



UNIVERSITY OF
MARYLAND

Understanding Norm Change: An Evolutionary Game-Theoretic Approach

Soham De
Department of Computer Science

Joint work with:

Dana S Nau (Department of Computer Science)
Michele J Gelfand (Department of Psychology)

SOCIAL NORMS



Human societies all around the world interact and accomplish different tasks by developing and maintaining social norms.

Examples:

- Walking on a specific side of the pavement
- Right of way while driving
- Shaking hands when meeting someone new

SOCIAL NORMS

Empirical studies show marked differences in the strength of social norms around the globe.



Tight Societies:

High norm-adherence. High punishment of norm deviations.
E.g. Indonesia



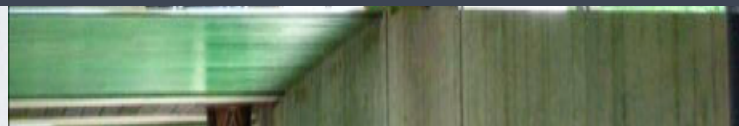
Loose Societies:

Weaker norms. More tolerance for deviations from norms.
E.g. Netherlands

SOCIAL NORMS

Empirical studies show marked differences in the strength of social norms around the globe.

How do such norms emerge & change in different societies?



Tight Societies:

High norm-adherence. High punishment of norm deviations.
E.g. Indonesia



Loose Societies:

Weaker norms. More tolerance for deviations from norms.
E.g. Netherlands

WHY STUDY NORM CHANGE?

How do such norms emerge & change in different societies?

- How/when will a society become unstable?
- How can we predict a shift in norms?
- How likely are social uprisings and turmoil?

WHY STUDY NORM CHANGE?

How do such norms emerge & change in different societies?

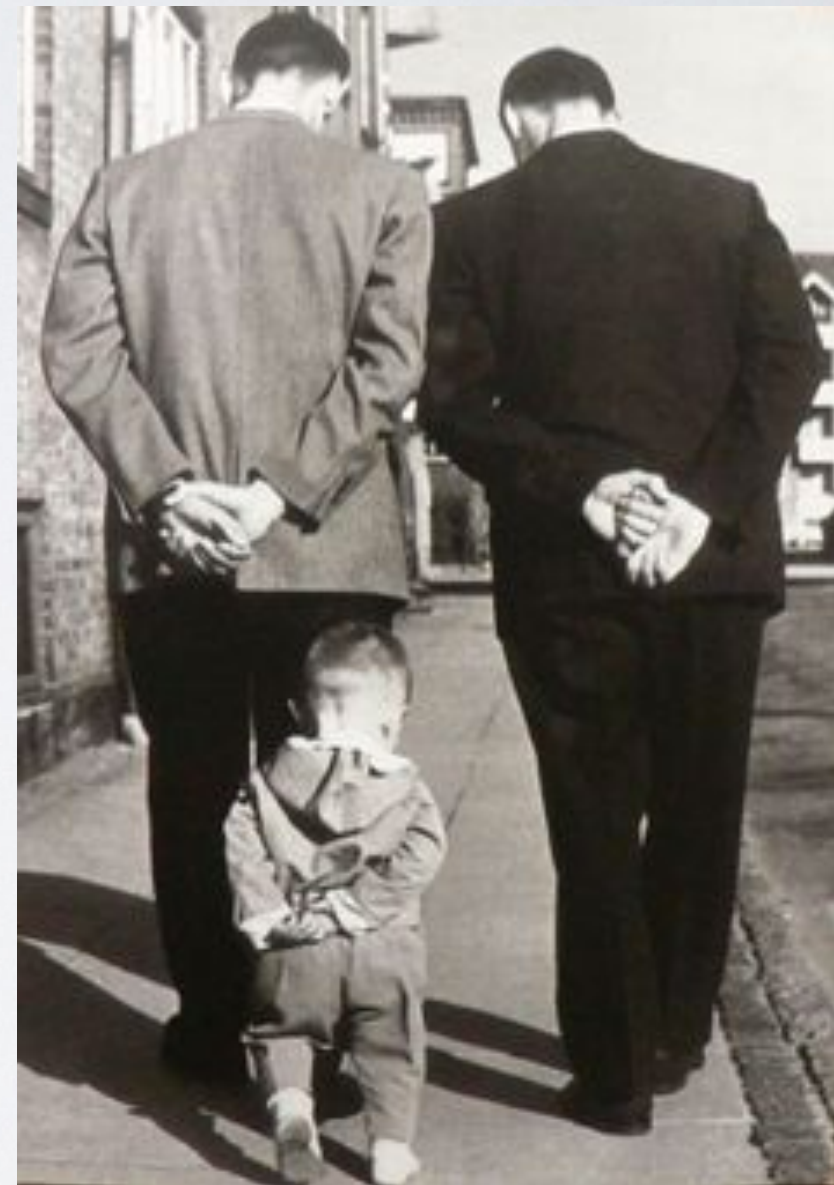
- How/when will a society become unstable?
- How can we predict a shift in norms?
- How likely are social uprisings and turmoil?

First work to provide a model of how cultural differences affect norm change

EVOLUTIONARY GAME THEORY (EGT)

Application of game theory to **evolving populations**

Recently being used to model the evolution of cultural characteristics



EVOLUTIONARY GAME THEORY (EGT)

Application of game theory to **evolving populations**

Recently being used to model the evolution of cultural characteristics

Setting (for this talk):

- ♦ **Large population structured on a network:**
 - Individuals arranged on the nodes
 - Edges represent social connections



EVOLUTIONARY GAME THEORY (EGT)

Application of game theory to **evolving populations**

Recently being used to model the evolution of cultural characteristics

Setting (for this talk):

- ♦ Large population **structured on a network**:
 - Individuals arranged on the nodes
 - Edges represent social connections
 - ♦ Individuals interact with neighbors using a game
- Game Strategies → Possible Behaviors



EVOLUTIONARY GAME THEORY (EGT)

Application of game theory to **evolving populations**

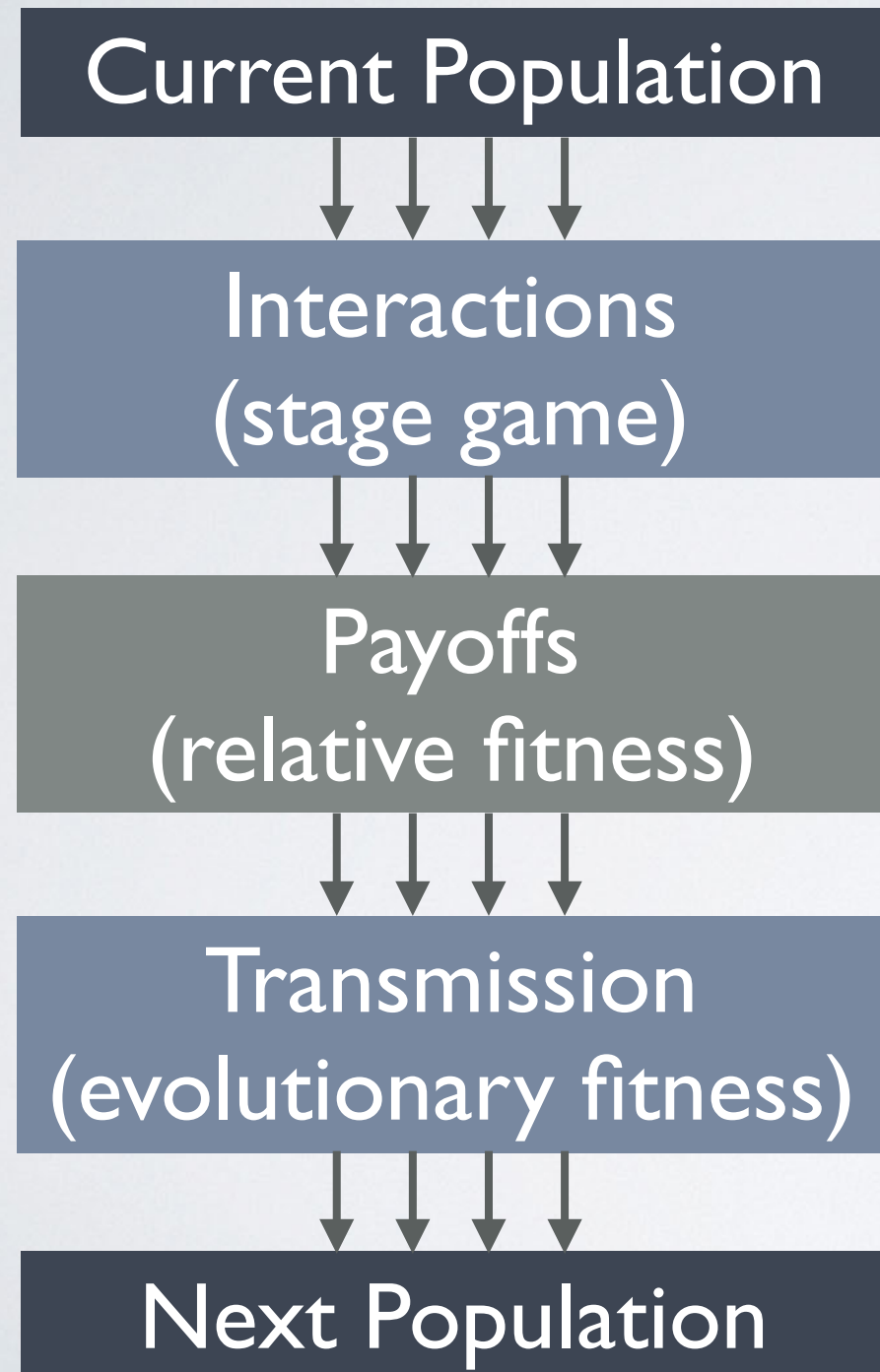
Recently being used to model the evolution of cultural characteristics

