# Algebraic Geometric Codes

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**Abstract**

We, Soham Chatterjee, Bsc 2nd Year, Math and Computer Science and Shree Ganesh S J, Msc 2nd Year, Computer Science students of Chennai Mathematical Institute have created this report for the presentation on the introduction of Algebraic Geometric Codes to Prof. Amit Kumar Sinhababu for the course Algorithmic Coding Theory. We mainly followed the survey [BHHW98]. We also followed the course on Algebraic Geometric Codes by Gil Cohen, [Coh22]. He followed the book [Sti08]. Initial works on Algebraic Geometric Codes were done by V. D. Goppa that is why these codes are also called *Goppa Codes*. Goppa submitted his seminal paper [Gop77] i June 1975. Goppa also published more papers on this topic, [Gop81], [Gop84]. Later he published a book on Goppa Codes, [Gop88]. There are 2 more books [TV91] and [TVN07] on Algebraic Geometric Codes.

# Contents

# CHAPTER 1

# Mathematics

## 1.1 Divisors

## 1.2 Reimann-Roch Spaces

**Definition 1.2.1** (Reimann-Roch Spaces)**.** *For any divisor* $\mathcal{D} \in \tilde{\mathfrak{D}}$

$$\mathcal{L}(\mathcal{D}) = \{f \in \mathbb{F}(\mathcal{X})^* \mid (f) + \mathcal{D} \succcurlyeq 0\} \cup \{0\}$$

*The dimension of* $\mathcal{L}(\mathcal{D})$ *over* $\mathbb{F}$ *is denoted by* $l(\mathcal{D})$

**Theorem 1.2.1.** *(i) If* $\deg(\mathcal{D}) < 0$ *then* $l(\mathcal{D}) = 0$

*(ii)* $l(\mathcal{D}) \leq 1 + \deg(\mathcal{D})$

**Theorem 1.2.2.** $\mathcal{L}(0) = \mathbb{F}$. *Hence* $l(0) = 1$

## 1.3 Differentials

## 1.4 Reimann-Roch Theorem

**Theorem 1.4.1** (Reimann-Roch Theorem)**.** $\mathcal{D}$ *is a divisor on a smooth projective curve with genus* $g$*. Then for any canonical divisor* $W$

$$l(\mathcal{D}) - l(W - \mathcal{D}) = \deg(\mathcal{D}) - (g - 1)$$

**Corollary 1.4.2.** *For any canonical divisor* $W$, $\deg(W) = 2g - 2$

***Proof:*** Take $\mathcal{D} = W$. Then $l(W - \mathcal{D}) = l(0) = 1$ by Theorem 1.2.2. So we have

$$l(W) - 1 = \deg(W) - (g - 1)$$

By definition $l(W) = g$. Hence we have $g - 1 = \deg(W) - (g - 1) \iff \deg(W) = 2g - 2$. ■

With the help of this corollary we can finally focus on the divisors which we will actually use to define codes. The following corollary gives the dimension of the Reimann-Roch Spaces of divisors with degree more than $2g - 2$.

**Corollary 1.4.3.** *Let $\mathcal{D}$ be a divisor on a smooth projective curve of genus $g$ and let* $\deg(\mathcal{D}) > 2g-2$. *Then*

$$l(\mathcal{D}) = \deg(D) - (g-1)$$

***Proof:*** We have $\deg(W-\mathcal{D}) = \deg(W) - \deg(\mathcal{D})$. Now by Corollary 1.4.2 $\deg(W-\mathcal{D}) < 0$. So $l(W-\mathcal{D}) = 0$ by Theorem 1.2.1 part (ii). So We have $l(D) = \deg(D) - (g-1)$. ■

## 1.5 Index of speciality

**Definition 1.5.1** (Index of speciality)**.** *Let $\mathcal{D}$ be a divisor on a curve $\mathcal{X}$. We define*

$$\Omega(\mathcal{D}) = \{\omega \in \Omega(\mathcal{X}) \mid (w) - D \succcurlyeq 0\}$$

*and we denote the dimension of $\Omega(\mathcal{D})$ over $\mathbb{F}$ by $\delta(\mathcal{D})$ called the index of speciality of $\mathcal{D}$.*

**Theorem 1.5.1.** $\delta(\mathcal{D}) = l(W-\mathcal{D})$

***Proof:*** If $W = (\omega)$. Define the linear map $\varphi : \mathcal{L}(W-\mathcal{D}) \to \Omega(\mathcal{D})$ by $\varphi(f) = f\omega$.

$$f \in \mathcal{L}(W-\mathcal{D}) \implies (f) + W - \mathcal{D} \succcurlyeq 0 \iff (f) + (\omega) - \mathcal{D} \succcurlyeq \iff (f\omega) - \mathcal{D} \succcurlyeq 0 \iff f \in \Omega(\mathcal{D})$$

Hence $\varphi$ is an isomorphism. Therefore $\delta(\mathcal{D}) = l(W-\mathcal{D})$ ■

# CHAPTER 2

# Codes from Algebraic Curves

We have now came to define the Algebraic Geometric Codes.

