

# Nisan's Pseudorandom Generator for RL

$$\text{BPL} \subseteq \text{SC} = \text{DTISP}(\text{poly}(n), \log^2(n))$$

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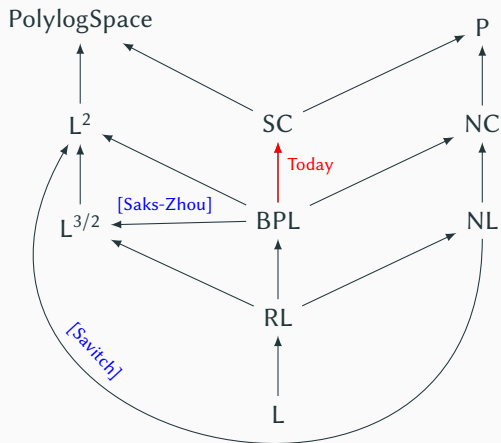
# Introduction

- Dijkstra algorithm is a foundation algorithm solving Single Source Shortest Path problem (SSSP) both for directed and undirected graphs.
- Using Fibonacci Heaps we have the worst-case time complexity  $O(m + n \log n)$ .
- Recently Duan, Mao, Shu and Yin in 2023 solved SSSP for undirected graphs with expected time  $O(m\sqrt{\log n \log \log n})$
- This year in STOC Duan, Mao, Mao, Shu, Yin solved SSSP for directed graphs in  $O(m \log^{\frac{2}{3}} n)$  time.

# Assumptions

- Input graph is always connected.
- All trees are rooted at  $s$ .
- For any vertex  $v$ ,  $T(v)$  denote the subtree of  $T$  rooted at  $v$ .
- The weights of the graph are positive real numbers.
- We allow the  $\infty$  in the weights.

# Complexity Classes



# Comparison-Addition Model

Notice the Dijkstra algorithm does the following operations:

- Adds two values
- Compares two values.
- Stores Values.

So we will work on a model where all possible operations are addition, compare and storage.

For a given graph:

- $OPT_Q(G)$  is the number of comparison queries of an optimal algorithm for this graph.
- $OPT(G)$  be the number of total steps taken by an optimal correct algorithm for the graph.

# Universal Optimality

- Let  $\mathcal{A}$  is the set of all correct algorithms.
- $\mathcal{G}_{n,m}$  is the set of all graphs with  $n$  vertices and  $m$  edges.
- $\mathcal{W}_G$  is the set of all possible weights for a graph  $G \in \mathcal{G}_{n,m}$ .

A correct algorithm  $A^*$  is *existentially optimal* if

$$\forall n, m : \sup_{\substack{G \in \mathcal{G}_{n,m} \\ w \in \mathcal{W}_G}} A^*(G, w) \leq \alpha \inf_{A \in \mathcal{A}} \sup_{\substack{G \in \mathcal{G}_{n,m} \\ w \in \mathcal{W}_G}} A(G, w)$$

where  $\alpha = \tilde{O}(1)$ . This corresponds to being optimal wrt worst-case complexity.

But this is not good. It is just saying  $A^*$  may take as much time as it takes in a star-graph or more complicated one.

# Universal Optimality

We want a notion of optimality which says your algorithm is optimal compared to any other algorithm if you **fix the graph**.

A correct algorithm  $A^*$  is *universally optimal* if

$$\forall n, m, \forall G \in \mathcal{G}_{n,m} : \sup_{w \in W_G} A^*(G, w) \leq \alpha \inf_{A \in \mathcal{A}} \sup_{w \in W_G} A(G, w)$$

where  $\alpha = \tilde{O}(1)$ .

In this discussion we will focus solely on  $\alpha = O(1)$ .

# Exploration Tree and DO

Consider a run of Dijkstra. Whenever a vertex is extracted add the unexplored neighbors of that vertex as children of that vertex. The tree built this way is called the exploration tree.

- Let  $T$  be the exploration tree. Let  $\prec$  be the final distance ordering of the vertices.
- Then for every edge  $(u, v) \in T$ ,  $u \prec v$ .



# Order of Vertices by a Tree

## Definition (Order of $T$ )

Let  $T$  be any tree in  $G$ . An order of  $T$  is a total order of  $V(T)$  such that for every edge  $(u, v) \in E(T)$  we have  $u \prec v$  in the order.