Setting (for this talk):

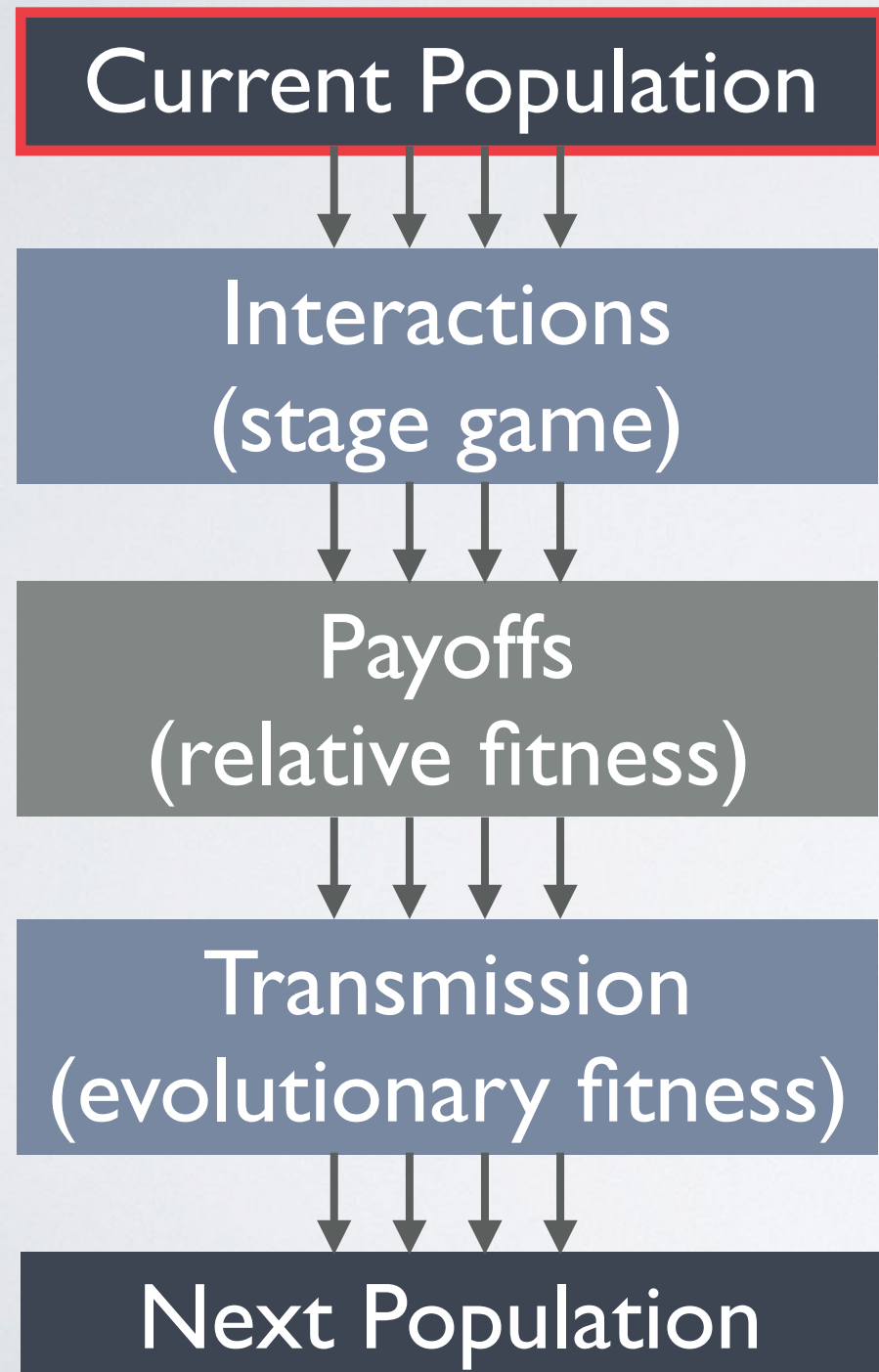
- ♦ Large population **structured on a network**:
 - Individuals arranged on the nodes
 - Edges represent social connections
- ♦ Individuals interact with neighbors using a game
Game Strategies → Possible Behaviors
- ♦ Individuals observe neighbors' strategies and payoffs and imitate/learn from them