## 2.1 Preliminaries

First we will define the system where we will define the codes.

- Our alphabet will be $\mathbb{F}_q$
- We will consider the functions $f \in \mathbb{F}_q[X_1, \ldots, X_n]$. Sometimes we will write $\overline{X}$ to denote $(X_1, \ldots, X_n)$. $n$ depends on the context
- If the affine curve $\mathcal{X}$ over $\mathbb{F}_q$ is defined by a prime ideal $I$ in $\mathbb{F}_q[\overline{X}]$ then its coordinate ring $\mathbb{F}_q[\mathcal{X}] = \mathbb{F}_q[\overline{X}]/I$ and its function field $\mathbb{F}_q(\mathcal{X})$ is the quotient field of $\mathbb{F}_q[\mathcal{X}]$.
- It is always assumed that the curve is *absolutely irreducible*, i.e. the defining ideal is also prime in $\mathbb{F}[\overline{X}]$ where $\mathbb{F} := \overline{\mathbb{F}_q}$ i.e. $\mathbb{F}$ is the algebraic closure of $\mathbb{F}_q$.

Similar adaptations are made for projective curves.

**Observation.** *For any $F \in \mathbb{F}_q[\overline{X}]$, $F(x_1, \ldots, x_n)^q = F(x_1^q, \ldots, x_n^q)$. So if $(x_1, \ldots, x_n)$ is a zero of $F$ and $F$ is defined over $\mathbb{F}_q$ then $(x_1^q, \ldots, x_n^q)$ is also a zero of $F$.*

We can extend the *Frobenius Map*, $Fr : x \mapsto x^q$ coordinate-wise to points in affine and projective space by $Fr(x_1, \ldots, x_n) = (x_1^q, \ldots, x_n^q)$. If $\mathcal{X}$ is a curve defined over $\mathbb{F}_q$ and $P$ is a point of $\mathcal{X}$, then $Fr(P)$ is also a point of $\mathcal{X}$.

**Definition 2.1.1** (Rational Divisor)**.** *A divisor $\mathcal{D}$ on $\mathcal{X}$ is called rational if the coefficients of $P$ and $Fr(P)$ is $\mathcal{D}$ are the same for any point $P$ of $\mathcal{X}$.*

**Remark:** Now on the space $\mathcal{L}(\mathcal{D})$ will only be considered for rational divisors and as before but with the restriction of the rational functions to $\mathbb{F}_q(\mathcal{X})$

Let $\mathcal{W}$ be an absolutely irreducible nonsingular projective curve over $\mathbb{F}_q$. We will define two kinds of algebraic geometry codes from $\mathcal{X}$, *Geometric Reed Solomon Codes* and *Geometric Goppa Codes*. Let $P_1, \ldots, P_n$ are rational points

on $\mathcal{X}$ and $\mathcal{D}$ be the divisor $\mathcal{D} = P_1 + \cdots + P_n$. Furthermore $\mathcal{G}$ is some other divisor that has support disjoint from $\mathcal{D}$.
**Remark:** We will make more restrictions on $\mathcal{G}$, $\deg(\mathcal{G}) > 2g - 2$

## 2.2 Geometric Reed Solomon Codes

With the setting as above we define

**Definition 2.2.1** (Geometric Reed Solomon Codes)**.** *The linear code $C(\mathcal{D}, \mathcal{G})$ of length $n$ over $\mathbb{F}_q$ is the image of the linear map $\alpha : \mathcal{L}(\mathcal{G}) \to \mathbb{F}_q^n$ defined by $\alpha(f) = (f(P_1), \ldots, f(P_n))$*

