Level 1 investigation

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Setup

```
In [1]: import tensorflow as tf
   import numpy as np
   import csv
   import os
   import json
   import tensorflow as tf
   from tensorflow import keras
   from tensorflow.keras import layers, optimizers, datasets, metrics, Seque
   from tensorflow.keras.layers import *
   from IPython.display import HTML, display
   import matplotlib.pyplot as plt
%matplotlib inline
```

Data format and structure

The dataset contains just over 50,000 images, each showing a $60m \times 60m$ region of a forest in Germany. Each image shows mainly one species of tree. There are three levels of label for each image: the English name (level 3), forest management class (level 2), and leaf type (level 1).



Image detail from Ahlswede et al. (2023) figure 4.

The dataset has been split into 70% training, 10% validation, and 20% testing images.

The images are 304×304 pixels and encoded as four-channel PNG images. We are mis-using the PNG's alpha (transparency) channel to represent the near-IR light band in the original images.

The data resides in the /datasets/treesat/ directory. All the images are in the /datasets/treesat/images/ directory. There are three .csv files that specify which images are in which split of the dataset. There are three text files that list the different labels at each level.

If we look at one of the csv files, we can see the image name and labels at each level.

```
In [3]: !head /datasets/treesat/train_file_labels.csv
```

Similarly, we can see the distinct labels at each level.

Defining constants

label_level is one of 1, 2, or 3, and is the level of labels used in this notebook.

```
In [5]: data_dir = '/datasets/treesat'
label_level = 1

In [6]: IMAGE_RESCALE = (100, 100)
input_shape = (IMAGE_RESCALE[0], IMAGE_RESCALE[1], 4)
batch_size = 64
label_key = f'level_{label_level}'
```

Loading data

First, we load the image names and labels into the file_label datasets.

We load the vocabulary for this level of labels and create a StringLookup encoder that will convert each label into a one-hot vector.

```
In [10]: encoder_vocab_file = os.path.join(data_dir, f'level_{label_level}_vocabul
label_encoder = StringLookup(vocabulary=encoder_vocab_file, num_oov_indic
num_classes = len(label_encoder.get_vocabulary())
label_lookup = {i: n for i, n in enumerate(label_encoder.get_vocabulary()
label_lookup
```

```
Out[10]: {0: 'cleared', 1: 'needleleaf', 2: 'broadleaf'}
```

With the labels defined, we know enough to pretty-print a confusion matrix.

```
In [11]: def pretty_cm(cm):
          result_table = '<h3>Confusion matrix</h3>\n'
          result_table += '\n'
          result table += f'  colspan={len(
          for _, cn in sorted(label_lookup.items()):
             result_table += f'<strong>{cn}</strong>'
          result_table += '\n'
          result table += '\n'
          result_table += f'Actual labels</
          for ai, an in sorted(label_lookup.items()):
             result_table += '\n'
             result_table += f' <strong>{an}</strong>\n'
             for pi, pn in sorted(label_lookup.items()):
                result_table += f' {cm[ai, pi]}\n'
             result_table += '\n'
          result_table += ""
          # print(result_table)
          display(HTML(result_table))
```

We have a function that take a filename and text label and returns the image and one-hot encoded label.

```
In [12]: # Adjusted load_image function to accept file_path and label separately
def load_image(file_path, label):
    # read the image from disk, decode it, resize it, and scale the pixel
    image = tf.io.read_file(file_path)
    image = tf.io.decode_png(image, channels=4)
    image = tf.image.resize(image, IMAGE_RESCALE)
    image /= 255.0

# grab the label and encode it
    encoded_label = label_encoder(label)
```

```
# return the image and the one-hot encoded label
return image, encoded_label
```

Loading the images

Now we can load the images into datasets.