The DO after Dijkstra is an order of exploration tree.

- $L$  is an order of  $G$  if there exists a spanning tree  $T$  of  $G$  such that  $L$  is an order of  $T$ .
- $\text{Order}(G)$  is the number of all possible orders of  $G$ .

## Lemma

*For any graph  $G$ ,  $L$  is an order of  $G$  iff there exists non-negative weights  $w$  such that*

1. *For every two nodes  $u \neq v$ ,  $d_w(s, u) \neq d_w(s, v)$ .*
2.  *$u \prec_L v$  if and only if  $d_w(s, u) < d_w(s, v)$ .*

# Dijkstra Induced Interval Set

For any vertex  $v \in V(G)$

- $l_v$ : When  $v$  was first discovered and added to the heap.
- $r_v$ : When  $v$  was removed from heap.
- $[l_v, r_v]$ : Interval set of  $v$

A run of Dijkstra induces intervals for each vertex  $v \in V$  with the operations **INSERT** and **EXTRACTMIN**.

An interval set  $\mathcal{I}$  is collection of intervals for each vertex. It is called Dijkstra Induced when all the intervals for each vertex in  $\mathcal{I}$  is induced by a run of Dijkstra on some  $(G, w)$ .

# Working set of an Interval Set

Let  $\mathcal{I}$  any interval set.

- For any vertex  $v \in V(G)$  at any time  $t \in I(v)$  the working set  $W_{v,t}$  is the set of vertices inserted after  $v$  and still present at time  $t$ . So

$$W_{v,t} = \{[l_u, r_u] \in \mathcal{I} : l_v \leq l_u \leq t \leq r_u\}$$

- Working set of  $v$ ,  $W_v = W_{v,t^*}$  such that  $t^* = \arg \max_t |W_{v,t}|$ .
- The cost of a vertex  $v \in V(G)$  is  $Cost(v) = \log |W_v|$ . And so
$$Cost(\mathcal{I}) = \sum_{v \in V(G)} \log |W_v|.$$

# Fibonacci-Like Priority Queue with Working Set Property

FPQWSP is a type of Fibonacci Heap which satisfies the amortized time complexity for any sequence of operations as follows:

	FPQWSP	Fibonacci Heap
INSERT	$O(1)$	$O(1)$
DECREASEKEY	$O(1)$	$O(1)$
EXTRACTMIN	$O(1 + \log  W_x )$	$O(\log n)$

## Fact

There is a FPQWSP for Dijkstra. We will use this data structure in every argument from now on by default.

# Time Complexity of Dijkstra

In Dijkstra Algorithm it runs  $n$  times **EXTRACTMIN** calls for each vertex and  $m$  times **DECREASEKEY** calls.

- Hence total time taken by all **DECREASEKEY** calls is  $O(m)$ .
- Total time taken by all **EXTRACTMIN** calls is

$$\sum_{v \in V(G)} O(1 + \log |W_v|) = O\left(n + \sum_{v \in V(G)} \log |W_v|\right) = O(n + \text{Cost}(I))$$

- Total time taken by Dijkstra is  $O(m + n + \text{Cost}(I))$

# Main Theorem

## Theorem

*Dijkstra implemented by FPQWSP in Comparison-Addition model has time complexity  $O(OPT_Q(G) + m + n)$ .*

**Goal:** We'll show  $OPT_Q(G) = \Omega(Cost(I))$ .

- $OPT_Q(G) \leq OPT(G)$
- $OPT(G) = \Omega(n)$
- $OPT(G) = \Omega(m)$

So  $OPT_Q(G) + n + m = O(OPT(G))$ .

## Fact

$$OPT_Q(G) = \Omega(\log(\text{Order}(G)))$$

- Partition the exploration tree into non-comparable sets  $(B_1, \dots, B_k)$  with  $i < j$  then no node of  $B_j$  is ancestor of any node of  $B_i$ .
- For any such partition  $\log(\text{Order}(G)) = \Omega\left(\sum_{i=1}^k |B_i| \log |B_i|\right)$
- There is a partition such that  $2 \sum_{i=1}^k |B_i| \log |B_i| \geq \text{Cost}(\mathcal{I})$

# Barrier Sequence

## Definition (Barrier)

Let  $T$  be any tree. A *Barrier*,  $B \subseteq V(T)$  is a set of nodes where for any two vertices  $u, v \in B$ ,  $u$  is not ancestor of  $v$  in  $T$ .

