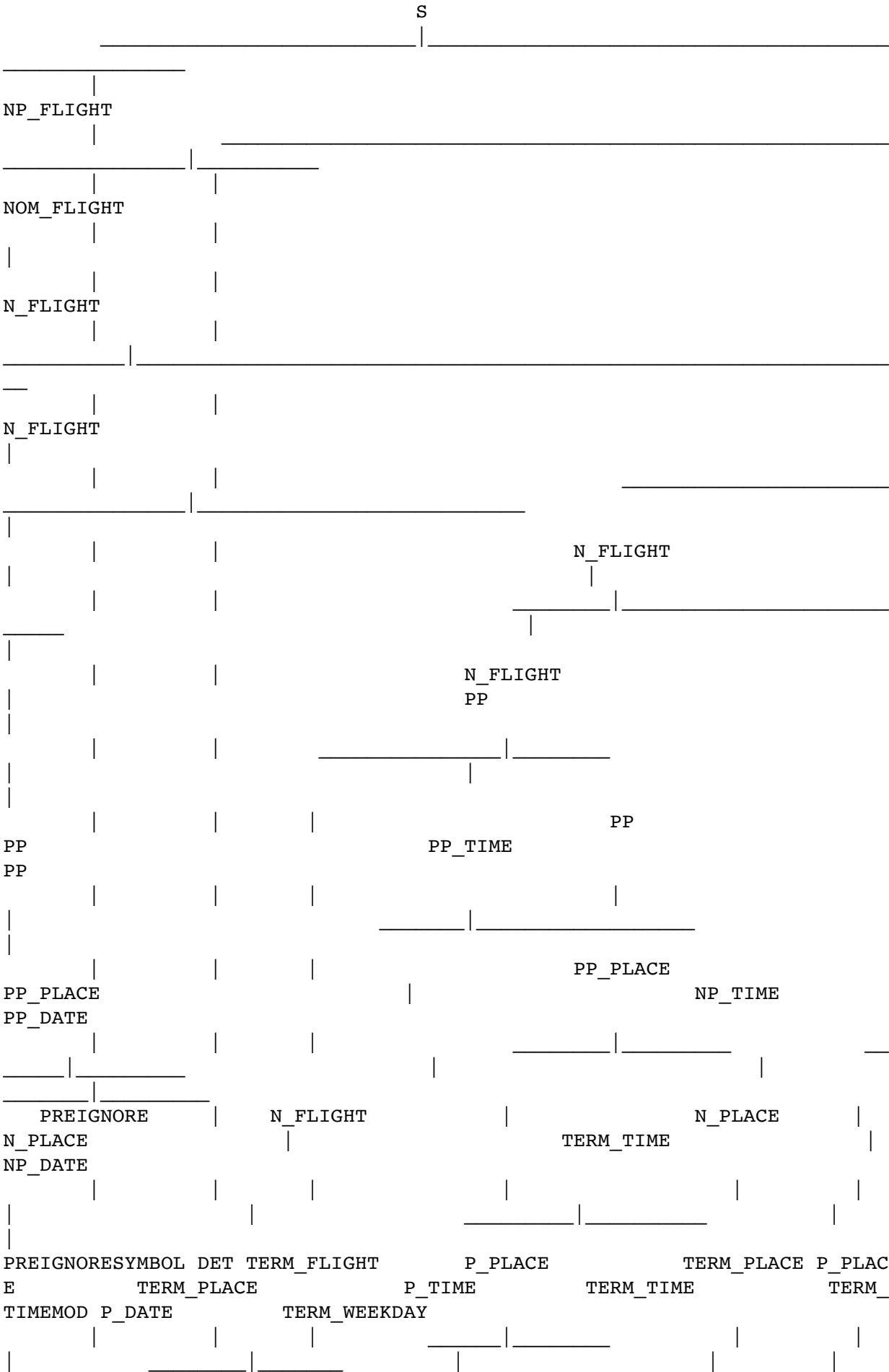
    """

rule_based_trial(example_7, gold_sql_7b)

```

Sentence: list all flights going from boston to atlanta that leaves befo
re 7 am on thursday

Parse:



|
list all flights going from boston to
atlanta that leaves before 7 am on
thursday

Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.from_airport IN  
(SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN  
(SELECT city.city_code FROM city WHERE city.city_name = "BOSTON"))  
AND flight.to_airport IN  
(SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN  
(SELECT city.city_code FROM city WHERE city.city_name = "ATLANTA"))  
AND flight.departure_time < 700 AND flight.flight_days IN (SELECT days.days_code FROM days WHERE days.day_name = 'THURSDAY')
```

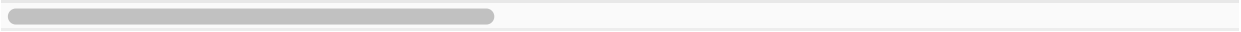
Predicted DB result:

```
[(100014,)]
```

Gold DB result:

```
[(100014,)]
```

Correct!



PREIGNORE	N_PLACE	N_FLIGHT	P_PLACE	T
ERM_PLACE	P_PLACE	TERM_PLACE	P_AIRLINE	TERM_AIRBRAND
TERM_AIRBRAND	TYP			
list	to	the	flights	from
dallas	san	francisco	on	american
airlines				

Predicted SQL:

```
SELECT DISTINCT flight.flight_id FROM flight WHERE 1 AND flight.from_airport IN
    (SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
        (SELECT city.city_code FROM city WHERE city.city_name = "DALLAS"))
    AND flight.to_airport IN
        (SELECT airport_service.airport_code FROM airport_service WHERE airport_service.city_code IN
            (SELECT city.city_code FROM city WHERE city.city_name = "SAN FRANCISCO"))
    AND flight.airline_code = "AA"
```

Predicted DB result:

[(108452,), (108454,), (108456,), (111083,), (111085,), (111086,), (111090,), (111091,), (111092,), (111094,)]

Gold DB result:

[(108452,), (108454,), (108456,), (111083,), (111085,), (111086,), (111090,), (111091,), (111092,), (111094,)]

Correct!

Systematic evaluation on a test set

We can perform a more systematic evaluation by checking the accuracy of the queries on an entire test set for which we have gold queries. The `evaluate` function below does just this, calculating precision, recall, and F1 metrics for the test set. It takes as argument a "predictor" function, which

maps token sequences to predicted SQL queries. We've provided a predictor function for the rule-based model in the next cell (and a predictor for the seq2seq system below when we get to that system).

The rule-based system does not generate predictions for all queries; many queries won't parse. The precision and recall metrics take this into account in measuring the efficacy of the method. The recall metric captures what proportion of *all of the test examples* for which the system generates a correct query. The precision metric captures what proportion of *all of the test examples for which a prediction is generated* for which the system generates a correct query. (Recall that F1 is just the geometric mean of precision and recall.)

Once you've made some progress on adding augmentations to the grammar, you can evaluate your progress by seeing if the precision and recall have improved. For reference, the solution code achieves precision of about 71% and recall of about 27% for an F1 of 40%.

```

In [110]: def evaluate(predictor, dataset, num_examples=0, silent=True):
    """Evaluate accuracy of `predictor` by executing predictions on a
    SQL database and comparing returned results against those of gold queries

    Arguments:
        predictor:    a function that maps a token sequence (provided by torch)
                      to a predicted SQL query string
        dataset:      the dataset of token sequences and gold SQL queries
        num_examples: number of examples from `dataset` to use; all of
                      them if 0
        silent: if set to False, will print out logs
    Returns: precision, recall, and F1 score
    """

    # Prepare to count results
    if num_examples <= 0:
        num_examples = len(dataset)
    example_count = 0
    predicted_count = 0
    correct = 0
    incorrect = 0

    # Process the examples from the dataset
    for example in tqdm(dataset[:num_examples]):
        example_count += 1
        # obtain query SQL
        predicted_sql = predictor(example.src)
        if predicted_sql == None:
            continue
        predicted_count += 1
        # obtain gold SQL
        gold_sql = ' '.join(example.tgt)

        # check that they're compatible
        if verify(predicted_sql, gold_sql):
            correct += 1
        else:
            incorrect += 1

    # Compute and return precision, recall, F1
    precision = correct / predicted_count if predicted_count > 0 else 0
    recall = correct / example_count
    f1 = (2 * precision * recall) / (precision + recall) if precision + recall > 0 else 0
    return precision, recall, f1

```

```

In [111]: def rule_based_predictor(tokens):
    query = ' '.join(tokens)    # detokenized query
    tree = parse_tree(query)
    if tree is None:
        return None
    try:
        predicted_sql = interpret(tree, atis_augmentations)
    except Exception as err:
        return None
    return predicted_sql

```


- **Model**

1. `__init__` : an initializer where you create network modules.
2. `forward` : given source word ids of size `(max_src_len, batch_size)`, source lengths of size `(batch_size)` and decoder input target word ids `(max_tgt_len, batch_size)`, returns logits `(max_tgt_len, batch_size, V_tgt)`. For better modularity you might want to implement it by implementing two functions `forward_encoder` and `forward_decoder`.