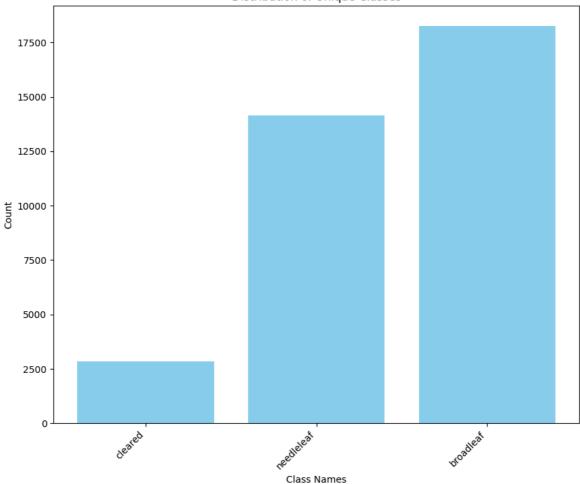
```
In [13]: train_data = train_file_labels.map(lambda fl: (fl['file_name'], fl[label_
                                                num parallel calls=tf.data.AUTOTUNE
         train_data = train_data.shuffle(200)
         train_data = train_data.map(load_image,
                                                num_parallel_calls=tf.data.AUTOTUNE
         train data = train data.batch(batch size)
         train_data = train_data.prefetch(tf.data.AUTOTUNE)
In [14]: |validation_data = validation_file_labels.map(lambda fl: (fl['file_name'],
                                                num_parallel_calls=tf.data.AUTOTUNE
         validation_data = validation_data.map(load_image,
                                                num parallel calls=tf.data.AUTOTUNE
         validation data = validation data.batch(batch size)
         validation_data = validation_data.prefetch(tf.data.AUTOTUNE)
In [15]: test_data = test_file_labels.map(lambda fl: (fl['file_name'], fl[label_ke'])
                                                num_parallel_calls=tf.data.AUTOTUNE
         test_data = test_data.map(load_image,
                                                num_parallel_calls=tf.data.AUTOTUNE
         test_data = test_data.batch(batch_size)
         test_data = test_data.prefetch(tf.data.AUTOTUNE)
```

Exploring the data

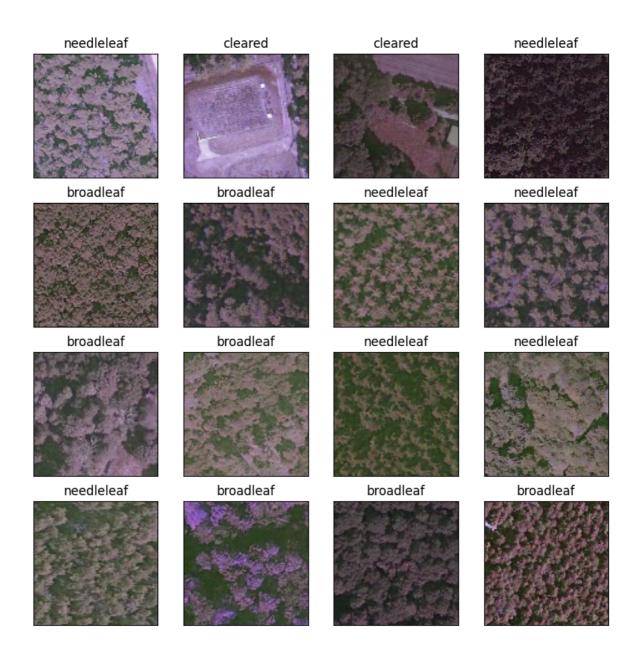
We can now explore some elements of the data, such as some sample images and the distribution of classes.