FRAMEWORK

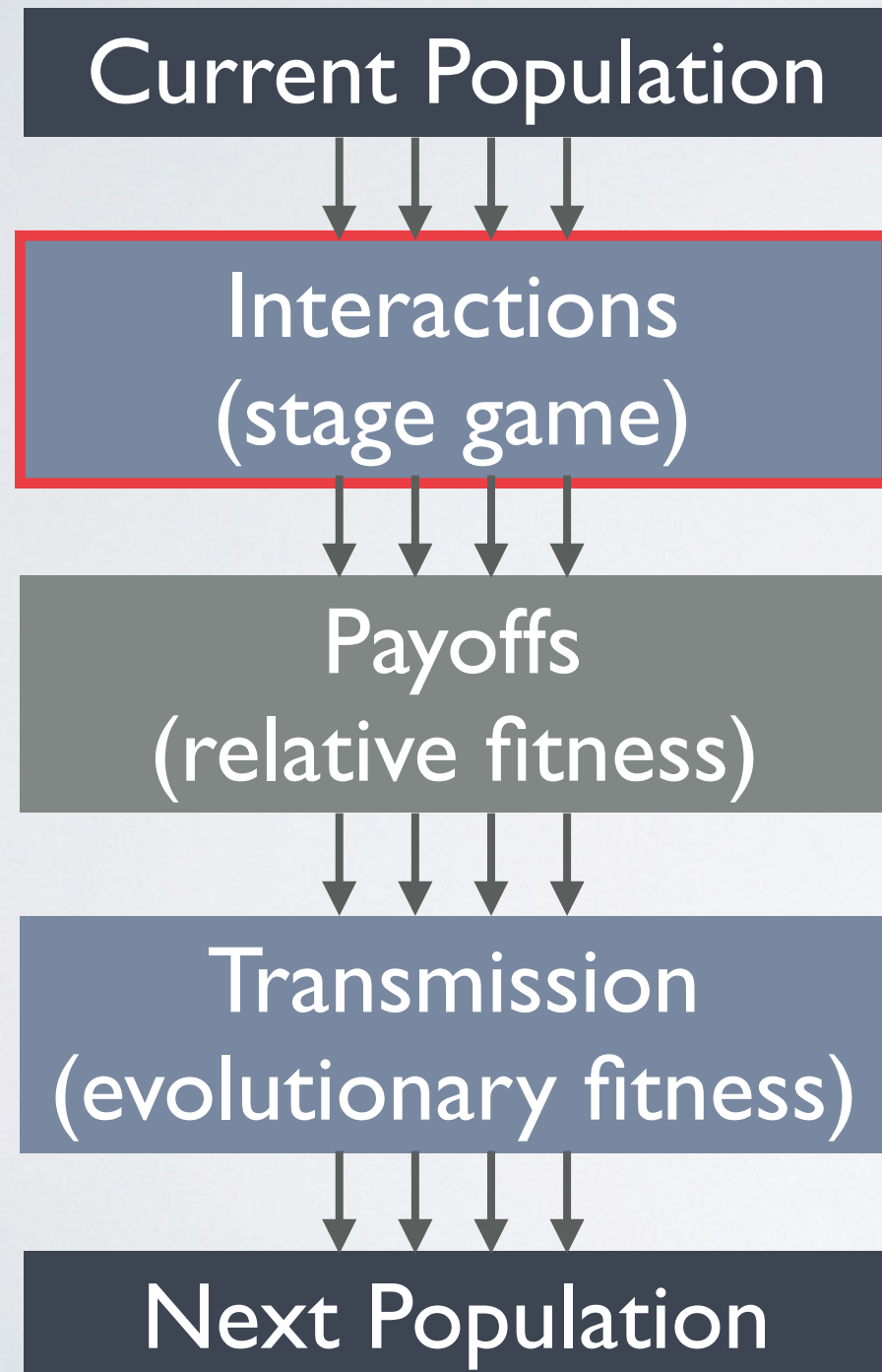


FRAMEWORK



Each strategy used by some proportion of the population

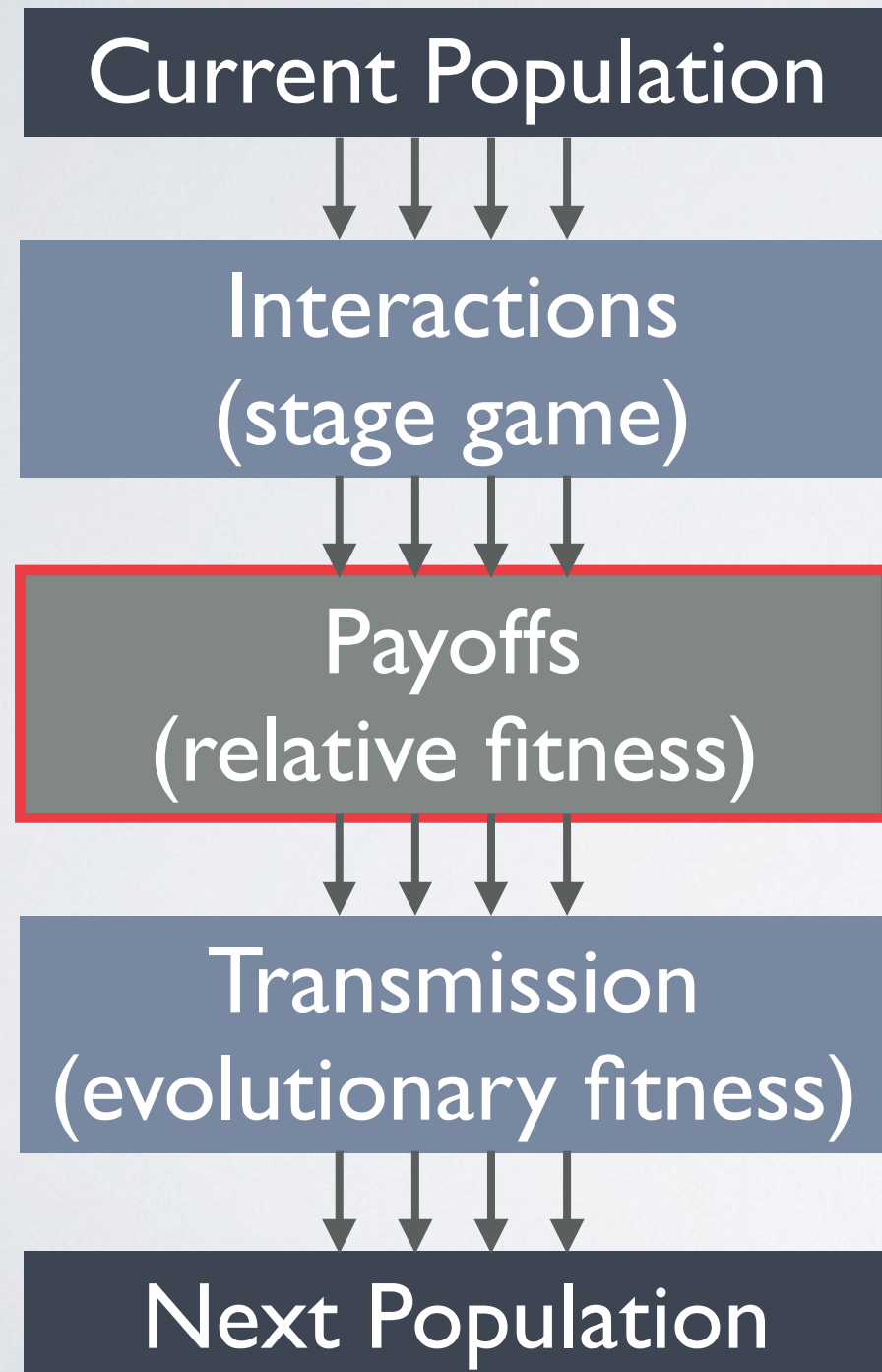
FRAMEWORK



Each strategy used by some proportion of the population

Individuals interact with each of their neighbors using a 2-player game

FRAMEWORK

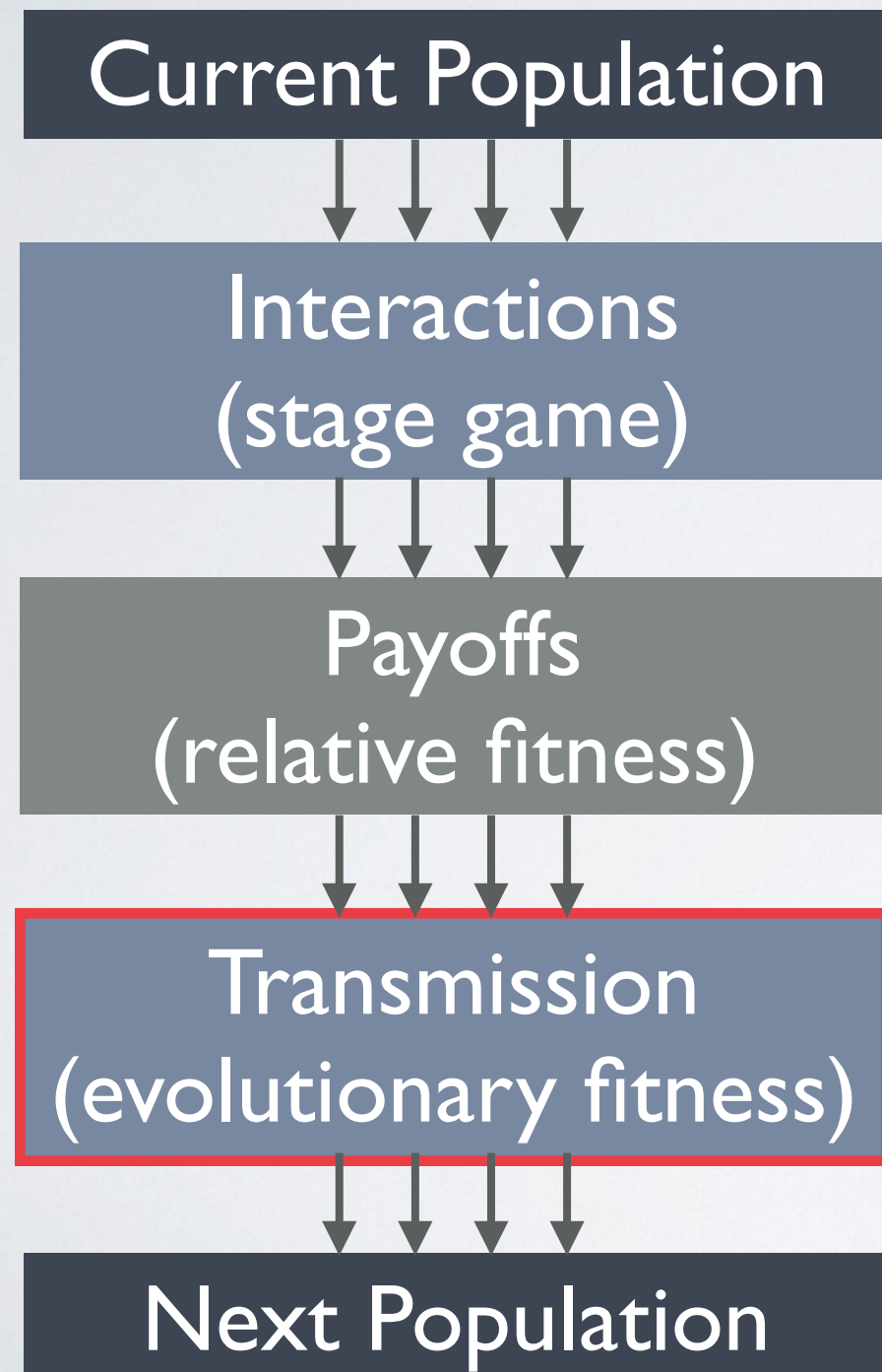


Each strategy used by some proportion of the population

Individuals interact with each of their neighbors using a 2-player game

Payoffs depend on both the individual's strategy and neighbors' strategies;
Total payoff = sum of individual payoffs

FRAMEWORK



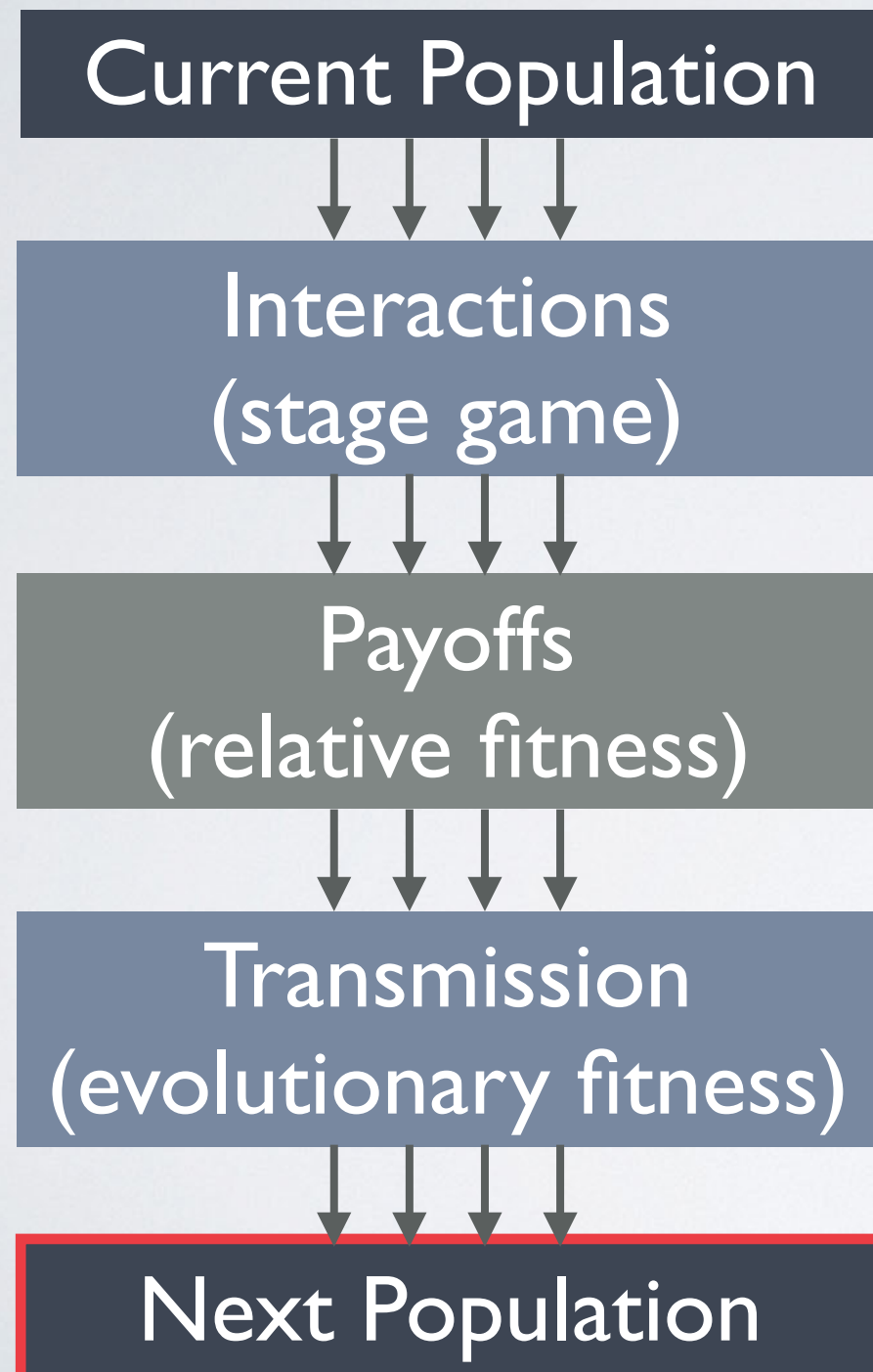
Each strategy used by some proportion of the population