- **Optimization**

3. `train_all` : compute loss on training data, compute gradients, and update model parameters to minimize the loss.
4. `evaluate_ppl` : evaluate the current model's perplexity on a given dataset iterator, we use the perplexity value on the validation set to select the best model.

- **Decoding**

5. `predict` : Generates the target sequence given a list of source tokens using beam search decoding. Note that here you can assume the batch size to be 1 for simplicity.

```

In [116]: def attention(batched_Q, batched_K, batched_V, mask=None):
    """
    Performs the attention operation and returns the attention matrix
    `batched_A` and the context matrix `batched_C` using queries
    `batched_Q`, keys `batched_K`, and values `batched_V`.

    Arguments:
        batched_Q: (q_len, bsz, D)
        batched_K: (k_len, bsz, D)
        batched_V: (k_len, bsz, D)
        mask: (bsz, q_len, k_len). An optional boolean mask *disallowing*
            attentions where the mask value is *False*.

    Returns:
        batched_A: the normalized attention scores (bsz, q_len, k_len)
        batched_C: a tensor of size (q_len, bsz, D).
    """
    # Check sizes
    D = batched_Q.size(-1)
    bsz = batched_Q.size(1)
    q_len = batched_Q.size(0)
    k_len = batched_K.size(0)
    assert batched_K.size(-1) == D and batched_V.size(-1) == D
    assert batched_K.size(1) == bsz and batched_V.size(1) == bsz
    assert batched_V.size(0) == k_len
    if mask is not None:
        assert mask.size() == torch.Size([bsz, q_len, k_len])
    pre_A = torch.bmm(torch.transpose(batched_Q, 0, 1), torch.transpose(batched_K, 0, 1))
    if mask is not None:
        pre_A[mask == 0] = -float('inf')
    batched_A = torch.softmax(pre_A, -1)
    batched_C = torch.transpose(torch.bmm(batched_A, torch.transpose(batched_V, 0, 1)), 0, 1)
    # Verify that things sum up to one properly.
    assert torch.all(torch.isclose(batched_A.sum(-1),
                                    torch.ones(bsz, q_len).to(device)))
    return batched_A, batched_C

```

```

In [293]: class Beam():
    """
    Helper class for storing a hypothesis, its score and its decoder hidden s
    """
    def __init__(self, decoder_state, tokens, score):
        self.decoder_state = decoder_state
        self.tokens = tokens
        self.score = score

class BeamSearcher():
    """
    Main class for beam search.
    """
    def __init__(self, model):
        self.model = model
        self.bos_id = model.bos_id
        self.eos_id = model.eos_id
        self.padding_id_src = model.padding_id_src
        self.V = model.V_tgt

    def beam_search(self, src, src_lengths, K, max_T=MAX_T):
        """
        Performs beam search decoding.
        Arguments:
            src: src batch of size (max_src_len, 1)
            src_lengths: src lengths of size (1)
            K: beam size
            max_T: max possible target length considered
        Returns:
            a list of token ids and a list of attentions
        """
        finished = []
        all_attns = []
        # Initialize the beam
        self.model.eval()
        #TODO - fill in `memory_bank`, `encoder_final_state`, and `init_beam` b
        memory_bank, encoder_final_state = self.model.forward_encoder(src, src_
        init_beam = Beam(encoder_final_state, [self.bos_id], 0)
        beams = [init_beam]

        with torch.no_grad():
            for t in range(max_T): # main body of search over time steps

                # Expand each beam by all possible tokens y_{t+1}
                all_total_scores = []
                for beam in beams:
                    y_1_to_t, score, decoder_state = beam.tokens, beam.score, beam.de
                    y_t = y_1_to_t[-1]
                    #TODO - finish the code below
                    # Hint: you might want to use `model.forward_decoder_incrementall
                    src_mask = src.ne(self.padding_id_src)
                    logits, decoder_state, attn = self.model.forward_decoder_incremen
                    total_scores = score + logits
                    all_total_scores.append(total_scores)
                    all_attns.append(attn) # keep attentions for visualization

```

```

        beam.decoder_state = decoder_state # update decoder state in the
all_total_scores = torch.stack(all_total_scores) # (K, V) when t>0,

# Find K best next beams
# The code below has the same functionality as line 6-12, but is mo
all_scores_flattened = all_total_scores.view(-1) # K*V when t>0, 1*
topk_scores, topk_ids = all_scores_flattened.topk(K, 0)
beam_ids = topk_ids.div(self.V, rounding_mode='floor')
next_tokens = topk_ids - beam_ids * self.V
new_beams = []
for k in range(K):
    beam_id = beam_ids[k] # which beam it comes from
    y_t_plus_1 = next_tokens[k] # which y_{t+1}
    score = topk_scores[k]
    beam = beams[beam_id]
    decoder_state = beam.decoder_state
    y_1_to_t = beam.tokens
    #TODO
    new_beam = Beam(decoder_state, y_1_to_t + [y_t_plus_1], score)
    new_beams.append(new_beam)
beams = new_beams

# Set aside completed beams
# TODO - move completed beams to `finished` (and remove them from `
for beam in beams:
    if beam.tokens[-1] == self.eos_id:
        finished.append(beam)
        beams.remove(beam)

# Break the loop if everything is completed
if len(beams) == 0:
    break

# Return the best hypothesis
if len(finished) > 0:
    finished = sorted(finished, key=lambda beam: -beam.score)
    return finished[0].tokens, all_attns
else: # when nothing is finished, return an unfinished hypothesis
    return beams[0].tokens, all_attns

```



```

In [345]: class AttnEncoderDecoder(nn.Module):
    def __init__(self, src_field, tgt_field, hidden_size=64, layers=3):
        """
        Initializer. Creates network modules and loss function.
        Arguments:
            src_field: src field
            tgt_field: tgt field
            hidden_size: hidden layer size of both encoder and decoder
            layers: number of layers of both encoder and decoder
        """
        super().__init__()
        self.src_field = src_field
        self.tgt_field = tgt_field

        # Keep the vocabulary sizes available
        self.V_src = len(src_field.vocab.itos)
        self.V_tgt = len(tgt_field.vocab.itos)

        # Get special word ids
        self.padding_id_src = src_field.vocab.stoi[src_field.pad_token]
        self.padding_id_tgt = tgt_field.vocab.stoi[tgt_field.pad_token]
        self.bos_id = tgt_field.vocab.stoi[tgt_field.init_token]
        self.eos_id = tgt_field.vocab.stoi[tgt_field.eos_token]

        # Keep hyper-parameters available
        self.embedding_size = hidden_size
        self.hidden_size = hidden_size
        self.layers = layers

        # Create essential modules
        self.word_embeddings_src = nn.Embedding(self.V_src, self.embedding_size)
        self.word_embeddings_tgt = nn.Embedding(self.V_tgt, self.embedding_size)

        # RNN cells
        self.encoder_rnn = nn.LSTM(
            input_size = self.embedding_size,
            hidden_size = hidden_size // 2, # to match decoder hidden size
            num_layers = layers,
            bidirectional = True # bidirectional encoder
        )
        self.decoder_rnn = nn.LSTM(
            input_size = self.embedding_size,
            hidden_size = hidden_size,
            num_layers = layers,
            bidirectional = False # unidirectional decoder
        )

        # Final projection layer
        self.hidden2output = nn.Linear(2*hidden_size, self.V_tgt) # project

        # Create loss function
        self.loss_function = nn.CrossEntropyLoss(reduction='sum',
                                                    ignore_index=self.padding_id)

    def test(x):
        print(x)