- For two disjoint barriers,  $B_1 \prec B_2$  if no node of  $B_2$  is predecessor of a node in  $B_1$ .
- $(B_1, \dots, B_k)$  is a *barrier sequence* if  $i < j \implies B_i \prec B_j$ .

## Lemma

A sequence  $(B_1, \dots, B_k)$  of pairwise disjoint vertex sets is barrier sequence if and only if for all  $1 \leq i \leq j \leq k$ ,  $v \in B_j$  is not ancestor of any  $u \in B_i$  in  $T$ .



# Barriers Give Lower Bounds

## Lemma

Let  $T$  be any spanning tree and  $(B_1, \dots, B_k)$  be a barrier sequence of  $T$ .

Then  $\log(\text{Order}(G)) = \Omega\left(\sum_{i=1}^k |B_i| \log |B_i|\right)$

- We have  $\text{Order}(G) \geq \text{Order}(T)$ . We'll show  $\text{Order}(T) \geq |B_1|!|B_2|! \cdots |B_k|!$ .
- Delete vertices of  $B_k$  to get  $T'$ . By induction for the barrier sequence  $(B_1, \dots, B_{k-1})$  for  $T'$ ,  $\text{Order}(T') \geq |B_1|!|B_2|! \cdots |B_{k-1}|!$ .

# Barriers Give Lower Bounds

- We can order vertices of  $B_k$  in any order we want. There are  $|B_k|!$  many orders.
- For each order of  $B_k$  and any order of  $\text{Order}(T')$  we can just concatenate them to get an order of  $T$ .

So finally we got the result:

## Result

If  $T$  is a spanning tree of  $G$  and  $(B_1, \dots, B_k)$  is a barrier sequence for  $T$  then

$$OPT_Q(G) = \Omega \left( \sum_{i=1}^k |B_i| \log |B_i| \right)$$

# Barriers in the Heap

Consider running Dijkstra algorithm until some time. Let  $S$  is the set of nodes that are in the priority queue.

- Notice that  $S$  is the leaves of the partial exploration tree built so far which is a subgraph of final exploration tree.
- Therefore,  $S$  is an incomparable set of the final exploration tree.
- $S$  forms a barrier.

## Result

At any time of the algorithm the set of elements in the priority queue forms a barrier

# Intersecting Coloring

A barrier sequence is basically coloring vertices in a certain way where vertices in a barrier have same color.

## Definition (Intersecting Coloring)

An intersecting coloring of  $\mathcal{I}$  with  $k$  colors is a function  $C : \mathcal{I} \rightarrow [k]$  that assigns a color to every interval and additionally for every color  $i \in [k]$ ,

$$\bigcap_{I \in \mathcal{I}, C(I)=i} I \neq \emptyset.$$

Every intersecting coloring induces a barrier sequence in the exploration tree in following way: For any color  $c$ ,

- $B_c = \{v \in V(G) \mid C(I(v)) = c\}$
- $t_c = \min\{t \mid \forall v \in B_c, t \in I(v)\}$
- Order  $\{B_c\}$  by increasing order of  $\{t_c\}$ . WLOG  $t_1 < \dots < t_k$ .
- $(B_1, \dots, B_k)$  is a barrier sequence for exploration tree.

# Intersecting Coloring Gives Lower Bounds

Let  $C$  be an intersecting coloring of  $\mathcal{I}$  with  $k$  colors. Let  $(B_1, \dots, B_k)$  is the barrier sequence induced by  $C$ . Then let the energy of  $C$  is defined to be

$$E(C) = 2 \sum_{i=1}^k |B_i| \log |B_i|$$

## Result

If  $\mathcal{I}$  is the interval set induced by Dijkstra and  $C$  be any arbitrary intersecting coloring of  $\mathcal{I}$  then

$$OPT_Q(G) = \Omega(E(C))$$

# Good Intersecting Coloring gives Optimality

**Goal:** Find an intersecting coloring of  $\mathcal{I}$ ,  $\mathcal{C}$  such that  $E(\mathcal{C}) \geq \text{Cost}(\mathcal{I})$

- Then time complexity of all **EXTRACTMIN** operations is  $O(n + \text{Cost}(\mathcal{I})) = O(n + E(\mathcal{C}))$ .
- We have  $\text{OPT}_Q(G) = \Omega(E(\mathcal{C}))$ .
- So overall Cost of **EXTRACTMIN** in Dijkstra is upper bounded by  $O(n + \text{OPT}_Q(G))$ .
- Dijkstra achieves universal optimality for time complexity.

We will find such a good intersecting coloring recursively.

# Finding Good Intersecting Coloring

- We will construct  $C$  by induction on  $|I|$ .
- Find the interval  $x \in I$  with the largest  $W_x$ . Use induction on  $I' = I \setminus W_x$
- Let  $C'$  is the coloring for  $I'$  such that  $E(C') \geq \text{Cost}(I')$ . Add a new color for all the elements in  $W_x$  to get new coloring  $C$ .
- $E(C) = E(C') + 2|W_x| \log |W_x|$  by definition.

## Fact

For working set  $W_x$  with the largest size

$$\text{Cost}(I) \leq \text{Cost}(I \setminus W_x) + 2|W_x| \log |W_x|$$

- $\text{Cost}(I) \leq \text{Cost}(I') + 2|W_x| \log |W_x|$ . Hence,  $E(C) \geq \text{Cost}(I)$ .

**Thank You**



$$OPT_Q(G) = \Omega(\log(\text{Order}(G)))$$

### Lemma

*For any directed or undirected graph  $G$ , any algorithm for the DO problem needs  $\Omega(\log(\text{Order}(G)))$  comparison queries in expectation.*

- Let  $A$  is any correct algorithm and  $L \in \text{Order}(G)$ .
- Given  $L$  we have a weight assignment  $w_L$  such that  $L$  is unique order obtained from  $w_L$  upon running Dijkstra. For each  $L$  fix  $w_L$ . Let  $\mathcal{W}$  be the collection of all such  $w_L$ .
- Let  $C_L \in \{-1, 0, 1\}^*$  be the sequence of answers of comparisons made by  $A$  on  $(G, w_L)$ . Then  $C : \mathcal{W} \rightarrow \{-1, 0, 1\}^*$ ,  $C(w_L) = C_L$  is a ternary prefix free code.
- By Shannon's source coding lemma for symbol codes any such code has expected length  $\Omega(\log(|\mathcal{W}|)) = \Omega(\log(\text{Order}(G)))$

# Deleting Intervals from $\mathcal{I}$

## Lemma

Let  $\mathcal{I}$  an interval set and  $x \in \mathcal{I}$ .  $k = \max_t |\{I \in \mathcal{I} \mid t \in I\}|$ . Then

$$\text{Cost}(\mathcal{I}) \leq \text{Cost}(\mathcal{I} \setminus \{x\}) + \log |W_x| + \log k$$

- Let  $I_1, \dots, I_l \in \mathcal{I}$  are the only intervals which had nonempty intersection with  $x$ . So  $l \leq k - 1$ .
- Let  $t_i$  is starting point of  $I_i$ . WLOG assume  $t_l > \dots > t_1$ .
- Let  $W_i, W'_i$  are working sets of  $I_i$  before and after removing  $x$ .

## Deleting Intervals from $\mathcal{I}$

- Let  $t$  is starting point of  $x$ . Then  $W_{i,t}$  contains  $x, l_1, \dots, l_i$ . So  $|W_i| \geq i + 1$ .
- $|W_i| \in \{|W'_i|, |W'_i| + 1\}$  for all  $i \in [l]$ .

$$\begin{aligned} & \text{Cost}(\mathcal{I}) - \text{Cost}(\mathcal{I} \setminus \{x\}) - \log |W_x| \\ &= \sum_{i=1}^l \log |W_i| - \log |W'_i| \\ &\leq \sum_{i=1}^l \log(i+1) - \log i = \log(l+1) \leq \log k \end{aligned}$$

### Fact

For any working set  $|W_x| = k$  we have

$$\text{Cost}(\mathcal{I}) \leq \text{Cost}(\mathcal{I} \setminus W_x) + 2|W_x| \log |W_x|$$