# COMP3220 — Document Processing and the Semantic Web

Week 05 Lecture 1: Processing Text Sequences

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COMP3220 2020H1



- Word Embeddings
  - Challenges of Text for Machine Learning
  - Word Embeddings
- 2 Text Sequences
  - Modelling Text Sequences
  - Sequence Labelling

#### Reading

- Deep Learning book, chapter 6.
- Understanding LSTM Networks, https://colah.github. io/posts/2015-08-Understanding-LSTMs/.



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# Words as Arbitrary Symbols

- Words are encoded as arbitrary symbols.
- Within one language there is no clear correspondence between a word symbol and its meaning.
  - "dig" vs. "dog"
  - "car" vs. "automobile"
- Different languages may use different representations of the same word.



# Ambiguities Everywhere

Language features ambiguity at multiple levels.

#### Lexical Ambiguity

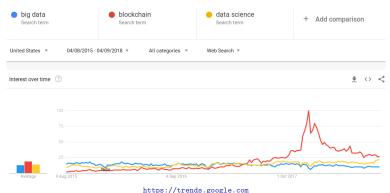
Example from Google's dictionary:

- bank (n): the land alongside or sloping down a river or lake.
- bank (n): financial establishment that uses money deposited by customers for investment, ...
- bank (v): form in to a mass or mound.
- bank (v): build (a road, railway, or sports track) higher at the outer edge of a bend to facilitate fast cornering.
- . . .



## So many words!

- Any language features a large number of distinct words.
- New words are coined.
- Words change their use in time.
- There are also names, numbers, dates... an infinite number.



# Long-distance Dependencies

- Sentences are sequences of words.
- Words close in the sentence are often related.
- But sometimes there are relations between words far apart.

grammatical: "The man living upstairs is very cheerful"

"The people living upstairs are very cheerful"

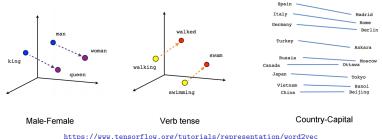
reference: "I bought a book from the bookshp and I liked it"

knowledge: "I was born in France and I speak fluent French"

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## Word Embeddings

- First introduced in 2013, nowadays is one of the most common ingredients in text processing systems.
- Word embeddings squarely aim at addressing the issue of representing words as continuous vectors of integers.
- Words with similar context are mapped to similar vectors.
- Embeddings are learnt using large, unlabelled training data.



notification, was composition of a constitution to probabilities, workers



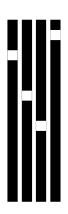
# One-hot vs. word embeddings

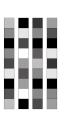
#### One-hot

- Sparse
- Binary values (typically)
- High-dimensional
- Hard-coded

#### Word embeddings

- Dense
- Continous values
- Lower-dimensional
- Learned from data







# Two Ways to Obtain Word Embeddings

- Learn the word embeddings jointly with the task you care about (e.g. document classification).
- 2 Use pre-trained word embeddings.

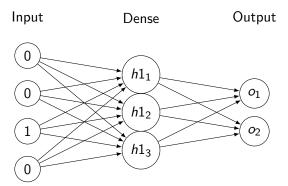
# Learning Word Embeddings

- You can add a dense layer as the first layer of your network and let the system learn the optimal weights.
- This approach is so useful and common that many deep learning frameworks define an "embedding" layer that facilitates this.
- The input to the "embedding" layer is the word index.
- The output is the word embedding.

# Embedding Layer as a Dense Layer

The input of the dense layer is the one-hot encoding of the word

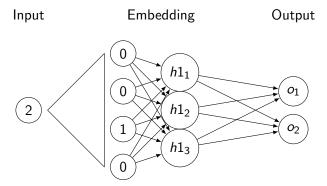
#### A Dense Layer



# Embedding Layer in Keras

The input of a Keras embedding layer is a word index which will be internally converted into its one-hot representation.

#### An Embedding Layer



# Using pre-trained word embeddings

#### The Problem: Data Sparsity

- Sometimes we have so little training data that many words are poorly represented.
- Often, words in the training data do not occur in the test data.
- For these words we would not be able to learn the embeddings.

#### A Solution: Pre-training

- Several people have computed word embeddings for large vocabularies using large data sets.
- We can then use these pre-trained embeddings to map from the word index to the word embedding.



# Using Word Embeddings in Keras

- The following notebook is based on the jupyter notebooks provided by the Deep Learning book: https://github.com/ fchollet/deep-learning-with-python-notebooks
  - Using word embeddings.
- The notebook illustrates how you can use an embeddings layer for text classification, and how to load pre-trained word embeddings.
- This notebook is important because it also illustrates Keras' text tokenisation techniques.

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# Handling Text Sequences

- A document is a sequence of words.
- Many document representations are based on a bag-of-words approach.
  - Word order is ignored.
  - The context around a word is ignored.
- Even word embeddings ignore word order.

#### Why context matters

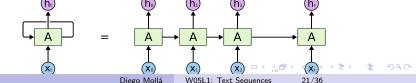
"I can<sub>1</sub> kick the can<sub>2</sub>"

- The meaning of "can<sub>1</sub>" is different from that of "can<sub>2</sub>".
- "can<sub>1</sub>" and "can<sub>2</sub>" should have different word embeddings.
- We can tell the meaning because of the context:
  - "I can kick . . . "
  - "... kick the can"



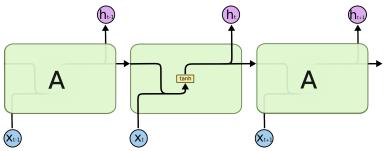
#### Recurrent Neural Networks

- A Recurrent Neural Network (RNN) is designed to process sequences.
- A RNN is a neural network that is composed of RNN cells.
- Each RNN cell takes as input two pieces of information:
  - **1** A vector representing an item  $x_i$  in the sequence.
  - The state resulting from processing the previous items.
- The output of the RNN cell is a state that can be fed to the next cell in the sequence.
- All cells in an RNN chain share the same parameters.
- In a sense, we can say that an RNN cell is the same for all words in the sequence, but now context also matters.