Distribution of classes





Show some sample images

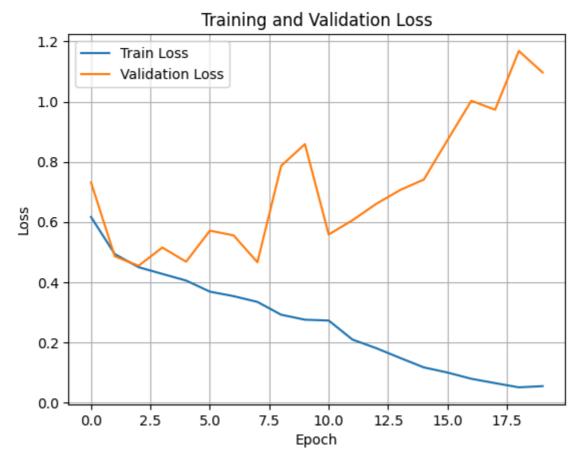


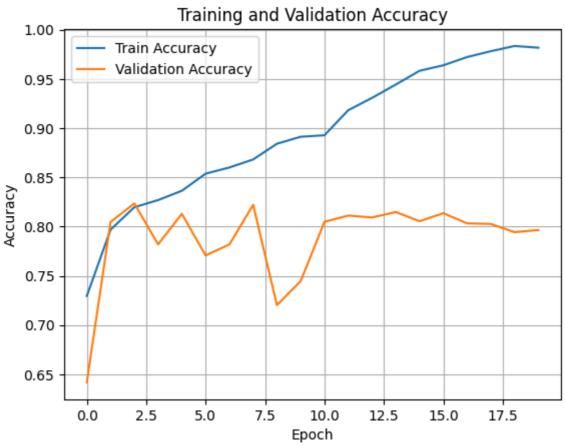
Create and train a base model

As the initial model, a simple CNN model was set up, with the following structure:

```
Layer (type)
                                      Output Shape
                                                                Param #
         conv2d_8 (Conv2D)
                                      (None, 100, 100, 8)
                                                                808
         conv2d_9 (Conv2D)
                                      (None, 100, 100, 8)
                                                                1608
         max_pooling2d_4 (MaxPoolin (None, 25, 25, 8)
         g2D)
         flatten 2 (Flatten)
                                     (None, 5000)
         dense 4 (Dense)
                                      (None, 128)
                                                                640128
         dense_5 (Dense)
                                      (None, 3)
                                                                387
        Total params: 642931 (2.45 MB)
        Trainable params: 642931 (2.45 MB)
        Non-trainable params: 0 (0.00 Byte)
In [49]: model_base_level_1.compile(optimizer='adam',
                        loss='categorical crossentropy',
                       metrics=['accuracy'])
In [50]: history_base_level_1 = model_base_level_1.fit(
             train_data,
             epochs=20,
             validation_data=validation_data,
             verbose=0
In [51]: import matplotlib.pyplot as plt
         # Assuming history contains the training history returned by model.fit
         train_loss = history_base_level_1.history['loss']
         val_loss = history_base_level_1.history['val_loss']
         train_acc = history_base_level_1.history['accuracy']
         val_acc = history_base_level_1.history['val_accuracy']
         # Plot loss
         plt.plot(train_loss, label='Train Loss')
         plt.plot(val_loss, label='Validation Loss')
         plt.title('Training and Validation Loss')
         plt.xlabel('Epoch')
         plt.ylabel('Loss')
         plt.legend()
         plt.grid(True)
         plt.show()
         # Plot accuracy
         plt.plot(train_acc, label='Train Accuracy')
         plt.plot(val_acc, label='Validation Accuracy')
         plt.title('Training and Validation Accuracy')
         plt.xlabel('Epoch')
         plt.ylabel('Accuracy')
         plt.legend()
```

plt.grid(True)
plt.show()





```
In [52]: model_base_level_1.save('model_base_level_1.keras')
    with open('history_base_level_1.json', 'w') as f:
        json.dump(history_base_level_1.history, f)

In [53]: model_base_level_1 = tf.keras.models.load_model('model_base_level_1.keras
    with open('history_base_level_1.json') as f:
        history_base_level_1 = json.load(f)
```

Evaluating the model

Confusion matrix

Predicted labels

		cleared	needleleaf	broadleaf
Actual labels	cleared	81	317	489
	needleleaf	346	1414	2209
	broadleaf	474	1765	2982

Implementing more complicated model

Implementing a more intricate model involves adding additional layers to enable the neural network to capture more intricate patterns within the data, potentially enhancing its predictive capabilities (Saturn Cloud, 2023). Ramesh(2018) states that increasing the number of filters in each layer enhances the depth of the feature space, enabling the CNN to learn more levels of global abstract structures. Additionally, incorporating dropout is beneficial for mitigating overfitting, as demonstrated by the base model, as it encourages the network to learn more robust

and generalized representations of the data (The Open University, 2023). Therefore, a more intricate model was structured with additional layers and dropout, as follows:

```
In [69]: # Define the model architecture with additional convolutional layers
         model 2 level 1 = Sequential([
             Conv2D(16, (5, 5), padding='same', activation='relu', input_shape=inp
             Conv2D(16, (5, 5), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(4, 4)),
             Conv2D(32, (3, 3), padding='same', activation='relu'),
             Conv2D(32, (3, 3), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(2, 2)),
             Conv2D(64, (3, 3), padding='same', activation='relu'),
             Conv2D(64, (3, 3), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(2, 2)),
             Flatten(),
             Dense(128, activation='relu'),
             Dropout(rate=0.5),
             Dense(num_classes, activation='softmax')
         ])
         # Print the summary of the improved model
         model_2_level_1.summary()
```