Individuals interact with each of their neighbors using a 2-player game

Payoffs depend on both the individual's strategy and neighbors' strategies;
Total payoff = sum of individual payoffs

Humans imitate others, learn from others;
Successful strategies are more likely to be adopted by others (more on this later)

FRAMEWORK



Each strategy used by some proportion of the population

Individuals interact with each of their neighbors using a 2-player game

Payoffs depend on both the individual's strategy and neighbors' strategies;
Total payoff = sum of individual payoffs

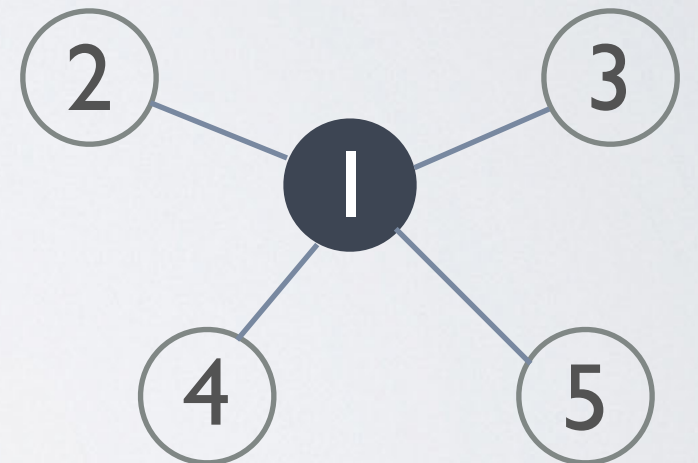
Humans imitate others, learn from others;
Successful strategies are more likely to be adopted by others (more on this later)

TRANSMISSION OF BEHAVIORS

Interpret game-theoretic payoffs as reproductive fitness

Fermi Rule

Each individual compares its payoff to a randomly chosen neighbor

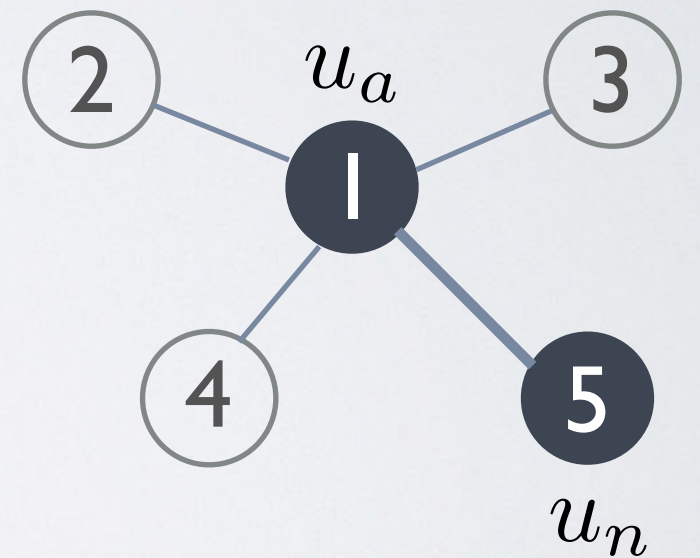


TRANSMISSION OF BEHAVIORS

Interpret game-theoretic payoffs as reproductive fitness

Fermi Rule

Each individual compares its payoff to a randomly chosen neighbor



TRANSMISSION OF BEHAVIORS

Interpret game-theoretic payoffs as reproductive fitness

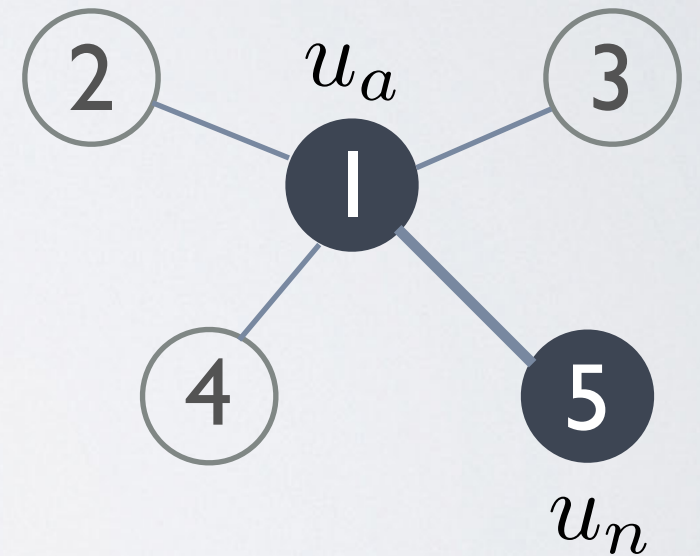
Fermi Rule

Each individual compares its payoff to a randomly chosen neighbor

Individual switches to neighbor's strategy w.p.
depending on the difference in payoffs:

$$p = \frac{1}{1 + \exp(s(u_a - u_n))}$$

u_a, u_n = individual's and neighbor's payoffs
 $s \geq 0$ is the selection strength



TRANSMISSION OF BEHAVIORS

Interpret game-theoretic payoffs as reproductive fitness

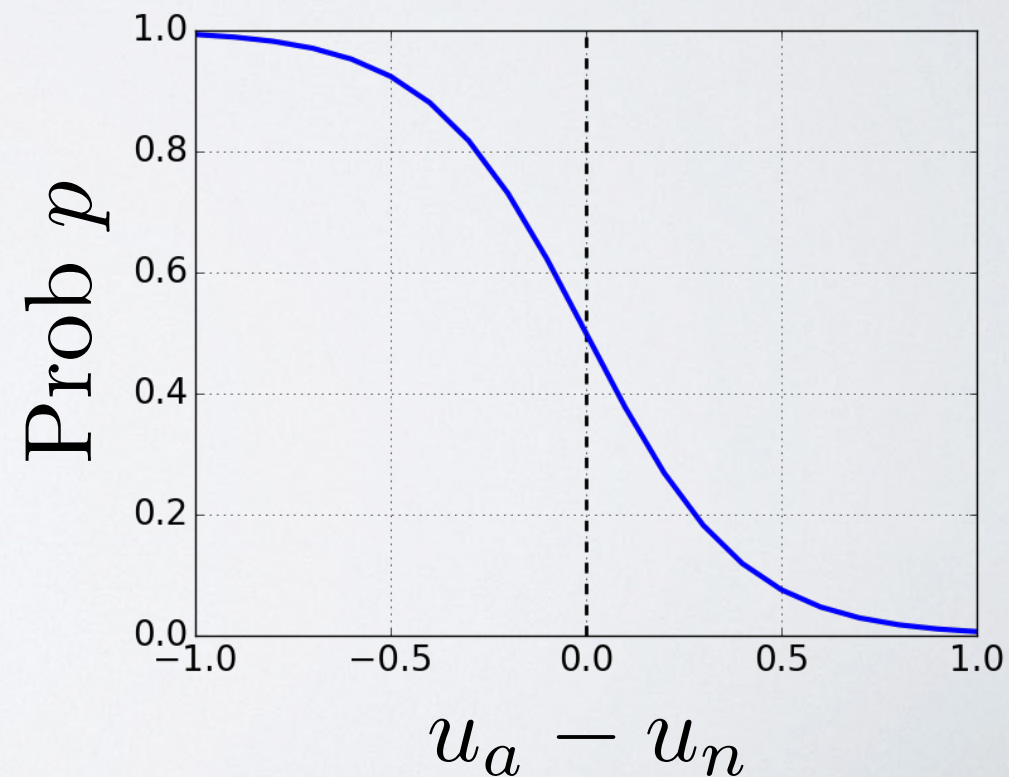
Fermi Rule

Each individual compares its payoff to a randomly chosen neighbor

Individual switches to neighbor's strategy w.p.
depending on the difference in payoffs:

$$p = \frac{1}{1 + \exp(s(u_a - u_n))}$$

u_a, u_n = individual's and neighbor's payoffs
 $s \geq 0$ is the selection strength