**Theorem 2.2.1.** *The code $C(\mathcal{D}, \mathcal{G})$ has dimension*

$$k = \deg(\mathcal{G}) - (g - 1)$$

*and distance*

$$d \geq n - \deg(\mathcal{G})$$

**Corollary 2.2.2.** $k + d \geq n - (g - 1)$

***Proof:*** $k + n \geq \deg(\mathcal{G}) - (g - 1) + n - \deg(\mathcal{G}) = n - (g - 1)$ ■

**Example 2.2.3.** *Let $\mathcal{X}$ be the projective line over $\mathbb{F}_{q^m}$. Hence genus $g = 0$. Let $n = q^m - 1$. Define $P_0 = (0 : 1)$, $P_\infty = (1 : 0)$. Let $\beta$ be the primitive $n$th root of unity. Define $P_i = (\beta^i : 1)$ for all $i \in [n]$. Define $\mathcal{D} = \sum_{i=1}^{n} P_i$ and $\mathcal{G} = aP_0 + bP_\infty$ where $a, b \geq 0$ are non-negative integers. By Corollary 1.4.3, $l(\mathcal{G}) = a + b + 1$ and the functions $\left(\frac{x}{y}\right)^i$ for $-a \leq i \leq b$ forms a basis of $\mathcal{L}(\mathcal{G})$. Consider the code $C(\mathcal{D}, \mathcal{G})$. A generator matrix for this code has rows $(\beta^i, \beta^{2i}, \ldots, \beta^{ni})$ with $-a \leq i \leq b$. IT follows that $C(\mathcal{D}, \mathcal{G})$ is a Reed-Solomon Code.*

## 2.3 Geometric Goppa Codes

We now come to the second class of algebraic geometry codes.

**Definition 2.3.1.** *The linear code $C^*(\mathcal{D}, \mathcal{G})$ of length $n$ over $\mathbb{F}_q$ is the image of the linear map $\alpha^* : \Omega(\mathcal{G} - \mathcal{D}) \to \mathbb{F}_q^n$ defined by*

$$\alpha^*(\omega) = (\mathrm{Res}_{P_1}(\eta), \ldots, \mathrm{Res}_{P_n}(\eta))$$

**Theorem 2.3.1.** *The code $C^*(\mathcal{D}, \mathcal{G})$ has dimension*

$$k^* = n - \deg(\mathcal{G}) + (g - 1)$$

*and distance*

$$d^* \geq \deg(\mathcal{G}) - 2(g - 1)$$

**Corollary 2.3.2.** $k^* + d^* \geq n - (g - 1)$

***Proof:*** $k^* + d^* \geq n - \deg(\mathcal{G}) + (g - 1) + \deg(\mathcal{G}) - 2(g - 1) = n - (g - 1)$ ■

**Example 2.3.3.** *Let $L = \{\alpha_1, \ldots, \alpha_n\}$ be a set of $n$ distinct elements of $\mathbb{F}_{q^m}$. Let $g$ be a polynomail in $\mathbb{F}_{q^m}[X]$ which is not zero at $\alpha_i$ for all $i \in [n]$. The Classical Goppa Code $\Gamma(L, g)$ is defined by*

$$\Gamma(L, g) = \left\{ \overline{c} \in \mathbb{F}_q^n \mid \sum_{i=1}^{n} \frac{c_i}{X - \alpha_i} \equiv 0 \pmod{g} \right\}$$

*Let $P_i = (\alpha_i : 1)$, $Q = (1 : 0)$ and $\mathcal{D} = P_1 + \cdots + P_n$. If we take for $E$ the divisor of zeros of $g$ on the projective line, then*

$$\Gamma(L, g) = C^*(\mathcal{D}, E - Q)$$

*and*

$$\overline{c} \in \Gamma(L, g) \iff \sum_{i=1}^{n} \frac{c_i}{X - \alpha_i} dX \in \Omega(E - Q - \mathcal{D})$$

*It is a well-known fact that the parity check matrix of the Goppa Code $\Gamma(L, g)$ is equal to the following generator matrix of a generalized RS code*

$$\begin{bmatrix} g(\alpha_1)^{-1} & \cdots & g(\alpha_n)^{-1} \\ \alpha_1 g(\alpha_1)^{-1} & \cdots & \alpha_n g(\alpha_n)^{-1} \\ \vdots & \ddots & \vdots \\ \alpha_1^{r-1} g(\alpha_1)^{-1} & \cdots & \alpha_n^{r-1} g(\alpha_n)^{-1} \end{bmatrix}$$

*where $r$ is the degree of the Goppa polynomial $g$.*

## 2.4 Relation between the 2 Codes

**Theorem 2.4.1.** *The codes $C(\mathcal{D}, \mathcal{G})$ and $C^*(\mathcal{D}, \mathcal{G})$ are dual codes.*

**Theorem 2.4.2.** *Let $\mathcal{X}$ be a curve defined over $\mathbb{F}_q$. Let $P_1, \ldots, P_n$ be $n$ rational points on $\mathcal{X}$. Let $\mathcal{D} = P_1 + \cdots + P_n$. Then there exists a differential form $\omega$ with simple poles at the $P_i$ such that $\mathrm{Res}_{P_i}(\omega) = 1$ for all $i \in [n]$. Furthermore*

$$C^*(\mathcal{D}, \mathcal{G}) = C(\mathcal{D}, W + \mathcal{D} - \mathcal{G})$$

So one can do without differentials and the codes $C^*(\mathcal{D}, \mathcal{G})$. However it is usefull to have both classes when treating decoding methods. These use parity check, so one needs a generator matrix for the dual codes.