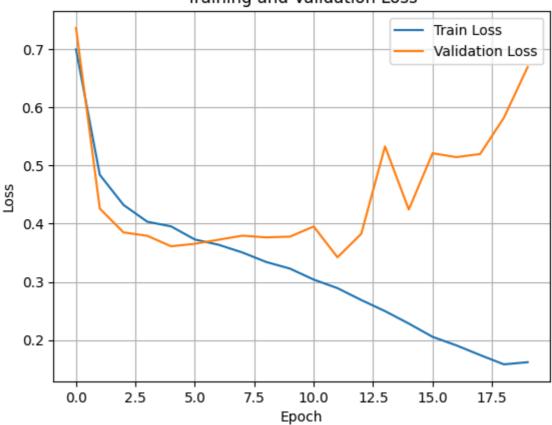
Model: "sequential_4"

	· –				
	Layer (type)	Output Shape	Param #		
	conv2d_12 (Conv2D)	(None, 100, 100, 16)	1616		
	conv2d_13 (Conv2D)	(None, 100, 100, 16)	6416		
	<pre>max_pooling2d_6 (MaxPoolin g2D)</pre>	(None, 25, 25, 16)	0		
	conv2d_14 (Conv2D)	(None, 25, 25, 32)	4640		
	conv2d_15 (Conv2D)	(None, 25, 25, 32)	9248		
	<pre>max_pooling2d_7 (MaxPoolin g2D)</pre>	(None, 12, 12, 32)	0		
	conv2d_16 (Conv2D)	(None, 12, 12, 64)	18496		
	conv2d_17 (Conv2D)	(None, 12, 12, 64)	36928		
	<pre>max_pooling2d_8 (MaxPoolin g2D)</pre>	(None, 6, 6, 64)	0		
	flatten_4 (Flatten)	(None, 2304)	0		
	dense_8 (Dense)	(None, 128)	295040		
	dropout_1 (Dropout)	(None, 128)	0		
	dense_9 (Dense)	(None, 3)	387		
Total params: 372771 (1.42 MB) Trainable params: 372771 (1.42 MB) Non-trainable params: 0 (0.00 Byte) model_2_level_1.compile(optimizer='adam',					
n [71]:	<pre># Assuming history contains the training history returned by model.fit train_loss_2 = history_2_level_1.history['loss'] val_loss_2 = history_2_level_1.history['val_loss'] train_acc_2 = history_2_level_1.history['accuracy'] val_acc_2 = history_2_level_1.history['val_accuracy'] # Plot loss plt.plot(train_loss_2, label='Train Loss')</pre>				
	<pre>plt.plot(val_loss_2, label= plt.title('Training and Val plt.xlabel('Epoch')</pre>	'Validation Loss')			

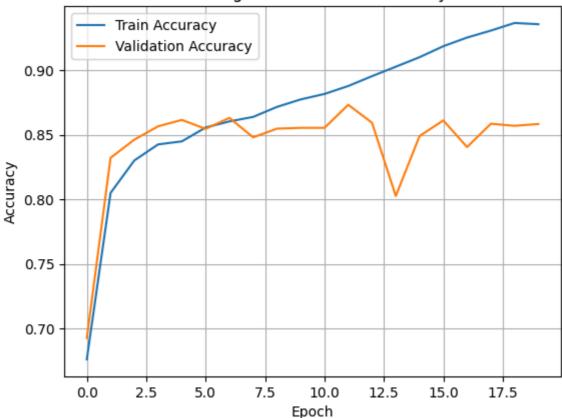
```
plt.ylabel('Loss')
plt.legend()
plt.grid(True)
plt.show()

# Plot accuracy
plt.plot(train_acc_2, label='Train Accuracy')
plt.plot(val_acc_2, label='Validation Accuracy')
plt.title('Training and Validation Accuracy')
plt.xlabel('Epoch')
plt.ylabel('Accuracy')
plt.legend()
plt.grid(True)
plt.show()
```

Training and Validation Loss



Training and Validation Accuracy



Cache results for later

```
In [72]: model_2_level_1.save('model_2_level_1.keras')
with open('history_2_level_1.json', 'w') as f:
    json.dump(history_2_level_1.history, f)

model_2_level_1 = tf.keras.models.load_model('model_2_level_1.keras')
with open('history_2_level_1.json') as f:
    history_2_level_1 = json.load(f)
```

