

---

# CSS.413.1 TOPICS IN CODING THEORY

*Instructor: Mrinal Kumar*

*TIFR 2025, Aug-Nov*

---

SCRIBE: SOHAM CHATTERJEE

SOHAM.CHATTERJEE@TIFR.RES.IN

WEBSITE: SOHAMCH08.GITHUB.IO

# CONTENTS

## SECTION 1

TARGETS \_\_\_\_\_ PAGE 3 \_\_\_\_\_

## SECTION 2

BASICS OF CODING THEORY \_\_\_\_\_ PAGE 4 \_\_\_\_\_

## SECTION 3

DECODING OF REED-SOLOMON CODES \_\_\_\_\_ PAGE 5 \_\_\_\_\_

## SECTION 4

UNIVARIATE MULTIPLICITY CODES - LIST DECODING \_\_\_\_\_ PAGE 6 \_\_\_\_\_

4.1 Construction

6

## SECTION 5

REFERENCES \_\_\_\_\_ PAGE 7 \_\_\_\_\_

# 1 Targets

The content of this course will be the followings:

- Introduction to Coding Theory: Definitions, Basic Properties, Linear Codes
- Reed Solomon Codes, Reed Muller Codes
- Decoding algorithms for Reed Solomon Codes:
  - Barlekamp-Welch Algorithm
  - Sudan's List Decoding Algorithm
  - Guruswami-Sudan List Decoding Algorithm upto the Johnson Bound
- Univariate Multiplicity Codes – Decoding upto the List Decoding Capacity
- Bounds on the list size
- Local Decoding (LDC), Local Correction (LCC) of Codes
- Local Correction of Reed Muller Codes
- High Variate Locally correctable/decodable codes
- Local Decoding with constant queries – Matching Vector Codes
- Private Information Retrieval – Definitions, constructions
- Lower Bounds for LDCs – Lower Bound for 2-query/4-query/Kalz-Trevisan/Alrabiah-Guruswami
- Local Testing of Codes:
  - Low-Degree Testing
  - Polischuk-Speilman Test
  - Friedl-Sudan Test
  - Arora-Sudan Test
  - Raz-Safra Test
- Applications: Explicit constructions
  - Combinatorial Designs
  - Subspace Designs
  - Derandomization
  - Hardness vs Randomness

## 2 Basics of Coding Theory

### 3 Decoding of Reed-Solomon Codes

## 4 Univariate Multiplicity Codes - List Decoding

Multiplicity codes are a family of recently-introduced algebraic error-correcting codes based on evaluations of polynomials and their derivatives. Specifically, a codeword of a multiplicity code is obtained by evaluating a polynomial of degree at most  $k$ , along with all its derivatives of order  $< s$ , at  $n$  points of a finite field  $\mathbb{F}_q^m$ . These codes were introduced by Kopparty, Saraf and Yekhanin in [KSY14]. Notice that when  $s = 1$  this is basically the Reed-Solomon code when  $m = 1$  and Reed-Muller code when  $m > 1$ .

Since we are interested in univariate multiplicity codes, we will set  $m = 1$ . So we have three parameters  $k, s, n$  and the field size  $q$ .

### 4.1 Construction

Let  $s, k, m \in \mathbb{Z}_0$  and let  $q$  be a prime power. Let  $\Sigma = \mathbb{F}_q^{\binom{s+m-1}{m}}$ . For  $P(X_1, \dots, X_m) \in \mathbb{F}_q[X_1, \dots, X_m]$  we define the order  $s$  evaluations of  $P$  at  $\mathbf{a} \in \mathbb{F}_q$  to be the vector  $(P^{(\mathbf{i})}(\mathbf{a}))_{\mathbf{i} \leq s} \in \Sigma$  where  $wt(\mathbf{i}) = \sum_{j=1}^m i_j$ . Let  $E$  be a subset of  $n$  points in  $\mathbb{F}_q^m$ .

#### Definition 4.1.1: Multiplicity Codes

The multiplicity code of order- $s$  evaluations of degree  $k$  polynomials in  $m$  variables over all points in  $E^m$  is the code over alphabet  $\Sigma$ , and has length  $n$  and for each polynomial  $P(X) \in \mathbb{F}_q[X]$  with  $\deg(P) \leq k$  the corresponding codeword is

$$\text{Enc}_{s,k,m,q}(P) = (P^{(<s)}(\mathbf{a}))_{\mathbf{a} \in E} \in \Sigma^{n^m}$$

Our current interest is in the case  $m = 1$ . So

$$\text{Enc}_{s,k,1}(P) = \left( \begin{bmatrix} f(a_1) \\ f^{(1)}(a_1) \\ \vdots \\ f^{(s-1)}(a_1) \end{bmatrix}, \begin{bmatrix} f(a_2) \\ f^{(1)}(a_2) \\ \vdots \\ f^{(s-1)}(a_2) \end{bmatrix}, \dots, \begin{bmatrix} f(a_n) \\ f^{(1)}(a_n) \\ \vdots \\ f^{(s-1)}(a_n) \end{bmatrix} \right)$$

**Remark:** The above encoding is not the encoding

$$\text{Enc}_{s,k,1} = \left( f(a_1), f^{(1)}(a_1), \dots, f^{(s-1)}(a_1), f(a_2), f^{(1)}(a_2), \dots, f^{(s-1)}(a_2), \dots, f(a_n), f^{(1)}(a_n), \dots, f^{(s-1)}(a_n) \right)$$

Each alphabet of the codeword is a vector of size  $s$ . The same holds for the multivariate case

The above operation of treating a vector as a single alphabet is called *folding*.

We will now calculate the rate and the distance of the code. The block length is  $n^m$ . Since we are evaluating all the derivatives of order  $< s$ , the alphabet size is  $q^{\binom{s+m-1}{m}}$ . So the number of codewords is  $\left(q^{\binom{s+m-1}{m}}\right)^{n^m} = q^{n^m \binom{s+m-1}{m}}$ . The number of polynomials in  $m$  variables of degree at most  $k$  is  $q^{\binom{k+m}{m}}$ . So the rate of the code is

$$R = \frac{\binom{k+m}{m}}{n^m \binom{s+m-1}{m}} \approx \left(\frac{k}{ns}\right)^m$$

Now using the Multiplicity Schwartz-Zippel lemma we can calculate the distance of the code. We have the relative distance to be  $\delta = 1 - \frac{k}{ns}$ .

#### Theorem 4.1.1

The rate and the distance of the multiplicity code are  $R = \frac{\binom{k+m}{m}}{n^m \binom{s+m-1}{m}} \approx \left(\frac{k}{ns}\right)^m$  and  $\delta = 1 - \frac{k}{ns}$  respectively.

We usually think  $m$  and  $s$  to be large constant. So as multiplicity code achieves the Singleton bound asymptotically.

## 5 References

- [KSY14] Swastik Kopparty, Shubhangi Saraf, and Sergey Yekhanin. High-rate codes with sublinear-time decoding. *Journal of the ACM*, 61(5):1–20, September 2014.