# CHAPTER 3

## Asymptotically Good Sequences of Codes and Curves

## 3.1 Introduction to Good Codes

Following the distance and dimension of both the Geometric Reed Solomon Codes and Geometric Goppa Codes we have the following theorem

**Theorem 3.1.1.** *For any algebraic geometry code with dimension $k$ and distance $d$ on a curve of genus $g$ with $n$ points that are defined over $\mathbb{F}_q$ satisfy*

$$k + d \geq n - (g - 1) \iff R + \delta \geq 1 - \frac{g-1}{n}$$

This bound feels almost like Singleton Bound but with the genus of the curve involved. First we define what Asymptotically Good code is

**Definition 3.1.1** (Asymptotically Good Codes)**.** *A sequence of codes $\{C_m \mid m \in \mathbb{N}\}$ with parameters $[n_m, k_m, d_m]$ over a fixed finite fields $\mathbb{F})q$ is called asymptotically good if $n_m$ tends to infinity, $\frac{d_m}{n_m}$ tends to a nonzero constant $\delta$ and $\frac{k_m}{n_m}$ tends to a nonzero constant $R$ for $m \to \infty$.*

By Gilbert-Vershamov bound there exists asymptotically good sequences of codes attaining the bound $R \geq 1 - H_q(\delta)$.

In order to construct asymptotically good codes we therefore need curves with low genus and many $\mathbb{F}_q$-rational points.

**Definition 3.1.2.** *Let $N_q(g)$ be the maximal number of $\mathbb{F}_q$-rational points on an absolutely irreducible nonsingular projective curve over $\mathbb{F}_q$ of genus $g$. Let*

$$A(q) := \limsup_{g \to \infty} \frac{N_q(g_)}{g}$$

## 3.2 Some Bounds

We lnow that to find good codes we must find long codes. To use the methods from algebraic geometry it is necessary to find rational points on a given curve. The number of these is a bound on the length of the codes. A central problem in algebraic geometry is finding for the number of rational points on a variety. So we mention the *Hasse-Weil Bound*

**Theorem 3.2.1** (Hasse-Weil Bound, [Has36]). *Let $\mathcal{X}$ be a curve of genus $g$ over $\mathbb{F}_q$. If $N_q(\mathcal{X})$ denotes the number of rational points on $\mathcal{X}$ then*

$$|N_q(\mathcal{X}) - (q+1)| \leq g2\sqrt{q}$$

Which was latter improved by Serre in [Wei48], known as *Weil-Serre Bound*

**Theorem 3.2.2** (Weil-Serre Bound, [Wei48]). *Let $\mathcal{X}$ be a curve of genus $g$ over $\mathbb{F}_q$. If $N_q(\mathcal{X})$ denotes the number of rational points on $\mathcal{X}$ then*

$$|N_q(\mathcal{X}) - (q+1)| \leq g\lfloor 2\sqrt{q} \rfloor$$

From this Bound by dividing both side by the genus (provided the genus is not 0) and taking the limit we obtain

$$A(q) \leq 2\lfloor q \rfloor$$

.This has been improved to the *Drinfeld-Vlăduţ*

**Theorem 3.2.3** (Drinfeld-Vlăduţ Bound, [VD83]).

$$A(q) \leq \sqrt{q} - 1$$

*Equality holds if $q$ is a square.*

And Ihara in [Iha82] has shown that

**Theorem 3.2.4** ([Iha82]).

$$A(q) \geq \sqrt{q} - 1$$

*when $q$ is a square*

The equality is proved by studying the number of rational points of *modular curves* over finite fields. Applying this to the algebraic geometric codes we finally get the *Tsfasman-Vlăduţ-Zink (TVZ) Bound*

**Theorem 3.2.5** (Tsfasman-Vlăduţ-Zink (TVZ) Bound, [TVZ82]). *Let $q$ be a square. Then for every $R$ there exists an asymptotically good sequences of codes such that their rate tends to $R$ and relative distance $\delta$ and*

$$R + \delta \geq 1 - \frac{1}{\sqrt{q} - 1}$$

This means that $TVZ$ bound is better than the $GV$ bound when $q$ is a square and $q \geq 49$ in a certain range of $\delta$.