Evaluating the model

```
In [77]: cm_2 = tf.math.confusion_matrix(test_labels_2, predict_labels_2).numpy()
    pretty_cm(cm_2)
```

Confusion matrix

Predicted labels

		cleared	needleleaf	broadleaf
Actual labels	cleared	57	316	514
	needleleaf	275	1488	2206
	broadleaf	396	1852	2973

In []:

Add weighting

The figure: 'Distribution of Unique classes' depicts imbalanced class data, which can profoundly affect classification algorithms, resulting in biased performance (The Open University, 2023). To address this issue, a technique involving weighting imbalanced data was applied to modify the training data. Subsequently, the previous two models, which incorporated increased filters and a more intricate architecture, utilised this modified training data for training.

Modify training dataset

```
In [78]: class_counts = train_data.unbatch().reduce(tf.zeros((3,)),
                                      lambda o, il: tf.math.add(o, il[1])).numpy()
         class_counts
Out[78]: array([ 2844., 14150., 18272.], dtype=float32)
In [79]:
         all_train_size = sum(class_counts)
         all_train_size
Out[79]: 35266.0
In [21]: | class_weights = tf.constant([all_train_size / (10 * cc) for cc in class_c
         class_weights
Out[21]: <tf.Tensor: shape=(3,), dtype=float64, numpy=array([1.24001406, 0.249229
         68, 0.19300569])>
In [22]: class_weights[0], class_weights[2]
Out[22]: (<tf.Tensor: shape=(), dtype=float64, numpy=1.240014064697609>,
          <tf.Tensor: shape=(), dtype=float64, numpy=0.19300569176882662>)
In [23]: def add_weight(image, one_hot_label):
             label = tf.argmax(one_hot_label)
             return image, one_hot_label, class_weights[label]
```

```
In [24]: weighted_train_data = train_data.unbatch().map(add_weight)
    weighted_train_data

Out[24]: <_MapDataset element_spec=(TensorSpec(shape=(100, 100, 4), dtype=tf.float32, name=None), TensorSpec(shape=(3,), dtype=tf.float32, name=None), TensorSpec(shape=(), dtype=tf.float64, name=None))>
In [25]: weighted_train_data = weighted_train_data.batch(batch_size)
    weighted_train_data = weighted_train_data.shuffle(all_train_size)
    weighted_train_data = weighted_train_data.prefetch(tf.data.AUTOTUNE)
```

the Model with Added weights

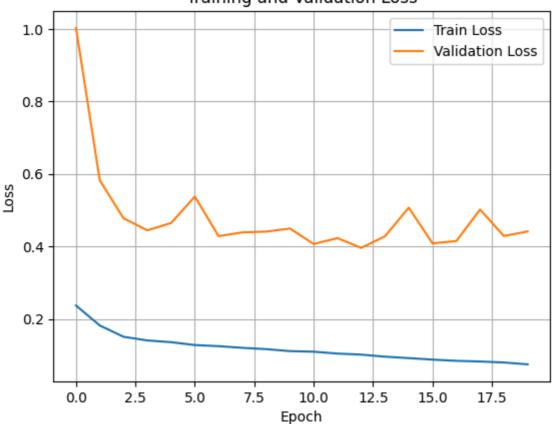
```
In [37]: # Define the model architecture with additional convolutional layers
         model_3_level_1 = Sequential = Sequential([
             Conv2D(16, (5, 5), padding='same', activation='relu', input_shape=inp
             Conv2D(16, (5, 5), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(4, 4)),
             Conv2D(32, (3, 3), padding='same', activation='relu'),
             Conv2D(32, (3, 3), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(2, 2)),
             Conv2D(64, (3, 3), padding='same', activation='relu'),
             Conv2D(64, (3, 3), padding='same', activation='relu'),
             MaxPooling2D(pool_size=(2, 2)),
             Flatten(),
             Dense(128, activation='relu'),
             Dropout(rate=0.5),
             Dense(num_classes, activation='softmax')
         ])
         # Print the summary of the improved model
         model_3_level_1 = Sequential.summary()
```

