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# MATROIDS: COMBINATORIAL OPTIMIZATION

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# 1 Introduction

## Definition 1.1: Matroid

A matroid  $M = (E, I)$  has a ground set  $E$  and a collection  $I$  of subsets of  $E$  called the *Independent Sets* st

1. Downward Closure: If  $Y \in I$  then  $\forall X \subseteq Y, X \in I$ .
2. Extension Property: If  $x, Y \in I, |X| < |Y|$  then  $\exists e \in Y - X$  such that  $X \cup \{e\}$  also written as  $X + e \in I$

**Observation.** A maximal independent set in a matroid is also a maximum independent set. All maximal independent sets have the same size.

**Base:** Maximal Independent sets are called bases.

**Rank of  $S \in I$ :**  $\max\{|X| : X \subseteq S, X \in I\}$

**Rank of a Matroid:** Size of the base.

**Span of  $S \in I$ :**  $\{e \in E : \text{rank}(S) = \text{rank}(S + e)\}$

## 2 Types of Matroids

### 2.1 Uniform Matroid:

It is denoted as  $U_{k,n}$  where  $E = [n]$  and  $I = \{X \subseteq E \mid |X| \leq k\}$ .

**Free Matroid:** When  $k = n$  we take all possible subsets of  $E$  into  $I$ . This matroid is called Free Matroid i.e.  $U_{n,n}$

### 2.2 Partition Matroid:

Given  $E = E_1 \sqcup E_2 \sqcup \dots \sqcup E_l$  where  $\{E_1, \dots, E_l\}$  is a partition of  $E$  and  $k_1, \dots, k_l \in \mathbb{N} \cup \{0\}$

$$I = \{X \subseteq E : |X \cap E_i| \leq k_i \forall i \in [l]\}$$

then  $M = (E, I)$  is a partition matroid.

#### Note:-

If the  $E_i$ 's are not a partition then suppose  $E_1, E_2$  has nonempty partition then we will not have a matroid.

For example:  $E_1 = \{1, 2\}, E_2 = \{2, 3\}$  and  $k_1 = k_2 = 1$  then  $X = \{1, 3\}$  is independent but  $Y = \{2\} \subsetneq X$  is not a matroid.

### 2.3 Linear Matroid:

Given a  $m \times n$  matrix denote its columns as  $A_1, \dots, A_n$ . Then

$$I = \{X \subseteq [n] : \text{Columns corresponding to } X \text{ are linearly independent}\}$$

Here if the underlying field is  $\mathbb{F}_2$  then it is called *Binary Matroid* and for  $\mathbb{F}_3$  it is called *Ternary Matroid*.

### 2.4 Representable Matroid:

A matroid with which we can associate a linear matroid is called a representable matroid.

Eg:  $U_{2,3}$ . It can be represented by the matrix  $A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$ , over  $\mathbb{F}_2$ . Over  $\mathbb{F}_3$  it is same as  $U_{3,3}$ .

#### Note:-

There are matroids which are not representable as linear matroids in some field. There are matroids which are not representable on any field as well.

**Lemma 2.1**

$U_{2,4}$  is not representable over  $\mathbb{F}_2$  but representable over  $\mathbb{F}_3$

**2.5 Regular Matroid:**

There are the matroids which are representable over all fields.

**Lemma 2.2**

Regular Matroids are precisely those which can be represented over  $\mathbb{R}$  by a Totally Uni-modular matrix

**2.6 Graphic Matroid / Cyclic Matroid:**

For a graph  $G = (V, E)$  the graphic matroid  $M_G = (E, I)$  where

$$I = \{F \subseteq E : F \text{ is acyclic}\}$$

Hence  $I$  is the collection of forests of  $G$ . It follows the downward closure trivially. For extension property let  $k = |F_1| < |F_2| = l$  and then there are  $n - k$  and  $n - l$  components. SO  $n - k > n - l$ . So  $\exists$  an edge in  $F_2$  which joins 2 components in  $F_1$ .

**Lemma 2.3**

A subset of columns is linearly independent iff the corresponding edges don't contain a cycle in the incidence matrix

**Lemma 2.4**

Graphic Matroids are Regular Matroids

**Proof Idea:** Use Incidence Matrix. ■

**2.7 Matching Matroids**

We can try to define it like this but it will not work:

**Problem 1**

Is the following a matroid:  $E = \text{Edges of a graph}$  and  $I = \{F \subseteq E : F \text{ is a matching}\}$

**Solution:** It is not a matroid since maximal matchings can not be extended to a maximum matching. ■

Correct way will be: For a graph  $G = (V, E)$  the ground set  $= V$  and

$$I = \{S \subseteq V : \exists \text{ a matching that matches all vertices in } S\}$$

The downward closure property trivially holds. For extension property is  $|S| < |S'|$  then there exists another vertex in  $S'$  which is not matched with  $S$ , so we can add that vertex to  $S$ .

### 3 Circuits

Assume we have a matroid  $M = (E, I)$ .

#### Definition 3.1: Circuit

A minimal dependent set  $C$  such that  $\forall e \in C, C - e$  is an independent set.

#### Theorem 3.1

Let  $S \in I$ .  $S + e \notin I$ . Then  $\exists! C \subseteq S + e$ .

### 4 Finding Max Weight Base

#### 4.1 Algorithm

#### 4.2 Correctness Analysis

### 5 Some Matroid Properties

#### 5.1 Strong Base Exchange Property

#### 5.2 Exchange Graph of a Matroid wrt $S \in I$

### 6 Using Matroid Intersection to Solve Problems

#### 6.1 Bipartite Matching

#### 6.2 Colorful Spanning Tree

#### 6.3 Min-Max Relation for Colorful Spanning Tree

#### 6.4 Arborescence

### 7 Solving Matroid Intersection Problem