## 3.3 Asymptotically Good Curves

First if $\mathcal{X}$ is absolutely irreducible then it is called a curve. Now we define what asymptotically good curve is.

**Definition 3.3.1** (Asymptotically Good Curves). *A sequence of curves $\{\mathcal{X}_m \mid m \in \mathbb{N}\}$ is called asymptotically good if $g(\mathcal{X}_m)$ tends to infinity and the following limit exists*

$$\lim_{g \to \infty} \frac{N_q(\mathcal{X}_m)}{g(\mathcal{X}_m)} > 0$$

*where $g(\mathcal{X})$ is the genus of $\mathcal{X}$.*

In the following we discuss an asymptotically good curve family.

Let $F \in \mathbb{F}_q[X, Y]$. Let $d = \deg_Y(F)$. Assume that there exists a subset $S$ of $\mathbb{F}_q$ such that for any $x \in S$ there exists exactly $d$ distinct $y_1, \ldots, y_d \in S$ such that $F(x, y_i) = 0$ for all $i \in [d]$. Now consider the algebraic set $\mathcal{X}_m$ in $\mathbb{A}^m$ defined by the equations

$$F(X_i, X_{i+1}) = 0 \quad \text{for } i \in [m-1]$$

We can easily get a lower bound on the number of rational points for $\mathcal{X}_m$. $X_1$ has $|S|$ many choices and after words for all $X_i$, $2 \leq i \leq m$ has $d$ choices. So number of rational points is at least $|S| \cdot d^{m-1}$.

**Example 3.3.1.** *Let $q = 4$. Let $F = XY^2 + Y + X^2$. The $F$ is an example with $d = 2$ and $S = \mathbb{F}_4^*$. Therefore this gives a curve with $3 \cdot 2^{m-1}$ points with nonzero coordinates in $\mathbb{F}_4$ and in fact it gives a sequence of curves that is asymptotically good.*

In general let $q = r^2$. Consider $F = Z^{r-1}Y^r + Y = X^r$. Then we get an example with $a = r$ and $S = \mathbb{F}_q^*$. The equation $F = 0$ has the property that for every given nonzero element $x \in \mathbb{F}_q$ there are exactly $r$ nonzero solutions in $\mathbb{F}_q$ of the equation $F(x, Y) = 0$ in $Y$. To see this first multiply the equation with $X$ to get $XF = X^r y^r + XY - X^{r+1}$. Then replace $z = XY$ and we get

$$G = Z^r + Z - X^{r+1}$$

This defines an hermitian curve $U^{r+1} + V^{r+1} + 1 = 0$ whose homogeneous version is $U^{r+1} + V^{r+1} + W^{r+1} = 0$, which is a Fermat curve. Therefore the corresponding sequence of curves $\mathcal{X}_m$ satisfies

$$N_q(\mathcal{X}) \geq (q-1)r^{m-1}$$

The genus of the curve $\mathcal{X}_m$ is computed by induction by applying formula of *Hurwitz-Zeuthen*, [Har77] to the covering $\pi_m : \mathcal{X}_m \to \mathcal{X}_{m-1}$ where $\pi_m(x_1, \ldots, x_m) = (x_1, \ldots, x_{m-1})$. It is easier to view this in terms of function fields. Let $\mathcal{F}_m$ be the function field of $\mathcal{X}_m$. Then $\mathcal{F}_1 = \mathbb{F}_q(z_1)$ and $\mathcal{F}_m$ is obtained from $\mathcal{F}_{m-1}$ by adjoining a new element $z_m$ that satisfies the equation

$$z_m^r + z_m = x_{m-1}^{r+1}$$

where $x_{m-1} = \frac{z_{m-1}}{x_{m-2}} \in \mathcal{F}_{m-1}$ for $m \geq 2$ and $x_1 = z_1$, $x_0 = 1$.

**Theorem 3.3.2.** *The genus $g_m$ of the curve $\mathcal{X}_m$ or equivalently of the function field $\mathcal{F}_m$ is equal to*

$$g_m = \begin{cases} r^m + r^{m-1} - r^{\frac{m+1}{2}} - 2r^{\frac{m-1}{2}} + 1 & \text{when } m \text{ is odd} \\ r^m + r^{m-1} - \frac{1}{2}r^{\frac{m+2}{2}} - \frac{3}{2}r^{\frac{m}{2}} - r^{\frac{m-2}{2}} + 1 & \text{when } m \text{ is even} \end{cases}$$

Thus the Drinfeld-Vlăduţ Bound is attained.

CHAPTER 4

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