## A Simple Recurrent Neural Networks

- A simple RNN cell ("vanilla RNN") has just a dense layer with an activation function (hyperbolic tangent, or "tanh" in the drawing below).
- Vanilla RNN cells have been used since 1990s.



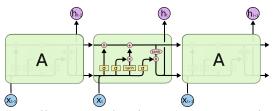
https://colah.github.io/posts/2015-08-Understanding-LSTMs/

### LSTMs and GRUs

- Vanilla RNN cells are still too simple and they do not handle long-distance dependencies easily.
- More complex RNN cells have been designed specifically to address this issue.
- Current most popular RNN cells are:

LSTM Long Short Term Memory (picture).

GRU Gated Recurrent Unit; a more recent, simpler cell.



https://colah.github.io/posts/2015-08-Understanding-LSTMs/



#### RNNs in Practice

- Most deep learning frameworks include special layers for RNNs.
- When you use an RNN layer, you have the option to specify the type of RNN cell.
- You often have the option to use the state of the last cell, or the state of all cells.

## Recurrent Neural Networks in Keras

The following notebook is based on the jupyter notebooks provided by the Deep Learning book: https://github.com/fchollet/deep-learning-with-python-notebooks

Understanding Recurrent Neural Networks.

The notebook illustrates how you can use an embeddings layer for text classification, and how to load pre-trained word embeddings.

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# What is Sequence Labelling?

- A sequence labelling problem is one where:
  - the input consists of a sequence  $X = (X_1, \dots, X_n)$ , and
  - the output consists of a sequence  $Y = (Y_1, \dots, Y_n)$  of labels, where:
  - Y<sub>i</sub> is the label for element X<sub>i</sub>
- Example: Part-of-speech tagging

$$\left( egin{array}{c} oldsymbol{Y} \\ oldsymbol{X} \end{array} 
ight) \; = \; \left( egin{array}{ccc} {\sf Verb}, & {\sf Determiner}, & {\sf Noun} \\ {\sf spread}, & {\sf the}, & {\sf butter} \end{array} 
ight)$$

Example: Spelling correction

$$\begin{pmatrix} Y \\ X \end{pmatrix} = \begin{pmatrix} \text{write, a, book} \\ \text{rite, a, buk} \end{pmatrix}$$



# Other applications of sequence labelling

- Named entity recognition and classification (NER) involves finding the named entities in a text and identifying what type of entity they are (e.g., person, location, corporation, dates, etc.).
- Speech transcription can be seen as a sequence labelling task:
  - The input  $X = (X_1, ..., X_n)$  is a sequence of acoustic frames  $X_i$ , where  $X_i$  is a set of features extracted from a 50msec window of the speech signal.
  - ullet The output Y is a sequence of words (the transcript of the speech signal).
- Financial applications of sequence labelling:
  - Identifying trends in price movements.
- Biological applications of sequence labelling:
  - Gene-finding in DNA or RNA sequences.



# Sequence Labelling as Classification I

#### Can we just use a standard classifier?

- Standard classifiers (such as those we have seen so far) assume independence between samples:
  - The probability of the label assigned to sample i is independent to the probability of the label assigned to sample j.
- But in sequence labelling there is interdependence between the labels of different samples.

# Modelling Context

#### Classifier with context features

- A (crude) approach to model interdependence between samples is to add context features.
- For example, we can use features based on previous words and following words.
- We can even incorporate the label of the previous word as a feature.
- But it is not so easy to incorporate the label of both the previous word and the following word.

# Using Recurrent Neural Networks for Sequence Labelling I

- We have seen how RNNs can be used to classify documents.
- Similarly, we can use RNNs to classify sequences of words.
- Keras provides 'TimeDistributed', which assigns a copy of a layer to the output of each recurrent cell.

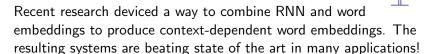
# Using Recurrent Neural Networks for Sequence Labelling II

Layer (type)	Output Shape	Param #
embedding_1 (Embedding)	(None, None, 32)	320000
lstm_1 (LSTM)	(None, None, 32)	8320
time_distributed_1 (TimeDist	(None, None, 20)	660

# Sequence Labelling in Keras

- The following notebook is based on nlpforhackers' post: https://nlpforhackers.io/lstm-pos-tagger-keras/
  - Building a Part of Speech Tagger with Keras
- We will look at how to build a Part of Speech tagger using a LSTM layer.
- The notebook uses NLTK's treebank corpus, which contains annotations with the parts of speech of the words.

# Final Note: Contextualised Word Embeddings!





http://jalammar.github.io/illustrated-bert/



## Take-home Messages

- Explain some of the fundamental challenges that plain text represents to machine learning.
- Apply word embeddings in deep learning.
- Use recurrent neural networks for text classification.
- Omment on the issues of sequence labelling.

## What's Next

#### Week 6

- Generating text.
- Reading: Deep Learning book, chapter 8.1