conv2d_2 (Conv2D) (None, 100, 100, 16) 1616 conv2d_3 (Conv2D) (None, 100, 100, 16) 6416 max_pooling2d_1 (MaxPoolin (None, 25, 25, 16) 0 g2D) conv2d_4 (Conv2D) (None, 25, 25, 32) 4640 conv2d_5 (Conv2D) (None, 25, 25, 32) 9248 max_pooling2d_2 (MaxPoolin (None, 12, 12, 32) 0 g2D) conv2d_6 (Conv2D) (None, 12, 12, 64) 18496 conv2d_7 (Conv2D) (None, 12, 12, 64) 36928 max_pooling2d_3 (MaxPoolin (None, 6, 6, 64) 0 g2D) flatten_1 (Flatten) (None, 2304) 0 dense_2 (Dense) (None, 128) 295040 dropout (Dropout) (None, 128) 0 dense_3 (Dense) (None, 3) 387 Total params: 372771 (1.42 MB) Non-trainable params: 0 (0.00 Byte) model_3_level_1.compile(optimizer='adam', loss='categorical_crossentropy', metrics=['accuracy']) history_3_level_1 = model_3_level_1.fit(Layer (type)	Output Shape	 Param #		
conv2d_3 (Conv2D) (None, 100, 100, 16) 6416 max_pooling2d_1 (MaxPoolin (None, 25, 25, 16) 0 g2D) conv2d_4 (Conv2D) (None, 25, 25, 32) 4640 conv2d_5 (Conv2D) (None, 25, 25, 32) 9248 max_pooling2d_2 (MaxPoolin (None, 12, 12, 32) 0 g2D) conv2d_6 (Conv2D) (None, 12, 12, 64) 18496 conv2d_7 (Conv2D) (None, 12, 12, 64) 36928 max_pooling2d_3 (MaxPoolin (None, 6, 6, 64) 0 g2D) flatten_1 (Flatten) (None, 2304) 0 dense_2 (Dense) (None, 128) 295040 dropout (Dropout) (None, 128) 0 dense_3 (Dense) (None, 3) 387 ===================================	=======================================		-=======		
max_pooling2d_1 (MaxPoolin (None, 25, 25, 16)					
<pre>conv2d_5 (Conv2D)</pre>	max_pooling2d_1 (MaxPoolin				
<pre>max_pooling2d_2 (MaxPoolin (None, 12, 12, 32)</pre>	conv2d_4 (Conv2D)	(None, 25, 25, 32)	4640		
conv2d_6 (Conv2D) (None, 12, 12, 64) 18496 conv2d_7 (Conv2D) (None, 12, 12, 64) 36928 max_pooling2d_3 (MaxPoolin (None, 6, 6, 64) 0 g2D) flatten_1 (Flatten) (None, 2304) 0 dense_2 (Dense) (None, 128) 295040 dropout (Dropout) (None, 128) 0 dense_3 (Dense) (None, 3) 387 Total params: 372771 (1.42 MB) Trainable params: 372771 (1.42 MB) Non-trainable params: 0 (0.00 Byte)	conv2d_5 (Conv2D)	(None, 25, 25, 32)	9248		
conv2d_7 (Conv2D) (None, 12, 12, 64) 36928 max_pooling2d_3 (MaxPoolin (None, 6, 6, 64) 0 g2D) flatten_1 (Flatten) (None, 2304) 0 dense_2 (Dense) (None, 128) 295040 dropout (Dropout) (None, 128) 0 dense_3 (Dense) (None, 3) 387 ===================================	_·	(None, 12, 12, 32)	0		
<pre>max_pooling2d_3 (MaxPoolin (None, 6, 6, 64) g2D) flatten_1 (Flatten)</pre>	conv2d_6 (Conv2D)	(None, 12, 12, 64)	18496		
<pre>g2D) flatten_1 (Flatten)</pre>	conv2d_7 (Conv2D)	(None, 12, 12, 64)	36928		
<pre>dense_2 (Dense)</pre>	<u> </u>	(None, 6, 6, 64)	0		
<pre>dropout (Dropout) (None, 128)</pre>	flatten_1 (Flatten)	(None, 2304)	0		
<pre>dense_3 (Dense)</pre>	dense_2 (Dense)	(None, 128)	295040		
Total params: 372771 (1.42 MB) Trainable params: 372771 (1.42 MB) Non-trainable params: 0 (0.00 Byte) model_3_level_1.compile(optimizer='adam',	dropout (Dropout)	(None, 128)	0		
Total params: 372771 (1.42 MB) Trainable params: 372771 (1.42 MB) Non-trainable params: 0 (0.00 Byte) model_3_level_1.compile(optimizer='adam',	dense_3 (Dense)	(None, 3)	387		
<pre>epochs=20, validation_data=validation_data, verbose=0) # Assuming history contains the training history returned by mode train_loss_3 = history_3_level_1.history['loss'] val_loss_3 = history_3_level_1.history['val_loss'] train_acc_3 = history_3_level_1.history['accuracy'] val_acc_3 = history_3_level_1.history['val_accuracy'] # Plot loss plt.plot(train_loss_3, label='Train Loss')</pre>	Trainable params: 372771 (1. Non-trainable params: 0 (0.0 model_3_level_1.compile(opt loss='categor metrics=['acc history_3_level_1 = model_3	42 MB) 0 Byte) imizer='adam', cical_crossentropy', curacy'])			
<pre>train_loss_3 = history_3_level_1.history['loss'] val_loss_3 = history_3_level_1.history['val_loss'] train_acc_3 = history_3_level_1.history['accuracy'] val_acc_3 = history_3_level_1.history['val_accuracy'] # Plot loss plt.plot(train_loss_3, label='Train Loss')</pre>	<pre>epochs=20, validation_data=validat</pre>	ion_data,			
<pre>plt.plot(train_loss_3, label='Train Loss')</pre>	<pre>train_loss_3 = history_3_le val_loss_3 = history_3_leve train_acc_3 = history_3_lev</pre>	<pre>train_loss_3 = history_3_level_1.history['loss'] val_loss_3 = history_3_level_1.history['val_loss'] train_acc_3 = history_3_level_1.history['accuracy']</pre>			
	<pre>plt.plot(train_loss_3, labe</pre>				