TRANSMISSION OF BEHAVIORS

Interpret game-theoretic payoffs as reproductive fitness

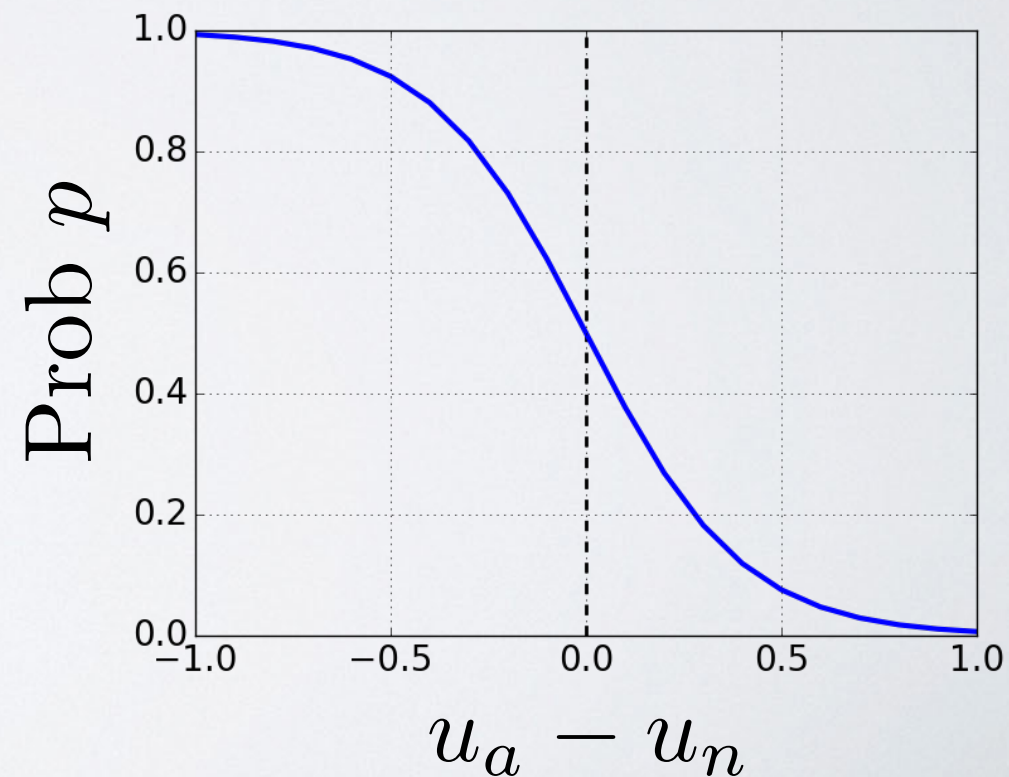
Fermi Rule

Each individual compares its payoff to a randomly chosen neighbor

Individual switches to neighbor's strategy w.p.
depending on the difference in payoffs:

$$p = \frac{1}{1 + \exp(s(u_a - u_n))}$$

Proportion of agents using a strategy
shrinks/grows depending on how well it
performs (in terms of payoffs)



EXPLORATION

Individuals don't always learn from neighbors

Sometimes, they try out a **completely new behavior** to see how it does

EXPLORATION

Individuals don't always learn from neighbors

Sometimes, they try out a **completely new behavior** to see how it does

Modeled by modifying the Fermi Rule:

Let $S = \{\text{all available strategies}\}$

At each step, each agent chooses a strategy s at random from S with a small probability μ

- regardless of whether strategy s is currently successful
- regardless of whether any agent is currently using strategy s

WHAT CAN THIS ACCOMPLISH?

- Human interactions are very complicated
EGT models use highly simplified abstractions
- Designed to capture only the essential nature of the interactions
- Can't give exact numeric predictions of what would happen in real life

WHAT CAN THIS ACCOMPLISH?

- Human interactions are very complicated
EGT models use highly simplified abstractions
- Designed to capture only the essential nature of the interactions
- Can't give exact numeric predictions of what would happen in real life

But...

- It can provide explanations of the underlying dynamics
- Establish support for causal relationships and identify trends
- Evolution of human culture over time virtually impossible to study in lab settings or field studies. EGT can help out!

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Denote possible norms as **actions** in a game

How do we set up tightness/looseness as a game-theoretic model?

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Denote possible norms as **actions** in a game

How do we set up tightness/looseness as a game-theoretic model?

Use a closely-related concept:

Need For Coordination

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Denote possible norms as **actions** in a game

How do we set up tightness/looseness as a game-theoretic model?

Use a closely-related concept:

Need For Coordination



Tight societies:

High need for coordination

Payoffs depend much more
on strategies of neighbors

Loose societies:

Low need for coordination

Individualistic agents. Payoffs
depend less on neighbors.

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Tight societies:
High need for coordination

Loose societies:
Low need for coordination

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Tight societies:
High need for coordination

Loose societies:
Low need for coordination



Game-theoretic model of
need for coordination

STUDYING NORM CHANGE IN TIGHT & LOOSE SOCIETIES

Tight societies:
High need for coordination

Loose societies:
Low need for coordination

```
graph TD; A[Tight societies: High need for coordination] --> C[Game-theoretic model of need for coordination]; B[Loose societies: Low need for coordination] --> C; C --> D[Study how the need for coordination affects norm change in societies];
```

Game-theoretic model of
need for coordination

Study how the need for coordination
affects norm change in societies

PROPOSED MODEL

Assume two possible norms denoted by A and B

Extreme Tight Society

M_c	A	B
A	a, a	$0, 0$
B	$0, 0$	b, b

Coordination Game

Extreme Loose Society

M_f	A	B
A	a, a	a, b
B	b, a	b, b

Fixed-Payoff Game

PROPOSED MODEL

Assume two possible norms denoted by A and B

Extreme Tight Society

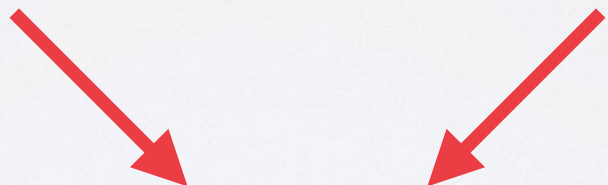
M_c	A	B
A	a, a	$0, 0$
B	$0, 0$	b, b

Coordination Game

Extreme Loose Society

M_f	A	B
A	a, a	a, b
B	b, a	b, b

Fixed-Payoff Game



The diagram consists of two red arrows. One arrow originates from the 'Coordination Game' label and points towards the equation. The other arrow originates from the 'Fixed-Payoff Game' label and also points towards the equation. The equation is centered below the space between the two games.

$$M = c M_c + (1-c) M_f$$

PROPOSED MODEL

Assume two possible norms denoted by A and B

Extreme Tight Society

M_c	A	B
A	a, a	$0, 0$
B	$0, 0$	b, b

Coordination Game

Extreme Loose Society

M_f	A	B
A	a, a	a, b
B	b, a	b, b

Fixed-Payoff Game

$$M = c M_c + (1-c) M_f$$

M	A	B
A	a	$(1-c) a$
B	$(1-c) b$	b

c denotes the level of tightness

HOW TO STUDY NORM CHANGE?

Using this model, how do we study norm change in societies?

HOW TO STUDY NORM CHANGE?

Using this model, how do we study norm change in societies?

We look at two key aspects of norm change:

- ◆ **Cultural Inertia:**

amount of resistance of a society to changing a norm

- ◆ **Exploration Rate:**

how willing are agents to try out new behaviors at random

CULTURAL INERTIA

Setting:

CULTURAL INERTIA

Setting:

- Individuals arranged on the nodes of a grid

CULTURAL INERTIA

Setting:

- Individuals arranged on the nodes of a grid
- Initially, norm B has a higher payoff than A ($b > a$), with majority of the population playing B

CULTURAL INERTIA

Setting:

- Individuals arranged on the nodes of a grid
- Initially, norm B has a higher payoff than A ($b > a$), with majority of the population playing B
- After some time, **structural shock**: represents a catastrophic incident in a society, where suddenly there is abrupt change in the payoffs for actions A and B .
Payoff of A increases over B ($a > b$)

CULTURAL INERTIA

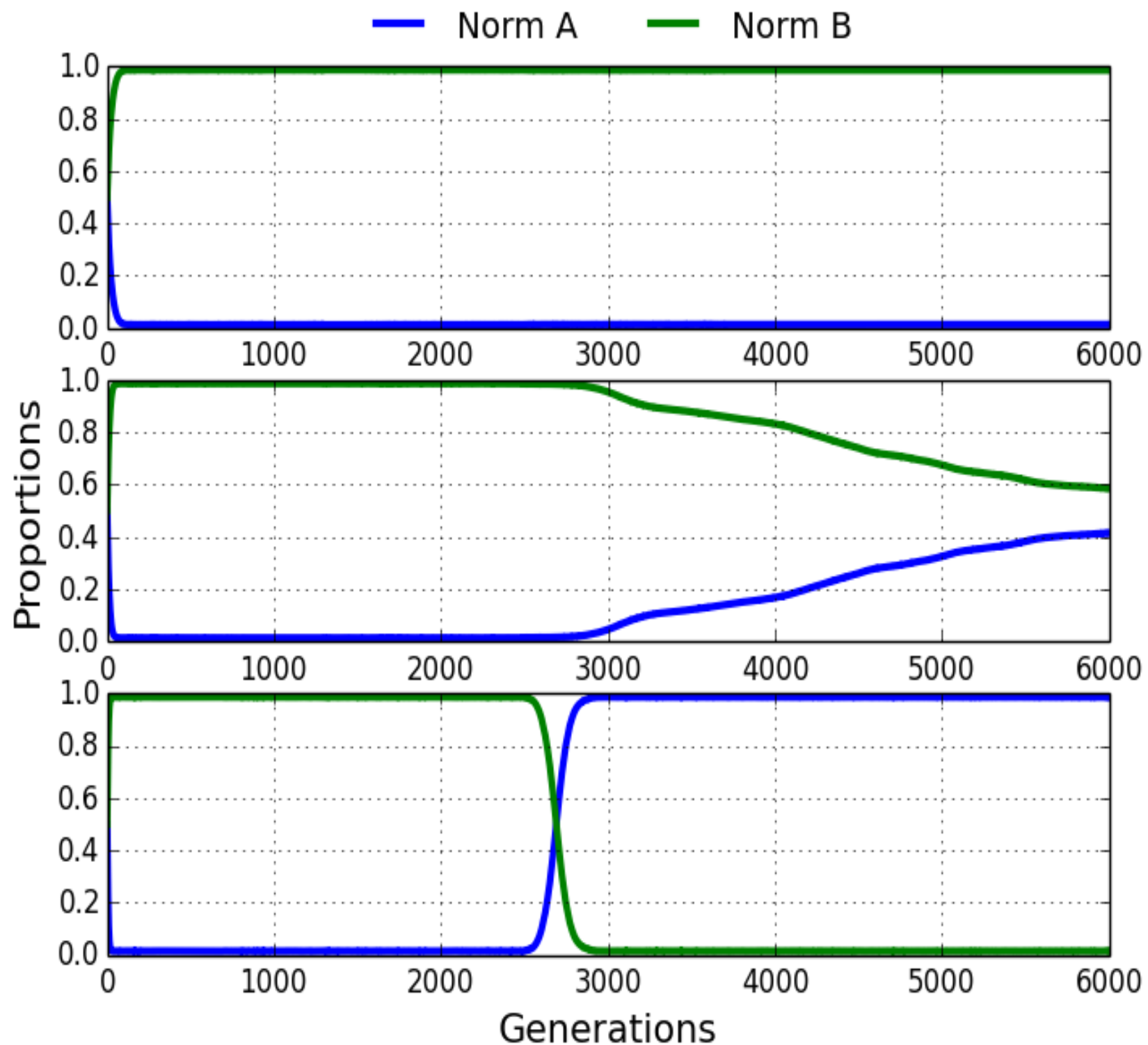
Setting:

- Individuals arranged on the nodes of a grid
- Initially, norm B has a higher payoff than A ($b > a$), with majority of the population playing B
- After some time, **structural shock**: represents a catastrophic incident in a society, where suddenly there is abrupt change in the payoffs for actions A and B .

Payoff of A increases over B ($a > b$)

How does a tight/loose society react?

CULTURAL INERTIA

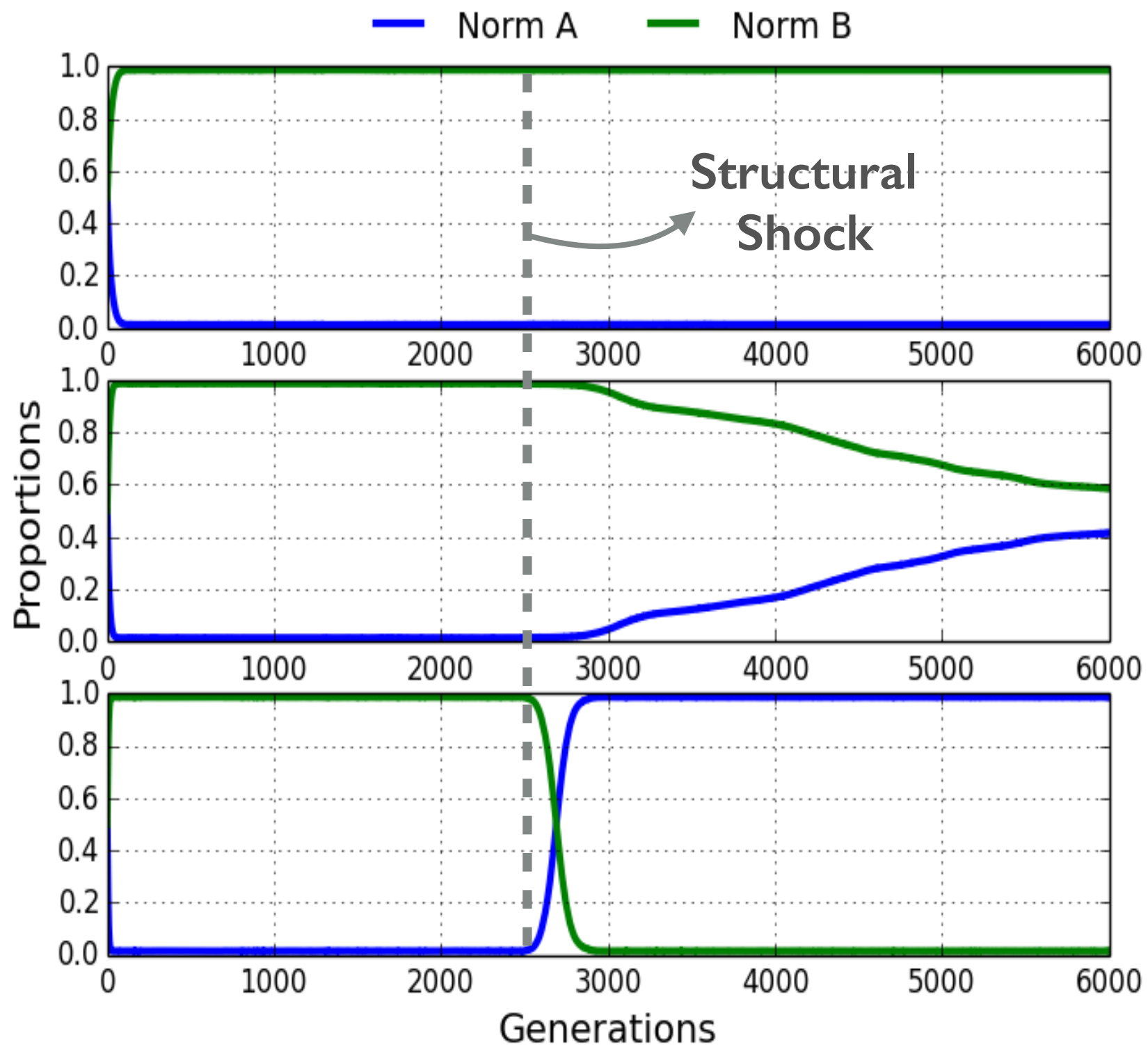


Tight

Intermediate

Loose

CULTURAL INERTIA

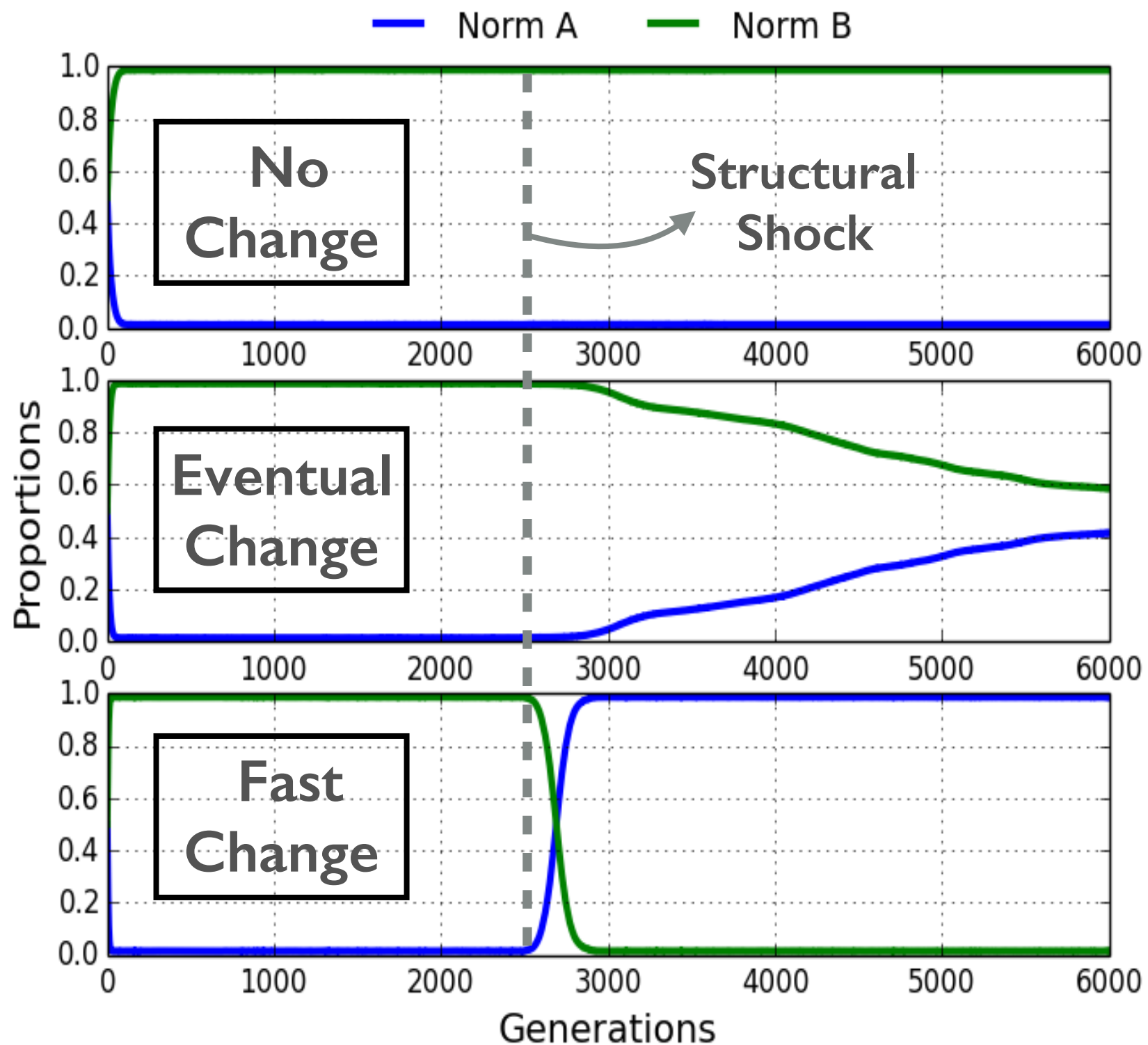


Tight

Intermediate

Loose

CULTURAL INERTIA

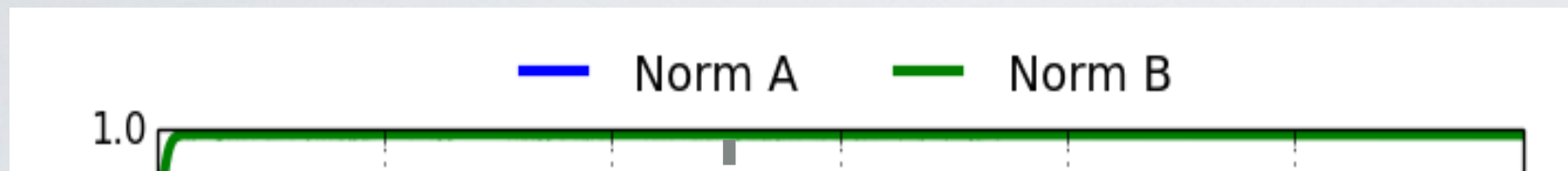


Tight

Intermediate

Loose

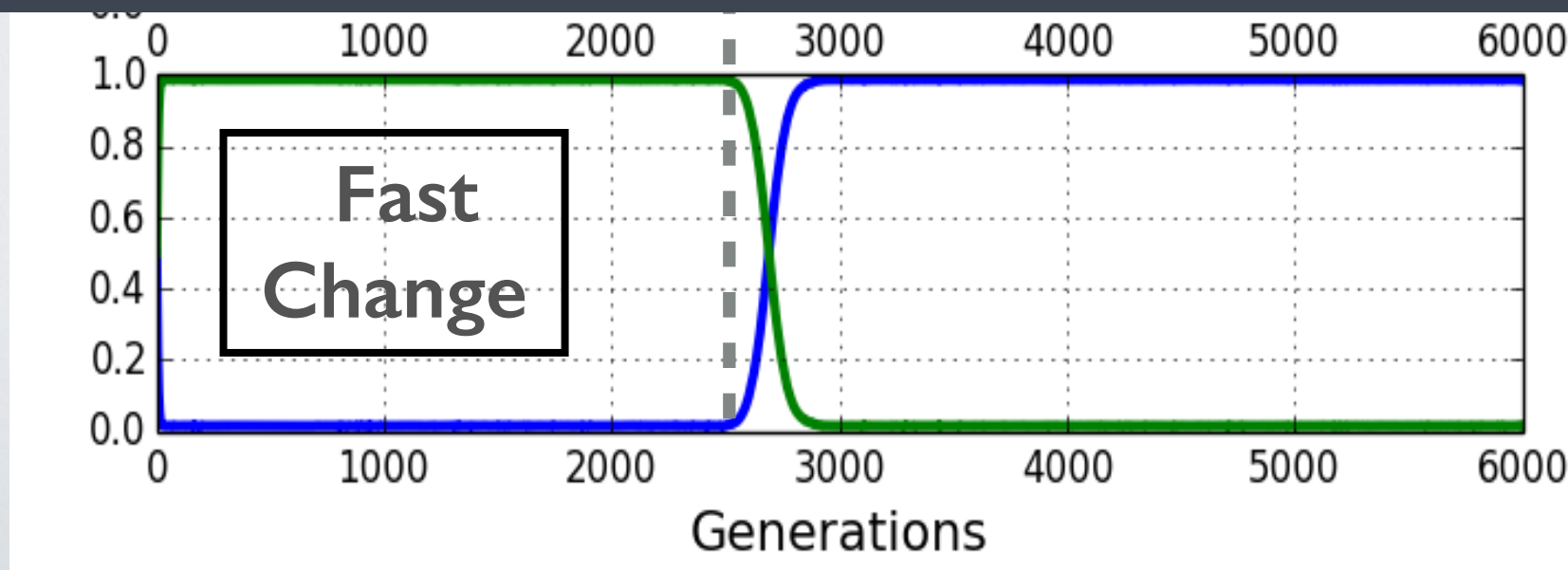
CULTURAL INERTIA



Higher needs for coordination
(tighter societies)



Higher cultural inertia



Loose

EXPLORATION RATES

In all past work, exploration rate is kept **constant**

Why study exploration rates?

- How likely is an agent to learn socially?
- How fast are norms adopted in a population?

EXPLORATION RATES

In all past work, exploration rate is kept **constant**

Why study exploration rates?

- How likely is an agent to learn socially?
- How fast are norms adopted in a population?

To study how tightness/looseness affects exploration:

Let exploration rates **evolve** as part of agent's strategy

Study evolution in a changing environment using regular structural shocks

EXPLORATION RATES

In all past work, exploration rate is kept **constant**

Why study exploration rates?

- How likely is an agent to learn socially?
- How fast are norms adopted in a population?

To study how tightness/looseness affects exploration:

Let exploration rates **evolve** as part of agent's strategy

Study evolution in a changing environment using regular structural shocks

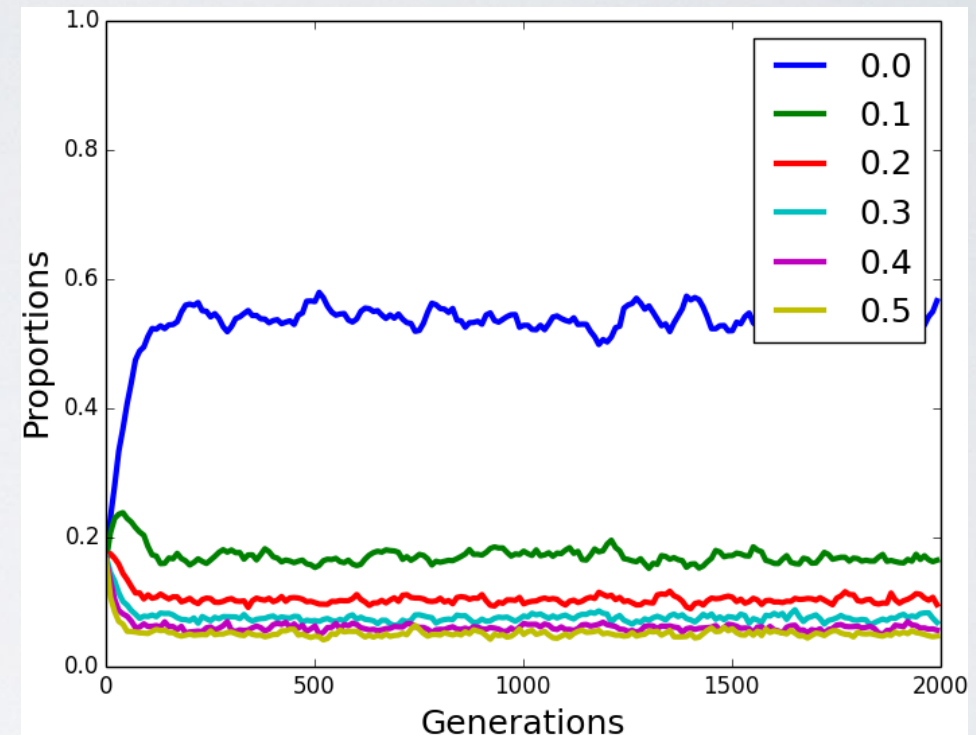
In a static environment,
no exploration is always
better in the long run

EVOLVING EXPLORATION RATES

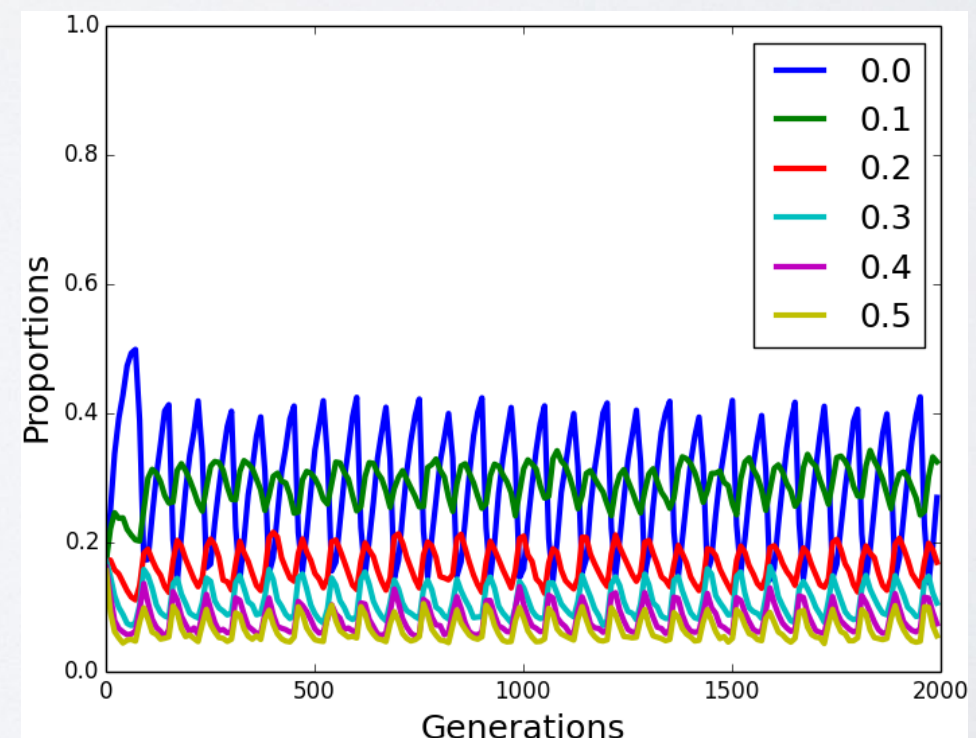
Same experiment with agents on a grid using Fermi Rule

Now we introduce structural shocks at regular intervals of 75 generations

Agents can choose an exploration rate from the set $\{0.0, 0.1, 0.2, 0.3, 0.4, 0.5\}$ as part of their strategy



Tight



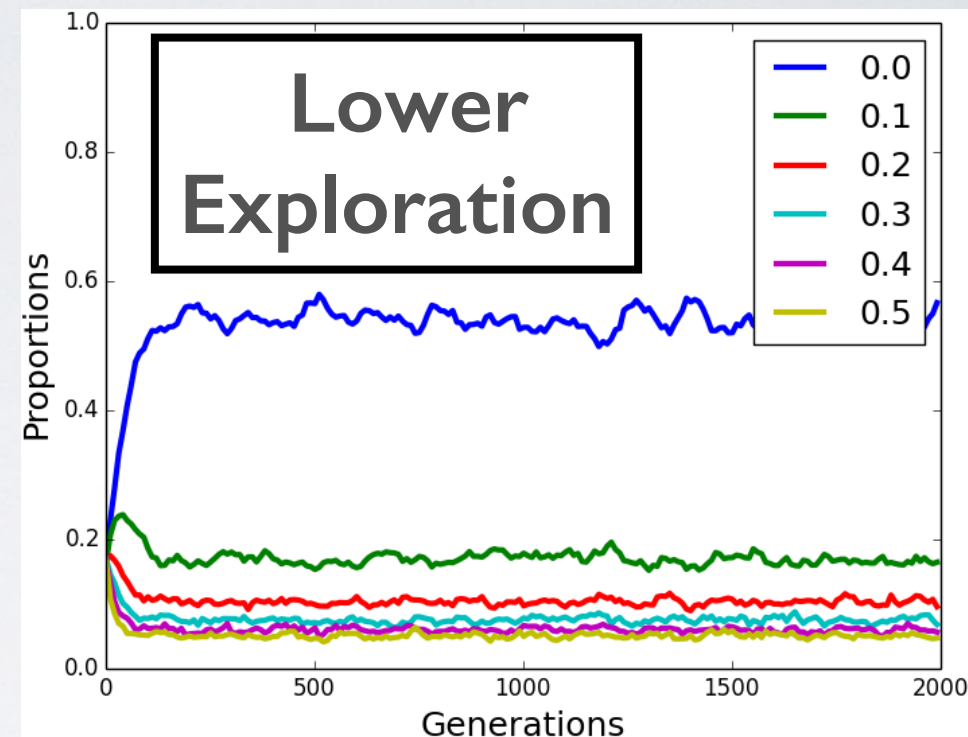
Loose

EVOLVING EXPLORATION RATES

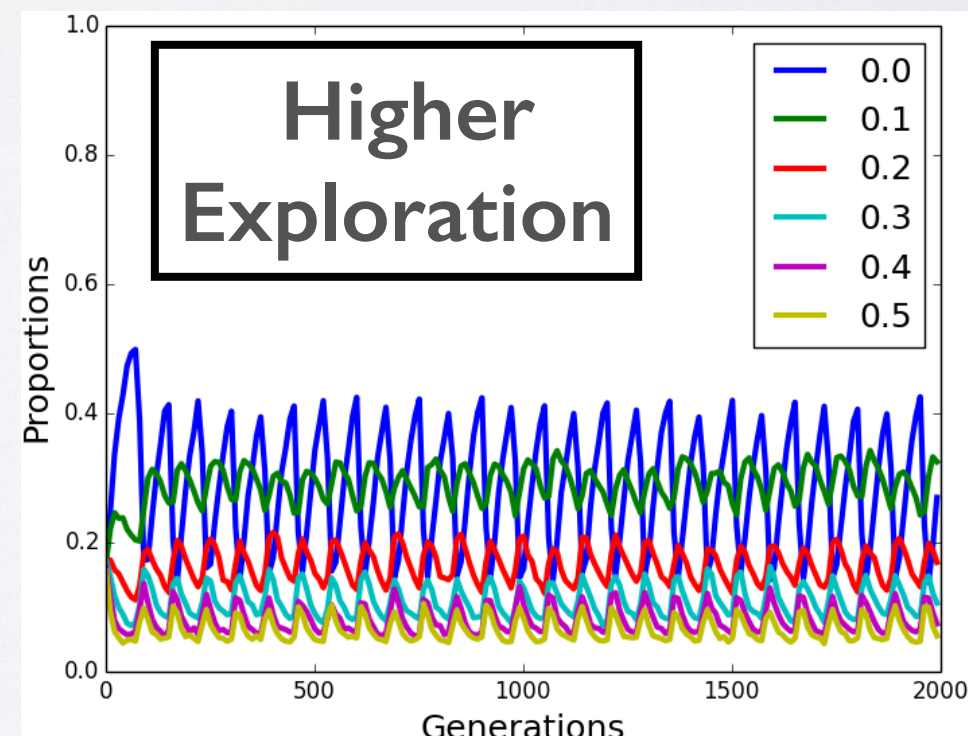
Same experiment with agents on a grid using Fermi Rule

Now we introduce structural shocks at regular intervals of 75 generations

Agents can choose an exploration rate from the set $\{0.0, 0.1, 0.2, 0.3, 0.4, 0.5\}$ as part of their strategy

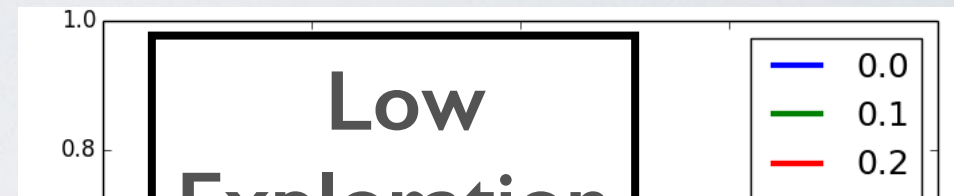


Tight



Loose

EVOLVING EXPLORATION RATES

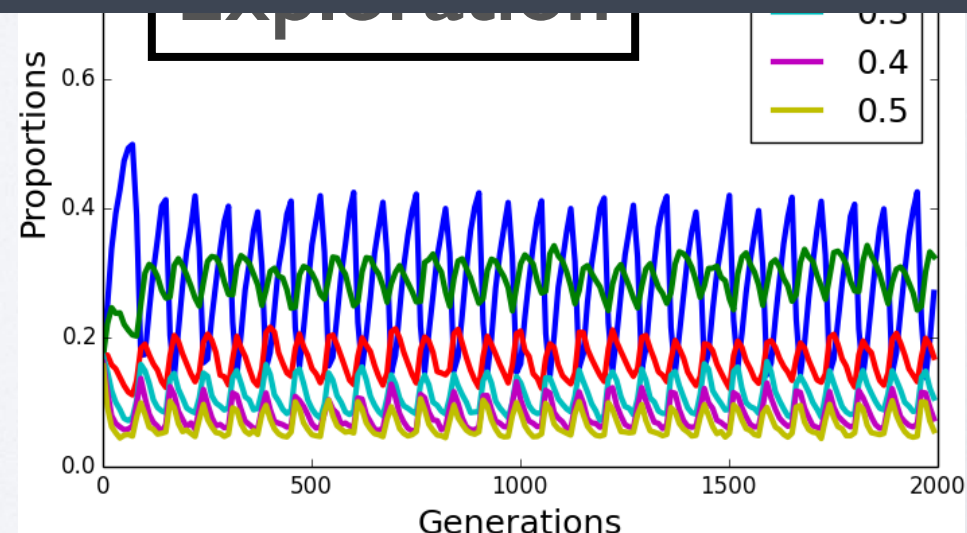


Higher needs for coordination
(tighter societies)



Lower exploration rates

Agents can choose an exploration from the set $\{0.0, 0.1, 0.2, 0.3, 0.4, 0.5\}$ as part of their strategy



Loose

CONTRIBUTIONS

CONTRIBUTIONS

♦ Main Results:

Societies with higher needs for coordination (tighter societies) have:

- Higher cultural inertia
- Lower exploration rates

Using EGT model, we establish direct causal relationships

CONTRIBUTIONS

◆ Main Results:

Societies with higher needs for coordination (tighter societies) have:

- Higher cultural inertia
- Lower exploration rates

Using EGT model, we establish direct causal relationships

◆ Main qualitative findings robust to a wide range of parameter values

Please check paper for more details and theoretical justifications

CONTRIBUTIONS

♦ Main Results:

Societies with higher needs for coordination (tighter societies) have:

- Higher cultural inertia
- Lower exploration rates

Using EGT model, we establish direct causal relationships

♦ Main qualitative findings robust to a wide range of parameter values

Please check paper for more details and theoretical justifications

♦ Broader Takeaway:

Previous work have not accounted for the *substantial societal differences* in how individuals interact and influence each other.

Incorporating concepts from the social and behavioral sciences, and modeling them using game theory can lead to better insights!

THANKS!

Feel free to get in touch!

Extended version on arXiv:

<https://arxiv.org/pdf/1704.04720.pdf>

email: sohamde@cs.umd.edu

website: <https://cs.umd.edu/~sohamde/>



Soham De



Dana S Nau



Michele J Gelfand