```

```

    return x

def forward_encoder(self, src, src_lengths):
    """
    Encodes source words `src`.
    Arguments:
        src: src batch of size (max_src_len, bsz)
        src_lengths: src lengths of size (bsz)
    Returns:
        memory_bank: a tensor of size (src_len, bsz, hidden_size)
        (final_state, context): `final_state` is a tuple (h, c) where h
                                (layers, bsz, hidden_size), and `context`
    """
    #TODO
    word_embeddings = self.word_embeddings_src(src)
    memory_bank, (h, c) = self.encoder_rnn(word_embeddings)
    def reshape(x):
        size1 = (self.layers, 2, len(src_lengths), self.hidden_size //
        size2 = (self.layers, len(src_lengths), self.hidden_size)
        return x.reshape(*size1).transpose(1, 2).reshape(*size2)
    final_state = (reshape(h), reshape(c))
    context = None
    return memory_bank, (final_state, context)

def forward_decoder(self, encoder_final_state, tgt_in, memory_bank, src
    """
    Decodes based on encoder final state, memory bank, src_mask, and gr
    target words.
    Arguments:
        encoder_final_state: (final_state, None) where final_state is t
                            final state used to initialize decoder. No
                            initial context (there's no previous conte
                            first step).
        tgt_in: a tensor of size (tgt_len, bsz)
        memory_bank: a tensor of size (src_len, bsz, hidden_size), enco
                            at every position
        src_mask: a tensor of size (src_len, bsz): a boolean tensor, `F
                            src is padding (we disallow decoder to attend to thos
    Returns:
        Logits of size (tgt_len, bsz, V_tgt) (before the softmax operat
    """
    max_tgt_length = tgt_in.size(0)

    # Initialize decoder state, note that it's a tuple (state, context)
    decoder_states = encoder_final_state

    all_logits = []
    for i in range(max_tgt_length):
        logits, decoder_states, attn = \
            self.forward_decoder_incrementally(decoder_states,
                                                tgt_in[i],
                                                memory_bank,
                                                src_mask,
                                                normalize=False)
        all_logits.append(logits)
    all_logits = torch.stack(all_logits, 0) # tgt_len, bsz, vocab_tgt
    return all_logits

```

```

def forward(self, src, src_lengths, tgt_in):
    """
    Performs forward computation, returns logits.
    Arguments:
        src: src batch of size (max_src_len, bsz)
        src_lengths: src lengths of size (bsz)
        tgt_in: a tensor of size (tgt_len, bsz)
    """
    src_mask = src.ne(self.padding_id_src) # max_src_len, bsz
    # Forward encoder
    memory_bank, encoder_final_state = self.forward_encoder(src, src_lengths)
    # Forward decoder
    logits = self.forward_decoder(encoder_final_state, tgt_in, memory_bank)
    return logits

def forward_decoder_incrementally(self, prev_decoder_states, tgt_in_one_step,
                                   memory_bank, src_mask,
                                   normalize=True):
    """
    Forward the decoder for a single step with token `tgt_in_one_step`.
    This function will be used both in `forward_decoder` and in beam search.
    Note that bsz can be greater than 1.
    Arguments:
        prev_decoder_states: a tuple (prev_decoder_state, prev_context)
                             where prev_decoder_state is `None` for the first step
        tgt_in_one_step: a tensor of size (bsz), tokens at one step
        memory_bank: a tensor of size (src_len, bsz, hidden_size), encoder outputs
                     at every position
        src_mask: a tensor of size (src_len, bsz): a boolean tensor, `True` if
                  src is padding (we disallow decoder to attend to those positions)
        normalize: use log_softmax to normalize or not. Beam search needs to not
                  while `forward_decoder` does not
    Returns:
        logits: log probabilities for `tgt_in_one_step` of size (bsz, V_tgt)
        decoder_states: (`decoder_state`, `context`) which will be used for the
                        next incremental update
        attn: normalized attention scores at this step (bsz, src_len)
    """
    prev_decoder_state, prev_context = prev_decoder_states
    #TODO
    word_embeddings = self.word_embeddings_tgt(tgt_in_one_step).unsqueeze(0)
    if prev_context is not None:
        word_embeddings += prev_context
    batched_Q, decoder_state = self.decoder_rnn(word_embeddings, prev_decoder_state)
    attn, context = attention(batched_Q, memory_bank, memory_bank, torch.softmax, self.temperature)
    logits = self.hidden2output(torch.cat((context, batched_Q), dim=-1))
    decoder_states = (decoder_state, context)
    if normalize:
        logits = torch.log_softmax(logits, dim=-1)
    return logits, decoder_states, attn

def evaluate_ppl(self, iterator):
    """Returns the model's perplexity on a given dataset `iterator`."""
    # Switch to eval mode
    self.eval()
    total_loss = 0

```

```

total_words = 0
for batch in iterator:
    # Input and target
    src, src_lengths = batch.src
    tgt = batch.tgt # max_length_sql, bsz
    tgt_in = tgt[:-1] # remove <eos> for decode input (y_0=<bos>, y_1
    tgt_out = tgt[1:] # remove <bos> as target (y_1, y_2, y_3=
    # Forward to get logits
    logits = self.forward(src, src_lengths, tgt_in)
    # Compute cross entropy loss
    loss = self.loss_function(logits.view(-1, self.V_tgt), tgt_out.vi
    total_loss += loss.item()
    total_words += tgt_out.ne(self.padding_id_tgt).float().sum().item
return math.exp(total_loss/total_words)

def train_all(self, train_iter, val_iter, epochs=10, learning_rate=0.00
    """Train the model."""
    # Switch the module to training mode
    self.train()
    # Use Adam to optimize the parameters
    optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
    best_validation_ppl = float('inf')
    best_model = None
    # Run the optimization for multiple epochs
    for epoch in range(epochs):
        total_words = 0
        total_loss = 0.0
        for batch in tqdm(train_iter):
            # Zero the parameter gradients
            self.zero_grad()
            # Input and target
            src, src_lengths = batch.src # text: max_src_length, bsz
            tgt = batch.tgt # max_tgt_length, bsz
            tgt_in = tgt[:-1] # Remove <eos> for decode input (y_0=<bos>, y
            tgt_out = tgt[1:] # Remove <bos> as target (y_1, y_2, y_
            bsz = tgt.size(1)
            # Run forward pass and compute loss along the way.
            logits = self.forward(src, src_lengths, tgt_in)
            loss = self.loss_function(logits.view(-1, self.V_tgt), tgt_out.
            # Training stats
            num_tgt_words = tgt_out.ne(self.padding_id_tgt).float().sum().i
            total_words += num_tgt_words
            total_loss += loss.item()
            # Perform backpropagation
            loss.div(bsz).backward()
            optim.step()

        # Evaluate and track improvements on the validation dataset
        validation_ppl = self.evaluate_ppl(val_iter)
        self.train()
        if validation_ppl < best_validation_ppl:
            best_validation_ppl = validation_ppl
            self.best_model = copy.deepcopy(self.state_dict())
        epoch_loss = total_loss / total_words
        print (f'Epoch: {epoch} Training Perplexity: {math.exp(epoch_loss
                f'Validation Perplexity: {validation_ppl:.4f}')
def predict(self, src, K = 1, max_T=MAX_T):

```


that the model can generate at least reasonable results on the examples from before, before evaluating on the full test set.

```
In [348]: def seq2seq_trial(sentence, gold_sql):
    print("Sentence: ", sentence, "\n")
    tokens = tokenize(sentence)

    predicted_sql = model.predict(tokens, K=1, max_T=400)
    print("Predicted SQL:\n\n", predicted_sql, "\n")

    #print(model.test(5))

    if verify(predicted_sql, gold_sql, silent=False):
        print('Correct!')
    else:
        print('Incorrect!')
```

```
In [349]: seq2seq_trial(example_1, gold_sql_1)
```

Sentence: flights from phoenix to milwaukee

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [350]: seq2seq_trial(example_2, gold_sql_2)
```

Sentence: i would like a united flight

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [351]: seq2seq_trial(example_3, gold_sql_3)
```

Sentence: i would like a flight between boston and dallas

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [352]: seq2seq_trial(example_4, gold_sql_4)
```

Sentence: show me the united flights from denver to baltimore

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air  
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [353]: seq2seq_trial(example_5, gold_sql_5)
```

Sentence: show flights from cleveland to miami that arrive before 4pm

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air  
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [354]: seq2seq_trial(example_6, gold_sql_6b)
```

Sentence: okay how about a flight on sunday from tampa to charlotte

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air  
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [355]: seq2seq_trial(example_7, gold_sql_7b)
```

Sentence: list all flights going from boston to atlanta that leaves before 7 am on thursday

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , air  
port_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

```
In [356]: seq2seq_trial(example_8, gold_sql_8)
```

Sentence: list the flights from dallas to san francisco on american airlines

Predicted SQL:

```
<bos> SELECT DISTINCT flight_1.flight_id , , airport_service , , , , airport_service , , , ,
```

predicted sql exec failed: near "<": syntax error
Incorrect!

Evaluation

Now we are ready to run the full evaluation. A proper implementation should reach more than 35% precision/recall/F1.

```
In [357]: def seq2seq_predictor(tokens):  
           prediction = model.predict(tokens, K=1, max_T=400)  
           return prediction
```



```
In [318]: precision, recall, f1 = evaluate(seq2seq_predictor, test_iter.dataset, num_
print(f"precision: {precision:3.2f}")
print(f"recall:    {recall:3.2f}")
print(f"F1:       {f1:3.2f}")
```

```
12%|██████████|
| 39/332 [01:25<10:45, 2.20s/it]
```

```
-----
--
KeyboardInterrupt                                Traceback (most recent call las
t)
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/32074018
89.py in <module>
```

```
----> 1 precision, recall, f1 = evaluate(seq2seq_predictor, test_iter.dat
aset, num_examples=0)
      2 print(f"precision: {precision:3.2f}")
      3 print(f"recall:    {recall:3.2f}")
      4 print(f"F1:       {f1:3.2f}")
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/30272542
56.py in evaluate(predictor, dataset, num_examples, silent)
```

```
    24     example_count += 1
    25     # obtain query SQL
----> 26     predicted_sql = predictor(example.src)
    27     if predicted_sql == None:
    28         continue
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/35071782
5.py in seq2seq_predictor(tokens)
```

```
    1 def seq2seq_predictor(tokens):
----> 2     prediction = model.predict(tokens, K=1, max_T=400)
    3     return prediction
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/13995238
4.py in predict(self, src, K, max_T)
```

```
    239     src, src_lengths = batch.src
    240     # Predict
--> 241     prediction, _ = beam_searcher.beam_search(src, src_leng
ths, K)
    242     # Convert to string
    243     prediction = ' '.join([TGT.vocab.itos[token] for token
in prediction])
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/68846912
8.py in beam_search(self, src, src_lengths, K, max_T)
```

```
    51     # Hint: you might want to use `model.forward_decoder_in
crementally` with `normalize=True`
    52     src_mask = src.ne(self.padding_id_src)
----> 53     logits, decoder_state, attn = self.model.forward_decode
r_incrementally(decoder_state, torch.as_tensor([y_t], device=device), mem
ory_bank, src_mask)
    54     total_scores = score + logits
    55     all_total_scores.append(total_scores)
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/13995238
4.py in forward_decoder_incrementally(self, prev_decoder_states, tgt_in_o
```

```

nестep, memory_bank, src_mask, normalize)
    156         if prev_context is not None:
    157             word_embeddings += prev_context
--> 158         batched_Q, decoder_state = self.decoder_rnn(word_embeddings, prev_decoder_state)
    159         attn, context = attention(batched_Q, memory_bank, memory_bank, torch.transpose(src_mask, 0, 1).unsqueeze(1))
    160         logits = self.hidden2output(torch.cat((context, batched_Q), dim=-1))

~/miniconda/envs/cs187/lib/python3.8/site-packages/torch/nn/modules/module.py in _call_impl(self, *input, **kwargs)
    1049         if not (self._backward_hooks or self._forward_hooks or self._forward_pre_hooks or _global_backward_hooks
    1050                 or _global_forward_hooks or _global_forward_pre_hooks):
--> 1051             return forward_call(*input, **kwargs)
    1052         # Do not call functions when jit is used
    1053         full_backward_hooks, non_full_backward_hooks = [], []

~/miniconda/envs/cs187/lib/python3.8/site-packages/torch/nn/modules/rnn.py in forward(self, input, hx)
    677         self.check_forward_args(input, hx, batch_sizes)
    678         if batch_sizes is None:
--> 679             result = _VF.lstm(input, hx, self._flat_weights, self.bias, self.num_layers,
    680                               self.dropout, self.training, self.bidirectional, self.batch_first)
    681         else:

```

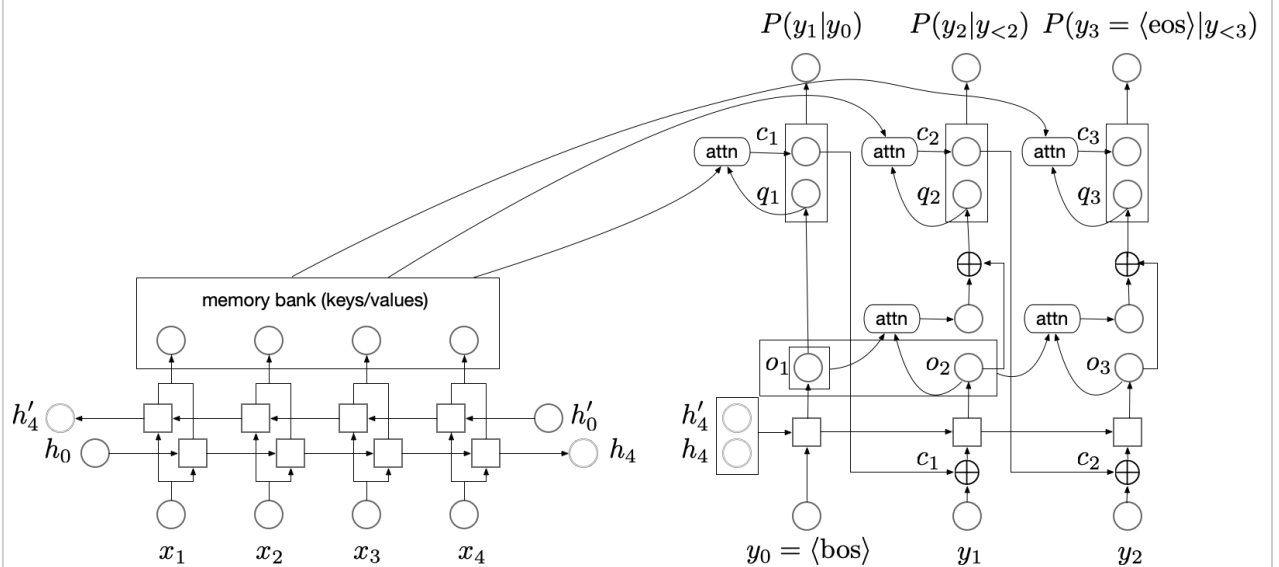
KeyboardInterrupt:

Goal 3: Implement a seq2seq model (with cross attention and self attention)

In the previous section, you have implemented a seq2seq model with attention. The attention mechanism used in that section is usually referred to as "cross-attention", as at each decoding step, the decoder attends to encoder outputs, enabling a dynamic view on the encoder side as decoding proceeds.

Similarly, we can have a dynamic view on the decoder side as well as decoding proceeds, i.e., the decoder attends to decoder outputs at previous steps. This is called "self attention", and has been found very useful in modern neural architectures such as transformers.

Augment the seq2seq model you implemented before with a decoder self-attention mechanism as class `AttnEncoderDecoder2`. A model diagram can be found below:



At each decoding step, the decoder LSTM first produces an output state o_t , then it attends to all previous output states o_1, \dots, o_{t-1} (decoder self-attention). You need to special case the first decoding step to not perform self-attention, as there are no previous decoder states. The attention result is added to o_t itself and the sum is used as q_t to attend to the encoder side (encoder-decoder cross-attention). The rest of the model is the same as encoder-decoder with attention.

```

In [358]: #TODO - implement the `AttnEncoderDecoder2` class.
class AttnEncoderDecoder2(nn.Module):
    def __init__(self, src_field, tgt_field, hidden_size=64, layers=3):
        """
        Initializer. Creates network modules and loss function.
        Arguments:
            src_field: src field
            tgt_field: tgt field
            hidden_size: hidden layer size of both encoder and decoder
            layers: number of layers of both encoder and decoder
        """
        super().__init__()
        self.src_field = src_field
        self.tgt_field = tgt_field

        # Keep the vocabulary sizes available
        self.V_src = len(src_field.vocab.itos)
        self.V_tgt = len(tgt_field.vocab.itos)

        # Get special word ids
        self.padding_id_src = src_field.vocab.stoi[src_field.pad_token]
        self.padding_id_tgt = tgt_field.vocab.stoi[tgt_field.pad_token]
        self.bos_id = tgt_field.vocab.stoi[tgt_field.init_token]
        self.eos_id = tgt_field.vocab.stoi[tgt_field.eos_token]

        # Keep hyper-parameters available
        self.embedding_size = hidden_size
        self.hidden_size = hidden_size
        self.layers = layers

        # Create essential modules
        self.word_embeddings_src = nn.Embedding(self.V_src, self.embedding_size)
        self.word_embeddings_tgt = nn.Embedding(self.V_tgt, self.embedding_size)

        # RNN cells
        self.encoder_rnn = nn.LSTM(
            input_size = self.embedding_size,
            hidden_size = hidden_size // 2, # to match decoder hidden size
            num_layers = layers,
            bidirectional = True # bidirectional encoder
        )
        self.decoder_rnn = nn.LSTM(
            input_size = self.embedding_size,
            hidden_size = hidden_size,
            num_layers = layers,
            bidirectional = False # unidirectional decoder
        )

        # Final projection layer
        self.hidden2output = nn.Linear(2*hidden_size, self.V_tgt) # project the

        # Create loss function
        self.loss_function = nn.CrossEntropyLoss(reduction='sum',
                                                    ignore_index=self.padding_id_t

    def forward_encoder(self, src, src_lengths):

```

```

"""
Encodes source words `src`.
Arguments:
    src: src batch of size (max_src_len, bsz)
    src_lengths: src lengths of size (bsz)
Returns:
    memory_bank: a tensor of size (src_len, bsz, hidden_size)
    (final_state, context): `final_state` is a tuple (h, c) where h/c is
                           (layers, bsz, hidden_size), and `context` is
"""

#TODO
word_embeddings = self.word_embeddings_src(src)
memory_bank, (h, c) = self.encoder_rnn(word_embeddings)
def reshape(x):
    size1 = (self.layers, 2, len(src_lengths), self.hidden_size // 2)
    size2 = (self.layers, len(src_lengths), self.hidden_size)
    return x.reshape(*size1).transpose(1, 2).reshape(*size2)
final_state = (reshape(h), reshape(c))
context = None
#prev_decoder_state = []
return memory_bank, (final_state, context)
def forward_decoder(self, encoder_final_state, tgt_in, memory_bank, src_m
"""
Decodes based on encoder final state, memory bank, src_mask, and ground
target words.
Arguments:
    encoder_final_state: (final_state, None) where final_state is the e
                        final state used to initialize decoder. None is
                        initial context (there's no previous context at
                        first step).
    tgt_in: a tensor of size (tgt_len, bsz)
    memory_bank: a tensor of size (src_len, bsz, hidden_size), encoder
                at every position
    src_mask: a tensor of size (src_len, bsz): a boolean tensor, `False`
              src is padding (we disallow decoder to attend to those pl
Returns:
    Logits of size (tgt_len, bsz, V_tgt) (before the softmax operation)
"""
max_tgt_length = tgt_in.size(0)

# Initialize decoder state, note that it's a tuple (state, context) her
prev_decoder_state = []
encoder_final_state = (encoder_final_state[0], encoder_final_state[1], pr
decoder_states = encoder_final_state

all_logits = []
for i in range(max_tgt_length):
    logits, decoder_states, attn = \
        self.forward_decoder_incrementally(decoder_states,
                                           tgt_in[i],
                                           memory_bank,
                                           src_mask,
                                           normalize=False)
    all_logits.append(logits) # list of bsz, vocab_tgt
all_logits = torch.stack(all_logits, 0) # tgt_len, bsz, vocab_tgt
return all_logits

```

```

def forward(self, src, src_lengths, tgt_in):
    """
    Performs forward computation, returns logits.
    Arguments:
        src: src batch of size (max_src_len, bsz)
        src_lengths: src lengths of size (bsz)
        tgt_in: a tensor of size (tgt_len, bsz)
    """
    src_mask = src.ne(self.padding_id_src) # max_src_len, bsz
    # Forward encoder
    memory_bank, encoder_final_state = self.forward_encoder(src, src_lengths)
    # Forward decoder
    logits = self.forward_decoder(encoder_final_state, tgt_in, memory_bank,
    return logits

def forward_decoder_incrementally(self, prev_decoder_states, tgt_in_onestep,
    memory_bank, src_mask,
    normalize=True):
    """
    Forward the decoder for a single step with token `tgt_in_onestep`.
    This function will be used both in `forward_decoder` and in beam search
    Note that bsz can be greater than 1.
    Arguments:
        prev_decoder_states: a tuple (prev_decoder_state, prev_context). `prev_decoder_state`
            is `None` for the first step
        tgt_in_onestep: a tensor of size (bsz), tokens at one step
        memory_bank: a tensor of size (src_len, bsz, hidden_size), encoder
            at every position
        src_mask: a tensor of size (src_len, bsz): a boolean tensor, `False`
            src is padding (we disallow decoder to attend to those positions)
        normalize: use log_softmax to normalize or not. Beam search needs to
            while `forward_decoder` does not
    Returns:
        logits: log probabilities for `tgt_in_token` of size (bsz, V_tgt)
        decoder_states: (`decoder_state`, `context`) which will be used for
            next incremental update
        attn: normalized attention scores at this step (bsz, src_len)
    """
    prev_decoder_state, prev_context, prev_decoder_outs = prev_decoder_states
    #TODO
    word_embeddings = self.word_embeddings_tgt(tgt_in_onestep).unsqueeze(0)
    if prev_context is not None:
        word_embeddings += prev_context
    batchMat, decoder_state = self.decoder_rnn(word_embeddings, prev_decoder_outs)
    if len(prev_decoder_outs) != 0:
        selfAttentionVal, selfContextVal = attention(prev_decoder_state, prev_decoder_outs,
            batchMat.append(selfContextVal))
    attentionVal, contextVal = attention(batchMat, memory_bank, memory_bank)
    logits = self.hidden2output(torch.cat((contextVal, batchMat), dim = -1))
    prev_decoder_outs.append(decoder_state)
    decoder_states = (decoder_state, contextVal, prev_decoder_outs)
    if normalize:
        logits = torch.log_softmax(logits, dim = -1)
    return logits, decoder_states, attentionVal

def evaluate_ppl(self, iterator):
    """Returns the model's perplexity on a given dataset `iterator`."""

```

```

# Switch to eval mode
self.eval()
total_loss = 0
total_words = 0
for batch in iterator:
    # Input and target
    src, src_lengths = batch.src
    tgt = batch.tgt # max_length_sql, bsz
    tgt_in = tgt[:-1] # remove <eos> for decode input (y_0=<bos>, y_1, y_
    tgt_out = tgt[1:] # remove <bos> as target (y_1, y_2, y_3=<eos>
    # Forward to get logits
    logits = self.forward(src, src_lengths, tgt_in)
    # Compute cross entropy loss
    loss = self.loss_function(logits.view(-1, self.V_tgt), tgt_out.view(-
    total_loss += loss.item()
    total_words += tgt_out.ne(self.padding_id_tgt).float().sum().item()
return math.exp(total_loss/total_words)

def train_all(self, train_iter, val_iter, epochs=10, learning_rate=0.001)
    """Train the model."""
    # Switch the module to training mode
    self.train()
    # Use Adam to optimize the parameters
    optim = torch.optim.Adam(self.parameters(), lr=learning_rate)
    best_validation_ppl = float('inf')
    best_model = None
    # Run the optimization for multiple epochs
    for epoch in range(epochs):
        total_words = 0
        total_loss = 0.0
        for batch in tqdm(train_iter):
            # Zero the parameter gradients
            self.zero_grad()
            # Input and target
            src, src_lengths = batch.src # text: max_src_length, bsz
            tgt = batch.tgt # max_tgt_length, bsz
            tgt_in = tgt[:-1] # Remove <eos> for decode input (y_0=<bos>, y_1,
            tgt_out = tgt[1:] # Remove <bos> as target (y_1, y_2, y_3=<e
            bsz = tgt.size(1)
            # Run forward pass and compute loss along the way.
            logits = self.forward(src, src_lengths, tgt_in)
            loss = self.loss_function(logits.view(-1, self.V_tgt), tgt_out.view
            # Training stats
            num_tgt_words = tgt_out.ne(self.padding_id_tgt).float().sum().item(
            total_words += num_tgt_words
            total_loss += loss.item()
            # Perform backpropagation
            loss.div(bsz).backward()
            optim.step()

        # Evaluate and track improvements on the validation dataset
        validation_ppl = self.evaluate_ppl(val_iter)
        self.train()
        if validation_ppl < best_validation_ppl:
            best_validation_ppl = validation_ppl
            self.best_model = copy.deepcopy(self.state_dict())
        epoch_loss = total_loss / total_words

```

```
print (f'Epoch: {epoch} Training Perplexity: {math.exp(epoch_loss):.4f}'  
      f'Validation Perplexity: {validation_ppl:.4f}')
```

```
def predict(self, src, K = 1, max_T=MAX_T):  
    K = 1          # beam size 1  
  
    correct = 0  
    total = 0  
  
    # create beam searcher  
    beam_searcher = BeamSearcher(model)  
  
    for index, batch in enumerate(test_iter, start=1):  
        # Input and output  
        src, src_lengths = batch.src  
        # Predict  
        prediction, _ = beam_searcher.beam_search(src, src_lengths, K)  
        # Convert to string  
        prediction = ' '.join([TGT.vocab.itos[token] for token in prediction])  
        prediction = prediction.lstrip('<bos>').rstrip('<eos>').strip()  
    return prediction
```

In [359]: ...

Out[359]: Ellipsis


```
In [360]: EPOCHS = 1 # epochs, we recommend starting with a smaller number like 1
LEARNING_RATE = 1e-4 # learning rate

# Instantiate and train classifier
model2 = AttnEncoderDecoder2(SRC, TGT,
    hidden_size = 100,
    layers = 1,
).to(device)

model2.train_all(train_iter, val_iter, epochs=EPOCHS, learning_rate=LEARNING_RATE)
model2.load_state_dict(model2.best_model)

# Evaluate model performance, the expected value should be < 1.2
print (f'Validation perplexity: {model2.evaluate_ppl(val_iter):.3f}')
```

```
0%|
| 0/229 [00:00<?, ?it/s]
```

```
-----
-----
AttributeError                                Traceback (most recent call last)
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/277066
2788.py in <module>
      8 ).to(device)
      9
--> 10 model2.train_all(train_iter, val_iter, epochs=EPOCHS, learning_
rate=LEARNING_RATE)
     11 model2.load_state_dict(model2.best_model)
     12

/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/484879
090.py in train_all(self, train_iter, val_iter, epochs, learning_rate)
     209     bsz = tgt.size(1)
     210     # Run forward pass and compute loss along the way.
--> 211     logits = self.forward(src, src_lengths, tgt_in)
     212     loss = self.loss_function(logits.view(-1, self.V_tgt),
tgt_out.view(-1))
     213     # Training stats

/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/484879
090.py in forward(self, src, src_lengths, tgt_in)
     124     memory_bank, encoder_final_state = self.forward_encoder(src
, src_lengths)
     125     # Forward decoder
--> 126     logits = self.forward_decoder(encoder_final_state, tgt_in,
memory_bank, src_mask)
     127     return logits
     128

/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/484879
090.py in forward_decoder(self, encoder_final_state, tgt_in, memory_ban
k, src_mask)
     103     for i in range(max_tgt_length):
     104         logits, decoder_states, attn = \
--> 105         self.forward_decoder_incrementally(decoder_states,
tgt_in[i],
```

```

107                                     memory_bank,

/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/484879
090.py in forward_decoder_incrementally(self, prev_decoder_states, tgt_
in_onestep, memory_bank, src_mask, normalize)
    157     batchMat, decoder_state = self.decoder_rnn(word_embeddings,
prev_decoder_state)
    158     if len(prev_decoder_outs) != 0:
--> 159         selfAttentionVal, selfContextVal = attention(prev_decod
er_state, prev_decoder_outs, prev_decoder_outs)
    160         batchMat.append(selfContextVal)
    161         attentionVal, contextVal = attention(batchMat, memory_bank,
memory_bank, torch.transpose(src_mask, 0, 1).unsqueeze(1))

/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/219132
2381.py in attention(batched_Q, batched_K, batched_V, mask)
    16     """
    17     # Check sizes
--> 18     D = batched_Q.size(-1)
    19     bsz = batched_Q.size(1)
    20     q_len = batched_Q.size(0)

AttributeError: 'tuple' object has no attribute 'size'

```

Evaluation

Now we are ready to run the full evaluation. A proper implementation should reach more than 35% precision/recall/F1.

```

In [280]: def seq2seq_predictor2(tokens):
           prediction = model2.predict(tokens, K=1, max_T=400)
           return prediction

```

```
In [281]: precision, recall, f1 = evaluate(seq2seq_predictor2, test_iter.dataset, num
print(f"precision: {precision:3.2f}")
print(f"recall:    {recall:3.2f}")
print(f"F1:       {f1:3.2f}")
```

```
0%|
| 0/332 [00:00<?, ?it/s]
```

```
-----
--
AttributeError                                Traceback (most recent call las
t)
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/27096085
10.py in <module>
```

```
----> 1 precision, recall, f1 = evaluate(seq2seq_predictor2, test_iter.da
taset, num_examples=0)
```

```
      2 print(f"precision: {precision:3.2f}")
```

```
      3 print(f"recall:    {recall:3.2f}")
```

```
      4 print(f"F1:       {f1:3.2f}")
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/30272542
56.py in evaluate(predictor, dataset, num_examples, silent)
```

```
    24     example_count += 1
```

```
    25     # obtain query SQL
```

```
----> 26     predicted_sql = predictor(example.src)
```

```
    27     if predicted_sql == None:
```

```
    28         continue
```

```
/var/folders/09/vln7m90x4x19d0b5zn46swr80000gn/T/ipykernel_78418/89832076
0.py in seq2seq_predictor2(tokens)
```

```
    1 def seq2seq_predictor2(tokens):
```

```
----> 2     prediction = model2.predict(tokens, K=1, max_T=400)
```

```
    3     return prediction
```

```
~/miniconda/envs/cs187/lib/python3.8/site-packages/torch/nn/modules/modul
e.py in __getattr__(self, name)
```

```
   1128         if name in modules:
```

```
   1129             return modules[name]
```

```
-> 1130         raise AttributeError("'{}' object has no attribute '{}'.
format(
```

```
   1131             type(self).__name__, name))
```

```
   1132
```

```
AttributeError: 'AttnEncoderDecoder2' object has no attribute 'predict'
```

Discussion

Goal 4: Compare the pros and cons of rule-based and neural approaches.

Compare the pros and cons of the rule-based approach and the neural approaches with relevant examples from your experiments above. Concerning the accuracy, which approach would you choose to be used in a product? Explain.

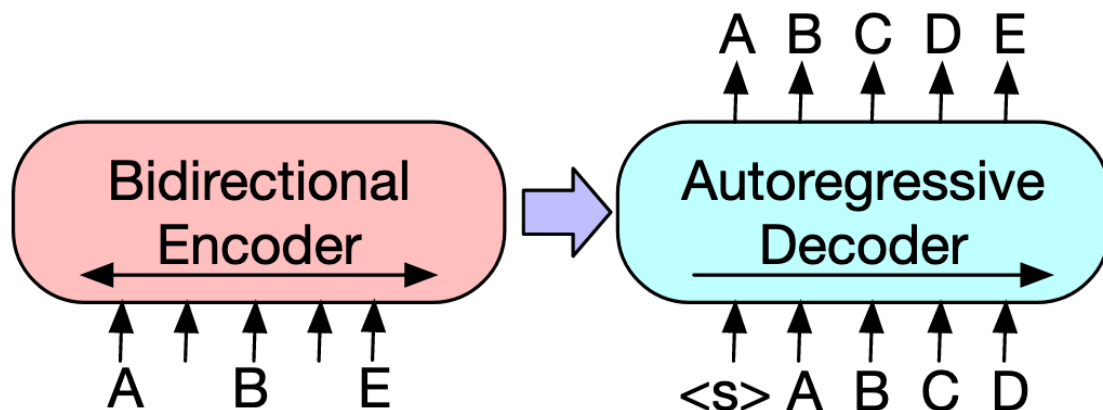
The main difference that exists between the rule-based approach and the neural approach is that the neural approach will generate its own rules depending on the data that is fed into it whereas the rules must be humanly defined for the rule-based approach. A pro of the rule-based approach is that the changes that occur in performance when a rule is changed are very opaque and understandable to humans, compared to a change in performance for a neural approach being very black box-like. Additionally, the aspects of the dataset that are focused on can be better defined by a rule-based approach whereas it is difficult to specify the scope of a neural approach as it is usually broader. However, a pro of the neural approach is that the methods are generalizable to many problems whereas the rule-based approach is only applicable to the specific problem or dataset that the rules were written for. For the ATIS dataset, we wrote rules but they wouldn't be able to generalize to another dataset whereas the neural approach is much more generalizable. Additionally, a pro of rule-based is that the outputs are much quicker than that of the neural approach, as evidenced by the large amount of time it took to train the neural approach. Given the accuracy, I would choose the neural approach over the rule-based approach. The neural approach can always improve through additional layers, higher hidden_size, and additional epochs without changing the data or additional time put in whereas the rule-based approach would take additional time to improve in any way. Thus, the upside of the neural approach is higher than that of the rule-based approach, which is why I would choose the neural approach.

(Optional) Goal 5: Use state-of-the-art pretrained transformers

The most recent breakthrough in natural-language processing stems from the use of pretrained transformer models. For example, you might have heard of pretrained transformers such as [GPT-3 \(https://arxiv.org/abs/2005.14165\)](https://arxiv.org/abs/2005.14165) and [BERT \(https://arxiv.org/abs/1810.04805\)](https://arxiv.org/abs/1810.04805). (BERT is already used in [Google search \(https://searchengineland.com/google-bert-used-on-almost-every-english-query-342193\)](https://searchengineland.com/google-bert-used-on-almost-every-english-query-342193).) These models are usually trained on vast amounts of text data using variants of language modeling objectives, and researchers have found that finetuning them on downstream tasks usually results in better performance as compared to training a model from scratch.

In the previous part, you implemented an LSTM-based sequence-to-sequence approach. To "upgrade" the model to be a state-of-the-art pretrained transformer only requires minor modifications.

The pretrained model that we will use is [BART \(https://arxiv.org/abs/1910.13461\)](https://arxiv.org/abs/1910.13461), which uses a bidirectional transformer encoder and a unidirectional transformer decoder, as illustrated in the below diagram (image courtesy <https://arxiv.org/pdf/1910.13461> (<https://arxiv.org/pdf/1910.13461>)):



We can see that this model is strikingly similar to the LSTM-based encoder-decoder model we've been using. The only difference is that they use transformers instead of LSTMs. Therefore, we only need to change the modeling parts of the code, as we will see later.

First, we download and load the pretrained BART model from the [transformers](https://github.com/huggingface/transformers) (<https://github.com/huggingface/transformers>) package by Huggingface. Note that we also need to use the "tokenizer" of BART, which is actually a combination of a tokenizer and a mapping from strings to word ids.

```
In [ ]: pretrained_bart = BartForConditionalGeneration.from_pretrained('facebook/bart-base')
        bart_tokenizer = BartTokenizer.from_pretrained('facebook/bart-base')
```

Below we demonstrate how to use BART's tokenizer to convert a sentence to a list of word ids, and vice versa.

```
In [ ]: # BART uses a predefined "tokenizer", which directly maps a sentence
        # to a list of ids
        def bart_tokenize(string):
            return bart_tokenizer(string)['input_ids'][:1024] # BART model can process up to 1024 tokens

        def bart_detokenize(token_ids):
            return bart_tokenizer.decode(token_ids, skip_special_tokens=True)

        ## Demonstrating the tokenizer
        question = 'Are there any first-class flights from St. Louis at 11pm for le

        tokenized_question = bart_tokenize(question)
        print('tokenized:', tokenized_question)

        detokenized_question = bart_detokenize(tokenized_question)
        print('detokenized:', detokenized_question)
```

We need to reprocess the data using our new tokenizer. Note that here we set `batch_first` to `True`, since that's the expected input shape of the transformers package.

```

In [ ]: SRC_BART = tt.data.Field(include_lengths=True,      # include lengths
                                batch_first=True,         # batches will be batch_size
                                tokenize=bart_tokenize,    # use bart tokenizer
                                use_vocab=False,          # bart tokenizer already c
                                pad_token=bart_tokenizer.pad_token_id
                                )
TGT_BART = tt.data.Field(include_lengths=False,
                          batch_first=True,              # batches will be batch_size
                          tokenize=bart_tokenize,        # use bart tokenizer
                          use_vocab=False,               # bart tokenizer already c
                          pad_token=bart_tokenizer.pad_token_id
                          )
fields_bart = [('src', SRC_BART), ('tgt', TGT_BART)]

# Make splits for data
train_data_bart, val_data_bart, test_data_bart = tt.datasets.TranslationDat
    ('_flightid.nl', '_flightid.sql'), fields_bart, path='./data/',
    train='train', validation='dev', test='test')

BATCH_SIZE = 1 # batch size for training/validation
TEST_BATCH_SIZE = 1 # batch size for test, we use 1 to make beam search imp

train_iter_bart, val_iter_bart = tt.data.BucketIterator.splits((train_data_
                                                                batch_size=BATCH_SIZE,
                                                                device=device,
                                                                repeat=False,
                                                                sort_key=lambda x: len
                                                                sort_within_batch=True

test_iter_bart = tt.data.BucketIterator(test_data_bart,
                                         batch_size=1,
                                         device=device,
                                         repeat=False,
                                         sort=False,
                                         train=False)

```

Let's take a look at the batch. Note that the shape of the batch is `batch_size x max_len`, instead of `max_len x batch_size` as in the previous part.

```

In [ ]: batch = next(iter(train_iter_bart))
train_batch_text, train_batch_text_lengths = batch.src
print (f"Size of text batch: {train_batch_text.shape}")
print (f"First sentence in batch: {train_batch_text[0]}")
print (f"Length of the third sentence in batch: {train_batch_text_lengths[0]}")
print (f"Converted back to string: {bart_detokenize(train_batch_text[0])}")

train_batch_sql = batch.tgt
print (f"Size of sql batch: {train_batch_sql.shape}")
print (f"First sql in batch: {train_batch_sql[0]}")
print (f"Converted back to string: {bart_detokenize(train_batch_sql[0])}")

```

Now we are ready to implement the BART-based approach for the text-to-SQL conversion problem. In the below `BART` class, we have provided the constructor `__init__`, the forward function, and the `predict` function. Your job is to implement the main optimization `train` all, and evaluate `ppl` for evaluating validation perplexity for model selection.

Hint: you can use almost the same `train_all` and `evaluate_ppl` function you implemented before, but here a major difference is that due to setting `batch_first=True`, the batched source/target tensors are of size `batch_size x max_len`, as opposed to `max_len x batch_size` in the LSTM-based approach, and you need to make changes in `train_all` and `evaluate_ppl` accordingly.

```

In [ ]: #TODO - finish implementing the `BART` class.
class BART(nn.Module):
    def __init__(self, tokenizer, pretrained_bart):
        """
        Initializer. Creates network modules and loss function.
        Arguments:
            tokenizer: BART tokenizer
            pretrained_bart: pretrained BART
        """
        super(BART, self).__init__()

        self.V_tgt = len(tokenizer)

        # Get special word ids
        self.padding_id_tgt = tokenizer.pad_token_id

        # Create essential modules
        self.bart = pretrained_bart

        # Create loss function
        self.loss_function = nn.CrossEntropyLoss(reduction="sum",
                                                  ignore_index=self.padding_id_t

    def forward(self, src, src_lengths, tgt_in):
        """
        Performs forward computation, returns logits.
        Arguments:
            src: src batch of size (batch_size, max_src_len)
            src_lengths: src lengths of size (batch_size)
            tgt_in: a tensor of size (tgt_len, bsz)
        """
        # BART assumes inputs to be batch-first
        # This single function is forwarding both encoder and decoder (w/ cross
        # using `input_ids` as encoder inputs, and `decoder_input_ids`
        # as decoder inputs.
        logits = self.bart(input_ids=src,
                           decoder_input_ids=tgt_in,
                           use_cache=False
                           ).logits

        return logits

    def evaluate_ppl(self, iterator):
        """Returns the model's perplexity on a given dataset `iterator`."""
        #TODO - implement this function
        ...
        ppl = ...
        return ppl

    def train_all(self, train_iter, val_iter, epochs=10, learning_rate=0.001)
        """Train the model."""
        #TODO - implement this function
        ...

    def predict(self, tokens, K=1, max_T=400):
        """
        Generates the target sequence given the source sequence using beam search

```


Note that for simplicity, we only use batch size 1.

Arguments:

tokens: a list of strings, the source sentence.

max_T: at most proceed this many steps of decoding

Returns:

a string of the generated target sentence.

"""

string = ' '.join(tokens) # first convert to a string

Tokenize and map to a list of word ids

inputs = torch.LongTensor(bart_tokenize(string)).to(device).view(1, -1)

The `transformers` package provides built-in beam search support

```
prediction = self.bart.generate(inputs,
                                num_beams=K,
                                max_length=max_T,
                                early_stopping=True,
                                no_repeat_ngram_size=0,
                                decoder_start_token_id=0,
                                use_cache=True)[0]
```

return bart_detokenize(prediction)

The code below will kick off training, and evaluate the validation perplexity. You should expect to see a value very close to 1.

```
In [ ]: EPOCHS = 5 # epochs, we recommend starting with a smaller number like 1
LEARNING_RATE = 1e-5 # learning rate

# Instantiate and train classifier
bart_model = BART(bart_tokenizer,
                  pretrained_bart
                  ).to(device)

bart_model.train_all(train_iter_bart, val_iter_bart, epochs=EPOCHS, learning_rate=LEARNING_RATE)
bart_model.load_state_dict(bart_model.best_model)

# Evaluate model performance, the expected value should be < 1.2
print (f'Validation perplexity: {bart_model.evaluate_ppl(val_iter_bart):.3f}')
```

As before, make sure that your model is making reasonable predictions on a few examples before evaluating on the entire test set.

```
In [ ]: def bart_trial(sentence, gold_sql):
    print("Sentence: ", sentence, "\n")
    tokens = tokenize(sentence)

    predicted_sql = bart_model.predict(tokens, K=1, max_T=300)
    print("Predicted SQL:\n\n", predicted_sql, "\n")

    if verify(predicted_sql, gold_sql, silent=False):
        print ('Correct!')
    else:
        print ('Incorrect!')
```

```
In [ ]: bart_trial(example_1, gold_sql_1)
```

```
In [ ]: bart_trial(example_2, gold_sql_2)
```

```
In [ ]: bart_trial(example_3, gold_sql_3)
```

```
In [ ]: bart_trial(example_4, gold_sql_4)
```

```
In [ ]: bart_trial(example_5, gold_sql_5)
```

```
In [ ]: bart_trial(example_6, gold_sql_6b)
```

```
In [ ]: bart_trial(example_7, gold_sql_7b)
```

```
In [ ]: bart_trial(example_8, gold_sql_8)
```

Evaluation

The code below will evaluate on the entire test set. You should expect to see precision/recall/F1 greater than 40%.

```
In [ ]: def seq2seq_predictor_bart(tokens):  
        prediction = bart_model.predict(tokens, K=4, max_T=400)  
        return prediction
```

```
In [ ]: precision, recall, f1 = evaluate(seq2seq_predictor_bart, test_iter.dataset,  
    print(f"precision: {precision:3.2f}")  
    print(f"recall:    {recall:3.2f}")  
    print(f"F1:        {f1:3.2f}")
```

Debrief

Question: We're interested in any thoughts you have about this project segment so that we can improve it for later years, and to inform later segments for this year. Please list any issues that arose or comments you have to improve the project segment. Useful things to comment on might include the following:

- Was the project segment clear or unclear? Which portions?
- Were the readings appropriate background for the project segment?
- Are there additions or changes you think would make the project segment better?

but you should comment on whatever aspects you found especially positive or negative.

I had a lot more trouble with this project segment than others. I was able to get Goal 1 working well and filled out the grammar completely. However, I wasn't able to get my predict function working completely correctly for Goal 2 and Goal 3, which led to me being unable to check whether my grammar was correct and it took too long to run the 50 epochs so I wasn't able to check my

perplexity either. The high perplexity value was present for 1 epoch, hidden size = 100 and layers = 1. I ran for 10 epochs which led to a much lower perplexity but overrode the values and didn't have time to run it again.

Additionally, I also wasn't able to get the self-attention working completely correctly. I attempted an implementation of it by adding in the `prev_decoder_states` functionality in order to get the self-attention to compute but ran into some issues that I wasn't able to solve because of time.

Overall, I think I was close to correct on my implementation for the predict method and the self-attention but wasn't able to fix it completely because of time constraints.

I think that I was very overwhelmed during this finals period and wasn't able to put forth my best work, which I apologize for. Hopefully I am able to get some credit for the time that I put in.

I think the readings and lab segments were appropriate background for the project segment.

I thought it was pretty difficult to finish out all of the grammar semantic additions and some more guidance on that would have been nice.

Instructions for submission of the project segment

This project segment should be submitted to Gradescope at <http://go.cs187.info/project4-submit-code> (<http://go.cs187.info/project4-submit-code>) and <http://go.cs187.info/project4-submit-pdf> (<http://go.cs187.info/project4-submit-pdf>), which will be made available some time before the due date.

Project segment notebooks are manually graded, not autograded using otter as labs are. (Otter is used within project segment notebooks to synchronize distribution and solution code however.) **We will not run your notebook before grading it.** Instead, we ask that you submit the already freshly run notebook. The best method is to "restart kernel and run all cells", allowing time for all cells to be run to completion. You should submit your code to Gradescope at the code submission assignment at <http://go.cs187.info/project4-submit-code> (<http://go.cs187.info/project4-submit-code>). Make sure that you are also submitting your `data/grammar` file as part of your solution code as well.

We also request that you **submit a PDF of the freshly run notebook**. The simplest method is to use "Export notebook to PDF", which will render the notebook to PDF via LaTeX. If that doesn't work, the method that seems to be most reliable is to export the notebook as HTML (if you are using Jupyter Notebook, you can do so using `File -> Print Preview`), open the HTML in a browser, and print it to a file. Then make sure to add the file to your git commit. Please name the file the same name as this notebook, but with a `.pdf` extension. (Conveniently, the methods just described will use that name by default.) You can then perform a git commit and push and submit the commit to Gradescope at <http://go.cs187.info/project4-submit-pdf> (<http://go.cs187.info/project4-submit-pdf>).

End of project segment 4 {-}