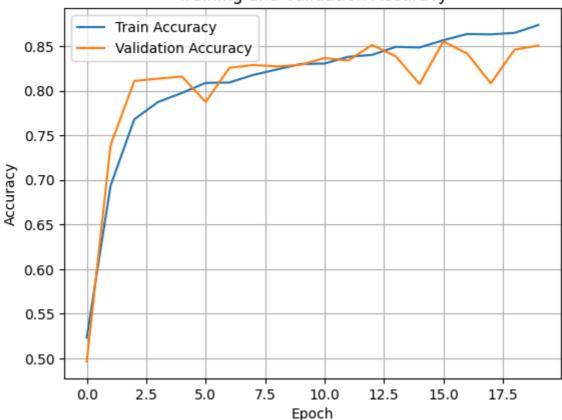
```
plt.title('Training and Validation Loss')
plt.xlabel('Epoch')
plt.ylabel('Loss')
plt.legend()
plt.grid(True)
plt.show()

# Plot accuracy
plt.plot(train_acc_3, label='Train Accuracy')
plt.plot(val_acc_3, label='Validation Accuracy')
plt.title('Training and Validation Accuracy')
plt.xlabel('Epoch')
plt.ylabel('Accuracy')
plt.legend()
plt.grid(True)
plt.show()
```

Training and Validation Loss



Training and Validation Accuracy



Cache results for later

Evaluating the model

```
In [47]: cm_3 = tf.math.confusion_matrix(test_labels_3, predict_labels_3).numpy()
    pretty_cm(cm_3)
```

Confusion matrix

Predicted labels

		cleared	needleleaf	broadleaf
Actual labels	cleared	92	330	465
	needleleaf	360	1515	2094
	broadleaf	511	1949	2761

Reference

Ramesh. S (2018) ' A guide to an efficient way to build neural network architectures-Part II: Hyper-parameter selection and tuning for Convolutional Neural Networks using Hyperas on Fashion-MNIST', Medium, 7 May 2018 [Online]. Available at https://towardsdatascience.com/a-guide-to-an-efficient-way-to-build-neural-network-architectures-part-ii-hyper-parameter-42efca01e5d7 (Accessed 11 May 2024).

Saturn Cloud (2023) How to Improve Accuracy in Neural Networks with Keras, 6 July 2023 [Online] Available at https://saturncloud.io/blog/how-to-improve-accuracy-in-neural-networks-with-keras/ (Accessed 11 May 2024).

The Open University (2023) 5 Training of CNNs, TM358 Weeks 8-11 Block 2: Image recognition with CNNs [Online]. Available at https://learn2.open.ac.uk/mod/oucontent/view.php?id=2152484§ion=5.1 (Accessed 11 May 2024).

In []: